Zooplankton diel vertical migration and downward C flux into the

2 Oxygen Minimum Zone in the highly productive upwelling region off

Northern Chile

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10 Abstract. The daily vertical movement of zooplankton, known as diel vertical migration (DVM), can enhance the vertical 11 flux of carbon (C) and so contribute to the functioning of the biological pump in the ocean. The magnitude and efficiency of this active transport of C may depend on the size and taxonomic structure of the migrant zooplankton. However, the impact 12 that a variable community structure can have on zooplankton-mediated downward C flux has not been properly addressed. 13 14 This taxonomic effect may become critically important in highly productive eastern boundary upwelling systems (EBUS), 15 where high levels of zooplankton biomass are found in the coastal zone and composed by a diverse community with variable DVM behavior. In these systems, presence of a subsurface oxygen minimum zone (OMZ) can impose an additional 16 constraint to vertical migration and so influence the downward C export. Here, we address these issues based on a high-17 18 resolution zooplankton sampling at three stations off northern Chile (20°S-30°S) during November-December 2015. Automated analysis of zooplankton composition and taxa-structured biomass allowed us to estimate daily migrant biomass 19 20 by taxa and their amplitude of migration. We found that a higher biomass aggregates above the oxycline, associated with 21 more oxygenated surface waters and this was more evident upon a more intense OMZ. Some taxonomic groups, however, were found closely associated with the OMZ. Most taxa were able to perform DVM in the upwelling zone withstanding 22 23 severe hypoxia. Also, strong migrants, such as Copepods Eucalanidae and Euphausiids, can exhibit a large migration amplitude (~500 m), remaining either temporarily or permanently during the day or night condition within the core of the 24 25 OMZ and so contributing to the release of C below the thermocline. Our estimates of DVM-mediated C flux suggested that a mean migrant biomass of ca. 958 mg C m⁻² d⁻¹ may contribute with about 71.3mg C m⁻² d⁻¹ to the OMZ system through 26 27 respiration, mortality and C excretion at depth, accounting for ca. 4% of the net primary production, and so implying the existence of an efficient mechanism to incorporate freshly produced C into the OMZ. This downward C flux mediated by 28 29 zooplankton is however strongly variable in the space and mostly dependent on the taxonomic structure due to variable migration amplitude and DVM behavior. 30

1 Introduction

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33 world oceans (Paulmier et al., 2006), is a key component of the water column and a permanent feature intruding the coastal 34 zone of Ecuador, Peru and Chile (Fuenzalida et al., 2009; Paulmier et al., 2006). In the highly productive upwelling region of Northern Chile, the OMZ is closely linked to wind-driven upwelling in the coastal area and associated to the Equatorial 35 36 Subsurface Water (ESSW), which is transported southward along the continental shelf by the Peru-Chile Undercurrent (PUC), as far south as 48°S (Fuenzalida et al., 2009; Morales et al., 1996a; Silva and Neshyba, 1979). Off Iquique (20°S) the 37 38 OMZ is characterized by being thick (500 m), very intense (< 20 µmol kg⁻¹) and with O₂ concentrations in the core of OMZ 39 among the lowest found in the global ocean reaching the current detection limit (<1 µM) (Ulloa and Pantoja, 2009), although it becomes thinner at about 30°S (Paulmier et al., 2006). 40 41 During the last decades, the OMZ systems have attracted much scientific interest because of evidence showing that hypoxic and anoxic conditions in coastal areas are expanding and becoming more intense (Ekau et al., 2010; Stramma et al., 2008). 42 43 At present, ongoing ocean deoxygenation is widely recognized as linked to global warming and it is rising much concern in 44 modern oceanography (Breitburg et al., 2018). The presence of oxygen-depleted water becomes a critical physiological constraint for pelagic and benthic organisms 45 46 inhabiting the upwelling zone, impacting their biomass and productivity, the species diversity, distribution, behaviour and metabolic activity (Wishner et al., 2018; Ekau et al., 2010; Grantham et al., 2004). For instance, diel vertical migration 47 (DVM), a common feature of the various size groups of zooplankton and also one of the most important movements of 48 biomass in the ocean, can also be affected by changes in intensity and distribution of the OMZ (Wishner et al., 2018, 2013; 49 Escribano et al., 2009; Fernández-Álamo and Färber-Lorda, 2006; Hidalgo et al., 2005; Morales et al., 1996; Judkins, 1980). 50 51 The OMZ can act as an ecological barrier for vertical distribution of many organisms, constraining most zooplankton to a 52 narrow (50 m) upper layer, as shown in the coastal upwelling zone off Chile according to the works of Escribano (2006) and 53 Donoso and Escribano (2014). Zooplankton also become limited to the upper 150 or 300 min the eastern tropical north 54 Pacific (Wishner et al., 2013). However, the OMZ can also offer refuge for species adapted to live there, creating microhabitats of differing oxygen concentration that are characterized by layers of high zooplankton biomass and abundance, 55 56 with distinct species zonation (Antezana, 2009; Wishner et al., 2008; Fernández-Álamo and Färber-Lorda, 2006), which, in turn, may have important consequences for carbon (C) cycling and its vertical flux. For example, it is known that 57 58 zooplankton in the coastal upwelling region off northern Chile may play a significant biogeochemical role by promoting carbon flux into the subsurface OMZ (Escribano et al., 2009). Therefore a significant proportion of the vertical material flux 59 from the euphotic zone to the deep sea (>200 m) and within the food chain could be determined by DVM of zooplankton 60 61 (Longhurst and Williams, 1992; Steinberg and Landry, 2017). As important contributors to the functioning of the biological pump, diel zooplankton migrants can actively increase the 62 63 magnitude of C export by transporting surface-ingested material in their guts to deep waters where it can be metabolized

The Oxygen Minimum Zone (OMZ) in the Southeast Pacific, the fourth largest of the six permanent hypoxic regions in the

64 (Steinberg and Landry, 2017). Zooplankton moults or mortality at depth can also contribute to the transportation of 65 assimilated organic biomass into the deep waters (Ducklow et al., 2001). The biological pump process is also thought to be related to the size structure of dominant zooplankton. This because some groups with large body sizes may exhibit a greater 66 range of vertical migration and sometimes higher levels of biomass, and so influencing the biogeochemical fluxes (Dai et al., 67 2016; Ducklow et al., 2001). However, the effect of variable size structure on DVM performance and its consequence for 68 69 active C transport has not indeed been assessed. Size-structure is certainly related to zooplankton composition which has 70 hardly been properly addressed when examining the role of DVM on C flux. For instance, in areas with hypoxic subsurface 71 layers some species are more active migrants and thus more efficient C-transporters, because they have developed 72 adaptations to low oxygen conditions and can even use the OMZ as their habitat, either temporarily or permanently 73 (Escribano et al., 2009; Gonzalez and Quiñones, 2002; Seibel, 2011). Adaptation may include in some cases reduction of 74 aerobic metabolism by more than 50% during exposure to hypoxia conditions, as a mechanism to facilitate low oxygen 75 tolerance, an so reducing dramatically energy expenditure during daytime within low oxygen waters, and therefore affecting 76 the carbon C flux in areas subjected to low concentrations of oxygen (Seibel et al., 2016). 77 C-export to depth may also depend on the amount of biomass being produced in the photic zone. Primary production promotes zooplankton feeding and growth and therefore determining C availability for both passive and active transport to 78 79 depth. In this context, highly productive upwelling zones can be assumed as systems where the C-flux mediated by 80 zooplankton DVM can be enhanced, although certainly depending on the size and taxonomic structure of zooplankton. In 81 these regions, a shallow OMZ might exert a further impact on the C-flux by affecting DVM or zooplankton metabolism at 82 depth. In the present study, based on high resolution sampling and automated analysis of mesozooplankton, we assessed zooplankton vertical migration and downward C to the OMZ in the highly productive upwelling region of Northern Chile. 83 84 We aimed at understanding the role that taxonomic and size-structure can play in the magnitude and variability of the DVM 85 behaviour interacting with a shallow OMZ, and the implications this interaction can have on the magnitude of the downward

87 2Methods

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88 2.1Study Area

C flux in a highly productive coastal upwelling zone

- 89 The study area was located in the Southeast Pacific Ocean and covered the coastal zone of the northern upwelling region of
- 90 Chile (21-29°S) (Fig. 1), which is a region known to be subjected to wind-driven upwelling throughout the year and
- 91 containing an intense and shallow OMZ (Ulloa et al., 2012). The sampling design comprised three stations: Two stations (St.
- 92 T3 and St. T5) across a zonal section off Iquique (20°S) and a coastal station (St. L6) off Coquimbo (29°S). The study was
- 93 carried out during the LowpHox cruise conducted in November-December 2015 onboard the R/V Cabo de Hornos. At each
- 94 station, temperature, salinity and dissolved oxygen (DO) were recorded from 1000 m (St.T5 and St.T3) and only 356 m (St.

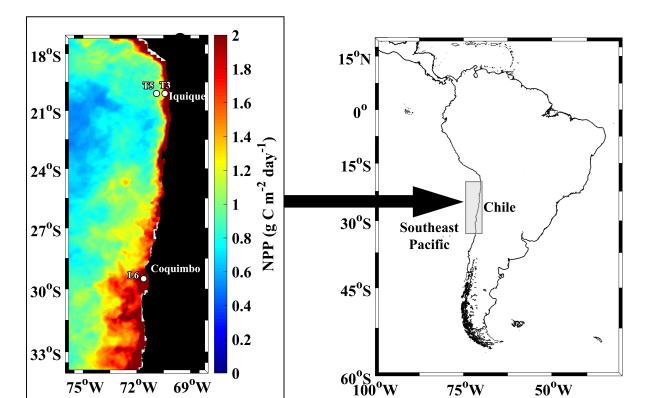


Fig.1. Study area at the northern upwelling region of Chile, indicating sampling stations during the LowpHox cruise conducted in November-December 2015 onboard the R/V *Cabo de Hornos*. Satellite estimated Net Primary Production (NPP), averaged for November-December 2015 is shown. NPP was obtained from http://science.oregonstate.edu.ocean.productivity.

2.2 Zooplankton sampling

Zooplankton samples were collected during daytime and night-time conditions in two consecutive days at the three stations off northern Chile (T5-T3-L6) (**Fig. 1**), also as indicated in **Table S1 (Supplemental Material).** Vertical hauls of zooplankton were performed from 600 to 0 m depth with a Multi Plankton Sampler Hydro-Bios Multinet system with a 0.25 m² opening area and equipped with 200-μm mesh-size nets. The Multinet towing speed was 1 m s⁻¹ and the flowmeter was in the mouth of the Multinet to estimate the volume of filtered water. Once onboard the collected zooplankton samples were preserved immediately in 5% buffered formalin-seawater solution. At each station, 40 discrete samples were obtained from 600 to 0 m depth. Each sample corresponded to a different depth stratum (30-0, 90-30, 150-90, 400-150 and 600–400m depth). These strata were defined in according to distribution of oxygen concentration and localization of the OMZ (**Fig. 2**).

Then, from the vertical profiles of oxygen and coinciding with the sampled layers of the Multinet, strata were defined as: A well oxygenated stratum with oxygen approaching air saturation (>250 μmol O₂ kg⁻¹) was defined as the oxic mixed layer (OX-ML), an upper O₂ gradient (oxycline), defined by the level where O₂ reaches 4% of the surface O₂ (Paulmier *et al.*, 2006), at whose base is located the upper boundary of the OMZ (45 μmol O₂ isoline, OMZ-UB) (Escribano *et al.*, 2009; Hidalgo *et al.*, 2005; Morales *et al.*, 1999); the OMZ core defined by an upper boundary (OMZ-UC) with the lowest concentration of O₂ (<20 μmol O₂ kg⁻¹) and a lower boundary (OMZ-LC) (1 to<20 μmol O₂ kg⁻¹), and finally a lower O₂ gradient (OMZ-LW). Depth ranges and oxygen levels for these strata are detailed in **Table S2 (Supplemental Material)**.

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2.3Taxonomic and size measurements

120 Taxonomic identification and enumeration of taxa were carried out by analysis of digitized images obtained with the 121 Hydroptic ZooScan digital imaging system (Gorsky et al., 2010). Each sample was wet-sieved through a 1000 µm mesh into 122 two size fractions. Then, each size-fraction was fractionated again separately with a Motoda splitter until the zooplankton 123 concentration was sufficiently diluted to avoid contact between organisms in the ZooScan scanning frame. Fractioning into 124 small and large organisms and consequent separate image acquisition of the two size classes prevented underestimates of 125 large-rare objects which may need less fractioning (Gorsky et al., 2010). 179 subsamples were scanned and digitized at a 126 resolution of 2400 dpi after manual separation of objects on the scanning tray. After processing the samples with ZooProcess 127 software, each of the objects was automatically sorted with the help of a learning set, and then the sorting was visually validated by an expert (for details, see Chang et al., 2012; Gorsky et al., 2010). Organisms making up the ZooScan datasets 128 129 were enumerated, measured, biomass-estimated and classified into 27 taxonomic groups, such as Copepods, Chaetognaths, Euphausiid, Gelatinous and other zooplankton. The abundance (ind. m⁻³) of total zooplankton or of each taxonomic group 130 131 was calculated following Eq. (1):

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$$Abundance/m^3 = \frac{N*Subpart}{Vol*Townb},\tag{1}$$

were, N is the number of individuals with same prediction (e.g. in last column written "copepod"), Subpart is the splitting ratio, Vol is net volume and Townb is the number of net tows in a sample. Townb =1 in our case because of a single tow per sample. Stratum-integrated abundance (ind. m⁻²) was obtained after multiplying by width (m) of a given stratum.

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2.4 Biomass estimates and Carbon fluxes

The ZooScan Integrated System also provided zooplankton body size in terms of area (mm²) or volume (mm³) for each organism. We used these organisms' area or volume to estimate dry weight of each individual of different taxonomic groups using published regression equations relating organism size, area or volume to individual weight as detailed in **Table S3**

142 (Supplemental Material). Mass unit conversions between dry weight (DW) and carbon content (C) were performed using 143 averaged conversion factors obtained for different zooplankton groups (Kiørboe, 2013) and ichthyoplankton (Childress and 144 Nygaard, 1973) (Table S3 Supplemental Material). Added biomasses (µg C ind.-1) of individuals within taxonomic 145 categories identified by ZooScan allowed us to estimate total biomass per taxa (mg C m⁻³) for each sample by station, 146 daytime vs night-time condition, and depth strata. Integrated values of biomass per depth strata (mg C m⁻²) and taxa were 147 calculated multiplying by strata width (m). To calculate the migrant biomass, we integrated biomass in the upper 90 m layer from our two sampled strata 0-30 m and 148 149 30-60 m. This 0-90 m stratum was considered the approximate above-oxycline layer after examining the vertical profiles of 150 oxygen. Biomass at night was thus subtracted from the corresponding day biomass in this layer to assess daily changes 151 involving migrants as in Putzeys et al. (2011). Thus, the negative values of the day-minus-night biomass corresponded to 152 migrant biomass that reached the epipelagic layer at night including organisms inhabiting above and below the oxycline.

The proportion of migrant biomass with respect to observed biomass in the upper 90 m of a given taxonomic group was defined at the rate of migration on a daily basis. This rate of migration could thus be used as an index of DMV behaviour for a taxonomic group.

156 To estimate the active C flux at each sampling station, we considered three processes contributing to C at depth mediated by 157 migrant zooplankton: respiration (R), excretion (E) and mortality (M) at depth. Respiration at depth (>90 m) was estimated 158 using the equation provided by Ikeda (1985) which relates individual respiration rate with body mass and temperature, 159 independently of taxonomic category which may have a minor effect on R in according to a more recent assessment (Ikeda, 160 2014). Mean body mass (µg C) for each taxonomic group from ZooScan estimates and mean in situ temperature were used 161 to obtain integrated R at each depth strata. Estimates of R for each taxonomic group are shown in Table S6 (Supplemental 162 Material). Integrated R per station for the 0-600 depth strata along with the corresponding integrated biomass was then used 163 to estimate the fraction of C being respired at depth by zooplankton. The contribution of C by excretion (E) at depth was 164 assumed to be 31% of R as suggested by (Steinberg et al., 2000) and daily mortality at depth (M) was considered to be in the 165 range of 0.3 and 0.5 as suggested by Edvardsen et al. (2002), so that a median value of 0.4 as a fraction of migrant biomass 166 was assumed. Vertical C flux mediated by zooplankton was thus estimated as,

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168 C Flux= MB x
$$[(R + M + 0.31R)/2)]$$
 (2)

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where MB is the migrant biomass (mg C m⁻²), R and M are daily Respiration and Mortality, and C excretion expressed as 0.31R. The three processes are divided by 2 assuming a 12 h incursion at depth.

2.5 Patterns of vertical distribution of migrating zooplankton

For the analysis of vertical distribution of organisms, the density estimates of the organisms were standardized to number of individuals per m³ (for each stratum) or per m² (for integrated values). In order to quantify the presence and extent of DVM

of various taxa at each station, we calculated weighted mean depth (WMD) for zooplankton abundance, as a measure of the center of gravity of a population's vertical distribution for each taxon and haul, according to Andersen *et al.*(2004) following Eq. (3):

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$$WMD = \frac{\Sigma(ni*zi*di)}{\Sigma(ni*zi)},$$
(3)

- where d is the mean depth of the strata (m), z the width (m) of the strata and ni the abundance (ind. m⁻³) of a given i
- 181 taxonomic group.
- 182 We calculated the amplitude of vertical migration (ΔDVM) as the difference between the WMD of the organisms during the
- 183 day and the night and therefore this ΔDVM was considered as the criterion to assess the DVM behaviour for each taxonomic
- group. Positive values indicated normal DVM (pattern of nocturnal ascent by individuals that reside at depth by day) and
- 185 negative values indicated reverse DVM (pattern of nocturnal descent by individuals that reside near the surface by day). The
- 186 individuals that occupied the same depth stratum by day and by night, whether near the surface or at depth were considered
- as non migrant in according to Ohman (1990).

2.6 Statistical analysis

- 189 For statistical analysis, as a criterion for determining if the DVM was significant, we tested for differences in the WMD
- 190 mean between day and night using a two-tailed t test. We considered the occurrence of DVM when the difference in the
- 191 WMD mean between day and night was significant (p<0.05). In order to evaluate the similarity/dissimilarity in the
- 192 abundance and biomass among stations, strata, and day-night conditions, the multivariate grouping techniques were applied
- 193 ("cluster analysis"), ANOSIM (Two-Way Crossed Analysis) tests and multidimensional scaling (MDS) with the data
- transformed in PRIMER v 6.1.16 (2013), prior to the application of the Bray-Curtis similarity index(Bray and Curtis, 1957).
- 195 In general, WMD for taxonomic groups did not exhibit a pronounced bimodal vertical distribution.

196 3 Results

197 3.1 Hydrographic conditions

- 198 Across the zonal section off Iquique the offshore station (St. T5) and onshore station (St. T3) showed two contrasting
- 199 hydrographic regimes regarding the OMZ. Station T5 had a less pronounced and thicker OMZ than station T3. At both
- 200 stations the 5 strata were well defined in the water column (Fig. 2). The OX-ML (>250 μmol O₂ kg⁻¹) was present at 18 m
- 201 (St. T5) and 15 m (St. T3). The oxycline gradually decreased from oxic (~250 μmol O₂ kg⁻¹) to suboxic (<20 μmol O2 μmol
- 202 O₂ kg⁻¹) conditions associated with a strong stratification in the upper 80 m depth. The 45 μmol O₂ isoline (OMZ -UB) was
- 203 at the base of the oxycline at 70 m (St. T5) and 59 m (St. T3). The OMZ core (<20 μmol μmol O₂ kg⁻¹) was below the
- thermocline and below the 26.5 kgm⁻³isopycnal following description of Paulmieret al. (2006). In the oceanic station (St. T5)

the OMZ core was between 80 to 514 m, while in the coastal station (St. T3) it was between 80 to 507 m with 423 m thickness. The O_2 concentration in the OMZ core was ca. 1 μ mol O_2 kg⁻¹. The OMZ-LW at both stations was delimited above the core and below the depth where the O_2 slope changed significantly (slope break >20 μ mol /m) (Fig. 2).

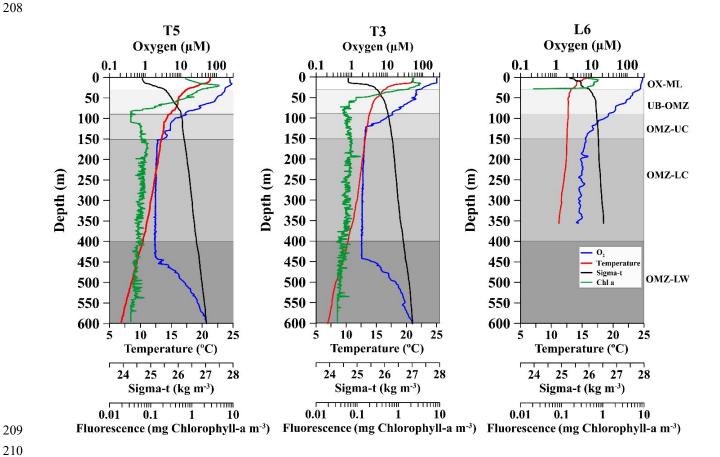


Fig. 2. Vertical profiles of dissolved oxygen (O₂), temperature, Chlorophyll-a and water density (sigma-t), at 3 stations off northern Chile (see Fig. 1) during the LowpHox Cruise in the austral spring 2015. Shaded areas represent different layers defined according to oxygen concentration. OX-M= Oxic mixed layer, OMZ-UB= Upper boundary of the oxygen minimum zone, OMZ-UC= Upper core of the oxygen minimum zone, OMZ-LC= Lower core of the OMZ and OMZ-LW=lower oxygen gradient.

The structure of the OMZ at the coastal station (St. L6) off Coquimbo (29°S) (Fig.1), was similar to St. T3 (21°S), but in this area the OMZ was deeper and thinner. The OX-ML was shallower. The OMZ -UB (45 μmol O₂) in the base of the oxycline was down to 80 m. The low O₂ concentrations in the core were less intense than at 21°S (4 to 20 μmol O₂kg⁻¹) and it was located below 100 m (Fig. 2). The OMZ-LW could not be assessed because of lack of CTD data below 350 m.

- 220 Additional oceanographic variables showed a surface warming (>20 °C) and strongly stratified conditions at the three
- 221 stations with a sharp thermocline in the upper 100 m, coinciding with the oxycline, whereas Chlorophyll-a maximum (>5 mg
- 222 Chlorophyll-a m⁻³was in the upper 20 m (**Fig. 2**).

223 3.2 Zooplankton composition and abundance

224 A total of 27 zooplankton taxa were identified by the ZooScan and ZooProcess (Table S4 Supplemental Material). The 225 number of taxa varied among stations and strata. Across the zonal section off Iquique the number of taxonomic groups 226 fluctuated between 23 (St. T3) and 26 (St. T5), whereas 25 taxa were off 29°S (St. L6). The most dominant taxa both 227 daytime and night conditions, were: Copepods87% (in St. T5), 79% (in St. T3) and 69% (in St. L6). This group was 228 constituted by small Copepods, large Copepods, the Copepods Eucalanidae and the Copepods Acartia spp; fish eggs 229 constituting 2% (in St. T5), 5% (in St. T3) and 6% (in St. L6); Nauplii being <1% (in St. T5), <1% (in St. T3) and 7% (in St. 230 L6); Appendicularia 5% (in St. T5), 4% (in St. T3) and 3% (in St. L6) (Table S5 Supplemental Material). The remaining 231 19 pooled groups only constituted<6% (in St. T5),11% (in St. T3) and 15% (in St. L6). The total integrated abundances of 232 zooplankton (0-600 m) by sampling station are in Table S4 (Supplemental Material). As based on a Two-Way Crossed 233 Analysis ANOSIM test, this water-column integrated abundance did not show significant differences between day and night 234 samples (p>0.05). However, the abundance of these zooplankton groups regarding stations was significantly different (Two-235 Way Crossed Analysis ANOSIM p<0.05), so that the stations were treated independently. Off Iquique the abundance was the 236 lowest at the onshore station (St. T3 with 18% lower), which was characterized by the strongest and most extensive OMZ in 237 the study area. These values increased at the offshore station (St. T5 with 31% greater), where the OMZ was less 238 pronounced and thicker. Unlike stations T3 and T5, the onshore station off 29°S (St. L6) had a weaker and less extensive 239 OMZ showing the highest zooplankton abundance (51% greater).

241 3.3 Diel vertical migration (DVM) and vertical distribution

242 3.3.1 Main migrant groups of zooplankton

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The diel vertical migration of 27 zooplankton taxa in the 0–600 m water column is in **Fig. 3**. These taxa were classified into four groups according to their amplitude of migration (ΔDVM) (**Table 1**): **1) Strong migrants**, represented by taxa with a strong DVM and a broad range of ΔDVM from 225 to 99 m (in St.T5), 440 to 84 m (in St. T3) and 208 to 87 m (in St. L6). This group constituted 70% of taxa with higher ΔDVM. The composition of taxa in this group was variable at each station (**Table 1**), but in general was well represented by Eucalanidae Copepods (EC), Euphausiids (EU), *Acartia* Copepods (AC), Ctenophores (CT), Decapods (DC), Annelids (AN), Bryozoan L (BR), Pteropods (PT) and Chaetognaths (CH). These taxa were mostly concentrated in the oxic surface stratum (**OX-ML**), and the **OMZ core** showing a strong interaction with both

the OMZ-UC and the OMZ-LC, and so changing from normoxia to hypoxia condition and vice versa between 0 to 550 m

251 (Fig. 3), 2) Intermediate migrants, represented by taxa with a moderate DVM and a range of ΔDVM from 73 to 34 m (in St. T5),70 to 27 m (in St. T3) and 49 to 22 m (in St. L6). This group constituted 23% of taxa with moderate ΔDVM. The 252 253 composition of taxa in this group was also variable at each station (Table 1), but it was mostly represented by small (SC) 254 and large Copepods (LC), Amphipods (AM), Cirripedia Larvae (CL), Gastropods (GA), Siphonophores (SIP) and Appendicularia (AP). These taxa were mostly concentrated in the oxic surface strata (OX-ML) and in the OMZ-UC 255 256 showing some interaction with the OMZ core and vertically changing from normoxia to hypoxia condition, and vice versa between 0 to 200 m, 3) Weak migrants, represented by taxa that with a weak DVM and a range of ΔDVM of 24 to 18 m (in 257 258 St.T5),23 to 12 m (in St.T3) and 21 to 11 m (in St. L6). This group constituted 5% of taxa of low range of ΔDVM. The 259 composition of taxa in this group was also variable at each station (Table 1), but in general it was represented by Hydrozoa 260 (HY), Salps (SA), Platyhelminthes (PT), Decapoda Larvae (DL), Ostracods (OS), Nauplii (NL) and Ichthyoplankton (IC). 261 These taxa were concentrated mainly in the oxic surface strata (OX-ML) and in the OMZ-UP, but also in the OMZ-UCat the 262 onshore stations (Station T3 and Station L6), showing much less interaction with the OMZ core, while spatially moving 263 from normoxia to hypoxia condition and vice versa between 0 to 100 m, and 4) Non-migrants, represented by taxa which 264 did not exhibit a significant DVM and had a range of ΔDVM from 16 to 0 m (in St. T5),7 to 0 m (in St. T3) and 6 to 0 m (in St. L6). This group constituted 1% of taxa with not significant ΔDVM. The composition of taxa in this group was also 265 266 variable at each station (Table 1), but in general it was represented by fish eggs (FE), Radiolarian (RA) and Echinoderm 267 larvae (EL).

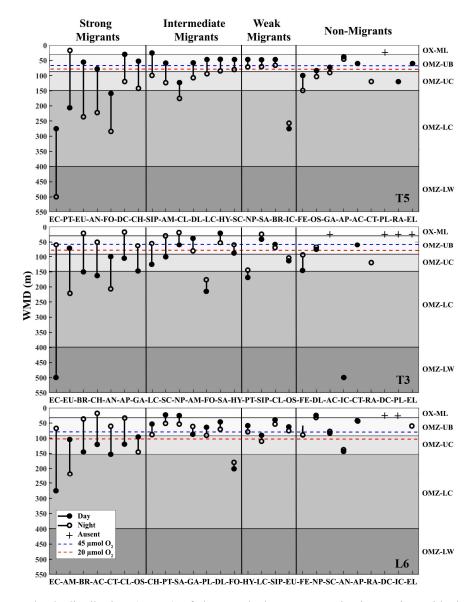


Fig.3. Weighed mean depth distribution (WMD) of the zooplankton community interacting with the OMZ off Iquique (Stations T5 and T3) and off Coquimbo (StationL6) at the northern upwelling area of Chile during the austral spring 2015. Shaded gray areas represent different layers defined by their oxygen levels (defined in Methods). The taxonomic groups were classified by automated analysis (ZooScan): EC= Eucalanidae Copepods, AM= amphipods, BR=Bryzoa larvae, AC= Acartia Copepods, CT= Ctenophores, CL=Cirripedia larvae, OS=Ostracods, CH=Chaetognaths, PT= Pteropods, SA=salps, GA= Gastropods, PL= Platyhelminthes, DL= Decapoda larvae, FO=Foraminifera HY=Hydrozoa, LC=Large Copepods, SIP=Siphonophores, EU=Euphausiids, FE=fish eggs, NP=Nauplii, SC=Small copepods, AN=Annelids, AP=Appendicularia, RA=Radiolarian, DC=Decapods, IC=ichthyoplankton, EL=Echinoderm larvae.

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Table 1- Diel vertical migration indices for 27 taxonomic groups (TAXA) identified and sorted by ZooScan at 3 stations off northern Chile (see **Fig. 1** for acronyms), during the austral spring 2015.Amplitude of migration (ΔDVM) is in meters. Positive values indicate normal DVM and negative values indicate reverse DVM (see Methods). Four groups are defined in according to DVM behaviour. Relative abundances are shown in %.

	T5			T3			L6		
TAXA	Δ DVM	%	TAXA	Δ DVM	%	TAXA	Δ DVM	%	MIGRANTS
EC.	225	14	EC.	-440	28	EC.	-208	20	
PT.	-188	12	EU.	149	10	AM.	115	11	
EU.	181	11	BR.	-129	8	BR.	-107	10	STRONG
AN.	145	9	CH.	-114	7	AC.	-103	10	MIGRANTS
FO	126	8	AN.	105	7	CT.	-94	9	> 5%
DC.	90	6	AP.	-88	6	CL.	-87	8	
CH.	88	6	GA.	-84	5	OS.	49	5	
SIP.	73	5	LC.	-70	5	CH.	35	3	
AM.	64	4	SC	-70	5	PT.	29	3	
CL.	51	3	NP.	-42	3	SA.	29	3	INTERMEDIATE
DL.	50	3	AM.	42	3	GA.	-27	3	MIGRANTS
LC.	47	3	FO	-38	2	PL.	26	2	> 2%
HY.	39	2	SA.	32	2	DL.	22	2	
SC	34	2	HY.	-27	2	FO	-22	2	
NP.	24	2	PT.	-23	1	HY.	21	2	
SA.	23	1	SIP.	-15	1	LC.	19	2	WEAK MIGRANTS
BR.	18	1	CL.	12	1	SIP.	15	1	>1%
IC.	-18	1	OS.	-9	1	EU.	11	1	-, •
FE	49	3	FE	-52	3	FE	25	2	
OS.	16	1	DL.	-7	0	NP.	6	1	
GA.	15	1	AC.	-	-	SC	-4	0	
AP.	7	0	IC.	-	-	AN.	-4	0	NON-
AC.	_	_	CT.	_	-	AP.	2	0	MIGRANTS
CT.	-	-	RA.	-	-	RA.	-	-	<1%
PL.	-	-	DC.	-	-	DC.	-	-	
RA.	-	-	PL.	-	-	IC.	-	-	
EL.	-	-	EL.	-	-	EL.	-	-	

3.2 Vertical distribution and DVM of dominant groups.

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284 Vertical distributions of zooplankton were assessed for 5 taxonomic groups, which represented 80% of total abundance in 285 average: Copepods represented by small Copepods, Large Copepods, Eucalanidae Copepods and Acartia Copepods; 286 Euphausiids; Decapods Larvae; Chaetognaths and Annelids, as well as their patterns of strata-station-abundance 287 relationships are detailed in Table S4 (Supplemental Material). The abundance of these zooplankton groups regarding depth strata was significantly different (ANOSIM, p<0.05) at each station, and therefore representing distinctive 288 289 microhabitats characterized by specific depth and oxygen concentration. In general, the higher abundance (>80%) was found in the shallower strata and well oxygenated layers (OX-ML and OMZ UB) (>250 µmol O₂ kg⁻¹), and then it 290 291 decreased rapidly in the strata associated with the OMZ core (OMZ-UC and OMZ-LC). Below this stratum a second slight 292 peak in abundance was in the OMZ-LW in special at Sts. T5 and L6, occurring between 400-600 m, both daytime and night 293 conditions. As expected, Copepods dominated numerically the zooplankton community both within and outside the OMZ . Small 294 295 Copepods (SC) were the most abundant (70%), followed by large Copepods (LC) (6%), whereas the Copepods Acartia (AC) 296 and Eucalanidae (EC) showed the lowest abundances among Copepods. The largest aggregation of Copepods (pooled data) 297 altogether during the entire study period was at the offshore station St. T5 (87%), where abundances reached 192088 ind. m 298 ². At the onshore station (St. T3) the percentage of contribution of copepods was 79% and 69% at the St L6 (**Table S5** 299 Supplemental Material). Off Iquique, the highest abundances were in the shallower strata (OX-ML) at St.T5 (46%) and at 300 St. T3 (47%), and reduced in the core of the OMZ at St. T5 (4 to 1%) and at T3 (8 to 1%) between 90-400 m, where oxygen 301 had the lowest concentrations ($< 20 \mu M$ to $1 \mu M$). At the St. T5 the second peak of abundances was in the **OMZ-LW** stratum 302 during daytime condition, where oxygen levels increased after the extremely low levels within the OMZ, while at the 303 onshore station St T3 it was much dimmer and during nigh condition. At the onshore station off 29° S (St. L6), having a 304 weaker and less extensive OMZ, the vertical distribution of abundance was similar. However, the abundance of copepods 305 was lowest in this station (in about 69%) in comparison with stations off Iquique, in the core of the OMZ the percentage was 306 between 5 to 3%. 307 DVM of Copepods was pronounced at onshore stations (StationsT3 and L6), but the strength of migration was higher overall 308 at St. T3 off Iquique, as reflected by the migration indices (WMD and Δ DVM) (Table 1). The WMD of these taxa had a 309 broad range (17–500 m), which varied significantly among copepods groups and stations, both in day and night samples (p 310 <0.05) (Fig. 3). During the night, at the offshore station (St. T5) most Copepods exhibited normal DVM and they were 311 concentrated mainly in the oxic surface strata (OX-M) and OMZ-UB (40-60 m) without interacting with the OMZ; except 312 for Eucalanidae which concentrated deeper in the OMZ-LC stratum, associated with the lower core of the OMZ and showing a high ΔDVM (225m).During the day these four groups of Copepods tended to remain deeper in the stratum 313 314 associated with the lower core of the OMZ (OMZ-UC) and lower O2 gradient (OMZ-LW), except for small Copepods that

remained at the OMZ-UB stratum with a smaller ΔWMD (34 m). At the offshore stations (StationsT3 and L6) the DVM

- 316 was reverse in most Copepods, except for large Copepods (LC) which showed slightly normal DVM at St. L6 off 29°S. At
- 317 night Copepods were concentrated deeper in the stratum associated with the lower core of OMZ (OMZ-UC) and lower O₂
- 318 gradient (OMZ-LW), particularly Eucalanidae with a strong DVM and high ΔWMD of 440 m (St. T3) and 208 m (St. L6)
- and Acartia Copepods with 103 m (St. L6) (Table 1), whereas at St. L6 small Copepods (SC) were caught in abundance at
- 320 the OMZ-UB stratum down to 82–90 m depth, respectively (Fig. 3). During the day, Copepods remained shallower than at
- 321 night, although they concentrated at different depths. Small Copepods were in the oxic surface strata OX-ML (St. T3) and
- 322 remained in the upper boundary of the OMZ (St. L6) without detectable DVM, as judging by the small difference between
- 323 their day- and night-time distributions (ADVM ca. 4 m). Large Copepods (LC), as expected, showed a normal migration and
- 324 stayed inside the OMZ, concentrated in the OMZ-UC stratum (St. L6) and OMZ-UB (St. T3). Finally, Eucalanidae, with a
- 325 strong DVM tended to distribute in the OMZ-UC (St. T3) and the OMZ UB (St. L6) (Fig. 3).
- 326 Unlike copepods, the Euphausiids were more abundant at the onshore station L6 (<1%), where they reached up to
- 327 1683±473 ind. m⁻² d⁻¹. The OMZ-UB stratum was the most abundant in this station, with a peak of abundance during the
- daytime, however no DVM was detectable, judging by the small difference between their day- and night-time distributions
- 329 (Fig. 3). Off Iquique, also the highest abundance was in OMZ-UB stratum at night, butwith a second peak in OMZ-LC
- 330 stratum during daytime in both station (Sts. T3 and T5) (Table S4 Supplemental Material). The euphausiids appeared to
- 331 perform a strong DVM in these stations (Fig. 3), with a vertical range between 236 and 56 m and a mean ΔDVM of 181 m at
- T5 and at St. T3 between 222 and 73 m with a mean Δ DVM of 149 m (**Table 1**).
- 333 Decapods larvae were more abundant at StT5 (428±132 ind. m⁻² d⁻¹) and were associated with the **OMZ-UB** stratum, where
- 334 they performed a strong normal DVM with a vertical range between 120 and 30 m and a mean ΔDVM of 90 m (Table 1). At
- the offshore station (St.T3), the surface peak of abundance was in the OX-ML stratum during the day and in the OMZ-UB
- 336 layer at night, where they reached up to 292±62 ind. m⁻² d⁻¹, with a weak reverse DVM (ΔDVM-7 m). Off Coquimbo (St.
- 337 L6) they reached up to 400±88 ind. m⁻² d⁻¹, the **OMZ-UB** stratum was the most abundant, with a slight second peak in the
- 338 OMZ-LW stratum during daytime, at this station the vertical range was between 70 and 48 m with a mean ΔDVM of 22 m
- 339 (Fig. 3).
- 340 The largest aggregation of Chaetognaths was at the onshore station St. L6 (~2%), where their abundances reached up to
- 341 4755±1038 ind. m⁻² d⁻¹. The abundance and biomass of this group increased in the upper boundary of the OMZ (OMZ-UB)
- 342 during day and night. No DVM was discernible for this group in this station, because of the slight difference between their
- 343 day- and night-time distributions. By contrast, off Iquique they appeared to perform a strong DVM between the **OMZ-UB**
- 344 and the **OMZ-UC** strata, as indicated by the migration indices (WMD-ΔDVM) (**Table 1**). However, at the onshore station
- 345 (St. T3) they showed a reverse DVM.
- 346 The other main taxon, Annelida was more abundant at the onshore station St. L6, where their abundances reached up to
- 347 7395±847ind. m⁻² d⁻¹ (**Table S4 Supplemental Material**). In the whole area, the highest of abundance was in the OMZ-UB,
- 348 however a second peak of abundance was in the OMZ-LC during daytime at St T5 and T3 and during the night at St. L6. The

DVM of this group was high off Iquique with ΔDVM of 145 m (St. T5) and 105 m (St. T3), while at St. L6 off Coquimbo no DVM was discernible for this group.

3.3.3 Others groups with vertical distribution associated to OMZ UC

The remaining 19 groups constituted 11% (in St. T5) 17% (in St. T3) and 27% (in St. L6) in abundance. The DVM behaviour was variable at each station, but in general it was Normal at St. T5 and reverse at Sts.T3-L6 (**Table 1**). These groups clearly exhibited different daytime and night depths associated with the **OMZ core (OMZ UC-LC)**. Overall, they tended to reside deeper by day and shallower by night in St. T5 than at the other sites (**Fig.3**).

3.4 Vertical distribution of zooplankton biomass

Estimates (mean \pm SD) of biomasses of the taxonomic groups integrated by depth strata are summarized in **Table 2**. These data, averaged from day and night measurements, contrast with the numerical abundances, which were dominated by copepods. In this case, the bulk of zooplankton biomass was dominated by different groups depending on Stations. In terms of biomass, Copepods, Euphausiids, Decapods L. Chaetognaths, and Annelids accounted, more or less equally, for >84% in the whole area (**Table 2**). At the stations less affected by the effect of OMZ the bulk of biomass was dominated by copepods \sim 50% at St. T5 and \sim 40% at St. L6, while Decapods largely dominated the bulk of biomass at Station T3 (\sim 40%), followed by Copepods(19%) and Euphausiids (16 %). (**Table 2**).

Table2. Mean and Standard Deviation (±) of integrated biomass (mg C m-²) by taxonomic groups identified and sorted by ZooScan during daytime/ night-time conditions at three stations (T5, T3 and L6) sampled off northern Chile, during the austral spring 2015. Mean ± SD are from n=8 for Stations T5 and T3, and n=4 for Station L6.

		T:	5		Т3			L6	
TAXA	Integrated Biomass		Integrate	Integrated Biomass			Integrated Biomass		
Copepods									
Large C	383.73	±	89.3	286.75	±	50.6	1727.49	±	340.8
Small C	467.55	±	115.9	194.51	±	41.4	416.14	±	66.6
Eucalanidae C	0.22	±	0.1	2.42	±	0.8	11.78	±	4.1
Acartia C	0.02	±	0.0	0.00	±	0.0	0.34	±	0.1
Euphausiids	349.48	±	77.6	412.38	±	103.0	1060.58	±	305.8
Decapoda L	20.04	±	4.7	1015.61	±	294.6	537.17	±	176.8
Chaetognaths	63.97	±	15.7	215.20	±	79.3	641.85	±	172.9
Annelida	50.76	±	7.4	79.59	±	11.8	734.67	±	196.4
Decapods	442.38	±	187.4	0.00	±	0.0	0.00	±	0.0
Ostracods	20.83	±	2.9	25.14	±	1.9	171.01	±	15.2
Ctenophores	0.45	±	0.2	101.44	±	45.4	100.01	±	29.9

Hydrozoan	31.48	±	9.9	28.39	±	8.3	132.34	±	39.0
Salps	15.96	±	6.0	129.33	±	33.5	35.14	±	9.9
Siphonophores	71.53	±	25.4	55.30	±	16.3	39.17	±	9.8
Amphipods	8.78	±	1.7	43.32	±	13.2	28.34	±	5.3
Platyhelminthes	0.00	±	0.0	0.00	±	0.0	48.83	±	21.0
Ichthyoplankton	7.62	±	2.2	28.64	±	12.8	0.00	±	0.0
Nauplius L	2.15	±	0.6	1.02	±	0.3	19.78	±	5.6
Pteropods	4.20	±	1.8	0.62	±	0.2	5.02	±	2.2
Foraminiphers	0.45	±	0.1	0.27	±	0.1	7.55	±	0.9
Gastropods	0.35	±	0.1	0.21	±	0.0	1.32	±	0.2
Cirripedia L	0.17	±	0.0	0.09	±	0.0	1.25	±	0.4
Bryozoa L	0.59	±	0.2	0.16	±	0.0	0.70	±	0.3
Radiolarian	0.02	±	0.0	0.05	±	0.0	0.60	±	0.2
Echinoderm L	0.01	±	0.0	0.00	±	0.0	0.04	±	0.0
Appendicularian	0.00	±	0.0	0.00	±	0.0	0.00	±	0.0
Fish Egg	0.00	±	0.0	0.00	±	0.0	0.00	±	0.0
Total	1943	±	435	2620	±	572	5721	±	1019

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When assessing the day vs. night vertical distribution of taxonomic groups in terms of their contribution to biomass, different patterns arise compared to numerical abundance. In this case, we used 9 taxonomic categories to examine vertical distribution and DVM in terms of biomass: small Copepods (SC), large Copepods (LC), Acartia Copepods (AC), Eucalanidae Copepods (EC), , Euphausiids (EU), Decapod larve (DL), Chaetognaths (CH), Annelids and all the other taxa (Fig. 4). Contrasting with numerical abundance, the vertical distribution of biomass was more heterogeneously divided among taxonomic groups and DVM patterns vary strongly between stations. Small Copepods continue to dominate at the St. T5 (24%), with two peaks of biomass, a surface peak associated to the upper oxic layer (OX-ML) and OMZ-UB stratum during night condition, and a second peak associated to deeper stratum (OMZ-LW) during daytime. At the onshore Stations T3 and L6 the biomass had a similar vertical distribution but lower (\sim 7%), at the Station T3 the peak of biomass was in the upper oxic layer (OX-ML) during daytime condition and then it decreases sharply within the OMZ-UB and within the OMZ core (OMZ-UC and OMZ-LC). This abrupt decrease in biomass coincides with the intense OMZ present at this station T3. The second peak of biomass during daytime was in deeper stratum (OMZ-LW), where oxygen conditions seem to be restored. Large Copepods dominate at the onshore St L6(30 %) where their biomass reached up to 1727.49±340.8mg C. m⁻² d-1 (Table 2). A surface peak of biomass was associated to OMZ-UB stratum during daytime condition, and a second peak associated to deeper stratum (OMZ-LW) also during daytime. Off Iquique they were the second dominant group, with a surface peak in OX-ML stratum during night at St. T5 and daytime at St. T3, and a second peak in deeper stratum (OMZ-LW), during daytime in both stations (Fig. 4). The biomass of Eucalanidae and Acartia copepods were lower than the other copepods in the whole area, but in general Eucalanidae was associated to the deeper stratum.

388 ascent from deep layers to the upper ones at night was also evidenced by increasing proportions of these group in the OMZ-389 UB stratum at night. The highest biomass was in St L6(19%) where reached up to 1060.58±305.8 mg C. m⁻² d⁻¹(Table 2). A 390 surface peak of biomass was associated to OMZ-UB stratum during night condition, decreasing in the deep strata (Fig. 4). Across of the zonal section off Iquique two peak of biomass was in both stations. A surface peak was in OMZ-UB stratum 391 392 during night condition followed by lower biomass within the OMZ core, then a second peak was in the OMZ-LC during 393 daytime. 394 Decapod Larvae clearly dominated over copepods in the St. T3 (39%). The high biomass was in OMZ-UB stratum during 395 night condition followed by lower biomass within the OMZ Core. At the St L6 also was a second peak of biomass in the 396 OMZ-LC during night. Chaetognaths and Annelids were other groups with an important vertical movement of biomass 397 between day and night across strata, and like other groups with two peaks of biomass. The high biomass was at St. L6 in 398 both groups (Fig. 4). 399 Total added biomass of zooplankton revealed more clearly DVM behaviour of the whole zooplankton community (Fig. 5). 400 The vertical distribution and daytime vs. Night-time variability of zooplankton biomass showed distinctive features associated with the OMZ structure, with significant differences (p<0.05) between strata for both daytime and night-time 401 402 samplings, as based on the ANOSIM test (p<0.05). In the whole area most of the biomass was concentrated in a narrow band 403 within the OX-ML and OMZ UB strata, associated with more oxygenated surface waters, with reduced values in deeper 404 waters associated with the OMZ core, in special at the onshore station off Iquique (St. T3) (Fig.5). Overall, we observed that 405 highest values of biomass were during the night at the shallower sampling stratum (Ox-ML) and in the subsurface during the day. There was also an important increase in biomass at the deepest stratum (OMZ-LW) during the daytime and night 406 407 condition.

Following Copepods, Euphausiids were the second dominant group in term of biomass in the whole area. In general, their

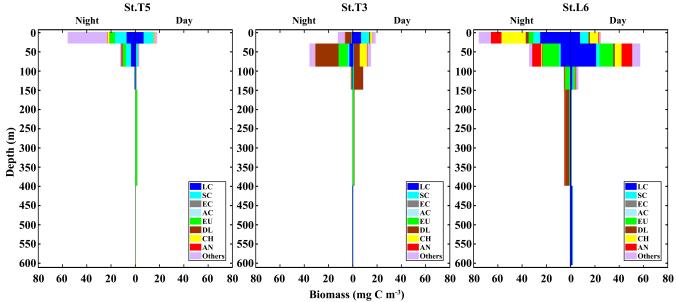


Fig.4. Daytime vs. Night-time vertical distribution of biomass of dominant taxonomic groups at 3 stations off northern Chile: off Iquique (StationsT5 and T3) and off Coquimbo (StationL6). Data are from night and day replicated samples during two consecutive days in the austral spring 2015. Values represent means from sampling size n=4 for St. T5 and St. T3, and n=2 for St. L6.

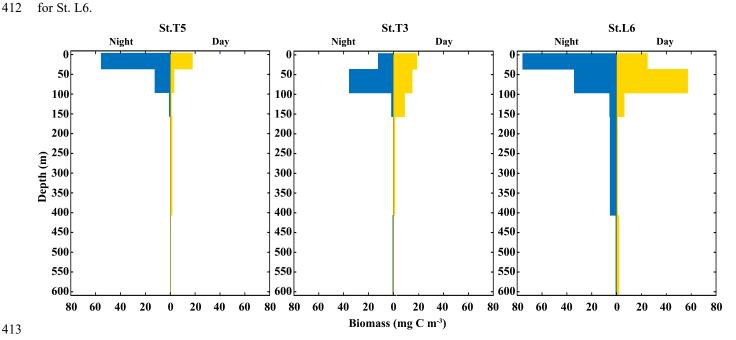


Fig. 5. Vertical distribution of total zooplankton biomass during daytime and night-time conditions at 3 stations off northern Chile: off Iquique (StationsT5 and T3) and off Coquimbo (StationL6) during two consecutive days in the austral spring 2015.).

417 3.4.1 Migrant biomass of the zooplankton taxa

The migrant biomass of the zooplankton taxa and the rate of migration (RM), represented by the proportion of biomass (%) being vertically moved daily from the upper 90 m are shown in **Table 3**. Most dominant groups showed a high rate of migration as reflected in the RM. In terms of migrant biomass, Decapod larvae, Euphausiids, Decapods, Copepods and Chaetognaths accounted for a large proportion of total migrant biomass (81%), although high estimates of migrant biomasses were also associated with high standard deviations, indicating a strong variation among replicated samples (**Table 3**). Presence of zero values in **Table 3** represents absence of a given taxonomic group in the upper 90 m layer or extremely low values of biomass under both conditions' day and night, so that such groups did not contribute, or they had a non-significant contribution to total migrant biomass.

Table3. Migrant biomass (mg C m⁻²) and rate of migration (RM) (%) for taxonomic groups of zooplankton sampled at northern Chile at 3 stations: off Iquique (Stations T5 and T3) and off Coquimbo (Station L6) during the austral spring 2015. RM represents the proportion (%) of migrant biomass with respect to total biomass found at night in the 0-90 m for a given taxonomic group.SD= Standard deviation of the migrant biomass estimated from n=4 (St. T5 and St. T3) and n=2 (St. L6).

		ST-T5			ST-T3			ST-L6	
TAXA	MB	SD	RM %	MB	SD	RM %	MB	SD	RM %
Decapoda L	24.50	17.32	1	968.95	685.15	40	12.19	8.62	1
Euphausiids	269.50	190.56	11	455.14	321.83	19	247.96	175.34	10
Decapods	876.93	620.08	36	0.00	0.00	0	0.00	0.00	0
Chaetognaths	20.31	14.36	1	390.72	276.28	16	105.39	74.52	4
Copepods									
Small C.	223.31	157.90	9	139.02	98.30	6	128.19	90.64	5
Large C.	183.07	129.45	8	43.78	30.96	2	245.28	173.44	10
Eucalanidae C.	0.00	0.00	0	1.10	0.78	0	0.12	0.09	0
Acartia C.	0.04	0.03	0	0.00	0.00	0	0.62	0.44	0
Ctenophores	0.00	0.00	0	202.89	143.46	8	81.61	57.71	3
Annelids	32.50	22.98	1	4.03	2.85	0	156.14	110.41	6
Hydrozaa	35.83	25.34	1	7.80	5.52	0	79.04	55.89	3
Siphonophores	34.89	24.67	1	58.18	41.14	2	0.33	0.23	0
Amphipods	5.62	3.98	0	32.50	22.98	1	32.93	23.29	1
Salps	27.36	19.34	1	38.56	27.27	2	4.57	3.23	0
Ostracods	17.80	12.59	1	12.92	9.14	1	36.37	25.72	2
Platyhelminthes	0.00	0.00	0	0.00	0.00	0	63.08	44.61	3
Pteropods	3.97	2.81	0	0.07	0.05	0	9.85	6.96	0
NaupliusL	3.06	2.17	0	0.36	0.25	0	2.84	2.01	0

Foraminiphers	0.09	0.07	0	0.03	0.02	0	3.11	2.20	0
Cirripedia L	0.26	0.18	0	0.01	0.01	0	1.87	1.32	0
Bryozoa L	1.06	0.75	0	0.18	0.13	0	0.83	0.59	0
Gastropods	0.22	0.16	0	0.12	0.09	0	0.11	0.08	0
Echinoderm larvae	0.02	0.01	0	0.00	0.00	0	0.07	0.05	0
Ichthyoplankton	0.06	0.04	0	0.00	0.00	0	0.00	0.00	0
Appendicularia	0.00	0.00	0	0.00	0.00	0	0.00	0.00	0
Fish Eggs	0.00	0.00	0	0.00	0.00	0	0.00	0.00	0
Radiolarian	0.00	0.00	0	0.00	0.00	0	0.00	0.00	0

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4 Discussion

435 Studies on zooplankton DVM and the active transport of C mediated by zooplankton have been documented previously for 436 the Pacific Ocean and for other areas of the world's ocean, as summarized in Table 4. However, downward C flux due to 437 DVM in highly productive upwelling regions, such as northern Chile, which is also characterized by severe subsurface hypoxic conditions upon presence of a shallow OMZ, is still poorly understood. Some studies have shown that hypoxic 438 439 conditions can interfere with DMV of many meso- and macrozooplankton species (Wishner et al., 2013; Ekau et al., 2010; 440 Escribano et al., 2009; Apablaza and Palma, 2006; Antezana, 2002; Escribano, 1998). These studies have shown that small 441 differences in oxygen concentration can make a large difference for zooplankton behavior, physiology and adaptation 442 (Wishner et al., 2018; Kiko et al., 2016; Seibel, 2011; Gonzalez and Quiñones, 2002; Escribano and McLaren, 1999). Therefore, it seems that the OMZ can plan a very significant role influencing vertical distribution, DVM and ultimately the 443 444 downward C flux mediated by zooplankton. 445 Our approach to assess downward C flux into the Oxygen Minimum Zone, based on estimates of the migrant biomass and 446 our proposed migration indices, allowed us on one hand to examine the contribution that different zooplankton can have for 447 vertical flux of C and hence export production, and in the other hand to assess zooplankton responses (e.g. vertical 448 distribution and DVM performance) to changes in environmental conditions over the vertical gradient, such as temperature, 449 water density and the abrupt changes in oxygenation levels. In this subtropical upwelling region, vertical gradients are much 450 stronger than in temperate upwelling zones. For example, the coastal zone in this region is more stratified and with a very 451 shallow OMZ (<50 m) with a weak seasonal signal and moderate upwelling throughout the year (Paulmier and Ruiz-Pino, 452 2009; Fuenzalida et al., 2009; Escribano et al., 2004). This means that zooplankton must cope with hypoxic conditions during their entire life cycle, except for some species that may reside in near surface water (<30 m), such as C. chilensis and 453 454 C. brachiatus which have been reported as mostly restricted to the upper layer without performing any substantial DVM 455 (Escribano et al., 2012, 2009; Escribano and Hidalgo, 2000; Escribano, 1998).

The vertical distribution and diurnal variability of zooplankton biomass seem to be disturbed by the OMZ, such that high biomass aggregates above the oxycline in a narrow band within the OX-ML and OMZ-UB layers, associated with more

458 oxygenated surface waters, whereas extremely low biomass reside in deeper waters, in particular within the OMZ core. This 459 condition was more evident in the coastal station off Iquique (St. T3), characterized by the most intense OMZ in the whole 460 study area. In the eastern tropical north Pacific, biomass distribution seemed different, exhibiting a secondary peak at depth 461 during the daytime within the upper oxycline or OMZ core (Wishner *et al.*, 2013). Regarding the estimates of biomass for each of the taxonomic groups, our approaches can certainly introduce variation, 462 463 depending on selected regressions and conversion factors from highly diverse body shapes and body densities of the 464 zooplankton taxa affecting the estimates of body area and volume, dry weight and C content. Various approaches have been 465 adopted for converting sizes to body masses. For example, Lehette and Hernández-León (2009) provided some general 466 regression equations for subtropical and Antarctic zooplankton describing the relationship between scanned area and body mass (C content). These authors also proposed two separate regressions for crustacean and gelatinous zooplankton, because 467 468 of different body densities. In our study, we adopted more direct estimates of body masses by converting individual areas or 469 volumes (from ZooScan) using published regressions for separate taxonomic groups. Also, in our samples there was a high 470 diversity of taxonomic groups as identified by ZooScan, such that unique regressions for crustacean and gelatinous 471 organisms may lead to strong biases in body mass estimates, because of high variability in C content, which is the key 472 component of body mass needed to estimate C flux. Therefore, the use of taxa-specific conversion factors, as those detailed 473 in our Table S3 (Supplemental Material) is strongly recommended. 474 Despite the apparently hostile oxygen-deficient habitat, associated with the OMZ, we found that most taxa were able to 475 perform DVM in the upwelling zone withstanding severe hypoxia. Even, several zooplankton groups are strong migrants, exhibiting large DVM amplitude (~500 m). Among them, an important migrant group is comprised by the copepods 476 477 Eucalanidae which have been described as even being able to enter the core of the OMZ, and then migrate downward to the 478 lower limit of the OMZ, which is slightly more oxygenated (Hidalgo et al., 2005). In our study however, their contribution to 479 total migrant biomass was too small (ca. 0.4 mg C m-2 d-1), as compared to the estimate made by Hidalgo et al. (2005). In 480 fact, the migrant biomass and rate of migration of this group was non-significant when considering DVM between the upper 481 90 m and below, suggesting a little or no contribution to downward flux of C for this group of copepods. However it seems 482 that Eucalanidae remain below the oxycline or nearby the base of the oxycline day and night, as shown by their weighted 483 mean depth (WMD) and therefore suggesting that they may still contribute to vertical flux by feeding at the base of the 484 oxycline at night and then migrating into the OMZ during the day. 485 Other taxa, such as Euphausiids, Acartia spp., other copepods, Ctenophores, Decapods, Annelidae, Bryozoa L, Pteropods 486 and Chaetognaths tended to concentrate their populations inside the OMZ core showing a strong link to the OMZ with 487 important movement throughout the water column. Antezana (2010) showed that E. mucronata, an endemic and abundant 488 euphausiid in the coastal upwelling zone off Chile, is a well-adapted species to vertically migrate into the core of the OMZ. 489 In fact, the euphausiids studied here showed a large DVM amplitude (~250 m), descending into the core of the OMZ and

below 250 m each day. In general, all strong migrants' taxa showed a strong interaction with the core of OMZ, remaining

there either temporarily or permanently during the day or night condition, contributing in this way to the release of C below the thermocline, despite presence of hypoxic conditions.

Our estimates of DVM-mediated C flux showed that migrant biomass (958±778 mg C m⁻² d⁻¹) and C flux estimates (71±64mg C m⁻² d⁻¹) of the major taxa performing DVM, were greater than those reported for the Pacific Ocean, both in oligotrophic, such as Hawaii, and mesotrophic waters, as the subarctic North Pacific (Steinberg et al., 2008), and even greater than that informed by Yebra et al. (2005) within eddies with enhanced biological production. Most of these previous estimates however have not been done in regions with severe hypoxia or anoxia at mid water depths (e.g. Kiko et al., 2016), such as the highly productive upwelling region of the coastal zone off northern of Chile, where the oxygen concentrations may fall below <1 µmol in the core of OMZ (Paulmier and Ruiz-Pino, 2009). Moreover, only few works have considered the whole zooplankton community (Table 4). High productivity and strong aggregation of zooplankton in coastal areas of this region (Escribano et al., 2000; Escribano and Hidalgo, 2000) may promote greater amounts of migrant biomass. This requires however that DVM should not be majorly constrained by presence of the OMZ and that most migrant taxa are tolerant to low-oxygen. On the other hand, our estimates of downward C flux were substantially lower than previous ones reported off northern Chile by Hidalgo et al. (2005) for Eucalanus inermis alone (14.1 mg C m-2 d-1) and for copepods and euphausiids by Escribano et al. (2009) (7200 mg C m⁻² d⁻¹) (**Table 4**). Although, such previous estimates may be too high, considering the level of primary production in the upwelling zone of Chile (~10000 mg C m⁻² d⁻¹, the maximum estimated value) (Daneri et al., 2000), suggesting that previous works may have overestimated active transport of C. Although the work of Escribano et al. (2009) was based on samples obtained with a Tucker Trawl net, which can be more efficient in capturing large-sized zooplankton or macrozooplankton (Escribano et al., 2007), as compared with the vertically towed Multinet. This means that our estimates mainly based on mesozooplankton may not include the contribution of some macrozooplankton, and therefore such values may be greater.

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Table 4. Comparison of active transport of carbon (AC) (mg C m⁻² d⁻¹) by vertically migratory taxa in Pacific Ocean. Diel vertically migratory taxa (DVM), productivity primary (PP) (mg C m⁻² d⁻¹), migrant biomass (MB) (mg C m⁻²), respiratory loss (R) (mg C m⁻² d⁻¹), faecal pellets production (F) (mg C m⁻² d⁻¹) and mortality (M) (mg C m⁻² d⁻¹). Where provided by authors, estimated passive export (POC) is listed. Fluxes refer to carbon export beneath the epipelagic zone (150–200 m depth, depending on the study) in mg C m⁻²d⁻¹

Location	Taxa	PP	MB	AC	R	F	M	% POC	References
N.Hawaii ALOHA	DVM Zooplankton		108–216	7.1	2.6–4.8			12–18	Al-Mutairi and Landry (2001)
N. Hawaii ALOHA			157.9	3.2–13.6	3.7			18	Steinberg et al.(2008)
N.W. Pac.	DVM Metridia	418	144	9	3	5	1	23.1– 61.8	Kobari et al. (2008)

N.W. Pac.	DVM copepods			8			22.3	Takahashi <i>et al.</i> (2009)
N.E. Pac.	Mesopelagic fishes	170			23.9			Davison <i>et al.</i> (2013)
Eastern Equator			96.0 ± 25.2		4.2 ± 1.2	2.9±0. 8	18.4	Zhang and Dam (1997)
Eastern Equator	DVM zooplankton		154.8± 32.4		$7.3{\pm}\ 1.4$	5.4±1. 1	25.4	Zhang and Dam (1997)
Central Equator (HNLC)	-		52.9		6	_	4	Rodier and Le Borgne (1997)
Western Equator			46.9		3	_	6	Rodier and Le Borgne (1997)
E. Eq. Pac.	DVM Zooplankton		1214	7.1	7.1		204	Rodier and Le Borgne (1997)
Western Equator	DVM Zooplankton		144–447	23.53- 9.97	7.3–19.1	2.6– 4.4	13–35	Hidaka <i>et al.</i> (2002)
Equator divergence	-		2.8–21.8		0.9-1.2		<1-2	Roman et al. (2002)
Oligotrophic area			30.2-33.8		1.3-1.7		4	Roman et al. (2002)
E.S.Pac. N.Chile		5503						Gonzalez <i>et al.</i> (1998)
E.S.Pac. N.Chile		10000						Daneri et al.(2000)
E.S.Pac. N.Chile	DVM Eucalanus		8.0 -34	14.1				Hidalgo <i>et al.</i> , (2005)
E.S.Pac. N.Chile	DVM zooplankton		37810	7200		670 0		Escribano et al. (2009)
E.S.Pac. N.Chile	DVM zooplankton	2833±1155	$958 \pm \! 778$	71±64				This study

Differences of our estimates with previous works may also be accounted by strong variability of zooplankton abundance in the upwelling zone. In fact, our estimates of migrant biomasses of the different taxonomic groups based on 2 days of sampling and two replicates for each condition (day and night) are strongly variables, as shown by the standard errors in **Table 3** which can be as much as 100% from the mean value. Therefore, comparisons must take caution upon strong time-space variation when assessing zooplankton abundance. Nevertheless, a strong spatial variation in migrant biomass was also evident when comparing the three sampling stations. For instance, St. L6 had more biomass than the other stations, but much less migrant biomass, and thus a very low contribution to vertical flux of C by DVM. At station L6, large copepods, Euphausiids, Annelids and Chaetognaths largely contributed to biomass, although they did not show significant DVM. Therefore, species composition and their DVM behaviour appear as a key factor to determine the downward flux of C mediated by active transport.

Even although the OMZ did not greatly prevent DVM migration, zooplankton behaviour appeared disrupted or exhibited reversed patterns, depending on vertical distribution of OMZ and on the taxonomic group being considered. This behaviour was more evident in the onshore stations (StationsT3 and L6), but in particular in the station off Iquique (St. T3) that also showed a higher migration rate (60%). According to Ekau *et al.* (2010), other indirect effects could also be caused by the hypoxia conditions, such as changes in prey availability, prey size or predation risk, as well as changes in species

533 composition, the strength of which depends on the duration and intensity of the hypoxic events. This could explain why individuals with in a single population can perform reverse, normal, or non DVM, apparently depending on the more 534 535 important source of mortality: predation by nocturnal feeding, normally migrating carnivorous zooplankton, or visually hunting planktivorous fish (Ohman, 1990). These kind of DVM behaviors can only be better assessed and understood when 536 537 looking at the population level, although again time-space variation in zooplankton abundance in a highly heterogeneus 538 upwelling zone should be kept in mind. 539 Concerning C fluxes, our estimates of active transport of carbon by zooplankton were greater than estimates of passive C 540 sinking obtained off northern Chile at 60 m depth off Antofagasta (23°S) by Gonzalez et al. (1998) based on sediment traps 541 (125 to 176mg C m⁻² d⁻¹). Regarding the question on how efficient is active C transport mediated by DVM, we obtained satellite-based (http://science.oregonstate.edu.ocean.productivity) estimates of net primary production (monthly means for 542 543 November-December 2015) for the coastal area (Stations T3 and L6) and the coastal transition zone (Station T5), averaged 544 for the months of November and December 2015. Our estimates of downward C flux represented a mean of ca. 4 % of export of Carbon resulting from net primary production in the upwelling region, estimated in the range of 1500-3500 mg C 545 m⁻² d⁻¹ (Table 5). If we consider this is accounted only by mesozooplankton, then an important fraction of freshly produced 546 C might be taken downward by zooplankton, and this DVM-mediated C flux ought to be taking into account when and 547 548 analysing and modelling the C budget in the upwelling zone.

Table5. Mean net primary production rate and estimates of daily downward C flux due to passive sinking and mediated by diel vertical migration (DVM) of mesozooplankton at three stations (T5, T3 and L6) in the coastal upwelling region off northern Chile during the austral spring 2015. Primary production represents satellite-based estimates of monthly mean (November-December 2015) at the 3 sampling stations. Passive C flux is a mean value estimated from sediment traps by González et al. (2000) off Antofagasta (northern Chile, 23 °S) for January 1997. Total biomass and epipelagic biomass are mean observed values from day-night conditions after 2 consecutive days of sampling.

Station	T5	Т3	L6	Me	an ±	SD
Primary Production mg C m ⁻² d ⁻¹	1500	3500	3500	2833	±	1155
Passive Carbon Flux mg C m ⁻² d ⁻¹				151	±	36
Integrated Abundance ind. m ⁻² (0–600 m)	221735	127085	371235	240018	±	123097
Total biomass mg C m ⁻² d ⁻¹ (0–600m)	1943	2620	5721	3428	±	2015
Epipelagic biomass (mg C m ⁻²) (0–90m)	1581	1988	4259	2609	±	1443
Migrant biomass (mg C m ⁻² d ⁻¹)	1686	1048	139	958	±	778
Rate of Biomass migration (%)	70	42	3	38	±	34
Active Carbon Flux (mg C m ⁻² d ⁻¹)	139	63	11	71	±	64
Total Active Carbon exported (%)	9.3	1.8	0.3	3.8	±	4.8

5. Conclusions

In the coastal upwelling zone off northern Chile the presence of a subsurface oxygen minimum zone (OMZ) can impose an important constraint for diel vertical migration of zooplankton and so influencing the downward C export mediated by zooplankton. We found that most of the zooplankton biomass aggregates above the oxycline, associated with more oxygenated surface waters and this was evident upon presence of a more intense OMZ. Some taxonomic groups however, were found closely associated with the OMZ and several taxa were able to perform DVM in the upwelling zone withstanding severe hypoxia. Also strong migrants, such as large sized copepods and copepod of the group Eucalanidae and Euphausiids, can exhibit a large migration amplitude (~500 m), remaining either temporarily or permanently during the day or night condition within the core of the OMZ, and so contributing to the release of C below the oxycline (and thermocline). Our estimates of DVM-mediated C flux suggested that a mean migrant biomass of 957.7 mg C m⁻² d⁻¹ may contribute with about 71.1 mg C m⁻² d⁻¹ to the OMZ system through respiration, mortality, and C excretion a at depth, accounting for ca, 4% of the net primary production, and so implying the existence of a efficient mechanism to incorporate freshly produced C into the OMZ. This downward C flux mediated by zooplankton DVM is however strongly depending on the taxonomic structure due

- 571 to variable migration amplitude and DVM behavior. These estimates should also consider the strong temporal-spatial
- 572 variation in zooplankton abundance in the upwelling zone for comparison purposes.

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