



1 Manuscript title:

2 **High-throughput screening of sediment bacterial communities from Oxygen Minimum Zones of the northern**  
3 **Indian Ocean**

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29 **ABSTRACT:**

30           The Northern Indian Ocean host two recognized Oxygen Minimum Zones (OMZ): one in the Arabian Sea  
31 and the other in the Bay of Bengal region. The next-generation sequencing technique was used to understand the  
32 total bacterial diversity from the surface sediment of off Goa within the OMZ of Arabian Sea, and from off Paradip  
33 within the OMZ of Bay of Bengal. The dominant phyla identified include Firmicutes (33.06%) and Proteobacteria  
34 (32.44%) from the Arabian Sea, and Proteobacteria (52.51%) and Planctomycetes (8.63%) from the Bay of Bengal.  
35 Statistical analysis indicates that bacterial diversity from sediments of the Bay of Bengal OMZ is ~48% higher than  
36 the Arabian Sea OMZ. Diverse candidate bacterial clades were also detected, whose function is unknown, but many  
37 of these were reported from other OMZs as well, suggesting their putative role in sediment biogeochemistry.  
38 Bacterial diversity from the present study reveals that the off Paradip site of Bay of Bengal OMZ is highly diverse  
39 and unexplored in comparison to the off Goa site of the Arabian Sea OMZ. Functional diversity analysis indicates  
40 that the relative percentage distribution of genes involved in methane, nitrogen, sulfur and many unclassified energy  
41 metabolisms is almost the same in both sites, reflecting a similar ecological role, irrespective of the differences in  
42 phylotypic diversity.

43  
44 **Keywords:**

45 OMZ, sediment bacteria, 454 pyrosequencing, Arabian Sea, Bay of Bengal, functional ecology.

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## 57 1. INTRODUCTION

58 The Northern Indian Ocean comprises of two major ocean basins: the Arabian Sea (AS) in the west and the  
 59 Bay of Bengal (BB) in the east. Even though both these basins are roughly placed in the same latitude, they differ in  
 60 many aspects. This includes differences in average salinity, primary productivity, denitrification rates, the intensity  
 61 of mesoscale eddies, contrasting transport of dissolved oxygen, and organic matter. (McCreary Jr et al., 2013). Both  
 62 these basins experience intense oxygen depletion below the mixed layer of the water column, where the dissolved  
 63 oxygen (DO) falls below  $20\mu\text{M}$  (Rao et al., 1994). The sequence of electron acceptor utilization in such an  
 64 environment, generally follow the thermodynamic energy yield (Froelich et al., 1979). The OMZs are also termed as  
 65 NMZ (Nitrite maxima  $>5\mu\text{M}$ / Nitrate deficient  $>10\mu\text{M}$ ) or CMZ (Carbon maxima: DIC, dissolved inorganic carbon  
 66  $>2255\text{--}2350\mu\text{M kg}^{-1}$ ) in the area's where dissolved oxygen depletion is more pronounced (Paulmier et al., 2011).  
 67 The dissolved Manganese (d-Mn) maxima regions localized within these OMZ likely have an oxygen scavenging  
 68 effect which intensifies OMZ further (Lewis and Luther III, 2000).

69 Though the OMZs are inhospitable to aerobically respiring organisms, it acts as a comfortable niche for  
 70 microorganisms that can use alternative pathways of respiration (Diaz and Rosenberg, 2008). It is vital to understand  
 71 the dominant microbial taxa's and also their functional ecology to throw light on the biogeochemistry of these  
 72 oxygen-depleted zones (Rajpathak et al., 2018). Surface sediment underlying OMZs entraps all recent microbial  
 73 signatures of the water column above (Gerdes et al., 2000), hence will be interesting to explore and compare such  
 74 benthic OMZ ecosystems, especially those located in shallow zones. The abundant bacterial communities in the  
 75 eastern Arabian Sea sediments underlying OMZ were attributed to be phylum Proteobacteria and Planctomycetes  
 76 (Divya et al., 2011). Bacteroidetes, Acidobacteria, Actinobacteria, and Firmicutes dominance are also expected,  
 77 which form an integral part of soil/sediment habitat (Lv et al., 2014).

78 With the advent of molecular techniques over the last decade, a large volume of a database has been  
 79 generated based on 16S rRNA genes that help to elucidate the bacterial community structure (Hodkinson and Grice,  
 80 2015). Phylogenetic profiling, using next-generation sequencing (NGS) techniques like 454 (longer reads) and  
 81 Illumina (shorter reads) offer high-resolution data from complex environments (Claesson et al., 2010). By utilizing  
 82 algorithms such as PICRUSt, it is also possible to predict functions from 16S rRNA microbiome data (Langille et  
 83 al., 2013). The available data on the microbiome of Northern Indian Ocean OMZ using such high-throughput  
 84 sequencing technique has chiefly restricted to pelagic OMZs (Fernandes et al., 2019; Rajpathak et al., 2018). A



85 similar high-resolution study from benthic OMZ is limited to some functionally significant groups rather than total  
 86 bacterial community (Fernandes et al., 2018). Fine details of sediment bacterial communities beneath the OMZs of  
 87 northern Indian Ocean are lacking and needs special attention.

88 The objective of our work was to compare the surface sediment bacterial diversity within two major OMZs  
 89 in the northern Indian Ocean utilizing NGS technique. We used long-read 454 pyro-sequencing technology,  
 90 targeting v1-v3 hypervariable region of 16S ribosomal RNA (rRNA) gene to examine bacterial community  
 91 composition in perennial OMZ locations in the Arabian Sea, (off Goa: GS1A) and the Bay of Bengal (off Paradip:  
 92 PS1B). Functional gene profiling was done utilizing PICRUSt algorithm to understand the relative distribution of  
 93 ecologically significant genes. Our data shows intense oxygen depletion at both sampled locations, i.e., near-bottom  
 94 water dissolved oxygen was  $2 \pm 0.4 \mu\text{M}$  at the time of sampling and had comparable salinity as well as water column  
 95 depth. Diversity studies are essential in understanding ecosystem processes and defining the role of microbes  
 96 relevant to the study area.

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## 98 2. MATERIALS & METHODS

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### 100 2.1. Sample collection and site characteristics

101 Sediment samples were collected from off Goa region of AS-OMZ, GS1A ( $15^{\circ}13'\text{N}$ ,  $72^{\circ}56'\text{E}$ ; February  
 102 2013) and off Paradip region of BB-OMZ, PS1B ( $19^{\circ}57'\text{N}$ ,  $86^{\circ}46'\text{E}$ ; August 2014), located in the northern Indian  
 103 Ocean and corresponding water column depth was  $\sim 200\text{m}$ . Box corer was used to retrieve the undisturbed sediment  
 104 sample. The sediment core was carefully sub-sampled using acrylic core liner (25 mm ID,  $\sim 30\text{ cm}$  length), from the  
 105 center of the core in order to avoid mixing of the sample. The 0-5 cm subsections of samples were transferred into a  
 106 sterile screw-cap container. Samples were handled aseptically and preserved at  $-20^{\circ}\text{C}$  until further analysis. The  
 107 Temperature/Salinity profiling of the water column above the sediment was carried out using a Sea-Bird Electronics  
 108 CTD (conductivity-temperature-depth) - rosette sampling system, Model SBE9, fitted with Niskin/ Go-Flo bottles.  
 109 Dissolved oxygen (DO) sensor (RINKO from ALEC, Japan) was attached to the same.

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## 111 2.2. Sediment characterization

112 The sediments were freeze-dried, homogenized, and ground in an agate mortar prior analysis. Total carbon  
 113 (TC) and nitrogen (TN) were analyzed in CN analyzer (FISONS NA1500) using the method described (Bhushan et  
 114 al., 2001). Total organic carbon (TOC) content was estimated using a colorimetric based wet oxidation method,  
 115 which is reported to be highly reproducible (Azam and Sajjad, 2005). Inorganic carbon (TIC) was determined as the  
 116 difference between TC and TOC (Bernard et al., 1995). Organic matter (OM) was calculated by multiplying TOC  
 117 with Van Bemmelen's factor 1.724, based on the assumption that humidified organic matter of soil contains 58%  
 118 carbon, but it could vary from 40-60% (Nelson and Sommers, 1982). For  $\text{CaCO}_3$  calculation, TIC was multiplied  
 119 with 8.33 to get the relative percentage (Bernard et al., 1995).

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## 121 2.3. Genomic DNA extraction and 454 Pyrosequencing

122 Total genomic DNA was extracted from 400-500 mg of the sediment samples in triplicates, using the Fast  
 123 DNA<sup>TM</sup> SPIN Kit for Soil (MP Biomedicals, Santa Ana, CA). The purified DNA was quantified using Nanodrop  
 124 2000 spectrophotometer (ThermoScientific, USA) and visualized on an Agarose gel (0.8%) to determine the quality  
 125 of the extracted DNA. The gel was viewed using AlphaImager Gel documentation system after staining with  
 126 Ethidium Bromide (EtBr). The extracted DNA was pooled and amplified using barcoded fusion primers targeting  
 127 the v1-v3 region of the 16S rRNA gene using the universal primer 9F (AGAGTTTGATCMTGGCTCAG) and 541R  
 128 (ATTACCGCGGCTGCTGG). The mixed amplicons were subjected to emulsion PCR and then deposited on  
 129 picotiter plates (Agilent). Amplification condition are as follows: initial denaturation at 95°C for 5 min,  
 130 followed by 30 cycles of denaturation at 95°C for 30 sec, annealing at 55°C for 30 sec, and extension at 72°C for 30  
 131 sec, with a final elongation at 72°C for 5 min. The detailed procedure of pyrosequencing is described elsewhere  
 132 (Suh et al., 2014). Sequencing was performed by Chunlab Inc. (Seoul, Korea) using the 454 GS FLX Titanium  
 133 Sequencing system (Roche Branford, CT, USA) per the manufacturer's instructions.

134

## 135 2.4. Sequence data processing

136 Amplicon pyrosequencing data were processed using the QIIME software package, ver. 1.7. (Caporaso et  
 137 al., 2010). Chimaera's and primer mismatch was removed from 454 PCR amplicons by Amplicon Noise software,  
 138 ver.1.27 (Quince et al., 2011), using the FLX Titanium sequence data platform implemented in QIIME. Sequences



were clustered into operational taxonomic units (OTUs) at 97% sequence similarity using the program CD-HIT (Edgar, 2010). Average read length of PCR amplicons was 378±45 bp. The resulting reads were taxonomically classified based on similarity scores in both the BLASTN searches (E-value >10<sup>-5</sup>) through EzBioCloud 16S database (2014.07.01) and pairwise alignments using the Greengenes database (release 13.5) based on RDP classifier method (ver.14) (Im et al., 2012). The cut-off values used for taxonomic assignments were as follows (x = similarity): species (x ≥ 97%), genus (97% > x ≥ 94%), family (94% > x ≥ 90%), order (90% > x ≥ 85%), class (85% > x ≥ 80%) and phylum (80 > x ≥ 75%). If the similarity was lower than the specific cut-off value, the sequence was assigned as 'unclassified,' un (Chun et al., 2007). The richness and diversity indices were calculated using the Mothur platform (Schloss et al., 2009). CLCommunity™ v.3.46 was used for data visualization.

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## 149 2.5. Functional prediction of metagenome

PICRUSt (Phylogenetic Investigation of Communities by Reconstruction of Unobserved States) algorithm was used to predict the functional composition of a microbial community metagenome from its 16S rRNA profile (Langille et al., 2013). It predicts gene families that are already known and included in the orthology reference used in Kyoto Encyclopaedia of Genes and Genomes (KEGG). The final output of this workflow was quantified in terms of predicted gene abundances per sample per OTUs. Only genes whose function which are ecologically significant were considered for a graphical representation (Fig. 5).

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## 157 3. RESULTS

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### 159 3.1. Sample characteristics

In the present study, both sampled sites showed intense oxygen depletion, i.e., near bottom DO was ~2μM, hence in the underlying sediments predicted DO level would be much lower. The OM was highest in GS1A and accordingly TOC and TN. The TOC/TN ratio was slightly high for PS1B sample. TIC was significantly different between the two sites, i.e., in GS1A, 8.11%, while PS1B was as low as 0.29%. Near bottom water, characteristics were comparable, including salinity and DO, whereas temperature was 3°C apart, possibly due to the 40m difference in depth. Complete details are presented in Table 1.

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### 167 3.2. Diversity analysis

168 A total of 17,784 reads were pyro-sequenced, from which, 10,069 high-quality reads with a mean length of  
 169 approx. 470-480 bp., were obtained, after read pre-processing combining two stations. The OTU richness estimators  
 170 such as Chao1, ACE, Jack-knife, and OTU diversity estimators like Simpson and Shannon index indicate sediments  
 171 underlying oxygen-depleted water columns in the northern Indian ocean is rich in bacterial diversity. The PS1B site  
 172 of BB-OMZ is more diverse than GS1A site of AS-OMZ (Table 2). The rarefaction curve calculated with Mothur at  
 173 97% similarity also showed a similar pattern (Fig. 1). The Shannon index values are 4.37 and 6.97 for GS1A and  
 174 PS1B, respectively. Simpson diversity index ranged between 0 and 1, where a value of 1 represents infinite  
 175 diversity, while 0 represents no diversity. The obtained values were 0.934 (GS1A) and 0.998 (PS1B), which are  
 176 close to 1, thus confirming that the microbial population in the sampled area is highly diverse. Alpha diversity  
 177 indicator Chao 1 and ACE compute asymptotic species richness for abundance-based data, while Jackknife gives  
 178 incidence-based data, the latter being a cross-validation technique to estimate the bias of species richness estimators  
 179 used (Colwell and Coddington, 1994). The predicted bias-corrected estimator like Jackknife suggests that the OTU  
 180 richness is 3 to 4 fold higher than that is observed. The estimated OTUs were highest in PS1B. The Goods  
 181 nonparametric coverage estimator indicates that the present, long read 454 pyrosequencing technique was successful  
 182 in recovering ~70 and 90 % of bacterial phylotypes from PS1B and GS1A respectively.

### 184 3.3. Taxonomic composition

185 Slightly different results were obtained for BLASTN searches, and pairwise alignments, however dominant  
 186 communities and their relative percentage remained the same. A total of 30 phyla were identified using BLASTN  
 187 search, of which 24 phyla were common to both zones based on Greengenes database v.13.5. While BLASTN  
 188 search using EzBioCloud 16S database resulted in a total of 48 phyla, of which 29 were specific to both site. This  
 189 difference mainly occurred because the unclassified bacterial phylum was differentiated further into 9 unnamed  
 190 phyla of which 4 phyla identified are novel and also to 10 classified phyla of which 8 were candidate phyla. The  
 191 comparison from phylum to species obtained through EzBioCloud is presented in Fig. 2, which shows the number of  
 192 taxa that are unique to each site and common in both areas. Analyzing through Greengenes database helped to  
 193 classify as specific clades, which are commonly found in the literature (Fig. 3), whereas EzBioCloud was useful for  
 194 species-level taxonomic assignment.



195 The dominant bacterial phyla are Firmicutes (33.06%), Proteobacteria (32.44%), Bacteroidetes (17.19%),  
 196 and Chloroflexi (4.93%) in GS1A and Proteobacteria (52.51%), Planctomycetes (8.63%), Actinobacteria (6.64%),  
 197 Firmicutes (5.99%) Acidobacteria (4.51%) and Chloroflexi (4.1%) in PS1B, contributing >80% of total bacterial  
 198 community (Fig. 4). The other dominant phyla ( $\geq 1\%$ ) recovered from site GS1A are Actinobacteria (2.12%) and  
 199 Planctomycetes (1.08%) and from PS1B site are Bacteroidetes (2.42%), Cyanobacteria (1.67%), Gemmatimonadetes  
 200 (1.19%) and Nitrospirae (1.19%). The relative abundance of identified candidate phyla ranged between 1.84 to  
 201 1.85% based on the Greengenes database, while Ezbiocloud analysis suggests its contribution is 2.88% and 2.23%  
 202 respectively in GS1A and PS1B. This difference in the results arises from the fact that Ezbiocloud could assign more  
 203 OTUs as candidatus and other low diverse unclassified phyla contributed  $\leq 2\%$ . For more details, please refer  
 204 Supplementary information A1 and A2. Taxonomic composition of shared OTUs from phylum to species level  
 205 decrease from 61.07% to 16.73%; whereas the unique OTUs of PS1B increase from 21.28% to 58.21%; and for  
 206 GS1A it is 17.02% to 25.06% (Fig. 2), suggesting PS1B is highly diverse in comparison to GS1A, but dominance  
 207 wise an inverse relationship was obtained. These differences could mainly be attributed to differences in sediment  
 208 characteristics in the sampling sites (Table 1).

209 Analysis of 105 bacterial classes recovered from the current data set showed that there is still >50%  
 210 similarities between the communities in the two sites. This might indicate that these shared classes might play  
 211 ecologically significant roles in the OMZ sediment-water interface. The dominant classes in GS1A include Bacilli  
 212 (32.97%), Gammaproteobacteria (18.49%) and Flavobacteria (17.14%) and in PS1B include gamma, alpha delta-  
 213 Proteobacteria (23.88%, 19.49%, 10.91% respectively) followed by Planctomycetales (6.76%) making up a total of  
 214 60-70% of the total bacterial community in northern Indian Ocean OMZ. The other Proteobacteria sub-classes like  
 215 beta (0.12%) and epsilon (0.24%) were recovered only from the PS1B site, but their overall contribution is not very  
 216 significant. Dominant bacterial orders at 10% cut-off, recovered exclusively from AS-OMZ include Bacillales  
 217 (32.97%), majorly Planococcaceae (26.09%) family members followed by Flavobacteriales (17.14%) and  
 218 Oceanospirillales (13.16%), while from PS1B Steroidobacter\_o (12%) and Rhizobiales (11.07%) were the most  
 219 dominant bacterial order.

220 Bacteria which make up  $\geq 1\%$  were considered to be abundant (Fig.3). In GS1A most abundant groups were  
 221 Planococcaceae\_uc (24.09%) which were identical to *Paenisporosarcina quisquiliarum* like sp., followed by Arctic  
 222 sea ice bacterium\_ARK9985\_un (16.94%) similar to *Salegentibacter mishustinae*. Both these communities were not





present in PS1B site. Similarly, other dominant groups like *Alcanivoracaceae\_uc* (3.84%) similar to *Alcanivorax venustensis*, *Photobacterium\_uc* (2.69%) similar to *Photobacterium indicum* and *Bacillus agaradhaerens\_uc* (2.62%) were unique to GS1A site. This emphasizes the spatial variability in bacterial diversity between the two OMZ sites within the northern Indian Ocean. Gammaproteobacteria\_uc (11.34%) were highly abundant in GS1A as well as in PS1B (18.79%). Deltaproteobacteria; NB1-j\_uc was equally distributed in both sites (1.63%). Similarly, Bacilli\_uc (3.92/1.65%) and Rhodobacter\_uc (2.54/1.04) were also recovered in significant proportions from both sites. High dominance of Alphaproteobacteria\_uc was recovered (13.45%) from PS1B site, while in GS1A its contribution was only a tenth (1.21%) of that recovered from PS1B. All remaining groups recovered were  $\leq 5\%$ , which include clades like LO133, koll13\_JTB31, RB25, BPC102, SOGA31, and Hyd89-23. The relative distribution of all dominant clades in both sites is represented in Fig. 3.

### 3.4. Predicted functional ecology

PICRUSt analysis has identified a high proportion of genes involved in methane metabolism, followed by nitrogen and sulfur metabolisms (Fig. 5). For a large proportion of the genome, functions could not be assigned clearly, as many novel phyla were recovered in the present study. As Firmicutes was abundant in GS1A, sporulation genes were 4 fold higher. Carbon fixation by non-photosynthetic bacteria outcompete photosynthetic bacteria as sample were from ~ 200m water column depth, where light penetration is negligible, and their proportion was higher in GS1A site of AS-OMZ. Genes involved in metabolizing inorganic ions like iron, manganese, and similar redox-sensitive elements were equally abundant as the sulfur metabolic genes. The PICRUSt analysis also suggests the existence of many unclassified metabolic pathways, which might correspond too many secondary metabolite pathways, xenobiotic compound degradation pathways, etc. Putative bacterial representatives groups involved in biogeochemical cycling were identified, and their functional role is discussed in detail in the discussion section, and these results are also supported by predictive functional profiling.

## 4. DISCUSSION



#### 249 **4.1. Northern Indian Ocean OMZ characteristics**

250 The BB-OMZ (10 $\mu$ M) is reported to be less intense than AS-OMZ (2 $\mu$ M) (Paulmier, 2009). In the present  
 251 study, both sampled sites showed intense oxygen depletion, i.e., DO  $2\pm 0.4$   $\mu$ M (Table 1). Intensified OMZ has been  
 252 reported previously as well in the BB, especially in the shallow zones. During the summer monsoon, due to the  
 253 influence of riverine nutrient loading, the DO concentration had gone below the detection limit (Sarma et al., 2013).  
 254 The AS-OMZ between the water depths of ~100/150 – 1000/1200m is the thickest, compared to Pacific Ocean  
 255 OMZs, and is identified as the primary site of fixed nitrogen loss (Naqvi et al., 2006). However, in the BB-OMZ,  
 256 nitrogen loss is not very significant as its limited by substrate availability (Bristow et al., 2017). Also, BB is reported  
 257 to be less productive compared to AS, (Prasanna Kumar et al., 2002); hence, the organic carbon and nitrogen load in  
 258 sediments are lower. Such a difference is reflected in our study, as well. Despite comparable DO level, the TOC and  
 259 TN content was 0.64 and 0.56 fold lower in BB-OMZ to AS-OMZ but were still higher than non-OMZ surface  
 260 sediments which are as low as 0.2 and 0.02 wt. %, respectively (Pattan et al., 2013). The possible reason for higher  
 261 TN values in sediment underlying OMZ could be due to early nitrogen burial (Robinson et al., 2012), or due to of  
 262 active re-mineralization processes that are reported to be very common in such ecosystems (Bohlen et al., 2011).  
 263 The OMZs enhance preservation of organic matter and thus reported values of TOC ranges from ~1-2 to 6-7%  
 264 (Cowie et al., 2014) and TOC/TN ratios within 7.3 – 12.3 (van der Weijden et al., 1999). The TIC difference could  
 265 be attributed to the difference in CaCO<sub>3</sub> content caused by increased carbon sequestration (Sarma et al., 2007) and  
 266 also because of abundant shelled meiobenthic fauna (Ramaswamy and Gaye, 2006) in AS compared to BB region of  
 267 northern Indian Ocean.

268

#### 269 **4.2. OMZ sediment bacterial diversity and richness**

270 Pyrosequencing based 16S rRNA gene surveys are increasingly utilized to study bacterial community  
 271 structure distribution (Youssef et al., 2009). Although this has been replaced by massively parallel sequencing  
 272 technique like Illumina, the pyrosequencing based approach is more advantageous, for taxonomic assignments,  
 273 because of the long read length. The bacterial community composition from different marine realms reveals that  
 274 microbial taxonomic richness is highest in OMZ (Walsh et al., 2015). In marine sediments, Shannon index (H), an  
 275 indicator of diversity, can be as high as 6.76, which is still lower compared to freshwater and intertidal sediments  
 276 (Wang et al., 2012). An earlier study carried out in deep benthic eastern AS-OMZ utilizing traditional sequencing



method has reported a similar Shannon diversity index of 4.4 (Divya et al., 2011). Reports are scarce from BB-OMZ benthic OMZ; however, in pelagic OMZ comparable values are recorded at 200m. i.e., 6.221 for Shannon and 0.95 for Simpson index (Fernandes et al., 2019). This regional differences between AS and BB OMZ are not uncommon, e.g., in south and east China Sea sediment samples, H index is 2.52 and 7.96 respectively (Zhu et al., 2013; Dang et al., 2008), while in our study H index was 4.37 and 6.97 for OMZs of AS and BB. The predicted richness estimators suggest that sediment OMZ bacterial communities are even richer than coastal microbial mats (Bolhuis and Stal, 2011). In the pelagic BB-OMZ Chao 1 predictor has estimated 4697 OTUs (Fernandes et al., 2019) while in the benthic OMZ based on our study it was estimated as high as 7617, almost 0.6 fold higher, thus indicating that the sediment below BB-OMZ is more diverse than the sediment below AS-OMZ and pelagic OMZ of BB. Fig. 2 depicts that the number of unique OTUs were more in BB-OMZ, and according to BLAST similarity search, many clades seems to be novel and assigned taxa's are mostly environmental clusters. In the present era of next-generation sequencing, immense data on the microbiome have accumulated and hence utilizing tools of diversity indices are required to compare microbial community structures.

#### 4.3. Bacterial community structure in OMZ

In the eastern AS-OMZ surface sediment, nearly 14 phyla were identified using Sanger sequencing technique, majority of which were Proteobacteria (52%), Planctomycetes (12.7%) and Chloroflexi (8.8%) (Divya et al., 2011). Similarly, in another study carried out utilizing NGS technique confirms Proteobacteria to be the dominant phylum making up 70-75% in all six sites within benthic OMZ of AS followed by Bacteroidetes. Chloroflexi and Firmicutes were also recovered in considerable number, (Fernandes et al., 2018). The dominance of Proteobacteria is well documented in the marine ecosystem (Wang et al., 2012). The sediments collected from off Paradip port, which is roughly 27 nautical miles from PS1B, close to 40 phyla's were reported utilizing the high-throughput technique. The relative contribution of the phylum Proteobacteria was only 17%, which was lesser than Bacteroidetes (23%) and Firmicutes (19%) (Pramanik et al., 2016), and these results are comparable to GS1A, which is geographical separated. However, Proteobacteria was the most divergent phylum in the studied AS-OMZ as well with 215 OTUs. The notable differences in the relative dominance of various taxa suggest that more than DO, factors such as the availability of nutrients or organic carbon alter the benthic bacterial community structure (Fierer and Jackson, 2006). However, in pelagic OMZ, DO play a significant role in structuring bacterial community (Stewart et al., 2012).



305 The candidate phyla GN02, OD1, TM6, TM7, and WS3, were prevalent in ESP pelagic OMZ as well (Ulloa  
 306 et al., 2013; Ganesh et al., 2014), implying that they have an essential role in OMZ nutrient cycling. Candidate phyla  
 307 GN02, OP3, OP8, were unique to benthic OMZs of northern Indian Ocean. A total of 13 candidate phyla were  
 308 obtained, the prevalence of such “microbial dark matter” bacterial communities with such vast diversity in the OMZ  
 309 suggests, that they have a critical role in ocean biogeochemistry, however, the roles they play are not understood yet.  
 310 The single-cell genomics approach is required to understand the coding potential of these “bacterial dark matter” in  
 311 OMZ (Rinke et al., 2013).

312 The clade Woeseiaceae/JTB255 is recognized as the most abundant clade in marine sediment, having a  
 313 cosmopolitan distribution. Moreover analyzed metagenomes of JTB255 are known to encode truncated  
 314 denitrification pathway to nitrous oxide (Mußmann et al., 2017). Since denitrification mediated nitrogen loss is  
 315 reported to be dominant in Arabian Sea OMZ, we expected to get more hits in our microbiome (Ward et al., 2009).  
 316 However few representative sequences of JTB31 and JTB38 has identified which might be having a similar role to  
 317 play. We assume that the present 454 pyrosequencing approach, targeting the v1-v3 variable region may have failed  
 318 to detect sub taxonomic level information, concerning some groups. The other dominant bacterial representatives  
 319 reported from pelagic OMZ of Pacific Ocean, such as SUP05, ARCTIC96BD-19, and Arcobacteriaceae was absent in  
 320 our metagenomic data sets (Glaubitx et al., 2013; Ulloa et al., 2013). However, the sulfate-reducing bacterial  
 321 communities and candidate phyla reported in Pelagic OMZ of Pacific were retrieved in our study as well. Deep in  
 322 the underlying sediments of AS-OMZ diverse sulfur reducing bacterial and archaeal OTUs were recovered  
 323 (Fernandes et al., 2018), some of which were common to the studied surface sediments as well. Our finding  
 324 suggests that northern Indian Ocean OMZ which is well studied for different nitrogen transformation pathways  
 325 should also be treated as a laboratory to understand more on sulfur cycling at least in the areas where ultra-low DO  
 326 is noticed. Similarly, the possible biogeochemical roles played by candidate phyla must be investigated with  
 327 priority.

328

#### 329 **4.4. Dominant bacterial communities and their functional ecology**

330 In the PICRUSt analysis, the proportion of gene families relevant in biogeochemical cycling were highest  
 331 for methane metabolism, followed by nitrogen and sulfur. In northern Indian Ocean pelagic OMZs, nitrogen cycling  
 332 is reported to be very active (Naqvi et al., 2006), while in the studied benthic OMZ, gene proportion of methanotrophs



and methanogens outcompetes in respect to gene proportion of nitrogen cycle members. This could be basically due to the difference in the level of oxygen depletion within the benthic and pelagic ecosystem, as in the studied site the near bottom recorded ultralow DO level. Recent studies have linked methane oxidation to nitrite denitrification through the unique oxygen-producing intra-aerobic methanotrophic pathway by candidatus NC10 bacterial phylum (Padilla et al., 2016). This was supported by studies carried out in freshwater reservoir, where methane stimulated massive nitrogen loss (Naqvi et al., 2018). As denitrification is reported to be dominated over anammox in northern Indian Ocean OMZ (Ward et al., 2009) where organic carbon is fuelling heterotrophic denitrifying communities, the coupling of methane oxidation and denitrification might be advantageous.

Global annual denitrification rate in sediment would be approximately 200 Tg N, and majority contributed from sediments underlying OMZ, where its reported 2 to 4 times higher (Devol, 2015). Members of Steroidobacter order, which is known to perform denitrification coupled with methane oxidation (Liu et al., 2014), make up 12% of the BB-OMZ metagenome. Flavobacteriales members are also known to perform denitrification (Horn et al., 2005), and their abundance in GS1A site was 17.14%. In PS1B, Planctomycetes (9.48%) were identified to be the second dominant phylum. Hits corresponding to anammox order Brocadiales of phylum Planctomycetes were very low in the sediments analyzed, making up only 0.03 and 0.3% in GS1A and PS1B site and made up only 1/10<sup>th</sup> of Planctomycetes community. The low dominance of anammox group suggests their contribution to nitrogen cycle was negligible even within benthic OMZs of the northern Indian Ocean, as observed in pelagic OMZs of AS (Ward et al., 2009). As Planctomycetales is known to encode a large number of sulfatase genes, which makes them as a specialist for the initial breakdown of sulfated hetero-polysaccharides (Wegner et al., 2013), the allocated role could be carbon capture deep in the sediments.

Other notable nitrogen cycle representatives recovered are Oceanospirillales, Chromatiales, Nitrospirales, Syntrophobacteriales, Thiotrichales, and NB1-j which is known to encode nitrogen metabolic genes such as *norB*, *nosZ*, *nifD*, and *nrfA* (de Voogd et al., 2015), and contributed 14.61% in GS1A and 7.47% in PS1B site respectively. Alphaproteobacterial order Rhizobiales which make up 2.69% and 11.08% in GS1A and PS1B site, primarily contain nitrogen-fixing bacteria. This order also includes *Methyloligella* sp., a methylotrophic bacteria. Their occurrence is ascribed to methane production in oligotrophic waters (Damm et al., 2010). Frankiales, whose relative abundance was 0.32% found in PS1B site, is also known to fix nitrogen (Sellstedt and Richau, 2013). ~2.4% recovered Vibrionales were *Photobacterium indicum* which are bioluminescent chemoorganotrophic symbionts. They have widespread



occurrence in marine sediment and is a well-known methylotroph (Xie and Yokota, 2004; Stucki et al., 1981). Few sequences related to SAR-11 division was also recovered from OMZ of northern Indian Ocean, which is probably involved in the conversion of DMSP (Dimethylsulfoniopropionate) to DMS (Dimethylsulfide), which in turn acts as a shield and is reported to protect radiation effects (Hodkinson and Grice, 2015). DMS concentration as high as 525 nM is reported from upwelling areas of eastern AS (Shenoy and Kumar, 2007), suggesting their occurrence is not uncommon.

Many sulfur cycle members were also recovered in our study. The dominant community-identified was *Sulfitobacter dubius* (4.32%). *Sulfitobacter* sp. of class Alphaproteobacteria are known to perform sulfite oxidation, and all known species were isolated from marine habitats (Sorokin, 1995; Long et al., 2011). *Thermodesulfovibrio* of phylum Nitrospira, which made up ~1% in both sites are categorized as sulfate reducers (Schunck et al., 2013). Sequences corresponding to sulfur reducers like Desulfobacterales (0.82%/1.64%) and Syntrophobacterales (0.76%/1.36%) was previously identified in sediments of Black Sea sulfate-methane transition zone as well as in Arabian Sea OMZ water columns and sediments (Fernandes et al., 2018; Fuchs et al., 2005; Leloup et al., 2007).

In our analysis, a certain proportion of gene families were identified to perform photosynthesis, and major contributors in the analyzed microbiome are Chromatiales (0.56%/3.05%), Rhodospirillales (0.13%/5.67%) and members of phylum Cyanobacteria (0.03%/1.7%). Chromatiales, a group of purple sulfur bacteria can perform anoxygenic photosynthesis (Manske et al., 2005). Similarly, Rhodospirillales, an alphaproteobacterial member is primarily chemoorganotrophs and photoheterotrophs and mainly include purple non-sulfur bacteria (Luo and Moran, 2015), can also perform anoxygenic photosynthesis (Manske et al., 2005). It's interesting to note that around 70 Cyanobacterial sequences were retrieved from PS1B, where water column depth was ~245m, but only two representatives from GS1A, which was located at ~200m depth, suggesting its contributions to total phytoplankton is higher in low productive areas like BB in comparison to AS. Here the observed Chroococcales is assumed to be low-light adapted group (West et al., 2001).

The predominant Bacillales member in GS1A was *Paenisporsarcina quisquiliarum* like species, which makes up one-fourth of the total hits, was utterly absent in PS1B. The draft genome of *Paenisporsarcina* sp. shows that it encodes several genes for spore formation, including sporulation kinase, sporulation initiation phosphotransferase, spore coat protein, and spore germination protein (Im et al., 2012). Their abundance could be a sign of recent sporulation event during the time of sampling. Moreover, as indicated by the TIC value of GS1A, carbon



389 remineralization process was very active due to increased availability of organic carbon. In PICRUST analysis as well  
 390 4 fold difference in sporulation related genes identified. Other dominant groups recovered, which make up  $\sim 1/5^{\text{th}}$  of  
 391 the population were identified to be the common soil/sediment inhabitants with a prime role is remineralization of  
 392 carbon (Schimel and Schaeffer, 2015). These are classified under phyla Acidobacteria, Actinobacteria, Bacteroidetes,  
 393 and Gemmatimonadetes (Janssen, 2006). Similarly, Anaerolineales of phylum Chloroflexi, which contributes 2-3%  
 394 of the total hits, has also identified with a similar role and were specific to areas which shows very low or zero oxygen.  
 395

## 396 5. CONCLUSION

397 The present 454 pyrosequencing data shows high variability in bacterial community structure in AS-OMZ  
 398 and BB-OMZ surface sediment, despite the comparable water column depth and near-bottom DO concentrations,  
 399 but differing sediment carbon and nitrogen load. Our data shows that the BB-OMZ is more diverse and unexplored  
 400 in comparison to AS-OMZ, based on diversity indices. Some dominant bacterial phylotypic representatives of  
 401 GS1A site of AS-OMZ was utterly absent in PS1B site of BB-OMZ. However, 45% of the communities remain  
 402 common at bacterial order level to both sites. It is also interesting to note that even though the phylogenetic diversity  
 403 was different, the relative contribution of functional genes was almost the same. Based on PICRUST analysis,  
 404 specific putative roles in assimilation, mineralization, oxidation, and reduction of sulfur, nitrogen, methane, and iron  
 405 compounds are suggested and assumed to be participating in biogeochemical cycles. The prevalence of candidate  
 406 phyla in the present dataset, which was also identified from other recognized OMZ suggests they have an essential  
 407 role to play in 'Low oxygen metabolism.' Many new environmental clusters are reported here from sediments  
 408 underlying OMZ of the northern Indian Ocean, whose sequence information could be utilized to target different  
 409 bacterial communities. The taxonomic assignment utilizing two databases were successful in providing a better  
 410 understanding of the OMZ community structure.

411

## 412 DATA AVAILABILITY

413 All pyrosequencing reads were submitted to the NCBI Genebank database under accession number  
 414 KU821783 - KU831324 and MG860544 - MG860851. The supporting information is available as supplementary  
 415 information.

416



## 417 APPENDICES

418 A1: Greengenes taxonomy files showing the relative dominance of specific bacterial clades recovered from  
 419 sediments of northern Indian Ocean OMZ.  
 420 A2: EzBioCloud taxonomy files comparing the relative dominance of bacterial communities up to species level  
 421 recovered from GS1A and PS1B sites of northern Indian Ocean OMZ.

422

## 423 AUTHOR CONTRIBUTION

424 JLVJ prepared the manuscript and performed the experiments and bioinformatics analysis. CSM conceived  
 425 the idea and designed the experiment.

426

## 427 COMPETING INTERESTS

428 The authors declare that they have no conflict of interest.

429

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434

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442

## 443 LEGENDS

444 **Table 1:** Characteristics of samples collected from northern Indian Ocean OMZ





**Table 2:** Summary of pyrosequencing results and statistical analysis of bacterial sequences retrieved from OMZ.

\*OTUs (operational taxonomic unit) were calculated using Mothur (3% distance).

§Good's coverage is proportional to non-singleton phylotypes in all sequences.

**Fig. 1:** Rarefaction curve of bacterial OTUs (operational taxonomic units) associated with sediments underlying oxygen-depleted waters in the Northern Indian Ocean.

**Fig. 2:** Taxonomic composition of OTUs from phylum to species level retrieved from both the sampling locations based on EzBiocloud16S rRNA database BLAST search.

**Fig. 3:** Dominant bacterial taxa retrieved at 1% cut-off based on pairwise alignment in Green Gene database.

**Fig. 4:** Double Pie chart showing bacterial community composition at the phylum and family level from the sampling location.

**Fig. 5:** Predictive functional profiling of the metagenomes utilizing PICRUSt.

## TABLES

**Table 1**

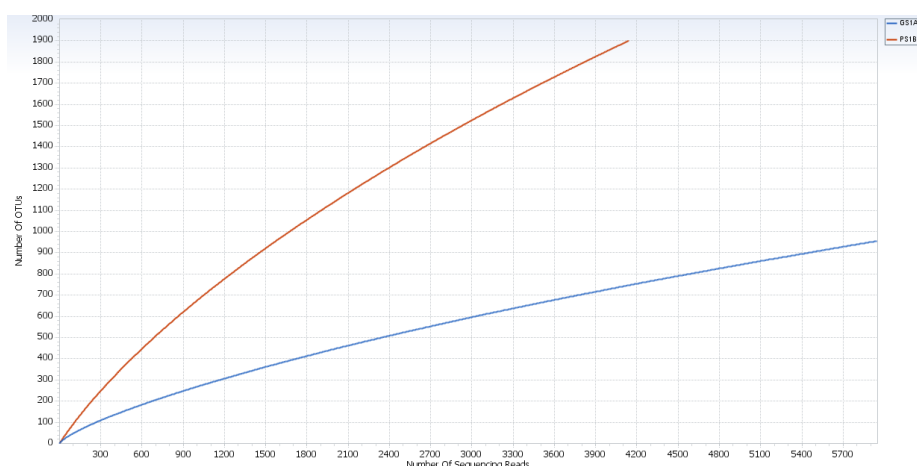
Sampling Details			Sediment Characteristics						Near-bottom water profile (CTD)		
Station code	Date	Sampling depth	TOC	TIC	TN	CaCO3	OM	TOC/TN	DO	Temp	Salinity
			%						μM	°C	PSU
GS1A	02-02-2013	200m	2.012	8.11	0.28	67.556	3.469	7.174	2.313	15.584	35.345
PS1B	27-08-2014	244m	1.297	0.289	0.157	2.407	2.236	8.279	1.666	12.326	35.018

**Table 2**

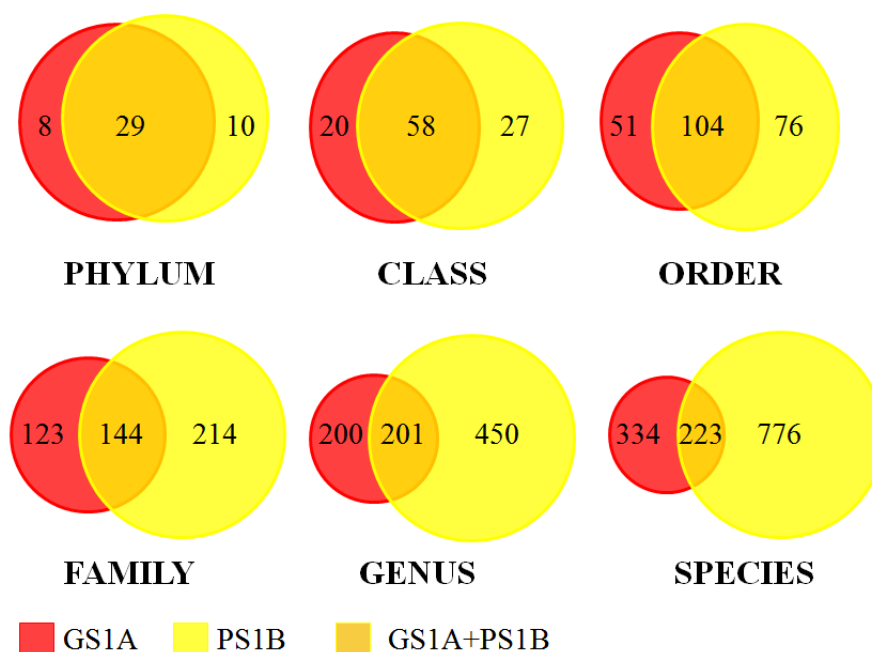
Sample name	Optimized reads	OTU richness*				OTU diversity*		Good's coverage§
		Observed	Chao1	ACE	Jackknife	Shannon	Simpson	
GS1A	5,944	955	2,506	4,305	3,450	4.37	0.934	0.893
PS1B	4,125	1,889	4,447	7,616	6,242	6.97	0.998	0.695



# FIGURES



**Fig. 1**



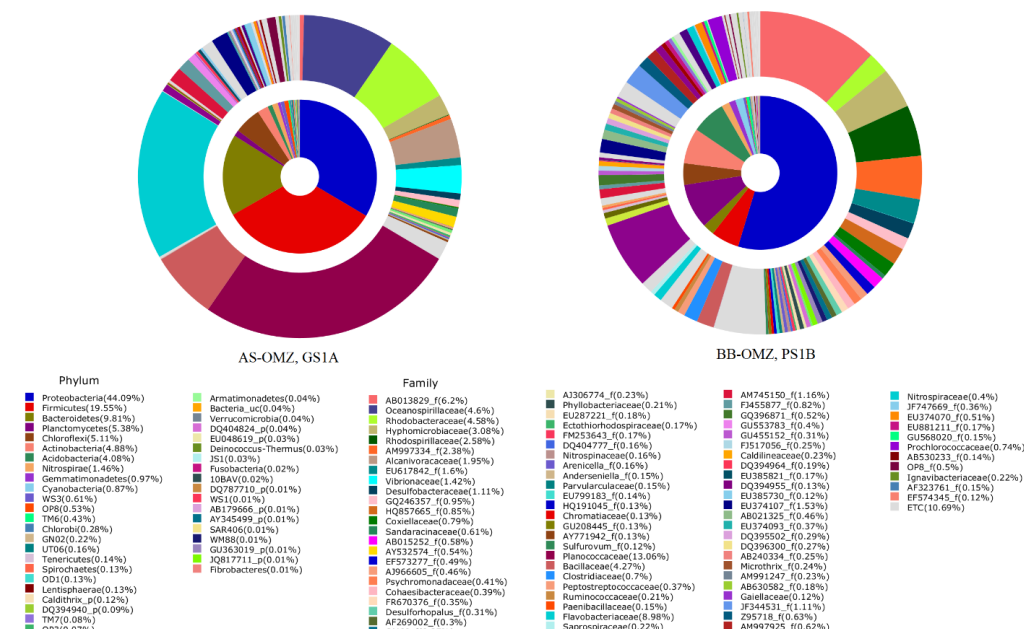
**Fig. 2**



Stacked bar chart showing the relative percentage of bacterial taxa for PSIB (yellow) and GSIA (red) samples. The y-axis represents the relative percentage from 0 to 35. The x-axis lists 20 bacterial taxa. The chart shows that Gammaproteobacteria and Alphaproteobacteria are the most abundant taxa in both samples, with Gammaproteobacteria being the most abundant in both.

Taxa	GSIA (%)	PSIB (%)
Planococcaceae_uc	24.0	0.0
ice bacterium _ARK9985_uc	17.0	0.0
Gammaproteobacteria_uc	11.5	18.5
Alphaproteobacteria_uc	1.0	14.0
Bacteriia_uc	5.0	9.0
Bacilli_uc	4.0	1.0
Alcanivoracaceae_uc	3.5	0.5
Photobacterium_uc	2.5	0.5
Bacillus agardhii_uc	2.5	0.5
Rhodobacter_uc	2.5	0.5
Anaerolineae_uc	2.0	0.5
Bradyrhizobiales_UC133_uc	1.5	0.5
Deltaproteobacteria_NB1-1_uc	1.5	0.5
Acidimicrobiales_uc	1.5	0.5
Desulfobacteraceae_uc	1.5	0.5
Proteobacteria_Hy689-23_uc	1.5	0.5
Acidobacteria_Hy689-23_uc	1.5	0.5
Acidobacteria_BPC102_uc	1.5	0.5
Anaerolineae_SOGA31_uc	1.0	0.5

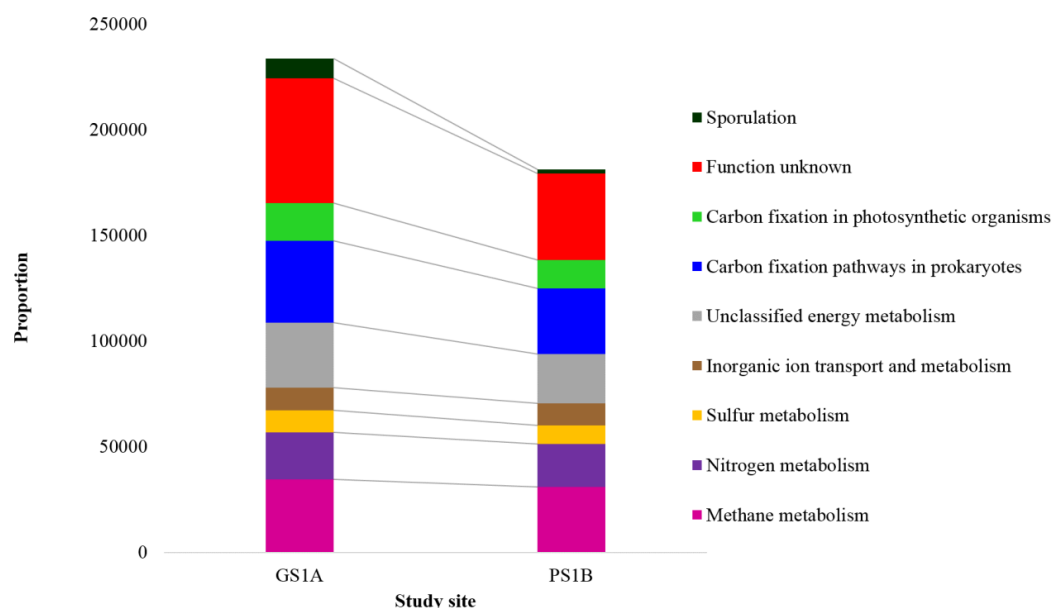
### Recovered clades



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483 **Fig. 5**

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