

1 Supplement

2 Description of newly modeled processes

3 SOLVEG is a one-dimensional multi-layer model that consists of four sub-models for the
4 atmosphere near the surface, soil, vegetation, and radiation within the vegetation canopy. Since
5 full descriptions of the model are available in the papers by Nagai (2004), Katata (2009), Ota,
6 Nagai, and Koarashi (2013), and Katata & Ota (2017), we give details about the processes
7 newly modelled in the present study.

8

9 *Modeling snow accumulation and melting processes*

10 A multi-layer snow module is newly incorporated into the SOLVEG model. Most of the
11 variables in the following equations are based on either the Community Land Model (CLM:
12 Oleson *et al.*, 2010) or SNTHERM (Jordan 1991), while the model is unique in including the
13 gravitational and capillary liquid water flows in unsaturated snow layer based on van
14 Genuchten's concept of water flow in the unsaturated zone (c.f., Hirashima, Yamaguchi, Sati,
15 & Lehning, 2010).

16 The temporal change in snow temperature T_{sn} (K) is expressed by the heat conduction
17 equation based on Yamazaki (2001) as

$$18 \quad C_{sn}\rho_{sn} \frac{\partial T_{sn}}{\partial t} = \frac{\partial}{\partial z} \left(\lambda_{sn} \frac{\partial T_{sn}}{\partial z} \right) - \frac{\partial I_n}{\partial z} - l_f E_{smel} - lE_{sb}, \quad (1)$$

19 where C_{sn} and ρ_{sn} are the specific heat of snow ($\text{J kg}^{-1} \text{K}^{-1}$) and the density of the bulk snow
20 (kg m^{-3}), respectively, λ_{sn} is the thermal conductivity of snow ($\text{Wm}^{-1}\text{K}^{-1}$), I_n is the net solar flux

21 in the snow layer (W m^{-2}), l_f and l are the latent heats of fusion and sublimation (J kg^{-1}),
 22 respectively, and E_{smel} is the melting or freezing rate in the snow layer ($\text{kg m}^{-3} \text{s}^{-1}$), and E_{sb} is
 23 the sublimation rate of water vapor from the snow layer ($\text{kg m}^{-3} \text{s}^{-1}$). I_n is calculated as:

$$24 \quad (1 - r)(1 - A_b)S_{down} \exp(-\mu z), \quad (2)$$

25 where r is the absorptivity of solar radiation at the snow surface, A_b is the albedo of the snow
 26 surface as a sum of the direct and the diffuse visible and near-infrared solar and long-wave
 27 radiations (Wiscombe & Warren, 1980), and μ is the extinction coefficient of the solar radiation
 28 in the snow layer (Jordan, 1991).

29 The sublimation rate E_{sb} is calculated only at the snow surface by assuming that water
 30 vapor is saturated over the snow as:

$$31 \quad E_{sb0} = \sigma_{sn} \rho c_{E0} |u| [q_{sat}(T_{sn0}) - q_r], \quad (3)$$

32 where σ_{sn} is the fractional area of snow cover parameterized using physical snow height (Essery,
 33 Morin, Lejeune, & Menard, 2013), ρ is the density of air (kg m^{-3}), c_{E0} is the bulk coefficient,
 34 $q_{sat}(T_{sn0})$ is the saturated specific humidity (kg kg^{-1}) at the snow surface temperature T_{sn0} (K),
 35 and $|u|$ and q_r are the horizontal wind speed (m s^{-1}) and specific humidity (kg kg^{-1}) at the
 36 lowest atmospheric layer, respectively.

37 Melting or freezing rate in the snow layer is calculated from snow temperature as:

$$38 \quad E_{smel} = \frac{c_{sn} \rho_{sn} T_{sn} - T_m}{l_f} \frac{\partial T}{\partial t}, \quad (4)$$

39 where T_m is the melting point of 273.15 K. Using E_{smel} , the ice content in snow w_i (kg m^{-2}) at
 40 each snow layer is determined as:

41
$$\frac{\partial w_i}{\partial t} = -E_{smel}\Delta z, \quad (5)$$

42 where Δz is the snow layer thickness (m).

43 The mass balance equation for liquid water in the snow layer is given as:

44
$$\rho_w \frac{\partial \eta_{sw}}{\partial t} = \frac{\partial}{\partial z} \left(D_{sw} \frac{\partial \eta_{sw}}{\partial z} + K_{sw} \right) - E_{smel}, \quad (6)$$

45 where η_{sw} is the volumetric liquid water content ($\text{m}^3 \text{m}^{-3}$), D_{sw} is the liquid water diffusivity (m^2
 46 s^{-1}), K_{sw} is the snow unsaturated hydraulic conductivity (m s^{-1}), and ρ_w is the density of liquid
 47 water (kg m^{-3}) in the snow layer. The equations for D_{sw} and K_{sw} are similar to those for soil
 48 water content in the capillary region (Katata 2009), except for using the empirical parameters
 49 for the snow cover that are given by Hirashima *et al.* (2010).

50 Snow accumulation and compaction at each snow layer are modelled as:

51
$$\frac{1}{\Delta z} \frac{\partial \Delta z}{\partial t} = C_{snf} - C_{met} - C_{over} - C_{mel}, \quad (7)$$

52
$$C_{met} = c_1 \exp[-c_2(T_m - T_s) - c_3 \max(0, \rho_s - \rho_0)], \quad (8)$$

53
$$C_{over} = \frac{-P_s}{\eta_{sn}}, \quad (9)$$

54
$$C_{mel} = -\frac{1}{\Delta t} \max\left(0, \frac{f_{ice} - f_{ice}^+}{f_{ice}}\right), \quad (10)$$

55 where C_{snf} , C_{met} , C_{over} , and C_{mel} are the change rates in Δz (s^{-1}) due to snowfall, metamorphism,
 56 overburden, and melting, respectively, and f_{ice} and f_{ice}^+ the fractions of ice before and after the
 57 melting, respectively. C_{snf} is calculated as $S_f \rho_{fs} / \rho_w$, where S_f is the snowfall rate (mm s^{-1})
 58 given by either the input data or the empirical equation using total rainfall rate and wet bulb
 59 temperature (Yamazaki 2001), and ρ_{fs} the fresh snow density (kg m^{-3}) obtained by Boone (2002).

60 Values for the parameters in the above equations are given by Oleson *et al.* (2010).

61 Snow grain growth (i.e., change in grain size in the snow layer) is calculated based on

62 Jordan (1991) as:

$$63 \quad \frac{\partial d_{sn}}{\partial t} = \begin{cases} \frac{g_1 |U_v|}{d_{sn}} & \eta_{sw} = \eta_{swilt} \\ \frac{g_2}{d_{sn}} (\eta_{sw} + 0.05) & \eta_{swilt} < \eta_{sw} < 0.09, \\ 0.14 \frac{g_2}{d_{sn}} & 0.09 < \eta_{sw} \end{cases} \quad (11)$$

64 where d_{sn} is the snow grain diameter (m), U_v the mass vapor flux in the snow layer ($\text{kg m}^{-2} \text{s}^{-1}$),

65 and g_1 and g_2 the parameters. The formulation of U_v and the values of g_1 and g_2 are given by

66 Jordan (1991).

67 After the above calculations for temperature, liquid and ice water contents, and

68 accumulation and compaction in snow, the number of snow layers is adjusted by either

69 combining or subdividing layers (Jordan, 1991) to obtain the physical snow height.

70

71 *Modeling freeze-thaw process in soil*

72 In the soil module, freeze-thaw processes in soil are considered based on heat conduction

73 and liquid water flow equations as follows:

$$74 \quad C_s \rho_s \frac{\partial T_s}{\partial t} = \frac{\partial}{\partial z} \left(\lambda_s \frac{\partial T_s}{\partial z} \right) - l E_b - l_f E_{mel}, \quad (12)$$

$$75 \quad \rho_w \frac{\partial \eta_w}{\partial t} = \frac{\partial}{\partial z} \left(D_w \frac{\partial \eta_w}{\partial z} + K \right) - E_b - E_{mel}, \quad (13)$$

76 where C_s and ρ_s are the specific heat of soil ($\text{J kg}^{-1} \text{K}^{-1}$) and the density of the bulk soil (kg

77 m^{-3}), respectively, λ_s is the thermal conductivity of soil ($\text{W m}^{-1} \text{K}^{-1}$), l_f and l are the latent heat

78 of fusion and sublimation (J kg^{-1}), respectively, η_w is the volumetric soil water content (m^3
79 m^{-3}), D_w is the soil water diffusivity ($\text{m}^2 \text{s}^{-1}$), K is the unsaturated hydraulic conductivity (m
80 s^{-1}), E_b is the evaporation or condensation or sublimation of soil water ($\text{kg m}^{-2} \text{s}^{-1}$), and E_{mel} is
81 the melting or freezing rate in soil ($\text{kg m}^{-3} \text{s}^{-1}$). The soil water diffusivity D_w ($\text{m}^2 \text{s}^{-1}$) is
82 expressed by:

$$83 \quad D_w = K \frac{\partial \psi}{\partial \eta_w}, \quad (14)$$

84 where ψ is the water potential in the soil layer (m). ψ and K (m s^{-1}) in frozen soil are modeled
85 based on the concept of freezing point depression (Zhang, Sun, & Xue, 2007):

$$86 \quad \psi = \psi_{unfrozen} (1 + C_k \eta_i)^2, \quad (15)$$

$$87 \quad K = K_{unfrozen} 10^{-E_i \eta_i}, \quad (16)$$

88 where C_k and E_i are the empirical parameters, and $\psi_{unfrozen}$ and $K_{unfrozen}$ are the ψ and K in
89 unfrozen soil described by Katata (2009), respectively.

90 Ice content at each soil layer η_i ($\text{m}^3 \text{m}^{-3}$) is determined similar to snow ice content in Eq.
91 (5) as:

$$92 \quad \frac{\partial \eta_i}{\partial t} = -\frac{E_{mel}}{\rho_i}, \quad (17)$$

$$93 \quad E_{mel} = \frac{c_s \rho_s T_s - T_m}{l_f} \frac{\partial T}{\partial t}, \quad (18)$$

94 where ρ_i is the density of ice (kg m^{-3}).

95

96 *Modeling grassland vegetation growth and development*

97 To simulate the winter-related processes for grassland phenology such as leaf development
98 and senescence due to cold stresses, the relevant scheme in the grass growth model named
99 BASic GRAssland model (BASGRA; Höglind, Van Oijen, Cameron, & Persson, 2016) is
100 coupled with the vegetation sub-model of SOLVEG to simulate the vegetation growth.
101 BASGRA consists of the LINGRA grassland model (Van Oijen, Höglind, Hanslin, & Caldwell,
102 2005) with models for cold hardening and soil physical winter processes. The three main
103 features that characterize plant growth in BASGRA are: (1) simulation of source-sink relations
104 where the source consists both current photosynthesis and remobilization of reserves; (2)
105 simulation of leaf area dynamics and tillering for vegetative and generative tillers; and (3) cold
106 hardening and the effect of physical winter stress factors on tiller survival and plant growth.
107 BASGRA is evaluated by using experimental datasets of harvestable dry matter of perennial
108 rye grass collected in Europe (Schapendonk, Stol, van Kraalingen, & Bouman, 1998) and from
109 five locations in Norway, covering a wide range of agroclimatic regions, day lengths, and soil
110 conditions (Höglind *et al.*, 2016). Since the full description of BASGRA is available in Höglind
111 *et al.* (2016), only processes related to coupling SOLVEG with BASGRA are summarized
112 below.

113 Instead of the original scheme of photosynthetic processes in BASGRA, diurnal CO₂
114 assimilation is calculated as the accumulation of the net assimilation for each time step within
115 the vegetation sub-model (Nagai, 2004). The net CO₂ assimilation rate A_n , which is calculated
116 by subtracting the leaf respiration rate R_d ($\mu\text{mol m}^{-2} \text{s}^{-1}$) from the assimilation rate, is expressed
117 as

$$118 \quad A_n = \min(f_{cold}w_c, w_e, f_{cold}w_s) - R_d, \quad (19)$$

119 where the CO₂ assimilation rate is determined as the minimum of three limiting rates, that
120 is the limitation by efficiency of the photosynthetic enzyme system (Rubisco) w_c ($\mu\text{mol m}^{-2} \text{s}^{-1}$),
121 limitation by the absorbed photosynthetically active radiation (PAR) w_e ($\mu\text{mol m}^{-2} \text{s}^{-1}$), and
122 limitation by the capacity of leaf to export the products of photosynthesis w_s ($\mu\text{mol m}^{-2} \text{s}^{-1}$).
123 When snow covers grasses, no photosynthesis is assumed due to low light availability and only
124 soil respiration is considered. Furthermore, the reduction of photosynthesis under a low air
125 temperature is tested as the empirical factor for cold stress of grasslands (f_{cold}) as:

$$126 \quad f_{cold} = \min[1, \max\{0, (T_a + 4)/(T_{ph} + 4)\}], \quad (20)$$

127 where f_{cold} is the empirical factor for cold stress of grasslands, T_a ($^{\circ}\text{C}$) is the daily and vertical
128 mean air temperature for all canopy layers, and T_{ph} ($^{\circ}\text{C}$) is the threshold air temperature above
129 which grasslands are photosynthetically active. The determination of the value of this threshold
130 temperature is important to avoid the overestimation (mainly from fall to winter) of
131 photosynthesis at a low temperature (Höglin *et al.*, 2011). In the original BASGRA, T_{ph} is set
132 to 1 $^{\circ}\text{C}$; that is, V_{cmax} starts decreasing linearly when T_a drops below 1 $^{\circ}\text{C}$ until it becomes zero
133 at -4 $^{\circ}\text{C}$. However, in the SOLVEG simulation, since the values of T_{ph} may change depending
134 on environmental conditions, this value is calibrated for each site so that the model reproduces
135 the observed CO₂ flux during the extremely warm winter period.

136 Carbon from photosynthesis and remobilized reserves is allocated between sinks
137 according to the method of BASGRA based on changing sink priorities and changing sink
138 strengths. Sink strengths are determined by the dynamics of leaves and stems and the
139 acclimation to a low temperature. The major occasional disturbance during the growing season
140 is the removal of tillers and leaves by cutting, with subsequent regrowth of the sward. The

141 regrowth rate after cutting depends on the phenological stage at which cutting takes place and
142 on the strengths of the sources and sinks.

143 BASGRA uses a so-called “big-leaf” approach (Monteith, 1981), thus predicting total LAI
144 of the whole grass vegetation canopy. Since SOLVEG uses a multi-layer structure of canopies,
145 the profile of leaf area density is obtained by simply dividing total LAI by canopy height (h) by
146 assuming vertically uniformity for all canopy layers. Canopy height, which is not simulated in
147 BASGRA, is calculated by the following function of LAI (c.f., Ammann, Flechard, Leifeld,
148 Neftel, & Fuhrer, 2007; Thornley & France, 2007) with fitting parameters:

$$149 \quad h = 0.025LAI^{1.2}. \quad (21)$$

150 The natural turnover of leaves and roots is modeled using typical life spans in years (Arora
151 & Boer, 2005), while BASGRA does not simulate the senescence of elongating tillers or roots.
152 The fraction of roots in soil layers and rooting depth are modeled as a function of root biomass
153 (Arora & Boer, 2003). Daily amounts of the dead root biomass (root litter) are used as inputs to
154 SOC in the soil sub-model of SOLVEG.

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