

Columbia University

IN THE CITY OF NEW YORK
LAMONT-DOHERTY EARTH OBSERVATORY

June 8, 2018

Dear Dr. Herndl –

My co-authors and I re-submit this manuscript, *Mechanisms of northern North Atlantic biomass variability*, manuscript number bg-2018-89. As requested, we have thoroughly revised the manuscript in accordance with the reviewer comments.

We have responded in full to the reviewer comments that we received on May 2, 2018. Our primary changes have been to (1) address the question of zooplankton impact on biomass trends, (2) consider the impact of alternative satellite algorithms on the observed trends, and (3) clarified in multiple points in the text that our use of trends is not intended to suggest long-term climate driven trends, but instead short-term changes consistent with internal variability. We take pains to clarify that this is a mechanistic study that identifies plausible mechanistic explanations for observed biomass changes over the prime viewing period of the SeaWiFS satellite. These changes, and other edits that were suggested, have substantially increased the manuscript's clarity.

Thank you in advance for your attention to this manuscript.

Sincerely,



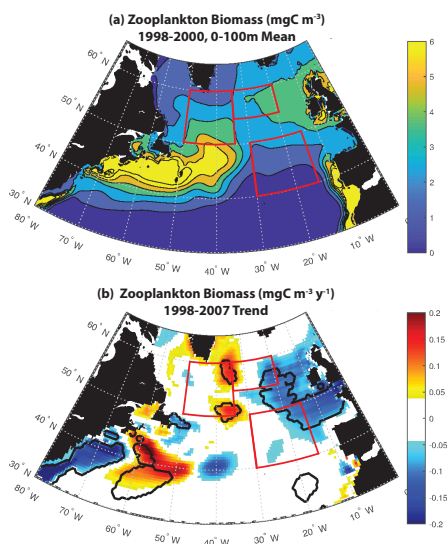
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RESPONSE to **Anonymous Referee #1**

This manuscript explores some of the mechanisms controlling phytoplankton biomass variability in the North Atlantic over the later 20th century and early 2000s. The manuscript is interesting and well written, and to some extent appears to challenge the view that nutrient dependent biomass variability is controlled only by the vertical nutrient supply. However, I have some reservations with the method adopted and cannot therefore recommend immediate publication. My main issue with the manuscript is the approach of using correlation coefficients between biomass and light/phosphate limitation terms as a means of attributing causality. This seems to be something of a shortcut given that these factors are likely to be somewhat collinear with other potential drivers of phytoplankton biomass. If possible, I think a more complete approach would be to recompute the model phytoplankton biomass using the limitation terms and other drivers (similar to what is done in Laufkötter et al., 2015 for several biogeochemistry models). This would allow the authors to assess the separate impacts of bottom-up processes (the influence of limitation terms on growth rates) as well as top-down loss terms (mortality/grazing). If this approach is not possible due to a lack of model output then I think some of the paper's conclusions should be toned down especially when using these correlation coefficients to infer the processes driving SeaWiFS variability.

We address this concern by plotting zooplankton trends in the model over the main analysis period (new Figure S2 and included here). This figure shows that zooplankton trends occur of the same sign as of biomass. Were top-down processes driving the declines (increases) in biomass, then one would expect to see increasing (decreasing) zooplankton trends in the southeast and northeast (northwest). This is not what is occurring in the model. Thus, it is clear that nutrient and light trends are the primary drivers for the modeled trends. We include mention of this analysis in the main text (section 3.2), with reference to this new Figure S2.

Figure S2



My other issue is that a number of processes that could be responsible for some of the trends in biomass variability seem to be neglected. These are perhaps not included in the model but this should nonetheless be stated. What role does temperature play? Is u_{max} independent of temperature? What about zooplankton grazing rates? If grazing is temperature dependent does this explain any of the biomass variability? These sorts of things may be important given that certain models seem to show phytoplankton biomass declines despite increases in phytoplankton growth rates, due to overwhelming increases in losses to zooplankton grazing (Laufkötter et al., 2015).

Thank you. We add mention of the temperature dependence of growth in equation 1. Grazing is not temperature dependent and this is also now mentioned in section 4. In this model, bottom-up drivers from nutrient and light limitation are responsible for the trends. In the context of zooplankton grazing, to section 4 we add mention of Laufkötter et al., (2015), also noting that the difference of findings may be related also to the very different timeframes and levels of forcing for trends in that paper (~100 years), as opposed to this analysis (10 years). Details of these additions to the text are below.

Specific comments

Ln 90. What was the decision behind the use of the CbPM algorithm? Given that alternative algorithms can substantially differ it would be good to know that the trends described are robust to this algorithm choice. Perhaps a supplementary figure could be produced comparing CbPM mean state and trends in this region with an alternative algorithm such as VGPM.

Thank you. CbPM is the only algorithm of which we are aware that estimates biomass from satellite. Biomass is the best point of comparison to the model since it is directly carried in the model. Nonetheless, to reassure the reviewer, we add comparison to CbPM and VGPM net primary productivity with a new Figure S1. Though the VGPM does suggest much higher NPP, this algorithm is considered less reliable than CbPM based on the publications of Behrenfeld who was a key developer for both VGPM and CbPM (Behrenfeld et al. 2005). Though magnitudes differ, the two algorithms indicate similar patterns of NPP trends.

Ln 107. I think more model details are needed here even if they are published elsewhere. Specifically, what is meant by a “phosphorus-based ecosystem”? It would be good to have some mention of N. Is everything assumed to be Redfield? If so, is this a potential limitation of using this sort of model in this context? Is there any N fixation in the model?

Thank you. We clarify that the primary macronutrient in the model is phosphorus, and that silicate is also limiting to the large phytoplankton class. Iron is a micronutrient. Consistent with other lower-complexity ecosystem models, such as BLING (Gailbraith et al. 2010, Biogeoscience), there is no nitrogen in this

model. We add note of this in section 2.4.

Ln 140-160. See general comments above. Where does temperature limitation fit in? If not at all then I think this should be mentioned. Also, this section focuses on the effects of limitation terms on growth rates yet the analysis focuses on biomass not growth rates. I think the authors could better describe how growth rates and biomass are related, mentioning the additional processes that affect biomass in their model (e.g. zooplankton grazing?).

Thank you. As shown above, zooplankton grazing follows biomass changes but does not drive them. The fact that this model, though it does not have all the potential complexity it might, does capture quite well the observed changes in biomass over this period. The success of the model strongly suggests that additional complexity is not required to reasonably explain the observed changes. We note in section 3.2 that zooplankton are not the driver of biomass changes in this model, and discuss this finding in context of Laufkötter et al. 2015 in section 4.

To section 3.2, we add “Modeled anomalies are not due to zooplankton top-down pressure on biomass, as evidenced by zooplankton trends that are positively correlated with biomass trends (Fig. S2). Thus nutrient and light, the bottom-up drivers in this model that change in a manner that drives biomass changes consistent with observations (Fig. 1), are the focus of this analysis.”

To section 4, we add “In the context of 21st century climate-driven changes in biomass, Laufkötter et al., (2015) find zooplankton grazing to be important to biomass in some models under a strong climate change forcing scenario (RCP8.5). Zooplankton is not the driver of biomass changes in this model (Figure S3), with the very different timescales and levels of forcing for change - 10 years of interannual variability in this study, ~100 years with strong forcing in Laufkötter et al. (2015) - likely being a factor in this difference. That zooplankton grazing is not temperature dependent in this model may also contribute, but any potential effects would be limited by the annual mean temperature change from 1998-2000 to 2005-2007 being substantially smaller (+0.02 °C, +0.28 °C, +0.13 °C, in SE, NE and NW regions, respectively) than over the 21st century in the RCP8.5 scenario (1-4 °C at 40-60°N, Laufkötter et al. 2015).

Ln 401-404. Although declines in the horizontal nutrient supply may be the proximate driver is the ultimate driver not declines in the vertical supply to the west of the SE box? If so, perhaps this statement should be more nuanced.

Thank you. We have modified the text to read “to LOCALLY increased stratification” so as to clarify this point.

Figs 6 and 7. The differences between panels a and b are difficult to see in these plots. It would be useful to add a panel to each of these figures that is the difference between these time slices.

We agree that these are somewhat hard to see, but the difference plots are unfortunately not much easier to look at. We have included MLD changes in timeseries form already in Figure 7c-e, and have added the difference plot for barotropic streamfunction in Figure S4.

Technical/minor corrections

Ln 25-28. I think some references are needed in this paragraph.

Thank you, references to the text by Sarmiento and Gruber (2006) as well as Sverdrup 1953; Dutkiewicz et al. 2001; Follows and Dutkiewicz, 2002 have been added.

Ln 38. Type error. “. . .do not fit their. . .”

Thank you, this has been fixed.

Ln 44-48. Within this context it might be useful to mention that Kwiatkowski et al., 2017 related interannual variability of productivity to long-term trends across an ESM ensemble. Capturing productivity variability may therefore help reduce long-term projection uncertainties.

Thank you for the suggestion. While Kwiatkowski et al. 2017 is a very nice paper, it is focused on the tropical oceans. The discussion here is about the Sverdrup hypothesis, which is relevant to the subpolar oceans. We do not feel that adding this reference is appropriate.

Ln 74-75. “substantial change” reads as if there has been a climatic shift in the North Atlantic subpolar gyre. I think the authors are only referring to variability here and should clarify this.

Thank you. It is stated in the following sentence “There is evidence these changes occur in response to changing buoyancy forcing and wind stress, in turn associated with modes of climate variability,..” which clarifies the relationship to variability.

Ln 101. Space before units for consistency. “2200 m”

Thank you, this has been fixed.

Ln 109. I think something should come after “small”. Small phytoplankton or nanophy- toplankton?

Thank you, this has been fixed.

Ln 172. Space before units for consistency. “100 m”

Thank you, this has been fixed.

Ln 224. I don't think "all three timeseries" is correct looking at Fig 2a. MODIS does not appear to have any positive anomalies prior to 2004.

Thank you, this has been clarified.

Ln 246. Looking at Fig 4 small phytoplankton appear to dominate in the North ($> 52^{\circ}\text{N}$). Although to a lesser extent than in the South. If correct, this sentence should be amended.

Thank you, we have amended this sentence to read "On the mean, in the open waters of the North Atlantic, large phytoplankton have a greater contribution to the total biomass in the north and west (Fig 4a), but small phytoplankton are dominant to biomass throughout the basin and particularly in the south and east (Fig 4b)."

Ln 269. To say "only 40% of total biomass" seems strange.

We have modified this to state "a smaller portion (40%)". We also have updated the text to refer to Figure 4 where this smaller portion is illustrated.

Ln 309. I would change the word "collaborative".

Thank you. We have removed this term.

Ln 361-364. This seems more suitable to the discussion than the results.

Thank you. We find this a good lead in to the next line where the Discussion begins.

Ln 367. Type error. Remove "in" or "since".

Thank you, fixed by removing "in".

Ln 395. Type error. The use of "a" smooth climatological nutrient. . .

Thank you, fixed.

Ln 409. Type error. . . "on" the edges of. . .

Thank you, fixed.

Ln 414-415. Suggest improving sentence readability. Perhaps: . . . "with the dominant mechanisms shifting across timescales"

Thank you, fixed as suggested.

Ln 418. It is not clear to me what is meant by a “granular approach”.

Thank you, we have clarified to state “smaller subregions”.

Ln 419. Type error. . . “in” this region.

Thank you, fixed.

Ln 425. Type error. . . “in the” northwest region.

Thank you, fixed.

Ln 434. Type error. “of” value or “valuable”

Thank you, fixed.

Ln 443. Type error. Remove “in”.

Thank you, fixed.

References

Laufkötter, C., Vogt, M., Gruber, N., Aita-Noguchi, M., Aumont, O., Bopp, L., et al. (2015). Drivers and uncertainties of future global marine primary production in marine ecosystem models. *Biogeosciences*, 12(23), 6955–6984. <https://doi.org/10.5194/bg-12-6955-2015>

Kwiatkowski, L., Bopp, L., Aumont, O., Ciais, P., Cox, P. M., Laufkötter, C., et al. (2017). Emergent constraints on projections of declining primary production in the tropical oceans. *Nature Climate Change*. <https://doi.org/10.1038/nclimate3265>

RESPONSE to **Anonymous Referee #2**

Summary The manuscript integrates satellite ocean color observations and a coupled ocean physical-biogeochemical model to quantify plankton trends in the temperate and subpolar North Atlantic and evaluate potential underlying mechanisms. The model is an essential component for identifying physical transport effects on plankton dynamics. The study builds on a substantial literature on this important scientific question.

Thank you.

Overall, I found the manuscript to be relatively weak. The modeling study focuses on linear trends that are likely not robust over such a small time window (1998-2007) for SeaWiFS data. The trend analysis leaves out the longer integrated SeaWiFS-MODIS data set, which exhibits substantial interannual to decadal variability, often with different temporal patterns than inferred from the shorter linear trend analysis. The numerical modelling is also limited to 2009 and thus is not compared with later MODIS data.

Thank you for your comments that have helped us to strengthen the manuscript. We describe below in more detail why we focus on linear trends. We do compare to MODIS data in Figure 2.

ocean and is a significant contribution that fits well within the scope of Biogeosciences journal. The manuscript is generally well written.

Thank you for this positive assessment.

Methodology The manuscript utilizes well-documented satellite ocean color data from SeaWiFS and MODIS-Aqua and an established ocean physical-biogeochemical model and hindcasting techniques. The study utilizes a set of monthly diagnostics for the physical and biological terms affecting the phosphate budget. The biological diagnostics are available for the SeaWiFS period (1998-onward) but not prior to 1998 (model output lost; Line 135). This subtracts some from the trend analysis over longer time periods 1949-2009 where only model biomass is available. Also, it is not clear why the model hindcast stops in 2009, now more than 8 years ago.

The reasons for the hindcast ending are found in the original manuscript on Lines 123-125. While, this is unfortunate, the model does cover the prime viewing period of SeaWiFS whose trends we aim to explain and thus it is a useful tool for the desired purpose.

Results One limitation with the analysis is the focus on linear trends over a relatively short analysis window (1998-2007) (Figure 1). As is clearly shown by Figure 2, the regional temporal patterns primarily exhibit inter-annual to decadal trends and any linear trend is relatively small and sensitive to the choice of

time window (an issue that the lead author is well familiar with and has published on previously). For example, the manuscript identifies declining biomass east of 30-35 deg. W (e.g. Line 11-12; Line 201-202), however, this is not consistent with the observations. The SeaWiFS- era trends in (Figure 1c) show only a small region of declining trends in the northeast (north of 55 deg.) with a substantial region of positive trends (though admittedly not statistically significant). The regional trends in Figure 2 that include MODIS data do not show such clear trends, and in fact from the merged SeaWiFS-MODIS data the trend in the southeast actually change signs.

We sense that the reviewer is concerned that our focus on trends implies a focus on long-term trend, perhaps even the suggestion of climate-change driven trends. This is most definitely not what we imply. These are trends over a specific period, 1998-2007, as observed by SeaWiFS – but they are in the context of interannual variability, as highlighted explicitly in the manuscript by the timeseries correlation analysis for the full model experiment (1949-2009). In the southeast (Figure 2a), the MODIS does indeed change sign, but this occurs after 2010 which is beyond our prime analysis period, and thus there is no inconsistency between the model, SeaWiFS and MODIS for the 1998-2007 period as suggested by this reviewer comment.

To clarify to the reader that we make no implication about long-term trends, we add to the abstract the following: “These short-term changes, attributable to internal variability, offer an opportunity to explore the mechanisms of the coupled physical-biogeochemical system. We use a regional biogeochemical model that captures the observed changes for this exploration.”

The manuscript would be much stronger if the focus was expanded to include the agreement (or disagreement) of model and observed interannual variability and underlying mechanisms. At a minimum, there needs to be more up front discussion of the rationale for and limitations of focusing on linear trends.

We show clearly with the figure several figures that the model does agree well with the satellite-observed biomass trends, and also reference previous manuscripts that have shown model fidelity against other datasets. Further, the analysis presented in the manuscript is precisely of the mechanisms driving these interannual changes, as asked for by the reviewer with this comment. Clearly, there is a need to clarify for the reader. Thus, we add the above text to the abstract to clarify. At the end of section 1 we also add, “This is a mechanistic analysis of the drivers of SeaWiFS-observed changes in biomass that are best quantified as linear trends given the 10 year prime observational period. The degree to which these drivers are responsible for internal variability across the full model experiment (1948-2009) is also explored. Our approach can be contrasted to other possible approaches such as the use of Empirical Orthogonal Function (EOFs) to consider dominant modes of variability [Ullman et al. 2009; Breeden and McKinley 2016]. The negative of EOF-type analysis is that it tends to explain at most 30% of the large-scale variance, and thus does not fully explain observations. This paper is a case study for a particular period in which we aim to explain the drivers of the observed changes as fully as possible

using a model that represents well the observed changes. .”

The singular focus on annual means in the model and data analysis neglects the substantial seasonality in bloom dynamics in the subpolar North Atlantic. This raises two issues. First, there is no discussion of the robustness of annual mean satellite observations because of sampling biases, particularly during winter. Second, it is not clear if annual mean biomass is the biologically most important indicator; would more relevant indicators be peak surface biomass concentration or peak integrated biomass (ala arguments of Berhenfeld and Boss). Implicitly the analysis also assumes that only bottom-up factors (light and nutrient limitation) influence trends in phytoplankton biomass, neglecting possible top-down factors. This may be true for the model, but perhaps is an incomplete picture of the actual ocean.

We agree that alternative choices could have been made in the presentation of the biomass – peak vs. annual mean, for example. What is actually critical is that we are consistent between treatment of the observations and of the model, as we are. In response also to Reviewer 1, we add a figure of zooplankton biomass trends to the supplementary. This figure shows that top-down drivers are not driving the changes in this model. As discussed, this certainly does not rule out top-down drivers being important in the real ocean, but they are not required to capture the observed changes in phytoplankton biomass. To section 3.2, we add “Modeled anomalies are not due to zooplankton top-down pressure on biomass, as evidenced by zooplankton trends that are positively correlated with biomass trends (Fig. S2). Thus nutrient and light, the bottom-up drivers in this model that change in a manner that drives biomass changes consistent with observations (Fig. 1), are the focus of this analysis.”

Specific comments Line 180: I have some concerns regarding the following paragraph: “This analysis is based on annual mean fields. A 3-month lag of the biology diagnostics and biomass fields after physical diagnostics and other physical fields is employed to account for the maximum physical forcing occurring in the winter prior to the spring bloom. Thus, annual mean physical fields are averaged from October of the prior year to September of the year in question. Biological fields are January to December averages.” I understand the need perhaps to adjust the year window to capture the relevant Fall and early winter pre-conditioning of subsequent spring bloom, but the text is not framed in terms of pre-conditioning. Rather a somewhat arbitrary 3-month lag is argued, inconsistent with the well-observed latitudinal seasonal patterns in the timing or phenology of bloom dynamics for the North Atlantic. Further, it is not clear that the relevant physical quantities are annual means for variable such as mixed layer depth with large seasonal variation and where it is more likely that the maximum winter mixed layer depth is more biologically relevant. Given the richness of monthly model output, a more nuanced data analysis would be warranted.

We add mention to section 2.5 that a lag of 0 to 4 months does not substantially change results though correlations are weaker. Given that our focus is on the northern North Atlantic, north of 40N, where deep mixing precedes the bloom by several months, some temporal lag is reasonable when annual means are

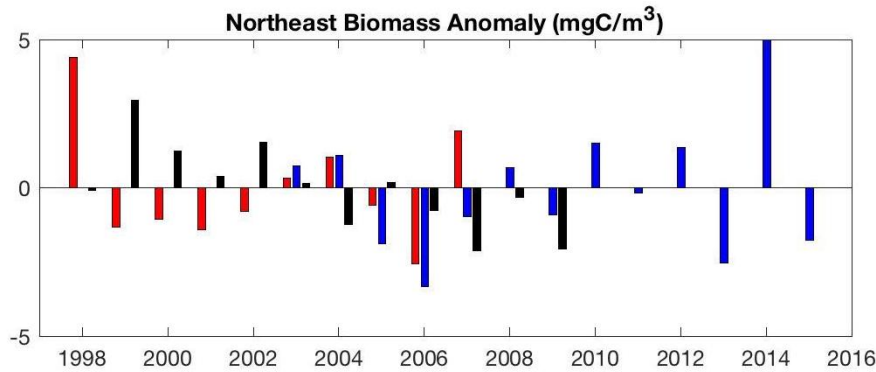
being considered. Again, the use of annual means is a choice that must be made early in the analysis. As stated above, what is critical is that we aim to explain annual mean changes in SeaWiFS observed biomass, and that we do so with annual mean changes in the model. We agree that an analysis of also of monthly fields could be interesting, it is beyond the scope of the work already presented here. We add this suggestion in the last paragraph of the Discussion: “In order to address the simplest measure of change, we use annual mean fields for both the observations and the model. A deeper consideration of how these changes operate in the context of the significant seasonality of the region would be very interesting.”

Line 209-212 “In both observations and models, the magnitudes of these changes are large in comparison to the mean. In the declining regions, where mean biomass is 15- 25 mgC m⁻³ (Fig. 1, S1), trends -0.5 to -1.5 mgC m⁻³ yr⁻¹ over 10 years imply biomass reductions of 30-50 %. To the west of 30-35 deg. W, increases of a comparable percentage are implied.” The magnitude of these trends may be appropriate for some pixel level trends but the magnitude of the trends are roughly an order of magnitude smaller at the regional scale in Figure 2.

Thank you for noting the need for clarification. We now include region-mean percent changes in the text. The changes are based on the same model output and data shown in figures 2 and S1. We now state “Regional mean changes in biomass from SeaWiFS (in the model) are -19% (-17%) in the SE region and -15% (-10%) in the NE. To the west of 30-35 °W in the NW region, regional mean changes are +6% (+9%).”

Figures 1 and 2; Lines 213-219: Regional analysis boxes are identified for the model in Figure 1d and linked to the time-series in Figure 2. It appears from the text that the same regional boxes are used for model and satellite observations, but it is unclear if this is appropriate given the spatial mismatch in the model and observed mean and trend patterns. The text (Lines 226-229) argue that this has a minimal effect but this should probably be shown in some figures in the supplement.

Thank you for suggesting we take another look at this. As we have stated in the text, the differences are very minor, and thus additional supplemental figures would only be confusing. For example, this comparison of the NE box with MODIS and SeaWiFS boxes shifted to the north and east by 5degrees is essentially indistinguishable from Figure 2b without the shift.



Line 237-239: There is considerable nutrient data (though still sparse) from the CLIVAR Repeat Hydrography for the sub-polar North Atlantic, particularly from the German and Canadian occupied lines; worth looking in to.

Thank you – we look forward to seeing what the experts in analysis of these data find with respect to temporal changes in large-scale nutrient fields between the WOCE and CLIVAR eras given the spatial and temporal heterogeneity of these data. To perform this data analysis ourselves is clearly beyond the scope of this work.

Line 250: Are the annual means for phosphate and light limitation terms simply the straight means? Was there any consideration of weighting the limitation with seasonal variations in biomass or NPP, which might enhance the biological relevance.

As stated, the analysis is based on annual averages throughout for consistency. These limitations terms are very relevant to the biology and are the primary mechanism explored, so there is no need to “enhance the biological relevance” as suggested. Any weighting undertaken would also require additional analysis choices and thus a simple annual mean for all fields is the most straightforward approach when our goal is to explain annual mean SeaWiFS biomass changes.

Line 285: Does the model mixed layer trend agree with observations?

Yes it does, we add reference to Vage et al. 2008. Thank you.

Line 296-299: The wording of the text here is awkward; would be phrased as, for example, “horizontal advective divergence” (or convergence), etc. As written, the text and figure labels (Figure 8 and 9) confuse “flux” with “flux divergence”.

Thank you, we have clarified the text as “flux convergence” and “flux divergence” throughout section 3.5 and in the figures.

Mechanisms of northern North Atlantic biomass variability

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Abstract. In the North Atlantic Ocean north of 40 °N, intense biological productivity occurs to form the base of a highly productive marine food web. SeaWiFS satellite observations indicate trends of biomass in this region over 1998-2007. Significant biomass increases occur in the northwest subpolar gyre and there are simultaneous significant declines to the east of 30-35 °W. These short-term changes, attributable to internal variability, offer an opportunity to explore the mechanisms of the coupled physical-biogeochemical system. We use a regional biogeochemical model that captures the observed changes for this exploration. Biomass increases in the northwest are due to a weakening of the subpolar gyre and associated shoaling of mixed layers that relieves light limitation. Biomass declines to the east of 30-35 °W are due to reduced horizontal convergence of phosphate. This reduced convergence is attributable to declines in vertical phosphate supply in the regions of deepest winter mixing that lie to the west of 30-35 °W. Over the full timeframe of the model experiment, 1949-2009, variability of both horizontal and vertical phosphate supply drive variability in biomass on the northeastern flank of the subtropical gyre. In the northeast subpolar gyre horizontal fluxes drive biomass variability for both timeframes. Though physically-driven changes in nutrient supply or light availability are the ultimate drivers of biomass changes, clear mechanistic links between biomass and standard physical variables or climate indices remain largely elusive.

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Deleted: In this study, we use a regional biogeochemical model of the North Atlantic that captures the observed trends to determine their mechanistic drivers

1 Introduction

30 Surface ocean phytoplankton are the single largest biomass pool on Earth, form the base of the oceanic food web, and contribute to ocean sequestration of carbon dioxide [Sarmiento and Gruber, 2006]. The North Atlantic north of 40 °N experiences a strong annual cycle of productivity that is controlled by both light and nutrient limitation [Sverdrup 1953; Dutkiewicz et al. 2001; Follows and Dutkiewicz, 2002].

35 In general terms, marine phytoplankton growth is limited by nutrients in the subtropics and by light at subpolar latitudes [Fay and McKinley, 2017]. In the subtropics, an enhanced bloom occurs with relief of nutrient stress when vertical mixing is enhanced. In contrast, subpolar regions should have a reduced bloom with enhanced mixing because mixing enhances light limitation. Sverdrup [1953] used observations from a weather ship in the Norwegian Sea to propose the notion of a “critical depth” for subpolar regions. When the mixed layer reaches below the critical depth, physical mixing cycles phytoplankton through dark regions at depth which increases light limitation and decreases production. Dutkiewicz et al. [2001] and Follows and 40 Dutkiewicz [2002] directly characterize productivity drivers with the ratio of the spring critical depth to the winter mixed layer depth in a theoretical model and compare to observations. Their relationships most accurately represent satellite and *in situ* observations in the North Atlantic subtropics and are less predictive in the subpolar gyre. Also identified is an intergyre region where observed relationships do not fit a their conceptual model, presumably because both nutrient and light limitation are of first-order importance.

45 In recent decades, ocean color satellites have allowed for synoptic assessments of surface ocean productivity and its variability [Yoder and Kennelly, 2003; McClain et al., 2004; Siegel et al., 2005]. The first few years of data from the satellite Sea-viewing Wide Field-of-view Sensor (SeaWiFS) indicated that the seasonal cycle of productivity is largely consistent with the Sverdrup hypothesis [Siegel et al. 2002]. Longer records of ocean color have revealed large-scale interannual changes in ocean productivity in each gyre. Explanations 50 for multi-year changes, and by extension expected future trends with climate warming [Bopp et al., 2013], tend also to be based on the simple Sverdrup hypothesis. For example, multiple analyses suggest that increased stratification due to ocean warming limits the vertical supply of nutrients to the surface ocean and thus causes reductions in productivity [Behrenfeld et al. 2006; Polovina et al. 2008; Martinez et al. 2009]. However, these studies have been refuted in the North Atlantic and the North Pacific subtropics, evidenced 55 by the fact that interannual variability in stratification is uncorrelated with that of productivity, and thus do not support a one-dimensional mixing-productivity framework [Lozier et al. 2011, Dave and Lozier 2010, 2013]. In contrast, large-scale correlations between chlorophyll and sea surface temperature (SST, a proxy for stratification) at low and mid latitudes have been shown to be strongly associated with advective processes in the equatorial Pacific [Dave and Lozier, 2015].

60 Thus, there is growing evidence that vertical processes alone are not sufficient to explain productivity variability. At the same time, there is evidence that horizontal physical processes could play a role, particularly in the northern subtropical gyre or “intergyre” region of the North Atlantic [Williams and

Follows, 1998; Dutkiewicz et al. 2001; Follows and Dutkiewicz 2002; Oschlies 2002; McGillicuddy et al. 2003; Dave et al. 2015].

65 Williams and Follows [1998] illustrate that on the mean, horizontal Ekman fluxes are critical to surface nutrient supply in the North Atlantic from 40-60 °N. However, Williams et al. [2000] find variability of horizontal fluxes to be an order of magnitude smaller than convective flux variability, and thus conclude that vertical processes dominate anomalies. Considering deeper processes, Williams et al. [2006] compare the magnitude of Ekman upwelling to the three-dimensional movement of volume or nutrients from the permanent thermocline to the full mixed layer, or “induction”. Climatologically, nutrient supply to the 70 subpolar gyre by induction is many times larger than the supply by Ekman upwelling. Induction is how the “nutrient stream” [Pelegrí et al., 1996; Palter et al., 2005; Williams et al., 2006] is accessed to allow for large-scale supply of nutrients from outside to inside the subpolar gyre. To our knowledge, interannual variability in induction has not been discussed in the literature. Further consideration of both horizontal and vertical 75 processes is warranted with respect to understanding of temporal variability in surface ocean productivity in the North Atlantic.

Changing ocean circulation should influence horizontal and vertical transports of nutrients in the northern North Atlantic. A slowdown of the gyre should relax isopycnal slopes and decrease geostrophic advection along isopycnals. The North Atlantic subpolar gyre has exhibited substantial change since the 1950s when 80 regular observations began to be available [Lozier et al. 2008]. There is evidence these changes occur in response to changing buoyancy forcing and wind stress, in turn associated with modes of climate variability, specifically the North Atlantic Oscillation and East Atlantic Pattern [Häkkinen and Rhines, 2004; Hátún et al., 2005; Lozier et al., 2008; Foukal and Lozier, 2017]. Via Ekman processes, reduction in wind stress should directly reduce upwelling in the subpolar gyre and also the horizontal transport of nutrients [Williams et al. 85 2000; Dave et al. 2015]. Buoyancy and turbulent fluxes also impact mixed layer depths and influence bloom timing and strength [Bennington et al. 2009]. Consistent with this expectation, links between physical changes in the subpolar gyre and *in situ* observed changes in nutrients and ecosystems at several subpolar timeseries sites have been suggested [Johnson et al. 2013, Hátún et al. 2016, 2017].

90 In this study, we use a regional model to illustrate how changing light limitation and changing vertical and horizontal nutrient supply led to the significant changes in surface ocean biomass that were observed by SeaWiFS over 1998-2007 in the North Atlantic north of 40°N (Figure 1c). This is a mechanistic analysis of the drivers of SeaWiFS-observed changes in biomass that are best quantified as linear trends given the 10 year prime observational period. The degree to which these drivers are responsible for internal variability across the full model experiment (1948-2009) is also explored. Our approach can be contrasted to other possible approaches such as the use of Empirical Orthogonal Function (EOFs) to consider dominant modes of variability [Ullman et al. 2009; Breeden and McKinley 2016]. The negative of EOF-type analysis is that it tends to explain at most 30% of the large-scale variance, and thus does not fully explain observations. This

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paper is a case study in which we aim to explain the drivers of the observed changes as fully as possible using a model that represents well the observed changes.

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2 Methods

2.1 Satellite ~~data~~

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Our analysis focuses on the period 1998-2007. Monthly SeaWiFS data becomes inconsistent beginning in 2008. For study of interannual trends, avoiding the need to fill gaps in the record is desirable. For additional comparison and extension of the record, biomass estimated from MODIS for 2003-2015 is also presented, again selecting years for which all months are available. For both SeaWiFS and MODIS, biomass is estimated using the updated CbPM algorithm [Westberry et al. 2008]. ~~Additionally, we make a brief comparison to net primary productivity (NPP) from SeaWiFS estimated with both CbPM and the VGPM algorithms (Behrenfeld and Falkowski, 1997). All data were provided by~~ the Ocean Productivity Group at Oregon State University (<http://www.science.oregonstate.edu/ocean.productivity/index.php>, SeaWiFS biomass downloaded 11.28.16; MODIS biomass downloaded 1.24.18; NPP downloaded 05.29.18).

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2.2 Regional hindcast model

The Massachusetts Institute of Technology General Circulation Model configured for the North Atlantic (MITgcm.NA) [Marshall et al., 1997a; Marshall et al., 1997b], is used. The model domain extends from 20 °S to 81.5 °N, with a horizontal resolution of 0.5° x 0.5° and a vertical resolution of 23 levels that have a thickness of 10 m at the surface and gradually become coarser to 500 m thickness intervals for depth levels deeper than 2200 m. NCEP/NCAR Reanalysis I daily wind, heat, freshwater, and radiation fields from 1948-2009 force the model [Kalnay et al., 1996]. To correct for uncertainties in air-sea fluxes, SST and SSS (sea surface salinity) are relaxed to monthly historical SST [Had1SSTv1.0, Rayner et al., 2003] and climatological SSS [Antonov et al. 2006] observations, on the timescale of 2 and 4 weeks, respectively [Ullman et al. 2009]. To characterize sub-grid-scale processes, the Gent-McWilliams [Gent and McWilliams, 1990] eddy parameterization, the KPP boundary layer mixing schemes [Large et al., 1994], and Fox-Kemper et al. [2008] submesoscale physical parameterization are used. The phosphorus-based ecosystem is parameterized following Dutkiewicz et al. [2005], and with modest revisions by Bennington et al. [2009]. This ecosystem has one zooplankton class and two phytoplankton classes (“large” diatoms and “small” phytoplankton). The biogeochemical model explicitly cycles phosphorus, silica and iron, and complete carbon chemistry is also included. This model is identical to the one presented in Breeden and McKinley [2016], and uses the same biogeochemical code as Bennington et al. [2009], Ullman et al. [2009] and Koch et al. [2009].

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The coupled model has previously been shown to capture the timing and magnitude of the subpolar spring bloom chlorophyll and its variability as observed by SeaWiFS [Bennington et al. 2009]. Mixed layer depths,

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carbon system variables and nutrients are well simulated at Bermuda and in the northwest subpolar gyre [Ullman et al. 2009; Koch et al. 2009]. As is common to this type of moderate-resolution model, productivity in the subtropics is too low [Bennington et al. 2009]. Physical variability since 1948 is consistent with observations [Breedon and McKinley, 2016].

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As in Breedon and McKinley [2016], the physical model was spun up for a 100 year period with 1948-1987 repeated twice and then followed again by 1948-1967, for a total physical spin up of 120 years. The biogeochemical model was then initialized using World Ocean Atlas phosphate concentrations and spun up for 10 years using 1948-1957 daily forcing. To avoid initialization shock, the model was then forced for 5 years with repeating 1948 fields before the 1948-2009 experiment started. Due to HadISSTv1.0 fields only being available through 2009, this model integration ends in 2009. Future studies using HadISSTv1.1, which extends beyond 2009, will require re-initialization and new spin up integrations.

2.3 Phosphate diagnostics

To assess the processes modifying phosphate concentration, we employ phosphate diagnostics that quantify flux convergences (in $\text{mmol m}^{-3} \text{ yr}^{-1}$) for net biological processes, vertical advection and diffusion, and horizontal advection and diffusion. These terms describe the tendency of each process at every time step during the model simulation, averaged to monthly for output [Ullman et al., 2009; Breedon and McKinley, 2016]. For conciseness, the biological uptake term presented here is the sum of separate diagnostic terms for phosphate utilization by primary producers and remineralization that returns phosphate to the water column.

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For analysis of mean and linear trends for 1998-2007, we use biological, vertical and horizontal diagnostic terms. Unfortunately, the biological diagnostics prior to 1998 were lost after simulations were completed. Thus, for correlations for 1949-2009, we use biomass in place of the biological diagnostics. This choice is supported by strong correlations ($R=-0.87$ to -0.98) between biomass and the biological diagnostics in our three focus regions (defined below) for 1998-2007. Biomass and biological diagnostics have an opposite sign because phosphate is removed as biomass accumulates.

2.4 Light and nutrient limitation

As detailed in Dutkiewicz et al. [2005], model phytoplankton growth is limited by light and the most limiting nutrient. Limiting nutrients are phosphate (PO_4) and iron (Fe) for small phytoplankton and PO_4 , Fe and silicate (SiOH_4) for large phytoplankton. There is no nitrogen cycle in the model, consistent with other ecological models of comparable complexity (Galbraith et al. 2010). The parameterization uses Michaelis-Menton ratios that tend to 0 as the resource becomes severely limiting to growth, and approach 1 when replete. A lower value indicates a greater stress, and thus the phytoplankton group with the larger half saturation constant will be more limited for the same ambient nutrient or light concentration.

Specifically, maximum growth rates ($\mu_{max,small} = 1/1.3 \text{ d}^{-1}$, $\mu_{max,large} = 1/1.1 \text{ d}^{-1}$) are reduced through multiplication by limitation terms. [T_{func} modifies maximum growth based on temperature following Eppley \(1972\).](#)

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$$\mu = \mu_{max} \cdot T_{func} \cdot \gamma_{light} \cdot \min(\gamma_{PO4}, \gamma_{Fe}, \gamma_{SiOH4}(large \text{ only})) \quad (1)$$

With half saturation constants $I_{0,small} = 15 \text{ Wm}^{-2}$, $I_{0,large} = 12 \text{ Wm}^{-2}$, light limitation is:

$$\gamma_{light} = \frac{I}{I + I_0} \quad (2)$$

And for nutrients

$$\gamma_X = \frac{X}{X + K_{0,X}} \quad (3)$$

175 For phosphate, $X = \text{PO}_4$ and $K_{0,PO4,small} = 0.05 \text{ mmol m}^{-3}$ and $K_{0,PO4,large} = 0.1 \text{ mmol m}^{-3}$. For iron, $X = \text{Fe}$ and $K_{0,Fe,small} = 0.01 \text{ } \mu\text{mol m}^{-3}$, $K_{0,Fe,large} = 0.05 \text{ } \mu\text{mol m}^{-3}$. For large phytoplankton only, silicate limitation also applies, with $K_{0,SiOH4,large} = 2 \text{ mmol m}^{-3}$. Because of their higher half saturation constant for phosphate, modeled large phytoplankton are more phosphate stressed than small phytoplankton. In contrast, the higher light half saturation makes small phytoplankton experience greater light stress. Due to high levels of aeolian dust deposition in the North Atlantic, parameterized here with the imposition of climatological fields from Mahowald et al. [2003], iron is never limiting in our study area and is not further discussed.

For this analysis, monthly mean light and nutrient fields are used to calculate limitation terms for light and nutrient for each phytoplankton type.

2.5 Analysis

185 Throughout the study, annual averages over the top 100 m are used. This depth is selected because it is a reasonable approximation for both the euphotic zone and the Ekman layer, and is a computationally efficient choice consistent with previous work [Long et al. 2013; Williams et al. 2000, 2014]. For analysis of light limitation, however, it is important to consider that deep mixing will move mixed layer phytoplankton to substantially below 100 m [Sverdrup, 1953]. This important effect would be poorly captured if light limitation terms were averaged only over the surface 100 m. The more appropriate choice, used here, is to use either the depth of the monthly mixed layer or 100 m, whichever is deeper. Light limitation is calculated monthly in this way and then annually averaged. For consistency, we apply the same averaging approach for nutrient limitation. However, since nutrients are homogenized by deep mixing, results for nutrient limitation are not substantially different from the use of a strict 100m average.

195 For physical comparisons, mixed layer depth (MLD) is calculated using monthly density fields and a criteria of 0.03 kg m^{-3} increase above the surface density. The barotropic streamfunction is calculated using a north

to south integration of the full depth zonal velocity fields [Breedon and McKinley, 2016]. To find the minimum barotropic streamfunction of the subpolar gyre, the minimum within a region 60-30 °W, 50-65 °N is used. A preliminary comparison of nutrient flux variability to climate indices uses the winter (DJFM) East Atlantic Pattern (<http://www.cpc.ncep.noaa.gov/data/teledoc/ea.shtml>, downloaded 12.15.2017) and the winter North Atlantic Oscillation (Hurrell and NCAR, 2017).

This analysis is based on annual mean fields for both the observations and the model. A 3-month lag of the biology diagnostics and biomass fields after physical diagnostics and other physical fields is employed to account for the maximum physical forcing occurring in the winter prior to the spring bloom. Thus, annual mean physical fields are averaged from October of the prior year to September of the year in question. The use of 0, 1, 2 or 4 month lags leads to lower correlations, but does not substantially modify results. Biological fields are January to December averages.

To compare directly to the 10-year period of prime SeaWiFS observations, our primary focus is on linear trends over 1998-2007, with significance bounds set at $p < 0.05$ (95 %). To complement this analysis with a consideration of interannual variability across the full model experiment (1948-2009), we also consider correlations of physical and biogeochemical timeseries calculated as area-weighted averages over three selected regions (defined below), and then linearly detrended prior to correlation analysis. Because of the aforementioned biological lag, the timeframe for correlations becomes 1949-2009.

3 Results

3.1 Model comparison to observations

The simulation captures the magnitude of mean 1998-2007 subpolar biomass reasonably in comparison to the satellite-based observations (Fig. 1a, b). The detailed spatial pattern of biomass is impacted by the North Atlantic Current extension being too diffuse and too directly east-west (i.e. not turning to the northeast as it should at about 25 °W), as is common in models of this resolution [Williams et al. 2014]. The maximum of biomass is displaced to the east. Also, subtropical biomass is too high in the Gulf Stream extension, but otherwise too low in the remainder of the basin and, thus the gradient from south to north in the model from 35-50 °N is too sharp [Bennington et al. 2009].

Despite its imperfections, the model captures well the pattern and magnitude of statistically significant biomass trends north of 40 °N over 1998-2007 (Fig. 1c, d). In both observations and the model, biomass declines to the east of 30 °W from 40-50 °N and 35 °W from 50-60 °N, while it increases to the west. For simplicity, we refer to this boundary as 30-35 °W in our discussion. Model trends are generally weaker than the observed trends, but the coherent regions of statistically significant change are of similar size. Declines to the east occur in two regions in both model and observations, one in the northeast and one in the southeast. Consistent with the mean biomass structure, biomass trends are not in exactly the same locations as observed, but are displaced about 5° to the south in the southeast and northwest, and 5° to the south and 5° west for the

northeast region. Comparison to net primary productivity (NPP) from SeaWiFS estimated with both the CbPM algorithm and older VGPM algorithm indicate comparable changes as in biomass, though trends in the northeast are not significant for NPP (Fig. S1).

In both observations and models, the magnitudes of these changes are large in comparison to the mean. In the declining regions where mean biomass is 15-25 mgC m⁻³ (Fig. 1, 2, S2), trends of -0.5 to -1.5 mgC m⁻³ yr⁻¹ over 10 years lead to changes of 30-50%. In the increasing region to the west, changes are of similar magnitude. To focus our analysis, we select three regions in the model that capture these significant biomass changes (Fig. 1d). We will use these regions for discussion and for averaging of biogeochemical and physical terms. In the northeastern subtropical gyre, or “intergyre” [Follows and Dutkiewicz, 2002], lies our southeast (SE) region, just south of the physical separation between the subpolar and subtropical gyre based on the barotropic streamfunction (section 3.4). The SE region is bounded between 30-15 °W and 40-50 °N. The northeast (NE) region lies in the eastern subpolar gyre to the southeast of Iceland, 35-20 °W and 55-60 °N. The northwest (NW) region is south of Greenland at 35-20 °W and 50-60 °N. Regional mean changes in biomass from SeaWiFS (in the model) are -19% (-17%) in the SE region and -15% (-10%) in the NE. To the west of 30-35 °W in the NW region, regional mean changes are +6% (+9%).

In these three regions, annual anomalies of biomass are compared to satellite observations from SeaWiFS (1998-2007) and MODIS (2003-2015) (Fig. 2). The associated monthly biomass timeseries are presented in Fig. S1. In all regions, model anomalies are quantitatively different from the observations to a similar degree that the observations differ from each other. In the SE region, the shift from positive biomass anomalies before 2004 to negative anomalies after 2004 is found in SeaWiFS and the model, and MODIS indicates a return to positive anomalies after 2010 (Fig. 2a). In the NE region, higher frequency variability is suggested, with mostly positive anomalies over 1998-2003 and negative anomalies from 2005-2009 (Fig. 2b). The spatial displacement between the modeled and observed anomalies (Fig. 1c, d) is not accounted for with the regions used for Fig. 2b, but these comparisons do not substantially change if the averaging region for the observations in the NE is shifted 5 degrees north and 5 degrees east (not shown). In the NW region, positive anomalies of comparable magnitude dominate 2003-2008, the timeframe over which the three records coincide (Fig. 2c). Negative anomalies are largely found both before and after. In the last 3 MODIS years, positive anomalies return to the NW region. Having demonstrated that this model reasonably captures the patterns and magnitudes of biomass change, we now use the model to explain the mechanistic drivers in all three regions over the SeaWiFS period, 1998-2007.

3.2 Nutrient changes

Modeled anomalies are not due to zooplankton top-down pressure on biomass, as evidenced by zooplankton trends that are positively correlated with biomass trends (Fig. S3). Thus nutrient and light, the bottom-up drivers in this model that change in a manner that drives biomass changes consistent with observations (Fig. 1), are the focus of this analysis. The model captures the mean gradients of the phosphate field well, but mean

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values are 10-20 % too low across most of the subpolar gyre (Fig 3a, b). Changes in the nutrient field could drive these observed and modeled changes, and as temporally resolved large-scale nutrient datasets are not available, the model alone allows us to evaluate nutrient trends (Fig 3c). Modeled nutrient concentrations decline significantly over 1998-2007 across most of the region north of 50 °N. The pattern suggests these changes are important to the declines of biomass in the SE and NE regions. However, there is no increase of phosphate in the NW region where biomass was observed to increase.

3.3 Trends of light and nutrient limitation

To better understand drivers of the biomass trends, a next step is to decompose the biomass trends into those occurring in the small and the large phytoplankton (Fig. 4). On the mean, in the open waters of the North Atlantic, large phytoplankton have a greater contribution to the total biomass in the north and west (Fig 4a), but small phytoplankton are dominant to biomass throughout the basin and particularly in the south and east (Fig 4b). Trends in small phytoplankton contribute most to total biomass change (Fig 1d) in the SE and NW regions, while large phytoplankton trends are more important in the NE region (Fig. 4c, d).

Phosphate limitation for large phytoplankton has a strong gradient of more limiting in the south and east to less limiting in the northwest (Fig. 5a), while light limitation for small phytoplankton has largely a south to north gradient from less to more limiting (Fig. 5b). Trends over 1998-2007 in the limitation terms illustrate that the SE and NE declines of biomass are spatially coherent with enhanced phosphate limitation (Fig. 5c), while the NW increase in biomass is spatially coherent with regions experiencing relief of light limitation (Fig. 5d). As shown in Fig. S2, mean and trends for light limitation for large phytoplankton and phosphate limitation for small phytoplankton have nearly identical patterns.

This distinction between the dominant limitations driving biomass change in the east and west is borne out with detrended interannual correlations over the full model period, 1949-2009 (Table 1). In the SE region, phosphate limitation is strongly correlated with both small ($R_{SE}(\text{small}, \text{PO}_4) = 0.68$) and large phytoplankton ($R_{SE}(\text{large}, \text{PO}_4) = 0.74$), while light limitation is anti-correlated with biomass, i.e. less biomass occurs with more light, clearly illustrating that light is not the driving limitation. With respect to limitation terms in the NE region, the only significant correlation for large phytoplankton is to nutrient limitation ($R_{NE}(\text{large}, \text{PO}_4) = 0.31$). Thus, the large phytoplankton that quantitatively dominate the 1998-2007 biomass decline (Fig. 4d) due to nutrient limitation (Fig. 5c) also vary by a similar mechanism over the full model experiment.

In the NW region, the 1998-2007 biomass trend is dominated by small phytoplankton (Fig. 4d) via light limitation (Fig. 5d), and these relationships also hold for the full model experiment. Small phytoplankton light limitation is positively correlated with small phytoplankton biomass ($R_{NW}(\text{small}, \text{light}) = 0.61$), while small phytoplankton biomass is reduced when more phosphate is available ($R_{NW}(\text{small}, \text{PO}_4) = -0.50$, Table 1). Though large phytoplankton have the opposite sensitivities ($R_{NW}(\text{large}, \text{light}) = -0.63$, $R_{NW}(\text{large}, \text{PO}_4) = 0.83$), they are a smaller portion (40 %) of the total biomass (Fig. 4a,b) and have a lesser role in total biomass changes (Fig. 4c).

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In the model, silicate is also limiting to large phytoplankton and its limitation also becomes more intense over 1998-2007 to the north of 50 °N (Fig. S4f). However, variability in silicate limitation is highly correlated to variability of phosphate limitation in the NW and NE areas for 1949-2009 ($R_{NE(PO_4, SiOH_4)} = 0.91$, $R_{NW(PO_4, SiOH_4)} = 0.83$, Table S1). Due to these high correlations and the fact that large phytoplankton are only dominant to biomass trends in the NE region (Fig. 4c), the remaining analysis addresses only phosphate fluxes. For completeness, 1998-2007 light and silicate limitation trends for large phytoplankton and phosphate limitation for small phytoplankton are shown in Fig. S2, and 1949-2009 correlations in the three regions are given Table S1.

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3.4 Physical changes and their impacts on light and nutrient limitation

There are significant physical changes in the subpolar gyre that influence the nutrient and light fields. The model barotropic streamfunction experiences a positive change from a minimum value of -41 Sv for 1998-2000 to -28 Sv for 2005-2007 (Fig. 6, Fig. S5). With this anomaly, the zero line of the streamfunction shifts several degrees north at 45-40 °W and more modestly to the north in the east (bold black contours in Fig. 6a and Fig. 6b). The North Atlantic Current (NAC) flows along this contour, indicating a northward shift of the NAC.

Consistent with the weakening of the subpolar gyre, mixed layers shoal substantially, particularly to the west of 30-35 °W (Fig. 7a, b). A dramatic shoaling of maximum mixed layers is found in the NW region, going from almost 1200 m to less than 400 m (Fig. 7e, Våge et al. 2008). This shoaling explains the strong decline in light limitation in the NW region. There is modest shoaling of mixed layers in the NE region (Fig. 7d) and there is no significant trend in the SE region (Fig. 7c). Shoaling in the NE could contribute to the reduction in phosphate availability and reduced biomass. However, the lack of mixed layer depth change in the SE suggests that less vertical mixing is not the dominant driver of reduced biomass here.

3.5 Phosphate diagnostic Analysis

To fully assess the three-dimensional physical drivers of phosphate supply to the NE and SE regions, we employ the phosphate diagnostics that quantify flux convergences. On the mean for 1998-2007 across the northern North Atlantic, vertical advection and diffusion supply phosphate to the euphotic zone (Fig. 8a), with the supply being much stronger in the region of deepest mixed layers (Fig. 7). Horizontal advection and diffusion strongly diverges the converging vertical flux (Fig. 8b), leading to strong negative fluxes (divergence) coincident with strongly positive vertical fluxes. The horizontal flux divergence centered at about 30-35 °W leads to positive horizontal fluxes (convergence) to the east and also to the west south of 50 °N. As the sum of the vertical and horizontal components is net positive (Fig. 8c), the mean three-dimensional advection and diffusion net supplies phosphate to the subpolar gyre. The pattern of this supply is strongly influenced by both vertical and horizontal processes. Biological processes remove the physically-supplied phosphate from the surface ocean (Fig. 8d).

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To east of 30-35 °W, horizontal and vertical phosphate flux **convergences** are comparable in magnitude and both supply nutrients to the surface (Fig. 8, 9). In the SE region, mean 1998-2007 vertical advection and diffusion supplies 0.13 mmol m⁻³ yr⁻¹ while horizontal supplies 0.07 mmol m⁻³ yr⁻¹, together supporting biological utilization of -0.20 mmol m⁻³ yr⁻¹ (Fig. 9a). In the NE region, the mean vertical supply is 0.24 mmol m⁻³ yr⁻¹ while horizontal supplies 0.08 mmol m⁻³ yr⁻¹, and thus a mean biological utilization of -0.32 mmol m⁻³ yr⁻¹ is supported (Fig. 9b). The **net convergence of** vertical and horizontal fluxes in these regions can be contrasted to the NW region where the mean vertical **flux converges** 0.33 mmol m⁻³ yr⁻¹, and from this the horizontal **flux** diverges about 25 % (-0.08 mmol m⁻³ yr⁻¹) and biology **diverges** the remainder (-0.24 mmol m⁻³ yr⁻¹, Fig. 9c). In all three regions, **note that the variability of both the horizontal and vertical flux convergence is of a comparable magnitude** to the biological flux variability (Fig. 9).

For 1998-2007, trends in the supply and removal of phosphate indicate a large decrease in supply via vertical fluxes to the west of 30-35 °W (Fig. 10a) and a corresponding strong reduction in the horizontal divergence of phosphate, a positive anomaly (Fig 10b, 9c). To the west of 30-35 °W, these opposing trends of the vertical and horizontal flux **convergence** largely negate each other. However, to the east of 30-35 °W there are weak and mostly negative tendencies in the vertical and significant negative trends in the horizontal terms. Thus, the net physical phosphate supply in the eastern subpolar gyre has an overall negative trend, albeit only large enough to be formally statistically significant in parts of the SE region (Fig. 9c). The pattern of reduced phosphate supply is consistent with the pattern of significant reduction in biomass (Fig. 1d) and significant positive tendencies in the biological diagnostic (Fig. 10d). In summary, the model indicates that from 1997 to 2008, reduced vertical **convergence of** nutrients to the west of 30-35 °W led to less horizontal convergence of nutrients to the east of 30-35 °W, and thus less phosphate available for biological production. **In this model, this mechanism is sufficient to explain biomass changes consistent with** SeaWiFS-observed declines in biomass in the eastern subpolar gyre and northeastern subtropical **gyre**.

Long-term (1949-2009) correlations between physical diagnostic terms and biomass support the conclusion that variability in horizontal flux **convergence** is important to biomass interannual variability to the east of 30-35 °W (Table 2). In both the SE and NE region, horizontal flux **convergence** is significantly correlated to biomass ($R_{SE(Biomass, Horiz)} = 0.44$, $R_{NE(Biomass, Horiz)} = 0.48$), suggesting that the 1998-2007 relationships are indicative of interannual behavior over the long-term, wherein reduced horizontal nutrient **convergence** leads to reduced biomass. For the SE region on the long term, vertical fluxes have also a significant correlation ($R_{SE(Biomass, Vert)} = 0.63$), indicating that longer term interannual change in biomass in this region is determined by variability in both horizontal and vertical flux **convergences**. In the NW region, biomass and horizontal flux **convergence** is also positively correlated ($R_{NW(Biomass, Horiz)} = 0.69$), but this appears to be an indirect relationship. As light limitation is relieved, biomass increases, and at the same time vertical convergence of phosphate is reduced (Fig 10a) and there is a positive anomaly in the horizontal divergence (Fig. 10b). Consistent with this interpretation, vertical and horizontal convergence are strongly anti-correlated in the NW region ($R_{NW(Horiz, Vert)} = -0.76$).

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415 The impact of the physical drivers discussed earlier in this section on 1949-2009 biomass variability varies
by study region. For the SE region, where biomass is positively driven by both horizontal and vertical nutrient
flux convergence, biomass declines with positive anomalies of the minimum barotropic streamfunction of
the subpolar gyre ($R_{SE(Biomass, PsiMin)} = -0.37$, Table 2), consistent with 1997-2008 relationships. However, the
420 minimum barotropic streamfunction is not itself correlated to either horizontal or vertical flux convergence.
Vertical supply is not a significant driver for 1997 to 2008 changes, but for the longer term, vertical nutrient
fluxes decrease with shallower mixed layers (a negative anomaly) and warmer temperatures ($R_{SE(MLD, Vert)} =$
 0.66 , $R_{SE(SST, Vert)} = -0.64$).

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For both the NE and NW regions, correlations between changing minimum barotropic streamfunction (Fig.
6), shoaling mixed layers (Fig. 7) and horizontal and vertical nutrient convergence (Fig. 10) for 1949-2009
425 are generally weak and, in fact, opposite in sign to the relationships for the SeaWiFS period. For 1998-2007,
the minimum barotropic streamfunction experienced a positive anomaly, mixed layers shoaled, and
horizontal fluxes declined in the NE region. For 1949-2009, positive anomalies of the minimum barotropic
streamfunction are, instead, weakly associated with increased horizontal nutrient fluxes ($R_{NE(PsiMin, Horiz)} =$
 0.25). At the same time, shallower mixed layers (a negative anomaly) are associated with decreased vertical
430 fluxes and increased horizontal fluxes ($R_{NE(MLD, Vert)} = 0.52$, $R_{NE(MLD, Horiz)} = -0.42$). In the NW region, light
limitation is clearly the driver of biomass changes on both timescales (Fig. 1, 4, Table 1), but large negative
anomalies of vertical fluxes and positive anomalies of horizontal fluxes also occur as mixed layers shoal over
1997-2008 (Fig. 9, 10). However, for 1949-2009 in the NW, the reverse is found; vertical flux convergence,
increases and horizontal nutrient flux convergence decreases coincident with shallower mixed layers
435 ($R_{NW(MLD, Vert)} = -0.33$, $R_{NW(MLD, Horiz)} = 0.46$). Long-term correlations of physical changes to nutrient fluxes in
the two subpolar regions differ from those occurring with 1998-2007 trends is consistent with the weak long-
term correlations that explain no more than 30% of the variance. The lack of consistent associations between
biomass and physical variability over both timescales illustrates the complexity of the system and makes
clear that relationships revealed by relatively short-lived observing systems are not necessarily representative
440 of the long term.

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4 Discussion

The decline in the strength of the subpolar gyre modeled here (Fig. 6) is consistent with observations in the
North Atlantic since the mid-1990s [Häkkinen and Rhines, 2004; Hátún et al., 2005; Våge et al., 2008; Foukal
and Lozier, 2017]. We show here that these physical changes have substantial impacts on the light field and
445 the redistribution of nutrients in the vertical and the horizontal, and that these changes are sufficient to explain
modeled biomass trends over 1998-2007 that are consistent with satellite observations (Fig 1, S1). Foukal
and Lozier [2017] provide an updated analysis with respect to the relationship of physical changes in the gyre
to the East Atlantic Pattern (EA) and the North Atlantic Oscillation (NAO). While the EA indicates the
position of the westerly winds, NAO indicates their strength [Foukal and Lozier, 2017; Comas-Bru and
450 McDermott, 2014]. A preliminary investigation using the winter (DJFM) EA index from NOAA CPC

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indicates that only in the nutrient-limited SE region are there significant correlations. Biomass is correlated to the EA ($R_{SE(EA, Biomass)} = 0.48$), a relationship apparently driven by horizontal flux convergence ($R_{SE(EA, Horiz)} = 0.43$). In the SE region, biomass is not significantly correlated to the winter (DJFM) NAO [Hurrell and NCAR, 2017], which may be due to significant opposing impacts of the NAO on horizontal and vertical nutrient flux convergence ($R_{SE(NAO, Horiz)} = 0.37$, $R_{SE(NAO, Vert)} = -0.30$). These correlations are all zero-lag. We do not find stronger correlations when biomass lags the EA or NAO by up to 3 years. That there are no significant correlations north of 50 °N, in the NE and NW regions, between these climate modes and biomass is consistent with the weak correlations of horizontal and vertical flux convergence to physical fields (Table 2).

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Williams and Follows [1998] illustrate that on the mean, horizontal Ekman fluxes in the surface are critical to nutrient supply in the North Atlantic from 40-60 °N, particularly for the northeast subtropical gyre. Yet, Williams et al. [2000] find Ekman nitrate flux variability to be an order of magnitude smaller than convective flux variability in this region. We find that 0-100 m horizontal nutrient convergence contributes 25-35 % of the mean nutrient supply in our two regions to the east of 35 °W. In contrast to Williams et al. [2000], we do find horizontal flux convergence to be important to variability, with the 1949-2009 standard deviation of horizontal flux convergence in the SE region being 66 % of the standard deviation of the sum of vertical and horizontal, while the vertical flux convergence standard deviation is 95 % of the sum. In the NE region, vertical and horizontal flux convergence are anti-correlated ($R_{NE(Vert, Horiz)} = -0.64$, Table 2) such that their variability partially cancels. The standard deviation of vertical flux convergence here is 125 % of the sum, while the standard deviation of horizontal flux convergence is 108 % of the sum. These very different findings can at least be partially attributed to the fact that Williams et al. [2000] had only a climatological nitrate data field to couple to their mixed layer model and windstress-based Ekman divergence calculation. The use of a smooth climatological nutrient field would not likely allow for the strong co-variance between vertical and horizontal supply terms that the run-time diagnostics used here are able to reveal. As variability of nutrient supply to the surface ocean is critical to subpolar North Atlantic biomass variability, datasets that temporally resolve upper ocean nutrient fields would be most valuable to future studies. Large-scale deployment of autonomous floats with biogeochemical sensors will be essential to the development of these critical datasets [Johnson et al. 2009].

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510 to biomass declines (Fig. 10). SST as a proxy for stratification is alone insufficient to describe biomass changes in this region, and it is reasonable to expect the same on the edges of the subtropical gyres elsewhere around the globe. Instead, a three-dimensional perspective on nutrient supply should be taken when observations are interpreted and when the expected mechanisms of future change are considered [Doney 2006; Bopp et al. 2013].

515 In the context of 21st century climate-driven changes in biomass, Laufkötter et al. (2015) find zooplankton grazing to be important to biomass in some models under a strong climate change forcing scenario (RCP8.5). Zooplankton is not the driver of biomass changes in this model (Figure S3) with the very different timescales and levels of forcing for change -- 10 years of interannual variability in this study, ~100 years with strong forcing in Laufkötter et al. (2015) -- likely being a factor in this difference. That zooplankton grazing is not temperature dependent in this model may also contribute, but any potential effects would be limited by the annual mean temperature change from 1998-2000 to 2005-2007 being substantially smaller (+0.02 °C, +0.28 °C, +0.13 °C, in SE, NE and NW regions, respectively) than over the 21st century in the RCP8.5 scenario (1-4 °C at 40-60 °N, Laufkötter et al. 2015).

520 We find that North Atlantic biomass variability to the north of 40 °N is quite heterogeneous and dependent on different mechanisms at distinct locations, with the dominant mechanisms shifting across timescales. 525 Though a large-scale averaging approach may be appropriate for some biogeochemical studies [Fay and McKinley, 2013, 2014], relationships between the surface ocean carbon cycle and productivity may not be well captured by correlations over large-scale ocean biomes that take the whole of the subpolar gyre as one region [Fay and McKinley, 2017]. An approach using smaller subregions will likely support a deeper understanding of biological coupling to the carbon cycle in this region.

530 These findings suggest myriad directions for further analysis. In order to address the simplest measure of change, we use annual mean fields for both the observations and the model. A deeper consideration of how these changes operate in the context of the significant seasonality of the region would be very interesting. This analysis does not elucidate how variability in physical supply of silicate impacts biomass variability. Particularly considering long-term correlations between physical drivers and phosphate supply in NE and 535 NW region that are opposite to those evidenced for 1998-2007, a complementary analysis of variability in silicate supply may be useful. Similarly, it would be of value to study the relative impacts of large and small phytoplankton size classes on total biomass variability particularly in the northwest region where light and nutrient limitation drive biomass in opposite directions (Table 1). Assessment of the modulation of subsurface nutrient fields by subpolar gyre physical changes, and in turn how these subsurface changes 540 influence surface biomass would be worthwhile. Spatial analysis based on empirical orthogonal functions [Breedon and McKinley, 2016] could illustrate the dominant large-scale modes of biomass variability and may reveal the degree to which climate modes impact biomass on longer timescales. With respect to the period of satellite observations, a numerical simulation that covers both the SeaWiFS and MODIS period would allow study of the period since 2007 in which 1998-2007 trends appear to largely have reversed (Fig.

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2). For such a simulation, greater physical resolution should improve representation of the gyre structure and its variability. Though the current ecosystem is able to capture the large-scale patterns of biomass change remarkably well, it would also be valuable to assess the impact of different levels of ecosystem complexity in future modeling work.

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5 Conclusions

In the North Atlantic from 40-60 °N over 1998-2007, biomass estimated from SeaWiFS ocean color increases to the west of 30-35 °W and declines to the east. A regional coupled physical-biogeochemical model that reproduces 1998-2007 trends indicates that the relief of light limitation with shoaling mixed layers was sufficient to drive the observed biomass increase in the west. This model attributes biomass declines to the east of 30-35 °W over 1998-2007 to reduced nutrient supply. On the northeastern flank of subtropical gyre, in our southeast region, changing horizontal nutrient supply drives biomass change over the SeaWiFS period. For the full model experiment, 1949-2009, both horizontal and vertical nutrient supply are important to interannual variability here. In the northeast subpolar gyre, horizontal nutrient supply is the most important driver of biomass variability both for the 1998-2007 SeaWiFS period and for the full model experiment.

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Though nutrient supply in three dimensions is clearly driving biomass changes to the east of 30-35 °W, clear connections between supply terms and large-scale physics or climate indices are elusive. Neither does the minimum of the barotropic streamfunction of the subpolar gyre or local mixed layer depth change consistently explain nutrient flux changes both for the satellite observed period and the full model experiment. In the southeast, biomass variability over 1949-2009 weakly correlates to the East Atlantic (EA) pattern, but nowhere does the NAO explain biomass variability. Given the evidence here that horizontal and vertical nutrient supply are important to biomass variability, and evidence from other studies that these modes of climate influence the gyre strength, currents, deep mixing, and Ekman suction and divergence of the subpolar gyre, more investigation of the links between North Atlantic climate and biomass variability is clearly warranted.

Acknowledgments

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Tables

735 **Table 1: Correlations of phosphate and light limitation for small phytoplankton to large and small biomass, 1949-2009.** For conciseness, shown here are only small phytoplankton limitation terms, but since these are ratios calculated from identical fields, the correlations are very similar large phytoplankton (Table S1). Bold indicates statistical significance ($p < 0.05$). Detrending is applied prior to correlation analysis.

		phosphate limitation, small phytoplankton	light limitation, small phytoplankton
SOUTHEAST	large biomass		
small biomass	0.52	0.68	-0.75
large biomass	-	0.74	-0.57
phosphate limitation, small		-	-0.87
NORTHEAST	large biomass		
small biomass	0.36	-0.09	-0.08
large biomass	-	0.31	-0.17
phosphate limitation, small		-	-0.87
NORTHWEST	large biomass		
small biomass	-0.34	-0.50	0.61
large biomass	-	0.83	-0.63
phosphate limitation, small		-	-0.71

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Table 2: Correlations of biomass to physical drivers and horizontal and vertical phosphate flux convergence, 1949-2009. The minimum barotropic streamfunction is found within 60-30 °W, 50-65 °N; maximum mixed layer depth (MLD) and sea surface temperature (SST) are area-weighted averages for each of the three averaging regions. Bold indicates statistical significance ($p < 0.05$). Detrending is applied prior to correlation analysis.

	Minimum Barotropic Streamfunction	Maximum MLD	SST	Horizontal	Vertical
SOUTHEAST					
Biomass	-0.37	0.54	-0.57	0.44	0.63
Minimum Barotropic Streamfunction	-	-0.42	0.38	-0.18	-0.23
Maximum MLD		-	-0.65	0.10	0.66
SST			-	-0.02	-0.64
Horizontal				-	-0.29
	Minimum Barotropic Streamfunction	Maximum MLD	SST	Horizontal	Vertical
NORTHEAST					
Biomass	0.18	-0.02	0.08	0.48	-0.25
Minimum Barotropic Streamfunction	-	-0.60	0.72	0.25	-0.22
Maximum MLD		-	-0.76	-0.42	0.52
SST			-	0.34	-0.28
Horizontal				-	-0.64
	Minimum Barotropic Streamfunction	Maximum MLD	SST	Horizontal	Vertical
NORTHWEST					
Biomass	0.14	0.28	-0.23	0.69	-0.42
Minimum Barotropic Streamfunction	-	-0.42	0.67	0.08	-0.10
Maximum MLD		-	-0.42	0.46	-0.33
SST			-	-0.52	0.43
Horizontal				-	-0.76

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750 **Figures**

Figure 1: Surface ocean biomass (a) estimated from SeaWiFS using the CbPM model, (b) 0-100m modeled biomass, (c) SeaWiFS trend 1998-2007, and (d) 0-100m modeled biomass trend 1998-2007. In c and d, significant trends are marked with a black contour. In d, the three focus regions are outlined in red.

755 **Figure 2: Annual anomalies of surface ocean biomass** for SeaWiFS (1998-2007, red), MODIS (2003-2015, blue) and model (1998-2009, 0-100m, black) (a) SE region, (b) NE region, and (c) NW region. The corresponding monthly timeseries is shown in Fig. S1.

Figure 3: Phosphate (a) World Ocean Atlas (Garcia et al. 2006) (b) 0-100m modeled phosphate, (c) 0-100m modeled phosphate trend 1998-2007. In c, significant trends are marked with a black contour and the three focus regions are outlined in red.

760 **Figure 4: Surface ocean small and large phytoplankton biomass** (a) 0-100m modeled small phytoplankton biomass, (b) 0-100m modeled large phytoplankton biomass, (c) small phytoplankton trend 1998-2007, and (d) large phytoplankton trend 1998-2007. In c and d, significant trends are marked with a black contour and the three focus regions are outlined in red.

765 **Figure 5: Terms for limitation** by (a) phosphate, large phytoplankton (Eq. 3) (b) Light, small phytoplankton (Eq. 2), (c) 1998-2007 trend in phosphate limitation, and (d) 1998-2007 trend in light limitation. All are unitless. In c and d, significant trends are marked with a black contour and the three focus regions are outlined in red.

Figure 6: Barotropic streamfunction (a) 1998-2000 mean and (b) 2005-2007 mean. The zero streamfunction contours between 55-15°W for each period (bold black) and for the 1998-2007 mean (white) are marked. [See Figure S5 for map of 1998-2007 trend.](#)

770 **Figure 7: Maximum mixed layer depths** for (a) 1998-2000 mean and (b) 2005-2007 mean. Timeseries of monthly mixed layers for (c) SE region, (d) NE region, and (e) NW region.

Figure 8: Phosphate diagnostics, 0-100m flux convergence ($\text{mmol m}^{-3} \text{ yr}^{-1}$) for (a) vertical, (b) horizontal, (c) net physical, and (d) biological. Biological is negative because biomass removes phosphate from the surface ocean. The three focus regions are outlined in red in each panel.

775 **Figure 9: Phosphate diagnostics 1998-2007 annual timeseries, 0-100m flux convergence** ($\text{mmol m}^{-3} \text{ yr}^{-1}$) for (a) SE region, (b) NE region, and (c) NW region.

Figure 10: Phosphate diagnostics 1998-2007 trends, 0-100m flux convergence trend ($\text{mmol m}^{-3} \text{ yr}^{-2}$) for (a) vertical, (b) horizontal, (c) net physical, and (d) biological. Positive biological trends are consistent with negative biomass trends because less phosphate is removed as less biomass is formed. Significant trends are marked with a black contour and the three focus regions are outlined in red in each panel.

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Figure 1

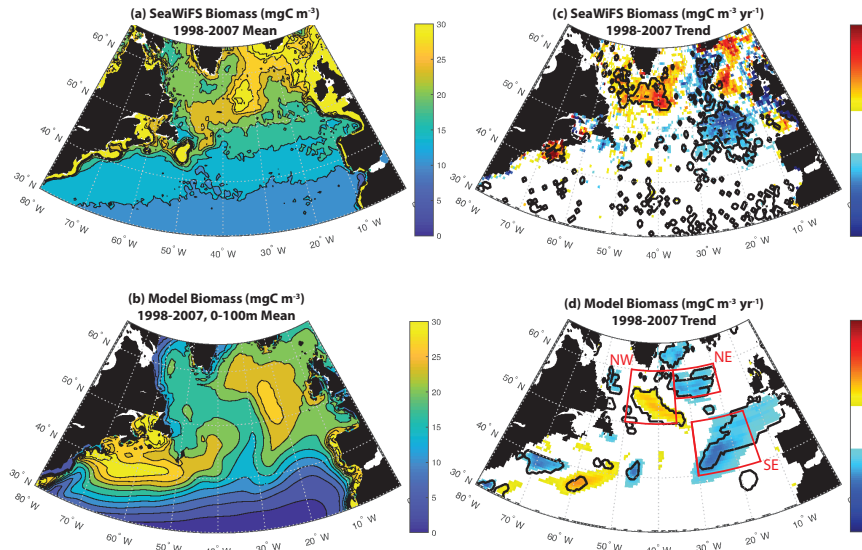


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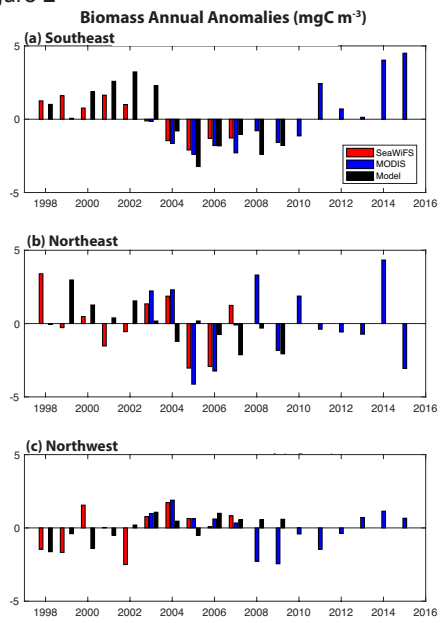


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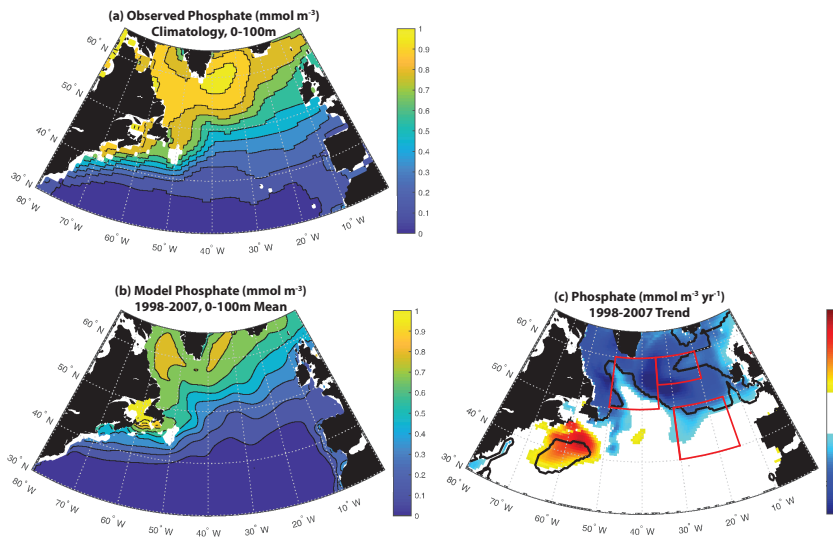


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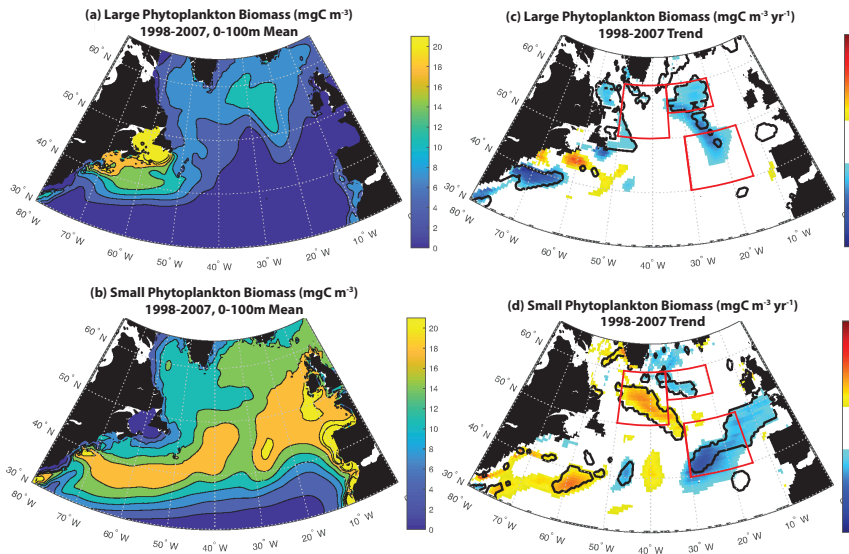


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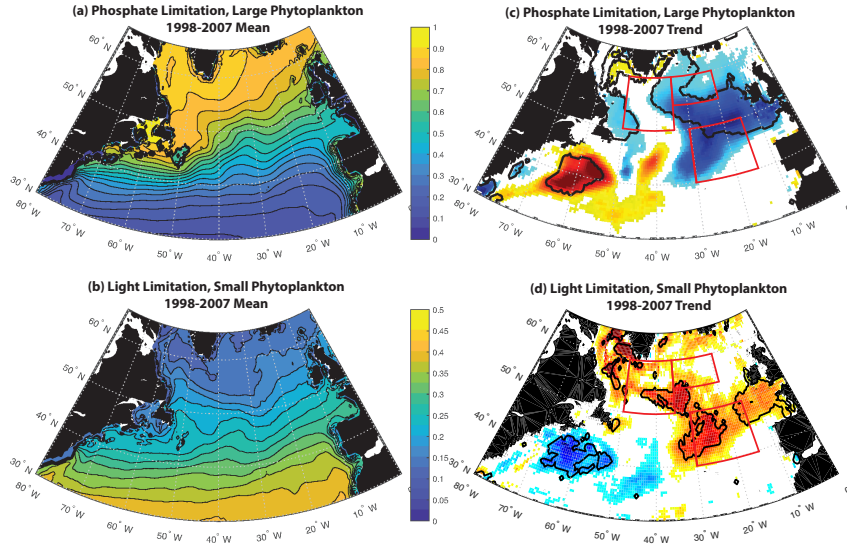


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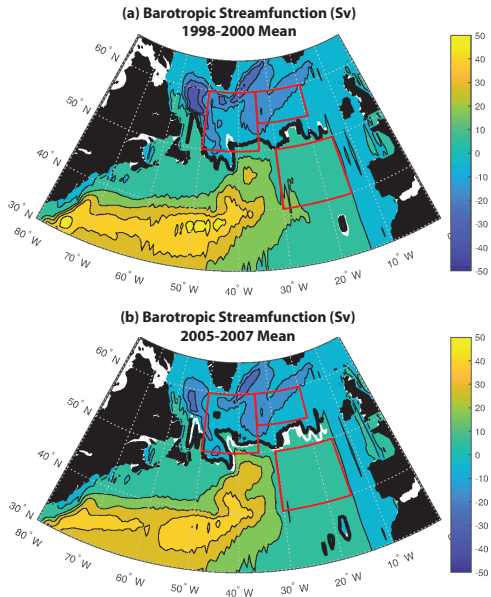


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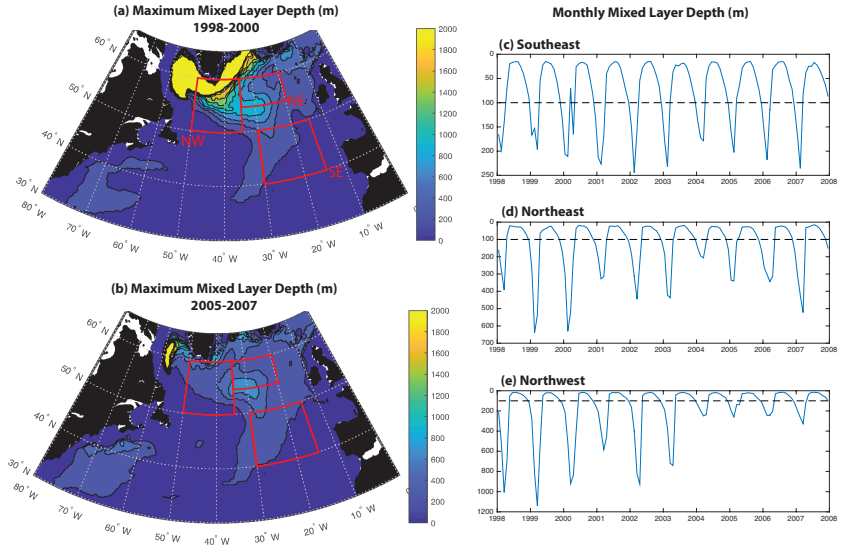


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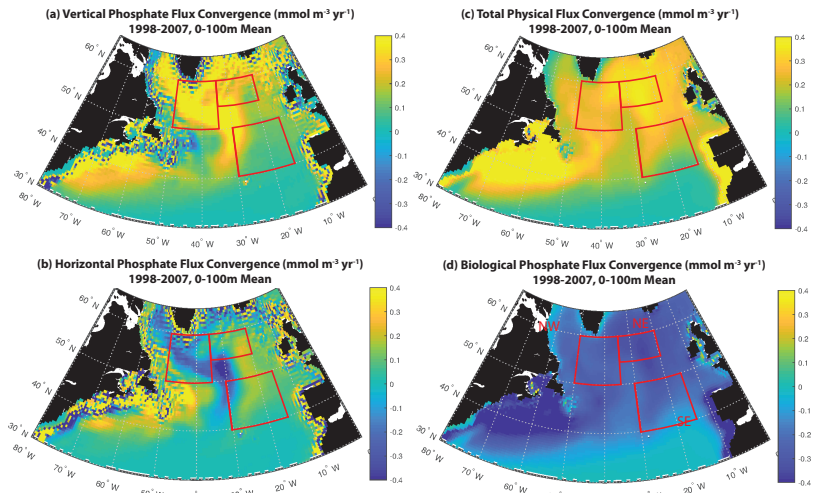
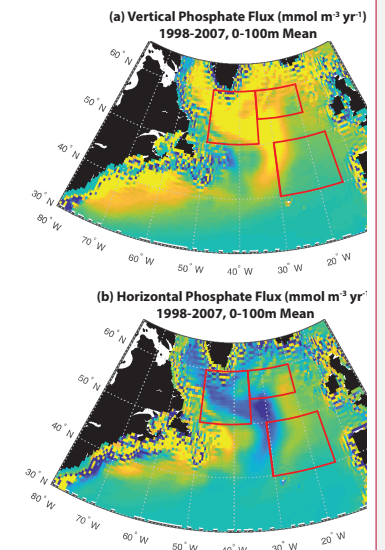


Figure 8



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Figure 9

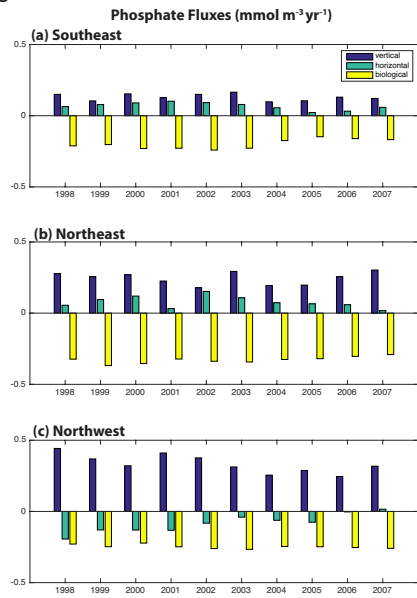


Figure 10

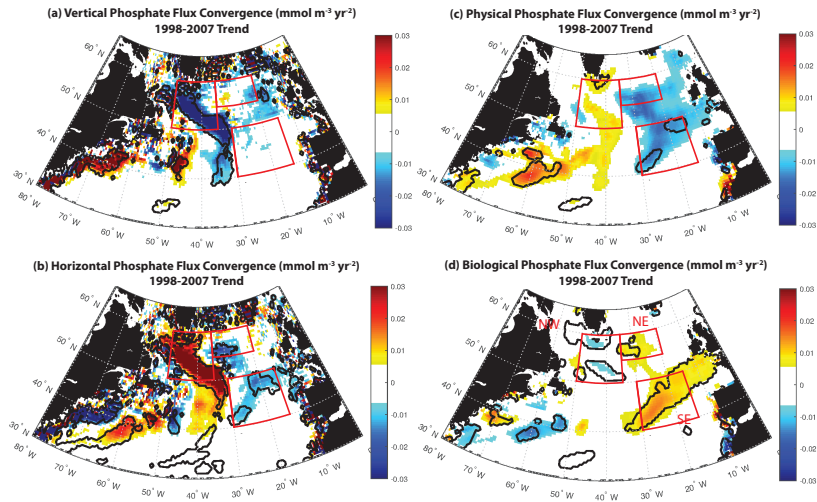
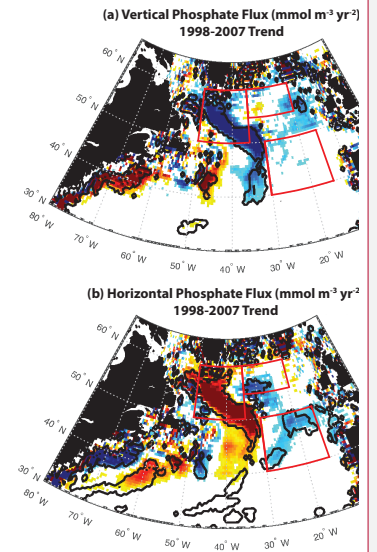


Figure 10



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