Reviewer #1 comments

The authors set out to test whether the REE and Y compositions of bivalve shells can be used as provenance and environmental tracers. They used Laser Ablation-ICP-MS to measure modern oysters from three coastal locations and ancient oysters from two archaeological sites. The authors conclude that the Y/Ho ratio in *C. gigas* specimens gives information on their provenance but that this is not the case for *O. edulis*.

This study presents interesting data but has several major flaws.

1) Modern *O. edulis* specimens were only measured from one location, therefore there is no information on any differences in REY compositions in different locations for this species.

Initially, our manuscript used only one modern site with both species, and several archaeological groups of O. edulis shells. We aimed at highlighting the similarities between O. edulis shells from several modern and ancient localities, and, separately, between C. gigas shells from several (modern) localities, as well as the differences between both species. As requested, we added in a new modern locality on the Atlantic Ocean coastline (Marennes-Oléron) with both species. The results and interpretations are the same than those of our initial manuscript.

2) Only *O. edulis* specimens were measured in the archeological sites and, as stated above, these could only be compared with modern *O. edulis* from a single location.

Crassostrea gigas first appeared on the French coastlines during the 20th century. We are sorry we omitted this rather important fact in our initial manuscript. We now indicate this L. 72-73. The addition of a second modern locality with O. edulis specimens confirms our previous interpretations: O. edulis specimens from all modern and ancient localities present the same composition in REE, while C. gigas shells have a distribution (using t-SNE) gathering in clusters depending on their origin.

 There is no information about the measured or expected REY in the seawater at the different coastal sites and therefore it is unclear if measurable differences should be expected.

We have no seawater available for the localities, but we do not see the benefit for our study. We wish to present differences in REE shell incorporation processes between oyster species that have been reared on the exact same locality and conditions (and hence, same seawater composition). We added a supplementary picture (Appendix A) to show the direct proximity of both species bred on sites. In the Methods section, we present the type of geological substrate for each watershed as an indication of sources of REE in addition to oceanic seawater. For example, the Leucate locality has only low-REE content carbonates, while the watershed of Baie des Veys contains magmatic rocks. We discuss the Y/Ho ratio from the literature, which is based on the assumption of different local REE content. Also, even without known seawater composition, our results indicate a strong locality influence for C. gigas specimens.

4) The authors attribute the similarities in REY compositions between the ancient *O.edulis* specimens to vital effects. This conclusion cannot be validated without addressing points 1 and 3 above.

As requested, we now present measurements from a second modern locality. We agree with Reviewer #2 that seawater composition measurements are unnecessary and probably inadequate. We hope that the changes made on this revised manuscript and the added explanations are satisfactory.

Reviewer #2 comments

The authors of 'Rare Earth Elements in oyster shells: provenance discrimination and potential vital effects' aim to show how Rare Earth Elements (REE) and Yttrium (Y) conc. in bivalve shells could be used as a provencing and environmental monitoring tool. The authors use a combination of chemical analyses (LA-ICP-MS) and machine learning techniques (t-SNE) to discriminate bivalve specimens between sampled locations/species. Fingerprinting tools such as this are of increasing importance from a food safety standpoint, but the authors highlight their potential within an archaeological and monitoring context too. Despite the novelty of the method used herein, there are some points that I believe require attention prior to publication.

1) As mentioned by the first anonymous reviewer, a significant conclusion made by the authors of this manuscript was that *O. edulis* could not be discriminated spatially because of a lack of intraspecific variation in REE and Y concentrations. Yet, the authors did not test this between spatially disparate locations of the same age. I therefore do not believe this conclusion can be supported at this point.

We have added to the dataset a new site, Marennes-Oléron, with specimens from both species. Our new results are in accordance with our previous interpretations.

2) In contrast to reviewer #1 I do not believe it necessary to gather water samples to elucidate whether a difference in REE and Y will be likely. Water samples will only provide a snapshot of site-specific conc. at any given time/place and inferences could not be made of the REE and Y concentrations within the slow forming carbonate shells. However, I would agree with reviewer #1 that the conclusions made surrounding *O. edulis* and vital effects here are not supported due to the limited number of sites assessed of the same time.

Initially, our manuscript used only one modern site with both species, and several archaeological groups of O. edulis shells. We aimed at highlighting the similarities between C. gigas shells from several (modern) localities, and, separately, between O. edulis shells from several modern and ancient localities, as well as the differences between both species. As requested, we added in this revised version a new modern locality on the Atlantic Ocean coastline with both species. The results and interpretations are the same than those of our initial manuscript.

3) I believe from the outset, the aims of this study are not immediately clear. More emphasis could be placed in the introduction on the rational for this study, where it fits within seafood traceability or in regulatory capacity. Again, the implications of the authors' findings are also not well established in the discussion. The authors place a significant focus on the results at hand, which is of course important, but some emphasis should be placed on where this research now fits within its field (i.e. uses, pros, pitfalls and directions from here).

Changes have been made to clarify our goals and conclusions. In particular, we now put more emphasis on seafood traceability, which is relevant to both modern and archaeological contexts.

4) Overall, I found the presentation of results somewhat challenging to follow. For example, I found myself having to refer back to Table 1 often to remind myself of the 'Groups' - site, species and age of the specimens. Simple adjustments could streamline this, making it more user friendly for the reader (i.e. no. for site, code for time, code for species).

We have changed the names of the groups in the text and on the figures. As suggested by the reviewer, we now use a code indicating modern (Mod-) or ancient (Anc-) specimens as well as the locality and species names. Modern localities are now presented in the Method sections and in the figures from North to South.

5) More information should be provided for quality assurance. Lines 163-167 provide details of this. I would encourage the authors to retain this section but also include a quality assurance

table of obtained vs. expected for certified reference material (CRMs) used for simple reference.

We have added these details in Appendix B.

6) The authors introduce too many abbreviations. REE is fine, but REY for Rare Earth Elements and yttrium is superfluous. Consider REE and Y instead. Same for HREE and LREE, Heavy REE reads fine.

We have removed all occurrences of REY, HREE and LREE from the manuscript.

Rare Earth Elements in oyster shells: provenance discrimination and potential vital effects

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- Abstract. Rare Earth Elements (<u>REE</u>) and yttrium in seawater originate from atmospheric fallout, continental weathering, and
 transport from rivers, as well as hydrothermal activity. Previous studies reported the use of <u>REE and Y</u> measurements in
 biogenic carbonates as a means to reconstruct these surface processes in ancient times. As coastal seawater <u>REE and Y</u> concentrations partially reflect those of nearby rivers, it may be possible to obtain a regional fingerprint of these concentrations from bivalve shells for <u>seafood traceability</u> and environmental monitoring studies. Here, we present a dataset of <u>297</u> measurements of <u>REE and Y</u> abundances by LA-ICP-MS from <u>49</u> oyster specimens from six locations in France (Atlantic
 Ocean and Mediterranean Sea), and from two species (*Crassostrea gigas* and *Ostrea edulis*). Our study reports that there is no
- significant difference in concentrations from shell parts corresponding to winter and summer periods for both species. Moreover, interspecific vital effects are reported from specimens from both species and from the same locality. <u>REE and Y</u> profiles and t-distributed Stochastic Neighbour Embedding processing (t-SNE; a discriminant statistical method) indicate that **REE and Y** measurements from *C. gigas* shells can be discriminated from one locality to another, but this is not the case for
- 25 *O. edulis*, which presents very similar concentrations in all studied localities. Therefore, provenance studies using bivalve
- shells based on <u>REE and Y</u> have to be first tested for the species, and are not adapted for *O. edulis*. Other methods have to be investigated to be able to find the provenance of some species such as *O. edulis*.

1 Introduction

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Rare Earth Elements (REE) form a group gathering 15 elements (La to Lu) with similar electronic configuration of the atoms, similar properties and chemical behavior (Elderfield, 1988). The main sources of REE in seawater are the atmospheric fallout (Elderfield & Greaves, 1982; De Baar et al., 1983) and riverine input through continental weathering (Goldstein et al., 1984; Frost et al., 1986), as well as hydrothermal activity (Olivarez & Owen, 1991). In addition to these various sources, the

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concentrations of REE in seawater are impacted by adsorption processes of REE to mineral surfaces and complexation (Sholkovitz et al., 1994; Schijf et al., 2015).

- 45 Reconstruction of REE compositions of seawater is generally used to provide information on past continental weathering, tectonic activity, and water mass circulation (Greaves et al., 1991; Censi et al., 2004; Haley et al., 2005; Piper & Bau, 2013). For example, REE profiles from continental shelf sediments are known to reflect those of the contributor rivers (Jouanneau et al., 1998). Moreover, specific elemental ratios from REE, such as Y/Ho, have been investigated as potential provenance proxies. Indeed, although the average Y/Ho value is equivalent to that of chondritic meteorites and mid-ocean-ridge basalts
- 50 (MORB; Jochum et al., 1986; Taylor & McLennan, 1988), it has been shown that Y/Ho fractionates in sediment particles, not only in seawater with depth, but also probably in waterbodies from watersheds (rivers and estuaries), whose composition has been modified depending on the weathered continental rocks (Bau et al., 1995; Nozaki et al., 1997; Prajith et al., 2015). The Y/Ho ratios in estuaries could therefore exhibit different values according to the regional inputs related to the mineralogical variability in continental covers.
- 55 It has been demonstrated that the seawater composition in REE and yttrium is recorded in carbonate materials, such as ooids (Li et al., 2019), brachiopod shells (Zaky et al., 2015, 2016), foraminifera tests (Osborne et al., 2017), and coral skeletons (Sholkovitz & Shen, 1995). In addition, the anthropogenic REE contamination of the Rhine River (Germany) has been demonstrated by the shell composition of freshwater mussels (Merschel & Bau, 2015). The reconstruction of the <u>REE and Y</u> fingerprints in a coastal environment from mollusk shells could therefore be useful, not only to monitor potential
- 60 contaminations from anthropic activities (Le Goff et al., 2019), but also as a provenance proxy for quality control of cultured organisms prior to human consumption (Bennion et al., 2019; Morrison et al., 2019). <u>Indeed, public interest is rising regarding</u> the origin of food due to various reasons including decreased confidence in the quality and safety of remote food supply (Kelly et al., 2005; Gopi et al., 2019). The geographic origin of seashells can also be of interest in archaeology, since mollusk shells can be unearthed sometimes very far from the nearest shoreline (Bardot-Cambot, 2014), in order to rebuild historic trade routes.
- 65 <u>Seafood traceability is therefore important for both modern and archaeological contexts.</u> However, 'vital effects' (compositional shifts between inorganic and biogenic carbonate due to metabolic activity; Urey et al., 1951) have been reported to alter this regional fingerprint of REE in corals (Akagi et al., 2004); therefore, a feasibility study needs to be conducted on mollusks before any extended <u>seafood traceability</u> perspective is considered.

To this end, <u>REE and Y</u> measurements have been performed by laser-ablation inductively-coupled mass spectrometry (LA-ICP-MS) on modern and archaeological oyster shells from various French localities. The aim of this study is to assess the interspecific effects using specimens from two <u>edible</u> species: the flat oyster *Ostrea edulis* (Linnaeus, 1758), commonly found in antique sites but still found on modern shores, and the cupped oyster *Crassostrea gigas* (Thunberg, 1793), which first <u>appeared on the French coastline during the 20th century</u>. Multiple measurements were performed on each of the specimens to evaluate the intraspecific variations, in particular regarding the potential seasonal fluctuations. A recent statistical method, the

75 t-SNE, standing for "t-distributed Stochastic Neighbour Embedding" (van der Maaten & Hinton, 2008), is introduced as an

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attempt to discriminate the <u>nine</u> oyster groups of the study, in order to highlight significant interspecific and inter-regional	 Supprimé: seven
differences between <u>REE</u> incorporations.	 Supprimé: REY

2. Material and methods

85 2.1 Modern-day settings and specimens

2.1.1 Baie des Veys (Normandy)

The Géfosse area, in Baie des Veys (Normandy, France), is currently used as a commercial oyster farm location. This open sea area is characterized by a semidiurnal tidal range of 8 m from the British Channel and an overall siltation due to weaker ebb than flow, inducing a poor resuspension of sediment particles (Le Gall, 1970). The Baie des Veys is recharged in freshwater

- 90 by the Isigny Channel, formed by the Vire and Aure rivers, and the Carentan Channel, constituted of the Douve and the Taute rivers, which drain a large part of the Bessin plain and the Cotentin. The watershed comprises limestone as well as basalt, acidic and alkaline metavolcanic rock and diorite (Baize et al., 1997). Respective flows of the Isigny and Carentan Channels are 19 m³ s⁻¹ and 33 m³ s⁻¹, these rates having no significant impact on the salinity of the shoreline (Sylvand, 1995). Oyster specimens from this locality were gathered during a previous rearing experiment conducted between 2005 and 2006
- 95 (Lartaud et al., 2010a, 2010b; Mouchi et al., 2013). Although these specimens have been transplanted in several localities during their lives (for details, see Lartaud et al., 2010a), the part of the shells analyzed in the present study is restricted to that corresponding to their Baie des Veys stay. This period is recognized on the shells owing to *in vivo* chemical labeling performed during the rearing experiment (Huyghe et al., 2019). Both *Crassostrea gigas* (n=5) and *Ostrea edulis* (n=5) specimens from this locality and having shared the same breeding location, have been considered (Figure 1, Table 1, <u>Appendix A</u>). *Crassostrea*
- 100 gigas was recently renamed Magallana gigas by Salvi and Mariottini (2016); however, as this genus change is still debated (Bayne et al., 2017) and C. gigas being the most commonly found occurrence in the literature, this paper refers to this species by using its original genus name. As modern specimens, these are referred to as Mod_BDV_Cgig and Mod_BDV_Oedu groups in this paper, respectively for C. gigas and O. edulis.

2.1.2 Marennes-Oléron bay (Charente-Maritime)

- 105 The Marennes-Oléron bay is located on the Atlantic Ocean coast of France. It is bordered by the Oléron island on the Western side, and opens to the Atlantic on its Northern and Southern borders. Its surface approximates 180 km². The Atlantic seawater runs through the bay from North to South (Dechambenoy et al., 1977). In the area, the tidal range is 5 m with a semi-diurnal rhythm. The large watershed covers 10,000 km² of land and comprises Cenozoic river deposits, limestones, and clays (Bourgueil et al., 1968, 1972; Platel et al., 1977, 1978; Bambier et al., 1982; Hanztpergue et al., 1984; Mourier et al., 1989).
- 110 The bay is recharged in freshwater by two rivers, the Charente (North side), with a 36 m³.s⁻¹ flow, and the Seudre (South side) whose flow is 30 times less important (Soletchnik et al., 1998).

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The bay hosts 30 km² of aquaculture domains, among those oysters. This site was also used during the rearing experiment (Lartaud et al., 2010a, 2010b): both *C. gigas* (n=3) and *O. edulis* (n=3) shells parts analyzed in the present study refer to the shell portions corresponding to their stay on this site, highlighted by *in vivo* labels. These two groups are referred to as Mod_MO_Cgig and Mod_MO_Oedu, respectively for *C. gigas* and *O. edulis* specimens.

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2.1.3 Tès (Arcachon basin)

The Arcachon basin is a lagoon of 156 km² on the French Atlantic coastline. The area is subdivided into a subtidal zone and an intertidal zone with a semidiurnal tidal range of 3 m, where the studied oysters grew. Freshwater is provided from a watershed of 4,138 km² by three main channels, the Eyre, the Porge and the Landes, as well as twenty-six other streams and

 125 local groundwater, for a total supply of 1,340 million m³ freshwater per year (Lamour & Balades, 1979; Auby et al., 1994). The largest part of this watershed consists in Cenozoic river deposits, with, to a lower extent, limestone, clay (Dubreuilh & Bouchet, 1992), and some iron oxide deposits historically used as building material (Gourdon-Platel & Maurin, 2004). *Crassostrea gigas* specimens (n=8; Figure 1, Table 1) from this locality originate from the same rearing experiment as those that were placed at Baie des Veys and measurements were restricted to the parts of the shells corresponding to the period spent 130 at this locality (Lartaud et al., 2010a). These specimens are referred to as Mod_TES_Cgig.

2.1.4 Leucate (Aude)

The Salses-Leucate lagoon is located on the southwestern French Mediterranean coast. It corresponds to a shallow coastal basin of 14 km long and 5 km wide, separated from the Mediterranean Sea by a sandy barrier interrupted by three narrow marine inlets. The average water depth is 1.7 m and the hydrology balances between entrance of marine waters from the

135 Mediterranean Sea, supply of groundwater discharges from two main karstic springs with flows of 3 x 10^5 m³ d⁻¹ and 2 x 10^5 m³ d⁻¹, respectively (Fleury et al., 2007), and rainfall of <u>approximately</u> 500 mm <u>y</u>⁻¹ restricted to the fall and spring periods. The superficial watershed covers 162 km² but the total area including the karstic waters is not yet known accurately, likely extended to 60 km far from the pool (Salvayre, 1989; Ladagnous & Le Bec, 1997), with karstic waters penetrating Jurassic and Cretaceaous limestone and dolomite. While tidal range is restricted, seawater level changes in the lagoon are controlled by

strong northwesterly winds, regularly exceeding 10 m s⁻¹ (Rodellas et al., 2018).

Crassostrea gigas oysters (n=5; Figure 1, Table 1) originate from a wild brood stock in the vicinity of the local oyster farming area. We were not able to collect reliable *O. edulis* specimens from the Mediterranean Sea shoreline for comparison, as only aquaculture specimens of *C. gigas* are now available here. <u>Specimens from this group are referred to as Mod_LEU_Cgig.</u>

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45 2.2 Archaeological sites and specimens

2.2.1 Lyon, Auvergne-Rhône-Alpes

In the area of the Fourvière hill of Lyon city, where remains of a building were <u>tentatively</u> identified as a sanctuary to the goddess Cybele, a pit was filled by food wastes which included around 200 valves of the flat oyster *O. edulis* (Bardot-Cambot, 2013). Absolute dating of this pit is currently being re-evaluated, and is approximated to the beginning of the current era or

150 during the 1st century CE. The provenance of these oysters is debated. Two groups of animals, one originating from the Mediterranean Sea coastline and the other from the Atlantic Ocean coastline, were identified based on morphometric measurements and associated mollusc shells (Bardot-Cambot, 2013). Six *O. edulis* specimens were selected from the first group (later referred to as <u>Anc_CYB1_Oedu</u> group) and seven more from the second group (<u>Anc_CYB2_Oedu</u> group) for the preservation quality of their umbo (Figure 1, Table 1).

155 2.2.2 La Malène, Occitany

This medieval site (circa the 6th century CE) is located on top of a cliff and is constituted by the remains of a fortified construction (Schneider & Clément, 2012). This *castrum* corresponds to one of the last antique sites where oysters were consumed by the elite, with supposedly spatially-restricted commercial travel (Bardot-Cambot & Forest, 2014). Still, the shells found in a dump, along with other proofs of the high social status of the occupants (such as golden currency and silver nails;

- 160 Schneider & Clément, 2012), had been transported for over 120 km from the Mediterranean Sea. This origin is certified because some valves are fixed on valves of *Flexopecten glaber*, which is endemic to the Mediterranean Sea. Six *O. edulis* specimens were selected for the preservation quality of their umbo (Figure 1, Table 1). <u>As ancient specimens, those are referred to as Anc_MAL_Oedu.</u>
- 165 (Figure 1)

(Table 1)

2.3 Sample preparation

170 All specimens were mechanically cleaned from any epibiont and were selected according to the preservation state of their umbo region. The umbo was cut from the rest of the shell and embedded in Huntsman Araldite 2020 epoxy resin. Longitudinal thick sections (approx. 750 µm thick) were manufactured to expose the preserved internal structures (Figure 2), in order to perform geochemical analyses on this protected region, away from shell surface organic or chemical contaminants. An extensive chemical cleaning of the section surfaces, as advised by Zaky et al. (2015), was not performed as the analytical

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Déplacé vers le haut [1]: ¶ 2.1.3 Tès (Arcachon basin)¶

The Arcachon basin is a lagoon of 156 km² on the French Atlantic coastline. The area is subdivided into a subtidal zone and an intertidal zone with a semidiurnal tidal range of 3 m, where the studied oysters grew. Freshwater is provided from a watershed of 4.138 km² by three main channels, the Eyre, the Porge and the Landes, as well as twentysix other streams and local groundwater, for a total supply of 1.340 million m³ freshwater per year (Lamour & Balades, 1979; Auby et al., 1994). The largest part of this watershed consists in Cenozoic river deposits, with, to a lower extent, limestone, clay (Dubreuilh & Bouchet, 1992), and some iron oxide deposits historically used as building material (Gourdon-Platel & Maurin, 2004). Crassostrea gigas specimens (n=8; Figure 1, Table 1) from this locality originate from the same rearing experiment as those that were placed at Baie des Veys and measurements were restricted to the parts of the shells corresponding to the period spent at this locality (Lartaud et al., 2010a).

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surface was preserved from any external contaminants over the history of the shell. However, the influence of organic matter occluded in the crystal lattice cannot be discarded, as any LA-ICP-MS work on biominerals.

200 (Figure 2)

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All sections were observed under cathodoluminescence using a Cathodyne-OPEA cold cathode at ISTeP, Sorbonne Université (Paris, France). Observation settings were 15-20 kV and 200-400 μ A mm⁻², at a pressure of 0.05 Torr. Areas potentially affected by diagenesis or damaged were identified in order to avoid any analysis of these regions by LA-ICP-MS. In addition,

205 cathodoluminescence observations were used to define seasonal calibration of the umbo, according to Langlet et al. (2006) and Lartaud et al. (2010a). Only Leucate specimens did not have seasonal calibration as the CL signal was uniform and nearly absent for these specimens. A second seasonal calibration method from Kirby et al. (1998), based on a sclerochronological record on the ligamental area in the form of external convex and concave bands, was attempted. Unfortunately, umbos from Leucate specimens did not exhibit the necessary curved surface to conduct such a study. Consequently, measurement data from Leucate specimens were removed from the dataset used for the study of seasonal contrasts of the <u>REE and Y</u> fingerprints.

2.4 Geochemical analyses

Chemical analyses were carried out by LA-ICP-MS at ISTE (University of Lausanne, Switzerland). Measurements were	
performed using an Element XR (ThermoScientific) ICP-MS coupled with a RESOlution 193 nm ArF excimer ablation system	
equipped with an S155 two-volume ablation cell (Australian Scientific Instruments). A pulse repetition rate of 20 Hz and an	
on-sample energy density of 4 J cm ⁻² were used. Pre-ablation of spots was first conducted in order to clean the surface of	Suppri
potential contaminants that could possibly be introduced during the sanding and polishing of the samples. The analytical spots	
were 200 µm in diameter. Ablation was performed on the areas of each sample section corresponding to winter and summer	
periods (according to the cathodoluminescence seasonal calibration). This protocol allows the <u>REE and Y</u> incorporation to be	Suppri
compared at different year periods throughout the life of the oysters (Figure 2c), with the exception of Leucate specimens,	
which did not exhibit seasonal cathodoluminescence signals. Sections from Leucate specimens were analysed at random	
positions over the umbo region instead. Multiple measurements were performed on each section to avoid bias from potential	
internal variability. Repeated measurements of NIST SRM 612 prior and following each 15-samples analytical series were	
used for external standardisation. Accuracy was checked against measurements of the BCR-2 basalt reference material from	
USGS. Relative standard deviation from 22 measurements of BCR-2 was always better than 2.8% for all <u>REE and Y. Measured</u>	Suppri
and expected values are indicated in Appendix B. Measured elements were La, Ce, Pr, Nd, Sm, Eu, Gd, Tb, Dy, Ho, Er, Tm,	
Yb, Lu, Hf, Y, and Ca as the internal standard. Data reduction was performed using the LAMTRACE software (Jackson,	

2008). A total of $\frac{297}{297}$ measurements were executed.

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2.5 Data processing

Data processing was conducted using the Matlab software (MathWorks, www.mathworks.com, v. R2017a). None of the
measured elements exhibit a normal distribution (Kolmogorov-Smirnov test): the distribution is right-skewed, at larger element
abundances. To facilitate further statistical treatment of such data, they need to be transformed to normality, for which several
mathematical transforms can be used. Here, we use the cubic root transform (Chen & Deo, 2004). Seasonal differences (from
the seasonal age models from cathodoluminescence) were estimated by hierarchical cluster analyses of 30 measurements from *C. gigas* specimens (n=5) and 41 measurements from *O. edulis* specimens (n=5) from Baie des Veys, and 24 measurements
from *C. gigas* specimens (n=3) and 13 measurements from *O. edulis* specimens (n=3) from Marennes-Oléron (Appendix C).
For both species, two methods for calculating cluster distances were tested, (i) unweighted average distance and (ii) "Ward"
inner squared distance. A cophenetic correlation coefficient has been calculated, as it is the linear correlation coefficient
between the distances obtained from the cluster tree and the original distances (in the multivariate space). It is an indicator of
the accuracy of the distances (estimated on the tree) to faithfully represent the dissimilarities among the observations.

- 245 Multivariate analysis of variance (MANOVA) was used to compare the <u>REE and Y</u> fingerprints obtained from measurements performed from *C. gigas* and *O. edulis* from Baie des Veys, against the null hypothesis that both datasets belong to the same population. Kruskal-Wallis tests were performed to compare the Y/Ho ratios of multiple groups, against the null hypothesis that all groups belong to the same population. A recent statistical method, the t-SNE (t-distributed Stochastic Neighbour Embedding; van der Maaten & Hinton, 2008), was used to compare and classify the multivariate dataset (exact Euclidean
- 250 method). The idea is to embed high-dimensional data points in low dimensions in a way that respects similarities between points. Nearby data points in the high-dimensional space correspond to nearby embedded low-dimensional points, and distant points in high-dimensional space correspond to distant embedded low-dimensional points (MathWorks, www.mathworks.com, v. R2017a).

3 Results

255 3.1 Comparisons of the inter- and intra-specific seasonal record

Firstly, heavy REE (Tm, Yb, Lu) and Hf were usually not detected (below 0.1 ng g⁻¹) in all the specimen groups, and were therefore removed from the dataset. Secondly, measurements from the Baie des Veys and Marennes-Oléron specimens allow for inter- and intra-specific comparisons, as they were performed on specimens from both *C. gigas* and *O. edulis* species. Data collected at the different seasons for each species did not show any significant difference in the incorporation of <u>REE and Y</u>
between winter and summer. For Mod_BDV_Oedu, records from both winter (n=25) and summer (n=16) in *O. edulis* shell samples are mixed together, without clustering samples with respect to seasons (Appendix <u>C</u>); in addition, the cophenetic correlation coefficients are 0.92 and 0.76 for average and Ward methods, respectively, which emphasizes the quality of the classification. Results are similar for Mod_BDV_Cgig shells (n=19 and n=11 for winter and summer, respectively; Appendix

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3.3 REE incorporation and dispersion in shells For all the specimens, a gradual decrease in REE dispersion is generally observed with their increasing atomic number (Figure 4). Indeed, several groups can be identified with light REE (e.g., Pr and Sm), such as the C. gigas groups. On the contrary, medium REE (e.g., Dy and Er) distributions appear similar for all groups, with only the Mod LEU Cgig group being clearly 300

are found for Mod_MO_Cgig (cophenetic correlation coefficients of 0.75 and 0.74 for average and Ward methods, respectively) and Mod MO Oedu (cophenetic correlation coefficients of 0.94 and 0.87 for average and Ward methods, respectively; Appendix C). However, a comparison between C. gigas and O. edulis (inter-specific comparison) clearly exhibits significant differences for both winter (MANOVA, p-value = 1.10^{-8}) and summer (MANOVA, p-value = 2.10^{-5}) periods between the two species. Therefore, C. gigas and O. edulis seem to record differently their respective seasonal signals.

The Y/Ho ratio is commonly used as a potential provenance proxy (Bau et al., 1995; Prajith et al., 2015). The obtained Y/Ho ratios during measurements on all the samples (Figure 3) do not display significant differences between the four localities for

modern C. gigas specimens (Kruskal-Wallis, p-values = 0.70 between groups Mod_TES_Cgig and Mod_LEU_Cgig, 0.95

between groups Mod_BDV_Cgig and Mod_MO_Cgig). Also, all O. edulis modern and archaeological specimens from the other localities, except Anc_CYB2_Oedu, are similar to each other (Kruskal-Wallis, p-values = 0.98 between groups Anc_CYB1_Oedu and Anc_MAL_Oedu, 0.99 between groups Anc_CYB1_Oedu and Mod_BDV_Oedu, 1.00 between groups

Anc CYB1 Oedu and Mod MO Oedu, 1.00 between groups Anc MAL Oedu and Mod BDV Oedu, 0.97 between groups Anc_MAL_Oedu and Mod_MO_Oedu, and 0.97 between groups Mod_BDV_Oedu and Mod_MO_Oedu) but are different from C. gigas shells (Appendix D). Moreover, a significant difference between modern C. gigas and O. edulis shells from the same localities (Baie des Veys and Marennes-Oléron) is also reported (Appendix D). The Anc_CYB2_Oedu group does not share the homogeneity of other modern and ancient O. edulis populations. Indeed, the ratios measured in O. edulis specimens from this group are not significantly different from the ones obtained in modern C. gigas specimens (Kruskal-Wallis, p-values

= 0.97, 0.26, 0.48 and 1.00, when compared with specimens from Mod_TES_Cgig, Mod_LEU_Cgig, Mod_BDV_Cgig and

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3.2 The Y/Ho ratio as a provenance proxy

Mod_MO_Cgig, respectively).

(Figure 3)

separated from the other locations. The REE median profiles (normalized to the Post-Archean Australian Shales; McLennan, 1989) also present similar trends of medium REE (from Gd to Er) for most groups (Figure 5), except for Mod_LEU_Cgig Supprimé: <objet>

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between groups Mod_TES_Cgig and Mod_BDV_Cgig, 0.98 between groups Mod_TES_Cgig and Mod_MO_Cgig, 1.00 between groups Mod LEU Cgig and Mod BDV Cgig, 0.31 between groups Mod LEU Cgig and Mod MO Cgig, and 0.57

C), with cophenetic correlation coefficients of 0.76 and 0.69 for average and Ward methods, respectively. Equivalent results

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	group, which exhibits lower abundances than the other groups for all REE. However, light REE are generally substantially	
	depleted in C. gigas specimens compared to modern and ancient O. edulis groups (approx. one order of magnitude difference).	
	The only exceptions are La and Ce in C. gigas shells from Baie des Veys. (Mod_BDV_Cgig), which present values in the range	
	of those from modern and ancient O. edulis specimens. This particularity of enriched Ce (and to a lesser extent, La) is not	
335	shared by O. edulis specimens from this same locality, (Mod_BDV_Oedu). Although these two elements have similar	
	abundances for both species in this locality, all the other REE abundances are different.	

(Figure 4)

340 (Figure 5)

Results from the entire dataset (*i.e.*, <u>297</u> measurements and 12 elements per measurement) are compared in Figure 6 using t-SNE. Some groups are well identified by this method, such as the <u>Mod_LEU_Cgig and Mod_BDV_Cgig</u> groups (Mediterranean Sea and British Channel coastlines). Both Mod_MO_Cgig and Mod_TES_Cgig groups, originating from the
Atlantic Ocean coastline, appear as one unique group by t-SNE, with a restricted dispersion. The modern and ancient *O. edulis* groups are however not discriminated by t-SNE, and present a substantially larger dispersion than the Atlantic Ocean's *C. gigas* groups. In this sample set, it appears that <u>Anc_CYB1_Oedu</u>, Mod_MO_Oedu and Mod_BDV_Oedu groups are relatively similar in terms of range of distribution on one hand, and that <u>Anc_CYB2_Oedu</u> and <u>Anc_MAL_Oedu</u> specimens share similarities on the other hand; but the <u>five</u> groups remain poorly differentiated.

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(Figure 6)

4 Discussion

In both studied species, the decrease in the range of variation of REE abundances with the increasing atomic number (except
for Tm, Yb, Lu, and Hf, which were not quantified in the shells) can be explained by the increased affinity of heavy REE for complexation in seawater, as it has been demonstrated in previous studies (Cantrell & Byrne, 1987; Byrne & Kim, 1990; De Baar et al., 1991). As these elements are trapped into complexed forms or ligands, their bioavailability in seawater is strongly reduced, limiting their insertion in the oyster ionic pumps leading to the mineralisation locus. These bioavailability restrictions of REE have already been demonstrated in the freshwater mussel *Corbicula fluminea* for Gd (Merschel & Bau, 2015) and other REE (Ponnurangam et al., 2016). Another explanation can be advanced regarding the technique used. LA-ICP-MS device analyses both the mineral and organic phases ablated from the biomineral without the possibility to assess their relative

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proportions. Although the mean proportion of organic compounds in oyster shells is limited (<0.5% for C. gigas; Mouchi et

al., 2016), it is known that organic REE abundances are depleted in <u>heavy REE</u> (Freslon et al., 2014). The decreasing abundance with the increasing atomic number may then be caused by protein and polysaccharide contents. Only extensive cleaning for solution-based ICP-MS analyses would be able to remove entirely the organic molecules before measurements, but this would not fit the fast REE assessment from a large number of specimens we aimed to conduct in this study.

- 385 In this study, the Y/Ho ratios, which are usually proposed as a provenance proxy (Bau et al., 1995; Prajith et al., 2015), are affected by strong vital effects for both oyster species, potentially due to the decrease in REE abundance with increasing atomic number. In addition, significant differences between species from the same locality are also reported. Hence, the Y/Ho ratio generally does not depend on the original location (Figure 3). Consequently, Y/Ho should not be used directly as a provenance proxy (at least from LA-ICP-MS data collected on biogenic carbonates), or with extreme caution after having discarded any
- 390 potential vital effect. As the decreased REE abundance with increasing atomic number discussed above prevents a locality-specific variation of Ho, other Y/REE ratios have been tested as alternative provenance proxies using lighter REE (Figure 4). Y/La, Y/Ce, Y/Pr and Y/Nd were all unsuccessful to provide identification of locality groups and also present similar values for all modern and ancient *O. edulis*. For Y/La and Y/Ce ratios, *C. gigas* specimens from Baie des Veys were identical to all modern and ancient *O. edulis* specimens, while for Y/Pr and Y/Nd ratios, *C. gigas* specimens from Baie des Veys were identical to all modern and ancient *O. edulis* specimens, while for Y/Pr and Y/Nd ratios, *C. gigas* specimens from Baie des Veys were identical to all modern and ancient *O. edulis* specimens, while for Y/Pr and Y/Nd ratios, *C. gigas* specimens from Baie des Veys were identical
- 395 to all *C. gigas* specimens from the other localities. Overall, Y/REE ratios appear unsuccessful for provenance discrimination. Measurements performed on Baie des Veys and Marennes-Oléron specimens (Mod_BDV_Cgig and Mod_BDV_Oedu, as well as Mod_MO_Cgig and Mod_MO_Oedu) have been used to study the incorporation of REE inside a single species, in order to evaluate its intraspecific variation. Contrary to the models performed for different temperatures on the mussel *Mytilus edulis* (Ponnurangam et al., 2016), the seasonal conditions do not have any impact on the REE incorporation, neither for *O. edulis*
- 400 nor *C. gigas* shells (in the range of 5-20 °C; Table 1). Other parameters than temperature and pH, used by Ponnurangam et al. (2016), are probably in effect, which lowers the impact of temperature on REE incorporation. This observation implies that any part of a shell can be sampled without necessarily having to define a temporal calibration of the umbo. However, REE abundances fluctuate widely within a single specimen, and the "local fingerprint" of these elements needs to be clarified and based on multiple measurements performed on each of several specimens. These intra-individual fluctuations cannot be due
- 405 to seasonally-controlled environmental factors, such as temperature, precipitations or plankton blooms. However, a potential source of REE for oysters can be the porewater or resuspended sediment (Haley et al., 2004; Crocket et al., 2018), and therefore, the relative abundances may fluctuate without precise temporal cyclicity.

The reasons for these intra- and inter-specific vital effects remain unknown. Indeed, as far as we know, no study has ever shown evidence or suspicion of the use of REE in metabolic processes that could induce an effective filter of these elements

410 between seawater and the extrapallial cavity where shell mineralization occurs. Nevertheless, it has been reported that REE, or other unsuspectedly useful elements, are indeed used by organisms in specific environmental settings; an example is provided by diatoms in Zn-depleted conditions, where Zn is used as a co-factor of carbonic anhydrase (Lee et al., 1995). Another example is given by methanotrophic archaea, which use Cd, a toxic element, as a co-factor of methanol dehydrogenase (Pol et al., 2014).

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420	Incorporation of REE differ between both studied species. Not only C. gigas shells present different REE profiles between	Sup
	groups (unlike those of O. edulis), but also the Gd positive anomaly, a characteristic of modern coasts under pressure of	
I	anthropic activities (Bau & Dulski, 1996; Nozaki et al., 2000; Le Goff et al., 2019), is observed solely for "modern" C. gigas	
	specimens from <u>Mod_BDV_Cgig</u> , <u>Mod_MO_Cgig</u> and <u>Mod_TES_Cgig groups</u> (Figure 5). The Gd anomaly is neither visible	Sup
	in modern O. edulis from Mod_BDV_Oedu and Mod_MO_Oedu groups nor in modern C. gigas from Mod_LEU_Cgig. In the	Sup
425	latter, this may be due to the fact that (i) most of the freshwater input in the Leucate area originates from karsts and (ii) the	Sup
	watershed does not include any major city; consequently, these distinct settings are less inclined to anthropogenic Gd	Sup
	conveying, which is mainly related to modern rivers crossing towns where magnetic resonance imaging is in use in hospitals	
	(Le Goff et al., 2019). The systematically low abundances of REE in the Leucate shells can also be explained by the regional	
	geology, as watersheds of the other localities of C. gigas specimens present substratum types with higher REE contents than	
430	karsts (e.g., such as basalts). The dispersion of measurements using t-SNE seems to be ineffective to discriminate	
	Mod_MO_Cgig and Mod_TES_Cgig groups. Both these groups are located on the Atlantic Ocean coastline of France, and	
	both respective watersheds comprise the same rock types (i.e., mainly limestones and some clays and river deposits). One	
	could therefore expect a similar riverine water REE content. Overall, these species-specific characteristics indicate that C.	
	gigas can be used as a sentinel species regarding REE pollution of coastal waters. On the contrary, we cannot confirm that O.	
435	edulis is a proper candidate for such studies.	Sup
1	Several reasons for these interspecific differences can be advanced. It is known that oysters can be selective in their diet,	
	composed mainly of diatoms (Yonge, 1928; Paulmier, 1971) of a specific size range, and preferentially digest specific species	
	of diatoms over others (Shumway et al., 1985; Cognie et al., 2001). If food is a source of REE, it may be possible that each	
	oyster species does not feed on the same prey, which can present different abundances of these elements. Aquarium	
440	experiments reported different ingestion rates in the 5-15 μm algal size range between these oyster species (Nielsen et al.,	
	2017), but there is no indication on the REE content of the food. Alternatively, O. edulis, which exhibits generally higher	
	abundances of REE (nearly one order of magnitude higher for light REE, except for La and Ce for Mod_BDV_Cgig specimens;	Sup
1	Figure 5), could present a higher bioaccumulation of these elements in its soft tissues (and eventually shell) compared to C.	
	gigas. Ong et al. (2013) presented trace element measurements from soft tissues of both species from the Baie de Quiberon	
445	(Brittany, France) indicating that soft tissues of O. edulis contain generally less Cu and Zn but more Cd and Pb than those of	
	C. gigas. Such species-specific bioaccumulation and incorporation differences could also be in effect for REE. Finally, it is	
	possible to explain the higher abundance of <u>light REE</u> in O. edulis shells compared to C. gigas by suggesting that O. edulis	Sup
	ingests more clay particles. As heavy REE are trapped in complexed form in seawater, mainly light REE must be available for	Sup
I	adsorption on clay particles, and eventually integrate the forming carbonate shell.	Sup
450	In any case, this study shows that t-SNE can be used on <u>REE and Y</u> measurements from <i>C. gigas</i> shells to identify regions of	Sup
1	origin of specimens from this species. However, it appears that intraspecific vital effects prevent its efficiency on other oyster	
	species, such as O. edulis, which specimens exhibit the same fingerprint for several localities of origin. For this reason, we	
	cannot confirm or refute a different origin of the two populations of the Cybèle archaeological specimens (Anc_CYB1_Oedu_	Sup

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and Anc_CYB2_Oedu) with these elements. Oysters are not an exception. Indeed, such similar interspecific vital effects had previously been reported as well for corals (Akagi et al., 2004).

5 Conclusions

Multiple types of vital effects on REE incorporation in C. gigas and O. edulis oyster shells have been highlighted in this study. 470 Intraspecific variations in REE abundances are significant but not related to seasonal fluctuations. A gradual decrease in REE incorporations with increasing atomic numbers has been observed, and it appears that heavy REE are less discriminant than light REE to identify the various studied groups. The Y/Ho ratio, previously reported as a proxy for provenance studies, remains ineffective in oyster shells. Finally, interspecific variations underline the ability of t-SNE procedure to correctly separate C. gigas specimens of various regions of origin but not O. edulis specimens, which also implies that only C. gigas 475 can be used as a monitor species of light REE pollution. Reconstruction of provenance of oyster specimens will therefore have to be performed separately for each studied species, as regional geochemical fingerprints of the shells appear to be species-

dependant. In order to be able to identify the regions of origin of species affected by strong vital effects (such as O. edulis), it is necessary to investigate other chemical elements as potential provenance proxies. Moreover, an increased efficiency in identifying the locality (and not only the region) of origin of C. gigas specimens could be achieved by also measuring other elements than REE.

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6 Data availability

The data used in this manuscript have been deposited on the Zenodo data repository (Mouchi et al., 2019).

7 Author contribution

VM conceived the study. VM, CG and AU performed the data analysis. VF and FL provided specimens. VM and EV performed statistics and data processing. VM, CG, MdR, LE, EV and FL interpreted the results. VM wrote the manuscript with 485 contributions from all authors.

8 Competing interests

The authors declare that they have no conflict of interest.

9 Acknowledgements

490 The authors would like to thank Frédéric Delbès for the work he performed on the preparation of the thin sections. Supprimé: HREE Supprimé: LREE

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									Déplacé (insertion) [2]
Group	Specie	Locality of origin	Coordinates	Age	Annual	Annual	Number of		Déplacé (insertion) [3]
I	s				temperature range	salinity range	specimens	measurements	Déplacé (insertion) [4]
I	'				Tunge	(PSU)		X	Déplacé (insertion) [5]
Mod BD	<u>C.</u>	Géfosse. Baie des	<u>49°23.11</u> N.	Modern	5-20°C	_30-34	5	30	Déplacé (insertion) [6]
V_Cgig	<u>gigas</u>	Veys	<u>01°06.05 W</u>	-	<u>******</u>				Déplacé (insertion) [7]
I	'	(Normandy)				1		1	Déplacé (insertion) [8]
Mod_BD	<u>0.</u>	Géfosse, Baie des		Modern	<u>5-20°C</u>	30-34	<u>5</u>	41	Déplacé (insertion) [9]
V_Oedu	<u>edulis</u>	<u>Veys</u> (Normandy)	01°06.05 W					4	Déplacé (insertion) [10]
	'	(Normandy)	'			i			Déplacé (insertion) [11]
Mod_M	<u>C.</u>	Marennes-	$\frac{45^{\circ}52.23}{01^{\circ}10.60}$ N,	Modern	<u>5-26°C</u>	<u>24-38</u>	<u>3</u>	<u>24</u>	Déplacé (insertion) [12]
O_Cgig	<u>gigas</u>	Oléron, Charente Maritime	<u>01°10.60 W</u>			i		I I I I I I I I I I I I I I I I I I I	Tableau mis en forme
I	'	(Atlantic Ocean)				1		1	Déplacé (insertion) [13]
Mod_M	<u>0.</u>	Marennes-	45°52.23 N,	Modern	5-26°C	24-38	3	13	Déplacé (insertion) [14]
O_Oedu	edulis	Oléron, Charente			<u></u>		-	1	Déplacé (insertion) [15]
I	'	<u>Maritime</u> (Atlantic Ocean)				i		/	Supprimé: CYB-1
	<u> </u>			<u> </u>				/	Tableau mis en forme
Mod_TE S_Cgig	C. gigas	Tès, Arcachon (Atlantic Ocean)	44°40.01 N, 01°08.18 W	Modern	5-26°C	25-35	8	58 🚽	Mis en forme
<u></u>	8.8					i			Mis en forme
Mod LE	<u>C.</u>	Leucate, Aude	<u>42°52.48 N,</u>	Modern	<u>2-32°C</u>	<u>26-42</u>	<u>5</u>	14	Mis en forme
U Cgig	<u>gigas</u>	(Mediterranean pond)	03°01.50 W					N/	Supprimé: CYB-2
I	۱ <u> </u>	pona)	<u>03 01.30 W</u>						Mis en forme
Anc CY	<i>0</i> .	Unknown	Unknown	20-30 CE	Unknown	Unknown	6	44 •/	Mis en forme
<u>B1 Oedu</u> ₄	edulis	· ['	+'				+	·/ /	Mis en forme
Anc CY	0.	Unknown	Unknown	20-30 CE	Unknown	Unknown	7.	30.	Mis en forme
B2_Oedu	edulis,								Mis en forme
I		'							Mis en forme
Anc MA	<i>0</i> .	Unknown	Unknown	6 th c. CE	Unknown	Unknown	6	43	Mis en forme
L Oedu	edulis	·'	'					N	Mis en forme
	L'	<u> </u>	L'			i			Mis en forme
								nes-Oléron, Tès	Supprimé: LMP

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Figure 1: Map of the localities of modern (squares) and archaeological (stars) specimens. Coordinates of the modern sites are indicated in Table 1.



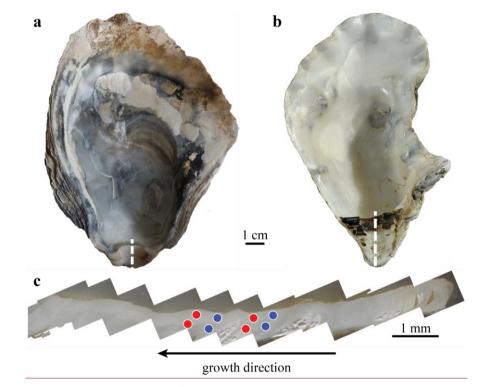


Figure 2: Typical archaeological *Ostrea edulis* (a) and modern *Crassostrea gigas* (b) specimens. The umbo region (c) is cut following the dashed white line. Laser ablation craters (200 µm in diameter) are indicated by circles (on c). Multiple measurements have been performed on each shell, in both winter and summer parts. Red and blue circles represent measurements corresponding to summer and winter periods, respectively, based on cathodoluminescence.

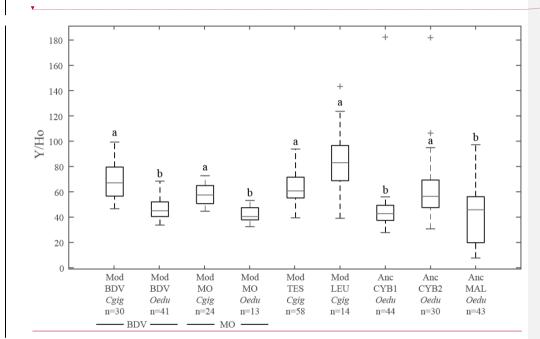


Figure 3: Boxplots of Y/Ho ratios for all groups. The letters on top of the boxes (a and b) identify the significant differences between groups from Kruskal-Wallis tests. Note that for the same locality at Baie des Veys, (BDV) and Marennes-Oléron (MO), the Y/Ho ratios are significantly different depending on the species considered (*C. gigas* and *O. edulis* groups). Grey bars represent median values, the lower and higher large black bars represent the 25th and 75th percentiles, respectively, and the lower and higher small black bars represent the minimum and maximum values not considered as outliers, respectively. Outliers are represented by grey crosses.

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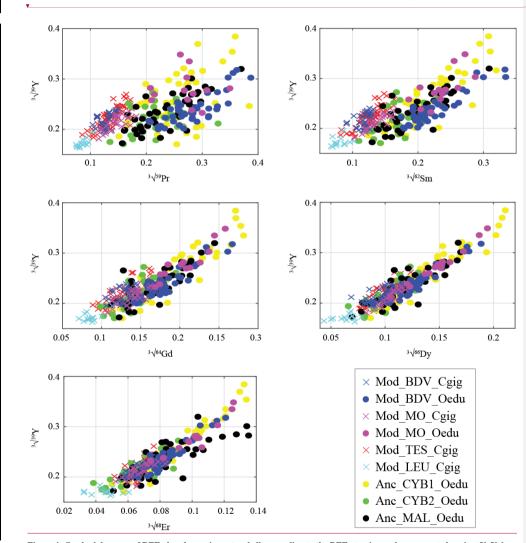


Figure 4: Gradual decrease of REE abundances in oyster shells according to the REE atomic number, presented against Y. Values are expressed in cubic root of abundances (in µg.g¹) to approach normality. Measurements from *C. gigas* and *O. edulis* are indicated
 with crosses and filled circles, respectively.

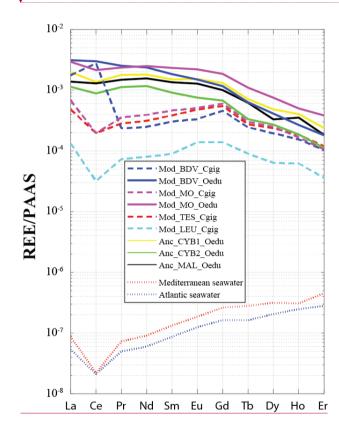


Figure 5: REE median profiles for all groups of oyster specimens. *Crassostrea gigas* groups are symbolized with dashed lines and continuous lines for *O. edulis* groups. Seawater profiles of the Atlantic Ocean (van der Flierdt et al., 2012) and the Mediterranean Sea (Censi et al., 2004) are indicated for comparison. Values are normalized to Post-Archean Australian Shales (PAAS) according to McLennan (1989).

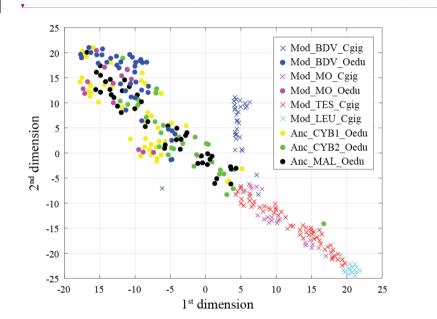


Figure 6: Visualization of shell group partitioning using t-SNE applied to all REE and Y measurements as variables. Crosses and large dots refer to *C. gigas* and *O. edulis*, respectively.