

## **Response to reviewer 1 (our replies are in bold)**

Ashley et al. present an assessment on the usefulness of  $\delta^{13}\text{C}$  of fatty acids to assess paleoproductivity in an Antarctic coastal setting. The manuscript is well-written, the data appropriate and extensive, and the research question interesting and relevant. The rationale for this work is fully explained, the introduction is clear and the methodology is sound. The main results and discussion section is generally clear, but not enough attention and focus is given to linking the data to productivity. At present, it almost looks like productivity was chosen because the trends could not be explained by anything else. I am sure this is not the case, but it needs to be made clearer for the reader as well.

**We have added an additional few sentences to the introduction explaining the starting point for this work and our  $\delta^{13}\text{C}$  interpretations and our aims for the discussion (lines 60-66).**

There are a few criticisms I have which ought to be addressed before this manuscript is ready for publication.

1. The manuscript is focusing on one specific site, and while the observed links to productivity are observed here, the site is very particular and in no way is this ready to be extrapolated at all to any other sites in Antarctica or any other settings. Hence, the title is a little presumptuous, while at the same time the phrasing as a question makes it vague. The phrasing of “fatty acid carbon isotopes” won’t be valued by some in the isotope community as it can sound a little bit colloquial. I would suggest changing to “ $\delta^{13}\text{C}$  of fatty acids trace paleoproductivity off the coast of Adelie Land, Antarctica” or something along these lines.

**We have changed the title to: ‘Exploring the use of compound-specific carbon isotopes as a palaeoproductivity proxy off the coast of Adélie Land, East Antarctica.’**

2. The manuscript gives a lot of space for trying to pin down a single, or majority, producer, for fatty acids such as C18. I think this is impossible as so many organisms produce C18 FA, and thus this discussion can be shortened and focused.

**We have shortened section 3.2.**

3. The changes observed in  $\delta^{13}\text{C}$  are very small and some comments on how significant changes of 1‰ really are would be useful.

**As mentioned previously, the fatty acid  $\delta^{13}\text{C}$  data is discussed in the manuscript in comparison with other environmental  $\delta^{13}\text{C}$  signals to help understand the importance of the ~5‰ range in fatty acid  $\delta^{13}\text{C}$ , which we feel is sufficient to help the reader understand the significance of such changes.**

4. I can see a number of analytical issues that should be addressed. First of all, there is no explanation on how the correction for the methyl-group  $\delta^{13}\text{C}$  values was carried out. This needs to be explained, or, if the C used for methylation has not been analysed for  $\delta^{13}\text{C}$  and is not available anymore, and it is thus impossible to make this correction, it needs to be clearly acknowledged that values are not absolute.

**We have included details about how the  $\delta^{13}\text{C}$  values were corrected for the derivatization (lines 144-153)**

The second issue is that the standard used (C19) is not the best for FAME as it is an n-alkane, and was only added post-extraction, hence analysis is semi-quantitative at best which needs to be made clearer.

**We had added some text at the start of Section 3.1. mentioning that the C19 alkane was added post-extraction and hence concentrations estimates are semi-quantitative (lines 188-190).**

5. Throughout the manuscript, often words such as “extremely”, “very high”, etc. are used – I would recommend a thorough edit removing these descriptions and replacing them with actual values that allow the reader to put them into context.

Line 68: Give a number instead of “extremely high” – how high?

Line 70: “highly productive” as above

**We have edited this to include specific annual net primary productivity rates (lines 85-87)**

Line 94: See comment 4 on internal standard – when was it added?

Does it really allow quantification at this point?

**As above, we have mentioned this at the start of section 3.1 (lines 188-190)**

Line 97: Are these values corrected for Me? Are these errors subsequently appropriately propagated? What is the significance of a change of just above 3 x SD (0.26 vs 1 ‰)?

**As mentioned previously, the  $\delta^{13}\text{C}$  errors are based on the duplicate measurements which we believe is a conservative approach to estimating error. We refer to our response to point 3 above in which we discuss the significance of a change of 1‰**

Line 102: Which internal standards?

**We have included details of the internal standard used in the methods section (lines 158-160)**

Line 194: Saying that a marine source is “entirely possible” sounds strange – do you want to say likely?

**We have changed this to likely (line 261)**

Lines 213-214: There are more novel studies on FA, Wakeham and also Hilary Close

**It is not clear which specific papers the reviewer is referring to here, or whether they are more relevant/add much to the discussion compared to the references already cited.**

Line 291: What do you mean by weaker coherence?

**We have changed the wording to: ‘There is less similarity between the C24 and both the HBI triene also HBI diene, (compared to the coherence between C18 FA and HBI triene), which suggests that the C24 FA is predominantly produced by an organism which is not associated with sea ice, and thus instead with more open waters.’ (lines 359-362)**

Lines 547-549:

We know that there are many algae that make these FA so this is not likely to be resolved. At the same time, the non-distinctive nature of these molecules will make it difficult to apply this proxy to other settings where there are likely other producers. The whole paragraph is not particularly relevant and I would shorten and/or delete or move up so the work does not finish on a weak statement.

**We have moved this paragraph further up in the conclusion section so it doesn't end the paper (lines 617-623).**

## **Response to Referee #2 (our replied are in bold)**

The high latitude region of the Southern Hemisphere which include Antarctic ice sheet and Southern Ocean is thought to play an important role in climate system, especially in long-climate change. Hence, it is important to investigate paleoclimate change the region to better understand Earth's climate. However, due to limited application of environmental proxies in the region, significant portions of Earth history, environmental records in the high latitude region are less developed than that of low and mid latitudes. Lower and higher molecular fatty acids that are produced by varieties of organisms in the ocean environment are ubiquitous in ocean sediments. Thus, fatty acids may have a potential as paleoenvironmental proxy. This study explores paleoclimatic utility of fatty acids in Southern Ocean sediments and suggests that stable carbon isotope ratio of the low (C18) and mid (C24) chain fatty acids could be used as productivity proxy in the sea ice area. Although further studies are needed to confirm robustness of the proxy, this study contributes development of biogeochemical proxy which has a potential to apply to high latitude ocean sediment. Hence, this study fits scope of Biogeosciences and suitable for publication in the journal. I have some comments on the article as below.

1. I would suggest to include some explanations that application of biomarker proxy is limited in polar regions into the introduction section (e.g. a powerful proxy such alkenone is not applicable in this region. HBI compounds, that are useful proxy of sea ice, are labile and cannot be applied to geological deep past. On the other hand, fatty acids are ubiquitous and abundantly detected even in old sediment and has a potential but its utility has not been investigated well). Such explanations highlight importance of this work.

### **We have included a few additional sentences in the introduction explaining the potential utility of fatty acids as a paleo proxy in this region (lines 54-59)**

2. Although a number of fatty acids including C16 to C26 were abundantly detected in the studied samples (Figure S2), the authors show and discuss d13C results of C18 and C24 fatty acids only. I wonder why the authors focus the two compounds only. I suppose that aim of this paper is to investigate paleoclimatic utility of fatty acids in marine sediments. Hence, it is worth to also include results of the other compounds into the manuscript. I think many people are interested in results of other compounds and know how d13C profiles of other compounds look like. Including this significantly contributes to develop application of fatty acids in marine sediments to paleoclimate study.

### **As mentioned previously, we feel we have already answered this in the last paragraph of section 3.1. We will, however, provide a spreadsheet of the concentration (all fatty acids) and $\delta^{13}\text{C}$ (C18 and C24 fatty acids) data as part of the supplement.**

3. As for pCO<sub>2</sub> effect on plankton d13C, important literature is missing in the manuscript (Pop et al., 1999, vol 13, 827-843, GBC). They measured d13C of POC along the north-south transect of the Southern Ocean and show significant negative correlation between dissolved CO<sub>2</sub> and d13C of POC, suggesting strong control of pCO<sub>2</sub> on d13C of phytoplankton. There needs to take into consideration the result for discussion.

### **We have included mention of the findings of Popp et al., 1999 to our discussion in Section 4.2 (lines 461-463).**

4. 4.2.3. Productivity section: The authors argue that changes in productivity is the most plausible driver for variability of fatty acid d13C recorded in the sediment core based on the results of previous studies conducted in the Southern Ocean (Villinski et al., 2008; Arrigo et al., 2015; Zhang et

al., 2014). I basically agree that significant increase in productivity results in remarkable higher values of phytoplankton  $\delta^{13}\text{C}$  in the polynya environment. However, those papers (Villinski et al., 2008; Arrigo et al., 2015; Zhang et al., 2014) all argue that observed increases in productivity in the regions are caused by meltwater input which promote surface stratification in summer time with reducing vertical mixing and supplying Fe, providing ideal condition for algal growth. Shadwick et al., GRL (2013) and Jack Pan et al., PlosOne (2019) also clearly show a significant correlation between meltwater fraction, chlorophyll concentration and surface water  $\text{pCO}_2$  drawdown. Especially, Shadwick et al., GRL (2013) investigates glacial meltwater impact on biological carbon drawdown in the studied region. Indeed, those paper shows lowering surface  $\text{pCO}_2$  happened in the regions where meltwater plume intruded. Regardless of sea ice fluctuations, plankton production takes place in summer when ice sheet melts. This suggests variability of meltwater input rather significantly affects productivity. Therefore, I would suggest to consider possible link between meltwater and productivity in the manuscript. Indeed, the observed recent increase in  $\delta^{13}\text{C}$  of C16 fatty acid in sediment core is consistent with the fact of significant melting of Antarctic ice sheet for the past decades.

**As mentioned in our previous response, we feel that to include an interpretation of the drivers of productivity in our record is beyond the scope of this paper. However, we have added a few addition lines into the environmental setting section mentioned various drivers of productivity in the region (lines 87-92).**

5. *F. cylindrus*% and *F. rhombica*% records are shown in Figures 6 and 7, but the authors do not mention anything about those records in the manuscript. I wonder why those data are shown in the figures.

**We included relative abundances of these two diatoms in Fig. 6 along with *F. kerguelensis*, *F. curta* and CRS as representatives of the main diatom groups. We have included mention of the different diatom species included in Fig. 4 (lines 575-577) and an additional sentence pointing out the lack of similarity with *F. cylindrus* and *F. rhombica* (lines 610-611).**

### **Response to Referee #3 (our replies are in bold)**

The manuscript by Ashley et al. proposes the use of the carbon isotope composition of selected fatty acids present in sediments as a palaeoproductivity proxy in an Antarctic polynya environment (Adélie Land). The topic, totally in line with the journal Biogeosciences, is worth being investigated as proxies of paleoproductivity, especially in Polar Regions, are still lacking. The authors present an interesting set of quantitative and isotopic data, and based on their expertise in polar environments, discuss their possible significance in terms of biogeochemical changes recorded in sediments. The approach is interesting but the discussion and the conclusions raised by the authors may appear a little over-optimistic as many assumptions are made and some potential biases are discarded too easily and/or overlooked. There are a number of issues that the authors should take into consideration before the manuscript can be considered for publication. Comments are made chronologically, regardless of their importance.

Line 89 and manuscript throughout: It should be made clear in the manuscript that the data are based solely on free FA which represent only part of the total FA present in sediments (especially in modern to sub-recent sediments). If the selected FA indeed represent tracers of primary production, than it would be worth having a look at the bound (esterified) FA as well.

**We have made it clear in the introduction (and the start of section 3) that this paper is based on free, saturated fatty acids (line 52 and 183)**

Lines 90-91: Please give more details on the use of BF<sub>3</sub>/MeOH as this treatment is known to be deleterious for some (poly)unsaturated FA.

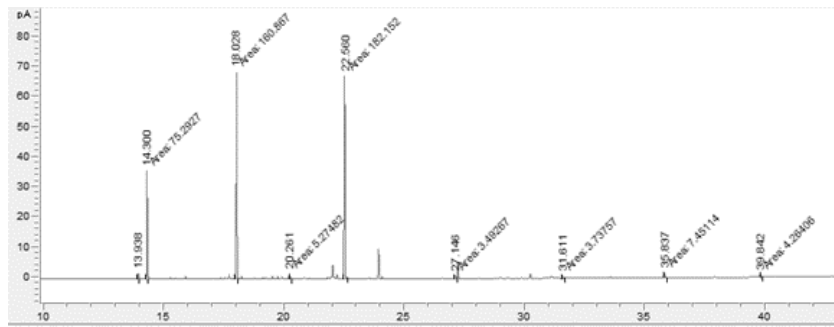
**We have included the concentration of BF<sub>3</sub> used (line 111-112). As stated above, we have made clear that this paper is on saturated fatty acids, thus any effect of BF<sub>3</sub> on polyunsaturated fatty acids should not affect our data.**

Lines 91-94 and Fig. S2. Please give more detail on the chromatographic conditions used (for both GC and GC-MS analyses) and refer to figure S2.

**We have now included details of the chromatographic conditions used for GC-FID, GC-MS and GC-IRMS analysis, including the GC column dimensions, carrier gas and oven temperature programme (lines 114-126).**

Also, the quality of the GC trace shown in figure S2 must be improved as, at such, a clear absence of unsaturated FA (which elute very close to saturated FA) is difficult to admit. As the authors know, the quality of compound specific <sup>13</sup>C analyses is highly dependent on the purity of the compounds investigated and the absence of co-elution. Unsaturated FA often exhibit distinct <sup>13</sup>C compositions compared to their saturated counterparts, so even small co-elution may significantly bias  $\delta^{13}C$  values of saturated FA. An additional purification step using Si/Ag<sup>+</sup> column chromatography may have been worth being investigated.

**As mentioned in our previous response, any unsaturated FAs were below the detection limit of the GC and thus did not show up in any GC traces, hence their absence in figure S2. We carefully checked the baseline of samples during analysis and can confirm that any coelution of other peaks was minimal. We have now included an additional GC trace (below) which we hope is slightly clearer (Fig S2b).**



Lastly, the peak attributed to the internal standard (C19 alkane) in Fig S2 is in fact most probably the C14 FA as it is not possible that the C19 FA elutes 15 minutes later than the C19 alkane. Please check peaks assignment (including the IS).

**We have now included the GC trace noted above (Fig S2b) in which a very small C14 fatty acid peak is visible (at 13.9 minutes) next to the C19 alkane (at 14.3 minutes) indicating that they are in fact different peaks.**

Lines 94-97. In line with the previous comment, more detail is undoubtedly required concerning CSIA. Which type of GC and conditions were used including the characteristics of the capillary column, the temperature of the interface and the oven, etc?

**We have now added details of the chromatographic conditions used for GC-IRMS analysis, including the GC column dimensions, carrier gas and oven temperature programme (lines 114-126).**

Does 'Duplicate measures' means that each sample was analyzed twice?

**Yes**

If so, the error given is a min-max and not a standard deviation.

**We have changed the wording to remove standard deviation (line 142)**

Were the measured  $\delta^{13}\text{C}$  values corrected 1) for the methyl group added through derivatization

**We have added details of the correction for derivatization (lines 144-153)**

and 2) for instrument deviation using a standard mixture?

**No, the  $\delta^{13}\text{C}$  values were not corrected for the instrument deviation, but this was monitored throughout analysis using external standards (F8, Indiana) and remained low throughout.**

Are the stable isotope ratios expressed relative to the standard Vienna Pee Dee Belemnite (V-PDB)?

**Yes, they are expressed relative to VPDB. We have included mention of this in the methods section (line 127-128)**

Line 102: which IS were used for HBI?

**We have included details of the internal standard used for the HBI measurement in the methods (line 158-159)**

Line 106: This is unclear as it sounds like a repetition of the previous sentence.

**We have re-worded this (line 163)**

Lines 125-126: The sole presence of saturated FA in (sub)actual sediments of (hyper) productive areas is very unusual (this is an additional reason why a very clear GC trace is needed in Fig. S2 which could even be included in the main manuscript). Would it be possible that unsaturated FA were (partly) destroyed by the BF3 treatment?

**As mentioned in our previous response, we refer to lines 211-233. Our understanding is that the sole presence of saturated FA is not unusual. The hyper-productive environment offshore Adélie Land is unique and not well studied thus it is hard to know how it compares to other sites. We believe it is unlikely that unsaturated fatty acids would be destroyed by BF3 due to the low concentration we used.**

Line 132: The actual figure 4 should become figure 2 and, consequently, actual figures 2 and 3 should become figures 3 and 4, respectively.

**We have now changed the order of these figures.**

Actual figure 4: The upward displacement of either one or two GC trace(s) within each group would make the different trends easier to compare. The horizontal axis could also be homogenized with that of figures 5 and 6 (age or eventually both depth and age, and from right to left).

**The overlap of the FAME concentration plots shows the strong coherence between the datasets, which wouldn't be as clear if they were offset, thus we prefer to keep it this way. We choose to plot this data against depth in this figure since this section is dealing with how the FAME concentrations change downcore and how the different compounds compare to each other and age is not particularly relevant until later in the discussion. It is not really possible to have both age and depth on the x-axis since the age model is not completely linear. We will provide the data in the supplement so readers will be able to look at both depth and age if they wish.**

Line 144 and all along the manuscript: Please also give an estimated time span when speaking in cm depth.

**We have included mention of the rough age of the sediments when referring only to depth (lines 205, 296 and 451)**

Lines 166-168: In Dalsgaard et al., the mean proportion of C18:0 FA in Prymnesiophyceae is only 3%! Please specify it.

**As mentioned in our previous response, we pointed out in lines 168-170 that the majority of FAs produced were the unsaturated form which are preferentially broken down in the water column and sediments (Haddad et al., 1992; Matsuda, 1978; Colombo et al., 1997). Thus, although the C18 FA represents only 3% of the *total* FA fraction, its higher preservation rate compared to unsaturated fatty acids, increases its proportion in the sediment.**



Line 170: 'higher preservation rate' may be misleading; replace with 'higher potential of preservation'.

Line 170: replace 'its proportion' with 'its relative proportion'.

**This sentence has since been removed.**

Lines 166-181: This whole section deals with proportions of C18 FA in laboratory cultures which can show great differences with the environment. Could authors comment on this?

**This paragraph has since been removed.**

Lines 181-183 and more generally: This is one of my main concerns. The C18 FA can be produced by various type of (micro)organisms and assigning a single origin to this compound is rather daring. Authors should definitely support their hypothesis and comment about other potential sources of this compound such as bacteria, macrofauna, zooplankton, atmospheric inputs, land plants... One would also expect concentration profiles to be combined with d13C values to strengthen interpretations on the origin of individual biomarkers.

**As mentioned previous, in the second paragraph of section 4 we include the d13C values in our interpretation of the source of fatty acids which supports a pelagic phytoplankton source. Our suggestion of *Phaeocystis antarctica* as the main producer of C18 is clearly presented as the most likely dominant source based on the available information and is a conservative suggestion. We point out that contributions from other sources such as diatoms or dinoflagellates cannot be excluded. Inputs from land plants and atmospheric inputs are highly unlikely due to the location of the core (Antarctica) and the highly productive nature of the water column.**

Line 184 and thereafter: The same comment (as that made for the C18 FA) holds for the C24 FA. In this case isotopic data could be additionally used to support a planktonic (vs terrestrial plants) origin.

**We are very cautious in our interpretation of the C24 fatty acid and do not assign a specific source. As we point out, contributions from terrestrial plants are highly unlikely due to the lack of land plants proximal to the core and the highly productive nature of the water column in this area.**

Line 200 and thereafter: This is true but the degradation rates of lipid biomarkers appear strongly dependent on the redox conditions. Could authors give information on the redox state at the water-sediment interface and the possible influence of bioturbation in the surficial sediments?

**The preservation of annual to sub-annual laminae throughout the core indicates very reduced bioturbation and the presence of dysoxic to anoxic bottom waters. However, we argue that much of the degradation takes place within the water column which is well-mixed and oxygenated, as well as in the surface sediments. This is a highly productive environment involving many trophic levels thus recycling of material in the water column will be substantial resulting in anoxic bottom waters. We don't have information on the redox conditions, it has never been undertaken and this would be very difficult to monitor at such a remote and hostile location.**

Lines 227-229: Could this be due to an impact of bioturbation and/or to microbial production within the sediment?

**This is highly unlikely due to lack of bioturbation and anoxic bottom waters. While we cannot rule out anaerobic microbial production in the surface sediments, this appears to be unlikely due to the consistent profile of FA homologues. If there was a major contribution from in situ microbes,**

**we would expect a change in the FA profile such as the presence of branched fatty acids etc. in younger samples.**

Lines 257-259: A similarity between the concentration profiles of C18 FA, HBI triene and HBI diene is not obvious in figure 5. Authors are encouraged to reconsider/specify those words.

**In lines 257-258 we state that “one key similarity between both the HBI diene and triene, and the FA concentrations is that the highest concentrations are found in the youngest sediments.” Figure 5 is split into two sections – the 1550-1950 period and 1950-2000 which have different y-axes. The y-axes for the 1950-2000 period (shown on the right) have much higher values for all four plots than the older period (shown on the left) since the concentrations in this period are much higher. Plotting the whole record on the same y-axis would mean that the plot is dominated by the high concentration in the top part of the core and the smaller-scale changes would not be visible, hence choosing to split it up. Thus, the similarity between the fatty acids and HBIs in having higher concentrations in the top part of the core is clear from the higher values in the y-axes on the right-hand side of the figure. The higher concentrations of fatty acids in the top of core are clearly shown in Figure 4.**

Lines 261-262: This sentence is not clear. Do authors mean: ‘... and to diagenetic transformation within the sediments including sulfurisation (ref), isomerisation (ref) and cyclisation (ref) reactions’

**We have amended this sentence (lines 327-329).**

Lines 262-264: This statement is misleading and in contradiction with section 3.4. Clearly, one cannot speak about a better preservation in the top sediments. The concentrations of HBI reflect the flux of lipids reaching the seafloor while the decrease in concentration downcore reflects enhanced degradation in the first cm of sediments (yet possibly including variations in productivity).

**We have amended this sentence to make it clearer what we mean (lines 330-331)**

Lines 272-273: I agree but this holds true if diagenetic conditions remain the same through time. Any indication on potential variations in the redox state of the water column and water-sediment interface back in time?

**Unfortunately, we do not have data on the redox state of the water column as it has never been undertaken. Mn is sometimes used as a proxy for redox conditions at the water-sediment interface (Jimenez-Espejo et al., 2019). Unfortunately, this element has not been measured in DTGC2011 core.**

Line 290: Again the concept that preservation of organic matter is better in surficial (younger) sediments is unfounded and in contradiction with section 3.4. It should be revised throughout the whole manuscript.

**We have amended the sentence (line 359)**

Lines 311-314 and thereafter: I don't think such a difference can be considered really significant (keeping in mind that the reproducibility was +/- 0.26 per mill). This statement might be a little far-fetched and I would suggest to remove it.

**We have amended the wording to make it clear this is a tentative suggestion only (lines 419).**

Lines 355-356. I am not convinced by this statement when looking at the d13C profile of the C24 FA which shows a clear trend towards lighter values (2-3 per mill) within the last 150 years. Authors are mentioning this trend later on (lines 375-376). Could this be linked to increased land plant inputs due to ice retreat?

**We have added a few additional sentences discussing the trend in the C24 d13C in relation to atmospheric CO2 d13C changes (lines 428-432).**

Lines 366-367: please temper with 'do not tend to produce high proportions of this compound'.

**We have amended the sentence as suggested (lines 443).**

Lines 372-378: This again is somewhat speculative. If both FA have distinct origins, than the diagenetic impact on their 13C composition may be significantly different. What about the possibility that either one or both FA are being produced in the top sediments?

**We disagree that the C24 and C18 would have such different diagenetic pathways. While we cannot rule out this suggestion completely, we are not aware of any literature to suggest this would be the case. As mentioned before, there is no evidence of any bioturbation or metazoan benthic activity in the sediments, and the bottom waters are known to be anoxic meaning any fatty acid producers within the sediments would be very limited.**

Section 4.3 (lines 522-530) and conclusions (lines 540-541): As considered for FA in actual figures 2 and 3, a correlation table would help in highlighting putative relationships between lipid biomarker (concentration or d13C) profiles and specific phytoplanktonic species.

**While we agree that this could be helpful, unfortunately the biomarker and diatom data were taken from adjacent samples (thus have different depths and ages) meaning they cannot be directly compared (unless they were resampled which would introduce errors). In contrast, comparison of different fatty acid compounds was possible since they which were all present within each sample meaning they were analysed simultaneously. Furthermore, due to the nature of the data, having high frequency-high amplitude changes due to dynamic environment, and the fact that they are different types of data, we do not think that a correlation table comparing diatoms and biomarkers would be useful. For this reason, it is not common in palaeoclimatic to look at correlations and is generally considered more useful to look at broad coherence between datasets which may change downcore. Therefore, we think it is more useful to plot the downcore records together in order to see the coherence between them.**



# **Fatty acid carbon isotopes: a new indicator of marine Antarctic paleoproductivity? Exploring the use of compound-specific carbon isotopes as a palaeoproductivity proxy off the coast of Adélie Land, East Antarctica**

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## **Abstract**

The Antarctic coastal zone is an area of high primary productivity, particularly within coastal polynyas where large phytoplankton blooms and drawdown of CO<sub>2</sub> occur. Reconstruction of historical primary productivity changes, and the associated driving factors, could provide baseline insights on the role of these areas as sinks for atmospheric CO<sub>2</sub>, especially in the context of projected changes in coastal Antarctic sea ice. Here we investigate the potential for using carbon isotopes ( $\delta^{13}\text{C}$ ) of fatty acids in marine sediments as a proxy for primary productivity. We use a highly resolved sediment core from off the coast of Adélie Land spanning the last ~400 years and monitor changes in the concentrations and  $\delta^{13}\text{C}$  of fatty acids along with other proxy data from the same core. We discuss the different possible drivers of their variability and argue that C<sub>24</sub> fatty acid  $\delta^{13}\text{C}$  predominantly reflects phytoplankton productivity in open water environments, while C<sub>18</sub> fatty acid  $\delta^{13}\text{C}$  reflects productivity in the marginal ice zone. These new proxies have implications for better understanding carbon cycle dynamics in the Antarctica coastal zone in future paleoclimate studies.

## **1 Introduction**

Antarctic coastal zones are important players in the global carbon cycle. The deep ocean is ventilated in these regions as part of the Southern Ocean overturning circulation, allowing waters rich in nutrients and CO<sub>2</sub> to be upwelled to the surface. In the absence of biological activity, most of the CO<sub>2</sub> would be leaked to the atmosphere. However, coastal polynyas within the Antarctic margin are areas of very high primary productivity during the spring and summer months (e.g. Arrigo et al., 2008) that rapidly reduces CO<sub>2</sub> to low levels through photosynthesis (Arrigo and van Dijken, 2003; Arrigo et al., 2008), resulting in surface water CO<sub>2</sub> undersaturation with respect to atmospheric CO<sub>2</sub> (Tortell et al., 2011). The subsequent export and burial of the organic carbon produced during these intense phytoplankton blooms can significantly lower atmospheric CO<sub>2</sub>

41 concentrations (Sigman and Boyle, 2000). Therefore, any change in the consumption of these nutrients by  
42 phytoplankton, or any change in phytoplankton community structure, may affect the air-sea CO<sub>2</sub> exchange in  
43 this region.

44 Records of past phytoplankton productivity offer an opportunity to document the drivers of primary productivity  
45 at different timescales from pluri-decadal to millennial. In the Antarctic coastal zone past work has focused on  
46 records of organic carbon, biogenic silica and diatom abundances (Leccaroni et al., 1998; Frignani et al., 1998;  
47 Denis et al., 2009; Peck et al., 2015). These proxies however may provide a biased view of phytoplankton  
48 productivity as they only record a signal of siliceous productivity and may suffer from alteration during settling  
49 and burial (Beucher et al., 2004; Tréguer et al., 2017). As such, there is no robust understanding of how such  
50 records respond to surface water CO<sub>2</sub> which is of major importance in the context of Antarctic coastal sea ice  
51 changes.

52 Here we investigate the use of compound specific carbon isotope analysis ( $\delta^{13}\text{C}$ ) of free, saturated algal fatty  
53 acids (FAs) in marine sediments as a potential integrative proxy for reconstructing primary productivity in a  
54 polynya environment. Fatty acids have the potential to be a useful palaeoproductivity tool in this region due to  
55 their ubiquitous presence within marine sediments, while other commonly used compounds, such as alkenones,  
56 are absent. Fatty acids are also able to persist within the sediments for several thousand years, meaning they  
57 have the potential to be applied over long time spans in contrast to more labile compounds such as highly  
58 branches isoprenoid alkenes (HBIs). Furthermore, fatty acids are amenable to isotope analysis allowing them to  
59 yield more detailed information about the environment.

60 Previous studies in the highly-productive regions of the Southern Ocean have highlighted the potential for using  
61 compound-specific isotopes from algal biomarkers in sediments to track primary productivity changes both  
62 spatially and temporally. Villinski et al. (2008) found that the spatial variation in pCO<sub>2</sub> in the Ross Sea was  
63 associated with a variation in the  $\delta^{13}\text{C}$  of sedimentary organic carbon and sterol biomarkers, most likely due to a  
64 change in isotopic fractionation associated with the photosynthetic drawdown of CO<sub>2</sub>. Their results demonstrate  
65 that the spatial variation in surface water CO<sub>2</sub> is recorded in sedimentary organic matter and algal biomarkers.  
66 We explore this further as well as looking into other potential drivers of compound-specific carbon isotopes.

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67 We use samples from core DTGC2011, a 4.69 m sediment core recovered from offshore Adélie Land, East  
68 Antarctica, spanning the last ~400 years. The core chronology is based on radiocarbon dates and confirmed by  
69 <sup>210</sup>Pb excess activity measurements, which indicate that DTGC2011 spans the 1580-2000 C.E. period with a  
70 mean sedimentation rate of ~1 cm yr<sup>-1</sup> (Supplementary Information S1). In order to understand the signal  
71 recorded by the FAs, we estimate the most likely biological source of these compounds and the habitat and  
72 season of production. Moreover, we compare downcore changes in FA concentrations and  $\delta^{13}\text{C}$  with other  
73 proxy data from the same core.

74

#### 75 **Environmental setting**

76 The Adélie drift is located in the Dumont D'Urville Trough in the Adélie Basin, ca. 35 km offshore from Adélie  
77 Land (Fig. 1). This is a 1000 m deep, glacially scoured depression on the East Antarctic continental shelf,

78 bounded to the east by the Adélie Bank. Sea ice plays a key role on the dynamics of the region, with both fast  
79 ice and pack ice present off the coast of Adélie Land. A large bank of fast ice forms annually between 135 and  
80 142°E, and extends up to 120 km away from the coast (Massom et al., 2009). On the north edge of this fast ice  
81 buttress is an inlet of open water forming a polynya, an area of open water surrounded by sea ice (Bindoff et al.,  
82 2000).

83 The Adélie Coast is characterized by extremely high primary productivity, with phytoplankton assemblages  
84 dominated by diatoms (Beans et al., 2008). The site itself is located close to the Dumont D'Urville polynya  
85 (DDUP), with an annual net primary productivity (NPP) of 30.3 g C m<sup>-2</sup> a<sup>-1</sup>, but is also directly downwind and  
86 downcurrent of the much larger and highly productive Mertz Glacier polynya (MGP) to the east, with an annual  
87 NPP of 39.9 g C m<sup>-2</sup> a<sup>-1</sup> (Arrigo et al., 2015 and van Dijken, 2003). Various factors are known to drive  
88 productivity trends in the Southern Ocean, including open water area, glacial melt and mixed layer depth  
89 (Arrigo et al., 2015). In the MGP, Arrigo (2007) found light and nutrient availability to be the most important  
90 factors, which will in turn be modulated by changes in mixed layer depth, ice cover and glacial ice melt.  
91 Physiological differences in *Phaeocystis antarctica* compared to diatoms mean it can thrive in lower nutrient  
92 conditions and lower CO<sub>2</sub> levels.

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93 The region is affected by various water masses. High Salinity Shelf Water (HSSW) is formed on the shelf in  
94 coastal polynyas as a result of sea ice production and the associated brine rejection. HSSW flows out of the shelf  
95 through the Adélie sill at 143°E (Fig. 1). Modified Circumpolar Deep Water (mCDW) is a warm, macronutrient-  
96 rich and salty water mass which upwells onto the continental shelf through channels in the shelf break. mCDW  
97 has been observed to upwell across the shelf break near the Mertz Glacier at 144°E (Williams et al., 2008) (Fig.  
98 1). The Antarctic Coastal Current, also known as the East Wind Drift, flows westward often adjacent to ice  
99 shelves (Thompson et al., 2018). The Antarctic Surface Water (AASW) is a widespread water mass which  
100 extends across the continental shelf and has a surface mixed layer varying from a shallow (ca. 10 m), warmer  
101 and fresher layer in summer to a deeper (ca. 100 m), colder layer in winter. This is also transported westward  
102 along with the Antarctic Coastal Current (Martin et al., 2017). Surface waters along the Adélie coast have  
103 relatively high concentrations of nitrate, silica and phosphorus, with spatially variable levels of Fe which may be  
104 due to re-suspension of sediments and calving of ice (Vaillancourt et al., 2003; Sambrotto et al., 2003).

## 105 2 Materials and Methods

### 106 *Fatty acids*

107 One hundred and thirty-five sediment samples were taken for organic geochemical analyses, sampled at 1 cm  
108 intervals in the top 50 cm, 2 cm intervals between 50 and 100 cm, and 5 cm intervals until 458 cm. Lipid  
109 extractions were completed at the University of Birmingham using dichloromethane/methanol (3:1 v/v) and  
110 ultrasonication. The acid and neutral fractions were separated using an aminopropyl-silica gel column and the  
111 FAs eluted using diethyl ether with 4% acetic acid. The acid fraction was derivatized using boron trifluoride (14  
112 % in methanol (v/v)) in methanol and subsequently cleaned up using a silica gel column and the FAs-fatty acid  
113 methyl esters (FAMES) eluted with dichloromethane.

114 FAs were identified using an Agilent 7890B gas chromatograph (GC) coupled to an Agilent 5977A mass  
115 selective detector, with a BP5-MS (SGE) column (60m, 320µm internal diameter, 0.25µm film thickness).

116 Helium was used as the carrier gas set at a constant flow rate of 2 ml/min. The MSD was run in scan mode with  
117 a scan width of 50 to 800 mass units. Agilent gas chromatograph coupled to an Agilent mass selective detector  
118 and concentrations were quantified using an Agilent 7890B GC-flame ionization detector, using Hydrogen  
119 as the carrier gas with a constant flow rate of 2 ml min<sup>-1</sup>. An Rtx™-200 column (105 m, 250µm internal  
120 diameter, 0.25µm film thickness) which has a poly(trifluoropropylmethylsiloxane) stationary phase was used for  
121 FA analyses to enable the best separation possible. The oven programme was: 70°C, held for 1 min, increased to  
122 150°C at a rate of 30°C/min, increased to 320°C at a rate of 3°C/min, then held for 10 minutes. gas  
123 chromatograph—flame ionization detector analysis with the inclusion of an internal standard (C<sub>19</sub> alkane) of  
124 known concentration. FA concentrations were quantified by addition of a C19 alkane as an internal standard,  
125 prepared in-house to the concentration of 10 ng/µl. The peak areas of FAs and the internal standard were used to  
126 calculate the concentration of each compound.

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127 The δ<sup>13</sup>C composition of fatty acids are described in delta notation:

$$\delta^{13}\text{C} (\text{‰}) = ((12\text{C}/13\text{C})_{\text{sample}} / (12\text{C}/13\text{C})_{\text{standard}} - 1) \times 1000$$

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129 whereby the standard is Vienna Pee Dee Belemnite. Carbon isotopes were measured- using an Agilent 7890A  
130 GC coupled to an Isoprime GC5 furnace and an Isoprime 100 isotope ratio mass spectrometer (IRMS). The  
131 Isoprime GC5 furnace contained a CuO furnace tube kept at 850°C. Helium was used as the carrier gas set at a  
132 constant flow of 1.7 ml/min and CO<sub>2</sub> was used as the reference gas. The GC had a VF-200ms column (60 m,  
133 250µm internal diameter, 0.25µm film thickness) which also has a poly(trifluoropropylmethylsiloxane)  
134 stationary phase. The oven programme was: 70°C, held for 1 min, increased to 150°C at a rate of 30°C/min,  
135 increased to 320°C at a rate of 3°C/min, then held for 5 minutes. Most samples were run using an Agilent 7693  
136 autosampler from dilutions of 10 – 100 µl. Where concentrations were very low, samples were dissolved in <10  
137 µl and were manually injected. Most samples were run in duplicate except for a few cases where the sample  
138 concentration was so low that the entire sample had to be injected in one run.

139 Machine performance was routinely checked using a FA ester mix (F8; Indiana University) containing eight FA  
140 compounds. This was run before the start of analysis and after every five duplicate samples, with an Isoprime  
141 100 isotope ratio mass spectrometer coupled to an Agilent gas chromatograph flame ionization detector and a  
142 GC5 furnace. Errors are based on the standard deviation of difference between duplicate measures and are all  
143 within 0.26%.

144 To correct for the additional carbon added during MeOH derivatization, three FA standards were analysed for  
145 their bulk carbon isotope value using an Elemental Pyrocube at the University of Birmingham. Samples were  
146 combusted at 920°C before being passed through a reduction column and the isotopic composition of sample  
147 gases was determined on an Isoprime continuous flow mass-spectrometer. These samples were then derivatized  
148 and then analysed on the GC-IRMS for the δ<sup>13</sup>C value of the FAME. The δ<sup>13</sup>C of the FA (δ<sup>13</sup>CFA) and FAME  
149 (δ<sup>13</sup>CFAME) were used to calculate the δ<sup>13</sup>C of the MeOH (δ<sup>13</sup>CMeOH) as follows:

$$\delta^{13}\text{CMeOH} = (n_{\text{FAME}} * \delta^{13}\text{CFAME}) - (n_{\text{FA}} * \delta^{13}\text{CFA})$$

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151 whereby n<sub>FAME</sub> is the number of carbons in the FAME and n<sub>FA</sub> is the number of carbons in the FA.

152 δ<sup>13</sup>CMeOH was calculated to be ca. -40.8‰ and the δ<sup>13</sup>CFAME values were corrected using:



$$\delta^{13}\text{CFA} = ((n\text{FAME} * \delta^{13}\text{CFAME}) + 40.8) / n\text{FA}$$

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#### 154 *HBI*s

155 Two hundred and thirty-four samples were taken every 2 cm over the whole core for highly branched  
156 isoprenoids (HBI) alkenes analysis. HBI<sub>s</sub> were extracted at Laboratoire d'Océanographie et du Climat:  
157 Experimentations et Approches Numériques (LOCEAN), separately from the fatty acids, using a mixture of  
158 9mL CH<sub>2</sub>Cl<sub>2</sub>/MeOH (2:1, v:v), ~~to which 7 hexyl nonadecane (m/z 266) was added as an internal standard during~~  
159 ~~the first extraction steps, following the Belt et al (2007) and Massé et al. (2011) protocols. internal standards~~  
160 ~~were added and applying~~ Several sonication and centrifugation steps ~~were applied~~ in order to ~~fully~~ extract  
161 ~~properly~~ the selected compounds (Etourneau et al., 2013). After drying with N<sub>2</sub> at 35°C, the total lipid extract  
162 was fractionated over a silica column into an apolar and a polar fraction using 3 mL hexane and 6 mL  
163 CH<sub>2</sub>Cl<sub>2</sub>/MeOH (1:1, v:v), respectively. HBIs were obtained from the apolar fraction ~~by the fractionation over a~~  
164 ~~silica column using hexane as eluent~~ following the procedures reported by ~~(Belt et al., (2007); and Massé et al., (~~  
165 2011). After removing the solvent with N<sub>2</sub> at 35°C, elemental sulfur was removed using the TBA  
166 (Tetrabutylammonium) sulfite method (Jensen et al., 1977; Riis and Babel, 1999). The obtained hydrocarbon  
167 fraction was analyzed within an Agilent 7890A gas chromatograph (GC) fitted with 30 m fused silica Agilent  
168 J&C GC column (0.25 mm i.d., 0.25 μm film thickness), coupled to an Agilent 5975C Series mass selective  
169 detector (MSD). Spectra were collected using the Agilent MS-Chemstation software. Individual HBIs were  
170 identified on the basis of comparison between their GC retention times and mass spectra with those of  
171 previously authenticated HBIs (Johns et al., 1999) using the Mass Hunter software. Values are expressed as  
172 concentration relative to the internal standard.

#### 173 *Diatoms*

174 One hundred and eighteen samples were taken every 4 cm over the whole core for diatom analyses. Sediment  
175 processing and slide preparation followed the method described in Crosta et al. (2020).  
176 Diatom counting followed the rules described in Crosta and Koç (2007). Around 350 diatom valves were counted  
177 in each sample at a 1000X magnification on a Nikon Eclipse 80i phase contrast microscope. Diatoms were  
178 identified to species or species group level. Absolute abundances of diatoms were calculated following the  
179 equation detailed in Crosta et al. (2008). The relative abundance of each species was determined as the fraction  
180 of diatom species against total diatom abundance in the sample.

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#### 182 **3 Fatty acids within DTGC2011**

183 Analysis by GC-MS identified seven dominant ~~saturated~~ FAs within the DTGC2011 samples (Fig. S2). These  
184 have carbon chain lengths of C<sub>16</sub> to C<sub>26</sub> and only the saturated forms (i.e. no double bonds) were identified.  
185 These are predominantly even chain length FAs, with only minor amounts of the C<sub>17</sub> compound measured  
186 (Gilchrist, 2018).

#### 187 **3.1 Fatty acid concentrations**

188 [The C<sub>19</sub> alkane was used as an internal standard to aid quantification of fatty acid concentrations. However, it](#)  
189 [should be noted that since this standard was added to samples post-extraction, our concentration estimates are](#)  
190 [semi-quantitative but can be used to compare concentration changes in different FA compounds.](#)

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191 Down core analysis of FA concentrations reveals clear groupings in concentration changes. In the upper part of  
192 the core (ca. 3 – 90 cm depth), spanning the last ~78 years, all FA compounds show a similar pattern, with  
193 elevated concentrations, broadly decreasing down-core (Fig. 2). Below this, however, two groups clearly  
194 diverge. These can be broadly divided into short-chained fatty acids (C<sub>16</sub> to C<sub>20</sub>; SCFAs) and long-chained fatty  
195 acids (C<sub>22</sub> to C<sub>26</sub>; LCFAs). Within these groups, the concentrations of different compounds show similar trends,  
196 but the two groups (SCFAs vs LCFAs) show different trends to each other (Gilchrist, 2018). This is confirmed  
197 by R<sup>2</sup> values calculated for the linear regression of concentrations of each FA against each other throughout the  
198 core (Table 4 Fig. 3; n = 135, p < 0.001). Correlations between the SCFAs have R<sup>2</sup> values between 0.97 and 0.99,  
199 while R<sup>2</sup> values of LCFAs range between 0.88 and 0.95. Between the two groups, however, R<sup>2</sup> values are all  
200 lower, ranging between 0.50 and 0.77.

201 These distinct groupings suggest that compounds within each group (SCFAs and LCFAs) likely have a common  
202 precursor organism or group of organisms, but the two groups themselves have different producers from each  
203 other. These producers may in turn thrive during different seasons or within different habitats and thus, the  
204 isotopic composition of compounds from these different groups may record different environmental signals.

205 R<sup>2</sup> values were also calculated for samples below 25 cm only (ca. 1587 – 1978 C.E.), to remove correlations  
206 associated with preservation changes in the top part of the core (discussed below). Although the R<sup>2</sup> values are  
207 not quite as high, they broadly confirm these groupings, with the R<sup>2</sup> values generally being greater within the  
208 two groups (n = 73). R<sup>2</sup> values range from 0.93 for the C<sub>18</sub> with C<sub>20</sub>, down to 0.07 for the C<sub>18</sub> and C<sub>24</sub> (Table  
209 2 Fig. 4).

210 The C<sub>18</sub> and C<sub>24</sub> FAs are the most abundant compounds within the SCFA and LCFA groups, respectively, and  
211 also the least correlated with each other both in the whole core (R<sup>2</sup> = 0.5) and below 25 cm (R<sup>2</sup> = 0.07), which  
212 suggests they are the most likely to be produced by different organisms. Furthermore, these two compounds  
213 yielded the highest quality isotope measurements, due to their greater concentrations, clean baseline and  
214 minimal coeluting peaks (Fig. S2). Thus, these two compounds (C<sub>18</sub> and C<sub>24</sub>) will be the focus of analysis and  
215 discussion.

### 217 3.2 Potential sources of the C<sub>18</sub> fatty acid

218 Potential sources for the C<sub>18</sub> FA in core U1357 (recovered from the same site as DTGC2011) are discussed in  
219 Ashley et al. (*in review press*) who suggest the prymnesiophyte *Phaeocystis antarctica* to be the most likely  
220 main producer. This is based on a) previous studies of FAs produced by microalgae (Dalsgaard et al., 2003), b)  
221 the high observed abundance of *P. antarctica* within modern Adélie surface waters (Riaux-Gobin et al., 2011;  
222 Sambrotto et al: 2003) and c) comparison between the measured δ<sup>13</sup>C values and those reported in the literature  
223 for *P. antarctica* (Kopczynska et al., 1995; Wong and Sackett, 1978). Unfortunately, the absence of *P.*  
224 *antarctica* in sediments, as it does not biomineralize any test, precludes the direct comparison of down core

225 trends of this species with FAs. *Phaeocystis antarctica* has been found to live within and underneath sea ice  
226 before its break up, as well as in open ocean waters (Riaux-Gobin et al., 2013; Poulton et al., 2007), due to its  
227 ability to use a wide range of light intensities for energy production (Moisan and Mitchell, 1999).

228 ~~Dalsgaard et al. (2003) looked at the FAs of eight major microalgal classes and showed that Prymnesiophyceae  
229 and Dinophyceae produce the highest proportions of the saturated C<sub>18</sub> FA, the former to which *P. antarctica*  
230 belongs. They also showed that the majority of FAs produced were the unsaturated form which are  
231 preferentially broken down in the water column and sediments. As such, although the C<sub>18</sub> FA represents only a  
232 small proportion of the total FA fraction, its higher preservation rate increases its proportion in the sediment.~~

233 ~~Riaux-Gobin et al. (2011) found *P. antarctica* to dominate the surface waters offshore Adélie Land after spring  
234 sea-ice break up, representing 16% of the phytoplankton assemblage. Although several species of the class  
235 Dinophyceae were also recorded, *P. antarctica* was more than 20 times more abundant than the 3 most abundant  
236 Dinophyceae taxa combined. Sambrotto et al. (2003) also observed large blooms of *Phaeocystis* sp. in stable,  
237 shallow mixed layer water along the edge of fast ice near the Mertz Glacier.~~

238 ~~Furthermore, Skerratt et al. (1998) compared the FAs produced by *P. antarctica* and two Antarctic diatoms, in  
239 culture samples, and showed that *P. antarctica* produced a much higher percentage of both saturated FAs (C<sub>14</sub>-  
240 C<sub>20</sub>) and C<sub>18</sub> FAs than the diatoms. This supports the hypothesis of *P. antarctica* being a dominant and  
241 abundant source of the saturated C<sub>18</sub> FA in the Adélie basin though minor contributions of C<sub>18</sub> from other  
242 phytoplankton species such as the diatoms and dinoflagellates cannot be excluded.~~

243 ~~Furthermore, Skerratt et al. (1998) identified the FAs produced by *P. antarctica* and two Antarctic diatoms,  
244 *Chaetoceros simplex* and *Odontella weissflogii*, from culture samples. Of the FAs produced by *P. antarctica*,  
245 52% were saturated FAs (C<sub>14</sub>-C<sub>20</sub>) compared to just 14 and 11% for the two diatoms, respectively, the latter  
246 instead producing much more of the mono- and polyunsaturated FAs. The percentage of C<sub>18</sub> FA produced by *P.*  
247 *antarctica* was also 4.1 and 12.5 times greater than the percentage of C<sub>18</sub> produced by *C. simplex* and *O.*  
248 *weissflogii*, respectively. This supports the hypothesis of *P. antarctica* being a dominant and abundant source of  
249 the saturated C<sub>18</sub> FA in the Adélie basin though minor contributions of C<sub>18</sub> from other phytoplankton species  
250 such as the diatoms and dinoflagellates cannot be excluded.~~

### 251 3.3 Potential sources of the C<sub>24</sub> fatty acid

252 Long-chain *n*-alkyl compounds, including FAs, are major components of vascular plant waxes and their  
253 presence within sediments has commonly been used as a biomarker of terrestrial plants (Pancost and Boot,  
254 2004). Although plants such as bryophytes (e.g. mosses) which are present in the Antarctic do also produce  
255 LCFAs (Salminen et al., 2018), it is unlikely that FAs from terrestrial plants make a significant contribution to  
256 the water column, due to their extremely limited extent on the continent, and the significant distance of the site  
257 from other continental sources.

258 However, there is much evidence in the literature for various aquatic sources of LCFAs, a few of which are  
259 summarized in Table S2. Although not all of these sources are likely to be present within the coastal waters  
260 offshore Adélie Land, it highlights the wide range of organisms which can produce these compounds, and thus

261 suggests that an autochthonous marine source is ~~entirely possible~~likely, especially considering the highly  
262 productive nature of this region.

### 263 3.4 Microbial degradation and diagenetic effects on fatty acid concentration

264 Both the C<sub>18</sub> and C<sub>24</sub> FAs show an overall decrease in concentrations down-core, with significantly higher  
265 concentrations in the top 80 cm (representing ~70 years) compared to the rest of the core. Below this point, FAs  
266 concentrations variations are attenuated (Fig. 2).

267 Many studies have shown that significant degradation of FAs occurs both within the water column and surface  
268 sediments as a result of microbial activity, and that there is preferential break down of both short-chained and  
269 unsaturated FA, compared to longer-chained and saturated FA (Haddad et al., 1992; Matsuda, 1978; Colombo et  
270 al., 1997). Haddad et al. (1992) studied the fate of FAs within rapidly accumulating (10.3 cm yr<sup>-1</sup>) coastal  
271 marine sediments (off the coast of North Carolina, USA) and showed that the vast majority (ca. 90%) of  
272 saturated FAs were lost due to degradation within the top 100 cm (representing ~10 years). Similarly, Matsuda  
273 and Koyama (1977) found FA concentrations decrease rapidly within the top 20 cm of sediment (accumulating  
274 at 4 mm yr<sup>-1</sup>) from Lake Suwa, Japan. Assuming similar processes apply to the DTGC2011 sediments, this  
275 suggests the declining concentrations within the upper part of the core are largely the result of diagenetic effects  
276 such as microbial activity occurring within the surface sediments, and thus do not reflect a real change in  
277 production of these compounds in the surface waters.

278 The complete lack of both unsaturated and short chained (fewer than 16 carbon atoms) FA compounds  
279 identified within DTGC2011 samples, even within the top layers, suggests that selective breakdown of  
280 compounds has already occurred within the water column and on the sea floor (before burial). Wakeham et al.  
281 (1984) assessed the loss of FAs with distance during their transport through the water column at a site in the  
282 equatorial Atlantic Ocean and estimated that only 0.4 to 2% of total FAs produced in the euphotic zone reached  
283 a depth of 389 m, and even less reaching more than 1,000 m depth, the vast majority of material being recycled  
284 in the upper water column. Their results also show a significant preference for degradation of both unsaturated  
285 and short chained compounds over saturated and longer chain length compounds. Although no studies into the  
286 fate of lipids within the water column exist for the Adélie region, the >1,000 m water depth at the core site  
287 would provide significant opportunity for these compounds to be broken down during transportation through the  
288 water column. It is likely, therefore, that the distribution of compounds preserved within the sediments will not  
289 be a direct reflection of production in the surface waters, and explains the preference for saturated FAs with  
290 carbon chain lengths of 16 and more.

291 Although FA concentrations in the top 80 cm of core DTGC2011 are much higher overall than the sediments  
292 below and show a broad decline over this section, there is a high level of variability. Concentrations do not  
293 decrease uniformly within the top part of the core, as may be expected if concentration change is a first order  
294 response to declining microbial activity. The peak in total FAs instead occurs at a depth of 21-22 cm with a  
295 concentration more than an order of magnitude higher than in the top layer. This variability creates difficulty in  
296 directly determining the effects of diagenesis. However, by 25 cm (ca. 1978 C.E.) the concentrations drop to  
297 below 1,000 ng g<sup>-1</sup> and remain so until 32 cm before increasing again. This may suggest that diagenetic effects  
298 of FA concentrations are largely complete by 25 cm (representing ca. 25 years), consistent with results from

299 Haddad et al. (1992) and Matsuda and Koyama (1977), and that subsequent down-core concentration variations  
300 predominantly represent real changes in export productivity, resulting from environmental factors. However, the  
301 fluctuating nature of concentrations particularly in the youngest sediments means it is difficult to clearly unpick  
302 the effects of diagenesis from actual changes in production of these compounds, and a clear cut-off point for  
303 diagenetic effects cannot be determined.

### 304 3.5 Comparison of fatty acid concentrations with highly branched isoprenoid alkenes

305 We compare FA concentrations with other organic compounds (whose source is better constrained) in  
306 DTGC2011 to better understand FA sources. Direct comparison between different organic compound classes  
307 can be made since both are susceptible to similar processes of diagenesis, in contrast to other proxies such as  
308 diatoms. In core DTGC2011, concentrations of di- and tri-unsaturated highly branched isoprenoid (HBI) alkenes  
309 (referred to as HBI diene and HBI triene, respectively hereafter) were available.

310 In Antarctic marine sediments HBIs have been used as a tool for reconstructing sea ice (Belt et al., 2016, 2017).  
311 Smik et al. (2016) compared the concentrations of HBIs in sediment samples offshore East Antarctica from the  
312 permanently open-ocean zone (POOZ), the marginal ice zone (MIZ) and the summer sea-ice zone (SIZ). They  
313 found the HBI diene reached the highest concentrations in the SIZ and was absent from the POOZ. In contrast,  
314 the HBI triene was most abundant in the MIZ, i.e. at the retreating sea ice edge, with much lower concentrations  
315 in the SIZ and POOZ. This suggests that the two compounds are produced in contrasting environments but  
316 remain sensitive to changes in sea ice.

317 The HBI diene biomarker (or IPSO<sub>25</sub> for Ice Proxy Southern Ocean with 25 Carbons) is mainly biosynthesised  
318 by *Berkeleya adeliensis* (Belt et al., 2016), a diatom which resides and blooms within the sea ice matrix, and  
319 thus can be used as a proxy for fast ice attached to the coast. In contrast, the presence of the HBI triene mostly in  
320 the MIZ is suggestive of a predominantly pelagic phytoplankton source (e.g. *Rhizosolenia* spp. Massé et al.,  
321 2011; Smik et al., 2016; Belt et al., 2017), rather than sea-ice dwelling diatoms (Smik et al., 2016). The fact that  
322 HBI triene reached its greatest abundance within the MIZ suggests its precursor organism may thrive in the  
323 stratified, nutrient-rich surface waters of the sea-ice edge.

324 One key similarity between both the HBI diene and triene, and the FA concentrations is that the highest  
325 concentrations are found in the youngest sediments. These compounds all show broad increases in concentration  
326 from 110 cm depth (ca. 1900 C.E) until the top of the core (Fig. 2 and 5). Concentrations of HBIs are also  
327 susceptible to degradation through the water column through visible light induced photo-degradation (Belt and  
328 Müller, 2013) and diagenetic effects ~~within the, as well as reacting with~~ sediments ~~resulting in including~~  
329 sulphurisation (Sinninghe Damsté et al., 2007), isomerisation and cyclisation (Belt et al., 2000). Thus, it is likely  
330 that the elevated concentrations, and thus the similarity between FA and HBI concentrations, is due to ~~better~~  
331 ~~preservation at the top of the core~~ ~~the material being fresher and thus less affected by diagenesis~~, with diagenetic  
332 effects having an increasing and progressive impact down to ca. 25cm depth.

333 However, despite an overall increase in HBI and FA concentrations above 110 cm depth, there are clear  
334 deviations from this trend. Concentrations of the HBI triene show some broad similarities with FA  
335 concentrations. In particular, both the HBI triene and the C<sub>18</sub> FA have coeval concentration peaks around 1980-  
336 88, 1967, 1938, 1961-72, 1848 and 1752 C.E. (Fig. 35). These peaks are offset from the HBI diene

337 concentrations, suggesting that they result from increased production in the surface waters rather than simply  
338 changes in preservation. The HBI triene is more susceptible to degradation than the diene (Cabedo Sanz et al.,  
339 2016), so while this could explain some of the differences between the diene and triene records, where the triene  
340 increases independently of the diene, this is likely to be a genuine reflection of increased production of these  
341 compounds at the surface rather than an artefact of preservation processes.

342 This close similarity between the C<sub>18</sub> FA and HBI triene concentrations (Fig. 35) suggests that the C<sub>18</sub> may also  
343 be produced by an organism associated with the retreating ice edge. *Phaeocystis antarctica* has been proposed  
344 as a potential producer of the C<sub>18</sub> in core U1357B (Ashley et al., *in review*). In the Ross Sea, *P. antarctica* has  
345 been observed to dominate the phytoplankton bloom during the spring, blooming in deep mixed layers as the sea  
346 ice begins to melt, after which diatoms tend to dominate during the summer (Arrigo et al., 1999; Tortell et al.,  
347 2011; DiTullio et al., 2000). However, a few studies in the Adélie region suggest this is not the case there.  
348 Offshore Adélie Land, *P. antarctica* has been found to only appear late in the spring/early summer, later than  
349 many diatom species. During this time, it occurs preferentially within the platelet ice and under-ice water  
350 (Riaux-Gobin et al., 2013). Furthermore, Sambrotto et al. (2003) observed a surface bloom of *P. antarctica* near  
351 the Mertz Glacier (Fig. 1) during the summer months, in very stable waters along the margin of fast ice and  
352 Riaux-Gobin et al. (2011) found *P. antarctica* to be abundant in the coastal surface waters eight days after ice  
353 break up. This indicates an ecological niche relationship with cold waters and ice melting conditions. This might  
354 explain the close similarity between the C<sub>18</sub> and HBI triene concentrations, both produced by organisms  
355 occupying a similar habitat at the ice edge.

356 The C<sub>24</sub> FA record also shows some similarity with the HBI triene record. This appears to be mostly in the top  
357 part of the core where the highest concentrations are found. The reason for this resemblance is unclear,  
358 especially considering the lack of correlation between the C<sub>24</sub> and C<sub>18</sub> FA concentrations. However, it may relate  
359 to the ~~better preservation in younger samples progressive effect of diagenesis through the core. There is less~~  
360 ~~similarity between the C<sub>24</sub> and both the HBI triene also HBI diene, (compared to the coherence between C<sub>18</sub>~~  
361 ~~FA and HBI triene), which suggests that the C<sub>24</sub> FA is predominantly produced by an organism which is not~~  
362 ~~associated with sea ice, and thus instead with more open waters. The weaker coherence between the C<sub>24</sub> and the~~  
363 ~~HBI triene, and also HBI diene, suggests that the C<sub>24</sub> FA is predominantly produced by an organism which is~~  
364 ~~not associated with sea ice, and thus instead with more open waters.~~

365 Seventy-three diatom species were encountered in core DTGC2011 (Campagne, 2015), with *Fragilariopsis*  
366 *curta* and *Chaetoceros* resting spores being the most abundant. However, trends in diatom abundances do not  
367 show any clear correlations with the C<sub>18</sub> or C<sub>24</sub> FA concentrations. While this would lend support to the  
368 hypothesis that diatoms are not the main producers of these compounds, the differing effects of diagenesis on  
369 the preservation of diatoms and lipids could also explain some of the differences in observed concentrations,  
370 particularly in the upper part of the core. The known producer of the HBI diene, *Berkeleya adeliensis*, for  
371 example, was not recorded within the core, likely due to their lightly silicified frustules which are more  
372 susceptible to dissolution (Belt et al., 2016). Therefore, despite the lack of a correlation between diatom  
373 abundances and FA concentrations, we cannot entirely rule out the possibility of a minor contribution of FAs by  
374 diatoms.

#### 375 4 Carbon isotopes of fatty acids

376 Down-core changes in  $\delta^{13}\text{C}$  for the  $\text{C}_{18}$  and  $\text{C}_{24}$  FAs ( $\delta^{13}\text{C}_{18\text{FA}}$  and  $\delta^{13}\text{C}_{24\text{FA}}$ , respectively) (Fig. 67) clearly show  
377 different trends, with very little similarity between them ( $R^2 = 0.016$ ). This further supports the idea that these  
378 compounds are being produced by different organisms, and thus are recording different information.

379 The mean carbon isotope value of  $\delta^{13}\text{C}_{18\text{FA}}$  of  $-29.8\text{‰}$  in core U1357 from the same site (Ashley et al., *in*  
380 *review*) is suggestive of a pelagic phytoplankton source (Budge et al., 2008). In core DTGC2011 the mean  
381 values of  $\delta^{13}\text{C}_{18\text{FA}}$  and  $\delta^{13}\text{C}_{24\text{FA}}$  are  $-26.2\text{‰}$  and  $-27.6\text{‰}$ , respectively. Though more positive, these values are  
382 still within the range of a phytoplankton source. Additionally, we tentatively suggest that the  $0.5\text{‰}$  more  
383 positive  $\delta^{13}\text{C}_{18\text{FA}}$  mean value over the  $\delta^{13}\text{C}_{24\text{FA}}$  may indicate the contribution of sea-ice dwelling algae  
384 producers, since carbon fixation occurring within the semi-closed system of the sea ice will lead to a higher  
385 degree of  $\text{CO}_2$  utilisation than in surrounded open waters (Henley et al., 2012). Although no studies on FA  $\delta^{13}\text{C}$   
386 of different organisms are available for the Southern Ocean, Budge et al. (2008) measured the mean  $\delta^{13}\text{C}$  value  
387 of  $\text{C}_{16}$  FA from Arctic sea-ice algae ( $-24.0\text{‰}$ ) to be  $6.7\text{‰}$  higher than pelagic phytoplankton ( $-30.7\text{‰}$ ) from  
388 the same region.

389 The higher  $\delta^{13}\text{C}$  of the  $\text{C}_{18}$  FA could therefore be indicative of *P. antarctica* living partly within the sea ice, e.g.  
390 during early spring before ice break up. The more negative  $\delta^{13}\text{C}_{24\text{FA}}$  suggests it is more likely to be produced by  
391 phytoplankton predominantly within open water.

#### 392 4.1 Controls on $\delta^{13}\text{C}_{\text{FA}}$

393 The  $\delta^{13}\text{C}_{18\text{FA}}$  record shows a broadly increasing trend towards more positive values from ca. 1587 until ca. 1920  
394 C.E., with short term fluctuations of up to  $\sim 4\text{‰}$  superimposed on this long-term trend (Fig. 76). This is  
395 followed by a period of higher variability with a full range of  $5.6\text{‰}$  until the most recent material (ca. 1999  
396 C.E.), with more negative  $\delta^{13}\text{C}$  values between 1921 and 1977 C.E. and a rapid shift toward more positive  
397 values thereafter. In contrast, the  $\delta^{13}\text{C}_{24\text{FA}}$  record overall shows a weak, negative trend, with large decadal  
398 fluctuations of up to  $4.6\text{‰}$ , with a more pronounced negative trend after ca. 1880 C.E. (Fig. 67).

399 Below we consider the various factors which may control the carbon isotope value of algal biomarkers produced  
400 in the surface waters. Down-core changes in FA  $\delta^{13}\text{C}$  are likely to be a function of either the  $\delta^{13}\text{C}$  of the  
401 dissolved inorganic carbon (DIC) source, changes in the species producing the biomarkers, diagenesis or  
402 changing photosynthetic fractionation ( $\epsilon_p$ ). The next section outlines the potential influence of these factors may  
403 have in order to assess the mostly likely dominant driver of FA  $\delta^{13}\text{C}$ .

#### 404 4.1.1 Isotopic composition of DIC

405 The  $\delta^{13}\text{C}$  of the DIC source can be affected by upwelling or advection of different water masses, or the  $\delta^{13}\text{C}$  of  
406 atmospheric  $\text{CO}_2$ . Around the Antarctic, distinct water masses have unique carbon, hydrogen and oxygen  
407 isotope signatures and thus isotopes can be used as water mass tracers (e.g. Mackensen, 2001, Archambeau et  
408 al., 1998). In the Weddell Sea for example, Mackensen (2001) determined the  $\delta^{13}\text{C}$  value of eight water masses,  
409 which ranged from  $0.41\text{‰}$  for Weddell Deep Water, sourced from CDW, to  $1.63\text{‰}$  for AASW. A similar  
410 range of  $\sim 1.5\text{‰}$  was identified in water masses between the surface and  $\sim 5,500\text{ m}$  depth along a transect from  
411 South Africa to the Antarctic coast (Archambeau et al., 1998). Assuming similar values apply to these water

412 masses offshore Adélie Land, this range in values would be insufficient to explain the ~5 ‰ variation of  $\delta^{13}\text{C}$   
413 recorded by both  $\text{C}_{18}$  and  $\text{C}_{24}$  FA, even in the situation of a complete change in water mass over the core site.  
414 Furthermore, site DTGC2011, located within a 1,000 m deep depression and bounded by the Adélie Bank to the  
415 north, is relatively sheltered from direct upwelling of deep water (Fig. 1). Though inflow of mCDW has been  
416 shown to occur within the Adélie Depression to the east of the bank (Williams and Bindoff, 2003) and possibly  
417 within the Dumont d'Urville Trough, only very small amplitude changes in  $\delta^{13}\text{C}$  of benthic foraminifera,  
418 tracking upper CDW, have been observed over the Holocene in Palmer Deep, West Antarctica (Shevenell and  
419 Kennett, 2002). Although from a different location, this argues against large changes in the isotopic composition  
420 of the source of mCDW.

421 Changes in the  $\delta^{13}\text{C}$  of atmospheric  $\text{CO}_2$ , which is in exchange with the surface waters could also have the  
422 potential to drive changes in the  $\delta^{13}\text{C}$  of algal biomarkers. Over the last ca. 200 years, the anthropogenic burning  
423 of fossil fuels has released a large amount of  $\text{CO}_2$  depleted in  $^{13}\text{C}$ , meaning that the  $\delta^{13}\text{C}$  of  $\text{CO}_2$  has  
424 decreased by ca. 1.5 ‰, as recorded in the Law Dome ice core. Prior to this, however, the  $\delta^{13}\text{C}$  of  $\text{CO}_2$  in the  
425 atmosphere remained relatively stable, at least for the last thousand years (Francey et al., 1999). Therefore, this  
426 could potentially drive the  $\delta^{13}\text{C}$  of algal biomarkers towards lighter values within the last 200  
427 years, but this could not explain the full variation of ~5-6 ‰ in FA  $\delta^{13}\text{C}$  measured throughout the core.

428 Although the  $\text{C}_{24}$   $\delta^{13}\text{C}$  shows a slight decrease over the last ca. 100 years, this is preceded by increasing  $\delta^{13}\text{C}$ ,  
429 while the  $\text{C}_{18}$   $\delta^{13}\text{C}$  displays no clear trend over the last 200 years. If atmospheric  $\text{CO}_2$  was a key driver of fatty  
430 acid  $\delta^{13}\text{C}$ , we would expect both compounds to respond together, showing a trend towards more negative values  
431 over the last 200 years which neither of them do. No clear trend towards lighter values is evident in the last 200  
432 years of the FA  $\delta^{13}\text{C}$  records, which This suggests that their change-effect of changing  $\delta^{13}\text{C}$  of atmospheric  $\text{CO}_2$   
433 is insignificant compared to local and regional inter-annual variations as a result of other environmental drivers  
434 (discussed below).

#### 435 4.1.2 Changing species

436 A shift in the organisms producing the FA could also affect  $\delta^{13}\text{C}$  where species have different fractionation  
437 factors. For example, changing diatom species have been shown to have an effect on bulk organic matter  $\delta^{13}\text{C}$  in  
438 core MD03-2601, offshore Adélie Land, over the last 5 ka (Crosta et al., 2005). However, the bulk organic  
439 matter might have contained other phytoplankton groups than diatoms with drastically different  $\delta^{13}\text{C}$  values and  
440 fractionation factors. Here we measured  $\delta^{13}\text{C}$  of individual biomarkers, produced by a more restricted group of  
441 phytoplankton groups (possibly restricted to a few dominant species) compared to bulk  $\delta^{13}\text{C}$ . As discussed  
442 above, the  $\text{C}_{18}$  appears to be produced predominantly by *P. antarctica*, whereas diatoms do not tend to produce  
443 high proportions of this compound (Dalsgaard et al., 2003).

#### 444 4.1.3 Effect of diagenesis on lipid $\delta^{13}\text{C}$

445 Sun et al. (2004) studied the carbon isotope composition of FAs during 100 days of incubation in both oxic and  
446 anoxic seawater. They observed a shift towards more positive values in FA  $\delta^{13}\text{C}$ , ranging between 2.6 ‰ for the  
447  $\text{C}_{14:0}$  and as much as 6.9 ‰ in the  $\text{C}_{18:1}$ , under anoxic conditions. This suggests that diagenesis could affect FA  
448  $\delta^{13}\text{C}$  in core DTGC2011. However, these observed changes are rapid (days to months), occurring on timescales  
449 which are unresolvable in the FA  $\delta^{13}\text{C}$  record (annual to decadal), and thus may have no effect on the trends  
450 observed in our record. Based on concentration data discussed above, it seems that diagenetic overprint is

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451 largely complete by ~25 cm (Fig. 2). In the top 25 cm of the core (ca. 1978 – 1998 C.E.), the  $\delta^{13}\text{C}_{24\text{FA}}$  values  
452 increase by ~2.5 ‰, downward ( $R^2 = 0.63$ ,  $n = 11$ ) while the  $\delta^{13}\text{C}_{18\text{FA}}$  values display a large variation with no  
453 overall trend ( $R^2 = 0.12$ ,  $n = 20$ ). If diagenesis was driving the changes in  $\delta^{13}\text{C}$ , it is likely that this trend would  
454 be observed in all FA compounds.

455 Taken together, it appears that neither changes in the  $\delta^{13}\text{C}$  of the DIC, changing phytoplankton groups nor  
456 diagenesis can fully explain the variation of FA  $\delta^{13}\text{C}$  recorded within DTGC2011. Therefore, we hypothesise  
457 that changes in  $\epsilon_p$  are the main driver of FA  $\delta^{13}\text{C}$ .

#### 458 4.2 Controls on photosynthetic fractionation ( $\epsilon_p$ )

459 There is a positive relationship between  $\epsilon_p$  in marine algae and dissolved surface water  $\text{CO}_{2(\text{aq})}$  concentration  
460 (Rau et al., 1989). As a result, higher  $\delta^{13}\text{C}$  values are hypothesised to reflect lower surface water  $\text{CO}_{2(\text{aq})}$  and vice  
461 versa. Popp et al. (1999) showed a strong negative correlation between  $\text{CO}_{2(\text{aq})}$  and  $\delta^{13}\text{C}$  of suspended  
462 particulate organic matter across a latitudinal transect in the Southern Ocean, suggesting that changes in surface  
463 water  $\text{CO}_{2(\text{aq})}$  can explain a large amount of the variation in  $\delta^{13}\text{C}$ . -Changes in surface water  $\text{CO}_{2(\text{aq})}$   
464 concentration in turn may be driven by various factors, including changing atmospheric  $\text{CO}_2$  (Fischer et al.,  
465 1997), wind-driven upwelling of deep, carbon-rich water masses (Sigman and Boyle, 2000; Takahashi et al.,  
466 2009), sea-ice cover (Henley et al., 2012) and/or primary productivity (Villinski et al., 2008). Thus, determining  
467 the main driver(s) of surface water  $\text{CO}_2$  changes offshore Adélie Land should enable interpretation of the  
468 DTGC2011 FA  $\delta^{13}\text{C}$  records.

##### 469 4.2.1 Sea ice

470 Brine channels within sea ice have very low  $\text{CO}_2$  concentrations and a limited inflow of seawater. Carbon  
471 isotopic fractionation of algae living within these channels has been shown to be greatly reduced compared to  
472 organisms living in the surrounding open waters (Gibson et al., 1999), leading to elevated  $\delta^{13}\text{C}$  values. It is thus  
473 possible that, under conditions of high sea-ice cover, enhanced FA contribution from sea-ice algae leads to  
474 elevated sedimentary  $\delta^{13}\text{C}$  values. HBI diene concentrations within DTGC2011 show a much greater presence  
475 of fast ice at the core site ca. 1960 C.E (Fig. 35). However, during this time there is no clear elevation in  $\delta^{13}\text{C}$   
476 concentrations in either  $\delta^{13}\text{C}_{18\text{FA}}$  or  $\delta^{13}\text{C}_{24\text{FA}}$ , both instead showing generally lower  $\delta^{13}\text{C}$  values. In fact,  $\delta^{13}\text{C}_{18\text{FA}}$   
477 shows the lowest values of the whole record between 1925 and 1974 C.E., during which sea ice, as recorded by  
478 the HBI diene, is at its highest level. This suggests that inputs in sea-ice algae at this time are not driving  
479 changes in FA  $\delta^{13}\text{C}$ .

480 The DTGC2011 core site sits proximal to the Dumont D'Urville polynya, which has a summer area of  $13.02 \times$   
481  $10^3 \text{ km}^2$  and a winter area of  $0.96 \times 10^3 \text{ km}^2$  (Arrigo and van Dijken, 2003). Changes in the size of the polynya  
482 both on seasonal and inter-annual time scales will affect air-sea  $\text{CO}_2$  exchange and thus also surface water  $\text{CO}_2$   
483 concentration. A reduced polynya may lead to greater supersaturation of  $\text{CO}_2$  in the surface waters due to  
484 reduced outgassing, allowing  $\text{CO}_2$  to build up below the ice, leading to lower  $\delta^{13}\text{C}$  values of algal biomarkers  
485 produced in that habitat (Massé et al., 2011). Thus changes in the extent of sea ice may also effect FA  $\delta^{13}\text{C}$ .

##### 486 4.2.2 Observed trends in surface water $\text{CO}_{2(\text{aq})}$

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487 If the trend in surface water CO<sub>2(aq)</sub> paralleled atmospheric CO<sub>2</sub>, with an increase of over 100 ppm over the last  
488 200 years (MacFarling Meure et al., 2006), we might expect phytoplankton to exert a greater fractionation  
489 during photosynthesis in response to elevated surface water CO<sub>2(aq)</sub> concentration, resulting in more negative  
490 δ<sup>13</sup>C values. Taking into account the decline in atmospheric δ<sup>13</sup>C over the same period would further enhance  
491 the reduction in phytoplankton δ<sup>13</sup>C. Fischer et al. (1997) looked at the δ<sup>13</sup>C of both sinking matter and surface  
492 sediments in the South Atlantic and suggested that, since the preindustrial, surface water CO<sub>2(aq)</sub> has increased  
493 much more in the Southern Ocean than in the tropics. They estimated that a 70 ppm increase in CO<sub>2(aq)</sub> in  
494 surface waters of 1°C would decrease phytoplankton δ<sup>13</sup>C<sub>org</sub> by ca. 2.7‰, and up to 3.3‰ δ<sup>13</sup>C<sub>2</sub> change are  
495 included, between preindustrial and 1977-1990. However, sea ice cover and summer primary productivity are  
496 likely to be much higher off Adélie Land than in the South Atlantic, both of which will affect air-sea gas  
497 exchange.

498 Shadwick et al. (2014) suggest that surface water CO<sub>2</sub> should track the atmosphere in the Mertz Polynya region,  
499 despite the seasonal ice cover limiting the time for establishing equilibrium with the atmosphere. They  
500 calculated wintertime CO<sub>2</sub> in the shelf waters of the Mertz Polynya region, offshore Adélie Land (Fig. 1),  
501 measuring ca. 360 ppm in 1996, ca. 396 ppm in 1999, and ca. 385 ppm in 2007, while atmospheric CO<sub>2</sub> at the  
502 South Pole was 360, 366 and 380 ppm, respectively (Keeling et al., 2005). Based on the 1996 and 2007 data  
503 only, an increase in CO<sub>2</sub> of ca. 25 ppm is observed over these 11 years, coincident with the 20 ppm atmospheric  
504 CO<sub>2</sub> increase over this time period. However, high interannual variability (± ca. 30 ppm) is evident (e.g. 396  
505 ppm in 1999) suggesting that other factors, particularly upwelling, may override this trend. The latter was also  
506 suggested by Roden et al. (2013) based on winter surface water measurements in Prydz Bay, indicating that  
507 decadal-scale carbon cycle variability is nearly twice as large as the anthropogenic CO<sub>2</sub> trend alone.

508 During the austral winter, upwelling of deep water masses causes CO<sub>2</sub> to build up in the surface waters, and sea  
509 ice cover limits gas exchange with the atmosphere (Arrigo et al., 2008; Shadwick et al., 2014). Although only  
510 limited data, the measurements by Shadwick et al. (2014) suggest slight supersaturation, of up to 30 ppm, occurs  
511 in the winter due to mixing with carbon-rich subsurface water, but with high interannual variability. This is  
512 compared to undersaturation of 15 to 40 ppm during the summer as a result of biological drawdown of CO<sub>2</sub>.  
513 Roden et al. (2013) also observed varying levels of winter supersaturation in Prydz Bay, East Antarctica, with  
514 late winter CO<sub>2</sub> values of 433 ppm in 2011 (45 μatm higher than atmospheric CO<sub>2</sub>), and suggested that  
515 intrusions of C-rich mCDW onto the shelf may play a part in this. Similarly, winter surface water CO<sub>2</sub> of 425  
516 ppm has been measured by Sweeney (2003) in the Ross Sea, before being drawn down to below 150 ppm in the  
517 summer as phytoplankton blooms develop.

518 Enhanced upwelling of deep carbon-rich waters in the Southern Ocean are thought to have played a key role in  
519 the deglacial rise of atmospheric CO<sub>2</sub>, increasing CO<sub>2</sub> concentrations by ~80 ppm (Anderson et al., 2009; Burke  
520 and Robinson, 2012). Changes in upwelling offshore Adélie Land could therefore drive some interannual  
521 variability in surface water CO<sub>2</sub> and hence FA δ<sup>13</sup>C in DTGC2011. However, upwelling tends to be stronger  
522 during the winter months, when sea-ice formation and subsequent brine rejection drive mixing with deeper C-  
523 rich waters. At this time, heavy sea-ice cover limits air-sea gas exchange and enhances CO<sub>2</sub> supersaturation in  
524 regional surface waters (Shadwick et al., 2014). In contrast, the phytoplankton producing FA thrive during the  
525 spring and summer months during which CO<sub>2</sub> is rapidly drawn down and the surface waters become

526 undersaturated. However, upwelling cannot be discarded as a possible contributor to surface water CO<sub>2</sub> change.  
527 However, the core site is in a relatively sheltered area and is probably not affected by significant upwelling.

528 Based on these studies, changes in atmospheric CO<sub>2</sub> concentration and δ<sup>13</sup>C of the source appear to be unlikely  
529 to be a dominant driver of the FA δ<sup>13</sup>C record, with interannual variations driven by other factors overriding any  
530 longer-term trend. There is also no clear anthropogenic decline in the FA δ<sup>13</sup>C record over the last 200 years,  
531 which supports this hypothesis.

#### 532 *4.2.3 Productivity*

533 Given that changes in atmospheric CO<sub>2</sub>, source signal, sea ice algae or diagenesis seem unable to explain the  
534 full range of variability seen in the FA δ<sup>13</sup>C record, the most plausible driver appears to be changes in surface  
535 water primary productivity. Coastal polynya environments in the Antarctic are areas of very high primary  
536 productivity (Arrigo and van Dijken, 2003). The DTGC2011 core site sits near to the Dumont D'Urville  
537 polynya, and is just downstream of the larger and more productive MGP (Arrigo and van Dijken, 2003). In large  
538 polynyas such as the Ross Sea, primary productivity leads to intense drawdown of CO<sub>2</sub> in the surface waters,  
539 resulting in reduced fractionation by the phytoplankton during photosynthesis (Villinski et al., 2008). In the  
540 Ross Sea, surface water CO<sub>2</sub> has been observed to drop to below 100 ppm during times of large phytoplankton  
541 blooms (Tortell et al., 2011) demonstrating that primary productivity can play a key role in controlling surface  
542 water CO<sub>2</sub> concentrations in a productive polynya environment. Arrigo et al. (2015) found the MGP to be the 8<sup>th</sup>  
543 most productive polynya in the Antarctic (out of 46) based on total net primary productivity during their  
544 sampling period, and Shadwick et al. (2014) observed CO<sub>2</sub> drawdown in the MGP during the summer months.

545 Therefore, we suggest that FA δ<sup>13</sup>C signals recorded in DTGC2011 is predominantly a signal of surface water  
546 CO<sub>2</sub> driven by primary productivity. Indeed, the potential for the δ<sup>13</sup>C of sedimentary lipids to track surface  
547 water primary productivity has been recognised in the highly productive Ross Sea polynya. High variability in  
548 surface water CO<sub>2</sub> values have been measured across the polynya during the summer months (December –  
549 January), ranging from less than 150 ppm in the western Ross Sea near the coast, to >400 ppm on the northern  
550 edge of the polynya. This pattern was closely correlated with diatom abundances, indicating intense drawdown  
551 of CO<sub>2</sub> in the western region where diatom abundances were highest (Tortell et al., 2011). This spatial variation  
552 in productivity is recorded in particulate organic carbon (POC) δ<sup>13</sup>C, and is also tracked in the surface sediments  
553 by total organic carbon (TOC) δ<sup>13</sup>C and algal sterol δ<sup>13</sup>C, all of which show significantly higher values in the  
554 western Ross Sea. This spatial pattern in sterol δ<sup>13</sup>C was concluded to be directly related to CO<sub>2</sub> drawdown at  
555 the surface, resulting in average sterol δ<sup>13</sup>C values varying from -27.9‰ in the west, where productivity is  
556 greatest, down to -33.5‰ further offshore (Villinski et al., 2008).

557 A similar relationship is evident in Prydz Bay, where POC δ<sup>13</sup>C was found to be positively correlated with POC  
558 concentration and negatively correlated with nutrient concentration, indicating greater drawdown of CO<sub>2</sub> and  
559 nutrients under high productivity levels (Zhang et al., 2014).

560 This suggests it is possible to apply FA δ<sup>13</sup>C as a palaeoproductivity indicator in the highly productive Adélie  
561 polynya environment. However, it is important to constrain the most likely season and habitat being represented,  
562 since phytoplankton assemblages vary both spatially (e.g. ice edge or open water) and temporally (e.g. spring or  
563 summer). The incredibly high sedimentation rate (1-2 cm yr<sup>-1</sup>) within the Adélie Basin is thought to result, on

564 top of regional high productivity, from syndepositional focusing processes bringing biogenic debris from the  
565 shallower Adélie and Mertz banks to the ca. 1,000 m deep basin (Escutia et al., 2011). Thus, it is likely that core  
566 DTGC2011 contains material from a wide area, including both the Mertz and Dumont d'Urville polynyas, and  
567 areas both near the coast and further offshore, meaning it is quite possible that the C<sub>18</sub> and C<sub>24</sub> FAs are  
568 integrating palaeoproductivity changes weighted towards different regional environments, which would explain  
569 their different trends. Furthermore, surface water CO<sub>2</sub> can vary spatially, such as in the Ross Sea polynya where  
570 Tortell et al. (2011) measured surface water CO<sub>2</sub> values ranging between 100 and 400 ppm. Thus, it is likely  
571 that these two areas offshore Adélie Land where the C<sub>18</sub> and C<sub>24</sub> FAs are being produced will also have differing  
572 surface water CO<sub>2</sub> concentrations and trends.

### 573 4.3 Comparison of fatty acid δ<sup>13</sup>C with other proxy data

574 Comparison of down-core variations in FA δ<sup>13</sup>C with other proxy data can also be used to decipher the main  
575 signal recorded. Comparison between δ<sup>13</sup>C<sub>24FA</sub> and the major diatom species abundances within the core  
576 (*Fragilariopsis kerguelensis*, *Fragilariopsis curta*, *Fragilariopsis rhombica*, *Fragilariopsis cylindrus*,  
577 *Chaetoceros resting spores*) ~~within the core~~ shows a reasonably close coherence with *Fragilariopsis*  
578 *kerguelensis*, particularly since ~1800 C.E. (Fig. 46). *Fragilariopsis kerguelensis* is an open water diatom  
579 species and one of the most dominant phytoplankton species offshore Adélie Land (Chiba et al., 2000), reaching  
580 its peak abundance in the summer (Crosta et al., 2007). This suggests that the C<sub>24</sub> FA is being produced during  
581 the summer months and, as such, is reflecting productivity in more open waters. The δ<sup>13</sup>C<sub>24FA</sub> record does not  
582 show any similarity to the sea-ice records, as inferred by HBI diene concentrations and abundances of  
583 *Fragilariopsis curta* (Fig. 46 and 57), here again suggesting that these compounds are being produced in open  
584 water during the summer months after sea ice has retreated.

585 As discussed above, *P. antarctica* is a likely producer for the C<sub>18</sub> FA, a prymnesiophyte algae which has been  
586 observed in the Adélie region in summer months residing predominantly along the margin of fast ice, but also  
587 further offshore (Riaux-Gobin et al., 2013, 2011; Vaillancourt et al., 2003). The aversion of *F. kerguelensis* to  
588 sea ice (and thus also the C<sub>24</sub> FA producer) in contrast to *P. antarctica*, may explain the clear lack of coherence  
589 in the down-core trends in δ<sup>13</sup>C<sub>18FA</sub> and δ<sup>13</sup>C<sub>24FA</sub> (Fig. 76). Thus, we hypothesise that δ<sup>13</sup>C<sub>18FA</sub> is recording  
590 surface water CO<sub>2</sub> driven by productivity in the MIZ, whilst δ<sup>13</sup>C<sub>24FA</sub> is recording surface water CO<sub>2</sub> in more  
591 open water, further from the sea-ice edge.

592 HBI diene concentrations indicate elevated fast ice cover between ~1919 and 1970 C.E., with a particular peak  
593 between 1942 and 1970 C.E., after which concentrations rapidly decline and remain low until the top of the core  
594 (Fig. 57). Abundances of *F. curta*, used as a sea-ice proxy, similarly show peaks at this time indicate increased  
595 sea-ice concentration (Campagne, 2015) (Fig. 75). δ<sup>13</sup>C<sub>18FA</sub> indicates a period of low productivity between  
596 ~1922 and 1977 C.E., broadly overlapping with this period of elevated fast ice concentration (Fig. 75), with a  
597 mean value of -27.12‰. This is compared to the mean value of -26.23‰ in the subsequent period (~1978 to  
598 1998 C.E.) during which HBI diene concentration remain low (Fig. 75). This suggests that productivity in the  
599 coastal region was reduced, while sea-ice concentrations were high. This might be expected during a period of  
600 enhanced ice cover – perhaps representing a reduction in the amount of open water, or a shorter open water  
601 season – since the majority of productivity generally takes place within open water (Wilson et al., 1986).

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602 Furthermore,  $\delta^{13}\text{C}_{18\text{FA}}$  shows a broad similarity with *Chaetoceros* resting spores (CRS) on a centennial scale,  
603 with lower productivity at the start of the record, ca. 1587 to 1662 C.E., followed by an increase in both proxies  
604 in the middle part of the record, where  $\delta^{13}\text{C}_{18\text{FA}}$  becomes relatively stable and CRS reaches its highest  
605 abundances of the record. This is then followed in the latter part of the record, after ca. 1900 C.E., by both  
606 proxies displaying lower values overall. CRS are associated with high nutrient levels and surface water  
607 stratification along the edge of receding sea ice, often following high productivity events (Crosta et al., 2008).  
608 The broad similarity to CRS, with lower values recorded during periods of high sea-ice concentrations, suggests  
609 that  $\delta^{13}\text{C}_{18\text{FA}}$  is similarly responding to productivity in stratified water at the ice edge. This supports the  
610 hypothesis that  $\delta^{13}\text{C}_{18\text{FA}}$  is recording primary productivity in the MIZ. Little similarity is evident between the  
611 fatty acid isotope records and *F. cylindrus* and *F. rhombica*.

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## 612 5 Conclusions

613 FAs identified within core DTGC2011, recovered from offshore Adélie Land, were analysed for their  
614 concentrations and carbon isotope compositions to assess their utility as a palaeoproductivity proxy in an  
615 Antarctic polynya environment. The  $\text{C}_{18}$  and  $\text{C}_{24}$  compounds yielded the best isotope measurements and show  
616 very different  $\delta^{13}\text{C}$  trends, suggesting they are being produced by different species in different habitats and/or  
617 seasons. ~~However, there~~ Although we have made parsimonious interpretations, there are clearly uncertainties in  
618 interpreting the FA  $\delta^{13}\text{C}$ , and although we have made parsimonious interpretations, many as various  
619 assumptions have been made here. The producers of the  $\text{C}_{18}$  and especially the  $\text{C}_{24}$  FAs is a key source of  
620 uncertainty and will require further work to further elucidate. The possibility of inputs of FAs from multiple  
621 sources, in particular from organisms further up the food chain, has consequences for their interpretation since  
622 this could mean the  $\delta^{13}\text{C}$  FA is not fully reflecting just surface water conditions. Other key uncertainties are the  
623 magnitude of upwelling of  $\text{CO}_2$  at the site in comparison to drawdown by phytoplankton, and the potential role  
624 of changes in air-sea  $\text{CO}_2$  exchange.

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625  
626 ~~We~~ Despite this, we argue that FA  $\delta^{13}\text{C}$  can has the potential to be used as a productivity proxy, but should would  
627 be best be used in parallel with other environmental proxies such as diatoms abundances or HBIs. Comparison  
628 with other proxy data and information from previous studies suggests that the  $\text{C}_{18}$  compound may be  
629 predominantly produced by *P. antarctica*, with  $\delta^{13}\text{C}_{18\text{FA}}$  reflecting productivity changes in the marginal ice zone,  
630 where it is sensitive to changes in ice cover. In contrast,  $\delta^{13}\text{C}_{24\text{FA}}$ , which compares well with abundances of the  
631 open water diatom *F. kerguelensis*, may be reflecting summer productivity further offshore, in open waters  
632 where it is less sensitive to fast ice changes. ~~We argue that FA  $\delta^{13}\text{C}$  can be used as a productivity proxy, but~~  
633 ~~should be used in parallel with other proxies such as diatoms abundances or HBIs.~~ The use of  $\delta^{13}\text{C}$  analysis of  
634 multiple FA compounds, as opposed to individual compounds or bulk isotope analysis, allows a more detailed  
635 insight into the palaeoproductivity dynamics of the region, with the potential to separate productivity trends  
636 within different habitats.

637 ~~However, there are clearly uncertainties in interpreting the FA  $\delta^{13}\text{C}$ , and although we have made parsimonious~~  
638 ~~interpretations, many assumptions have been made here. The producers of the  $\text{C}_{18}$  and especially the  $\text{C}_{24}$  FAs is~~  
639 ~~a key source of uncertainty and will require further work to further elucidate. The possibility of inputs of FAs~~

640 from multiple sources, in particular from organisms further up the food chain, has consequences for their  
641 interpretation since this could mean the  $\delta^{13}\text{C}$ -FA is not fully reflecting just surface water conditions. Other key  
642 uncertainties are the magnitude of upwelling of  $\text{CO}_2$  at the site in comparison to drawdown by phytoplankton,  
643 and the potential role of changes in air-sea  $\text{CO}_2$  exchange.

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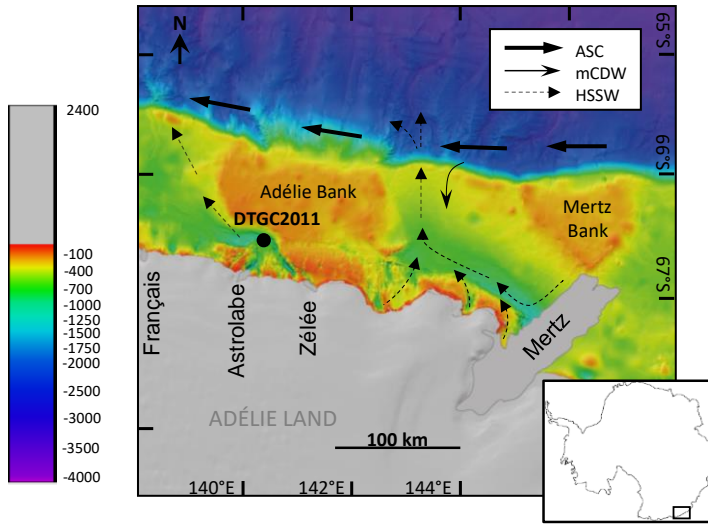


Figure 1: Location of Site DTGC2011 on bathymetric map of the Adélie Land region (modified from Beaman et al., 2011), indicating positions of the main glaciers (prior to Mertz Glacier Tongue collapse in 2010) and pathways of the main water masses affecting the region: Antarctic Slope Current (ASC), Modified Circumpolar Deep Water (mCDW) and High Shelf Salinity Water (HSSW) (Williams and Bindoff, 2003).

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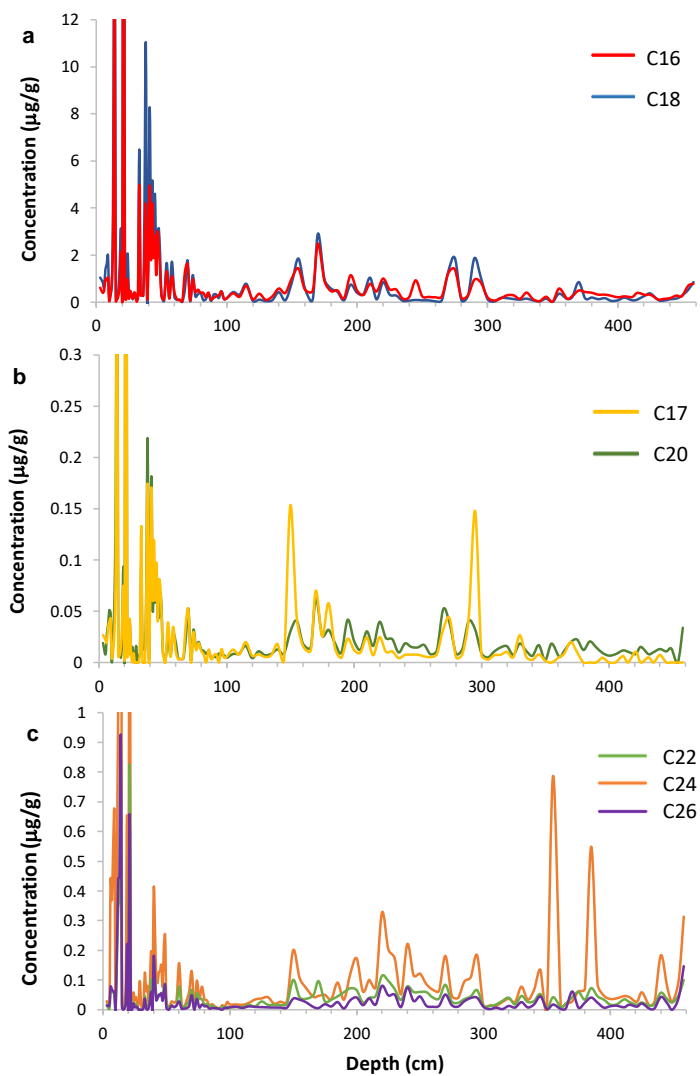


Figure 2: Fatty acid concentrations ( $\mu\text{g/g}$  of dry sediment) with depth from core DTGC2011 a) C<sub>16</sub> and C<sub>18</sub> fatty acids b) C<sub>17</sub> and C<sub>20</sub> fatty acids c) C<sub>22</sub>, C<sub>24</sub> and C<sub>26</sub> fatty acids.

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	C <sub>16</sub>	C <sub>17</sub>	C <sub>18</sub>	C <sub>20</sub>	C <sub>22</sub>	C <sub>24</sub>	C <sub>26</sub>
C <sub>16</sub>		0.97	0.98	0.97	0.72	0.53	0.58
C <sub>17</sub>	0.97		0.96	0.96	0.70	0.52	0.56
C <sub>18</sub>	0.98	0.96		0.99	0.69	0.50	0.55
C <sub>20</sub>	0.97	0.96	0.99		0.77	0.59	0.64
C <sub>22</sub>	0.72	0.70	0.69	0.77		0.88	0.95
C <sub>24</sub>	0.53	0.52	0.50	0.59	0.88		0.90
C <sub>26</sub>	0.58	0.56	0.55	0.64	0.95	0.90	

**Table 1** Figure 3: R<sup>2</sup> values for fatty acid concentrations throughout core DTGC2011. Values are colour coded according to the key on the left. Black border denotes correlations within each group.

	C <sub>16</sub>	C <sub>17</sub>	C <sub>18</sub>	C <sub>20</sub>	C <sub>22</sub>	C <sub>24</sub>	C <sub>26</sub>
C <sub>16</sub>		0.74	0.87	0.80	0.24	0.09	0.21
C <sub>17</sub>	0.74		0.73	0.72	0.28	0.08	0.19
C <sub>18</sub>	0.87	0.73		0.93	0.21	0.07	0.20
C <sub>20</sub>	0.80	0.72	0.93		0.39	0.15	0.31
C <sub>22</sub>	0.24	0.28	0.21	0.39		0.46	0.68
C <sub>24</sub>	0.09	0.08	0.07	0.15	0.46		0.42
C <sub>26</sub>	0.21	0.19	0.20	0.31	0.68	0.42	

**Table 2** Figure 4: R<sup>2</sup> values for fatty acid concentrations in core DTGC2011 below 25 cm only. Values are colour coded according to the key on the left. Black border denotes correlations within each group.

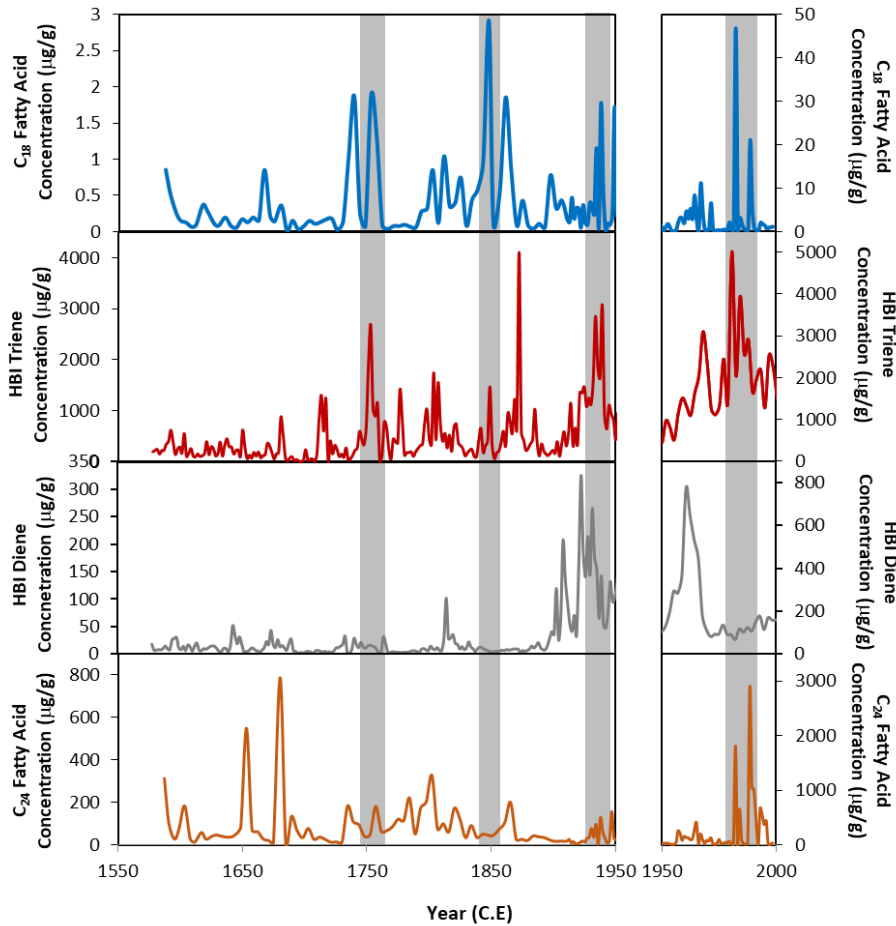
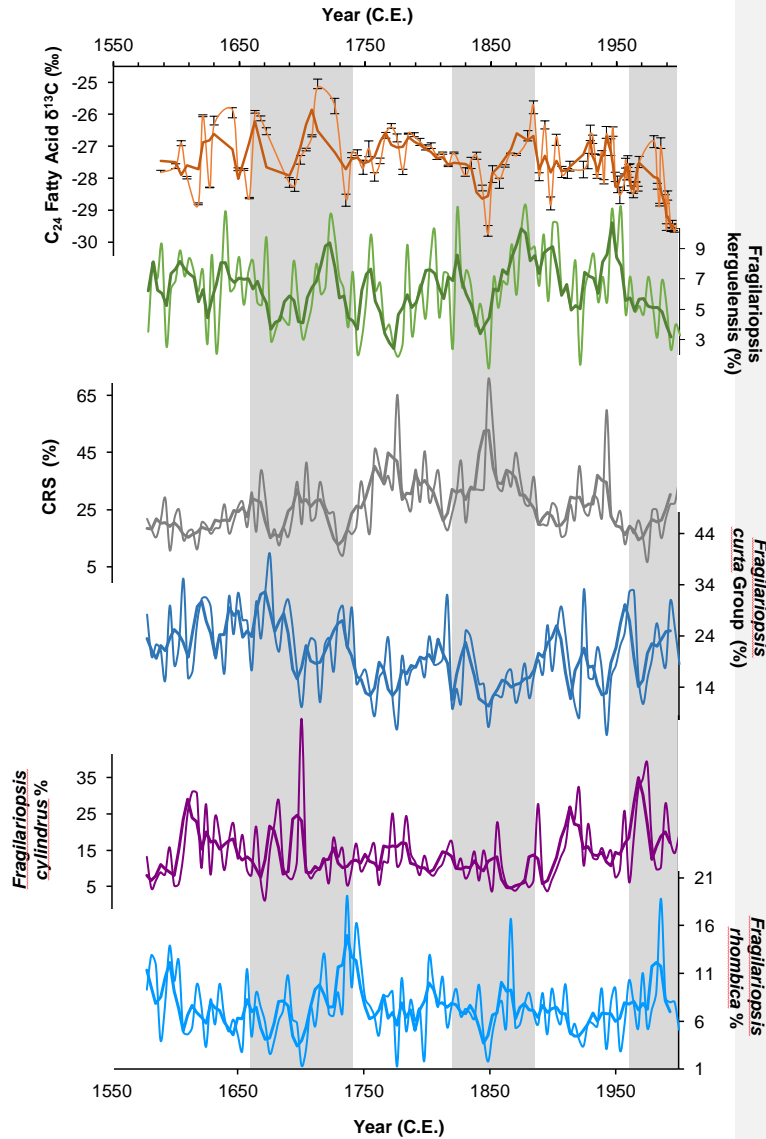


Figure 35: Concentrations of the  $\text{C}_{18}$  fatty acid (blue), the HBI triene (red), HBI diene (grey) (Campagne, 2015),  $\text{C}_{24}$  fatty acid (orange) from core DTGC2011. The left-hand panels show 1550 to 1950 C.E. and the right hand panels show 1950 to 2000 C.E., plotted on different y-axes due to the elevated concentrations in the top part of the core. Grey vertical bands highlight coincident peaks in  $\text{C}_{18}$  fatty acid and HBI triene records.



**Figure 64:**  $\delta^{13}\text{C}$  values of the  $\text{C}_{24}$  fatty acid (orange) and relative abundances (%) of the open water diatom *Fragilariopsis kerguelensis* (green). Also shown are relative abundances of the four most abundant diatom groups in DTGC2011. *Chaetoceros* resting spores (CRS; grey line), *Fragilariopsis curta* group (dark blue line), *Fragilariopsis cylindrus* (purple line) and *Fragilariopsis rhombica* (light blue line). Thick line represents 3-point moving average for each. Grey vertical bands highlight periods where  $\text{C}_{24}$  fatty acid  $\delta^{13}\text{C}$  is in phase with *F. kerguelensis*.



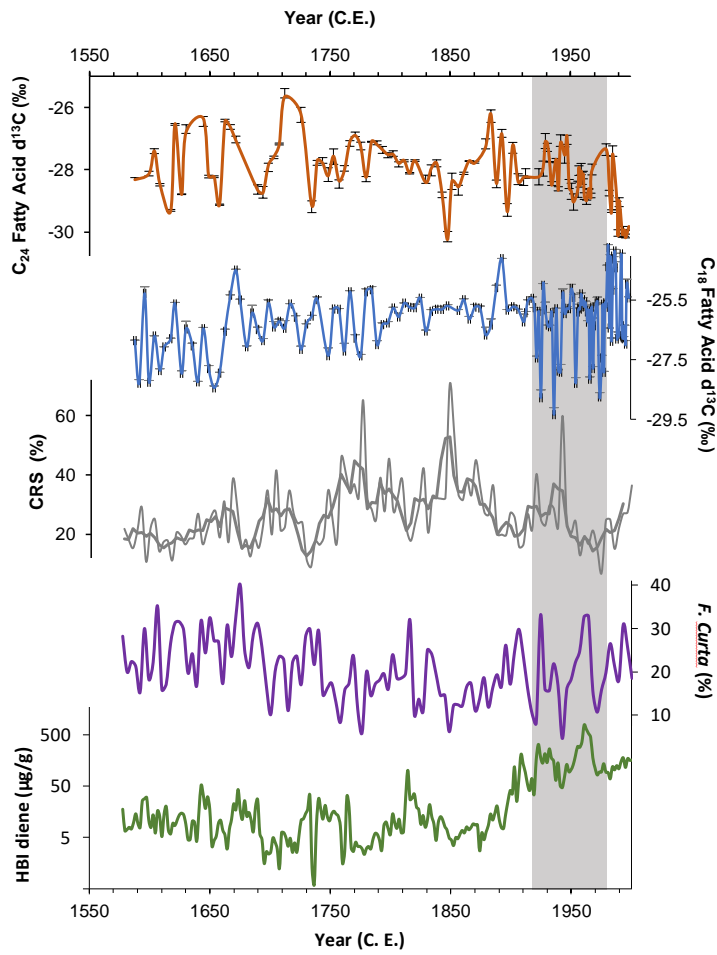


Figure 57:  $\delta^{13}\text{C}$  of the  $\text{C}_{24}$  (orange) and  $\text{C}_{18}$  (blue) fatty acid, HBI diene concentrations (green; plotted on a log scale) and relative abundances of *Fragilariopsis curta* plus *Fragilariopsis cylindrus* (purple). Latter two records reflect sea ice concentrations. Grey vertical band highlights period where low  $\text{C}_{18}$   $\delta^{13}\text{C}$  overlaps with elevated HBI diene concentrations.

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