

1 **Particles under stress: Ultrasonication causes size** 2 **and recovery rate artifacts with soil derived POM,** 3 **but not with microplastics.**

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8 **Abstract.** The breakdown of soil aggregates and the extraction of particulate organic matter
9 (POM) by ultrasonication and density fractionation is a method widely used in soil organic
10 matter (SOM) analyses. It has recently also been used for the extraction of microplastic from
11 soil samples. However, the investigation of POM physiochemical properties and ecological
12 functions might be biased, if particles are comminuted during the treatment. In this work,
13 different types of POM, which are representative for different terrestrial ecosystems and
14 anthropogenic influences, were tested for their structural stability in face of ultrasonication in a
15 range of 0 to 500 J ml⁻¹. The occluded particulate organic matter (oPOM) of an agricultural
16 and forest soil as well as pyrochar showed a significant reduction of particle size at ≥ 50 J ml⁻¹
17 by an average factor of 1.37 ± 0.16 and a concurrent reduction of recovery rates by an average
18 of 21.7 ± 10.7 % when being extracted. Our results imply that increasing ultrasonication causes
19 increasing retention of POM within the sedimenting phase leading to a misinterpretation of
20 certain POM fractions as more strongly bound oPOM or part of the mineral-associated
21 organic matter (MOM). This could e.g. lead to a false estimation of physical stabilization. In
22 contrast, neither fresh nor weathered polyethylene (PE), polyethylene terephthalate (PET)
23 and polybutylene adipate terephthalate (PBAT) microplastics showed a reduction of particle
24 size or the recovery rate after application of ultrasound. We conclude that ultrasonication
25 applied to soils has no impact on microplastic size distribution and thus provides a valuable
26 tool for the assessment of microplastics in soils and soil aggregates.

27 **1 Introduction**

28 The mechanical disintegration of soil aggregates by use of ultrasonication following the
29 method of Edwards and Bremner (1967a) and subsequent density fractionation of particulate
30 organic matter is widely used in the assessment of soil organic matter (SOM) stability. This
31 includes characteristics such as aggregate composition and stability (Edwards and Bremner,
32 1967b), the constitution of SOM pools (Golchin et al., 1994), the stabilization of SOM in forest
33 ecosystems (Graf-Rosenfellner et al., 2016) and the occlusive strength of particulate organic
34 matter (POM) (Büks and Kaupenjohann, 2016). Ultrasonication is also applied to assess
35 quantities and qualities of anthropogenic contaminants such as microplastics (Zhang and Liu,
36 2018; Zhang et al., 2018).

37 In studies on soil carbon pools, ultrasound is applied to a soil slurry to break down soil
38 aggregates. The disaggregation allows density fractionation of the free and occluded light
39 fractions (fLF and oLF), which largely consist of material with densities below the fractionation
40 medium, from the heavy fraction (HF), that has higher densities. These operational fractions
41 largely correspond to the free particulate organic matter (fPOM), the occluded particulate
42 organic matter (oPOM) and the mineral-associated organic matter (MOM). This organic
43 matters are assigned to the labile, intermediate and stable carbon pool, respectively, and
44 have turnover times of <1 year (labile) to several thousands of years (stable) (Lützow et al.,
45 2007).

46 Furthermore, the extracted POM fractions may not only contain the natural but also
47 anthropogenic components such as microplastic. Recent studies reported soil microplastic
48 concentrations between 1 mg kg⁻¹ dry soil at less contaminated sites and 2 to 4 orders of
49 magnitude above in samples from highly contaminated industrial areas (Fuller and Gautam,
50 2016; Rezaei et al., 2019). The agricultural application of sewage sludge, wastewater,
51 compost as well as plastic mulching and the input of road and tire wear are discussed as
52 important entry pathways to soils (Bläsing and Amelung, 2018). These origins of MP are
53 characterized by a different composition of the size and shape of the extracted items (e.g.
54 Zhang and Liu, 2018; Ding et al., 2020). In laboratory experiments, MP in the observed size
55 range was shown to influence soil biogeochemical properties such as water holding capacity,
56 soil structure, microbial activity and the health of soil biota, with strong dependence on the
57 size and shape of the applied particles (de Souza Machado et al., 2018; Büks et al., 2020).
58 Furthermore, the mobility within the soil pore space and preferential flow channels, which is
59 crucial for the accessibility of soil microplastic to ground and surface waters, is also highly
60 dependent on particle size (O'Connor et al., 2019; Zubris and Richards, 2005). It is therefore
61 a very topical task for both the impact assessment of given contaminations in landscapes and
62 the design of robust experimental setups to have extraction methods with high yield and a low
63 alteration of microplastic size and shape.

64 The common method of ultrasonication is carried out with a piezo-electric converter, that uses
65 electric energy to generate axial vibration of a sonotrode, which is dipped into a flask
66 containing a fluid and a submerged soil sample. The oscillating sonotrode emits acoustic
67 pulses within the fluid. In front of the shock-waves the medium is compressed, and the
68 increased pressure causes an increased gas solubility. Behind the wave the medium relaxes
69 and the pressure drops below the normal level leading to an explosive outgassing (Ince et
70 al., 2001). This so called cavitation effect produces lots of exploding micro-bubbles between
71 particles and within cavities of the soil matrix generating very local pressure peaks of
72 200 to 500 atm accompanied by temperatures of 4200 to 5000 K (Ince et al., 2001). It
73 provokes the detachment of physiochemical bondings between soil primary particles and soil
74 aggregates and, thus, causes disaggregation. Depending on the type and settings of the
75 device, the vibration frequency can vary up to 10000 kHz, but low frequencies around
76 20 to 100 kHz are recommended for soil aggregate dispersion to avoid chemical alteration of
77 OM, and the use of 40 kHz is very common (Kaiser and Berhe, 2014; Graf-Rosenfellner et al.,
78 2018).

79 As an artifact of the method, ultrasonication is known to provide mechanical and thermal
80 stress strong enough to comminute mineral particles at energy levels $>700 \text{ J ml}^{-1}$ (Kaiser and
81 Berhe, 2014). Also, the destructive influence on POM was tested in different studies and
82 appears even at energy levels much lower than 700 J ml^{-1} . Without application of a solid
83 mineral matrix, Balesdent et al. (1991) found $>60 \%$ of the POM in suspension comminuted
84 after application of 300 J ml^{-1} . Amelung and Zech (1999) treated natural soils with
85 0 to 1500 J ml^{-1} and performed a separation into size fractions of $<20 \mu\text{m}$, 20 to $250 \mu\text{m}$ and
86 $>250 \mu\text{m}$. At $\geq 100 \text{ J ml}^{-1}$ POM was transferred from the $>250 \mu\text{m}$ to the $<20 \mu\text{m}$ fraction. In a
87 similar manner, Yang et al. (2009) measured the mass and SOC content of sand, silt and clay
88 sized particle fractions in natural soils using an unconventional pulse/non-pulse
89 ultrasonication technique. The authors derived the comminution of POM at $>600 \text{ J ml}^{-1}$. Oorts
90 et al. (2005) added ^{13}C -enriched straw to natural soils and could show that larger amounts of
91 POM were redistributed at 450 J ml^{-1} when its degree of decomposition was higher. In
92 conclusion, those studies consistently found a comminution of POM by ultrasonic treatment,
93 which appears, however, at very different energy levels and is likely affected by the
94 aggregation regime (suspended without mineral matrix, added as fPOM, occluded within
95 natural soils), direct or indirect quantification of POM and the type of POM.

96 The aim of this work was to test how susceptible different POMs are to comminution by
97 ultrasonic treatment under standardized conditions. We embedded three POMs (farm oPOM,
98 forest oPOM and pyrochar, applied as an analog for soil black carbon and biochar
99 amendments) and also six differently weathered microplastics (fresh and weathered low-
100 density polyethylene (LD-PE), polyethylene terephthalate (PET) as well as polybutylene
101 adipate terephthalate (PBAT), a common biodegradable material) into a fine sand matrix.

102 Then, we treated these mixtures with 0, 10, 50, 100 and 500 J ml⁻¹, re-extracted the organic
103 particles with density fractionation and measured their recovery rates and particle size
104 distributions. The sand matrix was used only to simulate the influence of pore space on
105 cavitation and, thus, our simplified approach excluded broadly varying POM–mineral
106 interactions resulting from aggregation processes in natural soil samples.

107 In advance to the treatment, the nine materials showed different mechanical stabilities. Unlike
108 all six types of plastic particles, the occluded POMs and the pyrochar were easily to grind
109 between two fingers and therefore assumed to be prone to ultrasonication. An examination of
110 the recent literature on microplastic extraction from soils showed that the stability of
111 microplastic in face of ultrasound has not been studied yet, neither with weathered nor
112 juvenile material. Experiments with polymer-based adsorber resins indicated fractures on
113 microbead surfaces after treatment with 100 J s⁻¹ at 40 kHz for 70 minutes (Breitbach et al.,
114 2002). When exposed to the environment, plastic undergoes weathering by UV radiation,
115 mechanical comminution, microbial decay and chemical alteration (Kale et al., 2015; Andrady
116 et al., 2017), which leads to embrittlement. We therefore hypothesized, that unweathered
117 microplastic particles will be prone to ultrasonic treatment in a degree less than weathered
118 microplastic and much less than pyrochar or natural oPOMs.

119 2 Material and methods

120 2.1 Preparation of POM

121 The farm and forest oPOMs were extracted from air-dried soil aggregates of 630 to 2000 μm
122 in diameter sampled in 10 to 20 cm depth from an organic horticulture near
123 Oranienburg/Brandenburg (N 52° 46' 54, E 13° 11' 50, texture Ss, $C_{\text{org}}=49.3 \text{ g kg}^{-1}$, pH 5.8)
124 and a spruce/beech mixed forest near Bad Waldsee/Branden-Württemberg (N 47° 50' 59,
125 E 9° 41' 30, texture SI4, $C_{\text{org}}=73.2 \text{ g kg}^{-1}$, pH 3.4). The extraction was performed by use of a
126 density fractionation in 1.6 g cm^{-3} dense sodium polytungstate (SPT) solution: In 12-fold
127 replication, 120 ml of SPT solution were added to 30 g of aggregates in a 200 ml PE bottle.
128 The sample was stored for 1 h to allow the SPT solution to infiltrate the aggregates and was
129 then centrifuged at 3500 G for 26 min. The floating free particulate organic matter (fPOM) was
130 removed by use of a water jet pump and discarded. The remaining sample was refilled to
131 120 ml with SPT solution and sonicated for 30 sec ($\approx 10 \text{ J ml}^{-1}$) by use of a sonotrode
132 (Branson© Sonifier 250) in order to flaw the structure of macroaggregate ($>250 \mu\text{m}$). Then,
133 centrifugation and removal of the oPOM were executed as for the fPOM. The gained oPOM
134 was filtered off with an $0.45 \mu\text{m}$ cellulose acetate membrane filter, washed 3 to 5 times with
135 200 ml deionized water within the filter device until the rinse had an electrical conductivity of
136 $<50 \mu\text{S cm}^{-1}$, removed from the filter by rinsing with deionized water, collected and gently
137 dried for 48 h at 40°C . At the end, the oPOMs were sieved to $2000 \mu\text{m}$, long-shaped residues
138 were cut by a sharp knife, sieved again and pooled to one oPOM sample. The pyrogenic char
139 sample (made from pine wood, pyrolysed at 850°C for 0.5 h by PYREG® GmbH) was dried for
140 24 h at 105°C , ground in a mortar and sieved to $<630 \mu\text{m}$. The microplastics (LD-PE, PET
141 and PBAT) were made from plastic films by repeated milling (Fritsch Pulverisette 14) with
142 liquid nitrogen and sieved to $<500 \mu\text{m}$. Then, half of each sample was weathered for 96 h at
143 38°C , 1000 W m^{-2} (solar spectrum, 280 to 3000 nm) and a relative air humidity of 50 %
144 following DIN EN ISO 4892-2/3, which is the international industry standard for testing
145 artificial weathering of polymere-based materials (Pickett, 2018).

146 2.2 Mechanical stress treatment

147 In order to test their stability against ultrasonication, the nine POM types (farm and forest
148 oPOM and pyrochar as well as fresh and weathered LD-PE, PET and PBAT) were each
149 exposed in triplicates to different mechanical stress levels (0, 10, 50, 100 and 500 J ml^{-1}). The
150 treatment with 0 J ml^{-1} was used as a control with no mechanical agitation and 10 J ml^{-1}
151 represents a gentle stimulation, which is suggested not to disaggregate soil structure (Kaiser
152 and Berhe, 2014). Macroaggregates are prone to 50 J ml^{-1} , and 100 to 500 J ml^{-1} mark the
153 range of microaggregate disaggregation, as many studies stated full disaggregation of soils
154 after application of $\sim 500 \text{ J ml}^{-1}$ (Kaiser and Berhe, 2014). Larger values were ruled out,

155 although some studies applied energy levels above 500 J ml⁻¹, like Pronk et al. (2011) who
156 could show that silt-sized microaggregates were not dispersed at energy levels ≤800 J ml⁻¹.
157 However, small microaggregates often contain little or no POM (Tisdall, 1996), and energies
158 >710 J ml⁻¹ cause physical damage on mineral particles (Kaiser and Berhe, 2014). Therefore
159 we focus on the range of 0 to 500 J ml⁻¹ as a safe space for the extraction of POM with no
160 other known artifacts.

161 We chose acid-washed and calcinated fine sand to simulate the soil mineral matrix. This
162 texture can be easily suspended by ultrasonication (coarse sand cannot), has a low tendency
163 to coat POM or coagulate (like clay does) and shows a fast sedimentation when the sample is
164 centrifuged. Fine sand, moreover, represents soils that originated from Weichselian sanders
165 or aeolian sand deposition. In this methodical paper, our aim, however, was not to simulate a
166 set of soil textures, but to have a proof of concept to find out if natural or artificial POM is
167 damaged by ultrasonication. Then, quantities of 1 % w/w POM, and 0.5 % w/w in case of the
168 oPOMs, were embedded into the fine sand matrix.

169 These artificial soils (each 20 g) were stored in 100 ml of 1.6 g cm⁻³ dense SPT solution for
170 1 h in 200 ml PE bottles, that did not show measurable release of plastic fragments due to
171 sonication in preliminary tests with a pure fine sand matrix (data not shown). Mechanical
172 stress was applied by use of a sonotrode (Branson© Sonifier 250) as described by Büks and
173 Kaupenjohann (2016). The sonication times corresponding to 0, 10, 50, 100 and 500 J ml⁻¹
174 were determined by means of the sonotrode's energy output calculated following North
175 (1976). After the ultrasonic treatment, samples were centrifuged at 3500 G for 26 min. The
176 floated POM was removed by use of a water-jet pump, separated and cleaned by rinsing with
177 deionized water on a 0.45 µm cellulose acetate membrane filter until the electrical
178 conductivity of the rinse went below 50 µS cm⁻¹, and then lyophilized.

179 **2.3 Determination of recovery rates**

180 After lyophilization, the recovery rate $R = m_t / m_0$ was determined by weighing and described
181 as ratio of the recovered POM mass after treatment (m_t) to the initial POM mass (m_0) for all
182 POM types and energy levels. The recovery rate of a certain energy level is assumed
183 significantly different to the 0 J ml⁻¹ level, if a pairwise t-test results in a $p < 0.05$ (Table 1).

184 **2.4 Measurement of particle sizes**

185 All samples continued to be used for particle sizing. After pre-trials have shown that mainly
186 the hydrophobic particles (microplastics and pyrochar) coagulated in distilled water,
187 aggregation was avoided by suspension in 0.1 % w/v Tween© 20 detergent solution and
188 vortexing following Katija et al. (2017). 30 to 100 mg of POM were suspended in 500 ml 0.1 %

189 Tween© 20 solution and size classified with a QICPIC image analysis device (Sympatec
 190 GmbH, Clausthal-Zellerfeld, Germany) using a modified method from Kayser et al. (2019).
 191 Counts were grouped into 34 size classes from <5.64 µm to 1200–1826.94 µm and plotted as
 192 cumulative histograms of each replicate and their mean values (Fig. 1a and 1b). As the
 193 primary criterion for the reduction in particle size, the first 10 % and 50 % quantile (median)
 194 values were compared by pairwise t-test between 0 J ml⁻¹ and each other energy level,
 195 respectively. As particle size reduction could be significant but still marginal in case of a low
 196 variance between parallels and a low grade of comminution at the same time, the averaged
 197 comminution factor (CF) was introduced. It is defined as

$$198 \quad CF = \frac{\sum_i \left(\frac{x_{0,i}}{x_i} \right)}{i} \quad (1)$$

199 with i the number of parallels, $x_{0,i}$ the quantile value of the 0 J ml⁻¹ energy level and x_i the
 200 value of the compared energy level. A sample is then assumed significantly different to the
 201 0 J ml⁻¹ control and not marginal, if the p-value given by the t-test is <0.05 and the
 202 comminution factor is >1.1 for the 10 % quantile, the median or both, while its standard
 203 deviation is $sd < |CF - 1|$. (Table 2)

204 **2.5 Organic matter balance**

205 A second set of triplicates of pyrochar and farm soil oPOM were treated similarly at 0 and
 206 500 J ml⁻¹ to balance the complement of the recovered POM. For this purpose, the C
 207 concentration within the lyophilized sediment was measured by use of a CNS analyzer and
 208 converted to POM mass by use of the C content (%) of the respective organic matter. In
 209 addition, the mass gain of the cellulose acetate filters was measured after rinsing the sample
 210 and drying the filter at 70°C for 24 hours. The DOC concentration of the filtrate was measured
 211 and converted to DOM by use of an assumed 50 % C content. The difference of these and
 212 the recovered fractions compared to the initial weight of organic particles is termed the
 213 balance loss during the extraction procedure. (Table 3)

214 3 Results

215 3.1 Resulting recovery rates

216 All microplastic samples (LD-PE, PET and PBAT) show a constantly high recovery rate of
 217 about 97.1±2.5 % in average over the whole range of applied energy levels. In sharp contrast,
 218 all other samples were decreasingly recovered along with increasing energy levels. Farmland
 219 POM, forest POM and pyrochar showed significant differences to the 0 J ml⁻¹ treatment at ≥10
 220 J ml⁻¹, ≥100 J ml⁻¹ and ≥ 100 J ml⁻¹, respectively. (Table 1)

Table 1: Recovery rates of natural POMs and microplastics from after ultrasonic treatment with 0, 10, 50, 100 and 500 J ml⁻¹ (n=3). The (w) marks weathered plastics, mv mean value and sd standard deviation. Bold numbers are significantly different from the 0 J ml⁻¹ treatment by p<0.05.

sample	recovery rate [%w/w]									
	0 J ml ⁻¹		10 J ml ⁻¹		50 J ml ⁻¹		100 J ml ⁻¹		500 J ml ⁻¹	
	mv	sd	mv	sd	mv	sd	mv	sd	mv	sd
farm oPOM	95.0 ± 2.3		80.8 ± 4.5		73.2 ± 6.1		72.3 ± 2.8		51.6 ± 7.2	
forest oPOM	89.3 ± 5.4		79.0 ± 5.1		76.9 ± 8.4		67.8 ± 3.6		48.7 ± 5.4	
pyrochar	93.5 ± 10.1		84.6 ± 6.1		78.1 ± 2.5		74.3 ± 1.9		63.8 ± 3.1	
LD-PE	96.9 ± 1.2		97.3 ± 1.0		95.8 ± 6.7		99.9 ± 1.9		99.2 ± 1.6	
LD-PE (w)	93.9 ± 3.4		96.5 ± 1.2		96.6 ± 1.5		98.9 ± 3.0		97.8 ± 1.7	
PET	98.6 ± 2.5		94.0 ± 1.6		98.7 ± 2.5		98.5 ± 2.0		94.3 ± 1.3	
PET (w)	96.2 ± 2.5		95.4 ± 3.0		97.0 ± 2.0		95.5 ± 1.0		96.4 ± 3.3	
PBAT	99.6 ± 2.5		99.5 ± 0.9		90.9 ± 13.8		98.3 ± 3.6		98.2 ± 0.9	
PBAT (w)	97.7 ± 0.9		99.3 ± 1.9		96.8 ± 1.6		96.6 ± 1.7		99.3 ± 1.9	

221 3.2 POM size distribution

222 None of the plastics shows a significant reduction of particle size due to ultrasonic treatment
 223 within the 10 % and 50 % quantile. In contrast, at ≥100 J ml⁻¹ the particle size of farm and
 224 forest oPOM was significantly reduced compared to the 0 J ml⁻¹ treatment in both quantiles.
 225 Ultrasonic treatment also causes a significant comminution of pyrochar, but of mainly the
 226 smaller fraction indicated by the 10 % quantile, which appeared at ≥50 J ml⁻¹ and is only
 227 interrupted due to an outlier at 100 J ml⁻¹. The 50 % quantile data (median) remain
 228 insignificant. (Fig. 1a and 1b , Table 2)

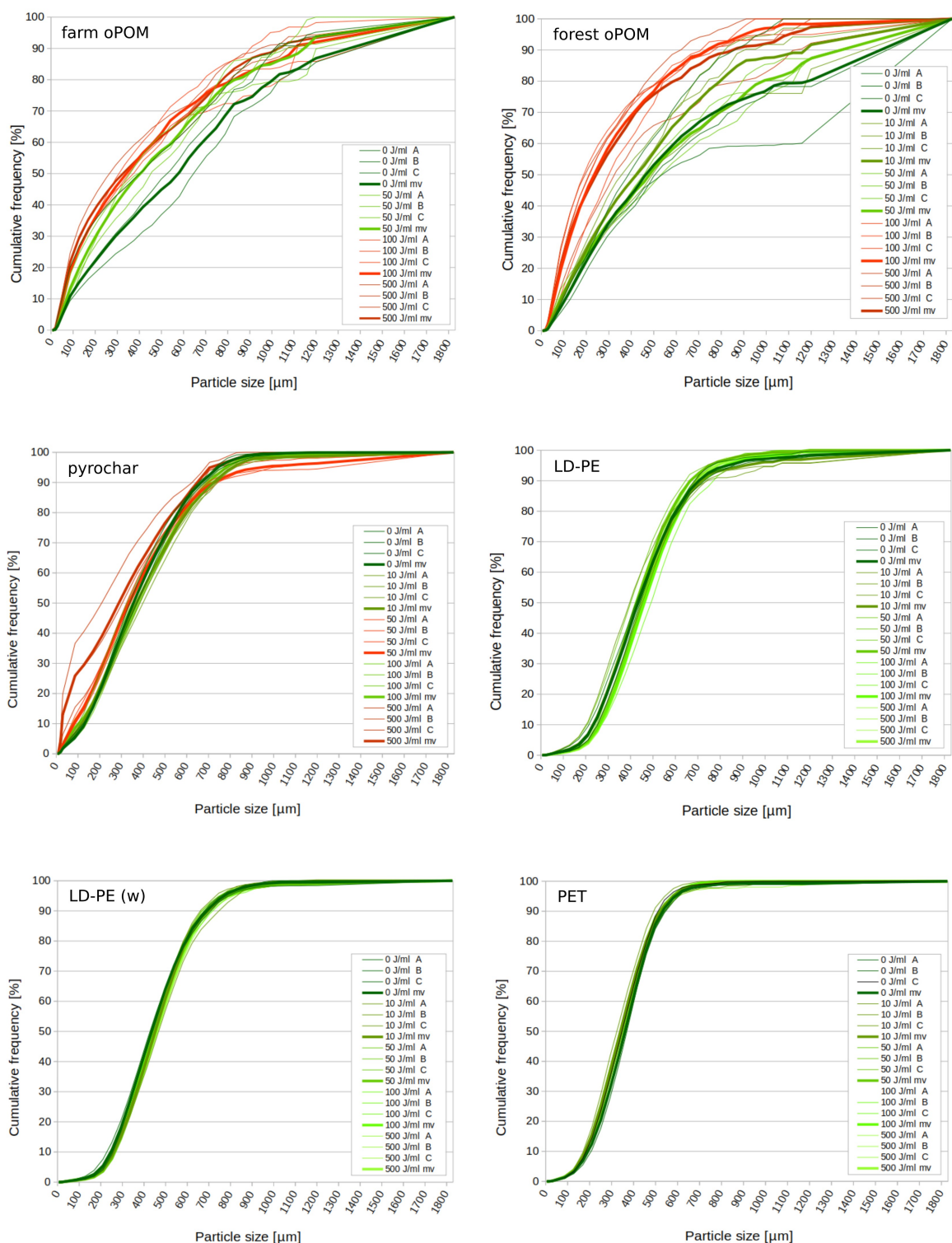


Figure 1a: Particle size distribution of natural POMs and microplastics after ultrasonic treatment with 0, 10, 50, 100 and 500 J ml⁻¹ (n=3: A, B, C). The (w) marks weathered plastics. Green graphs are similar to the 0 J ml⁻¹ treatment, red graphs significantly different by $p < 0.05$ and comminution factor > 1.1 . Bold lines represent mean values (mv).

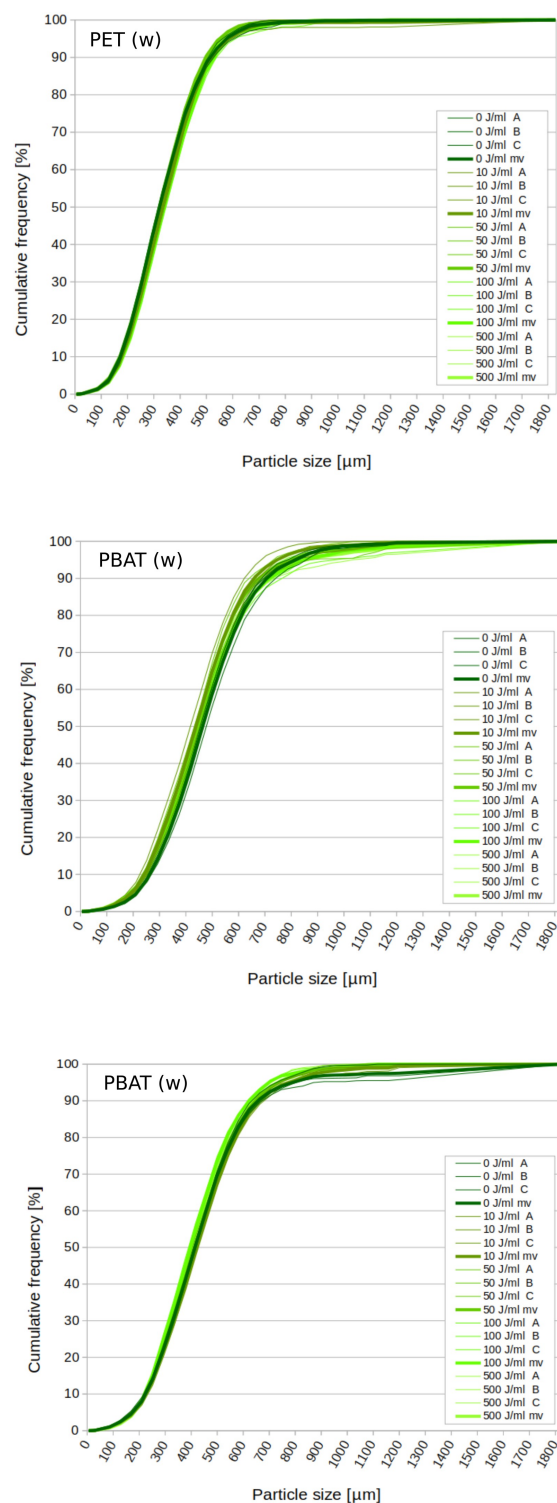


Figure 1b: Particle size distribution of microplastics after ultrasonic treatment with 0, 10, 50, 100 and 500 J ml⁻¹ (n=3: A, B, C). The (w) marks weathered plastics. Green graphs are similar to the 0 J ml⁻¹ treatment ($p \geq 0.05$ or comminution factor ≤ 1.1). Bold lines represent mean values (mv).

Table 2: Particle size distribution (10 % and 50 % quantile) and comminution factor of natural POMs and microplastics after ultrasonic treatment with 0, 10, 50, 100 and 500 J ml⁻¹ (n=3). The (w) marks weathered plastics, mv mean value and sd standard deviation. Bold numbers are significantly different from the 0 J ml⁻¹ treatment by p<0.05 and comminution factor >1.1.

POM type	J/ml	size distribution				comminution factor			
		10% quantile		50% quantile		10% quantile		50% quantile	
		mv	sd	mv	sd	mv	sd	mv	sd
farm oLF	0	82.90 ± 9.46		561.33 ± 72.98		1.00 ± 0.00		1.00 ± 0.00	
	10	N/A		N/A		N/A		N/A	
	50	72.31 ± 15.39		401.40 ± 47.86		1.17 ± 0.15		1.17 ± 0.34	
	100	53.40 ± 2.61		344.64 ± 33.40		1.56 ± 0.26		1.56 ± 0.23	
	500	47.21 ± 2.46		365.57 ± 52.18		1.76 ± 0.21		1.76 ± 0.23	
forest oLF	0	108.08 ± 17.40		476.26 ± 79.01		1.00 ± 0.00		1.00 ± 0.00	
	10	91.71 ± 11.04		422.27 ± 68.13		1.19 ± 0.27		1.17 ± 0.36	
	50	84.92 ± 16.97		485.08 ± 41.44		1.28 ± 0.09		0.98 ± 0.14	
	100	60.48 ± 16.40		233.11 ± 58.78		1.87 ± 0.55		2.18 ± 0.80	
	500	55.49 ± 13.01		244.41 ± 70.33		1.98 ± 0.28		2.02 ± 0.48	
pyrochar	0	130.33 ± 6.33		355.79 ± 16.19		1.00 ± 0.00		1.00 ± 0.00	
	10	119.09 ± 16.07		369.18 ± 39.01		1.10 ± 0.11		0.97 ± 0.15	
	50	81.39 ± 10.07		333.41 ± 9.59		1.62 ± 0.25		1.07 ± 0.08	
	100	103.37 ± 33.73		371.92 ± 19.99		1.34 ± 0.38		0.96 ± 0.09	
	500	31.18 ± 11.70		284.35 ± 67.85		4.59 ± 1.67		1.30 ± 0.28	
LD-PE	0	235.15 ± 19.46		433.21 ± 9.18		1.00 ± 0.00		1.00 ± 0.00	
	10	236.54 ± 29.80		432.25 ± 31.43		1.00 ± 0.06		1.01 ± 0.06	
	50	237.80 ± 28.51		425.20 ± 26.47		1.01 ± 0.20		1.02 ± 0.08	
	100	263.23 ± 6.87		463.10 ± 24.59		0.89 ± 0.05		0.94 ± 0.03	
	500	266.29 ± 5.32		454.22 ± 9.98		0.88 ± 0.06		0.95 ± 0.01	
LD-PE (w)	0	245.69 ± 15.39		435.02 ± 6.41		1.00 ± 0.00		1.00 ± 0.00	
	10	260.20 ± 5.64		451.72 ± 16.36		0.94 ± 0.04		0.96 ± 0.03	
	50	265.51 ± 1.55		451.20 ± 6.71		0.93 ± 0.06		0.96 ± 0.03	
	100	253.61 ± 7.67		442.70 ± 3.57		0.97 ± 0.08		0.98 ± 0.02	
	500	262.94 ± 3.25		458.59 ± 4.03		0.93 ± 0.06		0.95 ± 0.02	
PET	0	193.66 ± 11.91		360.74 ± 11.96		1.00 ± 0.00		1.00 ± 0.00	
	10	180.15 ± 7.97		339.89 ± 13.84		1.08 ± 0.12		1.06 ± 0.07	
	50	179.69 ± 5.09		344.78 ± 7.76		1.08 ± 0.09		1.05 ± 0.06	
	100	162.59 ± 29.24		341.00 ± 1.94		1.21 ± 0.19		1.06 ± 0.04	
	500	181.14 ± 7.12		344.70 ± 6.93		1.07 ± 0.08		1.05 ± 0.04	
PET (w)	0	171.89 ± 5.20		321.46 ± 4.19		1.00 ± 0.00		1.00 ± 0.00	
	10	186.44 ± 11.60		332.81 ± 7.80		0.92 ± 0.07		0.97 ± 0.01	
	50	172.80 ± 7.98		324.73 ± 7.55		1.00 ± 0.08		0.99 ± 0.04	
	100	182.74 ± 0.80		340.28 ± 7.11		0.94 ± 0.03		0.95 ± 0.03	
	500	157.67 ± 25.54		331.51 ± 9.52		1.11 ± 0.18		0.97 ± 0.04	
PBAT	0	263.19 ± 6.13		464.20 ± 11.93		1.00 ± 0.00		1.00 ± 0.00	
	10	243.05 ± 15.60		437.71 ± 18.57		1.09 ± 0.08		1.06 ± 0.04	
	50	240.26 ± 6.80		441.55 ± 9.41		1.10 ± 0.04		1.05 ± 0.05	
	100	246.75 ± 5.27		455.51 ± 5.37		1.07 ± 0.02		1.02 ± 0.04	
	500	242.52 ± 3.78		452.18 ± 11.85		1.09 ± 0.04		1.03 ± 0.05	
PBAT (w)	0	223.53 ± 6.06		413.87 ± 4.60		1.00 ± 0.00		1.00 ± 0.00	
	10	225.56 ± 6.97		423.06 ± 2.81		0.99 ± 0.06		0.98 ± 0.02	
	50	225.22 ± 2.92		414.68 ± 8.41		0.99 ± 0.04		1.00 ± 0.02	
	100	220.13 ± 1.97		396.85 ± 6.20		1.02 ± 0.03		1.04 ± 0.03	
	500	224.71 ± 5.53		404.80 ± 12.40		1.00 ± 0.03		1.02 ± 0.04	

229 3.3 Mass loss

230 The treatment of pyrochar triplicates with 500 J ml⁻¹ resulted in a recovery rate of 54.3±5.2 %
 231 after density fractionation. In turn, 34.9±3.7 % of the POM remained in the sediment,
 232 0.6±0.1 % into the DOM fraction and <0.5 % onto the filter, leading to a balance loss of
 233 10.2±2.1 % (Table 3). The respective data of farm oPOM are 54.6±1.9 %, 20.3±3.1 %, 5.1±0.2 %, <0.5 % and 20.0±1.5 %. Samples treated with 0 J ml⁻¹ instead showed a
 235 significantly higher recovery rate and lower retention compared to the 500 J ml⁻¹ samples. In
 236 contrast, the balance loss remained constant between 0 and 500 J ml⁻¹.

Table 3: Mass balance that indicates the fate of OM fractions during the ultrasonication/density fractionation treatment. Bold numbers indicate differences with p<0.05 after t-test between the 0 and 500 J ml⁻¹ variant (n=3).

POM (energy level)	recovery (%)	retention (%)	filter (%)	DOM (%)	mass loss (%)
pyrochar (0 J ml ⁻¹)	79.6±3.6	8.7±0.3	<0.5	0.3±0.0	11.4±3.4
pyrochar (500 J ml ⁻¹)	54.3±5.2	34.9±3.7	<0.5	0.6±0.1	10.2±2.1
farm oPOM (0 J ml ⁻¹)	64.8±6.9	8.3±0.2	<0.5	2.7±0.0	24.1±6.8
farm oPOM (500 J ml ⁻¹)	54.6±1.9	20.3±3.1	<0.5	5.1±0.2	20.0±1.5

237 4 Discussion

238 Our experiments indicate that soil derived oPOM and pyrochar embedded into a fine sand
239 matrix are prone to comminution by ultrasonic treatment at energy levels of $\geq 50 \text{ J ml}^{-1}$. These
240 values are well below the 300 to 750 J ml^{-1} given in the literature for the complete
241 disaggregation of various soils (Amelung and Zech, 1999; Oorts et al., 2006; Yang et al.,
242 2009), namely in the range of values given for the destruction of macroaggregates (Amelung
243 and Zech, 1999; Kaiser and Berhe, 2014). This underpins the former implications by some
244 authors that ultrasonic treatment could lead to particle size artifacts. Microplastic, in contrast,
245 shows a constant particle size distribution over all energy levels and seems to resist
246 ultrasonication within the tested range of 0 to 500 J ml^{-1} . The recovery of microplastics also
247 shows a constantly high rate of nearly 100 %, which is not affected by the applied energy. In
248 sharp contrast, the recovery rates of soil derived POMs and pyrochar decreased with
249 increasing energies from 95.0 to 78.6 % to 63.8 to 35.8 %, which became significant at
250 50 to 100 J ml^{-1} and therefore is quite parallel to observed size reduction.

251 The concurrent decrease of particle size and recovery rate of soil derived POMs and pyrochar
252 and its absence after ultrasonic treatment of microplastics might indicate a causal relationship
253 of these measures. The underlying process, however, has not been studied before. We
254 assume a mechanism that prevents POM from density fractionation. This effect appeared in
255 our experiment from energies around 50 J ml^{-1} with the beginning destruction of oPOM. As
256 mentioned in Ince et al. (2001) and confirmed in Kaiser and Berhe (2014), ultrasonication
257 induced high temperature may reduce total C content due to oxidative reactions, but the
258 balance loss, constant between 0 and 500 J ml^{-1} in both pyrochar and farm oPOM, implies
259 that there is no burning of organic matter due to ultrasound treatment. Also the formation of
260 large amounts of water-soluble molecules and colloids could be ruled out in our experiment.
261 The recovery rate decreases in the same degree as the retention in the sediment increases
262 when ultrasound is applied, while filter residues and lost DOM, which doubled on a low level,
263 play a minor role. Extreme thermal conditions occurring during ultrasoincation, however, may
264 explain the increased retention of POM within the sediment. Sparse data on molecular
265 alteration of organic materials due to ultrasonication showed the transformation of lignin, a
266 major constituent of plant cell walls. One hour of treatment caused the formation of a high
267 molecular weight fraction of about 35% of the lignin content with molecular weights increased
268 by the 450-fold (Wells et al., 2013). This may also increase the density of lignin and ligninoid
269 fractions in soil POM towards the density of the fractionation medium and reduce their
270 recovery rate.

271 As a consequence of the reduction of the recovery rate, farmland, forest and pyrochar POMs
272 remain within a sandy matrix the stronger they are treated by ultrasound. If these findings are
273 applied to ultrasonication/density fractionation of natural soils, not only an increasing number
274 of particle size artifacts can be expected, but also the extraction of occluded POM is

275 increasingly hindered at a certain energy level. After each extraction step, parts of the
276 released oPOM remain within the sedimenting fraction, a carry-over artifact. This leads to an
277 underestimation of the extracted oPOM fractions and an overestimation of the mineral-
278 associated organic matter fraction (MOM), that natural part of the soil organic matter (SOM),
279 which is adsorbed on mineral surfaces of the heavy fraction and mainly assumed to be
280 molecular. According to our data, a reduction of recovery rates would appear at 10 J ml⁻¹ in
281 farmland soils and 100 J ml⁻¹ in forest soils as well as at 100 J ml⁻¹ when extracting pyrochar
282 particles. Thus, the artifact would affect the extraction of oPOM from microaggregates of all
283 samples and also the extraction of oPOM from macroaggregates in farmland soils. However,
284 further research has to elucidate, if these results can be applied to natural soil samples.

285 An overestimation would have an impact e.g. on the assessment of operationally defined
286 carbon pools within landscapes: POM is assigned to carbon pools with turnover times orders
287 of magnitude shorter than MOM, that endures hundreds of years. Misquantifications of these
288 pools, such as counting POM to the MOM as implied by this work, would have influence on
289 e.g. the estimation of SOM decomposition and CO₂ emissions from land-use change.
290 Carrying-over SOM from little to highly decomposed fractions also could alienate genuine C:N
291 ratios, which strongly differ between the functional carbon pools (Wagai et al., 2009). In
292 respect to coming experiments, comminution and reduced recovery rate of the oPOM can
293 possibly be avoided by not exceeding the energy levels mentioned here – or by determining a
294 specific energy cut-off for each natural soil in preliminary studies. Regarding the application of
295 higher energy levels, detailed investigation on the underlying mechanism are necessary to
296 give such recommendations.

297 Microplastic particles, whether they are weathered following DIN ENISO4892-2/3 or pristine,
298 are not prone to disruption by ultrasonic treatment and its recovery rates are stable in a wide
299 range of energy levels. We therefore assume that there will be significantly less carry-over of
300 particles due to comminution when extracting microplastics from soils with
301 ultrasonication/density fractionation. In consequence, the extractive performance is higher
302 and subsequent particle size measurements give more valid information about the original
303 particle size spectrum compared to the measurement of farmland, forest and pyrochar POM.
304 This is a positive sign for research on soil microplastic, however, it does not mean that
305 microplastic will be fully extracted from soils by this method. Soil microplastics appear within a
306 wide range of sizes between some nanometers and its upper limit of 5 mm by definition. Their
307 smallest part, fibers and microfragments produced by physical, chemical and biological
308 erosion within the soil, might also be affected by chemical alteration due to both weathering
309 and ultrasonication causing enhanced retention in the sedimenting fraction. Although we have
310 introduced billions of tons of microplastics into ecosystems since the 1950s (Thompson et al.,
311 2009; Geyer et al., 2017), there are still problems in producing microplastic fragments

312 <100 μm on a laboratory scale with adequate use of time and material to perform experiments
313 within this size range.

314 **5 Conclusion**

315 Unlike weathered and fresh PE, PET and PBAT microplastic, soil derived POMs like occluded
316 POM from farm and forest soils and pyrochar concurrently show comminution and a reduced
317 recovery rate after ultrasonication and subsequent extraction from a sandy matrix. Applied to
318 natural soils, parts of the farmland, forest and pyrochar POM remain within the sedimenting
319 fraction and can be misinterpreted as more strongly bound oPOM or MOM. An overestimation
320 as shown in this study might lead to fundamentally different interpretations of physical
321 protection of SOM, functional carbon pools and the expected mineralization rates in
322 consequence of e.g. land-use change. On the contrary, the extraction of microplastics neither
323 causes additional retention of particles nor alienates the particle size spectrum due to
324 ultrasonic-driven comminution. We conclude that density fractionation in combination with
325 ultrasonication is an appropriate tool for analyzing occlusion of microplastics within soil
326 aggregates and studying the size distribution of particulate microplastics.

327 **Author contribution**

328 Frederick Büks developed the experimental concept, extracted all samples and prepared the
329 manuscript. Gilles Kayser performed the particle size analysis. Antonia Zieger supported the
330 development of the experimental concept. Martin Kaupenjohann and Friederike Lang
331 supervised the whole study.

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334 **Competing interests**

335 The authors declare that they have no conflict of interest.

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