

1 **MS bg-2019-276, Kunert et al.: Highly active and stable fungal ice nuclei are widespread**
2 **among *Fusarium* species**

3
4 We thank referee #1 for his/her comments, questions, and suggestions, which have been taken
5 into account upon revision of our manuscript. The comments and our answers are listed below
6 (referee's comments marked with blue letters).

7
8 1. Title:

9 Referee comment: The title is misleading: the authors' conclusion is that 16% of the tested
10 strains were ice active above -14 °C. I would argue that this percentage does not equate to
11 "widespread". The authors also did substantial work with physical and chemical processing of
12 their material which is not reflected in the title, but could be. For example, something along the
13 lines of "Ice nucleation ability of 65 different *Fusarium* species: Effects of storage, size and
14 chemical processing"

15 Author's response: We changed the title to: "Macromolecular fungal ice nuclei in *Fusarium*:
16 Effects of physical and chemical processing" and modified the corresponding parts in the
17 manuscript accordingly.

18
19 2. Abstract:

20 Referee comment: Why did the authors choose -14 °C as their threshold? This discussion should
21 be added here in the abstract (and in the text as well).

22 Author's response: We thank the referee for pointing this out. We actually meant -12 °C, and
23 we realized that we had a typing error here, which we corrected now.

24 As *Fusarium* nucleates in a broad temperature range between -1 and -9 °C (Hasegawa et al.,
25 1994; Humphreys et al., 2001; Pouleur et al., 1992; Richard et al., 1996; Tsumuki et al., 1992;
26 Tsumuki and Konno, 1994), and as the water background of LINDA started to freeze at -14 °C,
27 we set the threshold to -12 °C.

28
29 Referee comment: The relevance of *Fusarium* should be explained in the abstract.

30 Author's response: We thank the referee for this suggestion and included the following
31 sentences in the abstract: "Ice nucleation activity in fungi was first discovered in the
32 cosmopolitan genus *Fusarium*, which is widespread in soil and plants, has been found in
33 atmospheric aerosol and cloud water samples, and can be regarded as the best studied IN-active
34 fungus."

35
36 Moreover, we modified the following sentences: "The frequency and distribution of ice
37 nucleation activity within *Fusarium*, however, remains elusive. Here, we tested more than 100
38 strains from 65 different *Fusarium* species for ice nucleation activity."

39
40 3. Introduction:

41 Referee comment: Lines 16-17: more recent references should be added, especially because of
42 the mention of macromolecules. Also see review by (Knopf et al., 2018).

43 Author's response: We thank the referee for this comment and included further references in
44 our manuscript.

45
46 Referee comment: Lines 18-20: it would be important to mention nonetheless that recent work
47 has made contributions to our understanding of IN and precipitation references by (Petters and
48 Wright, 2015; Stopelli et al., 2015, 2017).

49 Author's response: We thank the referee for this remark and added the references to our
50 manuscript.

52 Referee comment: Lines 21-23: the 3+9 references could be better represented by explaining
53 what each one has observed in one or two sentences each. This added discussion could help set
54 the stage for the relevance of the work under review.

55 Author's response: The discussion of each reference in one or two sentences each would result
56 in a very long introduction with a review character, especially as referee #2 suggested to add
57 additional references here. Adding a detailed discussion of the different types of biological ice
58 nuclei at this point goes beyond the scope and focus of this manuscript and could lead to
59 confusion of the readers. Instead, some of the references are discussed in more detail in the
60 results and discussion section.

61

62 Referee comment: Lines 24-26: same comment as above in addition to this reference (Šantl-
63 Temkiv et al., 2015)

64 Author's response: We included the suggested reference as well as the reference, which was
65 suggested by referee #2 (Failor et al., 2017), but we prefer to not extend the bacterial IN part of
66 the introduction as the focus of the manuscript should be on fungi, particularly *Fusarium*.

67

68 We modified the following sentences: "The best characterized biological IN are common plant-
69 associated bacteria of the genera *Pseudomonas*, *Pantoea*, and *Xanthomonas* (Garnham et al.,
70 2011; Govindarajan and Lindow, 1988; Graether and Jia, 2001; Green and Warren, 1985; Hill
71 et al., 2014; Kim et al., 1987; Ling et al., 2018; Schmid et al., 1997; Wolber et al., 1986), and
72 recently, an ice nucleation-active (IN-active) *Lysinibacillus* was found (Failor et al., 2017). The
73 first identified IN-active fungi were strains of the genus *Fusarium* (Hasegawa et al., 1994,
74 Pouleur et al., 1992, Richard et al., 1996, Tsumuki et al., 1992)."

75

76 Referee comment: Lines 28-30: when temperatures are reported, what fraction does it
77 represented? The onset? 1%? Temperature when 50% of the droplets are frozen- T50? See
78 (Vali, 2019)

79 Author's response: As mentioned in Line 28, the temperatures are reported as initial freezing
80 temperatures, which corresponds to the onset freezing temperature: "To date, a few more fungal
81 genera with varying initial freezing temperatures such as *Isaria farinosa* (~ -4 °C), *Mortierella*
82 *alpina* (~ -5 °C), *Puccinia species* (-4 °C to -8 °C), and *Sarocladium* (formerly named
83 *Acremonium*) *implicatum* (~ -9 °C) have been identified as IN-active (Fröhlich-Nowoisky et
84 al., 2015; Huffman et al., 2013; Morris et al., 2013; Richard et al., 1996)".

85

86 Referee comment: Line 39: define the positive selective pressure for IN activity

87 Author's response: We thank the referee for pointing out the ambiguity of our statement. For
88 clarification, we modified the sentence: "While the factors for a positive selective pressure for
89 ice nucleation activity in *Fusarium* and other fungi have not been directly identified, an
90 ecological advantage of initiating ice formation is easily conceivable." For example, the
91 bioprecipitation feedback cycle can be such a factor, which is discussed in more detail later
92 (Lines 47-49).

93

94 Referee comment: It would be useful for the authors to discuss the mode of freezing
95 investigated and why immersion freezing was used and what is its relevance.

96 Author's response: The droplet freezing assays, which were used in this study, all measure ice
97 nucleation activity in the immersion freezing mode, where the IN is contained inside a liquid
98 droplet when initiating freezing. Biological IN are often proteins, which are surrounded by a
99 hydration shell, so the immersion freezing mode is suitable for biological IN. Thus, the most
100 common techniques to study biological IN are droplet freezing assays (Després et al., 2012;
101 Hoose and Möhler, 2012).

102

103 To avoid misunderstanding, we modified the sentence: “Ice nuclei of selected *Fusarium* species
104 were further analyzed in immersion freezing mode using the high-throughput Twin-plate Ice
105 Nucleation Assay (TINA) (Kunert et al., 2018).”

106
107 Referee comment: Good overview of bioprecipitation. Great description of the evolutionary
108 reasons for fungal species to be good ice nuclei.

109 Author’s response: We thank the referee for this comment.

110

111 4. Materials and Methods

112 Referee comment: In general, controls and filter blanks are missing from the data description
113 and analysis and the authors are encouraged to show this data (perhaps in supplementary
114 information) and to discuss this data. For example, what was the IN activity of the water
115 background? What was the activity of the filter background? How did the backgrounds differ
116 from LINDA to TINA?

117 Author’s response: We added the information about the negative controls and included the
118 following sentences in the manuscript:

119

120 For the thermal cycler: “Aliquots of uninoculated DPY broth were used as negative controls,
121 which did not freeze in the investigated temperature interval.”

122

123 For LINDA experiments: “As a negative control, a 0.9 % NaCl solution was added to three
124 uninoculated agar plates, and the freezing started below -14 °C.”

125

126 For TINA experiments: “Pure water samples (0.1 µm filtered) served as a negative control for
127 each experiment. These did not freeze in the observed temperature interval.”

128

129 Referee comment: It is clear that the authors used two techniques for their experiments, yet
130 their discussion does not include any comparison plots or discussing the differences in the two
131 instruments. Each figure (and Table S1) should also state which instrument was used to acquire
132 the data.

133 Author’s response: As described in Lines 104-105, the initial screening was performed with
134 two independent droplet freezing assays in two laboratories. Strains of the USDA-
135 ARS/Michigan State University were screened with a thermal cycler as described in Fröhlich-
136 Nowoisky et al. (2015) (Lines 106-108). Strains from the Schmale laboratory at Virginia Tech
137 and strains from the Kansas State University Teaching Collection were screened with LINDA
138 (Lines 111-113). Table S1 provides a summary of all tested strains, the strain collection they
139 originate from, and the results of the screening. Table 1 shows the mean freezing temperatures
140 for the positively tested species. All further analyses were performed with TINA.

141

142 Referee comment: Line 115: could the authors show the positive control data?

143 Author’s response: We included the following sentence in section 2.3: “The freezing
144 temperatures ranged from -3.46 °C to -4.58 °C.”

145

146 Referee comment: Lines 119: clarification: can the authors show their calculations here and are
147 the data presented corrected for the freezing point depression or is the 0.5 C part of the overall
148 uncertainty?

149 Author’s response: We added the calculations to the supplementary information.

150

151 The data presented here were not corrected for the freezing point depression as highly
152 concentrated *Fusarium* extracts were used for the initial screening. Thus, we cannot exclude
153 that the high concentration of *Fusarium* IN compensates the effect of NaCl on the freezing

154 temperature. We added this information in the manuscript: “We cannot exclude, however, that
155 the high concentration of IN compensates the effect of NaCl on the freezing temperature. This
156 is supported by the investigations of Stopelli et al. (2014), who did not find a systematic
157 suppression of freezing at this salt concentration in LINDA experiments.”
158

159 Referee comment: Additional experiment: dilution series of an active strain to see if the
160 behaviour of the IN active material in solution is linear. I would argue that this experiment
161 would be important to help support the seemingly accurate high freezing temperature data
162 observed for certain strains, for example in Figures 3 and 4 and S1.

163 Author’s response: All samples, which were analyzed with TINA, were measured in a dilution
164 series. We described this in Lines 121-123: “The aqueous extracts were serially diluted 10-fold
165 with pure water by a liquid handling station (epMotion ep5073, Eppendorf, Hamburg,
166 Germany), and 96 droplets (3 μ L) were tested per dilution with a continuous cooling rate of 1
167 $^{\circ}$ C min^{-1} from 0 $^{\circ}$ C to -20 $^{\circ}$ C.”
168

169 For clarification, we optimized the sentences: “The aqueous extract was serially diluted 10-fold
170 with pure water by a liquid handling station (epMotion ep5073, Eppendorf, Hamburg,
171 Germany) to a dilution where droplets remained liquid in the investigated temperature interval.
172 Of each dilution, 96 droplets (3 μ L) were tested with a continuous cooling rate of 1 $^{\circ}$ C min^{-1}
173 from 0 $^{\circ}$ C to -20 $^{\circ}$ C.”
174

175 5. Results and Discussion

176 Referee comment: It is necessary for the authors to define their reported freezing temperatures.
177 Are they the onset, the equivalent of one well freezing? If so, how do the authors address the
178 recommendations of not using the onset addressed in (Polen et al., 2018)? Reporting freezing
179 temperatures as T10 and T50 would be additionally helpful.

180 Author’s response: Except for the initial screening, we always report the initial freezing
181 temperatures (T_i) for our measurements, which is equivalent to the onset. We first reported the
182 freezing temperatures for the initial screening as initial freezing temperatures, but we actually
183 meant mean freezing temperatures.
184

185 We replaced “initial” by “mean” several times in the text, where we talk about the initial
186 screening.
187

188 Referee comment: Lines 141-144: could the authors offer a hypothesis to this lack of
189 verifiability?

190 Author’s response: The fungal culture plates, which were used for the initial screening, could
191 not be used for the measurements with TINA, as different laboratories were involved in this
192 study. Moreover, it is well known that some *Fusarium* species can reduce or lose their IN
193 activity after several subcultures (Pummer et al., 2013; Tsumuki et al., 1995). We discussed
194 this in Lines 156-159 in the manuscript: “It is known that *Fusarium* can regulate the gene
195 expression for IN production depending on environmental conditions such as nutrient
196 availability (Richard et al., 1996), and some *Fusarium* species reduce or lose their IN activity
197 after several subcultures (Pummer et al., 2013; Tsumuki et al., 1995).”
198

199 Referee comment: The hypothesis of proteinaceous material acting as IN is valid. What about
200 polysaccharides? (Dreischmeier et al., 2017)

201 Author’s response: We cannot exclude that polysaccharides are involved in the ice nucleation
202 of *Fusarium*. To our knowledge, however, there is no published study showing that
203 polysaccharides are involved in the ice nucleation activity of *Fusarium*.
204

205 We discussed a potential role in section 3.3: “The remaining activity after the 98 °C treatment,
206 however, could indicate that post-translational modifications like glycosylation and therefore
207 polysaccharides could play a role in the ice nucleation activity of *Fusarium*. Further systematic
208 studies including chemical analyses are needed for elucidation.”

209
210 We included the following sentence in the conclusion: “An involvement of polysaccharides,
211 however, cannot be excluded.”

212

213 Referee comment: Line 166: was there any hypothesis associated with the selection of the
214 strains presented in this section?

215 Author’s response: Not all *Fusarium* strains were available for the experiments with TINA, as
216 the initial screening was performed in different laboratories. But we tried to cover as many
217 different species as possible and selected species, which were long known for ice nucleation
218 activity (*F. acuminatum*, *F. avenaceum*) as well as all the newly identified species.

219

220 For clarification, we included this information in section 2.3: “Ice nuclei of selected *Fusarium*
221 species, which were long known for ice nucleation activity (*F. acuminatum*, *F. avenaceum*) as
222 well as all the newly identified species, were further analyzed in immersion freezing mode
223 using the high-throughput Twin-plate Ice Nucleation Assay (TINA) (Kunert et al., 2018).”

224

225 Referee comment: Size experiments should be compared to (Irish et al., 2019; Wilson et al.,
226 2015) for example. In addition, the Wilson et al., Nature 2015 paper has a nm parameterization
227 that the authors should include in their discussion of their values.

228 Author’s response: We included the following sentence: “Moreover, biological INMs smaller
229 than 200 nm were also found in various organisms e.g., other fungi (Fröhlich-Nowoisky et al.,
230 2015; Pummer et al., 2015), leaves, bark, and pollen from birch trees (*Betula* spp.) (Felgitsch
231 et al., 2018; Pummer et al., 2012), leaf litter (Schnell and Vali, 1973), some microalgae (Tesson
232 and Šantl-Temkiv, 2018), strains of *Lysinibacillus* (Failor et al., 2017), and biological particles
233 in the sea surface microlayer (Irish et al., 2019; Wilson et al., 2015).”

234

235 Referee comment: Lines 184-185: I do not understand how the authors arrived at this
236 conclusion. According to figure 2, the majority of the IN activity was lost between 300 and 100
237 kDa. I would have concluded that the best IN are within that size, not smaller than 100 kDa. I
238 agree with the authors nonetheless that there are still IN active material below 100 kDa, but not
239 the most active.

240 Author’s response: As IN were found in all size fractions, we concluded that *Fusarium* IN are
241 likely single proteins smaller than 100 kDa, which can agglomerate to large protein complexes
242 in solution. We did not claim that the single proteins smaller than 100 kDa are the most active
243 ones. Lines 184-185: “We hypothesize that *Fusarium* IN are single proteins smaller than 100
244 kDa, which agglomerate to large protein complexes in solution.”

245

246 As explained in Lines 177-178, filtration through a 300 000 MWCO filter unit decreased the
247 cumulative number of IN per gram of mycelium about 50 % to 75 %. Further filtration through
248 a 100 000 MWCO filter unit reduced the IN number to less than 1 % of the initial concentration
249 (Lines 180-181). So, the majority was lost upon 300 000 MWCO filtration, which were the
250 most efficient IN nucleating at the highest temperatures.

251

252 Referee comment: For the discussion to flow, it would be important to explain in line 189 why
253 Erickson came to that conclusion.

254 Author’s response: We changed the sentence to: “Erickson (2009) determined the size of
255 proteins based on theoretical calculations. As the interior of proteins is closely packed with no

256 substantial holes and almost no water molecules inside, proteins are rigid structures with
257 approximately the same density ($\sim 1.37 \text{ g cm}^{-1}$). Assuming the protein as a smooth spherical
258 particle, the minimum diameter of the INM would be smaller than 6.1 nm”.

259

260 Referee comment: The null effect of chemical processing with O₃ and NO₂ was somewhat
261 surprising. Based on (Borduas-Dedekind et al., 2019; Gute and Abbatt, 2018; Kunert et al.,
262 2018), I would have expected to see oxidation of the proteinaceous material and thus decrease
263 in IN ability. A discussion involving a hypothesis to the resistance of the strains to oxidation is
264 warranted in light of these studies. Did the authors attempt to extend the exposure to longer
265 times to force a reaction? On a pedantic note, I would argue that ozone exposure of 1 ppm over
266 4h is not equivalent to 200 ppb over 20h. The experiment was done while bubbling ozone into
267 extracts and there are concentration effects to consider as well as the diffusion of the ozone
268 could affect the chemistry. I would simply omit this sentence and just state the concentration
269 with no mention of equivalence.

270 Author’s response: Based on our results, we cannot exclude that post-translational
271 modifications of the *Fusarium* IN protein occurred during oxidation. These potential
272 modifications do not seem to influence the ice nucleation activity of the protein. For example,
273 they could be in parts of the protein, which are not involved in the nucleation process. We agree
274 with the referee that further investigations are necessary, and we will consider these
275 experiments for future studies.

276

277 Moreover, we included the suggested references in the manuscript and extended the following
278 sentence: “This is in contrast to other biological IN e.g., bacterial IN (Snomax[®]) (Kunert et al.,
279 2018), birch and alder pollen (Gute and Abbatt, 2018), and dissolved organic matter (Borduas-
280 Dedekind et al., 2019), where exposure to oxidizing agents reduced the IN activity.”

281

282 We deleted the statement and modified the following sentence: “Briefly, a mixture of 1 ppm O₃
283 and 1 ppm NO₂ was bubbled through 1 mL aliquots of aqueous extract for 4 h, and the IN
284 concentration was determined using TINA.”

285

286 Referee comment: Null results are difficult to present. To further substantiate the authors’
287 conclusion, I would recommend that the authors show material that indeed reacted under their
288 O₃ and NO₂ conditions. The authors did do a positive control (Lines 205-206) and showing
289 that data would help further support their claim.

290 Author’s response: As the focus of this study is on fungal IN of *Fusarium*, we did not use
291 Snomax in any of the experiments. As described in the manuscript (Lines 205-206), we found
292 a reduction of IN activity upon exposure to O₃ and NO₂ for Snomax in a previous study (Kunert
293 et al., 2018).

294

295 Referee comment: Finally, the storage effects were also null results, but did the authors also do
296 a positive control? In any case, these results are very useful for the community.

297 Author’s response: We could not include a positive control in our storage tests as a suitable
298 control for such experiments was not available. We agree that further IN should be tested for
299 effects of storage.

300

301 Referee comment: Figure S1 arguably belongs in the text. The reproducibility between fungal
302 culture plates is remarkably the largest change observed compared to other treatments such as
303 O₃ and NO₂ exposure. A discussion relating this uncertainty to the other analyses would be
304 important.

305 Author’s response: The data in Figure S1 were obtained from three different fungal culture
306 plates, whereas the exposure experiments were performed with the same aqueous extract of the

307 particular fungal species. The variability of measurement with individual fungal culture plates
308 is higher than measurements of the same aqueous extract, as the differences did not result from
309 the measurements themselves but rather from the fact that we investigated biological samples.

310
311 Referee comment: Report the weights of the mycelium measured gravimetrically (for example
312 in Table S1).

313 Author's response: Table S1 shows the results of the initial screening, which was performed
314 with two different droplet freezing assays, first a thermal cycler and second the LINDA
315 instrument (section 2.3). For the thermal cycler, mycelium was picked and directly transferred
316 into 96-well PCR plates (Lines 108-110), and for LINDA, 0.9 % NaCl solution was added to
317 the fungal culture plates, which were scraped afterwards to obtain a suspension of mycelium
318 and spores (Lines 80-82). As the initial screening was only a yes or no test, it was not deemed
319 necessary to determine the weight of the mycelium.

320
321 Referee comment: Is there value in considering the work in the context of food science and
322 cryogenic food storage? Is it more likely that these strains be found in food or in the
323 atmosphere?

324 Author's response: *Fusarium* species are frequently associated with plant material (Leslie and
325 Summerell, 2006), including many food types, and some of the strains used in the current study
326 were initially isolated from plants. Thus, IN from such fungi could be important in food
327 response to freezing temperatures, which could be worth future investigation. Considering the
328 work in the context of food science and cryogenic food storage, however, would be outside the
329 scope of this manuscript, in which we focus on atmospheric aspects of ice nucleation activity
330 in *Fusarium*.

331
332 Referee comment: Table S1 should present quantitative details. The authors should specify
333 what their criteria is for "IN-active" strains. 1/96 wells? Onset? Temperature range? It would
334 also be useful to add a fourth column with the freezing temperatures (T10 or T50 or T90). Did
335 the authors consider making a parameterization with their data as an upper limit of IN activity
336 of *Fusarium* species?

337 Author's response: For the initial screening using the thermal cycler, up to seven droplets were
338 investigated for each sample. If the sample was IN-active, all droplets froze in the investigated
339 temperature interval. We included the following sentence: "Up to seven droplets were measured
340 for each sample, and the mean freezing temperature was calculated."

341
342 For the initial screening with LINDA, three droplets were investigated for each sample, which
343 was described in the manuscript in Lines 113-114: "Aliquots of 200 μ L of each aqueous extract
344 were transferred to three separate 500 μ L tubes and placed on ice for 1 h prior to the LINDA
345 experiments." If the sample was IN-active, all droplets froze in the investigated temperature
346 interval. For clarification, we included the following sentence: "The mean freezing temperature
347 for three droplets was calculated."

348
349 The suggested fourth column would correspond to Table 1, which already provides more details
350 about the mean freezing temperatures of the initial screening.

351
352 We thank the referee for this suggestion, and we will consider a parameterization in a future
353 study.

354
355 **6. Conclusion**

356 Referee comment: I would revise the statement on line 226 to say that the most IN-active
357 components were actually between 300-100 kDa, but that IN activity still remained smaller
358 than 100 kDa.

359 Author's response: As described above, the most IN-active components were larger than 300
360 kDa, and we hypothesize that these are aggregates consisting of individual proteins smaller than
361 100 kDa.

362

363 Technical comments

364 Referee comment: The authors use upper case Nm which is arguably inconsistent with the
365 literature using lower case nm. See Wex et al., ACP, 2015 - Line 14: "impact" should be
366 replaced by "implication", since the authors did not quantify the water cycle or the climate in
367 their experiments. - The short summary is very good indeed! (although I would recommend
368 changing the statement to 300 kDa, rather than 100 kDa.)

369 Author's response: We thank the referee for this comment. For consistency reasons with our
370 former studies, we prefer to keep upper case Nm.

371

372 As suggested by the reviewer, we changed "impact" to "implication".

373

374 References:

375

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390 *Fusarium avenaceum* IFO 7158, *Bioscience, Biotechnology, and Biochemistry*, 58, 2273–
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399

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402 freezing experiments with biological ice nuclei in laboratory and field samples, *Atmospheric
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406

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408 *acuminatum* and *Fusarium avenaceum*, *Applied and Environmental Microbiology*, 1992.
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412 oil immersion freezing experiments, *Biogeosciences*, 10, 8083–8091, 2013.
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415 phytopathogenic *Fusarium* species, *Phytoprotection*, 77,83–92, 1996.
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422 rice stem borer, *Chilo suppressalis* Walker (Lepidoptera: Pyralidae), *Bioscience,*
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426 from the gut of the rice stem borer, *Chilo suppressalis* Walker (Lepidoptera: Pyralidae), *Journal*
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430 from the gut of the rice stem borer, *Chilo suppressalis* Walker (Lepidoptera: Pyralidae) and a
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432 *Japan*, 61, 334–339, 1995.
433

1 **MS bg-2019-276, Kunert et al.: Highly active and stable fungal ice nuclei are widespread**
2 **among *Fusarium* species**

3
4 We thank referee #2 for his/her constructive comments and suggestions, which are highly
5 appreciated and have been taken into account upon revision of our manuscript. The comments
6 and our answers are listed below (referee's comments marked with blue letters).

7
8 Specific comments:

9
10 Abstract:

11
12 Referee comment: Indicate the biological relevance of *Fusarium* and its ice nucleation activity.
13 This is discussed well in the introduction but will help to bridge the first few sentences of the
14 abstract.

15 Author's response: We thank the referee for this suggestion and included the following
16 sentences in the abstract: "Ice nucleation activity in fungi was first discovered in the
17 cosmopolitan genus *Fusarium*, which is widespread in soil and plants, has been found in
18 atmospheric aerosol and cloud water samples, and can be regarded as the best studied IN-active
19 fungus."

20
21 Moreover, we modified the following sentences: "The frequency and distribution of ice
22 nucleation activity within *Fusarium*, however, remains elusive. Here, we tested more than 100
23 strains from 65 different *Fusarium* species for ice nucleation activity."

24
25 Methods 2.1:

26
27 Referee comment: How were the initial samples obtained? Could their original environment
28 (crop vs. airborne, etc.) shed light on IN frequency?

29 Author's response: Samples from the USDA-ARS/Michigan State University were collected
30 from crop tissue (sugar beet), and samples from the Schmale Laboratory at Virginia Tech were
31 collected with unmanned aircraft systems. There is no detailed information available for the
32 sources of the strains for the Kansas State University Teaching collection. We found IN activity
33 in isolates from crop and air samples. For the air samples we cannot draw any conclusions from
34 their original environment. A controlled comparison of IN frequency from samples collected in
35 the air versus crop plants (and maybe even different types of crop plants) would be important,
36 now that more IN-active species are known.

37
38 However, we added the following paragraph to section 2.1: "The strains from the USDA-
39 ARS/Michigan State University were collected from crop tissue (sugar beet). All isolates were
40 from field-grown beets and were obtained by hyphal tip transfer. The strains from the Schmale
41 Laboratory at Virginia Tech were collected with unmanned aircraft systems (UASs or drones)
42 equipped with remotely-operated sampling devices containing a *Fusarium* selective medium
43 (e.g., Lin et al., 2013, 2014). All of the Schmale Laboratory strains were collected 100 m above
44 ground level at the Kentland Farm in Blacksburg, Virginia, USA. Detailed information is not
45 available for the sources of the strains for the Kansas State University Teaching collection.
46 However, some of these strains are holotype strains referenced in Leslie and Summerell
47 (2006)."

48
49 We extended Table S1 and provided additional information about sampling location and date.
50

51 Referee comment: Line 21: Additional, more recent, studies have contributed to this
52 understanding of IN as well. (Failor et. al. 2017, Hanlon et al. 2017, Stopelli et al. 2017, 2015,
53 Joly et al. 2014).

54 Author's response: We thank the referee for this remark and added the references to our
55 manuscript.

56
57 Referee comment: Line 24-6: Failor et al. (2017) further expanded on known
58 *gammaproteobacteria* IN.

59 Author's response: We changed the sentences as follows: "The best characterized biological IN
60 are common plant-associated bacteria of the genera *Pseudomonas*, *Pantoea*, and *Xanthomonas*
61 (Garnham et al., 2011; Govindarajan and Lindow, 1988; Graether and Jia, 2001; Green and
62 Warren, 1985; Hill et al., 2014; Kim et al., 1987; Ling et al., 2018; Schmid et al., 1997; Wolber
63 et al., 1986), and recently, an ice nucleation-active (IN-active) *Lysinibacillus* was found (Failor
64 et al., 2017). The first identified IN-active fungi were strains of the genus *Fusarium* (Hasegawa
65 et al., 1994, Pouleur et al., 1992, Richard et al., 1996, Tsumuki et al., 1992)."

66
67 Referee comment: Line 118: Was the range of incubation times necessary to reach a specified
68 optical density? If so, that indication would be useful. If not, elaborate of reasoning for the
69 times.

70 Author's response: Here, we did not mean that we tested these different incubation times. The
71 sentence was meant to indicate the procedure considering all of the different replications that
72 we used. For clarification, we changed "incubated" to "equilibrated".

73
74 Referee comment: Line 119: Be specific for the 0.5°C freezing point depression. Is it 0.5°C or
75 0.5±x °C.

76 Author's response: We added the calculations to the supplementary information.

77
78 We modified the sentence: "Note, that the aqueous extracts were prepared in 0.9 % NaCl
79 solution, which could reduce the freezing temperatures by 0.5 °C based on theoretical
80 calculations."

81
82 Results 3.1:

83
84 Referee comment: This would be an interesting point to note the original sampling locations
85 for the various strains and could further demonstrate the cosmopolitan nature of these IN-active
86 species should any tends be identified.

87 Author's response: We thank the referee for this comment, but as described before, we had only
88 a few different sampling locations for both, the USDA-ARS/Michigan State University and
89 samples from the Schmale Laboratory at Virginia Tech. For samples from the Kansas State
90 University, we cannot specify the original sampling locations further as we obtained these
91 samples from a culture collection.

92
93 Referee comment: Lines 154-5: This is a risky assumption to make. Prior to the Failor et al.
94 study, all bacterial IN were thought to be proteinaceous. Exposing a selection of the species to
95 high heat could support this claim.

96 Author's response: As many earlier studies already performed experiments with heat treatment
97 of *Fusarium* IN, we initially refrained from repeating these experiments. The studies of
98 Hasegawa et al. (1994), Pouleur et al. (1992), and Tsumuki and Konno (1994) only investigated
99 some species of the genus *Fusarium*, and we agree with the referee that it is risky to generalize
100 these findings to the newly found IN-active *Fusarium* species. Based on the suggestion of

101 referee #2 and #3, we performed additional heat treatment experiments with four different
102 *Fusarium* species: *F. acuminatum*, *F. armeniacum*, *F. avenaceum*, and *F. langsethiae*.

103

104 We added a new Figure 4, and renumbered the other figures.

105

106 We included the following sentence in the abstract: “Heat treatment at 40 °C to 98 °C, however,
107 strongly reduced the observed IN concentrations, confirming earlier hypotheses that the INM
108 in *Fusarium* largely consists of a proteinaceous compound.”

109

110 We modified the following sentence in the introduction: “Furthermore, the stability of
111 *Fusarium* IN upon exposure to ozone and nitrogen dioxide, under high and low or quickly
112 changing temperatures, and after short- and long-term storage under various conditions was
113 investigated.”

114

115 We modified the following sentence in section 2.1: “For quantitative analysis, exposure
116 experiments, heat treatments, freeze-thaw cycles, as well as short- and long-term storage tests
117 a selection of IN-active tested strains was grown on full-strength potato dextrose agar (VWR
118 International GmbH, Darmstadt, Germany) first at room temperature for four to six days and
119 then at 6 °C for about four weeks.”

120

121 We included the following sentences in section 2.2: “For heat treatment experiments, aliquots
122 of aqueous extracts of *F. acuminatum* 3-68, *F. armeniacum* 20970, *F. avenaceum* 2-106, and
123 *F. langsethiae* 19084 were incubated at 40 °C, 70 °C, and 98 °C, respectively, for one hour.
124 The IN concentration was determined using TINA.”

125

126 We changed the following sentences in section 3.3: “They can be exposed to chemically
127 modifying agents like ozone and nitrogen dioxide, and physical stressors like high and low or
128 quickly changing temperatures. To investigate the stability of *Fusarium* IN, we performed
129 exposure experiments, heat treatments, freeze-thaw cycles, and long-term storage tests.”

130

131 We included a new paragraph in section 3.3: “The stability of the INM in *Fusarium* was
132 investigated in heat treatment experiments. The ice nucleation activity was reduced
133 significantly at a 40 °C treatment (Fig. 4). Between 40 % and 90 % of IN were lost at this
134 temperature depending on the species, which supports the hypothesis that the INM in *Fusarium*
135 consists of a proteinaceous compound. A heat treatment at 70 °C reduced the ice nucleation
136 activity to less than 0.01 % compared to the initial level. Moreover, the initial freezing
137 temperature was shifted to lower temperatures indicating a breakdown of the large protein
138 aggregates. After a 98 °C treatment, we still found ice nucleation activity for all investigated
139 species except for *F.avenaceum* 2-106. The results are in agreement with previous studies,
140 which also reported a reduction in ice nucleation activity with increasing temperature in heat
141 treatment experiments (Hasegawa et al., 1994; Pouleur et al., 1992; Tsumuki and Konno, 1994).
142 The remaining activity after the 98 °C treatment, however, could indicate that post-translational
143 modifications like glycosylation and therefore polysaccharides could play a role in the ice
144 nucleation activity of *Fusarium*. Further systematic and chemical analysis studies are needed
145 for elucidation.”

146

147 We included the following sentences in the conclusion: “A heat treatment of 40 °C reduced the
148 IN concentration significantly, supporting the hypothesis that the INM in *Fusarium* largely
149 consists of a proteinaceous compound. An involvement of polysaccharides, however, cannot
150 be excluded.”

151

152 Referee comment: Lines 184-6: With the drastic decrease in activity after the 300,000 MWCO
153 filter and then again after 100,000, could the protein not be larger, but when damaged or broken
154 still retains some ice nucleation activity?

155 Author's response: If the INM in *Fusarium* is a single large protein, which breaks into small
156 parts upon filtration, we would expect based on Govindarajan and Lindow (1988) and Pummer
157 et al. (2015) a much lower initial freezing temperature of the filtrate than the temperature, which
158 we obtained in our experiments. The only small shift in the initial freezing temperature after
159 filtration suggests that small IN reassemble again to larger aggregates with similar activity than
160 before filtration. It is unlikely that a damaged or broken IN protein would show a similar activity
161 even if the broken parts would aggregate.

162
163 Referee comment: Lines 195-6: Why would single proteins in the atmosphere be unlikely?
164 Please elaborate on this statement.

165 Author's response: As hypothesized in Lines 184-185, the proteins tend to agglomerate, which
166 make it unlikely that individual proteins will enter the atmosphere. However; if an individual
167 protein would enter the atmosphere it would be in the nucleation mode size range of ~ 6 nm.
168 These particles tend to grow by condensation of gaseous compounds (e.g., semi volatile organic
169 compounds, sulfates, water) and grow to particles in the Aitken mode size range. In this size
170 range further condensation and coagulation takes place and larger agglomerates are formed.

171
172 We included the following sentence to our manuscript: "Individual proteins with a diameter of
173 ~ 6 nm which may enter the atmosphere would be in the nucleation mode size range, where
174 particles tend to uptake gaseous compounds and grow to Aitken mode particles, which
175 themselves tend to coagulate to larger agglomerates (Seinfeld and Pandis, 1998)."

176
177 Referee comment: Line 216: Change ". . .and the fungus could safe energy." to ". . .and the
178 fungus could save energy."

179 Author's response: Changed as suggested.

180
181 Referee comment: Figure 1. Inclusion of the positive control SnoMax curve would be beneficial
182 here. Any incidence of spontaneous freezing of the negative control should also be noted (if
183 any occurred with the methods you used).

184 Author's response: As the focus of this study is on fungal IN of *Fusarium*, we did not use
185 Snomax in any of the TINA experiments. The *Fusarium* strains themselves served as positive
186 controls based on the results of the initial screening (Table S1). Moreover, the correct
187 functionality of TINA including a Snomax curve is presented in Kunert et al. (2018).

188
189 For freezing tests, however, a negative control is essential. We added the information about the
190 negative controls and included the following sentences in the manuscript:

191
192 For the thermal cycler: "Aliquots of uninoculated DPY broth were used as negative controls,
193 which did not freeze in the investigated temperature interval."

194
195 For LINDA experiments: "As a negative control, a 0.9 % NaCl solution was added to three
196 uninoculated agar plates, and the freezing started below -14 °C."

197
198 For TINA experiments: "Pure water samples (0.1 µm filtered) served as a negative control for
199 each experiment. These did not freeze in the observed temperature interval."

200

201 *Pseudomonas syringae* CC94 was used as positive control for the initial screening using
202 LINDA as droplet freezing assay. We included the following sentence in section 2.3: “The
203 freezing temperatures ranged from -3.46 °C to -4.58 °C.”

204

205 [Referee comment: Figure 3. You note in the text that SnoMax has been shown to decrease after
206 exposure. Did you see this same result, or did you not use SnoMax because of this interaction?](#)

207 Author’s response: We showed in a previous study that the IN activity of Snomax decreased
208 after exposure to O₃ and NO₂ (Kunert et al. 2018). As this manuscript is focused on the IN
209 activity of *Fusarium*, we refrained from repeating the experiments.

210

211 References:

212

213 Govindarajan, A. G. and Lindow, S. E.: Size of bacterial ice-nucleation sites measured *in situ*
214 by radiation inactivation analysis, Proceedings of the National Academy of Sciences of the
215 United States of America, 85, 1334–1338, 1988.

216

217 Hasegawa, Y., Ishihara, Y., and Tokuyama, T.: Characteristics of ice-nucleation activity in
218 *Fusarium avenaceum* IFO 7158, Bioscience, Biotechnology, and Biochemistry, 58, 2273–
219 2274, 1994.

220

221 Kunert, A. T., Lamneck, M., Helleis, F., Pöhlker, M. L., Pöschl, U., and Fröhlich-Nowoisky,
222 J.: Twin-plate ice nucleation assay (TINA) with infrared detection for high-throughput droplet
223 freezing experiments with biological ice nuclei in laboratory and field samples, Atmospheric
224 Measurement Techniques, 11, 6327–6337, 2018.

225

226 Pouleur, S., Richard, C., Martin, J.-G., and Antoun, H.: Ice nucleation activity in *Fusarium*
227 *acuminatum* and *Fusarium avenaceum*, Applied and Environmental Microbiology, 1992.

228

229 Pummer, B. G., Budke, C., Niedermeier, D., Felgitsch, L., Kampf, C. J., Huber, R. G., Liedl,
230 K. R., Loerting, T., Moschen, T., Schauperl, M., Tollinger, M., Morris, C. E., Wex, H., Grothe,
231 H., Pöschl, U., Koop, T., and Fröhlich-Nowoisky, J.: Ice nucleation by water-soluble
232 macromolecules, Atmospheric Chemistry and Physics, 15, 4077–4091, 2015.

233

234 Stopelli, E., Conen, F., Zimmermann, L., Alewell, C., and Morris, C. E.: Freezing nucleation
235 apparatus puts new slant on study of biological ice nucleators in precipitation, Atmospheric
236 Chemistry and Physics, 7, 129–134, 2014.

237

238 Tsumuki, H. and Konno, H.: Ice nuclei produced by *Fusarium* sp. isolated from the gut of the
239 rice stem borer, *Chilo suppressalis* Walker (Lepidoptera: Pyralidae), Bioscience,
240 Biotechnology, Biochemistry, 1994.

241

1 **MS bg-2019-276, Kunert et al.: Highly active and stable fungal ice nuclei are widespread**
2 **among *Fusarium* species**

3
4 We thank referee #3 for the review and positive assessment of our manuscript, and we are
5 grateful for the detailed comments, which are very helpful for improving the manuscript. The
6 comments and our answers are listed below (referee's comments marked with blue letters).

7
8 Referee comment: A main finding of this study is that filtration experiments suggest that the
9 single cell-free *Fusarium* is smaller than 100 kDa. This is indeed very interesting and I wonder
10 that the authors do not use the nomenclature of their own paper (Pummer et al., 2015) i.e. ice
11 nucleating macromolecules (INM).

12 Author's response: We thank the referee for this remark and changed the nomenclature
13 accordingly.

14
15 Referee comment: Indeed, water-soluble INMs have also been observed on many other primary
16 biological aerosol particles (PBAP) such as leaves, bark, pollen (Felgitsch et al., 2018), algae
17 (Tesson et al., 2018), and bacteria (Failor et al., 2017). The sizes of these INM should be
18 compared among each other, e.g. in a table.

19 Author's response: A precise comparison of the IN sizes in a table is rather difficult as most
20 studies performed only a 0.2 µm filtration. A conclusion, which can be drawn upon these
21 findings, is, that the IN are cell-free and stay active in solution.

22
23 We included the following sentence: "Moreover, biological INMs smaller than 200 nm were
24 also found in various organisms e.g., other fungi (Fröhlich-Nowoisky et al., 2015; Pummer et
25 al., 2015), leaves, bark, and pollen from birch trees (*Betula* spp.) (Felgitsch et al., 2018; Pummer
26 et al., 2012), leaf litter (Schnell and Vali, 1973), some microalgae (Tesson and Šantl-Temkiv,
27 2018), strains of *Lysinibacillus* (Failor et al., 2017), and biological particles in the sea surface
28 microlayer (Irish et al., 2019; Wilson et al., 2015)."

29
30 Referee comment: The same is true for the chemical composition and for the stability against
31 oxidation. Also for other PBAPs, proteins and polysaccharides have been found as main
32 components of INM and their stability is extraordinary as well. I also wonder if the authors
33 have carried out heating experiments in order to destroy the ice nucleation activity of the
34 proteins. Eventually, the heating was not successful due to the stability of INMs which would
35 be important information since many colleagues use heating experiments to prove or unprove
36 the presence of PBAP- INPs.

37 Author's response: Many earlier studies already performed heat treatment experiments on
38 different IN-active *Fusarium* species and strains, including strains of *F. acuminatum* and *F.*
39 *avenaceum*, consistently showing a small reduction of ice nucleation activity after heating to
40 40 °C and a bigger loss after heating to 70 °C (Hasegawa et al. (1994), Pouleur et al. (1992),
41 and Tsumuki and Konno (1994)). Thus, we expected similar results from strains and species of
42 the genus *Fusarium* and we initially refrained from repeating these experiments. Based on the
43 suggestion of referee #2 and #3, we performed additional heat treatment experiments with four
44 different *Fusarium* species: *F. acuminatum*, *F. armeniacum*, *F. avenaceum*, and *F. langsethiae*.

45
46 We added a new Figure 4, and renumbered the other figures.

47
48 We included the following sentence in the abstract: "Heat treatment at 40 °C to 98 °C, however,
49 strongly reduced the observed IN concentrations, confirming earlier hypotheses that the INM
50 in *Fusarium* largely consists of a proteinaceous compound."

52 We modified the following sentence in the introduction: “Furthermore, the stability of
53 *Fusarium* IN upon exposure to ozone and nitrogen dioxide, under high and low or quickly
54 changing temperatures, and after short- and long-term storage under various conditions was
55 investigated.”

56
57 We modified the following sentence in section 2.1: “For quantitative analysis, exposure
58 experiments, heat treatments, freeze-thaw cycles, as well as short- and long-term storage tests
59 a selection of IN-active tested strains was grown on full-strength potato dextrose agar (VWR
60 International GmbH, Darmstadt, Germany) first at room temperature for four to six days and
61 then at 6 °C for about four weeks.”

62
63 We included the following sentences in section 2.2: “For heat treatment experiments, aliquots
64 of aqueous extracts of *F. acuminatum* 3-68, *F. armeniacum* 20970, *F. avenaceum* 2-106, and
65 *F. langsethiae* 19084 were incubated at 40 °C, 70 °C, and 98 °C, respectively, for one hour.
66 The IN concentration was determined using TINA.”

67
68 We changed the following sentences in section 3.3: “They can be exposed to chemically
69 modifying agents like ozone and nitrogen dioxide, and physical stressors like high and low or
70 quickly changing temperatures. To investigate the stability of *Fusarium* IN, we performed
71 exposure experiments, heat treatments, freeze-thaw cycles, and long-term storage tests.”

72
73 We included a new paragraph in section 3.3: “The stability of the INM in *Fusarium* was
74 investigated in heat treatment experiments. The ice nucleation activity was reduced
75 significantly at a 40 °C treatment (Fig. 4). Between 40 % and 90 % of IN were lost at this
76 temperature depending on the species, which supports the hypothesis that the INM in *Fusarium*
77 consists of a proteinaceous compound. A heat treatment at 70 °C reduced the ice nucleation
78 activity to less than 0.01 % compared to the initial level. Moreover, the initial freezing
79 temperature was shifted to lower temperatures indicating a breakdown of the large protein
80 aggregates. After a 98 °C treatment, we still found ice nucleation activity for all investigated
81 species except for *F.avenaceum* 2-106. The results are in agreement with previous studies,
82 which also reported a reduction in ice nucleation activity with increasing temperature in heat
83 treatment experiments (Hasegawa et al., 1994; Pouleur et al., 1992; Tsumuki and Konno, 1994).
84 The remaining activity after the 98 °C treatment, however, could indicate that post-translational
85 modifications like glycosylation and therefore polysaccharides could play a role in the ice
86 nucleation activity of *Fusarium*. Further systematic and chemical analysis studies are needed
87 for elucidation.”

88
89 We included the following sentences in the conclusion: “A heat treatment of 40 °C reduced the
90 IN concentration significantly, supporting the hypothesis that the INM in *Fusarium* largely
91 consists of a proteinaceous compound. An involvement of polysaccharides, however, cannot
92 be excluded.”

93
94 Comment:

95
96 Referee comment: The abbreviation “IN” has been used in a confusing way. In the text it means
97 “ice nuclei” but also means “ice nucleation” and “ice nucleating”. I recommend using “INP”
98 for “ice nucleating particles” and write the full words in all other cases.

99 Author’s response: We thank the referee for this comment. We clearly defined ice nuclei as IN
100 and ice nucleation-active as IN-active in the abstract and the introduction. We used the
101 abbreviation IN for ice nuclei in our former studies (Després et al., 2012, Fröhlich-Nowoisky
102 et al., 2015, 2016, Kunert et al., 2018, Pummer et al., 2015), and for consistency reasons we

103 prefer to keep it this way. To avoid misunderstanding, we changed “IN activity” to “ice
104 nucleation activity”.

105

106 References:

107

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109 Nowoisky, J., Elbert, W., Andreae, M. O., Pöschl, U., and Jaenicke, R.: Primary biological
110 aerosol particles in the atmosphere: a review, *Tellus B: Chemical and Physical Meteorology*,
111 64, 15 598, 2012.

112

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114 U.: Ice nucleation activity in the widespread soil fungus *Mortierella alpina*, *Biogeosciences*,
115 12, 1057–1071, 2015.

116

117 Fröhlich-Nowoisky, J., Kampf, C. J., Weber, B., Huffman, J. A., Pöhlker, C., Andreae, M. O.,
118 Lang-Yona, N., Burrows, S. M., Gunthe, S. S., Elbert, W., Su, H., Hoor, P., Thines, E.,
119 Hoffmann, T., Després, V. R., and Pöschl, U.: Bioaerosols in the Earth system: Climate, health,
120 and ecosystem interactions, *Atmospheric Research*, 182, 346–376, 2016.

121

122 Hasegawa, Y., Ishihara, Y., and Tokuyama, T.: Characteristics of ice-nucleation activity in
123 *Fusarium avenaceum* IFO 7158, *Bioscience, Biotechnology, and Biochemistry*, 58, 2273–
124 2274, 1994.

125

126 Kunert, A. T., Lamneck, M., Helleis, F., Pöhlker, M. L., Pöschl, U., and Fröhlich-Nowoisky,
127 J.: Twin-plate ice nucleation assay (TINA) with infrared detection for high-throughput droplet
128 freezing experiments with biological ice nuclei in laboratory and field samples, *Atmospheric*
129 *Measurement Techniques*, 11, 6327–6337, 2018.

130

131 Pouleur, S., Richard, C., Martin, J.-G., and Antoun, H.: Ice nucleation activity in *Fusarium*
132 *acuminatum* and *Fusarium avenaceum*, *Applied and Environmental Microbiology*, 1992.

133

134 Pummer, B. G., Budke, C., Niedermeier, D., Felgitsch, L., Kampf, C. J., Huber, R. G., Liedl,
135 K. R., Loerting, T., Moschen, T., Schauperl, M., Tollinger, M., Morris, C. E., Wex, H., Grothe,
136 H., Pöschl, U., Koop, T., and Fröhlich-Nowoisky, J.: Ice nucleation by water-soluble
137 macromolecules, *Atmospheric Chemistry and Physics*, 15, 4077–4091, 2015.

138

139 Tsumuki, H. and Konno, H.: Ice nuclei produced by *Fusarium* sp. isolated from the gut of the
140 rice stem borer, *Chilo suppressalis* Walker (Lepidoptera: Pyralidae), *Bioscience*,
141 *Biotechnology, Biochemistry*, 1994.

1 **List of changes**

2
3 All changes have been marked in the revised version of the manuscript using latexdiff. The
4 most relevant changes are listed below.

5
6
7 **Author list:**

8 The following author was added to the author list:

9 Kai Tang

10
11 The final author list is now: “Anna T. Kunert, Mira L. Pöhlker, Kai Tang, Carola S. Krevort,
12 Carsten Wieder, Kai R. Speth, Linda E. Hanson, Cindy E. Morris, David G. Schmale III, Ulrich
13 Pöschl, and Janine Fröhlich-Nowoisky”

14
15
16 **Additional experiments:**

17 We performed additional heat treatment experiments with four different *Fusarium* species: *F.*
18 *acuminatum*, *F. armeniacum*, *F. avenaceum*, and *F. langsethiae*.

19
20 We added a new Figure 4, and renumbered the other figures.

21
22 We included the following sentence in the abstract: “Heat treatment at 40 °C to 98 °C, however,
23 strongly reduced the observed IN concentrations, confirming earlier hypotheses that the INM
24 in *Fusarium* largely consists of a proteinaceous compound.”

25
26 We modified the following sentence in the introduction: “Furthermore, the stability of
27 *Fusarium* IN upon exposure to ozone and nitrogen dioxide, under high and low or quickly
28 changing temperatures, and after short- and long-term storage under various conditions was
29 investigated.”

30
31 We modified the following sentence in section 2.1: “For quantitative analysis, exposure
32 experiments, heat treatments, freeze-thaw cycles, as well as short- and long-term storage tests
33 a selection of IN-active tested strains was grown on full-strength potato dextrose agar (VWR
34 International GmbH, Darmstadt, Germany) first at room temperature for four to six days and
35 then at 6 °C for about four weeks.”

36
37 We included the following sentences in section 2.2: “For heat treatment experiments, aliquots
38 of aqueous extracts of *F. acuminatum* 3-68, *F. armeniacum* 20970, *F. avenaceum* 2-106, and
39 *F. langsethiae* 19084 were incubated at 40 °C, 70 °C, and 98 °C, respectively, for one hour.
40 The IN concentration was determined using TINA.”

41
42 We changed the following sentences in section 3.3: “They can be exposed to chemically
43 modifying agents like ozone and nitrogen dioxide, and physical stressors like high and low or
44 quickly changing temperatures. To investigate the stability of *Fusarium* IN, we performed
45 exposure experiments, heat treatments, freeze-thaw cycles, and long-term storage tests.”

46
47 We included a new paragraph in section 3.3: “The stability of the INM in *Fusarium* was
48 investigated in heat treatment experiments. The ice nucleation activity was reduced
49 significantly at a 40 °C treatment (Fig. 4). Between 40 % and 90 % of IN were lost at this
50 temperature depending on the species, which supports the hypothesis that the INM in *Fusarium*
51 consists of a proteinaceous compound. A heat treatment at 70 °C reduced the ice nucleation

52 activity to less than 0.01 % compared to the initial level. Moreover, the initial freezing
53 temperature was shifted to lower temperatures indicating a breakdown of the large protein
54 aggregates. After a 98 °C treatment, we still found ice nucleation activity for all investigated
55 species except for *F.avenaceum* 2-106. The results are in agreement with previous studies,
56 which also reported a reduction in ice nucleation activity with increasing temperature in heat
57 treatment experiments (Hasegawa et al., 1994; Pouleur et al., 1992; Tsumuki and Konno, 1994).
58 The remaining activity after the 98 °C treatment, however, could indicate that post-translational
59 modifications like glycosylation and therefore polysaccharides could play a role in the ice
60 nucleation activity of *Fusarium*. Further systematic and chemical analysis studies are needed
61 for elucidation.”

62
63 We included the following sentences in the conclusion: “A heat treatment of 40 °C reduced the
64 IN concentration significantly, supporting the hypothesis that the INM in *Fusarium* largely
65 consists of a proteinaceous compound. An involvement of polysaccharides, however, cannot
66 be excluded.”

67
68
69 Figures:

70 Figure 4 was added to the manuscript, and all subsequent figures have been renamed.

71
72
73 Title:

74 We changed the title from “Highly active and stable fungal ice nuclei are widespread among
75 *Fusarium* species” to “Macromolecular fungal ice nuclei in *Fusarium*: Effects of physical and
76 chemical processing”.

77
78
79 Abstract:

80 We discussed the biological relevance of *Fusarium*. We included the following sentences: “Ice
81 nucleation activity in fungi was first discovered in the cosmopolitan genus *Fusarium*, which is
82 widespread in soil and plants, has been found in atmospheric aerosol and cloud water samples,
83 and can be regarded as the best studied IN-active fungus.”

84
85 Moreover, we modified the following sentences: “The frequency and distribution of ice
86 nucleation activity within *Fusarium*, however, remains elusive. Here, we tested more than 100
87 strains from 65 different *Fusarium* species for ice nucleation activity.”

88
89
90 Section 2.1:

91 We included a paragraph about the original environment of the initial samples and additional
92 sampling information: “The strains from the USDA-ARS/Michigan State University were
93 collected from crop tissue (sugar beet). All isolates were from field-grown beets and were
94 obtained by hyphal tip transfer. The strains from the Schmale Laboratory at Virginia Tech were
95 collected with unmanned aircraft systems (UASs or drones) equipped with remotely-operated
96 sampling devices containing a *Fusarium* selective medium (e.g., Lin et al., 2013, 2014). All of
97 the Schmale Laboratory strains were collected 100 m above ground level at the Kentland Farm
98 in Blacksburg, Virginia, USA. Detailed information is not available for the sources of the strains
99 for the Kansas State University Teaching collection. However, some of these strains are
100 holotype strains referenced in Leslie and Summerell (2006).”

101
102 We extended Table S1 and provided additional information about sampling location and date.

103

104

105 Section 2.3:

106 We added information about negative controls for the different freezing assays:

107

108 For the thermal cycler: “Aliquots of uninoculated DPY broth were used as negative controls,
109 which did not freeze in the investigated temperature interval.”

110

111 For LINDA experiments: “As a negative control, a 0.9 % NaCl solution was added to three
112 uninoculated agar plates, and the freezing started below -14 °C.”

113

114 For TINA experiments: “Pure water samples (0.1 µm filtered) served as a negative control for
115 each experiment. These did not freeze in the observed temperature interval.”

116

117

118 Section 2.3:

119 We clarified the sentence about the freezing point depression: “Note, that the aqueous extracts
120 were prepared in 0.9 % NaCl solution, which could reduce the freezing temperatures by 0.5 °C
121 based on theoretical calculations.”

122

123 Additionally, we included the following sentences: “We cannot exclude, however, that the high
124 concentration of IN compensates the effect of NaCl on the freezing temperature. This is
125 supported by the investigations of Stopelli et al. (2014), who did not find a systematic
126 suppression of freezing at this salt concentration in LINDA experiments.”

127

128 We added the calculations to the supplementary information.

129

130

131 Section 3.2:

132 Size experiments were compared to ice nuclei from other biological aerosol particles. We
133 included the following sentence: “Moreover, biological INMs smaller than 200 nm were also
134 found in various organisms e.g., other fungi (Fröhlich-Nowoisky et al., 2015; Pummer et al.,
135 2015), leaves, bark, and pollen from birch trees (*Betula* spp.) (Felgitsch et al., 2018; Pummer et
136 al., 2012), leaf litter (Schnell and Vali, 1973), some microalgae (Tesson and Šantl-Temkiv,
137 2018), strains of *Lysinibacillus* (Failor et al., 2017), and biological particles in the sea surface
138 microlayer (Irish et al., 2019; Wilson et al., 2015).”

Highly active and stable Macromolecular fungal ice nuclei are widespread among in *Fusarium* species: Effects of physical and chemical processing

Anna T. Kunert ¹, Mira L. Pöhlker ¹, Kai Tang ¹, Carola S. Krevert ¹, Carsten Wieder ¹, Kai R. Speth ¹, Linda E. Hanson ², Cindy E. Morris ³, David G. Schmale III ⁴, Ulrich Pöschl ¹, and Janine Fröhlich-Nowoisky ¹

¹Multiphase Chemistry Department, Max Planck Institute for Chemistry, D-55128 Mainz, Germany

²USDA-ARS and Department of Plant, Soil and Microbial Science, Michigan State University, East Lansing, MI 48824, USA

³Plant Pathology Research Unit, INRA, 84143 Montfavet, France

⁴School of Plant and Environmental Sciences, Virginia Tech, Blacksburg, VA 24061, USA

Correspondence: Janine Fröhlich-Nowoisky (j.frohlich@mpic.de)

Abstract. Some biological particles and macromolecules are particularly efficient ice nuclei (IN), triggering ice formation at temperatures close to 0 °C. The impact of biological particles on cloud glaciation and the formation of precipitation is still poorly understood and constitutes a large gap in the scientific understanding of the interactions and co-evolution of life and climate. ~~To investigate the~~ Ice nucleation activity in fungi was first discovered in the cosmopolitan genus *Fusarium*, which is widespread in soil and plants, has been found in atmospheric aerosol and cloud water samples, and can be regarded as the best studied IN-active fungus. The frequency and distribution of ~~IN activity within the fungal genus~~ ice nucleation activity within *Fusarium*, however, remains elusive. Here, we tested more than 100 strains from 65 different *Fusarium* species were screened for ice nucleation activity. In total, ~11 % of all tested species included ice nucleation-active (IN-active) strains, and ~16 % of all tested strains showed ~~IN activity above -14~~ ice nucleation activity above -12 °C. Besides *Fusarium* species with known ~~IN ice nucleation~~ activity, *F. armeniacum*, *F. begoniae*, *F. concentricum*, and *F. langsethiae* were newly identified as IN-active. The cumulative number of IN per gram of mycelium for all tested *Fusarium* species was comparable to other biological IN like *Sarocladium implicatum*, *Mortierella alpina*, and Snomax[®]. Filtration experiments suggest that the single indicate that cell-free ice-nucleating macromolecules (INMs) from *Fusarium* ~~IN is~~ are smaller than 100 kDa, and that molecular aggregates can be formed in solution. Long-term storage and freeze-thaw cycle experiments revealed that the *Fusarium* IN remain active in solution for fungal IN in aqueous solution remain active over several months and after in the course of repeated freezing and thawing. ~~Oxidation and nitration reactions, as occurring during atmospheric aging, did~~ Exposure to ozone and nitrogen dioxide at atmospherically relevant concentration levels did also not affect the activity of the *Fusarium* IN. ~~The high frequency of ice nucleation activity.~~ Heat treatments at 40 °C to 98 °C, however, strongly reduced the observed IN concentrations, confirming earlier hypotheses that the INM in *Fusarium* largely consists of a proteinaceous compound. The frequency and the wide distribution of ~~IN ice nucleation~~ activity within the genus *Fusarium*, combined with the high stability of the IN under atmospherically relevant conditions, suggest a significant impact larger implication of fungal IN on the Earth's water cycle and climate than previously assumed.

1 Introduction

Ice particles in the atmosphere are formed either by homogeneous nucleation at temperatures below -38°C or by heterogeneous nucleation catalyzed by particles or macromolecules serving as ice nuclei (IN) at warmer temperatures ~~Pruppacher and Klett, 1997~~(Pruppacher and Klett, 1997; reviewed in detail in Fröhlich-Nowoisky et al. [2016] and Knopf et al. [2018]). Biological particles in particular are expected to play an important role as IN in the temperature range from -15°C to 0°C , but the impact of biological particles on cloud glaciation and the formation of precipitation is still poorly understood [Coluzza et al., 2017]. Several studies suggest a triggering effect of biological IN for cloud glaciation and formation of precipitation [Creamean et al., 2013; DeMott and Prenni, 2010; Failor et al., 2017; Hanlon et al., 2017; Joly et al., 2014; Petters and Wright, 2015; Pratt et al., 2009; Stopelli et al., 2015, 2017], and former studies have shown that biological particles are more efficient than mineral IN [DeMott and Prenni, 2010; Després et al., 2012; Hill et al., 2014; Hoose and Möhler, 2012; Huffman et al., 2013; Möhler et al., 2007; Morris et al., 2014; Murray et al., 2012; Pratt et al., 2009].

The best characterized biological IN are common plant-associated bacteria of the genera *Pseudomonas*, *Pantoea*, and *Xanthomonas* [Garnham et al., 2011; Govindarajan and Lindow, 1988; Graether and Jia, 2001; Green and Warren, 1985; Hill et al., 2014; Kim et al., 1987; Ling et al., 2018; Šantl-Temkiv et al., 2015; Schmid et al., 1997; Wolber et al., 1986]. ~~The first identified~~, and recently, an ice nucleation-active (IN-active) *Lysinibacillus* was found [Failor et al., 2017]. ~~The first identified~~ IN-active fungi were strains of the genus *Fusarium* [Hasegawa et al., 1994; Pouleur et al., 1992; Richard et al., 1996; Tsumuki et al., 1992]. To date, a few more fungal genera with varying initial freezing temperatures such as *Isaria farinosa* ($\sim -4^{\circ}\text{C}$), *Mortierella alpina* ($\sim -5^{\circ}\text{C}$), *Puccinia* species (-4°C to -8°C), and *Sarocladium* (formerly named *Acremonium*) *implicatum* ($\sim -9^{\circ}\text{C}$) have been identified as IN-active [Fröhlich-Nowoisky et al., 2015; Huffman et al., 2013; Morris et al., 2013; Richard et al., 1996].

The genus *Fusarium* is cosmopolitan and includes saprophytes and pathogens of plants and animals [Leslie and Summerell, 2006; Nelson et al., 1994]. Although they are considered to be primarily soil-borne fungi, many species of *Fusarium* are airborne [Prussin et al., 2014; Schmale et al., 2012; Schmale and Ross, 2015], and they were found in atmospheric and cloud water samples [e.g., Amato et al., 2007; Fröhlich-Nowoisky et al., 2009; Fulton, 1966]. Some species can cause wilts, blights, root rots, and cankers in agriculturally important crops worldwide [e.g., Schmale and Gordon, 2003; Wang and Jeffers, 2000]. Other species can produce secondary metabolites known as mycotoxins that can cause a variety of acute and chronic health effects in humans and animals [e.g., Bush et al., 2004; Ichinoe et al., 1983].

~~Whereas the~~ ~~While the factors for a~~ positive selective pressure for ~~IN ice nucleation~~ activity in *Fusarium* and other fungi ~~has~~ ~~have~~ not been directly identified, an ecological advantage of initiating ice formation is easily conceivable. Indeed, most IN-active bacteria and fungi are isolated from regions with seasonal temperatures below 0°C [Diehl et al., 2002; Schnell and Vali, 1972]. Ice nucleation activity at temperatures close to 0°C could be beneficial for pathogens or might provide an ecological advantage for saprophytic *Fusarium* species by facilitating in the acquisition of nutrients liberated during cell rupture of the host [Lindow et al., 1982]. Furthermore, IN on the surface of the mycelium could avoid physical damage of the fungus by protective extracellular freezing [Fröhlich-Nowoisky et al., 2015; Zachariassen and Kristiansen, 2000] or to bind moisture as

ice in cold and dry seasons [Pouleur *et al.*, 1992]. With increasing temperatures, the retained water can be of advantage in early vegetative periods and for bacterial movement on the mycelial water film known as fungal highway [Kohlmeier *et al.*, 2005; Warmink *et al.*, 2011]. Moreover, IN-ice nucleation activity might be beneficial for airborne *Fusarium* and for their return to the Earth's surface under advantageous conditions in a feedback cycle known as bioprecipitation [Després *et al.*, 2012; Morris *et al.*, 2013, 2014; Sands *et al.*, 1982]. In addition, once the IN are released into the environment, they can adsorb to clay and might also be available in the atmosphere associated with soil dust particles [Conen *et al.*, 2011; Fröhlich-Nowoisky *et al.*, 2015, 2016; Hill *et al.*, 2016; O'Sullivan *et al.*, 2014, 2015, 2016; Sing and Sing, 2010].

The sources, abundance, and identity of biological IN are not well characterized [Coluzza *et al.*, 2017], and it has been proposed that systematic surveys will likely increase the number of IN-active fungal species discovered [Fröhlich-Nowoisky *et al.*, 2015]. *Fusarium* is the best-known IN-active fungus, but the frequency and distribution of IN-ice nucleation activity within *Fusarium* is not well known. In this study, more than 100 strains from 65 different *Fusarium* species were tested for IN-ice nucleation activity in three laboratories with different freezing methods. A high-throughput droplet freezing assay was used to quantify the IN of selected *Fusarium* species, and filtration experiments were performed to estimate the size of the *Fusarium* IN. Furthermore, the stability of *Fusarium* IN upon exposure to ozone and nitrogen dioxide, during freeze-thaw cycles under high and low or quickly changing temperatures, and after short- and long-term storage under various conditions was investigated.

2 Materials and methods

2.1 Origin and growth conditions of fungal cultures

Thirty *Fusarium* strains from USDA-ARS/Michigan State University (L. Hanson, East Lansing, MI, USA), 13 strains from the Schmale Laboratory at Virginia Tech (D. Schmale, Blacksburg, VA, USA), and 69 strains from the Kansas State University Teaching Collection (J. Leslie, Manhattan, KS, USA) were screened for IN-ice nucleation activity (Table S1).

The strains from the USDA-ARS/Michigan State University ~~strains were~~ collected from crop tissue (sugar beet). All isolates were from field-grown beets and were obtained by hyphal tip transfer. The strains from the Schmale Laboratory at Virginia Tech were collected with unmanned aircraft systems (UASs or drones) equipped with remotely-operated sampling devices containing a *Fusarium* selective medium [e.g., Lin *et al.*, 2013, 2014]. All of the Schmale Laboratory strains were collected 100 m above ground level at the Kentland Farm in Blacksburg, Virginia, USA. Detailed information is not available for the sources of the strains for the Kansas State University Teaching collection. However, some of these strains are holotype strains referenced in Leslie and Summerell [2006].

The strains from the USDA-ARS/Michigan State University were cultivated on dextrose peptone yeast extract agar, containing 10 g L⁻¹ dextrose (VWR, Radnor, PA, USA), 3 g L⁻¹ peptone (Difco Proteose Peptone No. 3, Becton, Dickinson and Company, Franklin Lakes, NY, USA), and 0.3 g L⁻¹ yeast extract (Merck, Kenilworth, NJ, USA), filtered through a 0.2 µm pore diameter filter (PES disposable filter units, Life Science Products, Frederick, CO, USA). After filtration, 12 g L⁻¹ agarose (Certified Molecular Biology Agarose, Bio-Rad, Hercules, CA, USA) was added, and the medium was sterilized by autoclaving at

90 121 °C for 20 min. The colonies were grown at 22 °C to 24 °C for 7 to 19 days. The strains from the Schmale Laboratory at Virginia Tech and the Kansas State University Teaching Collection were maintained in cryogenic storage at -80 °C and were grown on quarter-strength potato dextrose agar (Difco Laboratories, Detroit, USA) on 100 mm ~~Petri~~-petri plates at ambient room temperature for four days prior to ice nucleation assays.

For quantitative analysis, exposure experiments, heat treatments, freeze-thaw cycles, as well as short- and long-term storage tests a selection of IN-active tested strains was grown on full-strength potato dextrose agar (VWR International GmbH, Darmstadt, Germany) first at room temperature for four to six days and then at 6 °C for about four weeks. For filtration experiments, the fungal cultures were grown at 6 °C for up to six months.

2.2 Preparation and treatments of aqueous extracts

For LED-based Ice Nucleation Detection Apparatus (LINDA) [*Stopelli et al.*, 2014] experiments (see Sect. 2.3), 4 mL of sterile 100 0.9 % NaCl was added to each of eight petri plates, and the fungal cultures were scraped with the flat end of a sterile bamboo skewer. The resulting suspension of mycelium and spores was filtered through a 100 µm filter (Corning Life Sciences, Reims, France).

For Twin-plate Ice Nucleation Assay (TINA) [*Kunert et al.*, 2018] experiments (see Sect. 2.3) the fungal mycelium was scraped off the agar plate and transferred into a 15 mL tube (Greiner Bio One, Kremsmünster, Austria). The fresh weight of the mycelium was determined gravimetrically. Pure water was prepared as described in *Kunert et al.* [2018]. Aliquots of 10 mL pure water were added before vortexing three times at 2 700 rpm for 30 s (Vortex-Genie 2, Scientific Industries, Inc., Bohemia, NY, USA) and centrifugation at 4 500 g for 10 min (Heraeus Megafuge 40, Thermo Scientific, Braunschweig, Germany). For all experiments the aqueous extract was filtered successively through a 5 µm and a 0.1 µm PES syringe filter (Acrodisc®, Sigma-Aldrich, Taufkirchen, Germany), and the aqueous extract contained IN from spores and mycelial surfaces.

110 For filtration experiments, the 0.1 µm filtrate was further filtered successively through 300 000 MWCO and 100 000 MWCO PES ultrafiltration units (Vivaspin®, Satorius AG, Göttingen, Germany). After each filtration step, the IN concentration was determined using TINA.

For exposure experiments, aqueous extracts of *F. acuminatum* 3-68 and *F. avenaceum* 2-106 were exposed to high concentrations of O₃ and NO₂ as described in *Liu et al.* [2017]. Briefly, a mixture of 1 ppm O₃ and 1 ppm NO₂ was bubbled through 115 1 mL aliquots of aqueous extract for 4 h, ~~which represents an exposure to an atmospherically relevant amount of approximately 200 ppb of each gas for about 20 h. Afterwards, the~~ and the IN concentration was determined using TINA.

For heat treatment experiments, aliquots of aqueous extracts of *F. acuminatum* 3-68, *F. armeniacum* 20970, *F. avenaceum* 2-106, and *F. langsethiae* 19084 were incubated at 40 °C, 70 °C, and 98 °C, respectively, for one hour. The IN concentration was determined using TINA.

120 For freeze-thaw cycles, the IN-ice nucleation activity of *F. acuminatum* 3-68 was determined shortly after preparation of the aqueous extract and after storage at 6 °C for 24 h using TINA. Then, the aqueous extract was stored at -20 °C for 24 h and thawed again. The IN-ice nucleation activity was tested before storage at -20 °C for an additional 24 h. After thawing, the IN-ice nucleation activity was determined again.

For long-term storage experiments, the aqueous extract of various *Fusarium* species was stored at 6 °C for about four months
125 or at -20 °C for about eight months, and the IN-ice nucleation activity was determined using TINA.

2.3 Ice nucleation assays

Two independent droplet freezing assays conducted in two laboratories were used to investigate the distribution of IN-ice nucleation activity within *Fusarium* in an initial screening.

130 First, a thermal cycler (PTC200, MJ Research, Hercules, CA, USA) was used as described in *Fröhlich-Nowoisky et al.* [2015] to screen 30 *Fusarium* strains from seven species from USDA-ARS/Michigan State University in the temperature range from -2 °C to -9 °C. Mycelium was picked with sterile pipette tips (Eppendorf, Westbury, NY, USA) into 80 µL aliquots of 0.2 µm pore diameter filtered dextrose peptone yeast extract-(DPY) broth in sterile 96-well polypropylene PCR plates (VWR International, LLC, Radnor, PA, USA). Up to seven droplets were measured for each sample, and the mean freezing temperature was calculated. Aliquots of uninoculated DPY broth were used as negative controls, which did not freeze in the investigated
135 temperature interval.

Second, the LED-based Ice Nucleation Detection Apparatus (LINDA) was used as described by *Stopelli et al.* [2014] to screen 13 strains from the Schmale Laboratory at Virginia Tech and 69 strains from the Kansas State University Teaching Collection. Aliquots of 200 µL of each aqueous extract were transferred to three separate 500 µL tubes and placed on ice for 1 h prior to the LINDA experiments. LINDA was run from -1 °C to -14-20 °C, and images of the samples were recorded
140 every six seconds. As-The mean freezing temperature for three droplets was calculated. Note, that the aqueous extracts were prepared in 0.9% NaCl solution, which could reduce the freezing temperatures by 0.5 °C based on theoretical calculations. We cannot exclude, however, that the high concentration of IN compensates the effect of NaCl on the freezing temperature. This is supported by the investigations of Stopelli et al. [2014], who did not find a systematic suppression of freezing at this salt concentration in LINDA experiments. As a negative control, a 0.9% NaCl solution was added to three uninoculated agar
145 plates, and the freezing started below -14 °C. As positive control, aqueous suspensions of *Pseudomonas syringae* CC94 from the collection of INRA (Avignon, France) [Berge et al., 2014] (with a final OD₅₈₀ of 0.5 to 0.7, i.e. ~10⁹ bacteria mL⁻¹) were used for each experiment. The bacteria were grown on King's medium B [King et al., 1954] at 22 °C to 25 °C for 48 h, and aqueous suspensions were incubated-equilibrated at 4 °C for 1 h to 4 h before LINDA experiments. The aqueous-extract-was prepared-in-0.9%
150 freezing temperatures of *Pseudomonas syringae* CC94 ranged from -3.46 %-NaCl-solution,-which-reduced-the freezing temperatures about 0.5 °C to -4.58 °C based on theoretical calculations.

Ice nuclei of selected *Fusarium* species were further analyzed-, which were long known for ice nucleation activity (*F. acuminatum*, *F. avenaceum*) as well as all the newly identified species, were further analyzed in immersion freezing mode
using the high-throughput Twin-plate Ice Nucleation Assay (TINA) [Kunert et al., 2018]. The aqueous extract-was-extracts
155 were serially diluted 10-fold with pure water by a liquid handling station (epMotion ep5073, Eppendorf, Hamburg, Germany) -and-to a dilution where droplets remained liquid in the investigated temperature interval. Of each dilution, 96 droplets (3 µL) were tested per dilution with a continuous cooling rate of 1 °C min⁻¹ from 0 °C to -20 °C. Pure water samples (0.1 µm filtrated) served as a negative control for each experiment. These did not freeze in the observed temperature interval. The temperature

was measured with an accuracy of 0.2 K [Kunert et al., 2018]. The obtained fraction of frozen droplets (f_{ice}) and the counting error were used to calculate the cumulative number of IN (N_m) with the associated error using the Vali formula and the Gaussian error propagation [Kunert et al., 2018; Vali, 1971]. For each experiment, the cumulative number of IN was averaged over all dilutions. If the experiment was repeated, the cumulative number of IN was averaged over all experiments, and the standard error was calculated. Three independent experiments with aqueous extract from three individual fungal culture plates of the same strain showed similar results with only slight variation. An example of results is presented for *F. armeniacum* 20970 (Fig. S1).

165 3 Results and discussion

3.1 IN-active *Fusarium* species

Although several IN-active *Fusarium* species are known, the frequency and distribution of IN-ice nucleation activity within the fungal genus *Fusarium* is still not well studied [Hasegawa et al., 1994; Humphreys et al., 2001; Pouleur et al., 1992; Richard et al., 1996; Tsumuki and Konno, 1994]. Two initial screenings in the temperature range from -1 °C to -14-20 °C were performed to better evaluate the frequency of IN-ice nucleation activity within *Fusarium*. A strain was defined as IN-active, when it initiated ice formation above -9 °C (thermal cylicer) and -12 °C (LINDA), respectively.

In total, ~16 % (18/112) of the tested strains showed ~~IN-activity-with-initial~~ ice nucleation activity with mean freezing temperatures of -3.5 °C to -11.2 °C (Table 1) in the typical range known for *Fusarium* (-1 °C and -9 °C) (Hasegawa et al., 1994; Humphreys et al., 2001; Pouleur et al., 1992; Richard et al., 1996; Tsumuki et al., 1992; Tsumuki and Konno, 1994). Most formerly reported initial freezing temperatures were obtained with different *Fusarium* strains, growth conditions, and freezing assays, which might explain differences compared to our results. The high proportion of IN-active strains within *F. acuminatum* is consistent with previous reports (Pouleur et al., 1992; Tsumuki et al., 1995). Overall, ~11 % (7/65) of the tested species included IN-active strains. In addition to strains from *Fusarium* species with known IN-ice nucleation activity, four *Fusarium* species were newly identified as IN-active: *F. armeniacum*, *F. begoniae*, *F. concentricum*, and *F. langsethiae*. In further experiments, the IN-ice nucleation activity of *F. begoniae* and *F. concentricum* could not be verified.

The newly identified IN-active species are cosmopolitan. *Fusarium armeniacum* is a toxigenic saprophyte [Burgess et al., 1993] causing seed and root rot on soybeans [Ellis et al., 2012]. The geographical distribution has been reported as tropical and subtropical [Leslie and Summerell, 2006], but it was also found in Minnesota, USA [Kommedahl et al., 1979] and Australia [Burgess et al., 1993]. *Fusarium begoniae* is a plant pathogen of Begonia found in Germany with a potential wider distribution [Nirenberg and O'Donnell, 1998]. *Fusarium concentricum* is a plant pathogen, frequently found in Central America and isolated from bananas [Aoki et al., 2001; Leslie and Summerell, 2006], and *F. langsethiae* is a broadly distributed cereal pathogen [Torp and Nirenberg, 2004]. Some strains of these newly identified IN-active species are known to produce mycotoxins, which can threaten the health of humans and animals [Fotso et al., 2012; Kokkonen et al., 2012; Wing et al., 1993a, b].

The results suggest that the IN-ice nucleation activity within *Fusarium* is more widespread than previously known. Not all *Fusarium* species include IN-active strains and not all strains within one species show ~~IN-activity-~~ ice nucleation activity. Earlier

studies including experiments suggested that *Fusarium* IN are ~~thought to be~~ proteins or at least ~~to~~ contain a proteinaceous compound [Hasegawa *et al.*, 1994; Pouleur *et al.*, 1992; Tsumuki and Konno, 1994]. Their production requires energy, and we might assume that this trait would not be expressed or maintained unless there was an ecological advantage. It is known that *Fusarium* can regulate the gene expression for IN production depending on environmental conditions such as nutrient availability [Richard *et al.*, 1996], and some *Fusarium* species reduce or lose their IN-ice nucleation activity after several subcultures [Pummer *et al.*, 2013; Tsumuki *et al.*, 1995]. Thus, we cannot exclude that all *Fusarium* strains have the ability to produce IN. From the phylogenetic distribution of IN-ice nucleation activity across the genus *Fusarium*, we can speculate that IN-ice nucleation activity is a very old trait, but either the gene expression requires a trigger, which is not yet identified, or the trait might be in the process of being lost. It is unlikely, however, that the age of the genetic determinants of fungal IN-ice nucleation activity is older than that in bacteria, since fungi diverged well after the age that has been attributed to the bacterial IN gene [Morris *et al.*, 2014], and the genetic determinants are not the same as those in bacteria.

3.2 Quantification and size determination of IN from selected *Fusarium* species

A selection of IN-active *Fusarium* species was further investigated by extensive droplet freezing assay analysis using TINA. All tested *Fusarium* strains initiated ice nucleation between -3 °C and -4 °C (Fig. 1). Differences in the initial-freezing-temperature freezing temperatures between the initial screening and the quantitative analysis can be due to different growth conditions and freezing assays. The cumulative number of IN (N_m) per gram of mycelium was in the range between 10^8 g⁻¹ and 10^{13} g⁻¹. *Fusarium acuminatum* 3-68 showed the highest IN-ice nucleation activity and *F. langsethiae* the lowest per gram of mycelium. The results are comparable to other IN-active microorganisms like *Sarocladium implicatum* (10^8 g⁻¹, Pummer *et al.*, 2015, *Mortierella alpina* (10^9 g⁻¹, Fröhlich-Nowoisky *et al.*, 2015; 10^{10} g⁻¹, Kunert *et al.*, 2018), and the bacterial IN-active substance Snomax[®] containing *Pseudomonas syringae* (10^{12} g⁻¹, Budke and Koop, 2015; Kunert *et al.*, 2018).

The size of the *Fusarium* IN was investigated by filtration experiments. Filtration through a 5 µm and a 0.1 µm filter did not affect the IN-ice nucleation activity (Fig. 2), revealing that *Fusarium* IN are smaller than 100 nm, cell-free, easily removed from the fungus, and stay active in solution. This is consistent-with-previous-in-agreement-with-other-Fusarium studies [O'Sullivan *et al.*, 2015; Pouleur *et al.*, 1992; Tsumuki and Konno, 1994]. Moreover, the-IN-are-smaller-than-100biological INMs smaller than 200 nm for all tested-were also found in various organisms e.g., other fungi [Fröhlich-Nowoisky *et al.*, 2015; Pummer *et al.*, 2015], leaves, bark, and pollen from birch trees (*Fusarium* strains - *Betula* spp.) [Felgitsch *et al.*, 2018; Pummer *et al.*, 2012], leaf litter [Schnell and Vali, 1973], some microalgae [Tesson and Šantl-Temkiv, 2018], strains of *Lysinibacillus* [Failor *et al.*, 2017], and biological particles in the sea surface microlayer [Irish *et al.*, 2019; Wilson *et al.*, 2015]. Filtration through a 300 000 MWCO filter unit decreased the cumulative number of IN per gram of mycelium about 50 % to 75 % depending on the *Fusarium* species, but a tremendous number of IN (10^{10} - 10^{13} g⁻¹) still passed through the filter. The initial freezing temperature was slightly shifted towards lower temperatures. Further filtration through a 100 000 MWCO filter unit reduced the IN number to 10^8 - 10^{10} g⁻¹, which is less than 1 % of the initial IN concentration. Additionally, the initial freezing temperature was shifted about one degree towards lower temperatures.

As IN-ice nucleation activity was found in all filtrates, the aqueous extract of *Fusarium* consists of a mixture of IN-active proteins with different sizes. We hypothesize that *Fusarium* IN are single-proteins-macromolecules (INMs) smaller than 100 kDa, which agglomerate to large protein complexes in solution. Some of these complexes fall apart upon filtration, so that the single IN-proteins-INMs can pass through the filter. The small shift in the initial freezing temperature suggests that these proteins INMs reassemble again to aggregates after filtration, as larger IN nucleate at warmer temperatures [Govindarajan and Lindow, 1988; Pummer et al., 2015]. Erickson [2009] determined the size of proteins based on theoretical calculations. As the interior of proteins is closely packed with no substantial holes and almost no water molecules inside, proteins are rigid structures with approximately the same density ($\sim 1.37 \text{ g cm}^{-3}$). Assuming the protein as a smooth spherical particle, the minimum diameter of the single-IN-protein-INM would be smaller than 6.1 nm according to Erickson [2009]. Our results are in accordance with Lagzian et al. [2014], who cloned and expressed a 49 kDa IN-active protein from *F. acuminatum*.

As *Fusarium* IN are cell-free and can easily be washed off the fungal surface, they can be released in high numbers into the environment. If they are not degraded by microorganisms before, the IN can adsorb to soil dust and be aerosolized attached to these particles [Conen et al., 2011; Fröhlich-Nowoisky et al., 2015; Hill et al., 2016; O'Sullivan et al., 2014, 2015, 2016; Sing and Sing, 2010]. This is in good agreement with Pruppacher and Klett [1997], who found a positive correlation between IN number concentration and particles in the coarse mode. Other releasing processes cannot be excluded, however, it is unlikely that the single-proteins-INMs are present in the atmosphere as individual aerosol particles. Individual proteins with a diameter of $\sim 6 \text{ nm}$, which may enter the atmosphere, would be in the nucleation mode size range, where particles tend to uptake gaseous compounds and grow to Aitken mode particles, which themselves tend to coagulate to larger agglomerates [Seinfeld and Pandis, 1998].

3.3 Stability of *Fusarium* IN

In the atmosphere, IN can interact with other aerosol particles or gases. They can be exposed to chemically modifying agents like ozone and nitrogen dioxide, and physical stressors like low-temperatures-and-high and low or quickly changing temperatures. To investigate the stability of *Fusarium* IN, we performed exposure experiments, heat treatments, freeze-thaw cycles, and long-term storage tests.

The influence of chemical processing on the *Fusarium* IN, in particular oxidation and nitration reactions as occurring during atmospheric aging, was investigated by exposing aqueous extracts from *F. acuminatum* 3-68 and *F. avenaceum* 2-106 to high concentrations of ozone and nitrogen dioxide in liquid phase. Figure 3 shows that for both species neither the initial freezing temperature nor the cumulative number of IN per gram of mycelium was affected by exposure. These results demonstrate a high stability of *Fusarium* IN under oxidizing and nitrating conditions. This is in contrast to other biological IN e.g., bacterial IN (Snomax[®]), which were reduced upon exposure [Kunert et al., 2018], birch and alder pollen [Gute and Abbatt, 2018], and dissolved organic matter [Borduas-Dedekind et al., 2019], where exposure to oxidizing agents reduced the IN activity.

The stability of the INM in *Fusarium* was investigated in heat treatment experiments. The ice nucleation activity was reduced significantly at a 40°C treatment (Fig. 4). Between 40% and 90% of IN were lost at this temperature depending on the species, which supports the hypothesis that the INM in *Fusarium* consists of a proteinaceous compound. A heat treatment

at 70 °C reduced the ice nucleation activity to less than 0.01 % compared to the initial level. Moreover, the initial freezing temperature was shifted to lower temperatures indicating a breakdown of the large protein aggregates. After a 98 °C treatment, we still found ice nucleation activity for all investigated species except for *F. avenaceum* 2-106. The results are in agreement with previous studies, which also reported a reduction in ice nucleation activity with increasing temperature in heat treatment experiments [Hasegawa et al., 1994; Pouleur et al., 1992; Tsumuki and Konno, 1994]. The remaining activity after the 98 °C treatment, however, could indicate that post-translational modifications like glycosylation and therefore polysaccharides could play a role in the ice nucleation activity of *Fusarium*. Further systematic studies including chemical analyses are needed for elucidation.

To study the effects of short-term storage and freeze-thaw cycles on the **IN-ice nucleation** activity of *F. acuminatum* 3-68, IN measurements of the same aqueous extract were performed at different time points (Fig. 45). The results of freshly prepared aqueous extract revealed that the highest activity of fungal IN was already developed during preparation of the filtrate and no time for equilibration was required. Storage of aqueous extract at 6 °C for 24 h did not affect the **IN-ice nucleation** activity. Also, further storage at -20 °C for another 24 h, and repeated freeze-thaw cycles had no impact on the **IN-ice nucleation** activity. This means, that, once in the atmosphere, the IN can undergo several freeze-thaw cycles without losing their activity and are still able to influence cloud glaciation and the formation of precipitation. This could be an explanation why not all fungi are always IN-active as their IN are highly stable and quasi recyclable. Ice nuclei might influence the availability of moisture over long times periods, and if enough moisture is available in the environment, the necessity of IN production would be omitted and the fungus could **safe-save** energy.

In addition, the stability of **the INM in *Fusarium* IN** was studied in long-term storage tests, where aqueous **extract-extracts** of various *Fusarium* species **was-were** stored at different temperatures for a long period of time. Figure 5-6 shows that storage at 6 °C for four months and -20 °C for eight months, respectively, did not influence the **IN-ice nucleation** activity of *F. armeniacum* 20970, *F. acuminatum* 1-4, *F. avenaceum* 2-106, and *F. acuminatum* 2-38. The results demonstrate the high stability of **the INMs in *Fusarium* IN** in liquid and frozen solutions over long time periods, which makes *Fusarium* well applicable for laboratory IN studies. Moreover, the high stability is likely an advantage for these fungi to be linked to atmospheric processes.

4 Conclusions

The frequency and distribution of **IN-ice nucleation** activity within the fungal genus *Fusarium* was investigated in a screening of more than 100 strains from 65 different *Fusarium* species. In total, ~ 11 % (7/65) of all tested species included IN-active strains, and ~ 16 % (18/112) of all tested strains showed **IN-ice nucleation** activity, demonstrating the wide distribution of **IN-ice nucleation** activity within *Fusarium*. Filtration experiments suggest that *Fusarium* IN form aggregates consisting of **single IN-INMs** smaller than 100 kDa (~ 6 nm). Exposure experiments, freeze-thaw cycles, and long-term storage tests revealed a high stability of **the INMs in *Fusarium* IN**, demonstrating the suitability of *Fusarium* in laboratory IN studies. **Heat treatments at 40 °C to 98 °C reduced the IN concentration significantly, supporting the hypothesis that the INM in *Fusarium* largely consists of a proteinaceous compound. An involvement of polysaccharides, however, cannot be excluded.** The wide distribution

of ~~IN~~-ice nucleation activity within the genus *Fusarium* together with the high-stability of the ~~INM in *Fusarium* IN~~ under atmospherically relevant conditions, suggest that the ~~impact-implication~~ of these IN on the Earth's water cycle and climate might be more significant than previously assumed. Additional research is necessary to characterize the ~~INMs in *Fusarium* IN~~ and processes, which can result in their agglomeration to larger protein complexes. To evaluate the ~~impact-implication~~ of these
295 IN on the Earth's climate, additional work is required to study the abundance of *Fusarium* IN in environmental samples on a global scale.

Data availability. All data are available from the corresponding authors upon request.

Author contributions. C.E.M., J.F.-N., U.P. designed the experiments. D.G.S. III and L.E.H. provided fungal cultures. C.E.M., D.G.S. III, and J.F.-N. performed the initial screenings. A.T.K., ~~K.T.~~, C.S.K., C.W., and K.R.S. performed the experiments. A.T.K., J.F.-N., M.L.P., and
300 U.P. discussed the results. A.T.K. and J.F.-N. wrote the manuscript with contributions of all co-authors.

Competing interests. The authors declare that they have no conflict of interest.

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Table 1. Ice nucleation-active *Fusarium* strains with corresponding ~~initial~~-mean freezing temperatures of the initial screening. The newly identified IN-active *Fusarium* species are marked with an asterisk (*).

Species	Strain	T_f (°C)
<i>F. acuminatum</i>	1-3	-5.6
<i>F. acuminatum</i>	1-4	-5.0
<i>F. acuminatum</i>	1-5	-5.6
<i>F. acuminatum</i>	1-24	-3.5
<i>F. acuminatum</i>	2-38	-5.0
<i>F. acuminatum</i>	2-48	-5.6
<i>F. acuminatum</i>	2-109	-5.6
<i>F. acuminatum</i>	3-48	-3.7 - <u>5.0</u>
<i>F. acuminatum</i>	3-68	-3.5
<i>F. acuminatum</i>	20964	-6.2
<i>F. armeniacum</i> *	20970	-5.3
<i>F. avenaceum</i>	2-106	-5.0
<i>F. avenaceum</i>	11440	-7.6
<i>F. begoniae</i> *	10767	-11.2
<i>F. concentricum</i> *	10765	-4.6
<i>F. langsethiae</i> *	19084	-9.4
<i>F. tricinctum</i>	20990	-7.3

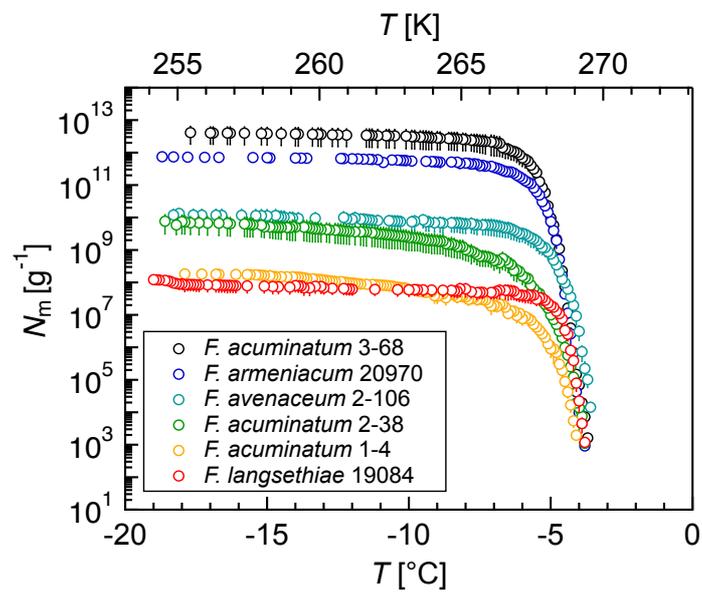


Figure 1. Overview of [ice nucleation](#) activity for selected *Fusarium* species and strains: cumulative number of IN (N_m) per gram of mycelium plotted against the temperature (T); arithmetic mean values and standard error of three independent experiments with aqueous extracts from different fungal culture plates.

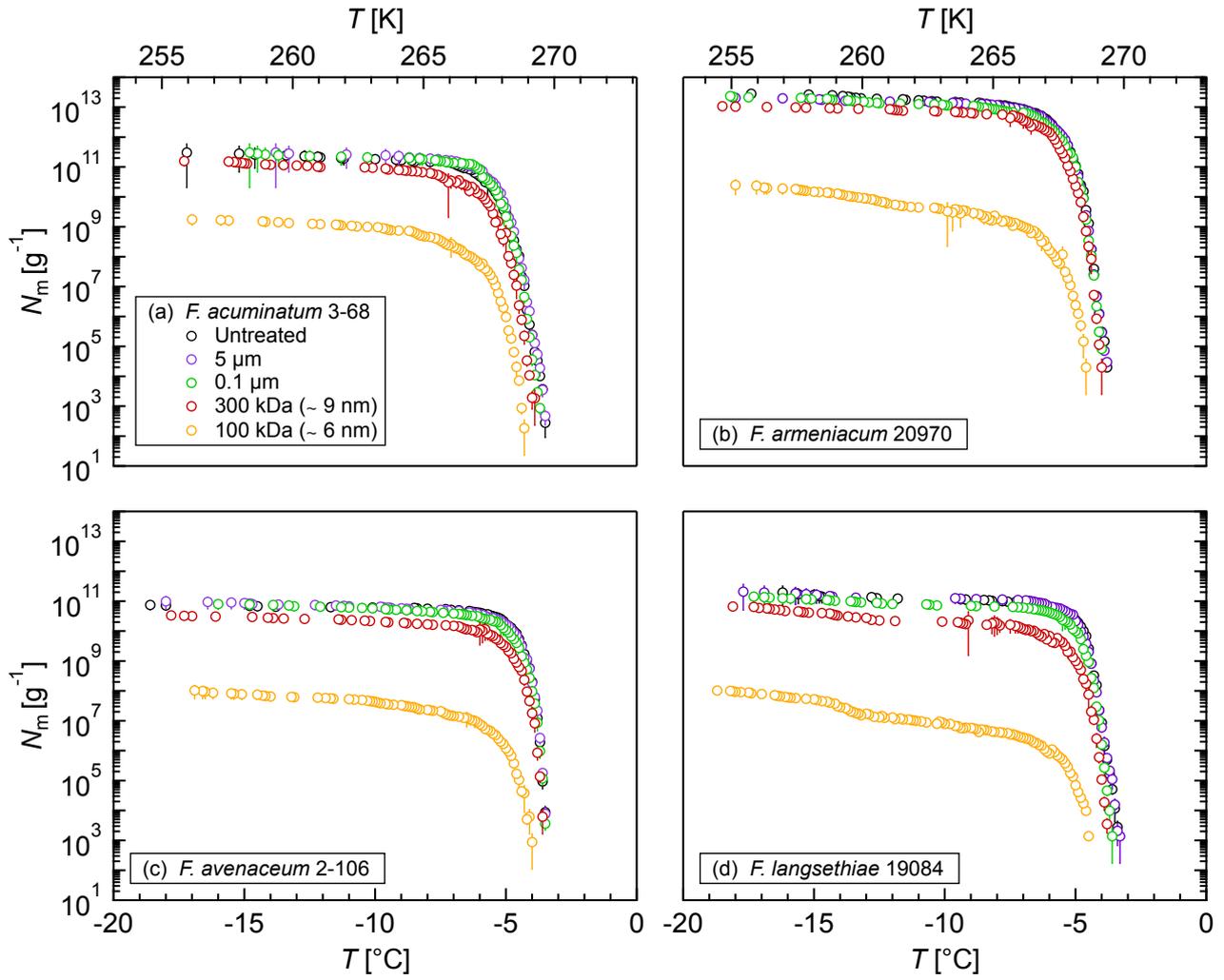


Figure 2. Size determination of the *Fusarium* IN upon filtration: cumulative number of IN (N_m) per gram of mycelium plotted against the temperature (T) for (a) *F. acuminatum* 3-68, (b) *F. armeniacum* 20970, (c) *F. avenaceum* 2-106, and (d) *F. langsethiae* 19084. The error bars were calculated using the counting error and the Gaussian error propagation.

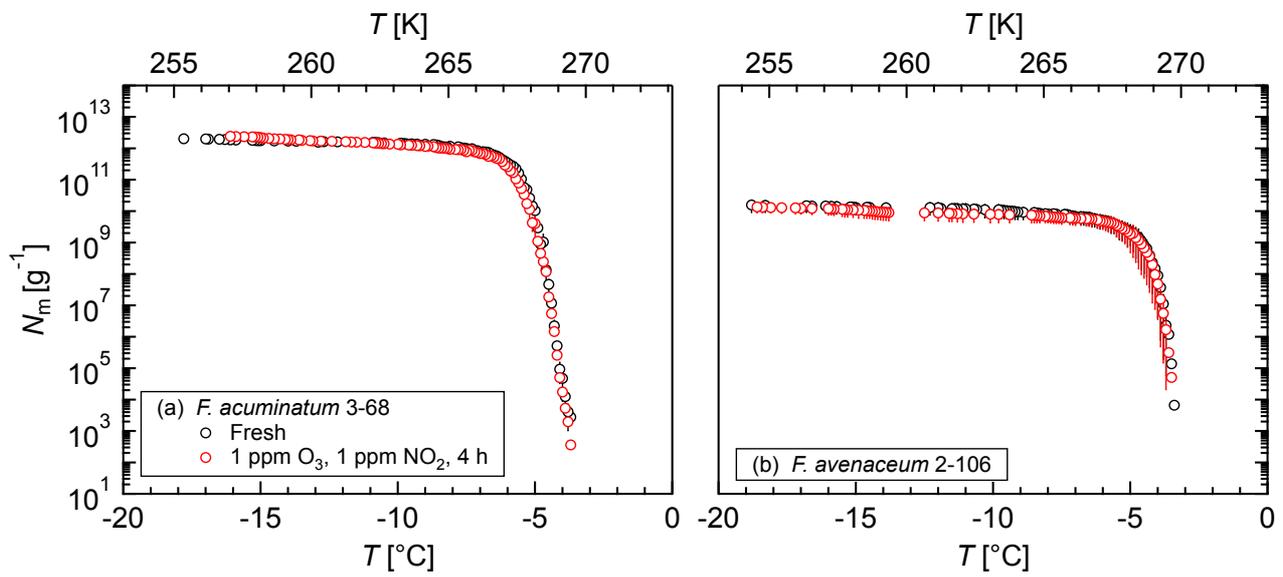


Figure 3. Exposure of aqueous extract from *Fusarium* to ozone and nitrogen dioxide: cumulative number of IN (N_m) per mass of mycelium plotted against the temperature (T) for (a) *F. acuminatum* 3-68 and (b) *F. avenaceum* 2-106; arithmetic mean values and standard error of two independent experiments with aqueous extracts from different fungal culture plates.

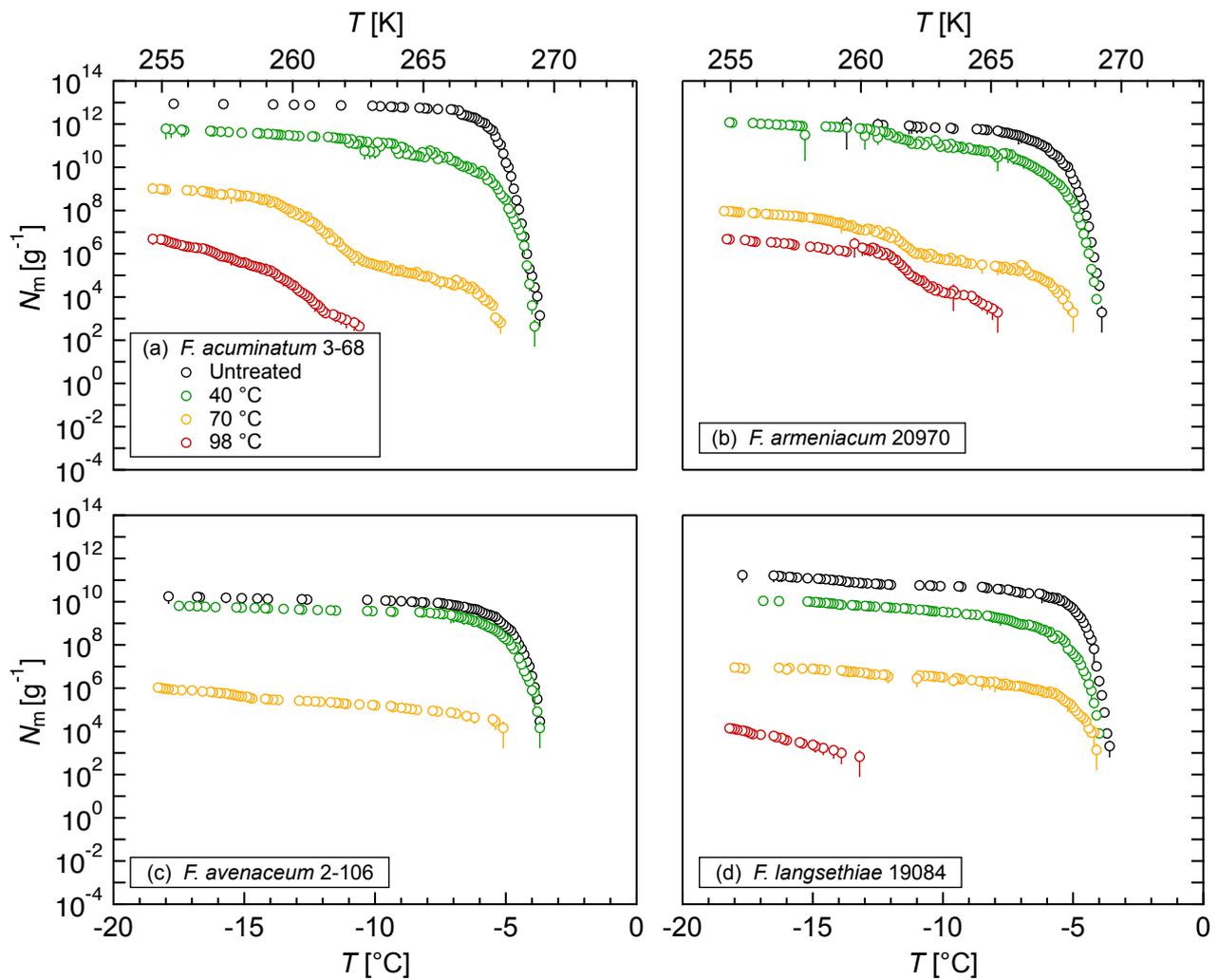


Figure 4. Effects of high temperatures on the ice nucleation activity of *Fusarium*: cumulative number of IN (N_m) per gram of mycelium plotted against the temperature (T) for (a) *F. acuminatum* 3-68, (b) *F. armeniacum* 20970, (c) *F. avenaceum* 2-106, and (d) *F. langsethiae* 19084. The error bars were calculated using the counting error and the Gaussian error propagation.

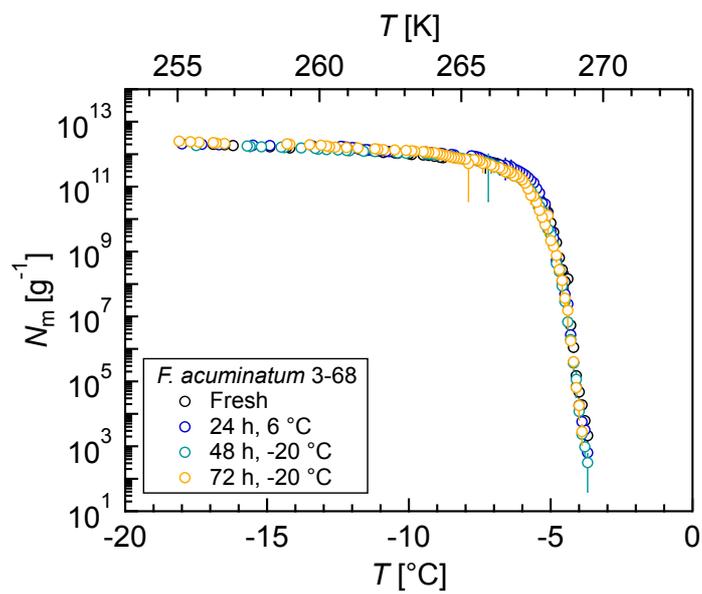


Figure 5. Effects of short-term storage and freeze-thaw cycles on the ice nucleation activity of *Fusarium acuminatum* 3-68: cumulative number of IN (N_m) per gram of mycelium plotted against the temperature (T). The same aqueous extract was measured immediately after preparation (black), after storage at 6 °C for 24 h (blue), after another 24 h stored at -20 °C (total 48 h; green), and after another 24 h stored at -20 °C (total 72 h; yellow). The error bars were calculated using the counting error and the Gaussian error propagation.

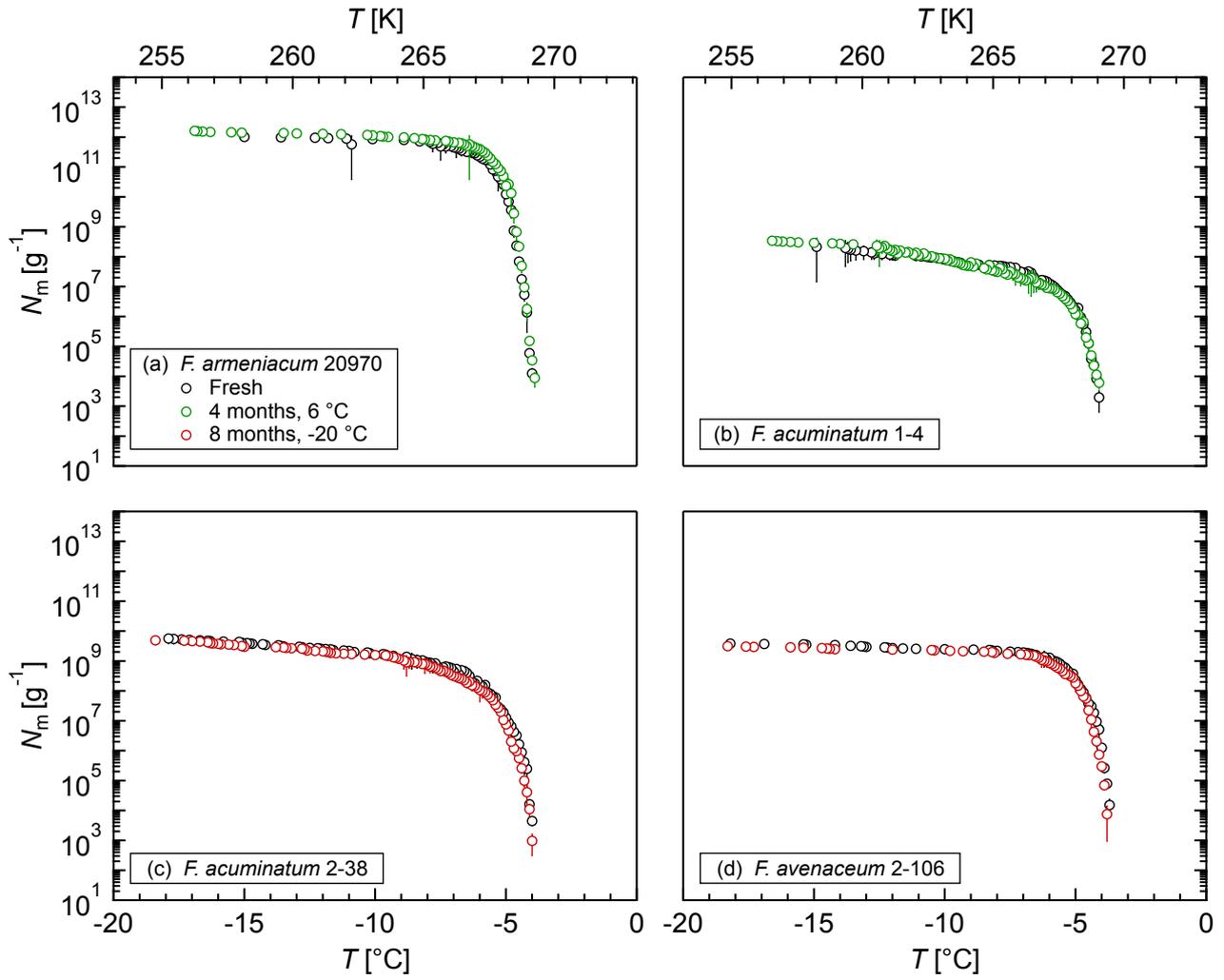


Figure 6. Effect of long-term storage on the [ice nucleation](#) activity of (a) *F. armeniacum* 20970, (b) *F. acuminatum* 1-4, (c) *F. acuminatum* 2-38, and (d) *F. avenaceum* 2-106: cumulative number of IN (N_m) per gram of mycelium against the temperature (T). The error bars were calculated using the counting error and the Gaussian error propagation.