

## Response to Reviewer 1

Overview This manuscript describes how vegetation groups switch between years based on random forest classification models utilizing remote sensing imagery. The authors demonstrate how to produce highly accurate images with purely spectrally based predictors, and also quantify the variability in their vegetation groups between years. Understanding these shifts is an important undertaking for ecology and remote sensing, however there are several factors that lead to confusion in the interpretation of the results. In addition, the application of Random Forests is limited compared to what is set out in the intro, and when coupled with what seem like arbitrary (or unexplained) decisions, I feel their objectives have not been fully met. Specifically the confusion between community types and functional groups needs to be addressed in the manuscript through defining terms, and clarifying the difference between community changes and production differences of functional groups between years. For the assessment of random forests, there is opportunity to develop the analysis much deeper. There are unanswered questions that could be explored with RF models. For example, what number of trees are needed for the model to stabilize, how does the months of imagery (what if I have two instead of five each year) change the classification, why separate models for on and off prairie dog towns (when transitions between these and the three off town types may be important), etc. Specific Comments

There are several comments by this reviewer regarding definition of plant community as used in this paper. Plant communities can be described at many scales; at a very large scale, we could simply distinguish between grassland and shrubland communities, knowing full well that there is tremendous potential for variability within those two categories. We could also describe plant communities at a very fine scale, combining land units only when the percent compositions (either by cover or biomass) of the communities are nearly identical. For the purpose of the overall study within which this study was a part, we determined that an intermediate scale provided reasonable distinctions between areas on the landscape without being too broad or too detailed to be useful. Thus we combined the plant communities into categories defined by several functional groups.

To add clarity to the manuscript, we added detailed information on how we defined plant communities for this study.

Regarding the issue of number of trees to attain stability, the number of trees was increased to 200, and plots were generated to check for error stabilization (typically around 50 trees). This has been included in the manuscript. Prior remote sensing research has focused on seasonality of imagery collection on classification error within the same year. While our data could be used to explore that question, that is not the focus of this paper.

Additional changes in the manuscript include combining all plant communities into the RF model instead of separating them out to satisfy the reviewers.

Line 44 – Awkward sentence, and if I understand this correctly, then I disagree. I actually am not surprised by changes in species dominance between years. Composition may stay the same, but representation can change depending on growing season conditions.

Sentence has been removed

Line 48 – Vegetation classification can be done at many scales in multiple vegetation hierarchies, you need to be much more specific here (and throughout) about what you are looking at and where in a vegetation hierarchy your results are relevant.

Scale of studies has been included.

Line 55 – Very broad and general and probably needs a citation. Take a look at (Browning, D. M., A. Rango, J. W. Karl, C. M. Laney, E. R. Vivoni, and C. E. Tweedie. 2015. Emerging technological and cultural shifts advancing drylands research and management. *Frontiers in Ecology and the Environment* 13:5260) to think about how remote sensing fits into monitoring and assessment for rangelands.

Citation was added.

Line 90 – You are not exploring “plant and animal interactions” in this paper, although this is the aim of your larger project. This is out of place and confusing. In addition, “plant and animal interactions” is vague, I started thinking about a wealth of LIDAR and similar studies used to create thematic vegetation maps for animal habitat studies. Do you mean there is limited studies on animal space use across vegetation communities?

The aim of the larger project is to explore plant-animal interactions, specifically livestock use of plant communities on the landscape. However, prairie dogs have a large impact on plant communities and can drive differences in species composition, production, etc. This has been included in the introduction as an area of focus.

Line 101 – Three examples don’t prove that RF is better in all situations. And as written, it seems like you cite one study that actually compares RF with other techniques, and this used Landsat, very different than your study. Nothing majorly wrong here, you just need to introduce applications of RF to vegetation classification problems as one useful technique.

RF may not be the best algorithm for all situations. This paragraph has been changed to highlight the utility of RF in remote sensing using different scales of imagery.

Line 114 – Probably this illustrates a limitation in predictor variables, rather than Random Forests. The tool can work at broad scales if the data and processing power is available. See Jones, M. O., B. W. Allred, D. E. Naugle, J. D. Maestas, P. Donnelly, L. J. Metz, J. Karl, R. Smith, B. Bestelmeyer, C. Boyd, J. D. Kerby, and J. D. McIver. 2018. Innovation in rangeland monitoring: annual, 30 m, plant functional type percent cover maps for U.S. rangelands, 1984-2017. *Ecosphere* 9.

The Juo study mentioned deals with high resolution imagery, compared to 30m Landsat data as mentioned in the suggested study. Perhaps the issue of spatial transferability of models becomes a greater issue at fine scale mapping. This issue has been noted in the revised manuscript.

Line 120 – Plant community classification (used here and throughout) can be conducted on many different levels of vegetation hierarchies (like the USNVC). Or you can ask other questions, like changes in productivity between years. Community type generally shifts when a system crosses a threshold from disturbance/stressors, through succession, etc. You need to define much better in this manuscript what you mean, and what you are looking at in regards to, for example, plant community classification vs. plant community species or productivity. A single Landsat image may work very well for some purposes, but for the more detailed questions like yours, multiple images may be required (although you did not

actually test accuracy differences between the number of images). You seem to be focused more on classification of functional groups rather than a community.

Plant community in the context of this study has been defined for clarity in the manuscript.

Line 121 – The three references here are specific studies (two over 10 years old), not reviews of plant classification studies. Maybe in the past it was more common to use a single time period, but seems now with increased computing power and the availability of the entire Landsat archive, etc., it is very common for much more robust and multiple acquisition studies. Maybe phrase this more to acknowledge this evolution.

This has been changed in the manuscript.

Line 135 – Again, what is a plant community. Have you really found or are looking that the community changes? Or are you looking at species representation within a community, i.e. functional group dominance and shifts in this between years.

See response to reviewer one in the overview comments. A plant community is a collection of species within an area of a relatively uniform composition different from neighboring patches. In this study, differences in neighboring patches are sometime evident in differences in dominant functional group (forb vs grass) or differences in photosynthetic pathways (C3 vs C4 grasses). The aim of this study is not to measure community changes, as shifts in plant communities occur over longer time scales within perennial northern great plains plant communities.

Line 143 – NGP probably too broad for the implications of your study. Be more specific with the MLRA, or mixed grass prairie systems, etc. that you are testing. Intro – Lots of general and vague statements in here and limited citations supporting broad brush statements. I suggest going through the intro to make it more specific. For example, landscape, local, various etc. scales will mean different things to every reader. Define these or what they are for the studies you cite. Also make sure your statements are supported by citations or explained. For example, line 61 – 65 is not a summation or conclusion of the paragraph, so these new statements should be supported. Finally, you should mention this is part of a larger study looking at cattle use compared to prairie dog prevalence and impact to pastures, but the paragraph starting on line 130 had me confused between what this study was going to do, and what the larger study did.

Intro has been changed to include mixed grass prairie specifically, though much of the Northern Great Plains is comprised of mixed grass prairie. Intro has been changed to specify the differences in scales between citations such as Landsat imagery versus high resolution imagery.

Additional text has been included to distinguish the objectives of this study and those of the larger study for clarity.

Line 174 – These sound more like plant functional groups than communities. Nothing wrong with mapping those, but the terminology issues are prevalent and I believe confuse your conclusions. Changes in representation are common between years, changes in community are a different boat.

See response above.

Line 199 – Why not compare them all together? You need to add rationale for why separating these out beforehand is appropriate. If you want to scale up your study, how will you separate out prairie dog towns at the “landscape” scale (watershed, county, etc.). As another option, I would find this much more compelling if the comparisons and RF models were tested to separate out all five groups. This would be a much more thorough test of RF.

The revised manuscript has been changed to test for differences between all five groups, not separated by on-town or off-town locations.

Line 217 – Do you think the wider spectral bands (compared to Landsat or UAV options) played into your results at all?

No

Line 227 – Again, this needs more justification than saying they are mutually exclusive. You either decided to map prairie dog towns separately from the rest of the study area (which you need to justify why) or you could test what the implications are of not having mapped towns in the first place (which also can vary between years).

See above

Line 239 – Why only 100, when the default is higher (which is used for the number of nodes)? You may be ok here, but in many cases, at this point the model error is just beginning to stabilize. You could examine the impact of the number of trees on your model by looking over a range of “number of tree” values.

The revised manuscript has increased the number of trees to 200. Adding additional trees (default=500) was computationally prohibitive. For all models, the error was plotted to check for stabilization. Most models had stabilized by 50 trees. Previous research in remote sensing has demonstrated that the number of trees has little influence over classification results, and that ensembles of 70 trees are sufficient for classification. Adding a large number of trees beyond error stabilization is unlikely to improve classification accuracy and will only add to computational time. See:

Du, P., A. Samat, B. Waske, S. Liu, Z. Li. Random forest and rotation forest for fully polarized SAR image classification using polarimetric and spatial features ISPRS J. Photogramm. Remote Sens., 105 (2015), pp. 38-53

Topouzelis K., A. Psyllos. Oil spill feature selection and classification using decision tree forest on SAR image data ISPRS J. Photogramm. Remote Sens., 68 (2012), pp. 135-143

Line 241 – Why just spectral bands as input into the models? You don’t explicitly say your objective is to use just satellite imagery (and prior to the RF algorithm you used other data, e.g. to differentiated prairie dog towns and off site)

It is explicitly mentioned in the goals of the project to ‘assess the utility of using a RF model with high resolution satellite imagery to classify plant communities’. Delineating prairie dog town boundaries with GIS would be akin to outlining the boundary of any other study area of interest.

Line 246 – How did you apply your models to produce predictions for prairie dog towns vs. off town locations? I think you run the predictions on two separate parts of the study area (be explicit).

The ‘predict’ function in Program R was used to apply the models. This has been added to the manuscript.

Line 262 – The way your “communities” were picked seem to almost guarantee this? You picked areas “dominated” by three (or two) very different functional groups. Is this overlap more than you expected, and what is the overlap? This very much may help explain the differences between years.

We would expect a large separation in ordination space based on how plant communities were selected. I think it is of value for plant classification studies to demonstrate that the plant communities one is classifying are actually distinct. The amount of overlap between plant communities may also factor into error rates or help explain differences between years. As mentioned prior, plant communities may be dominated by a specific functional groups, but other functional groups and species exist within these areas. Text has been added to the manuscript to reflect this.

Line 287 – Are the models unstable, or does this indicate the models are accurate within years, but species representation (as seen through your methods) changes between years in heterogenous areas?

Unsure what is meant by species representation. Given that these are perennial plant communities, it would be unlikely for major shifts in dominance to occur between successive years without a major disturbance event (i.e. fire).

Line 303 – These peaks seem like they very much may affect the production of warm vs. cool season grasses between the years as well.

Agreed, it could affect production to some extent.

Line 304 – Was there a temperature difference between years as well? These curves seem farther apart then I would expect just based on precip.

Temperature was similar between years. From the manuscript: ‘Oesterheld et al. (2001) showed that annual above ground primary production of shortgrass communities is related to current as well as previous two years precipitation. The above average rainfall at the study site in 2015 could have added to the increase in average NDVI in 2016 when compared to 2015 through an increase in cumulative biomass or production at the site’.

Line 328 – Is there a transition zone at the edge of the prairie dog towns too?

Though there are transition zones at the edge of prairie dog towns, they tend to be much sharper boundaries and occur in off-town sites. This results in much more distinct boundaries and improves the ease of mapping colonies. The revised manuscript includes combining on and off town plant communities and highlights the distinct boundary between colonized areas and uncolonized areas.

Line 340 – Based on your discussion so far, what is a more accurate thematic map? Which year is the truth, if the heterogeneous transition zones may switch categories depending on which group dominates in a given year? How about comparing this map to the two yearly maps?

The map which includes both 2015 and 2016 data is likely the most accurate map, as demonstrated in the lower error rates. More information (spectral values across seasons and years) would produce a more accurate thematic map. As mentioned prior, switching in dominance, especially functional group dominance, between consecutive years is unlikely to occur in perennial mixed-grass prairie ecosystems without a major disturbance occurring.

Line 351 – Any limitations in the approach though? How about the lack of coefficients for your variables? I.e. good for prediction, not as good for understanding relationships

The goal of creating predictive models is to generate good predictions. The aim of this study was prediction, not inference.

Line 353 – Why not include the variable importance for the combined model?

This is included in the revised manuscript.

Line 562 – Break this out to be more specific on the changes per year (what was it in 2015 and what is it now in 2016) rather than lumping the switches between types that switch both ways in the two years. If there is a dominant pattern of switch that would be useful for your conclusions.

This is included in the revised manuscript.

Line 588 – How are the draws mapped? These are not one of your groups, need to talk about this in the methods. Technical Corrections Line 3 – Consider replacing stability, I think this could be confused with other definitions and is not quite what you mean

Draws were delineated visually via ArcGIS and imagery. This is included in the revised manuscript.

Line 32 – Replace highly with high Line 46 – Replace instability with disagreement Line 66 – Remove colonization and replace dog with dogs

This is included in the revised manuscript.

Line 86 – First time you use the acronym NDVI. Write out fully.

This is included in the revised manuscript.

Line 98 – Replace several with many (or similar idea)

This is included in the revised manuscript.

Line 101 – Replace proven with demonstrated

This is included in the revised manuscript.

Line 109 – There are a lot more RF packages and implementation options now, compared to 2013. Standard software like R, ERDAS Imagine, QGIS, and ArcGIS have RF, as well as more specialized options

like Ecognition (and even Google Earth Engine). I don't think you need this sentence, not relevant to the paper.

This has been deleted in the revised manuscript.

Line 173 – Need year you accessed the Mesonet data Line 181 – About how big are these (median, range, etc.)

This is included in the revised manuscript.

Line 192 – How were they randomly located?

This is included in the revised manuscript.

Line 236 – Did you consider other potential predictors that you could derive from these inputs?

We didn't include additional vegetation indices from the spectral data. Additional metrics could include elevation, ecological site, etc. though given the low training error from the models this would not likely change the predictions.

Line 239 – What is the default number of nodes. Define this.

This is included in the revised manuscript.

Line 256 – A table of the species for each of the five groups would really help. Would also help understand what "dominated" means for your training sites.

Species are listed in the site description

Line 267 – Mishra and Crews should be outside parentheses

This is included in the revised manuscript.

Line 310 – What was the 2014 precip then? Dry?

Wet

Line 355 – For the town or off-site model?

The study had been changed to include both off-town and on-town communities into the model.

## Response to Reviewer 2

The authors use the unique plant community signature of Prairie Dog colonies to challenge RF methods, but the novelty of this approach is never articulated. Explain early on, with references, why temporal and spatial characteristics of prairie dog influence on vegetation makes it an interesting challenge for remote sensing and the combined ecological/rangeland management/remote sensing triumvirate of the manuscript will be clearer to the reader. The Introduction needs to be restructured and I recommend the Results and Discussion be entirely re-written, it was extremely difficult to follow and all of the cool aspects of this interesting study were either buried or not mentioned at all. After rather major revisions I can see how this paper could be acceptable for publication. It is technically sound for the most part but needs major changes.

The introduction has been restructured in the revised manuscript. The results and discussion have been changed to improve clarity in reading the manuscript. This includes combing all plant communities into the model instead of separating them into on-town and off-town. Sub headers have been included in the results and discussion as well for clarity, and a greater focus of the manuscript has been placed on remote sensing prairie dog colonies.

Minor comments: The ecological justification for investigating Prairie Dog towns was somewhat lacking in the abstract. Is this study fundamentally about identifying colonies from remote platforms or using prairie dog colonies as an interesting opportunity to advance statistical techniques in remote sensing?

The study was part of a larger study focusing on livestock production and diet selection within pastures occupied by prairie dog towns. Additional analysis has been included to highlight the ability of remote sensing to map prairie dog colonies.

The statement on line 43 is somewhat fuzzy. The cautious note at the end of the abstract is forthcoming.

The sentence has been changed in the manuscript.

The transition from line 65 to 66 is a bit harsh. The narrative 'funnels' from remote sensing in general to prairie dog colonies in particular far too rapidly. As a consequence, the reader is left wondering if the central theme is prairie dog colony identification or remote sensing techniques or rangeland and cattle management (or all of the above, and if so how do they fit together).



The introduction has been restructured to add clarity.

The paragraph beginning line 79 is 'listy' and reads like a few random manuscripts that the authors read. How do these fit together to advance the overall objective of the study? I recommend restructuring the Introduction. 'Writing Science' by Schimel is a good text for describing logical flow in scientific manuscripts.

These manuscripts fit into the overall objective of the study by demonstrating mapping of various plant communities using spectrally derived data from satellite imagery, which is the overall goal of this study.

From the paragraph on line 101 it appears that the objective isn't to compare RF against different techniques, which is fine. But the opportunity to use the subtle (or not so subtle) vegetation changes induced by prairie dog colonies to challenge RF methods isn't brought to the forefront. This is a missed opportunity in my opinion. Note also in line 146 that a goal could also be to investigate prairie dog and plant ecology: you don't always have to bring it back to cattle foraging. The Utah and Mexican Prairie Dogs are endangered after all.

Numerous studies have investigated prairie dog impacts of plant ecology. Prairie dogs can have a large impact plant species composition, and older core areas often become characterized by annual forbs species and low production, which can directly impact livestock production. Additional analysis has been included in the revised manuscript to include mapping prairie dog colonies via remote sensing.

156: The Ecological Sites notion was new to me and the descriptions sound like soil types. Are these a USDA thing?

Ecological sites are used heavily by USDA agencies. They are a distinctive type of land with specific soil and physical characteristics produce unique vegetation.

162: I'm confused, I always thought that Kentucky bluegrass was *Poa pratensis*.

This has been corrected in the revised manuscript.

173: the temperature and precip measurements are great but please specify the mesonet used (South Dakota).

This has been corrected in the revised manuscript.

174: using common abbreviations like 'pdf' or common words like 'snow', 'cool', and 'warm' will lead to confusion. Sites are either on towns or off, so using PD with subscript f or g, then O (or similar, even 'NPD' as used on line 201 without previous description) with subscripted snowberry, c3, and c4 would help me at least. There is a lot to digest here and making things easier for the reader can go a long way.

Acronyms have been changed to improve clarity for the reader.

I'm not entirely sure why an ordination, MRPP, NMS, Bray-Curtis, etc. was used for pre-defined vegetation types. Weren't they already selected to be different from each other? Is the point of this analysis to guarantee that the five vegetation types are in fact different from each other (e.g. line 256)? In this case of course it's fine to do so.

We would expect a large separation in ordination space based on how plant communities were selected. I think it is of value for plant classification studies to demonstrate that the plant communities one is

classifying are actually distinct. The amount of overlap between plant communities may also factor into error rates or help explain differences between years.

NDVI probably doesn't need to be defined on 231 although a note about any differences in the spectral resolution of the red and NIR among Pleiades and other common satellites may be interesting for the Discussion.

NDVI definition has been removed.

276 is probably a methods point and 278 may even be an Introduction point. Literature as a whole needs to be woven into the narrative. In general, any time a sentence starts with the author of a paper, the sentence needs to be changed. Doing this makes the author(s) the subject(s) of the sentence. The topic at hand should be the topic of the sentence. Please start a sentence with authors only when those authors are the subject of the sentence, which can happen.

Listing an author at the beginning of a sentence is a common convention in ecological literature.

The paragraph beginning 265 could benefit from a few more quantitative values rather than qualitative ones like 'high degree' and 'lower'.

Tables are referenced giving specific values. Numbers are generally either referred to in tables or the text but not both.

296: I disagree somewhat. Different species will be more prominent during different times of the year (e.g. cool vs warm season grasses).

I agree somewhat that different species will be more prominent during different times of the year, this is especially true of forb species that flush early in the season. However with perennial cool or warm season grasses, they still occupy the same physical space on the landscape, just differ phenologically.

The manuscript would probably benefit from separating the results and discussion to show first what happened then explain it. The discussion never comes back to prairie dogs.

Sub headers have been included in the results/discussion to add clarity. Additional analysis has been included at the end to bring the discussion back to prairie dogs.

Please make font sizes larger in the figures. They are often hard to read.

This has been corrected in the revised manuscript.

From Fig. 5 and 6 it appears that prairie dog colonies, at least in this area of SD, can be identified with a relatively large degree of accuracy. This needs to be made more prominent in the discussion.

This has been addressed in the revised manuscript.