



1 **Diurnal variation in the isotope composition of plant xylem**
2 **water biases the depth of root-water uptake estimates**

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20

21 **Abstract**

22 1. Stable isotopologues of water are a widely used tool to derive the depth of root water
23 uptake (RWU) in lignified plants. Uniform isotope composition of plant xylem water
24 ($i\text{-}H_2O\text{-}xyl$) along the stem length is a central assumption, which has never been properly
25 evaluated.



- 26 2. We studied the effects of diurnal variation in RWU, sap flux density and various other
27 soil and plant parameters on *i-H₂O-xyl* within a plant using a mechanistic plant hydraulic
28 model and empirical field observations from French Guiana and northwestern China.
- 29 3. Our model predicts significant *i-H₂O-xyl* variation arising from diurnal RWU
30 fluctuations and vertical soil water heterogeneity. Moreover, significant differences in
31 *i-H₂O-xyl* emerge between individuals with different sap flux densities. In line with
32 model predictions, field data show excessive *i-H₂O-xyl* variation during the day or along
33 stem length ranging up to 25.2‰ in $\delta^2\text{H}$ and 6.8‰ in $\delta^{18}\text{O}$, largely exceeding the
34 measurement error range.
- 35 4. Our work show that the fundamental assumption of uniform *i-H₂O-xyl* is violated both
36 theoretically and empirically and therefore a real danger exists of significant biases
37 when using stable water isotopologues to assess RWU. We propose to include
38 monitoring of sap flow and soil water potential for more robust RWU depth estimates.

40 **Keywords**

41 Deuterium, Ecohydrology, Lianas, Root water uptake, Sap flow, Stable isotope composition
42 of water, Tropical trees, Water competition

44 **1. Introduction**

45 The use of stable isotope composition of water has greatly enhanced ecohydrology studies by
46 providing insights into phenomena that are otherwise challenging to observe, such as depth of
47 root water uptake (RWU) (Rothfuss & Javaux, 2017), below ground water competition and
48 hydraulic lift (Hervé-Fernández *et al.*, 2016; Meunier *et al.*, 2017). Compared to root
49 excavation, the technique is non-destructive, far less labor-intensive and informs on actual



50 RWU while excavation solely informs on root distribution and architecture. Moreover, its
51 flexibility allows use across multiple scales both spatial (i.e. individual to ecosystem) and
52 temporal (i.e. daily to seasonal; Dawson *et al.* 2002). The advantages and wide applicability of
53 this method make it a popular technique that pushes the boundaries of ecohydrology (Dawson
54 *et al.*, 2002; Yang *et al.*, 2010; Rothfuss & Javaux, 2017).

55 A variety of methods exist that infer RWU depth from the isotope composition of plant
56 xylem water (*i-H₂O-xyl*), but all rely on a direct relationship between the isotopic compositions
57 of plant xylem water and soil water (Ehleringer & Dawson, 1992). More precisely, all have two
58 key assumptions. The first is that the isotope composition of plant xylem water remains
59 unchanged during transport from root uptake to evaporative sites (e.g. leaves and non-lignified
60 green branches). Hence, isotope fractionation - processes that shift the relative abundance of
61 the water isotopologues during root water uptake and water through non-evaporative tissues - is
62 neglected (Wershaw *et al.*, 1966; Zimmermann *et al.*, 1967; White *et al.*, 1985; Dawson &
63 Ehleringer, 1991; Walker & Richardson, 1991; Dawson *et al.*, 2002; Zhao *et al.*, 2016). Second,
64 all methods assume that xylem water provides a well-mixed isotope composition of water from
65 different soil layers: sampled xylem water instantaneously reflects the distribution and water
66 uptake of the roots independent of sampling time or height.

67 The first assumption is relatively well supported. Fractionation at root level has not
68 raised concerns for most RWU assessments using water isotopologues (Rothfuss & Javaux,
69 2017), with the exception of kinetic fractionation. Kinetic fractionation is a process driven by
70 the differences in molecule mass among the isotopologues that occurs only in extreme
71 environments (Lin & Sternberg, 1993; Ellsworth and Williams, 2007; Zhao *et al.*, 2016).
72 Similarly, isotopic fractionation of water within an individual plant, although possible, is not
73 considered a serious problem (Yakir, 1992; Dawson & Ehleringer, 1993; Cernusak *et al.*, 2005;
74 Mamonov *et al.*, 2007; Zhao *et al.*, 2016). However, the second assumption of time and space



75 invariance of the isotope composition of xylem water has, to our best knowledge, never been
76 assessed.

77 In principle, temporal variance in $i\text{-H}_2\text{O}\text{-xyl}$ within a plant during a day or along its height
78 can be expected on first principles. Here we hypothesize that it is in fact likely that various plant
79 physiological processes, ranging from very simple to more complex mechanisms could
80 influence within plant variance in $i\text{-H}_2\text{O}\text{-xyl}$ at short time scales. For instance, plant
81 transpiration during the course of the day is regulated by atmospheric water demand and leaf
82 stomata which have clear and well known diurnal patterns (Steppe & Lemeur, 2004; Epila *et*
83 *al.*, 2017). This results in changing water potential gradients within the soil-plant-atmosphere
84 continuum and therefore fluctuations in the depth RWU are also expected (Goldstein *et al.*,
85 1998; Doussan *et al.*, 2006; Huang *et al.*, 2017). Hence, as we expect plants capacity to take up
86 water at different soil layers to shift during the day, we should also expect diurnal variation in
87 the mixture of isotope composition from water taken up from various depths. As water moves
88 up along the xylem with velocity proportional to sap flow, different plants and species might
89 respond differently to diurnal variation in RWU. Therefore, from very basic principles we may
90 expect temporal variation in $i\text{-H}_2\text{O}\text{-xy}$ to propagate to different plant heights. As sap flux density
91 depends on plant hydraulic traits in relation to atmospheric water demand and soil moisture
92 gradient, this mechanism could make comparison of isotopic data among individuals and
93 species misleading.

94 In this study we provide a critical assessment of the assumption of $i\text{-H}_2\text{O}\text{-xyl}$ invariance
95 over time and along the length of plant stems. We test the hypothesis that major alterations in
96 the $i\text{-H}_2\text{O}\text{-xyl}$ along the length of lignified plants arise naturally during the day and that this
97 variation in $i\text{-H}_2\text{O}\text{-xyl}$ exceeds the expected measurement error. We test this hypothesis with a
98 twofold approach. First, we build a simple mechanistic model that incorporates basic plant
99 hydraulic realism. We use this model to specifically test that even rudimentary mechanistic



100 models of plant hydraulic functioning predict that diurnal changes in the soil-plant-atmosphere
101 continuum result in shifting mixtures of soil water absorption differing in isotope composition.
102 Second, we test whether the *i-H₂O-xyl* sampled at different plant heights or at different times
103 of the day show large variances with field observations from i) six Neotropical canopy trees
104 and six Neotropical canopy lianas sampled at different heights in French Guiana, and ii) high
105 temporal resolution *i-H₂O-xyl* data of 6 distinct plant species from the Heihe River Basin in
106 northwestern China (Zhao *et al.*, 2014).

107

108 **2. Materials and Methods**

109 **2.1. Part A: Modelling exploration**

110 **2.1.1. Model derivation**

111 The expected *i-H₂O-xyl* at different stem heights within a tree during the course of the day can
112 be derived from plant and physical properties such as root length density, total fine root surface
113 area, water potential gradients and the isotope composition of soil water. We call this the
114 SWIFT model (i.e. Stable Water Isotopic Fluctuation within Trees). To derive the SWIFT
115 model, we first describe the establishment of *i-H₂O-xyl* entering the tree at stem base via a
116 multi-source mixing model (Phillips & Gregg, 2003). We subsequently consider vertical water
117 transport within the tree, which relates to the established sap flow pattern. Note that the model
118 presented here, focusses on deuterium but can easily be used to study stable oxygen
119 isotopologues. To ensure consistency and clarity in variable declarations we maintain the
120 following notation in the subscripts of variables: uppercase roman to distinguish the medium
121 through which water travels (X for xylem, R for root, S for soil) and lowercase for units of time
122 and distance (*h* for stem height, *t* for time and *i* for soil layer index). A comprehensive list of



123 variables, definitions and units is given in Table 1. A schematic representation of the model is
124 provided in Fig. 1a.

125 *i. Isotope composition of plant xylem water at stem base.*

126 The deuterium isotope composition of xylem water ($\delta^2H_{X,0,t}$) of an individual plant at
127 stem base (i.e. height zero; $h = 0\text{m}$; Fig. 1a) at time t , can theoretically be derived using the
128 multi-source mixing model approach introduced by Phillips & Gregg (2003). Considering a
129 root zone divided into n discrete soil layers of equivalent thickness Δz , if the deuterium isotope
130 composition of soil water ($\delta^2H_{S,i}$) in each soil layer is constant over time, a reasonable
131 assumption if the isotopic measurements are conducted during rain-free periods, $\delta^2H_{X,0,t}$ can
132 be expressed as:

$$133 \quad \delta^2H_{X,0,t} = \sum_{i=1}^n f_{i,t} \cdot \delta^2H_{S,i} \quad \text{Eq. (1)}$$

134 where $f_{i,t}$ is the fraction of water taken up at the i^{th} soil layer (Fig. 1a) defined as:

$$135 \quad f_{i,t} = \frac{RWU_{i,t}}{\sum_{i=1}^n RWU_{i,t}} \quad \text{Eq. (2)}$$

136 and $RWU_{i,t}$ is the net amount of water entering and leaving the roots at time t in the i^{th} soil layer
137 ($RWU_{i,t}$ is defined positive when entering the root). The current representation of the model
138 assumes no water loss via the root system and no mixing of the extracted water from different
139 soil layers within the roots until the water enters the stem base. When tree capacitance is
140 neglected, the sum of $RWU_{i,t}$ across the entire root zone is equal to the instantaneous sap flow
141 at time t , SF_t :

$$142 \quad SF_t = \sum_{i=1}^n RWU_{i,t} = \sum_{i=1}^n -k_i \cdot A_{R,i} \cdot [\Psi_{X,0,t} - (\Psi_{S,i,t} - z_i)] \quad \text{Eq. (3)}$$

143 Where k_i is the plant specific total soil-to-root conductance, $\Psi_{X,0,t}$ is the water potential at the
144 base of the plant stem and $\Psi_{S,i,t}$ is the soil water matric potential (Fig. 1a). Total plant water



145 potential is generally defined as the sum of the pressure, gravity and matrix potential. Hence,
146 $\Psi_{X,0,t}$ represents the xylem pressure potential. The term z_i is the gravimetric water potential
147 necessary to lift the water from depth z_i to the base of the stem, assuming a hydrostatic gradient
148 in the transporting roots. The model considers z_i to be a positive value (zero at the surface), thus
149 z_i is subtracted from $\Psi_{S,i,t}$. $A_{R,i}$ is the absorptive root area distribution over soil layer i (Fig. 1a).
150 This parameter can be derived from plant allometric relations (Čermák *et al.*, 2006) which is
151 subsequently distributed over the different soil layers via Jackson *et al.* (1995).
152 The total soil-to-root conductance is calculated assuming the root and soil resistances are
153 connected in series (Fig. 1a):

$$154 \quad k_i = \frac{k_R \cdot k_S}{k_R + k_S} \quad \text{Eq. (4)}$$

155 where k_R is the effective root radial conductivity (assumed constant and uniform), and $k_S =$
156 $K_{S,i}/\ell$ is the conductance associated with the radial water flow between soil and root surface.
157 $\ell = 0.53/\sqrt{\pi \cdot B_i}$ represents the effective radial pathway length of water flow between bulk soil
158 and root surface (De Jong van Lier *et al.*, 2008)(Vogel *et al.*, 2013). B_i represents the overall
159 root length density distribution per unit of soil. $K_{S,i}$ is the soil hydraulic conductivity for each
160 soil depth. $K_{S,i}$ depends on soil water moisture and thus relates to the soil water potential $\Psi_{S,i,t}$
161 of the soil layer where the water is extracted. $K_{S,i}$ is computed using the Clapp & Hornberger
162 (1978) formulation:

$$163 \quad K_{S,i} = K_{S,max} \cdot \left(\frac{\Psi_{sat}}{\Psi_{S,i,t}} \right)^{2+\frac{3}{b}} \quad \text{Eq. (5)}$$

164 where $K_{S,max}$ is the soil conductivity at saturation and b and Ψ_{sat} are empirical constants that
165 depend on soil type (here considered as constant through all soil layers).

166 Subsequently, $f_{i,t}$ can be restructured as:



$$167 \quad f_{i,t} = \frac{k_i \cdot A_{R,i} \cdot \Delta \Psi_{i,t}}{\sum_{i=1}^n k_i \cdot A_{R,i} \cdot \Delta \Psi_{i,t}} \quad \text{Eq. (6)}$$

168 where the root to soil water potential gradient is represented as $\Delta \Psi_{i,t} = \Psi_{X,0,t} - (\Psi_{S,i,t} - z_i)$.

169 Combining Eq. (1) and Eq. (6) then allows derivation of $\delta^2 H_{X,0,t}$ as follows:

$$170 \quad \delta^2 H_{X,0,t} = \sum_{i=1}^n \left(\frac{k_i \cdot A_{R,i} \cdot \Delta \Psi_{i,t}}{\sum_{j=1}^n k_j \cdot A_{R,j} \cdot \Delta \Psi_{j,t}} \cdot \delta^2 H_{S,i} \right) \quad \text{Eq. (7)}$$

171 This equation requires estimates of $\Delta \Psi_{i,t}$, which is preferably measured instantaneously in the
 172 field (i.e. via stem and soil psychrometers for $\Psi_{X,0,t}$ and $\Psi_{S,i,t}$, respectively). However, as
 173 measurements of $\Psi_{X,0,t}$ are not always available, estimated $\hat{\Psi}_{X,0,t}$ can be derived from sap flow
 174 by re-organizing Eq. (3) into:

$$175 \quad \hat{\Psi}_{X,0,t} = \frac{\sum_{i=1}^n [k_i \cdot A_{R,i} \cdot (\Psi_{S,i,t} - z_i)] - SF_t}{\sum_{i=1}^n k_i \cdot A_{R,i}} \quad \text{Eq. (8)}$$

176 which then allows replacement of $\Psi_{X,0,t}$ with $\hat{\Psi}_{X,0,t}$ in Eq. (7).

177 *ii. Height-dependent isotope composition of plant xylem water*

178 In our model, from the stem base, the water isotopologues simply move upwards with
 179 the xylem sap flow, hence diffusion and water fractionation during transportation are not
 180 considered. The isotope composition in xylem water at height h and time t ($\delta^2 H_{X,h,t}$) is then the
 181 isotope composition of xylem water at stem base at time $t - \tau$.

$$182 \quad \delta^2 H_{X,h,t} = \delta^2 H_{X,0,t-\tau} \quad \text{Eq. (9)}$$

183 where τ is the lag before $\delta^2 H_{X,0,t}$ reaches stem height h (Fig. 1a) which depends only on the
 184 true sap flux density in the xylem (SF_V). True sap flux density indicates the real speed of vertical
 185 water displacement within a plant, derived by dividing SF_t over the lumen area of the plant (A_x ;
 186 Fig. 1a) i.e. the total cross-sectional area of the vessels. τ was derived from the mass
 187 conservation equality:



188
$$h \cdot A_x = \int_{t=0}^{\tau} SF_t dt$$
 Eq. (10)

189 Note that since most scientific studies express sap flux density as the sap flow over the total
190 sapwood area (SF_S), rather than over the total vessel lumen area (SF_V), for consistency, we will
191 present the model outputs as functions of SF_S .

192 *iii. Model parameterization and analyses*

193 We adopted the basic plant parameters from Huang *et al.* (2017) for a loblolly pine
194 (*Pinus taeda L.*) (Table S1). We started with synthetic sap flow patterns and volumes extracted
195 from the model runs of Huang *et al.* (2017) for a typical day (day 11 of the 30 days sequence),
196 and assumed no variation between days. Sap flow follows the plant's water demand which is
197 the result of daily cycles of transpiration driven by photosynthetic active solar radiation (PAR),
198 vapor pressure deficit (VPD) and optimal stomatal response (Epila *et al.*, 2017). Secondly, both
199 the soil water potential ($\Psi_{S,i,t}$) and deuterium isotope composition of soil water ($\delta^2H_{S,i}$)
200 profiles with soil depth were adopted from Meißner *et al.* (2012) (Fig. S2, see Table S1 for
201 equations) and were assumed to stay constant over time. Since measurements of Meißner *et al.*
202 (2012) are derived from a silt loam plot in the temperate climate of central Germany, soil
203 parameters were selected accordingly from Clapp & Hornberger (1978). Subsequently, the
204 following model simulations were executed (see Fig.1a):

205 **1) Analysis A1: impact of temporal SF_t variation on the isotope composition of**
206 **xylem water at a fixed stem height.** Temporal patterns in deuterium isotope
207 composition in xylem water (δ^2H_X) were evaluated for a typical situation, i.e.
208 measurement at breast height ($h=1.30$ m), conforming to standard practice of RWU
209 assessment.



210 2) **Analysis A2: impact of temporal SF_t variation at different tree heights.**

211 Temporal patterns in $\delta^2H_{X,i}$ within a tree at various sampling heights (5, 10 and 15
212 m).

213 3) **Analysis A3: impact of temporal SF_t variation on the isotope composition of**

214 **xylem water and the timing of sampling.** Representation of the profile of δ^2H_X
215 along the full height of a tree, measured at different sampling times (9:00, 11:00 and
216 13:00), with the standard parameterization given in Table S1.

217 4) **Analysis B: variation in δ^2H_X due to differences in absolute daily average sap**

218 **flow speed.** Diurnal patterns in the deuterium isotope composition of xylem water
219 in trees that differ solely in daily averaged SF_V , which are set to 0.56, 0.28 and 0.14
220 m h⁻¹ (respectively corresponding to SF_S values of 0.08, 0.04 and 0.02 m h⁻¹).

221 All parameters (e.g. RWU) of the four analyses are given in Table S1.

222 Model runs for each analysis were compared to a null model. The null model adopts the
223 standard assumption of zero variation in δ^2H_X along the length of the plant body. We used
224 extraction protocol related measurement errors with an accepted maximum error range of 3‰
225 when water extraction recovery rates are higher than 98% (Orlowski *et al.*, 2013). In our null
226 model, this is represented by a normal distribution with a mean of 0‰ and a standard deviation
227 of 1‰, i.e. $N(\mu=0\text{‰}, \sigma=1\text{‰})$, which makes the probability of an error of $\geq 3\text{‰}$ highly unlikely
228 ($p \leq 0.0027$). Analytical errors introduced by the measurement device, i.e. a Picarro (California,
229 USA), are considered negligible relative to the extraction error. Note that SF_V , which
230 normalizes sap flow over total vessel lumen area, is correlated with plant diameter at breast
231 height (DBH) which enables comparison with field measurements without the need for explicit
232 consideration of DBH in the model. SWIFT was implemented in R version 3.4.0 (R Core Team,
233 2017), and is publicly available (see GitHub repository HannesDeDeurwaerder/SWIFT).

234



235 **2.1.2. Estimation of rooting depth**

236 RWU depths were derived from the simulated δ^2H_X values by use of both the direct inference
237 method and the end-member mixing analysis method, together representing 96% of the applied
238 methods in literature (Rothfuss & Javaux, 2017). We refer readers to Rothfuss & Javaux (2017)
239 for a complete discussion of these techniques. Here, average rooting depth is assumed to be the
240 depth obtained by relating the simulated δ^2H_X with the $\delta^2H_{S,i}$ depth profile. We compared
241 rooting depth estimates from simulated δ^2H_X , as described in the analyses above, with the true
242 average rooting depth. The true average rooting depth was defined as the depth corresponding
243 to the daily weighted average δ^2H_X , calculated as the weighted sum of $\delta^2H_{X,i,t}$ and the relative
244 fraction of water taken up at each depth.

245

246 **2.1.3. Sensitivity analysis**

247 We performed two sensitivity analyses to assess the relative importance of all parameters in
248 generating variance in δ^2H_X along the length of a plant. In both sensitivity analyses, we varied
249 model parameters one-at-the-time to assess the local sensitivity of the model outputs. The
250 sensitivity analysis provides insight into the design of field protocols, revealing potential key
251 measurements in addition to any caveats.

252 We first assessed model sensitivity to (bio)physical variables by modifying model
253 parameters of soil type, sap flow and root properties as compared to the standard
254 parameterization (given in Table S1). The following sensitivity analyses were considered:

255 **Soil type:** The soil moisture content over all soil layers ($\theta_{S,i,t}$) can be deduced from the
256 considered Meißner et al. (2012) $\Psi_{S,i,t}$ profile (see Fig. S2 and Table S1) using the Clapp
257 & Hornberger (1978) equation:



$$\theta_{S,i,t} = \theta_{sat} \cdot \left(\frac{\Psi_{S,i,t}}{\Psi_{sat}} \right)^{-1/b} \quad \text{Eq. (11)}$$

258
259 Where θ_{sat} , Ψ_{sat} and b are soil-type specific empirical constants that correspond to
260 sandy loam soil textures in the standard model parameterization (Clapp & Hornberger,
261 1978). The derived soil moisture profile ($\theta_{S,i,t}$), in turn, then provides a basis to study
262 the impact of other soil textures. A new soil texture specific $\Psi_{S,i,t}$ profile can then be
263 deduced by using θ_{sat} , Ψ_{sat} and b values corresponding to different soil texture types
264 (values from Table 2 of Clapp & Hornberger (1978)). This enabled us to study $\Psi_{S,i,t}$
265 profiles for four distinct soil types, i.e. (i) sand, (ii) loam, (iii) sandy clay and (iv) clay
266 soils, in relation with the original silt loam $\Psi_{S,i,t}$ profile.

267 **Volume of water uptake:** We varied the total diurnal volume of water taken up by the
268 tree. New SF_t values are scaled using algorithms from literature that provide an estimate
269 of the daily sap flow volume of a tree based on its DBH (Andrade *et al.*, 2005; Cristiano
270 *et al.*, 2015).

271 **Root conductivity:** We varied the root membrane permeability (k_R) to match multiple
272 species specific values found in literature (Sands *et al.*, 1982; Rüdinger *et al.*, 1994;
273 Steudle & Meshcheryakov, 1996; Leuschner *et al.*, 2004).

274 The second set of sensitivity analyses test the impact of root hydraulics, sap flux density
275 and sampling strategies on the sampled δ^2H_x . We obtained 1000 samples per parameter from
276 corresponding distributions and ranges (given in Table S2) with a Latin hypercube approach
277 (McKay *et al.*, 1979; McKay, 1988). This is a stratified sampling procedure for Monte Carlo
278 simulation that can efficiently explore multi-dimensional parameter space. In brief, Latin
279 Hypercube sampling partitions the input distributions into a predefined number of intervals
280 (here 1000) with equal probability. Subsequently, a single sample per interval is extracted in an



281 effort to evenly distribute sampling effort across all input values and hence reduce the number
282 of samples needed to accurately represent the parameter space.

283

284 **2.2. Part B: Empirical exploration**

285 **2.2.1. Data on variation in i-H₂O-xyl with plant height**

286 We used data for six canopy trees and six canopy lianas sampled on two subsequent dry days
287 (24-25 August, 2017) at the Laussat Conservation Area in Northwestern French Guiana. The
288 sampling site (05°28.604'N-053°34.250'W) lies approximately 20 km inland at an elevation of
289 30 m a.s.l. This lowland rainforest site has an average yearly precipitation of 2500 mm yr⁻¹
290 (Baraloto *et al.*, 2011). Average and maximum daily temperatures of respectively 30°C and
291 36°C were measured during the sampling period. Sampled individuals are located in the white
292 sands forest habitat (Baraloto *et al.*, 2011), on a white sandy ultisol with typically high
293 percentage of sand.

294 Individuals (Table 2) were selected based on assessment of climbable tree, intactness of
295 leafy canopy vegetation and close vicinity with one another to optimize similarity in
296 meteorological and edaphic characteristics. Liana diameters were measured at 1.3 m from the
297 last rooting point (Gerwing *et al.*, 2006), tree diameters were measured at 1.3 m (Table 2).
298 Sampling was performed between 9am and 2pm to assure high sap flow. Liana and tree
299 sampling allowed highly contrasted sap flux density (Gartner *et al.*, 1990).

300

301 **2.2.2. Sampling strategy**

302 The stem xylem tissue of individual plants was sampled at different heights (1.3, 5, 10, 15 and
303 20 m where possible) at the same radial position of the stem, between 8:00 and 15:00. The order
304 of sampling, i.e. ascending versus descending heights, was randomized. Tree stem xylem



305 samples were collected with an increment borer (5 mm diameter), resulting in wooden cylinders
306 from which bark and phloem tissues were removed. Coring was performed within the horizontal
307 plane at the predefined heights, oblique to the center of the stem to maximize xylem and
308 minimize heartwood sampling, and slowly to avoid heating up the drill head and kinetic
309 fractionation. Taking one sample generally took between 5 and 10 minutes. Since coring lianas
310 was not possible, we collected cross-sections of the lianas after removing the bark and phloem
311 tissue with a knife. All materials were thoroughly cleaned between sampling using a dry cloth
312 to avoid cross-contamination. Upon collection, all samples were placed in pre-weighed glass
313 collection vials, using tweezers, to reduce contamination of the sample. Glass vials were
314 immediately sealed with a cap and placed in a cooling box, to avoid water loss during
315 transportation.

316

317 **2.2.3. Sample processing**

318 Sample processing was performed as in De Deurwaerder *et al.* (2018). Specifically, all fresh
319 samples were weighed, transported in a cooler and frozen before cryogenic vacuum distillation
320 (CVD). Water was extracted from the samples via CVD (4 h at 105°C). Water recovery rates
321 were calculated from the fresh weight, weight after extraction and oven dry weight (48 h at
322 105°C). Samples were removed from the analysis whenever weight loss resulting from the
323 extraction process was below 98% (after Araguás-Araguás *et al.*, 1998). The isotope
324 composition of the water in the samples was measured by a Wavelength-Scanned-Cavity Ring-
325 Down Spectrometer (WS-CRDS, L2120-i, Picarro, California, USA) coupled with a vaporizing
326 module (A0211 High Precision Vaporizer) through a micro combustion module to avoid
327 organic contamination (Martin-Gomez *et al.*, 2015; Evaristo *et al.*, 2016). Internal laboratory
328 references were used for calibration, with measurement precision of $\pm 0.1\%$ and $\pm 0.3\%$ for $\delta^{18}\text{O}$



329 and $\delta^2\text{H}$, respectively. Post-processing was performed using SICalib (version 2.16; Gröning,
330 2011)

331 Isotopic composition, expressed in terms of $^{18}\text{O}/^{16}\text{O}$ and $^2\text{H}/^1\text{H}$ ratios, is
332 represented by δ -values (in our case, $\delta^{18}\text{O}$ and $\delta^2\text{H}$), which indicate the deviation from a
333 designated standard (i.e. V-SMOW, Vienna Standard Mean Ocean Water) in parts per thousand
334 (expressed in ‰):

$$335 \quad \delta_{\text{sample}(\text{‰})} = \left(\frac{R_{\text{sample}}}{R_{\text{standard}}} - 1 \right) \cdot 1000 \quad \text{Eq. (12)}$$

336 where R is the heavy to light isotope ratio measured in the sample or standard. We calculate
337 normalized $i\text{-H}_2\text{O}\text{-}xyl$ for each individual at every sampled height h (ε^2H_X and $\varepsilon^{18}O_X$) as being
338 the deviation of each sample from the stem mean (derived from all stem samples of that
339 individual):

$$340 \quad \varepsilon^2H_{X,h} = \delta^2H_{X,h} - \frac{1}{N} \sum_{h=1}^N \delta^2H_{X,h} \quad \text{Eq. (13)}$$

341 with N the number of heights sampled per individual.

342

343 **2.2.4. Data on high temporal-resolution variation in $i\text{-H}_2\text{O}\text{-}xyl$**

344 We used data from three extensive field campaigns by Zhao *et al.* (2014) who sampled plant $i\text{-}$
345 $\text{H}_2\text{O}\text{-}xyl$ at high temporal resolution in the Heihe River Basin (HRB), northwestern China. Four
346 distinct study locations differing in altitude, climatological conditions and ecosystem types
347 were selected. At each location, the dominant tree, shrub and/or herb species was considered
348 for sampling. In August 2009, *Populus euphratica* was sampled in the Qidaoqiao riparian forest
349 ($42^\circ 01' \text{N}$ - $101^\circ 14' \text{E}$) and *Reaumuria soongorica* in the Gobi desert ecosystem ($42^\circ 16' \text{N}$ -
350 $101^\circ 17' \text{E}$; 906-930 m a.s.l). In June–September 2011 *Picea crassifolia*, *Potentilla fruticosa*,
351 *Polygonum viviparum* and *Stipa capillata* were measured in the Pailugou forest ecosystem
352 ($38^\circ 33' \text{N}$ - $100^\circ 18' \text{E}$; 2700-2900 m a.s.l). All species were samples 2-hourly, with the exception



353 of *P. crassifolia* which was measured hourly. Stem samples were collected for trees and shrubs,
354 while root samples were obtained for the herb species (more details in Zhao *et al.* (2014)).

355 Upon collection, all samples were placed in 8 mL collection bottles and frozen in the
356 field stations before transportation to the laboratory for water extraction via CVD (Zhao *et al.*,
357 2011). Both $\delta^{18}O$ and δ^2H were assessed with an Euro EA3000 element analyzer (Eurovector,
358 Milan, Italy) coupled to an Isoprime isotope ratio mass spectrometer (Isoprime Ltd, UK) at the
359 Heihe Key Laboratory of Ecohydrology and River Basin Science, Cold and Arid Regions
360 Environmental and Engineering Research institute. Internal laboratory references were used for
361 calibration, resulting in measurement precision of $\pm 0.2\%$ and $\pm 1.0\%$ for $\delta^{18}O$ and δ^2H ,
362 respectively.

363

364 **3. Results**

365 **3.1. Part A: Modelling exploration**

366 **3.1.1. Simulated temporal fluctuation in isotope composition of plant xylem water**

367 *i. Isotope composition of xylem water at stem base and basic model behavior*

368 At the stem base, simulated $\delta^2H_{X,0,t}$ displays a diurnal fluctuation (Fig. 2) that corresponds to
369 the daily sap flow pattern (Fig. 2c). This pattern is caused by shifting diurnal RWU depth. Early
370 in the morning, when transpiration is low, most of the RWU occurs in deeper layers, where soil
371 water potential is less negative and isotopic composition of soil water is dominated by depleted
372 deuterium (Fig. S2a-b). As transpiration increases during the day, a significant proportion of
373 RWU is extracted from the drier shallow layers, which have an enriched isotopic composition.
374 In the afternoon, as transpiration declines, isotopic composition reflects again the composition
375 of the depleted deep soil and it remains constant throughout the night because SWIFT does not
376 consider mixing of the internal water in stem and roots nor hydraulic lift.



377 The most enriched δ^2H_X -values (approx. -59‰) are found in alignment with the diurnal
378 minimum of $\Psi_{X,0,t}$ (approx. -0.85 MPa, Fig. 2c). At this moment, $\Delta\Psi_{i,t}$ are maximized, enabling
379 water extracting from the upper and driest soil layers. Most root biomass is located near the
380 surface (cf. Jackson *et al.*, 1995; Fig. S2c) and uptake in these layers will result in relatively
381 high contributions to the total RWU.

382 In contrast, $\Delta\Psi_{i,t}$ are smaller in the early morning and late afternoon causing root water
383 uptake in the upper soil layers to halt. The decreasing $\Delta\Psi_{i,t}$ translates into higher proportions of
384 RWU originating from deeper, more depleted soil layers. This causes δ^2H_X to drop to a baseline
385 of approx. -67‰. This afternoon depletion of δ^2H_X will henceforth be indicated as the δ^2H_X -
386 baseline drop.

387 *ii. Isotope composition of xylem water at different times, heights and SF_v*

388 Temporal fluctuation in δ^2H_X within a tree at 1.3 m (i.e. the standard sampling height;
389 Analysis A1; Fig. 1a) and at other potential sampling heights (e.g. branch collection; Analysis
390 A2; Fig. 1a), are provided in Fig. 2a-b, respectively. Both analyses show that fluctuations in
391 δ^2H_X depend on the height of measurement and the corresponding time needed to move the
392 water along the xylem conduits. Note that it depends on the selected temporal resolution
393 whether the δ^2H_X -baseline drop at a given height equals the (stem base) minimum (here 1 min,
394 see Fig. S6). The relation between δ^2H_X variance and cumulative sap flow volumes is provided
395 in Fig. 2d. Here, the piston flow dynamics in SWIFT originate from lateral translation of the
396 δ^2H_X fluctuation at $\delta^2H_{X,0,t}$. In addition to sampling height, analysis A3 depicts the importance
397 of sampling time (Fig. 1b).

398 Analysis B outputs predict the occurrence and width of the δ^2H_X -baseline drop as a function
399 of SF_V (Fig. 1c). Moreover, depending on SF_V , the isotopic signal can take hours or days to
400 travel from roots to leaves - as is also observed experimentally (Steppe *et al.*, 2010). Low SF_V



401 allows multiple δ^2H_X -baseline drops over the length of a single tree. This means that sampled
402 δ^2H_X can reflect soil isotopic composition of the past several days. This has direct implications
403 for comparing samples obtained at different times and heights and for species that experienced
404 different SF_V histories.

405

406 **3.1.2. Potential biases in root depth estimation**

407 Both timing of measurement (Fig. 3a) and SF_V (Fig. 3b) influence rooting depth estimates
408 derived via the direct inference and end-member mixing analysis method (Fig. S2) (Rothfuss
409 & Javaux, 2017). Collection of tree samples at 1.30 m can result in erroneous estimation,
410 deviating up to 104% from the average daily RWU depth (Fig. 3). Plotting the relative error in
411 RWU depth as a function of time and SF_V (Fig. 3c) shows that it is possible to time δ^2H_X
412 measurements in a fashion that captures unbiased estimates of the average RWU depth. Xylem
413 water sampling should be timed to capture the δ^2H_X that corresponds to water extracted at peak
414 RWU, and the expected sampling time can be derived by considering the time needed for the
415 water to reach the point of measurement (i.e. at 1.30 m in Fig. 3). In general, SWIFT predicts
416 that plants with slow SF_V should not be measured during the morning hours, as this results in
417 measuring the preceding days' absorbed water. In contrast, trees with higher SF_V support earlier
418 sample collection.

419

420 **3.1.3. Sensitivity analysis**

421 Our sensitivity analyses shows that the expected absolute error in RWU depth assessment is
422 directly related to both 1) maximum variance in and 2) the probability of sampling non-
423 representative δ^2H_X values. The maximum variance depends on the height, while the probability
424 of sampling non-representative areas depends on the width of the “ δ^2H_X -baseline drop”



425 respectively (defined above). Hence, bias in δ^2H_X is predominantly a function of the sampling
426 strategy (timing and height of sampling; Fig. S3) in relation to the SF_V of the plant (shown by
427 a strong effect of lumen area and total diurnal RWU volume in Fig. S3) and some biophysical
428 parameter (Fig. S4). We summarized the most important variables as predicted by SWIFT, that
429 should be considering in RWU studies below.

430 Plants on loam soils show larger diurnal δ^2H_X variances ($\sim 8\%$) in comparison with those of
431 clay soils ($\sim 3\%$). Larger variances correspond to potentially larger error, but the steeper slope
432 of the δ^2H_X curve results in a thinner δ^2H_X -baseline drop. Hence, loam soil can result in
433 potentially the largest errors but this is mediated by a lower probability of sampling non-
434 representative δ^2H_X values during the day.

435 The volume of water taken up by the plant (SF_t ; Fig. S4b) affects xylem water potential of
436 the plant at stem base ($\Psi_{X,0,t}$). Higher SF_t requires more negative $\Psi_{X,0,t}$, enabling the plant to
437 access more shallow and enriched soil layers. Therefore, an increase in SF_t results in the
438 increase of maximum δ^2H_X values (increased maximum error) but also results in a smaller width
439 of the baseline drop (Fig. 1c). Lower SF_t result in smaller error, but larger probability of
440 sampling an non-representative area (Fig. 1c).

441 Root properties, i.e. root membrane permeability (Fig. S4c) strongly influence both the total
442 range of δ^2H_X variance and the width of the δ^2H_X -baseline drops. Decreasing root permeability
443 results in thinner δ^2H_X -baseline drops, but higher maximum δ^2H_X variance.

444

445 3.2. Part B: Empirical exploration

446 The observed normalized deuterium isotope composition in xylem water (ϵ^2H_X) along the height
447 of lianas and trees showed strong intra-individual variance exceeding the null model by a factor



448 of 3.2 and 4.3 respectively (Fig. 4a-b). Specifically, differences up to 13.1‰ and 18.3‰ in δ^2H
449 and 1.3‰ and 2.2‰ in $\delta^{18}O$ are observed as intra-individual variances for trees and lianas
450 respectively (Table 2).

451 Similarly, excessive diurnal intra-individual δ^2H_X variances emerge in all considered
452 growth forms (Fig. 5). Observed maximums were 18.0‰, 21.0‰ and 25.2‰ in δ^2H_X for trees,
453 shrubs and herbs respectively (Fig. 5; 2.8‰, 6.8‰ and 6.5‰ in $\delta^{18}O_X$ in Fig. S5). The null
454 model expected diurnal variance was exceeded for each species during its measurement period,
455 with the exception of δ^2H_X measurements of *P. euphratica*. The latter is a riparian forest species,
456 living along the river course, where an easily accessible and abundant ground-water reservoir
457 drives its RWU and *i-H₂O-xyl*.

458

459 **4. Discussion**

460 **4.1. Dynamic diurnal isotope compositions of xylem water along plant stems**

461 Our model shows that basic plant hydraulic functioning will result in shifting mixtures of δ^2H_X
462 entering the plant (Fig. 1a-2a). Daily $\Psi_{X,0,t}$ fluctuations interact with the $\Psi_{S,i,t}$ profile causing
463 different parts of the root distribution to be active during the day. The fluctuations in δ^2H_X at
464 the stem base propagate along the xylem with a velocity proportional to the sap flow and this
465 produces variability in sampled δ^2H_X that is much larger than the expected measuring error. In
466 addition, empirical field data show excessive *i-H₂O-xyl* variance along the stem length (Fig. 4)
467 and over a short time frame (i.e. sub-daily, Fig. 5). Therefore, the assumption of uniform δ^2H_X
468 along the length of a lignified plant is rejected, both theoretically and empirically.
469 Consequently, rather than being static, δ^2H_X values along the height of a plant should be
470 considered a dynamic diurnal process.



471 Importantly, we show that violation of this assumption results in incorrect assessment
472 of differences in RWU depths between plants. Differences do not necessarily result from
473 variability in RWU depth, but may result from monitoring plants at different heights (Fig. 2),
474 at different times (Fig. 1b) or by comparing individuals which have different SF_V (Fig. 1c). Our
475 sensitivity analysis reveals that various soil and plant characteristics have an important role in
476 determining both the daily maximum δ^2H_X variance as well as the width of the δ^2H_X -baseline
477 drop. These two characteristics directly impact (i) the expected maximum bias in estimates of
478 RWU depth and (ii) the chance of measuring δ^2H_X values that do not represent a mixture of all
479 rooting layers during peak RWU (i.e. the baseline drop). Our work supplements the recent
480 overview of Penna *et al.* (2018) discussing challenges in using stable isotope composition of
481 water to study the terrestrial water fluxes. We additionally advocate that future research should
482 explore the minimum set of (bio)physiological drivers and processes that require quantification
483 to correctly interpret δ^2H_X along the length of a plant.

484

485 **4.2. General applicability of model and results**

486 A necessary condition for diurnal shifts in RWU is the existence of a water potential
487 heterogeneity, e.g. more negative water potentials in the upper layers where trees usually have
488 higher root density, which causes a disproportional partitioning of diurnal RWU between deep
489 and shallow roots. Since such a gradient is formed when the upper soil layers undergo
490 evaporation, these conditions are also necessary for the existence of a soil isotopic gradient.
491 Thus, the problem we have identified is intrinsic to the isotopic tracing method for RWU
492 assessment.

493 Plant transpiration results from complex interaction between atmospheric demands (i.e.
494 driven by VPD and radiation) and stomatal conductance which depends on tolerance of drought



495 stress and soil moisture content. We may expect diurnal fluctuation in radiation and VPD, and
496 hence in water transport and depth of water absorption, as modelled here to be a general
497 phenomenon in nature. Hence, there is a real risk of misinterpretation and calculation errors
498 within the existing literature whenever *i-H₂O-xyl* are used to assess RWU and water competition
499 strategies. Moreover, much greater fluctuations in VPD and radiation should be expected under
500 natural conditions than the diurnal cycle described here, and these will increase variability of
501 transpiration fluxes, leading to even more complex dynamics of $\Psi_{X,0,t}$. For instance, slight
502 alterations in these variables, i.e. a changing degree in cloud cover, can influence $\Psi_{X,0,t}$ rather
503 abruptly (for e.g. Iliadis; Chen *et al.*, 2015) and lead to instantaneous changes in δ^2H_X . Clearly
504 this further complicates the comparison of samples from different plants and sampled at
505 different heights and times, to date overlooked in RWU assessments, and our model certainly
506 illustrates that these considerations are non-trivial.

507 Note that, based on our model, we expect that soil isotopic enrichment experiments will
508 generate extensive δ^2H_X variation along the length of trees whenever diurnal RWU fluctuations
509 cause water extraction to shift between labeled and unlabeled soil layers. Furthermore, when
510 enrichment experiments target trees with different hydraulic properties (such as SF_V) care
511 should be taken as to determine when and where to sample these trees in order to assess an
512 enriched isotope composition. Researchers should be certain the signal will be present at the
513 sample height (Fig. 1-2).

514

515 **4.3. Alternative causes of *i-H₂O-xyl* fluctuation.**

516 The SWIFT model provides a simple traceable and mechanistic explanation, using diurnal
517 variations in SF_i and RWU, for the excessive variance and dynamic nature of the *i-H₂O-xyl*
518 fluctuations with plant height and time of field samples (e.g. Fig. 4-5) and elsewhere (Cooper



519 *et al.* 1991). We believe that our model provides a plausible simple explanation for diurnal *i*-
520 H_2O -*xyl* variation, which contributes to the variation that is observed empirically. Nevertheless,
521 the model necessarily represents a simplified representation of plant hydraulic functioning and
522 is therefore limited. There may be alternative causes that contribute to the observed intra-
523 individual *i*- H_2O -*xyl* variances. We discuss these here.

524 *i. Fractionation at root level*

525 An increasing body of observations show the occurrence of isotopic fractionation at the root
526 level governed by root membrane transport (Lin & Sternberg, 1993; Vargas *et al.*, 2017) or by
527 unknown reasons (Zhao *et al.*, 2016). Brinkmann *et al.* (2019) hypothesize that root level
528 fractionation causes disparity when RWU depth calculations based on δ^2H_X measurements are
529 compared with those of $\delta^{18}O_X$. However, it is difficult to imagine a scenario where root
530 fractionation by itself can explain the observed diurnal fluctuations in *i*- H_2O -*xyl* with height
531 and time. Even if root fractionation significantly contributed to variation in *i*- H_2O -*xyl*, we would
532 still need to take into account diurnal fluctuation in RWU to explain the observed patterns.

533 *ii. Temporal and spatial soil dynamics*

534 The dynamics of soil water movement is complex and soil water content can be extremely
535 heterogeneous in the three spatial dimensions and such variation is currently not represented in
536 SWIFT. Hydraulic lift is a process that generates a vertical redistribution of water in the soil
537 through the roots (Dawson & Ehleringer, 1993), which may change the soil water isotopic
538 composition and mixture drawn up by roots. However, hydraulic lift should redistribute and
539 mix the depleted isotopic signal of deeper layers with the enriched signal of shallower layers.
540 This should lead to lower variation in the soil profile, and less variation along plant length, as
541 such hydraulic lift cannot explain the observed patterns. Heterogeneity in horizontal
542 distribution of water pockets may also affect *i*- H_2O -*xyl* variance. Under these conditions, the



543 horizontal distribution of the absorptive root area becomes more important. However, as the
544 $\Psi_{S,i,t}$ and the isotope composition of soil water of these pockets are interlinked, the mechanistic
545 driver of water extraction is the diurnal fluctuation in water potential gradients in the plant,
546 conform SWIFT.

547 *iii. Storage tissue and phloem enrichment*

548 Storage tissues release water and sugars in the xylem conduits on a daily basis to support
549 water transpiration demand (Goldstein et al., 1998; Morris et al., 2016; Secchi et al., 2017) or
550 to repair embolism (Salleo et al., 2009; Secchi et al., 2017). Both water and sugars are
551 transported in and out storage tissue via symplastic pathways using plasmodesmata and
552 aquaporins (Knipfer et al., 2016; Secchi et al., 2017), a pathway which has been linked to
553 isotopic fractionation in roots (Ellsworth & Williams, 2007). Moreover, phloem transports
554 photosynthetic assimilates constructed at the leaf level potentially affected by transpiration
555 fractionation (Gessler et al., 2013). Hence, these metabolic molecules might be constructed
556 from enriched 2H and ^{18}O atoms. Water release from storage or phloem tissue might locally
557 alter $i-H_2O-xyl$ (White et al., 1985). Additionally, time between water storage and release could
558 bridge multiple days, and corresponding isotopic composition may reflect different soil
559 conditions. It is evident that such dynamics are complex, and it is hard to predict how storage
560 tissue and phloem enrichment affect the $i-H_2O-xyl$ patterns observed here. Xylem isotopic
561 sampling cannot differentiate between water resulting from RWU or storage, and therefore we
562 cannot discount the possibility that tissue and phloem enrichment play a role. At a minimum
563 this adds further uncertainty to RWU assessment.

564 Further studies should determine whether the implementation of additional mechanisms
565 such as tree capacitance, root and stem level fractionation, spatiotemporal soil water dynamics,



566 more detailed root systems or storage tissues impact the intra-individual $i\text{-H}_2\text{O}\text{-xyl}$ and should
567 be accounted for to improve RWU assessment and interpretation.

568

569 **4.4. The way forward**

570 Combining a plant hydraulic model with *in situ* SF_V and *in situ* $\Psi_{S,i,t}$ can help improve
571 the robustness of RWU assessment and interpretation. Measurements of $\Psi_{S,i,t}$ at multiple
572 depths, i.e. by installing multiple soil water potential sensors that measure at high temporal
573 frequency, should be especially valuable since the SWIFT model showed high sensitivity to
574 alterations of this variable and these can be directly supplied as model inputs. At the same time,
575 the availability of SF_t measurements allows for identifying the moment when water uptake from
576 all root layers is at its maximum, which can be used to determine the optimal timing of sampling
577 at a given height providing a more robust estimation of RWU depth and uptake.

578 Alongside the modeling and theoretical approach presented here, new ways to study
579 δ^2H_X at a high temporal scale are strongly encouraged. For example, pioneering work of
580 Volkmann *et al.* (2016) to the development of an *in situ* continuous isotope measurement
581 technique that offers the possibility for monitoring $i\text{-H}_2\text{O}\text{-xyl}$ at a sub hourly resolution. This
582 technique holds strong promise for further elucidating the natural δ^2H_X variances found within
583 plants and the physiology processes from which these variances result. Such high temporal
584 resolution of isotope measurements, coupled with *in situ* monitoring of various environmental
585 and plant biophysical metrics, are needed for both model improvement and further validation.
586 Moreover, these seem inevitable to eventually differentiate all causal mechanisms of the
587 observed intra-individual $i\text{-H}_2\text{O}\text{-xyl}$ variance.

588

589 **5. Conclusions**



590 We have demonstrated that the assumption of no intra-individual *i-H₂O-xyl* variation is rapidly
591 violated once models incorporate even basic plant hydraulic functioning. Moreover, the
592 incorrectness of this assumption is confirmed by empirical field data, showing excessive
593 variance and high temporal fluctuations in *i-H₂O-xyl*. We expect the observed *i-H₂O-xyl*
594 variance and sub-daily fluctuations result, in part, from the mechanisms considered in the
595 SWIFT model, though they likely represent an end product of various physiological processes
596 which impact *i-H₂O-xyl*.

597 Our theoretical explorations warn that variability in the isotope composition of plant
598 xylem water can result in erroneous RWU depth estimation and will complicate the
599 interpretation and comparison of data: samples taken at different heights, times or plants
600 differing in SF_V may incorrectly show differences in RWU depth. We further predict that
601 various soil parameters and plant hydraulic parameters affect (i) the absolute size of the error
602 and (ii) the probability of measuring *i-H₂O-xyl* values that do not represent the well-mixed
603 values during the plants' peak RWU. Hydraulic models, such as SWIFT, should be used to
604 design more robust sampling regimes that enable improved comparisons between studied
605 plants. We advocate the addition of SF_t , which indirectly reflects diurnal RWU fluctuations,
606 and $\Psi_{S,i,t}$ monitoring as a minimum in future RWU assessments since these parameters were
607 predicted to be the predominant factors introducing variance in *i-H₂O-xyl* from the SWIFT
608 model exploration. However, soil texture and root permeability are also key considerations to
609 measure especially when comparing across species and sites.

610 Our findings do not exclude additional factors that impact the observed intra-individual
611 *i-H₂O-xyl* variance and temporal fluctuation. Therefore, we strongly emphasize the need for
612 more testing. Directed studies that validate and quantify the relative impact of other plant
613 physiological processes towards variance in *i-H₂O-xyl* are a prerequisite before improved
614 modeling tools can be developed, and bias in RWU assessments eliminated.



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627

628 **Author contribution**

629 H.V., M.D.V and P.B. supervised and provided guidance throughout all aspects of the research.
630 H.D.D., M.D.V and H.V. designed the study. H.D.D., L.Z. and L.W. collected the samples and
631 data during the field campaign and performed the processing and analysis of the samples. The
632 model was developed and coded by H.D.D, M.D.V, M.D. and F.M. All authors contributed to
633 interpretation of the results and to the text of the manuscript.

634

635 **Data availability**

636 Both the data and the SWIFT model are available on the GitHub repository
637 HannesDeDeurwaerder/SWIFT

638



639 **Competing interests**

640 The authors declare that they have no conflict of interest.

641



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816 **Tables**

817 **Table 1.** Nomenclature.

Symbol	Description	Unit
$A_{R,i}$	The absorptive root area distribution over soil layer i	m^2
A_{Rtot}	The plants' total active fine root surface area	m^2
$A_{SAPWOOD}$	Sapwood area	m^2
A_x	Total lumen area	m^2
b	Shape parameter for the soil hydraulic properties (Clapp & Hornberger, 1978)	dimensionless
B_i	The overall root length density distribution per unit of soil, not necessarily limited to the focal plant.	m m^{-3}
$\delta^2H_{X,0,t}$	Isotope composition of plant xylem water at stem base at time t	in ‰ VSMOW
$\delta^2H_{X,h,t}$	Isotope composition of plant xylem water at height h and time t	in ‰ VSMOW
$\delta^2H_{S,i}$	Isotope composition of soil water of the i^{th} soil layer (constant over time)	in ‰ VSMOW
δ_{sample}	Isotope composition of water within a sample	in ‰ VSMOW
$\Delta\hat{\Psi}_{i,t}$	Estimated water potential gradient between stem base and the i^{th} soil layer at time t derived from Eq. (8)	m
$\Delta\Psi_{i,t}$	Soil water potential gradient between soil and roots at the i^{th} soil layer at time t	$\text{m H}_2\text{O}$
$\epsilon^2\text{H}_X ; \epsilon^{18}\text{O}_X$	Normalized isotope composition of plant xylem water	in ‰ VSMOW
$f_{i,t}$	Fraction of water taken up in the i^{th} soil layer at time t	dimensionless
h	Measurement height	m
i	Soil layer index	dimensionless
$i\text{-H}_2\text{O-}xyl$	Isotope composition of plant xylem water	in ‰ VSMOW
k_i	Soil-root conductance of the i^{th} soil layer	s^{-1}
K_{max}	Maximum soil hydraulic conductivity	m s^{-1}
k_R	Effective root radial conductivity	s^{-1}
k_S	The conductance associated with the radial water flow between the soil and the root surface	s^{-1}
$K_{S,i}$	Soil hydraulic conductivity at the i^{th} soil layer	m s^{-1}
ℓ	The approximated radial pathway length of water flow between bulk soil and root surface	m
LF	Lumen fraction per unit sapwood area	$\text{m}^2 \text{m}^{-2}$
n	Number of unique contributing water sources	#
Ψ_{sat}	Soil water potential at soil saturation	m
$\Psi_{S,i,t}$	Soil water potential of the i^{th} soil layer at time t	m
$\Psi_{X,0,t}$	Water potential at the base of the plant stem at time t	m



R	Heavy to light isotope ratio measured in the sample or standard	%
$RWU_{i,t}$	Net amount of water entering and leaving the root tissues per unit of time in the i^{th} soil layer at time t	$\text{m}^3 \text{s}^{-1}$
SF_t	Instantaneous sap flow at time t	$\text{m}^3 \text{s}^{-1}$
SF_S	Sap flow velocity, calculated as the sap flow per sapwood area	m h^{-1}
SF_V	True sap flux density, calculated as the sap flow per lumen area	m h^{-1}
τ	Delay before the isotope composition of xylem water at stem base reaches stem height h	s
θ_{sat}	Soil moisture content at soil saturation	$\text{m}^3 \text{m}^{-3}$
$\theta_{S,i,t}$	Soil moisture content of the i^{th} soil layer at time t	$\text{m}^3 \text{m}^{-3}$
z_i	Soil depth of the i^{th} soil layer	m

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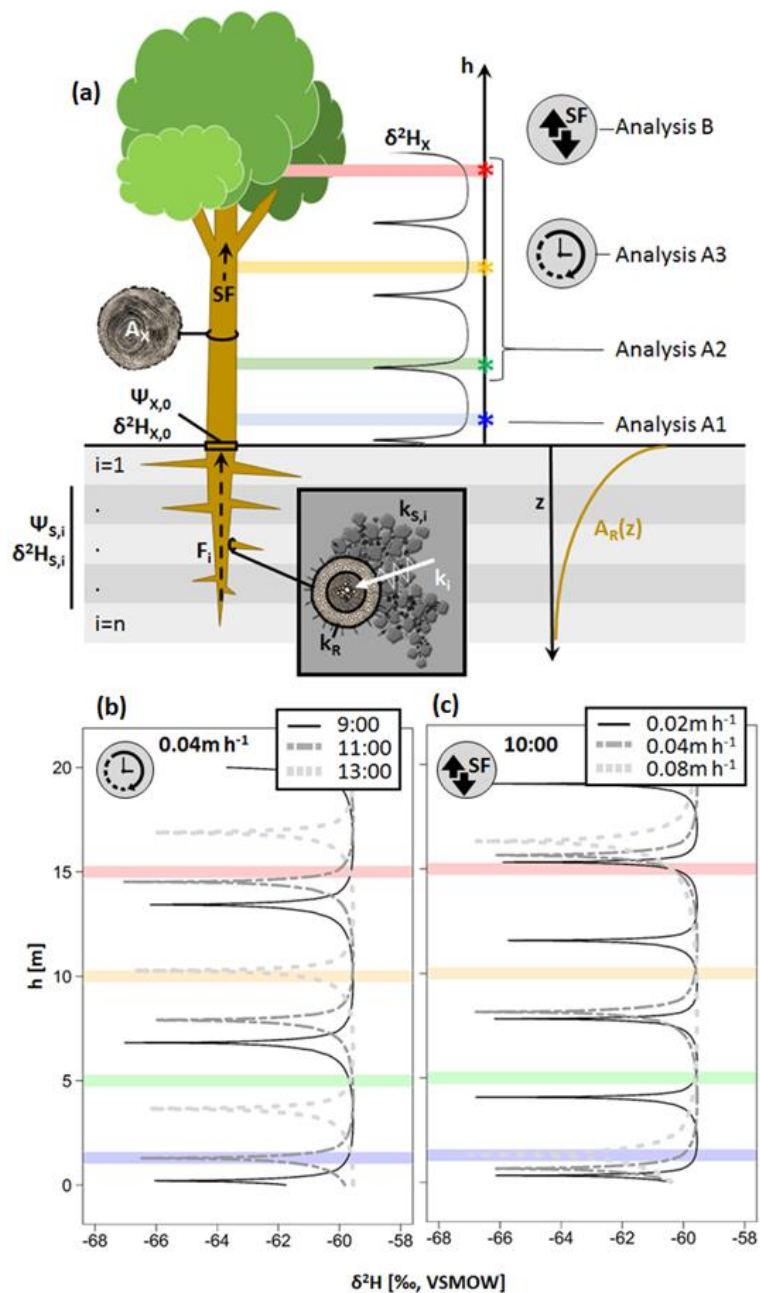
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Table 2. Sampled liana and tree individuals, provided with their species, respective diameter at breast height (DBH, in cm) and their δ^2H and $\delta^{18}O$ ranges (in ‰, VSMOW) measured per individual.

Code	Growth form	DBH [cm]	Family	Species name	δ^2H_X -range [in ‰, VSMOW]	$\delta^{18}O_X$ -range [in ‰, VSMOW]
SP1	Tree	15.6	Moraceae	<i>Coussapoa</i> sp.	-30.1; -25.5	-2.8; -2.6
SP2	Tree	50.9	Fabaceae	<i>Youacapoua americana</i>	-23.9; -18.1	-3.1; -2.2
SP3	Tree	44.6	Vochysiaceae	<i>Erisma nitidum</i>	-27.7; -20.8	-3.2; -1.9
SP4	Tree	26.1	Sapotaceae	<i>Micropholis guyanensis</i>	-29.8; -28.0	-3.0; -2.9
SP5	Tree	21.0	Anacardiaceae	<i>Tapirira guyanensis</i>	-31.1; -18.0	-3.2; -2.2
SP6	Tree	49.7	Fabaceae	<i>Albizia pedicellaris</i>	-26.9; -22.1	-3.2; -2.6
SP1	Liana	2.8	Polygonaceae	<i>Coccoloba</i> sp.	-27.9; -20.7	-3.9; -2.3
SP2	Liana	2.7	Convolvulaceae	sp.	-29.3; -24.0	-4.4; -2.9
SP3	Liana	0.8	Moraceae	sp.	-40.8; -22.6	-4.5; -2.3
SP4	Liana	3.8	Combretaceae	<i>cf. rotundifolium Rich.</i>	-23.6; -15.2	-2.9; -2.0
SP5	Liana	0.7	Convolvulaceae	<i>Maripa cf violacea</i>	-31.6; -19.7	-3.8; -2.7
SP6	Liana	3.8	Convolvulaceae	<i>Maripa</i> sp.	-35.3; -24.4	-4.8; -3.1



824 **Figures**

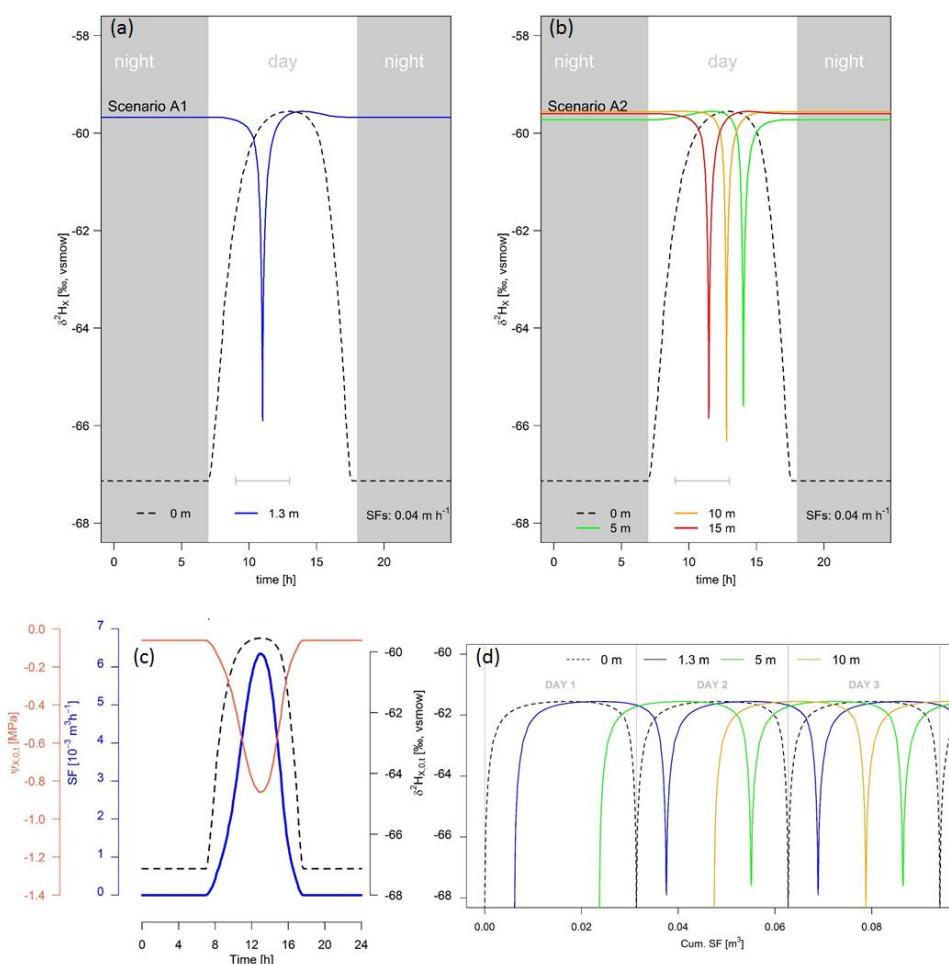


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827 **Fig. 1. Panel a:** Schematic representation of the model and considered analysis detailed in the
828 text. **Panel b:** Model outputs for model analysis A3 representing the deuterium isotope
829 composition of xylem water ($\delta^2\text{H}_X$) as a function of the tree height simulated for different
830 sampling times (9:00, 11:00 and 13:00). The modeled tree has an average daily sap flux density
831 of 0.04 m h^{-1} (SF_S), which corresponds to an average daily true sap flux density of 0.28 m h^{-1}
832 (SF_V). Panel c: Model outputs for model analysis B where $\delta^2\text{H}_X$ in relation with stem height is
833 shown at 10:00 h, but parameterized with distinct average sap flux density, i.e. 0.08, 0.04 and
834 0.02 m h^{-1} (corresponding to an average true sap flux density SF_V of 0.56, 0.28 and 0.14 m h^{-1} ,
835 respectively). The standard parameterization used for both study analysis is detailed in Table
836 S1.



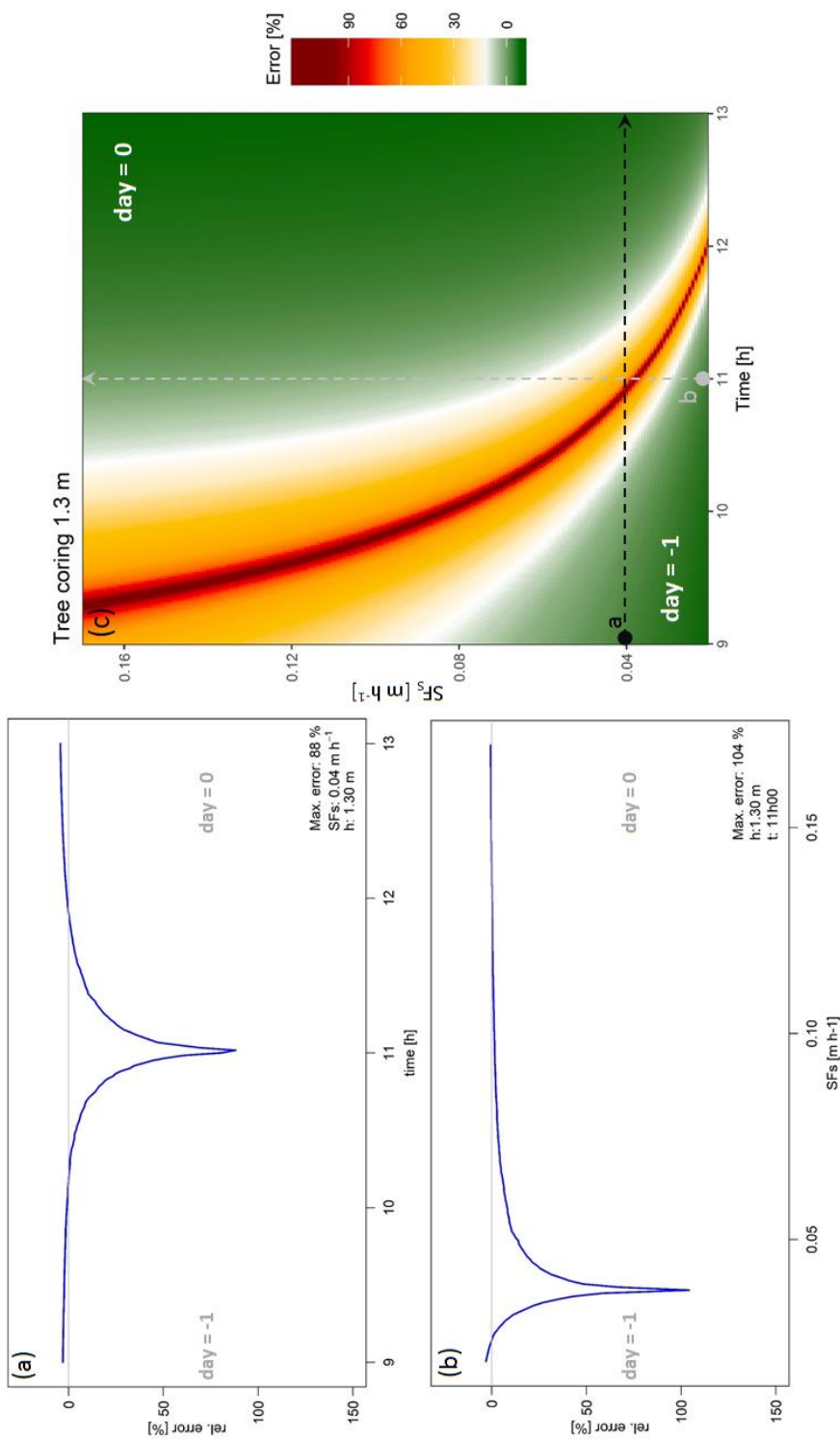
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838 **Fig. 2. Panel a & b:** Diurnal patterns of simulated in deuterium isotope composition of plant
 839 xylem water (δ^2H_X) fluctuation as a function of time for various tree heights. The modeled tree
 840 has an average daily sap flux density (SF_S) of 0.04 m h^{-1} , which corresponds to an average daily
 841 true sap flux density of 0.28 m h^{-1} (SF_V), and the standard parameterization is detailed in Table
 842 S1. Panel (a) shows analysis A1 output where diurnal δ^2H_X patterns are provided at stem base
 843 (0 m, black dashed line) and at general tree coring height at breast level, i.e. at 1.3 m (blue).
 844 Panel (b) shows analysis A2 outputs demonstrating diurnal patterns in δ^2H_X within a standard
 845 tree at various heights, i.e. at 0 m (black dotted), 5 m (green), 10 m (orange) and 15 m (red).



846 These heights represent random branch sample collection and conform to the standard practice
847 of RWU assessment. Grey lines with whiskers indicate the common sampling period (9:00 until
848 13:00) according to standard practice. **Panel c:** Sap flow rate (SF , blue line), deuterium isotope
849 composition of xylem water ($\delta^2H_{X,0,t}$ black dashed line) and water potential at stem base
850 ($\Psi_{X,0,t}$, red line) are shown over the period of a single day. **Panel d:** Simulated δ^2H_X fluctuations
851 in function of the cumulative sap flow volume measured at various heights: stem base (0 m,
852 black dashed), 1.3 m (blue), 5 m (green) and 10 m (red). Days are delineated by grey vertical
853 lines.

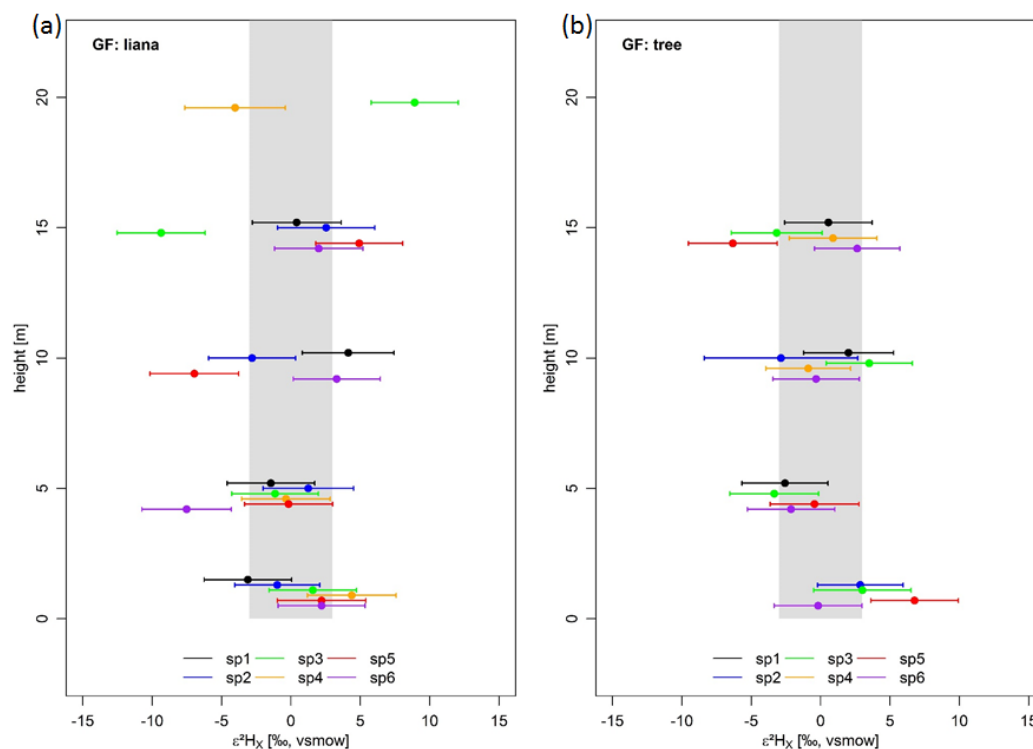
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856 **Fig. 3.** a) Relative error on the inferred root water uptake (RWU) depth (i.e. bias between the
857 average daily and the instantaneous derived RWU depth), for a tree measured at standard tree
858 coring height (i.e. 1.30 m) which has a sap flux density (SF_S) of 0.04 m h^{-1} (i.e. $SF_V = 0.28 \text{ m}$
859 h^{-1}), over the common sampling period (9:00 until 13:00). b) Relative error on the inferred
860 RWU depth considering a tree measured at standard tree coring height (1.30 m) at 11:30, but
861 which differs in SF_S . c) Relative error on the inferred RWU depth over the duration of the
862 common sampling period (9:00 until 13:00) and over a range of potential SF_S (in m h^{-1}) –
863 corresponding to SF_V range of $0.15\text{--}1.25 \text{ m h}^{-1}$. Dotted lines a (black) and b (grey) correspond
864 to their respective representation in panel a and b. day= -1 and day= 0 indicate whether the
865 derived RWU depth error corresponds to the previous or current day of measurement.

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868 **Fig. 4.** Field measurements of normalized intra-individual δ^2H_x (ϵ^2H_x) for six lianas (panel a)
869 and six trees (panel b). Individuals are provided in different colors; species names can be
870 derived from Table 2. Error whiskers are the combination of potential extraction ($\pm 3\text{‰}$) and
871 measurement errors of the isotope analyzer. The full grey envelope delineates the acceptable
872 variance from the stem mean (i.e. 3‰) according to the standard assumption of no variance
873 along the length of a lignified plant, i.e. the null model.

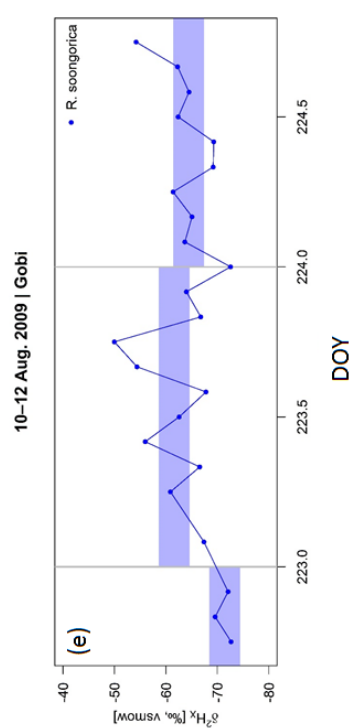
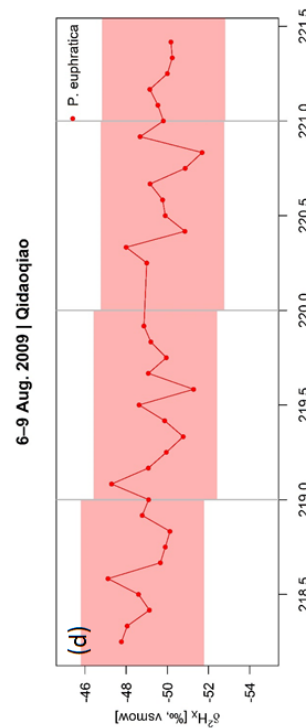
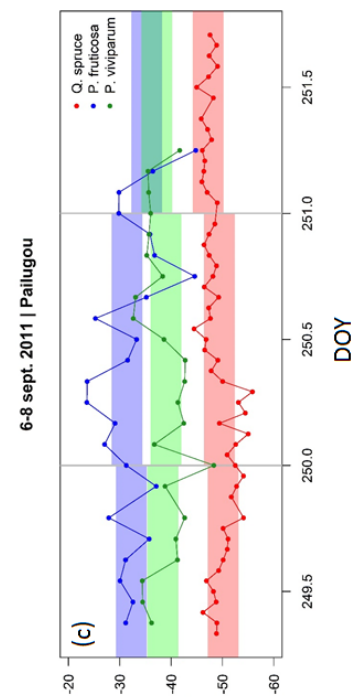
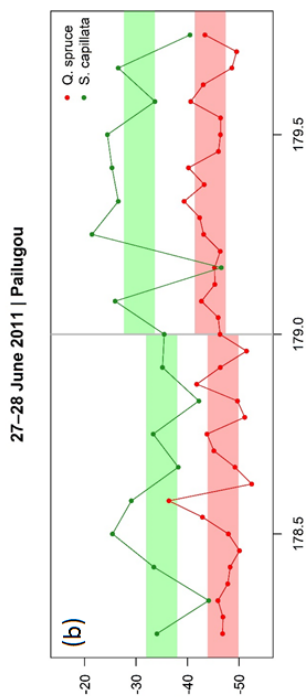
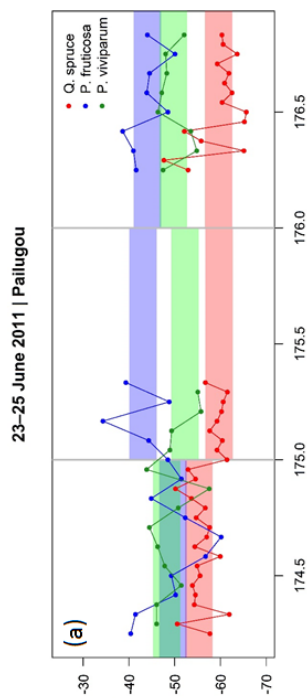
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Species	Max. diurnal $\delta^2\text{H}_x$ range [% _v in vsmw]	Growth form	Color code
<i>Q. Spruce</i>	18.0	Tree	Red
<i>P. euphratica</i>	4.0	Tree	Blue
<i>P. fruticosa</i>	21.0	Shrub	Green
<i>R. soongorica</i>	18.4	Shrub	Red
<i>P. viviparum</i>	15.7	Herb	Blue
<i>S. capillata</i>	25.2	Herb	Green



$\delta^2\text{H}_x$ [% in vsmw]



878 **Fig. 5.** High temporal field measurements of deuterium isotope composition of xylem water
879 (δ^2H_X) of two tree (red, stem samples), two shrub (blue, stem samples) and two herb (green,
880 root samples) species sampled in the Heihe River Basin (northwestern China) shown for the
881 respective measurement periods. Timing and location of sampling are provided in the panel
882 titles. The full colored envelope per respective species delineates the acceptable variance from
883 the stem mean (i.e. 3‰) according to the standard assumption of no variance along the length
884 of a lignified plant. Grey vertical lines mark the transition of days. The table provides the
885 maximum measured diurnal δ^2H_X range per species.

886