



# Simulation of soil carbon dynamics in Australia under a framework that better connects spatially explicit data with ROTH C

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**Abstract.** We simulated soil organic carbon (C) dynamics across Australia with the Rothamsted carbon model (ROTH C) under a framework that connects new spatially-explicit soil measurements and data with the model. Doing so helped to bridge the disconnection that exists between datasets used to inform the model and the processes that it depicts. Under this framework, we compiled continental-scale datasets and pre-processed, standardised and configured them to the required spatial and temporal resolutions. We then calibrated ROTH C and run simulations to predict the baseline soil organic C stocks and composition in the 0–0.3 m layer at 4,043 sites in cropping, modified grazing, native grazing, and natural environments across Australia. The ROTH C model uses measured C fractions, the particulate, humus, and resistant organic C (POC, HOC and ROC, respectively) to represent the three main C pools in its structure. The model explained 97–98% of the variation in measured total organic C in soils under cropping and grazing, and 65% in soils under natural environments. We optimised the model at each site and experimented with different amounts of C inputs to predict the potential for C accumulation in a 100-year simulation. With an annual increase of 1 Mg C ha<sup>-1</sup> in C inputs, the model predicted a potential soil C increase of 13.58 (interquartile range 12.19–15.80), 14.21 (12.38–16.03), and 15.57 (12.07–17.82) Mg C ha<sup>-1</sup> under cropping, modified grazing and native grazing, and 3.52 (3.15–4.09) Mg C ha<sup>-1</sup> under natural environments. Soils under native grazing were the most potentially vulnerable to C decomposition and loss, while soils under natural environments were the least vulnerable. An empirical assessment of the controls on the C change showed that climate, pH, total N, the C:N ratio, and cropping were the most important controls on POC change. Clay content and climate were dominant controls on HOC change. Consistent and explicit soil organic C simulations improve confidence in the model's predictions, contributing to the development of sustainable soil management under global change.

## 1 Introduction

Soil carbon (C) represents the most abundant terrestrial C pool (Batjes, 1996). It can be a significant source or sink of atmospheric CO<sub>2</sub> (Scharlemann et al., 2014). Sequestration of soil organic C, via the adoption of improved land management strategies, offers opportunities for improving soil and ecosystem health, sustainable food production and climate change mitigation (Lal, 2016; Paustian et al., 2019; Smith et al., 2020). However, a better understanding of soil organic C dynamics is needed to predict the size of the soil C pool accurately and to assess the potential for those opportunities.



25 Biogeochemical models represent our mechanistic understanding of processes such as organic C cycling in soil and can  
serve different purposes. They can be used to simulate soil C cycling under various combinations of soil, climate, land use  
and management (Conant et al., 2011), to evaluate the potential for C sequestration or loss, and to assess the impacts of  
environmental and human-induced change on the C cycle. In conjunction with long-term measurements, models can estimate  
the effects of management practices and climate change on soil C, as well as subsequent feedbacks. Therefore, the simulation  
30 of soil organic C with biogeochemical models has received much attention in the literature (Campbell and Paustian, 2015;  
Falloon and Smith, 2000).

The Rothamsted carbon model (ROTH C) (Jenkinson, 1990; Coleman and Jenkinson, 1996) and the CENTURY model  
(Parton et al., 1987) are most commonly used to simulate soil organic C dynamics in cropping, grassland and forest systems.  
Although developed under northern hemisphere conditions, since their inception in the 1980s, these models have been used for  
35 many different applications worldwide (Campbell and Paustian, 2015; Wang et al., 2016). They are the soil biogeochemical  
component in Earth systems models (Todd-Brown et al., 2013). They do not explicitly represent current theories around the  
mechanisms of microbial decomposition and physicochemical protection (Lehmann and Kleber, 2015), but they are still being  
used because they capture the general principle of soil organic C dynamics. In essence, the flow of C in the models occur  
through a cascading of C via several conceptual pools turning over at different rates, according to first-order kinetics and  
40 modified by climate and soil texture. Other reasons for their continued popularity might be that there is ample documentation  
on them; they are relatively simple and general and are therefore also well understood.

The ROTH C model has been adjusted and tested for use under Australian conditions (Janik et al., 2002; Skjemstad et al.,  
2004). Skjemstad et al. (2004) showed that the size of the main conceptual C pools in ROTH C, the resistant plant material,  
humic and inert organic matter pools, can be initialised with measurements of the particulate, humus and resistant organic  
45 C (POC, HOC and ROC, respectively) fractions. ROTH C is one of a few models that can be initialised with measured C  
fractions. Skjemstad et al. (2004) calibrated the decomposition rate constants under Australian conditions, and Janik et al.  
(2002) assessed a sensitivity of the C pools to model parameters to highlight the potential complexity in the implementation of  
ROTH C. Since then, researchers in Australia have used ROTH C in different research (Paul and Polglase, 2004; Hoyle et al.,  
2013; Lee and Viscarra Rossel, 2020). ROTH C is a sub-model of the Fully Integrated Carbon Accounting Model (FullCAM)  
50 (Richards and Evans, 2004), used in Australia's National Greenhouse Gas Inventory System. Together, they are the core of  
the Australian model-based Emission Reduction Fund (ERF) methodology, which allows farmers and landholders to generate  
extra income by storing C in their soils and thereby reducing emissions (England and Viscarra Rossel, 2018; Paustian et al.,  
2019).

Simulation of soil C dynamics with biogeochemical models can be challenging. Despite the development of new models  
55 with updated representations of current understanding (Abramoff et al., 2018; Robertson et al., 2019; Wieder et al., 2014), there  
remains a disconnection between measurements and datasets used to inform the model and the theories represented in them  
(Blankinship et al., 2018; Harden et al., 2018). In practice, a lack of data restricts model parameterisation and optimisation and  
missing temporal datasets limit our ability to simulate and verify long-term changes in soil C stocks and composition (Smith  
et al., 1997). Hence, there is also little agreement on how input datasets should be synthesised, processed and used (Manzoni



60 and Porporato, 2009), leading to inconsistent model calibrations (Conant et al., 2011; Seidel et al., 2018) and inaccurate model  
predictions (Shi et al., 2018). In this context, the development of frameworks for soil organic C modelling and simulation, to  
synthesise and integrate measurements and datasets with models are critical (Harden et al., 2018; Ogle et al., 2010; Paustian  
et al., 1997; Smith et al., 2020). The frameworks should allow for their efficient updating, with new measurements, data and  
models, as they become available (England and Viscarra Rossel, 2018; Smith et al., 2020). They should also enable a more  
65 systematic approach for calibration and validation, making simulations more reliable and reproducible.

Our aims here were to: (i) simulate soil organic C across Australia with the Roth C under a framework that enables the  
synthesis, processing and standardisation of measurements and data, and predictions at a correct scale, and (ii) to predict the  
changes in C stocks and composition under cropping, modified grazing, native grazing, and natural environments, in a 100-year  
simulation. We describe the optimisation of the simulations to derive baseline estimates of the total organic C stock and that  
70 of its pools and use a plausible range of C inputs to make the predictions. Finally, we identified the soil and environmental  
controls on the predicted changes.

## 2 Materials and methods

### 2.1 The Rothamsted carbon model (Roth C)

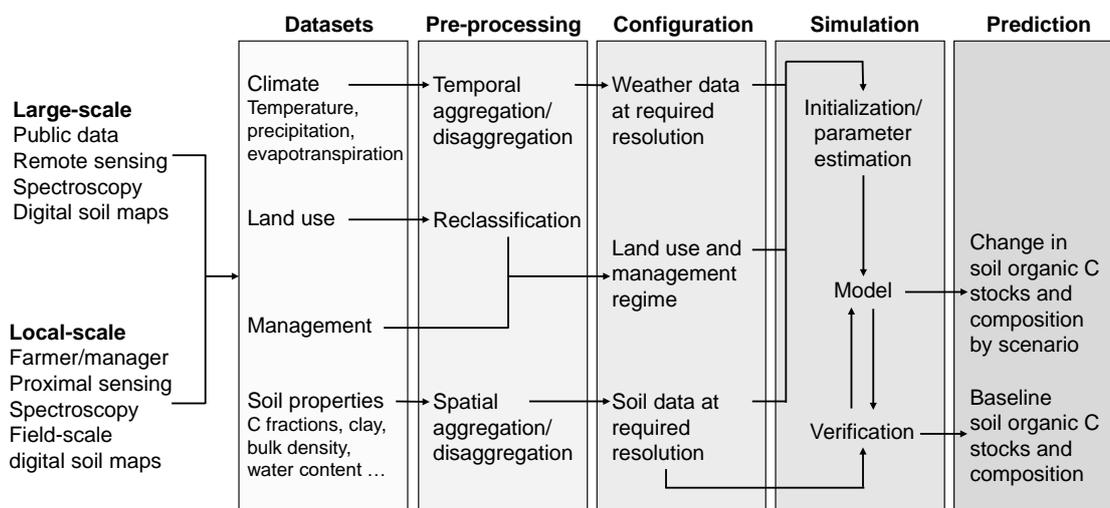
Roth C is a soil process model for the turnover of organic C in non-flooded soils (Jenkinson, 1990; Coleman and Jenkinson,  
75 1996). The model partitions total organic C (TOC) into pools that represent decomposable plant material (DPM), resistant  
plant material (RPM), microbial biomass (BIO), humified organic matter (HUM), and inert organic matter (IOM) (Coleman  
and Jenkinson, 1996). The model simulates on a monthly time step changes in its active pools, in response to climate, soil type,  
land use and management. Annual C inputs from crops and manure represent different land use and management regimes. We  
used the Roth C model version 26.3, which is the version that was re-calibrated for a range of Australian soils (Skjemstad  
80 et al., 2004). The decomposition rate constants for the DPM, RPM, BIO, and HUM pools are 10, 0.3, 0.15, and 0.02 year<sup>-1</sup>,  
respectively (Skjemstad et al., 2004). The decomposition of each active pool is assumed to increase, following first-order  
kinetics, with air temperature, but reduced by soil water deficits and the presence of vegetated soil cover. Temperature effects  
on soil organic matter decomposition increase following a sigmoid function, while the topsoil moisture deficit reduces it by a  
factor of 0.2 to 1 (no moisture stress). The soil cover factor is 1.0 for bare soil and 0.6 when soil is vegetated, to slow organic  
85 matter decomposition. The main conceptual pools RPM, HUM and IOM are replaced with the measured particulate, humus  
and resistant organic C fractions (POC, HOC and ROC, respectively) (Skjemstad et al., 2004). The POC fraction includes any  
DPM available in the soil at the time of measurement.

### 2.2 Soil C simulations under a framework

The framework that we used to simulate C dynamics across Australia (Figure 1), enabled us to efficiently standardise and  
90 then integrate measurements and data on soil properties and environmental controls with the Roth C model for simulation



and prediction at the appropriate scale. It encompasses five stages as follows (Figure 1): 1) data compilation and synthesis, 2) data pre-processing and standardisation, 3) configuration of data on management regimes, 4) model simulation supported by consistent initialisation and verification and 5) prediction.



**Figure 1.** Soil carbon (C) simulation and prediction under a framework enables explicit standardisation and better connection between datasets and a soil process model at the appropriate scale.

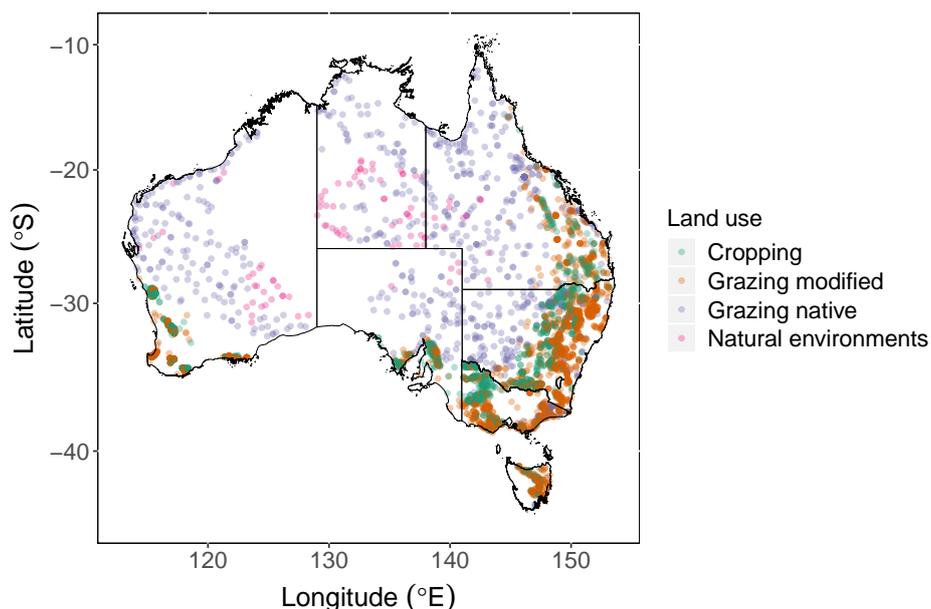
## 2.3 Implementation of the framework for soil C simulations

### 95 2.3.1 Data compilation and synthesis

ROTH C requires site coordinates, POC, HOC, ROC, clay content, and sampling depth (in our case 0–0.3 m). The available water capacity (AWC) of the soil to a depth of 1 m is needed to estimate the soil water balance in a rooting depth. We used a total of 4,431 sites across Australia (Figure 2). The soil properties were estimated with visible–near infrared spectra (Viscarra Rossel and Webster, 2012; Viscarra Rossel et al., 2015). Maximum air temperature, minimum air temperature, precipitation and pan evaporation are also required to run the model. We obtained gridded daily climate data (approximately 5-km resolution) from the SILO database of Australian climate data (SILO, 2020). We used the Australian Bureau of Agricultural and Resource Economics and Sciences land use map (ABARES, 2016) to determine detailed land cover across Australia. Agricultural activity data from Unkovich et al. (2017) provided data for croplands and modified pastures at Statistical Area Level 2 (SA2) (ABS, 2016), which are functional areas that represent socially and economically coherent communities. Current and historical events and agricultural practices, such as crop type and harvest, can be specified from 1970 to 2014. The other data required to run the



model include an estimate of the decomposability of incoming biomass, soil cover, and monthly inputs of plant C and farmyard manure. These C input variables, if not measured, must be estimated at each site (see below).



**Figure 2.** Location of 4,431 sites across Australia selected for this study. 1,261 sites were under cropping, 2,269 sites under grazing modified pastures, 807 sites under grazing of native vegetation, and 94 sites in natural environments, mostly under minimal use or managed resource protection in arid climates.

### 2.3.2 Data pre-processing and standardisation

The datasets were pre-processed and configured to provide standard and consistent values and units of measurement. Daily weather was extracted at each of the 4,431 sites for the 20 years from 1991 to 2010. The mean of the minimum and maximum daily temperatures derived the average daily temperatures. Aggregation of the daily weather data produced monthly average temperature, precipitation, and pan evaporation.

We used the Australian land use map to re-classify each site into the following broad land uses: cropping, modified grazing, native (unmodified) grazing, and natural environments (areas for nature conservation, indigenous uses, other minimal uses). We used the gridded Köppen climate classification from the Bureau of Meteorology (BOM, 2016) to identify sites under natural environments in arid climates. The area of cropping, modified grazing, native grazing, and arid natural environments occupy 292,104 km<sup>2</sup> (or 3.8%), 706,099 km<sup>2</sup> (9.2%), 3,439,468 km<sup>2</sup> (44.8%), and 1,507,616 km<sup>2</sup> (19.6%) of Australia, respectively. Data on agricultural practices at the SA2 level obtained from Unkovich et al. (2017) were used to select a crop or grass to represent typical management regimes in the sites under cropping and modified grazing.



### 120 2.3.3 Configuration of land management regimes and initial estimation of C inputs to soil

ROTH C does not calculate plant growth or the quantity of soil C inputs. Therefore, we estimated monthly plant C returns and farmyard manure added to the soil (e.g. managed or deposited by animals grazing on pasture) using the following approach. The initial estimate was made to set the starting values of the C inputs and to match the timing of C inputs to the crop or grass grown.

125 We assumed that crops grow in rotations, but at sites under modified pastures, only a single grass species was considered. We used the activity data from Unkovich et al. (2017) to determine crop rotations and a representative grass species for each site during the baseline period between 1991 through 2010. We based the selection on the probabilities of change in a regime. The data suggest that these probabilities were different for different periods (particularly for 1990–1994, 1995–1999, 2000–2004, 2005–2009, 2010–2014). For each period, we calculated the cumulative frequency by regime. We used it to randomly select  
130 the crop or grass species (both annual and perennial) planted each year in the particular period. The crops grown in all years were selected and then used to determine the most dominant crop species. For the sites under native grazing, we considered a native perennial grass only.

For annual plant species, we used a crop model (Unkovich et al., 2018) that uses the amount of water available to the plant (derived from the precipitation data) to calculate a potential dry matter increment that is water-limited (WLDM) in  $\text{kg ha}^{-1}$ :

$$135 \text{ WLDM} = ((ET \times Ts) + (DD \times Td)) \times TE$$

where  $ET$  is the evapotranspiration (mm) from pan evaporation,  $DD$  is any deep water drainage (mm) that occurs during the fallow season,  $Ts$  is a fraction of  $ET$  that goes through the transpiration,  $Td$  is a fraction of deep water drainage that goes through the transpiration, and  $TE$  is the transpiration efficiency that is the amount of biomass produced per unit of water transpired ( $\text{kg mm}^{-1}$ ) of a cropping or grazing system. The maximum dry matter production ( $DM_{\text{max}}$ ) is the sum of  
140 dry matter increments over the growing season. This model then back calculates dry matter accumulation ( $\text{kg ha}^{-1}$ ) over the season ( $DM_{\text{acc}}$ ):

$$DM_{\text{acc}} = \frac{DM_{\text{max}}}{\left(1 + e^{-\frac{Day - a \times Days_{\text{max}}}{b \times Days_{\text{sow}} \times Days_{\text{max}}}}\right)}$$

where  $Day$  is the current day as the season progresses,  $Days_{\text{max}}$  is the number of total growing days,  $Days_{\text{sow}}$  is the day of planting, and  $a$  and  $b$  are growth coefficients specific for the plant. For a perennial system, daily growth ( $G$ ) in  $\text{kg ha}^{-1}$  is  
145 calculated as:

$$G = WLT \times TI \times TE$$

where  $WLT$  is the amount of water-limited transpiration (mm) that is evapotranspiration multiplied by vegetation cover,  $TI$  is the temperature index function (Nix, 1981), and  $TE$  is the transpiration efficiency of a perennial system ( $\text{kg mm}^{-1}$ ). The perennial plant growth is used to calculate dry matter accumulation over the season. The model estimates root biomass using a  
150 plant-specific shoot-to-root ratio.



For both modified and native pastures, we assumed grazing to occur if the grass accumulated  $1.2 \text{ Mg ha}^{-1}$  of shoot dry-matter, with no grazing effect on plant growth. Specifically, grazing animals consumed 50% of daily shoot growth, returned 50% of the consumption to the soil as dung, and shed 50% of daily root growth. When the available soil water fell to  $< 15\%$  of water holding capacity, 1% and 0.5% of the shoot dry matter and the root dry matter were assumed to die daily. We assumed  
155 the C content of above-ground and below-ground residues to be 42% by mass.

For the sites under natural environments, however, we did not use the plant model because we had no data on plants in this region. Instead, we assumed small but consistent C inputs from plant residues only, which was set to be  $0.049 \text{ Mg ha}^{-1}/\text{month}$ . We assumed no soil cover because in these regions, vegetation cover is typically sparse.

### 2.3.4 Simulation: optimisation of C inputs to the baseline soil organic C

160 We assumed an equilibrium condition for the simulation of the baseline soil organic C stocks. Our assumption is based on temporal soil organic C changes from 73 sites across Australian agricultural regions, recorded from 1911 to 2000 (Skjemstad and Spouncer, 2003). The DPM/RPM ratio determines the decomposability of incoming biomass. By default, the recommended DPM/RPM ratio is 1.44 for most crops and improved pastures and 0.67 for unimproved grasslands (Coleman and Jenkinson, 1996). The DPM/RPM ratio depends on the quality of C in plant residues and manure. It is site-specific, differs by land-  
165 use (Post and Kwon, 2000), and is unknown for Australian native grazing or natural environments. We tested six different DPM/RPM ratios (0.67, 0.96, 1.17, 1.44, 1.78 and 2.23) to assess the sensitivity of the simulated TOC, POC and HOC to this parameter. These ratios correspond to allocations of incoming plant material to DPM in the range 40–69%, and proportionally, to RPM in the range 60–31%. We then performed the simulation iteratively (up to 1000 times) at each site until the POC and HOC pools matched the estimates of the measured C fractions. We ran the model for 100 years using weather data, repeated  
170 from a baseline period between 1991–2010, until equilibrium conditions occurred, with no temporal change in both POC and HOC. At each iteration of the simulations, the monthly input of plant residues and farmyard manure changed from their initial values by a fraction of 1/100. We considered only monthly C inputs in the simulations. Equilibrium condition occurred when 1) both POC and HOC did not significantly change over time ( $P > 0.05$ ), or 2) we observed an absolute change of  $< 0.0025 \text{ Mg C ha}^{-1}$  in both POC and HOC. We used a time series linear model with a trend and seasonality to fit the change in POC  
175 and HOC over time. An equilibrium condition was also assumed if the direction of a trend (positive or negative) in any pool shifted. This condition prevented unrealistic simulations because both POC and HOC showed the same trend in response to C inputs. Depending on the DPM/RPM, at 12 to 14 out of the 4,431 sites, the model was not able to simulate the equilibrium condition. We note that, for the sites that failed, changing C inputs only is insufficient for making both the POC and HOC pools reach equilibrium simultaneously.

180 We report the stocks of TOC, POC and HOC at the end of the 100-year simulation. We selected 100 years because it is the permanence period for soil C sequestration of the Australian ERF. The difference between the measured and the simulated TOC stock provided an estimate of the model deviation. We also calculated the range of monthly variation in simulated TOC stocks. For each site, we selected the DPM/RPM ratio based on the minimum deviation of TOC. We excluded 388 sites with the model deviation and range of monthly change in TOC stock  $\geq 10 \text{ Mg C ha}^{-1}$ . Large TOC stocks characterised the sites, median



185 75.04 Mg C ha<sup>-1</sup> (range 52.58–111.44 Mg C ha<sup>-1</sup>) and fell mostly under a modified grazing (data not shown). Finally, we optimised the amount of monthly C input and the DPM/RPM ratio at 4,043 sites and used them as the baseline. We determined the dominant values of the DPM/RPM ratio for each land-use across Australia, based on their relative frequency.

### 2.3.5 Prediction: potential for C sequestration under changing C inputs

Using the calibrated model, we predicted potential changes in soil organic C over 100 years, in response to changes in C inputs. 190 We selected different rates of C input to the soil by multiplying the optimised baseline with the factors 0, 0.25, 0.5, 0.75, 1.25, 1.5, 2, 4 and 6. These rates were selected to represent a wide range of C inputs that would be either physically achievable or manageable. Because we already calculated the timing of C inputs and the sensitivity to the DPM/RPM ratio, we did not consider scenarios that varied the timing or quality of C inputs. We chose 100 years so that we could detect changes in TOC, POC and HOC and predict their long-term response.

195 We calculated 11-year moving averages of the stocks of TOC, POC and HOC and the potential vulnerability of soil C to decomposition over the 100-years. The vulnerability of soil C was derived using POC/(HOC + ROC) (Viscarra Rossel et al., 2019). We calculated changes in soil organic C by changing C inputs. We reported the median stocks for the last 11 years of the simulation when it reached a new equilibrium. We also approximated the lower and upper 95% confidence intervals for the median to quantify the variation of their responses to different C inputs (Conover, 1998).

### 200 2.3.6 Empirical assessment of controls on the simulated C change

There are soil and environmental controls on organic C that are not accounted for by ROTH C. To gain a better understanding of the controls on the predicted change in soil organic C under changing C inputs, we modelled the change in TOC, POC and HOC as a function of the four land-use classes and a set of environmental variables. The environmental variables included i) soil properties, such as total nitrogen (N), total phosphorous (P), and C:N (Viscarra Rossel et al., 2015), ii) clay minerals 205 (illite, kaolinite, and smectite) (Viscarra Rossel, 2011) and iii) potassium (K), thorium (Th) and uranium (U) from gamma radiometrics, which also represent mineralogy and parent material (Minty et al., 2009). For the modelling, we used the machine learning algorithm CUBIST (Quinlan, 1992). To build precise and stable models, we tested combinations of committees (1, 2, 5, 10, and 20) and the number of neighbours (0, 2, 5, and 9) using 10-repeated cross-validation (Hastie et al., 2009). We used the minimum root mean squared error (RMSE) to select the best model. We then assessed the relative importance of each variable 210 based on the usage of each variable in the conditions and the linear models.

## 3 Results

### 3.1 Effect of different quality of C inputs on soil organic C

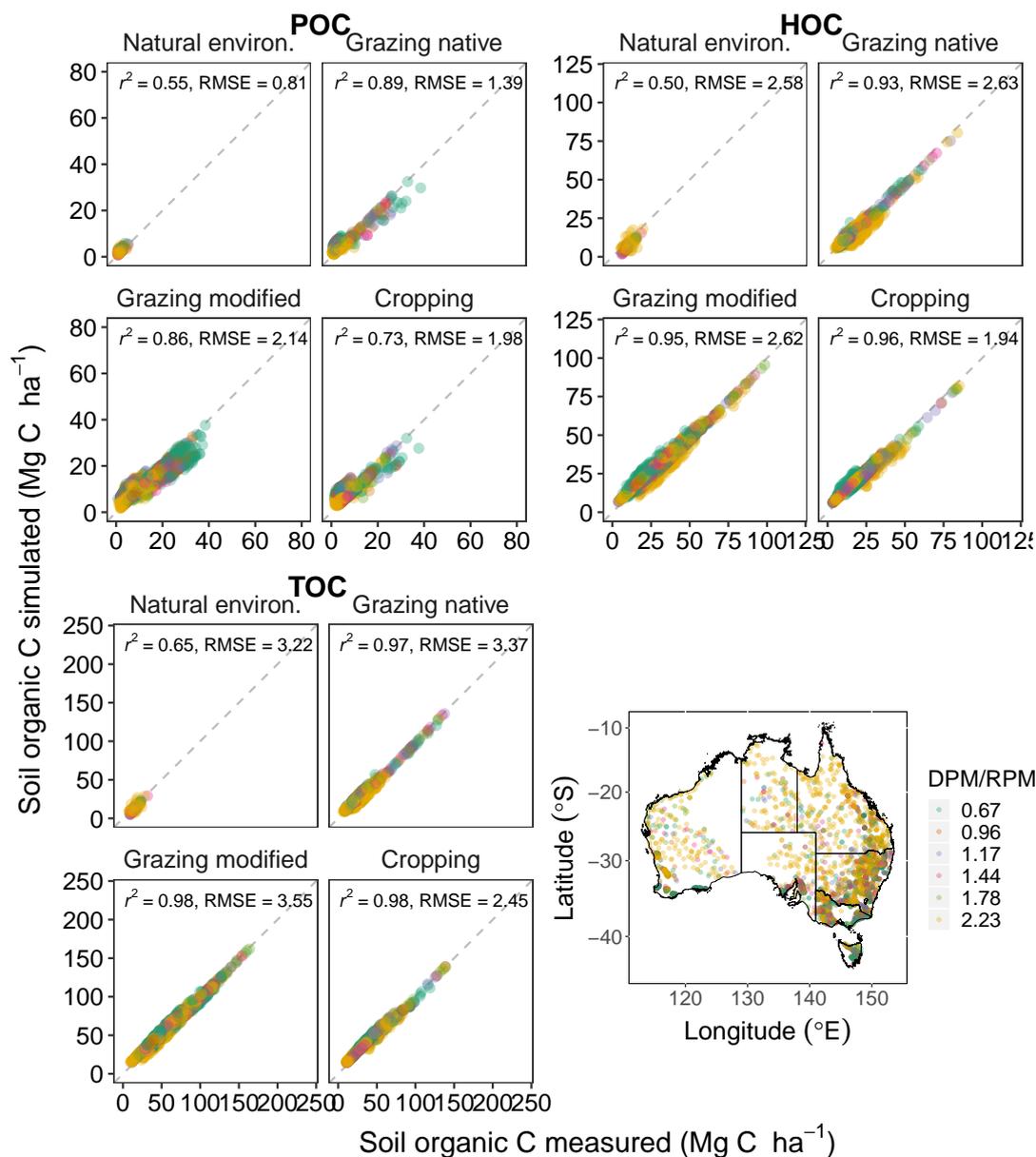
The median stocks of TOC, POC and HOC calculated across Australia were 26.01, 3.43 and 16.10 Mg C ha<sup>-1</sup> in the 0–0.3 m soil layer, respectively (Figure 3). The measured TOC stocks under natural environments, native grazing, modified



215 grazing and cropping were  $15.45 \text{ Mg C ha}^{-1}$  (interquartile range  $11.89\text{--}18.11 \text{ Mg C ha}^{-1}$ ),  $24.61 \text{ Mg C ha}^{-1}$  ( $18.96\text{--}34.17$ ),  
51.48  $\text{Mg C ha}^{-1}$  ( $39.01\text{--}74.60$ ) and  $35.38 \text{ Mg C ha}^{-1}$  ( $25.39\text{--}43.55$ ), respectively. The POC and HOC fractions consisted of  
11% and 68% of the measured TOC stocks under natural environments, 11% and 67% under native grazing, 18% and 52%  
under modified grazing, and 16% and 53% under cropping.

The model simulated the measured TOC, POC and HOC stocks at equilibrium with each change in the DPM/RPM ratio  
220 (Supplement Table S1). However, the amount of annual C input necessary to maintain soil organic C stocks was sensitive to  
the varying quality of incoming plant material. The C inputs increased from  $1.47$  to  $1.83 \text{ Mg C ha}^{-1}$  when the DPM/RPM ratio  
increased from  $0.67$  (low decomposability) to  $2.23$  (high decomposability). With those changes, the rate of C inputs into DPM  
rose from  $0.59$  to  $1.26 \text{ Mg C ha}^{-1} \text{ yr}^{-1}$ , while the rate into RPM decreased from  $0.88$  to  $0.57 \text{ Mg C ha}^{-1} \text{ yr}^{-1}$ . The addition  
of biomass C with different qualities affected the levels of POC and HOC at equilibrium (Supplement Table S1).

225 With an optimised DPM/RPM ratio at each site, the model was able to explain 97–98% of the measured variation in TOC  
at sites under native grazing, modified grazing and cropping, with the RMSE values of  $2.45\text{--}3.55 \text{ Mg C ha}^{-1}$  (Figure 3). At  
the sites under natural environments, the model explained only 65% of the variation in TOC, but with a similar RMSE of  
 $3.22 \text{ Mg C ha}^{-1}$ . The model could explain less of the variation in POC (55–89%) compared to TOC and HOC. There was also  
reduced model performance for POC in the soils under cropping. Across the sites, the most frequent DPM/RPM ratio was  $2.23$   
230 (for 1773 sites), followed by the value  $0.67$  (for 829 sites) and  $0.96$  (415 sites) (Figure 3). The simulated median and total TOC,  
POC and HOC stocks for each land-use class and overall (Table 1) were similar to the measured data. This gave us confidence  
in the performance of the model under Australian conditions.



**Figure 3.** Simulation of equilibrium soil organic C levels after optimisation, based on its sensitivity to the changes in the allocation of incoming plant and manure C into the decomposable plant material (DPM) and resistant plant material (RPM) components ( $n = 4,043$ ). The map shows the geographic distribution of DPM/RPM values, where high values correspond to faster decomposition.



**Table 1.** The simulated stocks of total, particulate and humus organic C (TOC, POC and HOC) under different land uses and in Australia. The total stocks of soil organic C were calculated from the median with the uncertainties expressed as an approximate 95% confidence interval (CI).

Land use		Median (Mg/ha)	1st quantile (Mg/ha)	3rd quantile (Mg/ha)	Total (Gt)	Lower 95% CI (Gt)	Upper 95% CI (Gt)
Cropping (n = 1182)	TOC	40.25	30.87	47.74	1.18	1.16	1.20
	POC	7.76	5.63	10.22	0.23	0.22	0.23
	HOC	21.03	15.17	25.13	0.61	0.60	0.63
Modified grazing (n = 2008)	TOC	53.65	42.24	74.37	3.79	3.72	3.86
	POC	10.66	7.70	15.13	0.75	0.73	0.77
	HOC	27.87	21.74	35.91	1.97	1.92	2.01
Native grazing (n = 777)	TOC	23.85	17.98	33.60	8.20	7.90	8.54
	POC	3.86	2.77	5.73	1.33	1.27	1.40
	HOC	14.08	10.65	19.05	4.84	4.64	4.99
Natural environment (n = 76)	TOC	13.00	9.61	17.05	1.96	1.65	2.30
	POC	2.29	1.72	3.28	0.35	0.29	0.42
	HOC	6.84	4.98	10.18	1.03	0.88	1.30
Australia*	TOC	25.45	19.37	34.94	19.52	18.63	20.52
	POC	4.46	3.23	6.44	3.43	3.24	3.64
	HOC	14.22	10.75	19.10	10.91	10.37	11.52

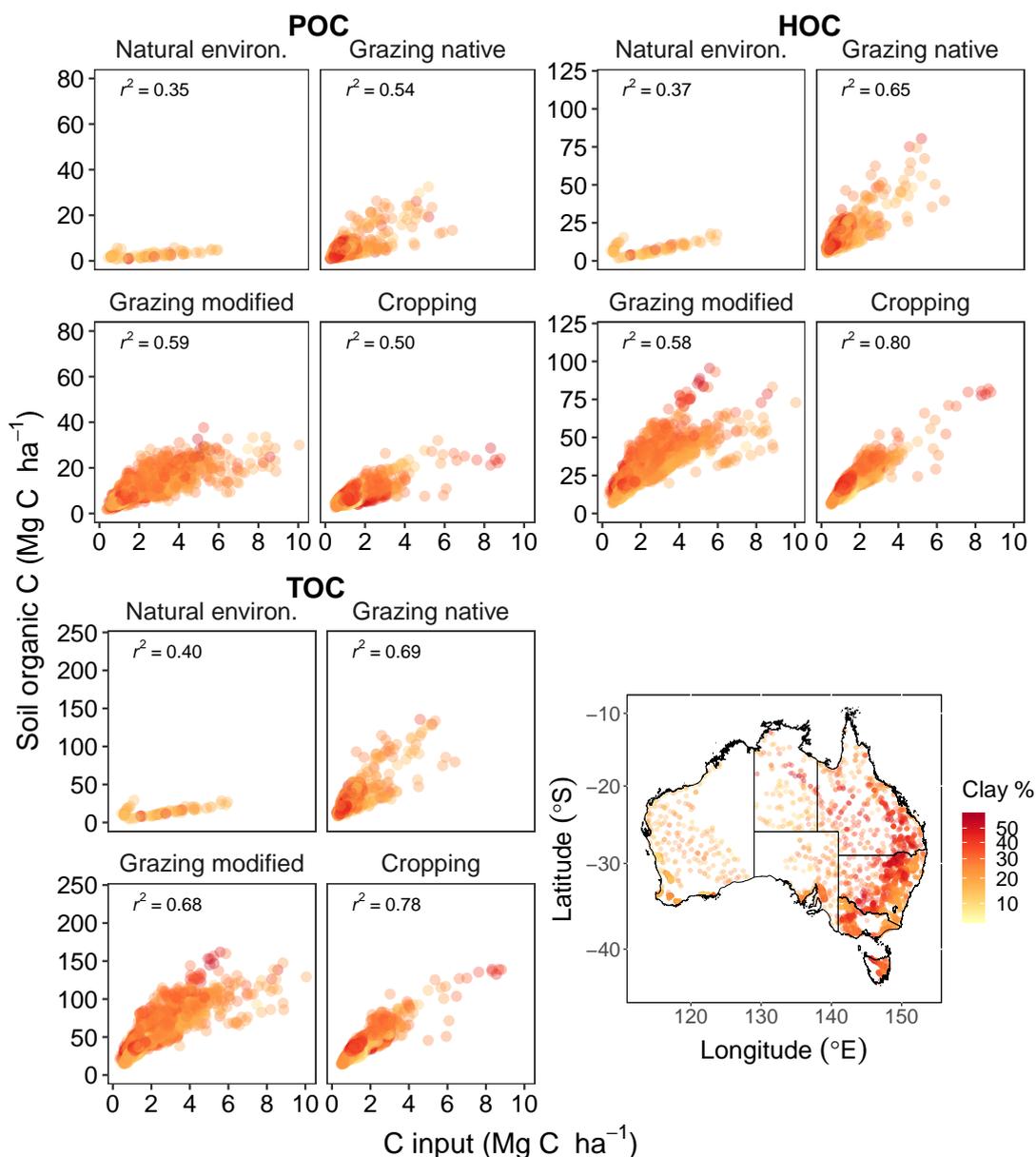
\* The Australian-wide estimates were the area weighted averages of the medians for the four land-use classes. The area of cropping, modified grazing, native grazing, and arid natural environments occupy 3.8%, 9.2%, 44.8%, and 19.6% of Australia (total area 7673138 km<sup>2</sup>), respectively.

### 3.2 Effect of changing C inputs on soil organic C

The TOC, POC and HOC stocks at equilibrium were positively related to the level of C inputs (Figure 4). Annual C inputs to the soil under natural environments, native grazing, modified grazing and cropping were 2.38, 0.77, 1.86 and 1.60 Mg C ha<sup>-1</sup>, respectively. Therefore, the model estimated the largest amount of C inputs required to maintain soil organic C under natural environments compared to the other land uses. The corresponding interquartile range was 1.11–3.57 Mg C ha<sup>-1</sup> for natural environments. In comparison, there was a wider range of C inputs for native grazing (0.57–1.13 Mg C ha<sup>-1</sup>), modified grazing (1.37–3.01 Mg C ha<sup>-1</sup>), and cropping (1.20–2.18 Mg C ha<sup>-1</sup>) (Figure 4). For the agricultural soils, clay affected the relationship between soil organic C stocks and C inputs as soil with more clay (predominantly in eastern Australia) could hold more



organic C (Figure 4). In particular, HOC and TOC under modified grazing show distinct responses to C inputs, depending on clay content. This pattern was not evident for POC as this pool is not directly associated with clay in the model.



**Figure 4.** Relationships of total, particulate and humus organic C (TOC, POC and HOC) with C inputs by land use ( $n = 4,043$ ).

The model explained 78, 80, and 50% of the variation in TOC, HOC, and POC by increasing C input under cropping (Figure 4). The relationship was poorer under native and modified grazing ( $r^2 = 0.54\text{--}0.69$ ) (Figure 4). There was a relatively weak

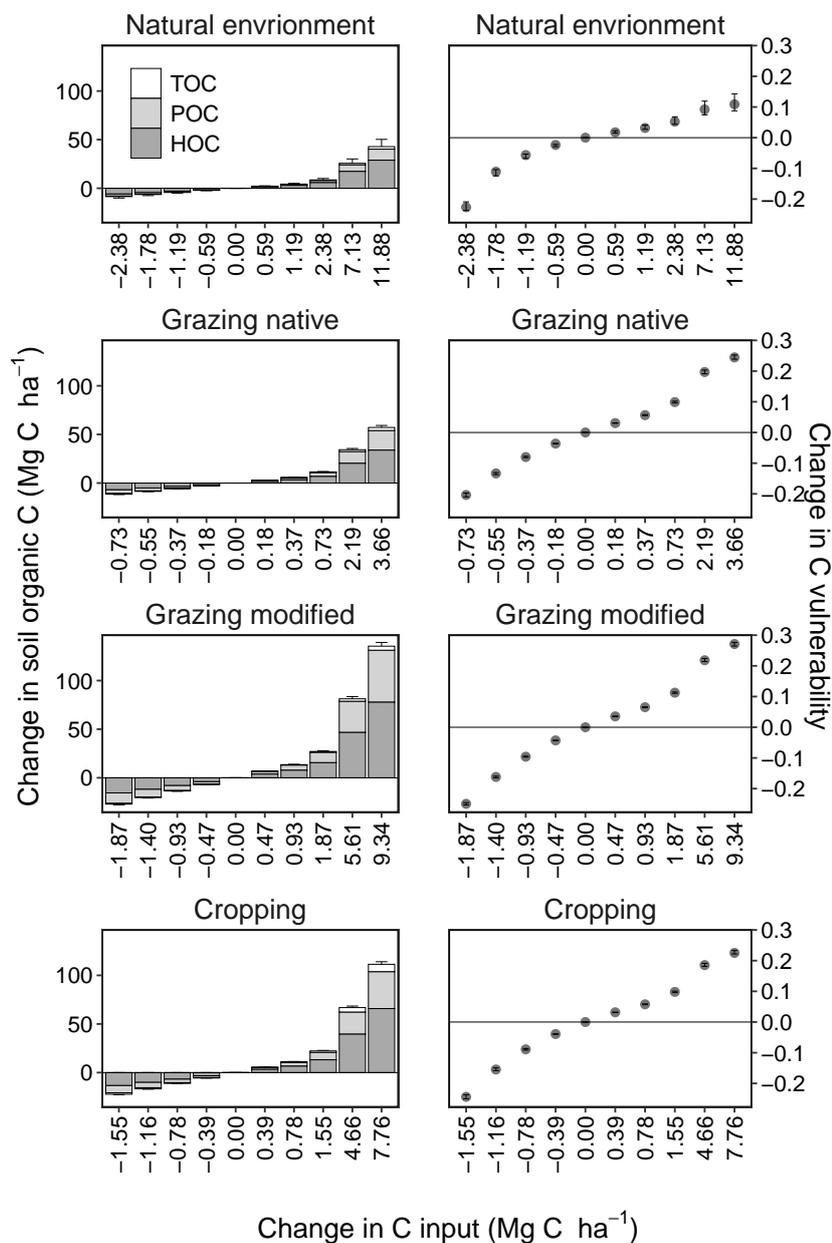


245 and divergent relationship between soil organic C stocks and C inputs to the soil under natural environments ( $r^2 = 0.35\text{--}0.40$ ),  
mostly due to differences in precipitation. We found that soil organic C was more responsive to C inputs at the sites with little  
annual precipitation (approximately 170 mm).

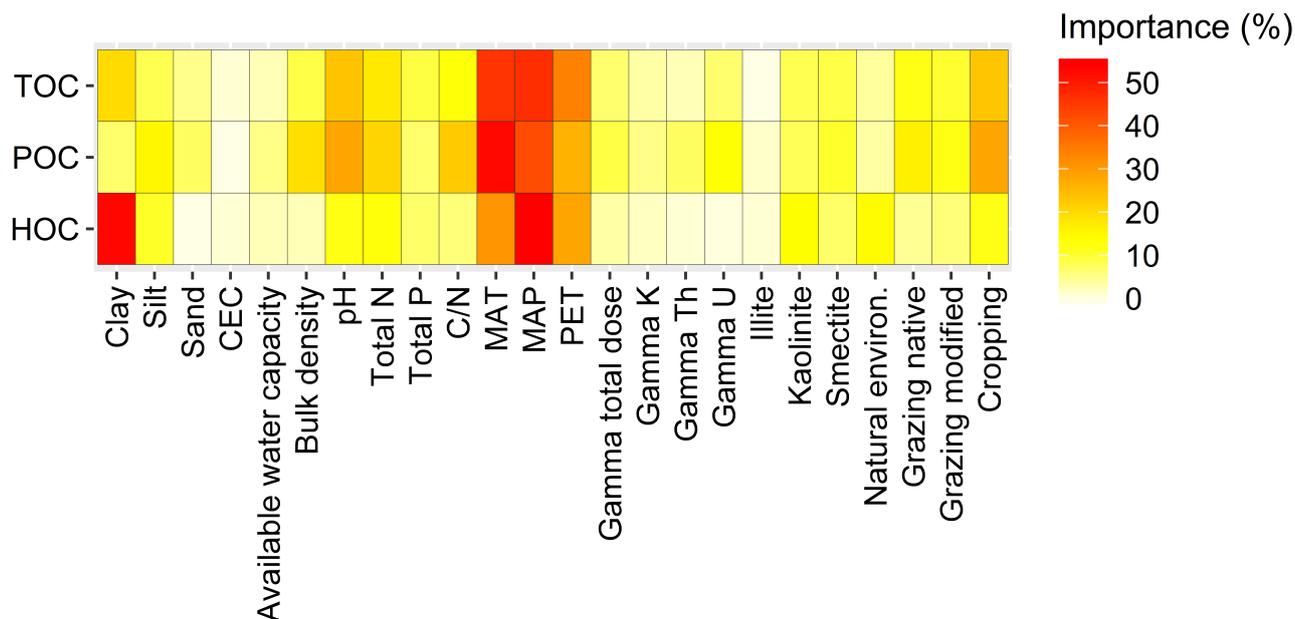
The TOC, POC and HOC stocks at a new equilibrium responded linearly to changing soil C inputs from the baseline (Figure  
5). Our results show that with an annual increase of 1 Mg C ha<sup>-1</sup> in C inputs and under current climatic conditions, soils under  
250 natural environments can potentially increase TOC stocks by 3.52 Mg C ha<sup>-1</sup> (interquartile range 3.15–4.09 Mg C ha<sup>-1</sup>). In  
this case, the stocks of POC and HOC increased by 0.92 Mg C ha<sup>-1</sup> (0.73–1.35) and 2.48 Mg C ha<sup>-1</sup> (2.23–2.99), respectively.  
Soils under the other land use were more sensitive to changing C inputs. Under native grazing, TOC, POC and HOC stocks  
changed by 15.57 Mg C ha<sup>-1</sup> (12.07–17.82), 5.49 Mg C ha<sup>-1</sup> (3.93–6.97) and 9.18 Mg C ha<sup>-1</sup> (7.46–9.96), respectively,  
and at the same rate as the C inputs in the simulation. Under modified grazing, TOC stocks changed by 14.21 Mg C ha<sup>-1</sup>  
255 (12.38–16.03) and POC and HOC accounted for 5.34 Mg C ha<sup>-1</sup> (4.46–6.86) and 8.12 Mg C ha<sup>-1</sup> (7.23–8.93) of the  
change, respectively (Figure 5). Changes in TOC, POC and HOC stocks under cropping were 13.58 Mg C ha<sup>-1</sup> (12.19–  
15.80), 4.69 Mg C ha<sup>-1</sup> (3.76–6.48) and 8.35 Mg C ha<sup>-1</sup> (7.49–8.96), respectively. The change in soil C stocks under grazing  
and cropping were similar, possibly because climate and soil texture have a dominant effect of on the C inputs in these areas.  
In soils under natural environments, more HOC accumulated with increasing TOC. Conversely, under grazing and cropping,  
260 with increasing TOC there was also more POC. With increasing C inputs, the potential vulnerability of soil organic C to loss  
increased under all four land uses (Figure 5). The soil under native grazing was the most vulnerable, as the increase in POC  
(35%) was proportionally higher than the increase in HOC (59%). Soil under natural environments was the least vulnerable to  
change because of the smaller increase in POC (26%) relative to HOC (70%).

### 3.3 The controls on the simulated soil organic C change

265 Climatic variables, particularly temperature and potential evaporation, controlled changes in TOC, POC and HOC (Figure 6).  
Clay content had a dominant effect on the changes in HOC because in ROTH C, clay determines the ratio of CO<sub>2</sub> released to  
HOC formed, during decomposition. Total N, the C:N ratio and pH were important controls for the changes in POC (Figure 6),  
and might be related to a capacity of the soil to form POC. Cropping affected the changes in POC, possibly because of the crop-  
specific distribution of C inputs. The controls on POC were similar to those on TOC because their changes were proportional.  
270 The land use in natural environments affected the changes in HOC (Figure 6), suggesting that we need a greater understanding  
of the potential for C sequestration in low clay content soils in arid climates.



**Figure 5.** Simulated potential changes in total organic C (TOC) in the topsoil (0–0.3 m) following the changes in C input ( $n = 4,043$ ), consisting of particulate and humus organic C (POC and HOC) (left) and corresponding changes in C vulnerability (right). At each site, baseline C input was multiplied by the factor 0, 0.25, 0.5, 0.75, 1.25, 1.5, 2, 4 and 6 to derive different C input levels. A positive change in the C vulnerability shows increased vulnerability to decomposition, while a negative change indicates more resistance to loss. The error bar represents the model variation within the interquartile range from the median.



**Figure 6.** Importance of the environmental variables that contribute to potential changes in total, particulate and humus C organic C (TOC, POC and HOC) by changing C inputs. Climatic variables, i.e. mean annual temperature (MAT), mean annual total precipitation (MAP) and potential evapotranspiration (PET), are averaged over a period of 1991–2010. CEC is the cation exchange capacity of a soil.

## 4 Discussion

### 4.1 The performance of ROTH C for simulating C change in Australia

We used ROTH C because it requires few parameters, it initialises its main pools with measured C fractions, and it was  
 275 adjusted to suit Australian conditions (Janik et al., 2002; Skjemstad et al., 2004). Further, the model is in Australia’s National  
 Greenhouse Gas Inventory System and the ERF, and so we thought it useful to comply. The climatic and soil property inputs  
 needed to run ROTH C are readily available from public datasets or are relatively easily measured, for instance, with proximal  
 sensors (England and Viscarra Rossel, 2018).

The main soil C pools of ROTH C can be initialised with measured C fractions, there is no need for spinup simulations (i.e.  
 280 simulations until the model reaches equilibrium), making it possible to run the model at each of the sites across Australia,  
 without additional effort. Further, using measured C fractions in the model allows for correct assignment of the primary pool



structure and the measurements serve as internal verification of the model predictions. In our case, we could empirically assess how well the baseline simulations matched the model's corresponding dynamic pools, which gave us additional confidence that the performance of the was representative of the Australian soils used. Such data-driven model initialisation helps with the selection and site-specific estimation of other 'unknown' model parameters, such as the amount and quality of C inputs. Importantly, it also helps with a more consistent calibration of the model (Aber, 1997; Seidel et al., 2018). The simulations successfully optimised both the amount and the quality of C inputs to maintain the current baseline soil organic C stocks.

The model performed well in soils that are under agriculture (both cropping and grazing), but the simulation under natural environments in semi-arid and arid climates need improving. Together with the relatively large C inputs, required to maintain baseline TOC (9.61 to 17.05 Mg C ha<sup>-1</sup>), this poor performance suggests that the model did not represent well the complex decomposition processes described by the (hot and dry) climate and soil under natural environments. We hope to address this in subsequent research because soil C in semi-arid and arid climates might represent a crucial C sink in Australia and other similar regions of the world (Farina et al., 2013).

The simulated baseline estimate of the total TOC stock in Australia is 19.52 Gt, which is less than the 24.97 Gt estimate of Viscarra Rossel et al. (2014), as soils under land uses that contain more carbon, e.g. forests were not included in this study. Our estimates for soil under natural environments and native grazing are 1.96 Gt (with 95% confidence intervals of 1.65–2.30 Gt) and 8.02 Gt TOC (7.90–8.54 Gt), respectively. The soil under native grazing has the largest organic C stocks compared to the other land uses. The contribution of native grazing to the national soil organic C budget is considerable due to the large extent of land that it covers. This estimate was well within the confidence intervals derived by Viscarra Rossel et al. (2014), although slightly larger. Estimates of the total TOC stocks for soils under modified grazing and cropping are 3.79 Gt (with 95% confidence intervals 3.72–3.86 Gt) and 1.18 Gt (1.16–1.20 Gt), respectively. These estimates were also somewhat larger than those of Viscarra Rossel et al. (2014). Our estimates of the total POC and HOC stocks across all four land uses are 3.43 and 10.91 Gt, which are smaller than the 7.8 and 27.3 Gt, respectively, estimated derived by Viscarra Rossel et al. (2019). However, our estimates are within the range of their confidence intervals. A reason for the differences between our estimates and those of Viscarra Rossel et al. (2014, 2019) might be that our estimates from the simulations are based on a relatively sparse sample (Figure 1), while theirs are from a complete enumeration of Australia with spatial machine learning models. Nevertheless, the results from our simulations suggest that the ROTH C model can explain the soil processes under different land uses that are important for estimating the baseline total stocks of soil organic C and its composition.

There are few quantitative assessments of soil C dynamics in Australia. Primarily they are for cropping regions (Luo et al., 2014; Lam et al., 2013; Wang et al., 2016), some present local case studies with few data (Hoyle et al., 2013). and some report estimates that are uncertain because of the lack of comprehensive surveys and scarcity in data (Gifford, 2010). Here, we simulated soil organic C at 4,043 sites across Australia to predict changes in C stocks and C composition under four land uses, from a range of plausible changes in C inputs to the soil. With an annual increase of 1 Mg C ha<sup>-1</sup> in C inputs, the model predicted the largest potential soil C increase in soil under native grazing (12.07–17.82 Mg C ha<sup>-1</sup>), modified grazing (12.38–16.03 Mg C ha<sup>-1</sup>), cropping (12.19–15.80 Mg C ha<sup>-1</sup>) and natural environments (3.15–4.09 Mg C ha<sup>-1</sup>), respectively. We also predicted the potential vulnerability of soil organic C to loss. Results suggest that soil organic C in Australian soils



would become more vulnerable to losses with increases in soil organic C. This indicates the need for a better understanding of C stabilisation mechanisms and management to maintain the proportion of new C sequestered in the soil.

We did not use net primary productivity (NPP) as a proxy for C inputs to the soil. Although large-scale estimates of NPP might be a good proxy for the C inputs in natural environments, they would be inadequate for managed land uses (Haverd et al., 2013). To derive estimates of NPP for managed land uses, such as croplands, one needs fine spatial resolution land cover data with crop-specific information (Li et al., 2014; Turner et al., 2006), which are not readily available continentally. Large-scale (global, continental or regional) estimates of NPP, such as those available from coarser resolution remote sensing, would not be suitable for agricultural environments, also because depending on the method used to derive NPP, the estimates would be largely uncertain (Roxburgh et al., 2005; Ciais et al., 2010). Therefore, using NPP as an estimate of C inputs for all four land uses would have resulted in more uncertainties in our simulation. We thought it important to maintain a consistent approach for deriving the C inputs, so we used a plausible range of values to represent the C inputs under all four land use classes. The range of C inputs that we used are representative of values that might be expected from management practices that enhance rates of primary production and C input to the soil, including manure addition (Lal, 2016; Paustian et al., 2019). Our results suggest that the baseline rate of C inputs into the active POC and HOC pools is site-specific, and managing its rate locally is needed to avoid soil C loss from land-use change. Importantly, these estimates of C inputs are useful to locate soils where C capture is possible under limited availability of water resources and nutrients (Baldock et al., 2012).

The long-term changes in organic C are primarily determined by the C inputs into the soil, and the sensitivity of the change can be affected by local conditions. The results from the empirical modelling suggest that predictions might improve if we can modify the environmental effects on decomposition, separately for each of the pools. For example, clay content did not importantly affect the changes in POC, but it did affect changes in HOC. In contrast, other studies have shown that clay has a direct effect on both C inputs and the C pools in Australian soils (Krull et al., 2003; Luo et al., 2017). Of course, this might be due to the inability of the model to simulate textural controls on POC. Total N and the C:N ratio contribute more to the changes in POC than in HOC and POC seems to be also affected by pH and more under cropping. These results suggest the difficulty that ROTH C has in simulating the more labile POC dynamics and the need to represent such additional environmental factors to better explain the changes.

#### 4.2 Simulating soil C dynamics under a framework

There is a functional disconnection between measurements, data and biogeochemical models (Blankinship et al., 2018), but by simulating under a framework, like we did here, we can bridge that disconnect. A framework provides a standardised and consistent approach for organising and processing input datasets from different sources, to facilitate calibration, verification and prediction at an appropriate scale and resolution, depending on the study. The input data may originate from field or laboratory measurements, remote sensing, digital soil maps or other data from various sources. Under a framework soil C simulations can be more versatile. They can be performed on points, areas or pixels, even when few, or no site-specific data are available. In the latter case, by using fine spatial resolution information (Viscarra Rossel et al., 2014, 2015, 2019), or like we have shown here, one can use measurements together with continental-scale datasets and processes them consistently for the simulations.



When site-specific data are available, then under the framework, they are processed appropriately for the local simulations, as we have shown in Lee and Viscarra Rossel (2020).

355 Simulating soil organic C under a framework also facilitates consistent pre-processing, quality checks and explicit defini-  
tion of the simulation unit. This is important because often, datasets have a different formats and resolutions, which must be  
standardised and harmonised before running the simulation (Batjes et al., 2020). Datasets may need to be aggregated or dis-  
aggregated over space and time, depending on the data and the need. For example, if the need is to run the simulations over a  
large-scale and over grids, finer resolution data e.g. soil property data, will require aggregation to match the coarser resolution  
of the simulation unit. Similarly, re-classification of categorical data, e.g. land-use data, may be performed, like we have done  
here, to set the spatial extent of the simulations. We used the model ROTH C, however, by simulating under a framework one  
360 could accommodate other soil C models, with only small changes to the workflow (Figure 1). This versatility is essential for  
extending our theoretical understanding of C cycling and its response to human-induced and environmental change at appropri-  
ate scales (Grunwald et al., 2011; Metting et al., 2001). Of course, with other multi-pool C models, it will be important to  
explore further the initialisation requirements and the baseline state for the simulations. The reason is that each soil C pool  
could be at a different state. Other models may also drive decomposition based on different assumptions, e.g. soil enzyme  
365 kinetics or microbial growth (Smith et al., 2020).

### 4.3 Future needs

Plant biomass production and subsequent C inputs to the soil are critical determinants of the quantity of organic matter in soil  
C models. The simulations that we presented predicted the potential of soil C sequestration in response to changing C inputs  
under the main land uses in Australia. However, we will need data on plant growth properties, seasonal biomass data, and  
370 residue and grazing management, to better represent management practices under these land uses. Without such datasets, it is  
difficult to verify the balance between C inputs and the stocks and composition of soil organic C under different land-use and  
management combinations, except for a few cropping systems (Wang et al., 2016). For the soils under native grazing, we need  
new research on the specific growing conditions of plants (e.g. nutrient availability) and how they affect the amount and timing  
of C inputs.

375 The machine learning modelling could identify some other contributing factors for changes in soil organic C and determine  
their relative importance. Although there is no clear mechanistic understanding gained from those analyses, some of those  
variables are important predictors of soil C sequestration, and they might need accounting in future model development. In  
practice, statistical modelling can be included in the framework to help identify the balance of C flows between the soil,  
plant, and atmosphere at the scale of interest. However, research to combine mechanistic and statistical modelling is still at  
380 an early stage, and more research is needed to connect data with models (O'Rourke et al., 2015; Vereecken et al., 2016), in  
a consistent manner and across scales, for example, Viscarra Rossel et al. (2019). With new measurements and subsequently  
growing datasets, we expect to identify new processes and controls from statistical modelling and to further account for these  
in the models within the framework.



## 5 Conclusion

385 Our results show that the site-specific initialisation of the C pools with measurements of the C fractions are essential for accurately representing baseline soil organic C stocks and composition. With a site-specific optimisation of the DPM/RPM ratio, the model could explain 97–98% of the variation in TOC under native grazing, modified grazing and cropping, respectively, and 65% under natural environments. Site-specific initialisation is also essential for predicting changes in C stocks and the potential of soils for C capture and storage. Our 100-year simulations showed that, with an annual increase of 1 Mg C ha<sup>-1</sup>,  
390 the potential for C sequestration, as well as the potential vulnerability to C loss, in Australian soils is smallest in soils under natural environments, larger under cropping and modified grazing, and the greatest in the soils under native grazing. Our simulations of soil organic C across Australia with ROTH C were performed under a framework that establishes a much-needed connection between measurements, datasets and models. It enabled consistent processing of measurements and datasets from different sources, and standardisation and configuration of the model for calibration, verification, and prediction.

395 *Code availability.* The code used for the data processing is available from the corresponding author on reasonable request.

*Data availability.* The data sets are available from the corresponding author on reasonable request.

*Author contributions.* RVR formulated the research and with JL designed the simulations. JL performed the simulation and with RVR, ZL and YW the data analysis. RVR and JL wrote the manuscript with input from YW and ZL.

*Competing interests.* The authors declare that they have no conflict of interest.

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