

# Wintertime grassland dynamics may influence below-ground biomass under climate change: a model analysis

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**Abstract.** Rising temperatures and changes in snow cover, as can be expected under a global warmer climate, may have large impacts on mountain grassland productivity limited by cold and long winters. Here, we combined two existing models of a multi-layer atmosphere-soil-vegetation model (SOLVEG) with the grass growth model (BASGRA) which accounts for snow, freeze-thaw events, grass growth, and soil carbon balance. The model was applied to simulate the responses of managed grasslands to anomalously warm winter conditions. The grass growth module considered key ecological processes under a cold environment, such as leaf formation, elongation and death, tillering, carbon allocation, and cold acclimation, in terms of photosynthetic activity. Input parameters were derived for two pre-alpine grassland sites in Germany, for which the model was run using three years of data that included a winter with an exceptionally small amount of snow. The model reproduced the temporal variability of observed daily mean heat fluxes, soil temperatures and snow depth throughout the study period. High physiological activity levels during the extremely warm winter led to a simulated CO<sub>2</sub> uptake of 100 gC m<sup>-2</sup>, which was mainly allocated into the below-ground biomass and only to a minor extend used for additional plant growth during early spring. If this temporary dynamics is representative of the long-term changes, this process, which is so far largely unaccounted for in scenario analysis using global terrestrial biosphere models, may lead to carbon accumulation in the soil and/or carbon loss from the soil as a response to global warming.

## 1 Introduction

Grasslands are important for food production as a means of fodder for livestock. Their productivity strongly depends on climatic conditions and is thus expected to be highly influenced by climate change (Jing et al., 2014; Tubiello et al., 2007). Particular large changes regarding temperature and snow cover alterations are predicted to occur in high latitudes and mountainous regions (Pepin et al., 2015), leading to the expectation that mountain grassland ecosystems will be particularly affected (Xie et al., 2017). Therefore, understanding the response of mountain grassland ecosystems to snow cover conditions is crucial for estimates of grassland productivity and respective impacts on carbon and energy balances under climate change.

Although forage production from grasslands is known to be limited by cold and long winters in mountainous regions, there are still uncertainties regarding the impacts of winter conditions on grassland ecology (i.e., grasses, clover, other herbaceous

species, flowers, and mosses) (Rapacz et al., 2014). During winter seasons, low temperatures interact with the presence or  
25 absence of snow cover in controlling the acclimation status of grassland vegetation (Ergon et al., 2018). For example, low  
temperature limits the productivity of grassland vegetation either directly due to its effects on photosynthesis or cell growth,  
or indirectly by inducing senescence and dormancy. In addition, photosynthesis and growth is prevented even under relatively  
mild temperatures as long as the vegetation is covered by snow. In turn, mild temperatures combined with only short snow  
periods enable photosynthetic activity throughout the whole winter leading to drastically increasing gross primary production  
30 (GPP) already observed at low-elevation grasslands (Zeeman et al. 2017). The resulting increase in carbon availability may  
be used for increased aboveground growth during spring, be released or put into belowground organs for storage or resource  
acquisition, with largely different impacts on overall carbon cycle.

In order to assess the impacts of a changing environment, the general responses of photosynthesis and phenology to such  
as temperature and radiation have been explicitly described in common process-based grassland models (e.g. Cannell and  
35 Thornley, 1998; Soussana et al., 2012, as reviewed in Van Oijen et al., 2018), although the consideration of species-specific  
sensitivities may not always be adequate (Höglind et al., 2011; Tuba et al., 2008). Three major uncertainties can be depicted:  
The first is the representation of growth phases in phenological sub-models since photosynthesis gain and storage activation is  
often restricted to empirically determined periods that may considerably shift under climate change (e.g., Desai et al., 2015).  
The second uncertainty is related to the allocation process that is distributing acquired carbon according to certain rules that  
40 may depend on growth stages but generally do not consider an environmental change of sink strength such as the limitation of  
cell expansion by low temperatures (Körner, 2008; Rabenhorst, 2005). Finally, acclimation processes that for example may lead  
to a relatively high photosynthesis in colder environments (Sage and Kubien, 2007) are not accounted for. Carbon production  
in the cold might thus lead to enhanced carbon storage rather than grass growth (Hoch and Körner, 2003; Körner, 2008). In  
addition, models do need to have a close link to soil processes in order to quantify carbon releases from soil respiration that  
45 might also be enhanced by warmer winter temperatures (e.g., Scholz et al., 2018).

In order to improve the representation of wintertime grassland dynamics, this research focuses on the relationship of produc-  
tivity responses under varying temperature and snow cover duration in mountainous areas. In contrast to most existing studies  
with grassland models for temperate climate conditions that focus on spring and summer seasons (Höglind et al., 2016), we  
investigate the effect of changing winter conditions. In particular, we differentiate between environmental limitations on pho-  
50 tosynthesis and growth (e.g., temperature, water, and nutrient controls; Boisvenue and Running, 2006) and also consider plant  
internal drivers (e.g., accumulation and depletion of accumulate nonstructural carbohydrates; Kozlowski, 1992; Fatichi et al.,  
2014). Therefore, we apply a process based land surface model that can simulate both physical (snow and freeze-thaw) and  
biological processes (carbon allocation under cold stresses) and includes sink limitations. This integrated model is based on a  
multi-layer atmosphere-soil-vegetation model (SOLVEG; Katata et al., 2014), and is run at two managed grassland sites in the  
55 German pre-alpine region. The simulation period covers a number of years that include normal (2011-2012 and 2012-2013)  
as well as extremely warm (2013-2014) winters. The results are evaluated with measurements and are discussed based on  
sensitivity analysis.

## 2 Materials and Methods

### 2.1 SOLVEG

60 A one-dimensional multi-layer model SOLVEG consists of four sub-models: atmosphere, soil, vegetation, and radiation within  
the vegetation canopy as shown in Fig. S1. The general description is available in Katata (2009), Katata and Ota (2017), Nagai  
(2004), and Ota et al. (2013). Details of the processes of snow accumulation and melting, freeze-thaw in soil, and grassland  
vegetation growth and development are described in the supporting information.

In the atmosphere sub-model, one-dimensional diffusion equations are solved between atmospheric layers for horizontal  
65 wind speeds, potential temperature, specific humidity, liquid water content of the fog, turbulent kinetic energy and length scale  
(Katata, 2009), and gas and aerosol concentrations (Katata and Ota, 2017). At the upper boundary conditions, the variables  
of horizontal wind speeds, potential temperature, specific humidity (and liquid water content of the fog, gas and aerosol  
concentrations, if available) are typically obtained from hourly or half-hourly observational data. For further explanations  
see section 2.3. Bulk transfer equations are applied at the lowest layer using the soil surface temperature and specific humidity  
70 calculated in the soil sub-model. In the soil sub-model, the soil temperature, volumetric soil water content, and specific humidity  
in the soil pores are predicted based on heat conduction, mass balance in liquid water, and water vapor diffusion equations,  
respectively (Katata, 2009). Root water uptake is calculated from the transpiration rate in the vegetation sub-model. For CO<sub>2</sub>  
concentration in soil, mass conservation equations for liquid and gas phases are solved (Nagai, 2004). Organic matter dynamics  
are also considered (Ota et al., 2013) as microbial decomposition and dissolved organic carbon (DOC) leaching in the above-  
75 ground litter layer, below-ground input of carbon from roots (root litter), and soil organic carbon (SOC) turnover and DOC  
transport along water flows throughout the soil profile for three SOC pools (active, slow, and passive) with different turnover  
times.

In the vegetation sub-model, profiles of the leaf temperature, leaf surface water, and the vertical liquid water flux are predicted  
(Nagai, 2004). The heat budget equation at the leaf surface is solved to predict the leaf temperature using key variables from the  
80 atmosphere sub-model combined with the radiation scheme. At the upper boundary of the sub-model, the given precipitation  
intensity is used for calculating vertical liquid water flux within the canopy based on the surface water budget equation. The  
CO<sub>2</sub> assimilation rate due to photosynthesis is predicted using the Farquhar's formulations (Farquhar et al., 1980) and stomatal  
resistance. In the radiation sub-model, direct and diffuse downward and upward fluxes of solar and long-wave radiation are  
calculated to obtain the radiation energy input at the canopy layers. Fractions of sunlit and shaded leaves at each canopy layer  
85 are computed for the stomatal resistance and energy budget calculations.

A multi-layer snow module is mainly developed based on the Community Land Model (CLM; Oleson et al., 2010) and  
SNTHERM (Jordan, 1991), while the model is unique in including the gravitational and capillary liquid water flows in the  
unsaturated snow layer based on van Genuchten's concept of water flow in the unsaturated zone (c.f., Hirashima et al., 2010).  
In the soil module, freeze-thaw processes in soil based on the freezing-point depression equation (Zhang, Sun, and Xue, 2007)  
90 are considered in heat conduction and liquid water flow equations.

To simulate the winter-related processes for grassland phenology such as leaf development and senescence due to cold stresses, the relevant scheme in the grass growth model named BASic GRAssland model (BASGRA; Höglind et al., 2016) is coupled with the vegetation sub-model of SOLVEG to simulate vegetation growth. The three main features that characterize plant growth in BASGRA are: (1) simulation of source-sink relations where the source consists of both current photosynthesis and remobilization of reserves; (2) simulation of leaf area dynamics and tillering for vegetative and generative tillers; and (3) cold hardening and the effect of physical winter stress factors on tiller survival and plant growth. BASGRA has been well validated by using several experimental datasets of harvestable dry matter of perennial rye grass collected in Europe (Schapendonk et al., 1998) and from five locations in Norway, covering a wide range of agroclimatic regions, day lengths, and soil conditions (Höglind et al., 2016). BASGRA consists of the LINGRA grassland model (Van Oijen et al., 2005) with models for cold hardening and soil physical winter processes, while diurnal CO<sub>2</sub> assimilation is calculated as accumulation of the net assimilation for each time step within the vegetation sub-model (Nagai, 2004) instead of the original scheme of photosynthetic processes in BASGRA. When snow covers grasses, no photosynthesis is assumed to occur due to low light availability and only soil respiration is considered. BASGRA uses a so-called "big-leaf" approach (Monteith, 1981), thus predicting the total leaf area index (LAI) of the whole grassland vegetation canopies. Since SOLVEG uses a multi-layer structure of canopies, the profile of leaf area density is obtained from simply dividing total LAI by canopy height (h) by assuming vertically uniformity for all canopy layers. Canopy height, which is not simulated in BASGRA, is calculated by the function of LAI with fitting parameters.

Carbon gain from photosynthesis and remobilized reserves are allocated among sinks based on changing sink priorities and strengths. Sink strengths are calculated based on the dynamics of leaves and stems and the acclimation to low temperature. The following five sinks are considered: the processes of cold hardening, replenishment of the reserves pool, leaf growth, stem growth, and root growth. Sink strengths are defined as the rate at which these processes would proceed with no source limitation. The hardening process has top priority, so its demand is met in full if source strength is large enough, irrespective of the four other sinks. Root growth has lowest priority and depends on carbon unused by other sinks. The strength priority between reserves on the one hand, and leaves and stems on the other hand changes with day length. When day lengths are shorter than a cultivar-specific threshold, reserves have higher priority than stems and leaves, with the opposite during the rest of the year. Leaves and stems have equal priority so they receive carbon according to their sink strengths. The removal of tillers and leaves by cutting can be simulated during the growing season, with subsequent regrowth of the sward. The regrowth rate after cutting is calculated at each phenological stage. Natural turnover of leaves and roots is modeled using typical life spans in years (Arora and Boer, 2005), while BASGRA does not simulate the senescence of elongating tillers or roots. The fraction of roots in soil layers and rooting depth are modeled as a function of root biomass (Arora and Boer, 2003), which may be required to be tested at multiple biomes. Daily amounts of the dead root biomass (root litter) are used as inputs to SOC in the soil sub-model of SOLVEG.

## 2.2 Empirical parameterizations for cold acclimation

Although the relation between the maximum catalytic capacity of Rubisco ( $V_{cmax}$ ) and air temperature is quite well established (e.g., Bernacchi et al., 2001; Leuning, 2002; Smith and Dukes, 2013), parameters related to photosynthesis are still uncertain (Kattge and Knorr, 2007) also for low temperature (Högling et al., 2011). Thus, in the vegetation sub-model, we introduced the empirical factor for cold stress of grasslands,  $f_{cold}$ , to empirically simulate the reduction of photosynthesis under low air temperature as per the following equations (see also Supplement):

$$A_n = \min(f_{cold}w_c, w_e, f_{cold}w_s) - R_d, \quad (1)$$

$$f_{cold} = \min \left[ 1, \max \left\{ 0, \frac{(T_a + 4)}{(T_{ph} + 4)} \right\} \right], \quad (2)$$

where  $A_n$  ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ) is the net  $\text{CO}_2$  assimilation rate at each canopy layer, which is calculated by subtracting the leaf respiration rate  $R_d$  ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ) from the assimilation rate,  $w_c$  ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ) is the limitation by efficiency of the photosynthetic enzyme system (Rubisco),  $w_e$  ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ) is the limitation by the absorbed photosynthetically active radiation (PAR),  $w_s$  ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ ) is the limitation by the capacity of leaves to export the products of photosynthesis,  $T_a$  ( $^{\circ}\text{C}$ ) is the daily and vertical mean air temperature for all canopy layers, and  $T_{ph}$  ( $^{\circ}\text{C}$ ) is the threshold air temperature above which grasslands are photosynthetically active. Determination of the value of this threshold temperature is important to avoid the overestimation (mainly from fall to winter) of photosynthesis at a low temperature (Högling et al., 2011). In the original BASGRA,  $T_{ph}$  is set to  $1^{\circ}\text{C}$ , that is,  $V_{cmax}$  starts decreasing linearly when  $T_a$  drops below  $1^{\circ}\text{C}$  until it becomes zero at  $-4^{\circ}\text{C}$ . However, in the SOLVEG simulation, since the values of  $T_{ph}$  may change depending on environmental conditions, the value of  $T_{ph}$  is calibrated for each site so that the model reproduce the observed  $\text{CO}_2$  flux during the extremely warm winter period.

## 2.3 Study sites and observational data

The model is applied to two sites of managed grassland named Graswang ( $47.5708^{\circ}\text{N}$ ,  $11.0326^{\circ}\text{E}$ , 864 m asl.) and Fendt ( $47.8329^{\circ}\text{N}$ ,  $11.0607^{\circ}\text{E}$ , 595 m asl.) belonging to the TERrestrial ENvironmental Observatories (TERENO) network in Germany. General information on the climate and management of the sites is available in Table 1. Both sites are located in the Bavarian Alpine Foreland, in the south of Germany and north of the Alps (Mauder et al., 2013; Zeeman et al., 2017; Zeeman et al., 2019). The grasses are harvested several times during the growing season defined as the period from April to October.

Half-hourly data of precipitation, atmospheric pressure, horizontal wind speed, air temperature and humidity, and incoming long- and short-wave radiation were used at the top atmospheric layer as a height of 3.5 m. Data of friction velocity ( $u^*$ ), sensible ( $H$ ) and latent heat ( $\lambda E$ ), and  $\text{CO}_2$  fluxes ( $F_{\text{CO}_2}$ ) observed over the grassland based on the open-path eddy covariance method using a three-dimensional sonic anemometer (CSAT3; Campbell Scientific, USA) and an open-path  $\text{CO}_2/\text{H}_2\text{O}$  gas analyzer (LI-7500; Li-Cor, USA) were used for validation of the simulation results. The net radiation ( $R_{net}$ ) over the canopies, soil temperature at 0.05 m in depth, and snow depth were also used to evaluate the simulated surface energy and water balances. Details of the site characteristics and micrometeorological observations are described by Zeeman et al. (2017).

## 2.4 Calibration and validation procedure

155 Direct comparisons between the results using the original (SOLVEG only) and integrated models (SOLVEG coupled with  
BASGLA) are difficult because the vegetation dynamics had been prescribed in the original model, requiring time series of  
total LAI or leaf biomass data, which is used for evaluation in this study. Thus, we simply focus on the calibration of the  
integrated model only to investigate the impact of wintertime carbon uptake on grassland dynamics. Parameters used for  
SOLVEG simulations are summarized in Table 2. The study period is approximately three years from 1 December, 2011, to 1  
160 November, 2014, which included both normal (2011-2012 and 2012-2013) and extremely warm (2013-2014) winters. Typical  
values of soil hydrological parameters (e.g., saturated hydraulic conductivity) in the study area are given to SOLVEG runs from  
the past model study (Hingerl et al., 2016). The set of parameters of BASGRA for typical perennial grass species of timothy  
in the Nordic region (Höglind et al., 2016) is applied. Grass cutting events are determined from clear reductions in CO<sub>2</sub> flux,  
surface albedo and phenology camera observations according to Zeeman et al. (2017). The threshold temperature for cold  
165 stresses [ $T_{ph}$  in Eq. (2)] is manually determined in the simulation for each site to obtain the best agreement between simulated  
and measured CO<sub>2</sub> flux over the canopy during winter. By changing the  $T_{ph}$  value from the range between 1 and 11 °C with  
an increment of 2 °C (not shown in the figure), we obtained the best results as  $T_{ph} = 1$  °C and 11 °C for Graswang and Fendt,  
respectively. The calibration results of daily mean surface fluxes ( $R_{net}$ ,  $H$ ,  $\lambda E$ , and  $F_{CO_2}$ ) are statistically evaluated using  
the mean error (ME), the root mean squared error (RMSE), intercept and slope of linear regression lines, and the Pearson's  
170 correlation coefficient (R).

## 2.5 Scenario determination for sensitivity analysis

To investigate the impact of cold acclimation of grassland vegetation on the CO<sub>2</sub> balance and carbon allocation at mountain  
grassland ecosystems, two scenarios using the SOLVEG model are defined based on the experimental results of Höglind et  
al. (2011): "active scenario" ( $T_{ph} = 1$  °C) and "dormant scenario" ( $T_{ph} = 11$  °C). The former indicates that photosynthesis  
175 is active during most of the wintertime and photosynthesis works even at the low temperature of 1 °C. In contrast, the latter  
represents the situation where grass physiology is more or less shut down and photosynthesis ceases under the condition of  
a relatively high temperature of 11 °C to protect from cold death. Both scenarios are adopted for both Graswang and Fendt,  
covering the same period.

# 3 Results

## 180 3.1 Model calibration and validation

Figure 1 shows the temporal changes in simulated and observed daily surface heat fluxes over the grassland at Fendt and  
Graswang throughout the three-year study period. The model generally reproduced the typical seasonal changes measured at  
both sites, for example, low values of the Bowen ratio ( $H/\lambda E$ ) at Fendt during the growing season (from April to October) and  
negative sensible heat flux ( $H$ ) at Fendt in December 2013, as suggested by Zeeman et al. (2017).

185 Figure 2 illustrates the time series of modelled and observed daily soil temperature and snow depth at the two sites. Observed  
changes in snow depth (Fig. 2a, c) soil temperature were also generally reproduced by the model; for example, when the  
grassland was covered by the snow at Graswang from December 2012 to February 2013, soil temperature at a depth of 0.02  
m remained almost 0 °C in both observed and simulated (Fig. 2c). Sudden increases in soil temperature during periodical  
190 snow-free conditions were also reproduced by the model; this was particularly evident at Fendt during the extremely warm  
winter of 2013-2014 (Fig. 2a).

Simulated and observed daily CO<sub>2</sub> fluxes ( $F_{CO_2}$ ) over the canopies and simulated LAI at both sites are presented in Fig. 2b.  
The model simulation match the observed increase of CO<sub>2</sub> uptake due to regrowth of grassland vegetation as well as the sudden  
decline after harvests (Fig. 2b and d). No drought stress was apparent in the simulations at both sites during the study period  
(not shown). During the extremely warm winter from December 2013 to February 2014, a net release of CO<sub>2</sub> at Fendt was also  
195 well reproduced by the model (Fig. 2b) using the calibrated value of  $T_{ph} = 1$  °C (Table 2). At Graswang, both observed and  
simulated CO<sub>2</sub> fluxes were very small and close to zero (Fig. 3d) which was achieved in the model by setting a high threshold  
temperature for cold acclimation (calibrated as  $T_{ph} = 11$  °C) (Table 2).

Scatter diagrams and statistical comparisons of daily energy and CO<sub>2</sub> fluxes at the two sites throughout the study period are  
presented in Fig. 3. At both sites, the slopes of the regression lines were overall close to unity and values of the intercepts were  
200 sufficiently small for  $R_{net}$ ,  $H$ , and  $\lambda E$ . High correlations were also observed between measured and simulated CO<sub>2</sub> fluxes at  
both sites.

### 3.2 Sensitivity analysis

Figure 4 illustrates temporal changes in simulated snow depth and leaf biomass obtained for the active and dormant scenarios  
at both sites for the normal winter (2012-2013) and extremely warm winter (2013-2014). It is obvious that leaf biomass during  
205 winter is higher in the active scenarios, mostly because of a higher leaf growth at the end of the vegetation period. In addition,  
some minor leaf growth also occurs in the snow free winter periods. Nevertheless, leaf biomasses converge during spring and  
are similar again at the first cutting event in May/June (Fig. 4b).

Figures 5 and 6 depict the cumulative GPP and ecosystem respiration (RE), mean leaf and root biomass, carbon reserve  
content (total stock of carbon that can be allocated to any of the plant elements such as leaves, stems, and roots), and LAI,  
210 simulated for Fendt and Graswang during winter and spring in 2014, respectively. In the following, we focus on Fendt for  
illustration of the scenario differences (Fig. 5) but would like to emphasize that the responses are similar at both sites. The  
differences in absolute values, especially a smaller LAI and less biomass are due to the generally cooler conditions at the  
Graswang site. It should be noted that such difference in environmental conditions between both sites required the calibration  
of the value of  $T_{ph}$  for each site (subsection 2.2). Both GPP and RE were higher in the active scenario than in the dormant one  
215 as expected by the model construction (Fig. 5a and b); this was particularly apparent as cumulative GPP differed by a factor of  
three or by approximately 100 gC m<sup>-2</sup> per year (Fig. 5a). Changes in leaf biomass and LAI during the subsequent spring in  
the active scenario were slightly lower than in the dormant scenario, indicating that a small part of the additional GPP has been  
used for foliage growth (Fig. 5c and f). In contrast, changes in root (below-ground) biomass both during winter and spring in

the active scenario were approximately three times higher than in the dormant scenario (Fig. 5d). Simulated carbon reserve  
220 contents in both winter and spring were similar in the two simulation scenarios (Fig. 5e), showing that the carbon fixed by  
photosynthesis was immediately allocated to the above- or below-ground biomass.

#### 4 Discussion

The results demonstrate that the modified SOLVEG model that considers the major physical (snow and freeze-thaw) and  
biological processes (carbon allocation under cold stresses) can reasonably simulate heat and carbon transfer processes in  
225 managed grassland ecosystems (Figs. 1-3). In particular, the model with calibrated  $T_{ph}$  value reproduced the low CO<sub>2</sub> uptake  
during the normal winter period at Graswang as a response to low soil temperatures that limit photosynthesis even throughout  
the snow-free conditions (Fig. 2d). On the other hand, the observed high uptake of CO<sub>2</sub> at Fendt in the extremely warm winter  
could also be represented by the model (Fig. 2b). The key parameter that determined the above CO<sub>2</sub> uptake processes was the  
threshold air temperature of  $T_{ph}$  in Eq. (2) for the photosynthetic activity level of grassland ecosystems. Tuning of the above  
230 parameter is required for each site to simulate carbon dynamics in the grassland ecosystems in cold climate regions. In future  
applications, dependencies between this parameter and the environment or species composition could be evaluated, so that it  
does not need to be fitted anymore. This will require more comprehensive datasets with which also the importance of underlying  
processes can be revealed and model calibration can be carried out, possibly using an optimization procedure such as Monte  
Carlo simulation (e.g., Van Oijen et al., 2005).

235 Our approach uses the manually calibrated  $T_{ph}$  values for each site, while only typical (average) values are taken for different  
plant functional types of grassland vegetation in global biogeochemical models. Numerical experiments using  $T_{ph} = 1$  °C  
revealed that the high CO<sub>2</sub> uptake rate at low altitude during winter was likely explained by high levels of physiological  
activity of grasslands (Fig. 4a). In this experiment, the impact of cold acclimation on the CO<sub>2</sub> balance for the two pre-alpine  
temperate grassland sites was evaluated by manually tuning the threshold temperature of photosynthesis to lower ( $T_{ph} = 1$   
240 °C) and higher values ( $T_{ph} = 11$  °C) because the exact mechanism of model response to  $T_{ph}$  changes is unclear (Höglin  
et al., 2011). Such a down-regulation of photosynthesis is justified by numerous observations about acclimation responses  
particularly after exposure to freezing temperatures (e.g., Huner et al., 1993; Kolari et al., 2007). In fact, the Graswang site was  
exposed to frost even during the extremely warm winter in 2013-2014 (Zeeman et al., 2017). In our simulations, we treated  
these acclimation responses as a parameter change, although in future developments they might be described mechanistically in  
245 dependence on temperature development (Kumarathunge et al. 2019; Mediavilla et al. 2016). Other mechanisms are however,  
already implicitly considered in the photosynthesis model. For example, the limitation of photosynthesis and thus the optimum  
temperature shifts under low air temperature from electron-transport limited to Rubisco-limited (Sage and Kubien, 2007).  
Further observational work is required at various grassland ecosystems in order to evaluate this hypothesis.

The high CO<sub>2</sub> uptake rate during the snow-free conditions was not limited to the Fendt site, but is likely a wide-spread  
250 phenomenon at other mountain grasslands in Europe. This statement is supported by Table 1, which summarizes the full-year  
observational studies that include wintertime CO<sub>2</sub> flux at European mountains. Indeed, except for the Austrian site of Rotholz,



which has a long grazing period that may intensively reduce grass productivity (Wohlfahrt et al., 2010), high CO<sub>2</sub> uptake during snow-free periods was observed at all altitudes below 760 m, corresponding to annual mean air temperature (MAT) of more than 8 °C. If the altitude or MAT is considered as a threshold of cold acclimation of grasses, the snow-free wintertime CO<sub>2</sub> uptake may have a large impact on the carbon balance of grassland ecosystems over the European Alps. Since a rise of snowline and wintertime air temperature up to 300-600 m or 2-4 °C, respectively, has been predicted for the latter part of the 21st century, the effect is even likely to increase (Gobiet et al., 2014). It should be noted, however, that other indicators of the level of cold acclimation might be superior to the use of MAT because physiological activities of grassland vegetation are often triggered by temperatures during specific development stages. If, however, such activities are rather closely related to the MAT (as indicated in Table 1), it is also possible that the differences in phenology and photosynthesis are caused by a different species composition of grasslands. In this case, the acclimation speed and management options that facilitate a change to better adapted ecosystems should be investigated.

The comparison between scenarios shows that root biomass clearly increased in the active compared to the dormant simulation during winter and spring (Fig. 5c and d) which can only be due to CO<sub>2</sub> gain by photosynthesis during this time (Fig. 5a). Note that this somewhat counter-intuitive results may be due to the inability of the model to grow specific storage organs that could later be emptied to growth other tissues. A differentiation, however, is not yet possible because respective observations are not available. Most studies of alpine grassland ecosystems in Europe have focused on the impact of climate changes on grass yield (i.e., grassland-based food production); for example, in the Nordic region, future CO<sub>2</sub> increase, warming, and less snowfall are expected to increase the grassland productivity (Ergon et al., 2018). According to this study, CO<sub>2</sub> uptake at the Fendt site, estimated as an annual GPP of 100 gC m<sup>-2</sup> in 2013-2014 was mainly due to the higher wintertime photosynthetic rate in the active scenario. Thus, it could be assumed that the increase in the above-ground biomass in spring would be higher in the active scenario. However, the above-ground biomass at the first cutting simulated in the active scenario was similar that in the dormant scenario (Fig. 5c). Still, the behavior is consistent with the simulated carbon reserve contents (a potential of carbon allocation to the above-ground biomass) in winter, which were similar in the two simulation scenarios (Fig. 5e). The actual limitation might have internal (e.g. determined growth) or external causes. For example, self-shading could result in decreasing carbon gain efficiency of new leaves which might induce a growth stop or an increase in senescence when the canopy gets denser. Indeed, calculated LAI values were similar to critical ones for self-shading shortly before the first cutting event in 2014 (Fig. 4), which is however not a process considered in the model and is thus the result of a reasonably parametrized determined growth. This is corroborated by a similar degree of leaf senescence in both scenarios (6.9 and -0.7 % at Fendt and Graswang, respectively). These results indicate that grass yield cannot be simply determined by the source-strength (CO<sub>2</sub> assimilation due to photosynthesis) and is controlled by the sink-demand of the above-ground biomass (foliar, tiller, and stem growth). Indeed, an open-top-chamber warming experiment in the alpine steppe on the north Tibetan Plateau showed that warming significantly increased total root biomass by 28 % at a soil depth of 0-0.01 m in the growing season (Ma et al., 2016), supporting the possibility of larger below-ground allocation of organic carbon, as suggested by this study. Therefore, the increased photosynthesis in the warmer winter does not necessarily increase grass yields and thus fodder in mountainous regions. In order to quantify

the impact on livestock supply, further research needs to investigate to which degree additional biomass is directed into above- and below-ground storages.

Another important implication from our numerical experiments is that carbon stock/loss in/from the soil in the mountain grasslands may be greater in a future warmer climate. The root biomass simulated for the active scenario was three times  
290 greater than that for the dormant scenario (Fig. 5d), indicating that more carbon is accumulated in roots, storage organs, or in the soil due to higher input by root litter. in warmer winters. Indeed, recent studies suggest that a relatively high MAT accelerates the turnover of roots to produce root litter input in managed mountain grassland ecosystems (Leifeld et al., 2015). This change in the below-ground input of carbon in grassland ecosystem is particularly important for the carbon cycle at managed grassland ecosystems because plant-fixed carbon from the above-ground biomass is substantially reduced following  
295 a cut. Furthermore, this may enhance carbon loss from the soil due to heterotrophic respiration and leaching of CO<sub>2</sub> because grassland vegetation typically has a high density of fine roots that are poorly lignified and with high turnover rates, providing a relatively labile carbon substrate for microbial activity (Garcia-Pausas et al., 2017). The altered SOC dynamics in grassland ecosystems may be of considerable importance for the global carbon cycle since soils of temperate grassland ecosystems are already estimated to hold a large stock of carbon, that is, 7 % of total global soil carbon (Jobbágy and Jackson, 2000). Therefore,  
300 we suggest that global terrestrial biosphere models (as reviewed by Fatichi et al., 2019) need to be elaborated with phenological and acclimation processes as interactions with below-ground processes (Gill et al., 2002; Riedo et al., 1998; Soussana et al., 2012) in order to estimate the carbon balance response of managed grassland ecosystems to global warming .

*Data availability.* The output data in this study are publicly accessible via contacting the first author.

*Author contributions.* GK developed the model with supports from RG and MO, and performed the simulations using the data collected by  
305 MM and ZM. GK prepared the manuscript with contributions from all co-authors.

*Competing interests.* We have no conflict of interest to declare.

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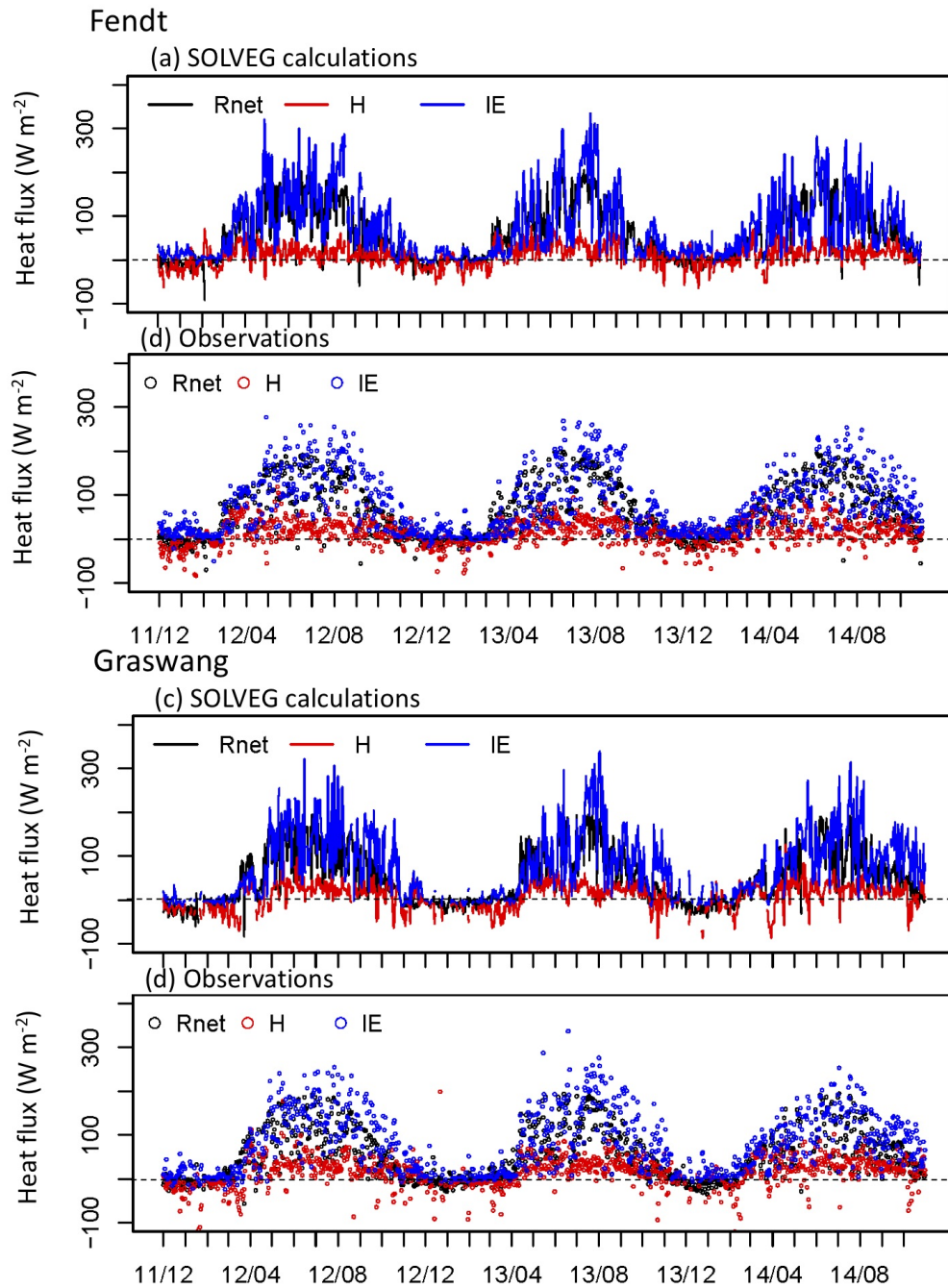
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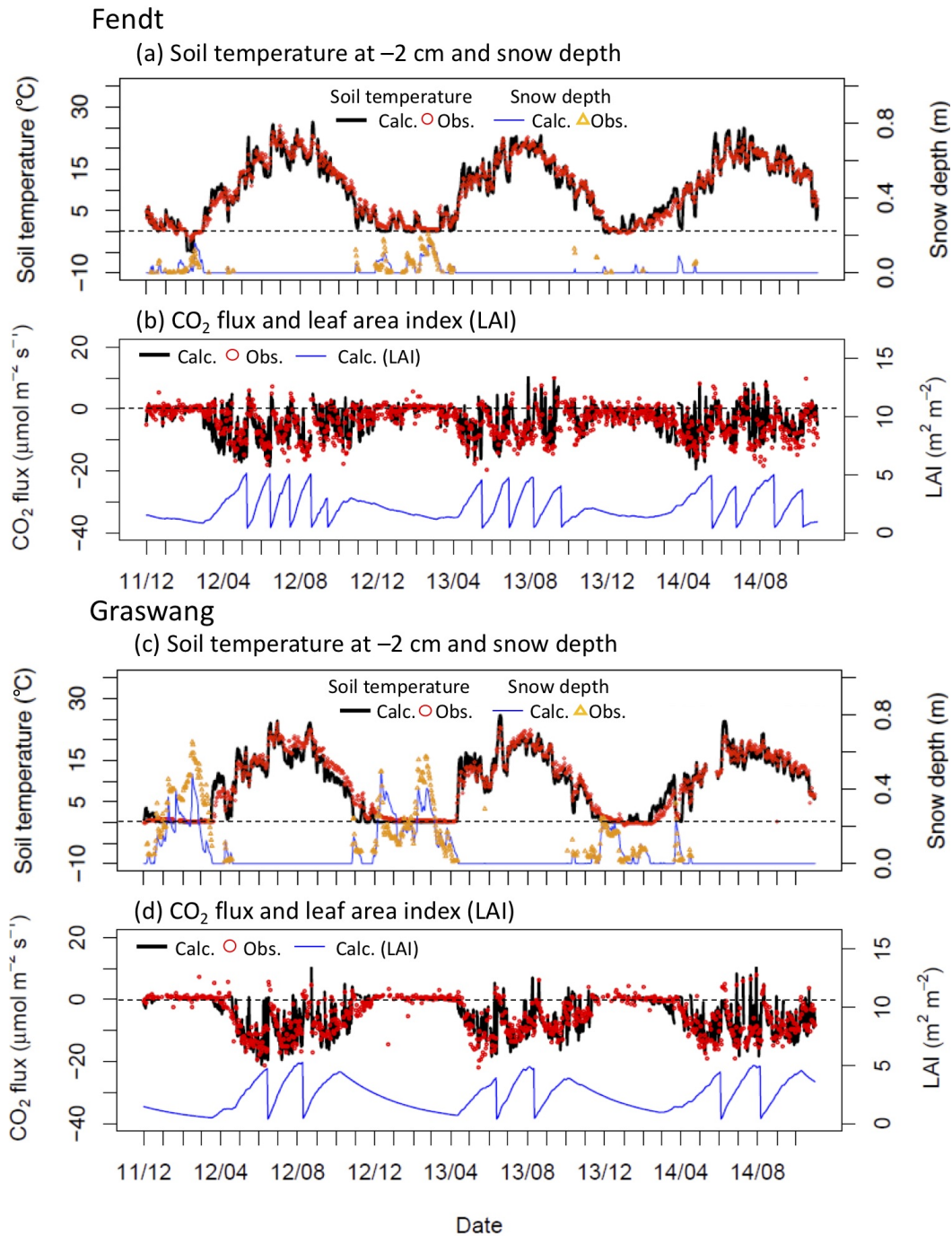
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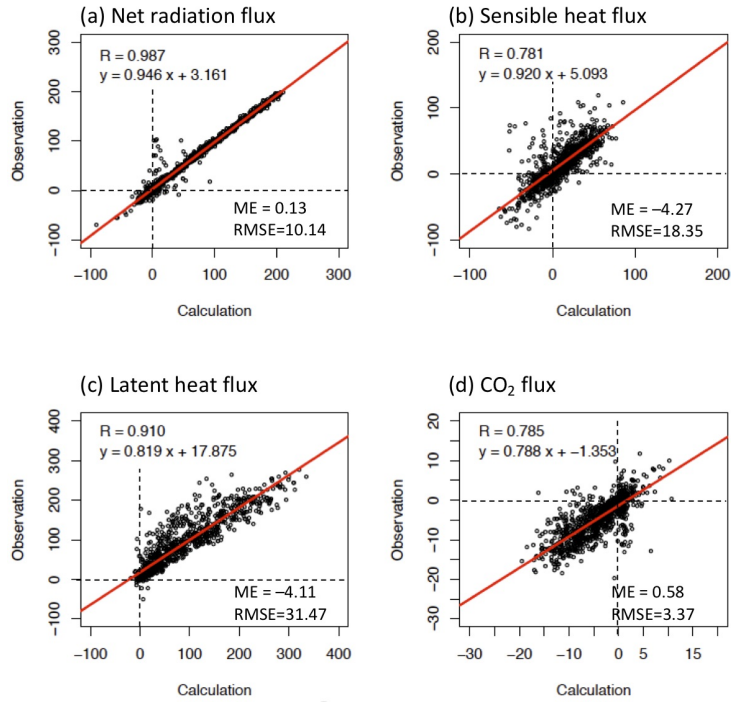


**Figure 1.** Time series for (a, c) calculated (lines) and (b, d) observed (open circles) daily mean net radiation ( $R_{net}$ ), sensible heat flux ( $H$ ), and latent heat flux ( $\lambda E$ ) at (a-b) Fendt and (c-d) Graswang throughout the study period.

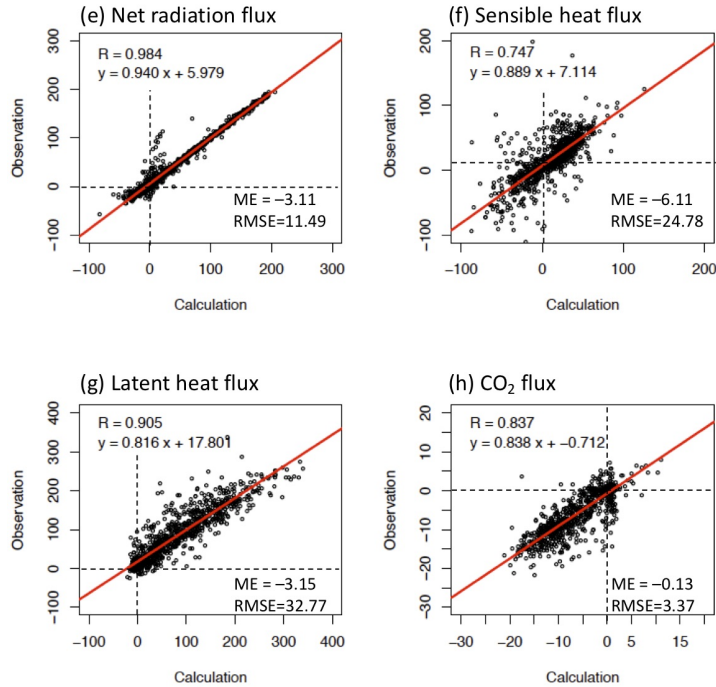


**Figure 2.** Time series for calculated (solid lines) and observed (open symbols) (a, c) daily mean soil temperature at a depth of 0.02 m, snow depth, and (b, d) CO<sub>2</sub> flux ( $F_{CO_2}$ ), and leaf area index (LAI) at (a-d) Fendt and (e-h) Graswang throughout the study period. Sudden decreases in calculated LAI in (b, d) represent grass cutting events.

## Fendt

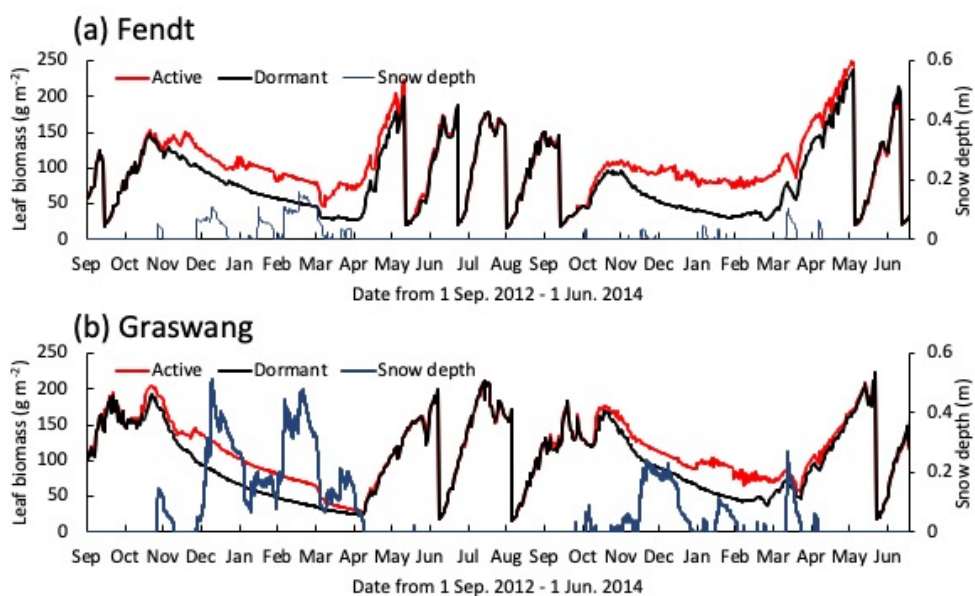


## Graswang

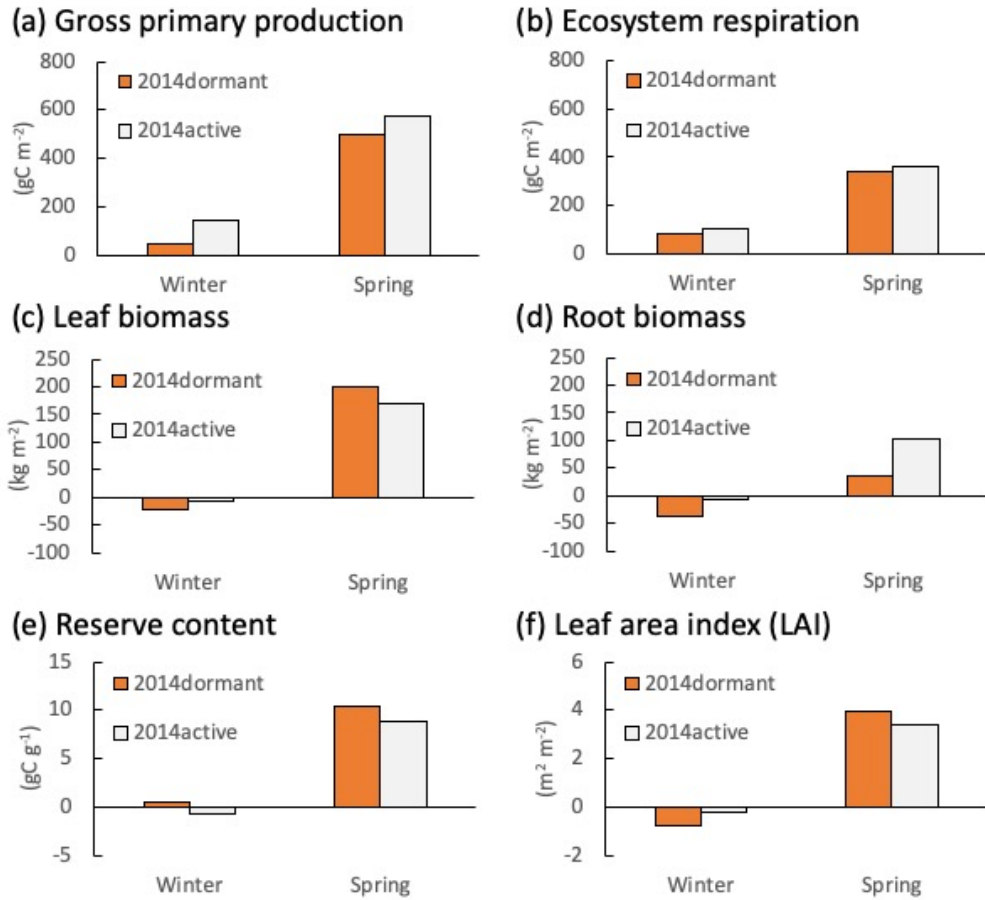


**Figure 3.** Scatter diagrams of calculated and observed (a, e) daily mean net radiation ( $R_{net}$ ), (b, f) sensible ( $H$ ) and (c, g) latent ( $\lambda E$ ) heat, and (d, h) CO<sub>2</sub> fluxes ( $F_{CO_2}$ ) at (a-d) Fendt and (e-h) Graswang for the study period.

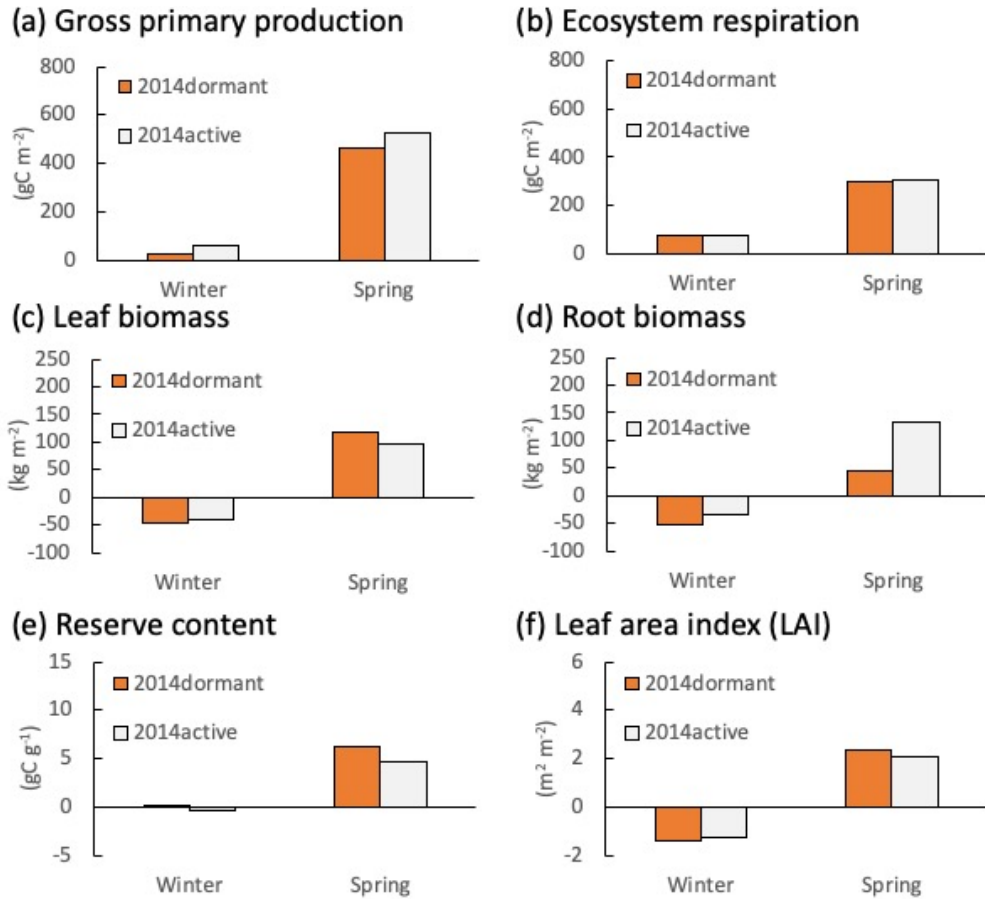
Fig.4



**Figure 4.** Time series for calculated leaf biomass and snow depth (blue lines) at (a) Fendt and (b) Graswang from 1 September, 2012 until 1 June, 2014, in active (red lines) and dormant cases (black lines).



**Figure 5.** Changes in calculated (a) gross primary production (GPP), (b) ecosystem respiration, (c) live leaf and (d) root biomasses, (e) reserve content, and (f) leaf area index (LAI) at Fendt during the winter (from December to February) and spring (from March to May) in 2014 in active (grey bars) and dormant cases (orange bars).



**Figure 6.** Changes in calculated (a) gross primary production (GPP), (b) ecosystem respiration, (c) live leaf and (d) root biomasses, (e) reserve content, and (f) leaf area index (LAI) at Graswang during the winter (from December to February) and spring (from March to May) in 2014 in active (grey bars) and dormant cases (orange bars).

**Table 1.** Characteristics of past or ongoing CO<sub>2</sub> flux observational sites over grassland ecosystems in European mountains. Snow-free CO<sub>2</sub> uptake (**bold font**) represents the situation of high negative values of CO<sub>2</sub> flux even during the wintertime (typically from December to February).

Site name	Elevation (m)	MAT (°C)	MAP (mm)	Snow-free CO <sub>2</sub> uptake	Number of cuts per year	Source
Chamau	393	9.8	1184	<b>Yes</b>	6-7	Zeeman et al. (2010)
Oensingen	452	9.5	1100	<b>Yes</b>	3	Ammann et al. (2009)
Rotholz	523	8.2	1151	No	3 + occasional grazing	Wohlfahrt et al. (2010)
Fendt	600	8.0	1100	<b>Yes</b>	4-6	Zeeman et al. (2017)
Rottenbuch	760	8.0	1000	<b>Yes</b>	5	Zeeman et al. (2017)
Graswang	865	6.0	1000	No	2	Zeeman et al. (2017)
Neustift	970	6.3	852	No	3	Wohlfahrt et al. (2008)
Frëbüel	982	7.5	1708	No	4	Zeeman et al. (2010)
Seebodenalp	1025	7.3	1327	No	2	Rogiers et al. (2005)
Dischma	1250	2.8	1022	No	2 + occasional grazing	Merbold et al. (2013)
Monte Bondone	1553	5.5	1189	No	1	Marcolla et al. (2010)
Torgnon	2160	3.1	880	No	0	Galvagno et al. (2013)

**Table 2.** Simulation settings for the modified SOLVEG at Fendt and Graswang sites. Abbreviations: DM, dry matter; DW: dry weight.

Items	Values	Key reference
Time step	100 s	This study
Numbers of layers	15, 8, and 7 for atmosphere, vegetation, and soil, respectively	This study
Soil layer boundaries	0.02, 0.05, 0.1, 0.2, 0.5, 1.0, and 2.0 m depth	This study
Vegetation layer boundaries	0.05-0.5 m height with an increment of 0.05 m	This study
Atmospheric layer boundaries	Vegetation layers and 0.6, 0.8, 1.2, 1.6, 2.0, and 4.0 m height	This study
Soil texture	Silt	This study
Porosity	$0.55 \text{ m}^3 \text{ m}^{-3}$	This study
Initial and bottom soil temperature	0 °C for all soil layers	This study
Snow layer thickness	5 mm	This study
Empirical parameter, $C_k$	8	Zhang et al. (2007)
Irreducible liquid water content in snow	$0.03 \text{ m}^3 \text{ m}^{-3}$	Hirashima et al. (2010)
Other parameters for snow and soil frozen sub-model		Same as Jordan (1991)
Maximum catalytic capacity of Rubisco at 25 °C	$45 \mu \text{ mol m}^{-2} \text{ s}^{-1}$	This study and within range of Wohlfahrt et al. (2001)
Dark respiration rate of leaves at 25 °C	$1.52 \mu \text{ mol m}^{-2} \text{ s}^{-1}$	Wohlfahrt et al. (2001)
Activation energy for dark respiration	$48.9 \text{ kJ mol}^{-1}$	Wohlfahrt et al. (2001)
Minimum stomatal conductance	$0.08 \text{ mol m}^{-2} \text{ s}^{-1}$	Wohlfahrt et al. (2001)
Threshold air temperature when photosynthesis starts, $T_{ph}$	1 and 11 °C at Fendt and Graswang	This study
Other parameters for vegetation sub-model		C3-grass (Nagai, 2004)
Initial leaf area index (LAI)	$1.5 \text{ m}^2 \text{ m}^{-2}$	This study
Initial carbohydrate storage	$100 \text{ kgDM ha}^{-1}$	This study
Initial root biomass	$7000 \text{ kgDM ha}^{-1}$	This study
Initial total tiller density	$1000 \text{ number m}^{-2}$	This study
Ratio of total generative tiller	0.1	Höglind et al. (2016)
Ratio of fast generative tiller	1.0	Höglind et al. (2016)
Initial total tiller density	$1000 \text{ number m}^{-2}$	This study
Initial stem biomass	$0 \text{ kgDM ha}^{-1}$	This study
Initial stubble biomass	$0 \text{ kgDM ha}^{-1}$	This study
Initial specific leaf area (SLA)	$0.002 \text{ m}^2 \text{ kgDW}^{-1}$	This study
Maximum SLA	$0.003 \text{ m}^2 \text{ kgDW}^{-1}$	Zeeman et al. (2017)
LAI after the grass cut	$0.5 \text{ m}^2 \text{ m}^{-2}$	This study
Critical LAI for self-shading	$4.5 \text{ m}^2 \text{ m}^{-2}$	Höglind et al. (2016)
Root life span (residence time)	$0.001 \text{ d}^{-1}$ (2.74 yr)	Höglind et al. (2016)
Other parameters related to BASGRA module		Same as Höglind et al. (2016)
Parameters for soil microbiological processes		Same as Ota et al. (2013)