# 1 Exploring the use of compound-specific carbon isotopes as a

# palaeoproductivity proxy off the coast of Adélie Land, East

## 3 Antarctica

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#### Abstract

- 19 The Antarctic coastal zone is an area of high primary productivity, particularly within coastal polynyas where
- 20 large phytoplankton blooms and drawdown of CO<sub>2</sub> occur. Reconstruction of historical primary productivity
- 21 changes, and the associated driving factors, could provide baseline insights on the role of these areas as sinks for
- 22 atmospheric CO<sub>2</sub>, especially in the context of projected changes in coastal Antarctic sea ice. Here we investigate
- 23 the potential for using carbon isotopes ( $\delta^{13}$ C) of fatty acids in marine sediments as a proxy for primary
- productivity. We use a highly resolved sediment core from off the coast of Adélie Land spanning the last ~400
- years and monitor changes in the concentrations and  $\delta^{13}$ C of fatty acids along with other proxy data from the
- same core. We discuss the different possible drivers of their variability and argue that  $C_{24}$  fatty acid  $\delta^{13}C$
- 27 predominantly reflects phytoplankton productivity in open water environments, while  $C_{18}$  fatty acid  $\delta^{13}C$
- 28 reflects productivity in the marginal ice zone. These new proxies have implications for better understanding
- 29 carbon cycle dynamics in the Antarctica coastal zone in future paleoclimate studies.

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#### 1 Introduction

- 32 Antarctic coastal zones are important players in the global carbon cycle. The deep ocean is ventilated in these
- 33 regions as part of the Southern Ocean overturning circulation, allowing waters rich in nutrients and CO<sub>2</sub> to be
- 34 upwelled to the surface. In the absence of biological activity, most of the CO<sub>2</sub> would be leaked to the
- 35 atmosphere. However, coastal polynyas within the Antarctic margin are areas of very high primary productivity
- during the spring and summer months (e.g. Arrigo et al., 2008) that rapidly reduces CO<sub>2</sub> to low levels through
- 37 photosynthesis (Arrigo and van Dijken, 2003; Arrigo et al., 2008), resulting in surface water CO<sub>2</sub>
- 38 undersaturation with respect to atmospheric CO<sub>2</sub> (Tortell et al., 2011). The subsequent export and burial of the
- 39 organic carbon produced during these intense phytoplankton blooms can significantly lower atmospheric CO<sub>2</sub>
- 40 concentrations (Sigman and Boyle, 2000). Therefore, any change in the consumption of these nutrients by

41 42	phytoplankton, or any change in phytoplankton community structure, may affect the air-sea CO <sub>2</sub> exchange in this region.
43	Records of past phytoplankton productivity offer an opportunity to document the drivers of primary productivity
43 44	at different timescales from pluri-decadal to millennial. In the Antarctic coastal zone past work has focused on
45	records of organic carbon, biogenic silica and diatom abundances (Leccaroni et al., 1998; Frignani et al., 1998;
45 46	Denis et al., 2009; Peck et al., 2015). These proxies however may provide a biased view of phytoplankton
47	productivity as they only record a signal of siliceous productivity and may suffer from alteration during settling
48	and burial (Beucher et al., 2004; Tréguer et al., 2017). As such, there is no robust understanding of how such
49	records respond to surface water CO <sub>2</sub> which is of major importance in the context of Antarctic coastal sea ice
50	changes.
51	Here we investigate the use of compound specific carbon isotope analysis ( $\delta^{13}$ C) of freely extractable (using a
52	standard solvent extraction protocol), saturated algal fatty acids (FAs) in marine sediments as a potential
53	integrative proxy for reconstructing primary productivity in a polynya environment. Fatty acids have the
54	potential to be a useful palaeoproductivity tool in this region due to their ubiquitous presence within marine
55	sediments, while other commonly used compounds, such as alkenones, are absent, Fatty acids are also able to
56	persist within the sediments for several thousand years, meaning they have the potential to be applied over long
57	time spans in contrast to more labile compounds such as highly branches isoprenoid alkenes (HBIs).
58	Furthermore, fatty acids are amenable to isotope analysis allowing them to yield more detailed information
59	about the environment.
60	Previous studies in the highly-productive regions of the Southern Ocean have highlighted the potential for using
61	compound-specific isotopes from algal biomarkers in sediments to track primary productivity changes both
62	spatially and temporally. Villinski et al. (2008) found that the spatial variation in pCO2 in the Ross Sea was
63	associated with a variation in the $\delta^{13} C$ of sedimentary organic carbon and sterol biomarkers, most likely due to a
64	change in isotopic fractionation associated with the photosynthetic drawdown of CO <sub>2</sub> . Their results demonstrate
65	that the spatial variation in surface water CO <sub>2</sub> is recorded in sedimentary organic matter and algal biomarkers.
66	We explore this further as well as looking into other potential drivers of compound-specific carbon isotopes.
67	We use samples from core DTGC2011, a 4.69 m sediment core recovered from offshore Adélie Land, East
68	Antarctica, spanning the last $\sim$ 400 years. The core chronology is based on radiocarbon dates and confirmed by
69	<sup>210</sup> Pb excess activity measurements, which indicate that DTGC2011 spans the 1580-2000 C.E. period with a
70	mean sedimentation rate of $\sim$ 1 cm yr <sup>-1</sup> (Supplementary Information S1). In order to understand the signal
71	recorded by the FAs, we estimate the most likely biological source of these compounds and the habitat and
72	season of production. Moreover, we compare downcore changes in FA concentrations and $\delta^{13}\mathrm{C}$ with other
73	proxy data from the same core.
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## **Environmental setting**

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The Adélie drift is located in the Dumont D'Urville Trough in the Adélie Basin, ca. 35 km offshore from Adélie
 Land (Fig. 1). This is a 1000 m deep, glacially scoured depression on the East Antarctic continental shelf,

78 bounded to the east by the Adélie Bank. Sea ice plays a key role on the dynamics of the region, with both fast 79 ice and pack ice present off the coast of Adélie Land. A large bank of fast ice forms annually between 135 and 80 142°E, and extends up to 120 km away from the coast (Massom et al., 2009). On the north edge of this fast ice 81 buttress is an inlet of open water forming a polynya, an area of open water surrounded by sea ice (Bindoff et al., 82 2000). 83 The Adélie Coast is characterized by extremely high primary productivity, with phytoplankton assemblages 84 dominated by diatoms (Beans et al., 2008). The site itself is located close to the Dumont D'Urville polynya 85 (DDUP), with an annual net primary productivity (NPP) of 30.3 g C m<sup>-2</sup> a<sup>-1</sup>, but is also directly downwind and 86 downcurrent of the much larger and highly productive Mertz Glacier polynya (MGP) to the east, with an annual 87 NPP of 39.9 g C m<sup>-2</sup> a<sup>-1</sup> (Arrigo et al., 2015). Various factors are known to drive productivity trends in the 88 Southern Ocean, including open water area, glacial melt and mixed layer depth (Arrigo et al., 2015). In the 89 MGP, Arrigo (2007) found light and nutrient availability to be the most important factors, which will in turn be 90 modulated by changes in mixed layer depth, ice cover and glacial ice melt. Physiological differences in 91 Phaeocystis antarctica compared to diatoms mean it can thrive in lower nutrient conditions and lower CO2 92 levels. 93 The region is affected by various water masses. High Salinity Shelf Water (HSSW) is formed on the shelf in 94 coastal polynyas as a result of sea ice production and the associated brine rejection. HSSW flows out of the shelf 95 through the Adélie sill at 143°E (Fig. 1). Modified Circumpolar Deep Water (mCDW) is a warm, macronutrient-96 rich and salty water mass which upwells onto the continental shelf through channels in the shelf break. mCDW 97 has been observed to upwell across the shelf break near the Mertz Glacier at 144°E (Williams et al., 2008) (Fig. 98 1). The Antarctic Coastal Current, also known as the East Wind Drift, flows westward often adjacent to ice 99 shelves (Thompson et al., 2018). The Antarctic Surface Water (AASW) is a widespread water mass which 100 extends across the continental shelf and has a surface mixed layer varying from a shallow (ca. 10 m), warmer 101 and fresher layer in summer to a deeper (ca. 100 m), colder layer in winter. This is also transported westward 102 along with the Antarctic Coastal Current (Martin et al., 2017). Surface waters along the Adélie coast have 103 relatively high concentrations of nitrate, silica and phosphorus, with spatially variable levels of Fe which may be 104 due to re-suspension of sediments and calving of ice (Vaillancourt et al., 2003; Sambrotto et al., 2003). 105 2 Materials and Methods

106 Fatty acids

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One hundred and thirty-five sediment samples were taken for organic geochemical analyses, sampled at 1 cm

intervals in the top 50 cm, 2 cm intervals between 50 and 100 cm, and 5 cm intervals until 458 cm. Lipid

extractions were completed at the University of Birmingham using dichloromethane/methanol (3:1 v/v) and

ultrasonication. The acid and neutral fractions were separated using an aminopropyl-silica gel column and the

111 FAs eluted using diethyl ether with 4% acetic acid. The acid fraction was derivatized using boron trifluoride (14

112 % in methanol (v/v)) and subsequently cleaned up using a silicagel column and the fatty acid methyl esters

113 (FAMEs) eluted with dichloromethane.

114 FAs were identified using an Agilent 7890B gas chromatograph (GC) coupled to an Agilent 5977A mass

selective detector, with a BP5-MS (SGE) column (60m, 320µm internal diameter, 0.25µm film thickness).

Helium was used as the carrier gas set at a constant flow rate of 2 ml/min. The MSD was run in scan mode with a scan width of 50 to 800 mass units. Concentrations were quantified using an Agilent 7890B GC-flame ionization detector, using Hydrogen as the carrier gas with a constant flow rate of 2 ml min-1. An Rtx<sup>TM</sup>-200 column (105 m, 250μm internal diameter, 0.25μm film thickness) which has a poly(trifluoropropylmethylsiloxane) stationary phase was used for FA analyses to enable the best separation possible. The oven programme was: 70°C, held for 1 min, increased to 150°C at a rate of 30°C/min, increased to 320°C at a rate of 3°C/min, then held for 10 minutes. FA concentrations were quantified by addition of a C19 alkane as an internal standard, prepared in-house to the concentration of 10 ng/μl. The peak areas of FAs and the internal standard were used to calculate the concentration of each compound.

The  $\delta$ 13C composition of fatty acids are described in delta notation:

 $\delta 13C$  (‰) = ((12C/13C)sample / (12C/13C)standard -1) x 1000

whereby the standard is Vienna Pee Dee Belemnite. Carbon isotopes were measured using an Agilent 7890A GC coupled to an Isoprime GC5 furnace and an Isoprime 100 isotope ratio mass spectrometer (IRMS). The Isoprime GC5 furnace contained a CuO furnace tube kept at 850°C. Helium was used as the carrier gas set at a constant flow of 1.7 ml/min and CO2 was used as the reference gas. The GC had a VF-200ms column (60 m, 250 $\mu$ m internal diameter, 0.25 $\mu$ m film thickness) which also has a poly(trifluoropropylmethylsiloxane) stationary phase. The oven programme was: 70°C, held for 1 min, increased to 150°C at a rate of 30°C/min, increased to 320°C at a rate of 3°C/min, then held for 5 minutes. Most samples were run using an Agilent 7693 autosampler from dilutions of 10 – 100  $\mu$ l. Where concentrations were very low, samples were dissolved in <10  $\mu$ l and were manually injected. Most samples were run in duplicate except for a few cases where the sample concentration was so low that the entire sample had to be injected in one run.

Machine performance was routinely checked using a FA ester mix (F8; Indiana University) containing eight FA compounds. This was run before the start of analysis and after every five duplicate samples.. Errors are based on the difference between duplicate measures and are all within 0.26‰.

To correct for the additional carbon added during MeOH derivatization, three FA standards were analysed for their bulk carbon isotope value using an Elementar Pyrocube at the University of Birmingham. Samples were combusted at 920°C before being passed through a reduction column and the isotopic composition of sample gases was determined on an Isoprime continuous flow mass-spectrometer. These samples were then derivatized and then analysed on the GC-IRMS for the  $\delta^{13}$ C value of the FAME. The  $\delta^{13}$ C of the FA ( $\delta^{13}$ CFA) and FAME ( $\delta^{13}$ CFAME) were used to calculate the  $\delta^{13}$ C of the MeOH ( $\delta^{13}$ CMeOH) as follows:

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$$\delta^{13}CMeOH = (nFAME * \delta^{13}CFAME) - (nFA * \delta^{13}CFA)$$

whereby nFAME is the number of carbons in the FAME and nFA is the number of carbons in the FA.
 δ13CMeOH was calculated to be ca. -40.8‰ and the δ¹³CFAME values were corrected using:

$$\delta^{13}$$
CFA = ((nFAME \*  $\delta^{13}$ CFAME) + 40.8) / nFA

*HBIs* 

151	Two hundred and thirty-four samples were taken every 2 cm over the whole core for highly branched
152	isoprenoids (HBI) alkenes analysis. HBIs were extracted at Laboratoire d'Océanographie et du Climat:
153	Experimentations et Approches Numériques (LOCEAN), separately from the fatty acids, using a mixture of
154	$9mL\ CH_2Cl_2/MeOH\ (2:1,v:v).\ 7\ hexyl\ nonadecane\ (m/z\ 266)\ was\ added\ as\ an\ internal\ standard\ during\ the\ first properties of the control of $
155	extraction steps, following the Belt et al (2007) and Massé et al. (2011) protocols. Several sonication and
156	centrifugation steps were applied in order to fully extract the selected compounds (Etourneau et al., 2013). After
157	drying with $N_2$ at 35°C, the total lipid extract was fractionated over a silica column into an apolar and a polar
158	fraction using 3 mL hexane and 6 mL CH <sub>2</sub> Cl <sub>2</sub> /MeOH (1:1, v:v), respectively. HBIs were obtained from the
159	apolar fraction following the procedures reported by Belt et al. (2007) and Massé et al.(2011). After removing
160	the solvent with $N_2$ at 35°C, elemental sulfur was removed using the TBA (Tetrabutylammonium) sulfite
161	method (Jensen et al., 1977; Riis and Babel, 1999). The obtained hydrocarbon fraction was analyzed within an
162	Agilent 7890A gas chomatograph (GC) fitted with 30 m fused silica Agilent J&C GC column (0.25 mm i.d.,
163	$0.25~\mu m$ film thickness), coupled to an Agilent 5975C Series mass selective detector (MSD). Spectra were
164	collected using the Agilent MS-Chemstation software. Individual HBIs were identified on the basis of
165	comparison between their GC retention times and mass spectra with those of previously authenticated HBIs
166	(Johns et al., 1999) using the Mass Hunter software. Values are expressed as concentration relative to the
167	internal standard.

168 Diatoms

One hundred and eighteen samples were taken every 4 cm over the whole core for diatom analyses. Sediment processing and slide preparation followed the method described in Crosta et al. (2020). Diatom counting followed the rules described in Crosta and Koç (2007). Around 350 diatom valves were counted in each sample at a 1000X magnification on a Nikon Eclipse 80i phase contrast microscope. Diatoms were identified to species or species group level. Absolute abundances of diatoms were calculated following the equation detailed in Crosta et al. (2008). The relative abundance of each species was determined as the fraction of diatom species against total diatom abundance in the sample.

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## 3 Fatty acids within DTGC2011

- Analysis by GC-MS identified seven dominant saturated FAs within the DTGC2011 samples (Fig. S2). These
- have carbon chain lengths of C<sub>16</sub> to C<sub>26</sub> and only the saturated forms (i.e. no double bonds) were identified.
- 180 These are predominantly even chain length FAs, with only minor amounts of the C<sub>17</sub> compound measured
- 181 (Gilchrist, 2018).

## 3.1 Fatty acid concentrations

- 183 The C<sub>19</sub> alkane was used as an internal standard to aid quantification of fatty acid concentrations. However, it
- should be noted that since this standard was added to samples post-extraction, our concentration estimates are
- semi-quantitative but can be used to compare concentration changes in different FA compounds.
- Down core analysis of FA concentrations reveals clear groupings in concentration changes. In the upper part of
- the core (ca. 3-90 cm depth), spanning the last  $\sim$ 78 years, all FA compounds show a similar pattern, with

elevated concentrations, broadly decreasing down-core (Fig. 2). Below this, however, two groups clearly diverge. These can be broadly divided into short-chained fatty acids (C16 to C20; SCFAs) and long-chained fatty acids (C22 to C26; LCFAs). Within these groups, the concentrations of different compounds show similar trends, but the two groups (SCFAs vs LCFAs) show different trends to each other (Gilchrist, 2018). This is confirmed by R<sup>2</sup> values calculated for the linear regression of concentrations of each FA against each other throughout the core (Fig. 3; n = 135, p < 0.001). Correlations between the SCFAs have R<sup>2</sup> values between 0.97 and 0.99, while R<sup>2</sup> values of LCFAs range between 0.88 and 0.95. Between the two groups, however, R<sup>2</sup> values are all lower, ranging between 0.50 and 0.77. These distinct groupings suggest that compounds within each group (SCFAs and LCFAs) likely have a common precursor organism or group of organisms, but the two groups themselves have different producers from each other. These producers may in turn thrive during different seasons or within different habitats and thus, the isotopic composition of compounds from these different groups may record different environmental signals. R<sup>2</sup> values were also calculated for samples below 25 cm only (ca. 1587 – 1978 C.E.), to remove correlations associated with preservation changes in the top part of the core (discussed below). Although the R<sup>2</sup> values are not quite as high, they broadly confirm these groupings, with the R<sup>2</sup> values generally being greater within the two groups (n = 73).  $\mathbb{R}^2$  values range from 0.93 for the  $\mathbb{C}_{18}$  with  $\mathbb{C}_{20}$ , down to 0.07 for the  $\mathbb{C}_{18}$  and  $\mathbb{C}_{24}$  (Fig. 4). The C<sub>18</sub> and C<sub>24</sub> FAs are the most abundant compounds within the SCFA and LCFA groups, respectively, and also the least correlated with each other both in the whole core ( $R^2 = 0.5$ ) and below 25 cm ( $R^2 = 0.07$ ), which suggests they are the most likely to be produced by different organisms. Furthermore, these two compounds yielded the highest quality isotope measurements, due to their greater concentrations, clean baseline and minimal coeluting peaks (Fig. S2). Thus, these two compounds (C18 and C24) will be the focus of analysis and

discussion.

### 3.2 Potential sources of the C<sub>18</sub> fatty acid

Potential sources for the  $C_{18}$  FA in core U1357 (recovered from the same site as DTGC2011) are discussed in Ashley et al. (2021) who suggest the prymnesiophyte *Phaeocystis antarctica* to be the most likely main producer. This is based on a) previous studies of FAs produced by microalgae (Dalsgaard et al., 2003), b) the high observed abundance of *P. antarctica* within modern Adélie surface waters (Riaux-Gobin et al., 2011; Sambrotto et al; 2003) and c) comparison between the measured  $\delta^{13}$ C values and those reported in the literature for *P. antarctica* (Kopczynska et al., 1995; Wong and Sackett, 1978). Unfortunately, the absence of *P. antarctica* in sediments, as it does not biomineralize any test, precludes the direct comparison of down core trends of this species with FAs. *Phaeocystis antarctica* has been found to live within and underneath sea ice before its break up, as well as in open ocean waters (Riaux-Gobin et al., 2013; Poulton et al., 2007), due to its ability to use a wide range of light intensities for energy production (Moisan and Mitchell, 1999).

Furthermore, Skerratt et al. (1998) compared the FAs produced by *P. antarctica* and two Antarctic diatoms, in culture samples, and showed that *P. antarctica* produced a much higher percentage of both saturated FAs (C14-C20) and C18 FAs than the diatoms. This supports the hypothesis of *P. antarctica* being a dominant and

225 abundant source of the saturated C<sub>18</sub> FA in the Adélie basin though minor contributions of C<sub>18</sub> from other 226 phytoplankton species such as the diatoms and dinoflagellates or even bacteria cannot be excluded (Table S2). 227 3.3 Potential sources of the C24 fatty acid 228 Long-chain n-alkyl compounds, including FAs, are major components of vascular plant waxes and their 229 presence within sediments has commonly been used as a biomarker of terrestrial plants (Pancost and Boot, 230 2004). Although plants such as bryophytes (e.g. mosses) which are present in the Antarctic do also produce 231 LCFAs (Salminen et al., 2018), it is unlikely that FAs from terrestrial plants make a significant contribution to 232 the water column, due to their extremely limited extent on the continent, and the significant distance of the site 233 from other continental sources. 234 However, there is much evidence in the literature for various aquatic sources of LCFAs, a few of which are 235 summarized in Table S2. Although not all of these sources are likely to be present within the coastal waters 236 offshore Adélie Land, it highlights the wide range of organisms which can produce these compounds, and thus 237 suggests that an autochthonous marine source is likely, especially considering the highly productive nature of 238 this region. 239 3.4 Microbial degradation and diagenetic effects on fatty acid concentration 240 Both the C<sub>18</sub> and C<sub>24</sub> FAs show an overall decrease in concentrations down-core, with significantly higher 241 concentrations in the top 80 cm (representing ~70 years) compared to the rest of the core. Below this point, FAs 242 concentrations variations are attenuated (Fig. 2). 243 Many studies have shown that significant degradation of FAs occurs both within the water column and surface 244 sediments as a result of microbial activity, and that there is preferential break down of both short-chained and 245 unsaturated FA, compared to longer-chained and saturated FA (Haddad et al., 1992; Matsuda, 1978; Colombo et 246 al., 1997). Haddad et al. (1992) studied the fate of FAs within rapidly accumulating (10.3 cm yr<sup>-1</sup>) coastal 247 marine sediments (off the coast of North Carolina, USA) and showed that the vast majority (ca. 90%) of 248 saturated FAs were lost due to degradation within the top 100 cm (representing ~10 years). Similarly, Matsuda 249 and Koyama (1977) found FA concentrations decrease rapidly within the top 20 cm of sediment (accumulating 250 at 4 mm yr<sup>-1</sup>) from Lake Suwa, Japan. Assuming similar processes apply to the DTGC2011 sediments, this 251 suggests the declining concentrations within the upper part of the core are largely the result of diagenetic effects 252 such as microbial activity occurring within the surface sediments, and thus do not reflect a real change in 253 production of these compounds in the surface waters. 254 The complete lack of both unsaturated and short chained (fewer than 16 carbon atoms) FA compounds 255 identified within DTGC2011 samples, even within the top layers, suggests that selective breakdown of 256 compounds has already occurred within the water column and on the sea floor (before burial). Wakeham et al. 257 (1984) assessed the loss of FAs with distance during their transport through the water column at a site in the 258 equatorial Atlantic Ocean and estimated that only 0.4 to 2% of total FAs produced in the euphotic zone reached 259 a depth of 389 m, and even less reaching more than 1,000 m depth, the vast majority of material being recycled 260 in the upper water column. Their results also show a significant preference for degradation of both unsaturated 261 and short chained compounds over saturated and longer chain length compounds. Although no studies into the

fate of lipids within the water column exist for the Adélie region, the >1,000 m water depth at the core site

263 would provide significant opportunity for these compounds to be broken down during transportation through the 264 water column. It is likely, therefore, that the distribution of compounds preserved within the sediments will not 265 be a direct reflection of production in the surface waters, and explains the preference for saturated FAs with 266 carbon chain lengths of 16 and more. It is also possible that some additional production and contribution of FAs 267 by bacteria occurred during this process (Allen & Bartlett, 2002; Allen et al., 1999; Jónasdóttir, 2019). 268 Although FA concentrations in the top 80 cm of core DTGC2011 are much higher overall than the sediments 269 below and show a broad decline over this section, there is a high level of variability. Concentrations do not 270 decrease uniformly within the top part of the core, as may be expected if concentration change is a first order 271 response to declining microbial activity. The peak in total FAs instead occurs at a depth of 21-22 cm with a 272 concentration more than an order of magnitude higher than in the top layer. This variability creates difficulty in 273 directly determining the effects of diagenesis. However, by 25 cm (ca. 1978 C.E.) the concentrations drop to 274 below 1,000 ng g<sup>-1</sup> and remain so until 32 cm before increasing again. This may suggest that diagenetic effects 275 of FA concentrations are largely complete by 25 cm (representing ca. 25 years), consistent with results from 276 Haddad et al. (1992) and Matsuda and Koyama (1977), and that subsequent down-core concentration variations 277 predominantly represent real changes in export productivity, resulting from environmental factors. However, the 278 fluctuating nature of concentrations particularly in the youngest sediments means it is difficult to clearly unpick 279 the effects of diagenesis from actual changes in production of these compounds, and a clear cut-off point for 280 diagenetic effects cannot be determined. 281 3.5 Comparison of fatty acid concentrations with highly branched isoprenoid alkenes 282 We compare FA concentrations with other organic compounds (whose source is better constrained) in 283 DTGC2011 to better understand FA sources. Direct comparison between different organic compound classes 284 can be made since both are susceptible to similar processes of diagenesis, in contrast to other proxies such as 285 diatoms. In core DTGC2011, concentrations of di- and tri-unsaturated highly branched isoprenoid (HBI) alkenes 286 (referred to as HBI diene and HBI triene, respectively hereafter) were available. 287 In Antarctic marine sediments HBIs have been used as a tool for reconstructing sea ice (Belt et al., 2016, 2017). 288 Smik et al. (2016) compared the concentrations of HBIs in sediment samples offshore East Antarctica from the 289 permanently open-ocean zone (POOZ), the marginal ice zone (MIZ) and the summer sea-ice zone (SIZ). They 290 found the HBI diene reached the highest concentrations in the SIZ and was absent from the POOZ. In contrast, 291 the HBI triene was most abundant in the MIZ, i.e. at the retreating sea ice edge, with much lower concentrations in the SIZ and POOZ. This suggests that the two compounds are produced in contrasting environments but 292 293 remain sensitive to changes in sea ice. 294 The HBI diene biomarker (or IPSO<sub>25</sub> for Ice Proxy Southern Ocean with 25 Carbons) is mainly biosynthesised 295 by Berkeleya adeliensis (Belt et al., 2016), a diatom which resides and blooms within the sea ice matrix, and 296 thus can be used as a proxy for fast ice attached to the coast. In contrast, the presence of the HBI triene mostly in 297 the MIZ is suggestive of a predominantly pelagic phytoplankton source (e.g. Rhizosolenia spp, Massé et al., 298 2011; Smik et al., 2016; Belt et al., 2017), rather than sea-ice dwelling diatoms (Smik et al., 2016). The fact that 299 HBI triene reached its greatest abundance within the MIZ suggests its precursor organism may thrive in the 300 stratified, nutrient-rich surface waters of the sea-ice edge.

301 One key similarity between both the HBI diene and triene, and the FA concentrations is that the highest 302 concentrations are found in the youngest sediments. These compounds all show broad increases in concentration 303 from 110 cm depth (ca. 1900 C.E) until the top of the core (Fig. 2 and 5). Concentrations of HBIs are also 304 susceptible to degradation through the water column through visible light induced photo-degradation (Belt and 305 Müller, 2013) and diagenetic effects within these diments including sulphurisation (Sinninghe Damsté et al., 306 2007), isomerisation and cyclisation (Belt et al., 2000). Thus, it is likely that the elevated concentrations, and 307 thus the similarity between FA and HBI concentrations, is due to the material being fresher and thus less 308 affected by diagenesis, with diagenetic effects having an increasing and progressive impact down to ca. 25cm 309 denth. 310 However, despite an overall increase in HBI and FA concentrations above 110 cm depth, there are clear 311 deviations from this trend. Concentrations of the HBI triene show some broad similarities with FA 312 concentrations. In particular, both the HBI triene and the C<sub>18</sub> FA have coeval concentration peaks around 1980-313 88, 1967, 1938, 1961-72, 1848 and 1752 C.E. (Fig. 5). These peaks are offset from the HBI diene 314 concentrations, suggesting that they result from increased production in the surface waters rather than simply 315 changes in preservation. The HBI triene is more susceptible to degradation than the diene (Cabedo Sanz et al., 316 2016), so while this could explain some of the differences between the diene and triene records, where the triene 317 increases independently of the diene, this is likely to be a genuine reflection of increased production of these 318 compounds at the surface rather than an artefact of preservation processes. 319 This close similarity between the C<sub>18</sub> FA and HBI triene concentrations (Fig. 5) suggests that the C<sub>18</sub> may also 320 be produced by an organism associated with the retreating ice edge. Phaeocystis antarctica has been proposed as a potential producer of the C<sub>18</sub> in core U1357B (Ashley et al., 2021). In the Ross Sea, P. antarctica has been 321 322 observed to dominate the phytoplankton bloom during the spring, blooming in deep mixed layers as the sea ice begins to melt, after which diatoms tend to dominate during the summer (Arrigo et al., 1999; Tortell et al., 2011; 323 324 DiTullio et al., 2000). However, a few studies in the Adélie region suggest this is not the case there. Offshore 325 Adélie Land, P. antarctica has been found to only appear late in the spring/early summer, later than many 326 diatom species. During this time, it occurs preferentially within the platelet ice and under-ice water (Riaux-327 Gobin et al., 2013). Furthermore, Sambrotto et al. (2003) observed a surface bloom of P. antarctica near the 328 Mertz Glacier (Fig. 1) during the summer months, in very stable waters along the margin of fast ice and Riaux-329 Gobin et al. (2011) found *P. antarctica* to be abundant in the coastal surface waters eight days after ice break up. 330 This indicates an ecological niche relationship with cold waters and ice melting conditions. This might explain 331 the close similarity between the C<sub>18</sub> and HBI triene concentrations, both produced by organisms occupying a 332 similar habitat at the ice edge. The C<sub>24</sub> FA record also shows some similarity with the HBI triene record. This appears to be mostly in the top 333 334 part of the core where the highest concentrations are found. The reason for this resemblance is unclear, 335 especially considering the lack of correlation between the C24 and C18 FA concentrations. However, it may relate 336 to the progressive effect of diagenesis through the core. There is less similarity between the C24 and both the 337 HBI triene also HBI diene, (compared to the coherence between C18 FA and HBI triene), which suggests that 338 the C24 FA is predominantly produced by an organism which is not associated with sea ice, and thus instead 339 with more open waters. Seventy-three diatom species were encountered in core DTGC2011 (Campagne, 2015),

340 with Fragilariopsis curta and Chaetoceros resting spores being the most abundant. However, trends in diatom 341 abundances do not show any clear correlations with the C18 or C24 FA concentrations. While this would lend 342 support to the hypothesis that diatoms are not the main producers of these compounds, the differing effects of 343 diagenesis on the preservation of diatoms and lipids could also explain some of the differences in observed 344 concentrations, particularly in the upper part of the core. The known producer of the HBI diene, Berkeleya 345 adeliensis, for example, was not recorded within the core, likely due to their lightly silicified frustules which are more susceptible to dissolution (Belt et al., 2016). Therefore, despite the lack of a correlation between diatom 346 347 abundances and FA concentrations, we cannot entirely rule out the possibility of a minor contribution of FAs by 348 diatoms. 349 4 Carbon isotopes of fatty acids Down-core changes in  $\delta^{13}$ C for the C<sub>18</sub> and C<sub>24</sub> FAs ( $\delta^{13}$ C<sub>18FA</sub> and  $\delta^{13}$ C<sub>24FA</sub>, respectively) (Fig. 7) clearly show 350 different trends, with very little similarity between them ( $R^2 = 0.016$ ). This further supports the idea that these 351 352 compounds are being produced by different organisms, and thus are recording different information. 353 The mean carbon isotope value of  $\delta^{13}C_{18FA}$  of -29.8 % in core U1357 from the same site (Ashley et al., 2021) is 354 suggestive of a pelagic phytoplankton source (Budge et al., 2008). In core DTGC2011 the mean values of  $\delta^{13}C_{18FA}$  and  $\delta^{13}C_{24FA}$  are -26.2 % and -27.6 %, respectively. Though more positive, these values are still within 355 the range of a phytoplankton source. Additionally, we tentatively suggest that the 0.5% more positive  $\delta^{13}C_{18FA}$ 356 357 mean value over the  $\delta^{13}C_{24FA}$  may indicate the contribution of sea-ice dwelling algae producers, since carbon 358 fixation occurring within the semi-closed system of the sea ice will lead to a higher degree of CO<sub>2</sub> utilisation than in surrounded open waters (Henley et al., 2012). Although no studies on FA  $\delta^{13}$ C of different organisms are 359 360 available for the Southern Ocean, Budge et al. (2008) measured the mean  $\delta^{13}$ C value of  $C_{16}$  FA from Arctic sea-361 ice algae (-24.0 %) to be 6.7 % higher than pelagic phytoplankton (-30.7 %) from the same region. 362 The higher  $\delta^{13}$ C of the  $C_{18}$  FA could therefore be indicative of *P. antarctica* living partly within the sea ice, e.g. 363 during early spring before ice break up. The more negative  $\delta^{13}C_{24FA}$  suggests it is more likely to be produced by 364 phytoplankton predominantly within open water. 365 4.1 Controls on  $\delta^{13}C_{FA}$ 366 The  $\delta^{13}C_{18FA}$  record shows a broadly increasing trend towards more positive values from ca. 1587 until ca. 1920 367 C.E., with short term fluctuations of up to ~4 \ superimposed on this long-term trend (Fig. 7). This is followed 368 by a period of higher variability with a full range of 5.6 \(\infty\) until the most recent material (ca. 1999 C.E.), with more negative  $\delta^{13}$ C values between 1921 and 1977 C.E. and a rapid shift toward more positive values thereafter. 369 370 In contrast, the  $\delta^{13}C_{24FA}$  record overall shows a weak, negative trend, with large decadal fluctuations of up to 4.6 371 ‰, with a more pronounced negative trend after ca. 1880 C.E. (Fig. 7). 372 Below we consider the various factors which may control the carbon isotope value of algal biomarkers produced in the surface waters. Down-core changes in FA  $\delta^{13}$ C are likely to be a function of either the  $\delta^{13}$ C of the 373

changing photosynthetic fractionation ( $\varepsilon_p$ ). The next section outlines the potential influence of these factors may

dissolved inorganic carbon (DIC) source, changes in the species producing the biomarkers, diagenesis or

have in order to assess the mostly likely dominant driver of FA  $\delta^{13}$ C.

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377 4.1.1 Isotopic composition of DIC 378 The  $\delta^{13}$ C of the DIC source can be affected by upwelling or advection of different water masses, or the  $\delta^{13}$ C of atmospheric CO<sub>2</sub>. Around the Antarctic, distinct water masses have unique carbon, hydrogen and oxygen 379 380 isotope signatures and thus isotopes can be used as water mass tracers (e.g. Mackensen, 2001, Archambeau et al., 1998). In the Weddell Sea for example, Mackensen (2001) determined the  $\delta^{13}$ C value of eight water masses, 381 382 which ranged from 0.41 % for Weddell Deep Water, sourced from CDW, to 1.63 % for AASW. A similar 383 range of ~1.5 \ was identified in water masses between the surface and ~5.500 m depth along a transect from 384 South Africa to the Antarctic coast (Archambeau et al., 1998). Assuming similar values apply to these water 385 masses offshore Adélie Land, this range in values would be insufficient to explain the ~5 \% variation of  $\delta^{13}$ C 386 recorded by both C<sub>18</sub> and C<sub>24</sub> FA, even in the situation of a complete change in water mass over the core site. 387 Furthermore, site DTGC2011, located within a 1,000 m deep depression and bounded by the Adélie Bank to the 388 north, is relatively sheltered from direct upwelling of deep water (Fig. 1). Though inflow of mCDW has been 389 shown to occur within the Adélie Depression to the east of the bank (Williams and Bindoff, 2003) and possibly 390 within the Dumont d'Urville Trough, only very small amplitude changes in  $\delta^{13}$ C of benthic foraminifera, 391 tracking upper CDW, have been observed over the Holocene in Palmer Deep, West Antarctica (Shevenell and 392 Kennett, 2002). Although from a different location, this argues against large changes in the isotopic composition 393 of the source of mCDW. Changes in the  $\delta^{13}$ C of atmospheric CO<sub>2</sub>, which is in exchange with the surface waters could also have the 394 395 potential to drive changes in the  $\delta^{13}$ C of algal biomarkers. Over the last ca. 200 years, the anthropogenic burning of fossil fuels has released of a large amount of CO<sub>2</sub> depleted in  $^{13}$ C, meaning that the  $\delta^{13}$ C of CO<sub>2</sub> has 396 397 decreased by ca. 1.5 ‰, as recorded in the Law Dome ice core. Prior to this, however, the  $\delta^{13}$ C of CO<sub>2</sub> in the 398 atmosphere remained relatively stable, at least for the last thousand years (Francey et al., 1999). Therefore, this 399 could potentially drive the  $\delta^{13}$ C of algal biomarkers towards more negative values within the last 200 years, but 400 this could not explain the full variation of  $\sim$ 5-6 % in FA  $\delta^{13}$ C measured throughout the core. Although the C<sub>24</sub> 401  $\delta^{13}$ C shows a slight decrease over the last ca. 100 years, this is preceded by increasing  $\delta^{13}$ C, while the C<sub>18</sub>  $\delta^{13}$ C 402 displays no clear trend over the last 200 years. If atmospheric CO<sub>2</sub> was a key driver of fatty acid  $\delta^{13}$ C, we would 403 expect both compounds to respond together, showing a trend towards more negative values over the last 200 404 years which neither of them do. This suggests that the effect of changing  $\delta^{13}$ C of atmospheric CO<sub>2</sub> is 405 insignificant compared to local and regional inter-annual variations as a result of other environmental drivers 406 (discussed below). 407 4.1.2 Changing species 408 A shift in the organisms producing the FA could also affect  $\delta^{13}$ C where species have different fractionation 409 factors. For example, changing diatom species have been shown to have an effect on bulk organic matter  $\delta^{13}$ C in 410 core MD03-2601, offshore Adélie Land, over the last 5 ka (Crosta et al., 2005). However, the bulk organic matter might have contained other phytoplankton groups than diatoms with drastically different  $\delta^{13}$ C values and 411 fractionation factors. Here we measured  $\delta^{13}$ C of individual biomarkers, produced by a more restricted group of 412 phytoplankton groups (possibly restricted to a few dominant species) compared to bulk  $\delta^{13}$ C. As discussed 413

above, the C<sub>18</sub> appears to be produced predominantly by P. antarctica, whereas diatoms do not tend to produce

high proportions of this compound (Dalsgaard et al., 2003).

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- 416 4.1.3 Effect of diagenesis on lipid  $\delta^{13}C$
- Sun et al. (2004) studied the carbon isotope composition of FAs during 100 days of incubation in both oxic and
- 418 anoxic seawater. They observed a shift towards more positive values in FA  $\delta^{13}$ C, ranging between 2.6 % for the
- 419 C<sub>14:0</sub> and as much as 6.9% in the C<sub>18:1</sub>, under anoxic conditions. This suggests that diagenesis could affect FA
- 420  $\delta^{13}$ C in core DTGC2011. However, these observed changes are rapid (days to months), occurring on timescales
- which are unresolvable in the FA  $\delta^{13}$ C record (annual to decadal), and thus may have no effect on the trends
- 422 observed in our record. Based on concentration data discussed above, it seems that diagenetic overprint is
- 423 largely complete by ~25 cm (Fig. 2). In the top 25 cm of the core (ca. 1978 1998 C.E.), the  $\delta^{13}C_{24FA}$  values
- increase by ~2.5 %, downward ( $R^2 = 0.63$ , n = 11) while the  $\delta^{13}C_{18FA}$  values display a large variation with no
- overall trend ( $R^2 = 0.12$ , n = 20). If diagenesis was driving the changes in  $\delta^{13}$ C, it is likely that this trend would
- be observed in all FA compounds.
- Taken together, it appears that neither changes in the  $\delta^{13}$ C of the DIC, changing phytoplankton groups nor
- diagenesis can fully explain the variation of FA  $\delta^{13}$ C recorded within DTGC2011. Therefore, we hypothesise
- that changes in  $\varepsilon_{\rm p}$  are the main driver of FA  $\delta^{13}$ C.

### 430 4.2 Controls on photosynthetic fractionation ( $\varepsilon_p$ )

- There is a positive relationship between  $\varepsilon_p$  in marine algae and dissolved surface water  $CO_{2(aq)}$  concentration
- (Rau et al., 1989). As a result, higher  $\delta^{13}$ C values are hypothesised to reflect lower surface water  $CO_{2(aq)}$  and vice
- versa. Popp et al. (1999) showed a strong negative correlation between  $CO_2(aq)$  and  $\delta^{13}C$  of suspended
- 434 particulate organic matter across a latitudinal transect in the Southern Ocean, suggesting that changes in surface
- water  $CO_2(aq)$  can explain a large amount of the variation in  $\delta^{13}C$ . Changes in surface water  $CO_{2(aq)}$
- concentration in turn may be driven by various factors, including changing atmospheric CO<sub>2</sub> (Fischer et al.,
- 437 1997), wind-driven upwelling of deep, carbon-rich water masses (Sigman and Boyle, 2000; Takahashi et al.,
- 438 2009), sea-ice cover (Henley et al., 2012) and/or primary productivity (Villinski et al., 2008). Thus, determining
- the main driver(s) of surface water CO<sub>2</sub> changes offshore Adélie Land should enable interpretation of the
- 440 DTGC2011 FA  $\delta^{13}$ C records.
- 441 4.2.1 Sea ice
- Brine channels within sea ice have very low CO<sub>2</sub> concentrations and a limited inflow of seawater. Carbon
- 443 isotopic fractionation of algae living within these channels has been shown to be greatly reduced compared to
- organisms living in the surrounding open waters (Gibson et al., 1999), leading to elevated  $\delta^{13}$ C values. It is thus
- possible that, under conditions of high sea-ice cover, enhanced FA contribution from sea-ice algae leads to
- elevated sedimentary  $\delta^{13}$ C values. HBI diene concentrations within DTGC2011 show a much greater presence
- of fast ice at the core site ca. 1960 C.E (Fig. 5). However, during this time there is no clear elevation in  $\delta^{13}$ C
- 448 concentrations in either  $\delta^{13}C_{18FA}$  or  $\delta^{13}C_{24FA}$ , both instead showing generally lower  $\delta^{13}C$  values. In fact,  $\delta^{13}C_{18FA}$
- shows the lowest values of the whole record between 1925 and 1974 C.E., during which sea ice, as recorded by
- 450 the HBI diene, is at its highest level. This suggests that inputs in sea-ice algae at this time are not driving
- 451 changes in FA  $\delta^{13}$ C.
- The DTGC2011 core site sits proximal to the Dumont D'Urville polynya, which has a summer area of 13.02 x
- 453  $10^3$  km<sup>2</sup> and a winter area of 0.96 x  $10^3$  km<sup>2</sup> (Arrigo and van Dijken, 2003). Changes in the size of the polynya

454 both on seasonal and inter-annual time scales will affect air-sea CO<sub>2</sub> exchange and thus also surface water CO<sub>2</sub> 455 concentration. A reduced polynya may lead to greater supersaturation of CO<sub>2</sub> in the surface waters due to reduced outgassing, allowing CO<sub>2</sub> to build up below the ice, leading to lower  $\delta^{13}$ C values of algal biomarkers 456 457 produced in that habitat (Massé et al., 2011). Thus changes in the extent of sea ice may also effect FA  $\delta^{13}$ C. 458 4.2.2 Observed trends in surface water CO<sub>2(aq)</sub> 459 If the trend in surface water CO<sub>2(ao)</sub> paralleled atmospheric CO<sub>2</sub>, with an increase of over 100 ppm over the last 460 200 years (MacFarling Meure et al., 2006), we might expect phytoplankton to exert a greater fractionation 461 during photosynthesis in response to elevated surface water CO<sub>2(aq)</sub> concentration, resulting in more negative  $\delta^{13}$ C values. Taking into account the decline in atmospheric  $\delta^{13}$ CO<sub>2</sub> over the same period would further enhance 462 463 the reduction in phytoplankton  $\delta^{13}$ C. Fischer et al. (1997) looked at the  $\delta^{13}$ C of both sinking matter and surface 464 sediments in the South Atlantic and suggested that, since the preindustrial, surface water CO<sub>2(aq)</sub> has increased 465 much more in the Southern Ocean than in the tropics. They estimated that a 70 ppm increase in CO<sub>2(aq)</sub> in surface waters of 1°C would decrease phytoplankton  $\delta^{13}C_{org}$  by ca. 2.7%, and up to 3.3%  $\delta^{13}CO_2$  change are 466 467 included, between preindustrial and 1977-1990. However, sea ice cover and summer primary productivity are 468 likely to be much higher off Adélie Land than in the South Atlantic, both of which will affect air-sea gas 469 exchange. 470 Shadwick et al. (2014) suggest that surface water CO<sub>2</sub> should track the atmosphere in the Mertz Polynya region, 471 despite the seasonal ice cover limiting the time for establishing equilibrium with the atmosphere. They 472 calculated wintertime CO<sub>2</sub> in the shelf waters of the Mertz Polynya region, offshore Adélie Land (Fig. 1), 473 measuring ca. 360 ppm in 1996, ca. 396 ppm in 1999, and ca. 385 ppm in 2007, while atmospheric CO<sub>2</sub> at the 474 South Pole was 360, 366 and 380 ppm, respectively (Keeling et al., 2005). Based on the 1996 and 2007 data 475 only, an increase in CO<sub>2</sub> of ca. 25 ppm is observed over these 11 years, coincident with the 20 ppm atmospheric 476 CO<sub>2</sub> increase over this time period. However, high interannual variability (± ca. 30 ppm) is evident (e.g. 396 477 ppm in 1999) suggesting that other factors, particularly upwelling, may override this trend. The latter was also 478 suggested by Roden et al. (2013) based on winter surface water measurements in Prydz Bay, indicating that 479 decadal-scale carbon cycle variability is nearly twice as large as the anthropogenic CO2 trend alone. 480 During the austral winter, upwelling of deep water masses causes CO2 to build up in the surface waters, and sea 481 ice cover limits gas exchange with the atmosphere (Arrigo et al., 2008; Shadwick et al., 2014). Although only 482 limited data, the measurements by Shadwick et al. (2014) suggest slight supersaturation, of up to 30 ppm, occurs 483 in the winter due to mixing with carbon-rich subsurface water, but with high interannual variability. This is 484 compared to undersaturation of 15 to 40 ppm during the summer as a result of biological drawdown of CO<sub>2</sub>. 485 Roden et al. (2013) also observed varying levels of winter supersaturation in Prydz Bay, East Antarctica, with 486 late winter CO<sub>2</sub> values of 433 ppm in 2011 (45 µatm higher than atmospheric CO<sub>2</sub>), and suggested that 487 intrusions of C-rich mCDW onto the shelf may play a part in this. Similarly, winter surface water CO2 of 425 488 ppm has been measured by Sweeney (2003) in the Ross Sea, before being drawn down to below 150 ppm in the 489 summer as phytoplankton blooms develop. 490 Enhanced upwelling of deep carbon-rich waters in the Southern Ocean are thought to have played a key role in 491 the deglacial rise of atmospheric CO<sub>2</sub>, increasing CO<sub>2</sub> concentrations by ~80 ppm (Anderson et al., 2009; Burke

and Robinson, 2012). Changes in upwelling offshore Adélie Land could therefore drive some interannual variability in surface water CO<sub>2</sub> and hence FA  $\delta^{13}$ C in DTGC2011. However, upwelling tends to be stronger during the winter months, when sea-ice formation and subsequent brine rejection drive mixing with deeper Crich waters. At this time, heavy sea-ice cover limits air-sea gas exchange and enhances CO2 supersaturation in regional surface waters (Shadwick et al., 2014). In contrast, the phytoplankton producing FA thrive during the spring and summer months during which CO<sub>2</sub> is rapidly drawn down and the surface waters become undersaturated. However, upwelling cannot be discarded as a possible contributor to surface water CO<sub>2</sub> change. However, the core site is in a relatively sheltered area and is probably not affected by significant upwelling. Based on these studies, changes in atmospheric CO<sub>2</sub> concentration and  $\delta^{13}$ C of the source appear to be unlikely to be a dominant driver of the FA  $\delta^{13}$ C record, with interannual variations driven by other factors overriding any longer-term trend. There is also no clear anthropogenic decline in the FA  $\delta^{13}$ C record over the last 200 years, which supports this hypothesis. 4.2.3 Productivity Given that changes in atmospheric CO<sub>2</sub>, source signal, sea ice algae or diagenesis seem unable to explain the full range of variability seen in the FA  $\delta^{13}$ C record, the most plausible driver appears to be changes in surface water primary productivity. Coastal polynya environments in the Antarctic are areas of very high primary productivity (Arrigo and van Dijken, 2003). The DTGC2011 core site sits near to the Dumont D'Urville polynya, and is just downstream of the larger and more productive MGP (Arrigo and van Dijken, 2003). In large polynyas such as the Ross Sea, primary productivity leads to intense drawdown of CO<sub>2</sub> in the surface waters, resulting in reduced fractionation by the phytoplankton during photosynthesis (Villinski et al., 2008). In the Ross Sea, surface water CO<sub>2</sub> has been observed to drop to below 100 ppm during times of large phytoplankton blooms (Tortell et al., 2011) demonstrating that primary productivity can play a key role in controlling surface water CO<sub>2</sub> concentrations in a productive polynya environment. Arrigo et al. (2015) found the MGP to be the 8<sup>th</sup> most productive polynya in the Antarctic (out of 46) based on total net primary productivity during their sampling period, and Shadwick et al. (2014) observed CO<sub>2</sub> drawdown in the MGP during the summer months. Therefore, we suggest that FA  $\delta^{13}$ C signals recorded in DTGC2011 is predominantly a signal of surface water  $CO_2$  driven by primary productivity. Indeed, the potential for the  $\delta^{13}C$  of sedimentary lipids to track surface water primary productivity has been recognised in the highly productive Ross Sea polynya. High variability in surface water CO<sub>2</sub> values have been measured across the polynya during the summer months (December – January), ranging from less than 150 ppm in the western Ross Sea near the coast, to >400 ppm on the northern edge of the polynya. This pattern was closely correlated with diatom abundances, indicating intense drawdown of CO<sub>2</sub> in the western region where diatom abundances were highest (Tortell et al., 2011). This spatial variation in productivity is recorded in particulate organic carbon (POC)  $\delta^{13}$ C, and is also tracked in the surface sediments by total organic carbon (TOC)  $\delta^{13}$ C and algal sterol  $\delta^{13}$ C, all of which show significantly higher values in the western Ross Sea. This spatial pattern in sterol  $\delta^{13}$ C was concluded to be directly related to CO<sub>2</sub> drawdown at the surface, resulting in average sterol  $\delta^{13}$ C values varying from -27.9% in the west, where productivity is greatest, down to -33.5% further offshore (Villinski et al., 2008).

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530 concentration and negatively correlated with nutrient concentration, indicating greater drawdown of CO2 and 531 nutrients under high productivity levels (Zhang et al., 2014). This suggests it is possible to apply FA  $\delta^{13}$ C as a palaeoproductivity indicator in the highly productive Adélie 532 533 polynya environment. However, it is important to constrain the most likely season and habitat being represented, 534 since phytoplankton assemblages vary both spatially (e.g. ice edge or open water) and temporally (e.g. spring or 535 summer). The incredibly high sedimentation rate (1-2 cm yr<sup>-1</sup>) within the Adélie Basin is thought to result, on 536 top of regional high productivity, from syndepositional focusing processes bringing biogenic debris from the 537 shallower Adélie and Mertz banks to the ca. 1,000 m deep basin (Escutia et al., 2011). Thus, it is likely that core 538 DTGC2011 contains material from a wide area, including both the Mertz and Dumont d'Urville polynyas, and 539 areas both near the coast and further offshore, meaning it is quite possible that the C<sub>18</sub> and C<sub>24</sub> FAs are 540 integrating palaeoproductivity changes weighted towards different regional environments, which would explain their different trends. Furthermore, surface water CO2 can vary spatially, such as in the Ross Sea polynya where 541 542 Tortell et al. (2011) measured surface water CO<sub>2</sub> values ranging between 100 and 400 ppm. Thus, it is likely 543 that these two areas offshore Adélie Land where the C<sub>18</sub> and C<sub>24</sub> FAs are being produced will also have differing 544 surface water CO<sub>2</sub> concentrations and trends. 545 4.3 Comparison of fatty acid  $\delta^{13}$ C with other proxy data Comparison of down-core variations in FA  $\delta^{13}$ C with other proxy data can also be used to decipher the main 546 547 signal recorded. Comparison between  $\delta^{13}$ C<sub>24FA</sub> and the major diatom species abundances within the core 548 (Fragilariopsis kerguelensis, Fragilariopsis curta, Fragilariopsis rhombica, Fragilariopsis cylindrus, 549 Chaetoceros resting spores) shows a reasonably close coherence with Fragilariopsis kerguelensis, particularly 550 since ~1800 C.E. (Fig. 6). Fragilariopsis kerguelensis is an open water diatom species and one of the most 551 dominant phytoplankton species offshore Adélie Land (Chiba et al., 2000), reaching its peak abundance in the 552 summer (Crosta et al., 2007). This suggests that the C<sub>24</sub> FA is being produced during the summer months and, as such, is reflecting productivity in more open waters. The δ<sup>13</sup>C<sub>24FA</sub> record does not show any similarity to the sea-553 554 ice records, as inferred by HBI diene concentrations and abundances of Fragilariopsis curta (Fig. 6 and 7), here 555 again suggesting that these compounds are being produced in open water during the summer months after sea 556 ice has retreated. 557 As discussed above, P. antarctica is a likely producer for the C<sub>18</sub> FA, a prymnesiophyte algae which has been 558 observed in the Adélie region in summer months residing predominantly along the margin of fast ice, but also 559 further offshore (Riaux-Gobin et al., 2013, 2011; Vaillancourt et al., 2003). The aversion of F. kerguelensis to 560 sea ice (and thus also the C24 FA producer) in contrast to P. antarctica, may explain the clear lack of coherence in the down-core trends in  $\delta^{13}C_{18FA}$  and  $\delta^{13}C_{24FA}$  (Fig. 7). Thus, we hypothesise that  $\delta^{13}C_{18FA}$  is recording surface 561 562 water CO<sub>2</sub> driven by productivity in the MIZ, whilst  $\delta^{13}C_{24FA}$  is recording surface water CO<sub>2</sub> in more open 563 water, further from the sea-ice edge. 564 HBI diene concentrations indicate elevated fast ice cover between ~1919 and 1970 C.E., with a particular peak 565 between 1942 and 1970 C.E., after which concentrations rapidly decline and remain low until the top of the core 566 (Fig. 7). Abundances of F. curta, used as a sea-ice proxy, similarly show peaks at this time indicate increased

A similar relationship is evident in Prydz Bay, where POC  $\delta^{13}$ C was found to be positively correlated with POC

sea-ice concentration (Campagne, 2015) (Fig. 7).  $\delta^{13}C_{18FA}$  indicates a period of low productivity between ~1922 and 1977 C.E., broadly overlapping with this period of elevated fast ice concentration (Fig. 7), with a mean value of -27.12\%. This is compared to the mean value of -26.23\% in the subsequent period (~1978 to 1998 C.E.) during which HBI diene concentration remain low (Fig. 7). This suggests that productivity in the coastal region was reduced, while sea-ice concentrations were high. This might be expected during a period of enhanced ice cover – perhaps representing a reduction in the amount of open water, or a shorter open water season – since the majority of productivity generally takes place within open water (Wilson et al., 1986). Furthermore,  $\delta^{13}C_{18FA}$  shows a broad similarity with *Chaetoceros* resting spores (CRS) on a centennial scale, with lower productivity at the start of the record, ca. 1587 to 1662 C.E., followed by an increase in both proxies in the middle part of the record, where  $\delta^{13}C_{18FA}$  becomes relatively stable and CRS reaches its highest abundances of the record. This is then followed in the latter part of the record, after ca. 1900 C.E., by both proxies displaying lower values overall. CRS are associated with high nutrient levels and surface water stratification along the edge of receding sea ice, often following high productivity events (Crosta et al., 2008). The broad similarity to CRS, with lower values recorded during periods of high sea-ice concentrations, suggests that  $\delta^{13}C_{18FA}$  is similarly responding to productivity in stratified water at the ice edge. This supports the hypothesis that  $\delta^{13}C_{18FA}$  is recording primary productivity in the MIZ. Little similarity is evident between the

#### **5 Conclusions**

fatty acid isotope records and F. cylindrus and F. rhombica.

FAs identified within core DTGC2011, recovered from offshore Adélie Land, were analysed for their concentrations and carbon isotope compositions to assess their utility as a palaeoproductivity proxy in an Antarctic polynya environment. The  $C_{18}$  and  $C_{24}$  compounds yielded the best isotope measurements and show very different  $\delta^{13}$ C trends, suggesting they are being produced by different species in different habitats and/or seasons. Although we have made parsimonious interpretations, there are clearly uncertainties in interpreting the FA  $\delta^{13}$ C, and, as such various assumptions have been made. The primary producers of the  $C_{18}$  and especially the  $C_{24}$  FAs are a key source of uncertainty. Because these are general biomarkers, produced by many organisms, it is impossible to constrain entirely to one producer class. But with further work in the region, it could be possible further elucidate the most likely contributors. The possibility of inputs of FAs from multiple sources, in particular from organisms further up the food chain, has consequences for their interpretation since this could mean the  $\delta^{13}$ C FA is not fully reflecting just surface water conditions. Other key uncertainties are the magnitude of upwelling of  $CO_2$  at the site in comparison to drawdown by phytoplankton, and the potential role of changes in air-sea  $CO_2$  exchange.

Despite this, we argue that FA  $\delta^{13}$ C has the potential to be used as a productivity proxy, but would be best used in parallel with other environmental proxies such as diatoms abundances or HBIs. Comparison with other proxy data and information from previous studies suggests that the  $C_{18}$  compound may be predominantly produced by *P. antarctica*, with  $\delta^{13}C_{18FA}$  reflecting productivity changes in the marginal ice zone, where it is sensitive to changes in ice cover. In contrast,  $\delta^{13}C_{24FA}$ , which compares well with abundances of the open water diatom *F* 

- 604 kerguelensis, may be reflecting summer productivity further offshore, in open waters where it is less sensitive to
- fast ice changes. The use of  $\delta^{13}$ C analysis of multiple FA compounds, as opposed to individual compounds or
- bulk isotope analysis, allows a more detailed insight into the palaeoproductivity dynamics of the region, with the
- potential to separate productivity trends within different habitats.

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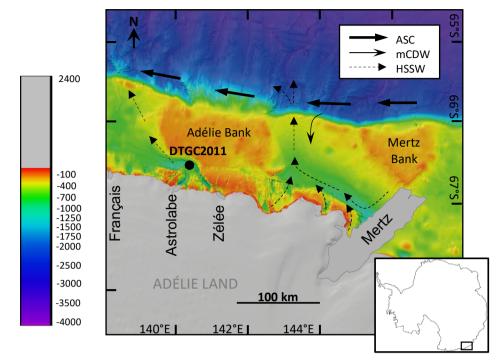


Figure 1: Location of Site DTGC2011 on bathymetric map of the Adélie Land region (modified from Beaman et al., 2011), indicating positions of the main glaciers (prior to Mertz Glacier Tongue collapse in 2010) and pathways of the main water masses affecting the region: Antarctic Slope Current (ASC), Modified Circumpolar Deep Water (mCDW) and High Shelf Salinity Water (HSSW) (Williams and Bindoff, 2003).

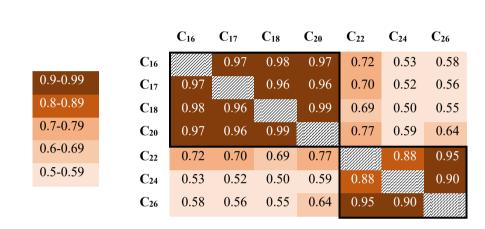


Figure 3: R<sup>2</sup> values for fatty acid concentrations throughout core DTGC2011. Values are colour coded according to the key on the left. Black border denotes correlations within each group.

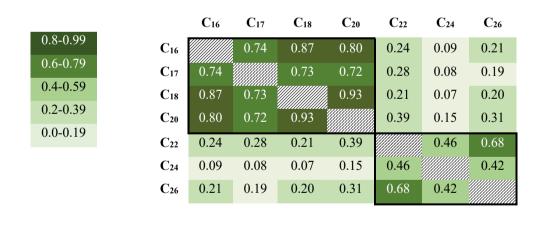


Figure 4: R<sup>2</sup> values for fatty acid concentrations in core DTGC2011 below 25 cm only. Values are colour coded according to the key on the left. Black border denotes correlations within each group.

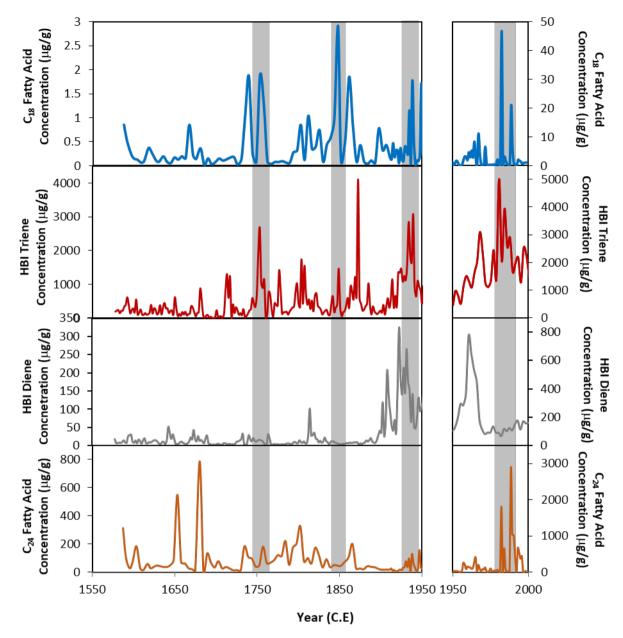


Figure 5: Concentrations of the  $C_{18}$  fatty acid (blue), the HBI triene (red), HBI diene (grey) (Campagne, 2015),  $C_{24}$  fatty acid (orange) from core DTGC2011. The left-hand panels show 1550 to 1950 C.E. and the right hand panels show 1950 to 2000 C.E., plotted on different y-axes due to the elevated concentrations in the top part of the core. Grey vertical bands highlight coincident peaks in  $C_{18}$  fatty acid and HBI triene records.

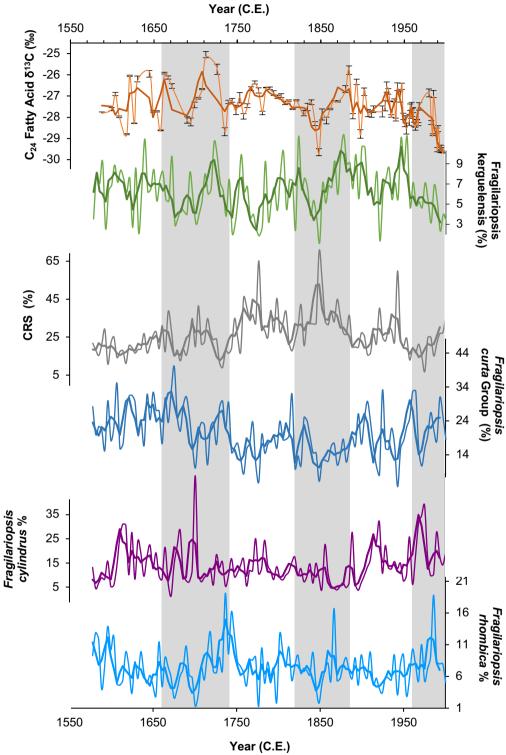


Figure 6:  $\delta^{13}$ C values of the C<sub>24</sub> fatty acid (orange) and relative abundances (%) of the open water diatom Fragilariopsis kerguelensis (green). Also shown are relative abundances of the four most abundant diatom groups in DTGC2011. Chaetoceros resting spores (CRS; grey line), Fragilariopsis curta group (dark blue line), Fragilariopsis cylindrus (purple line) and Fragilariopsis rhombica (light blue line). Thick line represents 3-point moving average for each. Grey vertical bands highlight periods where C<sub>24</sub> fatty acid  $\delta^{13}$ C is in phase with F. kerguelensis.

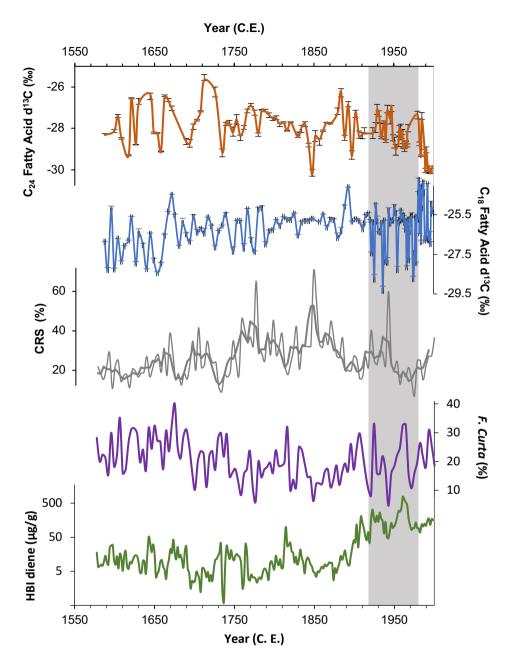


Figure 7:  $\delta^{13}C$  of the  $C_{24}$  (orange) and  $C_{18}$  (blue) fatty acid, HBI diene concentrations (green; plotted on a log scale) and relative abundances of *Fragilariopsis curta* plus *Fragilariopsis cylindrus* (purple). Latter two records reflect sea ice concentrations. Grey vertical band highlights period where low  $C_{18}$   $\delta^{13}C$  overlaps with elevated HBI diene concentrations.