Dear Biogeosciences editor,

We are grateful for the involvement and suggestions of both the referees and editor, which have so far greatly improved the quality of our manuscript. The new textual suggestions of referee #1 have now also been implemented, as detailed below (responses to reviewers in bold). We hope that these adjustments in the manuscript allow publication in *Biogeosciences*.

Yours sincerely,

The authors

REVIEWER #1 COMMENTS

Remove consistently "deuterium" from the manuscript.

→ The word 'deuterium' is removed consistently from both the manuscripts and the supplementary data.

L29/60. Add "relative" before "root water uptake profiles"

→ The word 'relative' has now been added following the reviewer suggestion. [L29, 61, 869]

L395. You are almost there \mathbb{D} . It should read something like "and where soil water is more depleted in [2H] in comparison with the soil layers above" (i.e., not δ 2H)

→ This sentence has been altered and now reeds as: 'Early in the morning, when transpiration is low, most of the RWU occurs in deeper layers, where soil matric potential is less negative and where soil water is more depleted in ²H compared with the soil layers above (Fig. S8a-b).' [L394:396]

L398/403/536-537. A δ value cannot be enriched.

→ These sentences have now been altered, highlighting that not the δ -value, but the sampled water is enriched. [L398, 403, 534:537, 567:568]

L456. "to access more shallow and enriched soil layer[water]"

→ This sentence now reads as "Higher SF_t requires more negative $\widehat{\Psi}_{X,0,t}$, enabling the plant to access the enriched soil water of more shallow soil layers". [L455:456]

L478-479. Correct terminology "...isotope..."

→ The terminology is now corrected and the sentence reads as follows: 'However, as diffusion is proportional to the time that water isotopologues remain in the xylem, its absolute impact on $\delta^2 H_X$ is negligible in plants with a high true sap flow velocity'. [L466:468]

1 Causes and consequences of pronounced variation in the

2 isotope composition of plant xylem water

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26	

28 Abstract

1. Stable isotopologues of water are widely used to derive relative root water uptake (RWU) profiles and average RWU depth in lignified plants. Uniform isotope composition of plant xylem water (δ_{xyl}) along the stem length of woody plants is a central assumption of the isotope tracing approach, which has never been properly evaluated.

2. Here we evaluate whether strong variation in δ_{xyl} within woody plants exists using empirical field observations from French Guiana, northwestern China, and Germany. In addition, supported by a mechanistic plant hydraulic model, we test hypotheses on how variation in δ_{xyl} can develop through the effects of diurnal variation in RWU, sap flux density, diffusion, and various other soil and plant parameters on the δ_{xyl} of woody plants.

3. The hydrogen and oxygen isotope composition of plant xylem water shows strong
temporal (i.e., sub-daily) and spatial (i.e., along the stem) variation ranging up to 25.2‰
and 6.8‰ for δ²H and δ¹⁸O respectively, greatly exceeding measurement error range in
all evaluated datasets. Model explorations predict that significant δ_{xyl} variation could
arise from diurnal RWU fluctuations and vertical soil water heterogeneity. Moreover,
significant differences in δ_{xyl} emerge between individuals that differ only in sap flux
densities, or are monitored at different times or heights.

47 4. This work shows a complex pattern of δ_{xyl} transport in the soil-root-xylem system, which 48 can be related to the dynamics of RWU by plants. These dynamics complicate the 49 assessment of RWU when using stable water isotopologues, but also open new 50 opportunities to study drought responses to environmental drivers. We propose to 51 include monitoring of sap flow and soil matric potential for more robust estimates of

- average RWU depth and expansion of attainable insights in plant drought strategies and
 responses.
- 54

55 Keywords

- 56 Ecohydrology, Lianas, Root water uptake, Sap flow, Stable isotope composition of water,
- 57 Tropical trees, Water competition

59 **1. Introduction**

The use of stable isotope composition of water has strengthened ecohydrology studies by 60 providing insights into phenomena that are otherwise challenging to observe, such as relative 61 root water uptake depth (RWU depth) (Rothfuss & Javaux, 2017), below-ground water 62 competition and hydraulic lift (Hervé-Fernández et al., 2016; Meunier et al., 2017). Compared 63 64 to root excavation, the technique is far less destructive and labor-intensive. This makes it more flexible for studying multiple individuals across spatial and temporal scales (i.e. individual to 65 ecosystem, daily to seasonal) (Dawson et al. 2002). Besides, the study of stable isotope 66 67 composition of xylem water measures the real effects of RWU at different depths whereas excavation yields only root distribution and architecture. The advantages and wide applicability 68 of this method make it a popular technique that pushes the boundaries of ecohydrology (Dawson 69 et al., 2002; Yang et al., 2010; Rothfuss & Javaux, 2017; Lanning et al., 2020) 70

A variety of methods are used to infer average RWU depth from the isotope composition 71 72 of plant xylem water (δ_{xyl}), but all rely on a direct relationship between the isotopic compositions of plant xylem and soil water (Ehleringer & Dawson, 1992). All have two key assumptions. 73 The first is that the isotope composition of plant xylem water remains unchanged during 74 75 transport from root uptake to evaporative sites (e.g. leaves and non-lignified green branches). Hence, isotopic fractionation -i.e. processes that cause a shift in the relative abundances of the 76 77 water isotopologues, driven by their differences in molecular mass – do not occur during the transport from the uptake to the evaporative site (Wershaw et al., 1966; Zimmermann et al., 78 1967; White et al., 1985; Dawson & Ehleringer, 1991; Walker & Richardson, 1991; Dawson et 79 80 al., 2002; Zhao et al., 2016). Second, all methods assume that xylem water provides a wellmixed isotope composition of water from different soil layers: sampled xylem water 81 82 instantaneously reflects the distribution and water uptake of the roots independent of the timing 83 or height of sampling.

The first assumption is relatively well supported. Isotopic fractionation at root level does 84 85 not raise concerns for most RWU assessments using water isotopologues (Rothfuss & Javaux, 2017) except for kinetic fractionation that might occur during water transported across the root 86 membrane in extreme environments (Lin & Sternberg, 1993; Ellsworth and Williams, 2007; 87 Zhao et al., 2016). Similarly, isotopic fractionation of water within an individual plant, although 88 possible, is generally not considered a serious problem (Yakir, 1992; Dawson & Ehleringer, 89 90 1993; Cernusak et al., 2005; Mamonov et al., 2007; Zhao et al., 2016). This perception was recently contested by Barbeta et al (2020), advocating a more general nature of the occurrence 91 of isotopic offsets between xylem water and potential water sources. As the origin of these 92 93 offsets remains debated, future research should clarify its impact on the applicability of stable water isotopic compositions for RWU assessment. However, the second assumption of time 94 and space invariance of the isotope composition of xylem water has, to our knowledge, never 95 96 been assessed.

Various plant physiological processes, ranging from very simple to more complex 97 98 mechanisms, could influence within plant variation in δ_{xyl} at short time scales, i.e. sub-daily to sub-hourly. For instance, plant transpiration during the day is regulated by stomata according 99 100 to water supply and atmospheric demand, and follows well known diurnal patterns (Steppe & 101 Lemeur, 2004; Epila et al., 2017). This results in a changing water potential gradient between soil and leaves throughout the day (Fig 1a,b), which in turn affects the depth of the average 102 103 RWU (Goldstein et al., 1998; Doussan et al., 2006; Huang et al., 2017). Hence, shifts in a plant's capacity to take up water at different soil layers during the day can generate diurnal 104 105 variation in the mixture of isotope composition from water taken up from various depths (Fig 106 1c). Subsequently, this water mixture moves up along the xylem with the velocity of the sap flux density. As these sap flux densities depend on species and individual-specific hydraulic 107 108 traits and their responses to atmospheric water demand and soil moisture availability, complex dynamics in isotopic composition will emerge and propagate through the plant. The above
hypothesis, if true, would make the comparison of isotopic data among individuals, species,
and studies difficult.

112 In this study, we provide a critical assessment of the assumption of δ_{xyl} invariance along the length of woody plant stems and over short time periods. We first show that variation in δ_{xyl} 113 114 along the length of lignified plants exceeds the expected measurement error using three independent datasets including i) canopy trees and lianas sampled at different heights in French 115 Guiana; and ii) plant species from northwestern China (Zhao et al., 2014) and iii) European 116 Beech and Silver firs in south-west Germany (Magh et al., 2020). Second, we build a simple 117 118 mechanistic model that incorporates basic plant hydraulic transport processes. The model predicts that diurnal changes in water potential gradient between soil and roots result in shifting 119 sources of water absorption that differ in their isotope composition. 120

121

122 **2. Materials and Methods**

123 2.1. Part A: Empirical exploration

124 2.1.1. Field data French Guiana: variation in δ_{xyl} with plant height

Six canopy trees and six canopy lianas were sampled during two subsequent dry days (24-25 125 126 August 2017) at the Laussat Conservation Area in Northwestern French Guiana (05°28.604'N-053°34.250'W). Stem xylem tissue of individual plants was sampled at different heights (1.3, 127 5, 10, 15 and 20 m where possible) at the same radial position of the stem, between 9:00 and 128 15:00. Stem samples were stripped off bark and phloem tissues. Soil samples were collected at 129 130 different depths (0.05, 0.15, 0.30, 0.45, 0.60, 0.90, 1.20, and 1.80m) with a soil auger and in close vicinity to the sampled individuals. Samples were placed in glass collection vials, sealed 131 with a cap, and frozen awaiting cryogenic vacuum distillation (CVD; 4 h at 105°C). When the 132

weight loss of a sample resulting from the extraction process was below 98%, the sample was
excluded (after Araguás-Araguás *et al.*, 1998) (see Fig S1).

The isotope composition of the water in the samples was measured with a Wavelength-Scanned-Cavity Ring-Down Spectrometer (WS-CRDS, L2120-i, Picarro, California, USA) coupled with a vaporizing module (A0211 High Precision Vaporizer) and a micro combustion module to avoid organic contamination (Martin-Gomez et al., 2015; Evaristo et al., 2016). Postprocessing of raw δ -readings into calibrated δ -values (in ‰, V-SMOW) was performed using SICalib (version 2.16; Gröning, 2011). More details on the sampling site and sampling procedure can be found in supplementary methods A.

142

2.1.2. Field data China: temporal variation in δ_{xyl}

Plant δ_{xvl} was sampled at high temporal resolution in the Heihe River Basin (HRB), 143 northwestern China. Four distinct study locations differing in altitude, climatological 144 145 conditions, and ecosystem types were selected. At each location, the dominant tree, shrub, 146 and/or herb species were considered for sampling. In August 2009, Populus euphratica was sampled in the Qidaoqiao riparian forest (42°01'N-101°14'E) and Reaumuria soongorica in the 147 Gobi desert ecosystem (42°16'N-101°17'E; 906-930 m a.s.l). In June-September 2011 Picea 148 crassifolia, Potentilla fruticose, Polygonum viviparum and Stipa capillata were measured in 149 the Pailugou forest ecosystem (38°33'N-100°18'E; 2700-2900 m a.s.l). All species were 150 sampled every 2-hours over multiple days (3-4), except for P. crassifolia, which was measured 151 hourly. Stem samples were collected for trees and shrubs, while root samples were obtained for 152 153 the herb species. More details are available in Zhao et al. (2014).

Upon collection, all samples were placed in 8 mL collection bottles and frozen in the field stations before transportation to the laboratory for water extraction via CVD (Zhao *et al.*, 2011). Both $\delta^{18}O$ and δ^2H were assessed with an Euro EA3000 element analyzer (Eurovector,

Milan, Italy) coupled to an Isoprime isotope ratio mass spectrometer (Isoprime Ltd, UK) at the 157 Heihe Key Laboratory of Ecohydrology and River Basin Science, Cold and Arid Regions 158 Environmental and Engineering Research Institute. Internal laboratory references were used for 159 calibration, resulting in measurement precision of $\pm 0.2\%$ and $\pm 1.0\%$ for $\delta^{18}O$ and $\delta^{2}H$. 160 respectively. 161

162

2.1.3. Field data Germany: high temporal variation in δ_{xyl}

A δ_{xyl} monitoring campaign, studying mature Silver firs (*Abies alba*; n=3) and European 163 beeches (Fagus sylvatica; n=3), was conducted during progressing drought conditions (6-11 164 July 2017) at the "Freiamt" field site in south-west Germany. Isotopic composition of xylem 165 166 water was obtained from branch samples, which were collected every two hours between 7:00 and 21:00 at the same height and canopy orientation in the sun crown. Branches were stripped 167 of bark and phloem tissue. A Scholander Pressure chamber (Scholander, 1966), which allowed 168 concomitant registration of water potential of the sampled branches, was used to extract xylem 169 water directly in the field (Rennenberg *et al.*, 1996). Both $\delta^{18}O$ and $\delta^{2}H$ of branch samples were 170 determined with a wavelength scanned cavity ring-down spectrometer (Picarro L2130i, Santa 171 Clara, USA), followed by data correction using ChemCorrectTM (Picarro, 2010). For more 172 details see Magh et al. (2020). 173

174

175

2.1.4. Field data normalization

To aid visual comparisons, we use normalized δ_{xyl} values ($\beta^2 H_X$ and $\beta^{18} O_X$) which describe 176 the deviation of an individual sample from the average isotopic composition (a) along the height 177 *h* of the stem, or (b) over one day: 178

179
$$\beta^2 H_X = \delta^2 H_X - \frac{1}{N} \sum_{j=1}^N \delta^2 H_{X,j}$$
 Eq. (1)

With *N* the number of sampled heights or time steps during one day. 180

181

182 2.2. Part B: Model exploration

183 **2.2.1. Model derivation**

The expected δ_{xyl} at different stem heights within a tree during the course of the day can be derived from plant and physical properties such as root length density, total fine root surface area, water potential gradients, and the isotope composition of soil water (Fig. 2). We call this the SWIFT model (i.e. <u>Stable Water Isotopic Fluctuation within Trees</u>). To derive the SWIFT model, we first describe the establishment of δ_{xyl} entering the tree at the stem base via a multisource mixing model (Phillips & Gregg, 2003). We subsequently consider vertical water transport within the tree, which relates to the established sap flow pattern.

To ensure consistency and clarity in variable declarations we maintain the following notation in the subscripts of variables: uppercase roman to distinguish the medium through which water travels (X for xylem, R for root, S for soil) and lowercase for units of time and distance (*h* for stem height, *t* for time and *i* for soil layer index). A comprehensive list of variables, definitions, and units is given in Table 1. A schematic representation of the model is provided in Fig. 2a. Note that the model presented here focuses on hydrogen isotopes (i.e. ${}^{2}\text{H}/{}^{1}\text{H}$) but can easily be used to study oxygen isotopes (i.e. ${}^{18}\text{O}/{}^{16}\text{O}$).

i. Isotope composition of plant xylem water at stem base.

199 The δ^2 H composition of xylem water of an individual plant at stem base ($\delta^2 H_{X,0,t}$) (i.e. 200 height zero; h = 0m; Fig. 2a) at time t, can theoretically be derived by calculating a weighted 201 average of water taken up from different soil depths (Phillips & Gregg, 2003). The root zone is 202 divided into n discrete soil layers of equivalent thickness Δz . Here, we assume a constant δ^2 H 203 composition of soil water ($\delta^2 H_{S,i}$) over time in each soil layer, a reasonable assumption when isotopic measurements are conducted during rain-free periods, allowing the expression of $\delta^2 H_{X,0,t}$ as:

206
$$\delta^2 H_{X,0,t} = \sum_{i=1}^n f_{i,t} \cdot \delta^2 H_{S,i}$$
 Eq. (2)

where $f_{i,t}$ is the fraction of water taken up at the *i*th soil layer (Fig. 2a) defined as:

208
$$f_{i,t} = \frac{RWU_{i,t}}{\sum_{i=1}^{n} RWU_{i,t}}$$
 Eq. (3)

and $RWU_{i,t}$ is the net amount of water entering and leaving the roots at time *t* in the *i*th soil layer ($RWU_{i,t}$ is defined positive when entering the root). The current representation of the model does not account for water loss via the root system nor for mixing of the extracted water from different soil layers within the roots until the water enters the stem base. When tree capacitance is neglected, the sum of $RWU_{i,t}$ across the entire root zone is equal to the instantaneous sap flow at time *t*, SF_t :

215
$$SF_t = \sum_{i=1}^n RWU_{i,t} = \sum_{i=1}^n -k_i \cdot A_{R,i} \cdot \left[\Psi_{X,0,t} - (\Psi_{S,i,t} - z_i)\right]$$
Eq. (4)

Where k_i is the plant-specific total soil-to-root conductance over soil layer *i*, $\Psi_{X,0,t}$ is the water 216 potential (i.e. the hydraulic head) at the base of the plant stem and $\Psi_{S,i,t}$ is the soil matric 217 potential at the i^{th} soil layer (Fig. 2a). Total plant water potential is generally defined as the sum 218 of the solute, pressure, gravity, and matric potential. As long-distance water transport through 219 the xylem is studied, the osmotic potential and the kinetic energy head can be assumed 220 negligible (Früh & Kurth, 1999). The xylem pressure potential is represented as $\Psi_{X,0,t}$. And the 221 term z_i is the gravimetric water potential necessary to lift the water from depth z_i to the base of 222 the stem, assuming a hydrostatic gradient in the transporting roots. The model considers z_i to 223 be a positive value (zero at the surface), thus z_i is subtracted $\Psi_{S,i,t}$. $A_{R,i}$ is the absorptive root 224 area distribution over soil layer i (Fig. 2a). This parameter $A_{R,i}$ can be derived from plant 225

allometric relations with stem diameter (Čermák *et al.*, 2006), and subsequently distributed over
the different soil layers, considering the power-law distribution of Jackson *et al.* (1995).

The total soil-to-root conductance is calculated assuming the root and soil resistances areconnected in series (Fig. 2a):

230
$$k_i = \frac{k_R \cdot k_S}{k_R + k_S}$$
 Eq. (5)

where k_R is the effective root radial conductivity (assumed constant and uniform), and $k_S =$ 231 $K_{S,i}/\ell$ is the conductance associated with the radial water flow between soil and root surface. 232 $\ell = 0.53/\sqrt{\pi \cdot B_i}$ represents the effective radial pathway length of water flow between bulk soil 233 234 and root surface (De Jong van Lier et al., 2008; Vogel et al., 2013) with B_i giving the overall root length density distribution per unit of soil. $K_{S,i}$ is the soil hydraulic conductivity for each 235 soil depth. $K_{S,i}$ depends on soil water moisture and thus relates to the soil matric potential $\Psi_{S,i,t}$ 236 of the soil layer where the water is extracted. $K_{S,i}$ is computed using the Clapp & Hornberger 237 (1978) formulation: 238

239
$$K_{S,i} = K_{s,max} \cdot \left(\frac{\Psi_{sat}}{\Psi_{S,i,t}}\right)^{2+\frac{3}{b}}$$
Eq. (6)

where $K_{s,max}$ is the soil conductivity at saturation and *b* and Ψ_{sat} are empirical constants that depend on soil type (here considered as constant over all soil layers).

242 Subsequently, $f_{i,t}$ can be restructured as:

243
$$f_{i,t} = \frac{k_i \cdot A_{R,i} \cdot \Delta \Psi_{i,t}}{\sum_{i=1}^n k_i \cdot A_{R,i} \cdot \Delta \Psi_{i,t}}$$
Eq. (7)

where the root water to soil matric potential gradient is represented as $\Delta \Psi_{i,t} = \Psi_{X,0,t} - 245$ ($\Psi_{S,i,t} - z_i$).

246 Combining Eq. (2) and Eq. (7) then allows the derivation of $\delta^2 H_{X,0,t}$ as follows:

247
$$\delta^2 H_{X,0,t} = \sum_{i=1}^n \left(\frac{k_i \cdot A_{R,i} \cdot \Delta \Psi_{i,t}}{\sum_{j=1}^n k_j \cdot A_{R,j} \cdot \Delta \Psi_{j,t}} \cdot \delta^2 H_{S,i} \right)$$
Eq. (8)

This equation requires estimates of $\Delta \Psi_{i,t}$, which is preferably measured instantaneously in the field (i.e. via stem and soil psychrometers for $\Psi_{X,0,t}$ and $\Psi_{S,i,t}$, respectively). However, as measurements of $\Psi_{X,0,t}$ are not always available, estimated $\widehat{\Psi}_{X,0,t}$ can be derived from sap flow by re-organizing Eq. (4) into:

252
$$\widehat{\Psi}_{X,0,t} = \frac{\sum_{i=1}^{n} [k_i \cdot A_{R,i} \cdot (\Psi_{S,i,t} - z_i)] - SF_t}{\sum_{i=1}^{n} k_i \cdot A_{R,i}}$$
Eq. (9)

which then allows replacement of $\Psi_{X,0,t}$ with $\widehat{\Psi}_{X,0,t}$ in Eq. (8).

254 *ii. Height-dependent isotope composition of plant xylem water*

In our model, the water isotopologues simply move upwards from the stem base with the sap flow velocity. Assuming negligible diffusion, the δ^2 H isotope composition in xylem water at height *h* and time t ($\delta^2 H_{X,h,t}$) is then the isotope composition of xylem water at stem base at time $t - \tau$.

259
$$\delta^2 H_{X,h,t} = \delta^2 H_{X,0,t-\tau}$$
 Eq. (10)

where τ is the lag before $\delta^2 H_{X,0,t}$ reaches stem height *h* (Fig. 2a), which depends on the true sap flux density in the xylem (*SF_V*). True sap flux density indicates the real speed of vertical water displacement within a plant, derived by dividing *SF_t* over the lumen area of the plant (*A_x*; Fig. 2a) i.e. the total cross-sectional area of the vessels. τ can be obtained from the mass conservation equality:

265
$$h \cdot A_x = \int_{t-\tau}^t SF_t \, dt$$
 Eq. (11)

Note that since most scientific studies express sap flux density as the sap flow over the total sapwood area (SF_S), rather than over the total vessel lumen area (SF_V), for consistency, we will present the model outputs as functions of SF_S .

Note that SF_V presents the sap flux density normalized over the total vessel lumen area, and as vessel lumen area correlates with plant diameter at breast height (DBH), there is no need for explicit consideration of DBH in the model for comparison among field measurements.

272 Model analyses show that the impact of the mutual diffusion coefficient of heavy water in normal water on the transport flux is negligible for plants with high sap flux densities, which is 273 the case for the theoretical examples below. However, in plants with low sap flow densities, 274 consideration of diffusion might be required. Diffusion might also be generated by water 275 passing through a complex network of vessels, in analogy to diffusion in a porous media (see 276 277 supplementary methods B for some analytical results, simulated cases of and a detailed discussion on the role of diffusion). SWIFT was implemented in R version 3.4.0 (R Core Team, 278 279 2017), and is publicly available (see GitHub repository HannesDeDeurwaerder/SWIFT).

280

iii. Model parameterization and analyses

The model's primary purpose is to gain insight into 1) which processes are capable of 281 282 generating δ_{xyl} variance, and 2) how sensitive the variance in δ_{xyl} along the stem is in response to the modeled plant hydraulic processes. To this end, we adopted the basic plant parameters 283 284 from Huang et al. (2017) who studied soil-plant hydrodynamics of loblolly pine (Pinus taeda L.) during a 30-day extended dry down period (Table S1). We started with synthetic basal sap 285 flow patterns and volumes extracted from the model runs of Huang et al. (2017) for a typical 286 287 drought day (day 11). Both basal sap flow patterns and volumes are repeated over the studied period, as no variation between days is assumed. Sap flow follows the plant's water demand 288 which is the result of daily cycles of transpiration driven by photosynthetic active solar radiation 289

(PAR), vapor pressure deficit (VPD), and optimal stomatal response (Epila *et al.*, 2017). Secondly, both the soil matric potential ($\Psi_{S,i,t}$) and δ^2 H composition of soil water ($\delta^2 H_{S,i}$) profiles with soil depth were adopted from Meißner *et al.* (2012) (Fig. S8, see Table S1 for equations) as driver data of the model, and were assumed to stay constant over time. Since measurements of Meißner *et al.* (2012) were conducted at a silt loam plot in the temperate climate of central Germany, corresponding soil parameters were selected from Clapp & Hornberger (1978). Subsequently, the following model simulations were executed (see Fig. 2a):



303 2) Analysis A2: impact of temporal SF_t variation at different tree heights. 304 Temporal patterns in $\delta^2 H_X$ within a tree at various sampling heights (5, 10, and 15 305 m).

3) Analysis A3: impact of temporal SFt variation on the isotope composition of 307 xylem water and the timing of sampling. Representation of the profile of $\delta^2 H_X$ 308 along the full height of a tree, measured at different sampling times (9:00 and 11:00), 309 with the standard parameterization given in Table S1.

310 4) Analysis B: variation in $\delta^2 H_X$ due to differences in absolute daily average sap 311 flow speed. Diurnal patterns in the $\delta^2 H_X$ in trees that differ solely in daily averaged 312 SF_V , which are set to 0.64, 0.42, and 0.19 m h⁻¹, respectively corresponding to SF_S 313 values of 0.09, 0.06 and 0.03 m h⁻¹.

All parameters of the four analyses are given in Table S1. The model simulations for each analysis were compared to a null model.

316

The null model adopts the standard assumption of zero variation in δ_{Xyl} along the length of the plant body, but allows for potential measurement errors related to the extraction protocol. In reality, empirically obtained data will have some variation as observed values (*Obs*. δ_{Xyl}) are the sum of the true δ_{Xyl} -values and their extraction error (*error*_{extraction}).

322
$$Obs. \,\delta_{Xyl} = True \,\delta_{Xyl} + error_{extraction}$$
 (eq. 12)

Hence, the null model attributes any variance in isotopic composition to extraction errors, with 323 maximum extraction error ranges of 3‰ for $\delta^2 H$ samples (0.3‰ for $\delta^{18} O$) expected for water 324 extraction recovery rates higher than 98% (e.g. Orlowski et al., 2013). These extraction errors 325 are negatively skewed following the Rayleigh distillation model, which predicts that extraction 326 error for incomplete water recovery will be negative, and therefore Obs. $\delta_{xyl} \leq True \ \delta_{xyl}$. The 327 328 null model represents this error_{extraction} by a negative skew-normal distribution (with location parameter $\xi = 0$ %, the scale $\omega = 3$ % for $\delta^2 H$ or 0.3% for $\delta^{18} O$, and shape $\alpha = -\infty$) (Azzalini, 329 2013). 330

331

332 2.2.2. Estimation of average RWU depth

Average RWU depths (i.e. the weighted mean of the depths of RWU, with the uptake fractions at the different depths as weights) were derived from the simulated $\delta^2 H_X$ values by use of both the direct inference method and the end-member mixing analysis method. Together, these techniques represent 96% of the applied methods in the literature (Rothfuss & Javaux, 2017), and the reader is referred to Rothfuss & Javaux (2017) for a complete discussion of both techniques. In line with the general approach assessing RWU with stable water isotopes, the average RWU depth is obtained by relating the $\delta^2 H_X$ with the $\delta^2 H_{S,i}$ depth profile. We compared average RWU depth estimates obtained from simulated $\delta^2 H_X$, as described in the analyses above, with the true average RWU depth. Here, the true average RWU depth was defined as the depth corresponding to the daily weighted average $\delta^2 H_X$, calculated as the weighted sum of $\delta^2 H_{X,i,t}$ and the relative fraction of water taken up at each depth.

344

345 **2.2.3.** Transport dynamics and sensitivity analysis

We perform a basic model validation of our model assumption that the propagation of an 346 347 isotopic signature is driven by diurnal sap flow dynamics and diffusion alone. In essence, the model assumes that once water with a given isotopic signature enters the stem, it moves 348 upwards with the speed of sap flow, and changes only due to the effect of diffusion. The effects 349 350 of capacitance on $\delta^2 H_X$ dynamics by the release of storage water in the xylem flow can be ignored. To validate this assumption we compare model predictions against observed $\delta^2 H_X$ 351 dynamics monitored within a pine tree (*Pinus pinea L*.) following ${}^{2}H$ -enrichment in a controlled 352 greenhouse experiment, as detailed in Marshall *et al.* (2020). $\delta^2 H_X$ was measured at two heights 353 (0.15 and 0.65m) using a novel in situ technique, the borehole equilibration method. Performed 354 355 model simulations consider the absolute ranges of sap flux densities during the entire monitoring campaign, with the account of tree tapering effect on sap flux densities over the 356 studied stem length (supplementary method C). Validation of diurnal variation in $\delta^2 H_X$ requires 357 high temporal resolution monitoring of $\delta^2 H_X$ dynamics in plants stems, with simultaneous high 358 temporal resolution monitoring and characterization of sap flow, soil water potential, and 359 isotopic composition. Such data does not yet exist to our best of knowledge. 360

In addition, we performed two sensitivity analyses to assess the relative importance of each parameter in generating variance in $\delta^2 H_X$ along the length of a plant. In both sensitivity analyses, we varied model parameters one-at-a-time to assess the local sensitivity of the model outputs
for soil type, sap flux density, root properties, and sampling strategies. The sensitivity analysis
provides insight into possibilities for improving the design of field protocols, by revealing
potential key measurements and caveats in field setups. More details on the performed
sensitivity analysis and validation of transport dynamics are available in supplementary method
C.

369

370 3. Results

371 **3.1. Part A: Empirical exploration**

The null model assumes constant isotopic composition of root water uptake, with only limited 372 variance in isotopic composition introduced by extraction errors ($\beta^2 H_X < 3\%$; $\delta^{18} O_X < 0.3\%$). 373 However, pronounced $\delta^2 H_X$ variance within individual plants, exceeding the null model ranges, 374 are observed in all three independent datasets. The normalized $\delta^2 H$ composition in xylem water 375 $(\beta^2 H_x)$ along the stem length of lianas and trees in French Guiana exceeded the null model by 376 a factor of 3.2 and 4.3, respectively (Fig. 3c, Fig. S2). Differences up to 13.1‰ and 18.3‰ in 377 $\delta^2 H$ and 1.3% and 2.2% in $\delta^{18} O$ were observed in individuals of trees and lianas, respectively 378 (Supplementary method A, table A,). 379

Similarly, diurnal intra-individual $\delta^2 H_X$ variances were found for all considered plant growth forms, i.e. trees, shrubs, and herbs, monitored in China (Fig. 4b-d, Fig S3). Observed daily maximum differences in $\delta^2 H_X$ were 18.0‰, 21.0‰, and 25.2‰ for trees, shrubs and herbs respectively (2.8‰, 6.8‰, and 6.5‰ in $\delta^{18}O_X$ in Fig. S4). The expected null model variance was exceeded for each species during its measurement period.

Finally, pronounced intra-individual $\delta^2 H_X$ variance was also observed for all monitored firs and beeches in Germany (Fig 4e, Fig. S5). Here, daily maxima differences in $\delta^2 H_X$ were 8.2 387 ‰ and 14.2 ‰ for *Abies alba* and *Fagus sylvatica* respectively (2.0‰ and 4.2 ‰ in $\delta^{18}O_X$ in 388 Fig. S6).

389

390 3.2. Part B: Model exploration

391 Isotope composition of xylem water at stem base and basic model behavior

At the stem base, simulated $\delta^2 H_{X,0,t}$ displays a diurnal fluctuation (Fig. 2b, Fig S7) that 392 corresponds to the daily sap flow pattern (Fig. S7). This pattern is caused by shifting diurnal 393 394 average RWU depth. Early in the morning, when transpiration is low, most of the RWU occurs in deeper layers, where soil matric potential is less negative and where soil water is more 395 depleted in ${}^{2}H$ compared with the soil layers above (Fig. S8a-b). As transpiration increases 396 397 during the day, a significant proportion of RWU can now be extracted from the drier, shallower layers, where the soil water is enriched in ²H, i.e., having a higher $\delta^2 H$. In the afternoon, as 398 399 transpiration declines, the isotopic composition reflects again the composition of the more depleted soil water in the deeper soil layers, and it remains constant throughout the night 400 because apart from diffusion SWIFT does not consider mixing of the internal stem water. The 401 402 mixing effects of diffusion are only noticeable at low sap flow speeds (Fig. 3b).

Highest $\delta^2 H_X$ -values (approx.-59‰) are found in alignment with the diurnal minimum of $\Psi_{X,0,t}$ (approx.-0.85 MPa, Fig. S7). At this moment, the difference between $\Psi_{X,0,t}$ and $\Psi_{S,i,t}$ is maximal, enabling water extraction from the upper and driest soil layers. Most root biomass is located near the surface (cf. Jackson *et al.*, 1995; Fig. S8c) and uptake in these layers will result in relatively high contributions to the total RWU.

In contrast, differences between $\Psi_{X,0,t}$ and $\Psi_{S,i,t}$ are smaller in the early morning and late afternoon causing root water uptake in the upper soil layers to halt. The decreasing in absolute range of $\Delta \Psi_{i,t}$ translates into higher proportions of RWU originating from deeper, more 411 depleted soil layers. This causes $\delta^2 H_X$ to drop to a baseline of approx. -67‰. This afternoon 412 depletion of $\delta^2 H_X$ will henceforth be referred to as the $\delta^2 H_X$ -baseline drop.

413 Isotope composition of xylem water at different times, heights and SF_V

Temporal fluctuation in $\delta^2 H_X$ within a tree at 1.3 m (i.e. the standard sampling height; 414 Analysis A1; Fig. 2a) and other potential sampling heights (e.g. branch collection; Analysis A2; 415 Fig. 2a), are provided in Fig. 2b and 3a. Both analyses show that fluctuations in $\delta^2 H_X$ depend 416 417 on the height of measurement and the corresponding time needed to move the water along the xylem conduits. Note that it depends on the selected temporal resolution whether the $\delta^2 H_{X^-}$ 418 baseline drop at a given height equals the (stem base) minimum (here 1 min, see Fig. S12). In 419 addition to sampling height, analysis A3 depicts the importance of sampling time (Fig. 3a). 420 Outputs of analysis B predict that the occurrence and width of the $\delta^2 H_X$ -baseline drop are a 421 function of the sap flow velocity SF_V (Fig. 3b). To aid model interpretation and comparability 422 with field data, we (i) provide an illustrative example of normalized $\delta^2 H$ isotope composition 423 424 of model-simulated xylem water ($\beta^2 H_X$) with consideration of extraction error (Fig. 4a), and (ii) display the relation between $\delta^2 H_X$ variance and cumulative sap flow volumes, for which the 425 piston flow dynamics in SWIFT originate from lateral translation of the $\delta^2 H_X$ fluctuation at 426 $\delta^2 H_{X,0,t}$ (Fig. 2b). 427

428

429 **3.2.1.** Potential biases in average RWU depth estimation

Both timing of measurement (Fig. 5a) and SF_V (Fig. 5b) influence average RWU depth estimates derived via the direct inference and end-member mixing analysis method (Fig. S9). Collection of tree samples at 1.30 m can result in erroneous estimation, deviating up to 104 % from the average daily RWU depth (Fig. 5). Plotting the relative error in average RWU depth as a function of time and SF_V (Fig. 5) shows that it is possible to time $\delta^2 H_X$ measurements in a fashion that captures unbiased estimates of the average RWU depth. Xylem water sampling should be timed to capture the $\delta^2 H_X$ that corresponds to water extracted at peak RWU, and the expected sampling time can be derived by considering the time needed for the water to reach the point of measurement (i.e. at 1.30 m in Fig. 5).

439

440

3.2.2. Transport dynamics and sensitivity analysis

441 Our sensitivity analyses show that the expected absolute error in average RWU depth assessment is directly related to both 1) maximum variance in and 2) the probability of sampling 442 non-representative $\delta^2 H_X$ values. The maximum variance depends on the height, while the 443 probability of sampling non-representative areas depends on the width of the " $\delta^2 H_X$ -baseline 444 drop" respectively (defined above). Hence, variation in $\delta^2 H_X$ is determined by several factors, 445 including the sampling strategy (timing and height of sampling), sap flow velocity (Fig. S10), 446 and below-ground biophysical parameter (Fig. S11). We summarized the most important 447 variables as predicted by SWIFT, which should be considered in subsequent RWU studies. 448

Plants on loamy soils show larger diurnal $\delta^2 H_X$ variances in comparison with those on clay soils for a similar prevailing isotope gradient across the soil profile. Larger variances correspond to potentially larger errors, but the steeper slope of the $\delta^2 H_X$ curve results in a thinner $\delta^2 H_X$ -baseline drop. Hence, loamy soil can result in potentially the large error but this is mediated by a lower probability of sampling non-representative $\delta^2 H_X$ values during the day.

The volume of water taken up by the plant (SF_t ; Fig. S11b) affects xylem water potential of the plant at stem base ($\hat{\Psi}_{X,0,t}$). Higher SF_t requires more negative $\hat{\Psi}_{X,0,t}$, enabling the plant to access the enriched soil water of more shallow soil layers. Therefore, an increase in SF_t results in the increase of maximum $\delta^2 H_X$ values (increased maximum error) but also results in 458 a smaller width of the baseline drop (Fig. 2-3). Lower SF_t result in smaller errors, but a larger 459 probability of sampling a non-representative area (Fig. 3b).

Root properties, i.e. root membrane permeability (Fig. S11c) strongly influence both the total range of $\delta^2 H_X$ variance and the width of the $\delta^2 H_X$ -baseline drops. Decreasing root membrane permeability, but with no alterations to the sap flow volumes, results in thinner $\delta^2 H_X$ baseline drops, but higher maximum $\delta^2 H_X$ variance.

In addition, the true sap flow velocity (SF_t per unit of lumen area) will determine the relative importance of diffusion on the $\delta^2 H_X$ dynamics. Diffusion can cause a smoothing of the peak and a consequent increase in the width of the $\delta^2 H_X$ -baseline drop. However, as diffusion is proportional to the time that water isotopologues remain in the xylem, its absolute impact on $\delta^2 H_X$ is negligible in plants with a high true sap flow velocity. In contrast, the impact of diffusion on $\delta^2 H_X$ dynamics is substantial for plants with very low velocities, where water takes many days to pass from roots to leaves (see supplementary method B).

The role of diffusion was investigated using a stepwise ²H enrichment experiment in Marshall *et al.* 471 (2020) (Fig 6). Analytical solutions of an advection-diffusion equation show that at 0.15 cm, a relatively 472 473 small diffusivity was required to reproduce the initial increase of xylem isotope signature, with values comparable to these reported for diffusivity of heavy water (Meng et al., 2018). However, at 65 cm, the 474 value of diffusivity required to match the observed initial increase was much higher, suggesting other 475 476 processes besides molecular diffusivity might contribute to the isotope transport (e.g. variable flow velocities within vessels and among vessels of the xylem network). Note also that the analytical solutions 477 were not able to recover the second part of the curve where the isotope reaches the asymptotic enriched 478 479 value, which is more gradual in the observations (Fig. 6). This also suggests a complex transport of $\delta^2 H_X$ in the xylem. 480

481

482 **4. Discussion**

483 **4.1.** Dynamic diurnal isotope compositions of xylem water along plant stems

Empirical field data show pronounced δ_{xyl} variance along the stem length (Fig. 3) and over a 484 sub-daily time period (Fig. 4). Our model explorations suggest that basic plant hydraulic 485 486 functioning can result in shifting mixtures of $\delta^2 H_X$ entering the plant (Fig. 2-3). Daily $\Psi_{X,0,t}$ fluctuations interact with the $\Psi_{S,i,t}$ profile causing different parts of the root distribution to be 487 active during the day. The fluctuations in $\delta^2 H_X$ at the stem base propagate along the xylem with 488 a velocity proportional to the sap flow and this produces variability in sampled $\delta^2 H_X$ that is 489 much larger than the expected measuring error. Consequently, rather than being static, $\delta^2 H_X$ 490 values along the height of a plant should be envisioned as a dynamic diurnal process. 491

Importantly, we show that high variance in $\delta^2 H_X$ can result in an incorrect assessment of differences in average RWU depths between plants. Differences do not necessarily result from variability in average RWU depth, but may result from monitoring plants at different heights (Fig. 2-3), at different times (Fig. 3a) or by comparing individuals which have different SF_V (Fig. 3b) and xylem anatomical properties. For example, depending on SF_V and lumen area, the isotopic signal can take hours or days to travel from roots to leaves - as was also observed experimentally (Steppe *et al.*, 2010; Magh *et al.*, 2020; Marshall *et al.*, 2020).

Low SF_V allows multiple $\delta^2 H_X$ -baseline drops over the length of a single tree. Sampled 499 $\delta^2 H_X$ can reflect soil isotopic composition of the past several days. Our sensitivity analysis 500 reveals that various soil and plant characteristics have an important role in determining both the 501 daily maximum $\delta^2 H_X$ variance as well as the width of the $\delta^2 H_X$ -baseline drop. These two 502 characteristics directly impact (i) the expected maximum bias in estimates of average RWU 503 depth and (ii) the chance of measuring $\delta^2 H_X$ values that do not represent a mixture of all rooting 504 505 layers during peak RWU (i.e. measurements in the baseline drop). Ultimately, these factors will 506 challenge the use of stable water isotope to study the terrestrial water fluxes as recently reviewed by Penna *et al.* (2018). We additionally advocate that future research should explore the minimum set of (bio)physiological drivers and processes that require quantification to correctly interpret $\delta^2 H_X$ along the hydraulic pathway length of a plant.

510

511 4.2. General applicability of model and results

A necessary condition for diurnal shifts in RWU is the existence of water potential differences, e.g. more negative water potentials in the upper layers where trees usually have higher root density, which can cause a disproportional partitioning of diurnal RWU between deep and shallow roots over a diurnal course. The pronounced variance in δ_{xyl} identified in this study is intrinsic to the isotopic tracing technique for RWU assessment, as this method relies on the existence of a soil water isotopic profile. Such profiles are the result of soil evaporation, a process inextricably coupled to water potential heterogeneity, and hence to variance in $\delta^2 H_x$.

Plant transpiration results from a complex interaction between atmospheric demands 519 520 (i.e. driven by VPD and radiation) and stomatal conductance that depends on tolerance for drought stress and soil moisture content. We may expect diurnal fluctuation in radiation and 521 VPD, and hence in water transport and depth of water absorption, as modeled here to be a 522 523 general phenomenon in nature. Moreover, much greater fluctuations in VPD and radiation should be expected under natural conditions than the diurnal cycle described here, and these 524 will increase the variability of transpiration fluxes, leading to even more complex dynamics of 525 $\Psi_{X,0,t}$. Specifically, the model simulations suggest that intra-individual variability of $\delta^2 H_X$ will 526 reflect the past changes of RWU dynamics, including RWU dynamics driven by changes of 527 environmental demands. For instance, a changing degree in cloud cover that impacts sap flow 528 dynamics can influence $\Psi_{X,0,t}$ rather abruptly (e.g. in lianas; Chen *et al.*, 2015) and lead to 529

instantaneous changes in the $\delta^2 H$ composition of the water mixture taken up at the root level. This can complicate the comparison of different plants sampled at different heights and times.

Note that, based on our model, we expect that soil isotopic enrichment experiments will generate extensive $\delta^2 H_X$ variation along the length of trees whenever diurnal RWU fluctuations cause water extraction to shift between labeled and unlabeled soil layers. Furthermore, when enrichment experiments target trees with different hydraulic properties (such as SF_V) care should be taken to determine when and where to sample these trees to assess a potential ²H enrichment in xylem water (Fig. 6, but see Magh *et al.*, 2020;).

538

539 **4.3.** Alternative causes of δ_{xyl} fluctuation

The SWIFT model provides a simple traceable and mechanistic explanation, using diurnal variations in *SF_t* and RWU, for the pronounced variance and dynamic nature of the δ_{xyl} fluctuations with plant height and time of field samples (e.g. Fig. 3-4) and elsewhere (Cooper *et al.* 1991). However, several other processes might contribute to generate variability, while others can act to damp this variability. In the next section, we will discuss alternative causes, complementary and antagonistic, that contribute to the observed intra-individual δ_{xyl} variances.

546 *i.* Fractionation at root or stem level

547 An increasing body of observations shows the occurrence of isotopic fractionation at the 548 root level governed by root membrane transport (Lin & Sternberg, 1993; Vargas *et al.*, 2017) 549 or by unknown reasons (Zhao *et al.*, 2016). Brinkmann *et al.* (2019) hypothesize that root level 550 fractionation causes disparity when average RWU depth calculations based on $\delta^2 H_X$ 551 measurements are compared with those of $\delta^{18}O_X$. However, it is difficult to imagine a scenario 552 where root fractionation by itself can explain the observed diurnal fluctuations in δ_{xyl} with 553 height and time. Even if root fractionation significantly contributed to variation in δ_{xyh} we

would still need to take into account diurnal fluctuation in RWU to explain the observed 554 555 patterns. Isotopic enrichment of xylem water along the stem length was observed in association with stem transpiration (Dawson & Ehleringer, 1993; Barnard et al., 2006). However, this 556 557 phenomenon is generally restricted to non-suberized plants and in woody branches in close vicinity to the evaporative surface of the plant (Dawson & Ehleringer, 1993). Isotopic 558 559 enrichment can, therefore, not explain the variances in δ_{xvl} observed in our empirical data, which 560 were sampled within the main stem (data French Guiana) or from lignified branch segment distant from evaporative surfaces (data China and Germany). 561

562 ii. Temporal and spatial soil dynamics

Soil water content can be extremely heterogeneous in the three spatial dimensions as well 563 as in time with complex dynamics of soil water movement. For example, hydraulic lift vertically 564 redistributes soil water through the roots (Dawson & Ehleringer, 1993), which may change the 565 water isotopic composition of the water mixture in the rhizosphere that is taken up by roots. 566 567 Specifically, hydraulic lift redistributes and mixes the isotopic signal of depleted soil water in deeper layers with the enriched soil water signal in the rhizosphere in shallower layers. This 568 should lead to lower variation in the soil water accessible to the plant, and hence less variation 569 along plant height. Horizontal heterogeneity of water content may also affect δ_{xyl} variance as 570 571 soil water potentials and the isotope composition of soil water are interlinked. Under these conditions, it is important to understand how much the radial distribution of roots will naturally 572 average out soil heterogeneity. However, note that heterogeneity in the soil does not 573 automatically translate in variability in the xylem. Differential root water uptake driven by the 574 diurnal fluctuation in water potential gradients in the soil-plant interface is still required to 575 576 generate variability in the xylem isotopic signature.

577 *iii.* Storage tissue and phloem enrichment

Storage tissues release water and sugars into the xylem conduits on a daily basis to support 578 579 water transpiration demand (Goldstein et al., 1998; Morris et al., 2016; Secchi et al., 2017) or to repair embolism (Salleo et al., 2009; Secchi et al., 2017). Both water and sugars are 580 581 transported in and out of storage tissue via symplastic pathways using plasmodesmata and aquaporins (Knipfer et al., 2016; Secchi et al., 2017), a pathway that has been linked to isotopic 582 fractionation in roots (Ellsworth & Williams, 2007). Moreover, phloem transports 583 584 photosynthetic assimilates that were produced in the leaves and are therefore potentially affected by transpiration fractionation (Gessler et al., 2013). Hence, these metabolic molecules 585 might show higher values of $\delta^2 H$ and $\delta^{18} O$ compared to RWU. Water release from storage or 586 587 phloem tissue might locally alter δ_{xyl} (White et al., 1985). Additionally, the time between water storage and release could bridge multiple days, and corresponding isotopic composition may 588 589 reflect soil conditions antecedent a dry spell when the isotopic signature of soil was less 590 vertically stratified. It is evident that such dynamics are complex, and it is hard to predict how storage tissue and phloem enrichment affect observed δ_{xyl} patterns. Importantly, xylem isotopic 591 592 sampling cannot differentiate between water resulting from RWU or storage, and therefore we cannot exclude the possibility that tissue and phloem enrichment play a role. At a minimum this 593 adds further uncertainty to RWU assessment. Water derived from storage tissues might also be 594 595 present in larger fraction in higher parts of the plants, especially branches, as contamination accumulates as water moves upwards. 596

597 Unfortunately, to our best knowledge, empirical data on the isotopic composition of storage 598 tissue and its spatiotemporal dynamics are absent in the literature. Future research should target 599 impact assessment of storage water on intra-individual δ_{xyl} , allowing proper implementation in 600 the model.

601 *Diffusion processes*

Diffusion is a process of net movement of molecules from a region of higher concentration 602 603 to a region of lower concentration. Consequently, diffusion dampens δ_{xyl} variability, both in time and space within water xylem. Although the mutual diffusion coefficient of heavy water 604 605 in normal water is very small and flow within vessels is laminar, other processes might still contribute to generating diffusion along the xylem. For example, as the water moves through 606 607 the complex network of vessels, differences in velocities between vessels of different sizes 608 cause some particles to move faster or slower than average flow. According to the Hagen-Poiseuille law, the flow in each vessel is proportional to the fourth power of the vessel radius 609 and the mean velocity to the square of the radius, thus potentially generating large differences 610 611 in particle velocities depending on the vessel size distribution and other anatomical properties. Even within a single vessel, velocity is parabolic with a maximum flow velocity in the center 612 613 and zero at the vessel walls.

614 4.4. A way forward

The observed large δ_{xyl} variance and temporal dynamics in the empirical data suggest the need for a critical assessment of the stable isotope tracer technique for RWU studies. However, it also creates new opportunities. Since δ_{xyl} variance and temporal dynamics herein likely relate to various plant physiological processes, monitoring of variation in δ_{xyl} can allow a more integrated understanding of plant water transport and hydraulic properties.

620 Combining a plant hydraulic model with *in situ* SF_V , $\delta^2 H_{S,i}$ and $\Psi_{S,i,t}$ can also help 621 improve the robustness of RWU assessment and interpretation. Measurements of $\delta^2 H_{S,i}$ and 622 $\Psi_{S,i,t}$ at multiple depths, i.e. by installing soil water suction cups working at a vacuum (i.e. 623 Rennenberg *et al.*, 1996) and multiple soil matric potential sensors that measure at a high 624 temporal frequency, should be especially valuable since the SWIFT model showed high 625 sensitivity to alterations of this variable and these can be directly supplied as model inputs. At the same time, the availability of SF_t measurements allows for identifying the moment when water uptake from all root layers is at its maximum, which can be used to determine the optimal timing of sampling at a given height providing a more robust estimation of average RWU depth and uptake.

Alongside the modeling approach presented here, new ways to study $\delta^2 H_X$ at a high 630 temporal scale are strongly encouraged. For example, the pioneering work of Volkmann et al. 631 632 (2016) to the development of an *in situ* continuous isotope measurement technique that offers the possibility for monitoring δ_{xyl} at a sub-hourly resolution. This technique holds strong 633 promise for further elucidating the natural $\delta^2 H_X$ variances found within plants and the 634 635 physiology processes from which these variances result. Such high temporal resolution of isotope measurements, coupled with in situ monitoring of various environmental and plant 636 biophysical metrics, are needed for both model improvement and further validation. Moreover, 637 these seem inevitable to eventually differentiate all causal mechanisms of the observed intra-638 individual δ_{xyl} variance. 639

640

641 **5.** Conclusions

A collection of empirical field data show pronounced variance and high temporal fluctuations in δ_{xyl} . Moreover, these high temporal fluctuations in δ_{xyl} emanate from basic plant hydraulic functioning as model explorations show. We expect the observed δ_{xyl} variance and sub-daily fluctuations result, for a large part, from the mechanisms considered here, though various other physiological processes could also affect δ_{xyl} .

647 Our theoretical explorations warn that variability in the isotope composition of plant 648 xylem water can result in erroneous average RWU depth estimation and will complicate the 649 interpretation and comparison of data: samples taken at different heights, times or plants

differing in SF_V may incorrectly show differences in average RWU depth. We further predict 650 that various soil parameters and plant hydraulic parameters affect (i) the absolute size of the 651 error and (ii) the probability of measuring δ_{xyl} values that do not represent the well-mixed values 652 during the plants' peak RWU. Hydraulic models, such as SWIFT, could help to design more 653 robust sampling regimes that enable improved comparisons between studied plants. We 654 advocate the addition of SF_t , which indirectly reflects diurnal RWU fluctuations, and $\Psi_{S,i,t}$ 655 monitoring as a minimum in future RWU assessments since these parameters were predicted to 656 be the predominant factors introducing variance in δ_{xyl} from the SWIFT model exploration. 657 658 However, soil texture and root permeability are also key measurements especially when comparing across species and sites. 659

660 Our findings do not exclude additional factors that impact the observed intra-individual 661 δ_{xyl} variance and temporal fluctuation as many processes can act simultaneously and are not 662 mutually exclusive. Therefore, we strongly emphasize the need for more research. Directed 663 studies that validate and quantify the relative impact of other plant physiological processes 664 towards variance in δ_{xyl} are a prerequisite before improved modeling tools can be developed.

665

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679

680 Author contribution

H.V., M.D.V, and P.B. supervised and provided guidance throughout all aspects of the research.
H.D.D., M.D.V, and H.V. designed the study. H.D.D., K.K., R.K.M., J.D.M., L.W., and L.Z.
collected and processed the empirical datasets. The model was developed and coded by H.D.D,
M.D.V, M.D., and F.M. All authors contributed to the interpretation of the results and the text
of the manuscript.

686

687 Data availability

Both the French Guiana data and the SWIFT model are available on the GitHub repository
HannesDeDeurwaerder/SWIFT. For the availability of the data collected in China and
Germany, readers are referred to Zhao *et al.* (2014) and Magh *et al.* (2020), respectively.

691

692 Competing interests

693 The authors declare that they have no conflict of interest.

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Tables

Table 1. Nomenclature.

Symbol	Description	Unit
$A_{R,i}$	The absorptive root area distribution over soil layer i	m^2
A_{Rtot}	The plants' total active fine root surface area	m^2
A _{SAPWOOD}	Sapwood area	m²
A_x	Total lumen area	m²
b	Shape parameter for the soil hydraulic properties (Clapp & Hornberger, 1978)	dimensionless
$\mathbf{B}_{\mathbf{i}}$	The overall root length density distribution per unit of soil, not necessarily limited to the focal plant.	m m ⁻³
$\delta^2 H_{X,0,t}$	Isotope composition of plant xylem water at stem base at time t	in ‰ VSMOW
$\delta^2 H_{X,h,t}$	Isotope composition of plant xylem water at height h and time t	in ‰ VSMOW
$\delta^2 H_{S,i}$	Isotope composition of soil water of the i^{th} soil layer (constant over time)	in ‰ VSMOW
δ_{sample}	Isotope composition of water within a sample	in ‰ VSMOW
$\Delta \widehat{\Psi}_{i,t}$	Estimated water potential gradient between stem base and the i^{th} soil layer at time t derived from Eq. (8)	m
$\Delta \Psi_{i,t}$	Soil matric potential gradient between soil and roots at the i^{th} soil layer at time t	m H ₂ O
$\beta^2 H_X; \beta^{18} O_X$	Normalized isotope composition of plant xylem water	in ‰ VSMOW
$f_{i,t}$	The fraction of water taken up in the i^{th} soil layer at time t	dimensionless
h	Measurement height	m
i	Soil layer index	dimensionless
δ_{xyl}	Isotope composition of plant xylem water	in ‰ VSMOW
k_i	Soil-root conductance of the i^{th} soil layer	s ⁻¹
K _{max}	Maximum soil hydraulic conductivity	m s ⁻¹
k_R	Effective root radial conductivity	s ⁻¹
k_S	The conductance associated with the radial water flow between the soil and the root surface	s ⁻¹
$K_{S,i}$	Soil hydraulic conductivity at the i^{th} soil layer	m s ⁻¹
ł	The approximated radial pathway length of water flow between bulk soil and root surface	m
LF	Lumen fraction per unit sapwood area	m² m ⁻²
n	Number of unique contributing water sources	#
Ψ_{sat}	Soil matric potential at soil saturation	m
$\Psi_{S,i,t}$	Soil matric potential of the i^{th} soil layer at time t	m
$\Psi_{X,0,t}$	Water potential at the base of the plant stem at time t	m
R	Heavy to light isotope ratio measured in the sample or standard	%
<i>RWU_{i,t}</i>	Net amount of water entering and leaving the root tissues per unit of time in the i^{th} soil layer at time t	m ³ s ⁻¹
--------------------------	--	--------------------------------
SF_t	Instantaneous sap flow at time t	$m^{3} s^{-1}$
SF_S	Sap flow velocity, calculated as the sap flow per sapwood area	$m h^{-1}$
SF_V	True sap flux density, calculated as the sap flow per lumen area	$m h^{-1}$
τ	Delay before the isotope composition of xylem water at stem base reaches stem height h	S
θ_{sat}	Soil moisture content at soil saturation	m ³ m ⁻³
$\theta_{S,i,t}$	Soil moisture content of the i^{th} soil layer at time t	$m^{3} m^{-3}$
Z_i	Soil depth of the i^{th} soil layer	m





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Fig 1. The use of stable water isotopes (δ^2 H and δ^{18} O) to assess the relative depth of root water 868 uptake (RWU) requires a depth gradient in isotopic composition of soil water ($\delta^2 H_s$) to be 869 present (a, line 1), as only then can the relative contribution of different soil layers to the 870 isotopic composition in a plant's xylem water ($\delta^2 H_X$) be derived. These $\delta^2 H_S$ gradients occur 871 872 naturally as the result of evaporative soil drying during drought conditions, however, these conditions also result in the formation of a gradient in soil matric potential (Ψ_s), ensuring an 873 increasing Ψ_S with depth (a, line 2). RWU and sap flow in plants are passive processes where 874 875 water flows in the direction of decreasing water potentials. Specifically for RWU, this implies 876 that water influx through the absorptive root area (A_R ; a, line 3) of a plant's root is facilitated whenever the water potential in the root (Ψ_R) is more negative than the surrounding Ψ_S . As A_R 877 878 and Ψ_S are generally not uniform with soil depth (z), the relative contribution of a specific soil layer to RWU will depend on (i) the difference between Ψ_S and Ψ_R in that soil layer, and (ii) 879 the relative amount of absorptive root area in that soil layer. Stable water isotopes techniques 880

assume that the $\delta^2 H_X$ reflects the contribution of $\delta^2 H_S$ from all soil layers. However, this does 881 not account for diurnal fluctuations in Ψ_R which are invoked by the diurnal patterns in a plant's 882 transpiratory water demands (**panel b**). Typically, more negative Ψ_R values are observed when 883 water demands are high, i.e. around midday. However, a decrease in Ψ_R will result in higher 884 RWU, and alter the contribution of different soil layers to RWU. Specifically, dryer and 885 shallower soil layers, with more negative Ψ_S , could start contributing to RWU as Ψ_R decreases 886 (panel c). For example, in the early morning (situation t_x) when Ψ_R is high, only deeper soil 887 layers where $\Psi_S > \Psi_R$ contribute to overall $\delta^2 H$ composition of the RWU flux. As Ψ_L and Ψ_R 888 decrease towards midday (situation t_y) more water can be absorbed from shallower soil layers. 889 As the A_R in these shallow soil layers is high, they strongly affect the relative contribution of 890 $\delta^2 H_S$ entering the plant. Hence, diurnal fluctuations in Ψ_R will result in fluctuating mixtures of 891 $\delta^2 H_S$ entering the plant. As these $\delta^2 H_S$ mixtures are transported along the xylem pathway, they 892 893 produce variance in $\delta^2 H_X$, which could complicate RWU assessments via stable water isotope analysis. 894



Fig. 2. (a) Schematic representation of the model and considered analysis detailed in the text. 897 (b) Simulated fluctuations in $\delta^2 H$ composition of plant xylem water as a function of the 898 cumulative sap flow volume measured at various heights: stem base (0 m, black dashed), 1.3 m 899 (blue), 5 m (green) and 10 m (red). The horizontal grey colored envelope delineates the 900 acceptable variance from the stem mean according to the null model (H₀), i.e. assuming no 901 variance along the length of a lignified plant aside from potential extraction error (i.e. 3‰). 902 Herein, the dark grey envelope indicates the confidence interval comprising 95% of potential 903 904 extraction error (CI95).



Fig 3. (a) Model outputs for model analysis A3 representing the normalized δ^2 H composition 908 of xylem water ($\beta^2 H_X$) as a function of the tree height simulated for different sampling times 909 (9:00 and 11:00). The modeled tree has an average daily sap flux density of 0.06 m h⁻¹ (SF_S; ~ 910 daily true sap flux density $SF_V = 0.42$ m h⁻¹). (b) Model outputs for model analysis B where 911 $\beta^2 H_X$ in relation to stem height is shown at 9:00 a.m., but parameterized with distinct SF_S, i.e. 912 0.09, 0.06 and 0.03 m h⁻¹ (corresponding to SF_V of 0.64, 0.42 and 0.19 m h⁻¹, respectively). The 913 standard parameterization used for both study analysis is detailed in Table S1. (c) Field 914 measurements of $\beta^2 H_X$ for six lianas (**a**) and six trees (**A**). Error whiskers are the combination 915 of potential extraction and measurement errors of the isotope analyzer. A species-specific 916 917 breakdown of the field data is provided in Fig S2. The horizontal grey colored envelope in all panels delineates the acceptable variance from the stem mean according to the null model (H₀), 918 919 i.e. assuming no variance along the length of a lignified plant aside from potential extraction 920 error (i.e. 3‰). Herein, the dark grey envelope indicates the confidence interval comprising 95% of potential extraction error (CI95). 921



Fig 4. (a) Illustrative example of model simulations transformed in normalized δ^2 H composition 924 of xylem water ($\beta^2 H_X$) at 1.3 (blue) and 5m (green) sampling height, with the formula provided. 925 Thicker lines indicate model simulations without error, line connected dots indicate a scenario 926 of hourly sampling with consideration of extraction error (i.e. a negative skew-normal 927 distribution; $\xi = 0$ %, the scale $\omega = 3$ %, and shape $\alpha = -\infty$). (b-e) High temporal field 928 measurements of $\beta^2 H_X$ of (b) two shrubs, (c) two trees, and (d) two herb species sampled in the 929 Heihe River Basin (northwestern China); and (e) two tree species sampled in the "Freiamt" 930 field site in south-west Germany. The horizontal grey colored envelope in all panels delineates 931 the acceptable variance from the stem mean according to the null model (H₀), i.e. assuming no 932 variance along the length of a lignified plant aside from potential extraction error (i.e. 3‰). 933 Herein, the dark grey envelope indicates the confidence interval comprising 95% of potential 934 extraction error (CI95). A breakdown of the field data on species and individual level is 935 936 provided in the supplementary figures (Fig S3-S4-S5-S6)

937



Fig 5. Relative error on the inferred average root water uptake depth (i.e. bias between the 940 average daily and the instantaneous derived average RWU depth) at coring height of 1.3m, 941 throughout the common sampling period (9:00 until 13:00) and over a range of potential SF_S 942 (in m h⁻¹) – corresponding to SF_V range of 0.15–1.25 m h⁻¹. Both dotted lines describe test 943 944 scenarios evaluated in the breakup panels. The dynamics in relative error when sampling (a) over different time steps, restricting sap flux density at 0.04 m h⁻¹ (i.e. $SF_V = 0.28$ m h⁻¹), or (b) 945 over different SF_S -values when restricting sampling time at 11 am. d_{-1} and d_0 indicate whether 946 947 the derived average RWU depth error corresponds to the previous or current day of measurement. 948



Fig. 6. Basic model validation, comparing continuous *in situ* $\delta^2 H_X$ measurements of a stepwise ²*H* enrichment experiment (Marshall *et al.*, 2020) with analytical solutions of advectiondiffusion equation, at heights 0.15m (—) and 0.65m (—) on a pine tree (*Pinus pinea L*). The source water of the intact-root, isotopic enrichment greenhouse experiment, is presented in grey. Model parameters, velocity, and diffusion were fitted by visual inspection independently for the two heights to match the initial increase in isotope signature (values reported in the bottom right)

1 Supporting Information

Article title: Causes and consequences of pronounced variation in the isotope composition of
 plant xylem water

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8 Method A:

9

10 Detailed description data collection French Guiana

11

12 We used data for six canopy trees and six canopy lianas sampled on two subsequent dry days (24-25 August 2017) at the Laussat Conservation Area in Northwestern French Guiana. The 13 sampling site (05°28.604'N-053°34.250'W) lies approximately 20 km inland at an elevation of 14 30 m a.s.l. This lowland rainforest site has an average yearly precipitation of 2500 mm yr⁻¹ 15 (Baraloto et al., 2011). Average and maximum daily temperatures of respectively 30°C and 16 36°C were measured during the sampling period. Sampled individuals are located in the white 17 sands forest habitat (Baraloto et al., 2011), on a white sandy ultisol with a typically high 18 19 percentage of sand.

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26

Individuals (Table A1) were selected based on the assessment of climbable tree, intactness of leafy canopy vegetation and close vicinity with one another to optimize similarity in meteorological and edaphic characteristics. Liana diameters were measured at 1.3 m from the

last rooting point (Gerwing *et al.*, 2006), tree diameters were measured at 1.3 m (Table A1).

Liana and tree sampling allowed highly contrasted sap flux density (Gartner *et al.*, 1990).

27 Sampling strategy

28 The stem xylem tissue of individual plants was sampled at different heights (1.3, 5, 10, 15, and 20 m where possible) at the same radial position of the stem, between 9:00 and 15:00 to assure 29 high sap flow. Since upstream δ_{xvl} enrichment due to Péclet effect, in close vicinity to 30 31 evaporative surfaces has been observed in the literature (Dawson & Ehleringer, 1993; Barnard et al., 2006), sampling was restricted to coring of the main stems. The order of sampling, i.e. 32 ascending versus descending heights, was randomized. Tree stem xylem samples were collected 33 with an increment borer (5 mm diameter), resulting in wooden cylinders from which bark and 34 phloem tissues were removed. Coring was performed within the horizontal plane at the 35 predefined heights, oblique to the center of the stem to maximize xylem and minimize 36 heartwood sampling, and slowly to avoid heating the drill head and fractionation. Taking one 37 sample generally took between 5 and 10 minutes. Since coring lianas was not possible, we 38 collected cross-sections of the lianas after removing the bark and phloem tissue with a knife. 39 Soil samples were collected at different depths (0.05, 0.15, 0.30, 0.45, 0.60, 0.90, 1.20, and 40 1.80m) within close vicinity to the sampled individuals using a soil auger. All materials were 41 thoroughly cleaned between sampling using a dry cloth to avoid cross-contamination. Upon 42 collection, all samples were placed in pre-weighed glass collection vials, using tweezers, to 43 reduce contamination of the sample. Glass vials were immediately sealed with a cap and placed 44 in a cooling box, to avoid water loss during transportation. 45

46

47 Sample processing

48 Sample processing was performed as in De Deurwaerder *et al.* (2018). Specifically, all fresh 49 samples were weighed, transported in a cooler, and frozen before cryogenic vacuum distillation

50 (CVD). Water was extracted from the samples via CVD (4 h at 105° C). Water recovery rates

⁵¹ were calculated from the fresh weight, weight after extraction, and oven-dry weight (48 h at

- ⁵² 105°C). Samples were removed from the analysis whenever weight loss resulting from the
- ⁵³ extraction process was below 98% (after Araguás-Araguás *et al.*, 1998). Nearly all soil samples
- 54 fell below this benchmark and were therefore excluded from further analysis (Fig S1). The
- isotope composition of the water in the samples was measured by a Wavelength-Scanned-

Cavity Ring-Down Spectrometer (WS-CRDS, L2120-i, Picarro, California, USA) coupled with 56 a vaporizing module (A0211 High Precision Vaporizer) through a micro combustion module 57 58 to avoid organic contamination (Martin-Gomez et al., 2015; Evaristo et al., 2016). Postprocessing of raw δ -readings into calibrated δ -values was performed using SICalib (version 59 2.16; Gröning, 2011) and internal laboratory references, i.e. Lab1 (δ^2 H: 7.74±0.4‰; δ^{18} O: 60 5.73±0.06‰,), Lab3 (δ^2 H: -146.98±0.4‰; δ^{18} O: -20.01±0.06‰,) and quality assurance 61 samples (δ^2 H: -48.68±0.4‰; δ^{18} O: -7.36±0.06‰). Calibrated δ -values are expressed on the 62 international V-SMOW scale. 63

64 65

66 **<u>References</u>**

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- 99 100

Code	Growth form	DBH [cm]	Family	Species name	$\delta^2 H_X$ -range [in %0, VSMOW]	δ ¹⁸ O _X -range [in ‰, VSMOW]
SP1	Tree	15.6	Moraceae	Coussapoa sp.	-30.1; -25.5	-2.8; -2.6
SP2	Tree	50.9	Fabaceae	Vouacapoua americana	-23.9; -18.1	-3.1; -2.2
SP3	Tree	44.6	Vochysiaceae	Erisma nitidum	-27.7; -20.8	-3.2; -1.9
SP4	Tree	26.1	Sapotaceae	Micropholis guyanensis	-29.8; -28.0	-3.0; -2.9
SP5	Tree	21.0	Anacardiaceae	Tapirira guyanensis	-31.1; -18.0	-3.2; -2.2
SP6	Tree	49.7	Fabaceae	Albizia pedicellaris	-26.9; -22.1	-3.2; -2.6
SP1	Liana	2.8	Polygonaceae	Coccoloba sp.	-27.9; -20.7	-3.9; -2.3
SP2	Liana	2.7	Convolvulaceae	sp.	-29.3; -24.0	-4.4; -2.9

-4.5; -2.3 -2.9; -2.0

-40.8; -22.6 -23.6; -15.2 -31.6; -19.7 -35.3; -24.4

cf. rotundifolium Rich.

sp.

Moraceae

0.8 3.8 0.7 3.8

Liana Liana Liana Liana

SP3

SP4

SP5

SP6

Maripa cf violacea

Convolvulaceae Convolvulaceae

Combretaceae

Maripa sp.

-3.8; -2.7 -4.8; -3.1

Table A1. Sampled liana and tree individuals, provided with their species, respective diameter at breast height (DBH, in cm) and their $\delta^2 H$

and $\delta^{I8}O$ ranges (in ‰, VSMOW) measured per individual.

103 **Method B:**

104

105 Exploring the effect of diffusion on xylem transport of isotopes

106

The current version of the model assumes a negligible impact of diffusion on the variance in the isotopic composition of the xylem water in the stem. Here, the validity of this assumption is discussed in more detail. We will use analytical and numerical solutions of the advectiondiffusion equation to simulate the transport of isotope within the xylem, followed by a short discussion.

112

113 **Theory**

One-dimensional solute flux (J) of a solute concentration (C) through a pipe can be expressed as the sum of the advection and diffusion processes:

$$I16 J = uC + q (1)$$

117 where u is the fluid flow velocity and q the diffusion flux.

118 The one-directional diffusion flux along the direction *x* can be expressed by Fick's law:

$$q = -D\frac{\partial c}{\partial x} \tag{2}$$

where D (m² s⁻¹) is the diffusion constant. The mass conservation can be written:

121
$$\frac{\partial C}{\partial t} = -\frac{\partial J}{\partial x}$$
(3)

122

123 *The diffusion equation*

Assuming no flow (u = 0) and inserting (2) into (3) we obtain:

125
$$\frac{\partial C}{\partial t} = D \frac{\partial^2 C}{\partial x^2}$$
(4)

127
$$C(x,t) = \frac{M}{\sqrt{4\pi Dt}} exp\left(-\frac{x^2}{4Dt}\right)$$
(5)

where *M* is the mass of solute injected uniformly across the cross-section of the pipe at x = 0. Using the superimposition principle, we can also derive the solution for the one-dimensional stagnant case (an initial step function concentration without advection) as

132
$$C(x,t) = \frac{c_0}{2} \operatorname{erfc}\left(\frac{x}{\sqrt{4\pi Dt}}\right)$$
(6)

where C_0 is the initial concentration at x < 0 and erfc is the complementary error function.

134

135 Advection-diffusion equation

136 In the case of flow with velocity, (4) is modified as:

137
$$\frac{\partial c}{\partial t} = D \frac{\partial^2 c}{\partial x^2} + u \frac{\partial c}{\partial x}$$
(7)

The solution for constant concentration at x = 0 with initial zero concentration on a semiinfinite domain, i.e.

140
$$\begin{cases} C(x,0) = 0, \ x > 0\\ C(0,t) = C_0, \ t > 0 \end{cases}$$
(8)

141 is given by (Ogata & Banks, 1961):

142
$$C(x,t) = \frac{C_0}{2} \left(erfc\left(\frac{x-ut}{\sqrt{4\pi Dt}}\right) + exp\left(\frac{xu}{D}\right) erfc\left(\frac{x+ut}{\sqrt{4\pi Dt}}\right) \right)$$
(9)

This solution can describe the dynamic of a solute concentration along the xylem under constant
 velocity, with a fixed concentration at the inlet point.

145

146 Numerical solutions

147 Solutions for problems with different boundary conditions and variable velocity are not 148 available. In order to investigate the case with periodic concentrations at the inlet of the pipe 149 and periodic velocity we used numerical solutions of the advection-diffusion equation

150
$$\frac{\partial c}{\partial t} = D \frac{\partial^2 c}{\partial x^2} + u_0 f(t) \frac{\partial c}{\partial x}$$
(10)

where f(t) is a periodic function. We used the wrapped normal distribution defined as

152
$$f(t) = \sum_{i=-100}^{i=100} \exp\left[\frac{\left(\frac{2\pi t}{24} - \pi - 2\pi k\right)^2}{2\sigma^2}\right]$$
(11)

153 The boundary conditions at the inlet and outlet are defined as

154
$$\begin{cases} C = (C_{max} + C_{min})g(t) + C_{min} & x = 0, t > 0\\ \frac{\partial C}{\partial t} = 0 & x = H, t > 0 \end{cases}$$
(12)

155 where g(t) is another periodic function defined as

156
$$g(t) = \sum_{i=-100}^{i=100} \exp\left[\frac{\left|\frac{2\pi t}{24} - \pi - 2\pi k\right|^3}{2\sigma^3}\right]$$
(13)

The third power in (13) was chosen to match the diurnal cycle of the isotopic concentration at 157 the tree base obtained by SWIFT. The equation was solved using the function pdepe 158 implemented in Matlab (R2019a), explicitly designed to solve initial-boundary value problems 159 for parabolic-elliptic partial differential equations in 1-D (Skeel & Berzins, 1990). 160

161 Unfortunately, numerical solutions of the advection-diffusion equation suffer numerical oscillation for values of the Péclet number greater than one (Zienkiewicz et al., 2000), so results 162

are presented for values of diffusivity 50, 100, 200 and 400 cm² hr⁻¹. These values are much 163 larger than the diffusivity of heavy water and they will produce stronger smoothing.

164





Fig B1: Analytical solutions of advection-diffusion equation on a semi-infinite 1-D domain 166 (Eq. 9) with 12 ‰ step-change in isotope signature for different values of flow velocity and 167 diffusivity. The plots show the impact of diffusion on the isotopic composition of xylem water. 168 Colored lines show the solution at different time intervals: 0, 12, 24, 48, and 96 hr. Note that 169 the values of diffusivity are much higher than these reported for heavy water (e.g. $D=0.1 \text{ cm}^2$ 170 h⁻¹; Meng et al., 2018) 171





Fig B2: Numerical solutions of advection-diffusion equation on a finite 1-D domain (Eq. 10-13) with 12 ‰ step-change in isotope signature for different values of diffusivity along the length of the xylem. The periodic forcing used in the simulations are shown in panel a and b. Panels c and d show the solutions for two different time of the day. Colored lines show the solution at different diffusivity (see legend in d). Note that the values of diffusivity are much higher than these reported for heavy water (e.g. D=0.1 cm² h⁻¹; Meng et al., 2018).

182 **Results and Discussion**

183

The diffusivity of ²H in water depends on temperature: at 20 °C is $D = 6.87 \ 10^{-2} \text{ cm}^2 \text{ hr}^{-1}$, at 40 184 ^oC is $D = 1.37 \ 10^{-1} \text{ cm}^2 \text{ hr}^{-1}$ (Meng et al., 2018). Another process that can cause substantial 185 mixing is the random movement of particles in the xylem network. Within each vessel, the flow 186 is laminar, but in vessels with a larger diameter, velocity is higher than in vessels with a smaller 187 diameter. According to the Hagen–Poiseuille law, the flow is proportional to the fourth power 188 of diameter (hence, the velocity is proportional to diameter square). Therefore, the variable 189 velocity experienced by the particles in the xylem network can generate substantial random 190 motion in the transport of a solute in a similar manner of diffusion in a porous media. 191

Molecular diffusivity results in a relatively negligible impact of diffusion on the variance in 2 H 192 when high sap flux densities are considered, as shown in Fig B1. For example, for diffusivity 193 of 0.1 cm² hr⁻¹, after 96 hours, diffusion results in smearing in a range \pm 10cm (Fig. B1a). The 194 case with a flow velocity of 25 cm hr⁻¹, comparable to the velocity of sap in xylem, shows that 195 the transport of the solute is minimally affected by diffusion (Fig B1 a and c). In order to 196 appreciate the effect of diffusion, the diffusivity needs to increase three orders of magnitude 197 (Fig B1 b and d). However, because homogenization increases with time, the impact of 198 199 diffusion on $\delta^2 H$ dynamics can be non-negligible for very low sap flux velocities.

Numerical solutions with the periodic forcing (Fig B2 a and b), show that for high values of diffusivity there could be a substantial smoothing in the peak (Fig B2 c and d). The smoothing progress along the path-length of the flow. However, note that a very high value of diffusivity $(>400 \text{ cm}^2 \text{ hr}^{-1})$ is required for complete homogenization above 10 m.

For the general application to isotope transport in xylem with variable input concentrations and 204 variable sap flow velocity, diffusion can cause a smoothing of the peak and a consequent 205 increase in the width of the $\delta^2 H_x$ -baseline drop. Therefore, the probability of sampling a non-206 representative section within this $\delta^2 H_X$ -baseline might increase, which means that neglecting 207 diffusion could lead towards a conservative assessment of the bias in RWU estimates. However, 208 the minimal reduction of the peak in $\delta^2 H_X$ over time might lead to reducing the variability in 209 time and space compared to the case with no diffusion. In conclusion, while diffusion does 210 affect both the absolute range of $\delta^2 H_X$ variance and the width of the $\delta^2 H_X$ -baseline drop (i.e. 211 increased probability of extracting biased samples), the impact is small in the lower part of the 212 tree and over the timeframe and sap flow flux considered in this study. Hence, for this study, 213 diffusion will not result in the complete homogenization of the $\delta^2 H_X$ along the length of the 214 studied trees, consistent with empirical datasets (Fig 3c, Fig S2.). 215

216

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- 229 Method C:
- 230

A detailed description of the performed transport dynamics and sensitivity analyses.

232

234

233 Transport dynamics

The intact-root greenhouse experiment of Marshall et al. (2020) allows assessment if other 235 processes besides molecular diffusivity might contribute to isotope transport through the plant, 236 237 especially when very low sap flow velocities are considered. Specifically, the experiment follows the impact of a stepwise ²H enrichment of the source water, i.e. from $\delta^2 H$ =-59.28 ± 238 0.24 ‰ to $\delta^2 H=290.57 \pm 3.08\%$ (see Fig 6), on the $\delta^2 H_X$ dynamics in a pine tree (*Pinus pinea*) 239 240 L.). The tree was placed in a large pot, with the root system fully submerged in aerated water (using mini-pumps) and subjected to artificial light conditions (12h light, 12h dark, light 241 transition at 7:00 o'clock). $\delta^2 H_X$ was monitored continuously and *in situ* at two sampling 242 heights, 0.15 cm, and 0.65 cm, respectively, using a novel borehole technique. Concomitant, 243 sap flow velocity was measured using a sap flow sensor (heat pulse velocity sensor, Edaphic 244 Scientific, Australia), installed at 0.85m height, and perpendicular to the upper borehole. For 245 specific details of this experiment, we refer to Marshall et al. (2020). 246

247

In this setup, roots are submerged in a uniform isotopic solution, so the SWIFT model 248 parameterization of soil and root is not necessary. The isotopic composition of the source water 249 will, therefore, almost instantly reflect the $\delta^2 H$ at the stem base. The impact of diffusion could 250 not be considered negligible as sap flow velocities are very low (daily mean $SF_V = 0.97 \pm 0.39$ 251 cm h⁻¹) and the experiment lasted out 38 days before equilibrium was reached between the $\delta^2 H_X$ 252 of the source water and the $\delta^2 H_X$ in both boreholes. For simulating the isotopic dynamics, we 253 used an analytical solution of the advection-diffusion, as described in supplementary methods 254 B, coupled to the SWIFT model. Model parameters, velocity, and diffusion were fitted by visual 255 inspection independently for the two heights to match the initial increase in isotope signature. 256 Note that the studied tree shows strong tapering (diam. at 0.15cm = 9.9cm; diam. at 0.65cm = 257 8.0cm), causing an acceleration of the sap flow along the pathway length as a same volume of 258 259 water is propelled through a diminishing cross-area. This is also reflected in the allocated velocity parameters. 260

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263 Sensitivity analyses

We first assessed model sensitivity to (bio)physical variables by modifying model parameters of soil type, sap flow, and root properties as compared to the standard parameterization (given in Table S1). The following sensitivity analyses were considered:

Soil type: The soil moisture content overall soil layers $(\theta_{S,i,t})$ can be deduced from the considered Meißner et al. (2012) $\Psi_{S,i,t}$ profile (see Fig. S8 and Table S1) using the Clapp & Hornberger (1978) equation:

271

$$\theta_{s,i,t} = \theta_{sat} \cdot \left(\frac{\Psi_{s,i,t}}{\Psi_{sat}}\right)^{-1/b}$$
Eq. (1)

272 Where θ_{sat} , Ψ_{sat} and b are soil-type specific empirical constants that correspond to 273 sandy loam soil textures in the standard model parameterization (Clapp & Hornberger,

- 1978). The derived soil moisture profile ($\theta_{S,i,t}$), in turn, then provides a basis to study 274 the impact of other soil textures. A new soil texture specific $\Psi_{S,i,t}$ profile can then be 275 deduced by using θ_{sat} , Ψ_{sat} and b values corresponding to different soil texture types 276 (values from Table 2 of Clapp & Hornberger (1978)). This enabled us to study $\Psi_{S,i,t}$ 277 profiles for four distinct soil types, i.e. (i) sand, (ii) loam, (iii) sandy clay and (iv) clay 278 soils, in relation with the original silt loam $\Psi_{S,i,t}$ profile. 279 280 281 Volume of water uptake: We varied the total diurnal volume of water taken up by the tree. New SF_t values are scaled using algorithms from the literature that provide an 282
- 283 284

Cristiano et al., 2015).

285

Root conductivity: We varied the root membrane permeability (k_R) to match multiple species-specific values found in the literature (Sands *et al.*, 1982; Rüdinger *et al.*, 1994; Steudle & Meshcheryakov, 1996; Leuschner *et al.*, 2004).

estimate of the daily sap flow volume of a tree based on its DBH (Andrade et al., 2005;

The second set of sensitivity analyses test the impact of root hydraulics, sap flux density, 289 and sampling strategies on the sampled $\delta^2 H_X$. We obtained 1000 samples per parameter from 290 corresponding distributions and ranges (given in Table S2) with a Latin hypercube approach 291 292 (McKay et al., 1979; McKay, 1988). This is a stratified sampling procedure for Monte Carlo 293 simulation that can efficiently explore multi-dimensional parameter space. In brief, Latin 294 Hypercube sampling partitions the input distributions into a predefined number of intervals (here 1000) with equal probability. Subsequently, a single sample per interval is extracted in an 295 effort to evenly distribute sampling effort across all input values and hence reduce the number 296 of samples needed to accurately represent the parameter space. 297

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328 Figures and tables

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Fig. S1. Oxygen isotope composition ($\delta^{18}O$, in % V-SMOW) of bulk soil water sampled at different depths (red), xylem water of lianas (orange) and trees (green), and from bulk stream (blue) and bulk precipitation water (cyan) in Laussat, French Guiana. Different soil $\delta^{18}O$ composition symbols indicate the extraction recovery rates, where 98% presents the generally pursued benchmark. Shaded areas show the Q25-Q75 intervals for lianas and trees in orange and green respectively.



342

Fig. S2. Field measurements of normalized intra-individual $\delta^2 H_X (\beta^2 H_X)$ for six lianas (panel 343 a) and six trees (panel b). Individuals are provided in different colors; liana species: 344 *Coccoloba sp.*, sp.2, sp.3, cf. rotundifolium Rich., Maripa cf violacea, Maripa sp.; 345 tree species:
Coussapoa sp.,
Vouacapoua americana,
Erisma nitidum,
Micropholis 346 *guyanensis*, *Tapirira guyanensis*, *Albizia pedicellaris*. Error whiskers are the combination 347 of potential extraction and measurement errors of the isotope analyzer. The former presents a 348 positive skew-normal distribution $SN_{empirical}(\xi = 0\%, \omega = 3\%, \alpha = +\infty)$. The full grey envelope 349 delineates the acceptable variance from the stem mean (i.e. 3‰) according to the standard 350 assumption of no variance along the length of a lignified plant, i.e the null model. 351





Fig. S3. High temporal field measurements of normalized $\delta^2 H$ composition of xylem water 353 $(\beta^2 H_X)$ of two trees (red, stem samples), two shrubs (blue, stem samples) and two herbs (green, 354 root samples) species sampled in the Heihe River Basin (northwestern China) shown for the 355 respective measurement periods. Timing and location of sampling are provided in the panel 356 titles. Horizontal dark grey colored envelope delineates the acceptable variance from the stem 357 mean (i.e. 3‰) according to the standard assumption of no variance along the length of a 358 lignified plant. Light grey vertical envelopes mark the nighttime periods. The table provides the 359 maximum measured diurnal $\delta^2 H_X$ range per species. 360 361



Fig. S4. High temporal field measurements of normalized δ^{18} O composition of xylem water 363 $(\beta^{I8}O_X)$ of two trees (red, stem samples), two shrubs (blue, stem samples) and two herbs (green, 364 root samples) in the Heihe River Basin (northwestern China) shown for the respective 365 measurement period. Timing and location of sampling are provided in the panel title. Horizontal 366 dark grey colored envelope delineates the acceptable variance from the stem mean (i.e. 0.3‰) 367 according to the standard assumption of no variance along the length of a lignified plant. Light 368 grey vertical envelopes mark the nighttime periods. The table provides the maximum measured 369 diurnal $\delta^{18}O_X$ range per species. 370



371

Fig. S5. High temporal field measurements of normalized δ^2 H composition of xylem water ($\beta^2 H_X$) of three *Abies alba* individuals (blue, branch samples) and three *Fagus sylvatica* individuals (red, branch samples) sampled during a drought period in July 2017 in the "Freiamt" field site in south-west Germany. Horizontal dark grey colored envelope delineates the acceptable variance from the stem mean (i.e. 3‰) according to the standard assumption of no variance along the length of a lignified plant. Light grey vertical envelopes mark the nighttime periods.



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Fig. S6. High temporal field measurements of normalized δ^{18} O composition of xylem water ($\beta^{18}O_X$) of three *Abies alba* individuals (blue, branch samples) and three *Fagus sylvatica* individuals (red, branch samples) sampled during a drought period in July 2017 in the "Freiamt" field site in south-west Germany. Horizontal dark grey colored envelope delineates the acceptable variance from the stem mean (i.e. 0.3‰) according to the standard assumption of no variance along the length of a lignified plant. Light grey vertical envelopes mark the nighttime periods.



Fig S7: Sap flow rate (*SF*, blue line), δ^2 H composition of xylem water at stem base ($\delta^2 H_{X,0,t}$ black dashed line) and water potential at stem base ($\Psi_{X,0,t}$, red line) shown for a single day.



Fig. S8. (a) ²*H* composition of soil water ($\delta^2 H_{S,i}$) with depth, data from Meißner et al. (2012). (b) Soil water potential ($\Psi_{S,i}$) over the soil depth, data from Meißner et al. (2012). (c) The relative absorptive root area distribution with soil depths adapted from Jackson et al. (1995) and normalized to the topsoil. All equations and corresponding parameters for the fitted curves can be found in Table S1.



Fig. S9. Differences between the (RWU) depth derived from using either the direct inference 401 (black line) or the end member mixing (red line) approach. Panel a: The derived RWU depth 402 for a tree sampled at standard tree coring height (i.e. 1.30 m) having a sap flux density (SF_S) of 403 0.04 m h⁻¹ (i.e. $SF_V = 0.28$ m h⁻¹), over the common sampling period (9:00 until 13:00). **Panel** 404 **b:** The derived RWU depth considering a tree sampled at standard tree coring height (1.30 m) 405 at 11:00, but which differs in SF_S . The grey and pink solid lines represent daily mean RWU 406 depth while the grey and pink dashed lines represent the RWU depth at peak sap flow activity, 407 respectively, for the direct inference and end-member mixing model approach. d_{-1} and d_0 408 indicate whether the derived RWU depth error corresponds to the previous or current day of 409 measurement. 410



- Fig. S10. Sensitivity analysis where all parameters are varied one-at-the-time as compared to 412 the standard parameterization (see Table S1). For each studied variable, 1000 model runs were 413
- performed, studying the resulting $\delta^2 H_X$ bias in comparison with the standard run. Each time, the 414
- studied parameter value was assigned randomly from a defined probability distribution or range 415
- using a Latin Hypercube scheme (see Table S2). The effective root radial conductivity (k_R , in 416
- 417 s⁻¹), the β (-), and root density (in 10³ m m³) together form an informative proxy for the soil to
- root resistance. The lumen fraction (in m² m⁻²), sapwood area (Asapwood, in m²), and the total 418
- diurnal transported sap flow volume, i.e. net root water uptake (Volume corr., factor of standard 419
- run volume), provide an informative proxy for the sap flux density. (see Table S1). Time (in h) 420 and height (in m) respectively represent the timing of sampling and the height of sample
- 421
 - collection. 422


- Fig. S11. Model sensitivity to (bio)physical parameters. The standard model run is shown by the solid green line in all panels. **Panel a:** fixed soil moisture and depth profile in the isotope composition of soil water ($\delta^2 H_{S,i}$), but with different soil types influencing the soil conductivity and soil water potential gradient in the soil ($\Psi_{S,i,t}$). Parameterization for each soil type is derived from Clapp & Hornberger (1978). **Panel b:** Impact of altering volumes of water taken up by the plant. **Panel c:** Effect of altering values of the effective root radial conductivity (k_R) values. Values are species-specific and are derived from the literature (Sands *et al.*, 1982; Rüdinger *et*
- 431 al., 1994; Steudle & Meshcheryakov, 1996; Leuschner et al., 2004). In each panel all other
- 432 parameters follow the standard plant parameterization (Table S1).



Fig. S12. Model simulations performed with varying temporal resolutions, i.e. 5min, 1min, and
 1sec.

Ał	bbr.	Parameter	Unit	Value	Source
A	ARtot	The plants' total absorptive root area	m²	$e^{0.88 \cdot \ln\left(\pi \cdot \left[\frac{DBH \cdot 10^2}{2}\right]^2\right) - 2}$	Čermák <i>et al.</i> (2006) $A_{Rtot} = 23.825 \text{ m}^2$
⊲	A R,i	The absorptive root area distribution over soil layer <i>i</i>	m²	$A_{Rtot} \cdot \beta^{100\cdot z_i} \cdot (1 - \beta^{100\cdot \Delta z})$	A_{Rtot} multiplied by the integrated root distribution of each soil layer adapted from Jackson <i>et al.</i> (1996)
				$\beta = 0.976$	Huang <i>et al.</i> (2017)
$\mathbf{A}_{\mathrm{SAI}}$	PW OOD	Sapwood area	m^2	$\frac{1.582 \cdot [DBH \cdot 10^2]^{1.764}}{10^4}$	Meinzer et al. (2001)
ł	Ах	Total lumen area	m^2	$LF \cdot A_{SAPWOOD}$	
	B_i	The overall root length density per unit of soil, not necessarily limited to the studied plant.	m m ⁻³	$R_0 \cdot eta^{100 \cdot z_i} \cdot \ln(eta)$	Adapted from Huang <i>et al.</i> (2017) R_{0} = -438 688 β =0.976
D	BH	Diameter at breast height	ш	0.213	Huang <i>et al.</i> (2017)
δ^2	${}^{2}H_{S,i}$	² <i>H</i> composition of soil water of the sampled soil layers	in ‰, VSMOW	$a + (z_i + b)^c$	Adapted from Meißner <i>et al.</i> (2012) a: -73.98008 b=0.001
7	Δz	The thickness of each soil layer	Ш	0.001	c=0.148735;
·	ft	Temporal resolution	S ⁻¹	1/60	
- 28	k _R	The effective root radial conductivity	s-1	10 ⁻⁹	Huang <i>et al.</i> (2017)

Table S1 (0	continuation)			
Abbr.	Parameter	Unit	Value	Source
K _{S,i}	The soil hydraulic conductivity defined per soil depth	m s ⁻¹	$K_{s,max} \cdot \left(\frac{\Psi_{sat}}{\Psi_{S,i,t}}\right)^{2+\frac{3}{b}}$	Huang <i>et al.</i> (2017)
			$K_{s,max} = 7.2 \cdot 10^{-6} \text{ m s}^{-1}$	Clapp & Hornberger (1978) [Table 2, silt loam soil]
			Ψ_{sat} = -0.786 m H ₂ O	Clapp & Hornberger (1978) [Table 2, silt loam soil]
			b = 5.30	Clapp & Homberger (1978) [Table 2, silt loam soil]
LF	Lumen fraction per unit sapwood area	$m^{2} m^{-2}$	0.136	Zanne <i>et al.</i> (2010) [Table 2]
SF_t	Instantaneous sap flow at time t	m ³ s ⁻¹		Adapted from Huang <i>et al.</i> (2017) [derived from scenario 6, day 11]
$\Psi_{S,i,t}$	Water potential at a specific soil layer depth <i>i</i> and time <i>t</i>	m H2O	$(a+b\cdot log(z_i)-c\cdot z_i^2)\cdot CT$	Adapted from Meißner <i>et al.</i> (2012) a: 19.8455.10 ⁻³ b: 44.8909.10 ⁻³ c: 25.5594.10 ⁻³ CT: 101.97 (i.e. conversion factor between MPa and m H ₂ O)

 z_i the soil depth of the *i*th soil layer (in m)

1 able SZ. An overview of the defined	l distribution and ranges used for the	sensitivity	analysis whose re	sults are displayed in Fig S10.
Model Variable	Description	Unit	Distribution	Specification
Variables that provide an informativ	ve proxy for the soil to root resistanc	в		
kĸ	The effective root radial	s^{-1}	Uniform	St.=10 \cdot 10 ⁻¹⁰ , min = 2 \cdot 10 ⁻¹⁰ , max = 15 \cdot 10 ⁻¹⁰
	conductivity			
Root density	Integral of B _i for entire soil depth by changing R0 (see Table S1)	Ш	Uniform	St.= 4000, min = 1000, max = 20000
B	Factor defining root length density profile (see Table S1)	Ξ	Uniform	St.= 0.976 , min = 0.855 , max = 0.995
Variables that provide an informativ	ve proxy for the sap flow velocity of .	a plant		
ASAPWOOD	Sapwood area	m^2	Uniform	St = 0.979, min = 0.6, max = 1
Lumen Fraction	Lumen fraction	$m^2 m^{-2}$	Uniform	St.=0.136, min = 0.0411, max = 0.451
Volume corr.	Correcting factor of the daily total transported sap flow volume which in the standard run corresponds to 31.4 10 ⁻³ m ³	-	Uniform	St.= 1, min = 0.5, max = 2.0
Variables related to the sample colle	ection protocol			
Height	Height of sampling	ш	Uniform	St. = 1.3, min = 0, max = 25
Time	Timing of sampling	h	Uniform	St. = 12, min = 9; max = 14
With. Ct momentum violise of the stande	a muminim off war of a minimum of the	miyom bu	eulen benninge mu	

With: St. parameter value of the standard run, min and max the minimum and maximum assigned value

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