#### Exploring the use of compound-specific carbon isotopes as a 1

#### palaeoproductivity proxy off the coast of Adélie Land, East 2

#### Antarctica 3

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#### 18 Abstract

17

- 19 The Antarctic coastal zone is an area of high primary productivity, particularly within coastal polynyas where
- 20 large phytoplankton blooms and drawdown of CO2 occur. Reconstruction of historical primary productivity
- 21 changes, and the associated driving factors, could provide baseline insights on the role of these areas as sinks for
- 22 atmospheric CO2, especially in the context of projected changes in coastal Antarctic sea ice. Here we investigate
- 23 the potential for using carbon isotopes ( $\delta^{13}$ C) of fatty acids in marine sediments as a proxy for primary
- 24 productivity. We use a highly resolved sediment core from off the coast of Adélie Land spanning the last ~400
- years and monitor changes in the concentrations and  $\delta^{13}$ C of fatty acids along with other proxy data from the 25
- same core. We discuss the different possible drivers of their variability and argue that  $C_{24}$  fatty acid  $\delta^{13}C$ 26
- 27 predominantly reflects phytoplankton productivity in open water environments, while  $C_{18}$  fatty acid  $\delta^{13}C$
- 28 reflects productivity in the marginal ice zone. These new proxies have implications for better understanding
- 29 carbon cycle dynamics in the Antarctica coastal zone in future paleoclimate studies.
- 30

#### 1 Introduction 31

- Antarctic coastal zones are important players in the global carbon cycle. The deep ocean is ventilated in these 32
- 33 regions as part of the Southern Ocean overturning circulation, allowing waters rich in nutrients and CO2 to be
- 34 upwelled to the surface. In the absence of biological activity, most of the CO2 would be leaked to the
- atmosphere. However, coastal polynyas within the Antarctic margin are areas of very high primary productivity 35
- 36 during the spring and summer months (e.g. Arrigo et al., 2008) that rapidly reduces CO2 to low levels through
- 37 photosynthesis (Arrigo and van Dijken, 2003; Arrigo et al., 2008), resulting in surface water CO2
- 38 undersaturation with respect to atmospheric CO<sub>2</sub> (Tortell et al., 2011). The subsequent export and burial of the
- 39 organic carbon produced during these intense phytoplankton blooms can significantly lower atmospheric CO2
- 40 concentrations (Sigman and Boyle, 2000). Therefore, any change in the consumption of these nutrients by

phytoplankton, or any change in phytoplankton community structure, may affect the air-sea CO<sub>2</sub> exchange in
 this region.

43 Records of past phytoplankton productivity offer an opportunity to document the drivers of primary productivity 44 at different timescales from pluri-decadal to millennial. In the Antarctic coastal zone past work has focused on 45 records of organic carbon, biogenic silica and diatom abundances (Leccaroni et al., 1998; Frignani et al., 1998; Denis et al., 2009; Peck et al., 2015). These proxies however may provide a biased view of phytoplankton 46 47 productivity as they only record a signal of siliceous productivity and may suffer from alteration during settling 48 and burial (Beucher et al., 2004; Tréguer et al., 2017). As such, there is no robust understanding of how such 49 records respond to surface water CO2 which is of major importance in the context of Antarctic coastal sea ice 50 changes. 51 Here we investigate the use of compound specific carbon isotope analysis ( $\delta^{13}$ C) of free<u>ly extractable (using a</u> 52 standard solvent extraction protocol), saturated algal fatty acids (FAs) in marine sediments as a potential 53 integrative proxy for reconstructing primary productivity in a polynya environment. Fatty acids have the 54 potential to be a useful palaeoproductivity tool in this region due to their ubiquitous presence within marine 55 sediments, while other commonly used compounds, such as alkenones, are absent, Fatty acids are also able to 56 persist within the sediments for several thousand years, meaning they have the potential to be applied over long 57 time spans in contrast to more labile compounds such as highly branches isoprenoid alkenes (HBIs). 58 Furthermore, fatty acids are amenable to isotope analysis allowing them to yield more detailed information 59 about the environment. 60 Previous studies in the highly-productive regions of the Southern Ocean have highlighted the potential for using

61 compound-specific isotopes from algal biomarkers in sediments to track primary productivity changes both 62 spatially and temporally. Villinski et al. (2008) found that the spatial variation in pCO<sub>2</sub> in the Ross Sea was 63 associated with a variation in the  $\delta^{13}$ C of sedimentary organic carbon and sterol biomarkers, most likely due to a 64 change in isotopic fractionation associated with the photosynthetic drawdown of CO<sub>2</sub>. Their results demonstrate 65 that the spatial variation in surface water CO<sub>2</sub> is recorded in sedimentary organic matter and algal biomarkers. 66 We explore this further as well as looking into other potential drivers of compound-specific carbon isotopes.

67 We use samples from core DTGC2011, a 4.69 m sediment core recovered from offshore Adélie Land, East

68 Antarctica, spanning the last ~400 years. The core chronology is based on radiocarbon dates and confirmed by

69 <sup>210</sup>Pb excess activity measurements, which indicate that DTGC2011 spans the 1580-2000 C.E. period with a

 $\label{eq:constraint} 70 \qquad \text{mean sedimentation rate of $\sim$1 cm yr$^{-1}$ (Supplementary Information S1). In order to understand the signal$ 

recorded by the FAs, we estimate the most likely biological source of these compounds and the habitat and

 $72 \qquad \text{season of production. Moreover, we compare downcore changes in FA concentrations and } \delta^{13}C \text{ with other}$ 

- 73 proxy data from the same core.
- 74

# 75 Environmental setting

76 The Adélie drift is located in the Dumont D'Urville Trough in the Adélie Basin, ca. 35 km offshore from Adélie

77 Land (Fig. 1). This is a 1000 m deep, glacially scoured depression on the East Antarctic continental shelf,

78 bounded to the east by the Adélie Bank. Sea ice plays a key role on the dynamics of the region, with both fast

79 ice and pack ice present off the coast of Adélie Land. A large bank of fast ice forms annually between 135 and

80 142°E, and extends up to 120 km away from the coast (Massom et al., 2009). On the north edge of this fast ice

buttress is an inlet of open water forming a polynya, an area of open water surrounded by sea ice (Bindoff et al.,

82 2000).

83 The Adélie Coast is characterized by extremely high primary productivity, with phytoplankton assemblages

84 dominated by diatoms (Beans et al., 2008). The site itself is located close to the Dumont D'Urville polynya

85 (DDUP), with an annual net primary productivity (NPP) of 30.3 g C m<sup>-2</sup> a<sup>-1</sup>, but is also directly downwind and

86 downcurrent of the much larger and highly productive Mertz Glacier polynya (MGP) to the east, with an annual

87 NPP of 39.9 g C  $m^{-2}$  a<sup>-1</sup> (Arrigo et al., 2015). Various factors are known to drive productivity trends in the

88 Southern Ocean, including open water area, glacial melt and mixed layer depth (Arrigo et al., 2015). In the

89 MGP, Arrigo (2007) found light and nutrient availability to be the most important factors, which will in turn be

90 modulated by changes in mixed layer depth, ice cover and glacial ice melt. Physiological differences in

91 Phaeocystis antarctica compared to diatoms mean it can thrive in lower nutrient conditions and lower CO<sub>2</sub>

92 levels.

93 The region is affected by various water masses. High Salinity Shelf Water (HSSW) is formed on the shelf in

94 coastal polynyas as a result of sea ice production and the associated brine rejection. HSSW flows out of the shelf

95 through the Adélie sill at 143°E (Fig. 1). Modified Circumpolar Deep Water (mCDW) is a warm, macronutrient-

96 rich and salty water mass which upwells onto the continental shelf through channels in the shelf break. mCDW

97 has been observed to upwell across the shelf break near the Mertz Glacier at 144°E (Williams et al., 2008) (Fig.

98 1). The Antarctic Coastal Current, also known as the East Wind Drift, flows westward often adjacent to ice

99 shelves (Thompson et al., 2018). The Antarctic Surface Water (AASW) is a widespread water mass which

100 extends across the continental shelf and has a surface mixed layer varying from a shallow (ca. 10 m), warmer

101 and fresher layer in summer to a deeper (ca. 100 m), colder layer in winter. This is also transported westward

102 along with the Antarctic Coastal Current (Martin et al., 2017). Surface waters along the Adélie coast have

103 relatively high concentrations of nitrate, silica and phosphorus, with spatially variable levels of Fe which may be

104 due to re-suspension of sediments and calving of ice (Vaillancourt et al., 2003; Sambrotto et al., 2003).

# 105 2 Materials and Methods

106 Fatty acids

107 One hundred and thirty-five sediment samples were taken for organic geochemical analyses, sampled at 1 cm

108 intervals in the top 50 cm, 2 cm intervals between 50 and 100 cm, and 5 cm intervals until 458 cm. Lipid

109 extractions were completed at the University of Birmingham using dichloromethane/methanol (3:1 v/v) and

110 ultrasonication. The acid and neutral fractions were separated using an aminopropyl-silica gel column and the

111 FAs eluted using diethyl ether with 4% acetic acid. The acid fraction was derivatized using boron trifluoride (14

112 % in methanol (v/v)\_and subsequently cleaned up using a silica gel column and the fatty acid methyl esters

113 (FAMEs) eluted with dichloromethane.

FAs were identified using an Agilent 7890B gas chromatograph (GC) coupled to an Agilent 5977A mass
 selective detector, with a BP5-MS (SGE) column (60m, 320µm internal diameter, 0.25µm film thickness).

- 116 Helium was used as the carrier gas set at a constant flow rate of 2 ml/min. The MSD was run in scan mode with
- a scan width of 50 to 800 mass units. Concentrations were quantified using an Agilent 7890B GC-flame
- 118 ionization detector, using Hydrogen as the carrier gas with a constant flow rate of 2 ml min-1. An Rtx<sup>TM</sup>-200
- 119 column (105 m, 250µm internal diameter, 0.25µm film thickness) which has a
- 120 poly(trifluoropropylmethylsiloxane) stationary phase was used for FA analyses to enable the best separation
- 121 possible. The oven programme was: 70°C, held for 1 min, increased to 150°C at a rate of 30°C/min, increased to
- 122 320°C at a rate of 3°C/min, then held for 10 minutes. FA concentrations were quantified by addition of a C19
- 123 alkane as an internal standard, prepared in-house to the concentration of  $10 \text{ ng/}\mu$ l. The peak areas of FAs and the
- 124 internal standard were used to calculate the concentration of each compound.
- 125 The  $\delta$ 13C composition of fatty acids are described in delta notation:

## $\delta 13C (\%) = ((12C/13C) \text{sample} / (12C/13C) \text{standard} - 1) \times 1000$

127 whereby the standard is Vienna Pee Dee Belemnite. Carbon isotopes were measured using an Agilent 7890A

- GC coupled to an Isoprime GC5 furnace and an Isoprime 100 isotope ratio mass spectrometer (IRMS). The
   Isoprime GC5 furnace contained a CuO furnace tube kept at 850°C. Helium was used as the carrier gas set at a
- 130 constant flow of 1.7 ml/min and CO2 was used as the reference gas. The GC had a VF-200ms column (60 m,
- 131 250µm internal diameter, 0.25µm film thickness) which also has a poly(trifluoropropylmethylsiloxane)
- 132 stationary phase. The oven programme was: 70°C, held for 1 min, increased to 150°C at a rate of 30°C/min,
- 133 increased to 320°C at a rate of 3°C/min, then held for 5 minutes. Most samples were run using an Agilent 7693
- 134 autosampler from dilutions of  $10 100 \ \mu$ l. Where concentrations were very low, samples were dissolved in <10
- 135 μl and were manually injected. Most samples were run in duplicate except for a few cases where the sample
- 136 concentration was so low that the entire sample had to be injected in one run.

Machine performance was routinely checked using a FA ester mix (F8; Indiana University) containing eight FA
 compounds. This was run before the start of analysis and after every five duplicate samples.. Errors are based on

the difference between duplicate measures and are all within 0.26‰.

140 To correct for the additional carbon added during MeOH derivatization, three FA standards were analysed for

- 141 their bulk carbon isotope value using an Elementar Pyrocube at the University of Birmingham. Samples were
- 142 combusted at 920°C before being passed through a reduction column and the isotopic composition of sample
   143 gases was determined on an Isoprime continuous flow mass-spectrometer. These samples were then derivatized
- 143 gases was determined on an Isoprime continuous flow mass-spectrometer. These samples were then derivatized 144 and then analysed on the GC-IRMS for the  $\delta^{13}$ C value of the FAME. The  $\delta^{13}$ C of the FA ( $\delta^{13}$ CFA) and FAME
- 145 ( $\delta^{13}$ CFAME) were used to calculate the  $\delta^{13}$ C of the MeOH ( $\delta^{13}$ CMeOH) as follows:

146 
$$\delta^{13}$$
CMeOH = (nFAME \*  $\delta^{13}$ CFAME) – (nFA \*  $\delta^{13}$ CFA)

- $147 \qquad {\rm whereby \ nFAME \ is \ the \ number \ of \ carbons \ in \ the \ FAME \ and \ nFA \ is \ the \ number \ of \ carbons \ in \ the \ FA.}$
- 148  $\delta$ 13CMeOH was calculated to be ca. -40.8‰ and the  $\delta$ <sup>13</sup>CFAME values were corrected using:
- 149  $\delta^{13}$ CFA = ((nFAME \*  $\delta^{13}$ CFAME) + 40.8) / nFA
- 150 HBIs

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152 Two hundred and thirty-four samples were taken every 2 cm over the whole core for highly branched 153 isoprenoids (HBI) alkenes analysis, HBIs were extracted at Laboratoire d'Océanographie et du Climat: 154 Experimentations et Approches Numériques (LOCEAN), separately from the fatty acids, using a mixture of 155 9mL CH<sub>2</sub>Cl<sub>2</sub>/MeOH (2:1, v:v). 7 hexyl nonadecane (m/z 266) was added as an internal standard during the first 156 extraction steps, following the Belt et al (2007) and Massé et al. (2011) protocols. Several sonication and 157 centrifugation steps were applied in order to fully extract the selected compounds (Etourneau et al., 2013). After drying with N2 at 35°C, the total lipid extract was fractionated over a silica column into an apolar and a polar 158 159 fraction using 3 mL hexane and 6 mL CH<sub>2</sub>Cl<sub>2</sub>/MeOH (1:1, v:v), respectively. HBIs were obtained from the apolar fraction following the procedures reported by Belt et al. (2007) and Massé et al.(2011). After removing 160 161 the solvent with N2 at 35°C, elemental sulfur was removed using the TBA (Tetrabutylammonium) sulfite 162 method (Jensen et al., 1977; Riis and Babel, 1999). The obtained hydrocarbon fraction was analyzed within an 163 Agilent 7890A gas chomatograph (GC) fitted with 30 m fused silica Agilent J&C GC column (0.25 mm i.d., 164 0.25 µm film thickness), coupled to an Agilent 5975C Series mass selective detector (MSD). Spectra were 165 collected using the Agilent MS-Chemstation software. Individual HBIs were identified on the basis of comparison between their GC retention times and mass spectra with those of previously authenticated HBIs 166 167 (Johns et al., 1999) using the Mass Hunter software. Values are expressed as concentration relative to the 168 internal standard.

## 169 Diatoms

One hundred and eighteen samples were taken every 4 cm over the whole core for diatom analyses. Sediment processing and slide preparation followed the method described in Crosta et al. (2020). Diatom counting followed the rules described in Crosta and Koç (2007). Around 350 diatom valves were counted in each sample at a 1000X magnification on a Nikon Eclipse 80i phase contrast microscope. Diatoms were identified to species or species group level. Absolute abundances of diatoms were calculated following the equation detailed in Crosta et al. (2008). The relative abundance of each species was determined as the fraction of diatom species against total diatom abundance in the sample.

177

# 178 3 Fatty acids within DTGC2011

179 Analysis by GC-MS identified seven dominant saturated FAs within the DTGC2011 samples (Fig. S2). These

- 180 have carbon chain lengths of  $C_{16}$  to  $C_{26}$  and only the saturated forms (i.e. no double bonds) were identified.
- 181 These are predominantly even chain length FAs, with only minor amounts of the C17 compound measured
- 182 (Gilchrist, 2018).

## 183 3.1 Fatty acid concentrations

- 184 The C19 alkane was used as an internal standard to aid quantification of fatty acid concentrations. However, it
- should be noted that since this standard was added to samples post-extraction, our concentration estimates aresemi-quantitative but can be used to compare concentration changes in different FA compounds.
- 187 Down core analysis of FA concentrations reveals clear groupings in concentration changes. In the upper part of
- 188 the core (ca. 3-90 cm depth), spanning the last ~78 years, all FA compounds show a similar pattern, with

Moved down [5]: . HBIs were extracted at Laboratoire d'Océanographie et du Climat: Experimentations et Approches Numériques (LOCEAN), separately from the fatty acids, using a mixture of 9mL CH<sub>2</sub>Cl<sub>2</sub>/MeOH (2:1, v:v). Moved (insertion) [5]

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194 elevated concentrations, broadly decreasing down-core (Fig. 2). Below this, however, two groups clearly

195 diverge. These can be broadly divided into short-chained fatty acids (C16 to C20; SCFAs) and long-chained fatty

196 acids (C22 to C26; LCFAs). Within these groups, the concentrations of different compounds show similar trends,

197 but the two groups (SCFAs vs LCFAs) show different trends to each other (Gilchrist, 2018). This is confirmed

by R<sup>2</sup> values calculated for the linear regression of concentrations of each FA against each other throughout the 198

199 core (Fig. 3; n = 135, p < 0.001). Correlations between the SCFAs have  $R^2$  values between 0.97 and 0.99, while

R<sup>2</sup> values of LCFAs range between 0.88 and 0.95. Between the two groups, however, R<sup>2</sup> values are all lower, 200

201 ranging between 0.50 and 0.77.

202 These distinct groupings suggest that compounds within each group (SCFAs and LCFAs) likely have a common

203 precursor organism or group of organisms, but the two groups themselves have different producers from each

204 other. These producers may in turn thrive during different seasons or within different habitats and thus, the

205 isotopic composition of compounds from these different groups may record different environmental signals.

R<sup>2</sup> values were also calculated for samples below 25 cm only (ca. 1587 - 1978 C.E.), to remove correlations 206 207 associated with preservation changes in the top part of the core (discussed below). Although the R<sup>2</sup> values are 208 not quite as high, they broadly confirm these groupings, with the R<sup>2</sup> values generally being greater within the

209 two groups (n = 73).  $\mathbb{R}^2$  values range from 0.93 for the C<sub>18</sub> with C<sub>20</sub>, down to 0.07 for the C<sub>18</sub> and C<sub>24</sub> (Fig. 4).

210 The C18 and C24 FAs are the most abundant compounds within the SCFA and LCFA groups, respectively, and

211 also the least correlated with each other both in the whole core ( $R^2 = 0.5$ ) and below 25 cm ( $R^2 = 0.07$ ), which

212 suggests they are the most likely to be produced by different organisms. Furthermore, these two compounds

213 yielded the highest quality isotope measurements, due to their greater concentrations, clean baseline and

214 minimal coeluting peaks (Fig. S2). Thus, these two compounds (C18 and C24) will be the focus of analysis and 215 discussion.

216

#### 217 3.2 Potential sources of the C18 fatty acid

218 Potential sources for the C18 FA in core U1357 (recovered from the same site as DTGC2011) are discussed in

219 Ashley et al. (2021) who suggest the prymnesiophyte Phaeocystis antarctica to be the most likely main

220 producer. This is based on a) previous studies of FAs produced by microalgae (Dalsgaard et al., 2003), b) the

221 high observed abundance of *P. antarctica* within modern Adélie surface waters (Riaux-Gobin et al., 2011;

- 222 Sambrotto et al; 2003) and c) comparison between the measured  $\delta^{13}C$  values and those reported in the literature
- 223 for P. antarctica (Kopczynska et al., 1995; Wong and Sackett, 1978). Unfortunately, the absence of P.
- 224 antarctica in sediments, as it does not biomineralize any test, precludes the direct comparison of down core
- 225 trends of this species with FAs. Phaeocystis antarctica has been found to live within and underneath sea ice
- 226 before its break up, as well as in open ocean waters (Riaux-Gobin et al., 2013; Poulton et al., 2007), due to its 227
- ability to use a wide range of light intensities for energy production (Moisan and Mitchell, 1999).

228 Furthermore, Skerratt et al. (1998) compared the FAs produced by P. antarctica and two Antarctic diatoms, in

- 229 culture samples, and showed that P. antarctica produced a much higher percentage of both saturated FAs (C14-
- 230 C20) and C18 FAs than the diatoms. This supports the hypothesis of P. antarctica being a dominant and

# abundant source of the saturated <u>C18</u> FA in the Adélie basin though minor contributions of C18 from other phytoplankton species such as the diatoms and dinoflagellates or even bacteria cannot be excluded (Table S2).

## 233 3.3 Potential sources of the C24 fatty acid

234 Long-chain *n*-alkyl compounds, including FAs, are major components of vascular plant waxes and their

- 235 presence within sediments has commonly been used as a biomarker of terrestrial plants (Pancost and Boot,
- 236 2004). Although plants such as bryophytes (e.g. mosses) which are present in the Antarctic do also produce
- LCFAs (Salminen et al., 2018), it is unlikely that FAs from terrestrial plants make a significant contribution tothe water column, due to their extremely limited extent on the continent, and the significant distance of the site
- 239 from other continental sources.
- 240 However, there is much evidence in the literature for various aquatic sources of LCFAs, a few of which are
- 241 summarized in Table S2. Although not all of these sources are likely to be present within the coastal waters
- 242 offshore Adélie Land, it highlights the wide range of organisms which can produce these compounds, and thus
- suggests that an autochthonous marine source is likely, especially considering the highly productive nature of
- this region.

### 245 3.4 Microbial degradation and diagenetic effects on fatty acid concentration

- 246 Both the C<sub>18</sub> and C<sub>24</sub> FAs show an overall decrease in concentrations down-core, with significantly higher
- 247 concentrations in the top 80 cm (representing ~70 years) compared to the rest of the core. Below this point, FAs
- concentrations variations are attenuated (Fig. 2).

249 Many studies have shown that significant degradation of FAs occurs both within the water column and surface 250 sediments as a result of microbial activity, and that there is preferential break down of both short-chained and 251 unsaturated FA, compared to longer-chained and saturated FA (Haddad et al., 1992; Matsuda, 1978; Colombo et 252 al., 1997). Haddad et al. (1992) studied the fate of FAs within rapidly accumulating (10.3 cm yr<sup>-1</sup>) coastal 253 marine sediments (off the coast of North Carolina, USA) and showed that the vast majority (ca. 90%) of 254 saturated FAs were lost due to degradation within the top 100 cm (representing ~10 years). Similarly, Matsuda 255 and Koyama (1977) found FA concentrations decrease rapidly within the top 20 cm of sediment (accumulating 256 at 4 mm yr<sup>-1</sup>) from Lake Suwa, Japan. Assuming similar processes apply to the DTGC2011 sediments, this 257 suggests the declining concentrations within the upper part of the core are largely the result of diagenetic effects 258 such as microbial activity occurring within the surface sediments, and thus do not reflect a real change in 259 production of these compounds in the surface waters. 260 The complete lack of both unsaturated and short chained (fewer than 16 carbon atoms) FA compounds

identified within DTGC2011 samples, even within the top layers, suggests that selective breakdown of
compounds has already occurred within the water column and on the sea floor (before burial). Wakeham et al.
(1984) assessed the loss of FAs with distance during their transport through the water column at a site in the
equatorial Atlantic Ocean and estimated that only 0.4 to 2% of total FAs produced in the euphotic zone reached
a depth of 389 m, and even less reaching more than 1,000 m depth, the vast majority of material being recycled
in the upper water column. Their results also show a significant preference for degradation of both unsaturated
and short chained compounds over saturated and longer chain length compounds. Although no studies into the

268 fate of lipids within the water column exist for the Adélie region, the >1,000 m water depth at the core site

**Deleted:**  $C_{18}$  FA in the Adélie basin though minor contributions of  $C_{18}$  from other phytoplankton species such as the diatoms and dinoflagellates or even bacteria cannot be excluded (Table S2).

- 273 would provide significant opportunity for these compounds to be broken down during transportation through the
- 274 water column. It is likely, therefore, that the distribution of compounds preserved within the sediments will not
- 275 be a direct reflection of production in the surface waters, and explains the preference for saturated FAs with
- 276 carbon chain lengths of 16 and more\_It is also possible that some additional production and contribution of FAs
- by bacteria occurred during this process (Allen & Bartlett, 2002; Allen et al., 1999; Jónasdóttir, 2019).

278 Although FA concentrations in the top 80 cm of core DTGC2011 are much higher overall than the sediments 279 below and show a broad decline over this section, there is a high level of variability. Concentrations do not 280 decrease uniformly within the top part of the core, as may be expected if concentration change is a first order 281 response to declining microbial activity. The peak in total FAs instead occurs at a depth of 21-22 cm with a 282 concentration more than an order of magnitude higher than in the top layer. This variability creates difficulty in 283 directly determining the effects of diagenesis. However, by 25 cm (ca. 1978 C.E.) the concentrations drop to 284 below 1,000 ng g<sup>-1</sup> and remain so until 32 cm before increasing again. This may suggest that diagenetic effects 285 of FA concentrations are largely complete by 25 cm (representing ca. 25 years), consistent with results from 286 Haddad et al. (1992) and Matsuda and Koyama (1977), and that subsequent down-core concentration variations 287 predominantly represent real changes in export productivity, resulting from environmental factors. However, the 288 fluctuating nature of concentrations particularly in the youngest sediments means it is difficult to clearly unpick 289 the effects of diagenesis from actual changes in production of these compounds, and a clear cut-off point for 290 diagenetic effects cannot be determined. 291 3.5 Comparison of fatty acid concentrations with highly branched isoprenoid alkenes

- 292 We compare FA concentrations with other organic compounds (whose source is better constrained) in
- 293 DTGC2011 to better understand FA sources. Direct comparison between different organic compound classes
- 294 can be made since both are susceptible to similar processes of diagenesis, in contrast to other proxies such as
- 295 diatoms. In core DTGC2011, concentrations of di- and tri-unsaturated highly branched isoprenoid (HBI) alkenes
- 296 (referred to as HBI diene and HBI triene, respectively hereafter) were available.
- 297 In Antarctic marine sediments HBIs have been used as a tool for reconstructing sea ice (Belt et al., 2016, 2017).
- 298 Smik et al. (2016) compared the concentrations of HBIs in sediment samples offshore East Antarctica from the
- 299 permanently open-ocean zone (POOZ), the marginal ice zone (MIZ) and the summer sea-ice zone (SIZ). They
- 300 found the HBI diene reached the highest concentrations in the SIZ and was absent from the POOZ. In contrast,
- 301 the HBI triene was most abundant in the MIZ, i.e. at the retreating sea ice edge, with much lower concentrations
- 302 in the SIZ and POOZ. This suggests that the two compounds are produced in contrasting environments but
- remain sensitive to changes in sea ice.

310

- 304 The HBI diene biomarker (or IPSO<sub>25</sub> for Ice Proxy Southern Ocean with 25 Carbons) is mainly biosynthesised
- 305 by *Berkeleya adeliensis* (Belt et al., 2016), a diatom which resides and blooms within the sea ice matrix, and
- thus can be used as a proxy for fast ice attached to the coast. In contrast, the presence of the HBI triene mostly in
- 307 the MIZ is suggestive of a predominantly pelagic phytoplankton source (e.g. *Rhizosolenia* spp, Massé et al.,
- 2011; Smik et al., 2016; Belt et al., 2017), rather than sea-ice dwelling diatoms (Smik et al., 2016). The fact that
- 309 HBI triene reached its greatest abundance within the MIZ suggests its precursor organism may thrive in the
  - stratified, nutrient-rich surface waters of the sea-ice edge.

Moved down [1]: . It is also possible that some additional production and contribution of FAs by bacteria occurred during this process (Allen & Bartlett, 2002; Allen et al., 1999; Jónasdöttir, 2019).

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- 316 One key similarity between both the HBI diene and triene, and the FA concentrations is that the highest
- 317 concentrations are found in the youngest sediments. These compounds all show broad increases in concentration
- from 110 cm depth (ca. 1900 C.E) until the top of the core (Fig. 2 and 5). Concentrations of HBIs are also
- 319 susceptible to degradation through the water column through visible light induced photo-degradation (Belt and
- 320 Müller, 2013) and diagenetic effects within these diments including sulphurisation (Sinninghe Damsté et al.,
- 321 2007), isomerisation and cyclisation (Belt et al., 2000). Thus, it is likely that the elevated concentrations, and
- 322 thus the similarity between FA and HBI concentrations, is due to the material being fresher and thus less
- affected by diagenesis, with diagenetic effects having an increasing and progressive impact down to ca. 25cmdepth.
- 325 However, despite an overall increase in HBI and FA concentrations above 110 cm depth, there are clear
- 326 deviations from this trend. Concentrations of the HBI triene show some broad similarities with FA
- 327 concentrations. In particular, both the HBI triene and the  $C_{18}$  FA have coeval concentration peaks around 1980-
- 328 88, 1967, 1938, 1961-72, 1848 and 1752 C.E. (Fig. 5). These peaks are offset from the HBI diene
- 329 concentrations, suggesting that they result from increased production in the surface waters rather than simply
- 330 changes in preservation. The HBI triene is more susceptible to degradation than the diene (Cabedo Sanz et al.,
- 331 2016), so while this could explain some of the differences between the diene and triene records, where the triene
- 332 increases independently of the diene, this is likely to be a genuine reflection of increased production of these
- 333 compounds at the surface rather than an artefact of preservation processes.

334 This close similarity between the C<sub>18</sub> FA and HBI triene concentrations (Fig. 5) suggests that the C<sub>18</sub> may also 335 be produced by an organism associated with the retreating ice edge. Phaeocystis antarctica has been proposed 336 as a potential producer of the C18 in core U1357B (Ashley et al., 2021). In the Ross Sea, P. antarctica has been 337 observed to dominate the phytoplankton bloom during the spring, blooming in deep mixed layers as the sea ice begins to melt, after which diatoms tend to dominate during the summer (Arrigo et al., 1999; Tortell et al., 2011; 338 339 DiTullio et al., 2000). However, a few studies in the Adélie region suggest this is not the case there. Offshore 340 Adélie Land, P. antarctica has been found to only appear late in the spring/early summer, later than many 341 diatom species. During this time, it occurs preferentially within the platelet ice and under-ice water (Riaux-342 Gobin et al., 2013). Furthermore, Sambrotto et al. (2003) observed a surface bloom of P. antarctica near the 343 Mertz Glacier (Fig. 1) during the summer months, in very stable waters along the margin of fast ice and Riaux-344 Gobin et al. (2011) found P. antarctica to be abundant in the coastal surface waters eight days after ice break up. 345 This indicates an ecological niche relationship with cold waters and ice melting conditions. This might explain the close similarity between the C18 and HBI triene concentrations, both produced by organisms occupying a 346 347 similar habitat at the ice edge.

- 348 The C<sub>24</sub> FA record also shows some similarity with the HBI triene record. This appears to be mostly in the top 349 part of the core where the highest concentrations are found. The reason for this resemblance is unclear,
- 350 especially considering the lack of correlation between the C24 and C18 FA concentrations. However, it may relate
- to the progressive effect of diagenesis through the core. There is less similarity between the C24 and both the
- 352 HBI triene also HBI diene, (compared to the coherence between C18 FA and HBI triene), which suggests that
- 353 the C24 FA is predominantly produced by an organism which is not associated with sea ice, and thus instead
- 354 with more open waters. Seventy-three diatom species were encountered in core DTGC2011 (Campagne, 2015),

with *Fragilariopsis curta* and *Chaetoceros* resting spores being the most abundant. However, trends in diatom abundances do not show any clear correlations with the C<sub>18</sub> or C<sub>24</sub> FA concentrations. While this would lend

357 support to the hypothesis that diatoms are not the main producers of these compounds, the differing effects of

358 diagenesis on the preservation of diatoms and lipids could also explain some of the differences in observed

concentrations, particularly in the upper part of the core. The known producer of the HBI diene, Berkeleya

360 *adeliensis*, for example, was not recorded within the core, likely due to their lightly silicified frustules which are

361 more susceptible to dissolution (Belt et al., 2016). Therefore, despite the lack of a correlation between diatom

abundances and FA concentrations, we cannot entirely rule out the possibility of a minor contribution of FAs by

diatoms.

# 364 4 Carbon isotopes of fatty acids

**365** Down-core changes in  $\delta^{13}$ C for the C<sub>18</sub> and C<sub>24</sub> FAs ( $\delta^{13}$ C<sub>18FA</sub> and  $\delta^{13}$ C<sub>24FA</sub>, respectively) (Fig. 7) clearly show **366** different trends, with very little similarity between them (R<sup>2</sup> = 0.016). This further supports the idea that these

367 compounds are being produced by different organisms, and thus are recording different information.

368 The mean carbon isotope value of  $\delta^{13}C_{18FA}$  of -29.8 % in core U1357 from the same site (Ashley et al., 2021) is

369 suggestive of a pelagic phytoplankton source (Budge et al., 2008). In core DTGC2011 the mean values of

 $\delta^{13}C_{18FA} \text{ and } \delta^{13}C_{24FA} \text{ are -} 26.2 \ \text{\ensuremath{\sc box{\sc box\sc box{\sc box\sc box\sc box\sc box{\sc box\sc box{\sc box\sc box\$ 

 $\label{eq:stars} 371 \qquad \text{the range of a phytoplankton source. Additionally, we tentatively suggest that the 0.5\% more positive <math display="inline">\delta^{13}C_{18FA}$ 

 $\label{eq:action} 372 \qquad \text{mean value over the } \delta^{13}C_{24FA} \text{ may indicate the contribution of sea-ice dwelling algae producers, since carbon}$ 

 $\label{eq:stemp} 373 \qquad \text{fixation occurring within the semi-closed system of the sea ice will lead to a higher degree of CO_2 utilisation}$ 

 $\label{eq:states} 374 \qquad \text{than in surrounded open waters (Henley et al., 2012). Although no studies on FA \,\delta^{13}C \, of different organisms are$ 

ice algae (-24.0 ‰) to be 6.7 ‰ higher than pelagic phytoplankton (-30.7 ‰) from the same region.

**377** The higher  $\delta^{13}$ C of the C<sub>18</sub> FA could therefore be indicative of *P. antarctica* living partly within the sea ice, e.g.

378 during early spring before ice break up. The more negative  $\delta^{13}C_{24FA}$  suggests it is more likely to be produced by 379 phytoplankton predominantly within open water.

## 380 4.1 Controls on $\delta^{13}C_{FA}$

 $\label{eq:stability} 381 \qquad \text{The } \delta^{13}C_{18FA} \text{ record shows a broadly increasing trend towards more positive values from ca. 1587 until ca. 1920$ 

 $\textbf{382} \qquad \textbf{C.E., with short term fluctuations of up to $\sim$4 $\%$ superimposed on this long-term trend (Fig. 7). This is followed}$ 

by a period of higher variability with a full range of 5.6 ‰ until the most recent material (ca. 1999 C.E.), with

 $\label{eq:states} 384 \qquad \text{more negative } \delta^{13}C \text{ values between 1921 and 1977 C.E. and a rapid shift toward more positive values thereafter.}$ 

 $\label{eq:stars} \textbf{385} \qquad \text{In contrast, the $\delta^{13}C_{24FA}$ record overall shows a weak, negative trend, with large decadal fluctuations of up to $4.6$}$ 

- 386 %, with a more pronounced negative trend after ca. 1880 C.E. (Fig. 7).
- 387 Below we consider the various factors which may control the carbon isotope value of algal biomarkers produced
- $\label{eq:states} 388 \qquad \text{in the surface waters. Down-core changes in FA} \ \delta^{13}C \ \text{are likely to be a function of either the } \delta^{13}C \ \text{of the}$

389 dissolved inorganic carbon (DIC) source, changes in the species producing the biomarkers, diagenesis or

 $\label{eq:changing photosynthetic fractionation} (\epsilon_{P}). \ The next section outlines the potential influence of these factors may$ 

391 have in order to assess the mostly likely dominant driver of FA  $\delta^{13}$ C.

### 392 4.1.1 Isotopic composition of DIC

393 The  $\delta^{13}$ C of the DIC source can be affected by upwelling or advection of different water masses, or the  $\delta^{13}$ C of 394 atmospheric CO2. Around the Antarctic, distinct water masses have unique carbon, hydrogen and oxygen 395 isotope signatures and thus isotopes can be used as water mass tracers (e.g. Mackensen, 2001, Archambeau et al., 1998). In the Weddell Sea for example, Mackensen (2001) determined the  $\delta^{13}$ C value of eight water masses, 396 397 which ranged from 0.41 ‰ for Weddell Deep Water, sourced from CDW, to 1.63 ‰ for AASW. A similar 398 range of ~1.5 ‰ was identified in water masses between the surface and ~5,500 m depth along a transect from 399 South Africa to the Antarctic coast (Archambeau et al., 1998). Assuming similar values apply to these water 400 masses offshore Adélie Land, this range in values would be insufficient to explain the ~5 % variation of  $\delta^{13}$ C 401 recorded by both C18 and C24 FA, even in the situation of a complete change in water mass over the core site. 402 Furthermore, site DTGC2011, located within a 1,000 m deep depression and bounded by the Adélie Bank to the 403 north, is relatively sheltered from direct upwelling of deep water (Fig. 1). Though inflow of mCDW has been 404 shown to occur within the Adélie Depression to the east of the bank (Williams and Bindoff, 2003) and possibly 405 within the Dumont d'Urville Trough, only very small amplitude changes in  $\delta^{13}$ C of benthic foraminifera, tracking upper CDW, have been observed over the Holocene in Palmer Deep, West Antarctica (Shevenell and 406 407 Kennett, 2002). Although from a different location, this argues against large changes in the isotopic composition of the source of mCDW. 408 409 Changes in the  $\delta^{13}$ C of atmospheric CO<sub>2</sub>, which is in exchange with the surface waters could also have the potential to drive changes in the  $\delta^{13}$ C of algal biomarkers. Over the last ca. 200 years, the anthropogenic burning 410

411 of fossil fuels has released of a large amount of CO<sub>2</sub> depleted in <sup>13</sup>C, meaning that the  $\delta^{13}$ C of CO<sub>2</sub> has 412 decreased by ca. 1.5 ‰, as recorded in the Law Dome ice core. Prior to this, however, the  $\delta^{13}C$  of CO<sub>2</sub> in the 413 atmosphere remained relatively stable, at least for the last thousand years (Francey et al., 1999). Therefore, this 414 could potentially drive the  $\delta^{13}$ C of algal biomarkers towards more negative values within the last 200 years, but this could not explain the full variation of ~5-6 % in FA  $\delta^{13}$ C measured throughout the core. Although the C<sub>24</sub> 415 416  $\delta^{13}$ C shows a slight decrease over the last ca. 100 years, this is preceded by increasing  $\delta^{13}$ C, while the C<sub>18</sub>  $\delta^{13}$ C 417 displays no clear trend over the last 200 years. If atmospheric CO<sub>2</sub> was a key driver of fatty acid  $\delta^{13}$ C, we would 418 expect both compounds to respond together, showing a trend towards more negative values over the last 200 419 years which neither of them do. This suggests that the effect of changing  $\delta^{13}C$  of atmospheric CO<sub>2</sub> is 420 insignificant compared to local and regional inter-annual variations as a result of other environmental drivers (discussed below). 421

### 422 4.1.2 Changing species

A shift in the organisms producing the FA could also affect  $\delta^{13}$ C where species have different fractionation 423 424 factors. For example, changing diatom species have been shown to have an effect on bulk organic matter  $\delta^{13}$ C in 425 core MD03-2601, offshore Adélie Land, over the last 5 ka (Crosta et al., 2005). However, the bulk organic 426 matter might have contained other phytoplankton groups than diatoms with drastically different  $\delta^{13}C$  values and fractionation factors. Here we measured  $\delta^{13}$ C of individual biomarkers, produced by a more restricted group of 427 428 phytoplankton groups (possibly restricted to a few dominant species) compared to bulk  $\delta^{13}$ C. As discussed above, the C18 appears to be produced predominantly by P. antarctica, whereas diatoms do not tend to produce 429 430 high proportions of this compound (Dalsgaard et al., 2003).

# **431** *4.1.3 Effect of diagenesis on lipid* $\delta^{13}C$

432 Sun et al. (2004) studied the carbon isotope composition of FAs during 100 days of incubation in both oxic and 433 anoxic seawater. They observed a shift towards more positive values in FA  $\delta^{13}$ C, ranging between 2.6 % for the 434 C14:0 and as much as 6.9% in the C18:1, under anoxic conditions. This suggests that diagenesis could affect FA 435  $\delta^{13}$ C in core DTGC2011. However, these observed changes are rapid (days to months), occurring on timescales 436 which are unresolvable in the FA  $\delta^{13}$ C record (annual to decadal), and thus may have no effect on the trends observed in our record. Based on concentration data discussed above, it seems that diagenetic overprint is 437 438 largely complete by ~25 cm (Fig. 2). In the top 25 cm of the core (ca. 1978 – 1998 C.E.), the  $\delta^{13}C_{24FA}$  values increase by ~2.5 ‰, downward ( $R^2 = 0.63$ , n = 11) while the  $\delta^{13}C_{18FA}$  values display a large variation with no 439 440 overall trend ( $R^2 = 0.12$ , n = 20). If diagenesis was driving the changes in  $\delta^{13}C$ , it is likely that this trend would 441 be observed in all FA compounds.

442 Taken together, it appears that neither changes in the  $\delta^{13}$ C of the DIC, changing phytoplankton groups nor

443 diagenesis can fully explain the variation of FA  $\delta^{13}$ C recorded within DTGC2011. Therefore, we hypothesise

444 that changes in  $\varepsilon_p$  are the main driver of FA  $\delta^{13}$ C.

# 445 4.2 Controls on photosynthetic fractionation (ε<sub>p</sub>)

446 There is a positive relationship between  $\epsilon_p$  in marine algae and dissolved surface water  $CO_{2(aq)}$  concentration

447 (Rau et al., 1989). As a result, higher  $\delta^{13}$ C values are hypothesised to reflect lower surface water  $CO_{2(aq)}$  and vice

- 448 versa. Popp et al. (1999) showed a strong negative correlation between  $CO_2(aq)$  and  $\delta^{13}C$  of suspended
- 449 particulate organic matter across a latitudinal transect in the Southern Ocean, suggesting that changes in surface
- 450 water  $CO_2(aq)$  can explain a large amount of the variation in  $\delta^{13}C$ . Changes in surface water  $CO_{2(aq)}$
- 451 concentration in turn may be driven by various factors, including changing atmospheric CO<sub>2</sub> (Fischer et al.,
- 452 1997), wind-driven upwelling of deep, carbon-rich water masses (Sigman and Boyle, 2000; Takahashi et al.,
- 453 2009), sea-ice cover (Henley et al., 2012) and/or primary productivity (Villinski et al., 2008). Thus, determining
- the main driver(s) of surface water CO<sub>2</sub> changes offshore Adélie Land should enable interpretation of the
- 455 DTGC2011 FA  $\delta^{13}$ C records.

456 4.2.1 Sea ice

457	Brine channels within sea ice have very low CO <sub>2</sub> concentrations and a limited inflow of seawater. Carbon
458	isotopic fractionation of algae living within these channels has been shown to be greatly reduced compared to
459	organisms living in the surrounding open waters (Gibson et al., 1999), leading to elevated $\delta^{13}C$ values. It is thus
460	possible that, under conditions of high sea-ice cover, enhanced FA contribution from sea-ice algae leads to
461	elevated sedimentary $\delta^{13}C$ values. HBI diene concentrations within DTGC2011 show a much greater presence
462	of fast ice at the core site ca. 1960 C.E (Fig. 5). However, during this time there is no clear elevation in $\delta^{13}C$
463	concentrations in either $\delta^{13}C_{18FA}$ or $\delta^{13}C_{24FA}$ , both instead showing generally lower $\delta^{13}C$ values. In fact, $\delta^{13}C_{18FA}$
464	shows the lowest values of the whole record between 1925 and 1974 C.E., during which sea ice, as recorded by
465	the HBI diene, is at its highest level. This suggests that inputs in sea-ice algae at this time are not driving
466	changes in FA $\delta^{13}$ C.

<sup>The DTGC2011 core site sits proximal to the Dumont D'Urville polynya, which has a summer area of 13.02 x
10<sup>3</sup> km<sup>2</sup> and a winter area of 0.96 x 10<sup>3</sup> km<sup>2</sup> (Arrigo and van Dijken, 2003). Changes in the size of the polynya</sup> 

469 both on seasonal and inter-annual time scales will affect air-sea CO2 exchange and thus also surface water CO2 470 concentration. A reduced polynya may lead to greater supersaturation of CO2 in the surface waters due to 471 reduced outgassing, allowing CO2 to build up below the ice, leading to lower ô13C values of algal biomarkers 472 produced in that habitat (Massé et al., 2011). Thus changes in the extent of sea ice may also effect FA  $\delta^{13}$ C. 473 4.2.2 Observed trends in surface water CO<sub>2(aq)</sub> 474 If the trend in surface water CO<sub>2(aq)</sub> paralleled atmospheric CO<sub>2</sub>, with an increase of over 100 ppm over the last 475 200 years (MacFarling Meure et al., 2006), we might expect phytoplankton to exert a greater fractionation 476 during photosynthesis in response to elevated surface water CO<sub>2(aq)</sub> concentration, resulting in more negative 477  $\delta^{13}$ C values. Taking into account the decline in atmospheric  $\delta^{13}$ CO<sub>2</sub> over the same period would further enhance 478 the reduction in phytoplankton  $\delta^{13}$ C. Fischer et al. (1997) looked at the  $\delta^{13}$ C of both sinking matter and surface 479 sediments in the South Atlantic and suggested that, since the preindustrial, surface water CO2(aq) has increased 480 much more in the Southern Ocean than in the tropics. They estimated that a 70 ppm increase in CO<sub>2(aq)</sub> in 481 surface waters of 1°C would decrease phytoplankton  $\delta^{13}C_{org}$  by ca. 2.7‰, and up to 3.3‰  $\delta^{13}CO_2$  change are 482 included, between preindustrial and 1977-1990. However, sea ice cover and summer primary productivity are 483 likely to be much higher off Adélie Land than in the South Atlantic, both of which will affect air-sea gas 484 exchange. 485 Shadwick et al. (2014) suggest that surface water CO2 should track the atmosphere in the Mertz Polynya region,

486 despite the seasonal ice cover limiting the time for establishing equilibrium with the atmosphere. They 487 calculated wintertime CO2 in the shelf waters of the Mertz Polynya region, offshore Adélie Land (Fig. 1), 488 measuring ca. 360 ppm in 1996, ca. 396 ppm in 1999, and ca. 385 ppm in 2007, while atmospheric CO<sub>2</sub> at the South Pole was 360, 366 and 380 ppm, respectively (Keeling et al., 2005). Based on the 1996 and 2007 data 489 490 only, an increase in CO<sub>2</sub> of ca. 25 ppm is observed over these 11 years, coincident with the 20 ppm atmospheric 491 CO2 increase over this time period. However, high interannual variability (± ca. 30 ppm) is evident (e.g. 396 492 ppm in 1999) suggesting that other factors, particularly upwelling, may override this trend. The latter was also 493 suggested by Roden et al. (2013) based on winter surface water measurements in Prydz Bay, indicating that 494 decadal-scale carbon cycle variability is nearly twice as large as the anthropogenic CO2 trend alone. 495 During the austral winter, upwelling of deep water masses causes CO2 to build up in the surface waters, and sea

496 ice cover limits gas exchange with the atmosphere (Arrigo et al., 2008; Shadwick et al., 2014). Although only 497 limited data, the measurements by Shadwick et al. (2014) suggest slight supersaturation, of up to 30 ppm, occurs 498 in the winter due to mixing with carbon-rich subsurface water, but with high interannual variability. This is 499 compared to undersaturation of 15 to 40 ppm during the summer as a result of biological drawdown of CO2. 500 Roden et al. (2013) also observed varying levels of winter supersaturation in Prydz Bay, East Antarctica, with 501 late winter CO2 values of 433 ppm in 2011 (45 µatm higher than atmospheric CO2), and suggested that intrusions of C-rich mCDW onto the shelf may play a part in this. Similarly, winter surface water CO2 of 425 502 503 ppm has been measured by Sweeney (2003) in the Ross Sea, before being drawn down to below 150 ppm in the summer as phytoplankton blooms develop. 504

Enhanced upwelling of deep carbon-rich waters in the Southern Ocean are thought to have played a key role in
 the deglacial rise of atmospheric CO<sub>2</sub>, increasing CO<sub>2</sub> concentrations by ~80 ppm (Anderson et al., 2009; Burke

507 and Robinson, 2012). Changes in upwelling offshore Adélie Land could therefore drive some interannual

508 variability in surface water CO<sub>2</sub> and hence FA  $\delta^{13}$ C in DTGC2011. However, upwelling tends to be stronger

509 during the winter months, when sea-ice formation and subsequent brine rejection drive mixing with deeper C-

510 rich waters. At this time, heavy sea-ice cover limits air-sea gas exchange and enhances CO2 supersaturation in

regional surface waters (Shadwick et al., 2014). In contrast, the phytoplankton producing FA thrive during the 511

512 spring and summer months during which CO2 is rapidly drawn down and the surface waters become

513 undersaturated. However, upwelling cannot be discarded as a possible contributor to surface water CO2 change.

514 However, the core site is in a relatively sheltered area and is probably not affected by significant upwelling.

515 Based on these studies, changes in atmospheric CO<sub>2</sub> concentration and  $\delta^{13}C$  of the source appear to be unlikely

516 to be a dominant driver of the FA  $\delta^{13}$ C record, with interannual variations driven by other factors overriding any

longer-term trend. There is also no clear anthropogenic decline in the FA  $\delta^{13}$ C record over the last 200 years, 517

518 which supports this hypothesis.

#### 519 4.2.3 Productivity

520 Given that changes in atmospheric CO<sub>2</sub>, source signal, sea ice algae or diagenesis seem unable to explain the

521 full range of variability seen in the FA  $\delta^{13}$ C record, the most plausible driver appears to be changes in surface

522 water primary productivity. Coastal polynya environments in the Antarctic are areas of very high primary

523 productivity (Arrigo and van Dijken, 2003). The DTGC2011 core site sits near to the Dumont D'Urville

524 polynya, and is just downstream of the larger and more productive MGP (Arrigo and van Dijken, 2003). In large

525 polynyas such as the Ross Sea, primary productivity leads to intense drawdown of CO2 in the surface waters,

526 resulting in reduced fractionation by the phytoplankton during photosynthesis (Villinski et al., 2008). In the

527 Ross Sea, surface water CO<sub>2</sub> has been observed to drop to below 100 ppm during times of large phytoplankton

528 blooms (Tortell et al., 2011) demonstrating that primary productivity can play a key role in controlling surface

529 water CO2 concentrations in a productive polynya environment. Arrigo et al. (2015) found the MGP to be the 8th

530 most productive polynya in the Antarctic (out of 46) based on total net primary productivity during their

531 sampling period, and Shadwick et al. (2014) observed CO2 drawdown in the MGP during the summer months.

532 Therefore, we suggest that FA  $\delta^{13}$ C signals recorded in DTGC2011 is predominantly a signal of surface water

 $CO_2$  driven by primary productivity. Indeed, the potential for the  $\delta^{13}C$  of sedimentary lipids to track surface 533

534 water primary productivity has been recognised in the highly productive Ross Sea polynya. High variability in

535 surface water CO<sub>2</sub> values have been measured across the polynya during the summer months (December -

536 January), ranging from less than 150 ppm in the western Ross Sea near the coast, to >400 ppm on the northern

537 edge of the polynya. This pattern was closely correlated with diatom abundances, indicating intense drawdown

538 of CO2 in the western region where diatom abundances were highest (Tortell et al., 2011). This spatial variation

539 in productivity is recorded in particulate organic carbon (POC)  $\delta^{13}$ C, and is also tracked in the surface sediments 540

by total organic carbon (TOC)  $\delta^{13}$ C and algal sterol  $\delta^{13}$ C, all of which show significantly higher values in the

western Ross Sea. This spatial pattern in sterol  $\delta^{13}$ C was concluded to be directly related to CO<sub>2</sub> drawdown at 541

the surface, resulting in average sterol  $\delta^{13}$ C values varying from -27.9% in the west, where productivity is 542

543 greatest, down to -33.5% further offshore (Villinski et al., 2008). 544 A similar relationship is evident in Prydz Bay, where POC  $\delta^{13}$ C was found to be positively correlated with POC 545 concentration and negatively correlated with nutrient concentration, indicating greater drawdown of CO<sub>2</sub> and

nutrients under high productivity levels (Zhang et al., 2014).

547 This suggests it is possible to apply FA  $\delta^{13}$ C as a palaeoproductivity indicator in the highly productive Adélie 548 polynya environment. However, it is important to constrain the most likely season and habitat being represented,

- since phytoplankton assemblages vary both spatially (e.g. ice edge or open water) and temporally (e.g. spring or
- summer). The incredibly high sedimentation rate (1-2 cm yr<sup>-1</sup>) within the Adélie Basin is thought to result, on
- top of regional high productivity, from syndepositional focusing processes bringing biogenic debris from the
- 552 shallower Adélie and Mertz banks to the ca. 1,000 m deep basin (Escutia et al., 2011). Thus, it is likely that core
- 553 DTGC2011 contains material from a wide area, including both the Mertz and Dumont d'Urville polynyas, and
- areas both near the coast and further offshore, meaning it is quite possible that the  $C_{18}$  and  $C_{24}$  FAs are
- 555 integrating palaeoproductivity changes weighted towards different regional environments, which would explain
- their different trends. Furthermore, surface water CO<sub>2</sub> can vary spatially, such as in the Ross Sea polynya where
- 557 Tortell et al. (2011) measured surface water CO<sub>2</sub> values ranging between 100 and 400 ppm. Thus, it is likely
- $\label{eq:constraint} {\rm 558} \qquad {\rm that \ these \ two \ areas \ offshore \ Adélie \ Land \ where \ the \ C_{18} \ and \ C_{24} \ FAs \ are \ being \ produced \ will \ also \ have \ differing$
- $\label{eq:surface} 559 \qquad \text{surface water CO}_2 \text{ concentrations and trends}.$

# 560 4.3 Comparison of fatty acid $\delta^{13}$ C with other proxy data

 $\label{eq:comparison} 561 \qquad \text{Comparison of down-core variations in FA} \ \delta^{13}\text{C} \ \text{with other proxy data can also be used to decipher the main}$ 

- 562 signal recorded. Comparison between  $\delta^{13}C_{24FA}$  and the major diatom species abundances within the core
- 563 (Fragilariopsis kerguelensis, Fragilariopsis curta, Fragilariopsis rhombica, Fragilariopsis cylindrus,
- 564 Chaetoceros resting spores) shows a reasonably close coherence with Fragilariopsis kerguelensis, particularly
- 565 since ~1800 C.E. (Fig. 6). Fragilariopsis kerguelensis is an open water diatom species and one of the most
- 566 dominant phytoplankton species offshore Adélie Land (Chiba et al., 2000), reaching its peak abundance in the
- 567 summer (Crosta et al., 2007). This suggests that the  $C_{24}$  FA is being produced during the summer months and, as 568 such is reflecting productivity in more open waters. The  $\delta^{13}C_{24FA}$  record does not show any similarity to the sea-
- such, is reflecting productivity in more open waters. The  $\delta^{13}C_{24FA}$  record does not show any similarity to the seaice records, as inferred by HBI diene concentrations and abundances of *Fragilariopsis curta* (Fig. 6 and 7), here
- again suggesting that these compounds are being produced in open water during the summer months after sea
- 571 ice has retreated.

572 As discussed above, *P. antarctica* is a likely producer for the C<sub>18</sub> FA, a prymnesiophyte algae which has been

- 573 observed in the Adélie region in summer months residing predominantly along the margin of fast ice, but also
- 574 further offshore (Riaux-Gobin et al., 2013, 2011; Vaillancourt et al., 2003). The aversion of *F. kerguelensis* to
- sea ice (and thus also the C<sub>24</sub> FA producer) in contrast to *P. antarctica*, may explain the clear lack of coherence
- in the down-core trends in  $\delta^{13}C_{18FA}$  and  $\delta^{13}C_{24FA}$  (Fig. 7). Thus, we hypothesise that  $\delta^{13}C_{18FA}$  is recording surface

577 water CO<sub>2</sub> driven by productivity in the MIZ, whilst  $\delta^{13}C_{24FA}$  is recording surface water CO<sub>2</sub> in more open 578 water, further from the sea-ice edge.

- HBI diene concentrations indicate elevated fast ice cover between ~1919 and 1970 C.E., with a particular peak
  between 1942 and 1970 C.E., after which concentrations rapidly decline and remain low until the top of the core
- 581 (Fig. 7). Abundances of *F. curta*, used as a sea-ice proxy, similarly show peaks at this time indicate increased

- 582 sea-ice concentration (Campagne, 2015) (Fig. 7).  $\delta^{13}C_{18FA}$  indicates a period of low productivity between ~1922 583 and 1977 C.E., broadly overlapping with this period of elevated fast ice concentration (Fig. 7), with a mean 584 value of -27.12‰. This is compared to the mean value of -26.23‰ in the subsequent period (~1978 to 1998 585 C.E.) during which HBI diene concentration remain low (Fig. 7). This suggests that productivity in the coastal region was reduced, while sea-ice concentrations were high. This might be expected during a period of 586 587 enhanced ice cover - perhaps representing a reduction in the amount of open water, or a shorter open water 588 season - since the majority of productivity generally takes place within open water (Wilson et al., 1986). 589 Furthermore,  $\delta^{13}C_{18FA}$  shows a broad similarity with *Chaetoceros* resting spores (CRS) on a centennial scale, with lower productivity at the start of the record, ca. 1587 to 1662 C.E., followed by an increase in both proxies 590 591 in the middle part of the record, where  $\delta^{13}C_{18FA}$  becomes relatively stable and CRS reaches its highest 592 abundances of the record. This is then followed in the latter part of the record, after ca. 1900 C.E., by both 593 proxies displaying lower values overall. CRS are associated with high nutrient levels and surface water 594 stratification along the edge of receding sea ice, often following high productivity events (Crosta et al., 2008). 595 The broad similarity to CRS, with lower values recorded during periods of high sea-ice concentrations, suggests
- 596 that  $\delta^{13}C_{18FA}$  is similarly responding to productivity in stratified water at the ice edge. This supports the
- 597 hypothesis that  $\delta^{13}C_{18FA}$  is recording primary productivity in the MIZ. Little similarity is evident between the
- fatty acid isotope records and *F. cylindrus* and *F. rhombica*.

# 599 5 Conclusions

- 600 FAs identified within core DTGC2011, recovered from offshore Adélie Land, were analysed for their 601 concentrations and carbon isotope compositions to assess their utility as a palaeoproductivity proxy in an 602 Antarctic polynya environment. The C18 and C24 compounds yielded the best isotope measurements and show 603 very different δ13C trends, suggesting they are being produced by different species in different habitats and/or 604 seasons. Although we have made parsimonious interpretations, there are clearly uncertainties in interpreting the 605  $FA \delta^{13}C$ , and, as such various assumptions have been made. The primary producers of the C<sub>18</sub> and especially the 606 C24 FAs are a key source of uncertainty. Because these are general biomarkers, produced by many organisms, it 607 is impossible to constrain entirely to one producer class. But with further work in the region, it could be possible 608 further elucidate the most likely contributors. The possibility of inputs of FAs from multiple sources, in particular from organisms further up the food chain, has consequences for their interpretation since this could 609 610 mean the  $\delta^{13}$ C FA is not fully reflecting just surface water conditions. Other key uncertainties are the magnitude 611 of upwelling of CO2 at the site in comparison to drawdown by phytoplankton, and the potential role of changes
- $612 \quad \underline{\text{in air-sea CO}_2 \text{ exchange.}}$
- 613
- 615 in parallel with other environmental proxies such as diatoms abundances or HBIs. Comparison with other proxy
- data and information from previous studies suggests that the C18 compound may be predominantly produced by
- 617 *P. antarctica*, with  $\delta^{13}C_{18FA}$  reflecting productivity changes in the marginal ice zone, where it is sensitive to
- 618 changes in ice cover. In contrast,  $\delta^{13}C_{24FA}$ , which compares well with abundances of the open water diatom F

Moved down [4]: Although we have made parsimonious interpretations, there are clearly uncertainties in interpreting the FA  $\delta^{13}C,$  and, as such various assumptions have been made. The primary producers of the C18 and especially the C24 FAs are a key source of uncertainty. Because these are general biomarkers, produced by many organisms, it is impossible to constrain entirely to one producer class. But with further work in the region, it could be possible further elucidate the most likely contributors. The possibility of inputs of FAs from multiple sources, in particular from organisms further up the food chain, has consequences for their interpretation since this could mean the  $\delta^{13}$ C FA is not fully reflecting just surface water conditions. Other key uncertainties are the magnitude of upwelling of CO2 at the site in comparison to drawdown by phytoplankton, and the potential role of changes in air-sea CO2 exchange.

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635 kerguelensis, may be reflecting summer productivity further offshore, in open waters where it is less sensitive to

fast ice changes. The use of  $\delta^{13}$ C analysis of multiple FA compounds, as opposed to individual compounds or

- 637 bulk isotope analysis, allows a more detailed insight into the palaeoproductivity dynamics of the region, with the
- 638 potential to separate productivity trends within different habitats.
- 639

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Figure 1: Location of Site DTGC2011 on bathymetric map of the Adélie Land region (modified from Beaman et al., 2011), indicating positions of the main glaciers (prior to Mertz Glacier Tongue collapse in 2010) and pathways of the main water masses affecting the region: Antarctic Slope Current (ASC), Modified Circumpolar Deep Water (mCDW) and High Shelf Salinity Water (HSSW) (Williams and Bindoff, 2003).



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874				C16	C17	C18	C20	C22	C24	C <sub>26</sub>	
875			C16		0.97	0.98	0.97	0.72	0.53	0.58	
876	0.9	-0.99	C17	0.97		0.96	0.96	0.70	0.52	0.56	
070	0.8	-0.89	C18	0.98	0.96		0.99	0.69	0.50	0.55	
877	0.7	-0.79	C20	0.97	0.96	0.99		0.77	0.59	0.64	
878	0.6	-0.69	C22	0.72	0.70	0.69	0.77		0.88	0.95	
	0.5	-0.59	C24	0.53	0.52	0.50	0.59	0.88		0.90	
879			C26	0.58	0.56	0.55	0.64	0.95	0.90		
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880											
880 881											
880 881	Figure 3: R <sup>2</sup>	values fo	r fatty acid	concen	tration	s throug	ghout co	ore DTG	C2011.	Values a	re colour
880 881 882	Figure 3: R <sup>2</sup> coded accord	values fo	r fatty acid e key on th	concen e left. B	tration lack bo	s throug order de	ghout co notes co	ore DTG orrelatio	C2011. ns with	Values a in each gi	re colour roup.
880 881 882 883	Figure 3: R <sup>2</sup> coded accord	values fo	r fatty acid e key on th	concen e left. B	tration lack bo	s throug order de	ghout co notes co	ore DTG orrelatio	C2011. ns withi	Values a in each gi	re colour roup.
880 881 882 883 884	Figure 3: R <sup>2</sup> coded accord	values fo ling to th	r fatty acid e key on th	concen e left. B	tration lack bo	s throug order de	ghout co notes co	ore DTG orrelatio	C2011. ns with	Values a in each gi	re colour roup.
880 881 882 883 884	Figure 3: R <sup>2 ,</sup> coded accord	values fo ling to th	r fatty acid e key on th	concen e left. B	tration lack bo C16	s throug order des C17	ghout co notes co C18	ore DTG orrelatio C20	C2011. ns withi C22	Values a in each g C24	re colour roup. C26
880 881 882 883 884 885	Figure 3: R <sup>2</sup> , coded accord	values fo ling to the ).8-0.99 ) 6-0.79	r fatty acid e key on th	concen e left. B C <sub>16</sub>	tration lack bo C16	s throug order des C17 0.74	ghout co notes co C18 0.87	ore DTG orrelatio C20 0.80	C2011. ns with C22 0.24	Values a in each gr C24 0.09	re colour roup. C26 0.21
880 881 882 883 884 885 886	Figure 3: R <sup>2</sup> coded accord	values fo ling to the ).8-0.99 ).6-0.79 ) 4-0.59	r fatty acid e key on th	C16 C17	tration lack bo C16	s throug order des C17 0.74	ghout co notes co C18 0.87 0.73	ore DTG orrelatio C20 0.80 0.72	C2011. ns withi C22 0.24 0.28	Values a in each gr C24 0.09 0.08	re colour roup. C26 0.21 0.19
880 881 882 883 884 885 886 886	Figure 3: R <sup>2</sup> + coded accord	values fo ling to the ).8-0.99 ).6-0.79 ).4-0.59 ).2-0.39	r fatty acid e key on th	C <sub>16</sub> C <sub>17</sub> C <sub>18</sub>	tration lack bo C16 0.74	s throug rder der C <sub>17</sub> 0.74	ghout co notes co C <sub>18</sub> 0.87 0.73	ore DTG orrelatio C20 0.80 0.72 0.93	C2211. ns withi C22 0.24 0.24 0.21	Values a in each gr C <sub>24</sub> 0.09 0.08 0.07	re colour roup. C26 0.21 0.19 0.20
880 881 882 883 884 885 885 886 887	Figure 3: R <sup>2</sup> ( coded accord	values fo ling to the 0.8-0.99 0.6-0.79 0.4-0.59 0.2-0.39 0.0-0.19	r fatty acid e key on th	C16 C17 C18 C20	tration lack bo C16 0.74 0.87 0.80	s throug order der C17 0.74 0.73 0.72	ghout co notes co C18 0.87 0.73 0.93	ore DTG orrelatio C20 0.80 0.72 0.93	C22 0.24 0.21 0.39	Values a in each gr C <sub>24</sub> 0.09 0.08 0.07 0.15	re colour roup. C26 0.21 0.19 0.20 0.31
880 881 882 883 884 885 886 886 887 888	Figure 3: R <sup>2</sup> , coded accord	values fo ling to the 0.8-0.99 0.6-0.79 0.4-0.59 0.2-0.39 0.0-0.19	r fatty acid e key on th	C16 C16 C17 C18 C20 C22	tration lack bo C16 0.74 0.87 0.80 0.24	s throug rder der 0.74 0.73 0.72 0.28	C18 0.87 0.73 0.93 0.21	C20 0.80 0.72 0.93 0.39	C22 0.24 0.28 0.21 0.39	Values a in each gr C24 0.09 0.08 0.07 0.15 0.46	re colour roup. C26 0.21 0.19 0.20 0.31 0.68
880 881 882 883 884 885 886 886 887 888 889	Figure 3: R <sup>2</sup> coded accord	values fo ling to the 0.8-0.99 0.6-0.79 0.4-0.59 0.2-0.39 0.0-0.19	r fatty acid e key on th	C16 C16 C17 C18 C20 C22 C24	tration lack bo C16 0.74 0.87 0.80 0.24 0.09	s throug order de 0.74 0.73 0.72 0.28 0.08	C18 0.87 0.73 0.21 0.07	C20 0.80 0.72 0.93 0.39 0.15	C22 0.24 0.28 0.21 0.39	Values a in each gr C <sub>24</sub> 0.09 0.08 0.07 0.15 0.46	re colour roup. C26 0.21 0.19 0.20 0.31 0.68 0.42
880 881 882 883 884 885 886 886 887 888 889	Figure 3: R <sup>2</sup> ( coded accord	values fo ling to the 0.8-0.99 0.6-0.79 0.4-0.59 0.2-0.39 0.0-0.19	r fatty acid e key on th	C16 C17 C18 C20 C22 C24 C26	<b>C</b> 16 <b>C</b> 16 0.74 0.87 0.80 0.24 0.09 0.21	s throug order der C17 0.74 0.73 0.72 0.28 0.08 0.19	C18 0.87 0.73 0.21 0.20	C20 0.80 0.72 0.93 0.15 0.31	C22 0.24 0.28 0.21 0.39 0.46 0.68	Values a in each gr C <sub>24</sub> 0.09 0.08 0.07 0.15 0.46 0.42	re colour roup. C26 0.21 0.19 0.20 0.31 0.68 0.42
880 881 882 883 884 885 886 887 888 889 889	Figure 3: R <sup>2</sup> ( coded accord	values fo ling to the ).8-0.99 ).6-0.79 ).4-0.59 ).2-0.39 ).0-0.19	r fatty acid e key on th	C16 C17 C18 C20 C22 C24 C26	tration lack bo C16 0.74 0.87 0.80 0.24 0.09 0.21	s throug order des 0.74 0.72 0.28 0.08 0.19	C18 0.87 0.73 0.93 0.21 0.07 0.20	C20 0.80 0.72 0.93 0.39 0.15 0.31	C22 0.24 0.28 0.21 0.39 0.46 0.68	Values a in each gr C <sub>24</sub> 0.09 0.08 0.07 0.15 0.46 0.42	re colour roup. C26 0.21 0.19 0.20 0.31 0.68 0.42
880 881 882 883 884 885 886 887 888 889 890 891	Figure 3: R <sup>2</sup> coded accord	values fo ling to the 0.8-0.99 0.6-0.79 0.4-0.59 0.2-0.39 0.0-0.19	r fatty acid e key on th	C 16 C 16 C 17 C 18 C 20 C 22 C 24 C 26	tration lack bo C16 0.74 0.87 0.80 0.24 0.09 0.21	s throug order de C <sub>17</sub> 0.74 0.73 0.72 0.28 0.08 0.19	C18 0.87 0.73 0.21 0.07 0.20	C20 0.80 0.72 0.93 0.39 0.15 0.31	C22 0.24 0.24 0.21 0.39 0.46 0.68	Values a in each gr C <sub>24</sub> 0.09 0.08 0.07 0.15 0.46	re colour roup. C26 0.21 0.19 0.20 0.31 0.68 0.42

Figure 4: R<sup>2</sup> values for fatty acid concentrations in core DTGC2011 below 25 cm only. Values are colour coded according to the key on the left. Black border denotes correlations within each group.



Figure 5: Concentrations of the  $C_{18}$  fatty acid (blue), the HBI triene (red), HBI diene (grey) (Campagne, 2015),  $C_{24}$  fatty acid (orange) from core DTGC2011. The left-hand panels show 1550 to 1950 C.E. and the right hand panels show 1950 to 2000 C.E., plotted on different y-axes due to the elevated concentrations in the top part of the core. Grey vertical bands highlight coincident peaks in  $C_{18}$  fatty acid and HBI triene records.



Figure 6:  $\delta^{13}$ C values of the C<sub>24</sub> fatty acid (orange) and relative abundances (%) of the open water diatom Fragilariopsis kerguelensis (green). Also shown are relative abundances of the four most abundant diatom groups in DTGC2011. Chaetoceros resting spores (CRS; grey line), Fragilariopsis curta group (dark blue line), Fragilariopsis cylindrus (purple line) and Fragilariopsis rhombica (light blue line). Thick line represents 3-point moving average for each. Grey vertical bands highlight periods where C<sub>24</sub> fatty acid  $\delta^{13}$ C is in phase with F. kerguelensis.



Figure 7:  $\delta^{13}$ C of the C<sub>24</sub> (orange) and C<sub>18</sub> (blue) fatty acid, HBI diene concentrations (green; plotted on a log scale) and relative abundances of *Fragilariopsis curta* plus *Fragilariopsis cylindrus* (purple). Latter two records reflect sea ice concentrations. Grey vertical band highlights period where low C<sub>18</sub>  $\delta^{13}$ C overlaps with elevated HBI diene concentrations.