Dear Dr. Pantoja,

Thank you very much for the valuable comments and careful revisions, based on

which we have revised the manuscript again. Our responses to all comments are listed

below. Thank you again for your time and kind efforts.

Best wishes,

Yao Zhang

Manuscript Number: bg-2019-132

Manuscript title: Major role of ammonia-oxidizing bacteria in N2O production in

the Pearl River Estuary

Response to Editor

Comments to the Author:

Review of bg-2019-132

October 25, 2019

Dear authors,

Thank you for providing a revised version of the manuscript. From my point of view

some of the aspects brought up by the reviewers could be addressed more carefully:

1. Abstract, L.10 "However, biological sources of N2O in estuarine ecosystems

remain controversial, but are of great importance for understanding global N2O

emission patterns."

"However, knowledge on or discrimination of biological sources of N₂O in estuarine

ecosystems remains controversial, but are of great importance for understanding

global N₂O emission patterns. "

Knowing "biological sources of N2O is of great importance for understanding global

N₂O emission patterns", not sources by themselves. Please rephrase accordingly

Response:

We thank the editor for this correction. We have revised this sentence as "However,

knowledge on biological sources of N_2O in estuarine ecosystems remains

controversial, but are of great importance for understanding global N2O emission

patterns." (Page 1, Lines 9–10)

2. Abstract, L. 14. "Our results indicated that nitrification predominantly occurred, with significant N₂O production during ammonia oxidation, in the hypoxic waters of the upper estuary where the maximum N₂O and DN₂O excess concentrations were observed, although minor denitrification might be concurrent at the site with the lowest dissolved oxygen." This sentence is difficult to understand as it is. Do you mean this?:

"Our results indicated that nitrification predominantly occurred, with significant N₂O production during ammonia oxidation. In the hypoxic waters of the upper estuary where the maximum N₂O and DN₂O excess concentrations were observed, although minor denitrification might be concurrent at the site with the lowest dissolved oxygen."

Response:

We thank the editor for this correction. We have revised this sentence as "Our results indicated that nitrification predominantly occurred, with significant N_2O production during ammonia oxidation. In the hypoxic waters of the upper estuary, strong nitrification resulted in the observed maximum N_2O and $\Delta N_2O_{\rm excess}$ concentrations, although minor denitrification might be concurrent at the site with the lowest dissolved oxygen." (Page 1, Line 14–18)

3. Page 4, L. 5. "The Pearl River Estuary (PRE) is one of the world's most complex estuarine systems with a total discharge of 285.2×109 m3 yr-1 (Dai et al., 2014). The PRE is surrounded by complex regions with a rich nitrogen supply that produces eutrophic waters (Dai et al., 2008)."

complex is repeated in both continuous sentences.

Response:

We have revised this sentence as "The Pearl River Estuary, surrounded by several big cities, is one of the world's most complex estuarine systems with a total discharge of 285.2×10^9 m³ yr⁻¹ (Dai et al., 2014). A rich nitrogen supply with the river discharge produces eutrophic waters in the estuary (Dai et al., 2008)." (Page 4, Line 5–7).

4. Discussion, How is that "The in situ incubation experiments clearly indicated that nitrification predominantly occurred ..." from Figure 5?

Response:

This sentence was based on the results presented in Figure 2 and Figure 5. Figure 2 showed the distribution of biogeochemical factors along the estuary transect, including the N₂O related parameters, dissolved oxygen concentration, etc. **Sites P01** and **P05** were located in the hypoxic zone (DO < 63.0 μmol L⁻¹; Rabalais et al., 2010) and the maximum N₂O and ΔN₂O_{excess} concentrations were observed at P01 and P05. Figure 5 showed the incubations experiments results of P01 and P05, indicating that nitrification predominantly occurred at the both sites (i.e. in the hypoxic waters). The detailed explanation on Figure 5 results have been stated in the Result 3.4 subsection.

For a clearer statement, we have revised this sentence as "The in situ incubation experiments clearly indicated that nitrification predominantly occurred in the hypoxic waters (e.g. both the P01 and P05 sites) of the upper estuary along with significant N_2O production, and suggested that denitrification could be concurrent at the lowest DO site (P01) where the maximum N_2O and ΔN_2O_{excess} concentrations were observed (Figs. 2 and 5)." (Page 13, Lines 20–24)

Reference:

Rabalais, N. N., Díaz, R. J., Levin, L. A., Turner, R. E., Gilbert, D., and Zhang, J.: Dynamics and distribution of natural and human-caused hypoxia, Biogeosciences, 7, 585–619, 2010.

5. Show Lingdingyang in Fig. 1.

Response:

We have revised Figure 1 as suggested.

6. Caption Fig. 1. Biogeochemical analyses are in red and green, but gene analyses are green; same color? This is confusing for readers. Please modify **Response**:

We have revised the Fig. 1 legend as "... Biochemical parameters analyses were performed on samples from all sites (green and red circles). The green circles indicate sites where genes were additionally analyzed. ..." (Page 29, Lines 2–4)

7. Figure 2. Is Upper estuary in graphs i and j same as upstream of the Humen outlet? Please normalize names and explain.

Response:

Yes, they are the same. We have revised "upper estuary" as "upstream of the Humen outlet" in Figure 2i and j and the corresponding text (Page 10, Line 18) as suggested. We also have explained "upper estuary = upstream of the Humen outlet" in the Methods 2.1 subsection of the manuscript. —"A total of 22 sites along the salinity gradient of the Pearl River Estuary were sampled during a research cruise in July 2015, including 11 sites in the upper reaches (upstream of the Humen outlet) and 11 sites in the lower reaches (Lingdingyang) (Fig. 1)." (Page 4, Lines 21–23). So we kept the use of "upper estuary" in some other places of the manuscript.

- 8. Page 10, lines 20-22. "Overall, upstream of the Human outlet was characterized by hypoxic waters rich in nitrogen-based nutrients, where ammonium concentrations decreased and the sum of nitrite and nitrate concentrations increased seaward, corresponding to distinctly higher N2O fluxes released to the atmosphere."
- a) In Fig. 2, only one data point (P01) is almost hypoxic (ca. 25 micromol O2 /L. **Response**:

According to Rabalsais et al. (2010, Biogeoscience) indicating that "...a commonly used definition of hypoxia is dissolved oxygen < 2 mg L⁻¹, the equivalent of 1.4 ml L⁻¹ or 63 μ M", we used 63 μ M as a definition of hypoxia in this manuscript. We have stated this definition in subsection 3.1 —"The DO concentrations were distinctly lower upstream of the Humen outlet with nearly one-half of the samples below the hypoxic threshold (63.0 μ mol L⁻¹; Rabalais et al., 2010)". (Page 10, 5–7)

In addition, a commonly used definition of "suboxic" is DO < 10 μ M and "anoxic" is DO $\approx 0 \mu$ M according to Stramma et al. (2008, Science) —"Regions with oxygen concentrations below about 10 μ mol kg⁻¹ are termed suboxic." "Anoxic regions have

no dissolved oxygen."

Babbin et al. (2015, Science) also stated a similar definition of suboxic waters —"The most concentrated oceanic sources of N_2O to the atmosphere are the suboxic (0 to 20 μ mol L^{-1}) waters."

References:

Rabalais, N. N., Díaz, R. J., Levin, L. A., Turner, R. E., Gilbert, D., and Zhang, J.: Dynamics and distribution of natural and human-caused hypoxia, Biogeosciences, 7, 585–619, 2010.

Stramma, L., Johnson, G. C., Sprintall, J., and Mohrholz, V.: Expanding oxygen-minimum zones in the tropical oceans, Science, 320, 655–658, 2008.

Babbin, A. R., Bianchi, D., Jayakumar, A., and Ward, B. B.: Rapid nitrous oxide cycling in the suboxic ocean, Science, 348, 1127–1129, 2015.

b) "...increased seaward, corresponding to distinctly higher N2O fluxes released to the atmosphere". Where are you seeing "higher N2O fluxes released" in Fig. 2d?

Response:

Figure 2g showed N₂O flux. The plus values of this parameter represent the excess N₂O in water release to the atmosphere through the air-sea interface. We have stated the detailed Fig. 2g results directly above this sentence (Page 10, Lines 14–17).

c) In the discussion, the hypoxia issue continues: "The in situ incubation experiments clearly indicated that nitrification predominantly occurred in the hypoxic waters of the upper estuary along with significant N2O production, and suggested that denitrification could be concurrent at the lowest DO site (P01) where the maximum N2O and DN2Oexcess concentrations were observed."

This aspect needs to be fixed throughout the whole ms.

Response:

Please refer to our above response. According to Rabalsais et al. (2010, Biogeoscience) indicating that "...a commonly used definition of hypoxia is dissolved oxygen < 2 mg L^{-1} , the equivalent of 1.4 ml L^{-1} or 63 μ M", we used 63 μ M as a definition of hypoxia in this manuscript. We have stated this definition in subsection 3.1 —"The DO

concentrations were distinctly lower upstream of the Human outlet with nearly one-half of the samples below the hypoxic threshold (63.0 μ mol L^{-1} ; Rabalais et al., 2010)". (Page 10, 5–7)

For a clearer statement, we also have revised this sentence as "The in situ incubation experiments clearly indicated that nitrification predominantly occurred in the hypoxic waters (e.g. both the P01 and P05 sites) of the upper estuary along with significant N_2O production, and suggested that denitrification could be concurrent at the lowest DO site (P01) where the maximum N_2O and ΔN_2O excess concentrations were observed (Figs. 2 and 5)." (Page 13, Lines 20–24)

9. Could you avoid non-standard abbreviations such as PRE, PA, FA, FDR, RDA, etc. It is very difficult to follow for readers

Response:

Thanks for this comment. We have removed these abbreviations throughout the manuscript.

Major role of ammonia-oxidizing bacteria in N_2O production in the Pearl River Estuary

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Abstract. Nitrous oxide (N₂O) has significant global warming potential as a greenhouse gas. Estuarine and coastal regimes are the major zones of N₂O production in the marine system. However, knowledge 9 on biological sources of N₂O in estuarine ecosystems remain controversial, but are of great importance 10 for understanding global N₂O emission patterns. Here, we measured concentrations and isotopic 11 compositions of N₂O as well as distributions of ammonia-oxidizing bacterial and archaeal amoA and denitrifier nirS genes by quantitative polymerase chain reaction along a salinity gradient in the Pearl 13 River Estuary, and performed in situ incubation experiments to estimate N₂O yields. Our results indicated that nitrification predominantly occurred, with significant N₂O production during ammonia 15 oxidation. In the hypoxic waters of the upper estuary, strong nitrification, resulted in the observed 16 maximum N_2O and $\Delta N_2O_{\rm excess}$ concentrations, although minor denitrification might be concurrent at the 17 site with the lowest dissolved oxygen. Ammonia-oxidizing β -proteobacteria (AOB) were significantly positively correlated with all N₂O-related parameters, although their amoA gene abundances were 19 distinctly lower than ammonia-oxidizing Archaea (AOA) throughout the estuary. Furthermore, the N₂O 20 production rate and the N₂O yield normalized to amoA gene copies or transcripts estimated a higher 21 relative contribution of AOB to the N₂O production in the upper estuary. Taken together, the in situ 22 incubation experiments, N₂O isotopic composition and concentrations, and gene datasets suggested that 23 the high concentration of N₂O (oversaturated) is mainly produced from strong nitrification by the 24 relatively high abundance of AOB in the upper reaches and is the major source of N₂O emitted to the 25 atmosphere in the Pearl River Estuary.

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1 Introduction

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- Nitrous oxide (N₂O) is a potent greenhouse gas with global warming potential 298 times that of carbon dioxide (CO₂) on a 100 yr timescale, and contributes to stratospheric ozone depletion as a major precursor of free radicals (Ravishankara et al., 2009). N₂O emissions from soils and marine systems are estimated to account for 56%–70% (6–7 Tg N₂O-N yr⁻¹) (Syakila and Kroeze, 2011; Butterbach-Bahl et al., 2013; Hink et al., 2017) and 30% (4 Tg N₂O-N yr⁻¹) (Nevison et al., 2004; Naqvi et al., 2010; Voss et al., 2013) of the total global N₂O emissions, respectively. The main processes responsible for N₂O emissions are microbial transformation of ammonia, nitrite, and nitrate through nitrification and denitrification (Butterbach-Bahl et al., 2013). It has been estimated that oceanic N₂O production is dominated by nitrification, whereas only 7% is contributed by denitrification (Freing et al., 2012).
- N₂O is released as a byproduct during nitrification via incomplete oxidation of hydroxylamine 11 (NH₂OH) to nitrite (NO₂⁻) by ammonia-oxidizing bacteria (AOB) (Stein, 2011). This process may be 12 enhanced under suboxic conditions (Naqvi et al., 2010). While no equivalent of the hydroxylamine-13 oxidoreductase that catalyzes N₂O formation through NH₂OH oxidation has been found in ammonia-14 oxidizing archaea (AOA) (Hatzenpichler, 2012), recent studies indicated that AOA possibly produces 15 hybrid N₂O via a combination of an ammonia oxidation intermediate (NH₂OH, HNO, or NO) and NO₂ 16 (Stieglmeier et al., 2014; Frame et al., 2017). In addition, AOB have been shown to produce N₂O from 17 NO₂ during nitrifier denitrification (Shaw et al., 2006). This process is also promoted under micro-oxic 18 and anoxic conditions (Yu et al., 2010). Denitrification by heterotrophic denitrifiers is another major 19 pathway of N₂O production in marine environments, occurring under anoxic conditions or at the 20 suboxic–anoxic interface (Naqvi et al., 2010; Yamagishi et al., 2007; Ji et al., 2018). NO₂ is reduced by 21 a copper-containing (NirK) or cytochrome cd1-containing nitrite reductase (NirS) to nitric oxide (NO), 22 and then by a heme-copper NO reductase (NOR) to N₂O (Coyne et al., 1989; Treusch et al., 2005; 23 Abell et al., 2010; Bartossek et al., 2010; Lund et al., 2012; Graf et al., 2014). As an intermediary 24 product during denitrification, production and further reduction of N₂O are sensitive to different O₂ 25 conditions (Babbin et al., 2015; Ji et al., 2015). 26
 - Biological nitrogen transformations are catalyzed by various microbial enzymes, of which ammonium monooxygenase (AMO) and nitrite reductases (NIRs) are key enzymes responsible for

nitrification and denitrification, respectively (Canfield et al., 2010). The genes encoding for AMO subunit A (*amo*A) and NIRs (*nir*S and *nir*K) have been widely applied as functional marker genes to identify the distribution of ammonia oxidizers and denitrifiers. Previous studies have shown significant correlations of *amo*A with spatial variations of N₂O emissions or N₂O production rates in soils and oceans (Avrahami and Bohanann, 2009; Santoro et al., 2011; Löscher et al., 2012). In addition, significant relationships between *nir*K or *nir*S abundances and N₂O emissions were observed in grasslands (Čuhel et al., 2010), arable soils (Clark et al., 2012; Jones et al., 2014), and the ocean (Ar évalo-Mart nez et al., 2015).

Estuaries are highly impacted by coastal nutrient pollution and eutrophication because of anthropogenic activity; they play a significant role in nitrogen cycling at the land–sea interface (Bricker et al., 2008; Damashek et al., 2016; Damashek and Francis, 2018). Estuarine and coastal regimes have long been recognized as major zones of N₂O production in the marine system (Seitzinger and Kroeze, 1998; Mortazavi et al., 2000; Usui et al., 2001; Kroeze et al., 2010; Allen et al., 2011). In particular, eutrophic estuaries with extensive oxygen-deficient zones have been considered hotspot regions for N₂O production (Abril et al., 2000; De Wilde and De Bie, 2000; Garnier et al., 2006; Lin et al., 2016), with oversaturated N₂O and high N₂O concentrations and flux (De Wilde and De Bie, 2000; De Bie et al., 2002; Garnier et al., 2006; Rajkumar et al., 2008; Barnes and Upstill-Goddard, 2011; Lin et al., 2016). The dynamics of N₂O emissions in these ecosystems are regulated by complex physical and biogeochemical processes; for example, mixing between freshwater and oceanic waters influences the biogeochemistry of estuarine waters as well as microbial activity (Huertas et al., 2018; Laperriere et al., 2019).

Nitrification is often credited as the dominant N₂O production pathway in estuaries (De Bie et al., 2002; Barnes and Upstill-Goddard, 2011; Kim et al., 2013; Lin et al., 2016; Huertas et al., 2018; Laperriere et al., 2019). Although AOA frequently outnumber AOB and dominate microbial communities, their contribution to nitrification remains controversial in estuarine and coastal waters (Bernhard et al., 2010; Zhang et al., 2014; Hou et al., 2018). Furthermore, the relative contributions of AOB and AOA to N₂O production is inconclusive (Monteiro et al., 2014) and there is a potential niche overlap between nitrifiers and denitrifiers in low oxygen conditions (Frame and Casciotti, 2010; Zhang

et al., 2014; Penn et al., 2016). AOB are reported to thrive in hypoxic environments and denitrification in the oxic ocean is suggested to occur within anoxic particle interiors (Frame and Casciotti, 2010; Ni et al., 2014). It is therefore of great importance to elucidate the biological sources of N₂O production in estuarine ecosystems to better understanding global N₂O emission patterns.

The Pearl River Estuary, surrounded by several big cities, is one of the world's most complex estuarine systems with a total discharge of 285.2×10⁹ m³ yr⁻¹ (Dai et al., 2014). A rich nitrogen supply with the river discharge produces eutrophic waters in the estuary (Dai et al., 2008). Moreover, increased oxygen consumption by organic matter degradation leads to the formation of hypoxic zones in the upper reaches of the estuary (Dai et al., 2006; He et al., 2014), which may support strong nitrification, denitrification, and N₂O production (Lin et al., 2016). In this study, N₂O-related biogeochemical parameters were measured, and distributions of AOB and AOA *amo*A and denitrifier *nir*S genes were quantified by quantitative polymerase chain reaction (qPCR) to investigate the relationship between N₂O production and spatial distribution of nitrifiers and denitrifiers along a salinity gradient in the Pearl River Estuary (Fig. 1). Moreover, in situ incubation experiments were performed in the hypoxic upper estuary to estimate (1) nitrification and N₂O production rates, (2) whether denitrification occurred during nitrification, and (3) N₂O yield (mol N₂O-N produced per mol ammonia oxidized). By combining the genetic datasets and incubation estimates, this study thus identified the relative

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9 2 Materials and methods

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2.1 Study area and sampling

A total of 22 sites along the salinity gradient of the Pearl River Estuary were sampled during a research

22 cruise in July 2015, including 11 sites in the upper reaches (upstream of the Humen outlet) and 11 sites

contributions of AOB and AOA in producing N₂O in the Pearl River Estuary,

23 in the lower reaches (Lingdingvang) (Fig. 1). Water samples were taken from the surface (2 m) and

24 bottom (4–15 m) of each site by using a conductivity, temperature, and depth (CTD) rosette sampling

25 system (SBE 25; Sea-Bird Scientific, USA) fitted with 12 L Niskin bottles (General Oceanics). A total

26 of 16 samples (from two depths at eight sites) were subjected to gene analysis (Fig. 1). A total of 1 L of

water for gene analysis was serially filtered through 0.8 µm and then 0.22 µm pore size polycarbonate membrane filters (47 mm diameter, Millipore) within 30 min at a pressure <0.03 MPa to retain the 2 particle-associated communities (>0.8 µm) and free-living communities (0.22–0.8 µm). For the upper 3 estuary samples, more membrane filters were used to avoid the filters clogging, RNAlater solution 4 (Ambion, Austin, Texas, USA) was quickly added to the samples to prevent RNA degradation. All of the filters were immediately flash frozen in liquid nitrogen and then stored at -80 °C until further analysis. Water samples for nutrient determination were filtered through 0.45 µm pore size cellulose 7 acetate membranes and then immediately frozen at −20 °C until further analysis. Water samples for dissolved N₂O were collected into 125 mL headspace glass bottles to which 100 µL of saturated HgCl₂ was added; the bottles were immediately closed with rubber stoppers and aluminum crimp-caps and 10 stored in the dark at 4 °C until analysis in the laboratory. All N₂O samples were collected during the July 11 2015 cruise except for samples from sites P03, P05, A01, A06, and A10 intended for N₂O isotopic 12 composition analyses, which were sampled during a cruise in March 2010. Total suspended material 13 (TSM) was collected by filtering 1–4 L of water onto pre-combusted and pre-weighed glass fiber filters 14

16 2.2 Biogeochemical parameters, N₂O emissions and isotopic analysis of environmental samples

(GF/Fs) (Whatman), and then stored at -20 °C until weighing in the laboratory.

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Temperature and salinity were measured with the SBE 25 CTD system. Dissolved oxygen (DO) 17 18 concentrations were measured using the Winkler method (Dai et al., 2006). Ammonia was measured using the indophenol blue spectrophotometric method (Pai et al., 2001) on board; nitrate, nitrite, and 19 silicate were analyzed using routine spectrophotometric methods with a Technicon AA3 Auto-Analyzer 20 (Bran-Lube, GmbH) (Han et al., 2012). N₂O concentrations were analyzed by gas chromatography (GC, 2.1 Agilent 6890 µECD) coupled with a purge-trap system (Tekmar Velocity XPT) at 25 °C (Lin et al., 2016). N₂O standard gases of 1.02 and 2.94 ppmv N₂O/N₂ (National Center of Reference Material, 23 China, Beijing) were used. The relative standard deviation of the slope of the standard working curve was 1.77% (n=8). The detection limit was calculated to be ~0.1 nmol L⁻¹ and the precision was better 2.5 than ±5%. When water samples were analyzed, every 5–10 samples were spiked with N₂O standards to calibrate the GC. 27

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The excess N_2O ($\Delta N_2O_{\text{excess}}$) and N_2O saturation (S%) were calculated with Eq. (1) and (2):

$$2 \quad \Delta N_2 O_{\text{excess}} = N_2 O_{\text{observed}} - N_2 O_{\text{equilibrium}} \tag{1}$$

$$3 \quad S\% = N_2 O_{observed} / N_2 O_{equilibrium} \times 100\%$$
 (2)

- 4 where N₂O_{observed} represents the measured concentrations of N₂O in the water, and the equilibrium
- 5 values of N₂O (N₂O_{equilibrium}) were calculated by Eq. (3) and (4) (Weiss and Price, 1980):

$$6 N_2 O_{\text{equilibrium}} = xF (3)$$

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$$\ln F = A_1 + A_2(100/T) + A_3 \ln(T/100) + A_4(T/100)^2 + S[B_1 + B_2(T/100) + B_3(T/100)^2]$$
 (4)

- where x is the mole fraction of N_2O in the atmosphere and T is the absolute temperature. In this study,
- 9 we used the global mean atmospheric N₂O (327 ppb) from 2015 (http://www.esrl.noaa.gov/gmd). The
- 10 fitted function F and constants A1, A2, A3, A4, B1, B2 and B3 were proposed by Weiss and Price
- 11 (1980).
- The N₂O flux ($F_{N,O}$, µmol m⁻² d⁻¹) through the air–sea interface was estimated based on Eq. (5):

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$$F_{\text{N,O}} = k_{\text{N,O}} \times \rho \times K_{\text{H}}^{\text{N}_2\text{O}} \times \Delta p \text{N}_2\text{O} = k_{\text{N,O}} \times 24 \times 10^{-2} \times (\text{N}_2\text{O}_{\text{observed}} - \text{N}_2\text{O}_{\text{equilibrium}})$$
 (5)

- where $k_{\rm N,O}$ (cm h⁻¹) is the N₂O gas transfer velocity depending on wind and water temperature, $K_{\rm H}^{\rm N_2O}$ is
- 15 the solubility of N_2O , and ΔpN_2O is the average sea-gas N_2O partial pressure difference. k_{N_2O} was
- 16 estimated using Eq. (6) according to Wanninkhof (1992):

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$$k_{N,O} = 0.31 \times u_{av}^2 \times (Sc_{N,O}/600)^{-0.5}$$
 (6)

- 18 where u_{av} is the average wind speed 10 m above the water surface. In this study, a CO₂ Schmidt number
- 19 (Sc) of 600 at 20 ℃ in fresh water (Wanninkhof, 1992) was used for estuarine systems (Raymond and
- 20 Cole, 2001). The Sc is defined as the kinematic viscosity of water divided by the diffusion coefficient of
- 21 the gas and calculated from temperature (Wanninkhof, 1992). For N₂O in waters with salinities <35 and
- 22 temperatures ranging from 0-30 °C, $Sc_{N,O}$ was estimated using Eq. (7) according to Wanninkhof (1992):

23
$$Sc_{N,O} = 2055.6 - 137.11 t + 4.3173 t^2 - 0.05435 t^3$$
 (7)

- 24 where t is the in situ temperature of the sampling site.
- To determine the isotopic composition of N_2O , the gas samples were introduced into a trace gas
- 26 cryogenic pre-concentration device (PreCon, Thermo Finnigan), as described in Cao et al. (2008) and
- 27 Zhu et al. (2008), and then δ^{15} N-N₂O was analyzed using an isotope ratio mass spectrometer (IRMS,

- 1 Thermo Finngan MAT-253, Bremen, Germany). The molecular ions of N₂O (N₂O⁺, m/z 44, 45 and 46)
- were quantified by IRMS to calculate isotope ratios for the entire molecule ($^{15}N/^{14}N$ and $^{18}O/^{16}O$). The
- 3 δ^{15} N values of N₂O in samples were calculated using the 15 N/ 14 N of the pure N₂O reference gas and
- 4 samples (Frame and Casciotti, 2010; Mohn et al., 2014). The reference gas was previously calibrated
- 5 against N_2O isotopic standard gas ($\delta^{15}N$ (vs Air- N_2) = -0.320‰) produced by Shoko Co. Ltd. (Tokyo,
- 6 Japan) and the $δ^{15}$ N value (vs Air-N₂) of the N₂O reference gas is 6.579±0.030‰. The precision of the
- 7 method for δ^{15} N-N₂O was estimated as 0.3‰.

8 2.3 Nucleic acid extraction and qPCR

- 9 DNA was extracted using the FastDNATM SPIN Kit for Soil (MP, USA) according to the
- 10 manufacturers' protocol with minor modifications. RNA was extracted using TRIzol reagent (Ambion,
- 11 Austin, Texas, USA), and then eluted with 50 μL of RNase-free water. The extracted RNA was treated
- 12 with DNase I (Invitrogen, Carlsbad, CA) to remove any residual DNA. DNA contamination was
- 13 checked by amplifying the bacterial 16S rRNA genes before reverse transcription. Total RNA without
- 14 DNA contamination was reverse transcribed to synthesize single-strand complementary DNA (cDNA)
- using the First-Strand cDNA Synthesis Kit (Invitrogen, Austin, Texas, USA).
- The transcript and copy abundances of bacterial and archaeal amoA genes and bacterial nirS genes
- 17 were examined using qPCR and a CFX96 Real Time PCR system (BIO-RAD, Singapore). The β -
- 18 proteobacterial and archaeal amoA were amplified using primer sets amoA-1F and amoA-2R (Kim et
- 19 al., 2008) and Arch-amoAF and Arch-amoAR (Francis et al., 2005), respectively; nirS was amplified
 - using primers nirS-1F and nirS-3R (Braker et al., 1998; Huang et al., 2011). Quantitative PCR
- 21 amplification for the β -proteobacterial and archaeal amo A was carried out as described previously
- 22 (Mincer et al., 2007; Hu et al., 2011). For the amplification of nirS, the qPCR reaction mixture was
- 23 prepared in accordance with Zhang et al. (2014) and thermal cycling conditions were as described in
- Huang et al. (2011). Standards for the qPCR reactions consisted of serial 10-fold dilutions (10^7 to 10^0
- 25 copies per uL) of plasmid DNA containing amplified fragments of the targeted genes (accession
- 26 numbers MH458281 for β-proteobacterial amoA, KY387998 for archaeal amoA and KF363351 for
- 27 nirS). The amplification efficiencies of qPCR were always between 85%-95% with R² >0.99. The

- 1 specificity of the qPCR reactions was confirmed by melting curve analysis, agarose gel electrophoresis
- 2 and sequencing analysis. Inhibition tests were performed by 2-fold and 5-fold dilutions of all samples
- 3 and indicated that our samples were not inhibited.

4 **2.4 Incubation experiments**

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5 Incubation experiments were performed in the surface and bottom waters at sites P01 (2 and 5 m water

6 depth) and P05 (2 and 12 m) upstream of the Humen outlet (Fig. 1). Water samples were collected from

7 Niskin bottles through a clean polytetrafluoroethylene (Teflon) silicone hose, and carefully filled into

3 125 mL clean headspace glass bottles without gas bubbles. The bottles were immediately closed with an

air-tight butyl rubber stopper and aluminum crimp-cap. A total of 43 bottles were set up for surface and

bottom at sites P01 and 34 bottles at P05. Samples from four parallel bottles were taken to determine the

11 initial (t₀) dissolved N₂O concentration, and triplicate samples were taken to measure the initial

12 dissolved inorganic nitrogen (DIN) concentration, which included ammonium, nitrite, and nitrate. The

13 remaining 36 (P01) and 27 (P05) bottles were incubated in the dark at in situ temperatures (±1 °C). At

site P01, samples from six parallel bottles were taken at 3, 6, 18, and 24 h during the incubation

experiment for N₂O determination after injecting saturated mercuric chloride (HgCl₂, 1:100 v:v) into the

bottles; triplicate samples were also taken at the same time for DIN measurements by filtering through

0.7 µm pore size GF/Fs under pressure <0.03 MPa. Concentrations of N₂O, ammonium, nitrite, and

nitrate were measured as described in Sect. 2.2. At site P05, samples were taken after 3, 6, and 12 h

incubation and the other procedures were the same as described for site P01.

The effect of DIN assimilation is negligible during incubation in the dark (Ward, 2008). Therefore, the potential processes of nitrogen transformation and N_2O production can be determined according to "mass balance" in a closed incubation system. The main processes were analyzed based on the dynamic variations of DIN (Δ DIN), ammonia (Δ NH₃+NH₄⁺), nitrite (Δ NO₂⁻), nitrate (Δ NO₃⁻), and N₂O (Δ N₂O) concentrations during incubation. The average rates of nitrification and N₂O production were estimated using the slopes of the linear regression between concentrations versus incubation time when DIN was in balance (i.e. no denitrification). All of the concentration-based rates described from the incubations represent net rates. The N₂O yield during nitrification was calculated with Eq. (8):

1 N_2O_{vield} (%) = $\Delta N_2O-N / \Delta (NH_3 + NH_4^+)-N$ (8)

2 2.5 Statistical analyses

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3 Since a normal distribution of the individual data sets was not always met, we used the non-parametric

4 Wilcoxon rank-sum tests for comparing two variables. The bivariate correlations between

s environmental factors and functional genes were described by Spearman correlation coefficients (p

6 value). False discovery rate-based multiple comparison procedures were applied to evaluate the

significance of multiple hypotheses and identify truly significant comparisons (False discovery rate-

adjusted P value) (Pike, 2011). The maximum gradient length of detrended correspondence analysis

was shorter than 3.0, thus redundancy analysis based on the qPCR data was used to analyze variations

in the AOA and AOB distributions under environmental constraints in the software R (version 3.4.4)

Vegan 2.5–3 package. The qPCR-based relative abundances and environmental factors were normalized

12 via Z transformation (Magalh æs et al., 2008). The null hypothesis, that the community was independent

13 of environmental parameters, was tested using constrained ordination with a Monte Carlo permutation

14 test (999 permutations). Significant environmental parameters (P < 0.05) without multicollinearity

15 (variance inflation factor <20) (Ter Braak, 1986) were obtained. Standard and partial Mantel tests were

16 run in R (version 3.4.4, Vegan 2.5-3 package) to determine the correlations between environmental

17 factors and the AOA and AOB distributions. Dissimilarity matrices of communities and environmental

18 factors were based on Bray-Curtis and Euclidean distances between samples, respectively. Based on

19 Spearman correlation, the significance of the Mantel statistics was obtained after 999 permutations.

20 Statistical tests were assumed to be significant at a P value of <0.05.

3 Results

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3.1 Distribution of nutrients, DO, and N2O along a salinity transect of the Pearl River Estuary

23 The studied transect was divided into a northern region upstream of the Humen outlet and southern area

24 (Lingdingyang) (Fig. 1); these regions have distinct biogeochemical characteristics. Salinity exhibited

25 low values (0.1 to 4.4) upstream of the Humen outlet, and sharply increased from 0.7 to 34.2

downstream in Lingdingyang (Fig. 2a). The ammonium/ammonia concentrations decreased from 167.2

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μmol L⁻¹ (site P01 surface water) to 20.9 μmol L⁻¹ (site P07 bottom water) upstream of the Humen outlet and consistently decreased downstream in Lingdingyang (5.7 µmol L⁻¹ to below detection limit) (Fig. 2b). Correspondingly, the sum of nitrate and nitrite concentrations increased from 93.6 μmol L⁻¹ (site P01 bottom water) to 172.3 umol L⁻¹ (site P03 surface water) upstream, but it sharply decreased seaward to Lingdingyang (Fig. 2c). The DO concentrations were distinctly lower upstream of the Humen outlet with nearly one-half of the samples below the hypoxic threshold (63.0 μ mol L⁻¹; Rabalais et al., 2010). Generally, the DO concentrations increased seaward from 155.7 to 238.0 µmol L⁻¹ in the surface waters of the Lingdingyang area, whereas they varied from 74.0 to 183.3 umol L⁻¹ in the bottom waters (Fig. 2d). 9 In contrast to the DO concentrations, the N₂O concentrations were distinctly higher upstream of the 10 Humen outlet (48.9–148.2 nmol L⁻¹) than in Lingdingyang, where they decreased seaward from 24.6 to 11 5.4 nmol L^{-1} (Fig. 2e). Similarly, higher $\Delta N_2 O_{excess}$ (42.0–141.3 nmol L^{-1}) with saturations from 12 701.1% to 2175.1% was observed upstream; lower $\Delta N_2 O_{excess}$ (-1.4–17.8 nmol L⁻¹) was present in the Lingdingyang area with the saturations ranging from 86% to 363% (Fig. 2f). The estimated water-air N_2O fluxes were 100.4 to 344.0 μ mol m⁻² d⁻¹ upstream and decreased in Lingdingyang (42.4 to -2.6Deleted: 15 μmol m⁻² d⁻¹) (Fig. 2g). Together, the Pearl River Estuary acts as a N₂O source that releases to the Deleted: PRE 16 17 atmosphere and notably, a significant negative relationship was observed between $\Delta N_2 O_{excess}$ or $N_2 O$ flux and DO (P < 0.01 for each) in the upstream of the Humen outlet (Fig. 2i and i). The isotopic 18 Deleted: upper estuary compositions of N₂O (δ¹⁵N-N₂O) showed an enrichment of ¹⁵N₂O seaward, varying from _27.9 to 7.1% Deleted: 19 (Fig. 2h). Overall, upstream of the Humen outlet was characterized by hypoxic waters rich in nitrogen-20 based nutrients, where ammonium concentrations decreased and the sum of nitrite and nitrate 21 concentrations increased seaward, corresponding to distinctly higher N₂O fluxes released to the atmosphere. 23 3.2 Distributions of amoA and nirS genes along the salinity transect 24

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The total abundance of AOA amoA (sum of free-living and particle-associated communities) varied

from 3.10×10^3 to 6.87×10^5 copies L⁻¹ in the surface waters (Fig. 3a) and 6.40×10^4 to 4.21×10^7 copies

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L⁻¹ in the bottom waters; an increase along the salinity transect was observed in the bottom (Fig. 3b). In contrast, the total abundance of AOB amoA generally decreased seaward along the salinity transect for the surface $(4.23 \times 10^2 \text{ to } 2.13 \times 10^4 \text{ copies L}^{-1})$ and bottom waters $(4.49 \times 10^3 \text{ to } 8.79 \times 10^4 \text{ copies L}^{-1})$ (Fig. 3c and d). Overall, the abundance of AOA amoA was significantly higher than AOB (P < 0.01). The total abundance of nirS varied from 9.12×10^4 to 2.00×10^7 copies L⁻¹ and was higher than both AOA (P < 0.05) and AOB amoA (P < 0.01) in the surface waters and AOB amoA in the bottom water (P < 0.01) (Fig. 3e and f). Notably, these three genes were predominantly distributed in the particle-associated 7 communities compared to the free-living communities in the estuary transect (Fig. 3). The transcripts of the three genes were analyzed in the particle-associated communities of the two incubation sites 9 upstream of the Humen outlet. The transcript abundances of AOA amoA (7.44×10³ to 4.62×10⁵ 10 transcripts L^{-1}) were one to three orders of magnitude higher than AOB amoA $(3.62 \times 10^2 \text{ to } 5.00 \times 10^2 \text{ m})$ 11 transcripts L⁻¹) at P01 (Fig. 3a-d), whereas the transcript abundances of AOB *amo*A were relatively 12 higher at P05 (AOB = 8.96×10^4 to 3.83×10^5 transcripts L⁻¹; AOA = 1.26×10^4 to 1.39×10^5 transcripts L^{-1}). The *nir*S gene showed a similar transcript level with AOA *amo*A at P01 (2.20×10⁴ to 6.69×10⁴ 14 transcripts L⁻¹), but one order of magnitude lower transcript level than both AOA and AOB amoA at 15 P05 $(8.59 \times 10^3 \text{ to } 1.12 \times 10^4 \text{ transcripts L}^{-1})$ (Fig. 3e and f).

3.3 Correlations between genes abundances and biogeochemical parameters

nitrite reductase nirS abundance and the measured biogeochemical parameters.

We analyzed the correlations between the genes abundances of AOA, AOB, or denitrifiers and 18 19 biogeochemical parameters. The results indicate that AOA amoA abundance was significantly correlated (P < 0.05 - 0.01) to the hydrographic parameters temperature (negative) and salinity (positive), 20 as well as silicate concentration (negative) (Table 1). However, AOB amoA abundance was 21 significantly correlated (P < 0.05-0.01) to TSM concentration (positive), pH (negative), and DO 22 (negative). Notably, there were positive correlations between AOB amoA abundances and all N_2O 23 parameters as well as ammonia concentrations (Table 1; P < 0.05-0.01) except for the extremely low-24 abundance of free-living AOB. No significant Spearman correlations were found between bacterial 25

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The <u>redundancy analysis</u> was used to further analyze variations in the AOA and AOB distributions under environmental constraints. The results confirmed that the relatively high AOB abundances in the upper estuary were constrained by low salinity water, high nitrite and TSM concentrations, low DO conditions, and high N₂O concentrations whereas high salinity water and opposite environmental conditions constrained the relatively high AOA abundances in the Lingdingyang area (Fig. 4). These constraints explained 89.3% of the variation in the ammonia oxidizer distribution along the estuary.

Apparently, the communities with relatively high AOB abundances in the upper estuary positively

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9 3.4 Nitrogen transformation and N₂O production in the incubation experiments

influenced the concentration of N₂O in the water.

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The in situ biogeochemical conditions of the incubation experiments are shown in Fig. 2 and listed in Table S1. Site P01 exhibited the lowest in situ DO concentrations (30.0 μmol L⁻¹ in the bottom water 11 and 30.9 µmol L⁻¹ in the surface water). The concentration of DIN was generally unchanged in the 12 early-to-middle (0-18 h) phase for the P01 surface water and early (0-6 h) phase for the P01 bottom 13 water, but showed a distinct decrease in the end phase (Fig. 5a). The ammonia and nitrite concentrations 14 consistently decreased and increased, respectively, during the incubation experiments; the nitrate 15 concentrations decreased in the end phase after a slight increase (Fig. 5b). These results clearly indicate 16 that nitrification occurred during the entire P01 incubations, and suggest that denitrification may be 17 present in the end phase (Fig. 5g). The rates of ammonia oxidation during the entire incubations and nitrite oxidation during the early or early-to-middle phases were estimated by linear regressions of 19 ammonia and nitrate concentrations, respectively (Fig. 5a and b; Table 2). Correspondingly, the 20 estimated average N₂O production rate (24 h) was 0.62 nmol L⁻¹ h⁻¹ in P01 surface water and 0.70 nmol 2.1 $L^{-1} h^{-1}$ in P01 bottom water; the estimated N₂O production rates from nitrification were 0.60 nmol L^{-1} 22 h⁻¹ in the surface water (18 h) and 1.61 nmol L⁻¹ h⁻¹ in the bottom water (6 h; Fig. 5c). Thus, the estimated N₂O yield in the surface and bottom waters based on nitrification was 0.26% and 0.30% 24 25 (Table 2).

In the incubation experiments at site P05, the DIN concentrations remained unchanged (Fig. 5d) and the ammonia concentrations consistently decreased and the nitrite and nitrate concentrations

1 increased (Fig. 5e). The rates of ammonia and nitrite oxidation were also estimated by linear regressions

of ammonia and nitrate concentrations, respectively (Fig. 5d and e; Table 2). The ammonia oxidation

3 rates were approximately equal to the sum of the increased nitrite and nitrate concentration rates. Thus,

nitrification occurred during the incubation experiments without denitrification. The estimated N2O

production rates from nitrification were 1.15 nmol L^{-1} h⁻¹ in the P05 surface water and 1.41 nmol L^{-1}

 6 h^{-1} in the P05 bottom water (Fig. 5f); the estimated N₂O yields based on nitrification were 0.21%

(surface) and 0.32% (bottom) (Table 2).

The N₂O production rates and yields normalized to total AOA and AOB *amo*A gene copies (sum of particle-associated and free-living fractions or only particle-associated fractions) or transcripts (only

particle-associated fraction) were calculated (Table S3). The highest average amoA gene copy-specific

N₂O production rates and yields were in the surface water of site P05, where the highest nitrification

rate was observed (Table 2). The highest average amoA gene transcript-specific N₂O production rates

3 and yields were in the bottom water of site P01, where the highest N₂O production rate was observed

14 (Table 2).

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15 4 Discussion

4.1 Contribution of nitrification versus denitrification to N_2O production in the hypoxic upper estuary

The spatial variations of N_2O concentration, its saturation, and water-air N_2O flux along the <u>Pearl River Estuary</u> are consistent with our previous study (Lin et al., 2016), indicating that higher N_2O in the upper estuary ensures the <u>Pearl River Estuary</u> acts as a source of atmospheric N_2O . The in situ incubation experiments clearly indicated that nitrification predominantly occurred in the hypoxic waters (e.g. both the <u>PO1</u> and <u>PO5</u> sites) of the upper estuary along with significant N_2O production, and suggested that

denitrification could be concurrent at the lowest DO site (P01) where the maximum N_2O and ΔN_2O_{excess}

concentrations were observed (Figs. 2 and 5). These results confirm previous speculation that extreme

enrichment of ammonia in the water column due to high loads of anthropogenic-sourced nutrients and

organic matter in an upper estuary (Dai et al., 2008; He et al., 2014) could result in strong nitrification

under low O₂ solubility conditions (Dai et al., 2008); thus, N₂O is produced as a byproduct through

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nitrification and is oversaturated in the Pearl River Estuary (Lin et al., 2016). The estuary sediments

also act as a source of N₂O, which is released into the overlying waters through denitrification (Tan et

3 al., 2019); however, in estuarine waters, nitrification apparently is the main source of N₂O production.

4 Previous studies also proposed that nitrification may be the major source of N₂O production in the water

s column in estuarine systems, such as the Guadalquivir (Huertas et al., 2018), Schelde (De Wilde and De

6 Bie, 2000), and Chesapeake Bay (Laperriere et al., 2019). However, in the estuarine sediments, N₂O

production was attributed to both nitrification and denitrification, such as in the Tama (Japan) (Usui et

8 al., 2001) and Yangtze (China) estuaries (Liu et al., 2019; Wang et al., 2019), where denitrification is

9 the major nitrogen removal pathway with N₂O production and consumption.

The isotopic composition of N_2O ($\delta^{15}N-N_2O$) was consistent with the above interpretation.

According to previous studies (Table S2), the δ¹⁵N of N₂O produced during ammonia oxidation by

AOB strains ranged from -68% to -6.7% (Yoshida, 1988; Sutka et al., 2006; Mandernack et al., 2009;

13 Frame and Casciotti, 2010; Jung et al., 2014; Toyoda et al., 2017) and 6.3-10.2% in a marine AOA

strain (Santoro et al., 2011). The δ^{15} N of N₂O produced during denitrification ranged from -37.2% to

-7.9% (Toyoda et al., 2005); during nitrifier-denitrification by AOB strains it ranged from $-57.6\pm$

16 4.1‰ to −21.5‰ (Sutka et al., 2003; Sutka et al., 2006; Frame and Casciotti, 2010). Therefore, the

17 much lower δ^{15} N-N₂O (-27.9% to -12.6%) upstream of the Humen outlet is consistent with AOB

18 nitrification or denitrification processes, whereas enriched ¹⁵N-N₂O (5.2-7.1‰) in the lower reaches

19 approaches AOA nitrification and air ¹⁵N-N₂O (Santoro et al., 2011). Taken together, the isotopic

20 compositions of N₂O (Fig. 2h) and N₂O concentration distribution (Fig. 2e-g) suggest that the high

21 concentrations of N₂O (oversaturation) were produced from strong nitrification by AOB and probably

22 concurrent minor denitrification in the upper estuary, however in the lower reaches, low concentrations

of N_2O could be explained by AOA nitrification or water atmospheric exchange of N_2O .

4.2 Correlations of AOB versus AOA with N₂O-related biogeochemical parameters along the

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The more abundant AOA amoA genes, relative to AOB, and the more abundant genes in the particle-

associated communities than free-living communities are consistent with our previous study in the Pearl

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River Estuary (Hou et al., 2018), which also reported significant positive correlations between the AOB amoA gene abundance and the oxidation rate of ammonia to nitrate. This suggests that AOB might be 2 3 active in the ammonium and particle-enriched estuary despite their low abundance (Füssel, 2014; Hou et al., 2018). Lower oxygen availability in particle micro-niches has been reported to be favorable for 4 both nitrification and denitrification potential in oxygenated water (Kester et al., 1997). The Spearman correlations and redundancy analysis in this study indicate that high nutrient and TSM concentrations 6 7 and low DO and pH conditions were favourable for relatively high abundance of AOB in the upper estuary, which is also consistent with our previous Pearl River Estuary study that found high TSM 9 concentrations and low DO and pH influenced substrate availability and thus AOB distribution (Hou et al., 2018). Moreover, AOB amoA abundances positively correlated to all N₂O-related parameters as 10 11 revealed by the Spearman correlations and redundancy analysis, suggesting a significant influence of AOB (mainly the particle-associated fraction) on N₂O production/emission in the upper estuary. 12 13 However, compared to AOB, AOA amoA distribution along the estuary transect appears to be regulated more by water mixing since AOA was significantly correlated to the hydrographic parameters and 14 15 silicate concentration.

To further eliminate the co-varying effects of water mixing, substrate availability, and N_2 O-related 16 17 parameters along the salinity transect, and to identify the intrinsic/direct relationship between ammonia oxidizers and N₂O production, we performed standard and partial Mantel tests. We defined four types of 18 environmental constraints: water mixing parameters (temperature, salinity, and silicate), substrate 19 parameters (ammonia/ammonium, nitrite, and nitrate), parameters influencing substrate availability 20 (DO, TSM, and pH), and N₂O-related parameters (N₂O and Δ N₂O_{excess}). For the water mixing 21 parameters, we analyzed the relationships between potential temperature (θ) , salinity, and silicate 22 23 concentration with a three-dimensional scatter plot (Fig. S1) that indicates low salinity and high silicate contents were the best indicators for river input in the ocean (Moore, 1986). Thus, we chose temperature, 24 salinity, and silicate as proxies to trace estuarine water masses and mixing. Water mixing parameters 25 (standard and partial Mantel tests, P < 0.01) and those influencing substrate availability (standard and 26 partial Mantel tests, P < 0.05) significantly controlled variations in the distribution of AOA and AOB 27 along the estuary transect (Fig. 6a and c), supporting the Spearmen and redundancy analyses 28

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conclusions. Notably, variations in the distribution of AOA and AOB were significantly correlated with

- 2 N_2O production (standard and partial Mantel test, P < 0.01) after eliminating the co-varying effects of
- 3 other parameters (Fig. 6d), demonstrating the significant contribution of ammonia oxidizers to N₂O
- 4 production.

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5 4.3 Contribution of AOB versus AOA to N₂O production

6 We attempted to accurately assess the relative contributions of AOA and AOB to N₂O production in the

Pearl River Estuary by plotting the N₂O production rates (Fig. 7a) and yields (Fig. 7b) normalized to

total (sum of AOA and AOB) amoA gene copies or transcripts at sites P01 and P05 along the x-y axes

9 that represent the relative contributions of AOA and AOB to the total *amo*A gene or transcript pools.

0 Notably, compared to AOA, higher AOB abundance in the amoA gene-based DNA or cDNA pool

11 resulted in distinctly higher (disproportionately higher relative to enhanced abundance) average amoA

12 gene copy or transcript-specific N₂O production rates (Fig. 7a) and yields (Fig. 7b), suggesting that

13 AOB may have higher cell-specific activities in the upper estuary and thus be more active in producing

14 N₂O than AOA. Previous studies based on pure cultures of AOB and AOA strains provided evidence

15 that AOB have higher N₂O yields (0.09 to 26%) (Yoshida and Alexander, 1970; Goreau et al., 1980)

than AOA (0.002 to 0.09%) during ammonia oxidation (Löscher et al., 2012; Stieglmeier et al., 2014).

17 The higher N₂O yield from AOB has also been observed in soils despite a lower abundance of AOB

(Hink et al., 2017; Hink et al., 2018). Based on results indicated by Fig. 7, we conclude that AOB may

19 have higher relative contributions to the high N_2O production in the upper estuary where low DO, high

concentrations of N_2O and ΔN_2O , and high N_2O flux were observed.

Ammonia oxidizers are sensitive to oxygen during N₂O production (Santoro et al., 2011; Löscher et al., 2012; Stieglmeier et al., 2014). Studies based on pure cultures of AOB strains *Nitrosomonas marina* NM22 and *Nitrosococcus oceani* NC10, and AOA strain *Nitrosopumilus maritimus* showed higher N₂O yields and production during nitrification by both AOA and AOB when O₂ concentrations varied from aerobic to hypoxic conditions (Löscher et al., 2012). However, when O₂ concentrations varied from hypoxic to anaerobic conditions (i.e. in a lower O₂ concentration range), the AOB strain *Nitrosospira multiformis* and AOA strains *Nitrososphaera viennensis* and *Nitrosopumilus maritimus*

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showed that AOB had distinctly higher N₂O yields at lower oxygen conditions and, in contrast, AOA had lower N₂O yields at lower oxygen concentrations (Stieglmeier et al., 2014). In addition, results from the cultured AOB strain Nitrosomonas marina C-113a indicated increasing N₂O yields with higher cell concentrations (Frame and Casciotti, 2010). This evidence supports our conclusions that the high concentration of N₂O (oversaturated) may be mainly produced from strong nitrification by the high abundance of AOB in the low DO conditions in the upper estuary. 7 In addition, it is possible that comammox (COMplete AMMonia OXidiser) species, newly discovered in terrestrial systems (Daims et al., 2015; Santoro, 2016; Kits et al., 2017), are also involved 9 in N₂O production (Hu and He, 2017) given the similar ammonia oxidation pathway to AOB. It has been further reported that the comammox Nitrospira inopinata has a lower N₂O yield than AOB due to 10 a lack of NO reductases and the formation of N₂O from the abiotic conversion of hydroxylamine (Kits 11 et al., 2019). However, comammox has not been widely observed in estuarine waters. Also, nirK-type 12 13 denitrifiers may contribute to N₂O production despite being much less abundant than nirStype denitrifiers (Huang et al., 2011; Maeda et al., 2017). Furthermore, nirS-type denitrifiers are more 14 likely to be capable of complete denitrification because of a higher co-occurrence of the N₂O reductase 15 gene (nosZ) with nirS than nirK (Graf et al., 2014). However, there is currently no direct evidence that 16 denitrification or nitrifier-denitrification is responsible for N₂O production in the Pearl River Estuary 17 Deleted: PRE water column. A release of N₂O into the overlying waters through denitrification was reported for the 18 estuary sediments (Tan et al., 2019). Further study is needed to clarify the potential of both nirK and Deleted: PRE 19 nirS-type denitrifiers in N₂O production from the interface between sediment and water in the Pearl 20

5 Conclusions

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This study explored the relative contributions of AOB and AOA in producing N_2O in the <u>Pearl River</u>

Estuary by combining isotopic compositions and concentrations of N2O, distributions and transcript

25 levels of AOB and AOA amoA and denitrifier nirS genes, and incubation estimates of nitrification and

 N_2O production rates. Our findings indicate that the high concentrations of N_2O and ΔN_2O_{excess} and the

much lower δ^{15} N-N₂O are primarily attributed to strong nitrification by AOB. There is also probably

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- 1 concurrent minor denitrification in the upper estuary where AOB abundances are higher before
- decreasing seaward along the salinity transect. Low concentrations of N_2O and $\Delta N_2O_{\text{excess}}$ and enriched
- 3 ¹⁵N₂O could be explained by AOA nitrification in the lower reaches of the estuary. Collectively, AOB
- 4 contributed the major part of N₂O production in the upper estuary, which is the major source of N₂O
- 5 emitted to the atmosphere in the **Pearl River Estuary**,

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6 Data availability

- 7 All data can be accessed in the form of Excel spreadsheets via the corresponding author.
- 8 The Supplement related to this article is available online.

9 Author contribution

- 10 M.D. and Y.Z. conceived and designed the experiments. L.M., H.L., and X.X. performed the
- 11 experiments. L.M., Y.Z., H.L., and X.X. analyzed the data. L.M. and Y.Z. wrote the paper. All authors
- 12 contributed to the interpretation of results and critical revision.

13 Competing interests

14 The authors declare no conflicts of interest.

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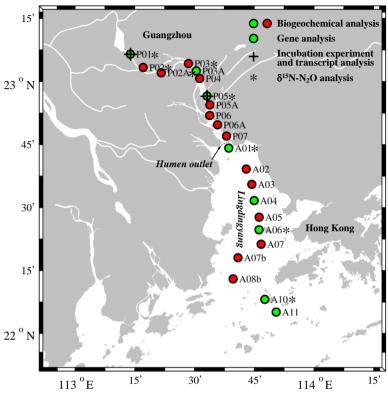


Figure 1: Map of the <u>Pearl River Estuary</u> showing the sampling sites. Biogeochemical analyses were performed on samples from all sites (green and red circles). The green circles indicate sites where genes were <u>additionally</u> analyzed. The black crosses indicate in situ incubation experiment sites (P01 and P05). The black asterisks indicate sites where the isotopic composition of N₂O was analyzed.

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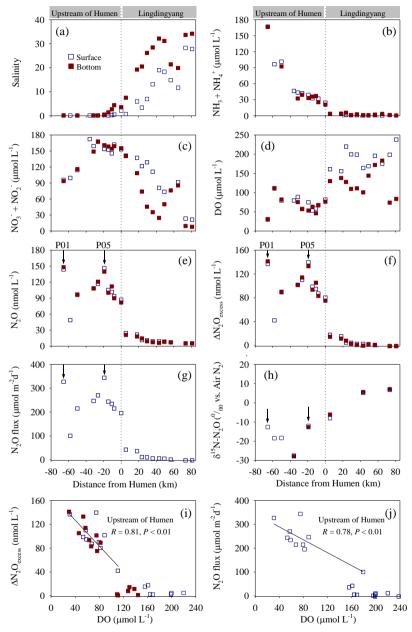


Figure 2: Distribution of biogeochemical factors along the Pearl River Estuary transect. (a) Salinity, (b)

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- $2^{'} NH_3 + NH_4^{+}, (c) NO_2^{-} + NO_3^{-}, (d) DO, (e) N_2O, and (f) \Delta N_2O_{excess} concentrations, (g) N_2O flux, (h) \delta^{15}N_2O_{excess}$
- 3 N₂O, (i) Δ N₂O_{excess} vs. DO, and (j) N₂O flux vs. DO. The dashed lines show the division of the transect
- 4 into the northern (upstream of the Humen outlet) and southern (Lingdingyang) areas. The arrows
- 5 indicate the sites where in situ incubation experiments were performed.

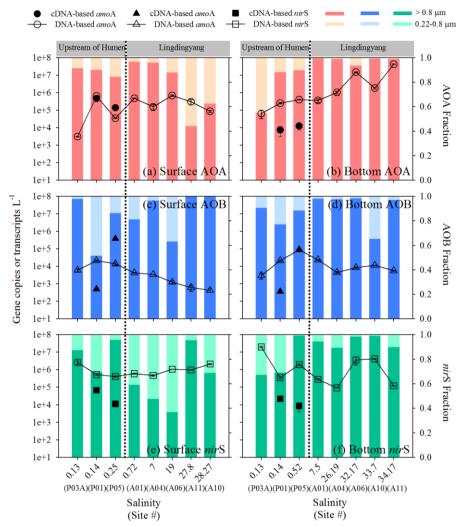


Figure 3: Abundance distribution of AOA and AOB *amo*A and bacterial *nir*S along the salinity gradient in the <u>Pearl River Estuary</u>, Abundances of AOA *amo*A genes (open circles) and <u>particle-associated</u> transcripts (closed circles) and the relative abundances of <u>particle-associated</u> and <u>free-living</u> AOA *amo*A genes in (a) surface and (b) bottom waters. Abundances of AOB *amo*A genes (open triangles) and <u>particle-associated</u> transcripts (closed triangles) and the relative abundances of <u>particle-associated</u> transcripts (closed triangles) and the relative abundances of <u>particle-associated</u> transcripts (closed triangles) and the relative abundances of <u>particle-associated</u> transcripts (closed triangles) and the relative abundances of <u>particle-associated</u> transcripts (closed triangles) and the relative abundances of <u>particle-associated</u> transcripts (closed triangles) and the relative abundances of <u>particle-associated</u> transcripts (closed triangles) and the relative abundances of <u>particle-associated</u> transcripts (closed triangles) and the relative abundances of <u>particle-associated</u> transcripts (closed triangles) and the relative abundances of <u>particle-associated</u> transcripts (closed triangles) and the relative abundances of <u>particle-associated</u> transcripts (closed triangles) and the relative abundances of <u>particle-associated</u> transcripts (closed triangles) and the relative abundances of <u>particle-associated</u> transcripts (closed triangles) and the relative abundances of <u>particle-associated</u> transcripts (closed triangles) and the relative abundances of <u>particle-associated</u> transcripts (closed triangles) and the relative abundances of <u>particle-associated</u> transcripts (closed triangles) and the relative abundances of <u>particle-associated</u> transcripts (closed triangles) and th

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l	associated and free-living AOB amoA genes in (c) surface and (d) bottom waters. Abundances of
	bacterial nirS genes (open squares) and particle-associated transcripts (closed squares) and the relative
	abundances of particle-associated and free-living nirS genes in (e) surface and (f) bottom waters. The
	dashed lines indicate the division into the northern (upstream of the Humen outlet) and southern

5 (Lingdingyang) areas.

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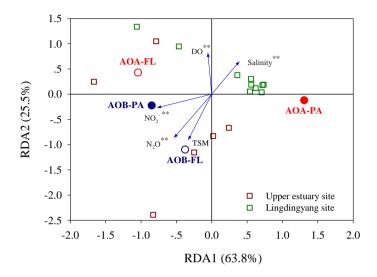


Figure 4: The redundancy analysis of the relative abundance of AOA *amo*A and AOB *amo*A under biogeochemical constraints. PA, particle-associated; FL, free-living. Each square represents an individual sample. Vectors represent environmental variables. $^*P < 0.05$, $^{**}P < 0.01$ (Monte Carlo permutation test).

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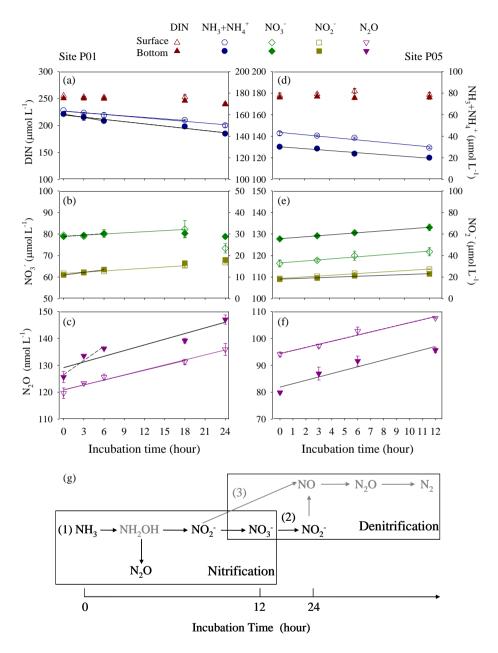


Figure 5: Variations in nitrogen compounds and N₂O concentrations at sites P01 and P05 during the incubation experiments in surface (open symbols) and bottom (closed symbols) waters. (a, d) Total DIN 2 (brown triangles) and NH₃+NH₄⁺ (blue circles), (b, e) NO₃⁻ (green diamonds) and NO₂⁻ (dark yellow 3 squares), (c, f) N₂O (purple inverted triangles). Linear regressions depend on whether variations in DIN 4 concentration against time retain "mass balance" in a closed incubation system. The linear regressions of ammonia were used to estimate ammonia oxidation rates in (a) P01 over 18 and 24 h (surface water, blue lines) and 6 and 24 h (bottom water, black lines), and (d) P05 over 12 h (surface, blue line; bottom, black line). The linear regressions of nitrate estimated nitrite oxidation rates in (b) P01 over 18 h 9 (surface water, green line) and 6 h (bottom water, black line), and (e) P05 after 12 h (surface, green line; bottom, black line). The nitrite linear regressions after 18 h (surface water, dark yellow line) and 6 h 10 (bottom water, black line) in P01 and 12 h (surface, dark yellow line; bottom, black line) in P05 are also 11 shown, but do not indicate oxidation rates. The N₂O linear regressions were used to estimate N₂O 12 13 production rates in (c) P01 after 18 and 24 h (surface water, purple lines) and 6 and 24 h (bottom water, black lines; dashed line, no statistical significance test), and (f) P05 after 12 h (surface, purple line; 14 bottom, black line). All regression equations, R^2 , and P values are shown in Table 2. (g) A diagram showing transformations of nitrogen compounds and N2O production during incubation experiments. 16 Nitrification (1) occurred during the entire P01 and P05 incubations and denitrification (2 and/or 3) may 17 be present in the end phase of the P01 incubation. The gray arrows indicate the pathways of nitrogen 18 loss unanalyzed here, and the gray compounds indicate the unmeasured nitrogen compound. 19

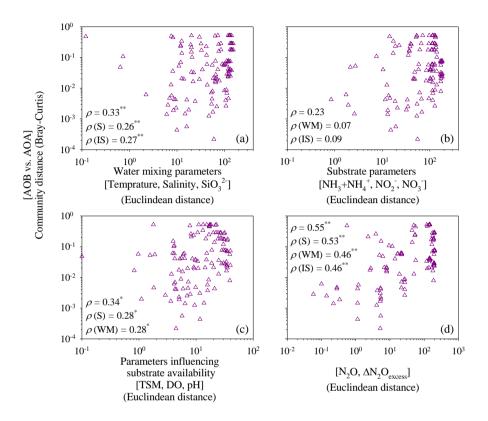
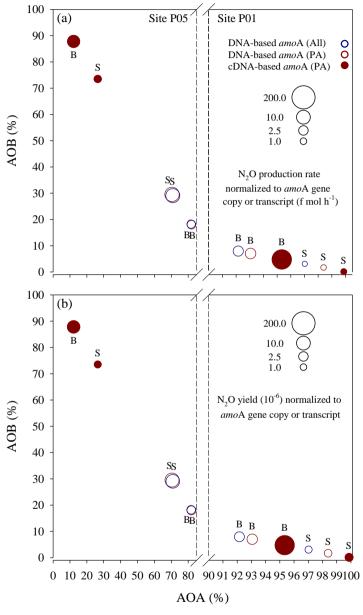


Figure 6: Correlations between the relative abundance of AOB versus AOA and (a) water mixing parameters (temperature, salinity, and silicate), (b) substrate parameters (ammonia/ammonium, nitrite, and nitrate), (c) parameters influencing substrate availability (TSM, DO, and pH), or (d) N_2O parameters (N_2O and ΔN_2O). The ammonia oxidizers matrix was calculated according to the relative AOA and AOB abundances. Dissimilarity matrices of the relative abundance of AOB *amoA* and AOA *amoA* were based on Bray-Curtis distances and environmental factors were based on Euclidean distances between samples. Standard and partial Mantel tests were run to measure the correlation between two matrices. Spearman correlation coefficient (ρ) values are shown for standard (first value)

- 1 and partial Mantel (second, third, and fourth) tests. The P values were calculated using the distribution
- of the Mantel test statistics estimated from 999 permutations. ${}^*P < 0.05; \, {}^{**}P < 0.01.$



- 1 Figure 7: N₂O (a) production rates and (b) yields normalized to total amoA gene copy or transcript
- 2 numbers of AOA and AOB in a given sample. They are presented along the x-y axes that represent the
- 3 relative contributions of AOA and AOB to the total amoA gene or transcript pools. S, surface; B,
- 4 bottom. All, sum of free-living and particle-associated communities; PA, particle-associated
- 5 <u>communities.</u>

Table 1 Rho (ρ) values for the relationships between nitrifier and denitrifier gene abundances and biogeochemical parameters in the Pearl River

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Estuary,										
Biogeochemical parameters		PA + FL			PA (> 0.8 μm)		FL (0.22–0.8 μm)			
g	AOA-amoA (n =16)	AOB-amoA (n =16)	nirS (n =16)	AOA-amoA (n =16)	AOB-amoA (n =14)	nirS (n =16)	AOA-amoA (n =16)	AOB-amoA (n =16)	nirS (n =16)	
Temperature	-0.694*	0.359	0.085	-0.676*	0.303	0.165	-0.438	0.358	0.229	
Salinity	0.644^{*}	-0.339	-0.018	0.604^{*}	-0.270	-0.047	0.403	-0.351	-0.356	
SiO ₃	-0.541^*	0.559^{*}	0.206	-0.497	0.503^{*}	0.282	-0.350	0.481	0.238	
TSM	-0.109	0.668^*	0.047	-0.097	0.612^{*}	0.194	0.191	0.565^*	-0.071	
pН	0.381	-0.656^{*}	0.157	0.316	-0.615^{*}	0.088	0.377	-0.605^{*}	-0.059	
DO	-0.074	-0.771**	-0.026	-0.121	-0.729**	-0.144	0.009	-0.697^{*}	0.218	
$\mathrm{NH_3/NH_4}^+$	-0.482	0.646^*	0.068	-0.482	0.571^*	0.196	-0.325	0.587^*	0.000	
NO_3^-	-0.485	0.359	-0.138	-0.444	0.353	-0.112	-0.588^{*}	0.213	0.115	
NO_2^-	-0.588^{*}	0.447	0.126	-0.556^*	0.356	0.212	-0.421	0.288	0.265	
N_2O	-0.421	0.641^*	-0.194	-0.356	0.606^*	-0.121	-0.385	0.490	0.047	
$\Delta N_2 O_{excess}$	-0.527^{*}	0.559^{*}	-0.160	-0.480	0.517^*	-0.081	-0.369	0.504	0.096	
N ₂ O flux ^a	-0.190 (n = 8)	1.000^{**} (n = 8)	-0.524 (n = 8)	-0.143 (n = 8)	1.000^{**} (n = 8)	-0.310 (n = 8)	-0.571 (n = 8)	0.657 (n = 6)	-0.524 (n = 8)	

adjusted P < 0.01.

aSurface data; *False discovery rate-adjusted P < 0.05; ** False discovery rate-below [FDR]

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PA, particle-associated communities; FL, free-living communities.

3

Table 2 Linear regressions of ammonia, nitrite, nitrate, and N₂O concentrations against time and N₂O yields during incubation experiments.

		C				_		C			C			
C'as I sees	Time	$\Delta (NH_3+NH_4^+) \ (\mu mol \ L^{-1} \ h^{-1})$			ΔNO_2^- (μ mol L^{-1} h^{-1})			ΔNO_3^- (μ mol L^{-1} h^{-1})			$\begin{array}{c} \Delta N_2 O \\ (nmol \ L^{-1} \ h^{-1}) \end{array}$			N ₂ O
Site_Layer	(hour)	Equation	R^2	Rate ^a	Equation	R^2	Rate ^a	Equation	R^2	Rate ^a	Equation	R^2	Rate ^a	yield (%)
P01_S	18	y = -0.47x + 163.20	0.96*	0.47	y = 0.20x + 11.69	1.00**	0.20	y = 0.18x + 78.98	0.90*	0.18	y = 0.60x + 120.93	0.96*	0.60 ^b	0.26 ^b
101_3	24	y = -0.53x + 163.44	0.98**	0.53	-	_	_	-	-	_	y = 0.62x + 120.85	0.98**	0.62	_c
P01_B	6	y = -1.08x + 160.65	1.00*	1.08	y = 0.42x + 10.95	1.00*	0.42	y = 0.23x + 78.84	0.98	0.23	<i>y</i> = 1.61 <i>x</i> +127.04	0.98	1.61 ^b	0.30^{b}
101_в	24	y = -0.69x + 159.76	0.96**	0.69	-	-	-	-	-	-	y = 0.70x + 129.14	0.86*	0.70	_c
P05_S	12	y = -1.12x + 43.58	0.96*	1.12	y = 0.73x + 18.78	1.00**	0.73	y = 0.46x + 116.58	0.98**	0.46	y = 1.15x + 79.79	0.98**	1.15 ^b	0.21 ^b
P05_B	12	y = -0.89x + 30.25	0.96*	0.89	y = 0.42x + 18.17	0.96*	0.42	y = 0.44x + 127.83	1.00**	0.44	y = 1.41x + 81.57	0.96*	1.41 ^b	0.32 ^b

^aThese rates are net rates since $\Delta(NH_3+NH_4^+)$ is the net consumption and ΔNO_2^- , ΔNO_3^- , and ΔN_2O is the net production during incubation.

^bThese rates and yields (when only nitrification occurred) were used to calculate the average *amo*A gene copy-specific N₂O production rates and

⁴ N_2O yields in Figure 7.

^cNo estimation of N₂O yield was made due to nitrification and denitrification may occur concurrently and DIN was not in balance.

⁶ $^*P < 0.05; ^{**}P < 0.01.$

^{7 —}No regression analysis or no estimation made due to DIN was not in balance.

Supplement of

$\label{eq:major_role} \textbf{Major role of ammonia-oxidizing bacteria in N_2O production in the Pearl River} \\ \textbf{Estuary}$

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 $\textbf{Table S1} \ \text{In situ biogeochemical parameters at the incubation experiment sites}.$

Site_Layer	Temperature (°C)	DO (µmol L ⁻¹)	NH ₄ ⁺ (µmol L ⁻¹)	NO ₃ (μmol L ⁻¹)	NO ₂ - (μmol L ⁻¹)
P05_S	30	61.3	40.1	126.1	20.5
P05_B	30	54.7	33.3	123.5	24.5
P01_S	29	30.9	167.2	84.0	11.9
P01_B	29	30.0	166.5	82.0	11.6

S, surface; B, bottom.

 $\textbf{Table S2} \ \ \textbf{Isotopic composition of } \ N_2O \ \ during \ \ bacterial \ \ and \ \ archaeal \ \ ammonia \ \ oxidation, \ \ bacterial \ \ nitrifier-denitrification, \ \ and \ \ bacterial$

2 denitrification.

Pathway	Microorganisms	Species	Substrate	δ^{15} N-N ₂ O	References	
			NH ₄ ⁺	-6860‰	Yoshida, 1988; Toyoda et al., 2017	
		Nitrosomonas europaea	NH ₄ ⁺	-46.946.1‰	Sutka et al., 2006	
	β -proteobacteria		NH ₄ ⁺	-19.88±0.39‰	Jung et al., 2014	
		Nitrosomonas marina C-113a	$\mathrm{NH_4}^+$	-54.915.2‰ (0.5% O ₂) ^a	Frame and Casciotti, 2010	
		Nurosomonas marma C-113a	NH ₄ ⁺	$-13.6 - 6.7\% (20\% O_2)^a$		
Ammonia oxidation	γ-proteobacteria	Methylomonas methanica	$\mathrm{NH_4}^+$	-39.4‰	Mandernack et al., 2009	
Allinonia oxidation		CN25 (marine)	NH_4^{+}	6.3-10.2‰	Santoro et al., 2011	
		MY1 (soil)	$\mathrm{NH_4}^+$	-13.53±2.12‰	Jung et al., 2014	
	Archaea	MY2 (soil)	$\mathrm{NH_4}^+$	-16.96±1.81‰	Jung et al., 2014	
	Archaea	MY3 (soil)	$\mathrm{NH_4}^+$	-16.49±2.18‰	Jung et al., 2014	
		JG1 (soil)	NH ₄ ⁺	-15.32±0.16‰	Jung et al., 2014	
		AR (marine sediment)	NH ₄ ⁺	-12.91 ±1.50‰	Jung et al., 2014	

		CS (acid mine)	$\mathrm{NH_4}^+$	-35.54±0.89‰	Jung et al., 2014
			NH ₂ OH	-38.120.4‰	Sutka et al., 2003
		Nitrosomonas europaea	NH ₂ OH	-5.5-5.1‰	Sutka et al., 2006
	β -proteobacteria		NH ₂ OH	-34.013.8‰	Yamazaki et al., 2014
h		Nitrosospira multiformis	NH ₂ OH	-3.9-1.7‰ (Average -0.3±2.9‰)	Sutka et al., 2006
Hydroxylamine oxidation ^b		Nitrosomonas marina C-113a	NH ₂ OH	-6.7‰	Frame and Casciotti, 2010
	γ-proteobacteria	Nitrosococcus oceani	NH ₂ OH	-17.95.8‰	Yamazaki et al., 2014
		Malalana	NH ₂ OH $-0.3-1.7\%$ (Average $0.0\pm1.2\%$)		Sutka et al., 2003
		Methylococcus capsulatus	NH ₂ OH $1.3-5.2\%$ (Average $3.4\pm1.9\%$)		Sutka et al., 2006
		Nitrosomonas marina C-113a	NO ₂	-57.6±4.1‰	Frame and Casciotti, 2010
Nitrifier-denitrification	β -proteobacteria	Nitrosomonas europaea	NO ₂	-39.131.0‰ (Average -34.8±2.7‰)	Sutka et al., 2003
		Nitrosospira multiformis	NO ₂ -	-24.221.5\% (Average -22.9\pm0.6\%)	Sutka et al., 2006
Danituification	γ-proteobacteria	Pseudomonas fluorescens	NO ₃	-37.214.9‰	Toyoda et al., 2005
Denitrification	α-proteobacteria	Paracoccus denitrificans	NO ₃	-20.07.9‰	Toyoda et al., 2005

^aO₂ conditions of the incubation experiments.

- $^{b}Although \ the \ \delta^{15}N\text{-}N_{2}O \ when \ using \ NH_{2}OH \ as \ a \ substrate \ are \ listed \ here, \ the \ isotopic \ composition \ of \ N_{2}O \ only \ when \ using \ NH_{4}^{\ +} \ as \ a \ substrate$
- 2 was discussed in natural environments.

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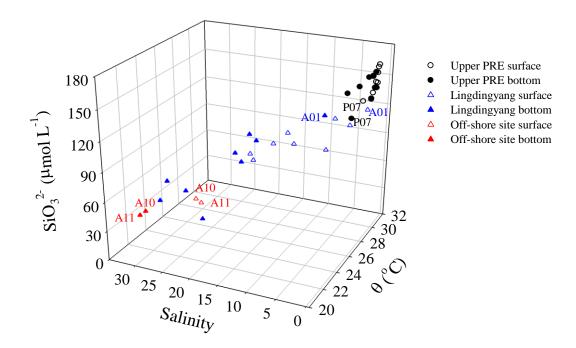
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Table S3 The abundances of DNA- and cDNA-based *amo*A gene and the N₂O production net rates and yields normalized to total *amo*A gene copy or transcript numbers of AOA and AOB in a given sample at the incubation experiment sites.

Site_ Layer	DNA-based AOB (All) (copies L ⁻¹)	DNA-based AOA (All) (copies L ⁻¹)	N ₂ O production rates (All) (fmol cell ⁻¹ h ⁻¹)	N ₂ O yields (All) (10 ⁻⁶)	DNA-based AOB (PA) (copies L ⁻¹)	DNA-based AOA (PA) (copies L ⁻¹)	N ₂ O production rates (PA) (fmol cell ⁻¹ h ⁻¹)	N ₂ O yields (PA) (10 ⁻⁶)	cDNA-based AOB (PA) (copies L ⁻¹)	cDNA-based AOA (PA) (copies L ⁻¹)	N ₂ O production rates (PA) (fmol cell ⁻¹ h ⁻¹)	N ₂ O yields (PA) (10 ⁻⁶)
P05_S	14030	34427	23.70	21.30	12125	29082	27.90	25.00	382928	138646	2.20	1.97
P05_B	87915	397740	2.90	3.25	77820	357308	3.24	3.63	89559	12559	13.80	15.50
P01_S	19623	642905	0.91	1.93	9343	578974	1.02	2.18	500	461578	1.30	2.77
P01_B	21334	251163	5.91	5.47	16458	221184	6.77	6.27	362	7436	206.00	191.00

S, surface; B, bottom; All, sum of particle-attached and free-living fractions; PA, particle-attached fraction.



- **Figure S1**: Three-dimensional scatter plot of potential temperature (θ) ($^{\circ}$ C), salinity,
- and silicate (SiO₃²⁻) concentration. <u>PRE, Pearl River Estuary.</u>