Identification of secondary fatty alcohols in atmospheric aerosols in temperate forests

Yuzo Miyazaki[1], Divyavani Gowda[1,2], Eri Tachibana[1], Yoshiyuki Takahashi[3], Tsutom Hiura[4]

[1]Institute of Low Temperature Science, Hokkaido University, Sapporo, 060-0819, Japan
5   [2]Now at RIKEN Center for Integrative Medical Science, Yokohama, 230-0045, Japan
[3]National Institute for Environmental Studies, Tsukuba, 305-8506, Japan
[4]Field Science Center for Northern Biosphere, Hokkaido University, Tomakomai, 053-0035, Japan

Correspondence to: Yuzo Miyazaki (yuzom@lowtem.hokudai.ac.jp)

10   **Abstract.** Fatty alcohols (FAs) are major components of surface lipids (waxes) and can act as surface-active organic aerosols in the atmosphere, influencing chemical reactions, particle lifetimes, and the formation of cloud droplets and ice nuclei. However, studies on the composition and source of the FAs in atmospheric aerosols are very limited. In this study, we identified five secondary FAs (SFAs) with C_{27} and C_{29} from aerosol samples collected throughout one year at two different deciduous forest sites in Japan. Fatty diols, such as *n*-heptacosan-5,10-diol, were identified in atmospheric aerosols for the first time. 15   Among the identified SFAs, *n*-nonacosan-10-ol was the most abundant compound, followed by *n*-nonacosan-5-10-diol at both of the forest sites. Concentrations of the SFAs exhibited distinct seasonal variation, with pronounced peaks during the growing season at each forest site. The SFAs showed significant correlation with sucrose, which is used as a molecular tracer of pollen. A significant fraction of the SFAs was attributed to the submicrometer particles in the growing season. The results indicate that they originated mostly from plant waxes and could be used as useful tracers for primary biological aerosol particles.

1 Introduction

Lipids can be effectively used as molecular markers of terrestrial and marine sources of atmospheric aerosols (e.g., Gagosian et al, 1981). In the terrestrial environment, different organisms contain a variety of long-chain alcohols (fatty alcohols; FAs) because of the diversity of pathways used by the biota for the synthesis of molecules and other compounds. Most fatty alcohols occur in biota as waxes covering parts of plants, useful for preventing desiccation, protection from bacterial 25   attack, UV screening, and so on (e.g., Dahl et al., 2005). Because the main function of plant waxes is to reduce water loss through evaporation, waxes originating from this source tend to have longer chain lengths. The most prominent chain lengths are C_{26} to C_{30} (Tulloch, 1976). Once emitted into the atmosphere, FAs in atmospheric aerosols can act as tracers of primary biological aerosol particles (PBAPs) (Simoneit et al., 2004). Previous studies estimated global emissions of PBAPs mostly based on the abundance of fungal spores (e.g., the sugar mannitol as a biotracer) and bacteria (Carslaw et al., 2010). Among 30   possible compounds of PBASs, however, our knowledge is very limited on the abundance, emission mechanisms, and atmospheric behaviour of FAs originated from plants. Possible emission drivers, such as temperature, light intensity, and local wind speed, likely vary with types and sources of PBAPs.

FAs are known as major components of surface lipids (waxes) with chains varying between C_{20} and C_{34} carbon atoms (Mudge, 2005). Fatty alcohols are composed of long-chain hydrocarbons and hydroxyl (–OH) groups, which can congregate 35   at the aqueous surface and can act as surface-active organic aerosols (OAs). These aerosols can cause gases to transfer into the aqueous phase as atmospheric particles and prevent evaporation, which influences chemical reactions, particle lifetimes, fog/cloud droplets, and ice nuclei (IN) (Gill et al. 1983; Cantrell and Robinson, 2006; Knopf et al., 2018). In particular, biogenic particles such as fungal spores and bacteria can serve as a source of IN macromolecules, implying that IN macromolecules are therefore derived from a variety of biological or biogenic particles (Pummer et al., 2015). Long-chain alcohols show

appreciable IN activity if they are crystallized into well-defined mono-layers. This depends on chain length, the position of the OH group, and any substitutions on the side chains (Popovitz-Biro et al., 1994).

Oros and Simoneit (2001a) observed *n*-nonacosan-10-ol, a secondary FA (SFA), in smoke samples from conifers subjected to controlled burning. In addition, an alkanediol *n*-nonacosan-5-10-diol was identified in ambient aerosol samples collected in the western North Pacific during the ACE-Asia campaign (Simoneit, et, al., 2004). Some common alkanols were also found within ambient aerosols at urban and remote island sites, and are thought to have been emitted to the atmosphere via biomass burning (Oros and Simoneit, 2001a, 2001b). These studies also indicate an input of waxes from forests consisting of both soft and hardwoods. However, studies are very limited on the composition and sources of FAs in atmospheric aerosols obtained in the vicinity of source regions, such as forest environments.

In this study, we used a gas chromatography-mass spectrometry (GC-MS) to identify five SFAs found in atmospheric aerosols, both in submicrometer particles and total suspended particulate matter (TSP), sampled throughout one year within canopies of two different temperate forests in Japan. We discuss their possible sources based on their seasonal variations in mass concentration found at the two forest sites.

2 Experimental

2.1 Aerosol Sampling

Ambient aerosol samplings were conducted at two different forest sites in Japan (**Fig. 1**): One is the Tomakomai (TMK) Experimental Forest (42°43' N, 141°36' E) of Hokkaido University, located in northern Japan, and the other is the Fuji-Hokuroku (FHK) Flux Research site (35º26' N, 138º45' E). All the aerosol samples were collected on quartz fiber filters (25 cm × 20 cm), which were pre-combusted at 410 °C for 6 hours to remove any contaminants. Descriptions of each site are given in the following subsections.

2.1.1 Tomakomai (TMK) Experimental Forest

The TMK site is situated in a cool-temperate zone, with its southern boundary adjacent to Tomakomai City and its industrial port area facing the Pacific Ocean. The mixed cool temperate forest consists of mature and secondary deciduous forest and manmade coniferous forest with various types of forest floor cover (Hiura 2001, 2005). Tree species include Mongolian oak (*Quercus crispula*), mono maple (*Acer pictum*), Korean whitebeam (*Aria alnifolia*), Japanese linden (*Tilia japonica*) and the planted species Japanese larch (*Larix leptolepsis*), sakhalin fir (*Abies sachalinensis*), and sakhalin spruce *(Picea glehnii)* (Hiura, 2005). The height of the main trees ranges between 15–20 m and a leaf area index (LAI) is within a range of 3.3–4.9 m^2 m^{-2} (Hiura, 2001). The soil consists of shallow and unweathered volcanogenic regosols. The predominant local wind direction in autumn and winter is from the north, originating in the forested areas. In contrast, air transported from the south (the coastal urban area) is dominant in summer.

At the TMK site, both TSP and submicrometer aerosol samples were collected continuously in parallel using two high-volume air samplers (HVASs; Model 120SL, Kimoto Electric, Osaka, Japan) at an altitude of ~18 m above the forest floor of the research site (Müller et al, 2017). A cascade impactor (CI; Model TE-234, Tisch Environmental, Cleves, OH, USA) was attached to one of the two HVASs to collect size-segregated particles with five stages at a flow rate of 1130 L min^{-1}. We used analytical results obtained from the bottom stage of the impactor, which collected particles with aerodynamic diameter smaller than 0.95 μm. Aerosol particles within this size range are referred to as submicrometer particles. The sampling was taken continuously with a duration of approximately 1 week for each sample, from January to December in 2015. Collected filter samples were individually stored in glass jars with a Teflon-lined screwed cap at −20 °C to limit the chance for chemical

reactions on the filter and the loss of volatile compounds. In total, 23 sample sets were obtained at this site for both TSPs and submicrometer particles.

2.1.2 Fuji-Hokuroku (FHK) Flux Research site

5   The FHK research site is located at the base of Mt. Fuji in Fujiyoshida City, Yamanashi, Japan (**Fig. 1**) (e.g., Mochizuki et al., 2015; Urakawa et al., 2015). The urban area of Fujiyoshida City is located about 8 km northeast of the site. The vegetation type is *Larix kaempferi* plantation, which was planted uniformly 55 years ago over 150 ha. Tree height is approximately 20–25 m. LAI was estimated to be 2.8 m^2 m^{-2} based on the leaf mass abundance in 2006 (Takahashi et al., 2015). After tree thinning at the site in 2014, the leaf mass abundance in 2015 and 2016 decreased to 80% and 96% of that in 2006, respectively.

10   The site is surrounded by coniferous and broad-leaved mixed forests. A meteorological tower of 32-m height and a steel scaffold of 20-m height for tree surveys are situated at the center of the forest site. The soil type is coarse-grained volcanic ash. The forest floor is predominantly covered with *Dryopteris crassirhizoma*. The FHK site is located on a slope in the northern foothills of Mt. Fuji, and the dominant wind direction is characterized by two modes throughout a year: from the north (valley wind) in daytime and from the south (mountain wind) in night time (Takahashi et al., 2015).

15   TSP sampling was conducted at a height of 16 m, just beneath the larch canopy, using a HVAS (Model 120SL, Kimoto Electric, Osaka, Japan) mounted on the 20-m steel scaffold. The aerosol samples were collected from January 2015 to December in 2016 with a sampling duration for each aerosol sample of ~2 weeks. The flow rate of the TSP sampling was approximately ~600 L min^{-1}. In total, 15 and 17 samples were analysed, obtained at the FHK site for the year 2015 and 2016, respectively. The collected filter samples were kept frozen at −20 °C until analysis. It is noted that the difference in the sampling

20   duration at the two sampling sites might not significantly affect our conclusions, because we discuss the seasonal changes of the aerosol concentrations whose time scale is much longer than that of the aerosol sampling (1−2 weeks).

2.2 Analytical Procedure with Gas Chromatography-Mass Spectrometry (GC-MS)

For the aerosol samples, a filter cut of 3.8 cm^2 was extracted with dichloromethane/methanol (2:1, v/v) and the –OH functional groups in the extracted samples were reacted with a mixture of 50 µl of *N,O*-bis-(trimethylsilyl) trifluoroacetamide

25   (BSTFA), 1% trimethylsilylchloride, and 10 µl pyridine to form trimethylsilyl (TMS) ethers. The TMS derivatives were then analyzed for the presence of the compounds listed above using a capillary gas chromatograph (GC7890, Agilent) equipped with a fused silica capillary column (DB-5MS, Agilent Technologies, Santa Clara, CA, USA) and coupled to a mass spectrometer (Miyazaki et al., 2012; Müller et al., 2017). From the TMS derivatives, structures of each compound of FAs were elucidated with low-resolution GC-MS (MSD5975C, Agilent), as well as with high-resolution GC-Time-of-Flight (TOF)-MS

30   (JMS-T100GCV, JEOL) using electron ionization (EI). Identification of each compound was made based on GC retention time, literature mass spectra, and the interpretation of mass spectrometric fragmentation patterns. In addition to FAs, sucrose and levoglucosan were also measured by the same method as described above.

3 Identification of Secondary Fatty Alcohols in the Aerosol Samples

35   **Fig. 2** presents an example of a GC-MS total ion chromatogram (TIC) of the TMS extract of the TSP sample collected at the FHK site. In previous studies, sugar compounds have been identified with the same method of derivatization, such as arabitol, fructose, glucose, and sucrose (e.g., Miyazaki et al. 2012; Müller et al., 2017). In the present study, P1–P5 refer to peaks which were identified to be TMS-derivatives of SFAs with carbon numbers of 27 and 29, the peaks of which appear in the latter part of the TIC.

Fig. 3 shows an EI mass spectra of P1–P5 obtained for the TMS derivatives with their molecular structures. On the basis of the detailed interpretation of the EI mass spectral data together with their comparison to literature data (Yamamoto et al., 2008) as well as the exact mass measurements by GC-TOF-MS, the derivatives were assigned to (a) n-heptacosan-10-ol-TMS (P1; C_{27}), (b) n-heptacosan-5,10-diol-diTMS (P2; C_{27}), (c) n-nonacosan-10-ol-TMS (P3; C_{29}), (d) n-nonacosan-10-13-diol-diTMS (P4; C_{29}), and (e) n-nonacosan-5-10-diol-diTMS (P5; C_{29}). The spectra of n-heptacosan-10-ol-TMS is characterized by the presence of ion peaks at m/z 73, 229, and 341 (**Fig. 3a**). Similarly, the mass spectra of n-nonacosan-10-ol-TMS showed that ion peaks at m/z 73, 229, and 369 are significant (**Fig. 3c**). The ion at m/z 73, corresponding to $[Si(CH_3)_3^+]$, is characteristic for TMS derivatives containing one or more derivatized OH groups. Indeed, the exact mass measurements by GC-TOF-MS confirmed the estimated composition of each peak. For example, the composition of P3 with M−15 was identified as $C_{31}H_{65}OSi$, where its theoretical and experimental m/z are 481.4805 and 481.4826, respectively, with an error of 4 ppm.

The spectra of P2 (**Fig. 3b**), P4 (**Fig. 3d**), and P5 (**Fig. 3e**) were identified as the TMS derivatives of secondary fatty diols. The mass spectra of n-nonacosan-10,13-diol-diTMS (**Fig. 3d**) is characterized by intense signals at m/z 73, 229, 269, 327, and 367, whereas the spectra of n-nonacosan-5-10-diol-diTMS shows ion peaks at m/z 159, 317, 369, and 527 (**Fig. 3e**). Spectra with significant ion peaks at m/z 73, 159, 317, and 341 are produced by n-heptacosan-5,10-diol-diTMS (**Fig. 3b**), which was newly identified and is partly similar to the characteristics of the P1 and P5 spectra.

4 Seasonal Changes in the Concentrations of the Secondary Fatty Alcohols in the Aerosols at the Two Forest Sites

Fig. 4 displays the seasonal variations of the identified SFAs in the TSP samples at the TMK and FHK sites in 2015. Here seasonal categories of spring, summer, autumn, and winter refer to the periods March–May, June–August, September–November, and December–February, respectively. The average concentrations in each seasonal category are summarized in **Table 1**. Among the SFAs identified, n-nonacosan-10-ol is the most abundant compound, followed by n-nonacosan-5,10-diol at both sites. The SFAs exhibited distinct seasonal changes with the largest concentrations observed in the spring (growing season), both at the TMK (101.7 ± 100.9 ng m^{-3}) and the FHK (211.7 ± 231.9 ng m^{-3}) sites. The maximum concentrations of n-nonacosan-10-ol reached 268.6 ng m^{-3} and 442.1 ng m^{-3} at the TMK and FHK sites, respectively. The SFA with the second largest concentration, n-nonacosan-5,10-diol, showed a similar temporal variation to n-nonacosan-10-ol, suggesting that n-nonacosan-5,10-diol originated from identical or similar sources as those of n-nonacosan-10-ol. It is noted that the seasonal variation in concentrations of n-nonacosan-10-ol in 2016 was similar to that in 2015 at FHK (**Fig. 4b**). This similarity indicates that this seasonal trend is likely to be representative of the forest environment.

A primary plant origin of these SFAs is most likely, because the SFAs are known to be present in plant leaves; n-nonacosan-10-ol has been identified as a major compound in epicuticular waxes found in gymnosperm species (Tulloch, 1976; Schulten et al., 1986), such as *Sequoiadendron giganteum* (Yamamoto et al., 2008). Moreover, secondary fatty diols have been reported in other plant species, such as *Pisum sativum* (Vioque and Kolattukudy, 1997). In the atmosphere, n-nonacosan-10-ol was observed in smoke aerosol samples from conifers subjected to controlled burning (Oros and Simoneit, 2001a). Moreover, some of the common alkanols found in urban and remote island sites in spring are thought to have been emitted to the atmosphere by biomass burning (Oros and Simoneit, 2001a, 2001b; Simoneit et al., 2004), while they also indicate an input of waxes from forests consisting of both soft and hardwoods.

In our study, a primary origin of biomass burning is unlikely because the SFAs showed no significant correlation with tracer compounds of biomass burning, such as levoglucosan with R^2 of <0.02 (data not shown) at both sites. Rather, the seasonal trends of the SFAs concentrations are similar to that of sucrose, which is an important primary saccharide of pollen grains (Pacini, 2000) and has been used as a tracer for pollen (e.g., Miyazaki et al., 2012). Specifically, the concentration of n-nonacosan-10-ol showed significant positive correlations with that of sucrose both at the TMK ($R^2 = 0.70$) and FHK sites (R^2

= 0.61). The average concentrations of *n*-nonacosan-10-ol were significantly larger than those of sucrose in the growing season at the two sites. These results suggest that the increase in the mass concentrations of *n*-nonacosan-10-ol and the other SFAs is due to large emissions of plant waxes or components thereof (i.e., fatty alcohols) from the forest leaves into the atmosphere. Our analysis also suggests that the SFAs identified here can act as tracers for primary biological aerosol particles (PBAPs) which are surface active.

It is noted that the peak concentration of SFAs at FHK appeared in April (**Fig. 4b**), approximately one month earlier than at TMK (**Fig. 4a**). The difference in the appearance of the peaks is attributable to the difference in the growing season at the two sites: the growth of forest vegetation generally starts about one month earlier at FHK (35ºN) than at TMK (42ºN) (Nakaji et al., 2011; Takahashi et al., 2015), which is also evident from the seasonal changes in the net ecosystem production (Saigusa et al., 2008). The primary emissions of these organic compounds clearly depend on the activity of the forest vegetation, and more specifically, plant leaf phenology at these forest sites. Considering that the aerosol sampling duration of about 1−2 weeks is much longer than the time scale of transport of particles in the forest regions, the aerosol particles collected in this study were likely influenced by biogenic emissions from the whole area of each forest region. In contrast to the growing season, seasons in which the lowest concentrations were observed are not clear from **Fig. 4**. Large standard deviations of the average values in winter, summer, and autumn at the two sites (**Table 1**) mean that the difference in the average concentrations is mostly insignificant for these seasons. This is attributable to various factors (e.g., the difference in the emission strength, and photochemical activity in the atmosphere) which needs to be further investigated in a future study.

Fig. 5 presents seasonal averages of the mass concentrations and particle size fractions of *n*-nonacosan-10-ol observed at the TMK site. The average values and their mass fractions to the TSP mass at TMK site in 2015 are also summarized in **Table 2**. In spring, the mass of *n*-nonacosan-10-ol in the submicrometer size ranges accounts for ~68% of the TSP mass, the fraction of which is much larger than that in summer (~10%) and autumn (~28%). Similarly, the average mass fraction of submicrometer *n*-nonacosan-5,10-diol in TSP is 65% in spring, whereas the mass fraction is 1% and 15% in summer and autumn, respectively. Our result is similar to that of Kavouras and Stephanou (2002), who also measured particle size distributions of *n*-alkanols (<C_{26}) at Eucalyptus and conifer forests in summer to show clear bimodal size distributions with ~40% of the mass residing in particles with diameters smaller than 0.96 μm. In general, the majority of the mass of PBAPs reside in supermicrometer particles. Indeed, the mass fractions of sucrose in submicrometer size range were 29% in spring, showing the majority (~70%) of the mass resides in the supermicrometer size range in the growing season (**Table 2**). In contrast to the typical PBAPs tracers, our result suggests that a significant fraction of the SFAs can be attributed to the submicrometer particles in the growing season.

5 Conclusions

Secondary fatty alcohols with carbon numbers of 27 and 29 were identified in atmospheric aerosol samples collected throughout the year at two different deciduous forest sites. As far as we know, this study is the first to identify fatty diols, such as *n*-heptacosan-5,10-diol, in atmospheric aerosol samples and to show the seasonal variations in their concentrations in the forest atmosphere.

Among the identified SFAs, *n*-nonacosan-10-ol was the most abundant molecular compound, followed by *n*-nonacosan-5,10-diol. The SFAs showed pronounced peaks in the growing season at both forest sites. In spring, the concentrations of *n*-nonacosan-5,10-diol were much larger than those of sucrose. Moreover, the concentrations of the identified SFAs showed significant positive correlations with those of sucrose at the two sites. These results indicate that the SFAs originated mostly from plant wax. The difference in the peak appearance of the SFA concentrations at the two sites indicates that the primary

emissions of these organic compounds clearly reflect the activity of the forest vegetation such as plant leaf phenology at the forest sites.

In the growing season, the fraction of *n*-nonacosan-10-ol in the submicrometer size range accounted for ~70% of the TSP mass. Similarly, the average mass of submicrometer *n*-nonacosan-5,10-diol in TSP accounted for 65% of the mass of TSP in spring. These fractions were much larger than those in the other seasons, suggesting that a significant fraction of the SFAs was attributed to the submicrometer particles in the growing season. This study demonstrates that the SFAs identified, particularly *n*-nonacosan-10-ol and *n*-nonacosan-5,10-diol, can be used as effective tracers for PBAPs with surface-active organic matter.

Author contributions.

YM designed the research, led the overall study, and wrote the manuscript. DG performed the experiments with the GC-MS. TH and YT coordinated the aerosol samplings at the research sites. YT and YM made aerosol samplings. DG, YM, and ET analyzed the data.

Competing interests.

The authors declare that they have no conflict of interest.

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Table 1. The mass concentrations[a] of the secondary fatty alcohols (SFAs) identified and sucrose in TSP at TMK and FHK sites in each season in 2015.

	TMK 2015				FHK 2015			
	Winter	Spring	Summer	Autumn	Winter	Spring	Summer	Autumn
n-heptacosan-10-ol	0.34±0.16	1.85±1.90	0.27±0.32	0.31±0.23	0.54±0.40	4.37±4.86	0.78±0.38	0.34±0.28
n-heptacosan-5,10-diol	N.D.	0.68±0.64	0.13±0.12	0.04±0.06	0.22±0.25	1.93±2.22	0.25±0.23	0.10±0.12
n-nonacosan-10-ol	24.1±11.1	102±101	13.3±12.3	23.5±13.9	68.5±40.8	212±232	28.9±19.1	22.8±15.2
n-nonacosan-10,13-diol	0.37±0.16	2.12±2.23	0.24±0.28	0.42±0.32	1.40±0.79	4.47±4.79	0.67±0.30	0.51±0.44
n-nonacosan-5,10-diol	0.80±0.38	8.36±8.76	0.99±1.03	1.34±0.78	2.59±1.96	18.7±21.1	2.35±1.50	1.72±1.54
Sucrose	0.33±0.10	21.5±12.9	3.51±0.67	0.69±0.29	0.14±0.10	23.2±14.4	0.12±0.08	0.16±0.03

[a]Values are averages (ng m^{-3}) with ±1 standard deviation.

Table 2. The average concentrations of *n*-nonacosan-10-ol, *n*-nonacosan-5,10-diol, and sucrose in the submicrometer particles and their mass fractions to the TSP mass at TMK site in 2015.

	n-nonacosan-10-ol[a]	Sub-μm/TSP ratio of *n*-nonacosan-10-ol[b]	*n*-nonacosan-5,10-diol[a]	Sub-μm/TSP ratio of *n*-nonacosan-5,10-diol[b]	Sucrose[a]	Sub-μm/TSP ratio of sucrose[b]
Spring	69.6±125	0.68±1.98	5.24±11.7	0.65±2.39	6.28±6.27	0.29±0.12
Summer	1.26±0.69	0.10±0.01	0.01±0.02	0.01±0.00	0.28±0.36	0.08±0.01
Autumn	6.66±6.80	0.28±0.11	0.20±0.39	0.15±0.09	0.25±0.18	0.36±0.19

[a]Values are average concentrations (ng m^{-3}) with ±1 standard deviation.
[b]Values are average ratios (ng ng^{-1}) with ±1 standard deviation.

Figure 1: Locations of the two forest sites where aerosol sampling was made.
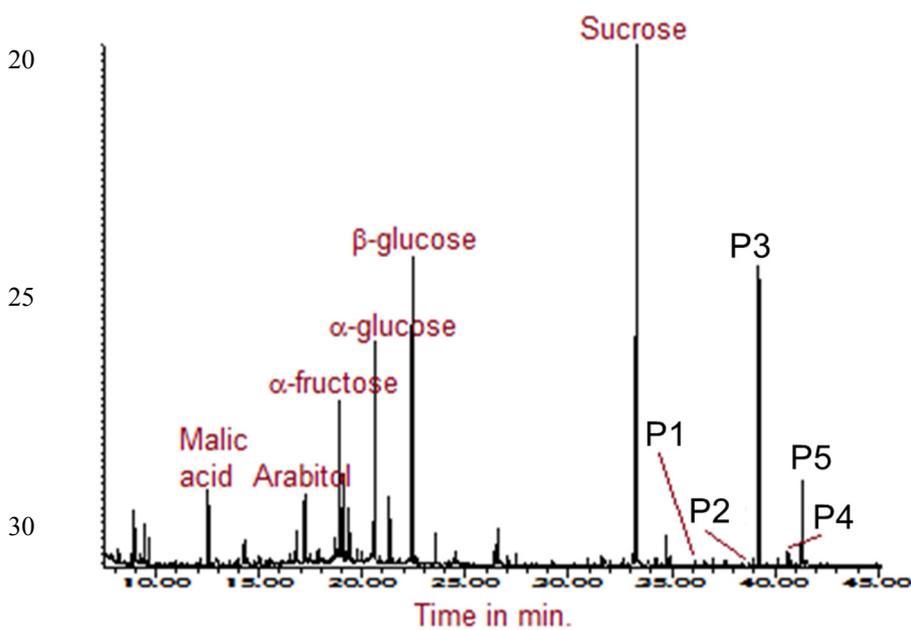
Figure 2: GC-MS total ion chromatogram obtained for the TMS derivatives. P1–P5 refer to peaks, which correspond to the secondary fatty alcohols identified in this study.
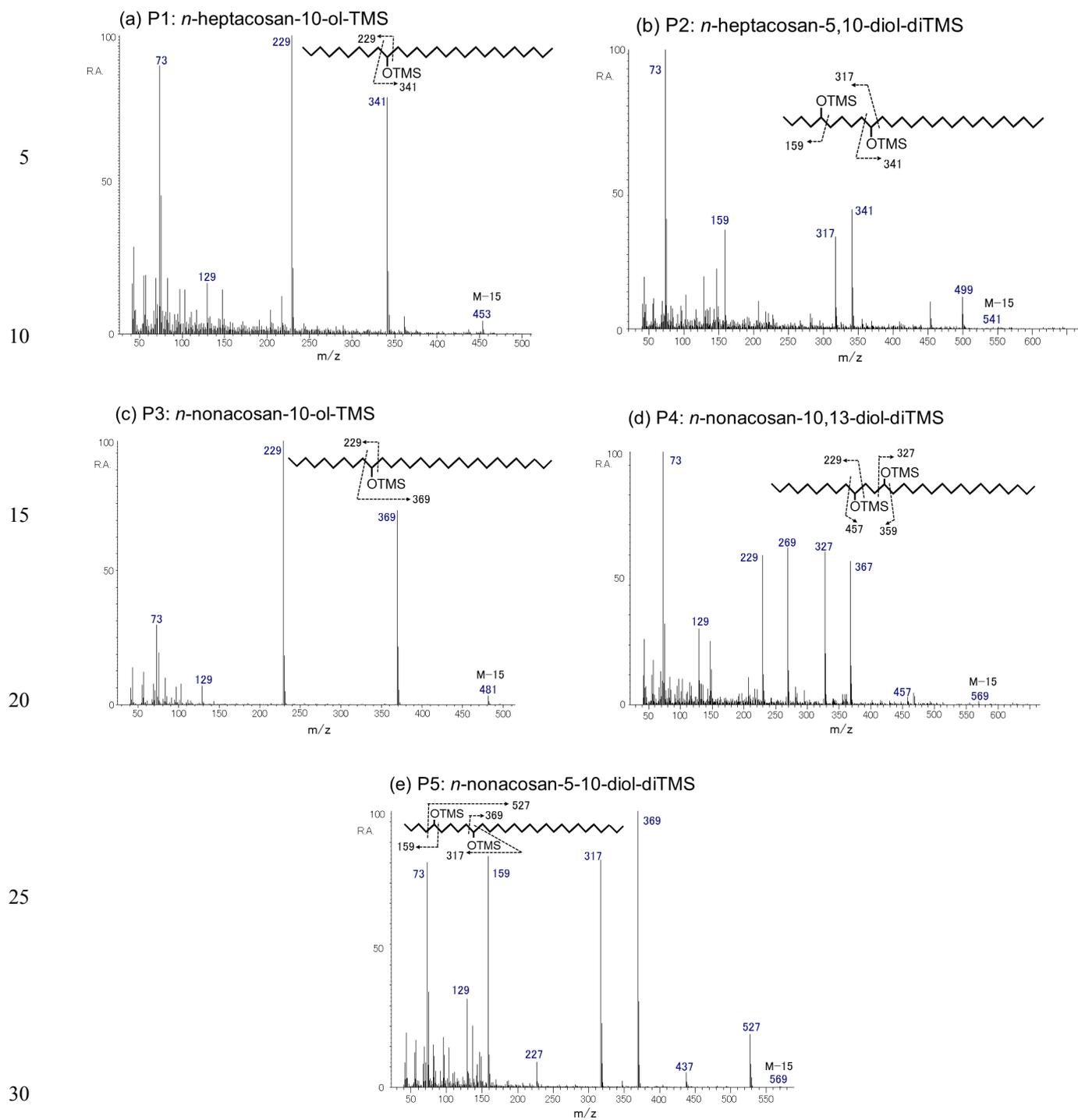
Figure 3: EI mass spectra obtained for the TMS derivatives of the identified secondary fatty alcohols. (a) P1: *n*-heptacosan-10-ol-TMS, (b) P2: *n*-heptacosan-5,10-diol-diTMS, (c) P3: *n*-nonacosan-10-ol-TMS, (d) P4: *n*-nonacosan-10,13-diol-diTMS, and (e) P5: *n*-nonacosan-5-10-diol-diTMS.
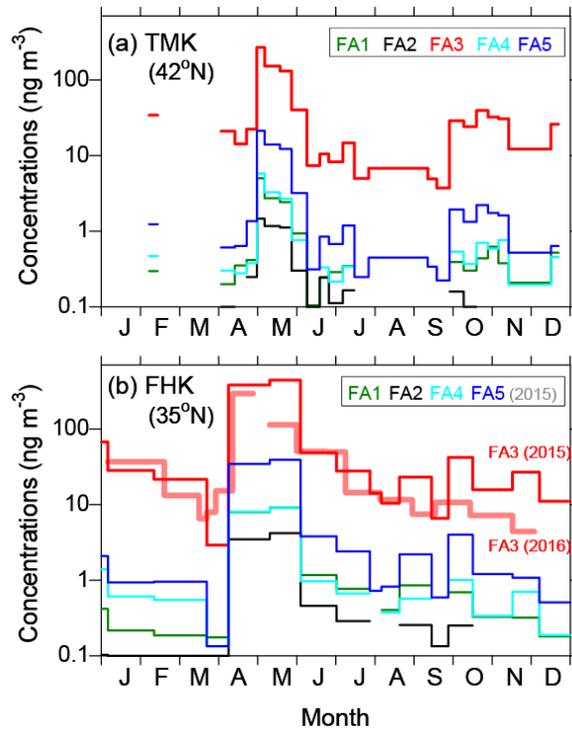
Figure 4: Temporal variations in the mass concentrations of the fatty alcohols (FAs) in the TSP samples at (a) TMK and (b) FHK sites in 2015. FA1: *n*-heptacosan-10-ol, FA2: *n*-heptacosan-5,10-diol, FA3: *n*-nonacosan-10-ol, FA4: *n*-nonacosan-10,13-diol, and FA5: *n*-nonacosan-5-10-diol. The data of FA3 in 2016 is also shown for comparison.
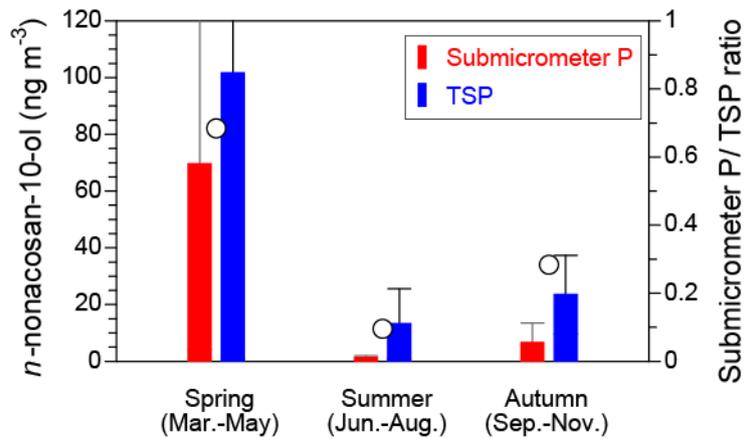
Figure 5: Seasonal averages of the mass concentrations of *n*-nonacosan-10-ol in the submicrometer particles (P) (red) and TSP (blue) samples obtained at the TMK site. The error bars show the standard deviations, and open circles indicate the mass ratios of the P/TSP.