Biogeosciences manuscript bg-2019-506

"Potential predictability of marine ecosystem drivers" by T. L. Frölicher, et al. March 10, 2020.

We thank both reviewers for assessing our manuscript. The comments have helped to further improve our manuscript. The review comments are given in black and our reply in blue. Please find attached to the reply a revised manuscript where text changes are highlighted.

Detailed Response to Reviewer's comments: Referee #1

This paper evaluates the potential predictability of marine ecosystem drivers (T, pH, O2, and NPP) and discusses which physical and biological processes fundamentally determines the upper limit of the predictability at global and regional scales using a series of perfect model simulation in an earth system model (GFDL ESM2M). Whereas previous studies focus on a physical process that describes the potential predictability of marine biogeochemical variables, this study shows that the biogeochemical interactions, as well as physical processes, are key drivers to contribute to the upper limit of the predictability, which leads to the understanding of differences in the potential predictability between temperature (physical) and biogeochemical variations. The global and regional characteristics of the biogeochemical predictability that this paper shows are important information to produce skillful multi-year predictions of marine ecosystems. I recommend a minor revision according to the comments given below.

We thank the reviewer for this positive and encouraging review.

(1) To what extent does AMOC variability affect the potential predictability of marine ecosystem drivers in the North Atlantic? The decadal potential predictability of marine ecosystem drivers is prominent in the North Atlantic, which would be strongly related to the variability in AMOC. To understand the mean states and variations in AMOC in perfect and ensemble simulations in GFDL ESM2M, I request to show the time series of AMOC variability, like Figure 1a.

We have included a new Figure C1 (see below) that shows the simulated AMOC maximum from the 300-yr long preindustrial control simulation, as well as the prognostic potential predictability of the AMOC maximum. The text in section 3.3.1 has been modified to say: "In GFDL's ESM2M, the AMOC experiences strong low-frequency variability, consistent with Msadek et al. (2010), and its predictability time horizon is about 9 yr (Figure C1)."

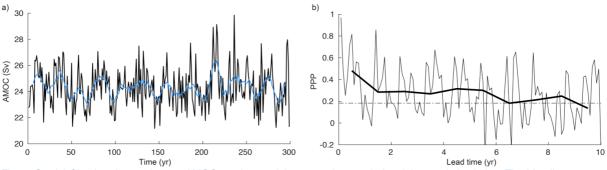


Figure C1: (a) Simulated annual mean AMOC maximum of the 300-yr long preindustrial control simulation. The blue line indicates the 10-yr running mean. (b) Monthly mean (thin line) and annual mean (thick line) prognostic potential predictability for the AMOC maximum. The horizontal black dashed line represents the predictability threshold.

Importantly, we note that the predictability of some marine ecosystem drivers is dependent on a complex interplay between physical and biogeochemical processes. NPP, as an illustrative example, has a relatively short predictability time horizon in the North Atlantic, with this behavior not directly prescribed by AMOC variations.

(2) What limiting nutrient contributes to higher potential predictability in NPP? In this paper, the regions of higher potential predictability in NPP (Fig. 3d) correspond to those of higher predictability in nutrient limitation, which suggests that higher potential predictability in NPP is fundamentally constrained by the availability of limiting nutrients. Since the model uses the formulations of limitation by multiple nutrients, I wonder what nutrient is key to contribute to higher potential predictability in NPP at the

regional scale. The figure and description of a spatial pattern of limiting nutrients are helpful to understand the characteristics of long-term biological variability.

Many thanks for this suggestion. We have included in Figure 13f (former Figure 13g) with contours the limiting nutrients (nitrogen, phosphate or iron) for the growth rate of small phytoplankton production at the surface. We added following sentence to section 3.3.4: "In GFDL's ESM2M, the subtropical gyres are mainly iron limited (hatching in Figure 13f) and therefore iron fundamentally constrains the predictability of the growth rate of small phytoplankton there. Exceptions are the boundary region between the subtropical and subpolar gyre in the North Pacific (nitrate limited) as well as the tropical Atlantic (phosphate and nitrate) and the northern Indian Ocean (phosphate)."

However, we would like to stress here that the relatively long predictability horizon for NPP in the subtropical gyres is also strongly influenced by the relatively long predictability horizon of the small phytoplankton stock, as can be seen in Fig. 12e.

Other comments: L137. Remove "The prognostic " Done.

L159. reflect, not reflects Done.

L. 281. largely, instead of large

We have reformulated the sentence to: "Therefore, the influence of high frequency atmospheric variability is large, which leads to diminished potential predictability around Antarctica."

L 363. Why do you refer to Fig.10?

Typo. Many thanks for spotting this. We deleted the reference to Fig. 10, but included a reference to Fig. 3 in the previous sentence.

L. 527. Figure B1 should be Figure A1 on page 37. We changed the labelling of Figure A1 to Figure B1, as the figure is described in Appendix B.

Page 800. The unit of the iron half-saturation coefficient would be wrong. Changed and acknowledged.

Figure 3d, Contour lines, and contour number information are too dark to be identified. We changed the color to red and increased the thickness of the contours.

Detailed Response to Reviewer's comments: Referee #2

This manuscript is a well written, interesting analysis of limits to prediction of biogeochemical quantities in a global Earth system model. I particularly liked the oceanographic explanations for the outcomes of the numerical experiments, and deconvolution of drivers. I am not an expert in global model predictability, but I suspect it is important for the field to undertake a number of these experiments on different models (the authors say this themselves). Even if similar studies exist, or follow this one, this study will remain important. Therefore, I recommend publication with the following, relatively easily-addressed points considered.

We thank the reviewer for this positive and encouraging review.

Major comments:

1. As someone not familiar with this form of model sensitivity analysis, I found some jargon that could have been avoided, or better explained. The term 'perfect modelling framework' was introduced as though the reader should know what it means. More simply the study is a test of the sensitivity of biogeochemical quantities to temperature initial conditions.

We clarified in the method section the term 'perfect modelling framework': "By perturbing the initial conditions of the GFDL ESM2M and quantifying the spread of initially nearby model trajectories, the limit of initial condition predictability was assessed. The underlying assumption is that we have a perfect model (e.g. the model accurately represents all physical and biogeochemical processes relevant to assess marine ecosystem drivers at adequate temporal and spatial resolution), near

perfect initial conditions and that we exclude a role for external forcing in determining or limiting predictability."

2. By using temperature the emphasis is on limits to prediction of physical driving of biogeochemical quantities. Other initial conditions could have equally been perturbed, such as salinity, nutrients etc. Although this wasn't tested explicitly, we found with the same coupled Earth system model (GFDL ESM2M) that the choice of prognostic (interactive) versus climatological chlorophyll with identical initial conditions leads to at least qualitatively similar divergence of the model over only a few model time steps. We found that weather patterns are distinct after less than one month under such perturbations. From this we infer that any field that interacts with the dynamical state of the model can serve as an analogous perturbation to those considered here, but this is left as a topic for future testing and quantification. We also note that we used six different starting points from the preindustrial control simulation to start the 40-member ensemble simulation. Therefore the six 40-member ensemble simulation have different physical and biogeochemical states.

And of course there are many other factors limiting predictability, such as the model parameterisation. This isolation of one source of limits to prediction is appropriate but should be made clearer in the introduction, and then discussed, in the light of the results, more thoroughly in the Discussion. For example, changing BGC models, or even the remineralisation rate of organic matter, would change the time scale of AOU.

The question of how specific parameterizations within a given model impact predictability is clearly an important question, and to our knowledge this remains unexplored for marine biogeochemistry and ecosystem drivers. This has in fact been explored for the case of weather prediction (Palmer and Williams, 2008), where the inclusion of stochastic parameterizations has been argued to increase predictability. Such parameterizations are not available in the model used here, and from our understanding existing work on this topic has not considered predictions on the timescales considered here. Returning more directly to the topics raised by the reviewer, testing of the sensitivity of the biogeochemistry model will be facilitated in the future for the case of the MOM6 (isopycnal model) that will be used by both GFDL and CESM, so that one can "switch" between GFDL's newer COBALT model and CESM's Marbl/BEC model. Likewise one could explore the sensitivity to the mixing scheme (GFDL's ePBL versus CESM's KPP scheme) through its effect on the dynamical behavior of the model modulating biogeochemistry. So we anticipate that there should be a valuable opportunity to explore these questions moving forward. We have modified the caveat section in the discussion section to address this comment. See also our reply to comment 6 below.

3. [Most important point that needs addressing]. The terms "lead time" and "predictability time horizon" are used interchangeably in the last paragraph of p8, which demonstrates an inconsistency. The term lead time makes sense to me in Fig 2, 4 and 5. It is the time axis, starting at the perturbed time, along which the variability of the ensemble and controls are measured. But figures 3, 6, 7 etc. the surface plotted is labelled "lead time" when it should be "predictability time horizon". Predictability time horizon is loosely describes as PPP < 0.183 (also, is prognostic potential predictability the same thing as predictability time horizon)? But this is problematic since PPP varies with time. Should it be min t for which PPP(t) < 0.183? Check every use of lead time, PPP and predictability time horizon and make sure it is consistent in the manuscript. Predictability is also loosely defined, and would in many cases be best replaced with "predictability time horizon".

We agree with the reviewer that the terms 'lead time', 'predictability time horizon' and 'PPP' are sometimes used interchangeably in the manuscript. We have now carefully streamlined the usage of these terms throughout the manuscript. As a result, we often replaced 'predictability' with 'predictability time horizon'. In addition, we changed 'lead time' to 'predictability time horizon' in Figure 3 and Figures 6 to 13.

As the reviewer noted, the prognostic potential predictability is not equal the predictability time horizon. The prognostic potential predictability metric (i.e. PPP) varies with lead time. However, as defined in section 2.3.1, the predictability time horizon is defined as the lead time at which PPP falls below 0.183.

4. Fig. 1b. This figure would be more instructive if it was used as an example of the calculation of the PPP. If the control variance could be plotted (maybe a grey between +/- sigma) and then PPP, and the point at which PPP drops below 0.183. This would set up the rest of the manuscript better.

Many thanks for this suggestion. We have added the standard deviation (not the variance as it becomes too small to be shown) of the control simulation as horizontal dashed lines and indicate with a vertical line the predictability time horizon (i.e. when PPP falls below the predictability threshold).

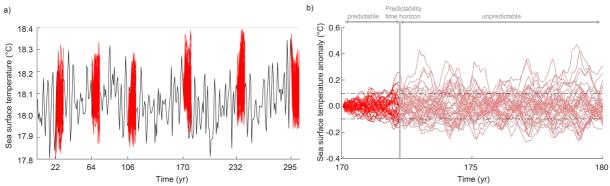


Figure 1: Illustration of the model setup and the calculation of the predictability time horizon. (a) Simulated global mean SST of the 300-yr reference control simulation (black line) and of the six 10-yr long 40 ensemble simulations (red lines). (b) Global mean SST anomaly (i.e., deviation from the control simulation) for the ensemble simulation starting in year 170. Thick red line indicates the period over which SST is predictable (i.e. PPP ≥ 0.183), and thin red lines indicate period over which SST is unpredictable (i.e. PPP ≥ 0.183). The dashed horizontal lines indicate one standard deviation of the control simulation and the vertical line indicates the predictability time horizon.

Also, in the caption, why do you call it the "first ensemble simulation". This was the first set of 40 ensemble members we have performed with ESM2M, but this is not important for the reader of this manuscript. We therefore deleted the word 'first.

5. Paragraph 396 – 405 needs re-writing for clarity. I think it is trying to say that you can have different time scales for predictability for perturbations in forcing (such as anthropogenic CO2) to perturbations in initial conditions (as studied here).

We compare in this paragraph the Time of Emergence (ToE) of the marine ecosystem drivers with the predictability of these drivers. Earlier papers have found that the ToE strongly differs among the drivers. This is in stark contrast to the predictability horizon discussed in this paper, which is almost identical for all four ecosystem drivers at the global scale. We hope that the broader suite of revisions we have provided to the manuscript will clarify this message and the context in which it occurs.

6. The discussion has too much focus on obvious limitations (such as ensemble size, years started etc.) and less on more subtle limitations like time-scale of coefficients in the BGC model. The second are particularly worth of discussion here because the effort at deconvolution of the processes allows for an insightful discussion of these.

TOPAZv2 represents a hypothetically "optimal" phytoplankton physiology, i.e. it assumes that the fastest growing phytoplankton group always wins in all environments via the upper limit in growth rates as per Bissinger et al., (2008) and Eppley (1972). Similarly, because TOPAZv2 represents a steadystate ecosystem, there are no time lags between primary production and the grazing response. In the subsurface, the remineralization of particles is set to reproduce the vertical scale of the nutricline on the timescale of sinking particles, and the sinking particle velocity is fast, i.e. 100 m/day. All three factors would tend to decrease the memory associated with the real world surface ecosystem and minimize predictability. We expect coefficients relating to the longer time scales to include the dissolved organic matter remineralization and the depth scale of sinking particle remineralization, both are fairly well constrained from observations at the global scale, but we expect considerable regional and temporal variability in these. As with our comments above, quantifying these effects is outside of the scope of this study, as testing this would require an independent and more expansive site of simulations that are beyond the physical resources available for this study. We have modified the caveat section in the discussion section to address this comment.

Minor comments.

1. Whenever referring to time values, try to keep the adjectives to ones with a sense of time such as low -> short (L254), elevated -> lengthened (L195), high - > long (L391). This aids readability. There are many examples of this.

We thank the reviewer for this and changed the text accordingly.

2. The sentence L91 starting "The six "should come before the "Note" for better readability. Changed and acknowledged.

3. L92 replace "are" with "were"? Done.

4. Line 100. For those interpreting the equation, maybe a sentence after it "Thus the range of perturbations is evenly spread from -0.002 to 0.002 C with the control in the centre." Many thanks for this suggestion, which we included.

5. L110 replace "underrepresented" with "underestimated" Done.

6. Description of Eq.2 (L119-120) doesn't mention the six ensembles. The equation is further explained on lines 125-131, where it is stated that N is the total number of different ensemble simulations (N=6) and M the number of ensemble members (M=40)

I didn't fully understand the rationale for 6 ensembles of size 40. Why not 240 members starting at all different times?

It is a common procedure for perfect modelling frameworks that different multi-member ensemble simulations started at different points in time of the control simulations are used to assess predictability. Using different points in the control simulation randomizes the initial conditions (e.g. yielding different ENSO phase states), with this intended to average across biases that may result from predictability being different across different phase of climate modes. We clarified this in section 2.2.

Also is sigma of the control the same for all ensembles? Yes, the sigma of the control is the same for all ensembles.

Just a little bit more help here to those unfamiliar with the approach. We hope that our answers above helped to clarify things.

7. L150 pH is approximately –log10([H+]). I know you didn't mean to define it here, but the use of (or X) sort of implies it.

We deleted the bracket to avoid confusion.

8. L184 meaning of "PPP with lead time" not clear. We simplified it to "PPP over time".

9. L206 replace "across" with "for each of the" We changed the sentence to ".. differences between each of the four .."

10. L234. How can a coupling enhance predictability? Sentence needs to be more carefully constructed.

We changed to sentence to: "However, biogeochemical processes lead to enhanced predictability below 500 m for O_2 and pH."

11. L387 "predictability of each variable". Changed and acknowledged.

References

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Potential predictability of marine ecosystem drivers

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Abstract. Climate variations can have profound impacts on marine ecosystems and the socio-economic systems that may depend upon them. Temperature, pH, oxygen (O₂) and net primary production (NPP) are commonly considered to be important marine ecosystem drivers, but the potential predictability of these drivers is largely unknown. Here, we use a comprehensive Earth system model within a perfect modelling framework to show that all four ecosystem drivers are potentially predictable

- 15 on global scales and at the surface up to 3 years in advance. However, there are distinct regional differences in the potential predictability of these drivers. Maximum potential predictability (>10 years) is found at the surface for temperature and O₂ in the Southern Ocean and for temperature, O₂ and pH in the North Atlantic. This is tied to ocean overturning structures with 'memory' or inertia with enhanced predictability in winter. Additionally, these four drivers are highly potentially predictable in the Arctic Ocean at surface. In contrast, minimum predictability is simulated for NPP (<1 years) in the Southern Ocean.</p>
- 20 Potential predictability for temperature, O2 and pH increases with depth to more than 10 years below the thermocline, except in the tropical Pacific and Indian Ocean, where predictability is also three to five years in the thermocline. This study indicating multi-year (at surface) and decadal (subsurface) potential predictability for multiple ecosystem drivers is intended as a foundation to foster broader community efforts in developing new predictions of marine ecosystem drivers.

1 Introduction

25 Marine organisms and ecosystems are strongly influenced by seasonal to decadal-scale climate variations, challenging the sustainable management of living marine resources (Drinkwater et al., 2010; Lehodey et al., 2006). Anomalies in temperature, pH, O₂ and nutrients are important drivers of such climate-induced ecosystem variations (Gattuso et al., 2015; Gruber, 2011). Therefore, skillful predictions of these marine ecosystem drivers have considerable potential for use in marine resource management (Gehlen et al., 2015; Hobday et al., 2016; Payne et al., 2017; Tommasi et al., 2017).

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The primary tools for investigating how marine organisms and ecosystems change on seasonal to decadal timescales are Earth system models, where prognostic equations are implemented for biogeochemical cycles. These models are capable of

representing both natural variability and transient changes in the marine ecosystem drivers (Bopp et al., 2013; Frölicher et al., 2016). Recently, Earth system models have been used to explore and quantify the predictability of marine biogeochemical tracers. Most of the studies focus on predicting the ocean uptake of carbon (Li et al., 2016, 2019; Lovenduski et al., 2019; Séférian et al., 2018).

To date, only a few studies have investigated the predictability of marine ecosystem drivers (Chikamoto et al., 2015; Park et al., 2019; Séférian et al., 2014a). An intriguing finding of these studies is that marine biogeochemical drivers may be more

- 40 predictable than their physical counterparts. Séférian et al. (2014a), for example, showed that net primary productivity (NPP) has greater predictability than sea surface temperature (SST) in the eastern equatorial Pacific. They hypothesized that SST is strongly influenced by high-frequency surface fluxes, whereas NPP is more directly impacted by thermocline adjustment processes that determine the rate at which nutrients are brought into the ocean's euphotic layer. Thus, biogeochemical predictions may hold great promise and highlight the need for further investigation. Changes in ecosystem drivers have impacts
- 45 not only on the surface ocean, but over upper ocean waters spanning the euphotic zone and below making it important to understand more broadly how ecosystem drivers vary over a range of depths. To our knowledge there is no comprehensive assessment of potential predictability of marine ecosystem drivers at the global scale spanning multiple depth horizons, and a comparison of the relative predictability among them.
- 50 In this study, we assess the potential predictability of the four marine ecosystem drivers using 'perfect model' simulations of a comprehensive Earth system model. We address following three questions:
 - To what extent are marine ecosystem drivers predictable at the global scale?
 - What are the regional and depth-dependent characteristics of potential predictability?
 - Which underlying physical and biogeochemical processes prescribe or limit the potential predictability of marine ecosystem drivers?

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This study is organized as follows. First, we introduce the model and methods used to assess the potential predictability in marine ecosystem drivers. Subsequently, the temporal sequencing of potential predictability over global scales for the four marine ecosystem drivers are identified and evaluated for regional differences in potential predictability horizons. Both surface

60 and subsurface manifestations are presented to assess the origin of potential predictability. Finally, we also identify the mechanistic controls on the limits to potential predictability and conclude with a discussion and summary section.

2 Methods

2.1 Earth system model: GFDL ESM2M

- 65 For this study we conducted a new 240-member ensemble suite of simulations of 10-year duration each with the Earth system model ESM2M developed at the Geophysical Fluid Dynamics Laboratory (GFDL) of the National Oceanic and Atmospheric Administration (NOAA) (Dunne et al., 2012, 2013). The GFDL ESM2M is a fully coupled carbon cycle-climate model. The physical core of the model is based on the physical coupled model CM2.1 (Delworth et al., 2006). The atmospheric model AM2 has a horizontal resolution of 2° latitude × 2.5° longitude with 24 vertical levels (Anderson et al., 2004). The land model
- 70 simulates land water, energy and carbon cycle, and has the same horizontal resolution as the atmospheric component. The ocean model MOM4p1 (Griffies, 2012) has 50 vertical levels of varying thickness and a nominal horizontal resolution of 1° latitude × 1° longitude, increasing towards the equator to up to 1/3°. The sea ice model includes full ice dynamics, three thermodynamic layers and five ice thickness categories and is defined on the same grid as the ocean model (Winton, 2000).
- 75 Ocean biogeochemistry and ecology is simulated by the Tracers Of Phytoplankton with Allometric Zooplankton version 2.0 (TOPAZ2) (Dunne et al., 2013). TOPAZ2 represents 30 prognostic tracers to describe the cycles of carbon, phosphorus, silicon, nitrogen, iron, alkalinity, oxygen and lithogenic material as well as surface sediment calcite. TOPAZ2 includes three phytoplankton functional groups: small (mostly prokaryotic pico- or nanoplankton), diazotroph (fixing nitrogen from the atmosphere), and large phytoplankton. TOPAZ2 only implicitly simulates zooplankton activity. The growth of phytoplankton 80 depends on the level of photosynthetically active irradiance, nutrients (e.g. nitrate ammonium, phosphate, and iron) and
- temperature (see section 2.3.2 and Appendix A).

Previous studies have shown that the GFDL ESM2M captures the observed large-scale biogeochemical patterns (Dunne et al., 2012, 2013). The GFDL CM2.1 skillfully simulates primary modes of natural climate variability (Wittenberg et al., 2006), and has been extensively applied to assess seasonal and multiannual climate predictions (Meehl et al., 2013; Park et al., 2019).

2.2 Perfect model framework

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We estimated potential predictability within a 'perfect model' experiment. By perturbing the initial conditions of the GFDL ESM2M and quantifying the spread of initially nearby model trajectories, the limit of initial condition predictability was assessed. The underlying assumption is that we have a perfect model (e.g. the model accurately represents all physical and biogeochemical processes relevant to assess marine ecosystem drivers at adequate temporal and spatial resolution), near perfect initial conditions and that we exclude a role for external forcing in determining or limiting predictability. Specifically, we first performed a 300-yr preindustrial control simulation (black line in Figure 1), which is branched off a pre-existing quasi-steady-state 1000-yr preindustrial control simulation. Using this 300-yr preindustrial control simulation to provide initial conditions, six 40-member ensemble simulations of 10-yr duration each are performed. Each ensemble simulation starts at different times

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Gelöscht: A
Gelöscht: is performed

in the control simulation: January 1st in years 22, 64, 106, 170, 232 and 295, respectively. The six distinct initialization dates for the individual large ensemble simulations were randomly selected from the 300-yr preindustrial control simulation. This was intended to average across biases that may result from predictability being different across different phase of climate modes (e.g. different El Niño Southern Oscillation phase states) within the preindustrial simulation. Note that the last ensemble exceeds the control simulation by 5 years. Each of the six ensembles consists of 40 ensemble members with microperturbations to oceanic initial states but with the same atmospheric, land, ocean biogeochemical, sea ice, and iceberg initial conditions. Specifically, for each ensemble member, *i* = 1, 2, ..., 40, an infinitesimal temperature perturbation δ is added to a single grid cell in the Weddell Sea at 5-m depth, similar to the approach described in Wittenberg et al. (2014a) and Palter et al. (2018):

$$= 0.0001^{\circ} \mathbb{C} \times \begin{cases} \frac{i+1}{2}: \text{ for odd } i\\ -\frac{i}{2}: \text{ for even } i \end{cases}$$
(1)

Thus, the range of perturbations is evenly spread from -0.002°C to 0.002°C with the unperturbed control case in the center with zero perturbation. As stated above, our model setup encompasses 240 ensemble members, each of 10-yr duration and thus 2400 yr of model integration in addition to the 300-yr long control simulation. While our perturbation method is in no way optimal in terms of, for example, sampling the likely range of atmospheric-ocean-biogeochemical errors, it is sufficient to generate ensemble spread on the timescales of interest. After just four days of simulation time subsequent to the microperturbations for each cluster of 40 starting points, the SST of all surface ocean grid cells are numerically different from the

- SST of the control simulation, underscoring the rapidity with which divergences due to nonlinearities in the model express themselves. The method applied here mirrors that of Griffies and Bryan (1997a), Msadek et al. (2010), and Wittenberg et al. (2014b), and emphasizes the amplitude (but not the phase) of perturbations to identify potential predictability. Our perturbation method produces ensemble experiments likely to give the upper limit of the model predictability, hence the term potential predictability. Nevertheless, it warrants mentioning here that studies have been published arguing that predictability in the real world for some variables may even be larger than estimated with the perfect modeling framework within an Earth system
- model in cases where the ratio of the predictable mode to model noise is <u>underestimated</u> (Eade et al., 2014; Kumar et al., 2014).

2.3 Analysis methods

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 δ_i

We calculate the potential predictability for the four marine ecosystem drivers: temperature, pH, O₂ and NPP. In the following, NPP is always integrated over the upper 100 m, whereas temperature, pH and O₂ are analyzed at different depth levels. In addition to identifying the upper limits of predictability of these variables within the Earth system model, an equally important objective is to identify the relative predictability of the four variables under consideration.

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Gelöscht: The six starting years of the individual large ensemble simulations are randomly selected from the 300-yr preindustrial control simulation.

Gelöscht: underrepresented

2.3.1 Assessment of potential predictability

The prognostic potential predictability (PPP) is the main metric used in this study to assess predictability. The PPP is the ratio between the variance among the ensemble members at a given time t after the initialization and the temporal variance of an undisturbed control simulation. The PPP is calculated following Griffies and Bryan (1997b) and Pohlmann et al. (2004):

$$PPP(t) = 1 - \frac{\frac{1}{N(M-1)} \sum_{j=1}^{N} \sum_{l=1}^{M} (X_{ij}(t) - \bar{X}_{j}(t))^{2}}{\sigma_{c}^{2}}$$

(2)

(3)

where X_{ij} is the value of a given variable for the *j*-th ensemble and *i*-th ensemble member, \overline{X}_j is the mean of the *j*-th ensemble over all ensemble members, σ_c^2 is the variance of the control simulation, *N* is the total number of different ensemble simulations (*N* = 6) and *M* the number of ensemble members (*M* = 40). The variance of the control simulation is calculated for each month of the year separately to exclude the seasonality from the natural variability, i.e., only the natural variability at that month in the seasonal cycle is considered. PPP equals unity constitutes perfect predictability. A F-test is applied to estimate a significant difference between the ensemble variance and the variance of the control run. With *N* = 6 and *M* = 40, predictability is achieved with a 95% confidence level when PPP ≥ 0.183 .

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The predictability time horizon is defined as the lead time at which PPP falls below the predictability threshold. To calculate global means, all metrics are first calculated at each individual grid cell and then averaged with area-weighting over the global ocean.

2.3.2 Taylor deconvolution method to identify mechanistic controls of predictability

150 To understand the processes behind the simulated predictability, we applied a first-order Taylor-series deconvolution method to decompose the normalized ensemble variance of pH, O₂ and NPP into contributions from their physical and biogeochemical driver variables:

$$\sigma_f^2 \cong \sum_{i=1}^n \left(\frac{\partial f}{\partial x_i}\right| \sigma_{x_i})^2 + 2\sum_{i < j} \frac{\partial f}{\partial x_i} \left|\frac{\partial f}{\partial x_j}\right| Cov(x_i, x_j) ,$$

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where σ denotes the standard deviation among the ensemble members of the different variables. Specifically, the Taylor deconvolution method is applied to decompose the normalized ensemble variance for *f* being of pH, O₂ and NPP into the contribution from their physical and biogeochemical drivers by expressing the ensemble variance and the variance of the control run from equation (2) in terms of equation (3). The partial derivatives in equation (3) are calculated at the point $\vec{p} = \vec{x}$, where \vec{x} is the mean value of the corresponding driver variables over the entire control simulation.

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Gelöscht: The prognostic

The changes in pH are attributed to changes in temperature, salinity, total alkalinity (Alk), and total dissolved inorganic carbon (DIC). Here, we assume that variations in phosphate and silicate are negligible.

Dissolved oxygen (O2) is decomposed into an oxygen solubility component O2sol and an apparent oxygen utilization (AOU) component using (e.g. Frölicher et al. 2009):

$$O_2 = O_2^{\rm sol} - AOU.$$

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O2^{sol} is the solubility of oxygen, which depends non-linearly on temperature and salinity (Garcia and Gordon, 1992). The difference between diagnosed O2^{sol} and simulated O2 is AOU. Variations in AOU reflect changes in oxygen consumption and ocean ventilation. Earlier studies demonstrated that changes in AOU are typically associated with changes in ventilation, as simulated changes in the remineralization rates of organic material and in associated O2 consumption are relatively small 175 (Gnanadesikan et al., 2012).

NPP can be decomposed into the contributions from the three phytoplankton groups simulated in the TOPAZ model:

$$NPP = NPP_{Sm} + NPP_{Di} + NPP_{Lg}$$

$$180$$
(5)

where NPPsm, NPPDi, and NPPLg are the contributions from small, diazotroph and large phytoplankton, respectively. At any time t the NPP for all phytoplankton groups phyto is given by the phytoplankton stock P_{phyto} times the phytoplankton growth rate μ_{phyto} :

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$$NPP_{phyto}(t) = \mu_{phyto}(t) \cdot P_{phyto}(t)$$
(6)

The growth rate μ_{Sm} of the small phytoplankton is parametrized using a maximum growth rate μ_{max} , which is limited by nutrients N_{lim} , light L_{lim} , and temperature T_f (see Appendix A for further details):

$$190 \quad \mu = \mu_{max} \cdot N_{lim} \cdot L_{lim} \cdot T_f \ . \tag{7}$$

Note that grazing, sinking and other loss processes impact phytoplankton stock, but these processes in TOPAZ2 are only a function of steady state growth and biomass implicit grazing formulation, and exert no separate dynamic control. Therefore they do not require separate consideration.

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Gelöscht: s

(4)

3 Results

3.1 Potential predictability at the ocean surface

The change in globally averaged annual PPP over time is very similar for all four marine ecosystem drivers at the surface, i.e. the PPP decreases exponentially over lead time for all four drivers (solid thick lines in Figure 2). After three years, the PPP falls below the predictability threshold (dashed line in Figure 2) indicating that the global predictability is about three years for all four ecosystem drivers. The seasonality in PPP (solid thin lines in Figure 2) as well as the differences among the four drivers is very small at the global scale.

205 At the regional scale, the predictability time horizon shows distinct structured patterns and also large differences.
<u>between each</u>
<u>of</u> the four different marine ecosystem drivers (Figure 3). In general, SST (Figure 3a), surface pH (Figure 3b) and surface O₂
(Figure 3c) share similar predictability <u>time horizon</u> patterns with <u>short predictability time horizons</u> (1-2 years) between 20°
and 40° in both hemispheres.
<u>intermediate predictability time horizons</u> (3-5 years) in the tropical oceans, and <u>long predictability time horizons</u> (>10 years) in the North Atlantic between 40°N and 70°N, in the Southern Ocean between 40°S and 65°S (except
210 for surface pH), and in the Arctic Ocean. Interestingly, <u>the</u> potential predictability <u>horizon</u> of surface pH is <u>short relative to</u>
SST and surface O₂ in the Southern Ocean, but <u>longer</u> over both the Caribbean and the eastern subtropical North Pacific relative

to SST. The Caribbean and the eastern North Pacific are both regions of importance for resource management, given the high density of neighboring human populations.
215 The NPP predictability <u>time horizon pattern</u> (Figure 3d) is fundamentally different from the patterns of the other three ecosystem drivers. NPP has long predictability <u>time horizons</u> (6-10 years) in the mid-latitudes, where the annual mean NPP is generally small (indicated with contour lines in Figure 3d), but very <u>short predictability time horizons</u> of 0-1 years in the

Southern Ocean, the North Atlantic and the Pacific, as well as <u>short</u> predictability <u>time horizons</u> of 1-3 years in the tropical oceans, where annual mean NPP is high (Figure 3d). The spatial pattern of <u>the</u> predictability <u>time horizon</u> and the sequencing of predictability among the ecosystem drivers is very similar when using two other metrics for potential predictability indicating that our results do not depend on the predictability metric used (Appendix B).

We further average the local potential predictability across 17 biogeographical biomes (Figure 4) to highlight the pronounced seasonal cycle in predictability for some variables in particular biomes. The biomes capture patterns of large-scale biogeochemical function at the basin₃scale and are defined by distinct SSTs, maximum mixed layer depths, maximum ice fractions, and summer chlorophyll concentrations (Fay and McKinley, 2014). As shown in Figure 4, potential predictability exhibits strong seasonality for SST, surface O₂ and surface pH in the North Atlantic (biomes 8, 9, 10, 11), in the Southern Ocean (biomes 15 and 16), and in the subtropical/subpolar gyre boundary region of the North Pacific (biome 3). In all these biomes, predictability is higher during the cold season (boreal and austral winter) and lower during the warm season. The

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Gelöscht: with lead time

Gelöscht: across

Gelöscht:

Gelöscht: low	
Gelöscht: moderate	
Gelöscht: high	
Gelöscht: low	
Gelöscht: elevated	

(Gelöscht:
-(Gelöscht: high
(Gelöscht: low
(Gelöscht: low

biomes with high seasonality in PPP are also the regions which generally show larger predictability in the annual mean. The PPP of SST and surface O_2 have almost identical seasonal amplitudes, while the seasonal amplitude of the surface pH is generally smaller compared to SST and surface O_2 seasonal amplitude. Interestingly, the PPP for NPP generally shows no

- 245 large differences amongst the seasons, except in biome 8, which is influenced by seasonal sea-ice retreat/growth. Figure 4 reveals also other interesting characteristics in PPP. For example, the changes in PPP over lead time are very small, but fluctuate around the predictability threshold for NPP in biome 10 and for SST and O₂ in biome 8, making the predictability horizon in some biomes for some variables very sensitive to small changes in PPP. In addition, the PPP for NPP in the eastern equatorial Pacific (biome 6) shows large interannual variations with lead time indicating that even more ensemble members
- 250 are needed to robustly assess the predictability there. The PPP for SST in biome 17 (around Antarctica) is even negative indicating a higher variance simulated in the ensemble simulations than simulated in the 300-yr preindustrial control simulation.

3.2 The role of the subsurface ocean in the potential predictability of marine ecosystem drivers

Next, we assess the predictability time horizon for temperature, O₂ and pH in the top 1000 m (Figures 5 and 6). In theory, the subsurface ocean should be expected to be <u>longer</u> predictable than the surface layer, as the subsurface is not directly coupled to the high-frequency and relatively unpredictable variability of the atmosphere. Indeed, the potential predictability for temperature, oxygen and pH rapidly increases with depth at the global scale (Figure 5a-c). Below 300 m, the predictability time horizon of all three ecosystem drivers exceeds a decade, i.e. the PPP is still larger than the predictability limit (depth levels with no hatching in Figure 5a-c). Interestingly, the PPP at depth changes more rapidly with time for temperature than for O₂ and pH. In fact, the PPP for temperature is constant below 500 m for a given year, i.e. the PPP value does not change with depth. This is different for O₂ and pH, for which the PPP increases with all depth levels. Clearly, the overall increasing potential predictability with depth can be attributed to the increasing disconnection of the deeper ocean with the surface ocean (see also section 3.3). However, the biogeochemical processes lead to enhanced predictability below 500 m for Q₂ and pH.

- 265 The global mean picture of Figure 5a-c obscures some interesting seasonal features at the regional scale, which are highlighted in Figure 5d-f for the North Atlantic. Even though the North Atlantic is among the regions with the largest potential predictability at the ocean surface, the predictability at 1000-m depth for pH and O₂ is smaller in the North Atlantic than the global average at the same depth (Figure 5d-f), especially in boreal winter. For example, the PPP in winter of year 3 for pH is 0.6 at the global scale at 400-m depth (Figure 5b), but only 0.3 in the North Atlantic (Figure 5e). The strong connection in the
- 270 Atlantic between the ocean surface and the upper 1000 m in winter increases the predictability, but at the same time, decreases the potential predictability within the subsurface. Interestingly, this effect is also visible for temperature but confined to the upper few hundred meters. The reason is that anomalies from the ocean surface do not penetrate as deep for pH and O_2 as they do for temperature.

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Gelöscht: potential Gelöscht: more

Gelöscht: coupling between physical and biogeochemical processes leads to an enhanced predictability below 500 m, this being the case for oxygen and pH, but not the case for temperature. Formatiert: Tiefqestellt

- Figure 6 shows the spatial pattern of the predictability time horizon for ocean temperature, O₂ and pH at 300 m (a-c) and 1000 m (d-f) depth, respectively. Although the predictability time horizon is close to 10-yr pelow 300 m on global average, there are specific regions with a reduced predictability time horizon. At 300 m, these regions are the tropical Pacific, the Indian Ocean and parts of the Southern Ocean (Figure 6a-c). In the equatorial Pacific and Indian Ocean averaged over 20°N and 20°S, the predictability is 4 yr for temperature and 7 yr for O₂ and pH, respectively. For temperature and O₂, the predictability time
- 285 horizon drops to values lower than 5-6 yr in the eastern equatorial Atlantic. At 1000-m depth (Figure 6d), the spatial pattern of temperature predictability time horizon is similar to the one at 300 m. Large parts of the equatorial Pacific and the Indian Ocean still show relatively short predictability time horizons. This is not the case for O₂ and pH, for which the predictability time horizon largely increases at 1000-m depth compared to 300 m depth in the eastern equatorial Pacific and in the Indian Ocean as well as in the Southern Ocean, so that the predictability time horizon of both O₂ and pH are almost everywhere up
- 290 to 10-yr, Only the western equatorial Pacific (for pH) and the central equatorial Pacific (for O2) are characterized by reduced potential predictability at 1000 m (predictability time horizons lower than 8 yr).

3.3 Deconvolution into physical and biogeochemical control processes

Celöscht: potential
Celöscht: lead time
Celöscht: prediction
Celöscht: to lead times
Celöscht: to lead times
Celöscht: low
Celöscht: predictable
Celöscht: lead time

The predictability patterns and timescales presented in the previous sections are investigated next for their underlying dynamical and/or biogeochemical controls. For SST, we compare our findings with previous studies that attributed SST predictability to particular processes. In order to understand the dynamical and biogeochemical control processes of O₂, pH and NPP and to quantify their contribution, we apply a Taylor deconvolution method (see section 2.3.2). It is important to note that large contribution of a particular driver to the potential predictability of O₂, pH and NPP does not imply a <u>long</u> predictability <u>time horizon</u> of that driver. In addition, the contribution of a process depends not only on its potential predictability (captured by the variance terms in equation 3), but also on the potential interaction with the other drivers

300 (covariance terms in equation 3).

3.3.1 Sea surface temperature

The <u>long</u> predictability <u>time horizon</u> of SST in the North Atlantic between 40°N and 70°N (Figure 3a) is consistent with previous findings (Boer, 2004; Collins et al., 2006; Griffies and Bryan, 1997a; Pohlmann et al., 2004). The SST in the North Atlantic experiences low-frequency variability that is linked to the Atlantic Meridional Overturning Circulation (AMOC,

- 305 Buckley and Marshall (2016)). In GFDL's ESM2M, the AMOC experiences strong low-frequency variability, consistent with Msadek et al., (2010) and its predictability time horizon is about 9 yr (Figure C1). Similarly, the Southern Ocean surface waters are also strongly connected to the deep ocean (Morrison et al., 2015) and slow subsurface ocean processes there give rise to decadal predictability in SST (Marchi et al., 2019; Zhang et al., 2017). In CM2.1, the peak in the power spectrum of deep convection in the Weddell Sea is simulated to lie between 70 and 120 years (Zhang et al., 2017). In the North Atlantic and the
- 310
 Southern Ocean, the potential predictability is enhanced during the winter period (Figure 4), as the surface waters are especially well connected with the deep ocean during the cold season. The long SST predictability time horizon in the Arctic Ocean is

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Gelöscht: high

Gelöscht: In fact,

Gelöscht: (

Gelöscht: the AMOC has a significant peak in its power spectrum at 20 yr in GFDL's CM2.1 (Msadek et al., 2010), the physical core of the GFDL ESM2M used here

Gelöscht: high

due to the overall low-frequency variability in SST there, because these waters are permanently covered by sea ice in the
 preindustrial ESM2M control simulation and cannot exchange heat (and carbon) with the atmosphere. This is not the case around the Antarctic continent, where sea ice almost vanishes during austral summer in ESM2M allowing the surface ocean to exchange heat and carbon with the atmosphere. Therefore, the influence of high frequency atmospheric variability is large, which leads to diminished predictability time horizons around Antarctica. Moderate predictability time horizons in SST of about 3 to 5 years is simulated in the tropical oceans associated with the coupled atmosphere-ocean system (Boer, 2004).

335 3.3.2 Dissolved oxygen

To understand the processes that give rise to the O_2 predictability pattern, we use a Taylor deconvolution method (see section 2.3.2) to further split the O_2 predictability into respective O_2^{sol} and an AOU contributions. Figures 7 and 8 show the predictability time horizon of O_2 (identical to patterns shown in Figures 3c and 6c), O_2^{sol} , AOU and their covariance (left panels) as well as their percentage contribution to the normalized ensemble variance (right panels) for the surface (Figure 7)

- 340 and 300-m depth (Figure 8). The percentage contribution is defined as the value of a given variance term (first term on the right hand side of the equal sign in equation 3) or covariance term (second term on the right hand side in equation 3), divided by the sum of all absolute variance and covariance values. By combining the information from the right panels (i.e. percentage contribution to total predictability) with the information from the left panels (i.e. predictability time horizon), we can attribute the local predictability of O_2 to either O_2^{sol} , AOU or the covariance. For example, if both the percentage contribution as well
- 345 as the predictability time horizon of particular variable is high, then the O₂ predictability is high. If the percentage contribution is generally low for a particular variable, then this variable does not contribute to the overall <u>short or long predictability time</u> <u>horizon</u> of O₂.
- The largest contribution to the normalized variance in O₂ at the surface stems from O₂^{sol} (Figure 7) with a globally averaged
 contribution of 58%, followed by AOU with 23% and the covariance between O₂^{sol} and AOU contributing 19%. Thus, the O₂^{sol} predictability time horizon pattern (Figure 7b) is almost identical to the O₂ predictability time horizon pattern (Figure 7a or Figure 3c), i.e. Jong predictability time horizons in the North Atlantic, Southern Ocean and the Arctic, and short predictability time horizon pattern (Figure 7a) at the ocean surface is mainly controlled by temperature (Garcia and Gordon, 1992), it is not surprising that the time horizon pattern of surface O₂ predictability (Figure 7a and 3c) is also almost identical
 to the time horizon pattern of SST predictability (Figure 3a). In the Arctic Ocean and around Antarctica, however, AOU (Figure 7f) is almost solely responsible for the normalized variance of O₂. As a result, the predictability time horizon of O₂ (Figure 7a) is similar to the AOU predictability time horizon (Figure 7c) in these two regions. The covariance between O₂^{sol} and AOU overall plays a minor role (Figure 7g).
- 360 The picture is quite different at 300-m depth (Figure 8), where the largest contribution percentage-wise to the normalized variance of O_2 stems from AOU (64% on global average), with minor contributions from O_2^{sol} (13%) and the covariance

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Gelöscht: low Gelöscht: high

Gelöscht: high

between O2^{sol} and AOU (23%). Therefore, the pattern of the AOU predictability time horizon (Figure 8c) is similar to the pattern of the O2 predictability time horizon (Figure 8a). Exceptions are found in the eastern equatorial Pacific, where the covariance dominates (Figure 8g) and the northern North Atlantic, where O2^{sol} dominates (Figure 8e). The dominance of AOU in explaining subsurface O2 predictability is also the reason why O2 predictability generally increases with depth (Figure 5c), which is not the case for temperature (Figure 5a).

3.3.3 pH

The predictability characteristics of pH are decomposed into its primary drivers in the marine carbonate system, namely temperature, salinity, DIC and Alk (Figure 9). Even though the total normalized ensemble variances from the Taylor deconvolution are only approximations of the total real ensemble variances due to nonlinearities in carbonate chemistry, the
values of the Taylor deconvolution are always within ±2% of the real values giving us confidence in the appropriateness of the Taylor deconvolution method for pH.

At the surface, the largest contribution percentage-wise stems from the covariance between Alk and DIC (Figure 9j; with 26% globally averaged), followed by DIC (Figure 9i; 22%), Alk (Figure 9h; 15%), the covariance between SST and DIC (Figure 9k; 14%), and SST (Figure 9g; 9%). All other possible contributors such as sea surface salinity and its covariances (including the covariance between SST and Alk) are not discussed further, as their contributions are below 5%. The pH predictability time horizon at the surface is therefore mainly determined by Alk and DIC, and to a lesser extent SST. The <u>long predictability time horizon of pH in the North Atlantic</u>, the Arctic Ocean and in the eastern North Pacific, and the <u>short predictability time horizon in the tropical regions (Figure 9a and Figure 3c) are mainly determined by DIC and Alk and the covariance between
385 DIC and ALK. SST plays a role for parts of the North Atlantic. The predictability of pH in the Southern Ocean is mainly determined by DIC, SST and their covariance. Even though SST exhibits enhanced predictability in the Southern Ocean in relation to pH, the <u>short predictability time horizon</u> of DIC and the covariance of DIC and SST leads to the overall diminished predictability time horizon for pH relative to SST there.
</u>

390 The pH predictability time horizon at 300-m depth (Fig. 10a) is mainly determined by DIC (accounts for 44% on global scale; Fig. 10j), and to a lesser extent by the covariance between DIC and SST (19%; Fig. 10k) and the covariance between Alk and DIC (15%; Fig. 10j). Interestingly, the relatively <u>short pH</u> predictability <u>time horizon</u> of about 5 yr in the western equatorial Pacific and the northern Indian Ocean is also mainly determined by DIC (Fig. 10d,i) and the covariance between DIC and SST (Fig. 10f,k). The <u>short</u> predictability <u>time horizon</u> of pH in the South Pacific is caused by the covariance between SST and

395 DIC. Again, salinity plays a negligible role (not shown).

Gelöscht: high	
Gelöscht: potential	
Gelöscht: low	

Gelöscht: low

Gelöscht: low

Gelöscht: low

3.3.4 Net primary production

To understand the drivers that may set the upper limits of NPP predictability, we first split the NPP into the contributions from small phytoplankton production (NPPsm), large phytoplankton production (NPPLg) and production by diazotrophs (NPPDi; see 405 section 2.3.2 and Appendix A). The largest contribution (i.e. the most important driver of NPP potential predictability) stems from NPP_{sm} (65% averaged globally; Figure 11). The second most important contributor is the covariance between NPP_{sm} and NPP1g (19%) followed by NPP1g (9%). Diazotrophs and all other covariances have only a small impact on the predictability of NPP (< 5%; not shown in Figure 11). The large dominance of NPPsm is not unexpected as the small phytoplankton production overall dominates the total phytoplankton production in ESM2M (Dunne et al., 2013; Laufkötter et al., 2015). NPP_{sm} accounts

410 for 84% of the total NPP at global scales, whereas NPPLg and NPPDi only account for 14% and 2%, respectively.

On regional scales, NPPsm determines almost everywhere the predictability of NPP (Figure 11f). Exceptions are the eastern equatorial Pacific and the higher northern latitudes, where NPP_{Lg} (Figure 11e) and the covariance between NPP_{Lg} and NPP_{sm} (Figure 11g) also play a substantial role. Interestingly, the NPP_{1g} (Figure 11b) has overall longer predictability time horizon than NPP (Figure 11a) and NPPsm (Figure 11c).

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To understand the drivers of small phytoplankton predictability, we further deconvolve NPPsm into growth rate and small phytoplankton stock (Figure 12; equation 6 in section 2.3.2). The deconvolution suggests that the largest contribution to the potential predictability on a global scale stems from the small phytoplankton stock (51%) followed by the growth rate (31%)

420 and the covariance between stock and growth rate (18%). Between $40^\circ S$ and $40^\circ N$, the NPPsm predictability is almost solely determined by the small phytoplankton stock, with the exception of the eastern equatorial Pacific, where the growth rate is more important. Also, the short NPPsm predictability time horizon in the North Atlantic mainly originates from the variance of the stock, indicated by the short predictability time horizons of the stock compared to the growth rate there. As we stated previously, NPP has a relatively short potential predictability time horizon over the Southern Ocean compared to the other 425 ecosystem drivers (Figure 3d). Our analysis shows that small phytoplankton (Figure 11) and especially the growth rate of the small phytoplankton (Figure 12) is important for setting this local minimum,

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We further deconvolute the drivers of the surface growth rate predictability of small phytoplankton into its temperature, nutrient and light limiting factors (see Eq. 7 in section 2.3.2; Figure 13). As the limiting factors are not saved routinely as 3dimensional fields, we focus here on the growth rate and its limiting factors at the surface. Note that the growth rate predictability time horizon at the surface (Figure 13a) may differ from the growth rate predictability time horizon integrated over the top 100 m (Figure 12c), especially in the Southern Ocean and the North Atlantic. At the surface and at the global scale, the largest contribution stems from the nutrient limitation term (50%) followed by the temperature limitation term (25%) and the covariance between the temperature and nutrient limitations (13%). At the regional scale, the nutrient limitation term

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Gelöscht: greater

Gelöscht: low Gelöscht: lower Gelöscht: low

Gelöscht: (Figure 10)

Gelöscht:

clearly dominates at mid-latitudes (Figure 13f). In GFDL's ESM2M, the subtropical gyres are mainly iron limited (hatching in Figure 13f) and therefore iron fundamentally constrains the predictability of the growth rate of small phytoplankton there. Exceptions are the boundary region between the subtropical and subpolar gyre in the North Pacific (nitrate limited) as well as the tropical Atlantic (phosphate and nitrate) and the northern Indian Ocean (phosphate). The temperature limitation term is

- 445 dominant in the higher latitudes and the eastern equatorial Pacific (Figure 13g), The light limitation term only plays a substantial role (up to 20%) around Antarctica and close the Arctic sea ice edge (Figure 13h). The simulated long predictability time horizon for NPP in the mid-latitudes can therefore be attributed to the long predictability time horizon of the nutrient limitation, especially given that the growth rate predictability at surface is similar to the growth rate predictability integrated over the top 100 m in this region. At latitudes north of 40°N and south of 40°S, the temperature limitation is the most important
- 450 contributor. Therefore, the predictability <u>time horizon</u> pattern of the growth rate strongly resembles the one for SST in these regions. In the Southern Ocean, however, the growth rate predictability <u>time horizon</u> at surface is much<u>longer</u> than the growth rate predictability integrated over top 100 m indicating that a process different than temperature (e.g. light limitation) may limit predictability there.

4 Discussion and Conclusion

455 We set out three goals for this study: (a) assessing the global characteristics of potential predictability for temperature, pH, O₂ and NPP, as a mean to identify an upper bound on our ability to predict conditions for marine ecosystems, (b) assessing regional and depth-dependent characteristics of potential predictability, and (c) identifying the potential mechanisms that limit or increase predictability for the different marine ecosystem drivers. This was pursued within a perfect modelling framework using a comprehensive Earth system model.

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The analysis revealed that on global scales the predictability time horizon of each variable is surprisingly similar, i.e. three years for all four marine ecosystem drivers (Figure 2; first goal), despite the fact that the regional processes operating are different over a range of scales (second and third goal). This is unexpected, as the ocean processes that sustain the disparate divers should not be expected to have identical memory as pertains to predictability. For example the relatively<u>long</u> predictability time horizon identified for SST and surface O₂ over the subpolar North Atlantic (the SST to be consistent with Griffies and Bryan 1997; Boer 2000; Collins et al. 2006; Keenlyside et al. 2008) and the Southern Ocean (consistent with Zhang et al. (2017) and Marchi et al. (2019)) is not reflected in NPP. Likewise, the <u>long predictability time horizon of NPP in</u> the subtropical gyres is not simulated for other ecosystem drivers and the <u>short predictability time horizon of surface pH in the</u> Southern Ocean is reflected in neither SST nor in surface O₂.

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Our results suggesting the same global predictability <u>time</u> horizon for all four ecosystem drivers is not inconsistent with time of emergence diagnostics for transient climate warming scenarios where pH (early emergence) and NPP (late emergence)

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Gelöscht: This is in contrast to the	
Gelöscht: , where the temperature limitation term is dominant.	
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Gelöscht: high	_

Gelöscht: larger

Gelöscht: potential

Gelöscht:	high
Gelöscht:	potential

(Gelöscht: high
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485 behave opposite (Frölicher et al., 2016; Rodgers et al., 2015; Schlunegger et al., 2019). Time of emergence is defined as the ratio (large for pH and small for NPP) of the anthropogenic forced change to the background internal variability. Comparing our results with the time of emergence analysis is therefore complicated by the presence of the anthropogenic forced signal in scenario projections. In fact it is the presence of the large invasion flux for CO2 that renders acidification the most rapidly emergent of the drivers under anthropogenic perturbations, in particular relative to NPP. The similarities between the analyses 490 of predictability and emergence timescales lie in the noise, which is expected to include not only modes of climate variability such as ENSO, but also higher frequency variability such as cloud cover that may impact NPP for both cases.

Our study complements earlier studies which suggested that marine ecosystem drivers may be predictable on multi-annual timescales. In contrast to earlier studies (Chikamoto et al., 2015; Park et al., 2019; Séférian et al., 2014b), rather than focusing 495 on a single ecosystem driver, we compare and contrast the potential predictability of four marine ecosystem drivers and also evaluate the processes behind their respective predictability limits. We find that in contrast to SST, these ecosystem drivers

- depend on a complex interplay between physical and biogeochemical underlying processes. For O2, the importance of subsurface AOU reveals a complex interplay between non-local circulation and biological consumption, whereas at the surface, O₂ is mainly determined by the predictability of SST. For NPP, the growth rate of the small phytoplankton in the Southern 500 Ocean is important for setting the local minimum in predictability time horizon there. The predictability time horizon of surface
- pH is mainly determined by a complex interplay between DIC and Alk predictability in the low latitudes and DIC, Alk and temperature predictability in high latitudes. Interestingly, we find longer predictability time horizons for SST than for NPP in the equatorial Pacific, which is in contrast to findings of Séférian et al. (2014a). Importantly, this may be indicative of a potential model-dependency of the relationship between ecosystem driver predictability. Séférian et al. (2014b) attributed
- 505 <u>Jonger NPP</u> predictability time horizons to the idea that the nutrient supply processes that modulate NPP are themselves regulated by thermocline wave adjustment processes, without sizeable modulation by surface fluxes. This was framed as standing in contrast to the case of SST, where air-sea fluxes reflecting higher-frequency variations act to reduce the predictability of SST. In ESM2M, the predictability time horizon for SST in the eastern equatorial Pacific (biome 6 in Figure 4) is approximately 3.5 yr, modestly longer than the predictability time horizon for NPP of approximately 3 yr. In ESM2M, 510
- NPP is only weakly correlated with changes in upwelling and nutrient supply in the eastern tropical Pacific (as was shown in Figure 2 of Kwiatkowski et al. (2017)). This is confirmed by our analysis showing that nutrient limitation is not the dominant term for explaining the predictability of NPP there. This indicates that less predictable processes occurring over shorter timescales, such as temperature and/or light level variations, influence NPP predictability.
- 515 Even though we consider our conclusion as robust, a number of potential caveats warrant discussion. These include the (i) ensemble design of the perfect model simulations (e.g. initialization and number of ensemble members) and (ii) the impact of model formulation and biases. For the first of these caveats, our simulations are all initialized with SST perturbations applied to a single grid cell in the Weddell Sea and therefore a different spatial perturbation strategy may give different results.

Gelöscht: First

Gelöscht: potential

Gelöscht: higher Gelöscht: potential

Gelöscht: larger

However, as the signal at the ocean surface spreads very rapidly, i.e. after four days all grid cells at the ocean surface are
perturbed, our results are insensitive to the spatial initialization method, at least in the upper ocean. Second, all ensemble simulations start in January 1st of the corresponding simulation year. It has been shown that the forecast skill of seasonal predictions may depend strongly on the way the models are initialized. ENSO forecasts, for example, have a much lower predictability if they are initialized before and through spring (Webster and Yang, 1992). However, as our focus is on annual-to-decadal timescales, this effect is less important for our analysis. Third, we have employed only six starting points for our
40-member ensemble simulation. Even though all six ensemble simulations branched off at different El Niño Southern Oscillation states of the preindustrial control simulation, our choice of six macroperturbations may still introduce aliasing

- Oscillation states of the preindustrial control simulation, our choice of six macroperturbations may still introduce ahasing issues that could bias our results. Although the computing resources at our disposal for this study did not allow for expanding the number of starting points, we recommend that future studies with CMIP-class models should expand the number of initialization points to further explore the sensitivity of the results to the starting point of the ensembles.
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The second caveat in our study is that we only used one single Earth system model and that our results might depend on the model formulation and resolution. Even though the GFDL ESM2M model achieves sufficient fidelity in its preindustrial states (Bopp et al., 2013; Dunne et al., 2012, 2013; Laufkötter et al., 2015), it is well known that CMIP5-generation models have imperfect representation of biogeochemical and physical processes as well as variability over a range of timescales, ranging from weather variability to ENSO variability (Frölicher et al., 2016; Resplandy et al., 2015) to decadal variability (England et al., 2014; McGregor et al., 2014). Different physical and biogeochemical parameterizations within a given model may change the length of the predictability time horizon. For example, TOPAZv2 represents a hypothetically optimal phytoplankton physiology, namely the model assumes that the fastest growing phytoplankton group always wins in all environments via the upper limit in growth rates. In addition, TOPAZv2 represents a steady-state ecosystem, such that there are no time lags between primary production and the grazing response. In the subsurface, the remineralization of particles is set to reproduce the vertical

- scale of the nutricline on the timescale of sinking particles, and the sinking particle velocity is fast. All three factors may tend to decrease the memory associated with the real-world surface ecosystem and minimize predictability. For the case of weather prediction, it has been argued that the inclusion of stochastic parametrizations increases potential predictability (Palmer and Williams, 2008). To our knowledge, this remains unexplored for marine biogeochemistry and ecosystem drivers. In any case,
- 550 it would be necessary to repeat our predictability experiments with a set of different Earth system models including different parameterizations of biogeochemical and/or physical ocean processes to investigate the dependence of our result on the model representation (Séférian et al., 2018), in parallel with broader efforts to further evaluate noise characteristics of these models. Additionally, the ocean model resolution of GFDL ESM2M is rather coarse and cannot represent the critical scales of small-scale structures of circulation. Predictability studies using high resolution ocean models with improved process representations
- 555 are therefore needed to explore potential predictability, especially at the local scale. However, it is currently impossible in many cases to constrain the simulated variability in biogeochemical drivers, especially for the ocean subsurface, with observations due to limited data availability (Frölicher et al., 2016; Laufkötter et al., 2015).

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Currently, no global coupled physical-biogeochemical seasonal-to-decadal forecast system is yet operational (Tommasi et al., 2017). However, our study suggests great promise that physical-biogeochemical forecast systems may have the potential to provide useful information to a wide group of stakeholders, such as, for example, for the management of fisheries (Dunn et al., 2016; Park et al., 2019). Our study therefore underscores the need to further develop integrated physical-biogeochemical forecast systems. Especially in regions with <u>long predictability time horizons</u>, such as the North Atlantic (for temperature, O₂, pH), the Southern Ocean (for temperature and O₂), and mid-latitudes (for NPP), installing and maintaining a spatially and temporally dense physical and biogeochemical ocean observing system would have the potential to significantly improve the effective predictability of marine ecosystem drivers.

570 Appendix A

The NPP in TOPAZ2, defined as the phytoplankton nitrogen production, is individually described for all phytoplankton groups i by the product of a phytoplankton growth rate μ_i and the amount of nitrogen in the plankton group $[N]_i$:

$$NPP_i = \mu_i \cdot [N]_i. \tag{A1}$$

The growth rate of the small phytoplankton group is given by a maximum growth rate times the limiting factors of nutrients N_{lim} , light L_{lim} , and temperature T_f :

$$\mu_{Sm} = \frac{\mu_{max'}}{1+\zeta} \cdot N_{lim} \cdot L_{lim} \cdot T_f. \tag{A2}$$

580

The temperature limitation factor is:

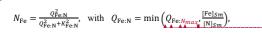
$$T_f = \exp(k_{epp} \cdot T).$$

585 The nutrient limitation factor is:

$$N_{lim} = \min(N_{\rm Fe}, N_{\rm PO_4}, N_{\rm NO_3} + N_{\rm NH_4}),$$

with iron limitation:

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595 with phosphate limitation:

$$N_{\rm PO_4} = \frac{Q_{\rm P:N}}{Q_{\rm P:Nmax}}, \quad \text{with} \quad Q_{\rm P:N} = \min\left(Q_{\rm P:Nmax}, \frac{[{\rm P}]_{Sm}}{[{\rm N}]_{Sm}}\right), \tag{A6}$$

with nitrate limitation:

$$N_{\rm NO_3} = \frac{[\rm NO_3]}{[\rm NO_3] + \kappa_{\rm NO_3}} \cdot \frac{1 + [\rm NH_4]}{\kappa_{\rm NH_4}},\tag{A7}$$

(A8)

and with ammonium limitation:

$$N_{\rm NH_4} = \frac{[\rm NH_4]}{[\rm NH_4] + K_{\rm NH_4}}.$$

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The light limitation factor is:

$$L_{lim} = 1 - exp\left(\frac{-\alpha\theta[IRR]}{N_{lim}T_f\mu_{max}}\right),\tag{A9}$$

610 with
$$\theta = \frac{\theta_{max} - \theta_{min}}{1 + (\theta_{max} - \theta_{min})\alpha [IRR_{mem}]/(2N_{lim}T_f \mu_{max})} + \theta_{min},$$
 (A10)

and
$$\theta_{min} = \max(0, \theta_{min}^{nolim} - \theta_{min}^{lim}) \cdot N_{lim} + \theta_{min}^{lim},$$
 (A11)

where [IRR] describes the photosynthetically active radiation and [IRR_{mem}] is the irradiation memory over the last 24 hours.

615 Appendix B

Potential predictability may depend on the choice of the predictability metric (Hawkins et al., 2016). Therefore, we calculate two additional metrics to assess the robustness of our results: the normalized root mean square error (NRMSE) and the intraensemble anomaly correlation coefficient (ACC₁). The NRMSE is similar to the PPP but uses standard deviations instead of variances and compares every ensemble member to every other member of that ensemble, thereby increasing the effective sample size (Collins et al., 2006):

NRMSE(t) = 1 -
$$\sqrt{\frac{[(x_{ij}(t) - x_{kj}(t))^2]_{i,j,k \neq i}}{2\sigma_c^2}}$$
 (B1)

 $\langle \cdot \rangle$ means that we sum over the listed indices and divide by the degrees of freedom. The intra-ensemble anomaly correlation coefficient (ACC₁) is a measure for the correlation between the anomaly of all ensemble members of an ensemble averaged over all ensembles and is regularly used for assessing operational predictions (Goddard et al., 2013). The anomaly is defined as the deviation of a given value from the climatological mean μ_j (i.e. the mean over the control run) over the *j*-th ensemble period.

630
$$ACC_{I}(t) = \frac{\langle (X_{ij}(t) - \mu_j) \langle X_{kj}(t) - \mu_j) \rangle_{i,j,k \neq i}}{\langle (X_{ij}(t) - \mu_j)^2 \rangle_{i,j}}$$
(B2)

While PPP and NRMSE estimate predictability by comparing the spread of the ensembles to the natural variability from the control simulation, the anomaly correlation coefficients include the phase alignment of the ensembles and the control simulation. We again use a F-test for NRMSE and a t-test for ACC₁ to estimate the predictability threshold.

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Figure B1 compares the two additional metrics applied to SST with the PPP metric. We introduce an artificially predictability threshold for ACC₁ in such a way that the emerging pattern matches the predictability time horizon best. This allows us to compare the relative differences in predictability between the metrics best. The predictability pattern for SST obtained from all three metrics are very similar. Especially the patterns obtained using PPP and NRMSE are nearly identical. This can be
expected since both the PPP and the NMRSE estimate potential predictability by analyzing the ensemble spread. The ACC₁ shows some small differences to PPP and NMRSE, especially in the Southern Ocean and the North Pacific.

Data availability

The GFDL ESM2M simulations are available upon request.

Author contributions

645 TLF, KBR, LR, and CCR designed the study. TLF set up the ensemble simulations and KBR performed the simulations. LR performed most of the analysis. TLF wrote the initial manuscript. All authors contributed significantly to the writing of the paper.

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Gelöscht: PPP

Competing interests

650 All authors declare no competing interests

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655 code IBS-R028-D1. The authors thank Friedrich Burger for discussions on the Taylor deconvolution method and Natacha Le Grix for discussion on the TOPAZv2 model code.

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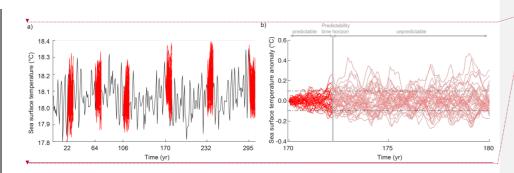
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Figures



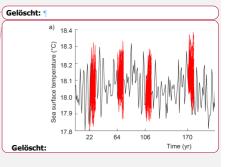


Figure 1: Illustration of the model setup and the calculation of the predictability time horizon. (a) Simulated global mean SST of the
 300-yr reference control simulation (black line) and of the six 10-yr long 40 ensemble simulations (red lines). (b) Global mean SST anomaly
 (i.e., deviation from the control simulation) for the ensemble simulation starting in year 170. Thick red line indicates the period over which
 SST is predictable (i.e. PPP ≥ 0.183), and thin red lines indicate period over which SST is unpredictable (i.e. PPP < 0.183). The dashed horizontal lines indicate one standard deviation of the control simulation and the vertical line indicates the predictability time horizon.

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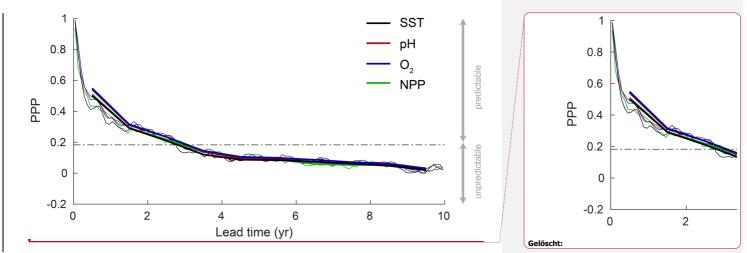
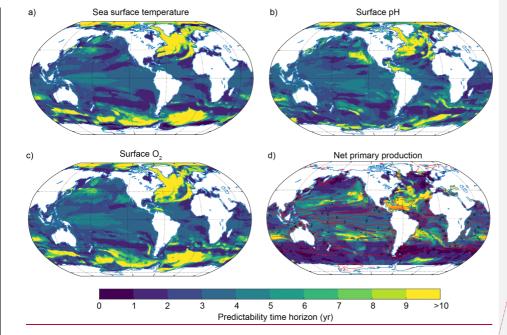
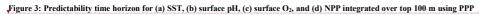


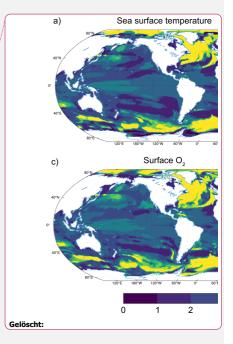
Figure 2: Globally averaged prognostic potential predictability (PPP) for all four marine ecosystem drivers at the surface, except for NPP which is integrated over the top 100 m. Monthly mean (thin lines) and annual mean (thick lines) values of PPP are shown. The horizontal black dashed line represents the predictability threshold. If PPP is above (below) the predictability threshold, the driver is potentially predictable (unpredictable) as indicated with the arrows on the right hand side. The PPP has first been calculated at each grid cell and then averaged globally.

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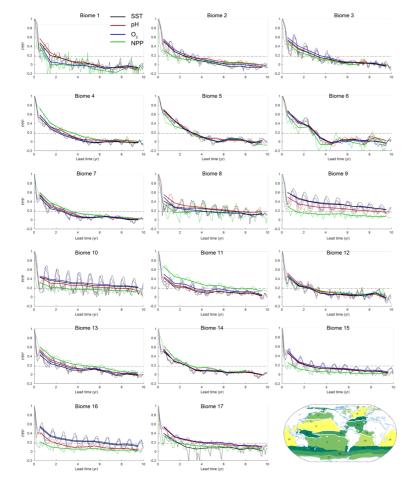




as predictability measure. The contour lines in (d) indicate the annual mean total nitrogen production in mol N kg⁻¹ yr⁻¹ averaged over the
 300-yr preindustrial control simulation to highlight regions with low and high NPP. In (d) regions north of 69°N and south of 69°S have
 been excluded since NPP is zero during winter time there.







850 Figure 4: PPP for all four ecosystem drivers averaged over 17 different biomes at the surface, except for NPP, which is integrated over top 100 m. Monthly means are shown as thin lines and annual means as thick lines. The horizontal dashed black lines in each panel represents the predictability threshold. The lower right panel shows the boundaries and the geographical location of the biomes 1 to 17.

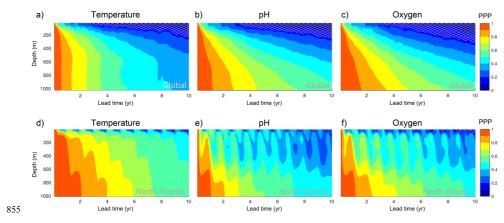


Figure 5: PPP depth profiles for the top 1000 m for ocean temperature, oxygen and pH at the (a-c) global scale and (d-f) in the North
 Atlantic. The PPP is shown as monthly means. The light gray hatching indicates a PPP value below the predictability threshold. The North
 Atlantic is defined as the ocean area between 40°N and 60°N in the North Atlantic. Note that the variance over the control simulation for pH is zero for approximately 0.4% of grid cells at subsurface, which leads to an undefined PPP value there (see Eq. 2). Such grid cells have
 been excluded here.

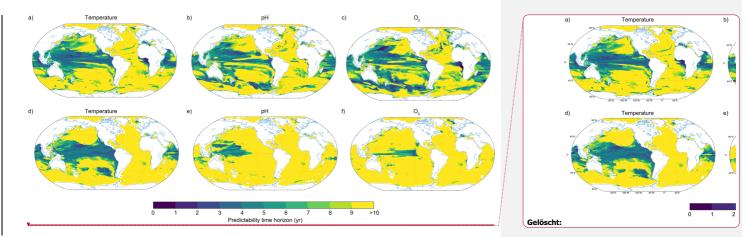
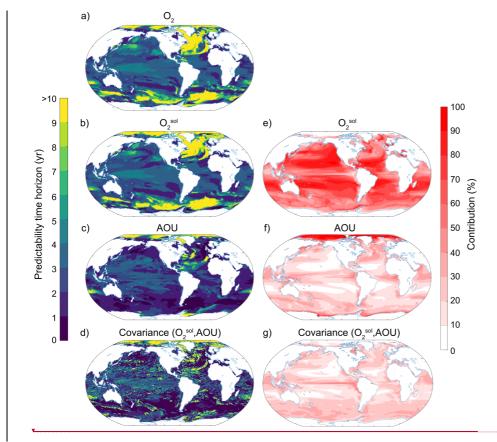


Figure 6: Spatial pattern of the predictability time horizon at (a-c) 300-m and (d-f) 1000-m depth for (a,d) ocean temperature, (b,e)

865 pH, and (c,f) dissolved oxygen.





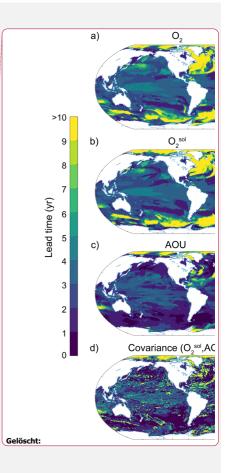
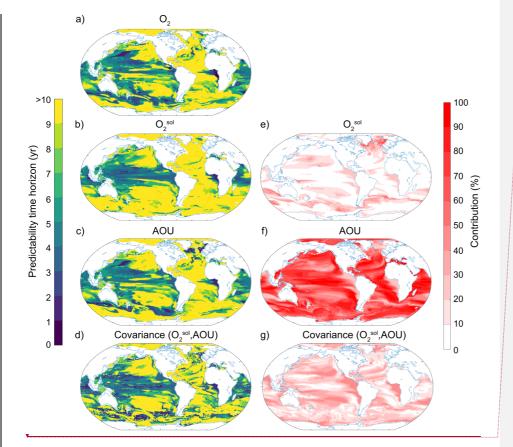


Figure 7: Spatial pattern of the (a-d) predictability time horizons and (e-g) contribution of different terms to the predictability of oxygen at the surface. (a-d) Predictability time horizon for (a) O₂, (b) O₂^{sol}, (c) AOU, and (d) covariance between O₂^{sol} and AOU. (e-g) Percentage contributions of (e) O₂^{sol}, (f) AOU and (g) covariance between O₂^{sol} and AOU relative to the sum of all terms. Red shading in (eg) represents positive absolute values of the variance and covariance terms. The percentage contributions are shown as averages over the entire 10 yr of the simulations. The percentage contributions do not change substantially over the 10 yr (always within ± 5% of the 10-yr averages).



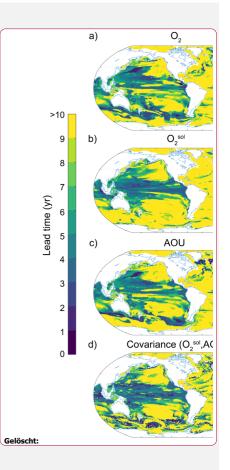


Figure 8: Same as Figure 7, but at 300-m depth.

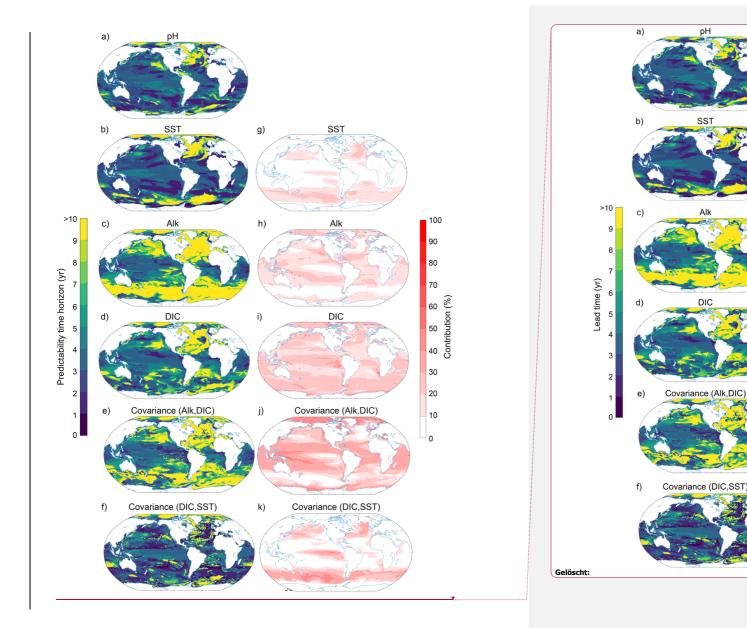
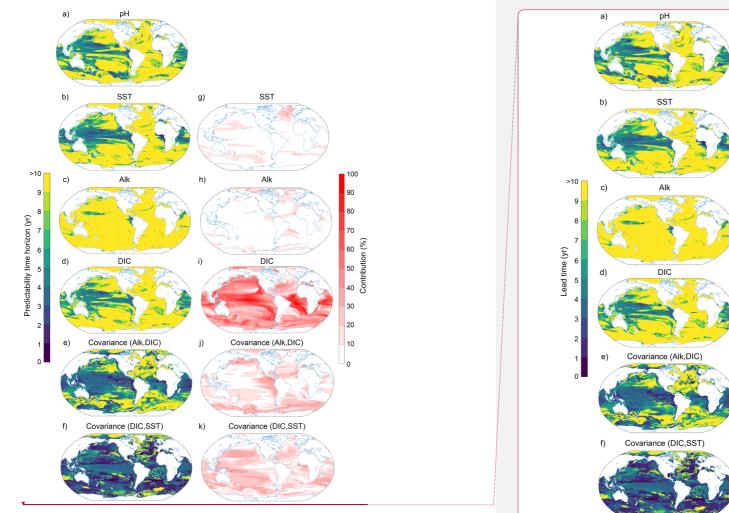


Figure 9: Spatial pattern of the (a-f) predictability horizons and (g-k) contribution of different terms to the predictability of pH at

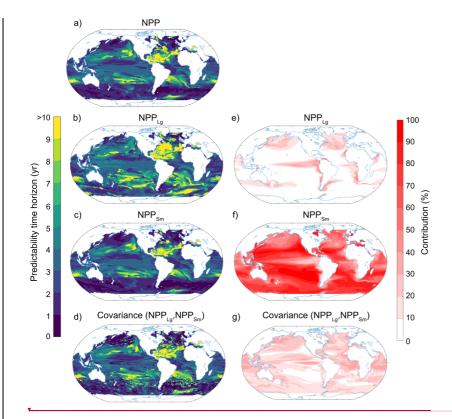
- 885 the surface. (a-f) Predictability time horizon for (a) pH, (b) SST, (c) Alk, (d) DIC, and the covariance between (e) Alk and DIC, and (f) DIC and SST. (g-k) Percentage contributions of (g) SST, (h) Alk, (i) DIC, and covariance of (j) ALK and DIC, and (k) DIC and SST relative to the sum of all terms. Red shading in (g-k) represents positive absolute values of the variance and covariance terms. The percentage contributions are shown as averages over the entire 10 yr of the simulations. The percentage contributions do not change substantially over the 10 yr (always within ± 5% of the 10-yr averages). Note that the terms that do not contribute to pH predictability such as sea surface
- 890 salinity, and the covariances between sea surface salinity and all other terms as well as the covariance between SST and Alk are not shown here.



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Figure 10: Same as Figure 9, but at 300-m depth.



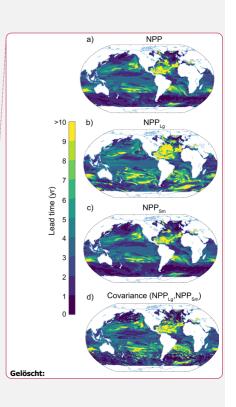
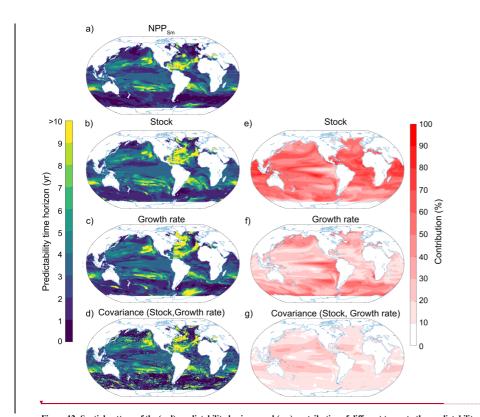


Figure 11: Spatial pattern of the (a-d) predictability horizons and (e-g) contribution of different terms to the predictability of NPP
 900 integrated over the top 100 m. (a-d) Predictability time horizon for (a) NPP, (b) large phytoplankton production NPP_{Lg}, (c) small phytoplankton production NPP_{Sm}, and (d) the covariance between NPP_{Lg} and NPP_{Sm}. (e-g) Percentage contributions of (e) NPP_{Lg}, (f) NPP_{Sm}, (g) and covariance of NPP_{Lg} and NPP_{Sm} relative to the sum of all terms. Red shading in (e-g) represents positive absolute values of the variance and covariance terms. The percentage contributions are shown as averages over the entire 10 yr of the simulations. The percentage contributions do not change substantially over the 10 yr (always within ± 5% of the 10-yr averages). Note that the terms that do not

substantially contribute to NPP predictability such diazotrophs (NPP $_{Di}$), and the covariances between NPP $_{Di}$ and all other terms are not shown here.



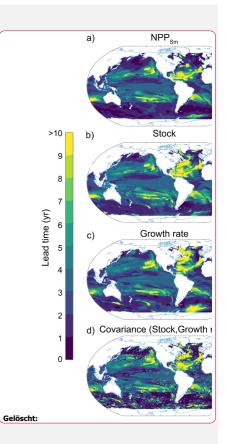


Figure 12: Spatial pattern of the (a-d) predictability horizons and (e-g) contribution of different terms to the predictability of small
phytoplankton production (NPPsm) integrated over the top 100 m. (a-d) Predictability time horizon for (a) NPPsm, (b) small
phytoplankton stock, (c) growth rate of small phytoplankton, and (d) the covariance between the stock and the growth rate of small
phytoplankton. (e-g) Percentage contributions of (e) stock, (f) growth rate, (g) and covariance of stock and growth rate relative to the sum of all terms. Red shading in (e-g) represents positive absolute values of the variance and covariance terms. The percentage contributions are shown as averages over the entire 10 yr of the simulations. The percentage contributions do not change substantially over the 10 yr (always
within ± 5% of the 10-yr averages).

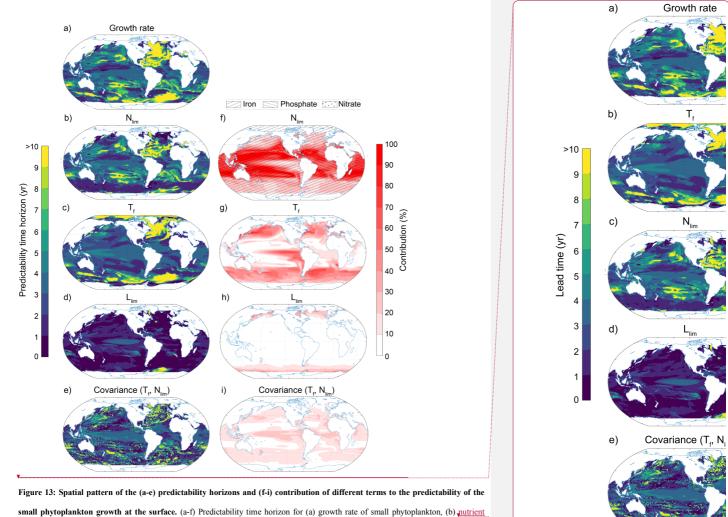


Figure 13: Spatial pattern of the (a-e) predictability norizons and (i-i) contribution of different terms to the predictability of the small phytoplankton growth at the surface. (a-f) Predictability time horizon for (a) growth rate of small phytoplankton, (b) <u>autrient</u>
limitation, (c) <u>temperature limitation, (d) light limitation, and (e) the covariance between the temperature and nutrient limitation. (f-i) Percentage contributions of (f) <u>autrient limitation, (g) temperature limitation, (h) light limitation, and (i) covariance between temperature</u>
</u>

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and nutrient limitation relative to the sum of all terms. Red shading in (f-i) represents positive absolute values of the variance and covariance terms. The percentage contributions are shown as averages over the entire 10 yr of the simulations. The percentage contributions do not change substantially over the 10 yr (always within ± 5% of the 10-yr averages). Note that the terms that do not substantially contribute to NPP predictability covariances between temperature and light and nutrient are not shown here. The hatching in panel (f) indicates the limiting nutrient as obtained from the 300-yr long preindustrial control simulation.

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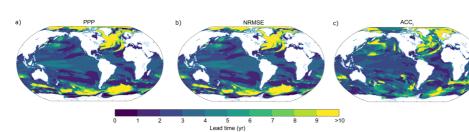


Figure B1: SST predictability time horizon calculated with different metrics. Spatial pattern of the predictability horizon for sea surface
temperature using (a) PPP, (b) NRMSE, and (c) ACC₁. Note that we assume an arbitrary predictability threshold for ACC₁ so that the emerging pattern matches the PPP predictability best. This allows us to compare the relative differences in predictability.

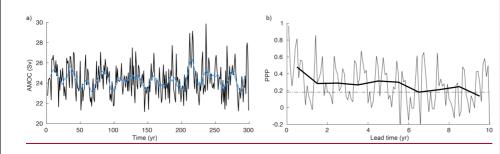


 Figure C1: (a) Simulated annual mean AMOC maximum of the 300-yr long preindustrial control simulation. The blue line indicates the 10yr running mean. (b) Monthly mean (thin line) and annual mean (thick line) prognostic potential predictability for the AMOC maximum. The horizontal black dashed line represents the predictability threshold.

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950 Table A1: TOPAZ2 parameters for small phytoplankton

Parameter Val	lue	Units	Description	Fo
ζ 0.1			Photorespiration loss	
k_{epp} 0.0	63	°C ⁻¹	Temperature coefficient for growth	
α 2.4	e-5 · 2.77e18/6.022e17	g C (g Chl) ⁻¹ m ² W ⁻¹ s ⁻¹	Light harvest coefficient	
μ_{max}' 1.5	e-5	s ⁻¹	Maximum growth rate at 0°C	
θ_{min}^{nolim} 0.0	1	g Chl <u>(g</u> C <u>)</u> -1	Minimum Chl:C without nutrient	
			limitation	
θ_{min}^{lim} 0.0	01	g Chl <u>(g</u> C <u>)</u> -1	Minimum Chl:C with complete	
			nutrient limitation	
θ_{max} 0.0	4	g Chl <u>(g</u> C <u>)</u> ⁻¹	Maximum Chl:C	
K _{NO3} 2e-	6	mol N kg ⁻¹	NO3 half-saturation coefficient	
К _{NH4} 2е-	7	mol N kg ⁻¹	NH4 half-saturation coefficient	
K _{Fe:N} 12e	e-6 · 106/16	mol Fe <u>(</u> mol N <u>)</u> ⁻¹	Half-saturation coefficient of iron	
			deficiency	
Q _{Fe:N max} 46e	e-6 · 106/16	mol Fe (mol N) ⁻¹	Maximum Fe:N limit	
Q _{P:N max} 0.1	458	mol P (mol N) ⁻¹	Maximum P:N limit	

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