

Oxygen dynamics and evaluation of the single station diel oxygen model across contrasting geologies (Simon J. Parker)

New figures:

Figure 2 recast in terms of percent saturation.

Figure 6 – panel C added.

Figure 7 – new figure showing model residuals.

Response to referee comments.

<p>This manuscript uses a unique approach to evaluate whether the assumption of a constant rate of ecosystem respiration is valid over a daily cycle as assumed in most aquatic ecosystem metabolism models. He evaluates whether the point at which the rate of change in oxygen concentration for a given day is equal to zero (i.e., $dO_2/dt = 0$) provides information about the ratio of ER/k within and across stream types. He then argues that because this technique does not agree with results from the nighttime regression approach of Hornberger and Kelly (1975) that the assumption of a constant daily rate of ER is invalid.</p>	
<p>However, beyond that, it is not clear to me how this approach provides an estimate of diel change in R, as stated in the abstract and discussion? We know that ER changes over the course of a day in response to temperature (Holtgrieve et al. 2010) and carbon substrates (Schindler et al. 2017, Sadro et al. 2014) but you generate a single ratio using this approach, not explicit rates of ER.</p>	<p>In response to these comments, a paragraph has been added in the introduction (p.2, lines 13-24)</p>
<p>In addition, I'm not sure what the ratio (ER/k) really describes – how does this get you additional information that you don't get by fitting a metabolism model, since the ratio of R/k doesn't give you any information on their relative magnitudes. And, wouldn't you still face the issue of equifinality (many values of ER and k that could produce a given ratio)?</p>	
<p>Further, you discuss the importance of correcting ER and k for temperature, but then don't consider that in your estimation of their ratio – wouldn't the diel variation in temperature have a lot to do with when the point of $dO/dt = 0$ occurs as well? In addition, the temperature correction is different for the two, so the degree of daily temperature fluctuation could impact the resulting ratio.</p>	<p>I think this comment is concerned with kinetic effects of temperature on ER and k. I included an additional panel in Figure 6 which shows that temperature is not responsible for anomalies. Also additional text (p.6, lines 18 - 22)</p>

<p>Zero change in DO has an equal element of uncertainty to it (when does $DO/dt = 0$?) as does fitting a nighttime regression (i.e., where does night begin?) so I'm not sure what you gain through using this approach? In addition, using the nighttime regression technique is no longer the most common way of estimating reaeration rates because of some of the shortcomings you mention and cite.</p>	<p>Effect of selection of observations used for calibration of nighttime regression is shown in Figure 12.</p>
<p>In summary, I have concerns about the significance of these findings given the degree to which the field has moved on in terms of approaches to metabolism models (e.g., Appling et al. 2018, Song et al. 2016) and the ability to estimate and constrain k (Appling et al. 2018, Raymond et al. 2012). In addition, the results as presented spend a significant amount of time discussing the degree of coherence in diel oxygen patterns (e.g., timing of max O_2), rather than comparing potential daily fluctuations or cross-system differences in important metabolic parameters. I recognize that assessing differences in the magnitude and timing of daily fluctuations has some meaning in terms of understanding the magnitude of processes, but this discussion would be more meaningful had those processes also been quantified.</p>	
<p>Specific comments:</p>	
<p>Page 2, Lines 3-4: "Primary production can be quantified by partitioning a single DO time series into its component fluxes, namely photosynthesis, ecosystem respiration and aeration" – perhaps you mean to say "ecosystem metabolism"</p>	<p>Changed accordingly.</p>
<p>Page 3, lines 3-5: What is the "pertinent process"?</p>	<p>Line changed to: "if the model structure adequately captures DO dynamics, "</p>
<p>Page 3, lines 19-20: "primarily groundwater fed". . . couldn't the point of zero change reflect the O_2 concentration of groundwater input as well?</p>	<p>Comment added p.6, lines 27-31.</p>
<p>Page 4, lines 27-28 – wouldn't it be "normalized" not "detrended"?</p>	<p>Changed accordingly.</p>
<p>I'm sorry this review is delayed but the delay has resulted in clarification of a number of my questions which were picked up by the other referee. In particular, I now have a much better understanding of the purpose of the paper. This was not clear on the initial reading.</p>	
<p>I would therefore strongly recommend that the</p>	<p>The abstract has been changed to refer to</p>

<p>abstract be revised to better reflect the purpose of the paper. i.e. the point stressing that this paper is " about evaluating model structures, not quantifying parameters", needs to be right up front.</p>	<p>model validity and includes a sentence about when single stage R models are likely to be less valid.</p>
<p>It is also worth reflecting on George Box's pithy aphorism that all models are wrong but some are useful. This is highly relevant to this manuscript as it begs the question 'useful for what?' There are a number of generalizations and simplifications implicit in solving the 'simple' metabolism model of Odum into three components viz. (ecosystem) respiration, primary production and reaeration. The author points out the likely effects of autochthonous vs allochthonous carbon on respiration rates and how this is likely to be time dependent on a daily time frame. I totally agree with this. The key point for me though is 'does this matter?' What question is driving the use of stream metabolism in the first place? If it's mechanistic understanding, then nuances matter very much. If it's about aggregating organic carbon loads across time and space, contrasting watersheds with different land use for example, then it doesn't matter anywhere near as much, if at all.</p>	
<p>As a general point, I find discussion centered on changes in DO based on temperature often inadequate as the obvious effect of temperature effect on DO solubility is neglected. An increase in night time DO is expected if water temperature falls. Framing the discussion in terms of %DO saturation is much more useful to examine the interplay of R and k but in this instance makes it more convoluted to then talk about change in $DO = 0$. Of course if temperature doesn't change (nor atmospheric pressure or salinity to be pedantic) then change in $DO = 0$ would correspond to a change in %DO saturation of 0.</p>	<p>Figure 2 has been re-framed in terms of %DO saturation and comments about increasing %sat on p.4, lines 28-30.</p> <p>Effect of falling water temperature, p.9, lines 19-21</p>
<p>Minor point: Page 2, Line 4. Suggest changing 'components' as this word was used in the previous sentence to refer to P, R & reaeration.</p>	<p>Changed to 'parts'.</p>
<p>Because this is novel, I would like to see a little more explanation about HOW R/k can be used to interrogate the validity of the model used for fitting diel O₂ curves. This may be immediately obvious to those who routinely inverse model metabolism, but to many readers this won't be clear at all.</p>	<p>Paragraph added in Discussion section (paragraph 2 of discussion).</p>
<p>Page 2, Line 20. Equifinality hinders resolving ER and k when %DO saturation is very close to 100% or when there is very little change in %DO over the day, it is not a universal problem.</p>	<p>I deleted the sentence regarding equifinality as I think it is a diversion.</p>
<p>Page 3, Line 26. It has already been stated that</p>	<p>Probe drift was analysed in discussion</p>

<p>precision and accuracy of the DO sonde data is of fundamental importance in reliably identifying points where the change in DO is zero. Yet there is no mention at all of how accuracy of the deployed sondes was verified. Probe drift would be a major confounding factor in this analysis.</p>	<p>paper (including model and figures). Comment added p.9, lines 31-32.</p>
<p>Page 5, Line 13. The cause of this sudden change in the rate of decline?</p>	<p>I don't know. I could speculate that it is because labile organics have been consumed, but that would be too convenient for the overall argument (although that is a possible explanation). It is a long time series (each river is about half million records), so it's hard to explain local features.</p>
<p>Is there any significant time-of-day dependent topographic shading of any of these streams over the study reach integrated by the sonde? If so, this may then affect time of peak DO.</p>	<p>Yes, this is true. This cannot be ruled out. Also, if time to peak is shorter duration, then time to minimum (after sunset) is likely (although not inevitably) to be shorter duration. But early time to peak (and early time to minimum) for both Nadder and Ebble together with the fact that it is those two which are violating the model assumptions corroborates (not failsafe, just an additional line of evidence) this statement from Schindler et al. (2017). "Such increases in nighttime oxygen concentrations were observed in several of our study streams and appear to be diagnostic of two-stage ecosystem metabolism."</p>
<p>Reaeration will not only depend on temperature (in a well-known relationship) but also on wind (there is a lot of lakes' literature on this topic) and on discharge. A change in discharge will almost certainly change k and this relationship will be idiosyncratic for each site depending on stream channel shape, wetted area, roughness etc. Are these additional factors responsible for some of the variation observed in this data set?</p>	<p>Paragraph added in discussion p.9, lines 5-15 The important aspect is not whether k could change, but whether it could change over the course of a single night and follow the same pattern of change over several nights. If it just changes from day to day, that would not result in violation of model assumptions.</p>
<p>Bearing in mind the already posted review and the author's responses, I still believe this is an interesting paper that definitely warrants publication. However, to be more useful to the general reader and in particular those undertaking studies where stream metabolism is being measured, I strongly recommend the author provides an additional paragraph or two which guides the user through checking the inherent</p>	<p>Paragraph 2 in discussion.</p>

model assumptions when modelling their data. This can be in a series of steps checking whether the assumption of an invariant R (temperature effects notwithstanding) has a significant effect on overall metabolic parameter estimates.



Oxygen dynamics and evaluation of the single station diel oxygen model across contrasting geologies

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Abstract.

In aquatic ecosystems, the single station, **single stage R** diel oxygen model assumes constant ecosystem respiration and aeration rate (notwithstanding temperature effects) over the course of a single night. **The validity of this model** was assessed for four small streams representing two geologies (Chalk and Greensand) over a one year period, by
5 examining the behaviour of the nighttime dissolved oxygen (DO) saturation deficit for each night at points where change in DO is zero. The resulting value was then compared with the corresponding ratio (the regression quotient) obtained from nighttime regression analysis (Hornberger and Kelly, 1975). **If model assumptions are correct, then these two values should be equal; where they diverge therefore gives a method of assessing the suitability of model structure.** For two streams (one Chalk and one Greensand), the regression quotient persistently underestimated
10 the observed DO deficit. These two streams showed similar timing patterns of oxygen dynamics with the point of minimum DO occurring relatively quickly after sunset in spring and early summer, although the two Chalk streams were more similar to one another in terms of DO magnitudes. Comparisons between different streams using the single station model with constant R and k on the presumption that it is equally appropriate in all cases may lead to misleading conclusions.

15 Introduction

The dissolved oxygen (DO) signal has been used to quantify primary productivity and respiration in aquatic ecosystems since the pioneering work of Odum (1956). Recently, the increased capacity to deploy automatic data loggers coupled with the ability to automate the analysis of the DO signal (e.g. Grace *et al.*, 2015) has enabled the processing of potentially large amounts of data across multiple aquatic systems. Estimates of primary production obtained from
20 the DO signal can then be used through the photosynthetic quotient (e.g. Duarte *et al.*, 2010; Westlake, 1963) to estimate the corresponding carbon uptake. Therefore, with growing awareness of the significance of river systems in

global carbon cycling (Cole *et al.*, 2007; Wohl *et al.*, 2017) it becomes more relevant to ensure both that the models used are sound and also that model limitations are apparent.

Ecosystem metabolism can be quantified by partitioning a single DO time series into its component fluxes, namely photosynthesis, ecosystem respiration and aeration. Although for **parts** of aquatic systems, oxygen consumption can be measured continuously, for example, through the use of benthic incubation chambers (e.g. Glud, 2008) or using eddy correlation techniques (e.g. Reimers *et al.*, 2012), there is no method to measure oxygen consumption for the whole system. For aeration, although it is possible to measure the gas exchange constant using tracers such as sulphur hexafluoride (e.g. Beaulieu *et al.*, 2013) or propane (e.g. Demars *et al.*, 2011), from which the exchange constant for oxygen can be derived, only recently has a method been proposed (Pennington *et al.*, 2018) to do this on a continuous basis. This means that time series estimates of oxygen consumption for a whole stream are coupled to estimates of the aeration flux and must be inferred, rather than measured, from DO time series, so that quantification of each depends on simultaneously quantifying the other.

There is experimental evidence that ecosystem respiration changes over a single diurnal cycle (Staehr *et al.*, 2010; Sadro *et al.*, 2014; Alnoe *et al.*, 2014). However, for modelling purposes, both community respiration (R) and the volumetric aeration rate constant (k) are typically assumed to be constant (notwithstanding temperature effects) over one diurnal cycle (e.g. Correa-Gonzalez *et al.*, 2014; Izagirre *et al.*, 2008; Benjamin *et al.*, 2016; Richmond *et al.*, 2016). Appling *et al.* (2018) justify the use of a simple model on grounds of parsimony that simple (i.e. single stage R models) are more resistant to overfitting and Song *et al.* (2016) state that changes in DO concentration can be generally be described by single stage R models. On the other hand, Schindler *et al.* (2017) suggest that R is better represented by a two stage process according to whether the carbon source is autochthonous or allochthonous and state: “The two-stage model fit oxygen data considerably better than a single-stage model in nine of 13 stream x date combinations we considered.” Therefore, there is a question as to what extent single stage R models adequately describe DO dynamics.

A further obstacle with single stage R models is that identification of R and k is hindered by equifinality (Appling *et al.*, 2018; Beven, 2006) which is that multiple pairs of (R, k) values can equally well explain a particular DO times series. Together these pose substantial obstacles in the quantification of whole stream metabolism.

The open channel diel method requires the partitioning of the stream dissolved oxygen response into the dominant processes as described by the following (single stage R) equation (disregarding effects of temperature on kinetics as they are not the focus of this research):

$$\frac{d(DO)}{dt} = P - R + k(DO_{sat} - DO)$$

where

DO is dissolved oxygen concentration ($\text{g O}_2 \text{ m}^{-3}$)

P is the oxygen flux resulting from photosynthesis ($\text{g O}_2 \text{ m}^{-3} \text{ s}^{-1}$)

$-R$ is the oxygen consumption resulting from aerobic respiration ($\text{g O}_2 \text{ m}^{-3} \text{ s}^{-1}$)

DO_{sat} is dissolved oxygen concentration at saturation ($\text{g O}_2 \text{ m}^{-3}$)

t is the time (seconds)

k is volumetric aeration rate constant (s^{-1})

5 For nighttime, this relationship simplifies to:

$$\frac{d(DO)}{dt} = -R + k(DO_{sat} - DO)$$

Therefore, when $\frac{d(DO)}{dt} = 0$

$$\frac{R}{k} = (DO_{sat} - DO)$$

Therefore, if the model structure adequately captures DO dynamics, at points of zero DO change in the nighttime DO time series the ratio of respiration to the volumetric aeration rate constant is equal to the observed oxygen saturation deficit. Thus, by identifying points in time of zero DO change, $(DO_{sat} - DO)$ can be observed from which the ratio $\frac{R}{k}$ can be inferred. These observations can then be compared with theoretical counterparts by using the nighttime regression method (Hornberger and Kelly, 1975) to obtain values of respiration (R_{HK}) and k (k_{HK}) and by extension the quotient ($\frac{R_{HK}}{k_{HK}}$), hereafter referred to as the regression quotient.

The questions addressed are:

- 15 (1) How does the observed oxygen saturation deficit at points of zero DO change ($DOD_{zero \Delta DO}$) behave over time?
- (2) How do nighttime $DOD_{zero \Delta DO}$ values (as proxies for $\frac{R}{k}$) compare with the regression quotient ($\frac{R_{HK}}{k_{HK}}$)?
- (3) Does the time at which $DOD_{zero \Delta DO}$ occurs depend on the underlying stream geology?

Methods

Study area

20 The study was conducted in the southern part of the United Kingdom in the Hampshire Avon catchment. The catchment covers an area of 1706 km^2 (NFRA, 2018) and has an average annual rainfall of 810 mm. Approximately 80% of the catchment is arable or grassland and less than 2% is urban. The dominant geology in the catchment is highly permeable Chalk so that the rivers are primarily groundwater fed. Instrumentation was located on four tributaries within that catchment, the rivers Ebbles, Wylde, Nadder and Upper Avon (Table 1) with surface water
25 catchment sizes between 35 and 59 km^2 and two dominant geology types (Chalk and Greensand). A more detailed site description is available in Heppell *et al.*, 2017.

Instrumentation and data analysis

Dissolved oxygen and temperature were logged continuously using miniDOT data loggers (Precision Measurement Engineering, Inc.) at a resolution of 0.01 mg per litre and logging frequency of 1 minute from mid-August 2014 to mid-August 2015. The DO time series for the miniDOTs was smoothed using a 30 minute time step with the change
5 in DO (ΔDO) at each minute computed from the smoothed time series. From this, the time at which $\Delta\text{DO} = 0$ was identified and the associated value of the DO deficit was noted. Dissolved oxygen at saturation was calculated using tables provided by United States Geological Survey (USGS, 2015) in accordance with Standard Methods of the American Public Health Association (1998), using both water temperature and atmospheric pressure, with atmospheric pressure data provided by British Atmospheric Data Centre. For the nighttime regression calculation,
10 those data points that incorporated daytime values as a consequence of the implemented moving average were excluded from the regression. The data reported in this study is available from the NERC data centre (Heppell and Parker, 2018).

Results

Figure 1 shows the DO time series (raw data) for a two week period in May 2015. For the two Chalk rivers, daytime
15 DO consistently rises above DO_{sat} typically by 1 to 3 mg DO per litre for the Wylye and 1 to 2 mg DO per litre for the Ebbles. For the Greensand rivers, the Nadder rarely rises above saturation and although the Avon does so, nevertheless not as regularly as the two Chalk rivers. The Avon shows anomalous behaviour for the 13th and 14th May. Average daily DO maxima are 12.7, 12.2, 11.7 and 10.9 mg DO per litre for the Wylye, Ebbles, Avon and Nadder respectively, so that *prima facie* the Wylye is the most productive. Peak daytime DO for the Wylye tends
20 to happen later than that for the Ebbles, as does the peak for the Avon compared to the Nadder, so that for example in the daytime of May 16th, DO for the Ebbles and Wylye rises to 12 mg per litre, after which DO in the Ebbles declines whilst DO in the Wylye continues to rise to 13.5 mg DO per litre. Note also that for the Ebbles nighttime DO reaches a minimum early each night, after which it rises throughout the night, whereas the Wylye shows two types of behaviour, so that for example on the nights of 13th/14th and 16th/17th May, minimum DO occurs early
25 whereas for 6th/7th and 9th/10th minimum DO occurs much later in the night. **This behaviour is summarised in Figure 2, with DO distributions shown in Figure 2A and DO expressed as percent saturation averaged by time after sunrise shown in Figure 2B. DO saturation levels for the Ebbles and Nadder typically plateau at just after solar noon, whereas those for the Wylye and Avon continue to rise until 2 to 4 hours after solar noon. For nighttime, DO saturation levels for the Ebbles and Nadder reach a minimum relatively rapidly after sunset after which they increase slightly, particularly the Nadder, whereas for the Avon they decline throughout the night.**

In fact, the behaviour of the Ebbles in terms of timing (i.e. phase) is much closer to that of the Nadder than to the behaviour of the Wylye. Figure 3 (panels A and B) shows the distributions of differences in DO at different lag intervals between **normalised** (that is, mean DO is first subtracted) DO time series for the Wylye, Ebbles and Nadder.

Each boxplot is the distribution of the difference in DO for two of those time series, with one time series having been time-shifted by the number of minutes shown on the x-axis. For the two Chalk streams (Ebble and Wylye, panel A), the Wylye tends to respond later than the Ebble and is phase-shifted by approximately 90 minutes, whereas for the Ebble and Nadder (panel B), both systems respond at approximately the same time. The cross-correlations in Figure 3C summarise the relative timings for all four rivers; the correlation is stronger for the Ebble and Wylye and for the Ebble and Nadder than it is for the Wylye compared to the Avon and also the Nadder compared to the Avon (for which anomalous data of 13th and 14th May was removed prior to analysis). Nevertheless, for the whole time series, the Avon lags the Nadder by approximately two hours, which is consistent with Figure 2. Thus, in terms of typical DO magnitudes, the two Chalk streams are similar (Figure 2A), but in terms of phase the Ebble is similar to the Nadder.

For the time series shown in Figure 1, the nights of May 9th to May 10th and of May 16th to May 17th are shown as examples in Figures 4 and 5 showing both raw DO data (grey circles) and a 30 point DO moving average (solid black line), together with associated changes in DO at each minute. The changes in DO are computed using the 30 point DO moving average, not the raw data. Black triangles are those points where $\Delta DO = 0$, discussed further below. At sunset, the Wylye shows the greatest rate of DO decline of $-0.016 \text{ g O}_2 \text{ m}^{-3}$ per minute with the Ebble and the Nadder each experiencing approximately half that rate, with the Nadder considerably less on the 9th/10th May. For the Avon, the initial rate of decline is intermediate between those at about $-0.010 \text{ g O}_2 \text{ m}^{-3}$ per minute. For all four rivers, the rate of decline at sunset is higher on the 9th than on the 16th May. For the Ebble, there is a saddle at approximately one hour after sunset where there is a sudden drop in the rate of decline. The main feature of the ΔDO plots, however, is the difference in timing of the point at which $\Delta DO = 0$, where for the Ebble and the Nadder it occurs between 1 and 3 hours after sunset, for the Avon between 6 and 8 hours after sunset, but for the Wylye on the 9th it occurs early at 3 hours after sunset and on the 16th it occurs late at 7.5 hours after sunset.

Identification of the point at which there is zero change in DO is not as straightforward as at first it seems; the change in DO for any one minute time step may be very close to, but never equal to, zero because of short-term stochastic variability in the DO signal. Identification could be achieved by fitting a line to the points in Figure 4 and noting where the line crosses $\Delta DO = 0$, but this presupposes a particular model structure which may be invalid. There are two other approaches, both of which have limitations and both of which were implemented as a mutual check. One method (Method 1) is to locate the point during the night at which DO is at a minimum. One limitation is that there may be multiple local minima because of short term DO fluctuations, any of which could be the ‘true’ global minimum for that night. The main limitation, however, is that DO may decrease throughout the night such that minimum DO occurs at the end of the night and ΔDO itself is never equal to zero. Therefore, as a safeguard, in the implementation of Method 1, if the minimum DO was found to occur within 20 minutes of sunrise, that outcome was discarded. The third approach (Method 2) is to compare each pair of contiguous data points in the smoothed DO time series and identify those points where ΔDO changes sign. These are shown as black triangles in Figures 4 and 5, which gives a range of $DOD_{zero \Delta DO}$ values. For example, for the Wylye for May 16th/17th there are 11

data points where ΔDO changes sign, with associated values of the DO deficit ranging between 3 and 3.18 mg DO per litre with a median value of 3.06 occurring at 3 hours and 9 minutes after sunset. For the Ebbles, corresponding numbers are 1.57 to 1.73 with a median of 1.7 mg DO per litre occurring at 2 hours and 46 minutes after sunset. The median value of those points can then be taken as the single value of the DO deficit where $\Delta DO = 0$. The
5 drawback of this approach is that there may be anomalous data points (for example the Nadder in Figure 5), which might yield erroneous $DOD_{zero \Delta DO}$ values.

For the same two nights, the sets of $DOD_{zero \Delta DO}$ values are shown as boxplots (Figure 6). Also shown (black triangles) are the corresponding values of the regression quotient calculated from the nighttime regression method. For the Ebbles and the Nadder (panel (A)), the regression quotient underestimates the range of $DOD_{zero \Delta DO}$
10 values. For the Avon (panel (B)), the regression quotient slightly overestimates the median $DOD_{zero \Delta DO}$ value for the 9th/10th, but on 16th/17th the values are equal to one another. For the Wyllye (panel (B)), the regression quotient overestimates on the 9th/10th and underestimates on the 16th/17th. Thus, on the night when the DO minimum comes early after sunset and the Wyllye behaves more like the Ebbles and the Nadder in terms of timings of DO dynamics, the regression quotient underestimates the median $DOD_{zero \Delta DO}$ value. Assuming constant R and
15 constant k, corresponding optimised simulations for the 16th/17th (using 'deSolve' and 'FME' R libraries (Soetaert et al., 2010; Soetaert and Petzoldt, 2010)) for the Ebbles and Avon are shown in Figure 7. The fit for both Ebbles and Avon appears to be good, but the residuals for the Ebbles are highly non-stationary. It is possible that these patterns arise because of a failure to incorporate effects of temperature on reaction kinetics of R and k. However, distributions of nighttime temperatures (Figure 6C) do not suggest that the Ebbles and Nadder have one temperature regime and
20 the Wyllye and Avon have another. In fact, the temperature regimes for the Nadder and Avon are more similar to one another than those for the Nadder and Ebbles, even though the Nadder and Ebbles are the rivers with early DO nighttime minima, so temperature does not appear to explain the differences in behaviour.

For data covering the entire study period (August 2014 to August 2015), the distribution of the ratio of median $DOD_{zero \Delta DO}$ values to the regression quotient is shown for each river in Figure 8. Where the ratio is greater than
25 1, the median $DOD_{zero \Delta DO}$ exceeds the regression quotient. For the Ebbles and Nadder this is the case for about three quarters of the nights (75% and 73% for Ebbles and Nadder respectively) whereas the distributions are more symmetrical for the Wyllye and Avon with corresponding proportions of 60% and 44% respectively. Note also that groundwater regimes may be similar, but oxygen regimes differ. So, for example, even though the Wyllye and Ebbles are both Chalk and equally groundwater-dominated with BFI of 0.9 (Table 1), nevertheless the relationship between
30 DO saturation at zero DO change and the corresponding ratio calculated using Hornberger-Kelly is different (Figure 8). A corresponding argument applies to the Nadder and Avon.

A time series of median $DOD_{zero \Delta DO}$ values for the entire study period are shown in Figure 9, together with a time series of the comparison with the regression quotient. For the Ebbles, median $DOD_{zero \Delta DO}$ values range
35 between 1 and 2.5 mg DO per litre with two peaks, one in Oct/Nov 2014 and a second in summer 2015. A trough occurs in winter, before rising to values in May similar to those in the previous September. For the Wyllye, values

range between 2 and approximately 5 mg DO per litre; data for June 2015 onward are more volatile and consequently less clear with regard to any evident pattern. The seasonal pattern differs in that there is no November 2014 peak, with an earlier autumnal peak occurring in September 2014. Values for the Avon range between between 2 and 4 mg DO per litre with peaks in Oct/Nov 2014 and a second in Jun/Jul 2015. From mid-March to mid-April and again in late May/ early June, the median $DOD_{zero \Delta DO}$ for the Avon were persistently low with values of about 1 mg DO per litre or less. These points were considered anomalous and were discarded from the analysis. For the Nadder, the median $DOD_{zero \Delta DO}$ value rises steadily from a value of 1.5 mg DO per litre at the beginning of March 2015 to approximately 2.3 mg DO per litre in late June 2015. The Nadder differs from the other three sites in that there is only one peak occurring between May and September 2015, although the caveat is that data for the first part of the time series is missing. None of the sites shows a marked difference in behaviour according to whether Method 1 or Method 2 is used.

Also shown (Figure 9) is a comparison between median $DOD_{zero \Delta DO}$ value ($\frac{R}{k}$) and the regression quotient ($\frac{R_{HK}}{k_{HK}}$), expressed as the ratio of the former to the latter. For the Wylfe and the Avon, this ratio is very close to 1 over most of the year. For the Ebbles and the Nadder, however, the median $DOD_{zero \Delta DO}$ values almost always exceed the regression quotient. The notable exception is in Oct/Nov 2014 for the Ebbles where this pattern is reversed with the regression quotient tending to exceed median $DOD_{zero \Delta DO}$ values. Whether the regression quotient overestimates or underestimates median $DOD_{zero \Delta DO}$ value depends partly on when median $DOD_{zero \Delta DO}$ ($\frac{R}{k}$) occurs as shown for the Wylfe in Figure 10; if the change in sign of ΔDO occurs relatively quickly after sunset (between 2 and 6 hours after), then the regression quotient is more likely to underestimate median $DOD_{zero \Delta DO}$ and as time after sunset increases, the regression quotient has a tendency to overestimate $DOD_{zero \Delta DO}$ values. As time after sunset further increases, the regression quotient again underestimates median $DOD_{zero \Delta DO}$. To demonstrate that this is not simply a seasonal effect, this pattern is shown for the entire study period (panel A) and also for the two month period up to May 20th 2015 (panel B).

Figure 11 shows a time series for each river relating to the length of time after sunset at which median $DOD_{zero \Delta DO}$ occurs. For September 2014 to February 2015, this interval is notably variable for all rivers, ranging between 2 and 10 hours. For May to July 2015, the Ebbles and Nadder show a clear pattern of a reduction in time to $DOD_{zero \Delta DO}$. For the Nadder, this remains relatively constant at between 2 and 3 hours. For the Ebbles, DO reaches its minimum point most quickly in May at approximately 3 hours after sunset, but then rises steadily through approximately 4 hours in June, 5 hours in July and 6 hours after sunset in August. For the Wylfe, $DOD_{zero \Delta DO}$ in May and June 2015 occurs typically at just under 5 hours after sunset. Despite the fact that at other times of the year, the time interval is more variable, nevertheless the annual pattern as indicated by the trend line, shows a clear periodicity with a maximum of approximately 10 hours in winter (Nov to Jan) for all rivers with river-specific patterns in spring and summer.

The regression quotient up to this point was computed using all data points for any given night. An alternative would be to calculate the regression quotient using only a subset of nighttime points. One possibility would be to do

so using only those data points clustered around the time after sunset at which $\Delta DO = 0$. The effect of this is shown for the Nadder in Figure 12, which compares the regression quotient for each night in the year, calculated using all data points for each night, with that obtained using only those data points that are recorded within 15 minutes either side of the time where $\Delta DO = 0$. By restricting the nighttime regression calculation to those points, the bias is seen to be removed. This does not necessarily mean that the associated estimates of R and k are better, but it might mean that comparisons between nights are more consistent, although this possibility was not investigated further.

Discussion

For four sites on four separate rivers, two Chalk (Wylve and Ebbble) and two Greensand (Avon and Nadder), DO data was analysed for the period August 2014 to August 2015 with particular focus on a two week period in May 2015. For each night in the year, the nighttime dissolved oxygen deficit at points of zero DO change ($DOD_{zero \Delta DO}$) was identified and used as a proxy for the ratio of community respiration to the volumetric aeration rate constant. This ratio was compared to a theoretical equivalent, the regression quotient, computed using the nighttime regression method (Hornberger and Kelly, 1975). The objective in comparing these ratios was to provide an aid in assessing the validity of assuming single stage respiration. When daily median $DOD_{zero \Delta DO}$ values were compared to daily regression quotient values for the year as a whole, the regression quotients for the Ebbble and Nadder persistently underestimated median $DOD_{zero \Delta DO}$ values. Additionally, for the May period, although the two Chalk rivers were more alike in terms of DO magnitudes, timings for the Ebbble (times of daily DO maxima and minima) were very close to those of the Nadder with $DOD_{zero \Delta DO}$ occurring relatively quickly after sunset. For the year, using the Wylve as an exemplar, it was shown that the regression quotient typically underestimates $DOD_{zero \Delta DO}$ when $DOD_{zero \Delta DO}$ occurs relatively quickly after sunset.

Typically, single station DO models assume constant R and k (notwithstanding temperature effects) over the course of a single night. The analysis set out above provides a method of assessing the extent to which the assumptions of the single stage R oxygen dynamics model are satisfied. Assume both R and k are constant and given (at nighttime):

$$\frac{d(DO)}{dt} = -R + k(DO_{sat} - DO) \text{ (Eq.1)}$$

then:

1. A plot of ΔDO against $(DO_{sat} - DO)$ will give a straight line with slope k and constant term R. This is used to calculate a ratio R/k (ratio 1) and:
2. at the point where ΔDO is zero, the oxygen saturation deficit $(DO_{sat} - DO)$ is measured. This gives a different method of calculating the same quantity, R/k (ratio 2).

If Eq.1 adequately describes the nighttime DO dynamics, then ratio 1 will be equal to ratio 2. If, however, they diverge significantly, then the assumptions are not satisfied. For the 16th May, for example, for the Ebble ratio 1 is 1.6 and ratio 2 is 1.7, but for the Avon, they are equal (3.05) and the corresponding simulations (Figure 7) show clear differences in the pattern of residuals.

5 In itself, this divergence does not demonstrate that R is not constant, but that model assumptions are not upheld. One other possibility is that k is variable. Of course, k may differ across sites, but in order to violate model assumptions it must change both within the course of a single night and according to the same pattern for several nights in a row (as for the Ebble in May 2015). Changes in discharge and windspeed could be expected to have an impact on k. However, there is no difference in the discharge (data not shown) that would account for differences
10 between Ebble and Avon. It could be that windspeed is changing every night in a consistent manner and therefore k is changing, but changes in the windspeed would be similar across all sites. Therefore, changes in windspeed could only account for the behaviour if the Wylfe and Avon were sheltered, and buffered from the effects of changes in windspeed. However, windspeeds tend to drop during the night, so that, if for the Ebble and Nadder, a variable k were explained by falling windspeed, then one would expect DO to stagnate as the night progresses, but the reverse
15 is the case.

On the other hand, ecosystem respiration is known to change over a single diurnal cycle (Staehr *et al.*, 2010; Sadro *et al.*, 2014; Alnoe *et al.*, 2014). Schindler *et al.* (2017) suggest that increases in nighttime oxygen concentrations, as is the case for both the Ebble and the Nadder in May (Figures 4 and 5), might be indicative of two-stage ecosystem metabolism. Increasing nighttime DO could be brought about by falling water temperature alone, but
20 nighttime water temperature declines for all four sites, yet only the Ebble and Nadder consistently register increases in nighttime DO percent saturation (Figure 2). The fact that the Ebble and Wylfe exhibit similar DO ranges in May, yet the daytime Ebble DO peak typically occurs earlier could indicate that for the Ebble, as photosynthesis progresses, some products of that process are aerobically consumed. There is, however, an important caveat. Of the four rivers, the Wylfe records the highest DO concentrations so that there is a *prima facie* case that the Wylfe is
25 the most productive. Although there were nights during which the Wylfe showed increases in nighttime DO, yet it still consistently recorded highest daytime DO values in May 2015. Assuming that this is because the Wylfe has highest primary production, that would mean that nighttime rises in DO maybe sufficient, but not necessary, as indicators of productive aquatic systems.

None of the analysis presented above demonstrates, however, that R varies over the course of a day, just that
30 the single stage R model structure is less appropriate in some cases and that this is more likely to be explained by a variable R than by a variable k. There may be other confounding factors in the analysis, such as probe drift, but simulations (supplementary analysis) incorporating an assumed probe drift did not alter the conclusions. If the divergence is explained by other factors, this still means that those other factors, whatever they may be, are not incorporated into the model. The use of the single stage R model to characterise or quantify aspects of stream
35 metabolism and DO dynamics is more appropriate for some streams than others, so it is important to identify the

correct model for each river system and indiscriminate application of the single stage R diel oxygen model can result in misleading inferences when comparing different sites.

Behaviour of DO dynamics were also examined with regard to hours after sunset at which ΔDO is zero. Typically, a DO time series will be presented with time marked as civil time in a particular time zone, but framing time in terms of the behaviour of the sun both makes inter-site comparisons more transparent and also is more pertinent to the response of the aquatic plant community. It also means that, by identifying as an annual time series, the time after sunset at which ΔDO is zero, anomalous behaviour can also be identified and used either as a filter to remove spurious data or as a flag to search for particular events, for example floods or periods of unusually low flow.

The regression quotient was calculated for the night as a whole and also by restricting the data points included to those ± 15 minutes either side of the point at which change DO is zero. This was found to remove the bias. This does not mean that calculations of R and k using only those points will give better estimates of R and k, since if there is two stage metabolism, then such an approach would be disregarding the photosynthetic-dependent R, although it might mean that intra-stream comparisons over a series of nights are more consistent.

Conclusion

This paper began with a comment on the proliferation of automatic logging devices which vastly increases the potential for analysis of river oxygen and therefore river carbon dynamics. Oxygen dynamics are often analysed using models that make simplifying assumptions about the underlying processes, specifically about the constant values of both community aerobic respiration and the reaeration rate constant over the course of a single day. However, there is a debate about the extent to which respiration in particular can be represented by a single daily value. Through analysis of the dissolved oxygen deficit at points of zero DO change for four sites on four rivers, it was shown here that the assumption of constant values for either respiration or the aeration rate constant was violated perennially for two of those sites. It was suggested that this is likely to be because of two stage rather than one stage respiration, although it should be noted that variability in the volumetric aeration rate or even unidentified factors could account for the findings. In any case, this means that the use of single station, single stage respiration diel oxygen models might not be optimal in such cases. This is not to decry the use of such models, as the purpose of a model is to abstract from reality. However, if analysis of DO time series were to become routine with results impacting environmental policy decisions, then it is important to understand when these models are failing rather than presume that they are fit for purpose.

Data availability Data are stored with the Natural Environment Research Council and will be made publicly after September 2019.

Acknowledgements This work was funded by the Natural Environment Research Council (NERC) Macronutrient Cycles thematic programme (grant numbers NE/J012106/1 and NE/J01219X/1).

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Table 1. Site location and catchment characteristics

River	Major geology	Latitude	Longitude	Catchment size (km ²)	BFI	Mean flow (m ⁻³ s ⁻¹) (July 2014 to June 2015)
Ebble	Chalk	51.028	-1.924	58.9	0.906	0.60
Wylfe	Chalk	51.143	-2.203	53.5	0.901	NA
Nadder	Greensand	51.045	-2.110	34.6	0.781	0.40
Avon	Greensand	51.319	-1.862	59.2	0.744	0.45

Sources: Heppell *et al.*, 2017 and for flow data Heppell and Binley, 2016.

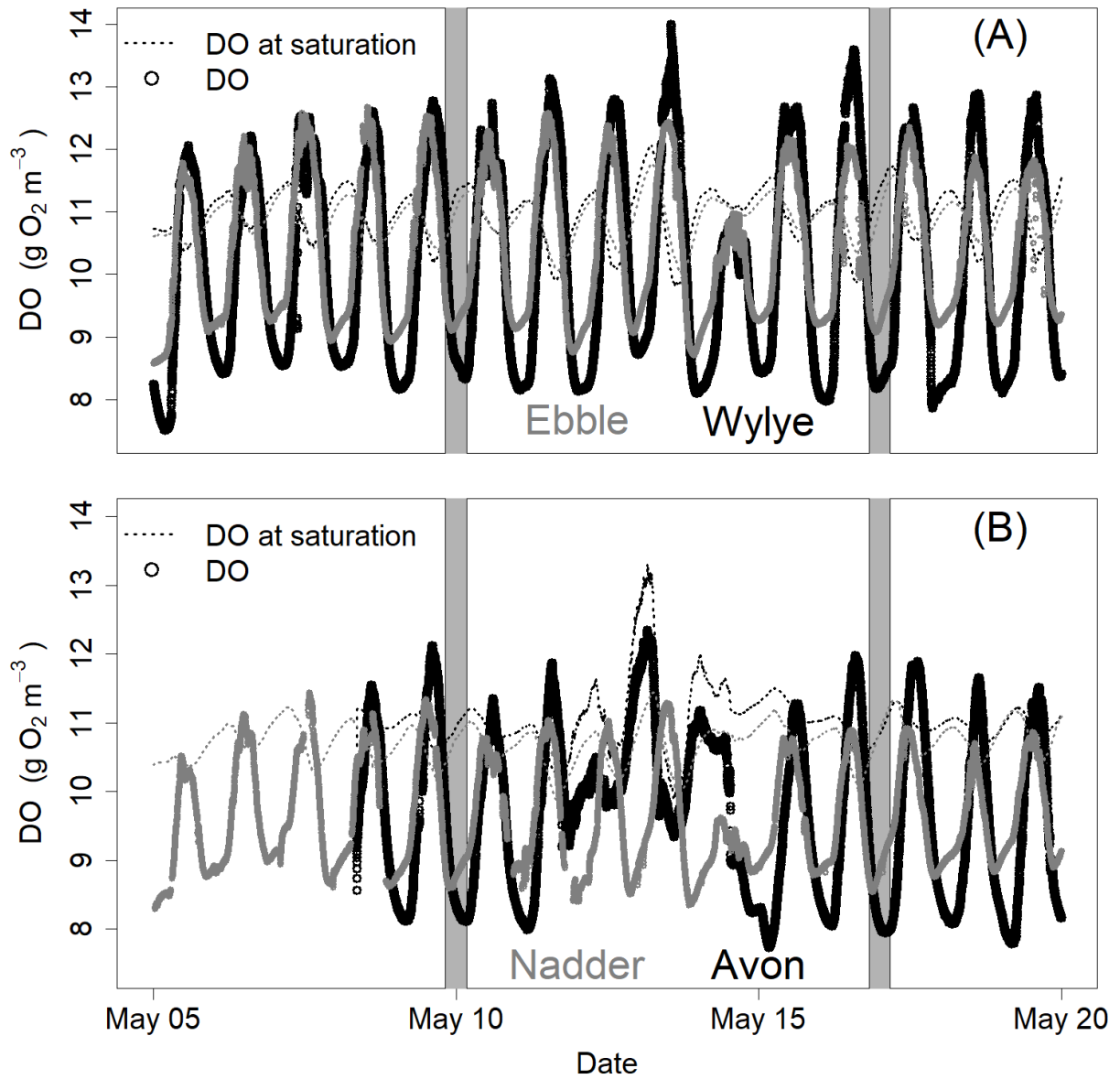


Figure 1. DO time series for May 5th to May 20th 2015 for two Chalk streams (A) and two Greensand streams (B) . Solid grey areas are the nights of the 9th/10th and 16th/17th May.

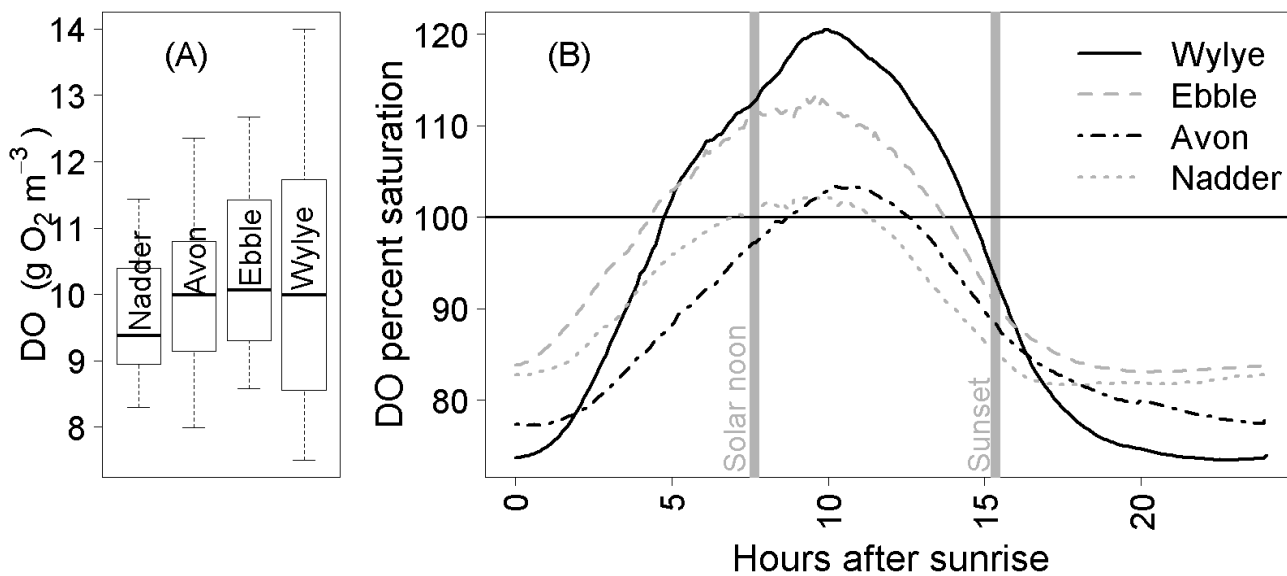


Figure 2. Distributions of DO values (A) and mean DO percent saturation by hours after sunrise (B) for May 5th to May 20th 2015.

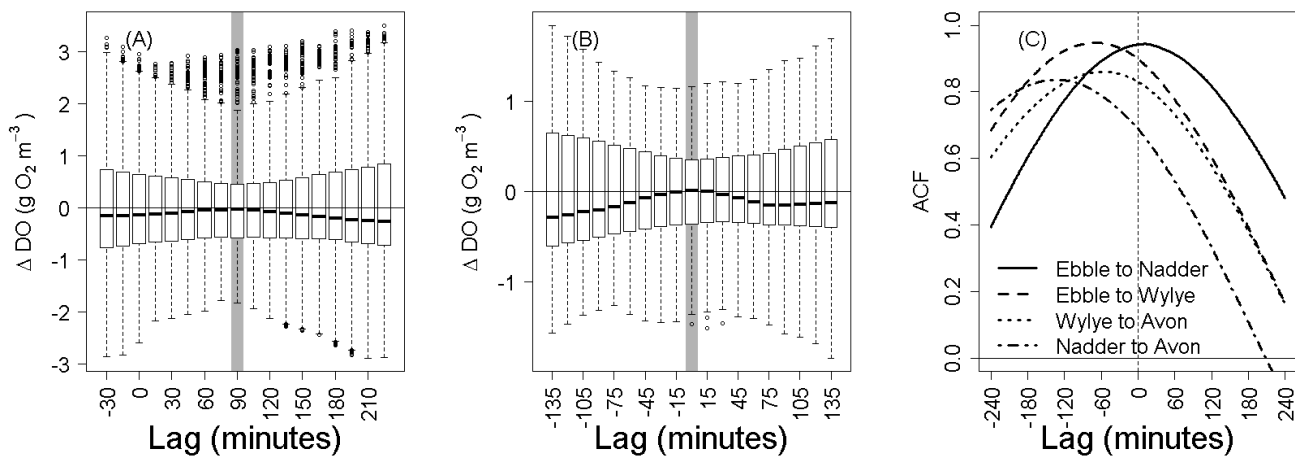


Figure 3. Analysis of lagged differences in DO between normalised DO time series. (A) Ebble and Wylfe, (B) Ebble and Nadder (C) cross-correlations for four rivers for May 5th to May 20th 2015.

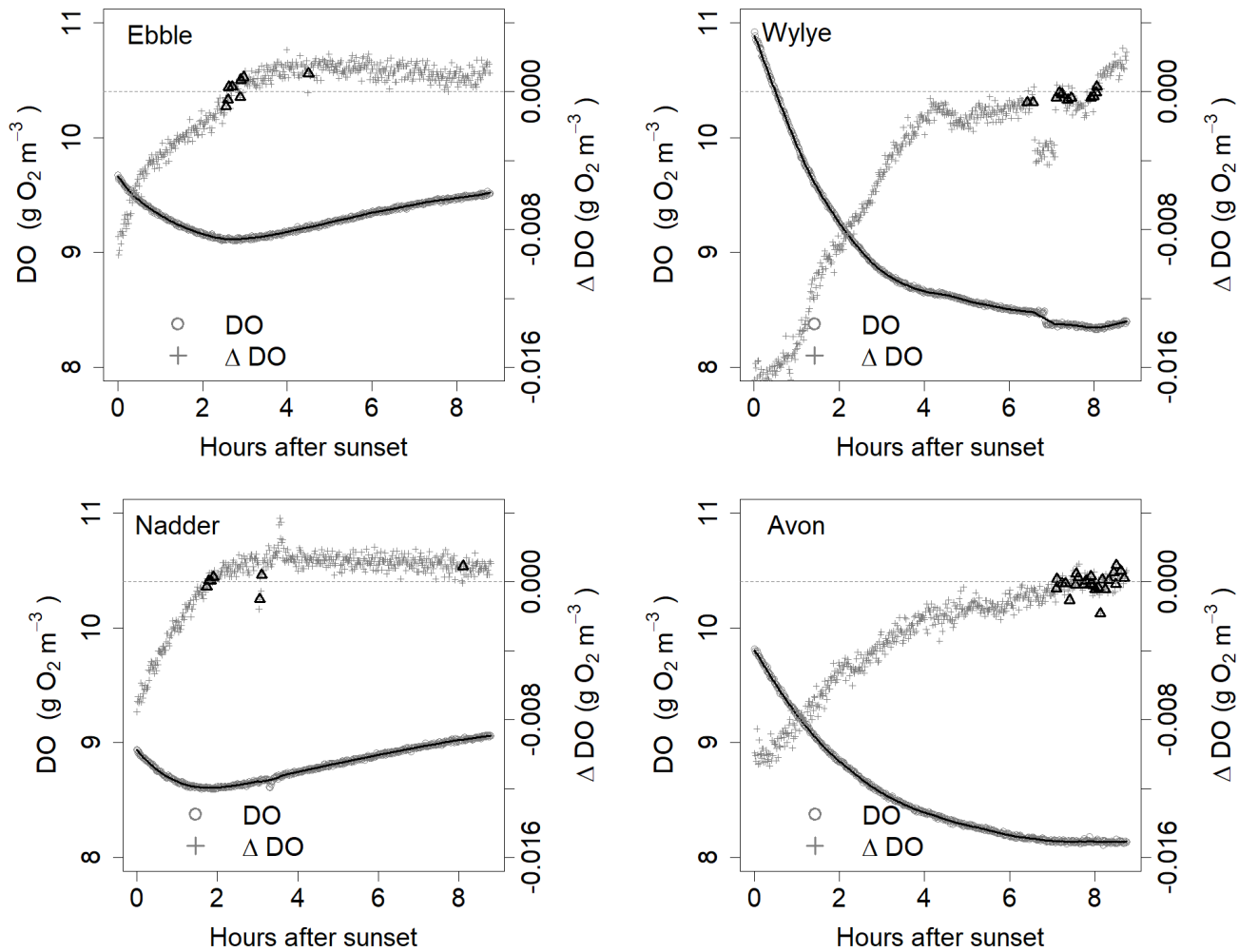


Figure 4. Time series for DO and Δ DO for the night of 9th to 10th May. Δ DO is at one minute intervals. Bold triangles mark those points where there was a change in sign of Δ DO.

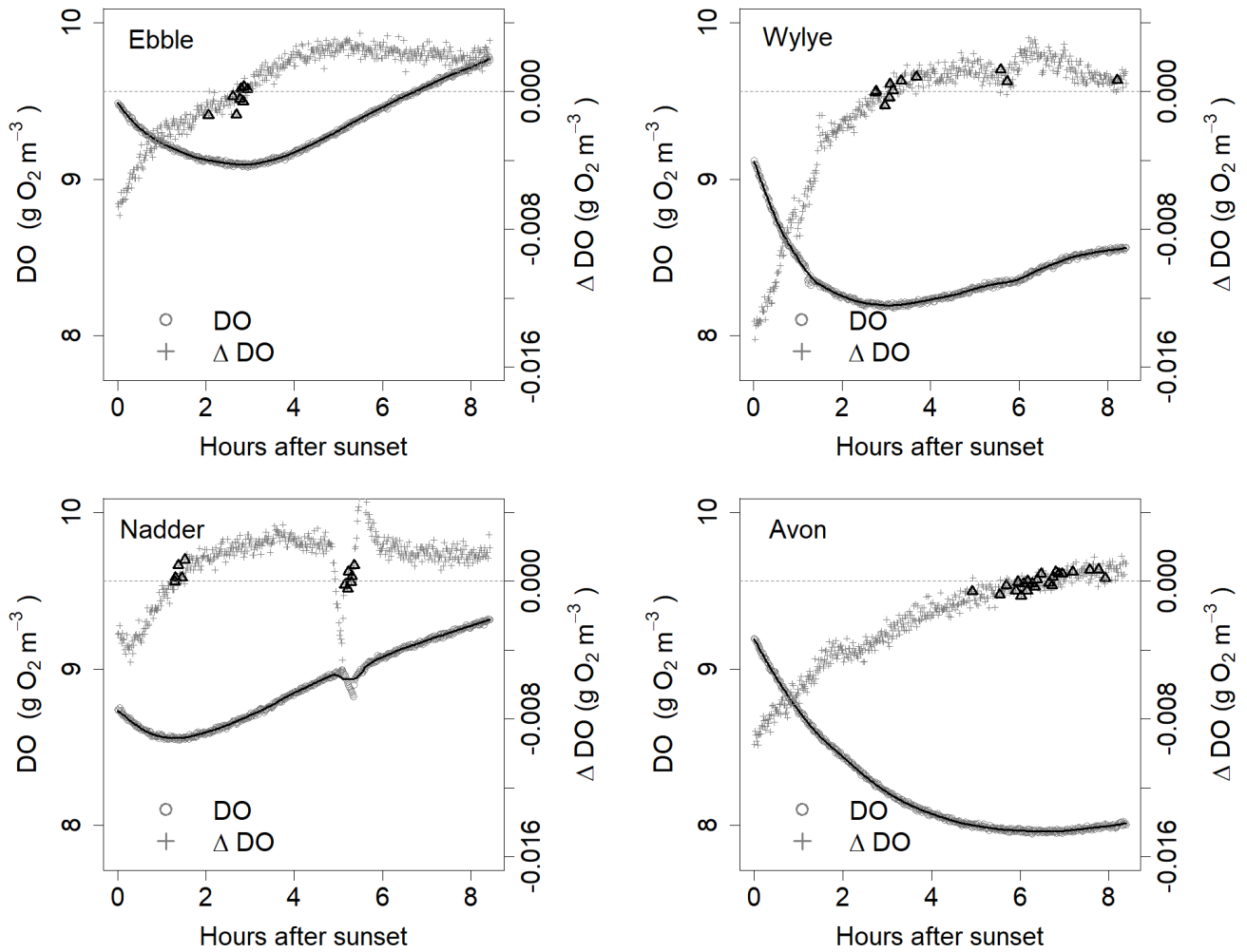


Figure 5. Time series for DO and Δ DO for the night of 16th to 17th May. Δ DO is at one minute intervals. Bold triangles mark those points where there was a change in sign of Δ DO.

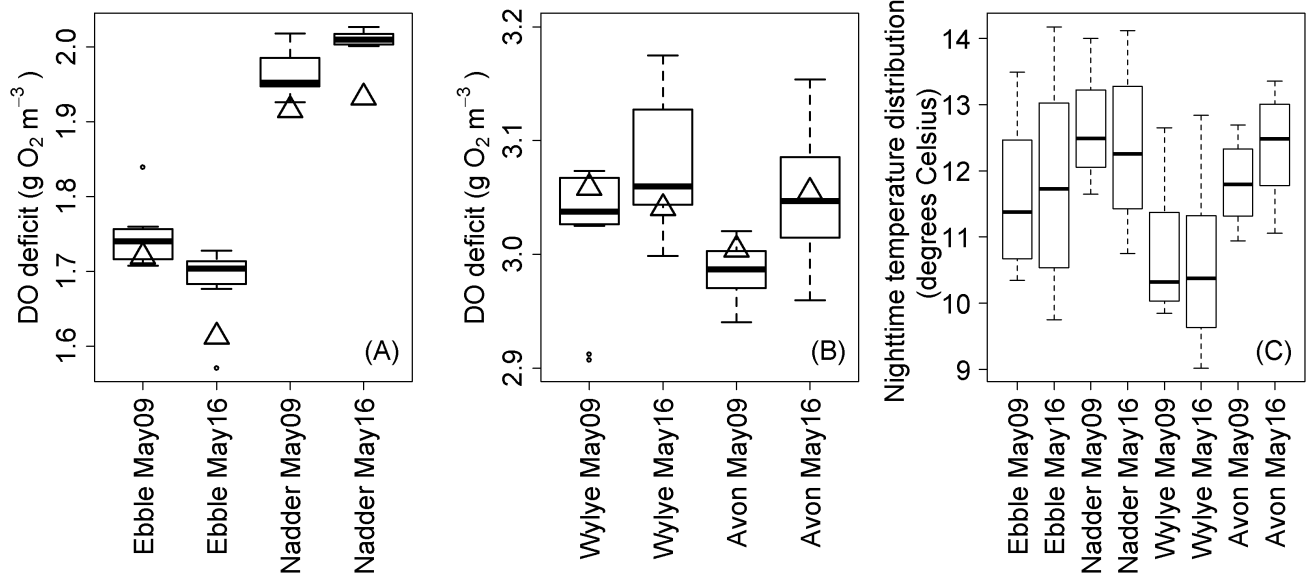


Figure 6. Boxplot time series of DO deficits at points of steady state DO for the nights of May 9th/10th and May 16th/17th 2015. Values for the regression quotient are shown as triangles. Panel (C) shows corresponding distributions of nighttime temperatures.

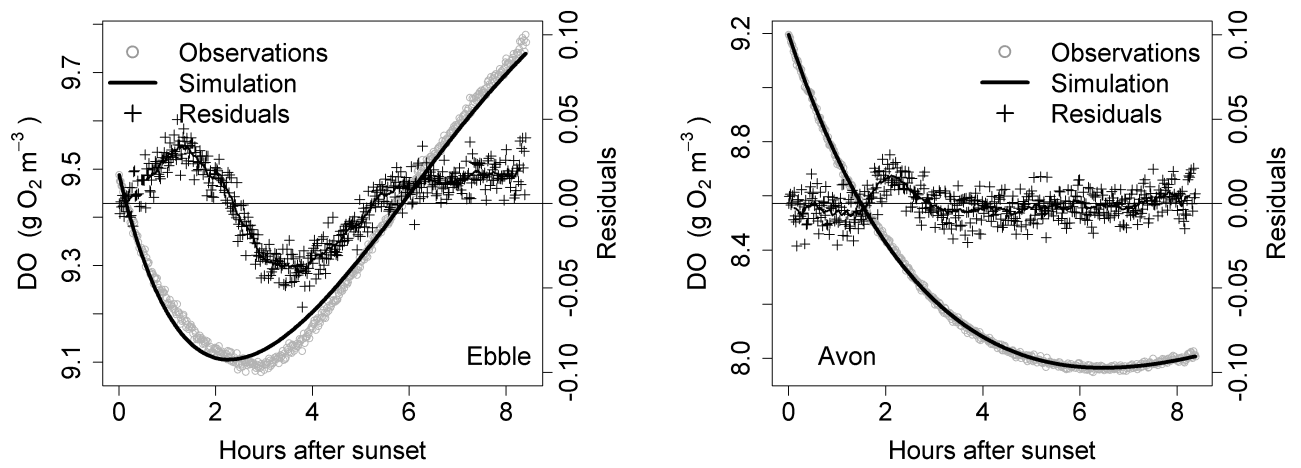


Figure 7. Nighttime simulations for May 16th/17th for Ebble and Avon. For Ebble, median $DOD_{zero} \Delta DO$ is 1.7 and regression quotient is 1.6, whereas for the Avon, they are equal (3.05).

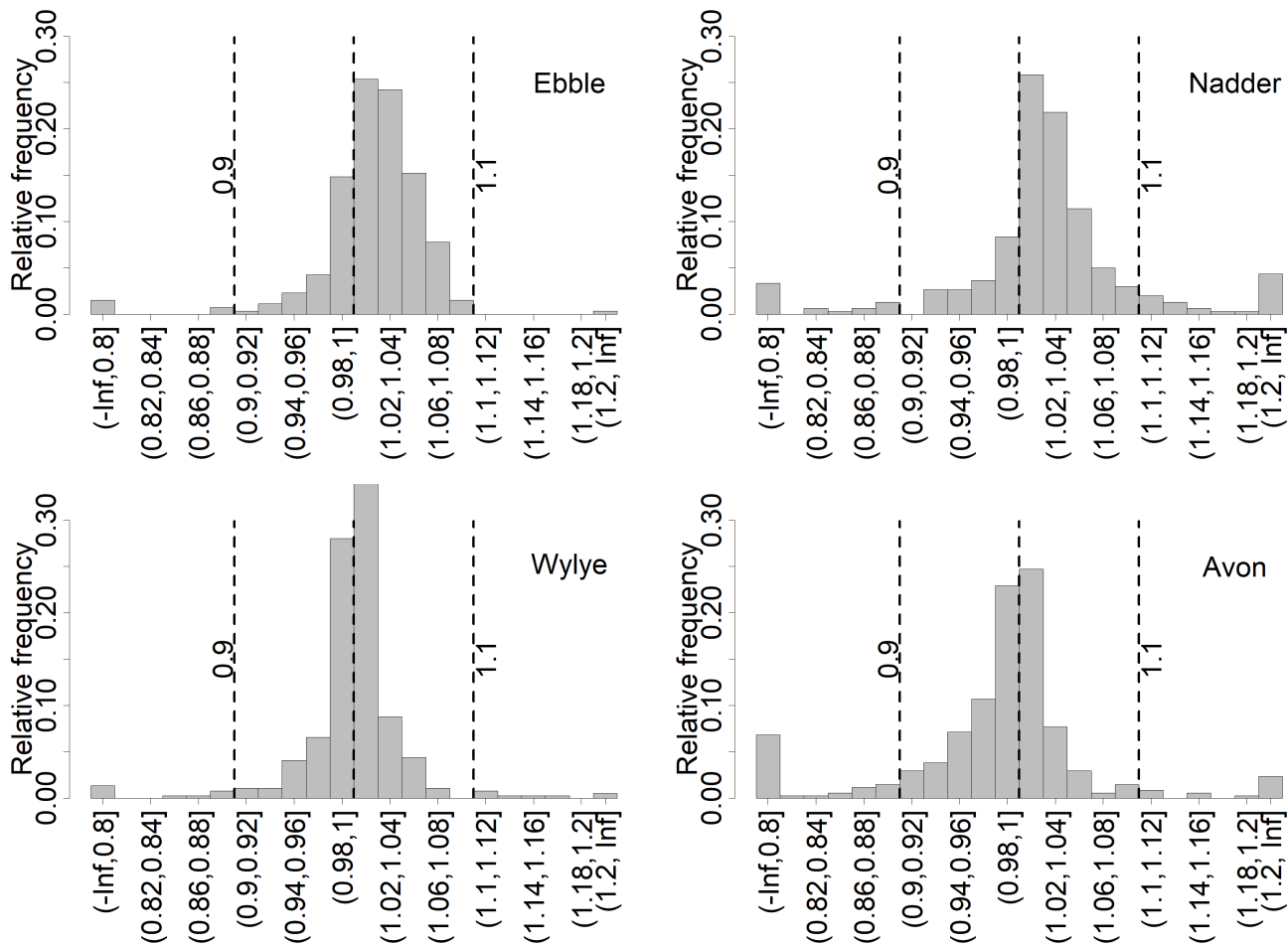


Figure 8. Distributions of the ratio of DO deficit at points of zero DO change to the regression quotient.

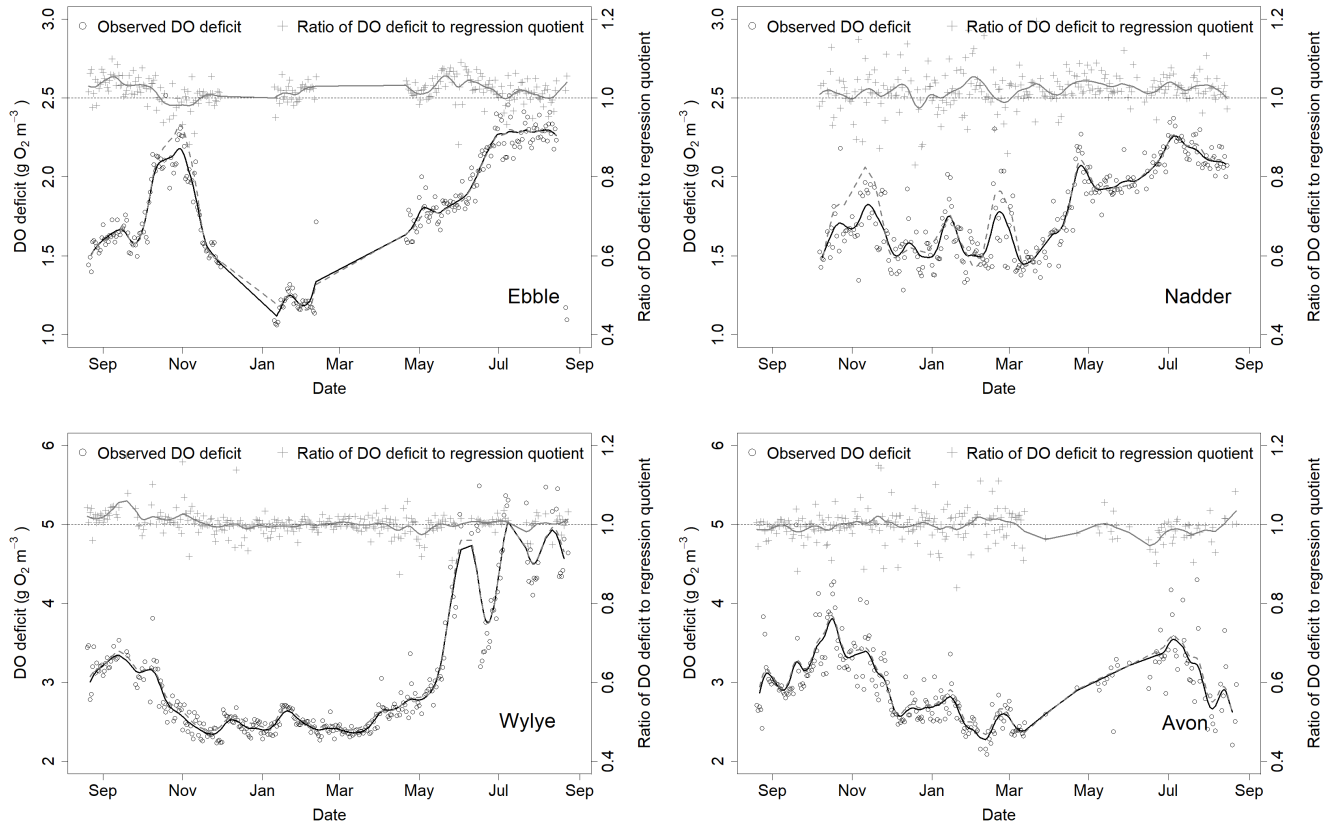


Figure 9. Time series of the DO deficit at points of zero DO change (black circles) and comparison with corresponding ratio derived from nighttime regression (grey crosses). Trend lines are shown for both time series. For grey dashed line (Nadder), see text.

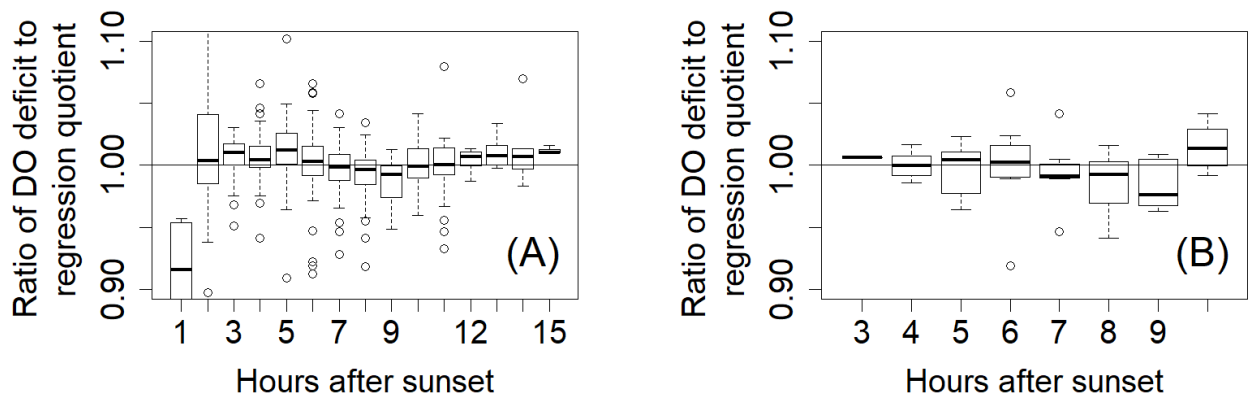


Figure 10. Relationship between time after sunset until point of zero DO change and the ratio $DOD_{zero} / \Delta DO$: (*regression quotient*) for the river Wylde for the entire study period (A) and two month period up to 20th May 2015 (B). Hours after sunset are rounded to the nearest whole hour.

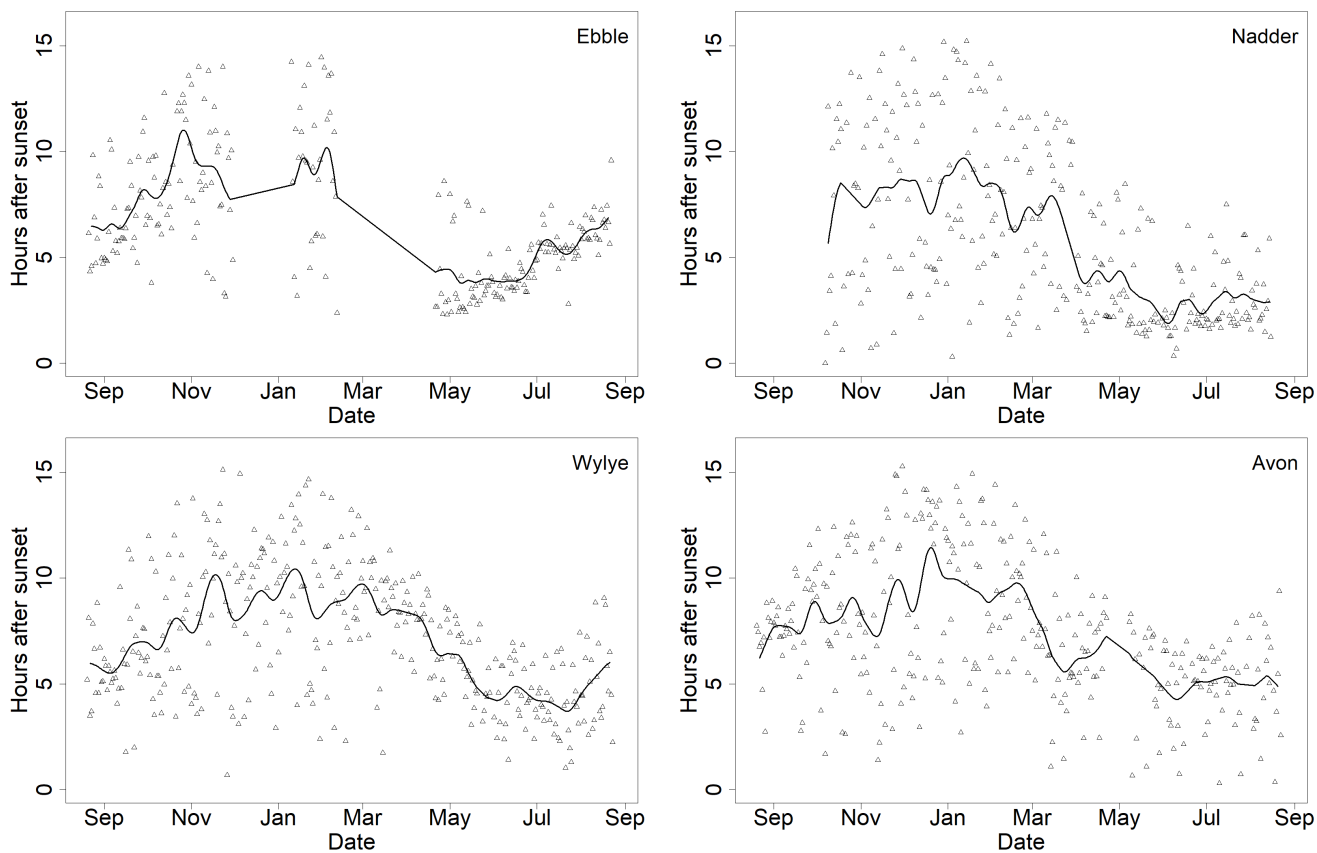


Figure 11. Time after sunset at which ΔDO is zero.

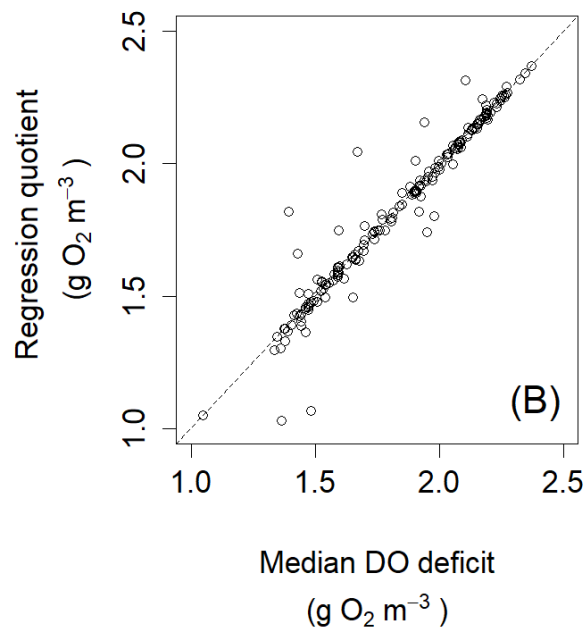
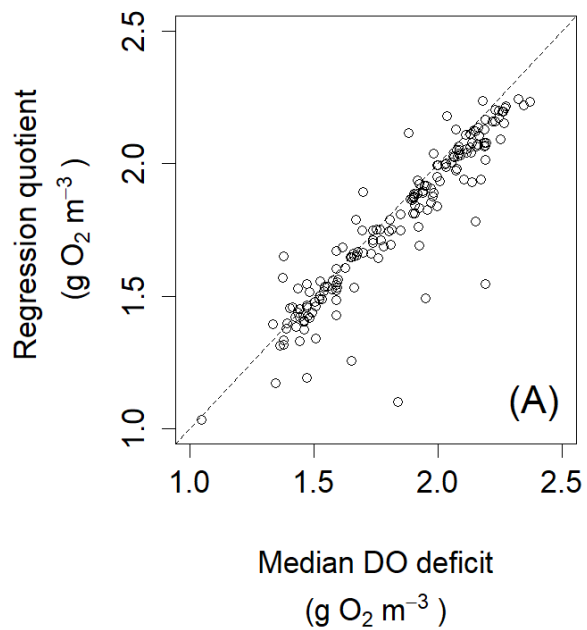


Figure 12. Scatter plots for regression quotient against median DO deficit at points of zero DO change for river Nadder.