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3	Climate data induced uncertainties in simulated carbon fluxes under corn and
4 5	soybean systems
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24 25 26 27 28 29 30 31 32	Keywords: CO <sub>2</sub> fluxes, NEE, Agroecosystem model, Climate, Irrigation management, Corn, and Soybeans
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## 34 Abstract (400 words)

35	Net carbon balance on croplands depends on numerous factors (e.g., crop type, soil, climate
36	and management practices) and their interactions. Agroecosystem models are generally used to
37	assess cropland carbon fluxes under various agricultural land use and land management practices
38	because of their ability to capture the complex interactive effects of factors influencing carbon
39	balance. For regional carbon flux simulations, generally gridded climate data sets are used because
40	they offer data for each grid cell of the region of interest. However, studies consistently report large
41	uncertainties in gridded climate datasets, which will affect the accuracy of carbon flux simulations.
42	This study investigates the uncertainties in daily weather variables of commonly used high
43	resolution gridded climate datasets in the U.S (NARR, NLDAS, Prism and Daymet), and their impact
44	on the accuracy of simulated Net Ecosystem Exchange (NEE) under irrigated and non-irrigated corn
45	and soybeans using the Environmental Policy Integrated Climate (EPIC) agroecosystem model and
46	observational data at four flux tower cropland sites in the U.S Midwest region. Further, the relative
47	significance of each weather variable in influencing the uncertainty in flux estimates was evaluated.
48	Results suggest that daily weather variables in all gridded climate datasets display some
49	degree of bias, leading to considerable uncertainty in simulated NEE. The gridded climate datasets
50	produced based on interpolation techniques (i.e. Daymet and Prism) were shown to have less
51	uncertainties, and resulted in NEE estimates with relatively higher accuracy, likely due to their
52	higher spatial resolution and higher dependency on meteorological station observations. The Mean
53	Absolute Percentage Errors (MAPE) values of average growing season NEE estimates for Dayment,
54	Prism, NLDAS and NARR include 22.53%, 23.45%, 62.52% and 66.18%, respectively. The NEE
55	under irrigation management (MAPE= 53.15%) tends to be more sensitive to uncertainties compared
56	to the fluxes under non-irrigation (MAPE= 34.19%).
57	Further, this study highlights that NEE respond differently to the individual climate variables,
58	and responses vary with management practices. Under irrigation management, NEE are more
59	sensitive to shortwave radiation and temperature. Conversely, under non-irrigation management,
60	precipitation is the most dominant climate factor influencing uncertainty in simulated NEE. These
61	findings demonstrate that careful consideration is necessary when selecting climate data to mitigate
62	uncertainties in simulated NEE. Further, alternative approaches such as integration of remote sensing
63	data products may help reduce the models' dependency on climate datasets and improve the accuracy
64	in the simulated CO <sub>2</sub> fluxes.





## 66 1. Introduction

67	There has been renewed interest in tracking carbon on croplands because of their potential to
68	offset atmospheric CO <sub>2</sub> through sequestering carbon in crop vegetation and soil, and also soil carbon
69	indicates the status of soil quality affecting long term crop production. Croplands act either as a
70	source or sink for atmospheric CO <sub>2</sub> depending on numerous factors (e.g. crop type, soil
71	characteristics, climatic conditions and management practices), and their interactions. For instance,
72	studies of continuous long-term flux tower measurements of $\mathrm{CO}_2$ exchange on agricultural sites have
73	demonstrated that conservation practices (e.g., no tillage) promote significant amounts of carbon
74	sequestration; thus, making such agricultural systems behave as a strong net carbon sink (Hollinger et
75	al., 2005; Bernacchi et al., 2005). Sustainable agricultural management practices are estimated to
76	result in approximately 45-98 Tg C year-1 soil carbon sequestration on US croplands (Lal et al., 1998;
77	Chambers et al., 2016). On the other hand, intensive land use and land management practices (e.g.,
78	conventional tillage, residue burning) in agriculture often lead to negative carbon balance, leaving
79	these systems as a source for atmospheric CO <sub>2</sub> (Anderson-Teixeira et al., 2009). These intensive
80	practices not only contribute to climate change, but also lead to deterioration of soil fertility through
81	losing soil organic carbon, subsequently affecting long term agriculture production. The magnitude
82	of impact on carbon balance with different agricultural land use and management practices is highly
83	variable in space and time, and depends on environmental conditions and geographic characteristics
84	(Hernandez-Ramirez et al., 2011). As such, there exists a need for understanding the impact of
85	various agronomic practices and their interactions under various soil and management regimes on
86	regional scale carbon dynamics. Such a knowledge base will help in developing effective policies
87	and management strategies targeting carbon friendly agriculture at local to regional scale.
88	Agroecosystem models are tools widely used for analyses integrating the effects of climate,
89	crop, soil, and land-use (Jones et al., 2017). Such models employ biophysical and biogeochemical
90	principles coupled with crop management, climate and soils to simulate detailed carbon balance
91	(Izaurralde et al, 2006; Bandaru et al., 2013). Climate variables are dominant factors influencing
92	model simulations substantially (Agarwal, 1995). Models rely on weather inputs to simulate critical
93	crop parameters (crop phenology, leaf area index [LAI] and evapotranspiration [ET]) that determine
94	crop growth and development, subsequently impacting the net primary productivity (NPP), a primary
95	carbon input. In addition, weather variables (e.g. temperature and precipitation) drive the simulation
96	of soil respiration, which impacts NEE. Therefore, errors in weather variables will introduce
97	uncertainty in the model estimates of carbon dynamics, and the magnitude of uncertainty depends not
98	only on the level of error but also on an indefinite weather variable. For instance, soil respiration is





relatively more sensitive to temperature inconsistencies than other weather variables (Jones et al.,
2003). As such, a small margin of error in temperature irregularities may have substantial impacts on
soil respiration estimates.

102 Models use either point scale in-situ observational data collected at meteorological stations or 103 gridded climate databases that include geographically distributed weather estimates produced using 104 either 1) interpolating observational climate data and other ancillary datasets (e.g. topographic 105 characteristics) or (2) data modeling and assimilation techniques that model regional changes in 106 weather based on satellite observations, land cover, local geographical characteristics and other 107 attributes (Eum et al., 2014). For regional scale carbon flux simulations, generally gridded climate 108 data sets are used because they offer data for each grid cell of the region of interest, while insufficient 109 density of meteorological stations restricted the accurate representation of inherent spatial weather 110 patterns over large regions (Bandaru et al., 2017). However, studies have been consistently reporting 111 large uncertainties in gridded climate datasets (Van Wart et al., 2013; Bandaru et al., 2017). For instance, recently Bandaru et al. (2017) found higher biases in monthly weather variables (e.g. up to 112 113 3°C in minimum temperature) of high-resolution climate datasets generally used in the U.S, which 114 were found to result in percent errors up to 45% in biomass of hybrid poplar, a short rotation woody 115 cropping system, simulated using 3-PG forest growth model. Similarly, other studies reported 116 uncertainties ranging 10-50% in carbon fluxes under forest systems (Ito and Sasai, 2006; Poulter et 117 al., 2011; Wu et al., 2017). However, there are no or very limited studies on understanding 118 uncertainties in modeled cropland carbon fluxes. Crop models are typically run on daily time steps so 119 biases in daily climate variables are expected to be different from those in monthly variables. As 120 such, currently, there is a knowledge gap on the level of uncertainty in the estimated daily carbon 121 fluxes with the use of gridded climate datasets in the agroecosystem models, and the relative 122 importance of each of the weather variables (e.g. precipitation) affecting accuracy of carbon flux 123 estimates. As such, this study was conducted to 1) quantify the degree of uncertainty in daily 124 weather variables of four gridded climate data sets commonly used in regional scale agroecological 125 modeling studies in the U.S: the NARR (North American Regional Reanalysis), NLDAS (North 126 American Land Data Assimilation System), Prism (Parameter-elevation Relationships on 127 Independent Slopes Model), and Daymet; 2) evaluate their impact on simulated net ecosystem 128 exchange (NEE), defined as a measure of net exchange of carbon between atmosphere and land 129 surface per unit ground area (Kramer et al., 2002), under both irrigated and non-irrigated corn and 130 soybeans using the Environmental Policy Integrated Climate (EPIC) agroecosystem model, and 3)





- 131 understand the relative significance of each weather variable in influencing the uncertainty in flux
- 132 estimates.

## 133 2. Materials and methods:

134 To understand the uncertainty in the four gridded datasets, observed weather data from four 135 flux tower sites located in U.S. Midwest were used as reference data while quantifying the level of 136 uncertainty in five weather variables typically required for agroecosystem models. These weather 137 variables include (1) minimum air temperature (Tmin), (2) maximum air temperature (Tmax), (3) 138 shortwave radiation (SR), (4) precipitation (Precip), and (5) relative humidity (RH). Further, we used 139 the Environmental Policy Integrated Climate (EPIC) model to understand the influence of biases in 140 gridded datasets on daily NEE under irrigated and non-irrigated corn and soybeans. First, we 141 calibrated the EPIC model for corn and soybean crops using measured weather at flux tower sites, 142 management and observed NEE data. Then 5 different site-level simulations were carried out using 143 weather variables from four different gridded weather data sources, along with measured weather at 144 the tower sites (Table-1). Later, NEE simulated by the measured weather data was used as a 145 reference and assessed uncertainty in the NEE estimated using NARR, NLDAS, Daymet and Prism 146 gridded datasets. Finally, to evaluate the relative contribution of each weather variable to the 147 uncertainty in the NEE estimates, simulations were run using a single weather variable from the 148 gridded datasets, and the rest of the weather variables were measured at sites for each simulation. For 149 instance, to understand the impact of biases in shortwave radiation, simulations were run using 150 shortwave radiation data from all four gridded data sources and the remaining weather variables (i.e. 151 Tmax, Tmin, precipitation and relative humidity) from measured data at the flux tower sites. 152 2.1 Study sites 153 For this study, three AmeriFlux field experimental sites (US-NE1, US-NE2 and US-NE3) 154 located at the University of Nebraska-Lincoln (UNL) Agricultural Research and Development 155 Center near Mead, Nebraska were selected, as well as one AmeriFlux field site (US-BO1) at 156 Bondville, Illinois (Meyers, 2016) (Table 1). These four sites are characterized by diverse crop management practices. US-NE1 (41°09'54.2"N, -96°28'35.9"W) and US-NE2 (41°09'53.6"N, -157 158 96°28'07.5"W) are irrigated sites equipped with center-pivot irrigation systems while US-NE3 (41°10'46.8"N, -96°26'22.7"W) and US-BO1 (40°00'61.3", -88°29'04.4"W) are non-irrigated 159 160 agriculture sites. US-NE1 has been continuously planted with corn since 2001 while US-NE2, US-161 NE3 and US-BO1 are generally planted with corn and soybeans in rotation. Weather variables, 162 energy, water and carbon fluxes have been measured on an hourly basis at these sites, which were

163 averaged to produce daily measured values for this study.





164	2.2 Gridded Weather Databases
165	Agroecosystem models prefer high resolution climate data, when available, to capture
166	heterogeneity present in agricultural landscapes. As such, we evaluated four commonly used gridded
167	datasets characterized by spatial resolution finer than 0.5° and covering the spatial extent of the U.S.
168	The details of the selected gridded data are listed in Table 2. These datasets are classified into two
169	groups. The data sets in the first group include NARR and NLDAS, which are determined based on
170	atmospheric models and assimilation techniques, while the second group datasets (i.e. Daymet and
171	Prism) are produced by spatially interpolating weather observations collected from various weather
172	monitoring networks.
173	2.2.1. NARR (North American Regional Reanalysis):
174	The NARR gridded dataset (approximately 32 km spatial resolution) is produced at three-
175	hour intervals using the National Centers for Environmental Prediction (NCEP) Eta model, a
176	mesoscale weather forecasting atmospheric model, along with the Regional Data Assimilation
177	System (RDAS) (DiMegoet al., 1992). RDAS integrates data variables from various sources (e.g.
178	outputs from the NCAR/NCEP Global reanalysis) (Mesinger et al., 2006) using a three-dimensional
179	variational analysis scheme (3DVAR) and statistical interpolation (Kalnay et al., 1996), and produces
180	fine spatial and temporal resolution estimates of various weather variables.
181	2.2.2. NLDAS (North American Land Data Assimilation System)
182	NLDAS produces high spatial (1/8°, approximately 12 km) and temporal (1-h) resolution
183	weather variables through downscaling, and adjusts weather variables to account for the vertical
184	difference between the NARR and NLDAS fields (Cosgrove et al., 2003). In addition, it corrects
185	biases in shortwave radiation using Geostationary Operational Environmental Satellite (GOES) data
186	(Pinkeret al., 2003). NLDAS precipitation data are constructed by taking daily gauge-based
187	precipitation data and disaggregating to hourly resolution using radar data (Xia et al., 2012).
188	2.2.3. PRISM (Parameter-elevation Relationships on Independent Slopes Model)
189	Prism generates gridded weather data at two spatial resolutions (800 m and 4 km) and two
190	temporal resolutions (daily and monthly) by interpolating observed weather at weather station
191	networks (Abatzoglou, 2013). Prism uses gridded elevation data and computes a climate-elevation
192	regression for each grid cell using observed data of nearby stations. Each station included in the
193	regression is weighted based on similarity in physiographic characteristics (Daly et al., 2008).
194	2.2.4. Daymet:
195	Daymet interpolates daily weather station observations including $T_{min}$ , $T_{max}$ , and Precipitation

196 based on gridded elevation data using a spatial convolution of a truncated Gaussian filter





- 197 interpolation method (Thornton and Running, 1999) and produces gridded data at 1 km spatial
- resolution on daily time intervals. Daymet produces downward shortwave radiation by using the
- 199 MTclim algorithm (Thornton and Running, 1999).
- 200 2.3 Estimation of uncertainties in gridded weather
- 201 To estimate the uncertainties in weather variables of gridded datasets, measured climate data 202 at flux tower sites were acquired from the Ameriflux website (http://ameriflux.lbl.gov/). Flux tower 203 data was selected as opposed to observational data at meteorological weather stations because 204 stations' data are generally used as an input for producing some of the gridded datasets (i.e. Prism 205 and Daymet) and therefore do not constitute as an independent source for comparison. Weather 206 variables of each gridded dataset corresponding to each flux tower site were obtained. The Prism and 207 Daymet datasets provide daily weather variables whereas NARR and NLDAS produce data at 3-hour 208 and 1-hour temporal resolution, respectively. As such, NARR and NLDAS variables were aggregated 209 to produce daily data and compared with daily flux tower data. Two metrics were used to assess the 210 accuracy in the gridded datasets: 1) bias and 2) Mean Absolute Percentage Error (MAPE). The bias 211 denotes the deviation in the values of gridded weather variables from the corresponding measured 212 values at flux tower sites, and is used to compute the direction (i.e. under- or over-estimation) of the 213 uncertainty. A negative bias indicates underestimation compared to the flux tower observed data, 214 while a positive bias indicates overestimation of the values. The MAPE values represent average 215 error and can be used to assess the uncertainties in the gridded datasets. The bias and MAPE for 216 precipitation, Tmax, Tmin, shortwave radiation and relative humidity of four gridded datasets were 217 estimated using the equations below (Eq. (1&2)).

$$Bias = \frac{1}{n} \sum_{i=1}^{n} (W_g - W_o)$$
(1)

$$MAPE = \frac{1}{n} \sum_{i=1}^{n} \frac{|(W_g - W_o)|}{W_g} \%$$
(2)

- 218 where bias = mean bias (units)
- 219 MAPE = mean absolute percentage error
- 220 n = available daily data for all stations
- i = index for a unique station, year, and day combination
- $W_g = gridded data products$
- 223  $W_o =$ flux tower observed value





- 224 In the U.S. Midwest, major crops such as corn and soybeans are cultivated during the 225 summer growing season, typically from spring (April) through late fall (November). Therefore, we included weather data for the time period covering the summer growing season in our analysis. 226 227 Prism does not include shortwave radiation; therefore, biases and MAPE were computed for Daymet, 228 NARR and NLDAS. 229 2.3 Model simulations 230 The EPIC agroecosystem model was used to simulate the NEE under irrigated and non-231 irrigated corn and soybeans cultivated at flux tower sites and for the periods NEE measurements are 232 available at flux tower sites (Table 1). 233 2.3.2. Model description: 234 The EPIC model simulates biophysical and biogeochemical ecosystem processes as 235 influenced by climate, landscape, soil and management conditions (Williams et al., 1989; 2008). The 236 carbon cycling module in EPIC was developed based on the Century model (Parton et al., 1994), and 237 it includes detailed carbon routines that consider coupled carbon and nitrogen cycling to simulate 238 carbon stocks and fluxes (both vertical and lateral fluxes) in managed and unmanaged lands. The 239 EPIC carbon model has been well-tested and widely used for studying carbon dynamics under 240 different cropping systems, management regimes, locations and at various scales (Apezteguía et al., 241 2009; Bandaru et al., 2013; Izaurralde et al., 2007; Wang et al., 2005). 242 2.3.3. Model calibration: 243 To calibrate the model, we have used collected and measured data at flux tower sites on soil, 244 weather, management practices and NEE, and implemented simulations at these sites for all available 245 data and available years as listed in Table 1. The parameters were adjusted to optimize NEE 246 estimates against measured flux data utilizing multi-objective genetic algorithm NSGA-II (Deb et al., 247 2002). This method aims to provide near-optimal parameter sets for simulating each model output as 248 well as a compromise between desired outcomes in order to identify parameter sets which provide 249 more balanced model outcomes than single objective approaches. The calibrated results suggested 250 that model was able to explain the variability present in the measured fluxes reasonably well, 251 indicated by higher  $R^2$  and low RMSE values for all the sites (Figure 2). The  $R^2$  and RMSE (in 252 bracket) values were 0.80 (29.11), 0.76 (30.34), 0.62 (34.05) and 0.61 (48.67) for US-NE1, US-NE2, 253 US-NE3 and US-BO1, respectively. We used these calibration settings to simulate NEE using 254 various gridded climate datasets. 255 2.3.4. Model Simulations:
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256 To estimate the impact of gridded datasets, simulations were implemented at flux tower sites 257 using weather data from various data sources, and other inputs and parameters for the simulations 258 were kept fixed. For soil information, we obtained input from the Soil Survey Geographic 259 (SSURGO) database (http://websoilsurvey.nrcs.usda.gov/). The simulations were implemented for 12 260 years (i.e. 2002 to 2012) for the US-NE1, US-NE2 and US-NE3 sites, while for US-BO1 site, runs 261 were performed for 11 years from 1997-2007. Before actual simulations were initialized, spin-up 262 runs were implemented for 20 historical years for all the sites using historical crop and management 263 information to set the right initial conditions. 264 Additional simulations were conducted to quantify the relative influence of each weather 265 variable on the uncertainty in the NEE estimation. For each simulation, one weather variable from 266 each of the gridded datasets was used, along the rest of the variables from site weather data. To use 267 all weather variables from all gridded data sources, a total of 16 simulations were run. 268 2.3.5. Assessment of uncertainty in the simulated NEE: 269 To understand the uncertainty in the simulated fluxes due to errors in the gridded weather 270 data sources, we used NEE simulations conducted using measured weather data at flux tower sites 271 (hereafter, referred to as reference fluxes) and compared them with estimated NEE using gridded 272 weather datasets. Similar metrics (i.e. bias and MAPE) for evaluating uncertainty in the weather 273 variables were used for assessing the uncertainty in NEE estimates based on various gridded datasets. 274 3. Results 275 3.1 Uncertainty in the gridded climate datasets 276 The bias and Mean Absolute Percentage Error (MAPE) in the daily weather variables of 277 various gridded datasets averaged over the years and sites are presented in Fig 1. Bias in T<sub>max</sub> and 278  $T_{min}$  ranges from -3.94°C to 6.67°C depending on climate data source and the day of the growing 279 season. Results showed that NARR and NLDAS overestimated T<sub>min</sub> while Daymet and Prism did not 280 exhibit any consistent pattern showing both negative and positive bias values across the growing 281 season. All data sources were shown to overestimate  $T_{max}$  for most of the days in the growing season. The MAPE values range from 3.48% to 100.05%. Overall, the MAPE in both  $T_{\text{max}}$  and  $T_{\text{min}}$  tends to 282 283 be higher at the beginning and end of the growing season irrespective of the data source. Among the 284 climate datasets, Prism was found to have the lowest MAPE in T<sub>max</sub> and T<sub>min</sub> with an average 285 growing season MAPE of 17.31% and 32.16%, respectively, followed by Daymet with 17.75% and 286 38.86% MAPE for  $T_{max}$  and  $T_{min}$ , respectively (Table 3), but there is no significant difference 287 between the two datasets. The NLDAS's T<sub>max</sub> and T<sub>min</sub>, on average, have 18.93% and 76.59% 288 MAPE, respectively, while NARR variables have MAPE of 18.53% and 75.01%, respectively (Table





289 3). These trends in MAPE were consistent across the study sites indicating the NLDAS and NARR 290 have higher uncertainty in temperature variables compared to Prism and Daymet variables (Figure 3). 291 Prism does not provide shortwave radiation; therefore, biases and MAPE were reported for other data 292 sources (i.e. Daymet, NARR and NLDAS). All data sources were shown to overestimate shortwave radiation with bias ranging from 0.44 to 14.89 MJ m<sup>-2</sup>. Similar to the temperature variables, MAPE 293 294 in shortwave radiation was found to be higher at the beginning and end of the growing season, more 295 so at the end of the season, irrespective of the data source, with values ranging from 19.89 to 296 354.12%. At all locations, patterns in average growing season MAPE in shortwave radiation are 297 similar to NARR, exhibiting the highest MAPE (average over locations =146.28%), followed by 298 NLDAS (average over locations=107.38%) and Daymet (average over locations=107.27%) (Table 299 3). Unlike temperature and radiation variables, the daily uncertainty in precipitation data did not 300 exhibit any specific behavior and showed inconsistency across the growing season. Bias in 301 precipitation is either negative or positive with values spreading from -8.54 to 7.20 mm depending on 302 the data source and date of the growing season. Further, all data sources have a high level of 303 uncertainty in precipitation as shown by MAPE ranging 2.5 to 253.89%. The Daymet and Prism data 304 were found to have relatively less uncertainty with growing season average MAPE values of 305 107.51% and 117.87%, respectively, compared to NLDAS (MAPE=157.13%) and NARR 306 (MAPE=180.08%) (Table 3). Results showed that all data sources underestimated relative humidity 307 for most of the days with bias values ranging from -21.13 to 4.23. Among all weather variables, 308 relative humidity was shown to have the lowest uncertainty as indicated by MAPE values ranging 309 between 6.88 to 30.30%. The uncertainty in relative humidity was found to be insignificant among 310 the data sources. The average growing season MAPE values for NLDAS, NARR, Daymet and Prism 311 are 14.22%, 15.71%, 16.06% and 14.46%, respectively (Table 3). 312 3.2 Impact of gridded datasets on NEE estimates 313 Figure 4 shows simulated daily NEE for corn and soybeans averaged over the years and 314 grouped by irrigated and non-irrigated sites. Overall, daily trends in NEE estimated using gridded

datasets were consistent with fluxes simulated using observed climate at flux tower sites. All flux
estimates, irrespective of gridded data source, crop type and irrigation management, showed strong
net carbon gain during the growing season. However, the results showed that the level of agreement

318 among the estimated fluxes varies with the climate data source and irrigation management. The

319 Daymet and Prism-based estimates showed better alignment with reference flux estimates compared

320 to that of NLDAS and NARR. Further, simulated fluxes at irrigated sites, irrespective of climate data





source and crop type, were shown to deviate more from reference fluxes relative to the fluxes at non-irrigated sites.

The bias and MAPE in the daily NEE averaged over the years and grouped by irrigation 323 324 management are presented in Figure 5. Bias values in NEE range from -96.01 kg ha<sup>-1</sup> day<sup>-1</sup> to 104.11 kg ha<sup>-1</sup> day<sup>-1</sup> while MAPE in estimated fluxes spans from 0.01% to 391.09%. The magnitude of bias 325 326 and MAPE depends on the source of the gridded data, day of the growing season, irrigation 327 management and crop type. Bias in simulated fluxes early in the growing season was observed to be 328 negative, indicating either overestimation of carbon gain or underestimation of carbon loss, while 329 later in the growing season, bias values were positive, suggesting either underestimation of carbon 330 gain or overestimation of carbon loss. Similar to the observed trends in the temperature and 331 shortwave radiation data, MAPE values in the estimated fluxes were higher at the beginning and end 332 of the growing season. Daymet based fluxes were found to have the lowest average growing season uncertainty with the lowest average MAPE and RMSE values of 22.53% and 10.82 kg ha<sup>-1</sup> day<sup>-1</sup>, 333 334 respectively followed by Prism (MAPE=23.45% and RMSE=13.59 kg ha<sup>-1</sup> day<sup>-1</sup>), NLDAS 335  $(MAPE=62.52\% \text{ and } RMSE=24.47 \text{ kg ha}^{-1} \text{ day}^{-1})$  and NARR (MAPE=66.18% and RMSE=25.02 kg)336 ha<sup>-1</sup> day<sup>-1</sup>) (Table 4). Irrespective of the source of the climate data and crop type, simulated fluxes at 337 irrigated sites showed higher uncertainty indicated by higher average growing season MAPE (53.15%) and RMSE values (23.98 kg ha<sup>-1</sup> day<sup>-1</sup>) compared to that of the simulated NEE at non-338 339 irrigated sites (MAPE=34.19% and RMSE=13.07 kg ha<sup>-1</sup> day<sup>-1</sup>). The MAPE values for corn NEE 340 were slightly less than soybeans NEE values. However, RMSE values for soybeans were lower 341 compared to that of corn. This could be attributed to higher values of NEE for corn resulting in 342 higher RMSE values, which may not necessarily indicate higher uncertainty. 343 3.3 Influence of individual climate variables on NEE estimates 344 The MAPE values in average NEE estimates that resulted from errors in the individual 345 climate variables of different gridded datasets were grouped by irrigation management and crop type 346 (Figure 6). These results suggest that dominant climate factors influencing uncertainty in NEE vary 347 with irrigation management irrespective of crop type and the source of gridded data. Under non-348 irrigation management, precipitation was found to be the most influential factor followed resulting in 349 higher uncertainty in NEE relative to other factors. The MAPE values in simulated NEE under non-350 irrigation management range from 76.53% to 185.57% due to bias in precipitation, while bias in 351 shortwave radiation resulted in MAPE values ranging from 72.92% to 119.11% depending on the 352 source of climate data. Bias in other variables was found to induce less than 50% MAPE in simulated

353 NEE. Uncertainty patterns in NEE estimates of different climate data sources were similar to the





354 findings in section 2.1. The Daymet and Prism climate variables performed better compared to 355 NLDAS and NARR variables in terms of accuracy in estimated fluxes. Influence of precipitation 356 biases appears to be less in soybeans simulations than in corn NEE estimates indicated by less MAPE 357 values for soybeans fluxes. In contrast to the results observed under non-irrigated management, 358 precipitation was shown to be the least influential factor under irrigation management, and shortwave 359 radiation and temperature variables were dominant factors inducing higher uncertainty in NEE. The 360 shortwave radiation bias resulted in MAPE values ranging from 90.79% to 148.36% in estimated 361 NEE under irrigation management while bias in temperature variables induced MAPE values ranging 362 from 28.71% to 91.90%. 363 4. Discussion 364 Given the high dependency of agroecosystem models on gridded climate datasets for 365 simulating carbon fluxes, in this study four commonly used gridded data sets in the U.S were 366 evaluated to understand uncertainty in their daily weather variables using observed meteorological 367 data at four flux towers located on agricultural sites in the U.S Midwest region. In addition, we 368 further studied the impact of uncertainties in climate datasets on estimated NEE. 369 4.1 Uncertainties in climate datasets 370 Our analysis suggests that all gridded climate datasets have some degree of uncertainty in 371 their daily weather variables. The degree of uncertainty varies largely among the datasets depending 372 on numerous factors (e.g., quality of inputs used in the climate and geo-statistical models, scale of 373 the models, representation of land-atmosphere interactions) (Newman et al., 2015; Strachan and 374 Daly, 2017). Similar to earlier findings (Bandaru et al., 2017), daily weather variables of Daymet and 375 Prism datasets were shown to have less uncertainty as indicated by MAPE values compared to that of 376 NLDAS and NARR datasets. The better performance of Daymet and Prism datasets could be mainly 377 attributed to the use of meteorological station observations as part of model input and a finer model 378 scale. In contrast, the NLDAS and NARR data sets primarily rely on atmospheric models run at 379 coarse spatial scale, which often fails to capture local fine scale land use, or topographic and 380 atmospheric interactions. Among Daymet and Prism, the Daymet daily weather variables exhibited 381 less uncertainty, and this finding is in contrast to the earlier study where Prism variables showed 382 higher accuracy when monthly weather variables were compared (Bandaru et al., 2017). This could 383 be attributed to differences in topographic, physical, and atmospheric factors affecting daily and 384 monthly weather variables and model scale. The Daymet model apparently has a better 385 representation of daily local land-atmosphere interactions compared to the Prism model. The Prism 386 uses historical climatology to establish local relationships whereas Daymet develops independent





regression of weather variables against elevation (Thornton et al., 1997; Daly et al., 2008; Mourtzinis
et al., 2018). Further, the use of finer resolution elevation maps as input in the Daymet model also
contribute to superior accuracy (Ruiz-Arias et al., 2009; Bishop and Beier, 2013). Previous studies
noted that a change in the model scale to a coarser spatial scale led to greater uncertainty in the
output for interpolation models (Bishop & Beier, 2013).

392 Irrespective of the dataset, temperature and shortwave radiation variables exhibited a high 393 level of uncertainty during the beginning and end of the growing season which represent early spring 394 and late fall, respectively. The high uncertainty during early spring and late fall could be due to many 395 factors. During winter months, seasonal variance in temperature and radiation is high due to constant 396 strife between subtropical warm air and polar cold air interacting each other. Also, the storm track is 397 more powerful because of a much stronger equator-to-pole temperature gradient. These factors could 398 create a lower signal-to-noise ratio in reanalysis datasets due to model scale and an inadequate 399 representation of these complex processes. Additionally, the cloud cover mask used in the models 400 may have a lot of uncertainty during winter months affecting simulation of cloud radiative effects 401 leading to errors in shortwave radiation (Zhang et al., 2016). Interpolation models used in the 402 Daymet and Prism primarily rely on the relationship between climate and topographic features and 403 do not have representation for complex climate dynamics that exist during winter months. Also 404 measurement errors were found to be high during the winter months which could introduce 405 uncertainty in interpolated datasets. 406 4.1 Trends and uncertainties in NEE estimates

407 Croplands are generally regarded as a carbon sink in all growing seasons since the carbon 408 assimilation rate is higher than the total respiration rate during most of the growing season. At the 409 start of the growing season, NEE tends to be positive indicating carbon loss due to negligible rates of 410 photosynthesis and relatively higher respiration rates but as the growing season progresses, the 411 carbon assimilation rate increases and NEE becomes negative, making croplands act as a carbon 412 sink. NEE increases until the onset of senescence (Hernandez-Ramirez et al., 2011; Gilmanov et al., 413 2013) and tends to become positive again at the end of the growing season due to the decline in the 414 rate of carbon sequestration (Gilmanov et al., 2013). In both corn and soybeans NEE estimates, this 415 pattern was evident even though there were differences in magnitude of daily NEE estimates 416 depending on the climate data sources, crop type, growth stage and irrigation management. Corn has 417 a higher net CO<sub>2</sub> uptake potential compared to that of soybeans as corn is a C4 plant, which has a 418 more efficient in CO<sub>2</sub> sequestration mechanism. Earlier studies found that corn's peak CO<sub>2</sub> uptake 419 rate is approximately 1.7 times higher than that of soybeans. Further, corn has ~15 days more CO<sub>2</sub>





- 420 sink periods (Dold et al., 2019). Irrigated croplands generally have higher net carbon gain compared 421 to non-irrigated croplands. Suyker & Verma (2012) reported approximately 20% higher net carbon 422 gain in irrigated corn systems compared to the gain under non-irrigated corn. These trends in daily 423 NEE differences between corn and soybeans and irrigated and non-irrigated management found in 424 our NEE estimates, which suggests that the model structure is adequate to capture seasonal complex 425 dynamics in carbon exchange under various cropping systems and management practices. 426 Even though NEE estimates captured general seasonal trends, a considerable amount of 427 uncertainty was introduced by climate datasets. The differences in uncertainty in the NEE estimates 428 match with bias patterns in climate datasets. Lower biases in Daymet and Prism climate variables 429 yielded better NEE estimates with less uncertainty compared to the estimates from NLDAS and 430 NARR datasets. Similar findings were reported in the earlier studies, which found that interpolated 431 climate datasets produced based on observational data are characterized by less bias, and result in 432 flux estimates with better accuracy (Poulter et al., 2011). 433 Our results indicated that biases in individual climate variables won't translate linearly to the 434 uncertainties in NEE estimates, even though biases in certain climate variables have strong impact 435 under specific conditions. For instance, irrespective of the source of climate data, shortwave radiation 436 and maximum temperature were overestimated for all days during the growing season while 437 precipitation exhibited random seasonal bias. However, the biases in daily NEE did not reflect a bias 438 pattern in any of these variables. Similar trends were found in the earlier studies on forest fluxes 439 (Barman et al., 2014). The processes determining various carbon components (e.g. net primary 440 production and soil respiration) are influenced by various individual climate factors and their 441 interactions among themselves and with other factors (e.g., soil characteristics) (Schelenkar and 442 roberts, 2009; Hernandez-Ramirez et al., 2011). Their relationship is generally non-linear. For 443 instance, in widely distant vapor pressure deficit conditions at different locations, similar temperature 444 and moisture conditions can lead to entirely different carbon uptake responses (Siebert et al., 2017). 445 Further, water and heat stress during the reproductive stage impacts the CO<sub>2</sub> assimilation rate significantly higher than stress during some vegetative growth stages. Therefore, bias in NEE is a 446 447 cumulative effect of biases in climate variables and their interactions with other factors such as crop 448 type, phenology, soil and management practices. 449 Results suggest that NEE are more sensitive to the biases in precipitation and shortwave 450 radiation compared to other climate variables under non-irrigated conditions, whereas under irrigated
- 451 conditions, biases in shortwave radiation and temperature variables impact the NEE uncertainty
- 452 maximum. The temperature and precipitation variables are used in models to determine plant growth





453 limiting factors (i.e. heat stress, water stress, and number of frost days) influencing net primary 454 production. Additionally, these variables influence other plant and soil processes (e.g., soil 455 respiration, evapotranspiration). Under non-irrigated conditions, precipitation becomes the most 456 limiting factor, particularly in sub-tropical and temperate climatic conditions such as in our study 457 region (Kukal & Irmak, 2018). Further, daily biomass (primary carbon input) simulated by models is 458 highly sensitive to the duration and timing of dry/wet spells (Dubrovsk'y et al., 2000), which also 459 impacts respiration rates through affecting litter decomposition rates. Therefore, uncertainty in the 460 precipitation amounts, especially during critical growth stages, has a substantial impact on the NEE 461 estimates (Irmak et al., 2000). Our results showed that precipitation biases are characterized by a 462 random pattern with both under- and over-estimation of precipitation amounts throughout the 463 growing season which substantially impact simulation of duration and timing of wet/dry spells and 464 lead to high uncertainty in NEE. Under irrigation management, precipitation is not a limiting factor. 465 So, heat stress acts as a primary growth limiting factor and leads to a pronounced impact on plant 466 growth and carbon exchange (Sippel, 2018). Uncertainty in the temperature variables also influence 467 growing season length and crop maturity period since it influences crop development (Warrington 468 and Kanemasu, 1983; Ritichi et al., 1998). Shortwave radiation was found to be a major climatic 469 variable in models affecting NEE under both non-irrigated and irrigated conditions. Shortwave 470 radiation determines total potential biomass (a primary carbon input), which contributes to a major 471 fraction in the total NEE values. Therefore, a small degree of uncertainty in shortwave radiation may 472 result in high level of uncertainty in total net primary production. 473 Our results showed that NEE uncertainties are larger under irrigated conditions compared to

473 Our results showed that NEE uncertainties are larger under irrigated conditions compared to 474 those under non-irrigated conditions, particularly towards the end of the growing season. Relative to 475 the reference NEE at irrigated sites, NEE estimates based on gridded datasets, particularly NARR 476 and NLDAS were found to reach peak earlier (Figure 2) and showed large deviation in the daily NEE 477 trends after the peak. This could be because model simulated earlier development of the crop due to 478 uncertainties in short wave radiation and temperature variables which primarily influence crop 479 growth and development patterns under irrigated conditions.

480 **5.** Conclusions

This study demonstrates that commonly used high resolution gridded climate datasets, irrespective of data source, are characterized with some degree of uncertainty and these uncertainties have a large influence on simulated NEE. However, the level of uncertainty in NEE estimates vary with gridded data source and management practices. The gridded climate datasets produced based on interpolation techniques (i.e. Daymet and Prism) were shown to have less uncertainties and resulted





- in better NEE estimates with relatively higher accuracy. Simulations of NEE under irrigation 486 487 management were shown to be more sensitive to errors in climate data compared to fluxes under 488 non-irrigation. Further, this study highlights that NEE respond differently to individual climate 489 variables, and responses vary with management practices. Under irrigation management, NEE are 490 more sensitive to shortwave radiation and temperature, and biases in these variables substantially 491 influence uncertainty in NEE estimates. Conversely, under non-irrigation management, precipitation 492 is a dominant climate factor influencing uncertainty in simulated NEE at the most. 493 Considering the biases in gridded data sources and their impact on NEE estimates, it is 494 important that careful consideration is taken when selecting climate data so that uncertainties in 495 simulated NEE can be mitigated. Also, when reporting NEE or other carbon elements (e.g. NPP) or 496 used in other models (e.g. integrated assessment models), uncertainties should be accounted. Further, 497 alternative approaches such as integration of remote sensing data products should be considered to 498 reduce the model's dependency on climate datasets. Advances in remote sensing facilitate the 499 development of crop type land surface products (i.e. leaf area index (LAI), evapotranspiration and 500 soil moisture), which are determined in the models as intermediate state variables using climate data. 501 These variables play an important role in determining NEE by influencing various processes (e.g. 502 CO<sub>2</sub> uptake rate and soil respiration). Forcing agroecosystem models to use remote sensing retrieved 503 crop variables instead of estimating using climate variables is expected to decrease the uncertainty in 504 the NEE estimates. 505 506 Acknowledgements 507 This work is partly supported by NASA Carbon Monitoring System program (grant no: NNX16AP25G). 508 The author thanks Dr. Raghu Murtugudde for valuable suggestions, and Pallavi Chirumamilla for her 509 assistance with data processing. 510 511 References 512 Porter, J. G., De Bruyn, W., and Saltzman, E. S.: Eddy flux measurements of sulfur dioxide deposition to the sea surface, Atmos. Chem. Phys., 18, 15291-15305, 513 https://doi.org/10.5194/acp-18-15291-2018, 2018. 514
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Fig.1. Comparison of simulated NEE estimated with best calibration settings, with measured
fluxes at four Ameriflux tower sites located in the U.S Midwest.













Fig.2. Comparison of bias and Mean Absolute Percentage Error (MAPE) in weather variables over
the growing season (April–October) from gridded climate datasets. Bias and MAPE values shown
in this figure calculated using equation 1&2.







Fig.3. Comparison of Mean Absolute Percentage Error (MAPE) (averaged over the growing
 season and years) in weather variables of gridded datasets for different flux tower locations.







Fig.4. Average daily Net Ecosystem Exchange (NEE) estimates (averaged over sites and years)
for irrigated corn and soybeans systems, simulated using various gridded datasets and measured
weather data at flux tower sites.









Fig.5. Comparison of bias and Mean Absolute Percentage Error (MAPE) in daily Net Ecosystem 780 781 Exchange (NEE) estimates for irrigated and non-irrigated corn and soybeans, simulated using 782 gridded climate datasets.

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Fig.6. Mean Absolute Percentage Error (MAPE) (averaged over growing season and years) in Net
Ecosystem Exchanges (NEE) estimates for irrigated and non-irrigated corn and soybeans from 16
simulations conducted to understand the impact of individual weather variables. For each
simulation, one weather variable from a one of the gridded datasets was used along the rest of the
variables from site weather data.





818 Table 1. Details of flux tower sites providing reference observational climate and CO<sub>2</sub> flux data.

Station	Abbrev.	Latitude	Longitude	Crop rotation	data length (y)	Elevation (m)
Mead Irrigated, NE	Ne1	41.16	-96.47	Continuous Corn	2001 to 2012	361
Mead Irrigated, NE	Ne2	41.16	-96.47	Corn-Soybeans	2001 to 2012	362
Mead Non-irrigated, NE	Ne3	41.18	-96.43	Corn-Soybeans	2001 to 2012	363
Bondville, IL	Bo1	40.00	-88.29	Corn-Soybeans	1996 to 2007	219

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Table 2. Details of gridded weather datasets evaluated in this study.

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Gridded data	Spatial resolution	Temporal resolution	Data length	Download Source
NARR	32 km	3-hourly	1979-present	ftp://ftp.cdc.noaa.gov/Datasets/NARR/
NLDAS	12 km	1-hourly	1979-present	http://disc.sci.gsfc.nasa.gov/hydrology
Prism	800 m	daily	1981-present	http://www.prism.oregonstate.edu/
Daymet	1 km	daily	1980-present	https://Daymet.ornl.gov/

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825 Table 3. MAPE (%) of climate variables (averaged over growing season and years) of various

826 climate datasets.

827

	Max. Temperature	Min. Temperature	Shortwave radiation	Precipitation	Relative humidity
NLDAS	18.93	76.59	107.38	157.13	14.22
NARR	18.53	75.01	146.28	180.08	15.71
Davmet	17.75	38.86	107.27	107.51	16.06
Prism	17.31	32.16	-	117.87	14.46

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Table 4. MAPE and RMSE of Net Ecosystem Exchange (NEE) estimates (averaged over

growing season and years) for irrigated and non-irrigated corn and soybeans.

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	Non-Irrigated Corn		Irrigated Corn		Non-Irrigated Soybeans		Irrigated Soybeans	
	MAPE (%)	RMSE	MAPE (%)	RMSE	MAPE (%)	RMSE	MAPE (%)	RMSE
NLDAS	41.36	19.76	76.39	40.46	51.96	10.61	80.37	27.06
NARR	49.83	25.02	77.96	37.89	57.93	12.94	79.04	24.88
Daymet	18.91	11.54	20.77	15.00	16.85	4.66	33.60	12.11
Prism	21.89	13.89	22.97	19.99	14.83	6.12	34.12	14.43

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