

Modelling dynamic interactions between soil structure and the storage and turnover of soil organic matter

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Abstract

Models of soil organic carbon (SOC) storage and turnover can be useful tools to analyze the effects of soil and crop management practices and climate change on soil organic carbon stocks. The aggregated structure of soil is known to protect SOC from decomposition, and thus influence the potential for long-term sequestration. In turn, the turnover and storage of SOC affects soil aggregation, physical and hydraulic properties and the productive capacity of soil. These interactions have not yet been explicitly considered in modelling approaches. In this study, we present and describe a new model of the dynamic feedbacks between SOM storage and soil physical properties (porosity, pore size distribution, bulk density and layer thickness). A sensitivity analysis was first performed to understand the behaviour of the model. The identifiability of model parameters was then investigated by calibrating the model against a synthetic data set. This analysis revealed that it would not be possible to unequivocally estimate all of the model parameters from the kind of data usually available in field trials. Based on this information, the model was tested against measurements of bulk density and SOC concentration, as well as limited data on soil water retention and soil surface elevation, made during 63 years in a field trial located near Uppsala (Sweden) in three treatments with different OM inputs (bare fallow, animal and green manure). The model was able to accurately reproduce the changes in SOC, soil bulk density ~~and~~, surface elevation observed in the field as well as ~~and~~ soil water retention curves —measured at the end of the experimental period in 2019 in two of the treatments ~~observed in the field~~. Treatment-specific variations in SOC dynamics caused by differences in OM input quality could be simulated very well by modifying the value for the OM retention coefficient ϵ (0.37 for animal manure and 0.14 for green manure). The model approach presented here may prove useful for management purposes, for example, in an analysis of carbon sequestration or soil degradation under land use and climate change.

1 Introduction

As a consequence of intensive cultivation, most agricultural soils have lost ca. 25–75 % of their antecedent store of SOC (Lal, 2013; Sanderman et al., 2017). Apart from contributing to the increase in atmospheric CO₂, this has also degraded the inherent physical quality and productivity of soil (e.g. Lal, 2007; Rickson et al., 2015; Henryson et al., 2018). This is because many important soil physical and hydraulic (e.g. water retention and hydraulic conductivity) properties are strongly influenced by soil organic matter (SOM). For example, SOM increases porosity and reduces soil bulk density (e.g. Haynes and Naidu, 1998; Ruehlmann and Körschens, 2009; Jarvis et al., 2017). This is partly because the density of organic matter is less than that of soil minerals, but more importantly, it is a consequence of the aggregated soil structure induced by the microbial decomposition of fresh organic matter (Tisdall and Oades, 1982; Young and Crawford, 2004; Cosentino et al., 2006; Feeney et al., 2006; Bucka et al., 2019). Changes in the SOM content may also affect the pore size distribution, although the magnitude of these effects across different ranges of pore diameter is still a matter of some controversy (e.g. Hudson, 1994; Rawls et al., 2003; Loveland and Webb, 2003; Minasny and McBratney 2018; Libohova et al., 2018).

The relationship between SOM and soil pore space properties can be characterized as a dynamic two-way interaction. This is because, in addition to the effects of SOM on soil pore size distribution and porosity, decomposition rates of SOM are reduced within microporous regions of soil that are poorly aerated and where the carbon is physically much less accessible to microorganisms (e.g. Ekschmitt et al., 2008; Dungait et al., 2012; Lehmann and Kleber, 2015). Whereas sorption interactions with mineral surfaces are probably the dominant

mechanisms protecting SOM from decomposition in coarse-textured soils, the additional physical protection afforded by microporous regions of the soil may lead to an enhanced long-term storage of SOM in structured fine-textured soils (e.g. Hassink et al., 1993; Chevallier et al., 2004; Souza et al., 2017; Dignac et al., 2017). Thus, the turnover of both particulate and soluble SOM has been shown to depend on its location in soil pore networks of different diameter and connectivity and with contrasting microbial communities (e.g. Strong et al., 2004; Ruamps et al., 2011; Nunan et al., 2017). Recent studies using novel X-ray imaging techniques have also provided additional insights into how the soil pore space architecture regulates the physical protection of SOM in structured soil (Kravchenko and Guber, 2017). For example, Kravchenko et al. (2015) showed that the decomposition rates of intra-aggregate particulate SOM were 3 to 15 times faster in the presence of connected networks of aerated soil pores $> 13 \mu\text{m}$ in diameter than in the absence of such pores. Toosi et al. (2017) showed that plant residues decomposed more slowly in soil microcosms dominated by pores 5-10 μm in diameter than in those containing a significant proportion of pores $> 30 \mu\text{m}$ in diameter. Quigley et al. (2018) showed that pores 40–90 μm in size were associated with a fast influx of fresh carbon followed by its rapid decomposition, whereas soil pores $< 40 \mu\text{m}$ in diameter were associated with reduced rates of carbon decomposition. From the foregoing, it follows that the turnover of SOM will be significantly affected by any physical or biological mixing process which transfers SOM between different pore regions in soil. For example, soil tillage may promote decomposition by exposing SOM that was previously effectively protected from microbial attack within microporous regions of the soil (e.g. Balesdent et al., 2000; Chevallier et al., 2004). Physical protection of SOM is also affected by the mixing resulting from the ingestion and casting of soil by earthworms (e.g. Martin, 1991; Görres et al. 2001; Angst et al., 2017).

Some widely-used models of SOM turnover and storage attempt to implicitly account for the effects of chemical and physical protection by introducing a stable or inert pool (e.g. Falloon and Smith, 2000; Barré et al., 2010). Other models have also been proposed that explicitly predict the effects of soil structure on SOM storage and turnover by making use of the concept of soil micro- and macro-aggregates (e.g. Stamati et al., 2013; Segoli et al., 2013). An alternative approach would be to define soil structure in terms of the soil pore space. The advantage of this is that it allows a straightforward coupling to models of flow and transport processes in soil (e.g. Young et al., 2001; Rabot et al., 2018). From a mathematical point of view, soil structure can be concisely described by the volume and connectivity of solids and pore space and the surface area and curvature of their interface, all expressed as a function of pore diameter (Vogel et al. 2010). ~~We Of these metrics, we~~ focus here on ~~the total porosity and the pore size distribution and its integral the total porosity~~, since these properties underlie widely-used soil hydrological models based on Richards' equation. Incorporating such a pore-space based approach to the interactions between SOM and soil structure into a soil-crop model would enable explicit recognition of the feedback links that exist between SOM dynamics, soil hydrological processes and plant growth (Henryson et al., 2018). Kuka et al. (2007) earlier proposed a pore-based model of SOM turnover (CIPS), although they did not account for any feedbacks to soil physical properties and hydraulic functions. Here, we propose and test a new model that describes the dynamic two-way interactions between SOM storage and turnover, soil structure and soil physical properties. We first performed a sensitivity analysis of the proposed model and also investigated parameter identifiability using a synthetic data set (e.g. Luo et al., 2017). This was done because the data usually available from field experiments for testing models of SOM storage and turnover may be insufficient to uniquely identify the parameters of even the simplest models (Juston et al., 2010; Luo et al., 2017). Such problems of parameter 'non-identifiability' or 'equifinality' (Beven, 2006) may introduce considerable uncertainties into model

predictions under changing agro-environmental conditions (e.g. Sierra et al., 2015; Bradford, 2016; Luo et al., 2017). Making use of the results of this sensitivity and uncertainty analysis, we calibrated the model against field data obtained from two treatments (bare fallow, animal manure) at the Ultuna long-term frame trial in Uppsala, Sweden, using measurements of the temporal changes in SOC concentrations and bulk density and limited data on the soil pore size distribution derived from water retention curves, as well as surface elevation. As a further test, we also compared predictions of the calibrated model with independent observations of measurements made in a green manure treatment in the same experiment.

2 Description of the model

2.1 Conceptual model

The model describes the dynamic two-way interactions between SOM storage and turnover and soil porosity and pore size distribution. A simple conceptual model is adopted to capture how the soil pore space changes as a result of changes in soil organic matter concentration (Figures 1 and 2). A list of all variables and their symbols can be found in Table S1 in the supplementary material. We consider that the total pore volume, V_p , comprises the sum of a constant textural pore volume, V_{text} , defined as the minimum value of the pore volume found in a purely mineral soil matrix without SOM (e.g. Fies and Stengel, 1981; Yoon and Giménez, 2012) and a dynamic structural pore volume comprising both macropores, V_{mac} , and an aggregation pore volume, V_{agg} . The biological processes underlying the generation of aggregation pores space (Dignac et al., 2017), which would be difficult to model individually in a mechanistic way, so we make no attempt to do so in our model. Instead, based on empirical knowledge, we simply assume a linear relationship between aggregation pore volume, V_{agg} , and the volume of soil organic matter (e.g. Emerson and McGarry, 2003; Boivin et al., 2009; Johannes et al., 2017). It should be emphasized here that although the model describes an aggregated pore space generated by microbial turnover of SOM, soil ‘aggregates’ themselves are not considered as explicit entities in this model, which instead is based on the soil pore space. In addition to classifying the soil pore space in terms of its origin, the model also considers three pore size classes (Figures 1 and 2). In addition to macropores, with the soil matrix porosity is partitioned into mesopores and micropores.

The model currently neglects storage of SOM in macropores because we expect that SOM *per se* would have little direct influence on the properties of soil macropore networks (e.g. Larsbo et al., 2016; Jarvis et al., 2017), but also because it would most likely be a minor component of the long-term SOM balance. The pore size distribution in the soil matrix influences SOM storage and turnover in the model in two ways: firstly, the mineralization rate of SOM in microporous regions is reduced due to physical protection. Secondly, the partitioning of OM inputs derived from plant roots between the two pore classes is determined by their relative volumes, in an attempt to mimic in a simple way how changes in soil structure affect the spatial distribution of root proliferation in soil. SOM is transferred between the two pore size classes using a simple mixing concept to reflect the homogenizing effects of soil tillage and faunal bioturbation. In this sense, the model has some conceptual similarities to the dual-pore region models that are commonly used to quantify the effects of soil structure on water flow and solute transport (e.g. Larsbo et al. 2005).

2.2 Soil organic matter storage and turnover

Four pools of organic matter (kg OM m⁻²) are considered in the model, comprising two types (qualities) of organic matter stored in the two pore regions of the soil matrix (Figures 1 to 3): the model tracks two pools of young undecomposed organic matter, one stored in parts of the soil in contact with well-aerated mesopore networks and the other stored in microporous soil regions ($M_{Y(mes)}$ and $M_{Y(mic)}$ respectively). Likewise, the model accounts for two pools of older microbially-processed organic matter, stored in the mesoporous and microporous regions of soil respectively ($M_{O(mes)}$ and $M_{O(mic)}$). Both types of organic matter are transferred between the two pore regions by bio-physical mixing processes, such as tillage and bioturbation. The SOM fluxes and rates of change of storage in the four pools of organic matter in the model are given by a modified version of the ICBM model (Andrén and Kätterer, 1997; Wutzler and Reichstein, 2013) extended to account for organic matter storage in two pore regions:

$$\frac{dM_{Y(mes)}}{dt} = I_m + \left(\frac{\phi_{mes}}{\phi_{mes} + \phi_{mic}} \right) I_r - k_Y M_{Y(mes)} + T_Y \quad (1)$$

$$\frac{dM_{O(mes)}}{dt} = (\varepsilon k_Y M_{Y(mes)}) - ((1 - \varepsilon) k_O M_{O(mes)}) + T_O \quad (2)$$

$$\frac{dM_{Y(mic)}}{dt} = \left(\frac{\phi_{mic}}{\phi_{mes} + \phi_{mic}} \right) I_r - k_Y F_{prot} M_{Y(mic)} - T_Y \quad (3)$$

$$\frac{dM_{O(mic)}}{dt} = (\varepsilon k_Y F_{prot} M_{Y(mic)}) - ((1 - \varepsilon) k_O F_{prot} M_{O(mic)}) - T_O \quad (4)$$

where ϕ_{mic} and ϕ_{mes} are micro- and mesoporosity (m³ m⁻³), k_Y and k_O are the first-order rate constants for the decomposition of fresh and microbially-processed organic matter (year⁻¹), F_{prot} is a response factor (-) varying from zero to unity that reduces decomposition in the micropore region to reflect a degree of physical protection, ε is an OM retention coefficient varying from zero to unity (-), ~~T_Y and T_O are source-sink terms (kg m⁻² year⁻¹) for the mixing of organic matter (e.g. by tillage or earthworm bioturbation) between the two pore classes~~ and I_r and I_m are the below-ground (root residues and exudates) and above-ground (litter and organic amendments e.g. manure) inputs of organic matter (kg m⁻² year⁻¹). It can be seen from equations 1 and 3 that the model assumes that root-derived organic matter is added to the microporous and mesoporous regions in proportion to their volumes, while above-ground litter and organic amendments are added solely to the mesopore region. Finally, T_Y and T_O are source-sink terms (kg m⁻² year⁻¹) for the exchange of organic matter (e.g. by tillage or earthworm bioturbation) between the two pore classes ~~the source-sink terms for mass exchange in equations 1 to 4 are~~ given by:

$$T_Y = k_{mix} \left(\frac{M_{Y(mic)} - M_{Y(mes)}}{2} \right) \quad (5)$$

$$T_O = k_{mix} \left(\frac{M_{O(mic)} - M_{O(mes)}}{2} \right) \quad (6)$$

where k_{mix} is a rate coefficient (year⁻¹) determining how much the proportion of the stored organic matter ~~which is~~ mixed annually ~~(year⁻¹)~~, varying between zero (no mixing) and unity (complete mixing on an annual time scale). It should be apparent from equations 1 – 6 that the effects of soil structure on SOM turnover become weaker as k_{mix} and/or F_{prot} tend to unity.

2.32 Soil physical properties

The model of SOM turnover and storage described by equations 1 to 6 above considers how the soil pore space influences SOM dynamics. We now derive a simple model of the feedback effects of SOM on porosity and pore size distribution. Our starting point is the fundamental phase relation for the total soil volume, V_t (m^3):

$$V_t = V_s + V_p = V_{s(o)} + V_{s(m)} + V_p = \left\{ A_{xs} \left(\frac{M_{s(o)}}{\gamma_o} + \frac{M_{s(m)}}{\gamma_m} \right) + V_p \right\} \quad (7)$$

where V_s , $V_{s(o)}$, $V_{s(m)}$ and V_p are the volumes (m^3) of solids, organic matter, mineral matter and pore space, γ_o and γ_m are the densities (kg m^{-3}) of organic and mineral matter, A_{xs} is a nominal cross-sectional area in the soil ($= 1 \text{ m}^2$), $M_{s(m)}$ is the mass of mineral matter (kg m^{-2}) and $M_{s(o)}$ is the total mass of organic matter (kg OM m^{-2}) given by:

$$M_{s(o)} = M_{Y(mes)} + M_{O(mes)} + M_{Y(mic)} + M_{O(mic)} \quad (8)$$

The mineral mass, $M_{s(m)}$, in equation 7 is assumed constant and is obtained from user-defined values of a minimum matrix porosity, ϕ_{min} ($\text{m}^3 \text{ m}^{-3}$), and thickness of the soil layer, Δz_{min} (m), corresponding to the theoretical minimum soil volume, $V_{t(min)}$ (m^3) attained when $M_{s(o)} = 0$:

$$M_{s(m)} = \Delta z_{min} \gamma_m (1 - \phi_{min}) \quad (9)$$

$$V_{t(min)} = A_{xs} \Delta z_{min} \quad (10)$$

The volume of organic matter, $V_{s(o)}$, and thus the total soil volume V_t , in equation 7 naturally changes as the stored mass of soil organic matter, $M_{s(o)}$, changes. The total soil volume is also affected by changes in the dynamic soil pore volume, which comprises macropores, V_{mac} as well as aggregation pore space, V_{agg} , induced by microbial decomposition of organic matter activity. Previous studies suggest that the volume of aggregation pore space, V_{agg} , should vary as a linear function of the volume of soil organic matter, $V_{s(o)}$ (e.g. Emerson and McGarry, 2003; Boivin et al., 2009; Johannes et al., 2017). The remaining textural pore volume linked to soil mineral matter, V_{text} , (see Figure 2) is constant. For the sake of simplicity, we also assume here that the soil macroporosity is also constant, such that V_{mac} is maintained proportional to the total soil volume. With these assumptions, the total pore volume, V_p , is given by:

$$V_p = V_{agg} + V_{text} + V_{mac} = A_{xs} \left\{ f_{agg} \left(\frac{M_{s(o)}}{\gamma_o} \right) + \Delta z_{min} \phi_{min} + \Delta z \phi_{mac} \right\} \quad (11)$$

where f_{agg} is an aggregation factor ($\text{m}^3 \text{ pore space m}^{-3} \text{ organic matter}$) defined as the slope of the linear relationship assumed between the volume of aggregation pore space V_{agg} and the volume of organic matter $V_{s(o)}$, ϕ_{mac} is the macroporosity ($\text{m}^3 \text{ m}^{-3}$), Δz is the layer thickness (m), and V_{text} The constant volume of textural pores, V_{text} (m^3), is obtained by combining equations 7, 9 and 10 with $M_{s(o)} = 0$.

Changes-Temporal variations in $V_{s(o)}$ and V_p induce temporal variations changes in the total soil volume (and therefore the soil layer thickness), porosity and bulk density. Combining equations 7, 9 and 11, gives the soil layer thickness as:

$$\Delta z = \frac{V_t}{A_{xs}} = \frac{\left\{ (1 + f_{agg}) \left(\frac{M_{s(o)}}{\gamma_o} \right) \right\} + \Delta z_{min}}{1 - \phi_{mac}} \quad (12)$$

and the matrix porosity ϕ_{mat} ($\text{m}^3 \text{ m}^{-3}$), total porosity, ϕ ($\text{m}^3 \text{ m}^{-3}$), and soil bulk density, γ_b (kg m^{-3}) as:

$$\phi_{mat} = \frac{V_{agg} + V_{text}}{V_t} = \frac{\left\{f_{agg} \left(\frac{M_{s(o)}}{\gamma_o}\right)\right\} + \{\Delta z_{min} \phi_{min}\}}{\Delta z} \quad (13)$$

$$\phi = \frac{V_{agg} + V_{text} + V_{mac}}{V_t} = \phi_{mat} + \phi_{mac} \quad (14)$$

$$\gamma_b = \frac{M_{s(o)} + M_{s(m)}}{V_t} = \frac{M_{s(o)} + (\Delta z_{min} \gamma_m (1 - \phi_{min}))}{\Delta z} \quad (15)$$

It is also helpful to derive expressions for porosity and bulk density as functions of the soil organic matter concentration, f_{som} (kg kg⁻¹), rather than of $M_{s(o)}$, since f_{som} is normally more often measured in the field. By definition:

$$f_{som} = \frac{M_{s(o)}}{M_{s(o)} + M_{s(m)}} \quad (16)$$

Combining equations 9 and 16 gives:

$$M_{s(o)} = \frac{f_{som} \Delta z_{min} \gamma_m (1 - \phi_{min})}{1 - f_{som}} \quad (17)$$

Substituting equation 17 into equations 13 – 15 and simplifying gives leads to expressions for the matrix porosity and the soil bulk density:

$$\phi_{mat} = \frac{\left[\left\{\left(\frac{f_{som}}{\gamma_o}\right) f_{agg} + \left(\frac{\phi_{min}(1 - f_{som})}{\gamma_m(1 - \phi_{min})}\right)\right\}(1 - \phi_{mac})\right]}{\left\{\left(\frac{f_{som}}{\gamma_o}\right)(1 + f_{agg})\right\} + \left(\frac{1 - f_{som}}{\gamma_m(1 - \phi_{min})}\right)} \quad (18)$$

$$\phi = \phi_{mat} + \phi_{mac} \quad (19)$$

$$\gamma_b = \frac{1 - \phi_{mac}}{\left\{\left(\frac{f_{som}}{\gamma_o}\right)(1 + f_{agg})\right\} + \left(\frac{1 - f_{som}}{\gamma_m(1 - \phi_{min})}\right)} \quad (19_{20})$$

In the absence of other governing processes, equations 14, 18 – 20 and 19 enable the identification of upper and lower limits of porosity and bulk density that occur at limit SOM concentrations of zero (i.e. a purely mineral soil) and unity (i.e. organic soils). Setting f_{som} to zero defines the maximum and minimum values of bulk density and porosity respectively as:

$$\gamma_b(f_{som}=0) = \gamma_m(1 - \phi_{min})(1 - \phi_{mac}) \quad (20_{1})$$

$$\phi(f_{som}=0) = \phi_{min} + \phi_{mac}(1 - \phi_{min}) \quad (21_{2})$$

Conversely, bulk density and porosity attain minimum and maximum values respectively in an organic soil when $f_{som} = 1$ kg kg⁻¹, such that:

$$\gamma_b(f_{som}=1) = \frac{\gamma_o(1 - \phi_{mac})}{1 + f_{agg}} \quad (22_{3})$$

$$\phi(f_{som}=1) = \left(\frac{f_{agg}}{1 + f_{agg}}\right)(1 - \phi_{mac}) + \phi_{mac} \quad (23_{4})$$

Finally, The partitioning of the matrix porosity, ϕ_{mat} , is partitioned between micro- and mesoporosity is given by:

$$\phi_{mic} = \frac{V_{agg(mic)} + V_{text(mic)}}{V_t} = \frac{\left\{ f_{agg} \left(\frac{(M_Y(mic) + M_O(mic))}{\gamma_o} \right) \right\} + \{ F_{text(mic)} \Delta z_{min} \phi_{min} \}}{\Delta z} \quad (254)$$

$$\phi_{mes} = \phi_{mat} - \phi_{mic} \quad (256)$$

where $V_{agg(mic)}$ and $V_{text(mic)}$ are the volumes (m^3) of aggregation and textural micropores respectively (see Figure 2) and $F_{text(mic)}$ represents the proportion (-) of the textural pore space that comprises micropores. It may-should be feasible to estimate $F_{text(mic)}$ from data on soil texture, since pore and particle size distributions are similar in the absence of structural pores (e.g. Arya et al., 1999; Yoon and Giménez, 2012; Arya and Heitman, 2015).

The model described by equation 1920 was first derived by ~~Federer-Stewart~~ et al. (197093), albeit in a simpler form in which macroporosity was-is neglected and γ_o and f_{agg} were-are lumped into one parameter, the bulk density of a purely organic soil given by equation 223 with $\phi_{mac}=0$. ~~They showed that t~~This simple model could-has been shown to accurately represent the observed relationships between organic matter concentration and bulk density measured on 480 samples of in forest soils in ~~north-eastern U.S.A~~Wales (Stewart et al., 1970; Adams, 1973) and north-eastern U.S.A (Federer et al., 1993) and agricultural soils in Australia (Tranter et al., 2007). More recently, this function has been incorporated into the Jena model (Ahrens et al., 2015; Yu et al., 2020). The validity of ~~theis~~ extended model approach presented here, which explicitly incorporates macroporosity and soil aggregation is further confirmed by Figure 4, which shows that equation 1920 gives reasonably good fits to paired-measurements of bulk density and organic matter concentration made at three agricultural field sites in Sweden, including the Ultuna frame trial.

Figure 5 shows the relationship between bulk density and organic matter concentration predicted by equation 19 for values of f_{agg} lying between zero and four. A comparison of the curves for values of f_{agg} similar to those obtained in the model fitting to the data (ca. 2-4, see Figure 4) with that for $f_{agg} = 0$ (i.e. no aggregation) demonstrates that aggregation dominates the effects of organic matter on soil bulk density, while the different densities of organic and mineral matter (γ_o and γ_m) only have a minor effect. It should be noted that the composition of OM sources may affect the extent of soil aggregation generated by microbial activity (e.g. Bucka et al., 2019). In this respect, each of the four OM pools could have been characterized by a different value of the aggregation factor. However, we have assumed here that the two qualities of organic matter modify the pore space to the same extent in both the micropore and mesopore regions, so that only a single aggregation factor, f_{agg} , is required in the model. As we will see later, this is because unequivocal parameterization of a more detailed model would be difficult to achieve, given the amount and kinds of data normally available from field experiments. Alternatively, a model of intermediate complexity can be envisaged in which f_{agg} would take different values in micropore and mesopore regions. Such a model would only introduce one additional parameter compared with the simplest case assumed here, but even this modest increase in complexity could cause difficulties with parameter identifiability.

2.4 Soil hydraulic properties

Equations 13, 245 and 256 describe a partitioning of the matrix pore space into two size classes as a dynamic function of soil organic matter storage. This partitioning can also be used to estimate continuous model functions for soil hydraulic properties (water retention, hydraulic conductivity) to enable a straightforward coupling to hydrological models based on Richards' equation. Most commonly used models of soil water retention employ two shape parameters to characterize the pore size distribution. Thus, one requirement of this approach is that one

of these two parameters must be assumed to remain constant. We illustrate this approach taking the widely used van Genuchten (1980) equation as an example. If residual water is negligible, the water content θ ($\text{m}^3 \text{m}^{-3}$) is given by:

$$\theta = \phi_{mat} (1 + |\alpha \psi|^n)^{\frac{1}{n}-1} \quad (267)$$

where ψ (cm) is the soil water pressure head and α (cm^{-1}) and n (-) are shape parameters that reflect the pore size distribution. We assume that n can be held constant, since it is known to be strongly determined by soil texture (e.g. Wösten et al., 2001; Vereecken et al., 2010), while α is allowed to vary, as it is more influenced by the nature of the structural pore space in soil (Assouline and Or, 2013). In this case, α (cm^{-1}) is given by:

$$\alpha = \frac{\left[\left(\frac{\phi_{mic}}{\phi_{mat}} \right)^{\frac{n}{n-1}} - 1 \right]^{1/n}}{|\psi_{mic/mes}|} \quad (278)$$

where $\psi_{mic/mes}$ is a fixed user-defined pressure head (cm) defining the size of the largest micropore in soil. This model only considers the two pore size classes comprising matrix porosity. However, it is possible to extend this model to account for macropores by making use of dual-porosity concepts (Durner, 1994; Larsbo et al., 2005).

3 Application of the model

3.1 Sensitivity analysis

We performed a Monte Carlo sensitivity analysis to better understand the behaviour of this new model. We ran 500 simulations with parameter values obtained by Latin hypercube sampling from uniform distributions. The simulations were run for 2000 years to make the outputs independent of the assumed initial conditions. Organic matter was added solely from below-ground residues at a rate ($0.02 \text{ g cm}^{-2} \text{ year}^{-1}$) that gave a final organic matter concentration of 0.03 kg kg^{-1} for the mean simulation. The sensitivity of the model parameters was quantified by Spearman rank partial correlation coefficients for three target output variables: the final values of bulk density, γ_b , soil organic matter concentration, f_{som} , and the micropore fraction of the matrix porosity, f_{mic} ($=\phi_{mic}/\phi_{mat}$), as a measure to characterize the soil pore size distribution (see equation 278). Parameter ranges of F_{prot} and $F_{text(mic)}$ ($0.05 < F_{prot} < 0.2$; $0.5 < F_{text(mic)} < 0.9$; see Table 1) were selected to represent a well-structured loamy to fine-textured soil, assuming a maximum pore size of the micropores of $5 \mu\text{m}$ (i.e. $\psi_{mic/mes} = -600 \text{ cm}$). Our analysis focuses on matrix pore space properties and SOM, so the macroporosity was fixed at a constant value in these simulations. The sampled ranges for the remaining model parameters shown in Table 1 were selected to approximately match their expected variations based on previous modelling experience.

The partial rank correlation coefficients are shown in Table 1. Not surprisingly, the organic matter concentration f_{som} was most affected by parameters regulating SOM turnover, especially the OM retention coefficient, ϵ , and the first-order rate coefficient for the microbially-processed OM pool, k_o . As expected, the physical protection factor, F_{prot} , was also highly significantly (and negatively) correlated with f_{som} . Parameters controlling organic matter turnover also strongly affected the simulated bulk density, γ_b , along with soil physical parameters, especially the aggregation factor, f_{agg} , and the minimum (i.e. textural) porosity, ϕ_{min} . The pore size distribution, as expressed by the fraction of micropores, f_{mic} , was most sensitive to changes in the micropore fraction of the textural pore space, $F_{mic/text(textmic)}$ (Table 1). This is encouraging because it is well known that soil texture exerts the most important

control on the pore size distribution in soil. The fraction of micropores was also highly significantly (and negatively) correlated with the mixing coefficient, k_{mix} , presumably because this mixing transferred root-derived OM from micropores to mesopores. This is also the reason why the bulk density, γ_b , and f_{som} are also strongly correlated with k_{mix} (Table 1), given that OM decomposition rates differ between the pore regions.

3.2 Parameter identifiability

The fact that model parameters are sensitive does not imply that they will be identifiable in a calibration procedure, since their effects on the target outputs may be correlated (e.g. Luo et al., 2017). We therefore investigated the identifiability of the model parameters using synthetic data generated by 50-year forward simulations of the model for two scenarios with different OM inputs: a bare fallow scenario with no OM inputs and a scenario with a constant OM input of $0.06 \text{ g cm}^{-2} \text{ year}^{-1}$. As initial conditions, the organic matter pools were set to values in equilibrium with a constant OM input of $0.02 \text{ g cm}^{-2} \text{ year}^{-1}$ giving an initial f_{som} of 0.03 kg kg^{-1} . Simulated bulk density, γ_b , soil organic matter concentration, f_{som} , and the soil microporosity, ϕ_{mic} , were used as target output variables in the calibration. The SOM concentration was assumed to have been sampled every 5th year, while data for bulk density and microporosity were assumed to be available only at the start of the experiment and on two subsequent occasions (after 20 and 50 years). Errors were added to the model simulated values for all three target output variables to represent measurement and sampling uncertainties due to spatial variability. We calculated these errors assuming 10 replicates per sampling occasion and normally distributed errors with a coefficient of variation of 10 %. The parameter values used to generate the synthetic data are listed in Table 2.

The model was calibrated against the synthetic data using the Powell conjugate gradient method (Powell, 2009) within given parameter ranges defined by minimum and maximum values (Table 2) and using the sum of squared errors as the goal function. The analysis was repeated 100 times for different initial starting values for the parameters in order to assess the uniqueness of the optimized parameter estimates. Two relatively insensitive parameters, γ_o and γ_m (Table 1), were assumed to be known and fixed at their true values (Table 2). Two further parameters were excluded from the calibration, namely the aggregation factor, f_{agg} , and minimum porosity, ϕ_{min} . Instead, they were fixed *a priori* by non-linear least squares regression on the synthetic data generated for bulk density and f_{som} using equation 1920 (with $\phi_{mac} = 0$) and known values of γ_o and γ_m (Table 2). Optimized parameter sets with goal function values less than 10 % larger than the global optimum ($n = 36$) were considered acceptable (Beven, 2006). Figure 5-6 shows that the best simulation with the calibrated model closely matched the synthetic data for bulk density, SOM and microporosity. Nevertheless, only three of the six parameters (ϵ , k_o and $F_{mic}F_{text}(textmic)$) were identifiable, with values for the 36 best parameter sets limited to narrow ranges around the true values (Figure 67). This was not the case for the three remaining parameters: optimized values of k_{mix} and k_y covered almost the whole tested range, while optimized F_{prot} values were restricted to roughly half of the sampled range (Figure 67). As can be seen in Table 3, the mixing coefficient k_{mix} correlated strongly with k_y , k_o , F_{prot} and $F_{text(mic)}$, but not with ϵ . The strongest correlations were found between the rate constants k_y and k_o ($r = 0.95$) and k_o and F_{prot} ($r = -0.91$). A strong correlation was also found between ϵ and k_y , k_o and F_{prot} .

3.3 Model evaluation with data from a long-term field trial

3.3.1 Field measurements at the Ultuna frame trial

The model was tested against data from the Ultuna long-term soil organic matter experiment at Uppsala, Sweden (59.82°N, 17.65°E) (Kirchmann et al., 1994; Witter, 1996; Herrmann and Witter, 2008; Kätterer et al., 2011). The climate is cold temperate and sub-humid with an annual mean air temperature of 6.3°C and a mean annual precipitation of 554 mm (1981-2014). The experiment was started in 1956 at the Swedish University of Agricultural Sciences in order to investigate the long-term effects of mineral N fertilizers and different organic amendments on crop yields, soil organic matter concentrations and soil physical properties. The soil texture in the uppermost 20 cm is clay loam (37% clay, 41% silt and 22% sand).

Of the 15 treatments included in the experiment, three were chosen for model testing: a bare soil treatment (bare fallow) that has received neither mineral N fertilizer nor any organic amendments since the beginning of the experiment and two other treatments receiving no mineral N fertilizer but 4 t ha⁻¹ C as organic amendments every second year in the form of green manure and animal manure, respectively. All three treatments receive P and K fertilizer (20 and 38 kg ha⁻¹ yr⁻¹) and are annually dug by hand, with the organic amendments mixed into the soil to a depth of 20 cm. The organic amendments were added irregularly at the beginning of the experiment i.e. in 1956, 1960 and 1963, but have since been supplied every second year. Maize has been grown exclusively on all the cropped plots since 2000. Before 2000, the crop rotation included a sequence of barley, oats, beets (excluded after 1966) and occasionally rape. Samples for the measurement of SOC were taken after harvest of the crops every second year. The three selected treatments show contrasting temporal trends in SOC during the 63 years of the experiment. While SOC concentrations have decreased steadily in the bare fallow treatment, they are still increasing in the plots fertilized with animal manure. Addition of green manure led to a slight increase in SOC concentrations during the first 10-15 years of the experiment, followed by a period of approximately steady-state conditions and then a slight decline in SOC concentrations on the most recent sampling occasions. Soil bulk density was measured occasionally, i.e. in 1956, 1975 and 1991 (Kirchmann et al. 1994), 1993 (Gerzabek et al., 1997), 1997 (Kirchmann and Gerzabek, 1999), 2009 (Kätterer et al. 2011) and in 2019 (this study). Kätterer et al. (2011) also reported measurements of relative surface elevation in 2009, which we utilize as additional validation data. Of the three treatments, the bare fallow plots show the largest bulk densities and the animal manure treatments the smallest. Information on the soil pore size distribution was ~~extracted from~~provided by water retention curves measured on samples taken in the uppermost 10 cm of soil on three different sampling occasions. As soil water retention was not measured at the start of the experiment, we made use of measurements made in 1969 (13 years later) on samples taken from just outside the experimental plots (Wiklert et al., 1983) to initialize the model. Soil water retention was also measured on four replicate undisturbed core samples taken from the three treatments in 1997, 41 years after the start of the experiment (Kirchmann and Gerzabek, 1999) and on eight replicate samples taken in 2019, although on this occasion only from the animal manure and bare fallow treatments.

3.3.2 Parameterization and calibration

The model was simultaneously calibrated against data from the bare fallow and animal manure treatments using the measurements of average soil bulk density and SOC concentrations in the uppermost 20 cm of soil, as well as the microporosity estimated from soil water retention curves, assuming a value for the maximum pore diameter of micropores of 5 µm (equivalent to a pressure head $\psi_{mic/mes}$ of -600 cm). A factor of 0.5 (Pribyl, 2010) was used to convert simulated SOM to measured SOC concentrations. We simulated a soil profile consisting of five soil layers,

each initially 4.5 cm in thickness. The model ~~equations were solved explicitly by Euler integration at was run with~~ an annual time step, ~~and a warmspin-up~~ phase of 5000 years with constant root-derived OM input ~~was included~~ to initialize the four SOM pools at a steady-state condition. During the 63-year experimental period, annual average OM inputs from roots and above-ground crop residues were used in the model. Following Kätterer et al. (2011), these were calculated for each treatment from annual yield data and the crop-specific root allocation coefficients reported by Bolinder et al. (2007). The root-derived input of OM to the simulated soil profile was calculated from an assumed root distribution estimated with a Michaelis-Menten-type function (Kätterer et al., 2011) and distributed uniformly among the soil layers. The organic amendments (8 t OM ha⁻¹ every other year in both the animal and green manure treatments) were assumed to be uniformly distributed within the 20 cm depth of soil hand dug by hand. This means that some of this added OM ~~eventually may become~~ incorporated into the subsoil below 20 cm (i.e. the depth of digging), if soil layer thicknesses increase (and bulk density decreases) due to an increase in SOM concentration (see equation 12).

Based on the results of the sensitivity analysis and model calibration against the synthetic data, we decided to calibrate only four parameters, namely the ones that we expected to be clearly identifiable: the input of organic matter during the ~~warmspin-up~~ period, the fraction of micropores in the textural pore region $F_{\text{textmic}(\text{textmic})}$, the OM retention coefficient ε , and the first-order rate coefficient for microbially-processed organic matter, k_o (Table 3). Values for ϕ_{mac} and f_{agg} were estimated using equation 1920 from non-linear regression between bulk densities and SOM concentrations assuming a value of ϕ_{min} of 0.35 cm³ cm⁻³ (Nimmo, 2013) and including data from all three of the treatments (i.e. bare fallow, animal and green manure; Figure 45). Similarly, van Genuchten's n was fixed to a value (= 1.073) obtained from a simultaneous fit of equation 28-27 to the water retention data measured in 2019 in the fallow and animal manure treatments. The remaining parameters were determined *a priori*, because they were less well identified in the calibration against the synthetic data. Given that the micropore region comprises pores smaller than 5 µm in diameter, we set the physical protection factor F_{prot} to 0.1, a value which lies within the range observed in the experiments described (e.g. by Kravchenko et al., (2015). Following Andrén and Kätterer (1997), we assumed $k_y = 0.8 \text{ year}^{-1}$. Estimating the mixing coefficient k_{mix} is problematic because it is highly sensitive for all target outputs (Table 1) but not identifiable by calibration (Figure 67). From preliminary simulations, we also concluded that k_{mix} must be set to a much smaller value in the ~~warmspin-up~~ period than during the 63-year experimental period in order to avoid obtaining unrealistically large calibrated estimates of the OM input prior to the experiment. A smaller k_{mix} value during the ~~warmspin-up~~ period presumably reflects the crop rotation practiced at the site prior to the experiment, which included frequent grass leys, so that the soil was tilled ~~much~~ less often. For the sake of simplicity, we set k_{mix} to zero during the ~~warmspin-up~~ period and to 0.05 year⁻¹ during the experiment. This gave a calibrated value of the OM input during the ~~warmspin-up~~ period (0.0064 g cm⁻² year⁻¹; Table 34) that is similar to the root OM input estimated for the green manure and animal manure plots during the experiment (0.0061 and 0.0071 g cm⁻² year⁻¹ respectively).

The calibration method was the same as described earlier for the synthetic data set. The calibrated model was then applied to the green manure treatment by running a forward simulation using the calibrated parameter values and the treatment-specific OM inputs. Again, a ~~warmspin-up~~ period of 5000 years was run in order to bring the SOM pools and total organic matter concentration to an initial steady-state condition. The goodness-of-fit of the model simulations was evaluated by three criteria, i.e. the Pearson correlation coefficient r , the root mean squared error RMSE and the mean absolute error MAE (equations 289 to 304). While r is a measure of the strength of the

relationship between the observations and simulations with a value of 1 showing a perfect positive linear relationship and a value of -1 showing a perfect negative linear relationship, RMSE and MAE measure the average magnitude of the error between observations and simulations. Both of them vary from 0 to ∞ with smaller values representing a better agreement. However, for the RMSE the errors are squared before averaging, which gives comparatively greater weight to larger errors.

$$r = \frac{\text{cov}(y, \hat{y})}{\sigma_y \sigma_{\hat{y}}} \quad (289)$$

$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^n e_i^2} \quad (2939)$$

$$MAE = \frac{1}{n} \sum_{i=1}^n |e_i| \quad (304)$$

where y and \hat{y} represent the observations and simulation results, respectively, cov is the covariance, σ_y and $\sigma_{\hat{y}}$ are the standard deviations of y and \hat{y} , e is the model error, i.e., $y - \hat{y}$, and n is the number of observations. The analyses were carried out with R (version 3.5.1, R Core Team 2018) using the *openxlsx* (Walker, 2019) and *plyr* (Wickham, 2011) packages.

Figure 7-8 and Table 4-5 show that the calibrated model accurately matched the trends observed in soil organic carbon in the bare fallow and animal manure treatments. The data suggests that the soil bulk density increased in the bare fallow treatment during the experiment, whereas it decreased in the animal manure treatment. These trends were also reasonably well described by the model (Figure 7-8, Table 4-5). As the soil organic carbon content was accurately simulated, the somewhat poorer match sometimes found between the model predictions of bulk density and the measurements reflects to a large extent the unexplained variation in the relationship between γ_b and f_{som} (equation 1920). In this respect, it is likely that the macroporosity, and therefore bulk density, at the time of sampling in autumn may vary from year to year depending on the way the topsoil was dug and the soil conditions at the time of cultivation. Kätterer et al. (2011) found that the elevation of the soil surface in the plots treated with animal manure was 2.6 cm higher relative to the bare fallow plots in 2009. In comparison, the model predicted a difference in the elevation of the soil surface of 2.7 cm between the two treatments in the same year (2009). The optimized values of the four calibrated parameters (Table 34) are very well constrained and also appear reasonable. The calibrated value of $F_{\text{extmic}(\text{mic} \text{ text})}$ (i.e. the fraction of textural pores smaller than 5 μm) was 0.85 (Table 34). Calculations with the Arya and Heitman (2015) model based on particle size distribution data from the site (Kirchmann et al., 1994) give a predicted value for $F_{\text{extmic}(\text{mic} \text{ text})}$ of 0.9, which is in excellent agreement with the estimate from model calibration.

Figure 8-9 shows a comparison of the water retention curves measured in 1997 and 2019 and the corresponding model predictions using equations 276 and 278, alongside the measurements utilized as an initial condition in 1956. The model accurately matched the data in 2019 for both treatments (Figure 8-9). However, although the shapes of the water retention curves measured in 1997 were also successfully reproduced, the measured matrix porosity differed significantly between the treatments in 1997 and this difference could not be matched by the model (Figure 8-9). It is unclear whether this discrepancy can be attributed solely to model error. Spatial variability in the field may also have played a significant role, since only four replicate core samples were taken in 1997.

Regardless of the reason for the discrepancy, the results suggest that it should be a reasonable assumption to hold the parameter n in van Genuchten's (1980) equation constant in dynamic models of soil matrix hydraulic properties. Figure 8-9 shows that whilst n is fixed, van Genuchten's (1980) α increased in the manure treatment, reflecting an improvement in structure, and decreased in the bare fallow, indicating structural degradation. The soil microporosity apparently decreased during the experiment in both treatments, while the mesoporosity remained largely unchanged in the fallow plots and only increased slightly in the manured treatment (Figures 7-8 and 8-9). The model simulations suggest some possible explanations for these results, which are surprising at first sight: in the case of the bare fallow plots with no OM input, we might expect physical protection to lead to a slower decline in the organic matter stock in the micropore region compared with the mesopore region (and thus an increase in the proportion of micropores). However, the bare fallow soil was tilled every year. The simulation results (Figure 9-10) suggest that this leads to a homogenization of the OM distribution in soil, with a net transfer of OM from the micropore region to the mesopores at a rate that exceeds the difference in decomposition rates between the pore regions. In the case of the manured plots, the stock of OM in the micropore region decreases in the model as a result of the significant increase in tillage intensity at the onset of the experiment, despite the large increase in the OM input, as the manure is input solely to the mesopore region (Figure 9-10). Furthermore, a successively smaller proportion of the root OM is added to the micropores as the aggregation mesopore volume increases (equation 3).

3.3.3 Model testing using data from the green manure treatment

The model predictions for the green manure treatment tended to underestimate bulk density, whilst clearly overestimating SOC concentrations (Figure 10-11). The model predicted a steady increase in SOC throughout the experiment, which was not observed in the field. As the animal and green manure treatments only differ slightly in the amount of C provided by roots and straw, the significant difference in SOC concentrations must be related to differences in the quality of the organic amendments. We therefore re-calibrated ε using the data from the green manure treatment, keeping all other parameters fixed at the values obtained from the calibration against the other two treatments. The resulting calibrated value for ε was 0.14, which significantly improved the fit of the model to the data for both SOC and bulk density (Figure 10-11, Table 4-5). The difference in the elevation of the soil surface between the green manure plots and the bare fallow plots measured by Kätterer et al. (2011) in 2009 (= 1.4 cm) was also accurately simulated by the model (= 1.6 cm). The smaller value of ε in the green manure treatment implies that less of the supplied OM is retained in the soil compared to the organic matter added to the soil as animal manure. This finding is supported by several previous studies that have analyzed data from this experiment with different approaches (e.g. Witter, 1996; Paustian et al., 1992; Hyvönen et al., 1996; Andrén and Kätterer, 1997; Herrmann 2003). Many studies have shown that the quantity and quality of organic amendments can strongly affect SOC turnover rates by altering the biomass, composition and activity of the soil microbial community (e.g. Blagodatskaya and Kuzyakov, 2008; Dignac et al., 2017). Herrmann et al. (2014) showed that, despite similar levels of microbial activity measured by heat dissipation, the soil from the green manure treatment had a significantly larger CO₂ production for the same energy input than the soil from the plots receiving animal manure.

4 Discussion and ~~Conclusions and perspectives~~

We presented a new model that describes for the first time the dynamic two-way interactions between SOM, soil pore space structure and soil physical properties. ~~This model should prove useful as a research tool to explore~~

~~mechanistic understanding of soil structure controls on SOM decomposition and stabilization. With the increasingly widespread application of non-destructive experimental techniques such as microCT tomography, it seems probable that more data on the mutual interactions of soil structure and SOM will become available that could be used to test and parameterize the model.~~

In this study, we tested the model against data taken from plots with contrasting OM inputs in a long-term field trial at Ultuna, Sweden. In a bare fallow treatment, the bulk density increased and soil profile thickness decreased as the SOC concentration decreased during the experiment, while the opposite trends were observed in plots amended with animal manure. Small changes were also detected during the experiment in the matrix pore size distribution (i.e. the shape of soil water retention curve). Our relatively simple model concept to couple organic matter storage and turnover with soil pore space structure was able to satisfactorily simulate these changes in SOC stocks and soil properties resulting from the contrasting OM inputs.

A form of the simple two-pool ICBM model (Wutzler and Reichstein, 2013) is obtained if the interactions between organic matter and soil structure are removed from our model. Successful applications of the ICBM model to the data from the Ultuna frame trial have already been published by Juston et al. (2010) for data available until 2007 and by Poeplau et al. (2015) for data until 2013. Although we do not show the results here, ICBM matches the SOC data until 2019 for the manure and bare fallow treatments almost as well as the model described here (RMSE values are slightly larger than those shown in Table 5), albeit with different parameter values: the retention efficiency ϵ is similar (0.35 vs. 0.37) but k_p is much smaller (0.015 vs. 0.036 year⁻¹), since physical protection is not modelled explicitly. However, in principle, for the same parameterization, the predictions of our model must diverge from those of ICBM for treatments with contrasting organic matter input rates. This is because ICBM is strictly a first-order kinetic model, such that steady-state soil organic matter contents are linearly dependent on the input. In contrast, although not shown here, the extended model incorporating soil structure-OM interactions does not show a linear response to organic matter inputs and this non-linearity becomes stronger as the mixing between the pore regions becomes weaker. Furthermore, even though it may be possible to satisfactorily calibrate a simple OM model such as ICBM to time-series of OM measurements at one particular site, a model that explicitly incorporates soil structure-OM feedbacks has many important advantages. For example, it enables simulation of the effects of soil structure and physical protection on OM turnover in contrasting soil types (e.g. sand vs. clay) explicitly and directly from measured particle size distributions, without having to resort to re-calibrating model parameters describing OM turnover for each soil, as was done, for example, by Poeplau et al. (2015). In principle, our model also has a much broader range of potential management applications. For example, it could be used to simulate the effects of contrasting tillage systems on SOC dynamics, as well as effects of faunal bioturbation on OM stabilization.

The model currently neglects some processes that may be important in determining the long-term storage of organic carbon in soil under changing environmental conditions, such as the interactions of organic carbon with mineral phases in soil and the regulation of decomposition rates by both abiotic factors (i.e. soil temperature and moisture) as well as the biomass, community composition and activity of microbial populations (Dignac et al., 2017). Moreover, organic matter inputs to the macropores either by root in-growth (Pankhurst et al., 2002) or the incorporation of surface litter by earthworms (e.g. Don et al., 2008) and its subsequent turnover are not considered in the model. Extending the model to account for these processes would be feasible, but it would require more comprehensive data to ensure effective and reliable results from model calibration. The model described here could also be further developed towards a more complete coupled model of soil structure dynamics and soil processes

by accounting for the dynamic effects of other physical (e.g. tillage/traffic, swelling/shrinkage) and biological processes (e.g. root growth/decay and faunal activity) on soil pore space properties and OM turnover. It should also be worthwhile to incorporate our model approach into more comprehensive models of the soil-crop system that integrate descriptions of hydrological processes, carbon and nutrient cycling and crop growth. Such a next-generation soil-crop modelling tool should prove useful in supporting a wide range of analyses related to the long-term effects of land use and climate change on SOM dynamics, soil hydrological processes and crop production.

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Table 1. Sampled parameter ranges and Spearman rank partial correlation coefficients (r) between parameters and target outputs. Values marked in bold show a significant correlation ($p < 0.01$). f_{som} = soil organic matter concentration, γ_b = bulk density, f_{mic} = fraction of micropores.

| Parameter | Sampled range | Partial correlation coefficients, r | | |
|---|---------------|-------------------------------------|--------------|--------------|
| | | f_{som} | γ_b | f_{mic} |
| 1 st order rate coefficient, k_y [year ⁻¹] | 0.1 – 1.0 | -0.54 | 0.37 | -0.10 |
| 1 st order rate coefficient, k_o [year ⁻¹] | 0.01 – 0.05 | -0.82 | 0.70 | 0.32 |
| Physical protection factor, F_{prot} [-] | 0.05 – 0.20 | -0.46 | 0.28 | -0.08 |
| OM Retention coefficient, ε [-] | 0.1 – 0.5 | 0.92 | -0.82 | -0.30 |
| Mixing coefficient, k_{mix} [year ⁻¹] | 0 – 0.2 | -0.68 | 0.50 | -0.60 |
| Fraction of textural micropores, $F_{f_{ext}(mic)}$ [-] | 0.5 – 0.9 | 0.24 | -0.16 | 0.96 |
| Density of mineral matter, γ_{min} [g cm ⁻³] | 2.6 – 2.7 | -0.09 | 0.37 | 0.01 |
| Density of organic matter, γ_{som} [g cm ⁻³] | 1.1 – 1.4 | -0.03 | 0.33 | -0.01 |
| Minimum porosity, ϕ_{min} [cm ³ cm ⁻³] | 0.3 – 0.4 | 0.162 | -0.85 | 0.02 |
| Aggregation factor, f_{agg} [-] | 2 – 4 | 0.0 | -0.50 | 0.02 |

Table 2. Parameter values used to generate the synthetic data and the sampled range in the model calibration.

| Parameters | Value used for data generation (true value) | Sampled range during calibration |
|---|--|-------------------------------------|
| 1 st order rate coefficient, k_y [year ⁻¹] | 0.40 | 0.1 – 1.0 |
| 1 st order rate coefficient, k_o [year ⁻¹] | 0.02 | 0.005 – 0.1 |
| Mixing coefficient, k_{mix} [year ⁻¹] | 0.05 | 0 – 0.3 |
| Microbial efficiency, ε [-] | 0.3 | 0.1 – 0.6 |
| Physical protection factor, F_{prot} [-] | 0.3 | 0.05 – 1.0 |
| Fraction of textural micropores, $F_{text(mic)}$ [-] | 0.5 | 0.2 – 0.8 |
| Density of mineral matter, γ_{min} [g cm ⁻³] | 2.7 | |
| Density of organic matter, γ_{som} [g cm ⁻³] | 1.2 | |
| Minimum layer thickness, $\Delta z_{(min)}$ [cm] | 16 | |
| Minimum porosity, ϕ_{min} [cm ³ cm ⁻³] | 0.4 ^a /0.41 ^b | |
| Aggregation factor, f_{agg} [-] | 5.0 ^a /4.92 ^b | |

^a) used for data generation, ^b) estimated by regression (Figure 4) and fixed during calibration

Table 3. Correlation matrix for parameter estimates for the 36 best parameter sets of 100 calibration runs against synthetic data for soil bulk density, SOC and microporosity (Figure 6). Values highlighted in bold show a significant correlation ($p < 0.01$).

| | k_{mix} | k_v | ε | k_o | F_{prot} | $F_{text(mic)}$ |
|-----------------|---------------------|---------------------|---------------------|---------------------|-------------|-----------------|
| k_{mix} | <u>1</u> | | | | | |
| k_v | <u>0.50</u> | <u>1</u> | | | | |
| ε | <u>0.27</u> | <u>0.69</u> | <u>1</u> | | | |
| k_o | <u>0.59</u> | <u>0.95</u> | <u>0.81</u> | <u>1</u> | | |
| F_{prot} | <u>-0.74</u> | <u>-0.87</u> | <u>-0.49</u> | <u>-0.91</u> | <u>1</u> | |
| $F_{text(mic)}$ | <u>0.57</u> | <u>-0.28</u> | <u>-0.13</u> | <u>-0.17</u> | <u>0.06</u> | <u>1</u> |

745 **Table 34.** Fixed parameters and range of parameter values included in the calibration, as well as the final parameter estimates after calibration. The range of the best-fit parameter values for the calibration runs with goal function values no more than 5% larger than the value for the best simulation ($n = 85$) is given within parenthesis.

| Parameters | Fixed value | Sampled range | Calibrated value |
|---|---------------------|---------------|--------------------------|
| 1 st order rate coefficient, k_y [year ⁻¹] | 0.80 ^{a)} | | |
| 1 st order rate coefficient, k_o [year ⁻¹] | | 0.01 – 0.1 | 0.036 (0.031 – 0.039) |
| Mixing coefficient, k_{mix} [year ⁻¹] | 0.05 | | |
| OM Retention coefficient, ε [-] | | 0.2 – 0.7 | 0.37 (0.35 – 0.39) |
| Physical protection factor, F_{prot} [-] | 0.1 ^{b)} | | |
| Fraction of textural micropores, $F_{text(mic)}$ | | 0.5 – 0.9 | 0.85 (0.84 – 0.87) |
| Density of mineral matter, γ_{min} [g cm ⁻³] | 2.7 | | |
| Density of organic matter, γ_{som} [g cm ⁻³] | 1.2 | | |
| Minimum layer thickness, $\Delta z_{(min)}$ [cm] | 4 | | |
| Minimum porosity, ϕ_{min} [cm ³ cm ⁻³] | 0.35 ^{c)} | | |
| Macroporosity, ϕ_{mac} [cm ³ cm ⁻³] | 0.152 ^{d)} | | |
| Aggregation factor, f_{agg} [-] | 2.46 ^{d)} | | |
| OM input warm spin-up [g cm ⁻² year ⁻¹] | | 0.005 – 0.009 | 0.0064 (0.0061 – 0.0066) |

^{a)} Andrén and Kätterer (1997), ^{b)} Kravchenko et al. (2015), ^{c)} Nimmo (2013), ^{d)} Figure 4

750 **Table 45.** Goodness of fit of the model simulations to observed bulk density and soil organic carbon concentration. r = correlation coefficient. RMSE = root mean squared error. MAE = mean absolute error.

| Parameter | | r | RMSE | MAE |
|-------------|--|-------------------------|--------|--------|
| Calibration | | Fallow | | |
| | Bulk density [g cm ⁻³] | -0.20 | 0.05 | 0.04 |
| | Soil organic carbon [kg kg ⁻¹] | 0.95 | 0.0005 | 0.0004 |
| | | Animal manure | | |
| | Bulk density [g cm ⁻³] | 0.99 | 0.04 | 0.04 |
| | Soil organic carbon [kg kg ⁻¹] | 0.89 | 0.0009 | 0.0007 |
| | Green manure (ε = 0.37) | | | |
| Validation | Bulk density [g cm ⁻³] | 0.94 | 0.08 | 0.07 |
| | Soil organic carbon [kg kg ⁻¹] | 0.04 | 0.004 | 0.004 |
| | | Green manure (ε = 0.14) | | |
| | Bulk density [g cm ⁻³] | 0.98 | 0.06 | 0.05 |
| | Soil organic carbon [kg kg ⁻¹] | 0.37 | 0.0008 | 0.0007 |

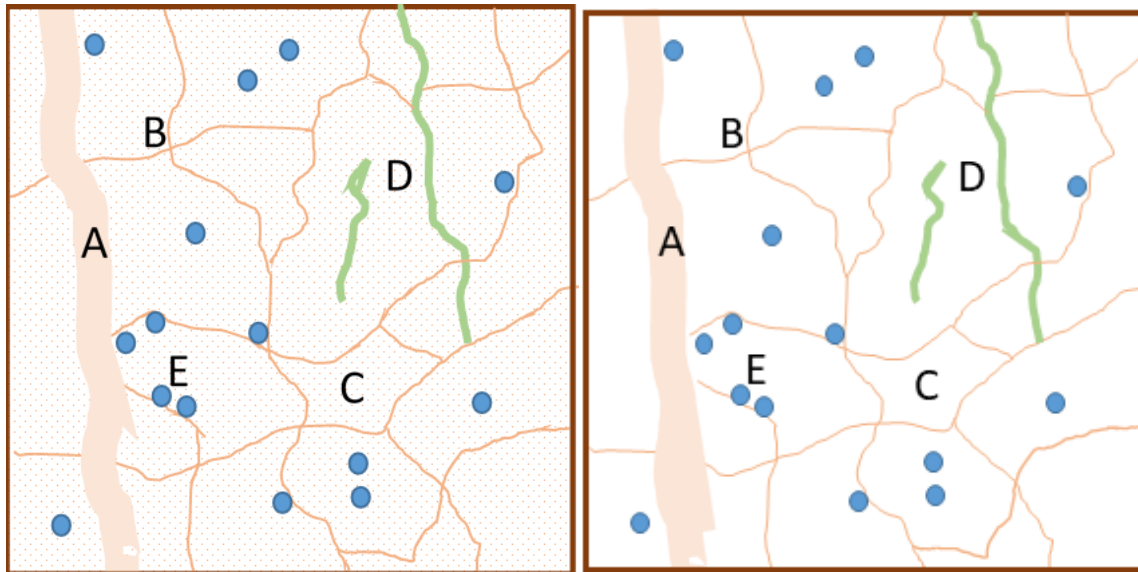


Figure 1. Schematic illustration of the conceptual model with the soil pore space comprising macropores (A), mesopores (thin lines, B) and micropores (dotted regions, C) and with two qualities of organic matter: particulate organic matter (POM e.g. decaying roots; green lines, D), and microbially-processed organic matter (blue circles, E), both of which are stored either in contact only with micropores (and therefore partially protected from decomposition) or in contact with mesopores.

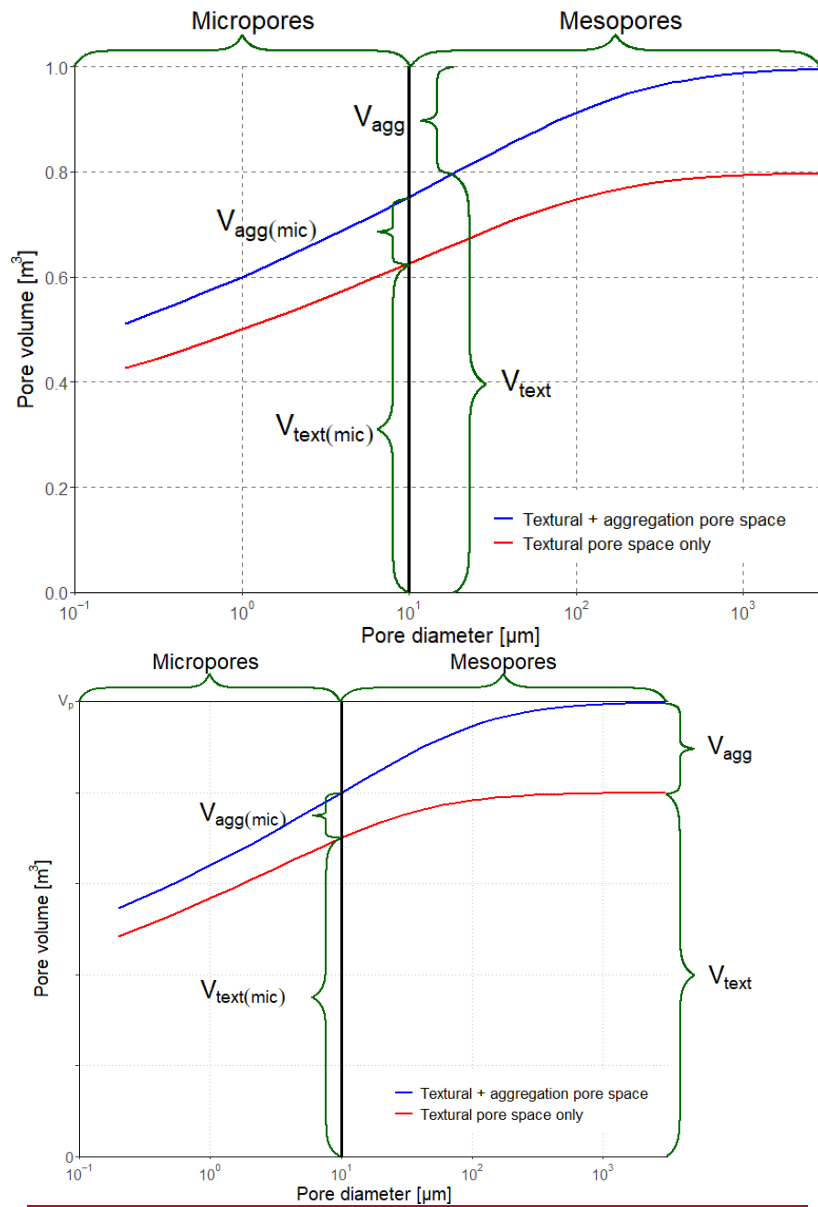


Figure 2. Schematic illustration of pore volumes and pore classes in the model (for explanation of symbols see text). In this example, macroporosity has been neglected and the total pore space is comprised of 80 % textural pores and 20 % aggregation pores induced by soil organic matter, with a maximum micropore diameter of 10 μm.

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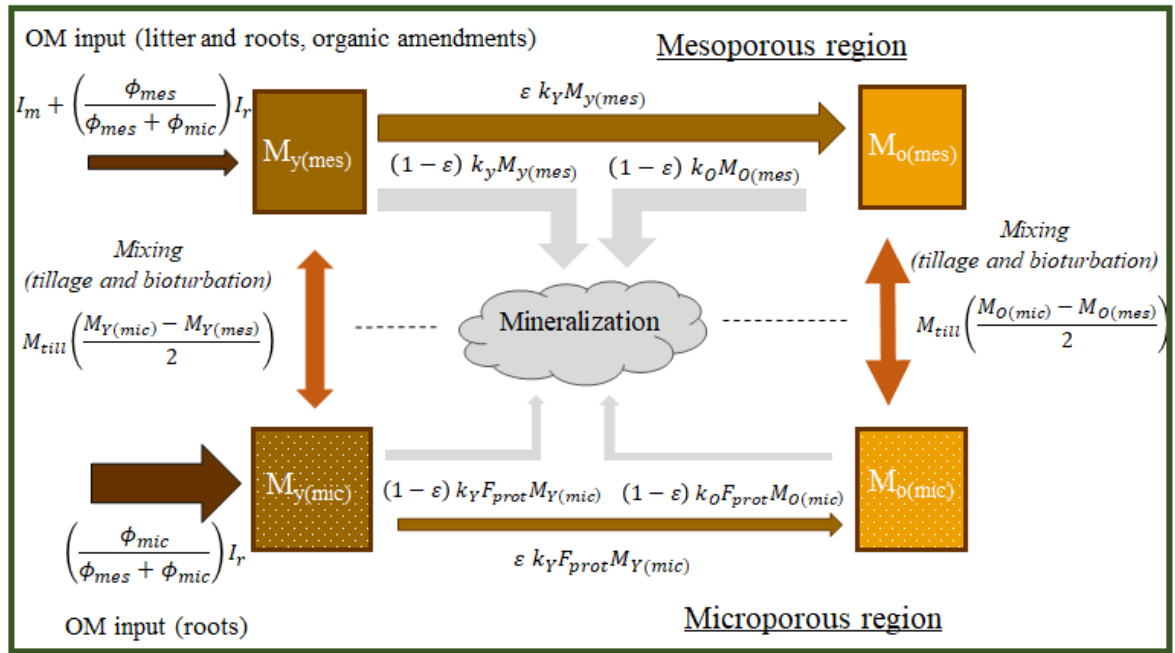
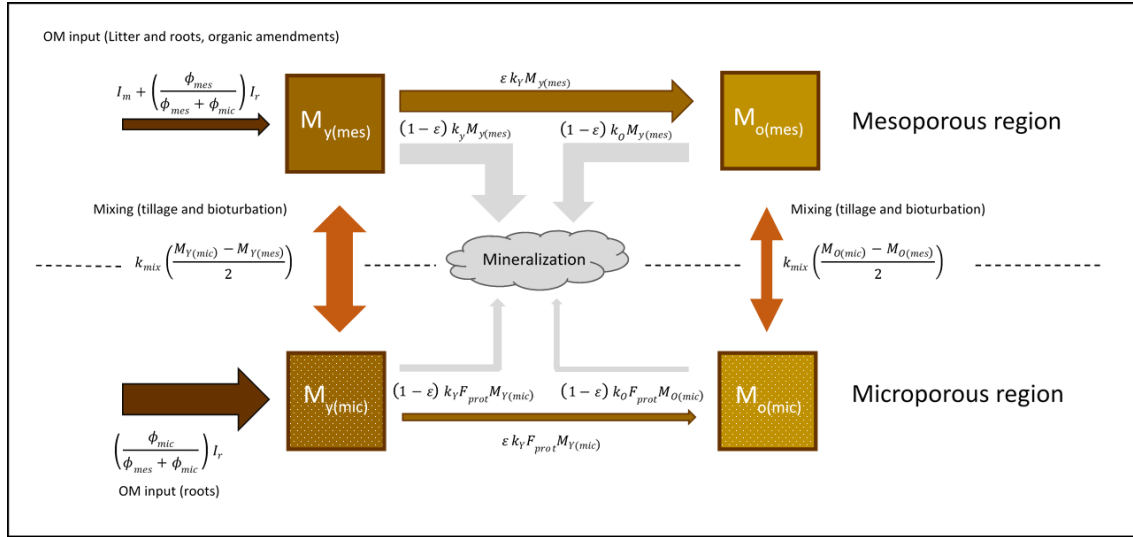


Figure 3. Schematic diagram of the structure of the organic matter model showing storages and flows. For explanations of symbols see the text in connection with equations (1) to (6).

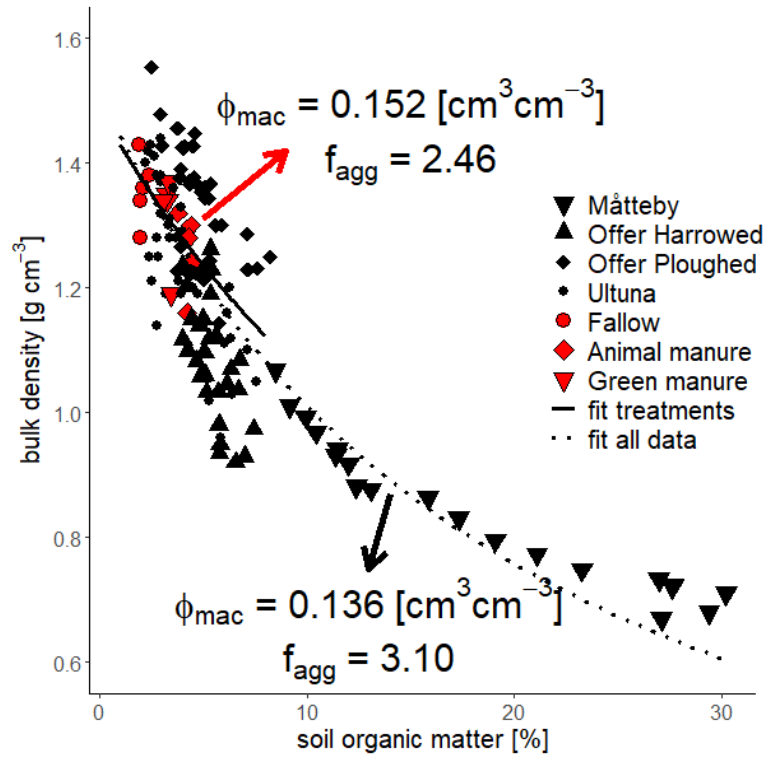


Figure 4. Equation [1920](#) fitted to data from three Swedish field sites (Ultuna data taken from Kirchmann et al., 1994, Gerzabek et al., 1997, Kirchmann and Gerzabek, 1999 and Kätterer et al., 2011; Måtteby data taken from Larsbo et al., 2016, with the soil under grass; Offer data taken from Jarvis et al., 2017; ‘harrowed’ soil had been ploughed and harrowed (samples were taken at 2-6 cm depth), ‘ploughed’ soil was only ploughed (samples were taken at 13-17 cm depth). Data used in this study is highlighted in red (fallow, animal manure and green manure). Soil organic matter content was estimated from soil organic carbon by multiplying by 2 (Pribyl, 2010). Equation [1920](#) was fitted by non-linear least-squares regression assuming ‘a priori’ that $\gamma_m = 2.7 \text{ g cm}^{-3}$, $\gamma_o = 1.2 \text{ g cm}^{-3}$ and $\phi_{\text{min}} = 0.35 \text{ cm}^3 \text{cm}^{-3}$.

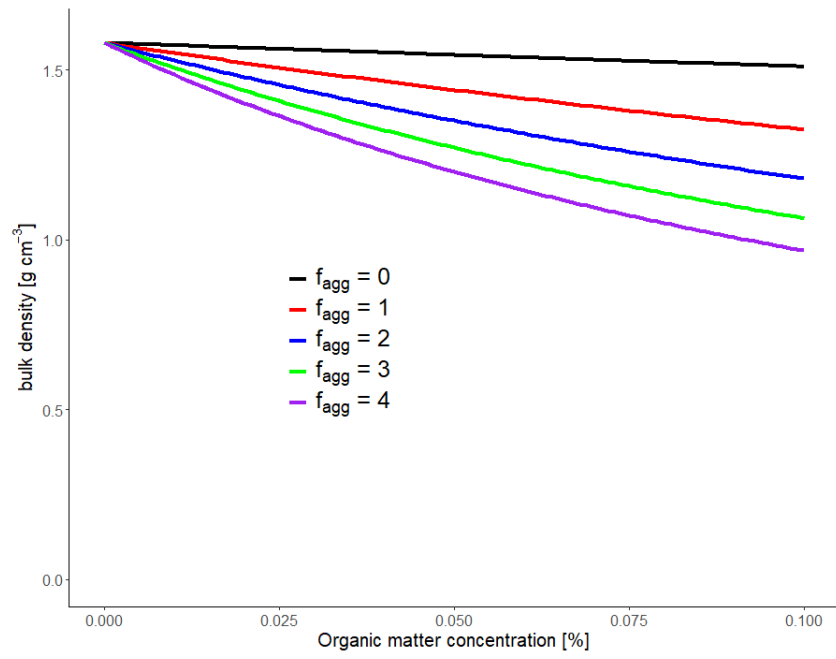


Figure 5. Plots of equation 19 for contrasting values of the aggregation factor, f_{agg} , with $\gamma_m = 2.7 \text{ g cm}^{-3}$, $\gamma_o = 1.2 \text{ g cm}^{-3}$, $\phi_{mac} = 0.1 \text{ cm}^3 \text{ cm}^{-3}$ and $\phi_{min} = 0.35 \text{ cm}^3 \text{ cm}^{-3}$.

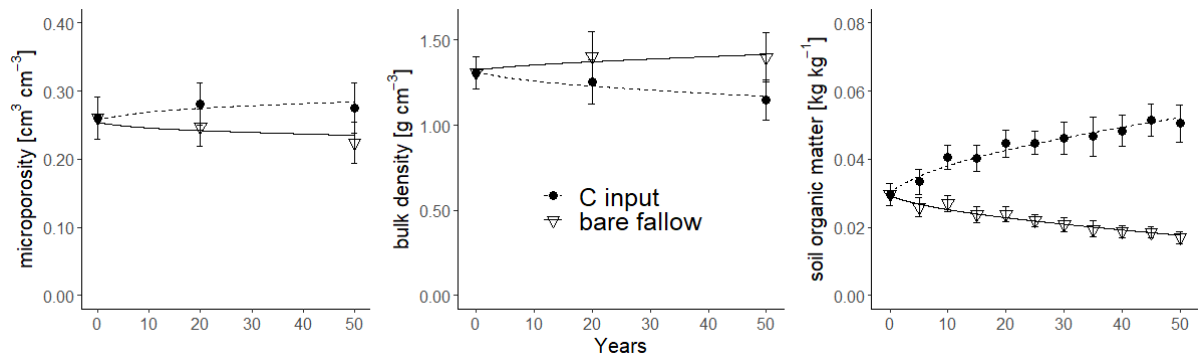


Figure 56. Synthetic data (symbols; bars show standard deviations) for microporosity, bulk density and soil organic matter concentration and model simulations (lines) after calibration.

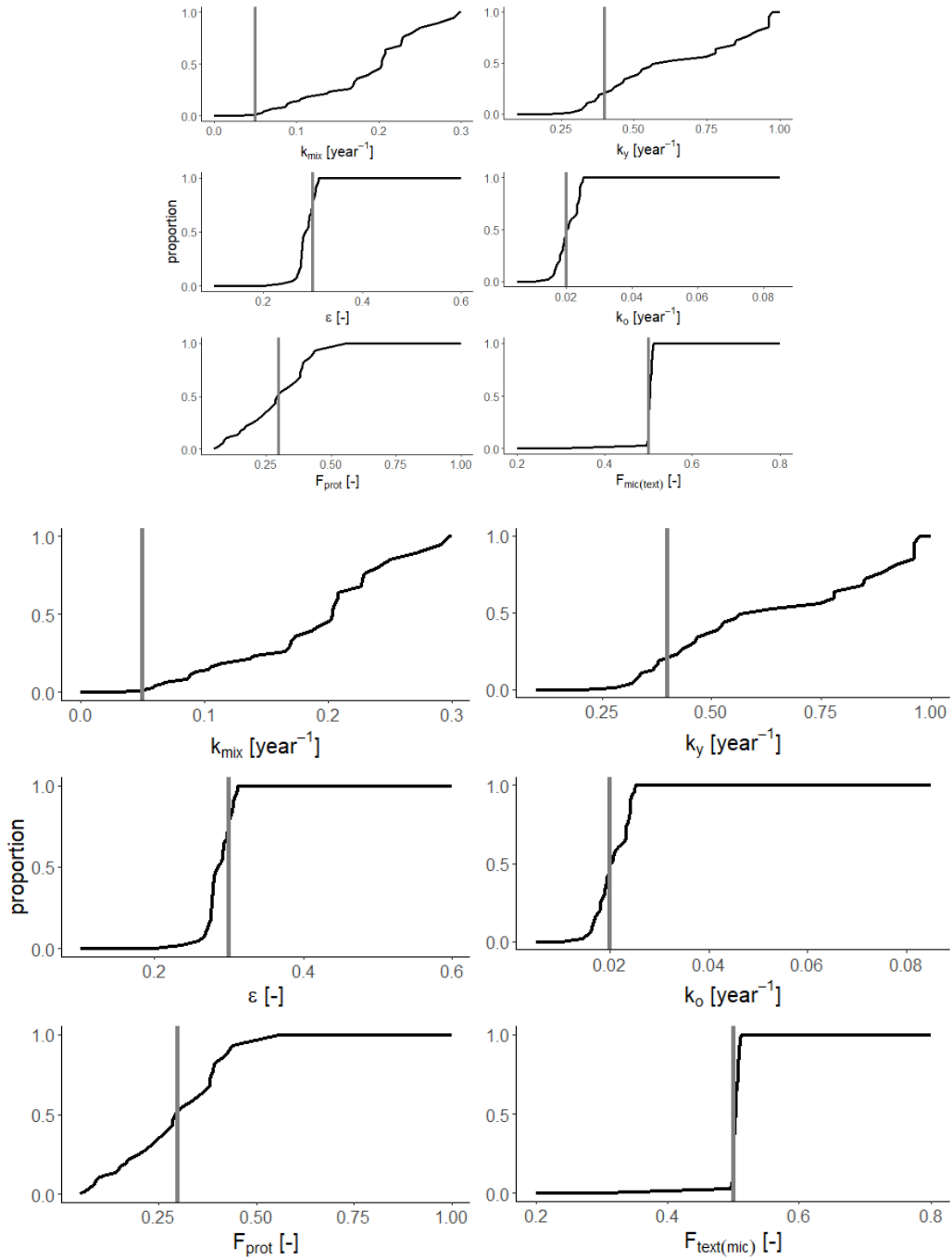


Figure 67. Cumulative frequency distributions of parameter estimates for the 36 best parameter sets of 100 calibration runs against synthetic data for soil bulk density, SOC and microporosity. The grey lines mark the true values used to generate the synthetic data.

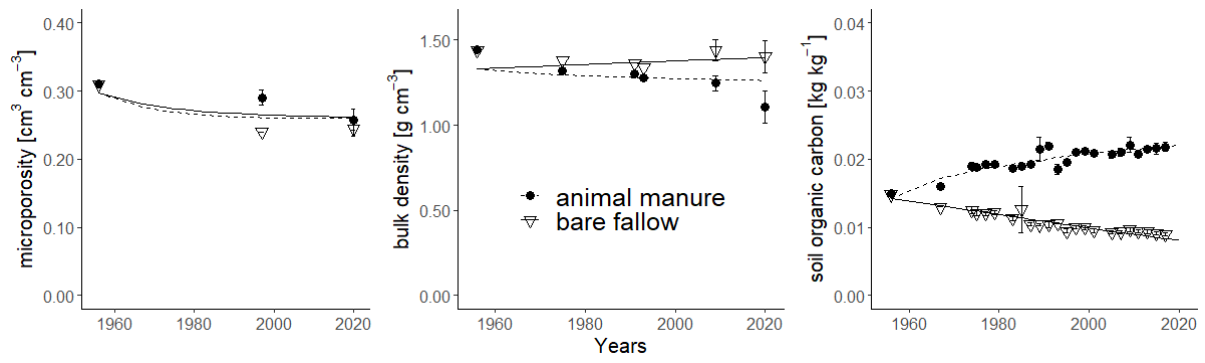


Figure 78. Observed (symbols; bars show standard deviations) and simulated (lines) microporosity [$\text{cm}^3 \text{cm}^{-3}$], bulk density [g cm^{-3}] and soil organic carbon concentration [kg kg^{-1}] for the fallow and animal manure treatments.

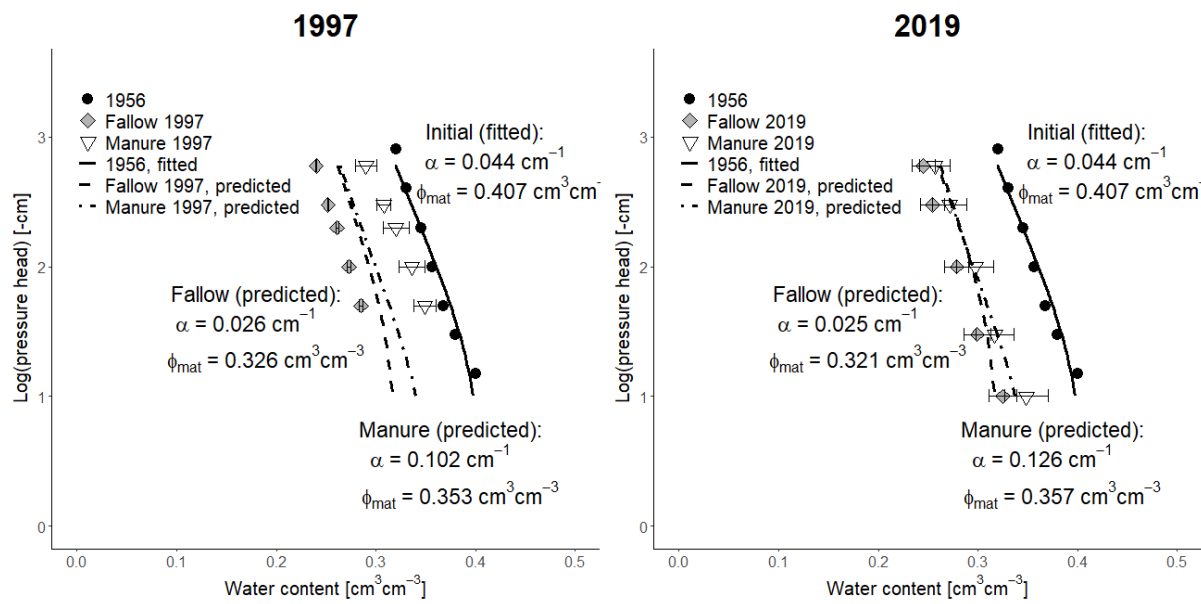


Figure 89. Observed (symbols; bars show standard deviations) and simulated (dashed and dotted lines) soil water retention curves in the fallow and animal manure treatments using equations 267 and 278. The left panel shows the measurements taken in 1997 and the right panel the measurements taken in 2019. The measurements used as the initial condition in 1956 are also shown, together with a fitted curve. Van Genuchten's n was fixed at 1.073 for all water retention curves.

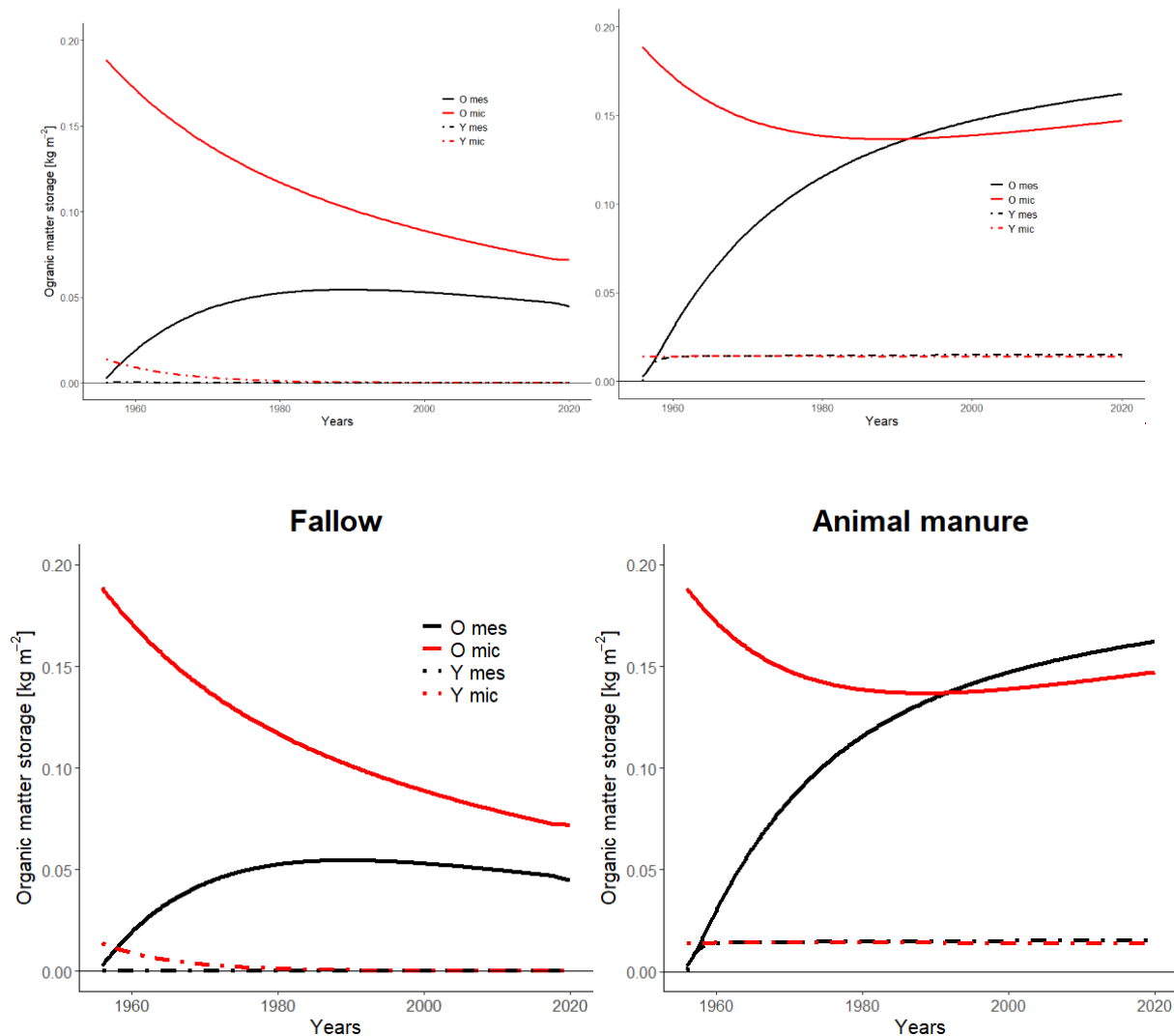


Figure 910. Simulated temporal development of young undecomposed (Y) and older microbially-processed (O) organic matter [kg m⁻²] stored in meso- and microporous regions in the bare fallow (left) and manure (right) treatment.

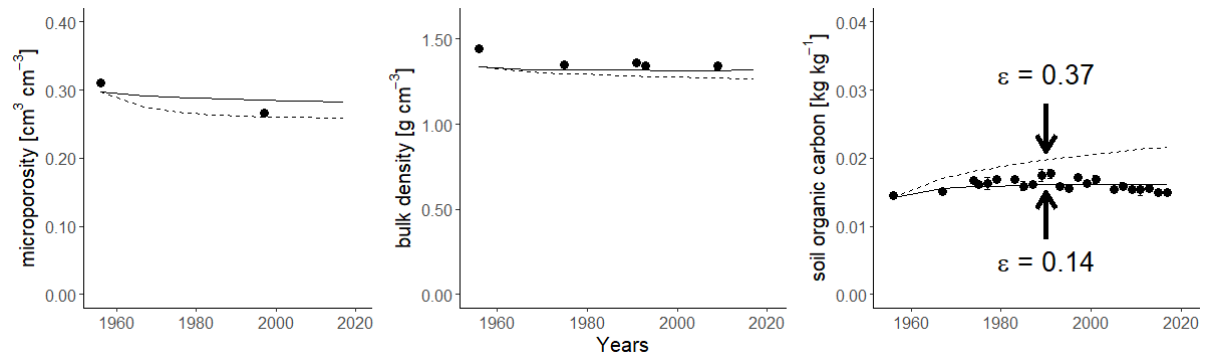


Figure 10.11. Observed (symbols; bars show standard deviations) and simulated (lines) microporosity [cm³ cm⁻³], bulk density [g cm⁻³] and soil organic carbon concentration [kg kg⁻¹] for the green manure treatment for two different values of the OM retention coefficient, ε .

Supplementary material

Table S1. List of variables and their symbols used in the model description.

| Symbol | Description | Unit |
|-----------------|--|-------------------------------------|
| f_{agg} | aggregation factor | $\text{m}^3 \text{m}^{-3}$ |
| f_{som} | soil organic matter concentration | kg kg^{-1} |
| F_{prot} | physical protection factor | - |
| $F_{text(mic)}$ | proportion of microporous textural pore space | - |
| I_r | below-ground inputs of organic matter | $\text{kg m}^{-2} \text{year}^{-1}$ |
| I_m | above-ground inputs of organic matter | $\text{kg m}^{-2} \text{year}^{-1}$ |
| k_{mix} | rate coefficient for proportion of organic matter that is mixed annually | year^{-1} |
| k_Y | first-order rate constants for the decomposition of young organic matter | year^{-1} |
| k_O | first-order rate constants for the decomposition of older organic matter | year^{-1} |
| $M_{s(m)}$ | mass of mineral matter | kg m^{-2} |
| $M_{s(o)}$ | total mass of organic matter | kg m^{-2} |
| $M_{Y(mes)}$ | pool of young organic matter in mesoporous soil regions | kg m^{-2} |
| $M_{Y(mic)}$ | pool of young organic matter in microporous soil regions | kg m^{-2} |
| $M_{O(mes)}$ | pool of older organic matter in mesoporous soil regions | kg m^{-2} |
| $M_{O(mic)}$ | pool of older organic matter in microporous soil regions | kg m^{-2} |
| T_Y | source-sink term for the mixing of young organic matter between micropores and mesopores | $\text{kg m}^{-2} \text{year}^{-1}$ |
| T_O | source-sink term for the mixing of older organic matter between micropores and mesopores | $\text{kg m}^{-2} \text{year}^{-1}$ |
| V_t | total soil volume | m^3 |
| $V_{t(min)}$ | minimum soil volume | m^3 |
| V_s | volume of solids | m^3 |
| $V_{s(o)}$ | volume of organic matter | m^3 |
| $V_{s(m)}$ | volume of mineral matter | m^3 |
| V_p | total pore volume | m^3 |
| V_{text} | textural pore volume | m^3 |
| V_{mac} | macropore volume | m^3 |
| V_{agg} | aggregation pore volume | m^3 |
| $V_{agg(mic)}$ | volume of aggregation micropores | m^3 |
| $V_{text(mic)}$ | volume of textural micropores | m^3 |
| α, n | shape parameters reflecting the pore size distribution | $\text{cm}^{-1}, -$ |
| A_{xs} | cross-sectional area (= 1) | m^2 |
| γ_b | soil bulk density | kg m^{-3} |
| γ_o | organic matter density | kg m^{-3} |
| γ_m | mineral matter density | kg m^{-3} |
| ε | organic matter retention coefficient | - |
| ϕ | porosity | $\text{m}^3 \text{m}^{-3}$ |

| | | |
|------------------|--|-----------------------------|
| ϕ_{mac} | macroporosity | $\text{m}^3 \text{ m}^{-3}$ |
| ϕ_{mes} | mesoporosity | $\text{m}^3 \text{ m}^{-3}$ |
| ϕ_{mic} | microporosity | $\text{m}^3 \text{ m}^{-3}$ |
| ϕ_{min} | minimum matrix porosity | $\text{m}^3 \text{ m}^{-3}$ |
| ϕ_{mat} | matrix porosity | $\text{m}^3 \text{ m}^{-3}$ |
| ψ | soil water pressure head | cm |
| $\psi_{mic/mes}$ | pressure head defining the size of the largest micropore in soil | cm |
| θ | soil water content | $\text{m}^3 \text{ m}^{-3}$ |
| Δz | thickness of soil layer | m |

Responses to the Editor

Please, carefully revise your manuscript, specifically with regard to the following points:

(i) please, include a detailed discussion of the advantages of the model as pointed out by both reviewer 1 and 2,

Response: Yes, we have done so. We extended the discussion of the advantages of our model in the final section of the paper.

(ii) I agree with reviewer 2 that the model description can be improved. The model description should also be accessible to modellers outside the immediate area of research and other scientists interested in SOM turnover.

Response: The model description now uses sub-headings to structure the text in a better way. We have also improved the clarity of the explanations and given a much fuller discussion of the aggregation factor, as requested by referee #1, including an illustrative new figure. This figure also enabled us to answer one of the interesting questions raised by referee #3. We have also added a new table containing a list of all variables and symbols used in the model description (supplementary material, Table S1).

We now feel very confident that the model description should be accessible and understandable for all researchers in the biogeosciences.

(iii) as reviewer 3 pointed out, the older literature must be better included in your manuscript.

Response: Yes, we have now included the older literature in the manuscript.

Responses to referee #1

The study contributes a new model on the dynamical between feedback soil organic matter (SOM) decomposition and soil aggregate structure. Like other models it employs the concept that the addition of low-density organic matter modifies both, the soil layer thickness, porosity, and the bulk density, but is the first study to my knowledge to explicitly discuss this feedback. It explicitly models retardation of SOM decomposition by aggregation and associated micropores. The approach is demonstrated using a simple parsimonious SOM model at pedon scale with a sensitivity analysis and a model calibration to a long-term field study. It will be a welcome contribution to the SOM modeling community. I enjoyed reading the manuscript. It is well written and the logical flow is clear to me.

Response: We would like to thank Dr. Wutzler for his kind comments and for his constructive suggestions for improving the paper.

The study could be made stronger by including a simulation/calibration without the feedback and comparing the improvements between the two versions.

Response: See our response to the next comment below

General comments

I missed a discussion on implications and results on whether the presented feedback is important for understanding or prediction of SOM dynamics or model structure. The authors showed that the relatively simple model could already predict differences in SOM and soil structure by different inputs. However, to what extent could this also be modeled without SOM influencing the soil structure? Although the paper holds enough new insights to be published, I encourage the authors to take the extra work to compare to a model version where the feedback is switched off. For example by calibrating time-constant bulk densities and parameters to the three input-scenarios.

Response: We have now run ICBM against the SOC data for the manure and bare fallow treatments and it performs almost as well as the model described in our paper (RMSE's are slightly larger than those shown in Table 5), albeit with different parameter values: the retention efficiency is similar (0.35 vs. 0.37) but k_o is much smaller (0.015 vs. 0.036 year⁻¹), since physical protection is not modelled explicitly. However, even if a simpler OM model such as ICBM can be calibrated reasonably well to time-series of OM measurements at one site, our model that explicitly incorporates soil structure-OM feedbacks has many important advantages. This is because it enables simulations of the effects of soil structure and physical protection on OM turnover in contrasting soil types (e.g. sand vs. clay) explicitly and directly from measured particle size distributions, without having to resort to re-calibrating model parameters describing OM turnover for each soil, as was done, for example, by Poeplau et al. (Geoderma 237/238, 246-255). In principle, our model also has a much broader range of potential management applications. For example, it could be used to simulate the effects of contrasting tillage systems on SOC dynamics, as well as the effects of faunal bioturbation on OM stabilization. We would also like to emphasize here that in discussing the importance of accounting for soil structure effects on SOM storage in simulation models, we should not ignore "the other side of the coin", namely the importance of SOM for soil structure. We feel that the inclusion in our model of the effects of SOM on porosity, pore size distribution and soil water retention, is a very important advance compared to other models, because it enables straightforward links to models of soil hydrology, plant growth and therefore OM inputs to soil. This kind of dynamic soil-plant model would encompass, for the first time, a complete description of all the physical feedback mechanisms determining organic C sequestration in soil. We expanded our discussion of these important issues.

The conclusions currently read more like a discussion. They could be sharpened to what readers should "take home" for their work from this study. What are the most important parameters and feedbacks that you think they need to consider in their experiments and studies?

Response: Yes, we modified this section. In fact, in order to meet some of the other comments and suggestions from Dr. Wutzler and referee #2, we can see the need to include a short discussion section in the paper

There are already models that let SOM decomposition affect soil structure. For example in the model of Ahrens et al. 2015 (see also Yu 2020 eq. S28a) SOM dynamics affects bulk soil density and soil volume and this in turn affects modeled concentrations, changes in soil volume, and transport processes. They applied the same concept of Federer 1993 as in the current manuscript, but incorporated many more processes so that this feedback was not explicitly discussed. The present manuscript additionally partitions micro-and mesoporosity and models protection by aggregation. A little comparison in the discussion or introduction would be nice.

Response: We did include a comparison of our model with several previous models in the Introduction, but we had missed that Ahrens et al. and Yu et al. also model the effects SOM on bulk density (as Dr. Wutzler writes, this aspect of their model was not prominently discussed in the cited papers). We included a reference to Ahrens et al. and Yu et al..

Dr. Wutzler notes that in addition to the physical protection of SOM afforded by soil structure, we also model the effects of SOM on pore size distribution and water retention. As mentioned earlier, we consider that this is an important advance, because it enables subsequent links to models of soil water flow and plant growth. We emphasized this aspect of the model in more detail.

P4L103: The authors argue that macropores probably are only a minor balance of SOM balance. Contrary, some researchers think, that macropores are a hot spot of SOM turnover and together with the rhizosphere are the most important places to study. Especially for systems with active earth worms this has been shown (e.g. Don et al. 2008).

Response: It would be possible to extend the model to deal with C inputs to the macropore region, for example by root in-growth or the exploitation of surface litter by earthworms, although this would increase model complexity and introduce new parameters. We agree that this is something that should be explored in the future. We added some text on this in the final section (Discussion and Conclusions).

eq. 7 and 11 seem to both add volume and additional pore space with addition of OM. In an alternative mind model putting dissolved organic matter or root exudates into soil would partly fill up existing pores. Please, add some explanation of assumptions to this part.

Response: Yes, this is an interesting question, which goes to the heart of the model concept. Adding a mass of OM must increase the volume of OM, but it could either increase or decrease the pore volume and thus the total volume of the soil. The parameter that determines this is f_{agg} (what we call the aggregation factor). If the addition of OM resulted in a net decrease of the pore volume, then f_{agg} would take a negative value (the minimum value f_{agg} could take is -1, if the added OM completely filled existing pore space, as Dr. Wutzler suggests it could). However, we can see from the data (see Figure 4) that $f_{agg} \sim 2$, in other words, a volume of OM creates twice its own volume of pores. There are several mechanisms and processes (both biological and physical) underlying the generation of aggregation pore space, which would be difficult to model separately, so our model makes no attempt to do so. The only assumption underlying the model is the linear relationship between aggregation pore space and OM, something which is strongly supported by experimental evidence (see text at lines 104 – 106). We added some more explanatory text on this.

I miss a paragraph how the model was integrated in time. I assume an explicit time (Euler forward) step much lower than the 5 years of distance between observations.

Response: Yes, it was an explicit numerical solution with Euler integration and an annual time step. We added this missing information to the text.

How did you track the changes in soil depth (eq. 12) in the comparison to data?

Response: This was already explained in the paper. We simulated five soil layers, with variable thickness according to equation 12 (lines 351/352) and the difference in the total profile depth between the two treatments was compared with the difference in the soil surface elevation measured in 2009 (lines 336/337).

Minor comments.

The discussion at p3L55 argues about soil structure affecting SOM dynamics. If one could show that it is not only affecting fast pools, then this argument could be made even stronger to affecting SOM stocks and soil carbon sequestration.

Response: Yes, true. We feel that the experiments discussed at lines 64-66 (and other similar experiments) give very strong evidence for the protective effect of soil structure on slow OM pools.

The font sizes in the figures are often very small, which makes it difficult to read the print-version.

Response: Thanks for the hint. We increased the font size of the figures.

eq.5 and 6: Why is there a factor of 1/2?

Response: It follows from the definition of k_{mix} as the intensity of mixing of the stored OM in the two pore classes at an annual time scale. It gives perfect mixing for $k_{mix} = 1 \text{ year}^{-1}$.

Please, check consistency of mathematical symbols. E.g. δz_{min} is sometimes written with min as subscript and sometimes with parenthesis (Table 1) denoting density γ_o and γ_m or γ_{org} and γ_{min} . F_{text_mic} or F_{mic_text} (fig. 6).

Response: Thanks for pointing this out. We corrected these inconsistencies.

p6L165: Parameter f_{agg} is introduced here. To my reading its quite an important parameter. I recommend explaining it (here or somewhere) in more detail. Does it correspond to the porosity of the volume occupied by organic matter?

Response: Not exactly, although f_{agg} is related to the porosity of organic matter, see equation 24 (please note that there has a typo in equation 24: ϕ_{mac} should replace ϕ_{min} . We fixed this in the revised paper). f_{agg} is simply the slope of the linear relationship that is assumed between the volumes of aggregation pore space and OM. We added some more explanation to the paper at line 174. We discussed the correspondence of the parameters of the Federer et al. (1993) model with our more fundamental derivation of essentially the same model at lines 217 – 234.

eq 21-24: please, use a different symbols at the left hand side than in (19) and (20) to denote the quantities to use assumption of $f_{som} = 0$ or $f_{som} = 1$.

Response: Thanks for the hint – we adapted the symbols.

Sect 3.2: Given the 5 years interval of SOM measurements the non-identifyability of the fast turnover pool is expected. Could you think of additional observations or sub-experiments that could inform the shorter time scale?

Response: Incubation experiments would be needed to quantify the dynamics of the young pool at shorter time scales. However, these kinds of experiments are usually conducted under controlled conditions in terms of water content and temperature, which makes it difficult to transfer the results to the field. Another approach would be to study the degradation of organic matter using litterbags (containing, for example, above-ground harvest residues). However, in the treatments that do not receive organic material, it can be assumed that the young pool consists of roots and rhizodeposition. Since it is difficult to quantify the amount of C that enters the soil via roots, it is also difficult to quantify its

degradation. We are not aware that these kinds of experiments have been carried out for the Ultuna frame trial.

Sect 3.2. The mixing ratio was quite influential in Table 1. I assume in the identifiability analysis it correlated strongly with other parameters -which ones?

Response: Yes, k_{mix} correlated strongly with k_y , k_o , F_{prot} and $F_{\text{ext(mic)}}$. We added a table with this information (see the new Table 3).

Could this lead to potential model simplifications?

Response: In some model applications, possibly yes, but not if the users are interested in the influence of tillage or earthworm bioturbation on C sequestration

P11L335: "root litter input was distributed uniformly across depth". What do you expect to be the effect of distribution root litter input with an exponentially decreasing profile? How do you treat partitioning of given total root input to the modeled top soil and the non-modeled lower depth?

Response: In the ploughed (and sampled) horizon relevant to this study, there would be no effect at all, because of tillage mixing. Input to the topsoil was distributed uniformly because we assume efficient mixing by tillage. The non-modelled lower depth is not relevant to this paper.

Fig 1: The dotted regions were not visible in my printout. Please adapt the pattern.

Response: We replaced the dotted pattern by blank regions.

Fig 2: The placing of the braces confused me. Vor micropores its at the maximum pore diameter for mesopores the lower boundary of the upper brace coincides with the blue line. To my understanding it should instead coincide with the red line at the upper diameter.

Response: We checked the figure and it is OK. We don't really understand this comment

Fig 3: Cannot read the subscripts in this figure. Please, adjust the font sizes. (Also in the other figures)

Response: We adjusted the font sizes of Figure 3 and the other figures as well.

Fig 8: I had to search for the difference between left and right panel. Please describe in the legend or make the font of the years 1997 or 2019 more prominent.

Response: We added a title to both panels stating the respective year. We also added the information to the figure caption.

Fig 9: Figure headings (bare fallow, manure) in addition to the legend would help the reader.

Response: Thanks for the comment – we added headings to Figure 10 (former Figure 9) and also adjust the font size of the legend.

Responses to referee #2

In this work, the authors propose a new framework to model soil organic matter turnover, which includes a two-way coupling between SOM storage and soil porosity. The model considers four pools of organic matter, with the dynamics described by four coupled differential equations. The novelty consists in using additional pools to divide the organic matter between micropore and mesopore soil regions, each one characterized by its own fluxes and decomposition rates. In my opinion such a model indeed can bring new insights about the dynamical feedback between soil physical properties and SOM decomposition, and can be an important contribution to the field. Although I find the paper interesting, I have some concerns. In particular I would have appreciated a more detailed discussion of the advantages of this new model. My recommendation is publication of this manuscript subject to a revision based on comments listed below.

Response: We appreciate the positive feedback of reviewer#2. We included some more text on the advantages of this model compared to models that do not include interactions with soil physical properties in a final discussion and conclusions section

1 - I find that the paper is in general well written, but the section with the description of the model is very confusing and needs to be improved. I would suggest to first write the full model including the feedback on porosity, and only afterward to follow with all the necessary derivations.

Response: Thanks for the suggestion, which we have considered carefully. However, after trying out different options, we decided we would like to keep the current structure of the model description and derivation, which we are convinced will be easier to follow and understand for the reader. We think the model derivation is already clearly presented. However, meeting some of the changes requested by referee #1 and referee #3 should lead to further improvements in clarity.

Also, it is not clear by looking at the equations which parameters are kept constant, one has always to search in the text.

Response: Tables 1, 2 and 4 give information on the parameters that were kept constant and those that were varied in the sensitivity analysis and in the calibrations.

One solution is to use upper case for functions and lower case for constants.

Response: We are not sure we understand this suggestion, but the difference between parameters and functions is apparent from the equations themselves.

Please also double check the notation, for example the density of mineral matter is ρ_m on pg.6 and ρ_{min} in all tables.

Response: Thanks for the comment. We corrected this inconsistency (and also similar ones for the density of organic matter and the minimum layer thickness). We have double-checked the notation and cannot find any other errors.

2 - The abstract states that the model successfully reproduces the soil water retention curves. I find this statement too strong due to the discrepancy of the curves for the year 1997.

Response: Yes, we modified this sentence to make it clear that the model only successfully matched the water retention measurements obtained at the end of the experimental period in 2019.

3 - I would like to see an extended discussion on the k_{mix} and F_{prot} , since these parameters are at the core of the discussed feedback. For large values of k_{mix} and F_{prot} $nsim$ 1 the soil structure properties have to become less important to the dynamics of SOM turnover. Could the authors comment on this transition to the regime where the soil porosity becomes less relevant for the model outcome?

Response: Yes, this is correct. We included a brief discussion of this in the revised paper

I would also appreciate a short comment on the choice of the sampled range for the sensitivity analysis (and also the choices for calibration).

Response: For the sensitivity analysis, we justified the choice of sampled ranges at lines 244 – 249: The sampled ranges for calibration were based on previous experience with SOM models and some trial and error. The defined ranges for calibration could in theory influence the outcome of the calibration procedure if there are local minima in the goal function. However, we found that increasing the ranges shown in Table 3 had no impact on the results.

Responses to referee #3

Meurer and colleagues describe a modified version of the ICBM model which is intended to describe a feedback between SOC formation and decomposition and its effects on bulk density and pore size distribution. While the premise of the study is very interesting it falls short in proving that the feedback between micropore space and SOC decomposition is needed to describe SOC dynamics.

Response: We would like to thank the referee for his valuable feedback. The paper improved as a result.

I would ask the authors to clarify and work on the following points:

- Please do a more thorough literature research: Before Federer et al. (1993) a couple authors have used equations similar to the Federer one, maybe even your Equation 20 (Adams, 1973; Rawls, 1983). These are just two examples - probably you can work your way backwards from here. Tranter et al. (2007) provide a good overview of the literature and show how soil texture affect mineral soil bulk density.

Response: Thank you. Yes, Federer et al. were not the first to apply the model. We added this relevant literature in the text where currently we only mention Federer et al..

- It would be interesting for the reader to see how much of bulk density changes is due to the difference in density between minerals and soil organic matter (mass effect), and how much due to changes in porosity (difference in porosity between minerals and soil organic matter?).

Response: Yes, this is an interesting question. We interpret the second part of this sentence (“changes in porosity”) to mean the effects of aggregation. This question can be answered by analyzing equation 20. We added a figure to the paper based on this equation showing how the relationship between bulk density and organic matter concentration varies with different values of f_{agg} (the aggregation factor). The curve for $f_{agg}=0$ (i.e. no aggregation) shows that the different densities of organic and mineral matter have only a minor effect. Aggregation dominates the effects of organic matter on bulk density. We can mention here that that the simpler version of this bulk density model previously published does not allow for this kind of analysis, since it does not distinguish between these two effects.

- Figure 7 suggests that the microporosity effect is minimal and the increase/decrease in bulk density is solely driven by the decrease/increase in SOC.

Response: In this model application, all the parameters in equation 20 (for calculating bulk density) are considered as constants except for the organic matter content, so yes, the increase/decrease in bulk density is indeed solely driven by the decrease/increase in SOM.

- Please provide some numbers how important SOC changes are for changes in microporosity.

Response: Equation 25 shows how the time-varying SOC content and the (constant) soil textural pore space affect microporosity. The results of the sensitivity analysis suggest that the balance between microporosity and mesoporosity is most strongly determined by soil texture, which certainly agrees with past empirical experience. This was already discussed at lines 252 – 255.

- You set F_{prot} a priori based on literature values. I think you have to provide more background to the reader how they were derived. SOC is then decomposing at a speed of 10 percent in micropores. Is this well constrained by experiments?

Response: This value is based on a study published by Kravchenko et al. (2015) in which they used X-ray tomography to show that the decomposition rates of intra-aggregate particulate SOM were 3 – 15

times faster in the presence of connected networks of aerated soil pores $> 13 \mu\text{m}$ in diameter than in the absence of such pores (see Introduction II. 55 – 57). We chose the value for F_{prot} based on this range. This was mentioned in the text at lines 349 – 351 and also in Table 3. Clearly, more experiments of this kind will help to better constrain this parameter value in the future. We discussed this at lines 441 – 444.

- You use the term 'warm-up'. Please correct to *spin-up*.

Response: We changed “warm-up” to “spin-up”.

-Please provide a complete list with all symbols and abbreviations. The reader can get lost in the amount of equations otherwise.

Response: We added a list with symbols to the supplementary material.