

Mechanisms of northern North Atlantic biomass variability

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Abstract. In the North Atlantic Ocean north of 40 °N, intense biological productivity occurs to form the base
10 of a highly productive marine food web. SeaWiFS satellite observations indicate trends of biomass in this
region over 1998-2007. Significant biomass increases occur in the northwest subpolar gyre and there are
simultaneous significant declines to the east of 30-35 °W. These short-term changes, attributable to internal
variability, offer an opportunity to explore the mechanisms of the coupled physical-biogeochemical system.
15 We use a regional biogeochemical model that captures the observed changes for this exploration. Biomass
increases in the northwest are due to a weakening of the subpolar gyre and associated shoaling of mixed
layers that relieves light limitation. Biomass declines to the east of 30-35 °W are due to reduced horizontal
convergence of phosphate. This reduced convergence is attributable to declines in vertical phosphate supply
20 in the regions of deepest winter mixing that lie to the west of 30-35 °W. Over the full timeframe of the model
experiment, 1949-2009, variability of both horizontal and vertical phosphate supply drive variability in
biomass on the northeastern flank of the subtropical gyre. In the northeast subpolar gyre horizontal fluxes
drive biomass variability for both timeframes. Though physically-driven changes in nutrient supply or light
availability are the ultimate drivers of biomass changes, clear mechanistic links between biomass and
standard physical variables or climate indices remain largely elusive.

25 **1 Introduction**

Surface ocean phytoplankton are the single largest biomass pool on Earth, form the base of the oceanic food web, and contribute to ocean sequestration of carbon dioxide [Sarmiento and Gruber, 2006]. The North Atlantic north of 40 °N experiences a strong annual cycle of productivity that is controlled by both light and nutrient limitation [Sverdrup 1953; Dutkiewicz et al. 2001; Follows and Dutkiewicz, 2002].

30 In general terms, marine phytoplankton growth is limited by nutrients in the subtropics and by light at subpolar latitudes [Fay and McKinley, 2017]. In the subtropics, an enhanced bloom occurs with relief of nutrient stress when vertical mixing is enhanced. In contrast, subpolar regions should have a reduced bloom with enhanced mixing because mixing enhances light limitation. Sverdrup [1953] used observations from a weather ship in the Norwegian Sea to propose the notion of a “critical depth” for subpolar regions. When the
35 mixed layer reaches below the critical depth, physical mixing cycles phytoplankton through dark regions at depth which increases light limitation and decreases production. Dutkiewicz et al. [2001] and Follows and Dutkiewicz [2002] directly characterize productivity drivers with the ratio of the spring critical depth to the winter mixed layer depth in a theoretical model and compare to observations. Their relationships most accurately represent satellite and *in situ* observations in the North Atlantic subtropics and are less predictive
40 in the subpolar gyre. Also identified is an intergyre region where observed relationships do not fit a their conceptual model, presumably because both nutrient and light limitation are of first-order importance.

In recent decades, ocean color satellites have allowed for synoptic assessments of surface ocean productivity and its variability [Yoder and Kennelly, 2003; McClain et al., 2004; Siegel et al., 2005]. The first few years of data from the satellite Sea-viewing Wide Field-of-view Sensor (SeaWiFS) indicated that the seasonal cycle
45 of productivity is largely consistent with the Sverdrup hypothesis [Siegel et al. 2002]. Longer records of ocean color have revealed large-scale interannual changes in ocean productivity in each gyre. Explanations for multi-year changes, and by extension expected future trends with climate warming [Bopp et al., 2013], tend also to be based on the simple Sverdrup hypothesis. For example, multiple analyses suggest that increased stratification due to ocean warming limits the vertical supply of nutrients to the surface ocean and thus causes reductions in productivity [Behrenfeld et al. 2006; Polovina et al. 2008; Martinez et al. 2009].
50 However, these studies have been refuted in the North Atlantic and the North Pacific subtropics, evidenced by the fact that interannual variability in stratification is uncorrelated with that of productivity, and thus do not support a one-dimensional mixing-productivity framework [Lozier et al. 2011, Dave and Lozier 2010, 2013]. In contrast, large-scale correlations between chlorophyll and sea surface temperature (SST, a proxy
55 for stratification) at low and mid latitudes have been shown to be strongly associated with advective processes in the equatorial Pacific [Dave and Lozier, 2015].

Thus, there is growing evidence that vertical processes alone are not sufficient to explain productivity variability. At the same time, there is evidence that horizontal physical processes could play a role, particularly in the northern subtropical gyre or “intergyre” region of the North Atlantic [Williams and

60 Follows, 1998; Dutkiewicz et al. 2001; Follows and Dutkiewicz 2002; Oschlies 2002; McGillicuddy et al. 2003; Dave et al. 2015].

Williams and Follows [1998] illustrate that on the mean, horizontal Ekman fluxes are critical to surface nutrient supply in the North Atlantic from 40-60 °N. However, Williams et al. [2000] find variability of horizontal fluxes to be an order of magnitude smaller than convective flux variability, and thus conclude that vertical processes dominate anomalies. Considering deeper processes, Williams et al. [2006] compare the magnitude of Ekman upwelling to the three-dimensional movement of volume or nutrients from the permanent thermocline to the full mixed layer, or “induction”. Climatologically, nutrient supply to the subpolar gyre by induction is many times larger than the supply by Ekman upwelling. Induction is how the “nutrient stream” [Pelegri et al., 1996; Palter et al., 2005; Williams et al., 2006] is accessed to allow for large-scale supply of nutrients from outside to inside the subpolar gyre. To our knowledge, interannual variability in induction has not been discussed in the literature. Further consideration of both horizontal and vertical processes is warranted with respect to understanding of temporal variability in surface ocean productivity in the North Atlantic.

75 Changing ocean circulation should influence horizontal and vertical transports of nutrients in the northern North Atlantic. A slowdown of the gyre should relax isopycnal slopes and decrease geostrophic advection along isopycnals. The North Atlantic subpolar gyre has exhibited substantial change since the 1950s when regular observations began to be available [Lozier et al. 2008]. There is evidence these changes occur in response to changing buoyancy forcing and wind stress, in turn associated with modes of climate variability, specifically the North Atlantic Oscillation and East Atlantic Pattern [Häkkinen and Rhines, 2004; Hátún et al., 2005; Lozier et al., 2008; Foukal and Lozier, 2017]. Via Ekman processes, reduction in wind stress should directly reduce upwelling in the subpolar gyre and also the horizontal transport of nutrients [Williams et al. 2000; Dave et al. 2015]. Buoyancy and turbulent fluxes also impact mixed layer depths and influence bloom timing and strength [Bennington et al. 2009]. Consistent with this expectation, links between physical changes in the subpolar gyre and *in situ* observed changes in nutrients and ecosystems at several subpolar timeseries sites have been suggested [Johnson et al. 2013, Hátún et al. 2016, 2017].

In this study, we use a regional model to illustrate how changing light limitation and changing vertical and horizontal nutrient supply led to the significant changes in surface ocean biomass that were observed by SeaWiFS over 1998-2007 in the North Atlantic north of 40°N (Figure 1c). This is a mechanistic analysis of the drivers of SeaWiFS-observed changes in biomass that are best quantified as linear trends given the 10 year prime observational period. The degree to which these drivers are responsible for internal variability across the full model experiment (1948-2009) is also explored. Our approach can be contrasted to other possible approaches such as the use of Empirical Orthogonal Function (EOFs) to consider dominant modes of variability [Ullman et al. 2009; Breeden and McKinley 2016]. The negative of EOF-type analysis is that it tends to explain at most 30% of the large-scale variance, and thus does not fully explain observations. This

95 paper is a case study in which we aim to explain the drivers of the observed changes as fully as possible using a model that represents well the observed changes.

2 Methods

2.1 Satellite data

100 Our analysis focuses on the period 1998-2007. Monthly SeaWiFS data becomes inconsistent beginning in 2008. For study of interannual trends, avoiding the need to fill gaps in the record is desirable. For additional comparison and extension of the record, biomass estimated from MODIS for 2003-2015 is also presented, again selecting years for which all months are available. For both SeaWiFS and MODIS, biomass is estimated using the updated CbPM algorithm [Westberry et al. 2008]. Additionally, we make a brief
105 comparison to net primary productivity (NPP) from SeaWiFS estimated with both CbPM and the VGPM algorithms (Behrenfeld and Falkowski, 1997). All data were provided by the Ocean Productivity Group at Oregon State University (<http://www.science.oregonstate.edu/ocean.productivity/index.php>, SeaWiFS biomass downloaded 11.28.16; MODIS biomass downloaded 1.24.18; NPP downloaded 05.29.18).

2.2 Regional hindcast model

110 The Massachusetts Institute of Technology General Circulation Model configured for the North Atlantic (MITgcm.NA) [Marshall et al., 1997a; Marshall et al., 1997b], is used. The model domain extends from 20 °S to 81.5 °N, with a horizontal resolution of 0.5° x 0.5° and a vertical resolution of 23 levels that have a thickness of 10 m at the surface and gradually become coarser to 500 m thickness intervals for depth levels deeper than 2200 m. NCEP/NCAR Reanalysis I daily wind, heat, freshwater, and radiation fields from 1948-
115 2009 force the model [Kalnay et al., 1996]. To correct for uncertainties in air-sea fluxes, SST and SSS (sea surface salinity) are relaxed to monthly historical SST [Had1SSTv1.0, Rayner et al., 2003] and climatological SSS [Antonov et al. 2006] observations, on the timescale of 2 and 4 weeks, respectively [Ullman et al. 2009]. To characterize sub-grid-scale processes, the Gent-McWilliams [Gent and McWilliams, 1990] eddy parameterization, the KPP boundary layer mixing schemes [Large et al., 1994], and Fox-Kemper et al. [2008]
120 submesoscale physical parameterization are used. The phosphorus-based ecosystem is parameterized following Dutkiewicz et al. [2005], and with modest revisions by Bennington et al. [2009]. This ecosystem has one zooplankton class and two phytoplankton classes (“large” diatoms and “small” phytoplankton). The biogeochemical model explicitly cycles phosphorus, silica and iron, and complete carbon chemistry is also included. This model is identical to the one presented in Breeden and McKinley [2016], and uses the same
125 biogeochemical code as Bennington et al. [2009], Ullman et al. [2009] and Koch et al. [2009].

The coupled model has previously been shown to capture the timing and magnitude of the subpolar spring bloom chlorophyll and its variability as observed by SeaWiFS [Bennington et al. 2009]. Mixed layer depths,

carbon system variables and nutrients are well simulated at Bermuda and in the northwest subpolar gyre [Ullman et al. 2009; Koch et al. 2009]. As is common to this type of moderate-resolution model, productivity
130 in the subtropics is too low [Bennington et al. 2009]. Physical variability since 1948 is consistent with observations [Breedon and McKinley, 2016].

As in Breedon and McKinley [2016], the physical model was spun up for a 100 year period with 1948-1987 repeated twice and then followed again by 1948-1967, for a total physical spin up of 120 years. The biogeochemical model was then initialized using World Ocean Atlas phosphate concentrations and spun up
135 for 10 years using 1948-1957 daily forcing. To avoid initialization shock, the model was then forced for 5 years with repeating 1948 fields before the 1948-2009 experiment started. Due to Had1SSTv1.0 fields only being available through 2009, this model integration ends in 2009. Future studies using Had1SSTv1.1, which extends beyond 2009, will require re-initialization and new spin up integrations.

2.3 Phosphate diagnostics

To assess the processes modifying phosphate concentration, we employ phosphate diagnostics that quantify flux convergences (in $\text{mmol m}^{-3} \text{ yr}^{-1}$) for net biological processes, vertical advection and diffusion, and horizontal advection and diffusion. These terms describe the tendency of each process at every time step during the model simulation, averaged to monthly for output [Ullman et al., 2009; Breedon and McKinley, 2016]. For conciseness, the biological uptake term presented here is the sum of separate diagnostic terms for
145 phosphate utilization by primary producers and remineralization that returns phosphate to the water column.

For analysis of mean and linear trends for 1998-2007, we use biological, vertical and horizontal diagnostic terms. Unfortunately, the biological diagnostics prior to 1998 were lost after simulations were completed. Thus, for correlations for 1949-2009, we use biomass in place of the biological diagnostics. This choice is supported by strong correlations ($R=-0.87$ to -0.98) between biomass and the biological diagnostics in our
150 three focus regions (defined below) for 1998-2007. Biomass and biological diagnostics have an opposite sign because phosphate is removed as biomass accumulates.

2.4 Light and nutrient limitation

As detailed in Dutkiewicz et al. [2005], model phytoplankton growth is limited by light and the most limiting nutrient. Limiting nutrients are phosphate (PO_4) and iron (Fe) for small phytoplankton and PO_4 , Fe and silicate (SiOH_4) for large phytoplankton. There is no nitrogen cycle in the model, consistent with other
155 ecological models of comparable complexity (Galbraith et al. 2010). The parameterization uses Michaelis-Menton ratios that tend to 0 as the resource becomes severely limiting to growth, and approach 1 when replete. A lower value indicates a greater stress, and thus the phytoplankton group with the larger half saturation constant will be more limited for the same ambient nutrient or light concentration.

160 Specifically, maximum growth rates ($\mu_{max,small} = 1/1.3 \text{ d}^{-1}$, $\mu_{max,large} = 1/1.1 \text{ d}^{-1}$) are reduced through multiplication by limitation terms. T_{func} modifies maximum growth based on temperature following Eppley (1972).

$$\mu = \mu_{max} \cdot T_{func} \cdot \gamma_{light} \cdot \min(\gamma_{PO4}, \gamma_{Fe}, \gamma_{SiOH4(large \ only)}) \quad (1)$$

With half saturation constants $I_{o,small} = 15 \text{ Wm}^{-2}$, $I_{o,large} = 12 \text{ Wm}^{-2}$, light limitation is:

165
$$\gamma_{light} = \frac{I}{I+I_o} \quad (2)$$

And for nutrients

$$\gamma_X = \frac{X}{X+K_{o,X}} \quad (3)$$

For phosphate, $X = PO_4$ and $K_{o,PO4,small} = 0.05 \text{ mmol m}^{-3}$ and $K_{o,PO4,large} = 0.1 \text{ mmol m}^{-3}$. For iron, $X = Fe$ and $K_{o,Fe,small} = 0.01 \text{ } \mu\text{mol m}^{-3}$, $K_{o,Fe,large} = 0.05 \text{ } \mu\text{mol m}^{-3}$. For large phytoplankton only, silicate limitation
 170 also applies, with $K_{o,SiOH4,large} = 2 \text{ mmol m}^{-3}$. Because of their higher half saturation constant for phosphate, modeled large phytoplankton are more phosphate stressed than small phytoplankton. In contrast, the higher light half saturation makes small phytoplankton experience greater light stress. Due to high levels of aeolian dust deposition in the North Atlantic, parameterized here with the imposition of climatological fields from Mahowald et al. [2003], iron is never limiting in our study area and is not further discussed.

175 For this analysis, monthly mean light and nutrient fields are used to calculate limitation terms for light and nutrient for each phytoplankton type.

2.5 Analysis

Throughout the study, annual averages over the top 100 m are used. This depth is selected because it is a reasonable approximation for both the euphotic zone and the Ekman layer, and is a computationally efficient
 180 choice consistent with previous work [Long et al. 2013; Williams et al. 2000, 2014]. For analysis of light limitation, however, it is important to consider that deep mixing will move mixed layer phytoplankton to substantially below 100 m [Sverdrup, 1953]. This important effect would be poorly captured if light limitation terms were averaged only over the surface 100 m. The more appropriate choice, used here, is to use either the depth of the monthly mixed layer or 100 m, whichever is deeper. Light limitation is calculated monthly
 185 in this way and then annually averaged. For consistency, we apply the same averaging approach for nutrient limitation. However, since nutrients are homogenized by deep mixing, results for nutrient limitation are not substantially different from the use of a strict 100m average.

For physical comparisons, mixed layer depth (MLD) is calculated using monthly density fields and a criteria of 0.03 kg m^{-3} increase above the surface density. The barotropic streamfunction is calculated using a north

190 to south integration of the full depth zonal velocity fields [Breedon and McKinley, 2016]. To find the minimum barotropic streamfunction of the subpolar gyre, the minimum within a region 60-30 °W, 50-65 °N is used. A preliminary comparison of nutrient flux variability to climate indices uses the winter (DJFM) East Atlantic Pattern (<http://www.cpc.ncep.noaa.gov/data/teledoc/ea.shtml>, downloaded 12.15.2017) and the winter North Atlantic Oscillation (Hurrell and NCAR, 2017).

195 This analysis is based on annual mean fields for both the observations and the model. A 3-month lag of the biology diagnostics and biomass fields after physical diagnostics and other physical fields is employed to account for the maximum physical forcing occurring in the winter prior to the spring bloom. Thus, annual mean physical fields are averaged from October of the prior year to September of the year in question. The use of 0, 1, 2 or 4 month lags leads to lower correlations, but does not substantially modify results. Biological
200 fields are January to December averages.

To compare directly to the 10-year period of prime SeaWiFS observations, our primary focus is on linear trends over 1998-2007, with significance bounds set at $p < 0.05$ (95 %). To complement this analysis with a consideration of interannual variability across the full model experiment (1948-2009), we also consider correlations of physical and biogeochemical timeseries calculated as area-weighted averages over three
205 selected regions (defined below), and then linearly detrended prior to correlation analysis. Because of the aforementioned biological lag, the timeframe for correlations becomes 1949-2009.

3 Results

3.1 Model comparison to observations

The simulation captures the magnitude of mean 1998-2007 subpolar biomass reasonably in comparison to
210 the satellite-based observations (Fig. 1a, b). The detailed spatial pattern of biomass is impacted by the North Atlantic Current extension being too diffuse and too directly east-west (i.e. not turning to the northeast as it should at about 25 °W), as is common in models of this resolution [Williams et al. 2014]. The maximum of biomass is displaced to the east. Also, subtropical biomass is too high in the Gulf Stream extension, but otherwise too low in the remainder of the basin and, thus the gradient from south to north in the model from
215 35-50 °N is too sharp [Bennington et al. 2009].

Despite its imperfections, the model captures well the pattern and magnitude of statistically significant biomass trends north of 40 °N over 1998-2007 (Fig. 1c, d). In both observations and the model, biomass declines to the east of 30 °W from 40-50 °N and 35 °W from 50-60 °N, while it increases to the west. For simplicity, we refer to this boundary as 30-35 °W in our discussion. Model trends are generally weaker than
220 the observed trends, but the coherent regions of statistically significant change are of similar size. Declines to the east occur in two regions in both model and observations, one in the northeast and one in the southeast. Consistent with the mean biomass structure, biomass trends are not in exactly the same locations as observed, but are displaced about 5° to the south in the southeast and northwest, and 5° to the south and 5° west for the

225 northeast region. Comparison to net primary productivity (NPP) from SeaWiFS estimated with both the CbPM algorithm and older VGPM algorithm indicate comparable changes as in biomass, though trends in the northeast are not significant for NPP (Fig. S1).

In both observations and models, the magnitudes of these changes are large in comparison to the mean. In the declining regions where mean biomass is 15-25 mgC m⁻³ (Fig. 1, 2, S2), trends of -0.5 to -1.5 mgC m⁻³ yr⁻¹ over 10 years lead to changes of 30-50%. In the increasing region to the west, changes are of similar
230 magnitude. To focus our analysis, we select three regions in the model that capture these significant biomass changes (Fig. 1d). We will use these regions for discussion and for averaging of biogeochemical and physical terms. In the northeastern subtropical gyre, or “intergyre” [Follows and Dutkiewicz, 2002], lies our southeast (SE) region, just south of the physical separation between the subpolar and subtropical gyre based on the barotropic streamfunction (section 3.4). The SE region is bounded between 30-15 °W and 40-50 °N. The
235 northeast (NE) region lies in the eastern subpolar gyre to the southeast of Iceland, 35-20 °W and 55-60 °N. The northwest (NW) region is south of Greenland at 35-20 °W and 50-60 °N. Regional mean changes in biomass from SeaWiFS (in the model) are -19% (-17%) in the SE region and -15% (-10%) in the NE. To the west of 30-35 °W in the NW region, regional mean changes are +6% (+9%).

In these three regions, annual anomalies of biomass are compared to satellite observations from SeaWiFS
240 (1998-2007) and MODIS (2003-2015) (Fig. 2). The associated monthly biomass timeseries are presented in Fig. S1. In all regions, model anomalies are quantitatively different from the observations to a similar degree that the observations differ from each other. In the SE region, the shift from positive biomass anomalies before 2004 to negative anomalies after 2004 is found in SeaWiFS and the model, and MODIS indicates a return to positive anomalies after 2010 (Fig. 2a). In the NE region, higher frequency variability is suggested,
245 with mostly positive anomalies over 1998-2003 and negative anomalies from 2005-2009 (Fig. 2b). The spatial displacement between the modeled and observed anomalies (Fig. 1c, d) is not accounted for with the regions used for Fig. 2b, but these comparisons do not substantially change if the averaging region for the observations in the NE is shifted 5 degrees north and 5 degrees east (not shown). In the NW region, positive anomalies of comparable magnitude dominate 2003-2008, the timeframe over which the three records
250 coincide (Fig. 2c). Negative anomalies are largely found both before and after. In the last 3 MODIS years, positive anomalies return to the NW region. Having demonstrated that this model reasonably captures the patterns and magnitudes of biomass change, we now use the model to explain the mechanistic drivers in all three regions over the SeaWiFS period, 1998-2007.

3.2 Nutrient changes

255 Modeled anomalies are not due to zooplankton top-down pressure on biomass, as evidenced by zooplankton trends that are positively correlated with biomass trends (Fig. S3). Thus nutrient and light, the bottom-up drivers in this model that change in a manner that drives biomass changes consistent with observations (Fig. 1), are the focus of this analysis. The model captures the mean gradients of the phosphate field well, but mean

values are 10-20 % too low across most of the subpolar gyre (Fig 3a, b). Changes in the nutrient field could drive these observed and modeled changes, and as temporally resolved large-scale nutrient datasets are not available, the model alone allows us to evaluate nutrient trends (Fig 3c). Modeled nutrient concentrations decline significantly over 1998-2007 across most of the region north of 50 °N. The pattern suggests these changes are important to the declines of biomass in the SE and NE regions. However, there is no increase of phosphate in the NW region where biomass was observed to increase.

265 3.3 Trends of light and nutrient limitation

To better understand drivers of the biomass trends, a next step is to decompose the biomass trends into those occurring in the small and the large phytoplankton (Fig. 4). On the mean, in the open waters of the North Atlantic, large phytoplankton have a greater contribution to the total biomass in the north and west (Fig 4a), but small phytoplankton are dominant to biomass throughout the basin and particularly in the south and east (Fig 4b). Trends in small phytoplankton contribute most to total biomass change (Fig 1d) in the SE and NW regions, while large phytoplankton trends are more important in the NE region (Fig. 4c, d).

Phosphate limitation for large phytoplankton has a strong gradient of more limiting in the south and east to less limiting in the northwest (Fig. 5a), while light limitation for small phytoplankton has largely a south to north gradient from less to more limiting (Fig. 5b). Trends over 1998-2007 in the limitation terms illustrate that the SE and NE declines of biomass are spatially coherent with enhanced phosphate limitation (Fig. 5c), while the NW increase in biomass is spatially coherent with regions experiencing relief of light limitation (Fig. 5d). As shown in Fig. S2, mean and trends for light limitation for large phytoplankton and phosphate limitation for small phytoplankton have nearly identical patterns.

This distinction between the dominant limitations driving biomass change in the east and west is borne out with detrended interannual correlations over the full model period, 1949-2009 (Table 1). In the SE region, phosphate limitation is strongly correlated with both small ($R_{SE(\text{small}, PO_4)} = 0.68$) and large phytoplankton ($R_{SE(\text{large}, PO_4)} = 0.74$), while light limitation is anti-correlated with biomass, i.e. less biomass occurs with more light, clearly illustrating that light is not the driving limitation. With respect to limitation terms in the NE region, the only significant correlation for large phytoplankton is to nutrient limitation ($R_{NE(\text{large}, PO_4)} = 0.31$). Thus, the large phytoplankton that quantitatively dominate the 1998-2007 biomass decline (Fig. 4d) due to nutrient limitation (Fig. 5c) also vary by a similar mechanism over the full model experiment.

In the NW region, the 1998-2007 biomass trend is dominated by small phytoplankton (Fig. 4d) via light limitation (Fig. 5d), and these relationships also hold for the full model experiment. Small phytoplankton light limitation is positively correlated with small phytoplankton biomass ($R_{NW(\text{small}, \text{light})} = 0.61$), while small phytoplankton biomass is reduced when more phosphate is available ($R_{NW(\text{small}, PO_4)} = -0.50$, Table 1). Though large phytoplankton have the opposite sensitivities ($R_{NW(\text{large}, \text{light})} = -0.63$, $R_{NW(\text{large}, PO_4)} = 0.83$), they are a smaller portion (40 %) of the total biomass (Fig. 4a,b) and have a lesser role in total biomass changes (Fig. 4c).

295 In the model, silicate is also limiting to large phytoplankton and its limitation also becomes more intense
over 1998-2007 to the north of 50 °N (Fig. S4f). However, variability in silicate limitation is highly correlated
to variability of phosphate limitation in the NW and NE areas for 1949-2009 ($R_{NE(PO_4, SiOH_4)} = 0.91$, $R_{NW(PO_4, SiOH_4)} = 0.83$, Table S1). Due to these high correlations and the fact that large phytoplankton are only
dominant to biomass trends in the NE region (Fig. 4c), the remaining analysis addresses only phosphate
fluxes. For completeness, 1998-2007 light and silicate limitation trends for large phytoplankton and
300 phosphate limitation for small phytoplankton are shown in Fig. S2, and 1949-2009 correlations in the three
regions are given Table S1.

3.4 Physical changes and their impacts on light and nutrient limitation

There are significant physical changes in the subpolar gyre that influence the nutrient and light fields. The
model barotropic streamfunction experiences a positive change from a minimum value of -41 Sv for 1998-
305 2000 to -28 Sv for 2005-2007 (Fig. 6, Fig. S5). With this anomaly, the zero line of the streamfunction shifts
several degrees north at 45-40 °W and more modestly to the north in the east (bold black contours in Fig. 6a
and Fig. 6b). The North Atlantic Current (NAC) flows along this contour, indicating a northward shift of the
NAC.

Consistent with the weakening of the subpolar gyre, mixed layers shoal substantially, particularly to the west
310 of 30-35 °W (Fig. 7a, b). A dramatic shoaling of maximum mixed layers is found in the NW region, going
from almost 1200 m to less than 400 m (Fig. 7e, Våge et al. 2008). This shoaling explains the strong decline
in light limitation in the NW region. There is modest shoaling of mixed layers in the NE region (Fig. 7d) and
there is no significant trend in the SE region (Fig. 7c). Shoaling in the NE could contribute to the reduction
in phosphate availability and reduced biomass. However, the lack of mixed layer depth change in the SE
315 suggests that less vertical mixing is not the dominant driver of reduced biomass here.

3.5 Phosphate diagnostic Analysis

To fully assess the three-dimensional physical drivers of phosphate supply to the NE and SE regions, we
employ the phosphate diagnostics that quantify flux convergences. On the mean for 1998-2007 across the
northern North Atlantic, vertical advection and diffusion supply phosphate to the euphotic zone (Fig. 8a),
320 with the supply being much stronger in the region of deepest mixed layers (Fig. 7). Horizontal advection and
diffusion strongly diverges the converging vertical flux (Fig. 8b), leading to strong negative fluxes
(divergence) coincident with strongly positive vertical fluxes. The horizontal flux divergence centered at
about 30-35 °W leads to positive horizontal fluxes (convergence) to the east and also to the west south of 50
°N. As the sum of the vertical and horizontal components is net positive (Fig. 8c), the mean three-dimensional
325 advection and diffusion net supplies phosphate to the subpolar gyre. The pattern of this supply is strongly
influenced by both vertical and horizontal processes. Biological processes remove the physically-supplied
phosphate from the surface ocean (Fig. 8d).

To east of 30-35 °W, horizontal and vertical phosphate flux convergences are comparable in magnitude and both supply nutrients to the surface (Fig. 8, 9). In the SE region, mean 1998-2007 vertical advection and diffusion supplies 0.13 mmol m⁻³ yr⁻¹ while horizontal supplies 0.07 mmol m⁻³ yr⁻¹, together supporting biological utilization of -0.20 mmol m⁻³ yr⁻¹ (Fig. 9a). In the NE region, the mean vertical supply is 0.24 mmol m⁻³ yr⁻¹ while horizontal supplies 0.08 mmol m⁻³ yr⁻¹, and thus a mean biological utilization of -0.32 mmol m⁻³ yr⁻¹ is supported (Fig. 9b). The net convergence of vertical and horizontal fluxes in these regions can be contrasted to the NW region where the mean vertical flux converges 0.33 mmol m⁻³ yr⁻¹, and from this the horizontal flux diverges about 25 % (-0.08 mmol m⁻³ yr⁻¹) and biology diverges the remainder (-0.24 mmol m⁻³ yr⁻¹, Fig. 9c). In all three regions, note that the variability of both the horizontal and vertical flux convergence is of a comparable magnitude to the biological flux variability (Fig. 9).

For 1998-2007, trends in the supply and removal of phosphate indicate a large decrease in supply via vertical fluxes to the west of 30-35 °W (Fig. 10a) and a corresponding strong reduction in the horizontal divergence of phosphate, a positive anomaly (Fig 10b, 9c). To the west of 30-35 °W, these opposing trends of the vertical and horizontal flux convergence largely negate each other. However, to the east of 30-35 °W there are weak and mostly negative tendencies in the vertical and significant negative trends in the horizontal terms. Thus, the net physical phosphate supply in the eastern subpolar gyre has an overall negative trend, albeit only large enough to be formally statistically significant in parts of the SE region (Fig. 9c). The pattern of reduced phosphate supply is consistent with the pattern of significant reduction in biomass (Fig. 1d) and significant positive tendencies in the biological diagnostic (Fig. 10d). In summary, the model indicates that from 1997 to 2008, reduced vertical convergence of nutrients to the west of 30-35 °W led to less horizontal convergence of nutrients to the east of 30-35 °W, and thus less phosphate available for biological production. In this model, this mechanism is sufficient to explain biomass changes consistent with SeaWiFS-observed declines in biomass in the eastern subpolar gyre and northeastern subtropical gyre.

Long-term (1949-2009) correlations between physical diagnostic terms and biomass support the conclusion that variability in horizontal flux convergence is important to biomass interannual variability to the east of 30-35 °W (Table 2). In both the SE and NE region, horizontal flux convergence is significantly correlated to biomass ($R_{SE(Biomass, Horiz)} = 0.44$, $R_{NE(Biomass, Horiz)} = 0.48$), suggesting that the 1998-2007 relationships are indicative of interannual behavior over the long-term, wherein reduced horizontal nutrient convergence leads to reduced biomass. For the SE region on the long term, vertical fluxes have also a significant correlation ($R_{SE(Biomass, Vert)} = 0.63$), indicating that longer term interannual change in biomass in this region is determined by variability in both horizontal and vertical flux convergences. In the NW region, biomass and horizontal flux convergence is also positively correlated ($R_{NW(Biomass, Horiz)} = 0.69$), but this appears to be an indirect relationship. As light limitation is relieved, biomass increases, and at the same time vertical convergence of phosphate is reduced (Fig 10a) and there is a positive anomaly in the horizontal divergence (Fig. 10b). Consistent with this interpretation, vertical and horizontal convergence are strongly anti-correlated in the NW region ($R_{NW(Horiz, Vert)} = -0.76$).

The impact of the physical drivers discussed earlier in this section on 1949-2009 biomass variability varies
365 by study region. For the SE region, where biomass is positively driven by both horizontal and vertical nutrient
flux convergence, biomass declines with positive anomalies of the minimum barotropic streamfunction of
the subpolar gyre ($R_{SE(Biomass, PsiMin)} = -0.37$, Table 2), consistent with 1997-2008 relationships. However, the
minimum barotropic streamfunction is not itself correlated to either horizontal or vertical flux convergence.
Vertical supply is not a significant driver for 1997 to 2008 changes, but for the longer term, vertical nutrient
370 fluxes decrease with shallower mixed layers (a negative anomaly) and warmer temperatures ($R_{SE(MLD, Vert)} =$
 0.66 , $R_{SE(SST, Vert)} = -0.64$).

For both the NE and NW regions, correlations between changing minimum barotropic streamfunction (Fig.
6), shoaling mixed layers (Fig. 7) and horizontal and vertical nutrient convergence (Fig. 10) for 1949-2009
are generally weak and, in fact, opposite in sign to the relationships for the SeaWiFS period. For 1998-2007,
375 the minimum barotropic streamfunction experienced a positive anomaly, mixed layers shoaled, and
horizontal fluxes declined in the NE region. For 1949-2009, positive anomalies of the minimum barotropic
streamfunction are, instead, weakly associated with increased horizontal nutrient fluxes ($R_{NE(PsiMin, Horiz)} =$
 0.25). At the same time, shallower mixed layers (a negative anomaly) are associated with decreased vertical
fluxes and increased horizontal fluxes ($R_{NE(MLD, Vert)} = 0.52$, $R_{NE(MLD, Horiz)} = -0.42$). In the NW region, light
380 limitation is clearly the driver of biomass changes on both timescales (Fig. 1,4, Table 1), but large negative
anomalies of vertical fluxes and positive anomalies of horizontal fluxes also occur as mixed layers shoal over
1997-2008 (Fig. 9, 10). However, for 1949-2009 in the NW, the reverse is found; vertical flux convergence
increases and horizontal nutrient flux convergence decreases coincident with shallower mixed layers
($R_{NW(MLD, Vert)} = -0.33$, $R_{NW(MLD, Horiz)} = 0.46$). Long-term correlations of physical changes to nutrient fluxes in
385 the two subpolar regions differ from those occurring with 1998-2007 trends is consistent with the weak long-
term correlations that explain no more than 30% of the variance. The lack of consistent associations between
biomass and physical variability over both timescales illustrates the complexity of the system and makes
clear that relationships revealed by relatively short-lived observing systems are not necessarily representative
of the long term.

390 **4 Discussion**

The decline in the strength of the subpolar gyre modeled here (Fig. 6) is consistent with observations in the
North Atlantic since the mid-1990s [Häkkinen and Rhines, 2004; Hátún et al., 2005; Våge et al., 2008; Foukal
and Lozier, 2017]. We show here that these physical changes have substantial impacts on the light field and
the redistribution of nutrients in the vertical and the horizontal, and that these changes are sufficient to explain
395 modeled biomass trends over 1998-2007 that are consistent with satellite observations (Fig 1, S1). Foukal
and Lozier [2017] provide an updated analysis with respect to the relationship of physical changes in the gyre
to the East Atlantic Pattern (EA) and the North Atlantic Oscillation (NAO). While the EA indicates the
position of the westerly winds, NAO indicates their strength [Foukal and Lozier, 2017; Comas-Bru and
McDermott, 2014]. A preliminary investigation using the winter (DJFM) EA index from NOAA CPC

400 indicates that only in the nutrient-limited SE region are there significant correlations. Biomass is correlated to the EA ($R_{SE(EA, Biomass)} = 0.48$), a relationship apparently driven by horizontal flux convergence ($R_{SE(EA, Horiz)} = 0.43$). In the SE region, biomass is not significantly correlated to the winter (DJFM) NAO [Hurrell and NCAR, 2017], which may be due to significant opposing impacts of the NAO on horizontal and vertical nutrient flux convergence ($R_{SE(NAO, Horiz)} = 0.37$, $R_{SE(NAO, Vert)} = -0.30$). These correlations are all zero-lag. We
405 do not find stronger correlations when biomass lags the EA or NAO by up to 3 years. That there are no significant correlations north of 50 °N, in the NE and NW regions, between these climate modes and biomass is consistent with the weak correlations of horizontal and vertical flux convergence to physical fields (Table 2).

Williams and Follows [1998] illustrate that on the mean, horizontal Ekman fluxes in the surface are critical
410 to nutrient supply in the North Atlantic from 40-60 °N, particularly for the northeast subtropical gyre. Yet, Williams et al. [2000] find Ekman nitrate flux variability to be an order of magnitude smaller than convective flux variability in this region. We find that 0-100 m horizontal nutrient convergence contributes 25-35 % of the mean nutrient supply in our two regions to the east of 35 °W. In contrast to Williams et al. [2000], we do find horizontal flux convergence to be important to variability, with the 1949-2009 standard deviation of
415 horizontal flux convergence in the SE region being 66 % of the standard deviation of the sum of vertical and horizontal, while the vertical flux convergence standard deviation is 95 % of the sum. In the NE region, vertical and horizontal flux convergence are anti-correlated ($R_{NE(Vert, Horiz)} = -0.64$, Table 2) such that their variability partially cancels. The standard deviation of vertical flux convergence here is 125 % of the sum, while the standard deviation of horizontal flux convergence is 108 % of the sum. These very different findings
420 can at least be partially attributed to the fact that Williams et al. [2000] had only a climatological nitrate data field to couple to their mixed layer model and windstress-based Ekman divergence calculation. The use of a smooth climatological nutrient field would not likely allow for the strong co-variance between vertical and horizontal supply terms that the run-time diagnostics used here are able to reveal. As variability of nutrient supply to the surface ocean is critical to subpolar North Atlantic biomass variability, datasets that temporally
425 resolve upper ocean nutrient fields would be most valuable to future studies. Large-scale deployment of autonomous floats with biogeochemical sensors will be essential to the development of these critical datasets [Johnson et al. 2009].

In our model, reduced horizontal nutrient supply over the SeaWiFS period (1998-2007) drove the observed reductions in biomass on the northeastern flank of the North Atlantic subtropical gyre (our SE region). This
430 mechanism contrasts to previous analyses that attribute the observed changes to locally increased stratification and the associated reduced vertical supply of nutrients [Behrenfeld et al. 2006; Polovina et al. 2008; Martinez et al. 2009]. Though for 1949-2009 in our SE region, we do find anti-correlation between biomass and SST ($R_{SE(Biomass, SST)} = -0.57$, Table 2) and correlation of biomass anomalies to both vertical and horizontal nutrient supply changes ($R_{SE(Biomass, Vert)} = 0.63$, $R_{SE(Biomass, Horiz)} = 0.44$), that over the SeaWiFS
435 period, reduced horizontal flux convergence was more important than changes in vertical flux convergence

to biomass declines (Fig. 10). SST as a proxy for stratification is alone insufficient to describe biomass changes in this region, and it is reasonable to expect the same on the edges of the subtropical gyres elsewhere around the globe. Instead, a three-dimensional perspective on nutrient supply should be taken when observations are interpreted and when the expected mechanisms of future change are considered [Doney
440 2006; Bopp et al. 2013].

In the context of 21st century climate-driven changes in biomass, Laufkötter et al. (2015) find zooplankton grazing to be important to biomass in some models under a strong climate change forcing scenario (RCP8.5). Zooplankton is not the driver of biomass changes in this model (Figure S3), with the very different timescales and levels of forcing for change -- 10 years of interannual variability in this study, ~100 years with strong
445 forcing in Laufkötter et al. (2015) -- likely being a factor in this difference. That zooplankton grazing is not temperature dependent in this model may also contribute, but any potential effects would be limited by the annual mean temperature change from 1998-2000 to 2005-2007 being substantially smaller (+0.02 °C, +0.28 °C, +0.13 °C, in SE, NE and NW regions, respectively) than over the 21st century in the RCP8.5 scenario (1-4 °C at 40-60 °N, Laufkötter et al. 2015).

We find that North Atlantic biomass variability to the north of 40 °N is quite heterogeneous and dependent on different mechanisms at distinct locations, with the dominant mechanisms shifting across timescales. Though a large-scale averaging approach may be appropriate for some biogeochemical studies [Fay and McKinley, 2013, 2014], relationships between the surface ocean carbon cycle and productivity may not be well captured by correlations over large-scale ocean biomes that take the whole of the subpolar gyre as one
455 region [Fay and McKinley, 2017]. An approach using smaller subregions will likely support a deeper understanding of biological coupling to the carbon cycle in this region.

These findings suggest myriad directions for further analysis. In order to address the simplest measure of change, we use annual mean fields for both the observations and the model. A deeper consideration of how these changes operate in the context of the significant seasonality of the region would be very interesting.
460 This analysis does not elucidate how variability in physical supply of silicate impacts biomass variability. Particularly considering long-term correlations between physical drivers and phosphate supply in NE and NW region that are opposite to those evidenced for 1998-2007, a complementary analysis of variability in silicate supply may be useful. Similarly, it would be of value to study the relative impacts of large and small phytoplankton size classes on total biomass variability particularly in the northwest region where light and
465 nutrient limitation drive biomass in opposite directions (Table 1). Assessment of the modulation of subsurface nutrient fields by subpolar gyre physical changes, and in turn how these subsurface changes influence surface biomass would be worthwhile. Spatial analysis based on empirical orthogonal functions [Breedon and McKinley, 2016] could illustrate the dominant large-scale modes of biomass variability and may reveal the degree to which climate modes impact biomass on longer timescales. With respect to the
470 period of satellite observations, a numerical simulation that covers both the SeaWiFS and MODIS period would allow study of the period since 2007 in which 1998-2007 trends appear to largely have reversed (Fig.

2). For such a simulation, greater physical resolution should improve representation of the gyre structure and its variability. Though the current ecosystem is able to capture the large-scale patterns of biomass change remarkably well, it would also be valuable to assess the impact of different levels of ecosystem complexity in future modeling work.

5 Conclusions

In the North Atlantic from 40-60 °N over 1998-2007, biomass estimated from SeaWiFS ocean color increases to the west of 30-35 °W and declines to the east. A regional coupled physical-biogeochemical model that reproduces 1998-2007 trends indicates that the relief of light limitation with shoaling mixed layers was sufficient to drive the observed biomass increase in the west. This model attributes biomass declines to the east of 30-35 °W over 1998-2007 to reduced nutrient supply. On the northeastern flank of subtropical gyre, in our southeast region, changing horizontal nutrient supply drives biomass change over the SeaWiFS period. For the full model experiment, 1949-2009, both horizontal and vertical nutrient supply are important to interannual variability here. In the northeast subpolar gyre, horizontal nutrient supply is the most important driver of biomass variability both for the 1998-2007 SeaWiFS period and for the full model experiment.

Though nutrient supply in three dimensions is clearly driving biomass changes to the east of 30-35 °W, clear connections between supply terms and large-scale physics or climate indices are elusive. Neither does the minimum of the barotropic streamfunction of the subpolar gyre or local mixed layer depth change consistently explain nutrient flux changes both for the satellite observed period and the full model experiment. In the southeast, biomass variability over 1949-2009 weakly correlates to the East Atlantic (EA) pattern, but nowhere does the NAO explain biomass variability. Given the evidence here that horizontal and vertical nutrient supply are important to biomass variability, and evidence from other studies that these modes of climate influence the gyre strength, currents, deep mixing, and Ekman suction and divergence of the subpolar gyre, more investigation of the links between North Atlantic climate and biomass variability is clearly warranted.

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Tables

650 **Table 1: Correlations of phosphate and light limitation for small phytoplankton to large and small biomass, 1949-2009.** For conciseness, shown here are only small phytoplankton limitation terms, but since these are ratios calculated from identical fields, the correlations are very similar large phytoplankton (Table S1). Bold indicates statistical significance ($p < 0.05$). Detrending is applied prior to correlation analysis.

		phosphate limitation, small phytoplankton	light limitation, small phytoplankton
SOUTHEAST	large biomass		
small biomass	0.52	0.68	-0.75
large biomass	-	0.74	-0.57
phosphate limitation, small		-	-0.87
NORTHEAST	large biomass		
small biomass	0.36	-0.09	-0.08
large biomass	-	0.31	-0.17
phosphate limitation, small		-	-0.87
NORTHWEST	large biomass		
small biomass	-0.34	-0.50	0.61
large biomass	-	0.83	-0.63
phosphate limitation, small		-	-0.71

655

Table 2: Correlations of biomass to physical drivers and horizontal and vertical phosphate flux convergence, 1949-2009. The minimum barotropic streamfunction is found within 60-30 °W, 50-65 °N; maximum mixed layer depth (MLD) and sea surface temperature (SST) are area-weighted averages for each of the three averaging regions. Bold indicates statistical significance ($p < 0.05$). Detrending is applied prior to correlation analysis.

	Minimum Barotropic				
SOUTHEAST	Streamfunction	Maximum MLD	SST	Horizontal	Vertical
Biomass	-0.37	0.54	-0.57	0.44	0.63
Minimum Barotropic Streamfunction	-	-0.42	0.38	-0.18	-0.23
Maximum MLD		-	-0.65	0.10	0.66
SST Horizontal			-	-0.02	-0.64
				-	-0.29
	Minimum Barotropic				
NORTHEAST	Streamfunction	Maximum MLD	SST	Horizontal	Vertical
Biomass	0.18	-0.02	0.08	0.48	-0.25
Minimum Barotropic Streamfunction	-	-0.60	0.72	0.25	-0.22
Maximum MLD		-	-0.76	-0.42	0.52
SST Horizontal			-	0.34	-0.28
				-	-0.64
	Minimum Barotropic				
NORTHWEST	Streamfunction	Maximum MLD	SST	Horizontal	Vertical
Biomass	0.14	0.28	-0.23	0.69	-0.42
Minimum Barotropic Streamfunction	-	-0.42	0.67	0.08	-0.10
Maximum MLD		-	-0.42	0.46	-0.33
SST Horizontal			-	-0.52	0.43
				-	-0.76

665 **Figures**

Figure 1: Surface ocean biomass (a) estimated from SeaWiFS using the CbPM model, (b) 0-100m modeled biomass, (c) SeaWiFS trend 1998-2007, and (d) 0-100m modeled biomass trend 1998-2007. In c and d, significant trends are marked with a black contour. In d, the three focus regions are outlined in red.

670 **Figure 2: Annual anomalies of surface ocean biomass** for SeaWiFS (1998-2007, red), MODIS (2003-2015, blue) and model (1998-2009, 0-100m, black) (a) SE region, (b) NE region, and (c) NW region. The corresponding monthly timeseries is shown in Fig. S1.

Figure 3: Phosphate (a) World Ocean Atlas (Garcia et al. 2006) (b) 0-100m modeled phosphate, (c) 0-100m modeled phosphate trend 1998-2007. In c, significant trends are marked with a black contour and the three focus regions are outlined in red.

675 **Figure 4: Surface ocean small and large phytoplankton biomass** (a) 0-100m modeled small phytoplankton biomass, (b) 0-100m modeled large phytoplankton biomass, (c) small phytoplankton trend 1998-2007, and (d) large phytoplankton trend 1998-2007. In c and d, significant trends are marked with a black contour and the three focus regions are outlined in red.

680 **Figure 5: Terms for limitation** by (a) phosphate, large phytoplankton (Eq. 3) (b) Light, small phytoplankton (Eq. 2), (c) 1998-2007 trend in phosphate limitation, and (d) 1998-2007 trend in light limitation. All are unitless. In c and d, significant trends are marked with a black contour and the three focus regions are outlined in red.

Figure 6: Barotropic streamfunction (a) 1998-2000 mean and (b) 2005-2007 mean. The zero streamfunction contours between 55-15°W for each period (bold black) and for the 1998-2007 mean (white) are marked. See Figure S5 for map of 1998-2007 trend.

685 **Figure 7: Maximum mixed layer depths** for (a) 1998-2000 mean and (b) 2005-2007 mean. Timeseries of monthly mixed layers for (c) SE region, (d) NE region, and (e) NW region.

Figure 8: Phosphate diagnostics, 0-100m flux convergence ($\text{mmol m}^{-3} \text{ yr}^{-1}$) for (a) vertical, (b) horizontal, (c) net physical, and (d) biological. Biological is negative because biomass removes phosphate from the surface ocean. The three focus regions are outlined in red in each panel.

690 **Figure 9: Phosphate diagnostics 1998-2007 annual timeseries**, 0-100m flux convergence ($\text{mmol m}^{-3} \text{ yr}^{-1}$) for (a) SE region, (b) NE region, and (c) NW region.

695 **Figure 10: Phosphate diagnostics 1998-2007 trends**, 0-100m flux convergence trend ($\text{mmol m}^{-3} \text{ yr}^{-2}$) for (a) vertical, (b) horizontal, (c) net physical, and (d) biological. Positive biological trends are consistent with negative biomass trends because less phosphate is removed as less biomass is formed. Significant trends are marked with a black contour and the three focus regions are outlined in red in each panel.

Figure 1

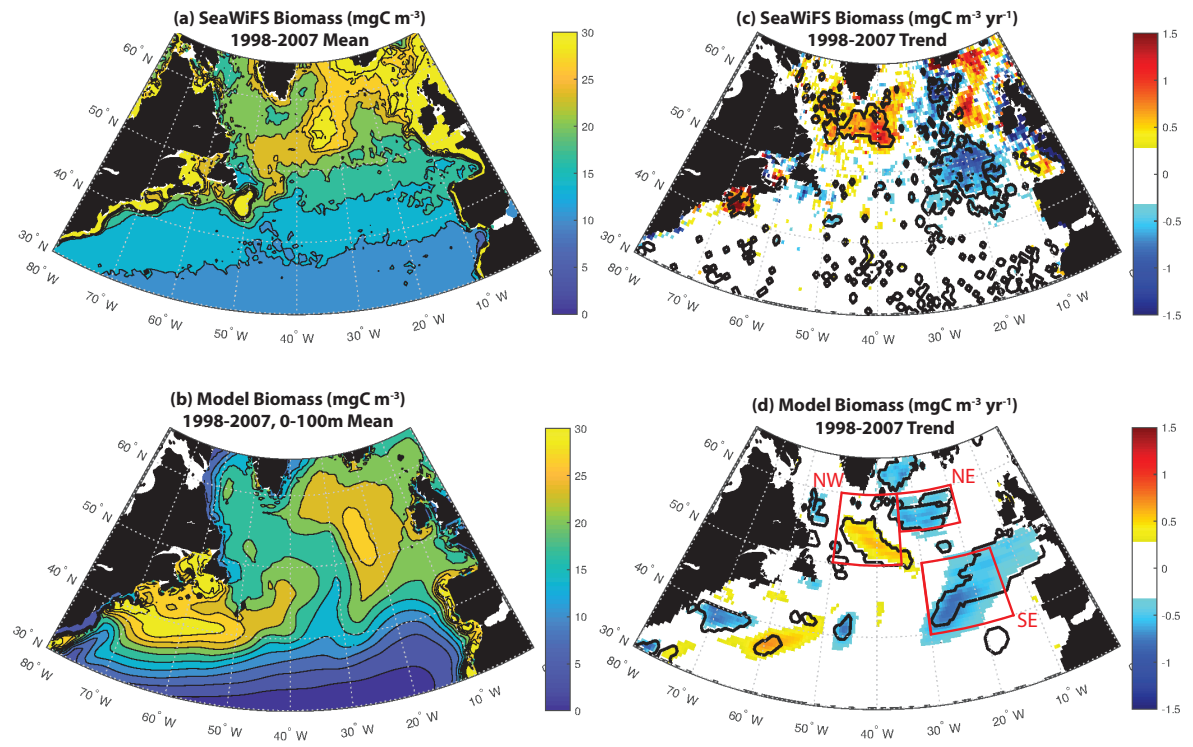
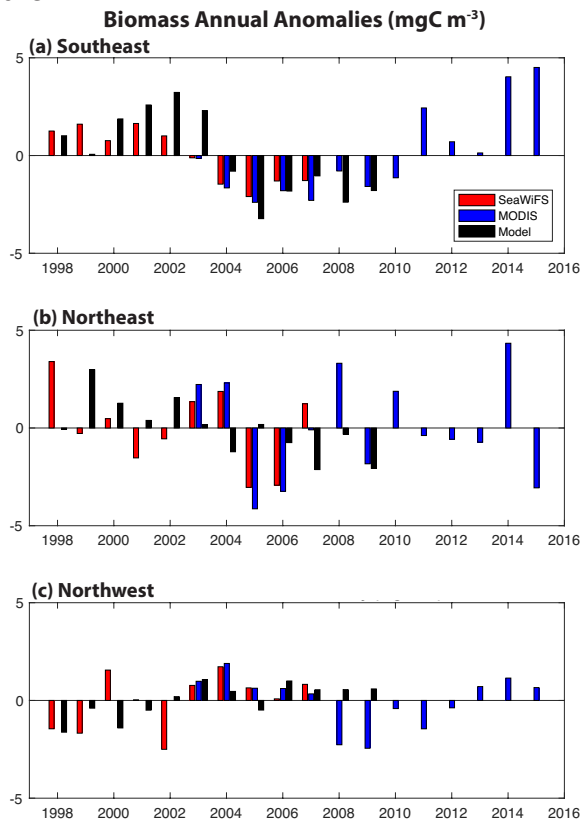


Figure 2



700

Figure 3

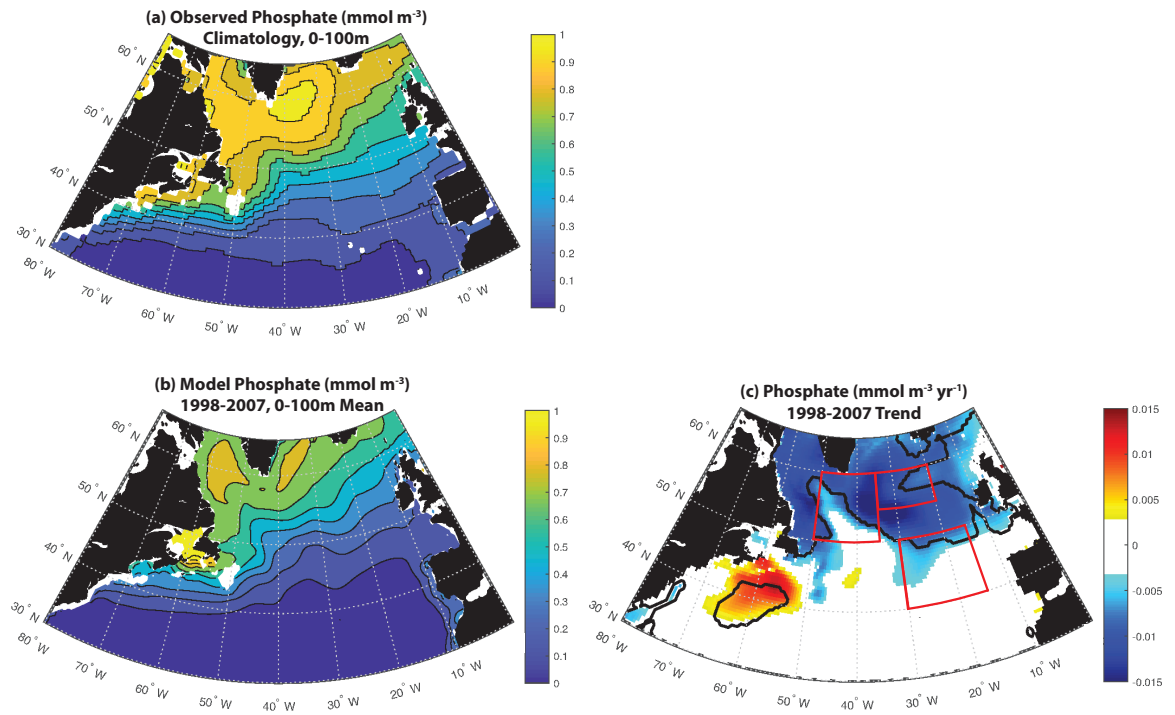


Figure 4

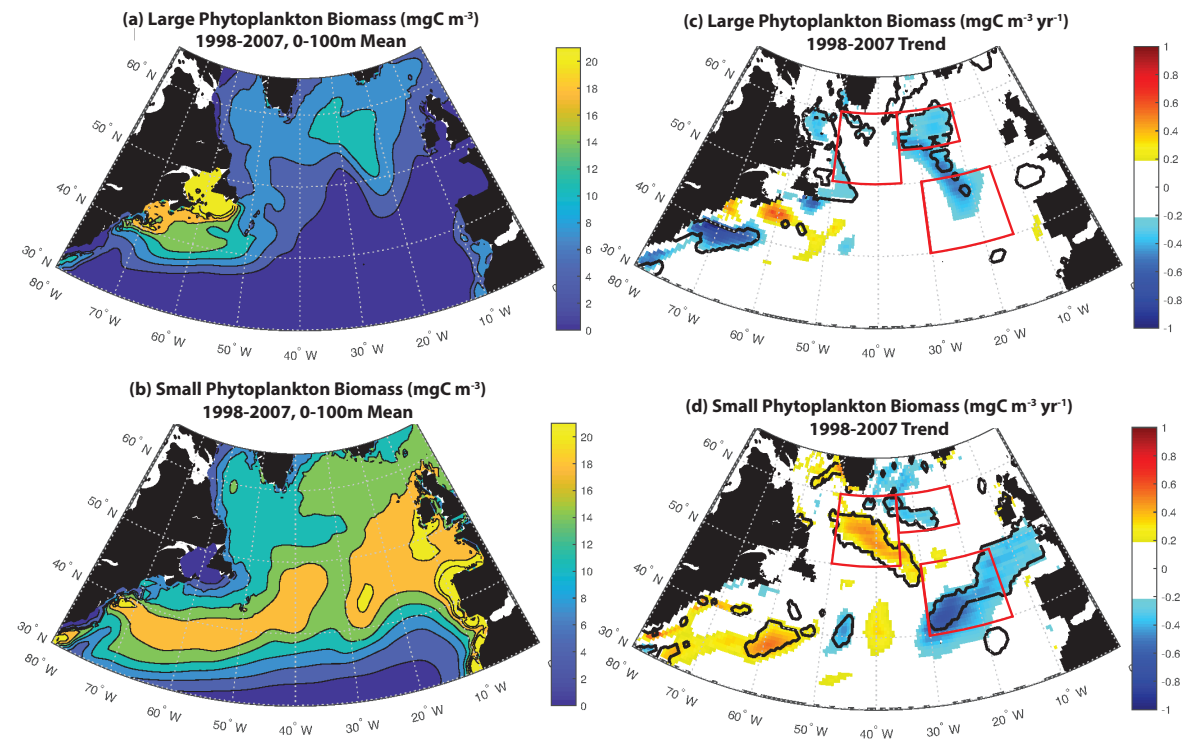


Figure 5

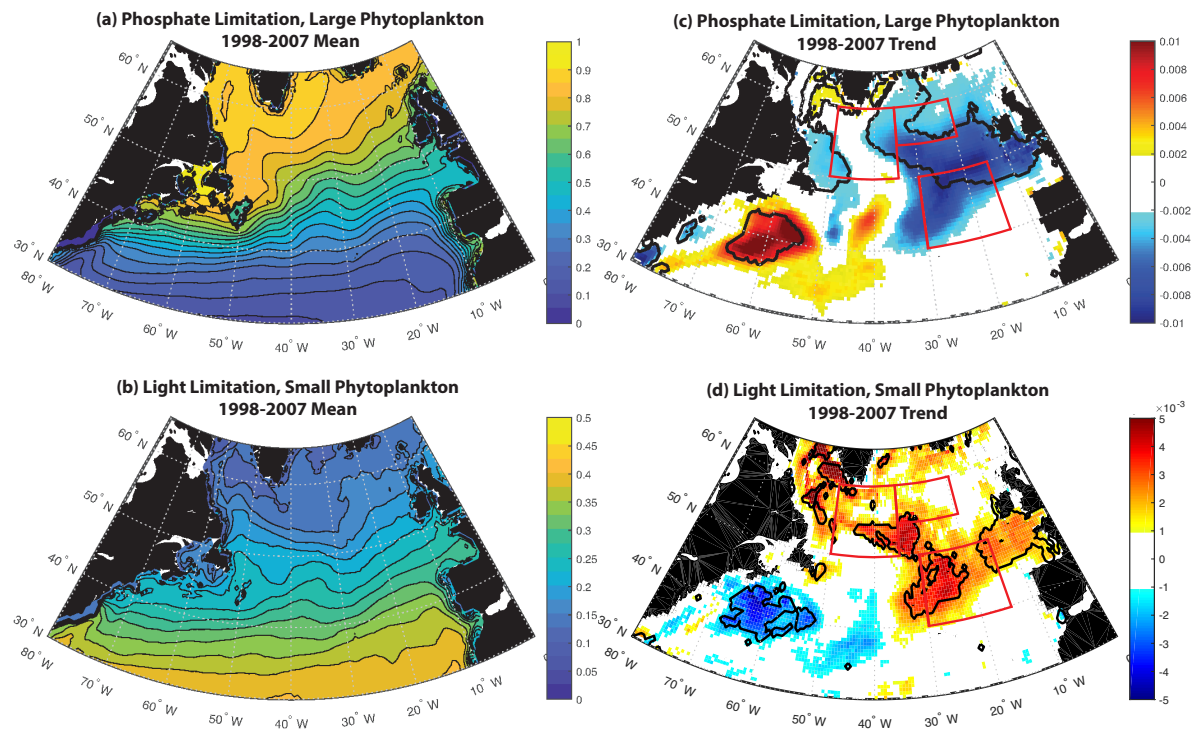


Figure 6

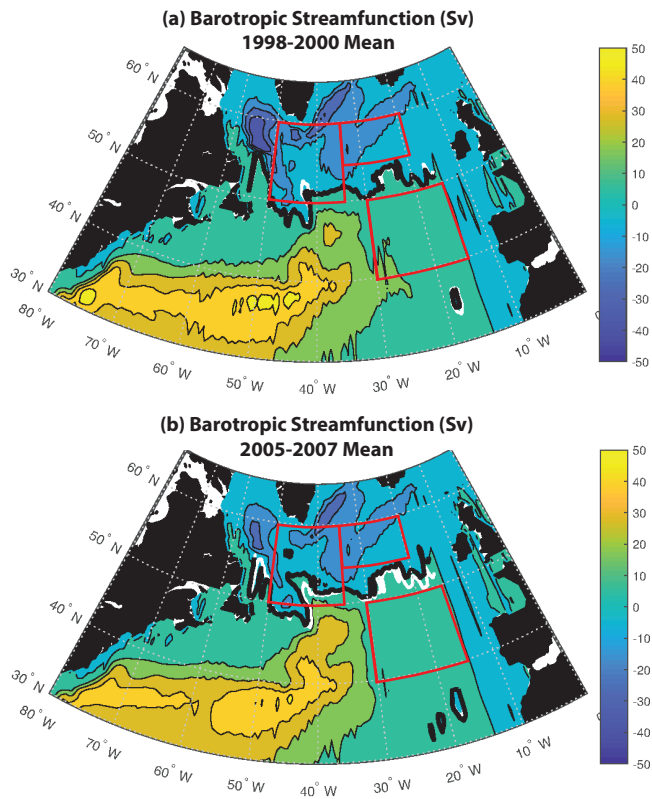


Figure 7

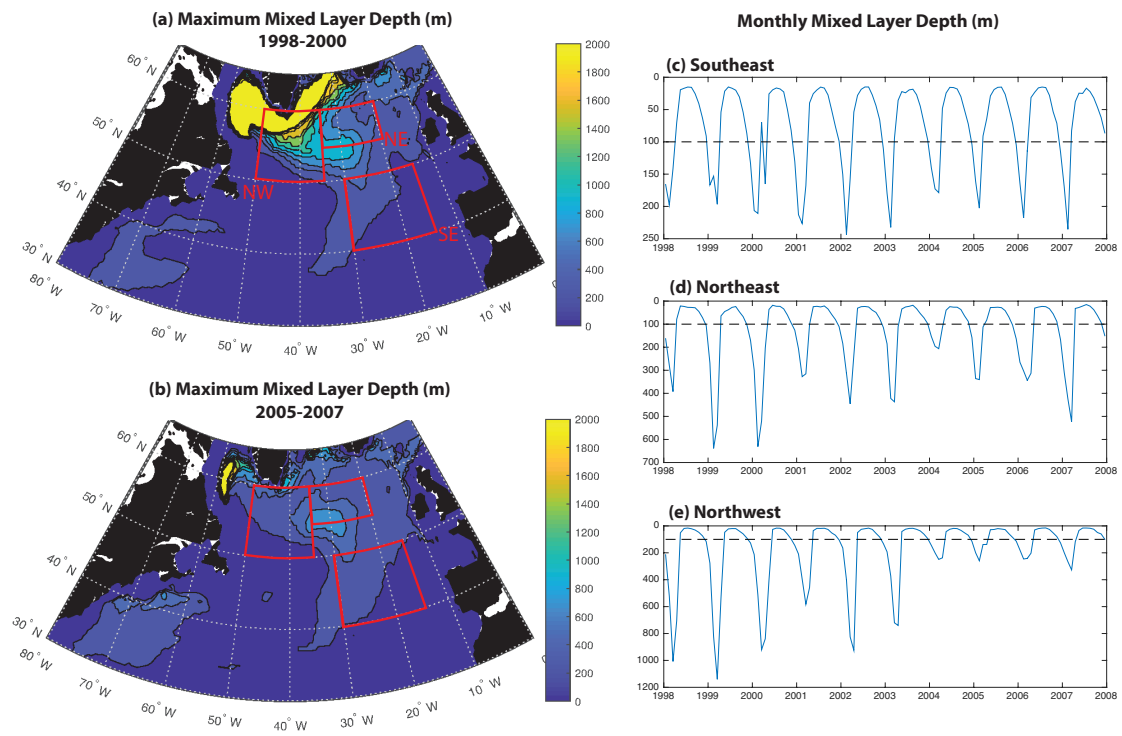


Figure 8

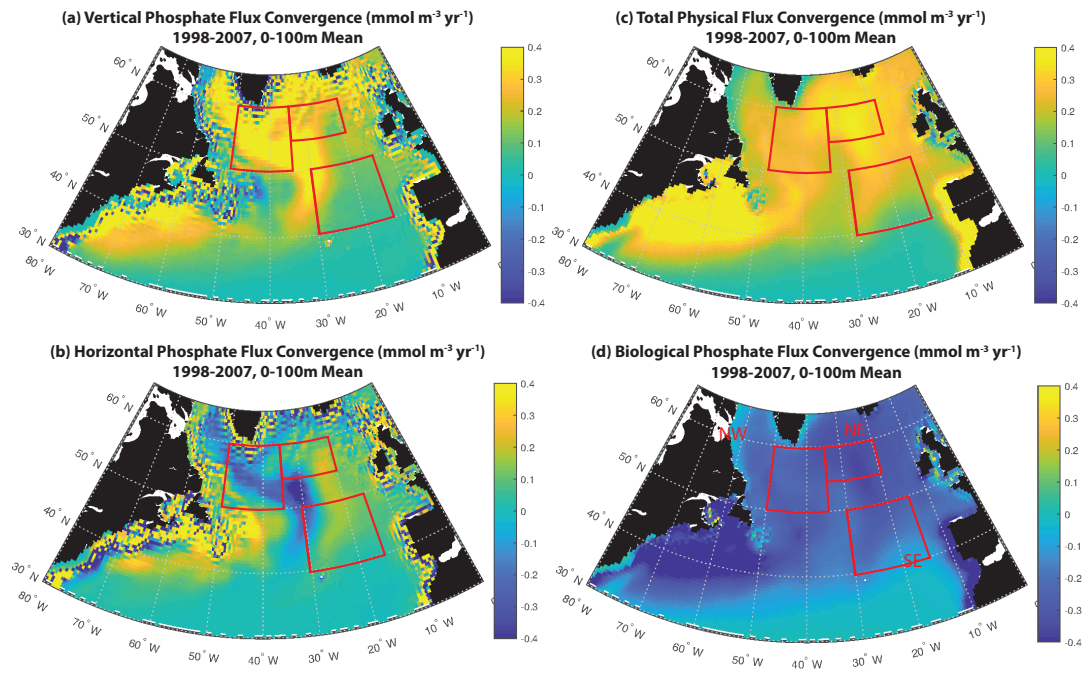


Figure 9

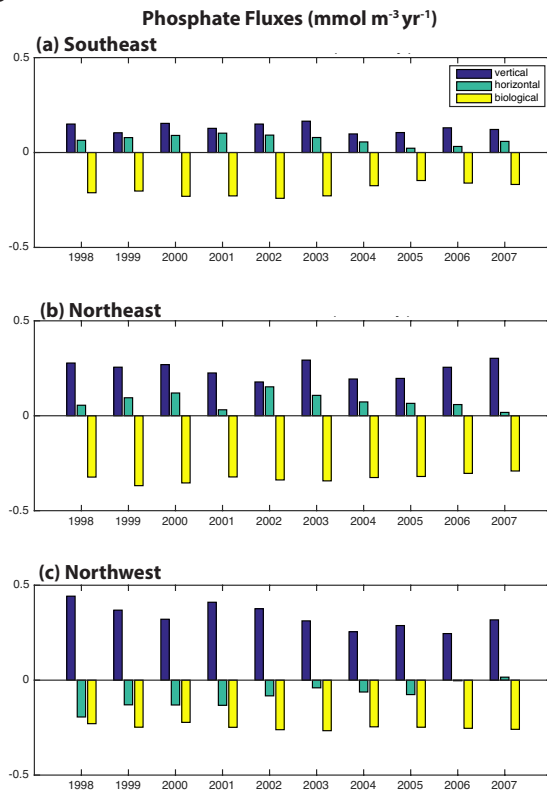


Figure 10

