

Rebuttal for “A quest for the biological sources of the ubiquitous long chain alkyl diols in the marine realm” by Sergio Balzano et al.

Comments by referee in bold; responses in normal font.

Referee 1: J. K. Volkman

Balzano et al. report an attempt to identify the sources of long-chain alkyl diols in samples of marine particulate matter in the tropical North Atlantic. The major component that they observe, in common with most marine samples, is the C30 1,15-diol. However, this is not the main chain-length in microalgae known to produce alkyl diols. For example, in marine eustigmatophytes the major diol is the C32 1,15-diol and this is accompanied by other long-chain components such as n-alkenols and unsaturated alkyl diols. The use of 18S rDNA to identify possible sources of organic matter has been successful in other studies and thus the rationale for combining genetic and biomarker data is soundly based. The fact that a clear source could not be identified is salutary and raises useful questions as to how best to combine these techniques in future studies. The paper is well written and the datasets are extensive and nicely discussed. I support publication with some changes and corrections as set out below.

We thank Dr. Volkman for his positive comments on our manuscript. Please find below detailed answers to the comments:

- 1) The Introduction provides all the background information, but the structure could be improved. The first few sentences are fine, but at line 52 the text jumps to various proxies that have been developed. I think that it would be better to move the information on possible sources (line 68) here so that the reader has a clear idea of the type of distributions found and the differences between species. This should include mention of which chain-lengths are abundant and what other biomarkers might be present. This might be incorporated into Supplementary Table S1. This Table also contains a number of unpublished results, but without detail. Some of these are surprising (e.g., Heterosigma) and it is a bit disconcerting to see them referred to as known diol producers when the information has not been published. Note that Rampen et al. (2012) were not the first authors to remark that the distributions in eustigmatophytes do not match those in marine samples (see Volkman et al., 1992).**

We re-arranged the text and we are now first describing the possible sources of LCDs (lines 58-85). We do have some information about other diol producers which has indeed not been published yet. We agree that this is unfortunate, since there are no immediate plans for a separate publication of these results, but we propose to at least list them here in the supplementary Table. They were grown by the culture collections from which the algae were ordered and analysed according to the same methods as described here and we will clarify this in Table S1 as a footnote. We will cite Volkman et al. 1992 in addition to Rampen et al., 2012.

- 2) The next paragraph can then introduce the proxies and add more discussion about their limitations. Like many biomarker proxies, these are empirically based from geographically limited datasets and in some cases do not have a strong mechanistic underpinning as to why they appear to correlate with oceanographic features such as temperature and upwelling. While a source of 1,14-diols is known from the diatom genus *Proboscia* which provides an explanation for why these isomers might be abundant where *Proboscia* is abundant, our lack of knowledge of the main source of the C30 1,15 diol weakens their use as a proxy. If the source can be identified then this will allow studies to underpin their use as proxy which is another justification for the type of work reported here.**

We agree and are now discussing the proxies and their limitations in lines 100-105.

- 3) In the methodology it is important to explain why base and acid hydrolysis was used rather than a simple solvent extraction. If the alkyl diols were present in polar lipids, as seems likely in *Nannochloropsis*, then this procedure converts them to free lipids. This is relevant to later discussion of the possible effects of non-living organic matter (detritus) on the distributions. In aged samples, one might expect higher contents of free diols due to hydrolysis/degradation of polar lipids, but the method used here unfortunately does not differentiate between free and polar forms. It is well established that alkyl diols form the backbone of algaenans made by eustigmatophytes, but it is much less clear what other lipids they might occur in. Algaenans appear to be quite stable in seawater and are an unlikely source of free alkyl diols, but the possible role of other lipids is still uncertain.**

We analysed diols by base and acid hydrolysis because we initially believed that the organic matter in our suspended particulate matter was dominated by “living cells” and fresh organic matter with minor contributions from debris. Only by analysing the results we realised that the majority of diols found here were likely derived from detritus rather than living cells. Algaenans are unlikely to be a primary source of diols but preliminary degradation results (Reiche et al., *Organic Geochemistry*, in press, <https://doi.org/10.1016/j.orggeochem.2018.08.003>) suggest that substantial amounts of diols can be released from the peripheries of *Nannochloropsis* cell wall after prolonged oxygen exposure. We do not exclude the presence of compounds other than algaenans which might source the diols found here and clarified this point in line 518.

- 4) The Discussion examines provides a good account of the reasons why the DNA results do not seem to match the measured abundances of the alkyl diols. I am a little concerned at the use of “LCD” as a shorthand for a variety of unrelated long-chain diol structures. I would restrict it to the C28–C32 group. It is quite likely that a number of distinct biosynthetic pathways have evolved over time in different organisms to produce compounds that are really only superficially similar in structure. To lump all these distributions together is not really appropriate. The authors make a brief mention of other compounds found in *Proboscia* (line 402) and use this as evidence that this genus is an unlikely source of 1,14-diols in these particular samples. This is a useful observation. I would expand the discussion here to include other biomarkers known to be present in other producers of alkyl diols such as eustigmatophytes. Assignments of possible sources are usually much more robust when multiple biomarkers are used.**

We carefully define LCDs at the start of the introduction (Line 50-51). LCD is just a chemical compound class and it would be strange to just limit it to the C28-C32 group. In the cases where we refer to this group we use a carbon chain length indication, which seems most appropriate. We analysed carefully our GC-MS results and did not detect any eustigmatophycean biomarker such as C_{32:2}/C_{32:1} alkenols or 15-OH-C_{30:0}/15-OH-C_{32:0} fatty acids. We incorporated this finding in the result section (lines 225-228).

- 5) The Conclusion provides a nice summary of the problems of comparing DNA and biomarkers when their relative stabilities are so different. I agree that the choice of sample is very important. All samples of marine particulate matter are mixtures of living and dead material so it is important in DNA-biomarker studies to sample waters where living biomass is high (e.g. near-surface blooms). Also, if compounds exist as polar forms in living organisms then it is desirable to examine those compounds separately from hydrolysed forms in the same way that phospholipids can give information about living bacteria in a way that total fatty acids do not.**

We acknowledge that our study is limited by the fact that free and bound lipids were in this case pooled together (lines 674-677 and 696-699). Note that recent work (Reiche et al. in press) highlighted that decaying *Nannochloropsis* biomass contains high proportions of diols present as free-lipids.

- 6) **Minor points: I would use the common term eustigmatophyte rather than the more cumbersome eustigmatophycean, in the same way that we use diatom rather than bacillariophycean. Line 104: change to “these analyses”. Line 121: no italics for “al.” Line 139: It is not clear what the statement “cyanobacteria were not taken into account” means here. Were they present (even abundant), but not counted? The authors are undoubtedly aware that cyanobacteria were once proposed as a source of alkyl diols. Line 161: no spaces around the “:”. Line 177: bis not Bis Line 180: 25 m not 2 5m. Line 183: SIM is usually an abbreviation for selected ion monitoring. If only these ions were run, rather than full scan, then there is a distinct possibility that other components would not be recognized. This need clarification. Line 184: the m and z in m/z should be in italics. Line 196: it is usual to use an n-dash (–) for number ranges. Line 198 and elsewhere: use a symbol prime (′) not ′. Line 207: dimethyl not Dimethyl Line 230: python-based Line 235: space after “)”. Lines 236, 269, 521: no space before %. Line 282 and elsewhere: use correct _ symbol. Line 282: if you use the expression “between” then you cannot state a range; either state “ranged from x to y” or “in the range x–y”. Line 285: salinity now has a unit (mg/kg) and psu is no longer used. Lines 294, 297: use symbol _ not x. Line 315: use station when referring to multiple stations, but Station when referring to a single numbered station. Line 393: correlated “with” rather than “to”. Line 477: Cite Volkman et al., 1992 here rather than 1999. Line 531: Indent paragraph Line 542: space after comma Line 564: detritus not debris Line 677, 733, 756, 791, 800: Damsté. Line 871: subscripts for 30 and 32.**

We prefer using the term Eustigmatophyceae and the related adjective “eustigmatophycean” because most algologists consider this group as a class rather than a phylum (<http://www.algaebase.org>). This is because Eustigmatophyceae are considered, along with diatoms and other photosynthetic Stramenopiles, as part of the phylum Ochrophyta. The related adjective would be “eustigmatophycean”.

We agree with most of the other changes suggested and we would like to clarify few further points:

Line 139: we also enumerated Cyanobacteria and, as expected, *Prochlorococcus* and *Synechococcus* were both present at densities $\approx 10^5$ cell mL⁻¹. We did not observe any correlation between these two genera and LCDs (data not shown) and we prefer to not include these data in our manuscript because this would not add useful information to the discussion. High abundances of LCDs were previously found in the Baltic Sea during a cyanobacterial bloom (Morris and Brassell, 1988) and thought to be associated with the dominant species, *Aphanizomenon flos-aquae*. However LCDs were not detected in culture material from *A. flos-aquae* (Deleeuw et al., 1992). Cyanobacteria-harboured aquatic ferns like *Azolla* can also contain diols, which were demonstrated to be biosynthesised by the plant itself rather than the symbionts (Speelman et al., 2009). Thus, we do not believe that cyanobacteria are involved in long chain diol biosynthesis.

Line 183: all the data shown here are related to SIM chromatograms. Some of our samples (≈ 20) have been also analysed by full scan. We clarified this in the method section (lines 225-228).

Referee #2

Balzano et al. describe the attempt to attribute biological sources to long chain diols (LCDs), which are compounds with a great proxy potential and widespread both in the marine water column and sediments. The study relies on the analysis of suspended particulate matter in order to compare the distribution of concentration of LCDs to environment parameters and abundance of potential LCD-producers. Unfortunately, this approach is not successful, and little information regarding LCD production can be gained. Instead, the authors provide an interesting discussion on the suitability of the combined biomarker-genetics approach. Overall, even if the results do not allow to narrow down the biological sources of LCDs, investigation is sound, and the paper is well written. A few comments are shown below.

We thank Ref. 2 for their positive comments on our manuscript. Please find below detailed answers:

Abstract: In the abstract, the authors claim that “the contributions from two taxonomic classes to which known producers are affiliated... followed a similar trend to that of the concentrations of C₃₀ and C₃₂ diols”. This statement seems to suggest a source relationship. However, in the manuscript the authors inform that correlation is low (l. 531) and that it might be that “co-occurrences are simply driven by other environmental conditions leading to similar spatial distributions” (l.533). In my opinion both statements are not consistent and the abstract should be rephrased in order to clearly state that no informative correlation between LCD and putative LCD-producers could be established.

The reviewer is correct that in the abstract we suggest a relationship but in the manuscript we nuance this. We rephrased the abstract to say that we did find a correlation between two OTUs from Chyrsophyceae with the major diol but that this correlation is weak and might be indirect (lines 32-37).

Abstract: In the manuscript, three scenarios are discussed that explain why the correlation between LCD and potential LCD-producers is so weak: contribution of fossil LCDs, undersampling of potential LCD-producers because of their low number of rRNA gene copies per cell or LCD being produced by other species. However, in the abstract only the first hypothesis is mentioned. In my opinion, presenting all three scenarios would strengthen the manuscript.

We agree that all three scenarios should be mentioned in the abstract and this has been included in the revised version (lines 35-40).

Discussion (from l.387 on): It is argued that the C₂₈ 1,13-diol can't be correctly interpreted because of its low abundance. However, C₂₈ 1,14-diol doesn't seem to be more abundant and is discussed with a lot of detail, and concerns regarding its abundance are not expressed.

The C₂₈ 1,13 diol was only detected in 19 out of 71 samples, whereas the C₂₈ 1,14 diol was found, although often in low amounts, in all samples. We compared the distribution of the C₂₈ 1,14 diol with that of C₃₀ 1,14 diol, since both compounds can be biosynthesised by *Proboscia* spp. (Sinninghe Damsté et al., 2003). In contrast it has been suggested that the C₂₈ 1,13 diol can derive from the same organisms producing the C₃₀ 1,15 diol (Rampen et al., 2014). We could not compare the distribution of these two compounds because while the former was present below detection levels in 27 % of the samples, the latter was found in all the samples. We now clarify that the difficulties in the interpretation of the C₂₈ 1,13 diol are due not only to its low abundance but also to the fact that it was not detected in some of our samples (lines 425-429).

Discussion (from l.563 on): Regarding the possibility of fossil LCD contributing to the signal I have a few comments/suggestions. (1) Is there any information available on the residence time of SPM in a system like the one studied? Is the claim that LCD may accumulate as SPM for years (l. 581) consistent with such residence times? (2) Bale et al. (2018) employed very similar (or actually the same?) samples from the same location to study biological sources of cyanobacterial lipids and were quite successful. However, these lipids have also been shown to persist over longer time scales (e.g. Bauersachs et al. 2010). This should be mentioned and the difference to LCD discussed (3) I would appreciate some hypothesis on LCD production, even if they are fossil to some degree. Do the authors expect seasonal production and therefore absence of producers during sampling? Export from land/freshwater systems? Production by a small population and massive accumulation? Which are the sources fueling this hypothetical fossil pool of LCDs?

- 1) Unfortunately, we could not find any study reporting the residence time of SPM in the Amazon Shelf or in the area or the tropical north Atlantic Ocean studied here. Most studies focus on the turnover of dissolved organic matter rather than particulate organic matter.
- 2) Yes, Bale et al. (2018) analysed samples from the same (HCC) oceanographic cruise. The authors analysed intact polar lipids, which are more suitable indicators of “fresh” organic matter. We cited the recent paper of Bale et al. in lines 668-677.
- 3) Most producers of the LCDs measured here were indeed unlikely to be present in seawater during sampling. Whether LCD-producers occurred during another period of the year, or in other locations, is unfortunately unknown, also as we do not know who the main producers are. Export from freshwater is unlikely because only 6 stations (7-13) were slightly affected by Amazon River as shown in the salinity profile (Fig. 1). In general, the Amazon River input is low for the period of the year in which sampling took place (Molleri et al., 2010). We included this statement in lines 464-468.

Minor comments:

-l.117 (also legend for figure 1), what does HCC stand for? That is the cruise name, it stands for HeteroCystous Cyanobacteria (line 155), which was the original focus of that cruise.

-when expressing ratios of, for example, solvents (e.g. l.159 “HCl: MeOH (1:1”) empty spaces before and/or after the colon are not employed consistently. Please check throughout the text. We are now not using empty spaces after the colon when reporting ratios.

-l. 184: as far as I know, it is recommended to write “m/z” (mass to charge ratio) in Italics. Done

-l. 296. Please use either “Station” or “Stn.” consistently. Done

-Figure 1: do the dots represent sampling depths? Please explain in legend. Yes they do, the legend has been clarified (lines 1026-1027).

-Figure 1: Bale et al. (2018) used chl-a obtained by fluorescence instead of the extraction-based approach used here, and those data seem to have a better coverage/resolution. Could you please explain why you are not using them? We preferred using Chl-*a* data based on methanol extraction and HPLC analyses as we could report these data as $\mu\text{g L}^{-1}$.

-Should Figure 4 maybe also be in colour (like Fig. 1 and 2)? Figs. 1-2 report different parameters which vary along a continuum (temperature, salinity, concentrations) whereas Fig. 4 is reporting the number of reads associated with specific taxa. We did not detect these reads in many samples, which appear in white and we do not see a specific need for using several colours.

-Consider adding P-values to figure 5. We added p-values on each plot of Fig. 5.

Referee #3

In their manuscript “A quest for the biological sources of the ubiquitous long chain alkyl diols in the marine realm”, S. Balzano and co-authors present a detailed lipid-DNA comparison along a transect in the tropical North Atlantic for long-chain diols and their producers.

Long-chain diols (LCD) have been of considerable interest to the community for a few years now, and show some potential as proxies for riverine input, upwelling, or potentially temperature. As their sources have not yet been clearly identified, it is timely to use an approach to combine molecular biology and DNA, which the authors employed in this study. Balzano and co-authors, using an in situ filtering approach, found diol concentrations as expected at this site, but were not able to detect the DNA of enough diol producers to account for the amounts of diols detected.

The research presented is thorough, and the manuscript is clear. I have a few questions and comments:

We thank Ref. 3 for their positive comments on our manuscript. Please find below detailed answers:

The title is engaging, but sounds more like a general review of the topic, and does not reflect the content at all. Rephrase this to clearly indicate the study area and the results.

We rephrased the title which is now “A quest for the biological sources of long chain alkyl diols in the western tropical North Atlantic Ocean”.

Why was this specific study area selected, what makes it useful for the research question?

We selected samples from the HCC cruise for this study because the stations sampled include two groups of off-shore stations (1-6 and 15-23) separated by some stations slightly affected by the Amazon River (7-13) as shown in Fig. 1. The original target of the cruise was to sample for heterocystous cyanobacteria which were known to occur in this area (see Bale et al., 2018).

There is a mismatch between the DNA and the diol concentrations. Could this be because of the size fraction sampled (0.7 μ m)? This is addressed (I think) by the comparison of the cell counts and the discussion in L599-606, but should be made clearer.

We sampled all the eukaryotic plankton ($> 0.7 \mu\text{m}$) and used the same filter to analyse both LCDs and microbes. We do not see any bias in this approach and we believe that there are three main reasons for the mismatch between microbial community and diol distribution: (1) primer mismatch leading to real LCD-producers being undetected, (2) fossil nature LCDs and (3) undersampling of potential LCD-producers because of their very low number of rRNA gene copies. We have clarified this in the discussion (lines 506-521 and 612-632).

The supplementary data is great and detailed, but the diol concentrations should be added in a table as well. Have the sequences been deposited in GenBank

We added the raw diol concentrations in Table S2 as well as the Genbank accession number ([SUB4388921](#)) for the sequences

The references are inconsistent, some contain a doi, some don't, some include the doi as a link, the citation for ODV is not correct.

We checked all the references, added the doi where available and made sure they are all in the correct format for Biogeosciences

L182-183: The temperature regime is a very minor detail to add, so for the sake of the reader who wants to reproduce this, I would add this to the section.

The temperatures of the sampling points analysed here are also shown in Fig. 1A.

L277-297: Is this new data or has this been published by Bale et al. 2017?

Some of these data are also published in Bale et al. (2018), since both manuscripts are referring to samples collected during the same cruise. We clarified in the manuscript which data are from Bale et al. (2018) and which once are published here for the first time (lines 159-160, see also legend of Fig. 1).

Table 2: Is that % abundance or actual concentrations?

Table 1 refers to the number of rRNA gene reads from different taxa as well as their percentage contribution to the total rRNA gene reads. We clarified this in Table 1. Table 2 and Table 3 show instead Spearman correlation values.

Figure 1&2: Considering the two-dimensional nature of the transect, a supplementary online 3D plot could be useful.

We believe that Fig. 1 and Fig. 2 show sufficient information on the physical parameters and the LCD concentrations on the samples analyses.

References

- Bale, N. J., Villareal, T. A., Hopmans, E. C., Brussaard, C. P. D., Besseling, M., Dorhout, D., Sinninghe Damsté, J. S., and Schouten, S.: C5 glycolipids of heterocystous cyanobacteria track symbiont abundance in the diatom *Hemiaulus hauckii* across the tropical north Atlantic, *Biogeosciences*, 15, 1229–1241, <https://doi.org/10.5194/bg-2017-300>, 2018.
- Deleeuw, J. W., Rijpstra, W. I. C., and Mur, L. R.: The absence of long-chain alkyl diols and alkyl keto-1-ols in cultures of the cyanobacterium *Aphanizomenon-flos-aquae*, *Org. Geochem.*, 18, 575-578, 10.1016/0146-6380(92)90120-m, 1992.
- Moller, G. S. F., Novo, E., and Kampel, M.: Space-time variability of the Amazon River plume based on satellite ocean color, *Cont. Shelf Res.*, 30, 342-352, <https://doi.org/10.1016/j.csr.2009.11.015>, 2010.
- Morris, R. J., and Brassell, S. C.: Long chain alkanediols. Biological markers for cyanobacterial contributions to sediments. , *Lipids*, 23, 256-258, 10.1007/bf02535468, 1988.
- Rampen, S. W., Datema, M., Rodrigo-Gamiz, M., Schouten, S., Reichart, G.-J., and Sinninghe Damsté, J. S.: Sources and proxy potential of long chain alkyl diols in lacustrine environments, *Geochim. Cosmochim. Ac.*, 144, 59-71, <https://doi.org/10.1016/j.gca.2014.08.033>, 2014.
- Sinninghe Damsté, J. S., Rampen, S., Irene, W., Rupstra, C., Abbas, B., Muyzer, G., and Schouten, S.: A diatomaceous origin for long-chain diols and mid-chain hydroxy methyl alkanoates widely occurring in Quaternary marine sediments: Indicators for high-nutrient conditions, *Geochim. Cosmochim. Ac.*, 67, 1339-1348, [https://doi.org/10.1016/s0016-7037\(02\)01225-5](https://doi.org/10.1016/s0016-7037(02)01225-5), 2003.
- Speelman, E. N., Reichart, G. J., de Leeuw, J. W., Rijpstra, W. I. C., and Sinninghe Damsté, J. S.: Biomarker lipids of the freshwater fern *Azolla* and its fossil counterpart from the Eocene Arctic Ocean, *Org. Geochem.*, 40, 628-637, <https://doi.org/10.1016/j.orggeochem.2009.02.001>, 2009.

1 **A quest for the biological sources of long chain alkyl**
2 **diols in the western tropical North Atlantic Ocean.**

3
4 **Sergio Balzano^{a*}, Julie Lattaud^a, Laura Villanueva^a, Sebastiaan Rampen^a, Corina**
5 **P.D. Brussaard^a, Judith van Bleijswijk^a, Nicole Bale^a, Jaap S. Sinninghe Damsté^{a,b},**
6 **Stefan Schouten^{a,b}**

7
8 ^a Department of Marine Microbiology and Biogeochemistry (MMB) and Utrecht University,
9 NIOZ Royal Netherlands Institute for Sea Research, PO Box 59, 1790 AB Den Burg, The
10 Netherlands

11 ^b Department of Earth Sciences, Faculty of Geosciences, Utrecht University, Budapestlaan 4,
12 3584 CD Utrecht, The Netherlands

13
14 * Correspondence to Sergio Balzano. E-mail address: Sergio.balzano@nioz.nl (S. Balzano).

15

16 Abstract

17 Long chain alkyl diols (LCDs) are widespread in the marine water column and sediments
18 but their biological sources are mostly unknown. Here we combine lipid analyses with 18S
19 rRNA gene amplicon sequencing on suspended particulate matter (SPM) collected in the photic
20 zone of the western tropical North Atlantic Ocean at 24 stations to infer relationships between
21 LCDs and potential LCD-producers. The C₃₀ 1,15-diol was detected in all SPM samples and
22 accounted for >95% of the total LCDs, while minor proportions of C₂₈ and C₃₀ 1,13-diols, C₂₈
23 and C₃₀ 1,14-diols as well as C₃₂ 1,15-diol were found. The concentration of the C₃₀ and C₃₂
24 diols was higher in the mixed layer of the water column compared to the deep chlorophyll
25 maximum (DCM), whereas concentrations of C₂₈ diols were comparable. Sequencing analyses
26 revealed extremely low contributions ($\approx 0.1\%$ of the 18S rRNA gene reads) of known LCD-
27 producers but the contributions from two taxonomic classes to which known producers are
28 affiliated, i.e. Dictyochophyceae and Chrysophyceae, followed a trend similar to that of the
29 concentrations of C₃₀ and C₃₂ diols. Statistical analyses indicated that the abundance of 4
30 operational taxonomic units (OTUs) of the Chrysophyceae and Dictyochophyceae, along with
31 23 OTUs falling in other phylogenetic groups, were weakly ($r \leq 0.6$) but significantly (p-value
32 < 0.01) correlated with C₃₀ diol concentrations. It is not clear whether some of these OTUs
33 might indeed correspond to C₂₈₋₃₂ diol-producers or whether these correlations are just indirect
34 and the occurrence of C₃₀ diols and specific OTUs in the same samples might be driven by other
35 environmental conditions. Moreover, primer mismatches were unlikely but cannot be excluded
36 and the variable number of rRNA gene copies within eukaryotes, might have affected the
37 analyses leading to LCD-producers being undetected or under sampled. Furthermore, based on
38 the average LCD-content measured in cultivated LCD-producing algae, the detected
39 concentrations of LCDs in SPM are too high to be explained by the abundances of the suspected
40 LCD-producing OTUs. This is likely explained by the slower degradation of LCDs compared
41 to DNA in the oxic water column and suggests that some of the LCDs found here were likely
42 to be associated to suspended debris, while the DNA from the related LCD-producers had been
43 already fully degraded. This suggests that care should be taken in constraining biological
44 sources of relatively stable biomarker lipids by quantitative comparisons of DNA and lipid
45 abundances.

46

47 **Keywords:** long chain alkyl diols, HCC, tropical North Atlantic, 18S rRNA gene amplicon
48 sequencing, Eustigmatophyceae, Chrysophyceae, Dictyochophyceae

49 1. INTRODUCTION

50 Long chain alkyl diols (LCDs) are lipids that consist of a linear alkyl chain with 22–38
51 carbons, hydroxylated at both the terminal carbon atom and at an intermediate position, and
52 usually saturated or monounsaturated. LCDs were identified for the first time in Black Sea
53 sediments (de Leeuw et al., 1981) and have subsequently been found with widespread
54 occurrence in both suspended particulate matter (SPM) and sediments from both coastal and
55 off-shore sites throughout the World Ocean (Jiang et al., 1994; Versteegh et al., 1997;
56 Rampen et al., 2014b). LCDs can be preserved in marine sediments for long periods of time
57 and their distribution can reflect the environmental conditions at the time they were produced.

58 The most abundant LCDs in seawater are the saturated C₂₈ and C₃₀ 1,13-diols, C₂₈ and C₃₀
59 1,14-diols, and C₃₀ and C₃₂ 1,15-diols (Rampen et al., 2014b), which are all likely produced by
60 phytoplankton. However, the marine biological sources of LCDs are still not fully clear
61 because, in contrast with the widespread occurrence of LCDs in the sediment, few marine
62 taxa have been shown to contain these lipids. Eustigmatophyceae contain C₃₀ 1,13-, C₃₀ 1,15-,
63 and C₃₂ 1,15-diols (Volkman et al., 1992; Rampen et al., 2014a) but they comprise mostly
64 freshwater species and only a few rare marine representatives from the genus
65 *Nannochloropsis* are known (Andersen et al., 1998; Fawley and Fawley, 2007). Furthermore,
66 the distribution of LCDs in the marine environment does not match that of LCDs of marine
67 Eustigmatophyceae (Volkman et al., 1992; Rampen et al., 2012). Species of the diatom genera
68 *Proboscia* and the dictyocophycean *Apedinella radians* contain C₂₈₋₃₂ 1,14-diols (Sinninghe
69 Damsté et al., 2003; Rampen et al., 2009; Rampen et al., 2011), with the former accounting
70 for significant proportions of marine biomass mostly in upwelling regions (Moita et al., 2003;
71 Lassiter et al., 2006), whereas the latter has been occasionally observed in estuarine
72 environments (Seoane et al., 2005; Bergesch et al., 2008). Few other marine species from
73 classes genetically related to diatoms and Eustigmatophyceae have been recently shown to
74 produce LCDs (Table S1). All the known LCD-producing phytoplankters belong to the
75 eukaryotic supergroup Heterokontophyta, a division which includes, among others, diatoms
76 and brown seaweeds. The widespread occurrence of LCDs in the marine environment, ~~in spite~~
77 ~~of~~ despite the restricted abundance and distribution of marine LCD-producers LCD-
78 producers, suggests that these compounds may be produced by unknown phytoplankton
79 species. In addition LCD in the marine environment might also derive from vegetal debris of
80 terrestrial or riverine origin. For example, C₃₀₋₃₆ diols functionalised at the 1- and the ω 18 or
81 ω 20 positions have previously been reported to occur in ferns (Jetter and Riederer, 1999;

82 Speelman et al., 2009; Mao et al., 2017) and suggested to be part of the leaf cuticular waxes.
83 Similarly, C₂₆₋₃₂ diols have been occasionally detected in plants (Buschhaus et al., 2013). This
84 suggests that vegetal debris may in principle also source LCDs in seawater.

85 Several indices, based on ratios between the different diols, have been proposed for the
86 reconstruction of past environmental conditions. The Diol Index, reflecting the proportion of
87 C₂₈ and C₃₀ 1,14-diols over the sum of C₂₈ and C₃₀ 1,14-diols and C₃₀ 1,15-diol, has been
88 proposed to track ancient upwelling conditions since the 1,14-diols are believed to be mostly
89 produced by upwelling diatoms of the genus *Proboscia* (Rampen et al., 2008). Another index,
90 the long chain diol index (LDI), which is based on the proportion of the C₃₀ 1,15-diol over the
91 C₂₈ and C₃₀ 1,13-diols, shows a strong correlation with sea surface temperature (SST) and is
92 used to determine past SST (Rampen et al., 2012; Plancq et al., 2014; Rodrigo-Gámiz et al.,
93 2015). In addition, since the C₃₂ 1,15-diol is the major component of the LCDs of freshwater
94 Eustigmatophyceae (Volkman et al., 1992; Rampen et al., 2014a), the fractional abundance of
95 C₃₂ 1,15-diol has been suggested to be a marker of riverine input in seawater (de Bar et al.,
96 2016; Lattaud et al., 2017a; Lattaud et al., 2017b). Other markers for riverine inputs in
97 seawater are the C₃₀₋₃₆ 1,ω20-diols which are produced by the freshwater fern *Azolla*
98 (Speelman et al., 2009; Mao et al., 2017). However, application of these proxies in the marine
99 realm remains uncertain. For example the growth of *Proboscia* spp. is typically promoted
100 under low concentrations of dissolved silica, whereas other diatoms dominate upwelling area
101 under higher silica concentrations (Koning et al., 2001), making the Diol Index ineffective in
102 predicting upwelling conditions when communities are dominated by other diatoms. In
103 addition, the sources of the major marine C₃₀ 1,15-diol are unknown, complicating the
104 application of the LDI as a proxy.-

105 A way of assessing the sources of biomarker lipids is to compare the abundance of lipids
106 in environmental samples with the composition of the microbial community, as determined by
107 genetic methods. For example, Villanueva et al. (2014) analysed both LCDs and
108 eustigmatophycean 18S rRNA gene sequences in a tropical freshwater lake and found five
109 clades of uncultured Eustigmatophyceae in the top 25 m of the water column of the lake,
110 where LCDs were also abundant. Abundance determination by quantitative polymerase chain
111 reaction (qPCR) highlighted that the number of eustigmatophycean 18S rRNA gene copies
112 peaked at the same depth as the LCDs, suggesting that Eustigmatophyceae are a primary
113 source for LCDs in freshwater (Villanueva et al., 2014). However, one of the limitations of
114 this approach is that it relies on specific eustigmatophycean primers designed based on the
115 sequences available in the genetic databases, which could be biased and not target all the

116 existing LCD biological sources. To compensate for this limitation high throughput amplicon
117 sequencing of the 18S rRNA gene allows the exploration of the total marine microbial
118 communities in great detail (Stoeck et al., 2009; Logares et al., 2012; Christaki et al., 2014;
119 Balzano et al., 2015; de Vargas et al., 2015; Massana et al., 2015). The combination of these
120 analyses with lipid composition may potentially assist in identifying the main LCD producers
121 in marine settings.

122 In the present study, we quantitatively analysed the composition and abundance of LCDs
123 in suspended particulate matter (SPM) collected along the tropical North Atlantic (Fig. 1A) at
124 different depths in the photic zone (surface, deep chlorophyll maximum (DCM) and bottom of
125 the wind mixed layer (BWML); see also Bale et al., 2018). The 18S rRNA gene abundance
126 and composition of the SPM was also analysed by quantitative PCR (qPCR) and high
127 throughput amplicon sequencing to infer the taxonomic composition and to compare the
128 abundance of the different taxa with that of the LCDs, in order to identify the potential marine
129 biological sources of LCDs.

130 2. MATERIAL AND METHODS

131

132 2.1 Cruise transect, ancillary data, and SPM collection

133 Samples were taken during the Heterocystous Cyanobacteria Cruise (HCC) (64PE393),
134 which took place from 24th August to 21st September 2014 along a transect on the tropical
135 North Atlantic Ocean (see Bale et al. (2018) for details). The transect was from Mindelo
136 (Cape Verde) to a location about 500 km from the Amazon River mouth and then westwards
137 along the coast towards Barbados (Fig. 1A). Temperature, salinity and nutrient data have
138 previously been reported in Bale et al. (2018).

139 Seawater was collected from two or three depths at each station to measure the
140 concentration of chlorophyll *a* (Chl-*a*) and the abundances of photosynthetic pico and
141 nanoeukaryotes. Seawater was collected during the up cast using Niskin bottles mounted on a
142 CTD frame. The sampling depths were determined based on the evaluation of the vertical
143 profiles of temperature, salinity, and chlorophyll fluorescence after the down cast of the CTD
144 deployment. The depth of the BWML and the DCM were determined based on the lowest
145 position of the mixed layer and the depth at which the highest values of chlorophyll
146 fluorescence were observed. For Chl-*a* determination seawater was collected from the Niskin
147 bottles and filtered through 0.7 µm pore-size glass-fiber (Whatman GF/F) filters, followed by
148 frozen storage. Chl-*a* was extracted with methanol buffered with 0.5 M ammonium acetate,
149 homogenized for 15 s and analysed by high performance liquid chromatography.

150 Photosynthetic pico- and nanoeukaryotes were enumerated by flow cytometry according
151 to the protocol of Marie et al. (2005). In short, 1 mL samples were counted fresh using a
152 Becton-Dickinson FACSCalibur (Erembodegem, Belgium) flow cytometer equipped with an
153 air-cooled Argon laser (488 nm, 15 mW). Phytoplankton were discriminated based on their
154 chlorophyll autofluorescence and scatter signature. Cyanobacteria, i.e. *Synechococcus* and
155 *Prochlorococcus*, were not included in the current study. Size fractionation was performed by
156 gravity filtration with >3 µm average cell diameter phytoplankton groups classified as
157 nanoeukaryotic and those <3 µm average cell diameter as picoeukaryotic phytoplankton.

158 Three McLane *in situ* pumps (McLane Laboratories Inc., Falmouth) were used to collect
159 SPM from the water column for the analysis of both lipids and microbial communities. As
160 with the collection of seawater with Niskin bottles for Chl-*a* and flow cytometry analyses, the
161 *in situ* pumps were deployed at the surface (3 - 5 m depth), the BWML and the DCM (Table
162 S2). Between 100 and 400 L of seawater was pumped and the SPM was collected on pre-

163 combusted 0.7 μm GF/F filters (Pall Corporation, Washington) and immediately frozen at -
164 80°C. For the determination of the organic carbon concentrations, SPM was freeze dried and
165 analysis was carried out using a Flash 2000 series Elemental Analyzer (Thermo Scientific)
166 equipped with a thermal conductivity detector.

167

168 **2.2 Lipid extraction and analyses of LCDs**

169 Lipids were extracted from the GF/F filters as described previously (Lattaud et al.,
170 2017b). Briefly, $\frac{1}{4}$ of the filters were dried using a LyoQuest (Telstart, Life Sciences) freeze-
171 dryer and lipids were extracted using base and acid hydrolysis. The base hydrolysis was
172 achieved with 12 mL of a 1 M KOH in methanol solution by refluxing for 1 h. Subsequently,
173 the pH was adjusted to 4 with 2 M HCl:CH₃OH (1:1, v/v) and the extract was transferred into
174 a separatory funnel. The residues were further extracted once with CH₃OH:H₂O (1:1, v/v),
175 twice with CH₃OH, and three times with dichloromethane (DCM). The extracts were
176 combined in the separatory funnel and bidistilled water (6 mL) was added. The combined
177 solutions were mixed, shaken and separated into a CH₃OH:H₂O and a DCM phase, the DCM
178 phase was removed and collected in a centrifuge tube. The aqueous layer was re-extracted
179 twice with 3 mL DCM. The pooled DCM layers were dried over a sodium sulfate column and
180 the DCM was evaporated under a stream of nitrogen. The extract was then acid hydrolyzed
181 with 2 mL of 1.5 M HCl in CH₃OH solution under reflux for 2 h. The pH was adjusted to 4 by
182 adding 2 M KOH:CH₃OH. 2 mL of DCM and 2 mL of bidistilled water were added to the
183 hydrolyzed extract, mixed and shaken and, after phase separation, the DCM layer was
184 transferred into another centrifuge tube. The remaining aqueous layer was washed twice with
185 2 mL DCM. The combined DCM layers were dried over a sodium sulfate column, the DCM
186 was evaporated under a stream of nitrogen and a C₂₂ 5,17-diol was added to the extract as
187 internal standard. The extract was separated on an activated aluminium oxide column into
188 three fractions using the following solvents: hexane:DCM (9:1, v/v), hexane:DCM (1:1, v/v)
189 and DCM:CH₃OH (1:1, v/v). The latter (polar) fraction containing the diols was dried under a
190 gentle nitrogen stream. Diols were derivatized by silylating an aliquot of the polar fraction
191 with 10 μL N,O-bis(trimethylsilyl) trifluoroacetamide (BSTFA) and 10 μL pyridine, heating
192 for 30 min at 60 °C and adding 30 μL of ethyl acetate. The analysis of diols was performed by
193 gas chromatography-mass spectrometry (GC-MS) using an Agilent 7990B GC gas
194 chromatograph, equipped with a fused silica capillary column (2-5 μm x 320 μm) coated with
195 CP Sil-5 (film thickness 0.12 μm), coupled to an Agilent 5977A MSD mass spectrometer.
196 The temperature regime for the oven was the same as that used by Lattaud et al. (2017b): [held](#)

197 at 70 °C for 1 min, increased to 130 °C at a rate of 20 °C min⁻¹, increased to 320 °C at a
198 rate of 4 °C min⁻¹, held at 320 °C for 25 min. The flow was held constant at 2 mL min⁻¹. The
199 MS source temperature was held at 250 °C and the MS quadrupole at 150 °C. The diols were
200 identified and quantified via Single Ion Monitoring (SIM) of the m/z = 299.3 (C₂₈ 1,14-diol),
201 313.3 (C₂₈ 1,13-diol, C₃₀ 1,15-diol), 327.3 (C₃₀ 1,14-diol) and 341.3 (C₃₀ 1,13-diol, C₃₂ 1,15-
202 diol) ions (Versteegh et al., 1997; Rampen et al., 2012). Surface samples, which contained the
203 highest concentrations of LCDs, were also analysed by full scan to evaluate the presence of
204 other eustigmatophycean biomarkers such as long chain alkenols and long chain hydroxy fatty
205 acids. Absolute concentrations were calculated using the peak area of the internal standard as
206 a reference.

207

208 **2.3 DNA extraction, PCR, qPCR, and 18S rRNA gene sequencing**

209 On ice a small portion of the GF/F filters, corresponding to 1/16 of their initial size,
210 hence containing SPM from ca. 25 L of seawater, was cut into small pieces using sterile
211 scissors and tweezers. Filter pieces were then transferred into 2 mL microtubes and the DNA
212 was extracted using a MOBIO powersoil DNA isolation kit (Qiagen) following manufacturer
213 instructions. We amplified the hypervariable V4 region of the 18S rRNA which is considered
214 the best genetic marker for the identification of microbial eukaryotes (Logares et al., 2012;
215 Massana et al., 2015). The V4 is located in a central region (565-584 bp to 964-981 bp for
216 *Saccharomyces cerevisiae*) of the 18S rRNA and it was amplified from the genomic DNA by
217 PCR using the universal eukaryotic primers TAREuk454FWD1 (5'-
218 CCAGCASCYGC GGTAATTCC-3') and TAREuk454REV3 (5'-
219 ACTTTCGTTCTTGATYRA-3') (Stoeck et al., 2010). Primers were modified for multiplex
220 sequencing on a Roche 454 GS FLX system: a 454-adaptor A
221 (CCATCTCATCCCTGCGTGTCTCCGACTCAG), a key (TCAG), and a 10 bp sample-
222 specific Multiple Identifier (MID, Table S3) were bound to the 5' end of the forward primer,
223 whereas a 454-adaptor 2 (CCTATCCCCTGTGTGCCTTGGCAGTCTCAG) and a unique
224 MID (CGTGTCA) were bound to the 5' end of the reverse primer for all the samples. The
225 PCR mixture included 25 µL Phusion Flash High-Fidelity PCR Master Mix (ThermoFisher
226 Scientific) 19.1 µL deionised water, 1.5 µL dimethyl sulfoxide, 1.7 µL from each primer and
227 25 ng genomic DNA and the V4 region was amplified using the same thermal cycling as
228 described by Logares et al. (2012). Amplicons were visualised on a 1% agarose gel and V4
229 bands were excised and subsequently purified using a QIAquick Gel Extraction Kit (Qiagen)
230 and DNA concentration was measured by Qubit Fluorometric Quantitation (ThermoFisher

231 Scientific). For each sequencing run, 20 samples were pooled in equimolar amount and
232 sequenced using a 454 GS-FLX Plus (Macrogen Korea). Some samples yielded a low number
233 of reads and were re-sequenced; overall 77 samples were sequenced in 5 sequencing runs.

234 To determine the concentration of total 18S rRNA genes within the seawater sampled we
235 carried out qPCR using the same primers and the same cycling conditions as described above.
236 qPCR analysis was performed on a Biorad CFX96™ Real-Time System/C1000 Thermal
237 cycler equipped with CFX Manager™ Software. Abundance of 18S rRNA gene sequences
238 was determined with the same primer pair (TAReuk454FWD1/ TAReuk454REV3) used for
239 the 18S rRNA gene diversity analysis. Each reaction contained 12.5 µL MasterMix phusion,
240 8.25 µL deionised nuclease-free water, 0.75 µL DMSO, 1 µL from each primer and 0.5 µL
241 Sybr green and 1 µL of DNA template. Reactions were performed in iCycler iQ™ 96-well
242 plates (Bio-Rad). A mixture of V4 18S rRNA gene amplicons obtained as described above
243 was used to prepare standard solutions. All qPCR reactions were performed in triplicate with
244 standard curves from 6.4×10^3 to 6.4×10^9 V4 molecules per microliter. Specificity of the
245 qPCR was verified with melting curve analyses (50 °C to 95 °C).

246

247 **2.4 Bioinformatic analyses**

248 Bioinformatic analyses were carried out using the python-based bioinformatic pipeline
249 quantitative insight in microbial ecology (QIIME) (Caporaso et al., 2010). Overall, we
250 obtained 372 107 raw sequences; reads with a length comprised between 250 and 500 bp, less
251 than 8 homopolymers, and a phred quality ≥ 25 over 50 bp sliding windows were kept for
252 downstream analyses. Chimeric sequences were then identified by comparison with the
253 Protist Ribosomal Database 2 (PR2) (Guillou et al., 2013) using the Uchime algorithm (Edgar
254 et al., 2011) and removed from the dataset along with singletons (i.e. reads not sharing 100%
255 identity with at least another read).

256 A total of 238 564 reads remaining after quality filtering were clustered into 2457
257 Operational Taxonomic Units (OTUs) based on 95-% sequence identity using Uclust (Edgar,
258 2010). Samples containing less than 1000 sequencing reads were removed from the dataset.
259 The taxonomic affiliation of the OTUs was then inferred by comparison with the PR2
260 (Guillou et al., 2013) using BLAST (Altschul et al., 1990) within the QIIME pipeline. Reads
261 from metazoa and multicellular fungi were removed from the dataset which finally contained
262 1871 OTUs and 184 279 reads. [A representative set of sequences from the OTUs used here](#)
263 [has been submitted to the GenBank \(SUB4388921\)](#). The abundance of the different taxa in
264 each sample were estimated by multiplying the percentage of reads with the concentration of

265 V4 copies measured by qPCR. Taxa containing C₂₈₋₃₂ diol-producers were extracted from the
266 dataset and plotted using Ocean Data View (ODV) (Schlitzer, 2002).

267

268 **2.5 Statistical analyses**

269 Linear regression analyses between the concentrations of the different LCDs were
270 performed to assess whether some of the LCDs were likely to derive from a common source.
271 To investigate relationships between LCDs and environmental conditions we calculated the
272 Spearman rank correlation coefficient (r) using the R package *vegan* (Dixon, 2003). The
273 environmental data used were temperature, salinity, TOC, nutrients (nitrate, nitrite,
274 ammonium, phosphate, and silica), as well the concentration of Chl-*a* and the abundance of
275 photosynthetic pico and nanoeukaryotes. Samples containing missing data and outliers were
276 removed from the dataset before the calculations. Both correlation coefficients and p-values
277 were calculated and the latter were corrected for False Discovery Rates (Benjamini and
278 Hochberg, 1995). Correlations were considered significant for p-values <0.01.

279 To investigate the relationships between lipids and microbial taxa we also calculated the
280 Spearman's rank correlation coefficient between the LCD concentrations and the abundance
281 of the different taxa at both OTU and class levels. To this end, taxonomic data were
282 normalized based on the number of V4 copies in the different samples measured by qPCR.

283 Comparisons at class level provide the advantage of pooling distribution data from several
284 closely-related OTUs, thus reducing the number of zeros (samples where a given OTU is
285 absent), which complicates statistical analyses of biological distributions (Legendre and
286 Gallagher, 2001). However pooling OTUs at higher taxonomic levels likely leads to
287 combining of species able and unable to produce LCDs falling into the same taxonomic level.
288 We thus removed OTUs that were observed in fewer than 19 samples (25%) and compared
289 the resulting OTU table with the LCD concentrations. These analyses were performed using
290 the qiime script *observation_metadata_correlation.py* (Caporaso et al., 2010) and the p-values
291 were corrected for false discovery rates (Benjamini and Hochberg, 1995).

292 3. RESULTS

293

294 3.1 Ancillary data

295 The HCC cruise sailed across tropical Atlantic waters (Fig. 1A) in late summer and was
296 targeted at SPM from the photic zone collected at the surface, the BWML and the DCM. The
297 extent of the photic zone as well as the depths of both BWML and DCM at each station were
298 assessed based on the vertical profiles of temperature, salinity and chlorophyll fluorescence.
299 The temperature of photic zone waters ranged from 15 to 29 °C (Fig. 1B, Table S2), the
300 BWML depth was comprised between 9 and 40 m, whereas the depth of the DCM ranged
301 from 45 to 105 m. Temperatures varied at the DCM increasing westwards, whereas they were
302 relatively constant at surface and BWML. Salinity varied between 29 and 36.5 g kg⁻¹ (Fig. 1C,
303 Table S2) at the surface, whereas it was fairly constant in the DCM (36 to 37). The
304 concentration of Chl-*a* varied from 34 to 470 ng L⁻¹ (Fig. 1D, Table S2), with the lowest
305 values measured at the surface of the easternmost (1 to 6) and westernmost (21 to 23) stations
306 and the relatively higher concentrations in surface waters of the shallowest stations (11 to 13)
307 located above the continental shelf and about 500 km off the Amazon River mouth (Fig. 1A,
308 Table S2). The POC concentration ranged from 0.6 to 13 mg L⁻¹ and also peaked at surface
309 for the shallowest stations (Fig. 1E, Table S2).

310 Photosynthetic picoeukaryotes, quantified by flow cytometry, were more abundant at the
311 DCM compared to surface and BWML (Fig. 1F). Their abundance peaked at the DCM of
312 Stations 1 and 2 ($>1.5 \cdot 10^7$ cell L⁻¹), whereas for surface waters the highest values were
313 measured at Stations 11 to 13. In contrast, photosynthetic nanoeukaryotes did not vary
314 substantially through the water column and their abundance peaked at the surface of Station
315 17 reaching a density of $1.4 \cdot 10^5$ cell L⁻¹ (Fig. 1G).

316

317 3.2 Long chain alkyl diols

318 Six LCDs were detected, the C₂₈ and C₃₀ 1,13-diols, C₂₈ and C₃₀ 1,14-diols and the C₃₀
319 and C₃₂ 1,15-diols (Fig. 2, Table S2). The C₃₀ 1,15-diol dominated all samples, accounting for
320 >95% of the total LCDs, and its concentration ranged from 100 to 1600 pg L⁻¹. The
321 concentration of the C₂₈ 1,13-diol ranged from 0 (i.e. undetectable) to 55 pg L⁻¹, whereas the
322 highest concentration measured for the C₂₈ 1,14-diol was 64 pg L⁻¹. The other minor diols
323 were usually more abundant than the C₂₈ diols, reaching concentrations up to 190 pg L⁻¹ for
324 the C₃₀ 1,13-diol, 240 pg L⁻¹ for the C₃₀ 1,14-diol, and 480 pg L⁻¹ for the C₃₂ 1,15-diol (Fig. 2).

325 The concentration of the C₂₈ 1,13-diol peaked in the surface waters of Station 10, but it was
326 below the detection limit in 19 samples from different depths and stations (Fig. 2A). The C₂₈
327 1,14-diol reached its highest concentrations at the DCM of Station 12 (64 pg L⁻¹) and at the
328 surface of Station 13 (45 pg L⁻¹) and tended to be more abundant in the waters of the eastern
329 stations (Fig. 2B). The concentrations of both C₂₈ 1,13- and C₂₈ 1,14-diols did not vary
330 significantly with depth (t-test, p-value >0.1), while those of the C₃₀ 1,13-, C₃₀ 1,14-, and C₃₀
331 1,15-diols were higher in the mixed layer (surface and BWML) compared to the DCM (p-
332 value <0.01).

333 The concentration of the C₃₀ 1,13-diol peaked at the surface of Stations 10 and 14 (Fig.
334 2C), while that of the C₃₀ 1,14-diol reached its maximum at the BWML of Stations 7 and 8
335 (Fig. 2D). The highest concentration of the C₃₀ 1,15-diol was measured at the surface of
336 Station 17 (16 ng L⁻¹, Fig. 2E). The concentration of the C₃₂ 1,15-diol peaked in the surface
337 waters of Stations 10 and 14 and at the DCM of Station 7 (Fig. 2F) and its concentration did
338 not vary significantly with depth. The concentrations of both the C₃₀ and C₃₂ diols peaked in
339 the mixed layer of Stations 7-10 and 14-17, which are located in close proximity to the
340 Amazon Shelf (Figs. 2C-F).

341

342 **3.3 Eukaryotic 18S rRNA gene diversity analysis**

343 Sequencing of the hypervariable V4 region of the 18S rRNA gene of 68 SPM samples
344 resulted in 238 564 reads with an average of 4 987 reads per sample (Table S2). Reads were
345 clustered based on 95% sequence identity and, after removal of reads of Metazoa and
346 multicellular fungi, we obtained 1871 operational taxonomic units (OTUs). Rarefaction
347 analyses indicate that >90% of the genetic diversity was captured (Fig. S1), suggesting that no
348 sample was under sequenced. Most (>90%) reads sequenced here were assigned to
349 Dinophyceae, Syndiniales, Metazoa, Haptophyta, and Radiolaria (Fig. 3). Samples were
350 grouped according to the depth layer (surface, BWML, and DCM) and analysis of similarity
351 (anosim) revealed that the average variance between samples from different groups was
352 higher than the average variance between samples from the same group (p-value ≈ 0.001),
353 indicating that the eukaryotic community was mostly influenced by the water depth rather
354 than the geographic location. The proportion of reads from Dinophyceae, Syndiniales, and
355 Haptophyta was slightly higher in the mixed layer compared to the DCM, whereas Radiolaria
356 and Pelagophyceae tended to be slightly more abundant in deeper waters (Fig. 3). All samples
357 except surface waters from Station 12, the BWML from Station 11 and the DCM from Station
358 22 exhibited high contributions (>50%) from Dinophyceae and Syndiniales (Fig. S2).

359 Radiolaria dominated the DCM at Station 22, diatoms were relatively abundant (\approx 10-20%) at
360 the surface of Stations 12-14 and the BWML of Station 12 while the contribution of diatom
361 reads was <5% for all the other samples.

362 18S rRNA gene reads of only four taxa containing known LCD-producers were detected
363 within our dataset: *Proboscia* spp., Florenciellales, *Heterosigma* spp., and Eustigmatophyceae
364 (Table 1). In 33 out of 68 SPM samples we did not detect any 18S rRNA gene read from
365 known LCD-producers, whereas reads from these taxa accounted for <0.1% of the total 18S
366 rRNA reads in 24 samples, 0.1 to 0.5% in 8 samples, 0.5 to 1% in 2 samples and 1.5% in one
367 sample (Station 20, BWML). The 18S rRNA gene reads from putative LCD-producers were
368 mostly recovered from the mixed layer (Table 1). Florenciellales was the most abundant taxon
369 among the known LCD-producers since it exhibited the highest number of reads (99) and was
370 present in 28 out of 68 samples. The other taxa of putative LCD-producers were detected only
371 in 8 (Eustigmatophyceae) or 2 (*Proboscia* sp. and *Heterosigma akashiwo*) samples (Table 1)
372 accounting from 3 (*Proboscia*) to 45 (Eustigmatophyceae) reads. Eustigmatophyceae (mostly
373 affiliated to *Nannochloropsis oculata*) were found at surface for the Stations 11, 12, and 13,
374 as well as at the DCM of Station 20 (Fig. 4A).

375 Since species genetically related to cultivated microalgae known to produce LCD may
376 also contain LCDs, we expanded our community composition analyses to groups at a higher
377 taxonomic level and focused on those classes or divisions that contain LCD-producers (Table
378 S1). Specifically we investigated the distribution of Eustigmatophyceae, since they are the
379 most well-known class of LCD-producers, Pelagophyceae and Chrysophyceae, which include
380 the LCD-producers *Sarcinochrysis marina* and *Chrysothrix parvula*, respectively (Table
381 S1), Dictyochophyceae, which includes *Apedinella radians* (Rampen et al., 2011), and
382 Raphidophyceae, which include two LCD-producers, *H. akashiwo* and *Haramonas dimorpha*.
383 We did not detect any representative of Pinguicophyceae, a class which include the LCD-
384 producer *Phaeomonas parva* (Table S1). Reads associated to Pelagophyceae, and mostly
385 (97%) affiliated to *Pelagomonas calceolata*, were recovered more frequently as they were
386 present in 55 samples with an average abundance of 85 reads (2% of total reads) per sample
387 and a maximum value of 935 reads (12% of total) in the DCM of Station 23 (Fig. 4B).
388 Pelagophyceae reads were mostly detected in the DCM and were particularly abundant at the
389 3 westernmost stations investigated, where they comprised 8% of total reads (Fig. 4B).

390 Chrysophyceae and Dictyochophyceae were also detected in most samples (54 and 57
391 samples, respectively) and their reads were recovered more frequently at the surface and
392 BWML of the westernmost part of the transect (Stations 20-23) and at the surface of Stations

393 3-4 (Fig. 4C and D). Their 18S rRNA gene reads reached abundances of up to 55 and 41 reads
394 (0.4 and 0.6% of total, respectively), for Chrysophyceae and Dictyochophyceae respectively,
395 in the BWML of Station 20 (Table S4). Raphidophyceae were present only in three samples
396 from Stations 11, 12, and 13 (Fig. 4F).

397

398

399 4. DISCUSSION

400 4.1. Comparison of diol distributions

401 In general, it is thought that 1,13- and 1,15-diols derive from a different source than 1,14-
402 diols in the marine realm (Sinninghe Damsté et al., 2003; Rampen et al., 2007; Rampen et al.,
403 2011). Indeed, linear regressions showed that the concentration of C₃₀ 1,15-diol is
404 significantly correlated with those of the C₃₀ 1,13- and C₃₂ 1,15-diols (Figs. 5A-B). We did
405 not observe any significant correlation between the concentrations of the C₂₈ 1,13- and the C₃₀
406 1,13- or C₃₀ 1,15-diol (Figs. 5C-D), which might be due to the fact that C₂₈ 1,13-diol was
407 below detection limit in 19 out of 71 samples and its distribution could be compared to that of
408 the widespread C₃₀₋₃₂ diols only for the remaining 52 samples. This low abundance of C₂₈
409 1,13-diol is consistent with the relatively high temperatures observed for the tropical Atlantic
410 ocean (Fig. 1B), since the LCD core top calibration study has revealed that the fractional
411 abundance of the C₃₀ 1,15-diol is high and that of the C₂₈ 1,13-diol is low when SST is
412 relatively high (Rampen et al., 2012).

413 The concentration of the C₂₈ 1,14-diol was not correlated with that of the C₃₀ 1,14-diol
414 (Fig. 5E), potentially suggesting a different origin for the C₂₈ and the C₃₀ 1,14-diols.
415 However, the concentration of C₃₀ 1,14-diol was significantly correlated with the C₃₀ 1,15-
416 diol (Fig. 5F). This is quite surprising as the 1,14-diols in seawater have been suggested to
417 derive from *Proboscia* spp. (Sinninghe Damsté et al., 2003; Rampen et al., 2009), and to a
418 lesser extent from *A. radians* (Rampen et al., 2011), whereas the 1,13- and 1,15-diols are
419 thought to be associated with Eustigmatophyceae (Rampen et al., 2014 and references cited
420 therein). Previous studies highlighted indeed good correlations in the fluxes of C₂₈ and C₃₀
421 1,14-diols in the water column of the Arabian Sea (Rampen et al., 2007) and the northwestern
422 Indian Ocean (Rampen et al., 2008). *Proboscia* spp. contain also unsaturated 1,14-diols which
423 were not found here; specifically the warm water species *Proboscia indica* is dominated by
424 C_{28:1} and C_{30:1} 1,14-diols (Rampen et al., 2007), suggesting that the 1,14-diols found here do
425 not derive from *Proboscia* spp.. This is confirmed by the absence or very low proportions of
426 18S rRNA gene reads from the major producers of C₂₈₋₃₀ 1,14-diols, that are *Proboscia* spp.
427 and *A. radians* (Table 1). This suggests different sources for the C₂₈ and the C₃₀ 1,14-diols.
428 Since the C₃₀ 1,15-diol accounted for >95% of the C₂₈₋₃₂ diols, it is possible that the C₃₀ 1,14-
429 diol was biosynthesised in low amounts, along with C₃₀ 1,13-diol, by the producers of C₃₀
430 1,15-diol. This is supported by the fact that Eustigmatophyceae can contain small amounts of
431 1,14-diols along with large quantities of 1,15-diols (Rampen et al., 2014a); specifically the

432 C₂₈ 1,14-diol, accounts for up to the 15% of the total LCDs in *Pseudostaurastrum enorme*, and
433 lower proportions (1-5%) of C₃₀ 1,14-diols were previously found in *Vischeria punctata* and
434 *Eustigmatos vischeri* (Rampen et al., 2014a).

435 It has been reported that the distributions of LCDs can be affected by riverine input,
436 which is reflected by elevated amounts of the C₃₂ 1,15-diol (>10%, de Bar et al., 2016;
437 Lattaud et al., 2017b). However, the fractional abundance of the C₃₂ 1,15-diol in the SPM is
438 low (0 to 4%, data not shown), far lower than the values typically measured in river-
439 influenced ecosystems such as the Iberian Atlantic Margin (de Bar et al., 2016), the Kara Sea
440 (Lattaud et al., 2017b) or the Congo River plume (Versteegh et al., 2000). We did not detect
441 other eustigmatophycean biomarkers such as C₃₂ alkenols or C₃₀₋₃₂ hydroxy fatty acids
442 (Volkman et al., 1992; Gelin et al., 1997b), suggesting that riverine or marine
443 Eustigmatophyceae were unlikely to source the C₂₈₋₃₂ diols found here. The HCC cruise took
444 place in a period of the year (August/September) when the water discharge from the Amazon
445 River is typically low (Moller et al., 2010), thus leading to low inputs of riverine organic
446 matter into the sea. The distribution of LCDs in the sampled SPM is thus likely not impacted
447 by terrestrial input of LCDs.

448 Beyond Heterokontophyta, LCDs may also be produced by lower (Speelman et al., 2009)
449 and higher (Wen and Jetter, 2007; Racovita and Jetter, 2016) plants. However, only 4 reads
450 from our dataset were associated with a plant species, i.e. *Panax ginseng* (Table S4), which is
451 not known to contain LCDs. The near absence of 18S rRNA gene reads from higher plants
452 confirms the low riverine input of organic matter in the SPM of the tropical North Atlantic
453 waters analysed here.

454 We explored the variations in the concentrations of the LCDs with respect to
455 environmental data. The C₂₈ 1,13- and 1,14-diols, both occurring in low abundance, did not
456 exhibit significant correlations with any of the environmental data measured here (Table 2). In
457 contrast the concentrations of C₃₀ 1,13-, 1,14- and 1,15-diols exhibited significant but weak
458 positive correlations with temperature and dissolved silica and weak negative correlations
459 with salinity and nitrite. The concentration of the C₃₂ 1,15-diol revealed a correlation with the
460 same environmental variables as the C₃₀ diols except for dissolved silica and nitrite and
461 exhibited a weak negative correlation with the concentration of nitrate. The correlations found
462 here are likely simply due to different water masses: the mixed layer, where the highest
463 proportions of LCDs were measured, exhibited indeed higher temperatures and lower
464 salinities compared to the DCM. We repeated the analyses after excluding DCM samples and
465 did not find strong positive or negative correlations between LCDs and environmental

466 variables (data not shown). Thus, there does not seem to be a major control of environmental
467 conditions on the concentrations of LCDs.

468

469 **4.2 Comparison with eukaryotic abundance and diversity**

470 Although C₂₈₋₃₂ diols are likely produced by phytoplankton, the variability in LCD
471 abundance is not correlated with that of Chl-*a* concentration, or photosynthetic pico- and
472 nanoeukaryote abundances (Table 2). This lack of correlation suggests that the LCD-
473 producers accounted for only a small proportion of phytoplankton. The high proportion of
474 Dinophyceae, Syndiniales, and Radiolaria revealed by our genetic libraries agree with
475 previous studies on marine microbial communities based on 18S rRNA gene sequencing in
476 different environments (Comeau et al., 2011; Christaki et al., 2014; de Vargas et al., 2015).
477 However, these taxa do not necessarily dominate marine microbial communities and so our
478 results are likely due to a relatively high number of rRNA gene copies per cell (Zhu et al.,
479 2005). Larger-sized dinoflagellates such as *Prorocentrum minimum* and *Amphidinium*
480 *carterae* can contain up to 1000 gene copies per cell compared to <10 of rRNA gene copies
481 for smaller sized (<3 µm) species of Chlorophyta, Pelagophyceae, and Haptophyta (Zhu et al.,
482 2005).

483

484 *4.2.1 LCD-producers*

485 Although the primers used in this study have a perfect match with the 18S rRNA gene
486 sequences of most eukaryotes (including all the classes containing LCD-producers), and the
487 rarefaction curves indicate that we sampled an appropriate (i.e. >90%) proportion of the
488 eukaryotic community, we cannot fully exclude that some species remain undetected because
489 of under sampling, or primer mismatches. Moreover, the large number (100-1000) of rRNA
490 gene copies per cell present within dinoflagellates and Radiolaria might have somehow
491 affected the detection of LCD producers. In particular *Nannochloropsis salina* has been
492 shown to possess only 1-2 copies of 18S rRNA gene (Zhu et al., 2005), and similarly, the
493 other marine *Nannochloropsis* species, which do not differ greatly in size from *N. salina*
494 (Fawley and Fawley, 2007), are also likely to have a low number of 18S rRNA gene copies.
495 Known species of LCD-producers were present in only 51% of our SPM samples as revealed
496 by sequencing data (Table 1), whereas the major LCD, the C₃₀ 1,15-diol, was present in all
497 samples. This suggests that the LCDs found here were (1) either produced by other species
498 which were not detected using the current methodology, or (2) that the LCD-producers were
499 under sampled because of their low number of rRNA gene copies per cell, or (3) that the

500 DNA of the LCD-producers was no longer present in the SPM at the moment of sampling.
501 Specifically, marine Eustigmatophyceae were represented by only two OTUs (denovo2075,
502 *Nannochloropsis oculata*, and denovo229, uncultured Eustigmatophyceae, Table S4) detected
503 in only 8 samples, confirming the hypothesis of Volkman et al. (1992) and Rampen et al.
504 (2012) that they are not the major producers of LCDs in the marine environment. Even if we
505 expand our analyses of LCD-related species to a higher taxonomic level, we do not find large
506 proportions of 18S rRNA reads (generally <0.9-% of total reads) except for the class
507 Pelagophyceae, which accounts for up to 12-% of total reads (Fig. 2A-E). However,
508 Pelagophyceae are unlikely to be the source of any of the LCDs found here because their
509 vertical distribution ([i.e. mostly detected in the DCM, Figs. 3, 4B](#)) does not correspond well to
510 that of LCDs, which were either more abundant in the upper layers (C₃₀ 1,13-, 1,14-, and 1,15-
511 diols and C₃₂ 1,15-diol) or did not vary greatly with depth (C₂₈ diols, Fig. 2). Chrysophyceae
512 and Dictyochophyceae were instead more abundant in the upper layers (Fig. 4B-C) and
513 although none of the three known LCD-producers from these classes produces the most
514 abundant LCD detected in the SPM, i.e. C₃₀ 1,15-diol (Table S1), other species within the
515 Chrysophyceae and Dictyochophyceae may possibly be a source for the C₃₀ diols.

516 The C₂₈ diols exhibited higher concentrations at the BWML of Station 12 and at surface
517 in Station 13 (Fig. 2A and B), and higher proportions of 18S rRNA gene reads were recovered
518 from Pelagophyceae (2.4%), and Eustigmatophyceae (0.5%), at the surface of Stations 11-12
519 (Fig. 4D-F). The scattered occurrences of these groups and the mismatches in distributions
520 when compared to the LCDs suggest that the LCDs in the tropical North Atlantic Ocean are
521 unlikely to derive from Pelagophyceae, radial centric diatoms, Raphidophyceae, and/or
522 Eustigmatophyceae.

523 Overall the abundance of known LCD producers is low and scattered and does not match
524 the observed abundance patterns observed for the LCDs, suggesting that most of the LCDs
525 measured here were not produced by any of these species.

526

527 *4.2.2 Correlations between the abundance of OTUs and LCD concentration*

528 Since LCDs have been shown to be present within two genetically distant eukaryotic
529 supergroups, the Heterokontophyta and the Archaeplastida, the latter including plants as well
530 as green and red algae, the genetic and enzymatic machinery required for the biosynthesis of
531 LCDs might be present in other genera and classes, including uncultured species. We,
532 therefore, also compared the concentration of LCDs with the composition of the entire
533 eukaryotic microbial community, normalised with respect to the 18S rRNA gene abundance,

534 at both class and OTU levels to identify co-occurrence patterns. No significant correlation
535 was found at class level (data not shown), whereas the correlations at the OTU level were
536 weak ($r \leq 0.60$) but significant (p -value < 0.01) for 27 OTUs affiliated to 11 different classes
537 (Table 2). A reason behind the lack of correlation between taxonomic classes and LCDs can
538 be that pooling OTUs at higher taxonomic levels likely leads to combining the LCD-
539 producers with species which are unable to produce LCDs but that are falling in the same
540 taxonomic level. The ability of microorganisms to biosynthesize LCDs can indeed vary, even
541 between genetically related species; some genera include both LCD-producers and species
542 which do not contain LCDs (Table S1).

543 The C_{30} 1,15-diol exhibited significant correlations ($p < 0.01$) with 23 OTUs and overall,
544 27 OTUs were significantly correlated with C_{30} or to a lesser extent, C_{32} diols (Table 3). Of
545 the 27 OTUs, 4 OTUs were affiliated to classes containing known LCD-producers
546 (Chrysophyceae and Dictyochophyceae, Table 3). The abundance of the two chrysophycean
547 OTUs (denovo465 and denovo1680, Table 3) exhibited significant correlations with the
548 concentrations of both C_{30} 1,13- and 1,15-diols and accounted for 52% of the total reads from
549 this class and the only known LCD-producer from this class (*Chrysosphaera parvula*) was
550 found to contain C_{32} 1,15-diol (Rampen, unpublished results). The two OTUs affiliated to
551 Dictyochophyceae (denovo873 and denovo958) and exhibiting positive correlation with C_{30-32}
552 diols, cluster within Pedinellales and Florenciellales families, respectively, and are thus
553 closely related to two known LCD-producers, *Florenciella parvula* and *Apedinella radians*.
554 However, *F. parvula* contains C_{24} 1,13-, C_{24} 1,14-, and C_{24} 1,15-diols (Rampen, unpublished
555 results) and *A. radians* produces C_{28} , C_{30} , and C_{32} 1,14-diols (Rampen et al., 2011), whereas
556 the two dictyochophycean OTUs denovo873 and denovo958 exhibited positive correlation
557 with the C_{30} 1,15-diol (Table 3).

558 The correlation values found here are nearly all low ($r \approx 0.4-0.5$), raising the question of
559 whether these relationships reflect the ability of these species to produce LCDs or whether
560 they are simply driven by other environmental conditions leading to similar spatial
561 distributions of OTUs and LCDs. Other OTUs showing significant correlations with C_{30} 1,15-
562 diols are rare in the marine environment. For example, species falling in the Centroheliozoa
563 (OTU denovo1066) are mostly known as freshwater predators (Slapeta et al., 2005). In
564 seawater, they have only been sporadically detected in anoxic environments (Stock et al.,
565 2009; Stoeck et al., 2009), suggesting that the centroheliozoan reads found here are unlikely
566 to derive from active microorganisms. In contrast, the other OTUs include marine
567 representatives commonly found in the photic zone of seawater and thus the reads found here

568 might derive from living organisms: Syndiniales are intracellular parasites of other marine
569 protists, and the genetic clades found here (Group I Clade 4, Group II Clades 2, 7, 8, 17, and
570 23) are commonly detected in the upper 100 m of the water column (Guillou et al., 2008).
571 Spirotrichea include several heterotrophic and mixotrophic marine planktonic ciliates (Agatha
572 et al., 2004; Santoferrara et al., 2017), whereas *Phaeocystis* is a widespread primary producer.
573 The OTUs of uncultured classes exhibiting significant positive correlations with LCDs
574 (Prasino Clade IX and the HAP-3 clade) are also commonly observed in the photic zone (Shi
575 et al., 2009; Egge et al., 2015; Lopes dos Santos et al., 2016). However, cultivated
576 representatives would be required in order to confirm whether species within these clades are
577 capable of LCD synthesis.

578

579 **4.3 Can 18S rRNA gene-based community composition analysis be used to determine** 580 **LCD biological sources?**

581 The lack of correlations of C₂₈ diols with any OTUs as well as the low degree of
582 correlation between OTUs and C₃₀₋₃₂ diols and the trace abundance or near absence of known
583 LCD producers suggest that the 18S rRNA genes from the microorganisms sourcing the
584 LCDs were either absent, or present below detection level in the seawater sampled. The fact
585 that we sampled >90% of the OTUs potentially present (Figure S1) and the use of universal
586 eukaryotic primers suggests that LCD-producers have been unlikely to escape detection.
587 However, the relatively low number of rRNA gene copies found for *N. oculata* (Zhu et al.,
588 2005) and likewise also in other smaller-sized marine Eustigmatophyceae, suggest that LCD-
589 producers might have been under sampled with respect to larger-sized species which can
590 contain up to 1000 rRNA copies per cell (Zhu et al., 2005).

591 It should be considered that both the LCDs and DNA in the SPM might derive not only
592 from active or senescent cells, but also from detritus (Not et al., 2009). In addition, LCDs can
593 persist in seawater for likely much longer periods than the DNA of the related LCD-
594 producers. Although the biological function of LCDs is unclear for most species, they have
595 been shown to be the building blocks of cell wall polymers in Eustigmatophyceae, and
596 likewise they might occur in other biopolymers of marine or terrestrial origin. In
597 *Nannochloropsis* cell wall, LCDs and long chain alkenols are likely to be bound together
598 through ester and ether bonds to form highly refractory polymers known as algaenans (Gelin
599 et al., 1997a; Scholz et al., 2014). These biopolymers are thought to be quite persistent and
600 accumulate in ancient sediments for millions of years (Tegelaar et al., 1989; Derenne and
601 Largeau, 2001; de Leeuw et al., 2006). Indeed, LCDs are ubiquitous in recent surface

602 sediments (Rampen et al., 2012) and ancient sediments of up to 65 million years old
603 (Yamamoto et al., 1996) showing their recalcitrant nature.

604 Recent laboratory experiments highlighted that LCDs from dead biomass of
605 *Nannochloropsis oculata* can persist in seawater for longer than 250 days under both anoxic
606 (Grossi et al., 2001) and oxic conditions (Reiche et al., in press). In contrast, much shorter
607 turnover times (6 h to 2 months) are typically reported for extracellular DNA in the oxic water
608 column (Nielsen et al., 2007). This suggests that the DNA from LCD-producers likely reflects
609 the living eukaryotic community (recently) present when seawater was sampled, while the
610 LCDs probably represent an accumulation that occurred over longer periods of time (weeks to
611 months or even years).

612 Because of this large difference in turnover rates between LCDs and the DNA from the
613 LCD-producers, 18S rRNA gene analysis of environmental samples may be unsuccessful for
614 identifying LCD-producers. This is seemingly in contrast to a previous study that showed that
615 the LCD concentration in the upper 25 m of the freshwater lake Challa (Tanzania) was related
616 to the number of eustigmatophycean 18S rRNA gene copies (Villanueva et al., 2014).

617 However, Villanueva et al. (2014) used Eustigmatophyceae-biased primers and since this was
618 a lake system, Eustigmatophyceae are likely to be the major source of LCDs in freshwater
619 ecosystems. Importantly, they found a mismatch for the uppermost part of the water column
620 (0–5 m), where high LCD abundance (38–46 ng L⁻¹) coincided with little or no

621 Eustigmatophyceae 18S rRNA gene copies. This pattern was explained by them to be caused
622 by wind-driven and convective mixing of preserved LCDs, while phytoplankton adjusted its
623 buoyancy at greater depth (Villanueva et al., 2014). The high salinity values (≥ 33 g kg⁻¹)
624 detected in most surface samples, the low proportions of both C₃₂ 1,15-diols (2.2% over the
625 total LCDs) and 18S rRNA gene reads associated with plants (4 out of 238 564), as well as
626 the low input of freshwater from the Amazon River to the stations analysed here during the
627 sampling period (Moller et al., 2010) suggest that the LCDs found here are unlikely to have a
628 freshwater origin.

629 Laboratory experiments carried out under different conditions of temperature, light
630 irradiance, salinity and nitrate concentrations revealed average cellular LCD content of about
631 23 fg cell⁻¹ (Balzano et al., 2017) for *Nannochloropsis oceanica*. The average LCD
632 concentration in the SPM investigated was ca. 2.6 ng L⁻¹, which would correspond to ca. 1.1 ·
633 10⁶ pico/nano algal cells L⁻¹. We detected average phytoplankton abundances of 3.3 · 10⁶ cell
634 L⁻¹ for picoeukaryotes and 3.6 · 10⁴ cell L⁻¹ for nanoeukaryotes. Although nanoplanktonic
635 Eustigmatophyceae might produce larger amounts of LCDs than those measured in our

636 previous study (Balzano et al., 2017), because of their larger cell size, the nanoplankton
637 abundances measured here are two orders of magnitude lower than the densities required to
638 source the LCDs ($1.1 \cdot 10^6$ cell L⁻¹). Therefore, if the LCDs measured here were
639 biosynthesised by intact microorganisms in the water column, nanoplankton alone would not
640 be able to source all the LCDs measured, and therefore in addition at least one-third of the
641 picophytoplankton should be able to produce LCDs, which is unrealistic. This supports the
642 idea that most of the LCDs detected here are of fossil nature and not contained in living cells.
643 The higher concentrations of LCDs found in the SPM from the mixed layer compared to the
644 DCM suggest that LCDs were originally produced at a higher frequency in the mixed layer.
645 Moreover, their possible fossil nature indicates that LCDs were likely to persist in the mixed
646 layer for long periods, eventually associated with suspended particulate matter.

647 The combination of lipid and DNA analyses is often complicated by different turnover
648 rates, especially for refractory compounds such as LCDs. Studies focused on more labile
649 biomarker lipids such as fatty acids or intact polar lipids can be more successful, e.g. with
650 short branched fatty acids (Balzano et al., 2011), cyanobacterial glycolipids (Bale et al.,
651 2018), or archaeal phospholipids (Pitcher et al., 2011; Buckles et al., 2013). Therefore, care
652 has to be taken in inferring sources of biomarker lipids by the quantitative comparison of
653 DNA abundance with biomarker lipid concentrations. Analysis of intact polar lipids, rather
654 than total lipids, might have facilitated the identification of diol producers.

655

656

657

658 5. Conclusions

659 The combination of lipid analyses and 18S rRNA gene amplicon sequencing revealed
660 some weak correlations between the abundances of 27 OTUs and the concentration of C₃₀
661 diols. Four of these OTUs are affiliated to classes that include few LCD-producing species
662 (i.e. Chrysophyceae and Dictyochophyceae), whereas the remaining 23 OTUs belong to taxa
663 in which the presence of LCDs has never been assessed. In both cases it remains unclear
664 whether the correlation between these 27 OTUs and the C₃₀ diols reflects novel LCD-
665 producers or is driven by other environmental conditions.

666 The abundances of photosynthetic pico and nanoeukaryotes measured here suggest
667 that these microbial populations are highly unlikely to source all the LCDs found. Some of
668 the LCDs found here might be associated with suspended debris rather than intact cells, with
669 the DNA from their producers being already degraded at the time of sampling. DNA
670 degradation rates in the oxygenated water column are indeed faster than those of most lipids,
671 including LCDs. The freshness of the organic matter and the turnover rates of both lipids
672 and DNA in a given environment should thus be considered when identifying the biological
673 sources of a specific class of lipids through DNA sequencing. In addition, the extraction
674 methods applied in our study did not discriminate between free and bound lipids and we thus
675 do not know if the compounds found here were originally present in seawater as free or
676 ester-bound diols. Finally, the 18S rRNA gene amplicon sequencing can be suitable to track
677 LCD sources (1) for simple ecosystems or laboratory/*in situ* mesocosms with high
678 proportions of fresh organic matter and (2) for low oxygen/anoxic environments where
679 extracellular DNA can persist for longer periods.

680

681

682

683

684 **Acknowledgments**

685 –We thank the captains and the crew of the R/V Pelagia for their support during the cruise.
686 We thank Denise Dorhout for sample collection, Sharyn Ossebaar for nutrient analysis, H.
687 Witte, E. Panoto, and S. Vreugdenhil for support in molecular biology, H. Malschaert for the
688 bioinformatics and M. Besseling for helpful discussions. John Volkman and two anonymous
689 referees provided helpful comments on an earlier version of this paper. This research was
690 funded by the European Research Council (ERC) under the European Union’s Seventh
691 Framework Program (FP7/2007-2013) ERC grant agreement [339206]. S.S. and J.S.S.D.
692 receive financial support from the Netherlands Earth System Science Centre (NESSC) through
693 a gravitation grant (NWO 024.002.001) from the Dutch Ministry for Education, Culture and
694 Science.

695

References

696

697 Agatha, S., Struder-Kypke, M. C., and Beran, A.: Morphologic and genetic variability in the marine
698 planktonic ciliate *Laboea strobila* Lohmann, 1908 (Ciliophora, Oligotrichia), with notes on its
699 ontogenesis, J. Eukaryot. Microbiol., 51, 267-281, <https://doi.org/10.1111/j.1550-7408.2004.tb00567.x>, 2004.

701 Altschul, S. F., Gish, W., Miller, W., Myers, E. W., and Lipman, D. J.: Basic local alignment search tool,
702 J. Mol. Bio., 215, 403-410, [http://dx.doi.org/10.1016/S0022-2836\(05\)80360-2](http://dx.doi.org/10.1016/S0022-2836(05)80360-2), 1990.

703 Andersen, R. A., Brett, R. W., Potter, D., and Sexton, J. P.: Phylogeny of the Eustigmatophyceae based
704 upon 18S rDNA, with emphasis on *Nannochloropsis*, Protist, 149, 61-74,
705 [https://doi.org/10.1016/S1434-4610\(98\)70010-0](https://doi.org/10.1016/S1434-4610(98)70010-0), 1998.

706 Bale, N. J., Villareal, T. A., Hopmans, E. C., Brussaard, C. P. D., Besseling, M., Dorhout, D., Sinninghe
707 Damsté, J. S., and Schouten, S.: C5 glycolipids of heterocystous cyanobacteria track symbiont
708 abundance in the diatom *Hemiaulus hauckii* across the tropical north Atlantic,
709 Biogeosciences, 15, 1229–1241, <https://doi.org/10.5194/bg-2017-300>, 2018.

710 Balzano, S., Pancost, R. D., Lloyd, J. R., and Statham, P. J.: Changes in fatty acid composition in
711 degrading algal aggregates, Mar. Chem., 124, 2-13,
712 <https://doi.org/10.1016/j.marchem.2010.11.001>, 2011.

713 Balzano, S., Abs, E., and Leterme, S. C.: Protist diversity along a salinity gradient in a coastal lagoon,
714 Aquat. Microb. Ecol., 74, 263-277, <https://doi.org/10.3354/ame01740>, 2015.

715 Balzano, S., Villanueva, L., de Bar, M., Sinninghe Damsté, J. S., and Schouten, S.: Impact of culturing
716 conditions on the abundance and composition of long chain alkyl diols in species of the genus
717 *Nannochloropsis*, Org. Geochem., 108, 9-17,
718 <https://doi.org/10.1016/j.orggeochem.2017.02.006>, 2017.

719 Benjamini, Y., and Hochberg, Y.: Controlling the false discovery rate. A practical and powerful
720 approach to multiple testing., J Roy. Stat. Soc. B Met., 57, 289-300, 1995.

721 Bergesch, M., Odebrecht, C., and Moestrup, O.: Nanoflagellates from coastal waters of southern
722 Brazil (32 degrees S), Bot. Mar., 51, 35-50, <https://doi.org/10.1515/bot.2008.003>, 2008.

723 Buckles, L. K., Villanueva, L., Weijers, J. W. H., Verschuren, D., and Sinninghe Damsté, J. S.: Linking
724 isoprenoidal GDGT membrane lipid distributions with gene abundances of ammonia-
725 oxidizing Thaumarchaeota and uncultured crenarchaeotal groups in the water column of a
726 tropical lake (Lake Challa, East Africa), Environ. Microbiol., 15, 2445-2462,
727 <https://doi.org/10.1111/1462-2920.12118>, 2013.

728 Buschhaus, C., Peng, C., and Jetter, R.: Very-long-chain 1,2-and 1,3-bifunctional compounds from the
729 cuticular wax of *Cosmos bipinnatus* petals, Phytochemistry, 91, 249-256,
730 <https://doi.org/10.1016/j.phytochem.2012.07.018>, 2013.

- 731 Caporaso, J. G., Kuczynski, J., Stombaugh, J., Bittinger, K., Bushman, F. D., Costello, E. K., Fierer, N.,
732 Pena, A. G., Goodrich, J. K., Gordon, J. I., Huttley, G. A., Kelley, S. T., Knights, D., Koenig, J. E.,
733 Ley, R. E., Lozupone, C. A., McDonald, D., Muegge, B. D., Pirrung, M., Reeder, J., Sevinsky, J.
734 R., Tumbaugh, P. J., Walters, W. A., Widmann, J., Yatsunenko, T., Zaneveld, J., and Knight, R.:
735 QIIME allows analysis of high-throughput community sequencing data, *Nat. Methods*, 7, 335-
736 336, <https://doi.org/10.1038/nmeth.f.303>, 2010.
- 737 Christaki, U., Kormas, K. A., Genitsaris, S., Georges, C., Sime-Ngando, T., Viscogliosi, E., and Monchy,
738 S.: Winter-summer succession of unicellular eukaryotes in a meso-eutrophic coastal system,
739 *Microb. Ecol.*, 67, 13-23, <https://doi.org/10.1007/s00248-013-0290-4>, 2014.
- 740 Comeau, A. M., Li, W. K. W., Tremblay, J.-E., Carmack, E. C., and Lovejoy, C.: Arctic Ocean microbial
741 community structure before and after the 2007 record sea ice minimum, *Plos One*, 6,
742 10.1371/journal.pone.0027492, <https://doi.org/10.1371/journal.pone.0027492>, 2011.
- 743 de Bar, M. W., Dorhout, D. J. C., Hopmans, E. C., Rampen, S. W., Sinninghe Damsté, J. S., and
744 Schouten, S.: Constraints on the application of long chain diol proxies in the Iberian Atlantic
745 margin, *Org. Geochem.*, 101, 184-195, <https://doi.org/10.1016/j.orggeochem.2016.09.005>,
746 2016.
- 747 de Leeuw, J. W., Irene, W., Rijpstra, C., and Schenck, P. A.: The occurrence and identification of C₃₀,
748 C₃₁ and C₃₂ alkan-1, 15-diols and alkan-15-one-1-ols in Unit I and Unit II Black Sea sediments,
749 *Geochim. Cosmochim. Ac.*, 45, 2281-2285, [http://dx.doi.org/10.1016/0016-7037\(81\)90077-6](http://dx.doi.org/10.1016/0016-7037(81)90077-6),
750 1981.
- 751 de Leeuw, J. W., Versteegh, G. J. M., and van Bergen, P. F.: Biomacromolecules of algae and plants
752 and their fossil analogues, *Plant Ecol.*, 182, 209-233, <https://doi.org/10.1007/s11258-005-9027-x>, 2006.
- 754 de Vargas, C., Audic, S., Henry, N., Decelle, J., Mahé, F., Logares, R., Lara, E., Berney, C., Le Bescot, N.,
755 Probert, I., Carmichael, M., Poulain, J., Romac, S., Colin, S., Aury, J.-M., Bittner, L., Chaffron,
756 S., Dunthorn, M., Engelen, S., Flegontova, O., Guidi, L., Horák, A., Jaillon, O., Lima-Mendez, G.,
757 Lukeš, J., Malviya, S., Morard, R., Mulot, M., Scalco, E., Siano, R., Vincent, F., Zingone, A.,
758 Dimier, C., Picheral, M., Searson, S., Kandels-Lewis, S., Acinas, S. G., Bork, P., Bowler, C.,
759 Gorsky, G., Grimsley, N., Hingamp, P., Iudicone, D., Not, F., Ogata, H., Pesant, S., Raes, J.,
760 Sieracki, M. E., Speich, S., Stemann, L., Sunagawa, S., Weissenbach, J., Wincker, P., and
761 Karsenti, E.: Eukaryotic plankton diversity in the sunlit ocean, *Science*, 348,
762 <https://doi.org/10.1126/science.1261605>, 10.1126/science.1261605, 2015.
- 763 Derenne, S., and Largeau, C.: A review of some important families of refractory macromolecules:
764 Composition, origin, and fate in soils and sediments, *Soil Sci.*, 166, 833-847,
765 <https://doi.org/10.1097/00010694-200111000-00008>, 2001.
- 766 Dixon, P.: VEGAN, a package of R functions for community ecology, *J. Veg. Sci.*, 14, 927-930,
767 <https://doi.org/10.1111/j.1654-1103.2003.tb02228.x>, 2003.
- 768 Edgar, R. C.: Search and clustering orders of magnitude faster than BLAST, *Bioinformatics*, 26, 2460-
769 2461, <https://doi.org/10.1093/bioinformatics/btq461>, 2010.

- 770 Edgar, R. C., Haas, B. J., Clemente, J. C., Quince, C., and Knight, R.: UCHIME improves sensitivity and
771 speed of chimera detection, *Bioinformatics*, 27, 2194-2200,
772 <https://doi.org/10.1093/bioinformatics/btr381>, 2011.
- 773 Egge, E. S., Johannessen, T. V., Andersen, T., Eikrem, W., Bittner, L., Larsen, A., Sandaa, R.-A., and
774 Edvardsen, B.: Seasonal diversity and dynamics of haptophytes in the Skagerrak, Norway,
775 explored by high-throughput sequencing, *Mol. Ecol.*, 24, 3026-3042,
776 <https://doi.org/10.1111/mec.13160>, 2015.
- 777 Fawley, K. P., and Fawley, M. W.: Observations on the diversity and ecology of freshwater
778 *Nannochloropsis* (Eustigmatophyceae), with descriptions of new taxa, *Protist*, 158, 325-336,
779 <https://doi.org/10.1016/j.protis.2007.03.003>, 2007.
- 780 Gelin, F., Boogers, I., Noordeloos, A. A. M., Sinninghe Damsté, J. S., Riegman, R., and De Leeuw, J. W.:
781 Resistant biomacromolecules in marine microalgae of the classes Eustigmatophyceae and
782 Chlorophyceae: geochemical implications, *Org. Geochem.*, 26, 659-675,
783 [https://doi.org/10.1016/s0146-6380\(97\)00035-1](https://doi.org/10.1016/s0146-6380(97)00035-1), 1997a.
- 784 Gelin, F., Volkman, J. K., deLeeuw, J. W., and Sinninghe Damsté, J. S.: Mid-chain hydroxy long-chain
785 fatty acids in microalgae from the genus *Nannochloropsis*, *Phytochemistry*, 45, 641-646,
786 [https://doi.org/10.1016/s0031-9422\(97\)00068-x](https://doi.org/10.1016/s0031-9422(97)00068-x), 1997b.
- 787 Grossi, V., Blokker, P., and Sinninghe Damsté, J. S.: Anaerobic biodegradation of lipids of the marine
788 microalga *Nannochloropsis salina*, *Org. Geochem.*, 32, 795-808,
789 [https://doi.org/10.1016/s0146-6380\(01\)00040-7](https://doi.org/10.1016/s0146-6380(01)00040-7), 2001.
- 790 Guillou, L., Viprey, M., Chambouvet, A., Welsh, R. M., Kirkham, A. R., Massana, R., Scanlan, D. J., and
791 Worden, A. Z.: Widespread occurrence and genetic diversity of marine parasitoids belonging
792 to Syndiniales (Alveolata), *Environ. Microbiol.*, 10, 3349-3365,
793 <https://doi.org/10.1111/j.1462-2920.2008.01731.x>, 2008.
- 794 Guillou, L., Bachar, D., Audic, S., Bass, D., Berney, C., Bittner, L., Boutte, C., Burgaud, G., de Vargas, C.,
795 Decelle, J., del Campo, J., Dolan, J. R., Dunthorn, M., Edvardsen, B., Holzmann, M., Kooistra,
796 W. H. C. F., Lara, E., Le Bescot, N., Logares, R., Mahé, F., Massana, R., Montresor, M., Morard,
797 R., Not, F., Pawlowski, J., Probert, I., Sauvadet, A.-L., Siano, R., Stoeck, T., Vaultot, D.,
798 Zimmermann, P., and Christen, R.: The Protist Ribosomal Reference database (PR2): a catalog
799 of unicellular eukaryote Small Sub-Unit rRNA sequences with curated taxonomy, *Nucleic Acid*
800 *Res.*, 41, 597-604, <https://doi.org/10.1093/nar/gks1160>, 2013.
- 801 Jetter, R., and Riederer, M.: Long-chain alkanediols, ketoaldehydes, ketoalcohols and ketoalkyl esters
802 in the cuticular waxes of *Osmunda regalis* fronds, *Phytochemistry*, 52, 907-915,
803 [https://doi.org/10.1016/s0031-9422\(99\)00309-x](https://doi.org/10.1016/s0031-9422(99)00309-x), 1999.
- 804 Jiang, S. C., O'Leary, T., Volkman, J. K., Zhang, H. Z., Jia, R. F., Yu, S. H., Wang, Y., Luan, Z. F., Sun, Z. Q.,
805 and Jiang, R. H.: Origins and simulated thermal alteration of sterols and keto-alcohols in
806 deep-sea marine sediment of the Okinawa Trough., *Org. Geochem.*, 21, 415-422,
807 [https://doi.org/10.1016/0146-6380\(94\)90203-8](https://doi.org/10.1016/0146-6380(94)90203-8), 1994.

- 808 Koning, E., van Iperen, J. M., van Raaphorst, W., Helder, W., Brummer, G. J. A., and van Weering, T. C.
809 E.: Selective preservation of upwelling-indicating diatoms in sediments off Somalia, NW
810 Indian Ocean, Deep-Sea Research Part I-Oceanographic Research Papers, 48, 2473-2495,
811 [https://doi.org/10.1016/s0967-0637\(01\)00019-x](https://doi.org/10.1016/s0967-0637(01)00019-x), 2001.
- 812 Lassiter, A. M., Wilkerson, F. P., Dugdale, R. C., and Hogue, V. E.: Phytoplankton assemblages in the
813 CoOP-WEST coastal upwelling area, Deep-Sea Research Part II-Topical Studies in
814 Oceanography, 53, 3063-3077, <https://doi.org/10.1016/j.dsr2.2006.07.013>, 2006.
- 815 Lattaud, J., Dorhout, D., Schulz, H., Castañeda, I. S., Schefuß, E., Sinninghe Damsté, J. S., and
816 Schouten, S.: The C₃₂ alkane-1,15-diol as a proxy of late Quaternary riverine input in coastal
817 margins, *Clim. Past*, 13, 1049-1061, <https://doi.org/10.5194/cp-13-1049-2017>, 2017a.
- 818 Lattaud, J., Kim, J. H., De Jonge, C., Zell, C., Sinninghe Damsté, J. S., and Schouten, S.: The C₃₂ alkane-
819 1,15-diol as a tracer for riverine input in coastal seas, *Geochim. Cosmochim. Ac.*, 202, 146-
820 158, <https://doi.org/10.1016/j.gca.2016.12.030>, 2017b.
- 821 Legendre, P., and Gallagher, E. D.: Ecologically meaningful transformations for ordination of species
822 data, *Oecologia*, 129, 271-280, <https://doi.org/10.1007/s004420100716>, 2001.
- 823 Logares, R., Audic, S., Santini, S., Pernice, M. C., de Vargas, C., and Massana, R.: Diversity patterns and
824 activity of uncultured marine heterotrophic flagellates unveiled with pyrosequencing, *ISME J*,
825 6, 1823-1833, <https://doi.org/10.1038/ismej.2012.36>, 2012.
- 826 Lopes dos Santos, A., Gourvil, P., Tragin, M., Noel, M.-H., Decelle, J., Romac, S., and Vaultot, D.:
827 Diversity and oceanic distribution of prasinophytes clade VII, the dominant group of green
828 algae in oceanic waters, *ISME J*, 11, 512-528, <https://doi.org/10.1038/ismej.2016.120>, 2016.
- 829 Mao, S. Y., Zhu, X. W., Wu, N. Y., Jia, G. D., Sun, Y. G., Guan, H. X., and Wu, D. D.: Alcohol compounds
830 in *Azolla imbricata* and potential source implication for marine sediments, *Sci. China Earth
831 Sci.*, 60, 348-359, <https://doi.org/10.1007/s11430-016-5177-6>, 2017.
- 832 Marie, D., Simon, N., and Vaultot, D.: Phytoplankton cell counting by flow cytometry., in: *Algal
833 Culturing Techniques*, edited by: RA, A., Elsevier Academic Press: Burlington, MA, 253-268,
834 2005.
- 835 Massana, R., Gobet, A., Audic, S., Bass, D., Bittner, L., Boutte, C., Chambouvet, A., Christen, R.,
836 Claverie, J. M., Decelle, J., Dolan, J. R., Dunthorn, M., Edvardsen, B., Forn, I., Forster, D.,
837 Guillou, L., Jaillon, O., Kooistra, W., Logares, R., Mahe, F., Not, F., Ogata, H., Pawlowski, J.,
838 Pernice, M. C., Probert, I., Romac, S., Richards, T., Santini, S., Shalchian-Tabrizi, K., Siano, R.,
839 Simon, N., Stoeck, T., Vaultot, D., Zingone, A., and de Vargas, C.: Marine protist diversity in
840 European coastal waters and sediments as revealed by high-throughput sequencing, *Environ.
841 Microbiol.*, 17, 4035-4049, <https://doi.org/10.1111/1462-2920.12955>, 2015.
- 842 Moita, M. T., Oliveira, P. B., Mendes, J. C., and Palma, A. S.: Distribution of chlorophyll a and
843 *Gymnodinium catenatum* associated with coastal upwelling plumes off central Portugal, *Acta
844 Oecol.*, 24, S125-S132, [https://doi.org/10.1016/s1146-609x\(03\)00011-0](https://doi.org/10.1016/s1146-609x(03)00011-0), 2003.

- 845 Moller, G. S. F., Novo, E., and Kampel, M.: Space-time variability of the Amazon River plume based
846 on satellite ocean color, *Cont. Shelf Res.*, 30, 342-352,
847 <https://doi.org/10.1016/j.csr.2009.11.015>, 2010.
- 848 Nielsen, K. M., Johnsen, P. J., Bensasson, D., and Daffonchio, D.: Release and persistence of
849 extracellular DNA in the environment, *Environmental Biosafety Research*, 6, 37-53,
850 <https://doi.org/10.1051/ebr:2007031>, 2007.
- 851 Not, F., del Campo, J., Balague, V., de Vargas, C., and Massana, R.: New Insights into the Diversity of
852 Marine Picoeukaryotes, *Plos One*, 4, 10.1371/journal.pone.0007143,
853 <https://doi.org/10.1371/journal.pone.0007143>, 2009.
- 854 Pitcher, A., Villanueva, L., Hopmans, E. C., Schouten, S., Reichart, G. J., and Sinninghe Damste, J. S.:
855 Niche segregation of ammonia-oxidizing archaea and anammox bacteria in the Arabian Sea
856 oxygen minimum zone, *ISME J*, 5, 1896-1904, <https://doi.org/10.1038/ismej.2011.60>, 2011.
- 857 Plancq, J., Mattioli, E., Pittet, B., Simon, L., and Grossi, V.: Productivity and sea-surface temperature
858 changes recorded during the late Eocene-early Oligocene at DSDP Site 511 (South Atlantic),
859 *Palaeogeogr. Palaeocl.*, 407, 34-44, <https://doi.org/10.1016/j.palaeo.2014.04.016>, 2014.
- 860 Racovita, R. C., and Jetter, R.: Identification of In-Chain-Functionalized Compounds and Methyl-
861 Branched Alkanes in Cuticular Waxes of *Triticum aestivum* cv. Bethlehem, *Plos One*, 11, 25,
862 <https://doi.org/10.1371/journal.pone.0165827>, 2016.
- 863 Rampen, S. W., Schouten, S., Wakeham, S. G., and Sinninghe Damste, J. S.: Seasonal and spatial
864 variation in the sources and fluxes of long chain diols and mid-chain hydroxy methyl
865 alkanooates in the Arabian Sea, *Org. Geochem.*, 38, 165-179,
866 <https://doi.org/10.1016/j.orggeochem.2006.10.008>, 2007.
- 867 Rampen, S. W., Schouten, S., Koning, E., Brummer, G.-J. A., and Sinninghe Damsté, J. S.: A 90 kyr
868 upwelling record from the northwestern Indian Ocean using a novel long-chain diol index,
869 *Earth Planet. Sc. Lett.*, 276, 207-213, <https://doi.org/10.1016/j.epsl.2008.09.022>, 2008.
- 870 Rampen, S. W., Schouten, S., Schefuss, E., and Sinninghe Damsté, J. S.: Impact of temperature on long
871 chain diol and mid-chain hydroxy methyl alkanooate composition in *Proboscia diatoms*: results
872 from culture and field studies, *Org. Geochem.*, 40, 1124-1131,
873 <https://doi.org/10.1016/j.orggeochem.2009.08.005>, 2009.
- 874 Rampen, S. W., Schouten, S., and Sinninghe Damsté, J. S.: Occurrence of long chain 1,14-diols in
875 *Apedinella radians*, *Org. Geochem.*, 42, 572-574,
876 <https://doi.org/10.1016/j.orggeochem.2011.03.009>, 2011.
- 877 Rampen, S. W., Willmott, V., Kim, J.-H., Uliana, E., Mollenhauer, G., Schefuss, E., Sinninghe Damsté, J.
878 S., and Schouten, S.: Long chain 1,13-and 1,15-diols as a potential proxy for
879 palaeotemperature reconstruction, *Geochim. Cosmochim. Ac.*, 84, 204-216,
880 <https://doi.org/10.1016/j.gca.2012.01.024>, 2012.

- 881 Rampen, S. W., Datema, M., Rodrigo-Gamiz, M., Schouten, S., Reichart, G.-J., and Sinninghe Damsté,
882 J. S.: Sources and proxy potential of long chain alkyl diols in lacustrine environments,
883 *Geochim. Cosmochim. Ac.*, 144, 59-71, <https://doi.org/10.1016/j.gca.2014.08.033>, 2014a.
- 884 Rampen, S. W., Willmott, V., Kim, J.-H., Rodrigo-Gamiz, M., Uliana, E., Mollenhauer, G., Schefuss, E.,
885 Sinninghe Damsté, J. S., and Schouten, S.: Evaluation of long chain 1,14-alkyl diols in marine
886 sediments as indicators for upwelling and temperature, *Org. Geochem.*, 76, 39-47,
887 <https://doi.org/10.1016/j.orggeochem.2014.07.012>, 2014b.
- 888 Reiche, S., Rampen, S., Dorhout, D., Sinninghe Damsté, J. S., and Schouten, S.: The impact of oxygen
889 exposure on long-chain alkyl diols and the long chain diol index (LDI) – a long-term incubation
890 study, *Biogeosciences*, <https://doi.org/10.1016/j.orggeochem.2018.08.003>, in press.
- 891 Rodrigo-Gámiz, M., Rampen, S. W., de Haas, H., Baas, M., Schouten, S., and Sinninghe Damsté, J. S.:
892 Constraints on the applicability of the organic temperature proxies UK037, TEX86 and LDI in
893 the subpolar region around Iceland, *Biogeosciences*, 12, 6573-6590,
894 <https://doi.org/10.5194/bg-12-6573-2015>, 2015.
- 895 Santoferrara, L. F., Alder, V. V., and McManus, G. B.: Phylogeny, classification and diversity of
896 *Choreotrichia* and *Oligotrichia* (Ciliophora, Spirotrichea), *Mol. Phylogenet. Evol.*, 112, 12-22,
897 <https://doi.org/10.1016/j.ympev.2017.03.010>, 2017.
- 898 Schlitzer, R.: Interactive analysis and visualization of geoscience data with Ocean Data View,
899 *Computers & Geosciences*, 28, 1211-1218, [https://doi.org/10.1016/s0098-3004\(02\)00040-7](https://doi.org/10.1016/s0098-3004(02)00040-7),
900 2002.
- 901 Scholz, M. J., Weiss, T. L., Jinkerson, R. E., Jing, J., Roth, R., Goodenough, U., Posewitz, M. C., and
902 Gerken, H. G.: Ultrastructure and composition of the *Nannochloropsis gaditana* cell wall,
903 *Eukaryot. Cell*, 13, 1450-1464, <https://doi.org/10.1128/ec.00183-14>, 2014.
- 904 Seoane, S., Laza, A., Urrutxurtu, M., and Orive, E.: Phytoplankton assemblages and their dominant
905 pigments in the Nervion River estuary, *Hydrobiologia*, 549, 1-13,
906 <https://doi.org/10.1007/s10750-005-1736-6>, 2005.
- 907 Shi, X. L., Marie, D., Jardillier, L., Scanlan, D. J., and Vaultot, D.: Groups without Cultured
908 Representatives Dominate Eukaryotic Picophytoplankton in the Oligotrophic South East
909 Pacific Ocean, *Plos One*, 4, <https://doi.org/10.1371/journal.pone.0007657>, 2009.
- 910 Sinninghe Damsté, J. S., Rampen, S., Irene, W., Rupstra, C., Abbas, B., Muyzer, G., and Schouten, S.: A
911 diatomaceous origin for long-chain diols and mid-chain hydroxy methyl alkanoates widely
912 occurring in Quaternary marine sediments: Indicators for high-nutrient conditions, *Geochim.
913 Cosmochim. Ac.*, 67, 1339-1348, [https://doi.org/10.1016/s0016-7037\(02\)01225-5](https://doi.org/10.1016/s0016-7037(02)01225-5), 2003.
- 914 Slapeta, J., Moreira, D., and Lopez-Garcia, P.: The extent of protist diversity: insights from molecular
915 ecology of freshwater eukaryotes, *P. Roy. Soc. B-Biol. Sci.*, 272, 2073-2081,
916 <https://doi.org/10.1098/rspb.2005.3195>, 2005.

- 917 Speelman, E. N., Reichart, G. J., de Leeuw, J. W., Rijpstra, W. I. C., and Sinninghe Damste, J. S.:
 918 Biomarker lipids of the freshwater fern *Azolla* and its fossil counterpart from the Eocene
 919 Arctic Ocean, *Org. Geochem.*, 40, 628-637,
 920 <https://doi.org/10.1016/j.orggeochem.2009.02.001>, 2009.
- 921 Stock, A., Juergens, K., Bunge, J., and Stoeck, T.: Protistan diversity in suboxic and anoxic waters of
 922 the Gotland Deep (Baltic Sea) as revealed by 18S rRNA clone libraries, *Aquat. Microb. Ecol.*,
 923 55, 267-284, <https://doi.org/10.3354/ame01301>, 2009.
- 924 Stoeck, T., Behnke, A., Christen, R., Amaral-Zettler, L., Rodriguez-Mora, M. J., Chistoserdov, A., Orsi,
 925 W., and Edgcomb, V. P.: Massively parallel tag sequencing reveals the complexity of
 926 anaerobic marine protistan communities, *BMC Biol.*, 7, [https://doi.org/10.1186/1741-7007-](https://doi.org/10.1186/1741-7007-7-72)
 927 [7-72](https://doi.org/10.1186/1741-7007-7-72), 2009.
- 928 Stoeck, T., Bass, D., Nebel, M., Christen, R., Jones, M. D. M., Breiner, H. W., and Richards, T. A.:
 929 Multiple marker parallel tag environmental DNA sequencing reveals a highly complex
 930 eukaryotic community in marine anoxic water, *Mol. Ecol.*, 19, 21-31,
 931 <https://doi.org/10.1111/j.1365-294X.2009.04480.x>, 2010.
- 932 Tegelaar, E. W., Deleeuw, J. W., Derenne, S., and Largeau, C.: A reappraisal of kerogen formation,
 933 *Geochim. Cosmochim. Ac.*, 53, 3103-3106, [https://doi.org/10.1016/0016-7037\(89\)90191-9](https://doi.org/10.1016/0016-7037(89)90191-9),
 934 1989.
- 935 Versteegh, G. J. M., Bosch, H. J., and De Leeuw, J. W.: Potential palaeoenvironmental information of
 936 C-24 to C-36 mid-chain diols, keto-ols and mid-chain hydroxy fatty acids; a critical review,
 937 *Org. Geochem.*, 27, 1-13, [https://doi.org/10.1016/s0146-6380\(97\)00063-6](https://doi.org/10.1016/s0146-6380(97)00063-6), 1997.
- 938 Versteegh, G. J. M., Jansen, J. H. F., De Leeuw, J. W., and Schneider, R. R.: Mid-chain diols and keto-
 939 ols in SE Atlantic sediments: A new tool for tracing past sea surface water masses?, *Geochim.*
 940 *Cosmochim. Ac.*, 64, 1879-1892, [https://doi.org/10.1016/s0016-7037\(99\)00398-1](https://doi.org/10.1016/s0016-7037(99)00398-1), 2000.
- 941 Villanueva, L., Besseling, M., Rodrigo-Gamiz, M., Rampen, S. W., Verschuren, D., and Sinninghe
 942 Damsté, J. S.: Potential biological sources of long chain alkyl diols in a lacustrine system, *Org.*
 943 *Geochem.*, 68, 27-30, <https://doi.org/10.1016/j.orggeochem.2014.01.001>, 2014.
- 944 Volkman, J. K., Barrett, S. M., Dunstan, G. A., and Jeffrey, S. W.: C-30-C-32 Alkyl diols and unsaturated
 945 alcohols in microalgae of the class Eustigmatophyceae., *Org. Geochem.*, 18, 131-138,
 946 [https://doi.org/10.1016/0146-6380\(92\)90150-v](https://doi.org/10.1016/0146-6380(92)90150-v), 1992.
- 947 Wen, M., and Jetter, R.: Very-long-chain hydroxyaldehydes from the cuticular wax of *Taxus baccata*
 948 needles, *Phytochemistry*, 68, 2563-2569, <https://doi.org/10.1016/j.phytochem.2007.05.029>,
 949 2007.
- 950 Yamamoto, M., Ficken, K., Baas, M., JH, B., and JW, d. L.: Molecular paleontology of the earliest
 951 danian at Geulhemmerberg (the Netherlands), *Geol. Mijnbouw*, 75, 255-267, 1996.

952 Zhu, F., Massana, R., Not, F., Marie, D., and Vaultot, D.: Mapping of picoeucaryotes in marine
953 ecosystems with quantitative PCR of the 18S rRNA gene, FEMS Microbiol. Ecol., 52, 79-92,
954 <https://doi.org/10.1016/j.femsec.2004.10.006>, 2005.

955

956

957
958

Table 1. -Distribution of the 18S rRNA gene reads associated with known LCD-producers

Taxon	Florenciellales	<i>Heterosigma</i>	Eustigmatophyceae	<i>Proboscia</i>	Total
No of samples ^a	28	2	8	2	35
Surface	12	1	2	0	12
BWML ^b	11	0	2	2	13
DCM ^c	5	1	4	0	10
No of reads ^d	99	10	45	3	157
% total	0.04	0.004	0.02	0.001	0.06
Surface	48	4	25	0	77
BWML	41	0	9	3	53
DCM	10	6	11	0	27

959

960 ^a Number of samples where 18S rRNA gene reads from C₂₈₋₃₂ diol-producers were found Overall
961 68 samples were screened for the presence of 18S rRNA genes affiliated to LCD-producers.

962 ^b Bottom wind mixed layer

963 ^c Deep chlorophyll maximum

964 ^d Number or proportion of 18S rRNA gene reads associated with C₂₈₋₃₂ diol-producers.

965

966 **Table 2.** Spearman rank correlation coefficients between LCD and environmental variables^a.

967

968

969

	C ₂₈ 1,13	C ₂₈ 1,14	C ₃₀ 1,13	C ₃₀ 1,14	C ₃₀ 1,15	C ₃₂ 1,15
970 Organic carbon	0.3	0.2	0.2	0.3	0.3	0.3
971 Salinity	-0.2	0.0	-0.5	-0.7	-0.6	-0.6
972 Temperature	0.2	-0.1	0.5	0.5	0.5	0.5
973 Phosphate	0.0	0.2	-0.3	-0.2	-0.3	-0.2
974 Ammonium	0.0	0.1	-0.3	-0.4	-0.4	-0.2
975 Nitrite	-0.2	0.0	-0.6	-0.5	-0.6	-0.4
976 Nitrate	0.0	0.2	-0.4	-0.3	-0.3	-0.5
977 Silica	0.1	0.0	0.4	0.5	0.5	0.4
978 Chl- <i>a</i>	-0.1	0.0	-0.2	-0.2	-0.3	-0.1
979 Picoeukaryotes	-0.1	-0.1	-0.4	-0.3	-0.4	-0.2
980 Nanoeukaryotes	0.0	-0.1	0.1	0.2	0.2	0.2

981

982

983

984 ^a Significant (p-value < 0.01) correlation values are in bold.

985

986 **Table 3. Correlation coefficient r** for the Operational Taxonomic Units (OTUs), representing 95 % of sequence identity, whose abundance was
 987 correlated^a with the concentration of LCDs in SPM samples obtained in the HCC cruise.
 988
 989

OTU ID ^b	Taxon	Class	C ₃₀ 1,13	C ₃₀ 1,14	C ₃₀ 1,15	C ₃₂ 1,15	Total 1,13	Total 1,14	Total 1,15
denovo2033	Choreotrichia	Spirotrichea							0.40
denovo2137	<i>Climacocylis scalaria</i>		0.45		0.49		0.45		0.49
denovo940	<i>Laboea strobila</i>		0.53	0.46	0.60		0.56	0.45	0.59
denovo685	Oligotrichia				0.41				0.40
denovo1804	<i>Pseudotontonia</i>		0.56	0.47	0.56	0.47	0.53	0.41	0.57
denovo492	<i>Blastodinium spinulosum</i>	Dinophyceae	0.43	0.44	0.46				0.45
denovo720	<i>Ceratocorys horrida</i>				0.46		0.44		0.45
denovo1682	<i>Neoceratium fusus</i>							0.47	
denovo526	<i>Protodinium simplex</i>			0.43	0.44			0.48	0.43
denovo267	<i>Pyrophacus steinii</i>				0.43				0.42
denovo732	Dino Group I Clade 4	Syndiniales			0.40		0.46		0.41
denovo555	Dino Group II Clade 2				0.49		0.41		0.48
denovo1077	Dino Group II Clade 7				0.44		0.42		0.43
denovo1834	Dino Group II Clade 8				0.44		0.45		0.45
denovo1145	Dino Group II Clade 17		0.50		0.49		0.53	0.42	0.48
denovo2080	Dino Group II Clade 23				0.40		0.43		0.40
denovo725	Prasino Clade 9B	Prasino Clade IX			0.42		0.41		0.41
denovo1066	Pterocystida	Centroheliozoa			0.46				0.46
denovo400	HAP3	Haptophyta		0.47	0.49			0.47	0.48
denovo2132	<i>Phaeocystis</i>						0.46		
denovo972	<i>Haptolina</i>						0.44		
denovo465	Chrysophyceae Clade G	Chrysophyceae	0.44		0.43		0.45		0.42
denovo1680	Chrysophyceae Clade H		0.44		0.42		0.48		0.42
denovo1988	Raphid pennate	diatoms			0.41				0.41
denovo873	Pedinellales	Dictyochophyceae	0.56	0.45	0.55	0.52	0.55		0.56

denovo958	Florenciellales	Dictyochophyceae			0.43	0.45	0.44	
denovo2433	Unidentified picozoan	Picozoa	0.49	0.50	0.55	0.47	0.46	0.55

990

991

^a Only significant (p-value < 0.01 after FDR correction) correlations are shown.

992

^b OTUs closely related to known LCD-producers are in bold.

993

994

995

996

997 **Figure Legend**

998

999 **Figure 1.** HCC cruise track in the western tropical North Atlantic Ocean, physical
1000 seawater properties, and biological parameters. (A) Map of the sampling stations. Spatial
1001 distribution of (B) temperature, (C) salinity, the concentration of (D) Chl-*a*, (E) organic
1002 carbon concentrations, and the abundance of photosynthetic (F) picoeukaryotes and (G)
1003 nanoeukaryotes. Temperature, salinity as well as the concentrations of Chl-*a* and organic
1004 carbon have been also published by Bale et al. (2018). Data were plotted using ODV software
1005 using kriging for interpolation between datapoints (Schlitzer, 2002). Dots represent the depth
1006 at which SPM was collected.

1007

1008 **Figure 2.** Spatial distribution of the concentration of LCDs: (A) C₂₈ 1,13-diol, (B)
1009 C₂₈ 1,14-diol, (C) C₃₀ 1,13-diol, (D) C₃₀ 1,14-diol, (E) C₃₀ 1,15-diol, and (F) C₃₂ 1,15-diol.
1010 Data were plotted using ODV software using kriging for interpolation between datapoints
1011 (Schlitzer, 2002).

1012

1013 **Figure 3.** Average fractional abundance of the reads obtained by 18S rRNA gene
1014 sequencing of SPM from the western tropical Atlantic Ocean over the various classes of
1015 eukaryotes. The V4 fragment of the 18S rRNA gene was sequenced using universal
1016 eukaryotic primers. Samples were pooled according to depth and the average contribution
1017 from each group at the different depth is shown. Error bars represent the standard deviation in
1018 the data from the various stations.

1019

1020 **Figure 4.** Spatial distribution of the 18S rRNA gene fragments related to taxa containing
1021 LCD-producers at different stations and depth. (A) Pelagophyceae, (B) Chrysophyceae, (C)
1022 Dictyochophyceae, (D) radial centric diatoms, (E) Eustigmatophyceae, and (F)
1023 Raphidophyceae. Data were plotted using ODV software using kriging for interpolation
1024 between datapoints (Schlitzer, 2002).

1025

1026 **Figure 5.** Scatter plots of the concentrations of the different LCDs in the western tropical
1027 Atlantic Ocean. **(A)** C₃₀ 1,13-diol vs C₃₀ 1,15-diol, **(B)** C₃₂ 1,15-diol vs C₃₀ 1,15-diol, **(C)** C₂₈
1028 1,13-diol vs C₃₀ 1,13-diol, **(D)** C₂₈ 1,13-diol vs C₃₀ 1,15-diol, **(E)** C₃₀ 1,14-diol vs C₂₈ 1,14-
1029 diol, **(F)** C₃₀ 1,14-diol vs C₃₀ 1,15-diol.

1030