

1 Dear editor P. C. Stoy, reviewers Dr. R. Scott and S. Spawn,

2 We thank you for providing the insightful and constructive comments. We carefully edited the
3 paper according to these comments and suggestions. We hope the revised version of the
4 manuscript is to your satisfaction, and of course, we are more than happy to improve the
5 manuscript if new comments and suggestions might arise.

6 Reviewer: 1

7 This paper presents an assessment of the 10 yr carbon budget of wheat/corn crop rotation along
8 with a much more detailed component assessment over the course of one year in China. The
9 authors use both long-term eddy covariance observations along with respiration measurements
10 and a comprehensive array of biophysical ones for their analysis. The main result is a
11 comprehensive carbon budget for this cropping system as well as some estimates of the
12 controlling drivers and a comparison with previously published agroecosystem C budgets.

13 The paper is well written. The results are clearly presented and the discussion is well framed.
14 There is a great need for these kinds of studies so that the large uncertainty in carbon budgets
15 of agroecosystems can be reduced. I have no major objections to this paper being published.
16 The weakest part of the paper is its consideration of uncertainty. Ideally, it would be great to
17 see confidence intervals given on the detailed crop budgets, but this is difficult to address and
18 not easy to improve. I have just a few stylistic suggestions to improve the paper's presentation.

19 **Response**

20 We appreciate your positive evaluation and constructive comments. We have revised the
21 manuscript thoroughly according to all the comments. Please see our response to the specific
22 comments.

23 1. Throughout the paper, "groundwater table" is used to indicate "depth to groundwater" or
24 "water table depth" or even "groundwater depth". One of these later terms should be used. (e.g.,
25 L. 27). Likewise, "cultural" is used to indicate "agricultural". This should be changed to "crop"
26 or "agricultural" cycle.

27 **Response**

28 All these suggestions are adopted and all the texts are updated. We use "groundwater depth"

29 and “agricultural” in the revised manuscript.

30 2. All throughout the paper, C balance figures are reported down to the 1/10th’s of a g C. I’d
31 suggest rounding these off to the nearest whole number which would make it easier to read as
32 well as not convey such high level of confidence in their accuracy (maybe even consider
33 rounding the nearest 10’s).

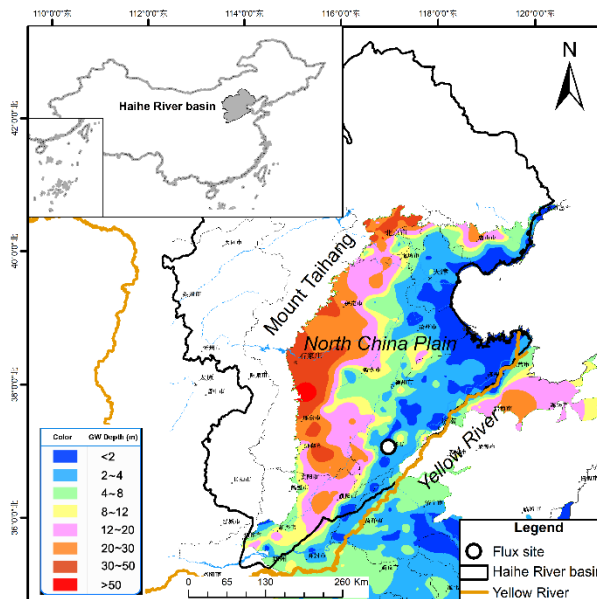
34 Response:

35 We appreciate the comment, we round the figures to the nearest whole number, which is
36 commonly adopted in literatures reporting the carbon balance figures.

37 Figure 1. There are two dots on the map to indicate location of one flux site. Also, would be
38 nice to have a smaller inset map that shows a more zoomed out region to indicate where in
39 China we’re zoomed into.

40 Response:

41 We updated the figure and pasted it below for your convenience.



42

43 *Fig. R1 Location of the experimental site. The background is the shallow groundwater depth*
44 *in early September of 2011 (modified from <http://dxs.hydroinfo.gov.cn/shuiziyuan/>)*

45 L151. "gaps less than 2 h" L274. "as previously mentioned" L283. "were" to "are". Also see
46 L288 and elsewhere.

47 Response:

48 We appreciate the comments, all these are corrected in the revised manuscript.

49 L323-332. Here and elsewhere, correlation is being used to indicate causation. The text should
50 be changed to correct this.

51 Response:

52 We appreciate the comment, the texts are updated to focus on the correlation alone. The updated
53 texts are pasted below for your convenience (L298-312):

54 “The NEE, GPP and ER for both wheat and maize were correlated with the three main
55 environmental variables of R_{si} , T_a and WD using the multiple regression (see Appendix B for
56 details). In the wheat season, T_a showed its relatively greater importance than R_{si} and WD to
57 all the three CO_2 fluxes with a higher T_a increasing both GPP and ER, and also enhancing NEE
58 (more negative) (Fig. 8a); WD correlated negatively with GPP, thereby reduced net carbon
59 uptake (less negative NEE); WD exhibited almost no effect on ER; R_{si} exhibited almost no
60 effect on all the three CO_2 fluxes. Therefore, T_a explained most of the inter-annual variations
61 in NEE, GPP and ER, followed by WD. In the maize season, WD had good correlations with
62 all the three fluxes of GPP, ER, and NEE, where a deeper WD contributed to lower both GPP
63 and ER, and also drive higher net carbon uptake (more negative NEE); T_a showed almost no
64 effect on all the three CO_2 fluxes; R_{si} had a positive correlation with ER, but almost no
65 correlation with GPP (Fig. 8b), ultimately, higher R_{si} in maize season lowered the net carbon
66 uptake (more positive NEE). Overall, R_{si} and WD showed their great importance in influencing
67 the inter-annual variation of maize NEE with R_{si} having a positive correlation and WD having
68 a comparable negative correlation (Fig. 8b).”

69 L338-339. Wondering if this cold season uptake might be caused by IRGA self-heating as
70 shown previously by Burba et al. Did you consider this?

71 Response:

72 We appreciate the reviewer for this comment, we did not consider this. But the winter wheat at
73 our site has green leaves in winter, and our leaf level gas exchange measurement in winter
74 shows that photosynthesis happens in winter. In addition, wheat is also reported to have
75 photosynthesis under low temperature in winter in other studies, e.g., Savitch et al. (1997).
76 These allow us the confidence of the measurement. By addressing this concern from the

77 reviewer, we added the text for explanation (L318-320), which is pasted below for your
78 convenience:

79 “During some of the winter season, the field still sequestered a small amount of CO₂ because
80 of the weak photosynthesis, which was confirmed by leaf level gas exchange measurement
81 (data not shown).”

82 L413. "a short period of" L421 considered L422 "are required" L423 "is much closer to the
83 surface because..."

84 **Response:**

85 We appreciate the reviewer’s comment. All these have been corrected in the revised manuscript.
86 L454-464. Rather than reporting all these values in the text again, I’d suggest just referring to
87 the values in the table.

88 **Response:**

89 We appreciate the comment, the texts are corrected accordingly.

90 L522. Rather than just reiterating these numerical results I’d suggest trying to write what some
91 of the broader implications of your work are.

92 **Response:**

93 We appreciate the comment, we have thoroughly revised the conclusion, which is pasted below
94 for your convenience:

95 **“Conclusion**

96 Based on the decadal measurements of CO₂ fluxes over an irrigated wheat-maize rotation
97 cropland over the North China Plain, we found the cropland was a strong CO₂ sink if grain
98 export was not considered. When considering the grain export, the cropland was a weak CO₂
99 source with the NBP of $-40 \text{ gC m}^{-2} \text{ yr}^{-1}$ in the full 2010-2011 agricultural cycle. The net CO₂
100 exchange during the past decade from 2005 through 2016 showed a decreasing trend, implying
101 a decreasing carbon sequestration capacity of this cropland, discouraging the potential of taking
102 agro-ecosystems as the mitigation tool of climate change. In the wheat season, air temperature
103 showed the best correlation with the CO₂ fluxes followed by the groundwater depth; while in
104 the maize season, both short-wave radiation and groundwater depth showed good correlation
105 with the CO₂ fluxes. The comprehensive investigation showed most of the carbon sequestration

106 occurred during the wheat season, while maize was close to being CO₂ neutral. Soil
107 heterotrophic respiration in this cropland contributes substantially to CO₂ loss in both wheat
108 and maize season. This study provides detailed knowledge for estimating regional carbon
109 emission over the North China Plain.”

110 Reviewer: 2

111 The authors present a 10-year time-series of eddy covariance-derived NEE from a
112 representative (wheat-maize double-cropped annual rotation) cropping system in the North
113 China Plain. They find their system to be a net CO₂ sink (negative NEE) but also that the
114 strength of this sink has progressively declined throughout their observational record.
115 Disproportionate increases in ecosystem respiration relative to gross primary production appear
116 to be responsible for this trend and, interestingly, the authors assert that – at least during the
117 maize season – changes in water table depth and shortwave radiation (not air temperature) are
118 the proximate drivers of change. In addition, the authors embark to further partition ecosystem
119 respiration into its autotrophic and both above- and below-ground heterotrophic components
120 by coupling eddy covariance measurements to a concurrent year's worth of daily, in situ soil
121 respiration measurements. While the authors demonstrate that such partitioning can be done
122 successfully, results are presented in site specific manner and it's unclear to me whether they
123 reveal anything that can be generalized to other sites. Finally, the authors compare their eddy
124 covariance derived C balance to biometric proxies and concurrent changes in soil organic
125 carbon concentrations.

126 **Response**

127 **We appreciate the reviewer for the constructive comments. The research is indeed carried out**
128 **at site level. Given the site we selected is representative over the North China Plain in terms of**
129 **cropping style and tillage management etc, we are of the opinion that the site-specific research**
130 **of this study can represent the general carbon characteristics of the winter wheat/summer maize**
131 **cropping system over the North China Plain. We added the representativeness of this study by**
132 **incorporating the reviewer's other comments, please also see our response to the detailed**
133 **comments.**

134 I feel that either story could be a valuable contribution to the literature but, at present, the
135 potential of neither is fully realized. The former narrative (weakening sink) aligns with
136 hypothesized metabolic responses to climate warming, though interestingly the authors suggest
137 that temperature may not be the proximate driver – I'd like to see a more thorough assessment
138 of these patterns/drivers and a richer discussion if the authors choose to pursue this narrative.

139 Likewise, the latter story – as the authors explain well in their introduction – has great potential
140 to unveil ecological mechanisms that could inform process-based predictions of agroecosystem
141 responses to change. Unfortunately, the study does not seem to dig into this much and thereby
142 does not reveal generalities to that end. This narrative dichotomy is manifest in the manuscript’s
143 current structure. The introduction suggests that the focus will be on flux partitioning. By the
144 end, though, the decadal trends – that have no apparent connection to the partitioning exercise
145 – emerge as the dominate discussion. I encourage the authors to choose one narrative, focus all
146 manuscript sections accordingly, and substantially expand the associated discussion. I’ve
147 provided specific comments and suggestions below that are largely agnostic towards which ever
148 story is ultimately emphasized.

149 **Response**

150 We appreciate the reviewer for the comments.

151 We need to note that the current manuscript is a re-submission to BG. Our previous manuscript
152 focused on the second narrative of flux partitioning, but a previous reviewer suggested that one-
153 year measurement was insufficient for a paper for BG, so we added the decadal variation of
154 CO₂ flux to this study. We agree with the reviewer’s concern regarding the paper structure, and
155 we realized the structure of the manuscript can be improved. By incorporating the reviewer’s
156 advice, we revised the introduction and rewrote the result section to make the story more
157 consistent. In particular, we balanced the contents between decadal variation of CO₂ fluxes and
158 the detailed budget components throughout the manuscript. Now the manuscript reports the
159 CO₂ fluxes at the inter-annual timescale, then the CO₂ budget components are described for a
160 representative year. We believe the revised manuscript is coherent by incorporating the
161 reviewer’s comments.

162 The revised introduction is pasted here for your convenience:

163 **“Introduction**

164 The widely used eddy covariance technique (Aubinet et al., 2000; Baldocchi et al., 2001; Falge
165 et al., 2002b) has enabled us to better understand the terrestrial CO₂ exchange with the
166 atmosphere, thereby forested our understanding of the mechanisms on how the terrestrial

167 ecosystems contribute to mitigate the ongoing climate change (Falkowski et al., 2000; Gray et
168 al., 2014; Poulter et al., 2014; Forkel et al., 2016). Agro-ecosystems play an important role in
169 regulating the global carbon balance (Lal, 2001; Bondeau et al., 2007; Özdoğan, 2011; Taylor
170 et al., 2013; Gray et al., 2014) and are believed to have great potentials to mitigate global carbon
171 emissions through cropland management (Sauerbeck, 2001; Freibauer et al., 2004; Smith, 2004;
172 Hutchinson et al., 2007; van Wesemael et al., 2010; Ciais et al., 2011; Schmidt et al., 2012;
173 Torres et al., 2015), furthermore, some studies proposed the agro-ecosystems as the “natural
174 climate solutions” to mitigate global carbon emission (e.g., Griscom et al., 2017; Fargione et
175 al., 2018). The field management practices (e.g., irrigation, fertilization and residue removal,
176 etc.) impact the cropland CO₂ fluxes (Baker and Griffis, 2005; Béziat et al., 2009; Ceschia et
177 al., 2010; Eugster et al., 2010; Soni et al., 2013; Drewniak et al., 2015; de la Motte et al., 2016;
178 Hunt et al., 2016; Vick et al., 2016), but their relative importance in determining the cropland
179 CO₂ budget remain unclear because of limited field observations (Kutsch et al., 2010),
180 motivating comprehensive CO₂ budget assessments across different cropland management
181 styles.

182 Over the past two decades, CO₂ investigations of agro-ecosystems have mainly focused on the
183 variations in the net ecosystem exchange with the atmosphere (i.e., NEE) or its two derived
184 components (i.e., GPP and ER) using the eddy covariance. To date, these evaluations have been
185 widely conducted for wheat (Gilmanov et al., 2003; Anthoni et al., 2004a; Moureaux et al.,
186 2008; Béziat et al., 2009; Vick et al., 2016), maize (Verma et al., 2005), sugar beet (Aubinet et
187 al., 2000; Moureaux et al., 2006), potato (Anthoni et al., 2004b; Fleisher et al., 2008), soybean-
188 maize rotation cropland (Gilmanov et al., 2003; Hollinger et al., 2005; Suyker et al., 2005;
189 Verma et al., 2005; Grant et al., 2007), and winter wheat-summer maize cropland (Zhang et al.,
190 2008; Lei and Yang, 2010). However, the long-term variations of the cropland CO₂ fluxes
191 remain limited, leaving our knowledge of the cropland potential as the future climate change
192 mitigation tool incomplete.

193 The widely used eddy covariance technique has fostered our understanding of the integrated
194 fluxes of NEE, GPP and ER, but cannot provide the detailed CO₂ budget components, which
195 consist of carbon assimilation (i.e., GPP), soil heterotrophic respiration (R_H), above-ground

196 autotrophic respiration (R_{AA}), below-ground autotrophic respiration (R_{AB}), lateral carbon export
197 at harvest and import at sowing or through organic fertilization (Ceschia et al., 2010). These
198 different CO₂ components result from different biological and biophysical processes (Moureaux
199 et al., 2008) that may respond differently to climatic conditions, environmental factors and
200 management strategies (Ekblad et al., 2005; Zhang et al., 2013). Differentiating among these
201 components is a prerequisite for understanding the response of terrestrial ecosystems to
202 changing environment (Heimann and Reichstein, 2008), so the carbon budget evaluations have
203 been reported for a few croplands (e.g., Moureaux et al., 2008; Ceschia et al., 2010; Wang et
204 al., 2015; Demyan et al., 2016; Gao et al., 2017). In particular, to account for the literal carbon
205 export, the Net Biome Productivity (NBP) is often estimated by combining the eddy covariance
206 technique and field carbon measurements associated with harvests and residue treatments
207 (Ceschia et al., 2010; Kutsch et al., 2010). As detailed CO₂ budget might facilitate better
208 predictions of agro-ecosystems' responses to climate change, the CO₂ budget evaluations in
209 different croplands remain necessary.

210 The North China Plain (NCP) is one of the most important food production regions in China,
211 and it guarantees the national food security by providing more than 50% and 33% of the nation's
212 wheat and maize, respectively (Kendy et al., 2003). Irrigation by diverting water from the
213 Yellow River is common to alleviate the water stress during spring in the NCP, resulting in a
214 very shallow groundwater depth (usually range from 2 to 4 m) along the Yellow River (Cao et
215 al., 2016) (Fig. 1). Wang et al. (2015) suggested that a groundwater-fed cropland in the NCP
216 had been losing carbon, and other studies also reported croplands in this region as carbon
217 sources (e.g., Li et al., 2006; Luo et al., 2008). However, the long-term variations (e.g., >10
218 years) of the CO₂ fluxes over the NCP remain lacking, leaving the trend of carbon sequestration
219 capacity of this region unknown.

220 To this end, this study is designed to assess the long-term variation of CO₂ fluxes and its budget
221 of the representative wheat-maize rotation cropland in the NCP. The eddy covariance system
222 was used to measure the CO₂ exchange from 2005 through 2016. For the full 2010-2011
223 agricultural cycle, we measured soil respiration and sampled crops to quantify the detailed CO₂
224 budget components. These measurements allow to (1) investigate the decadal CO₂ flux (NEE,

225 GPP, and ER) trend over this cropland; (2) provide the detailed CO₂ budget components; and
226 (3) estimate the Net Primary Productivity (NPP), Net Ecosystem Productivity (NEP), and Net
227 Biome Productivity (NBP).”

228 Specific Comments:

229 Line 17: Here and throughout (e.g. lines 110, 112, 122, etc.), it’s not clear what “typical” means.
230 I’d suggestion changing to something like “representative” and defining in a sentence or too
231 (the definition can be provided in the main text and doesn’t need to occupy space in the abstract).

232 Response

233 We modified it to representative.

234 Line 27: Here and throughout, “cultural” should be changed to “agricultural”.

235 Response

236 Revised.

237 Lines 36-38: There is no discussion in the body of the text about the management implications
238 of a more detailed understanding of the CO₂ budget. I recommend that this concluding sentence
239 be changed to better reflect what is actually discussed in the manuscript.

240 Response

241 We modified the concluding sentence to (L38-40):

242 “The investigations of this study showed that taking cropland as a climate change mitigation
243 tool is challenging and further studies are required for the CO₂ sequestration potential of
244 croplands.

245 Lines 41-54: I recommend framing these opening sentences less as though interest in terrestrial
246 C-cycle’s role in the climate system is new but instead that the advent of the eddy covariance
247 method has changed the way we study it. People have long recognized and studied land-
248 atmosphere C fluxes (Houghton et al (1983) is an early example but by no means the first or
249 only one). Reframing in this way would then smoothly transition to your accurate assertion that
250 the growing number of eddy flux studies further necessitate a mechanistic understanding of the

251 processes that underly the integrative fluxes measured by the eddy system.

252 [Response](#)

253 [We appreciate the reviewer for the comment. We revised it accordingly.](#)

254 [We open the paragraph now by \(L43-47\) “The widely used eddy covariance technique \(Aubinet](#)
255 [et al., 2000; Baldocchi et al., 2001; Falge et al., 2002b\) has enabled us to better understand the](#)
256 [terrestrial CO₂ exchange with the atmosphere, thereby forested our understanding of the](#)
257 [mechanisms on how the terrestrial ecosystems contribute to mitigate the ongoing climate](#)
258 [change \(Falkowski et al., 2000; Gray et al., 2014; Poulter et al., 2014; Forkel et al., 2016\).”](#)

259 Line 42: Gray et al (2014) may also be a good reference here as it directly addresses the role of
260 agriculture as a diver of variation in the global C cycle.

261 [Response](#)

262 [We appreciate the reviewer for the paper recommendation. It is incorporated.](#)

263 Line 67: Gray et al (2014) may also be a good reference here.

264 [Response](#)

265 [We appreciate the reviewer for the paper recommendation. It is incorporated.](#)

266 Lines 68-70: You might consider mentioning some of the emerging “natural climate solutions”
267 literature. Griscom et al (2017) show that agroecosystems have a large potential to mitigate C
268 emissions, globally. Fargione et al (2018) further show that agroecosystems can be a particularly
269 cost-effective means of mitigating C emissions.

270 [Response](#)

271 [We appreciate the reviewer for the paper recommendation. It is incorporated.](#)

272 [We added the text to mention such effort as \(L52-54\) “furthermore, some studies proposed the](#)
273 [agro-ecosystems as the “natural climate solutions” to mitigate global carbon emission \(e.g.,](#)
274 [Griscom et al., 2017; Fargione et al., 2018\).”](#)

275 Lines 73-74: Please change “the key factors” to “their relative importance in”.

276 Response

277 Revised.

278 Lines 92-95: These sentences seem to imply that agroecosystems are monolithic and might
279 collectively be generalized as source or sink with the help of a few more CO₂ budgets. Diversity
280 in source/sink behavior among studies is almost surely an artifact of differences in management
281 and edaphics. Instead, I'd suggest emphasizing that detailed budgets might facilitate better
282 prediction of systems' responses to change.

283 Response

284 We appreciate the reviewer's constructive comment. It is revised accordingly. The updated text
285 (L86-88) is pasted here for your convenience:

286 "As detailed CO₂ budget might facilitate better predictions of agro-ecosystems' responses to
287 climate change, the CO₂ budget evaluations in different croplands remain necessary."

288 Lines 107-111: This study's central question needs to be clarified. Here the question seems to
289 be something like 'how does variation in microclimate and management influence the
290 source/sink status of croplands. This is a question that doesn't seem to necessitate the detailed
291 C budget that distinguishes your study and could instead be inferred from [spatial] patterns of
292 NEE. But back in lines 73-74 the question seems more about the proximate drivers influencing
293 individual C cycle fluxes. Which is it?

294 Response

295 We revised the introduction to better clarify the question.

296 Our aim is to: report the inter-annual variations of the CO₂ fluxes in a representative cropland
297 over the North China Plain, and investigate the detailed CO₂ budget components.

298 In fact, both are the reasons that drive this study, but now we revised the introduction thoroughly
299 to better clarify our question. Please refer to our revised introduction in response to previous
300 comments.

301 Line 182: Is this a standard gap filling procedure? I'm not familiar. Perhaps add a sentence to

302 the text?

303 Response

304 This is a machine learning algorithm, which has been recently shown to have the capability to
305 fill gaps of eddy covariance data (see Kang et al., 2019; Kim et al., 2019).

306 We modified it to (L169-172) “the flux gap of this period was filled by using the machine
307 learning Support Vector Regression (SVR) algorithm (Cristianini and Shave-Taylor, 2000),
308 which has been proved to be an appropriate tool for flux gap fillings (e.g., Kang et al., 2019;
309 Kim et al., 2019)”

310 Line 194: Please change “groundwater table” to “groundwater table depth”.

311 Response

312 We modified it to “groundwater depth”, which is also suggested by the first reviewer.

313 Line 210: Here and throughout the text, “samplings” should be changed to “samples”.

314 Response

315 Revised.

316 Line 224: “guaranteed” is too strong of a term here. It ignores inevitable underlying
317 heterogeneity in soil characteristics.

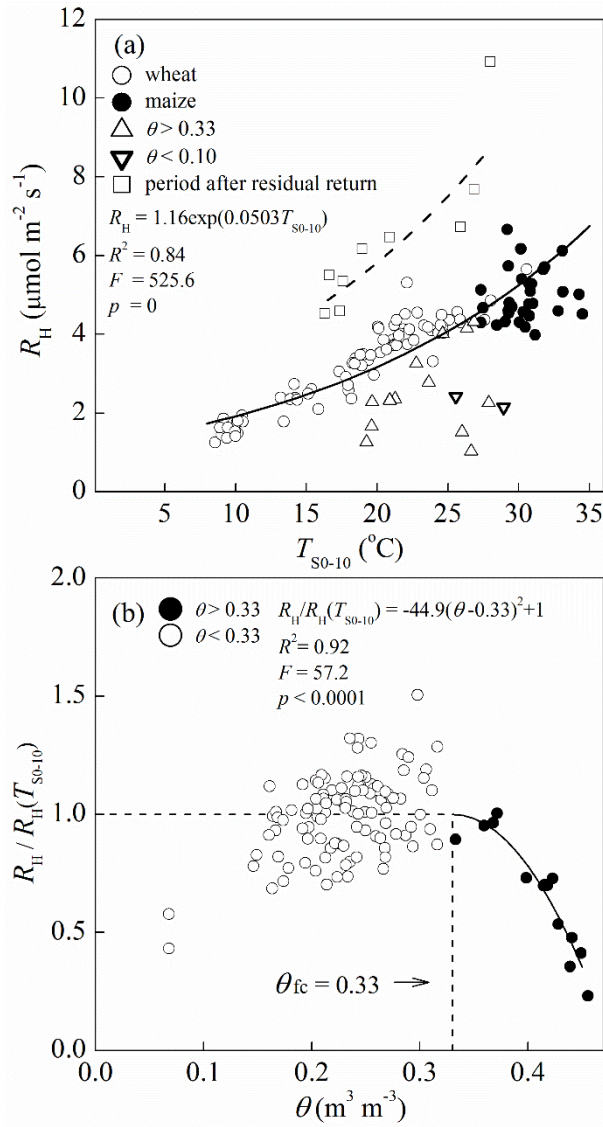
318 Response

319 The agree with the reviewer. We modified it to (L209-210) “The uniform field condition
320 contributes to reduce the measurement uncertainty associated with the spatial variability (see
321 Zhang et al., 2013)”.

322 Line 230: How were parameters “inferred”?

323 Response

324 The parameters were inferred by using the least square method. We modified it to (L216-217)
325 “The parameters were inferred by fitting the R_H and T_s measurements by using the least square
326 method (see Zhang et al., 2013)”. See Fig. R2 (figure in Zhang et al. 2013) pasted below.



327

328 *Fig. R2 (a) Relation between heterotrophic respiration (R_H) and soil temperature of the upper*
 329 *10 cm (T_{S0-10}) (b) relation between temperature-standardized heterotrophic respiration*
 330 *($R_H/R_H(T_{S0-10})$) and mean soil water content of the upper 5 cm (θ), vertex of the fitting*
 331 *quadratic curve was set to 1.0 at θ_{fc} . Dashed line in (a) was the fitting temperature*
 332 *dependence curve for the period of 3 weeks following the crop residual return.*

333 Line 233: Please define the “contribution ratio”.

334 **Response**

335 The contribution ratio of R_{AB} to R_S is the ratio R_{AB}/R_S , we revised this to make it clearer as
 336 (L220-222)

337 “ R_{AB} of other periods was estimated based on the R_H measurement and the ratio of the R_{AB} to
338 R_S estimated previously (Zhang et al., 2013), and the continuous R_{AB}/R_S ratio was interpolated
339 from the daily records (Fig. 2).

340 Lines 237-242: This is a remarkably narrow time period (1-year) within which to measure SOC
341 changes in response to management. I’m highly skeptical a signal will emerge through the
342 inevitable noise of heterogenous soil. Were samples taken at the same location every time? The
343 regression technique used to calculate the rate of change needs to be reported and must account
344 for the variance among samples on each sampling date. Was bulk density measured with each
345 sample? If not, please clarify that these are measurements SOC concentration, not SOC stocks.

346 **Response**

347 This analysis was removed by incorporating the reviewer’s other comment. Nevertheless, to
348 respond to the reviewer’s concerns, we sampled soil from 10 fixed locations each time and
349 pooled them before SOC analysis. The soil bulk density is the average value of the soil
350 measurements in this cropland, so we did not measure it for each soil sample. We analyzed the
351 SOC concentration to estimate the SOC stock.

352 Line 244: You might consider adding a conceptual figure showing the C-cycle as inferred in
353 this study and highlighting the fluxes/drivers of interest.

354 **Response**

355 We appreciate this advice. We actually have the CO_2 -cycle in figure 11, a new conceptual figure
356 might be repetitive. We are inclined to reduce the figure count to use figure 11 for this purpose.

357 Lines 277-279: This could be moved to the site description at the beginning of the methods
358 section.

359 **Response**

360 We revised accordingly.

361 Lines 307-309: Consider reporting these as percentages.

362 **Response**

363 This part is removed when we thoroughly revised the discussion.

364 Lines 327-328: As I see Figure 8, WT increased wheat NEE (positive coefficient) and decreased
365 GPP (negative coefficient). But you say “decreased GPP, thereby reduce NEE”. What am I
366 missing? Also, please elaborate on the maize trends. Currently you say, “WT had a pronounced
367 contribution to both GPP and ER, as well as to NEE.” Please provide a more detailed description
368 that includes the directions of changes.

369 Response

370 We revised this part.

371 The confusion is due to the sign of NEE. We adopted the commonly used sign system to use
372 negative NEE as ecosystem carbon uptake (atmosphere carbon removal). For wheat,
373 groundwater depth (WD) has positive correlation with NEE, implying the decrease of carbon
374 uptake along with increasing groundwater depth, and we can further find that this result from
375 the decrease of GPP under high WD value (Fig. 8a). We modified the expression to (L301-302)
376 “WD correlated negatively with GPP, thereby reduced net carbon uptake (less negative NEE)”
377 to avoid the confusion. We also provided a more detailed description of maize.

378 We also thoroughly revised this part, and the updated texts are pasted here for your convenience
379 (L297-311): “The NEE, GPP and ER for both wheat and maize were correlated with the three
380 main environmental variables of R_{si} , T_a and WD using the multiple regression (see Appendix B
381 for details). In the wheat season, T_a showed its relatively greater importance than R_{si} and WD
382 to all the three CO_2 fluxes with a higher T_a increasing both GPP and ER, and also enhancing
383 NEE (more negative) (Fig. 8a); WD correlated negatively with GPP, thereby reduced net carbon
384 uptake (less negative NEE); WD exhibited almost no effect on ER; R_{si} exhibited almost no
385 effect on all the three CO_2 fluxes. Therefore, T_a explained most of the inter-annual variations in
386 NEE, GPP and ER, followed by WD. In the maize season, WD had good correlations with all
387 the three fluxes of GPP, ER, and NEE, where a deeper WD contributed to lower both GPP and
388 ER, and also drive higher net carbon uptake (more negative NEE); T_a showed almost no effect
389 on all the three CO_2 fluxes; R_{si} had a positive correlation with ER, but almost no correlation

390 with GPP (Fig. 8b), ultimately, higher R_{si} in maize season lowered the net carbon uptake (more
391 positive NEE). Overall, R_{si} and WD showed their great importance in influencing the inter-
392 annual variation of maize NEE with R_{si} having a positive correlation and WD having a
393 comparable negative correlation (Fig. 8b).”

394 Line 373: There is very little discussion of the flux partitioning work that was so heavily
395 emphasized in the introduction. Why?

396 Response

397 By incorporating the reviewer’s advice, we thoroughly revised the introduction. In particular,
398 we expanded the introduction to the general CO₂ researches and measurements of cropland.
399 Please also see our introduction pasted in response to previous comments. We now balance the
400 contents of flux partitioning and inter-annual variation.

401 Lines 383-384: Similar to my critique of lines 92-95, I don’t get the impression that the
402 scientific community is seeking a consensus on whether or not croplands are C sources or sinks.
403 I would remove this assertion. It’s well accepted (and demonstrated in the literature) that, like
404 so many ecosystem processes, source/sink status is contingent upon management and landscape
405 heterogeneity across scales and domains.

406 Response

407 We agree with the reviewer and removed this expression. We also thoroughly revised the whole
408 introduction.

409 Line 390: As with all C-cycle assessments, results depend on the system boundaries. Since your
410 results suggest that the sink status of your focal croplands is contingent upon irrigation water,
411 I’d suggest including a brief discussion of the implications that emissions from irrigation
412 pumping might have for the source/sink status of your croplands. Such a discussion may be
413 more appropriately situated in the “Effects of ground water on carbon fluxes” section.

414 Response

415 We appreciate the constructive comment.

416 Our cropland is irrigated by diverting water from the Yellow River, we compared with a nearby
417 cropland with similar cropping system but irrigated by pumping the groundwater (Wang et al.,
418 2015). The updated texts (L399-420) were pasted below for your convenience:

419 “The groundwater table at our site is much closer to the surface because of the irrigation by
420 water diverted from the Yellow River, in contrast, the nearby Luancheng site (Wang et al., 2015)
421 is groundwater-fed with a very deep groundwater depth (approximately 42 m) (Shen et al. 2013),
422 and their CO₂ budget components had some difference with our study. Comparing the net CO₂
423 exchange of wheat, the GPP at our site is a little higher than the Luancheng site, implying the
424 irrigation at our site may better sustain the photosynthesis rate for wheat; ER at our site is also
425 a little higher than Luancheng site. For maize, both sites are not irrigated due to the high summer
426 precipitation, GPP and ER at our site were comparable to Luancheng site, implying that the
427 irrigation method prior to the maize season had no discernible effect on the integrated CO₂
428 fluxes for maize. However, the three components of ER in our study showed pronounced
429 difference from the Luancheng site, where they reported the R_{AA} was 411 gC m⁻² for wheat and
430 428 gC m⁻² for maize, three times the results of our study (128 gC m⁻² for wheat and 133 gC m⁻²
431 for maize). However, their R_{AB} for wheat (36 gC m⁻²) and maize (16 gC m⁻²) were less than a
432 quarter of our results (136 gC m⁻² for wheat and 115 gC m⁻² for maize). Their R_H of wheat (245
433 gC m⁻²) was less than our estimate (377 gC m⁻²), but R_H of maize (397 gC m⁻²) was greater than
434 our result (292 gC m⁻²). In general, the crop above-ground parts in our site respired more carbon
435 than the Luancheng site, possibly because the shallow groundwater depth at our site increased
436 the above-ground biomass allocation but lowered the root biomass allocation (Poorter et al.,
437 2012). These independent cross-site comparisons demonstrate that carbon budget components
438 may be subject to the specific groundwater depth influenced by the irrigation type, and even
439 the same crop under similar climatic conditions can behave differently in carbon consumption.”

440 Line 398: These numbers are remarkably precise. Is that true to the precision of your
441 instruments? What is the uncertainty associated with your numbers?

442 **Response**

443 We followed reviewer1’s advice and round the data to the nearest whole number. Our NPPs of

444 both wheat and maize were estimated based on two independent methods, and they gave very
445 close estimations. The NPP was 783 (SD ±46) gC m⁻² for wheat and 562 (SD ±43) gC m⁻² for
446 maize, which has already been described in the text (L337-341) and we pasted it here for your
447 convenience: “The NPP values were 750 and 815 gC m⁻² for wheat based on crop sample and
448 the eddy covariance complemented with soil respiration measurements, respectively, and were
449 592 and 532 gC m⁻² for maize based on the two methods. We used the average of these two
450 methods for NPP measurements, which were 783 (SD ±46) gC m⁻² for wheat and 562 (SD ±
451 43) gC m⁻² for maize.”

452 Line 403: What is “sufficient”?

453 Response

454 We revised it to full irrigation.

455 Line 405: This paragraph needs a topic sentence.

456 Response

457 This part is removed when we thoroughly revised the discussion.

458 Lines 419-420: This was not reported in the results. Please add. Figure 8 shows standardized
459 results so it cannot simply be inferred from the figure.

460 Response

461 We appreciate the comment. We provided more explanation regarding the effect of groundwater
462 depth on the CO₂ fluxes, but the water logging effect discussion is removed as no direct
463 measurements can provide strong support to our assertion. We present such results in the “The
464 inter-annual variations in the NEE, GPP and ER” sub-section (L297-311) and has been pasted
465 as the response to previous comments, please refer to our detailed response to that comment.

466 Lines 421-423: Given that the paper is framed within the context of the climate change
467 mitigation, this is an important caveat and one on which you should elaborate further. Can you
468 provide a sense from your work or from nearby studies on how large methane emissions might
469 be and – when converted to CO₂-equivalents – what they might imply for their source/sink

470 status?

471 **Response**

472 We appreciate the reviewer for this comment. We did a literature search and realized that CH₄
473 measurement remains lacking for similar cropping system in the area. So we did not expand the
474 discussion that much, instead, we added such text to motivate future study as (L430-432) “As
475 CH₄ emission in this kind of cropping system over the North China Plain cropland remains
476 lacking, additional field experiments are required to understand how irrigation and water
477 saturation field condition impact the overall carbon budget.”

478 Lines 451-452: “cropland is more efficient in sequestering CO₂ from the atmosphere than forest”
479 - this is a terribly misleading statement and should be removed. In fact, this whole carbon use
480 efficiency section and table 2 should be removed. It doesn’t relate to either of the questions you
481 pose in the introduction. Moreover, It’s well established that the principle source of greenhouse
482 gas emissions from croplands is not CO₂ but N₂O a greenhouse gas (e.g. Carlson et al 2017)
483 and any assessment of relative climate impacts should fully account for that. Simply comparing
484 NPP to GPP is thus not a relevant way of assessing sequestration potential. It also says nothing
485 of the longevity of any sequestered C. Carbon sequestered in forests, for example, is likely to
486 remain stored on the landscape for far longer than cropland residue (which may only persist for
487 a year or two). This is why agriculture has been attributed to the rising annual variance in
488 northern hemisphere CO₂ concentrations (Gray et al 2014, Zeng et al 2014) – there are lots of
489 really productive plants (high NPP) – that are then abruptly removed from the land surface and
490 quickly decomposed.

491 **Response**

492 We appreciate the comment. We removed this related content of carbon use efficiency. However,
493 we sustained other parts of the table, which gave us the information of a few important ratios
494 of the CO₂ budget components. We pasted the corresponding texts here for your convenience
495 (387-396):

496 “The contrasting respiration partitioning of the same crop in different regions (Table 2) indicate

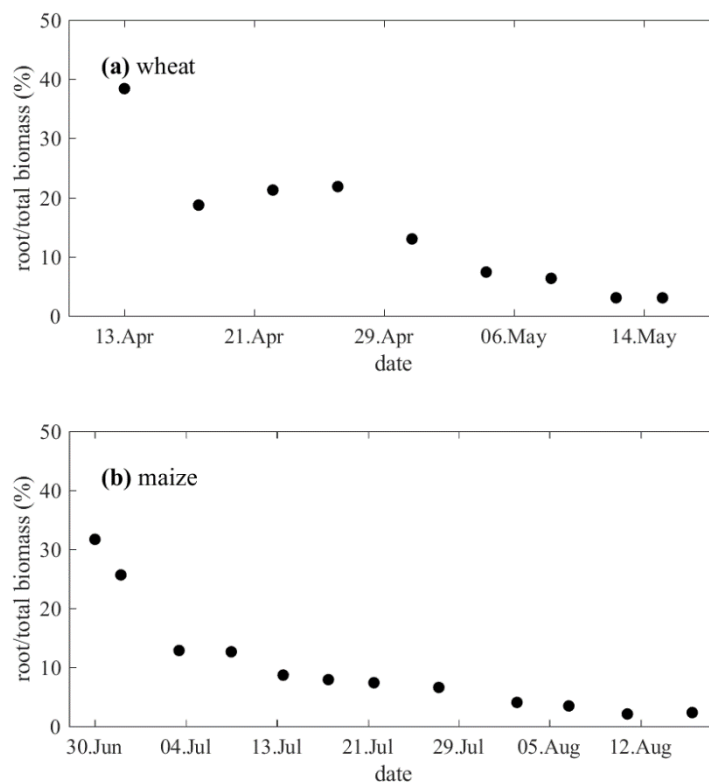
497 that the respiration processes may also be subject to climatic conditions and management
498 practices. Though the ecosystem respiration to GPP at our site is comparable to other studies,
499 the ratio of autotrophic respiration to GPP is much lower at our site, while the ratio of
500 heterotrophic respiration to ecosystem respiration is greater at our site, these findings are
501 different from those at the other sites with similar crop variety (Moureaux et al., 2008; Aubinet
502 et al., 2009; Suleau et al., 2011; Wang et al., 2015; Demyan et al., 2016), as they showed that
503 ecosystem respiration is usually dominated by below-ground and above-ground autotrophic
504 respirations. The higher soil heterotrophic respiration at our site probably results from the full
505 irrigation and shallow groundwater which alleviates soil water stress.”

506 Line 489: The Jackson et al (1996) number here is relatively low in comparison to crop specific
507 estimates for corn (15%) and wheat (17%) compiled in (Wolf et al 2015).

508 **Response**

509 We appreciate the comment. We cited the recommended paper in the revision. Furthermore, we
510 analyzed the root ratios for our crops and found that the averaged root/biomass ratio is about
511 10% for maize and 15% for wheat close to the values in Wolf et al. (2015). The root/biomass
512 ratios decreased until harvest at our site, and the minimal ratio at harvest possibly resulted from
513 root decay during crop senescence. (See Fig. R3). We revised this part as (L443-448)

514 “Root biomass was difficult to measure, but the uncertainty should be low, because the root
515 ratio (the ratio of the root weight to the total biomass weight) accounts for 15-16 % of the crop
516 for wheat and maize (Wolf et al., 2015), and our measurements are very close to these values,
517 i.e., the averaged seasonal root ratio was 15% for wheat and 10% for maize at our site. However,
518 the relatively low root ratios (3% for wheat and 2% for maize) at harvest probably result from
519 the root decay associated with plant senescence.”



520

521 *Fig. R3 The seasonal variation in the root/total biomass ratio of wheat (a) and maize (b) for*
 522 *the main growing season.*

523 Line 495: Was your Q10 model “well validated”? If so that validation should be reported
 524 in the results section. If not, that should be discussed here.

525 **Response**

526 We appreciate the comment. The soil respiration Q₁₀ model was validated by a previous
 527 independent study (see the Fig. R2). Please also see response to previous comments.

528 Lines 496-499: These SOC comparisons should instead be reported in the results section. What
 529 is the p-value of the SOC loss rate? If not less than 0.05, this section should be removed. How
 530 was bulk density calculated? You say that it is “about” 1,300 – does that mean that this is an
 531 approximation? If so, based on what? Since this value is used to calculate the SOC stock with
 532 which you ‘validate’ your soil respiration results, it’s critical to know from where this number
 533 is coming.

534 **Response**

535 The correlation did not pass the significance test. We removed this part by following the

536 reviewer's advice. Nevertheless, the bulk density of the soil was measured independently.

537 Line 503-514: Once again, these data should have been reported in the results section.

538 Response

539 We appreciate the comment. We moved this part to results section.

540 Line 507: What does "sufficient" mean here? And "insufficient" in the line preceding it?

541 Response

542 "Sufficient" means the sample number is big enough, and the "insufficient" means the number
543 of samples is small. We revised these expressions to (L457-459) "These differences may result
544 from the small wheat sample number. However, the sample number at harvest was sufficiently
545 big and no discernible difference was found between the two NPPs at harvest."

546 Lines 511-514: This is not an acceptable 'validation'. The cause of the difference in signs (+/-)
547 between the two independent estimates needs to be determined before deciding whether maize
548 is a source or a sink. Simply calculating an average is not acceptable in this case. Doing so
549 bases your final determination of source/sink on which ever estimate has the greater absolute
550 value regardless of whether it was right or wrong. Why is one positive and the other negative?

551 Response

552 We realized our explanation of the analysis had some problem. As we used two independent
553 methods to estimate NPP, so we used the averaged NPP of the two; we also used the averaged
554 NEP of the two methods to estimate NBP to avoid the confusion. The updated texts (L339-347)
555 are pasted here for your convenience:

556 "We used the average of these two methods for NPP measurements, which were 783 (SD \pm 46)
557 gC m⁻² for wheat and 562 (SD \pm 43) gC m⁻² for maize. We also used the average of NEP by two
558 independent methods for the measurement, and the NEP was 406 gC m⁻² for wheat and 269 gC
559 m⁻² for maize. Furthermore, when considering the carbon loss associated with the grain export,
560 the NBP values were 59 gC m⁻² for wheat and 5 gC m⁻² for maize, respectively. Considering
561 the net CO₂ loss of -104 gC m⁻² during the two fallow periods, NBP of the whole wheat-maize

562 crop cycle was $-40 \text{ gC m}^{-2} \text{ yr}^{-1}$, suggesting that the cropland was a weak carbon source to the
563 atmosphere under the specific climatic conditions and field management practices.”

564 Line 513: This is not an “uncertainty analysis”. Nor is it a true “validation” If anything, it would
565 be a “comparison” of methods.

566 **Response**

567 We agree with the reviewer. According to the previous comment, we removed such discussion.

568 Line 526: This seems like a key finding to me.

569 **Response**

570 We appreciate the comment, we highlight this in the abstract. We also present this in the results
571 section.

572 Figure 12: A p-value needs to be reported here. Please also add error bars to illustrate the
573 variation associated with the 10 measurements from each date. If the slope of this relationship
574 is not significantly different than zero ($p > 0.05$), it should not be used to ‘validate’ your
575 heterotrophic respiration numbers.

576 **Response**

577 By incorporating the reviewer’s previous comments, we removed this part as the correlation
578 did not pass the significance level $p < 0.05$.

579

580 **Main references used in this response:**

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624

625 **The revised parts are highlighted by blue**

626 **Title:** Decadal variation of CO₂ fluxes and its budget in a wheat and maize rotation cropland
627 over the North China Plain

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639 **Abstract:**

640 Carbon sequestration in agro-ecosystems has great potentials to mitigate global greenhouse
641 gas emissions. To assess the decadal trend of CO₂ fluxes of an irrigated wheat-maize rotation
642 cropland over the North China Plain, the net ecosystem exchange (NEE) with the atmosphere
643 was measured by using an eddy covariance system from 2005 through 2016. To evaluate the
644 detailed CO₂ budget components of this representative cropland, a comprehensive experiment
645 was conducted in the full 2010-2011 wheat-maize rotation cycle by combining the eddy
646 covariance NEE measurements, plant carbon storage samples, a soil respiration experiment
647 that differentiated between heterotrophic and below-ground autotrophic respirations. Over the
648 past decade from 2005 through 2016, the cropland exhibited a non-statistically significant
649 decreasing carbon sequestration capacity; the average of total NEE, Gross Primary
650 Productivity (GPP), Ecosystem Respiration (ER) for wheat were -364, 1174 and 810 gC m⁻²,
651 and were -136, 1008, and 872 gC m⁻² for maize. The multiple regression revealed that, air
652 temperature and groundwater depth showed pronounced correlation with the CO₂ fluxes for
653 wheat; but in the maize season, incoming short-wave radiation and groundwater depth
654 showed pronounced correlations with CO₂ fluxes. For the full 2010-2011 agricultural cycle,
655 the CO₂ fluxes for wheat and maize were as follows: NEE -438 and -239 gC m⁻², GPP 1078
656 and 780 gC m⁻², ER 640 and 541 gC m⁻², soil heterotrophic respiration 377 and 292 gC m⁻²,
657 below-ground autotrophic respiration 136 and 115 gC m⁻², above-ground autotrophic
658 respiration 128 and 133 gC m⁻²; the net biome productivity was 59 gC m⁻² for wheat and 5 gC
659 m⁻² for maize, indicating that wheat was a weak CO₂ sink and maize was close to CO₂ neutral
660 to the atmosphere for this agricultural cycle. However, when considering the total CO₂ loss in

661 the fallow period, the net biome productivity was $-40 \text{ gC m}^{-2} \text{ yr}^{-1}$ for the full 2010-2011
662 cycle, implying that the cropland was a weak CO_2 source. [The investigations of this study](#)
663 [showed that taking cropland as a climate change mitigation tool is challenging and further](#)
664 [studies are required for the \$\text{CO}_2\$ sequestration potential of croplands.](#)

665 **Key words:** Cropland; CO_2 ; Decadal trend; Maize; North China Plain; Wheat

666 **Introduction**

667 The widely used eddy covariance technique (Aubinet et al., 2000; Baldocchi et al., 2001;
668 Falge et al., 2002b) has enabled us to better understand the terrestrial CO₂ exchange with the
669 atmosphere, thereby forested our understanding of the mechanisms on how the terrestrial
670 ecosystems contribute to mitigate the ongoing climate change (Falkowski et al., 2000; Gray et
671 al., 2014; Poulter et al., 2014; Forkel et al., 2016). Agro-ecosystems play an important role in
672 regulating the global carbon balance (Lal, 2001; Bondeau et al., 2007; Özdoğan, 2011; Taylor
673 et al., 2013; Gray et al., 2014) and are believed to have great potentials to mitigate global
674 carbon emissions through cropland management (Sauerbeck, 2001; Freibauer et al., 2004;
675 Smith, 2004; Hutchinson et al., 2007; van Wesemael et al., 2010; Ciais et al., 2011; Schmidt et
676 al., 2012), furthermore, some studies proposed the agro-ecosystems as the “natural climate
677 solutions” to mitigate global carbon emission (e.g., Griscom et al., 2017; Fargione et al.,
678 2018). The field management practices (e.g., irrigation, fertilization and residue removal, etc.)
679 impact the cropland CO₂ fluxes (Baker and Griffis, 2005; Béziat et al., 2009; Ceschia et al.,
680 2010; Eugster et al., 2010; Drewniak et al., 2015; de la Motte et al., 2016; Hunt et al., 2016;
681 Vick et al., 2016), but their relative importance in determining the cropland CO₂ budget
682 remain unclear because of limited field observations (Kutsch et al., 2010), motivating
683 comprehensive CO₂ budget assessments across different cropland management styles.

684 Over the past two decades, CO₂ investigations of agro-ecosystems have mainly focused on the
685 variations in the net ecosystem exchange with the atmosphere (i.e., NEE) or its two derived
686 components (i.e., GPP and ER) using the eddy covariance. To date, these evaluations have

687 been widely conducted for wheat (Gilmanov et al., 2003; Anthoni et al., 2004a; Moureaux et
688 al., 2008; Béziat et al., 2009; Vick et al., 2016), maize (Verma et al., 2005), sugar beet
689 (Aubinet et al., 2000; Moureaux et al., 2006), potato (Anthoni et al., 2004b; Fleisher et al.,
690 2008), soybean-maize rotation cropland (Gilmanov et al., 2003; Hollinger et al., 2005; Suyker
691 et al., 2005; Verma et al., 2005; Grant et al., 2007), and winter wheat-summer maize cropland
692 (Zhang et al., 2008; Lei and Yang, 2010). However, the long-term variations of the cropland
693 CO₂ fluxes remain limited, leaving our knowledge of the cropland potential as the future
694 climate change mitigation tool incomplete.

695 The widely used eddy covariance technique has fostered our understanding of the integrated
696 fluxes of NEE, GPP and ER, but cannot provide the detailed CO₂ budget components, which
697 consist of carbon assimilation (i.e., GPP), soil heterotrophic respiration (R_H), above-ground
698 autotrophic respiration (R_{AA}), below-ground autotrophic respiration (R_{AB}), lateral carbon
699 export at harvest and import at sowing or through organic fertilization (Ceschia et al., 2010).
700 These different CO₂ components result from different biological and biophysical processes
701 (Moureaux et al., 2008) that may respond differently to climatic conditions, environmental
702 factors and management strategies (Ekblad et al., 2005; Zhang et al., 2013). Differentiating
703 among these components is a prerequisite for understanding the response of terrestrial
704 ecosystems to changing environment (Heimann and Reichstein, 2008), so the carbon budget
705 evaluations have been reported for a few croplands (e.g., Moureaux et al., 2008; Ceschia et
706 al., 2010; Wang et al., 2015; Demyan et al., 2016; Gao et al., 2017). In particular, to account
707 for the literal carbon export, the Net Biome Productivity (NBP) is often estimated by

708 combining the eddy covariance technique and field carbon measurements associated with
709 harvests and residue treatments (Ceschia et al., 2010; Kutsch et al., 2010). As detailed CO₂
710 budget might facilitate better predictions of agro-ecosystems' responses to climate change, the
711 CO₂ budget evaluations in different croplands remain necessary.

712 The North China Plain (NCP) is one of the most important food production regions in China,
713 and it guarantees the national food security by providing more than 50% and 33% of the nation's
714 wheat and maize, respectively (Kendy et al., 2003). Irrigation by diverting water from the
715 Yellow River is common to alleviate the water stress during spring in the NCP, resulting in a
716 very shallow groundwater depth (usually range from 2 to 4 m) along the Yellow River (Cao et
717 al., 2016) (Fig. 1). Wang et al. (2015) suggested that a groundwater-fed cropland in the NCP
718 had been losing carbon, and other studies also reported croplands in this region as carbon
719 sources (e.g., Li et al., 2006; Luo et al., 2008). However, the long-term variations (e.g., >10
720 years) of the CO₂ fluxes over the NCP remain lacking, leaving the trend of carbon sequestration
721 capacity of this region unknown.

722 To this end, this study is designed to assess the long-term variation of CO₂ fluxes and its
723 budget of the representative wheat-maize rotation cropland in the NCP. The eddy covariance
724 system was used to measure the CO₂ exchange from 2005 through 2016. For the full 2010-
725 2011 agricultural cycle, we measured soil respiration and sampled crops to quantify the
726 detailed CO₂ budget components. These measurements allow to (1) investigate the decadal
727 CO₂ flux (NEE, GPP, and ER) trend over this cropland; (2) provide the detailed CO₂ budget
728 components; and (3) estimate the Net Primary Productivity (NPP), Net Ecosystem

729 [Productivity \(NEP\), and NBP.](#)

730 **Materials and methods**

731 **Site description and field management**

732 The experiment was conducted in a rectangular-shaped (460 m × 280 m) field of the
733 representative cropland over the NCP (36° 39' N, 116° 03' E, Weishan site of Tsinghua
734 University, Fig. 1). The soil is silt loam with the field capacity of 0.33 m³ m⁻³ and saturation
735 point of 0.45 m³ m⁻³ for the top 5 cm soil. The mean annual precipitation is 532 mm and the
736 mean air temperature is +13.3 °C. The winter wheat-summer maize rotation system is the
737 representative cropping style in this region. On average, the winter wheat is sown around
738 October 17th and harvested around June 16th of the following year with crop residues left on
739 the field; summer maize is sown following the wheat harvest around June 17th and harvested
740 around October 16th. Prior to sowing wheat of the next season, the field is thoroughly
741 ploughed to fully incorporate maize residues into the top 20 cm soil. The canopies of both
742 wheat and maize are very uniform across the whole season. Nitrogen fertilizer is commonly
743 applied at this site with the amount of 35 gN m⁻² for wheat and 20 gN m⁻² for maize. The crop
744 density is 775 plants m⁻² for wheat with a ridge spacing of 0.26 m, and 4.9 plants m⁻² for
745 maize with a ridge spacing of 0.63 m, on average. Wheat is commonly irrigated with water
746 diverted from the Yellow River and the irrigation is about 150 mm every year; maize is rarely
747 irrigated because of the high precipitation in the summer. [During the 2010-2011 agricultural](#)
748 [cycle with CO₂ budget components evaluated, winter wheat was sown on October 23rd, 2010](#)
749 [and subsequently harvested on June 10th, 2011; summer maize was sown on June 23rd, 2011](#)

750 and harvested on September 30th, 2011. The entire year from October 23rd, 2010 through
751 October 22nd, 2011 was studied for the annual CO₂ budget evaluation.

752 (Fig. 1 here)

753 **Eddy covariance measurements**

754 A flux tower was set up at the center of the experiment field in 2005 (Lei and Yang, 2010;
755 Zhang et al., 2013). The NEE was measured at 3.7m above ground with an eddy covariance
756 system consisting of an infrared gas analyzer (LI-7500, LI-COR Inc., Lincoln, NE, USA) and
757 a three-dimensional sonic anemometer (CSAT3, Campbell Scientific Inc., Logan, UT, USA).
758 The 30-min averaged NEE was calculated from the 10 Hz raw measurements with TK2
759 (Mauder and Foken, 2004) from 2005 through 2012 and TK3 software package (Mauder and
760 Foken, 2011) from 2013 through 2016. The storage flux was calculated by assuming a
761 constant CO₂ concentration profile. Nighttime measurements under stable atmospheric
762 conditions with a friction velocity lower than 0.1 m s⁻¹ were removed from the analysis (Lei
763 and Yang, 2010). In the gap filling procedure, gaps less than 2 h were filled using linear
764 regression, while other short gaps were filled using the Mean Diurnal Variation (MDV)
765 method (Falge et al., 2001); gaps longer than 4 weeks were not filled. NEE was further
766 partitioned to derive GPP and ER using the nighttime method (Reichstein et al., 2005; Lei and
767 Yang, 2010), which assumes that daytime and nighttime ER follow the same temperature
768 response, thereby estimates the daytime ER using the regression model derived from the
769 nighttime measurements. In particular, this study adopted the method proposed by Reichstein
770 (2005) to quantify the short-term temperature sensitivity of ER from nighttime measurements

771 as described by the Vant Hoff equation,

$$772 \quad ER = ER_{\text{ref}} \exp(bT_s), \quad (1)$$

773 Where T_s is soil temperature, ER_{ref} is the reference respiration at 0 °C, and b is a parameter
774 associated with the commonly used temperature sensitivity coefficient Q_{10} ,

$$775 \quad Q_{10} = \exp(10b). \quad (2)$$

776 The long-term temperature sensitivity b of the season (either wheat or maize) was determined
777 by averaging all the estimated short-term b in each of the four-day window with the inverse of
778 the standard error as a weighing factor. The long-term temperature sensitivity b was then used
779 to estimate the ER_{ref} parameter in each of the four-day window by fitting the eq. (1), then
780 ER_{ref} of each day was estimated by using the least square spline approximation (Lei and Yang,
781 2010).

782 To quantify the contribution of source areas to the CO₂ flux measurement of the eddy
783 covariance, we used an analytical footprint model (Hsieh et al., 2000),

$$784 \quad f(\chi, z_m) = \frac{1}{\kappa^2 \chi^2} D z_u^P |L|^{1-P} \exp\left(\frac{-1}{\kappa^2 \chi} D z_u^P |L|^{1-P}\right) \quad (3)$$

785 where $D=0.28$ and $P=0.59$ are similarity constants for unstable condition (Hsieh et al., 2000),
786 $\kappa=0.4$ is von Karman constant, χ represents the horizontal coordinate, L represents the
787 Obukhov length, z_m represents the measurement height, and z_u represents the length scale
788 expressed as,

$$789 \quad z_u = z_m \left[\ln\left(\frac{z_m}{z_0}\right) - 1 + \frac{z_0}{z_m} \right] \quad (4)$$

790 where z_0 represents the roughness height set to be 0.1Hc (canopy height).

791 Note that the eddy covariance system failed from October 23, 2010 to April 1, 2011 during the
792 wheat dormant season. To evaluate the seasonal CO₂ budget of this rotation cycle, the flux
793 gap of this period was filled by using the machine learning Support Vector Regression (SVR)
794 algorithm (Cristianini and Shave-Taylor, 2000), which has been proved to be an appropriate
795 tool for flux gap fillings (e.g., Kang et al., 2019; Kim et al., 2019) (see Appendix A).

796 **Meteorological and environmental condition measurements**

797 The meteorological variables were measured at 30-min intervals by a standard meteorological
798 station on the tower. Among these variables were the air temperature (T_a) and relative
799 humidity (RH) (HMP45C, Vaisala Inc, Helsinki, Finland) at the height of 1.6 m, precipitation
800 (P) (TE525MM, Campbell Scientific Inc), incoming short-wave radiation (R_{si}) (CRN1, Kipp
801 & Zonen, Delft, Netherlands) and photosynthetic photon flux density (PPFD) (LI-190SA, LI-
802 COR Inc) at the height of 3.7 m. The 30-min interval edaphic measurements included soil
803 temperature (T_s) (109-L, Campbell Scientific Inc.), volumetric soil moisture (θ) (CS616-L,
804 Campbell Scientific Inc.) for the top 5 cm soil; soil matric potential (ψ) (257-L, Campbell
805 Scientific Inc.) was measured since 2010 at the same depth. The groundwater depth (WD)
806 (CS420-L, Campbell Scientific Inc.) was measured at a location close to the flux tower in 30-
807 min intervals.

808 **Biometric measurements and crop samples**

809 To trace crop development and carbon storage, we measured canopy height (H_C), leaf area
810 index (LAI), crop dry matter (DM), and carbon content of crop organs at an interval of 7-10
811 days in the footprint of eddy covariance. Due to inclement weather, measurement intervals

812 were occasionally extended to two weeks or longer. The H_C was measured with a ruler and
813 LAI was measured with LAI-2000 (LI-COR Inc.) at ten locations randomly distributed in the
814 field. For crop samples, four locations were randomly selected at the start of the growing
815 season, crop samples were then collected close to these four locations throughout the
816 experimental period. At each location, 10 crop samples were collected for wheat and 3 crop
817 samples were collected from maize. To reduce the sample uncertainty at harvest, 200 crops
818 and 5 crops were collected in each location for wheat and maize, respectively. The crop
819 organs were separated and oven-dried at 105 °C for kill-enzyme torrefaction for 30 min, and
820 then oven-dried at 75 °C until a constant weight. The crop samples were used to estimate the
821 average field biomass (Dry Matter). The carbon content was analyzed using the combustion
822 oxidation-titration method (National Standards of Environmental Protection of the People's
823 Republic of China, 2013) to estimate carbon storage. The crop samples provided a direct
824 estimate of the NPP.

825 **Soil respiration measurements**

826 Soil respiration was measured every day in the footprint of the eddy covariance between
827 13:00 and 15:00 from March through September of 2011 using a portable soil respiration
828 system LI-8100 (LI-COR Inc.). Below-ground autotrophic respiration and heterotrophic
829 respiration were differentiated using the root exclusion method (Zhang et al., 2013). The total
830 soil respiration (R_S) and R_H were measured at treatments with and without roots, respectively,
831 and the corresponding difference is R_{AB} . To reduce the uncertainty associated with spatial
832 variability, we set three replicate pairs of comparative treatments (i.e., with root and without

833 root) randomly in the field. The uniform field condition contributes to reduce the
834 measurement uncertainty associated with the spatial variability (see Zhang et al., 2013). To
835 assess the seasonal variations and total amount of soil respirations, the seasonal continuous
836 R_H was constructed using the Q_{10} model by incorporating soil moisture as follows (Zhang et
837 al., 2013):

$$838 \quad R_H = A \exp(BT_s) \cdot f(\theta), \quad (4)$$

$$839 \quad f(\theta) = \begin{cases} 1, & \theta \leq \theta_f \\ a(\theta - \theta_f)^2 + 1, & \theta > \theta_f \end{cases}, \quad (5)$$

840 where θ_f is the field capacity. The parameters were inferred by fitting the R_H and T_s
841 measurements by using the least square method (see Zhang et al., 2013), where $A=1.16$,
842 $B=0.0503$, and $a=-44.9$ (see Zhang et al., 2013).

843 The R_{AB} of wheat was assumed to be 0 before March 14th due to the negligible plant biomass;
844 R_{AB} of other periods was estimated based on the R_H measurement and the ratio of the R_{AB} to
845 R_S estimated previously (Zhang et al., 2013), and the continuous R_{AB}/R_S ratio was
846 interpolated from the daily records (Fig. 2). This estimation method is robust because the
847 R_{AB}/R_S ratio is nearly constant around its diurnal average (Zhang et al., 2015b).

848 **(Fig. 2 here)**

849 **Synthesis of the CO₂ budget components**

850 The CO₂ budget components were derived by combining the eddy covariance measurements,
851 soil respiration experiments and crop samples. Eddy covariance-measured NEE is the
852 difference between carbon assimilation (i.e., GPP) and carbon release (i.e., ER). The ER

853 consists of R_H , R_{AB} (i.e., root respiration) and above-ground autotrophic respiration (R_{AA}).

854 The total soil respiration is the sum of R_H and R_{AB} ,

$$855 \quad R_S = R_H + R_{AB}. \quad (6)$$

856 The total autotrophic respiration (R_A) is the difference between the eddy covariance-derived
857 ER and R_H ,

$$858 \quad R_A = ER - R_H. \quad (7)$$

859 The above-ground autotrophic respiration (R_{AA}) is the difference between the eddy
860 covariance-derived ER and R_S in eq. (6),

$$861 \quad R_{AA} = ER - R_S. \quad (8)$$

862 NPP is plant biomass carbon storage, and can be quantified as the difference between GPP
863 and R_A ,

$$864 \quad NPP_{EC} = GPP - R_A, \quad (9)$$

865 where the subscript “EC” represents that the NPP is estimated from the eddy covariance-
866 derived GPP . In parallel, NPP can also be directly inferred from biomass samples as,

$$867 \quad NPP_{CS} = C_{cro}, \quad (10)$$

868 where the subscript “CS” indicates that NPP is based on crop samples, and C_{cro} is the plant
869 biomass carbon storage at harvest. [We used the average of the two independent \$NPP\$ s as the
870 measurement for this site.](#)

871 NEP is commonly estimated by the NEE measurement ($NEP_{EC} = -NEE$). In this study, the crop
872 samples and soil respiration measurements also provided an independent estimate as,

873 $NEP_{CS}=NPP_{CS}-R_H.$ (11)

874 We used the average of the two NEPs as the measurement for this site.

875 At this site, there were no fire and insect disturbances, and there was no manure fertilizer
876 application. The carbon input from seeds was negligible, and all crop residues were returned
877 to the field. Thus, NBP can be quantified as the difference between NEP and grain export
878 carbon loss (C_{gra}),

879 $NBP=NEP-C_{gra},$ (12)

880 **Results**

881 **Meteorological conditions and crop development**

882 The inter-annual variations of major meteorological variables are shown in Fig. 3, and they
883 showed no clear trend for both wheat and maize seasons. For the full 2010-2011 cycle with
884 comprehensive experiments, the average R_{si} and T_a were very close to other years; however,
885 the P during maize season was a little higher than other years (Fig. 3c), leading to a shallow
886 WD in maize season (Fig. 3d). The intra-annual variations of field microclimates for the full
887 2010-2011 cycle are shown in Fig. 4. The seasonal maximum and minimum T_a occurred in
888 July and January, respectively, and the variations in vapor pressure deficit (VPD) well
889 followed the T_a . The WD mainly followed the irrigation events in winter and spring, but
890 followed P in summer and autumn. In particular, the WD varied from 0 to 3 m throughout the
891 year. The wet soil conditions prohibited the field from experiencing water stress (Fig. 4d)
892 because even the lowest soil matric potential (-187.6 kPa) remained a lot higher than the

893 permanent wilting point of crops (around $-1, 500.0$ kPa).

894 **(Fig. 3&4 here)**

895 Fig. 5 shows the seasonal variations in H_C and LAI reflecting the crop development for the
896 full 2010-2011 cycle. The maximum LAI was $4.2 \text{ m}^2 \text{ m}^{-2}$ for wheat and $3.6 \text{ m}^2 \text{ m}^{-2}$ for maize.
897 The variations in H_C and LAI distinguished the different stages of crop development. During
898 the wheat season, the stages of regreening, jointing, booting, heading, and maturity started
899 approximately on March 1st, April 20th, May 1st, May 7th, and June 5th, respectively. The
900 seasonal variations in DM agreed well with the crop stages (Fig. 6), and the wheat biomass
901 mainly accumulated in April and May, while maize biomass mainly accumulated in July and
902 August. The total DM was $1, 718 \text{ g m}^{-2}$ for wheat and $1, 262 \text{ g m}^{-2}$ for maize at harvest. Upon
903 harvest, the wheat DM was distributed as: 3% root, 43% stem, 9% leaf and 45 % grain, while
904 the maize DM was distributed as: 2% root, 29% stem, 7% green leaf, 5% dead leaf, 4%
905 bracket, 7% cob, and 46% grain. The seasonal average carbon contents of the root, stem,
906 green leaf, dead leaf, and grain were 410, 439, 486, 452 and 457 gC kg^{-1} DM for wheat and,
907 408, 438, 477, 457, and 456 gC kg^{-1} DM for maize (see Table 1 for the seasonal variation).

908 **(Table 1 here)**

909 **(Figs. 5&6 here)**

910 **The inter-annual variations in the NEE, GPP and ER**

911 For the period from 2005 through 2016, if grain export was not considered, the wheat was
912 consistent CO_2 sink as the seasonal total NEEs were consistently negative, and the maize was
913 CO_2 sink in most years except for 2012 and 2013 when NEE was positive (Fig. 7a). NEEs of

914 both wheat and maize fields became less negative during the past decade (though not
915 statistically significant), implying a progressive decline of the carbon sequestration potential
916 of this cropland. The GPPs of both wheat and maize showed an increasing trend, though not
917 statistically significant (Fig. 7b). The ERs of both wheat and maize also showed an increasing
918 trend in these years, but only the trend of maize was significant (Fig. 7c). The decadal average
919 of NEE, GPP and ER were $-364 (\pm 98)$, $1,174 (\pm 189)$ and $810 (\pm 161)$ gC m⁻² for wheat,
920 and $-136 (\pm 168)$, $1,008 (\pm 297)$, and $872 (\pm 284)$ gC m⁻² for maize.

921 The NEE, GPP and ER for both wheat and maize were correlated with the three main
922 environmental variables of R_{si} , T_a and WD using the multiple regression (see Appendix B for
923 details). In the wheat season, T_a showed its relatively greater importance than R_{si} and WD to
924 all the three CO₂ fluxes with a higher T_a increasing both GPP and ER, and also enhancing
925 NEE (more negative) (Fig. 8a); WD correlated negatively with GPP, thereby reduced net
926 carbon uptake (less negative NEE); WD exhibited almost no effect on ER; R_{si} exhibited
927 almost no effect on all the three CO₂ fluxes. Therefore, T_a explained most of the inter-annual
928 variations in NEE, GPP and ER, followed by WD. In the maize season, WD had good
929 correlations with all the three fluxes of GPP, ER, and NEE, where a deeper WD contributed to
930 lower both GPP and ER, and also drive higher net carbon uptake (more negative NEE); T_a
931 showed almost no effect on all the three CO₂ fluxes; R_{si} had a positive correlation with ER,
932 but almost no correlation with GPP (Fig. 8b), ultimately, higher R_{si} in maize season lowered
933 the net carbon uptake (more positive NEE). Overall, R_{si} and WD showed their great
934 importance in influencing the inter-annual variation of maize NEE with R_{si} having a positive

935 correlation and WD having a comparable negative correlation (Fig. 8b).

936 (Figs. 7&8 here)

937 **Intra-annual variations in the NEE, GPP and ER**

938 The Intra-annual variations in NEE, GPP, and ER exhibited a bimodal curve corresponding
939 with the two crop seasons (Fig. 9). All the three CO₂ fluxes were almost in phase, with peaks
940 appearing at the start of May during the wheat season and in the middle of August during the
941 maize season. During some of the winter season, the field still sequestered a small amount of
942 CO₂ because of the weak photosynthesis, which was confirmed by leaf level gas exchange
943 measurement (data not shown). Net carbon emission happened during the fallow periods, in
944 addition to the start of the maize season when the plant was small and high temperature
945 enhanced heterotrophic respiration. During the wheat season, two evident spikes appeared on
946 April 21st and May 8th with positive NEE values (i.e., net carbon release). These spikes
947 resulted from the radiation decline during the inclement weather (Fig. 4b), which suppressed
948 the photosynthesis rate; similar phenomena also appeared during the maize season.

949 **(Fig. 9 here)**

950 Fig. 10 shows the variations in ER and its components. During the wheat season, the variation
951 in ER closely followed crop development and temperature, but there were two evident
952 declines at the end of April and the start of May due to low temperatures associated with the
953 inclement weather. During the early growing stage of maize, R_H was the main component of
954 ER. When water logging conditions occurred in late August and early September, both R_H and
955 R_{AB} were suppressed to zero.

956 **(Fig. 10 here)**

957 **CO₂ budget synthesis in the 2010-2011 agricultural cycle**

958 CO₂ budget analysis showed that this wheat-maize rotation cropland has the potential to
959 uptake carbon from the atmosphere (Fig. 11). In the full 2010-2011 cycle, the total NEE, GPP
960 and ER values were -438, 1078, and 640 gC m⁻² for wheat, and -239, 780 and 541 gC m⁻² for
961 maize. The NPP values were 750 and 815 gC m⁻² for wheat based on crop sample and the
962 eddy covariance complemented with soil respiration measurements, respectively, and were
963 592 and 532 gC m⁻² for maize based on the two methods. We used the average of these two
964 methods for NPP measurements, which were 783 (SD ± 46) gC m⁻² for wheat and 562 (SD ±
965 43) gC m⁻² for maize. We also used the average of NEP by two independent methods for the
966 measurement, and the NEP was 406 gC m⁻² for wheat and 269 gC m⁻² for maize. Furthermore,
967 when considering the carbon loss associated with the grain export, the NBP values were 59
968 gC m⁻² for wheat and 5 gC m⁻² for maize, respectively. Considering the net CO₂ loss of -104
969 gC m⁻² during the two fallow periods, NBP of the whole wheat-maize crop cycle was -40 gC
970 m⁻² yr⁻¹, suggesting that the cropland was a weak carbon source to the atmosphere under the
971 specific climatic conditions and field management practices.

972 **(Fig. 11 here)**

973 **Discussion**

974 This study investigated the decadal variations of the NEE, GPP and ER over an irrigated wheat-
975 maize rotation cropland over the North China Plain, and the results exhibited a decreasing trend
976 of the CO₂ sink capacity during the past decade. The inter-annual variations of the carbon fluxes

977 of wheat showed close dependence on temperature and groundwater depth, while those of maize
978 were mostly regulated by the solar radiation and groundwater depth. Furthermore, the detailed
979 CO₂ budget components were quantified for a full wheat-maize agricultural cycle. Investigating
980 the decadal trend of the CO₂ fluxes and quantifying the detailed CO₂ budget components for
981 this representative cropland will provide useful knowledge for the regional greenhouse gas
982 emission evaluation over the North China Plain.

983 **Comparison with other croplands**

984 The cropland has been reported as carbon neutral to the atmosphere (e.g., Ciais et al., 2010),
985 carbon source (e.g., Anthoni et al., 2004a; Verma et al., 2005; Kutsch et al., 2010; Wang et al.,
986 2015; Eichelmann et al., 2016), and also carbon sink (e.g., Kutsch et al., 2010). Such
987 inconsistency probably results from the different crop types and management practices
988 (residue removal, the use of organic manure etc), in addition to variations in the climatic
989 conditions (Béziat et al., 2009; Smith et al., 2014) and fallow period length (Dold et al.,
990 2017). Our results show that the fully irrigated wheat-maize rotation cropland with a shallow
991 groundwater depth was a weak CO₂ sink during both the wheat and maize seasons in the full
992 2010-2011 cycle, but the CO₂ loss during the fallow period reversed the cropland from a sink
993 into a weak source with an NBP of $-40 \text{ gC m}^{-2} \text{ yr}^{-1}$. These results are consistent with previous
994 studies that reported the wheat-maize rotation cropland as a carbon source (Li et al., 2006;
995 Wang et al., 2015). However, the net CO₂ loss was much lower at our site, most likely due to
996 the shallow groundwater depth.

997 Field measurements of the long term of CO₂ fluxes over croplands remain lacking, and we

998 found the carbon sequestration capacity of this cropland has been progressively decreasing,
999 though it was not statistically significant. The cropland has been widely suggested as a
1000 climate change mitigation tool (e.g., Lal, 2001), but the potential in the future is challenging.
1001 However, since the cropland management greatly impacts the carbon balance of cropland
1002 (Béziat et al., 2009; Ceschia et al., 2010), it remains required investigating if the management
1003 adjustment can foster the cropland carbon sink capacity over the long term.

1004 The annual total NPP of 1,345 gC m⁻² yr⁻¹ at our site is approximately twice the average of
1005 the model-estimated NPP for Chinese croplands (714 gC m⁻² yr⁻¹) with a rotation index of 2
1006 (i.e., two crop cycles within one year) (Huang et al., 2007), more than three times the value
1007 estimated by MODIS (400 gC m⁻² yr⁻¹) (Zhao et al., 2005), and slightly higher than the value
1008 of the same crop rotation at the Luancheng site (1,144 gC m⁻² yr⁻¹) (Wang et al., 2015). The
1009 higher NPP at our site may partially result from the sufficient irrigation and fertilization
1010 (Huang et al., 2007; Smith et al., 2014).

1011 The contrasting respiration partitioning of the same crop in different regions (Table 2) indicate
1012 that the respiration processes may also be subject to climatic conditions and management
1013 practices. Though the ecosystem respiration to GPP at our site is comparable to other studies,
1014 the ratio of autotrophic respiration to GPP is much lower at our site, while the ratio of
1015 heterotrophic respiration to ecosystem respiration is greater at our site, these findings are
1016 different from those at the other sites with similar crop variety (Moureaux et al., 2008;
1017 Aubinet et al., 2009; Suleau et al., 2011; Wang et al., 2015; Demyan et al., 2016), as they
1018 showed that ecosystem respiration is usually dominated by below-ground and above-ground
1019 autotrophic respirations. The higher soil heterotrophic respiration at our site probably results

1020 from the full irrigation and shallow groundwater which alleviates soil water stress.

1021 **(Table 2 here)**

1022 **The effects of groundwater on carbon fluxes**

1023 The groundwater table at our site is much closer to the surface because of the irrigation by
1024 water diverted from the Yellow River, in contrast, the nearby Luancheng site (Wang et al.,
1025 2015) is groundwater-fed with a very deep groundwater depth (approximately 42 m) (Shen et
1026 al. 2013), and their CO₂ budget components had some difference with our study. Comparing
1027 the net CO₂ exchange of wheat, the GPP at our site is a little higher than the Luancheng site,
1028 implying the irrigation at our site may better sustain the photosynthesis rate for wheat; ER at
1029 our site is also a little higher than Luancheng site. For maize, both sites are not irrigated due
1030 to the high summer precipitation, GPP and ER at our site were comparable to Luancheng site,
1031 implying that the irrigation method prior to the maize season had no discernible effect on the
1032 integrated CO₂ fluxes for maize. However, the three components of ER in our study showed
1033 pronounced difference from the Luancheng site, where they reported the R_{AA} was 411 gC m⁻²
1034 for wheat and 428 gC m⁻² for maize, three times the results of our study (128 gC m⁻² for wheat
1035 and 133 gC m⁻² for maize). However, their R_{AB} for wheat (36 gC m⁻²) and maize (16 gC m⁻²)
1036 were less than a quarter of our results (136 gC m⁻² for wheat and 115 gC m⁻² for maize). Their
1037 R_H of wheat (245 gC m⁻²) was less than our estimate (377 gC m⁻²), but R_H of maize (397 gC
1038 m⁻²) was greater than our result (292 gC m⁻²). In general, the crop above-ground parts in our
1039 site respired more carbon than the Luancheng site, possibly because the shallow groundwater
1040 depth at our site increased the above-ground biomass allocation but lowered the root biomass

1041 allocation (Poorter et al., 2012). These independent cross-site comparisons demonstrate that
1042 carbon budget components may be subject to the specific groundwater depth influenced by
1043 the irrigation type, and even the same crop under similar climatic conditions can behave
1044 differently in carbon consumption.

1045 Our site experienced a short period of water logging during the 2010-2011 cycle due to the
1046 combined effects of full irrigation and the high precipitation during the summer. This distinct
1047 field condition reduced soil carbon losses in the maize season, potentially maintaining the
1048 CO₂ captured by the cropland. Water logging events were occasionally reported in upland
1049 croplands, for example, Terazawa et al. (1992) and Iwasaki et al. (2010) suggested that water
1050 logging causes damage to plants, resulting in a decline in GPP as reported by Dold et al.
1051 (2017) and our study. Our study further shows that water logging reduces ER to a greater
1052 degree than GPP possibly because of the low soil oxygen conditions, thereby reduces the
1053 overall cropland CO₂ loss. However, the CH₄ release in the short period may be pronounced
1054 in water-logged soils. As CH₄ emission in this kind of cropping system over the North China
1055 Plain cropland remains lacking, additional field experiments are required to understand how
1056 irrigation and water saturation field condition impact the overall carbon budget.

1057 **Uncertainty in the estimation and limitation of this study**

1058 In the comprehensive experiment period for the full 2010-2011 agricultural cycle, the NEE of
1059 wheat season from October 23rd, 2010 to April 1st, 2011 was calculated using a calibrated
1060 SVR model. The SVR model performs well in predicting GPP and ER with very high R² of
1061 0.95 and 0.97 and an acceptable uncertainty level of 22.9% and 15.2% for GPP and ER,

1062 respectively. Hence, these estimates should have a negligible effect on the seasonal total
1063 carbon evaluation. The footprint analysis showed that 90% of the measured eddy flux comes
1064 from the nearest 420 m and 166 m in wheat and maize crops under unstable conditions,
1065 respectively, confirming that both soil respiration experiments and crop samples well paired
1066 with the EC measurements.

1067 Root biomass was difficult to measure, but the uncertainty should be low, because the root
1068 ratio (the ratio of the root weight to the total biomass weight) accounts for 15-16 % of the
1069 crop for wheat and maize (Wolf et al., 2015), and our measurements are very close to these
1070 values, i.e., the averaged seasonal root ratio was 15% for wheat and 10% for maize at our
1071 site. However, the relatively low root ratios (3% for wheat and 2% for maize) at harvest
1072 probably result from the root decay associated with plant senescence. The estimates of annual
1073 soil respiration are based on the Q_{10} model validated by the field measurements that may
1074 generate some uncertainty in the soil respiration budget due to the hysteresis response of soil
1075 respiration to temperature (Phillips et al., 2011; Zhang et al., 2015a; Zhang et al., 2018).
1076 However, the Q_{10} model remains robust in soil respiration estimations if well validated (Tian
1077 et al., 1999; Zhang et al., 2013; Latimer and Risk, 2016), allowing the confidence in the
1078 estimates.

1079 During the wheat season, the cumulative curves of NPP_{EC} and NPP_{CS} were not perfectly
1080 consistent in the main growing season as clear differences emerged during the dormant season
1081 of wheat from December 15th, 2010 to March 8th, 2011 (Fig. 12). These differences may result
1082 from the small wheat sample number. However, the sample number at harvest was sufficiently

1083 big and no discernible difference was found between the two NPPs at harvest. These two
1084 independent estimates of NPP were similar throughout the maize season (Fig. 12).
1085 This study provides a comprehensive quantification of the CO₂ budget components of the
1086 cropland, but it remains limited to a relatively wet year (see Fig. 3c and d). The integrated
1087 carbon fluxes (NEE, GPP and ER) have pronounced inter-annual variations, also suggesting
1088 further investigations are required on the inter-annual variations of the carbon budget
1089 components.

1090 **(Fig. 12 here)**

1091 **Conclusion**

1092 Based on the decadal measurements of CO₂ fluxes over an irrigated wheat-maize rotation
1093 cropland over the North China Plain, we found the cropland was a strong CO₂ sink if grain
1094 export was not considered. When considering the grain export, the cropland was a weak CO₂
1095 source with the NBP of $-40 \text{ gC m}^{-2} \text{ yr}^{-1}$ in the full 2010-2011 agricultural cycle. The net CO₂
1096 exchange during the past decade from 2005 through 2016 showed a non-statistically
1097 significant decreasing trend, implying a decreasing carbon sequestration capacity of this
1098 cropland, discouraging the potential of taking agro-ecosystems as the mitigation tool of
1099 climate change. In the wheat season, air temperature showed the best correlation with the CO₂
1100 fluxes followed by the groundwater depth; while in the maize season, both short-wave
1101 radiation and groundwater depth showed good correlation with the CO₂ fluxes. The
1102 comprehensive investigation showed most of the carbon sequestration occurred during the
1103 wheat season, while maize was close to being CO₂ neutral. Soil heterotrophic respiration in

1104 this cropland contributes substantially to CO₂ loss in both wheat and maize season. This study
1105 provides detailed knowledge for estimating regional carbon emission over the North China
1106 Plain.

1107 **Appendix A. Flux calculation of the period with equipment failure**

1108 A1. Support Vector Regression method

1109 Support Vector Regression (SVR) method is a machine-learning technique-based regression,
1110 which transforms regression from nonlinear into linear by mapping the original low-
1111 dimensional input space to higher-dimensional space (Cristianini and Shave-Taylor, 2000).
1112 SVR method has two advantages: 1) the model training always converges to global optimal
1113 solution with only a few free parameters to adjust, and no experimentation is needed to
1114 determine the architecture of SVR; 2) SVR method is robust to small errors in the training data
1115 (Ueyama et al., 2013). The SVM software package obtained from LIBSVM (Chang and Lin,
1116 2005) is used in this study.

1117 A2. Data processing and selection of explanatory variables

1118 Gross Primary Productivity (GPP) is influenced by several edaphic, atmospheric, and
1119 physiological variables, among which air temperature (T_a), relative humidity (RH), leaf area
1120 index (LAI), net photosynthetically active radiation (PAR), and soil moisture (θ) are the
1121 dominant factors. Hence, we select T_a , RH, LAI, PAR, and θ as explanatory variables of GPP.
1122 Ecosystem Respiration (ER) consists of total soil respiration and above-ground autotrophic
1123 respiration. The total soil respiration is largely influenced by soil temperature and soil moisture,
1124 while above-ground autotrophic respiration is largely influenced by air temperature and above-
1125 ground biomass. So we select T_a , soil temperature at 5 cm (T_s), θ and LAI as explanatory
1126 variables of ER. LAI is estimated from the Wide Dynamic Range Vegetation Index derived
1127 from the MOD09Q1 reflectance data (250 m, 8-d average,

1128 https://lpdaac.usgs.gov/dataset_discovery/modis/modis_products_table/mod09q1, also see Lei
1129 et al. 2013).

1130 The three wheat seasons of 2005-2006, 2009-2010, and 2010-2011 are selected for model
1131 training, and the original half-hourly measurements of GPP and ER together with the
1132 explanatory variables are averaged to the daily scale, but we remove days missing more than
1133 25% of half-hourly data. We have GPP available from 466 days and ER from 483 days for
1134 model training. The explanatory variables for the equipment failure are also averaged into daily
1135 scale, which will be used to calculate GPP and ER with the trained model described in the
1136 following section.

1137 A3. SVR model training and flux calculation

1138 In order to eliminate the impact of variables with different absolute magnitudes, we rescale all
1139 the variables in training-data set to the [0, 1] range prior to SVR model training. In the training
1140 process, the radial basis function (RBF, a kernel function of SVR) is used and the width of
1141 insensitive error band is set as 0.01. The SVR model training follows these steps:

1142 (1) All training data samples are randomly divided into five non-overlapping subsets, and four
1143 of them are selected as the training sets (also calibration set), the remaining subset is treated as
1144 the test set (also validation set). Such process is repeated five times to ensure that every subset
1145 has a chance to be the test set.

1146 (2) For the selected training set, the SVR parameters (cost of errors c and kernel parameter σ)
1147 are determined using a grid search with a five-fold cross-validation training process. In this
1148 approach, the training set is further randomly divided into five non-overlapping subsets.

1149 Training is performed on each of the four subsets within this training set, with the remaining
1150 subset reserved for calculating the Root Mean Square Error (RMSE), and model parameters (c
1151 and σ) yielding the minimum RMSE value are selected.

1152 (3) The SVR model is trained based on the training set from step (1) and initialized by the
1153 parameters (c and σ) derived from step (2).

1154 (4) The test set from the step (1) is used to evaluate the model obtained from the step (3) by
1155 using the coefficient of determination (R^2) and RMSE.

1156 (5) The model is trained with all of the available samples with good performance achieved, as
1157 R^2 are 0.95 and 0.97 for GPP and ER, respectively, and the mean RMSE are $1.28 \text{ gC m}^{-2} \text{ d}^{-1}$
1158 and $0.44 \text{ gC m}^{-2} \text{ d}^{-1}$. The RMSE can be further used as a metric quantifying uncertainty, which
1159 accounts for 22.9% and 15.2% for the averaged GPP and ER, respectively. GPP and ER during
1160 equipment failure period are then calculated with the trained model complemented with the
1161 observed explanatory variables, and NEE is derived as the difference of GPP and ER.

1162 **Appendix B. Multiple regression for NEE, GPP and ER with microclimate variables**

1163 The flux of NEE, GPP or ER is correlated with incoming short-wave radiation (R_{si}), air
1164 temperature (T_a) and [groundwater depth](#) (WD) as $\text{flux} = aR_{si} + bT_a + cWD + d$, where flux is NEE,
1165 GPP, or ER; a , b , c , and d are regression parameters. All the variables are normalized to derive
1166 their z-score before the regression, where z-score is to subtract the mean from the data and
1167 divide the result by standard deviation. The coefficient of each variable represents the relative
1168 importance of the corresponding variable in contributing to the dependent variable.

1169

1170 **Data availability**

1171 The data of this study are available for public after a request to the corresponding author (H.
1172 Lei).

1173 **Author contributions**

1174 Q.Z. and H.L. designed the study and methodology with substantial input from all co-authors.
1175 Q.Z. conducted the field experiment. B.F. conducted the SVR calculation for gap filling. All
1176 authors contributed to interpretation of the results. Q.Z. drafted the manuscript, and all authors
1177 edited and approved the final manuscript.

1178 **Competing interests**

1179 The authors declare that they have no conflict of interest.

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1513 **Tables and figures**

1514

Table 1 Carbon content of different parts (gC kg⁻¹ DM)

crop	date	root	stem	green leaf	dead leaf	grain
wheat	3/15/2011	416	413	488	-	-
	3/22/2011	454	-	476	-	-
	3/29/2011	-	436	451	-	-
	4/5/2011	527	431	534	-	-
	4/13/2011	348	417	457	-	-
	4/21/2011	434	415	522	-	-
	4/29/2011	410	443	510	-	-
	5/6/2011	434	423	481	-	-
	5/14/2011	275	445	485	-	-
	5/22/2011	380	474	-	538	470
	5/29/2011	461	515	503	444	479
	6/5/2011	393	432	439	400	432
6/10/2011	393	429	-	426	449	
maize	7/4/2011	339	351	476	-	-
	7/13/2011	370	392	455	-	-
	7/21/2011	389	418	463	-	-
	7/29/2011	406	432	462	-	-
	8/5/2011	399	429	481	-	-
	8/12/2011	443	439	469	-	-
	8/22/2011	403	462	469	-	-
	9/3/2011	386	466	499	-	446
	9/11/2011	466	465	505	-	460
	9/20/2011	445	481	481	-	454
9/30/2011	439	481	489	457	462	

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Table 2 Various ratios associated with carbon fluxes in croplands

crop species	ER/GPP	R _A /GPP ^a	R _H /ER	R _{AB} /ER	R _{AA} /ER	source
maize	0.69	0.32	0.54	0.21	0.25	this study
maize	0.67	0.56	0.16	0.25	0.59	Jans et al. (2010)
maize	0.85	0.45	0.47	0.02	0.51	Wang et al. (2015)
maize	0.80	0.65	0.19	0.21	0.60	Demyan et al. (2016) ^b
wheat	0.59	0.24	0.59	0.21	0.20	this study
wheat	0.71	0.49	0.31	0.19	0.50	Demyan et al. (2016) ^b
wheat	0.61	0.46	0.24	0.31	0.45	Moureaux et al. (2008)
wheat (2005)	0.60	0.44	0.26		0.74	Aubinet et al. (2009) ^c
wheat (2007)	0.57	0.48	0.15		0.85	Aubinet et al. (2009) ^c
wheat	0.57	0.45	0.21	0.17	0.62	Suleau et al. (2011)
wheat	0.66	0.43	0.35	0.05	0.59	Wang et al. (2015)
potato	0.48	0.37	0.24		0.76	Aubinet et al. (2009) ^c
potato	0.47	0.32	0.33	0.14	0.53	Suleau et al. (2011)
sugar beet	0.44	0.30	0.31		0.69	Aubinet et al. (2009) ^c
sugar beet	0.36	0.22	0.37	0.25	0.36	Suleau et al. (2011)

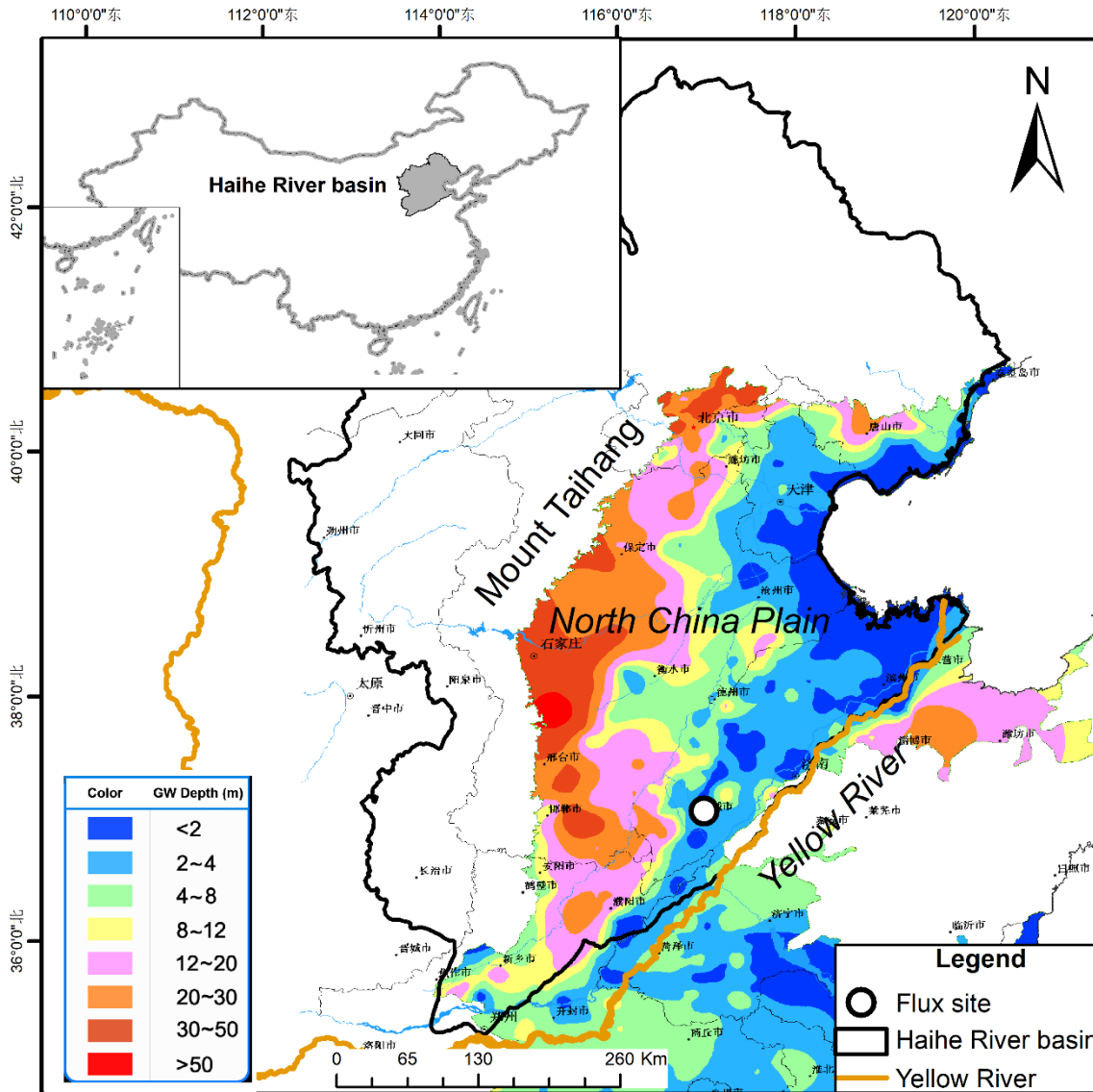
1517 Note:

1518 a- the values in parentheses indicate that the value is calculated by the equation $R_A/GPP=1-NPP/GPP$.

1519 b- The data was from 2012, and the estimation is based on the average of the static and dynamic methods

1520 c- R_A as well as R_H is the averaged values of their two corresponding methods

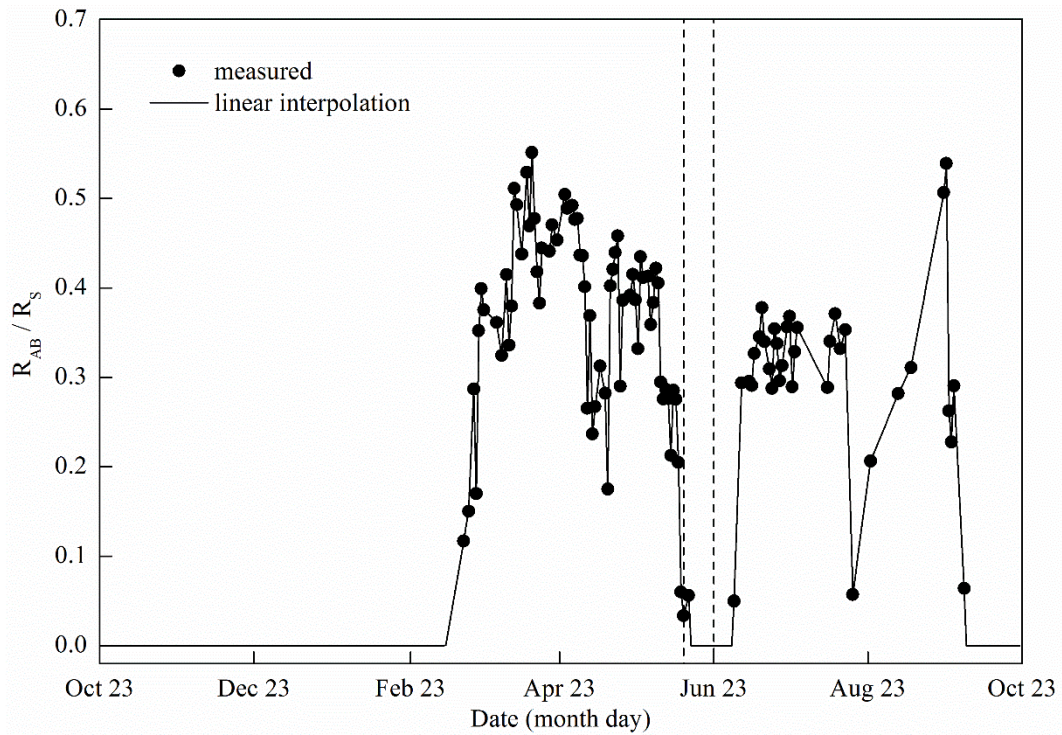
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1523 Fig. 1 Location of the experimental site. The background is the shallow groundwater depth in

1524 early September of 2011 (modified from <http://dxs.hydroinfo.gov.cn/shuiziyuan/>)



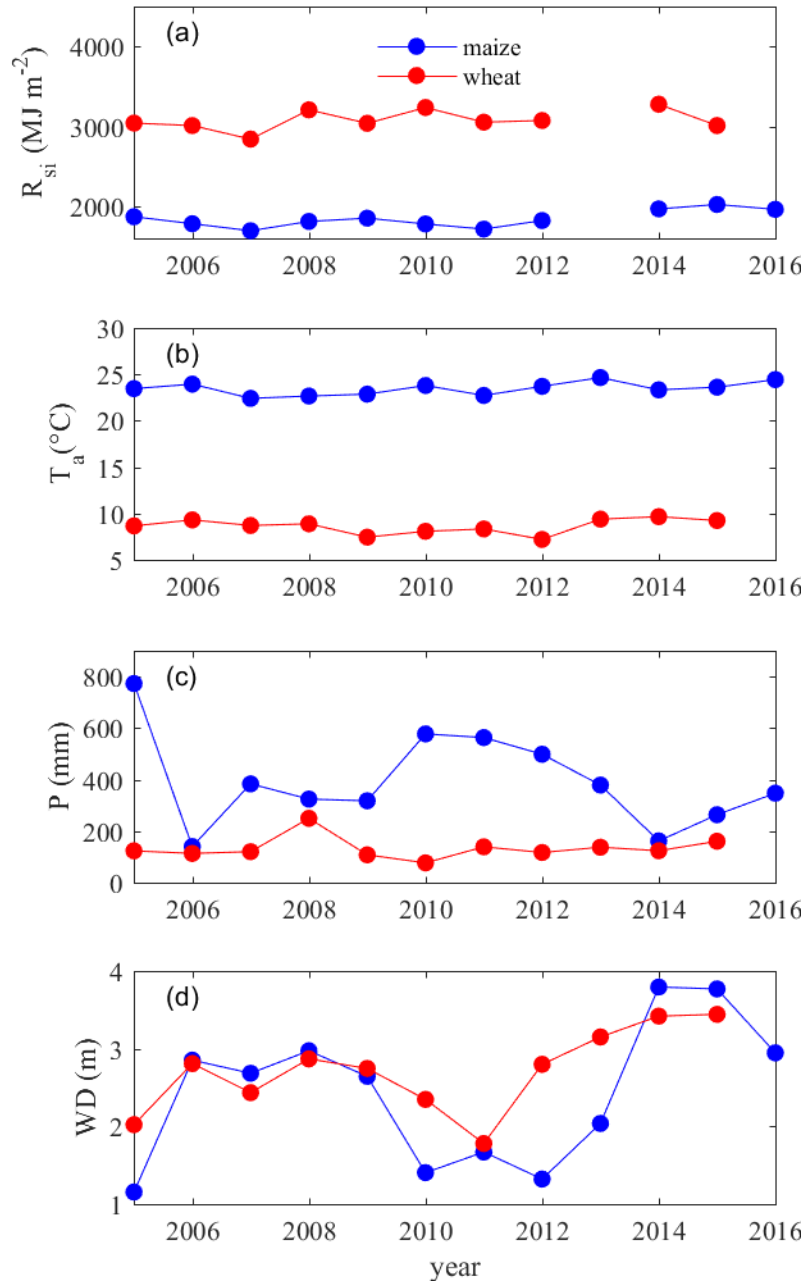
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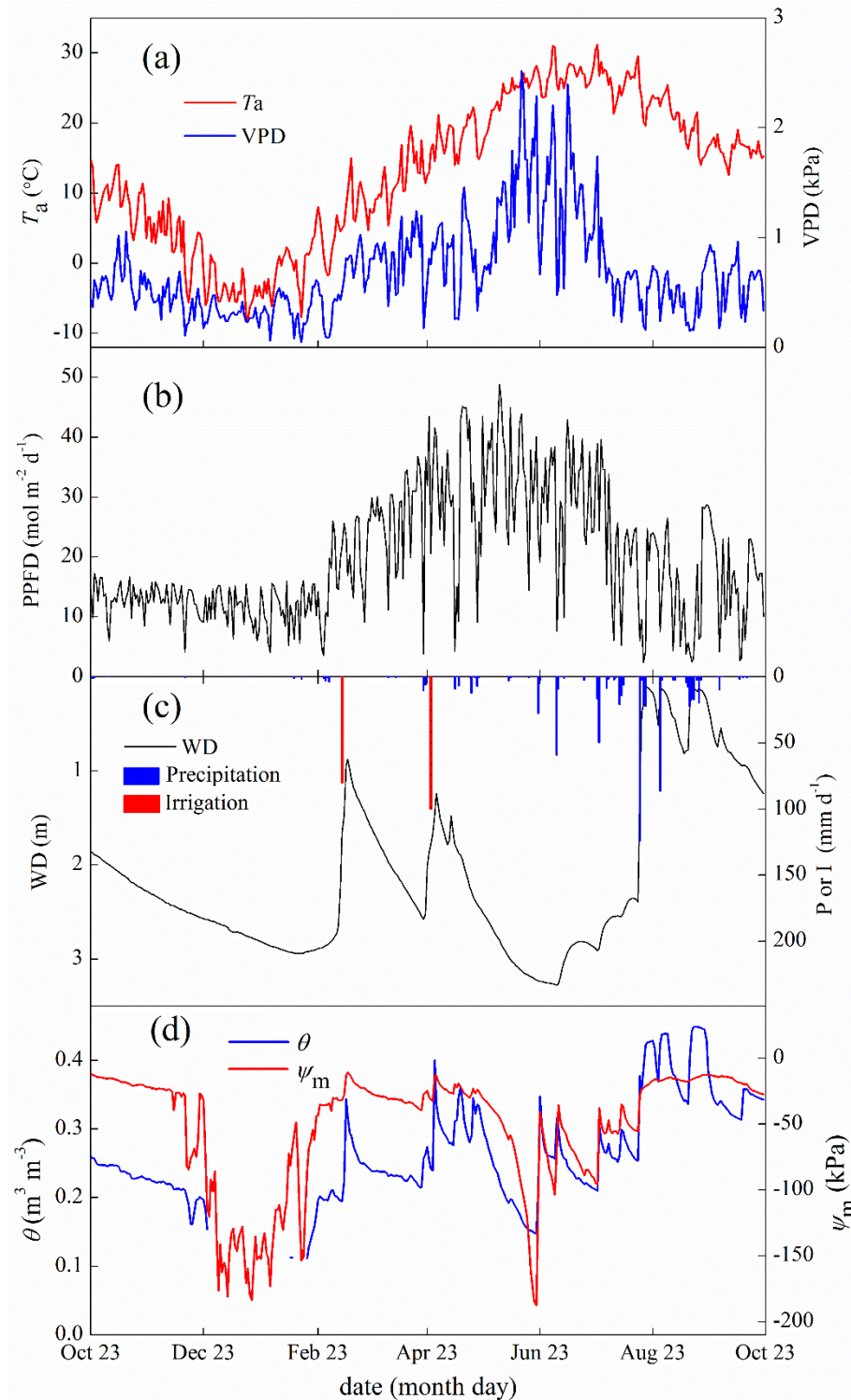
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Fig. 2 Seasonal variations in the ratio of below-ground autotrophic respiration (R_{AB}) to total soil respiration (R_S). Two vertical dashed lines (hereafter) represent the date of harvesting wheat and sowing maize, respectively.



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Fig. 3 The seasonal (a) total incoming short-wave radiation (R_{si}), (b) average air temperature (T_a), (c) total precipitation (P) and (d) average groundwater depth (D) for both wheat and maize evaluated for the period from 2005 through 2016. Note that incoming short-wave radiation in the 2013 season was missing due to equipment malfunction.



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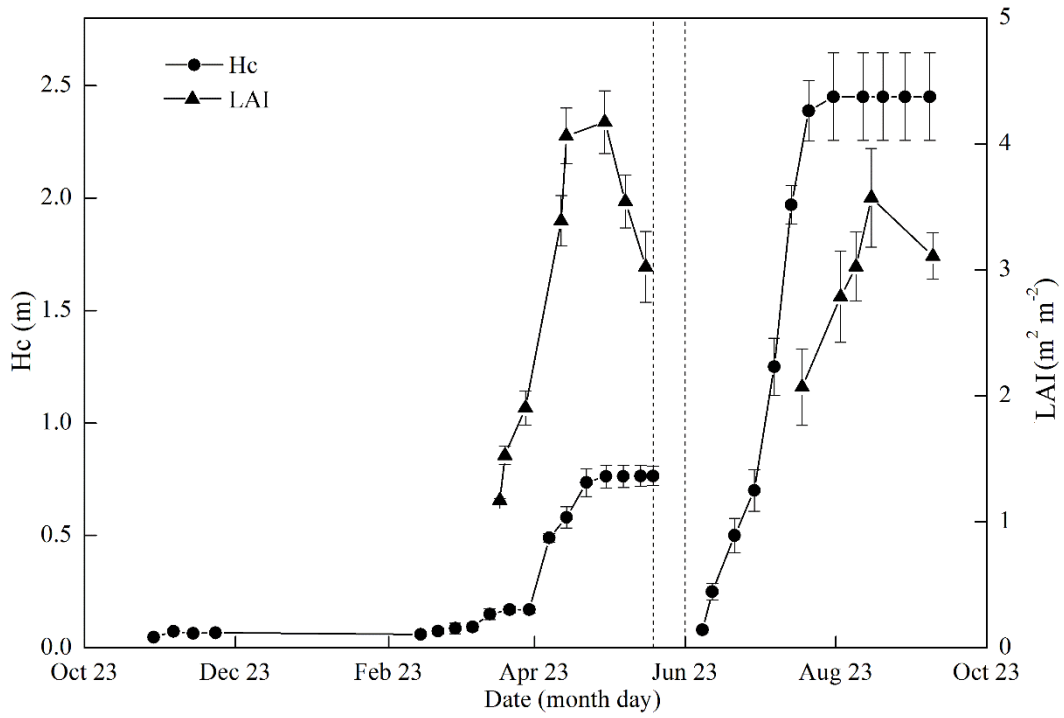
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Fig. 4 Seasonal variations in the environmental variables of (a) air temperature (T_a), soil temperature at 5cm depth (T_s) and vapor pressure deficit (VPD), (b) photosynthetic photon flux density (PPFD), (c) precipitation (P), irrigation (I) and groundwater depth (WD), and (d) volumetric soil moisture (θ) and soil matric potential (ψ_m).

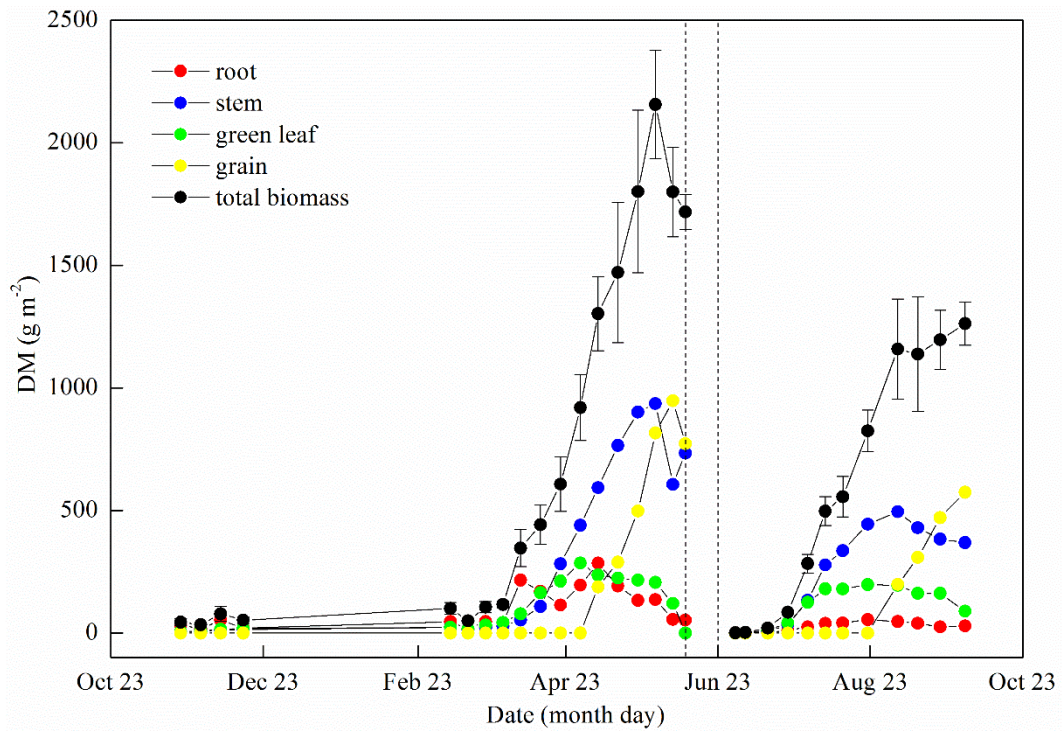


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Fig. 5 Seasonal variations in canopy height (H_C) and leaf area index (LAI). The error bars denote 1 standard deviation of the ten points.



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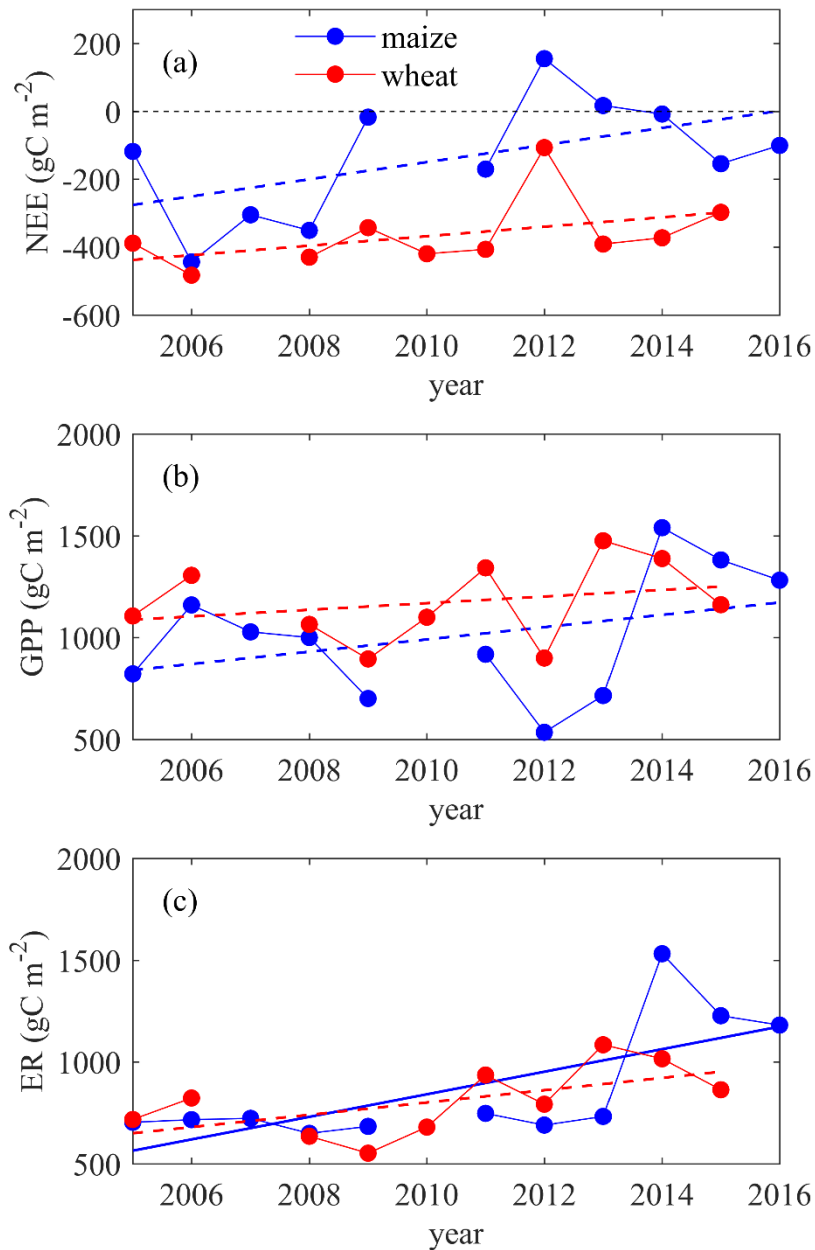
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Fig. 6 Seasonal variations in the total dry biomass (DM) and its major parts of root, stem, green leaf and grain. The error bars of total biomass denote 1 standard deviation of the four

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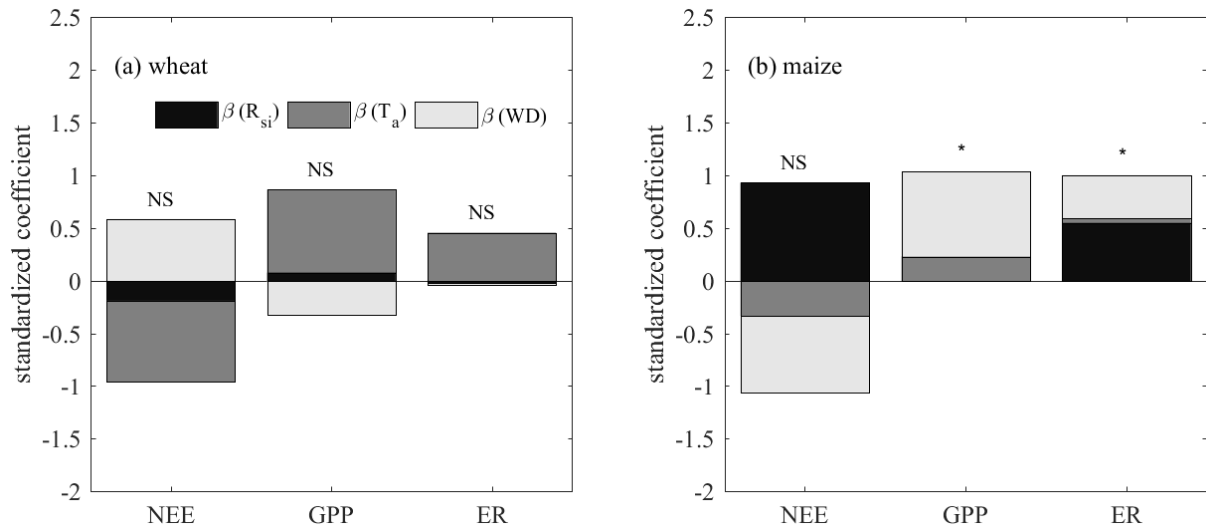
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sample points.



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1547 Fig. 7 The temporal trend of annual (a) Net Ecosystem Exchange (NEE), (b) Gross Primary
 1548 Productivity (GPP) and (c) Ecosystem Respiration (ER) for both wheat and maize from 2005
 1549 through 2016. Note that though most gaps of carbon fluxes were filled, the wheat of 2007 was
 1550 excluded as it had a large gap accounting for 26 % of annual records unable to fill; maize was
 1551 not planted in the growing season of 2010. Note that the solid line represents the temporal
 1552 trend passes F-test at $p < 0.05$ significance level, while the dashed line represents the temporal
 1553 trend does not pass the F-test at $p < 0.05$ level.



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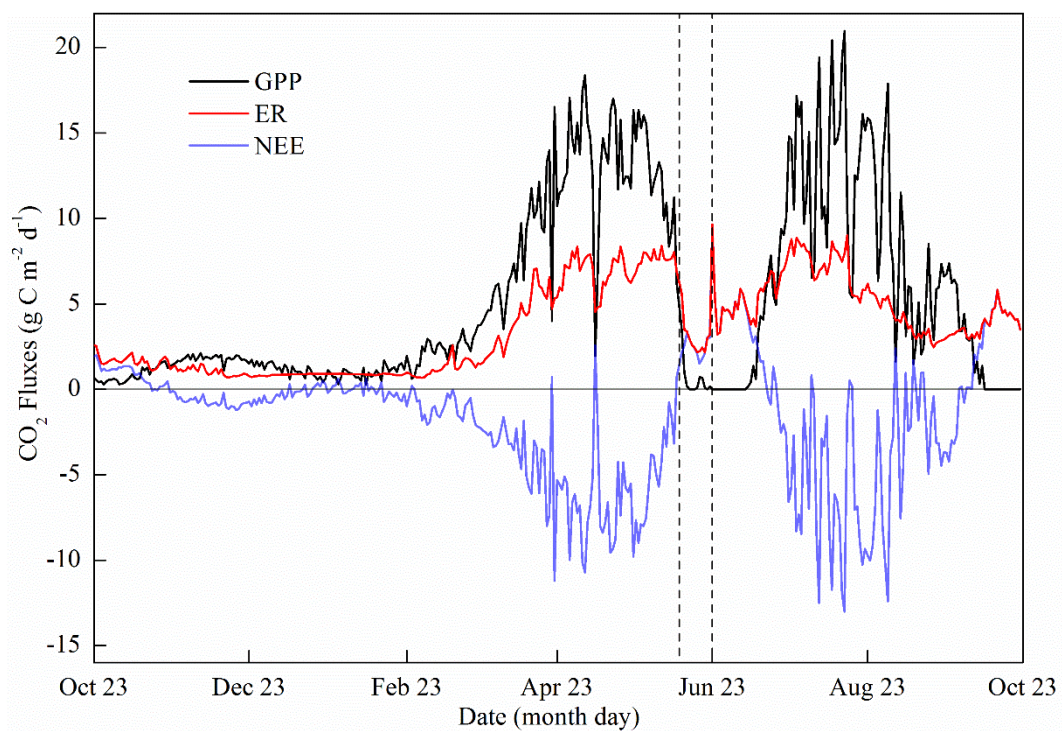
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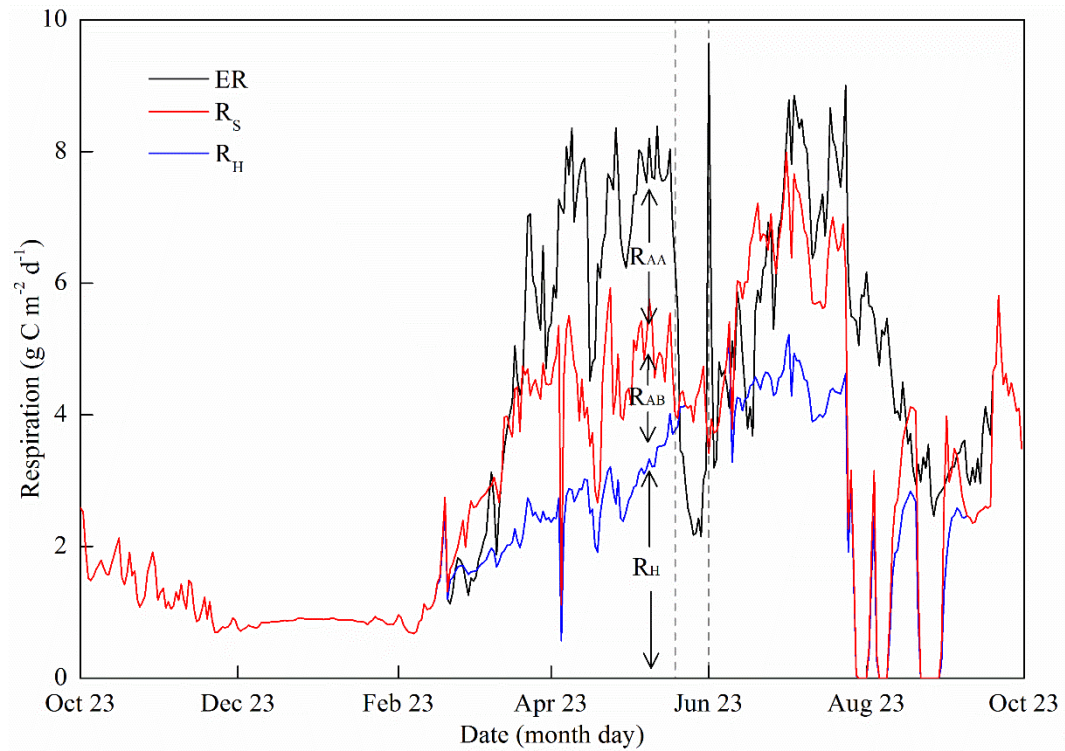
Fig. 8 The result of multiple regression for NEE, GPP and ER with incoming short-wave radiation (R_{si}), air temperature (T_a) and [groundwater depth](#) (WD) for both (a) wheat and (b) maize. Note that * denotes that the regression passes $p < 0.05$ significance level, and NS indicates non-significant.

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1561 Fig. 9 Seasonal variations in Gross Primary Productivity (GPP), Net Ecosystem Exchange
1562 (NEE) and Ecosystem Respiration (ER) (those before April 2nd were calculated with SVR
1563 method)



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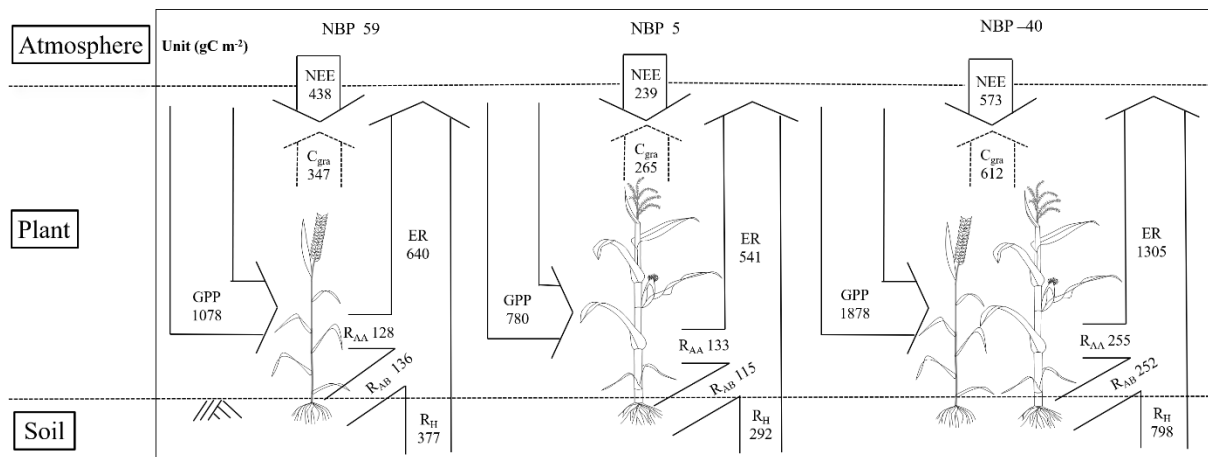
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Fig. 10 Seasonal variations in the components of Ecosystem Respiration (ER), total soil respiration (R_S), soil heterotrophic respiration (R_H). The difference between ER and R_S denotes above-ground autotrophic respiration (R_{AA}), and the difference between R_S and R_H denotes below-ground autotrophic respiration (R_{AB}).



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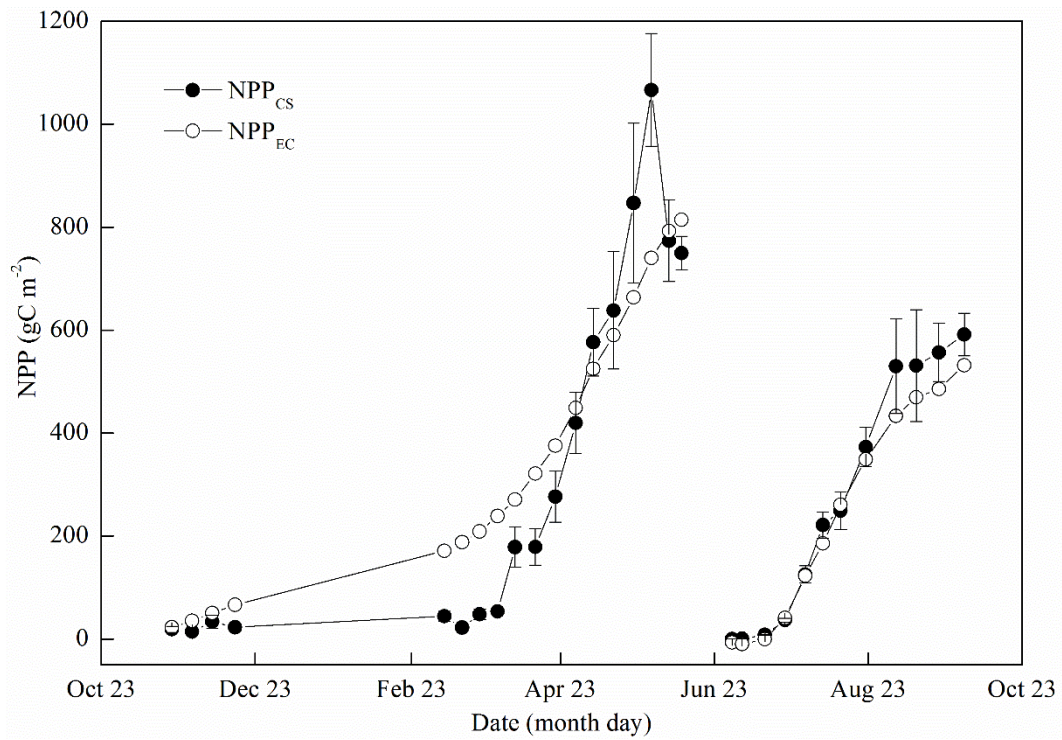
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Fig. 11 Carbon budget of wheat (left), maize (middle) and the full wheat-maize rotation cycle with fallow periods included (right). Note that absolute value of NEE is shown here; NBPs of wheat and maize are the average of two independent methods (i.e, eddy covariance-based and crop sample-based)



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Fig. 12 Seasonal variations in the cumulative Net Primary Productivity (NPP) with two independent methods of Crop Sample (NPP_{CS}) and Eddy Covariance (NPP_{EC}) complemented with soil respiration measurements.