



1	New insights into mechanisms of sunlight-mediated high-temperature accelerated diurnal
2	production-degradation of fluorescent DOM in lake waters
3	by
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25 Abstract

26	The production of fluorescent dissolved organic matter (FDOM) produced from phytoplankton
27	and its subsequent degradation both of which occur constantly under diurnal-day time sunlight and
28	by night time dark-microbial processes, influence markedly several biogeochemical processes and
29	functions in aquatic environments and can be feasibly related to global warming (GW). In this
30	work sunlight-mediated high-temperature was shown to accelerate the production of FDOM, but
31	also its complete disappearance over a 24-h diurnal period in July, but not in lower temperature
32	months. In July, extracellular polymeric substances (EPS), an early-state DOM, were produced
33	from phytoplankton in early morning (6:00-9:00), then were degraded into four FDOM
34	components over midday (10:00-15:00), which was followed by simultaneous production and
35	almost complete degradation of FDOM with reformation of EPS during night time (2:00-6:00).
36	Such transformations occurred simultaneously with the fluctuating production of nutrients (NH_4^+ ,
37	NO_3^- , NO_2^- , PO_4^{3-} and dissolved Si), dissolved organic carbon (DOC), dissolved organic nitrogen
38	(DON) and the two isotopes ($\delta^{15}N$ and $\delta^{18}O$) of NO ₃ ⁻ . The FDOM components identified by
39	fluorescence excitation-emission matrix (EEM) spectroscopy combined with parallel factor
40	(PARAFAC) analysis consisted of EPS, autochthonous humic-like substances (AHLS) of C and
41	M types distinctly, a combined form of C and M types of AHLS, protein-like substances (PLS),
42	newly-released PLS, tryptophan-like substances (TLS), tyrosine-like substances (TYLS), a
43	combined form of TYLS and phenylalanine-like substances (PALS), as well as their degradation
44	products. Finally, stepwise degradation and production processes are synthesized in a pathway for
45	FDOM components production and their subsequent transformation under different diurnal
46	temperature conditions, which provided a broader paradigm for future impacts on GW-mediated
47	DOM dynamics in lake water.





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- 49 Keywords: Fluorescent dissolved organic matter (FDOM); sunlight-mediated processes; dark-
- 50 mediated microbial processes; transformation of FDOM; water samples; closed lakes

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52 **1. Introduction**

Natural organic matter (NOM) is a key material in sustaining all biogeochemical processes and 53 54 phenomena in the ecosystem. NOM originates from two primary sources, *i.e.* plant materials in terrestrial ecosystems and phytoplankton in aquatic systems. Terrestrial NOM, including soil 55 56 humic substances (humic acid and fulvic acid) (Tadini et al., 2017; Senesi and Loffredo, 1999), can produce dissolved organic matter (DOM) that partially runs-off into surrounding aquatic 57 58 environments (Zark and Dittmar, 2018; Mostofa et al., 2019). Conversely, autochthonous aquatic DOM includes extracellular polymeric substances (EPS), autochthonous humic-like substances 59 (AHLS), protein-like substances (PLS), aromatic amino acids of various nature, etc (Shammi et al. 60 2017a, 2017b, 2017c; Guidi et al., 2016; Zhang et al., 2009; Mostofa et al. 2013; Yamashita and 61 62 Tanoue, 2003). These substances occur as major fractions, even if very diluted, in surface waters of lakes, estuaries and oceans⁷, thus they control many important biogeochemical functions and 63 processes in aquatic systems, including cycling of C (Zark and Dittmar, 2018; Guidi et al., 2016; 64 65 Amon and Benner, 1994), N (Yue et al., 2018; Liang et al. 2019), P (Guidi et al., 2016; Carpenter et al., 1998; Parsons et al., 2017) and trace elements (Wan et al., 2019; Helms et al., 2013), as well 66 as nutrient changes associated with diurnal sunlight-induced planktonic photosynthesis (Gao et al., 67 2010; Jung et al., 2013; Segschneider and Bendtsen., 2013). 68

69 Most key DOM components of both terrestrial and aquatic autochthonous sources display fluorescence properties, thus are termed fluorescent DOM (FDOM) and can be studied in detail 70 71 by fluorescence excitation-emission matrix (EEM) spectroscopy combined with parallel factor 72 (PARAFAC) analysis (Mostofa et al., 2019; Coble, 2007; Stedmon et al., 2003; Coble, 1996). 73 Natural sunlight is the key driving force for the production of DOM and FDOM components by photosynthesis (Gao et al., 2010; Segschneider and Bendtsen., 2013), as well as for their 74 photoinduced degradation in sunlit surface water (Moran et al., 2000; Cory et al., 2007; Mostofa 75 76 et al., 2007; Hansen et al., 2016; Stedmon et al., 2007; Ward et al., 2013). Subsequent processes





77 typically convert high molecular weight (HMW) DOM into low molecular weight (LMW) DOM 78 by producing hydroxyl radical ([•]OH) from either the photo-Fenton reaction or direct dissociation of H₂O₂ in sunlit surface waters (Vione et al., 2006; Catalán et al., 2016; Mostofa and Sakugawa, 79 80 2009; Mostofa and Sakugawa, 2016; Zhu and Kieber, 2018; Gligorovski et al., 2015). The action 81 of microorganisms represents another key microbial degradation process that can alter DOM composition at night, *i.e.* in the absence of sunlight in both surface and deep water layers (Amon 82 and Benner, 1994; Moran et al., 2000; Hansen et al., 2016; Diaz et al., 2013; Amon and Benner, 83 84 1996; Mostofa et al., 2005; Ma and Green, 2004).

The global warming (GW) phenomenon is ascertained to cause an increase of ambient 85 temperature as well as an extension of the summer season (Huisman et al., 2006), which are 86 87 expected to induce greater water stratification with major potential implications in aquatic environments (Watanabe et al., 2011; Hoegh-Guldberg et al. 2019; Rogelj et al., 2019; Marañón 88 89 et al., 2018). Although the Paris climate agreement has set Intended Nationally Determined Contributions designed collectively to lower greenhouse gas emissions, a median warming of 2.6– 90 91 3.1°C is expected by 2100 (Rogelj et al., 2016). The GW effect appears to lead to more frequent 92 and intense heatwaves that are predicted to increase the minimum mortality temperature (Qian et al., 2019) and the risk of severe and, in some cases, irreversible impact on ecosystems (Pachauri 93 et al., 2014; Wernberg, 2016; Frölicher et al., 2018). The frequency of sunlight-mediated high 94 95 temperatures, which is clearly interconnected with the effects of GW in increasing the overall ambient temperature, is thus reasonably expected to accelerate DOM degradation, as well as all 96 97 photochemical, microbial and physical processes in aquatic environments (Segschneider and Bendtsen., 2013). 98

Diurnal day-time (sunlight) and night-time (microbial) degradation processes are a natural 99 100 phenomenon that is ultimately related to daily biogeochemical changes of C, N and P cycling, and depend on the photosynthetic activity of primary producers in surface waters (Guidi et al., 2016; 101 102 Segschneider and Bendtsen., 2013; Huisman et al., 2006; Carpenter et al., 1998). Photoinduced 103 degradation of FDOM is usually observed in the euphotic zone, especially in the summer season (Mostofa et al., 2005; Borisover et al., 2009), with a significant decrease of its fluorescence 104 105 intensity with increasing water depth. Such overall day-night degradation of FDOM is caused from 106 diurnal photo-microbial transformation. Between the years 2006 and 2015 the ambient air mean





temperature has increased by about 0.94 °C in Northwest China and 1.59 °C in Northeast-North China, compared to GW values estimated at 1.5-2.0 °C (Qian et al., 2019). Currently, it is still uncertain how increasing temperature-driven trends may impact diurnal photo-microbial transformation of FDOM in freshwater lakes under GW scenarios. In any case, it is difficult to assess the potential impact of sunlight-mediated high-temperature on DOM dynamics due to the complexity of the various environmental parameters acting together.

113 The aim of this work was to investigate the diurnal daytime-photoinduced production of FDOM components and their associated cascade night-time-microbial degradation processes as 114 115 affected by temperature in two closed lake systems. Water samples were collected along 24-h in each season from the lakes Jingye and Oingnian in China, on which water temperature, solar 116 intensity (SI), dissolved organic carbon (DOC), dissolved organic nitrogen (DON), NO₃⁻, O and 117 N isotopes of NO₃⁻, NO₂⁻, NH₄⁺, PO₄³⁻, dissolved silicon (DSi), pH and electrical conductivity 118 (EC) were determined at hourly intervals together with scanning electron microscopy imaging of 119 phytoplankton variability between day and night. Another aim of this study was to assess the 120 variation of nutrient contents related to the production-degradation processes of FDOM occurring 121 122 during the diurnal cycles under different temperature conditions. Further, on the basis based of obtained results a comprehensive four-phase model was developed to interpret the production-123 degradation mechanisms of FDOM, which could be useful to predict future potential GW impacts. 124

125 **2. Materials**

Jingye lake and Qingnian lake are closed lakes with a watershed area of approximately 29568 126 m² and 45156 m², respectively, both located inside the campus of Tianjin University, Tianjin, 127 China (39°6' N, 117°10' E) (Fig. S1). There is no connecting inflowing and outflowing channel to 128 129 or from the lake, and rainwater is the most important water source. The four sides of both lakes 130 are reinforced with granite to prevent soil erosion from the surrounding terrestrial environment 131 and for aesthetic reasons. The waterbody of Jingye lake is directly exposed to natural sunlight, due to the absence of any obstacles, e.g. trees or buildings, around the lake area. Differently, Qingnian 132 133 lake is relatively less exposed to natural sunshine due to the presence of many big trees and buildings along its four sides and also features some small aquatic plants and sea grasses that grow 134 135 in the shallows at the lake sides.





136	Lake waters were collected on July 5 and 6, 2018, every hour over 24 h, whereas on
137	October 12 and 13, 2018, water samples were collected every hour in day-time $(6:00 - 18:00)$
138	and at two-hours intervals in night-time $(20:00 - 6:00)$. All times are expressed as Chinese
139	Standard Time (CST). The diurnal results measured on FDOM components in July and October
140	samples showed that the most important changes occurred in the afternoon at around 14:00 due
141	to day-time sunlight-induced production and degradation and at early morning before sunrise
142	(6:00) by night-time microbial processes. Thus, water samples on May 2 and June 30, 2019,
143	were collected only twice-a-day, i.e. in the afternoon (14:00) and early morning (6:00). Water
144	samples from both lakes were collected also on one day in September, December, April and
145	June.

Water samples were collected in 500 ml brown-glass bottles previously cleaned by
submergence in HCl solution for 48h and subsequently rinsed first with deionized water and then
three times with ultra-pure water. Finally, the water samples were filtered through 0.45-µm
glass-fiber filters previously cleaned by burning for 5-h at 450 °C in an oven and then kept in a
refrigerator at 4°C until performing analyses.

151 **3. Methods**

The DOC concentration was measured in triplicate on each sample using a combustion total 152 organic carbon (TOC) auto-sampler analyzer (OI Analytical Aurora, Model 1030W+1088, USA). 153 154 A UV-VIS spectrophotometer (UV-2700, Shimadzu) was used to estimate absorption properties of chromophoric DOM (CDOM). The pH and EC were measured in the field in real time using a 155 multi-parameter analyzer (YSL-EXO, YSI Company, USA). Microscopic images of 156 phytoplankton were obtained by the intelligent identification and counting instrument for algae 157 158 (Algacount S300-3614025). The SI data were provided by Tianjin Meteorological Agency, Tianjin, China, whereas water and air temperatures (WT and AT, respectively) were measured at 10-min 159 160 intervals using a sensor-based probe thermometer (Testo 175 Q/DT01, China). The isotopes δ^{15} N and δ^{18} O of NO₃ in water samples were measured by a method described previously (Yue et al., 161 162 2018; Mcilvin and Casciotti, 2011) using four international NO₃⁻ standards, i.e. USGS-32, USGS-34, USGS-35 and IAEA-N3 (United States Geological Survey (USGS), USA via China Isotope & 163 Radiation Corporation, Beijing, China), for calibration. Concentrations of total N (TN), NO₃-, 164 NO₂⁻, NH₄⁺, PO₄³⁻ and dissolved silicon (DSi) were measured colorimetrically using an automated 165





166 continuous flow analyzer (Skalar San++ System, Skalar Analytical B.V., The Netherlands).
167 Dissolved organic nitrogen (DON) was estimated by subtraction of NO₃⁻, NO₂⁻ and NH₄⁺ contents
168 from the TN content. Absorption spectra were obtained between 250 nm and 750 nm at 1-nm
169 interval with a quartz cell of 1 cm path length using a Shimadzu UV-2401PC. Ultra-pure water
170 was simultaneously used in the reference cell as a blank.

Fluorescence EEM spectra were recorded with a fluorescence spectrophotometer (F-7000, 171 Hitachi, Japan) according to procedures reported elsewhere (Mostofa et al., 2010; Mostofa et al., 172 173 2018). The scanning ranges were 220-400 nm for excitation at intervals of 5 nm and 280-500 nm 174 for emission at intervals of 1 nm using a scanning speed of 1200 nm min⁻¹ and 700 v. The slit 175 width for both the excitation and emission was set at 5 nm and all EEM spectra were recorded in both excitation and emission corrected mode. Ultrapure water (18.2 M Ω .cm) was used as the blank 176 177 and measured before sample analysis after every 10 samples. In some cases, water samples were 178 diluted to avoid inner filter effects (IFE), after which the most commonly used absorbance-based approaches (Kothawala et al., 2013; Lakowicz, 2018) were applied to correct IFE in EEM 179 measurements. 180

PARAFAC analysis was performed using the "N-way Toolbox for MATLAB" (Andersson 181 182 and Bro., 2000). Before applying PARAFAC, EEM data were preprocessed by subtracting Rayleigh and Raman peaks along with a ultrapure water blank from experimental EEM spectra 183 using a home-made Excel Program (Shammi et al. 2017a, 2017c; Mostofa et al., 2010). Finally, 184 non-negative constraints were applied to the PARAFAC model. The detailed procedure followed 185 186 in applying PARAFAC modelling to EEM spectra was reported elsewhere (Mostofa et al., 2010; Mostofa et al., 2018). Further, to avoid the possible production of artifacts by mixing of fluorescent 187 components among different water samples (Mostofa et al., 2010), PARAFAC analysis was 188 performed on selective characteristic sample (Mostofa et al., 2019). To elucidate the diurnal 189 190 production and subsequent degradation of FDOM and compare day-time-sunlight effects to nighttime-microbial implications, samples were grouped into five sub-diurnal samples, i.e. samples 191 192 collected at: (a) early morning (6:00-9:00) subjected to almost no sunlight effects; (b) mid-day 193 (10:00-15:00) subjected to strong sunlight effects; (c) afternoon (16:00-20:00) subjected to 194 moderate light effects; (d) early-night (21:00-1:00) affected by mild microbial effects; and (e) late 195 night-early morning (2:00-6:00) affected by strong microbial activity. Based on the selective characteristic sample (Mostofa et al. 2019), the PARAFAC model was applied individually to each 196





of the five sub-diurnal water sample groups collected in the months of July and October. The
number of water samples in each group were, respectively for July and October: 12 + 12 for early
morning, 18 + 18 for mid-day, 15+15 for afternoon, 15 + 6 for early night, and 15 + 9 for late nightearly morning.

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202 4. Results and Discussion

203 4.1. Diurnal features of FDOM in Jingye and Qingnian lake waters

204 4.1.1. Water samples collected in July from Jingye lake

The EEM-PARAFAC results shown in Table 1 and Fig. 1 indicated that the fluorescent 205 components in water samples collected from Jingye lake in the early morning of July 5 and 6 from 206 were similar and consisted of EPS in a combined form of PLS showing two fluorescence peaks (T 207 at 270/365 nm and T_{UV} at 230/365 nm) and M-type AHLS also showing two fluorescence peaks 208 (M at 270/394 nm and A at 230/394 nm). Generally, EPS are considered an early-stage DOM that 209 derives either from phytoplankton (Guidi et al., 2016; Zhang et al., 2009; Mostofa et al., 2013; 210 Casareto et al., 2012) under elevated early-morning sunlight intensity (SI, from 0.19 to 1.95 MJ/m² 211 for a total of 4.18 MJ/m²) and relatively high WT (29.3-31.0 °C) and AT (28.4-38.2 °C) or from 212 microbially activity under dark late-night early-morning conditions also at relatively high WT 213 (29.2-29.9 °C) and lower AT (25.4-26.2 °C) (Fig. 2) (Shammi et al. 2017a, 2017c; Sheng and Yu, 214 2006). The EPS excreted from microorganisms were shown to be composed mainly of 215 polysaccharides, proteins, nucleic acids, lipids and a HMW mixture of polymeric AHLS (Sheng 216 and Yu, 2006; Flemming and Wingender, 2010; Jenkinson and Lappin-Scott, 2011). 217

Apparently, EPS generated at early morning were subsequently degraded during mid-day time (10:00-15:00) into four FDOM components that include: (a, b) AHLS of C and M types featuring two fluorescence peaks each, i.e. respectively C at 315/418 nm and A at 260/418 nm, and M at 305/383 nm and A at 220/383 nm); (c) TLS showing two fluorescence peaks (T at 270/338 nm and T_{UV} at 225/338 nm); and (d) newly-released PLS featuring two fluorescence peaks (C at 315/418 nm and A at 260/418 nm) (Table 1, Fig. 1). FDOM production by degradation of EPS would occur during the gradual increase of SI (from 1.36 to 2.97 MJ/m² for a total = 15.26





MJ/m²) at WT of 30.2-33.7 °C and AT of 35.4-41.8 °C (Fig. 2) (Zhang et al., 2009; Parlanti et al.,
2000; Yamashita and Youhei, 2004; Yamashita and Tanoue, 2003; Coble, 1996).

In the late-afternoon early-evening time period (16.00-20.00), a number of changes of the 227 four FDOM components occurred (Table 1, Fig. 2) under the varied conditions of SI (from 0.01 to 228 0.85 MJ/m² for a total of 1.77 MJ/m²), WT (30.9-33.4 °C) and AT (29.0-38.1 °C) (Fig. 1). In 229 particular: (a) newly-released PLS with a peak T at 280/351 nm appeared; (b) the T_{UV} peak 230 231 disappeared; (c) the fluorescence peak C of C type-AHLS was partly shifted to longer wavelength, i.e. 325/430 nm; (d) peak M of M type-AHLS showed a 3.2-fold intensity increase; and (e) the 232 233 fluorescence intensity of peak C and peak T of TLS decreased, respectively, by about 3% and 63%), whereas the intensity of peak T of newly-released PLS increased by 49%. The daytime-234 photoinduced degradation of FDOM could be ascribed to the occurrence of photo-Fenton reaction 235 that produced the strong oxidizing agent ${}^{\bullet}$ OH radical by reaction of H₂O₂ and Fe²⁺ in the presence 236 of sunlight (Vione et al., 2006; Mostofa and Sakugawa, 2016; Gligorovski et al., 2015). In the 237 early-night samples, under conditions of WT (29.9-30.8 °C) and AT (26.0-28.9 °C) (Fig. 2), only 238 two FDOM components, i.e. AHLS of C type and TLS were identified with intensity increased by, 239 240 respectively, approximately 40% and 3.2-fold, whereas the other two fluorescent components 241 disappeared completely.

Finally, in the samples collected during late-night early-morning 2.00-6.00) under 242 conditions of WT (29.2-29.9 °C) and AT (25.4-26.2 °C) (Fig. 2), only EPS generated from 243 grazing/respiration of primary producers, i.e. phytoplankton were identified, whereas the other 244 fluorescent components disappeared completely. In particular, the estimated production of EPS 245 in this time period was approximately 3% lower than in early morning as shown by the microscope 246 images of phytoplankton that mostly disappeared during the day (Fig. S2). The diurnal changes of 247 FDOM components could be reasonably caused by: (a) the simultaneous photoinduced production 248 and microbial degradation under daytime conditions of high sunlight intensity and ambient 249 250 temperature, as also reported by previous *in situ* experimental investigations (Moran et al., 2000; Mostofa et al., 2007; Mostofa et al., 2005; Ma and Green, 2004), and (b) night-time extended 251 microbial degradation, which was also supported by the net increase of dissolved inorganic carbon 252 (DIC) components comprising dissolved CO₂, H_2CO_3 , HCO_3^- , and CO_3^{2-} , in water samples 253 254 collected at a depth of at 24 m (no light effects) with respect to that at 6.5-m depth (Ma and Green,





- 255 2004). In conclusion, the July 2018 sampling campaign was performed at the highest AT (ranging
- from 25.4 to 41.8 °C with an average of 31.6 °C) and WT (ranging from 29.2 to 33.7 °C with an
- average of 31.0 °C) and a daily total solar intensity of 21.39 MJ m⁻² (Fig. 2).

258 4.1.2. Water samples collected in October from Jingye lake

- The diurnal transformations of samples collected in October under conditions of lower sunlight 259 irradiation and lower WT and AT values were partially different from those collected in July. In 260 October, four fluorescent components were identified in water samples collected at early-morning 261 (6.00-9.00) under conditions of SI from 0.004 to 1.34 MJ/m² for a total of 2.29 MJ/m²) and WT 262 ranging from 16.5 to 18.3 °C and AT from 9.4 to 14.5 °C (Fig. 2). These included AHLS of C and 263 M types, PLS and degraded TLS (Table 1, Fig. 3). In particular, PLS were identified by two 264 fluorescence peaks (T at 285/352 nm and T_{UV} at 235/352 nm), whereas the peaks of the other three 265 266 FDOM components were similar to those of July samples, although peak intensities varied depending on sunlight-driven temperature (Table 1, Fig. 3). In particular, newly-released PLS 267 could be recognized by a major T peak and a minor T_{UV} peak which was absent and TLS that 268 269 showed the typical peaks T_{UV} at 220-225 nm and T at 270-280 nm with an intensity ratio of 5.2, 270 which was the key signature that distinguished TLS from PLS.
- In the subsequent mid-day temporal interval (10.00-16.00) characterized by a SI ranging from 1.34 to 2.26 MJ/m² for a total of 11.46 MJ/m², WT from 18.1 to 20.6 °C and AT from 15.2 to 22.9 °C (Fig. 2). few variations of fluorescence peaks were detected for the four components (Table 1). The peak intensity ratio (T_{UV}/T) was 1.14 for PLS and 2.72 for TLS. Further, compared to early-morning samples, the fluorescence intensity increased of approximately 63% for peak M (HLS) and 58% for peak T (TLS) but deceased, approximately 9% for peak C of AHLS and 5% for peak T of PLS.
- The water sample collected in the afternoon-evening (16.00-20.00) under conditions of very low SI (from 0.14 to 0.57 MJ/m² for a total of 0.71 MJ/m²) and low WT (17.5-20.2 °C) and AT (15.5-22.7 °C) showed three fluorescent components identical to those of earlier phases but PLS peaks disappeared completely. The fluorescence intensity increased slightly, i.e. approximately 9% for C-type AHLS and 4% for TLS, but decreased of 16% for M-type AHLS. In the following earlynight temporal period (21.00-1.00) under conditions of no sunlight and relatively low WT (16.8-17.4 °C) and AT (14.2-15.7 °C), four fluorescent components were identified of which three were





285 identical to those detected in the samples of previous periods and the fourth was ascribed to PLS 286 newly-released from phytoplankton (Fig. 3). Further, fluorescence intensities decreased of approximately 23% for M-type AHLS and 4.6% for TLS, but increased of about 31% for C-type 287 AHLS. Lastly, in the late-night early-morning period (2.00-6.00) with no sunlight and low WT 288 (14.0-16.8 °C) and AT (13.9-14.8 °C), four fluorescent components were identified, i.e. M-type 289 AHLS freshly generated by the disappearance of newly-released PLS, a degraded M-type AHLS, 290 C-type AHLS and TLS, with a decreased intensity, compared to the previous period of, 291 292 respectively, approximately 34%, 15% and 52% (Table 1, Fig. 3). The production of AHLS of M-293 type was the key signature of freshly formed DOM from newly-released PLS produced in the 294 previous time period. Apparently, in October, the low sunlight-affected ambient temperature was not able to degrade all FDOM in a 24-h cycle and, correspondingly, EPS was not generated from 295 296 phytoplankton as it occurred in July. The newly released PLS generated in early-night time 297 suggested their possible derivation from EPS, whereas their low concentration and rapid subsequent transformation into other FDOM components would support their absence in water 298 samples collected in October. 299

300 4.1.3. Water samples collected in May and June from Jingye lake

301 Three FDOM components were identified in water samples collected in May in the 6.00-14.00 time interval under conditions of SI of 20.47 MJ/m², WT from 19.2 to 26.9 °C with an average of 302 22.4 °C and AT from 17.1 to 35.3 °C with an average of 26.0 °C. These included AHLS of C and 303 M types and TLS, whose fluorescence peak wavelengths were similar to those previously 304 described (Table 2). Compared to samples collected at 6.00, the peak intensity increased for 305 samples collected at 14.00 of approximately 36% for C-Type AHLS and 14% for TLS, but 306 decreased by 95% for M-Type AHLS. These results suggested that M-Type AHLS degraded 307 rapidly by sunlight-induced photoprocesses, whereas the other two FDOM components could 308 regenerate from phytoplankton by photorespiration. The new production of C-Type AHLS was 309 supported by the longer emission wavelength of peak C, i.e. 340/468 nm, measured at 14:00 with 310 respect to that at 6:00, i.e. 340/449 nm) (Table 2). 311

Also in June, three FDOM components, i.e. AHLS of C and M types and TLS, were identified in the early morning (6:00) samples, whereas in the early afternoon samples (14.00), besides the typical C-type AHLS and TLS, a degraded EPS featuring four fluorescence peaks was detected,





315 which included a combined form of C and M types of AHLS, whose wavelengths were shifted to longer values than in July, with disappearance of any PLS contribution (Table 2, Fig. 5). The 316 FDOM transformations described above occurred under conditions of SI of 21.18 MJ/m², WT 317 ranging from 27.5 to 33.9 °C with an average of 29.7 °C and AT ranging from 24.7 to 39.9 °C 318 with an average of 30.4 °C. Apparently, the overall night-time FDOM microbial production was 319 altered in the early morning (6:00) with subsequent production of new FDOM components under 320 the strong day-time sunlight effect until 14.00. Thus, the increased sunlight-mediated ambient 321 322 high-temperature under GW conditions could be expected to accelerate FDOM production with 323 transformation into LMW DOM within the 24-h period as observed in July but not in lower 324 temperature months.

325 4.1.4. Water samples collected in May and June from Qingnian lake

326 Differently from Jingye lake, four fluorescent components were identified in May in Qingnian lake waters at both 6:00 and 14:00, which included, besides C and M types of AHLS and 327 TLS, new components, i.e. TYLS and PALS, which were previously detected in surface waters by 328 329 other means (Yamashita and Tanoue, 2003, Mostofa et al., 2018). In particular, partially degraded 330 TYLS were detected at 6:00 featuring only one fluorescence peak (T_{UV} at 220/313 nm), whereas 331 at 14:00 a combined form of TYLS and PALS showing two fluorescence peaks (T at 255,265/321,306,310 nm and T_{UV} at 220/321 nm) were detected (Table 2, Fig. 4). The occurrence 332 of peak T with two excitation maxima and three emission maxima suggested the existence of a 333 combined state of TYLS and PALS that could originate from EPS, which were detected for the 334 first time in this work, so adding new information on the sequential formation of two aromatic 335 amino acids in a combined state under current lake environmental conditions. The fluorescence 336 intensity of C-type AHLS remained almost constant (2% decrease), whereas those of M-type 337 AHLS and TLS increased, respectively, by approximately 4% and 30% at 14:00 compared to the 338 values measured at 6:00. The production and degradation processes of FDOM described above 339 occurred at a SI of 20.47 MJ/m^2 , a WT between 19.5 and 23.4 °C with an average of 21.5 °C and 340 AT between 17.6 and 38.7 °C with an average of 26.2 °C (Fig. 2). 341

Similarly, four FDOM components were identified in June at both 6:00 and 14:00, which
included C and M types of AHLS, newly-released PLS (6:00) or PLS (14:00) and TLS (Table 2,
Fig. 4). The newly-released PLS present in waters collected at early morning (6.00) were identified





345 by two fluorescence peaks, i.e. a major peak T at 290/346 nm of significantly high fluorescence 346 intensity and minor peak T_{UV} at 230/346 nm. Apparently, the newly-released PLS detected at early morning (6:00) would convert into different PLS detected at 14.00, which featured two 347 fluorescence peaks (T at 285/340 nm and T_{UV} at 225/340 nm). During the 6.00 to 14.00 time period 348 the fluorescence intensity of the C-type AHLS decreased approximately of 26%, whereas those of 349 M-type AHLS and TLS increased, respectively, by 43% and 16%. These FDOM modifications 350 occurred at a SI of 21.18 MJ/m², a WT between 26.9 and 36.8 °C with an average of 30.4 °C and 351 an AT between 23.6 and 41.5 °C with an average of 30.5 °C (Fig. 2). The detection of newly-352 released PLS in June in the early morning (6:00) was a key signature indicating a fresh input from 353 354 EPS under conditions of enhanced WT that could have occurred during the night-time due to microbial respiration. However, such scenarios were not observed in this lake in May when WT 355 356 was significantly lower than in June (Fig. 2). With respect to May, the overall fluorescence 357 intensity in June at 14.00 decreased by approximately 39% for C-type AHLS and 40% for TLS, but that of M-type AHLS increased of 47%, which indicated that FDOM production-degradation 358 dynamics varied significantly on dependence on sunlight-mediated ambient temperature. 359

360 *4.1.5. Seasonal characteristics of FDOM and their transformation in the two lakes*

361 The fluorescent components in Jingye lake water varied significantly between the four seasons (Table S1, Fig. S3). In particular, in autumn three FDOM components were identified including 362 363 AHLS (C and M types) and PLS (Fig. S3), whereas four FDOM were identified in the other three seasons, of which the first two was similar in all seasons. A third component was identified as 364 newly-released PLS featuring two fluorescence peaks, a major one (T at 285/369 nm) and a minor 365 one ($T_{\rm UV}$ at 250/369 nm) in samples collected in spring, and only one peak (T at 285/354 nm) in 366 summer sample. The fourth component was identified as TLS These results indicated that C and 367 M types AHLS were detected in all seasons, whereas the major changes in FDOM could be 368 attributed to proteinaceous components, i.e. PLS, and their transformation products, i.e. individual 369 aromatic amino acids including TLS, TYLS and PALS. 370

The FDOM components in Qingnian lake waters also changed substantially as a function of the season. In detail: (a) two components, i.e. M-type AHLS and a unkown degraded component in autumn; (b) one component, i.e. EPS as a combined form of HLS and PLS with four fluorescence peaks, in winter; (c) two components, i.e. C-type AHLS and TLS in spring; and (d)





four components, i.e. C and M types AHLS, newly-released PLS and TLS in summer (Table S1,
Fig. S4). These results suggested that at low winter temperatures EPS could be produced in lake
water and that the seasonal production of FDOM and its biogeochemical transformations differed
between the two lakes, which could be ascribed to the different lake conditions and surrounding
environmental factors.

380 *4.2. Biogeochemical processes involving DOC and nutrients in lake Jingye*

In July, DOC concentration varied hourly from a minimum of 815 µM C achieved in the 381 night (from 21.00 to 6.00) to a maximum of 963 μ M C reached during the day (from 10.00 to 382 16.00), with the highest DOC fluctuation of 18% (Table S2, Fig. 6). In October, the DOC content 383 was generally higher than in July and varied from low night values with a minimum of 975 µM C 384 at early morning (6:00) to a maximum of 2989 μ M C during the day-time (10.00-15.00) with an 385 increase of approximately 3.07-fold at 14:00 (Table S2, Fig. 6). The trends of DOC concentration 386 387 were paralleled by those of nutrients which in October were generally higher and more fluctuating along the day than in July (Table S2, Fig. 6). In particular, in July the NO_3^- content decreased of 388 about 20% from early morning (6.00-9.00), to middle day (10.00-15.00) and subsequently 389 increased (1.0-7.8%) in all successive sub-diurnal samples, whereas in October, it increased of 390 391 about 10.0% during morning from 6 to 15.00, then remained nearly constant and increased again of about 16.0% during night time (2.00-6.00). Differently, NO_2^- increased substantially (70.0-94%) 392 during day-time in October compared to July (0.5-14.4%), but then decreased significantly (5.0-393 394 5.8%) during night-time, which suggested a rapid turnover rate of NO_2^- during phytoplankton 395 growth. Similarly, NH4⁺ substantially increased in October (117.0-257.0%) compared to the same time interval in July (4.1-41.7%), with the highest increase in the middle of the day (10.00-15.00). 396 The DON content increased (7.6-31.0%) between 6.00-9.00 in July, whereas it was not detected 397 in samples collected in October. The PO₄³⁻ content decreased significantly in July during the 398 16.00-20.00 period and then increased (18.0-34%) in night-time, whereas in October it decreased 399 (11.4-40.0%) in night- time, showing the highest concentrations in the 16.00-20.00 time period. In 400 July, DSi decreased (3-17%) from early morning (6:00-9:00) to late day, with an early night 401 402 (21.00-1.00) increase of 23%, whereas it was absent in samples collected in October, which suggested its complete uptake by phytoplankton during the strong growth period occurring in this 403 404 month.





405 The highest production of nutrients in October than in July was estimated to be approximately of 16.0, 28, 4.0 and 23.8-fold respectively for NO₃⁻, NO₂⁻, NH₄⁺, and PO₄³⁻ (Fig. 406 6). In particular, in October the highest NH_4^+ concentration paralleled the highest DOC 407 concentration detected at the same time (14.00) (Table S2, Fig. 6), which suggested a rapid 408 biogeochemical transformation of organic matter into DOC and nutrients under ambient lake 409 conditions. Apparently, the NH4⁺ formation in October was followed by its subsequent rapid 410 nitrification and denitrification at same time (14.00) (Table S2, Fig. 6). Similarly, the lowest level 411 of NH_4^+ at 10.00 was consistent with the lowest level of NO_3^- . Further, the occurrence of 412 413 nitrification was confirmed by the decrease of NH₄⁺ contents occurring simultaneously to the increase of NO_3^- in early morning samples (Table S2, Fig. 6). 414

Further evidence of photoinduced respiration of phytoplankton was provided by the 415 significant shifting of the δ^{15} N value of NO₃⁻. In particular, in July the δ^{15} N value decreased from 416 +0.67‰ at 10:00 to -0.02% at 12:00, which corresponded to the increase of SI from 2.33 MJ m⁻² 417 to 2.95 MJ m⁻² (for a total of 8.14 MJ m⁻²), whereas the δ^{18} O value of NO₃⁻ increased from +5.28‰ 418 at 10:00 to +9.3‰ at 12:00 under conditions of elevated SI and high WT (36.5-39.9 °C) and AT 419 420 (31.0-32.7 °C). The decrease of δ^{15} N and increase of δ^{18} O in NO₃⁻ with increasing SI from 10:00 to 12:00 indicated the uptake of lighter δ^{16} O-containing NO₃⁻ by phytoplankton with increasing 421 photosynthesis. This effect was confirmed by the 20% decrease of NO_3^- from 9:00 to 15:00 in July, 422 whereas in samples collected in October the disappearance of DSi with the corresponding high 423 424 production of DOC and nutrients would indicate the occurrences of high photosynthetic activity with a high production of phytoplankton during day-time from both photoinduced processes and 425 microbial respiration of planktonic organisms, which confirmed earlier results (Fan and Glibert, 426 427 2005; Guidi et al. 2016; Parsons et al. 2017; Condon et al. 2010; Carpenter et all. 1998; Elser et al. 1995). Thus, the activity of photosynthetic planktonic communities in sunlit surface water with 428 the simultaneous release of DOC and nutrients could represent the driving force of the overall 429 biogeochemical processes and functions occurring in surface lake waters. 430

431

432 4.3. A global view of production and degradation pathways of FDOM in lake waters

433 As the different components of FDOM are related each to the other, their sequential 434 derivation/origin from phytoplankton and their subsequent transformation steps can be illustrated





435 in the pathway shown in Fig. 7 and detailed in Figs. 12 and 13. In particular, in the first step 436 primary DOM producers, mainly phytoplankton, generate EPS that in the second step produce FDOM components of various nature, which in the third step are subject to simultaneous 437 subsequent stepwise degradation and production processes that follow two major distinct and 438 parallel pathways. On the basis of results described and discussed above, these pathways can be 439 reasonably hypothesized to consist of: (a) EPS are firstly transformed into a combined form of 440 AHLS of C and M types, which are commonly detected in surface waters (Shammi et al. 2017a, 441 442 2017b, 2017c; Sheng, G. P.; Yu, 2006; Sheng et al. 2010), then these convert into degraded forms 443 that finally yield LMW DOM and mineralized end-products (Fig. 8); and (b) EPS are converted into newly-released PLS that subsequently generate PLS and individual TLS, TYLS and PALS, 444 which have been detected previously in surface water exposed to sunlight (Mostofa et al., 2019; 445 Zhang et al., 2009; Mostofa et al. 2013; Shammi et al. 2017a, 2017b, 2017c; Yamashita and Tanoue, 446 2003; Mostofa et al. 2010), that finally degrade to LMW DOM and mineralized end-products (Fig. 447 9). Further, in the last step, as well as in all previous steps, mineralized end-products, including 448 nutrients, CO₂, DIC, H₂O₂, etc., are continuously produced both under diurnal sunlight-induced 449 degradation and night-time microbial degradation. 450

451 In more detail, the currently undefined formation of EPS in the first step of the process described above has been proved to occur mainly in July in early-morning (from 6.00 to 9.00), 452 which is indicative of its production under the effect of sunlight-induced, high-temperature, day-453 454 time microbial respiration, whereas their subsequent degradation into various FDOM components would occur during intense sunlight period (from 10.00 to 15.00), which is followed by 455 simultaneous production-degradation processes taking place during relatively low sunlight effect 456 457 (from 16.00 to 20.00), after which FDOM is degraded almost completely during the night (from 21.00 to 6.00). Differently, only partial transformations of FDOM components are measured in 458 water samples collected in the other months due to the lower solar intensity and water and air 459 temperatures with respect to July. Although an accurate calculation of the net production-460 degradation of FDOM components is very difficult due to the simultaneous occurrence of these 461 processes under either photo-respiration in day-time or microbial respiration in night-time, the net 462 diurnal changes of fluorescence intensities calculated as a function of the time, which have been 463 discussed in detail in the previous section, can provide a good quantitative approximation of these 464 465 processes.





466 5. Conclusions

It is well-known that phytoplankton releases continuously EPS and, in turn, FDOM due to both 467 photoinduced processes and microbial-respiration (Wang et al., 2017; Shammi et al. 2017a, 2017b, 468 2017c; Guidi et al., 2016; Parlanti et al., 2000; Zhang et al., 2009; Mostofa et al. 2013; Yamashita 469 470 and Youhei, 2004), which is then subject to continuous sunlight-induced degradation in the upper sunlit surface waters (from 0 to about 5 m and even more deeply) and by microbial degradation 471 472 in deeper-layer waters (Amon and Benner, 1994; Moran et al., 2000; Mostofa et al., 2007; Diaz et al., 2013; Amon and Benner, 1996; Mostofa et al., 2005). Although photoinduced degradation has 473 474 been proved to be mediated by •OH radicals generated from photo-Fenton reaction or direct 475 dissociation of H₂O₂ under sunlit surface waters (Wang et al., 2017; Vione et al., 2006; Mostofa 476 and Sakugawa, 2009; Mostofa and Sakugawa, 2016; Zhu and Kieber, 2018; Gligorovski et al., 2015), the diurnal transformation mechanisms of complex mixtures of FDOM of diverse 477 478 composition existing in surface waters are not yet well understood.

In this work the existence in lake waters of different types of autochthonous FDOM that can 479 be produced by phytoplankton, which include EPS, AHLS of C and M types, PLS, newly-released 480 PLS, TLS, a combined form of C and M types of AHLS, a combined form of TYLS and PALS, as 481 well as their degradation products have been ascertained. The generation of different FDOM 482 483 components appears to be strictly related to various factors including sunlight-mediated temperature, seasonal temperature, timescale, type and nature of primary producers, e.g. 484 phytoplankton, as well as lake conditions and ambient environment. Further, on dependence on 485 486 these environmental factors and conditions, the sequential production of FDOM and its subsequent 487 degradation result to occur rapidly even in the one-day timeframe.

The information provided in this paper on the continuous production and concurrent 488 degradation processes of FDOM in the aquatic environment are crucial for predicting and 489 490 evaluating their intensification in the future due to the expected extended summer season with 491 increased air and water temperatures and increased water stratification, as a consequence of the ascertained GW implications. Further, the information presented on the end-products of these 492 processes appear to be of ultimate impact for a better understanding of trophic levels and most 493 494 other biogeochemical processes and functions, including the sustainability of microbial food web and C, N and other nutrient cycling, occurring in aquatic ecosystems as a whole. 495





496 Author contributions

- 497 K.M.G.M. designed the study. Y.L., M.M., X.Y., X.L. and L.L. performed field work, sample
- 498 preparation, and EEM measurement. Y.L. performed nutrients and other measurements. Y.L. and
- 499 J.Y. performed PARAFAC analysis. F.-J.Y. and S.L. performed dual NO₃⁻ isotopes
- 500 measurement. B.W. performed microscopic phytoplankton measurement. R.M.E., C.Q.L. and
- 501 S.L. revised the manuscript. K.M.G.M., N.S. and Y.L. wrote the manuscript.
- 502

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- 700 Table 1. Fluorescence EEM peaks wavelengths of the components identified in water samples
- collected from the Jingye lake on July 5 and October 12 at various sub-diurnal times.

	Sampling time	Fluorescence	peak (Ex/Em)										
		AHLS C-type		AHLS M-type		EPS				TLS or PLS		Newly-released PLS	
		Peak C	Peak A	Peak M	Peak A	Peak M	Peak A	Peak T	Peak Tuv	Peak T	Peak Tuv	Peak T	Peak Tuv
	Jingye lake (July 5, 2018) 6:00 - 9:00	()				230/365	270/365	270/394	230/394				
	10:00 - 15:00	315/418	260/418	305/383	220/383					270/338	225/338	285/357	240/357
	16:00 - 20:00	325/430	260/430	300/379	240/379					265/338	220/338	280/351	
	21:00 - 1:00	310/449	250/449							275/341	225/341		
	2:00 - 6:00					270/364	230/364	270/400	230/400				
	Jingye lake (Oct 12, 2018)												
	6:00 - 9:00	310/418	260/418	305/394	220/394			285/352	235/352	265/336	220/336		
	10:00 - 15:00	350/418	2/0/418	295/398	235/398			280/354	230/354	270/324	225/324		
	10:00 = 20:00 22:00 = 0:00	355/430	203/430	295/582	235/382	200/442	240/442	200/366	240/366	270/338	223/330		
702	2:00 - 6:00	355/430	270/418	315/428	225/428	290/442	240/442	290/300	240/300	270/336	225/336		
702		5557155	270/100	515/120	220/120			200/011	200/011	210/000	220/000		
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Table 2. Fluorescence EEM peaks wavelengths of the components identified in water samplescollected from Jingye and Qingnian lakes in May and June at various sub-diurnal times.

	Sampling time	Fluorescence	peak (Ex/Em)										
		AHLS	C-type	AHL	S M-type		EPS			TLS or	PLS	Newly-rele	ased PLS
		Peak C	Peak A	Peak M	Peak A	Peak M	Peak A	Peak T	Peak Tuv	Peak T	Peak Tuv	Peak T	Peak Tuv
		(nm)											
	Jingye lake (July 5, 2018) 6:00 - 9:00					230/365	270/365	270/394	230/394				
	10:00 - 15:00	315/418	260/418	305/383	220/383					270/338	225/338	285/357	240/357
	16:00 - 20:00	325/430	260/430	300/379	240/379					265/338	220/338	280/351	
	21:00 - 1:00	310/449	250/449							275/341	225/341		
	2:00 - 6:00					270/364	230/364	270/400	230/400				
	Jingye lake (Oct 12, 2018)	210//10	0.00/110	205/204	220/201			205/252	225/252	265/026	220/226		
	6:00 - 9:00	310/418	260/418	305/394	220/394			285/352	235/352	265/336	220/336		
	10:00 = 15:00 16:00 = 20:00	350/418	2/0/418	295/398	235/398			280/354	230/354	270/324	225/324		
	10.00 - 20.00 22.00 - 0.00	355/430	203/430	295/582	235/362	290/442	240/442	290/366	240/366	270/330	223/330		
724	2:00 - 6:00	355/430	270/430	315/428	225/428	270/442	240/442	290/300	230/374	270/336	225/336		
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- Fig. 1. Fluorescence EEM images showing the peaks of fluorescent components identified in diurnal water samples collected from Jingye lake on July 5, 2018. The EEM-PARAFAC model
- 756 was applied to each of the five sub-diurnal groups (6:00-9:00, 10:00-15:00, 16:00-20:00, 21:00-
- 1:00 and 2:00-6:00) of samples collected every hour, in order to illustrate the changes caused by
- sunlight-induced and microbial degradation effects.









- Fig. 2. Variation of air temperature (AT), water temperature (WT) and solar intensity in the
- ambient environment of Jingye lake as a function of diurnal sampling time during July 5, 2018 (a),
- and October 12, 2018 (b). Data were provided by Tianjin Meteorological Agency, Tianjin, China.







- Fig. 3. Fluorescence EEM images showing the peaks of fluorescent components identified in
 diurnal water samples collected from Jingye lake on Oct. 12, 2018. The EEM-PARAFAC model
 was applied individually to each of the five sub-diurnal groups (6:00-9:00, 10:00-15:00, 16:0020:00, 21:00-1:00 and 2:00-6:00) of samples collected every hour, in order to illustrate the changes
- 780 caused by sunlight-induced and microbial degradation effects.





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- Fig. 4. Fluorescence EEM images showing the peaks of fluorescent components identified in two
- diurnal (6:00 and 14:00) water samples collected in triplicate from Jingye and Qingnian lakes on
- May 2, 2019. The EEM-PARAFAC model was applied individually to each of the two sub-sample
- 791 groups collected at 6:00 and 14:00, in order to illustrate the changes depending on sunlight-induced
- 792 (14:00) and microbial (6:00) degradation effects.



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- 800 Fig. 5. Fluorescence EEM images showing the peaks of fluorescent components identified in two
- 801 diurnal (6:00 and 14:00) water samples collected in triplicate from Jingye and Qingnian lakes on
- June 30, 2019. The EEM-PARAFAC model was applied individually to each of the two sub-
- sample groups collected at 6:00 and 14:00, in order to illustrate the changes depending on sunlight-
- induced (14:00) and microbial (6:00) degradation effects.



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- Fig. 6. Changes of nutrient concentrations in diurnal samples from Jingye lake: (a) nitrate (NO₃⁻),
- ammonium (NH $_4^+$), nitrite (NO $_2^-$) and dissolved organic nitrogen (DON), and (c) phosphates
- 811 (PO_4^{3-}) and dissolved silicon (DSi) collected in July; (b) NO₃⁻, NH₄⁺ and NO₂⁻ and (d) (PO₄³⁻) and
- 812 DSi collected in October; and (e) dissolved organic carbon (DOC) collected in July and October.







Diurnal sampling timescale (h)

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- 814 Fig. 7. Flow diagram of the sequential production of autochthonous FDOM from phytoplankton
- and its subsequent degradation steps until complete mineralization under diurnal conditions as
- 816 affected by sunlight-induced microbial-induced processes.







- 829 Fig. 8. Pathways of generation and subsequent transformation of EPS into a combined form of C
- and M types HLS that subsequently degrade into individual C-type AHLS and M-type AHLS,
- 831 which finally degrade to mineralization end-products.







- 848 Fig. 9. Pathways of generation and subsequent transformation of EPS into newly-released PLS
- that then convert into PLS which subsequently generate a combined form of TYLS, PALS and
- 850 TLS that finally degrade to mineralization end-products.

