

1 **New Insight to Niche Partitioning and Ecological Function of**
2 **Ammonia Oxidizing Archaea in Subtropical Estuarine Ecosystem**

3 Yanhong Lu^{1,2,†}, Shunyan Cheung^{2,†}, Ling Chen³, Shuh-Ji Kao³, Xiaomin Xia⁴, Jianping Gan¹, Minhan
4 Dai³, Hongbin Liu^{2,5}

5 ¹SZU-HKUST Joint PhD Program in Marine Environmental Science, Shenzhen University, Shenzhen, China

6 ²Department of Ocean Science, The Hong Kong University of Science and Technology, Hong Kong, China

7 ³State Key Laboratory of Marine Environmental Science, Xiamen University, China

8 ⁴Key Laboratory of Tropical Marine Bio-resources and Ecology, South China Sea Institute of Oceanology, Chinese Academy
9 of Sciences, Guangzhou, China

10 ⁵Hong Kong Branch of Southern Marine Science & Engineering Guangdong Laboratory, The Hong Kong University of
11 Science and Technology, Hong Kong, China

12 †These authors shared equal contributions

13 *Correspondence to:* Hongbin Liu (liuhb@ust.hk)

14 **Abstract.** Nitrification plays a central role in estuarine nitrogen cycle. Previous studies in estuary mainly focused on the niche-
15 partition between ammonia-oxidizing archaea (AOA) and bacteria (AOB), while the diversity, activity, biogeography and
16 ecophysiology of different AOA groups remained unclear. Here, we first time reported niche partitioning as well as
17 differentially distributed active populations among diverse AOA (inferred from *amoA* gene) in a typical subtropical estuary–
18 Pearl River estuary (PRE). In the water column of PRE, the AOA communities mainly consisted of WCA and SCM1-like
19 sublineages. Surprisingly, we observed a strong disagreement of AOA communities at DNA and RNA levels. In DNA samples,
20 WCA generally dominated the AOA community, and the distributional pattern indicated that WCA I and WCA II sublineages
21 preferred oceanic and coastal conditions, respectively. In contrast, diverse SCM1-like sublineages were identified and
22 outnumbering WCA at RNA level, in which SCM1-like-III was limited to freshwater while the rest sublineages were widely
23 distributed in the estuary. The SCM1-like sublineages strongly correlated with nitrification rate, which indicated their
24 important contribution to ammonia oxidation. Furthermore, intense nitrification contributed significantly to hypoxia conditions
25 (nitrification contributed averaged 12.18 % of oxygen consumption) in the estuary. These results revealed different ammonia-
26 oxidizing activities and niche partitioning among different AOA sublineages in estuarine water, which was unexplored in
27 previous DNA and clone library-based studies. The ecological significance and functioning of the diverse AOA should be
28 further explored in the marine ecosystem.

29 1 Introduction

30 Nitrification is a microbial mediated oxidation process of ammonia to nitrate, interconnects the source (N-fixation), and sink
31 (N-loss) and plays a central role in the marine nitrogen cycling (Ward 1996). Particularly in the estuarine ecosystem,
32 nitrification significantly impacts the N source for primary production and oxygen level in the water column (Yool et al. 2007;
33 Erguder et al. 2009; Campbell et al. 2019). Regarding to the biogeochemical significance of ammonia oxidation (i.e. the first
34 and rate-determining step of nitrification) in the estuarine ecosystem, the physiology and ecological function of ammonia
35 oxidizers (i.e. ammonia-oxidizing archaea (AOA) and bacteria (AOB)) have been the major interest to understand the estuarine
36 N transformation (Bernhard and Bollmann 2010). Previous studies were mostly conducted in the sediment of estuarine
37 ecosystems (summarized in Table S1) (Damashek et al. 2016). These studies mainly focused on the niche partition between
38 AOA and AOB inferred from *amoA* genes abundance and collectively showed the AOA outnumbered AOB in the estuarine
39 ecosystem (Caffrey et al. 2007; Abell et al. 2010; Bernhard et al. 2010). However, the biogeography, niche partition, and
40 ecological function of different AOA groups were little analyzed (Table S1).

41 Based on the *amoA* gene (ammonia monooxygenase subunit A), the marine AOA was recognized to three major groups:
42 water column A (WCA; shallow water ecotype dominating in epipelagic and upper mesopelagic water), water column B (WCB;
43 deep water ecotype dominating in mesopelagic and bathypelagic water) and SCM1-like (affiliated to the first isolated AOA–
44 *Nitrosopumilus maritimus* SCM1), corresponding to the group NP-Epsilon, NP-Alpha and NP-Gamma, respectively, in the
45 global synthesis of Alves et al. 2018 (Alves 2018; Cheung et al. 2019). The distribution and abundance of WCA and WCB
46 were much more studied than SCM1-like ecotype in the field observations (Francis et al. 2005; Hallam et al. 2006; Beman et
47 al. 2008; Beman et al. 2012). Recently, highly diverse sublineages of WCA and WCB were revealed in the global ocean, in
48 which sublineage within each ecotype displayed varied distributional patterns and environmental determinants (Cheung et al.
49 2019). Since most of marine AOA remained uncultivated, our understanding of the ecophysiology of most of AOA (especially
50 WCA and WCB) in marine ecosystems relied heavily on field observations (Alves et al. 2018). For example, niche partitioning
51 between WCB sublineages has been recently observed in the oxygen minimum zone off the Costa Rica Dome and potential
52 anoxic adapted phylotypes were widely detected between the geographically distant OMZs (Lu et al. 2019).

53 As mentioned, population dynamics and ecological function of different AOA were rarely studied in the estuarine water
54 comparing to the relatively well-characterized AOA populations in oceanic waters, as well as sediment and soil environments
55 (Bernhard and Bollmann 2010; Damashek et al. 2016). Previous studies of marine AOA relied mainly on clone library analysis
56 (summarized in Table S1), which were insufficient to recover the diversity and biogeography of AOA. Moreover, studies relied
57 on DNA surveys do not provide information of active AOA communities. Recently, Wu et al. reported differentially
58 transcriptional activities of terrestrial AOA communities referred from DNA and RNA extracts, suggesting that studies using
59 DNA may have underestimated the importance of some active AOA groups in the natural environments (Wu et al. 2017). In
60 this study, we have conducted a comprehensive study about ammonia oxidizers in a typical subtropical estuary-Pearl River
61 estuary (PRE), characterized by its salt-wedge structure resulted from large amount of freshwater discharge during wet season

62 (Harrison et al. 2008). Recently, the recurrence of bottom water hypoxia at the lower estuary of PRE has received increasing
63 concerns about its ecological impact on the estuarine ecosystem (Qian et al. 2018; Zhao et al. 2020). The steep natural gradients
64 of salinity, nutrients, oxygen concentration and turbidity makes the Pearl River estuary an ideal environment to study the
65 diversity and ecological function of ammonia oxidizers. By revealing AOA community structure (dominant ammonia oxidizer)
66 at DNA and RNA levels using high throughput sequencing and fine-scale phylogenetic classification, along with quantification
67 of AOA and AOB and nitrification rate measurement, we aim to 1) identify the major and active AOA in the estuarine
68 ecosystem, 2) reveal niche partitioning between different AOA sublineages based on environmental determinants, and 3)
69 determine the potential contribution of nitrification to hypoxia formation in PRE.

70 2 Materials and methods

71 2.1 Sample collection

72 The cruise was conducted from July 11 to August 1 in 2017 on R/V Hai Ke 68. In the first leg, 83 stations were designed
73 within the 10-50m isobaths covering areas from the upper estuary to the continental shelf (Fig. S1). Water samples were
74 collected using Niskin bottles equipped with CTD sensor (Sea-Bird SBE 917plus). Temperature, salinity, and depth data were
75 acquired through the CTD sensor. The dissolved oxygen concentrations were measured on board using Winkler
76 spectrophotometric and titration method (Pai et al. 2001; Dai et al. 2006; Zhao et al. 2020). Dissolved inorganic nutrient
77 samples were filtered through pre-acid washed cellulose acetate fiber membranes and stored in -20 °C until analysis in a land-
78 based laboratory in Xiamen University (Qian et al. 2018). Ammonium concentration was measured on board using the
79 indophenol blue spectrophotometric method (Pai et al. 2001). Chlorophyll-*a* samples (250 to 500ml) were filter onto GF/F
80 (Whatman, USA) and stored in foil bags in liquid nitrogen. The chlorophyll-*a* concentration was measured with a Turner
81 Fluorometer (Welschmeyer 1994) after being extracted with 90 % acetone for 14 h at -20 °C. The microbial abundances were
82 quantified by a Becton-Dickson FACSCalibur flow cytometer (Vaulot et al. 1989). Seawater for microbial abundance
83 quantification was prefiltered through a 20 µm mesh, fixed with final concentration of 0.5 % seawater-buffed
84 paraformaldehyde in cryotubes, and stored in liquid nitrogen until flow cytometric analysis (Liu et al. 2014). At each sampling
85 depth, 0.5-2 L of seawater were sequentially filtrated onto 3 µm and 0.2 µm polycarbonate membranes (GVS, USA) for
86 particle-attached and free-living microbes. DNA/RNA samples were immersed in 500 µl RNAlater (Ambion, Austin, TX,
87 USA) before stored in liquid nitrogen.

88 2.2 Rates measurement

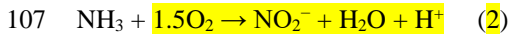
89 Community respiration rates (CR) were estimated by measuring the oxygen consumption in triplicate 60ml BOD bottles
90 without headspace after 24 h dark incubation submerged in seawater continuously pumped from sea surface. Nitrification were
91 measured by incubating $^{15}\text{NH}_4^+$ amended (less than 10 % of ambient concentration) seawater in duplicate 200 ml HDPE bottles

92 in dark for 6-12 h, with temperature controlled by running seawater. After incubation, filtrate (0.2 µm-syringe-filtered) was
 93 collected and stored in -20 °C for downstream $^{15}\text{NO}_x^-$ ($^{15}\text{NO}_3^- + ^{15}\text{NO}_2^-$) analysis (Sigman et al. 2001).

94 The nitrification rates were calculated using the following equation:

$$95 \quad \text{AO}_b = \frac{(R_t \text{NO}_x^- \times [\text{NO}_x^-]_t) - (R_{t0} \text{NO}_x^- \times [\text{NO}_x^-]_{t0})}{t-t0} \times \frac{[^{14}\text{NH}_4^+] + [^{15}\text{NH}_4^+]}{[^{15}\text{NH}_4^+]} \quad (1)$$

96 In equation 1, AO_b is the bulk nitrification rate. $R_{t0} \text{NO}_x^-$ and $R_t \text{NO}_x^-$ are the ratios (%) of ^{15}N in the NO_x^- pool measured at the
 97 initial (t_0) and termination (t) of the incubation. $[\text{NO}_x^-]_{t0}$ and $[\text{NO}_x^-]_t$ are the concentration of NO_x^- at the initial and termination
 98 of the incubation, respectively. $[^{14}\text{NH}_4^+]$ is the ambient NH_4^+ concentration. $[^{15}\text{NH}_4^+]$ is the final ammonium concentration
 99 after addition of the stable isotope tracer ($^{15}\text{NH}_4^+$). The NO_x^- was completely converted to N_2O by a single strain of denitrifying
 100 bacteria (*Pseudomonas aureofaciens*, ATCC#13985) which lack N_2O -reductase activity (Sigman et al. 2001). The converted
 101 N_2O was further analyzed using IRMS (Isotope Ratio Mass Spectrometer, Thermo Scientific Delta V Plus) to calculate the
 102 isotopic composition of NO_x^- (Sigman et al. 2001; Casciotti et al. 2002; Knapp et al. 2005). We analyzed the correlation between
 103 nitrification rates and AOA sublineages. Equation 2 was generally considered as the oxidation of ammonia to nitrite. Inferred
 104 from the nitrification rates, we estimated the nitrification oxygen demand (NOD) based on equations 2. Inferred from the
 105 nitrification rates, we estimated the NOD based on equation 2. We used NOD/CR ratio (percentage) to evaluate the potential
 106 contribution of nitrification to total oxygen consumption in the field.



108 2.3 DNA and RNA extraction and cDNA synthesis

109 The sample filters immersed in RNeasy were thawed on ice. RNeasy was removed following the procedure described in
 110 Xu et al. 2013 (Xu et al. 2013). For DNA extraction, filters were cut into pieces and carefully collected into the 2ml Lysing
 111 Matrix E tubes with the addition of 978 µl sodium phosphate buffer and 122 µl MT buffer provided in FastDNA™ SPIN Kit
 112 for Soil (MP Biomedical, Solon, OH, USA). The lysing matrix was homogenized by Mini-Beadbeater-24 (Biospec Product,
 113 Bartlesville, OK, USA), at 3500 osc/min for 60 seconds. The subsequent procedures of DNA extraction were performed
 114 according to FastDNA Spin kit for soil manufacture's instruction and preserved at -80 °C. For RNA extraction, sample filters
 115 were incubated in 1 ml TRIzol for 5 min at room temperature in 2ml sterile microcentrifuge tubes. After the incubation, 200
 116 µl chloroform was added into the tubes and mixed vigorously by hand until the membrane fully dissolved. After room
 117 temperature incubation for 3 min, the samples were centrifuged at 12000 × g and 4 °C for 15 min. The supernatant was carefully
 118 transferred into a new 2ml microcentrifuge and mixed with an equal volume of 70 % ethanol. The purification and elution
 119 procedures were performed according to the manufacture's instruction of the PureLink RNA Mini Kit (Life Technologies,
 120 Carlsbad, CA, USA). RNA samples were immediately treated with DNase at 37 °C for 30 min using the TURBO DNA-free
 121 Kit to eliminate DNA contamination. After incubation, the DNase was inactivated following the manufacturer's instruction.

122 The DNA-free RNA samples were reversely transcribed into cDNA with random primers using the SuperScript III First-Strand
123 Synthesis System (Life Technologies, Carlsbad, CA, USA). The synthesized cDNA was further treated with RNase H at 37 °C
124 for 20 min to remove the residual RNA.

125 **2.4 PCR amplification and high throughput sequencing**

126 The DNA and cDNA were used as templates in PCR amplification. The archaeal *amoA* gene fragments were amplified using
127 the barcoded primers Arch-amoAF (5'-adaptor+barcode+GAT+STAATGGTCTGGCTTAGACG-3') and Arch-amoAR (5'-
128 adaptor+barcode+GAT+GCGGCCATCCATCTGTATGT-3') (Francis et al. 2005). Triplicated PCR reactions were performed
129 in 12.5 µl mixture contained 1×PCR buffer, 2 mM MgCl₂, 0.2 mM dNTP mix, 0.4 µM of respective primers, 2 U Invitrogen
130 Platinum Taq DNA polymerase (Life Technologies, Carlsbad, CA, USA) and 1 µl template. The PCR thermal cycle consisted
131 of 5 min initial denaturation at 95 °C and followed by 33 cycles of 95 °C for 30s, 53 °C for 45s, and 72 °C for 60s and 10 min
132 of final extension step at 72 °C. The triplicated PCR products of each sample were pooled together and sequenced on the Ion
133 GeneStudio S5 system (Thermo Fisher Scientific, USA) which could generate around 600 bp high quality reads.

134 **2.5 Standard curve construction and Quantitative PCR**

135 The *amoA* gene of AOA and β-AOB *amoA* was amplified by the primer pair Arch-amoAF-amoAR (Francis et al. 2005) and
136 amoA-1F and amo-2R (Rotthauwe et al. 1997) respectively, using the DNA mixture from A-transect samples. The PCR
137 products were purified using the illustra GFX PCR DNA and Gel band purification kit (GE Healthcare, UK) and ligated into
138 T-vector pMD 19 at 4 °C for 12 h (Takara, Japan). The ligated vectors solution was mixed with freshly prepared *E. coli* BL21
139 competent cell and incubated on ice for 30 min. Heat-shock treatment at 42 °C were performed for the mixture for 90 s and
140 incubated on ice for 5 min. After 5min incubation, 200 µl of liquid lysogeny broth was added and incubated at 37 °C for 1h in
141 incubator shaker (250 rpm/min). The culture was soon spread on to ampicillin (100 mg·L⁻¹) containing plates and incubated at
142 37 °C for 12 h. White clone was selected and confirmed with respective PCR amplification. The clones were expanded with
143 ampicillin (100 mg·L⁻¹) lysogeny broth and sequenced in BGI Tech (BGI, Shenzhen, China). The sequence of the selected
144 plasmid was confirmed as an archaeal *amoA* gene by blast against the NCBI database. The plasmid of the selected clone was
145 extracted and purified by the TIANprep Mini Plasmid Kit (TIANGEN, China). The extracted plasmid was linearized by EcoRI
146 (New England Biolabs) at 37 °C for 12 h and purified by electrophoresis on 1.2 % agarose gel. The linearized plasmid DNA
147 concentration was determined via dsDNA HS assay on the Qubit fluorometer v3.0 (Thermo Fisher Scientific, Singapore).
148 Series dilution of the linearized plasmids was amplified as standard curves together with the field samples on the 384-well
149 plates on Roche LightCycler 480.

150 Triplicated quantitative PCR (qPCR) was performed in 10 µl mixture contained 1 × LightCycler® 480 SYBR® Green I Master,
151 0.5 µM primers pairs and DNA templates. The thermal cycle of the qPCR that targeted archaeal *amoA* gene consisted of a 5
152 min denaturation at 95 °C, followed by 45 cycles each at 95 °C for 30s, 53 °C (60 °C for β-AOB) for 45s, 72 °C for 60s with
153 single signal acquisition at the end of each cycle. Amplification specificity was confirmed via the melting curve and gel

154 electrophoresis. In both particle-attached ($> 3 \mu\text{m}$) and free-living ($0.2\text{-}3 \mu\text{m}$) DNA (and RNA), the AOA and β -AOB were
155 quantified based on the *amoA* gene abundance through the qPCR (Table S2).

156 2.6 Bioinformatic analysis

157 The archaeal *amoA* gene sequencing data of 76 samples (contained 2523 reads per sample) were analyzed using the microbial
158 ecology community software program Mothur (Schloss et al. 2009). The sequencing output was split according to
159 corresponding barcode sequences in the forward primer. Quality control was performed by discarding the reads with low-
160 quality (average quality score < 20), incorrect length (no shorter than 300 bp and no longer than 630 bp), ambiguous base or
161 homopolymers longer than 8 bp. The chimeric sequences were identified and discarded by the *Chimera.uchime* in Mothur.
162 The remaining high-quality sequences were aligned with the reference *amoA* sequences from the NCBI database using Mothur
163 (Agarwala et al. 2018) and were clustered into operational taxonomic units (OTUs) at 95 % DNA similarity. The singletons
164 and doubletons were discarded from the OTU table before downstream analyses. The representative sequences of the top OTUs
165 were randomly selected through *getotu.rep* in Mothur and searched against the NCBI database using Blastn. The top OTUs
166 were selected based on relative abundance ≥ 0.1 % (Logares et al. 2014). The Maximum Likelihood phylogenetic tree was
167 constructed in MEGA 7 with the recommended model (T92+G+I) after the best model selection. The ML-tree was further
168 edited with iTOL (Letunic and Bork 2016). The Bray-Curtis dissimilarities among the AOA communities were calculated with
169 “vegdist” function of the “vegan” package in R. Nonmetric multidimensional scaling (NMDS) analysis was performed based
170 on the Bray-Curtis dissimilarities with the “vegan” package and visualized with “ggplot2” package in R (Oksanen, et al. 2019;
171 Wickham, 2016).

172 Considering the strong stratification and steep variation of environmental factors that associated with the freshwater
173 discharge in the PRE, Spearman correlation analysis was performed to determine the relationship between the AOA
174 sublineages and environmental factors in surface DNA, surface RNA, bottom DNA and bottom RNA samples, respectively.
175 Besides, Spearman correlation analysis was performed between nitrification rates and *amoA* gene (AOA and β -AOB)
176 abundances retrieved from particle-attached ($> 3 \mu\text{m}$) and free-living ($0.2\text{-}3 \mu\text{m}$) samples.

177 3 Results

178 3.1 Hydrographic characteristics of Pearl River estuary

179 The Pearl River estuary consists of three major sub-estuaries, namely Lingdingyang, Modaomen, and Huangmaohai (Fig. 1),
180 which contribute to 55 %, 28 %, and 13 % of the annual mean of freshwater discharge, respectively (Zhao 1990). This
181 investigation was conducted in the wet season when high freshwater discharge formed a large plume extending southwestward
182 (Fig. 2a and d). Associated with the plume, an excessive phytoplankton bloom was observed in the lower estuary with
183 chlorophyll-*a* concentration peaked ($28.4 \mu\text{g}\cdot\text{L}^{-1}$) at station F202 (Fig. 2b and e). Furthermore, widespread bottom water
184 hypoxia ($\text{DO} < 2 \text{ mg}\cdot\text{L}^{-1}$) was observed in the lower reach of Pearl River estuary extending from Huangmaohai to the southern

185 water of Hong Kong island (Fig. 2f). Our study area covered a full range of salinity from 0.1 to 34.7. The variation of nitrate
186 concentration followed salinity gradient (Fig. S3a and d). High concentrations of nitrate were detected in low salinity waters
187 near the outlets of sub-estuaries, with the highest value ($> 115 \mu\text{mol}\cdot\text{L}^{-1}$) observed in the surface water of Lingdingyang (station
188 A01-03). Similar to nitrate, the concentrations of nitrite in the surface layer were also high near the estuary outlets and peaked
189 at station A01 ($9.5 \mu\text{mol}\cdot\text{L}^{-1}$), but relatively constant ($< 2 \mu\text{mol}\cdot\text{L}^{-1}$) in the bottom layer (Fig. S3c and f). The ammonium
190 concentration displayed a different spatial pattern compared to nitrate and nitrite, with maximum concentration occurred at
191 A06 ($2.5 \mu\text{mol}\cdot\text{L}^{-1}$ and $3.2 \mu\text{mol}\cdot\text{L}^{-1}$ in surface and bottom layer, respectively) possibly influenced by local sewage discharges.
192 A patch of relatively high ammonium water ($> 1 \mu\text{mol}\cdot\text{L}^{-1}$) was observed in the southern water of Hong Kong, spreading
193 eastward at the stations along the south borderline of Hong Kong water (Fig. S3c).

194 3.2 The spatial pattern of nitrification rates and their oxygen consumption

195 The nitrification rates were generally higher in bottom water than in surface water, except station A01 and F601 (Fig. 3). At
196 the surface layer, high nitrification rates were detected in the outlet of Humen and Modaomen (station A01 and F301) and the
197 southern water of Hong Kong (station F601 and F701) (Table S2). At the bottom layer, high nitrification rates were detected
198 in the Humen outlet and the lower estuary from Huangmaohai to the southern water of Hong Kong (Fig. 3a). Based on equation
199 2, the NOD were estimated ranging from 0.0001 to $0.1092 \text{ mg O}_2\cdot\text{L}^{-1}\cdot\text{d}^{-1}$ (Fig. 3). The CR was higher at the surface layer than
200 the corresponding bottom layer in all stations (Fig. 3, Table S3). The CR at surface layer ranged from 0.22 to $1.68 \text{ mg O}_2\cdot\text{L}^{-1}\cdot\text{d}^{-1}$,
201 and that at bottom layer ranged from 0.002 to $0.82 \text{ mg O}_2\cdot\text{L}^{-1}\cdot\text{d}^{-1}$ (Fig. S4). Based on the ratio between NOD and CR,
202 nitrification contributed 0.01-17.82 % and 0.009-181.91 % of total oxygen consumption at the surface and bottom layer,
203 respectively (Fig. 3). It is noteworthy that nitrification contributed substantially to the total oxygen consumption in the upper
204 estuary and bottom hypoxic water. For the upper estuary in Lingdingyang, nitrification potentially contributed 6.18 % and
205 9.45 % of the total oxygen consumption at station A01 and A05, respectively. As for the bottom hypoxic water, nitrification
206 accounted for 28.14 % at F101, 11.28 % at F301, 8.15 % at F303, 4.53 % at A09, 64.89 % at F305 and 181.91 % at F701 of
207 the total oxygen consumption.

208 3.3 Spatial patterns of the abundance of AOA and β -AOB

209 As inferred from the *amoA* gene copy number, AOA were 2-3 orders of magnitude more abundant than β -AOB (Fig. 4, Table
210 S2). The archaeal *amoA* gene was more abundant at the bottom layer than at the surface layer (Fig. 5). The abundance of
211 archaeal *amoA* gene ranged from 6.27×10^4 to $3.63 \times 10^7 \text{ copy}\cdot\text{L}^{-1}$ at surface layer and 3.59×10^5 to $4.98 \times 10^8 \text{ copy}\cdot\text{L}^{-1}$ at the
212 bottom layer, with maximum abundance occurred at the bottom layer of station F405. The archaeal *amoA* gene abundance
213 showed a general decreasing trend from the upper estuary to the continental shelf at the surface layer (Fig. 4 and 5, Table S2).
214 It is noteworthy that archaeal *amoA* gene was highly abundant in the hypoxic water located in the lower reach of the estuary.
215 The abundance of β -proteobacteria *amoA* gene at surface layer ranged from 2.03×10^2 to $1.07 \times 10^5 \text{ copy}\cdot\text{L}^{-1}$, while it ranged

from 1.91×10^3 to 2.44×10^5 copy·L⁻¹ at the bottom layer (Fig. 5, Table S2). The β -proteobacteria *amoA* gene abundance peaked at the surface layer of station A01 in the upper estuary of Lingdingyang with 1.07×10^5 copy·L⁻¹ while the lowest abundance was detected at the surface layer of station A12 with 2.03×10^2 copy·L⁻¹. In general, the spatial pattern of β -proteobacteria *amoA* gene at the surface layer was more abundant at the upper estuary of Lingdingyang (station A01, A05 and Modaomen (station F303), while the abundance decreased seaward at the bottom layer. Overall, the AOA showed higher abundance in the free-living fraction while AOB was more abundant in the particle attached fraction (Fig. 5, Table S2). At RNA level, archaeal *amoA* gene ranged from 6.03×10^2 to 3.21×10^6 copy·L⁻¹ while β -proteobacteria *amoA* gene were under detection limit (Table S4). Nitrification rate showed a positive correlation with the total abundance of β -AOB ($r_s = 0.38$, $P < 0.05$) at DNA level. At the particle attached fraction, nitrification rate displayed positive correlations with the abundance of AOA ($r_s = 0.38$, $P < 0.05$) and β -AOB ($r_s = 0.33$, $P < 0.05$), respectively.

3.4 Phylogenetic diversity of AOA

Given that the AOA were the dominant ammonia oxidizers throughout the estuary, we further investigated the phylogenetic diversity of AOA at DNA and RNA levels in 13 stations covering from the upper estuarine to shelf environments (Fig. 6, 7 and 8). In total, 191,748 high-quality *amoA* sequences were retrieved from 76 samples in the 13 stations (Table S5). OTUs were detected at 95 % DNA similarity after removal of singletons and doubletons. Top OTUs (OTUs with mean relative abundance ≥ 0.1 % among all samples) were focused in this study. The Maximum likelihood (ML) phylogenetic tree showed that the top 85 OTUs affiliated to WCA sublineages and SCM1-like clade according to the reference sequences in Jing et al. 2017 and Cheung et al. 2019 (Jing et al. 2017; Cheung et al. 2019). More than half of the top OTUs were affiliated to the two WCA sublineages, WCA I (13 OTUs) and WCA II (32 OTUs). Besides, diverse OTUs that affiliated to the SCM1-like clade, which showed > 90 % DNA similarity with the *amoA* sequences of *Nitrosopumilis maritimus* SCM1, were recovered. These SCM1-like OTUs were grouped into four sublineages according to the topology of the ML tree, includes SCM1-like-I (10 OTUs), SCM1-like-II (16 OTUs), SCM1-like-III (6 OTUs) and SCM1-like-IV (8 OTUs) (Fig. 6 and 7). The SCM1-like-III were also phylogenetically close to *Nitrosoarchaeum limnia* (Fig. 6, 7 and S2).

3.5 Differential distribution of AOA sublineages at DNA and RNA level

As revealed by the NMDS plot, a strong dissimilarity between DNA and RNA communities were observed (Fig. 8). Different AOA sublineages showed distinct distributional patterns (Fig. 6, 7 and 8). WCA I was mainly distributed in bottom layers except for the upper reach of Lingdingyang. At the surface layer, WCA I was generally a minor component of the AOA community, though it was dominant occasionally in the plume area with intermediate salinity. At RNA level, WCA I showed low relative abundance in the surface layer with mid salinity and an increasing trend seaward (Fig. 6, 7 and 8).

The AOA community at DNA level was dominated by WCA II which showed a ubiquitous distribution across the whole salinity range of 0.1-34.7. Exceptionally, WCA II was outnumbered by SCM1-like-III at the surface layer at station F301 near

the Modaomen and Huangmaohai close to freshwater discharge. At RNA level, WCA II showed similar distributional patterns and relative abundance with WCA I sharing an increasing proportion of the active AOA community from the upper estuary to the continental shelf (Fig. 6, 7 and 8).

SCM1-like sublineages were surprisingly dominating the active AOA communities at RNA level except SCM1-like III, which was dominating at stations near river outlets. Among SCM1-like sublineages, the SCM1-like-III was the most abundant at DNA level. Their distribution was limited to surface water of the Pearl River and freshwater plume (salinity < 14) (Fig. 6, 7 and 8). The distribution of SCM1-like-III at RNA level was limited to the freshwater regions (Fig. 6, 7), similar to its distribution pattern showed at DNA level. In addition, SCM1-like-III was the least abundant among the SCM1-like sublineages at RNA level. SCM1-like-I distributed mainly at the lower reach of the estuary. The SCM1-like-II dominated the active AOA communities in the Pearl River and its lower reach at the bottom layer, while the SCM1-like-IV showed high relative abundance at the surface layer (Fig. 8). The SCM1-like-I was less abundant than SCM1-like-II at RNA level at the bottom layer, and its spatial pattern was similar to SCM1-like-II.

3.6 Correlation between AOA sublineages and environmental factors

To reveal the connections between the relative abundance of AOA sublineages and environmental factors, correlations between different sublineages and environmental factors were examined using Spearman correlation coefficients. The AOA communities were separated into 4 parts: surface DNA, surface RNA, bottom DNA, and bottom RNA levels, and were analyzed with the corresponding environmental factors. Generally, the relative abundance of AOA sublineages showed a more significant correlation with environmental factors both at DNA and RNA levels at the bottom layer compared to surface layer (Fig. 10). Among 9 environmental factors, salinity was the most significant factor affecting the distribution of AOA sublineage.

The sublineages of WCA showed a strong positive correlation with salinity while SCM1-like sublineages showed a negative correlation with salinity. At RNA level in the bottom layer, SCM1-like-I and IV were positively correlated with nutrient concentration and non-phototropic prokaryotic cell abundance while negatively correlated with salinity and dissolved oxygen concentration. SCM1-like-III showed a strong negative correlation with salinity at both surface and bottom layers. In general, WCA sublineages were negatively correlated with nutrient concentration, while SCM1-like sublineages were positively correlated with nutrient concentration. Ammonium showed no significant correlation with AOA sublineages.

The Spearman correlation between nitrification rates and the relative abundance of AOA sublineages in RNA based communities were also tested (Fig. 10). SCM1-like-III showed a positive correlation ($r_s = 0.72$, $P < 0.05$) with nitrification rate at surface water, while SCM1-like-I ($r_s = 0.81$, $P < 0.05$) and SCM1-like-IV ($r_s = 0.73$, $P < 0.05$) sublineages showed positive correlations with nitrification rates at the bottom layer. Besides, WCA I showed a positive correlation with nitrification rates ($r_s = 0.75$, $P < 0.05$) only at the surface layer, while WCA II showed a negative correlation ($r_s = -0.73$, $P < 0.05$) with nitrification rates at the bottom layer.

279 4.1 Nitrification and its oxygen consumption in the hypoxia zone

280 We observed a widespread hypoxia-zone at the lower estuary of Pearl River, extending from Huangmaohai to south of Hong
281 Kong which was a result of both physical and biogeochemical conditions (Fig. 2f). During the 2017 summer cruise, river
282 discharge was high as indicated by the salinity at the surface layer (Fig. 2a), which is the typical wet season pattern of Pearl
283 River estuary (Harrison et al. 2008). The continuous river discharge sustained strong water column stratification at the lower
284 estuary which prevents the efficient supply of oxygen to the bottom water. Furthermore, a high concentration of nutrients
285 associated with the freshwater from three sub-estuaries sustained high phytoplankton biomass in the lower reach of the estuary
286 (Fig. 2b). The massive locally generated and riverine organic matter sunk down to the bottom layer and they were rapidly
287 degraded by heterotrophic prokaryotes, resulting in high oxygen consumption (Harrison et al. 2008; Lu et al. 2018).

288 Our results suggest that nitrification could contribute a large proportion of oxygen consumption in the hypoxia zone (Table
289 S3). Despite limited data with large variation, our estimate falls in general the ranges of previous reports. In the eutrophic
290 Delaware River estuary, nitrification accounted for over 20 % of the oxygen consumption river downstream (Lipschultz et al.
291 1986). Intensive nitrification was observed at intermediate salinities, and it accounted for 20 to over 50 % of oxygen
292 consumption in the Mississippi River plume (Pakulski et al. 1995). In the downstream of Pearl River (from Guangzhou to
293 Humen), nitrification could contribute to one-third of total oxygen consumption (Dai et al. 2008). In our study, high community
294 respiration rates as well as nitrification rates were observed at lower reach of the Pearl River estuary corresponding to the
295 hypoxia zone at the bottom layer (Fig. 2f). It is well-known that ammonia, the substrate of nitrification, was produced during
296 the organic matter degradation (respiration) (Ward, 1996). Thus, high rate of nitrification was supported not only by riverine
297 ammonia but also by rapid organic matter degradation. We observed the high nitrification rate associated with the upper estuary
298 and hypoxia zone (Fig. 3). Respiration and nitrification are both important and coupled oxygen-consuming processes.
299 Comparing with the community respiration, we found that nitrification contributed a substantial proportion (averaged 12.18 %,
300 excluding the unusual number of 181.91 % from F701) to total oxygen consumption at the bottom layer. We found that the
301 NOD exceeded CR at the bottom layer of station F701, which might be caused by the underestimation of CR in oxygen
302 depleted condition using the traditional incubation and titration method. Sampou and Kemp have found that oxygen
303 concentration is one of the limiting factors of CR. In their study, CR was found to decrease when DO was lower than 0.8 mg·L⁻¹
304 (Sampou and Kemp 1994). In contrast to the CR, nitrification can remain active under nanomolar range of oxygen (< 10 nM)
305 (Bristow et al. 2016). During the cruise, the lowest oxygen concentration was 0.54 mg·L⁻¹ (16.88 μM) which would not limit
306 the nitrification activities (Bristow et al. 2016). Hence, in the Pearl River Estuary, nitrification could substantially draw down
307 oxygen concentration and sustain hypoxia formation at the lower estuary. It should be mentioned that exceedance of potential
308 NOD over the total oxygen consumption was also found in the Changjiang estuary by Hsiao et al. (2014), and they speculated
309 that other oxidants (Fe and Mn) could oxidize ammonia.

310 4.2 Relative distribution of AOA and AOB in Pearl River Estuary

311 Both AOA and AOB are present in estuarine environment, however, their corresponding contribution to nitrification activities
312 remained under explored. It has been well identified that AOA outnumber AOB by orders of magnitude in pelagic waters,
313 whereas in the estuarine environments, the ratios of AOA and AOB were rather variable. Based on qPCR of *amoA* gene, AOB
314 were more abundant than AOA in many coastal and estuarine sediments (Caffrey et al. 2007; Mosier and Francis 2008; Santoro
315 et al. 2008; Magalhaes et al. 2009; Wankel et al. 2011), while AOA were orders of magnitude more abundant than AOB in
316 other estuaries and coastal environments (Caffrey et al. 2007; Moin et al. 2009; Abell et al. 2010; Bernhard et al. 2010; Mosier
317 and Francis 2011). The variance and relative importance of AOA and AOB, as well as the nitrification rates in estuarine
318 environments were shown being related to physicochemical parameters such as salinity, dissolved oxygen, ammonia and pH
319 (Bernhard and Bollmann 2010; Mosier and Francis 2011). Comparing to the previous estuarine studies based on DNA survey,
320 we conducted comprehensive quantification of AOA and β -AOB abundance at both DNA and RNA levels, in association with
321 in situ nitrification rates measurements in the Pearl River estuary. In Pearl River estuary, AOA outnumbered AOB throughout
322 the estuarine at DNA level. At RNA level, AOA was detectable, but AOB was not, suggesting that AOA were the active
323 ammonia oxidizers in the Pearl River estuary. Moreover, size-fractionated study revealed that AOA were mainly distributed
324 in the free-living fraction, while AOB were associated with the particles near upper estuary (Fig. 5 and Table S2), which may
325 be explained by higher substrate (ammonia) concentration requirement of AOB than AOA (Martens-Habben et al. 2009).

326 4.3 Unneglectable disagreement of the AOA community at DNA and RNA level

327 In our study, the positive correlations between nitrification rates and different AOA sublineages suggested the divergence of
328 nitrification activities among the AOA community in the dynamic estuarine ecosystems (Fig. 10). Given that AOA plays a
329 central role in the nitrogen cycle, the physiological characteristics of the highly diverse AOA are an essential basis for
330 understanding the nitrogen cycle in the current and future ocean. With the limitation of underrepresented cultures and genomes,
331 numerous AOA related studies in the ocean were based on amplicon sequencing and qPCR targeting archaeal *amoA* (Beman
332 et al. 2008; Bernhard and Bollmann 2010; Peng et al. 2013; Santoro et al. 2017; Alves et al. 2018). However, it should be
333 noted that almost all these studies were based on DNA samples. In our study, the obvious disagreement between the AOA
334 communities at DNA and RNA levels (Fig. 8) indicated that different AOA sublineages may have functional differences.
335 Coincidentally, a similar phenomenon has also been recently reported in the terrestrial ecosystem, in which *Nitrososphaera*
336 and its sister groups were more active than *Nitrosotalea* in acidic forest soils (Wu et al. 2017). In Baltic Sea, a distinct AOA
337 community were retrieved from RNA level and a few phylotypes related to *Nitrosomarinus* showed widespread expression in
338 the coastal region (Happel et al. 2018). As reported in a previous study in the Pacific Ocean, the *amoA* gene abundance of
339 WCA and WCB have no correlation with nitrification rates throughout the water column indicated the active functional group
340 of AOA might be underrepresented in DNA based studies (Smith et al. 2016). In the light of our finding, the abundant AOA
341 sublineages (WCA) can be much less active ammonia oxidizers than the rare sublineages (SCM1-like) (Fig. 8 and 9), which

suggested that the DNA-based observations were insufficient to unravel the major ammonia oxidizers in the ocean. Furthermore, given that highly diverse sublineages of WCA and WCB have recently been reported in the oceanic waters (Cheung et al. 2019; Lu et al. 2019), the nitrification activity of different AOA sublineages should be further verified in future field studies.

4.4 AOA sublineages and their potential niche in the estuarine ecosystem

The ammonia-oxidizing archaea in the estuarine water were less studied compared to those in estuarine sediments, oceanic waters, and soils since the discovery of AOA (Damashek et al. 2016). In the sediment of San Francisco Bay, Mosier and Francis (2008) had proposed a cluster of AOA phylotypes potentially adapted to the low salinity environment (Mosier and Francis 2008). However, these phylotypes were then also observed in a salt marsh (Moin et al. 2009) which leads to questionable the low-salinity adaption assumption (Bernhard and Bollmann 2010). On the other hand, exploration of diversity and biogeography of different AOA were limited by low-coverage clone library method as well as the underrepresented active population at RNA level. Furthermore, in most cases, relatively weak or no correlations were found between nitrification rates and archaeal *amoA* gene abundances (Bernhard and Bollmann 2010) indicating diverse physiological characteristics among ammonia oxidizers. The above-mentioned scenarios raise the necessity to study key and active ammonia oxidizers in the community to understand their contribution in nitrification activities in the field.

In our study, we found niche partitioning among AOA sublineages in the dynamic PRE ecosystem in which the AOA community is mainly consisted of WCA and SCM1-like sublineages, while WCB is not detected. This pattern is consistent with the previous studies that show WCA and SCM1-like are mainly distributed in surface water and WCB is limited to deep mesopelagic waters (Francis et al. 2005; Beman et al. 2008). In a recent study based on the Tara Oceans dataset, WCA I dominated the surface water AOA communities throughout the global oceans (Cheung et al. 2019). In this study, WCA I was generally minor in the estuary except for the high salinity bottom water intruded from the South China Sea (Fig. 8), which indicated that WCA I prefer the conditions of oceanic waters. As revealed by the genomic and proteomic information of its representative culture (*Candidatus Nitrosopelagicus brevis* CN25), the WCA I have a streamlined genome with high coding density and are ubiquitously distributed in oligotrophic surface ocean (Santoro et al. 2015). In contrast, WCA II was dominant in the AOA communities throughout our studied region at DNA level (Fig. 8), which agrees with the previous study that its relative abundance was generally higher in marginal seas (the Gulf of Mexico, the Red Sea, and the Arabian Sea) than in oceanic waters (Cheung et al. 2019). The present study showed that WCA II outnumbered WCA I in the estuarine ecosystem, which strongly indicated a niche partitioning between WCA I (oceanic water preferred) and WCA II (coastal water preferred). Nevertheless, these two WCA sublineages only contributed a small portion of the archaeal *amoA* gene transcripts and did not show a significant correlation with nitrification rate (Fig. 10), which indicated that they were not the major ammonia oxidizers in the estuarine ecosystem. Hence, the ecological function of these abundant WCA sublineages in the estuarine ecosystem should be further explored in future studies.

Regarding the active populations in RNA level, highly diverse SCM1-like OTUs that are highly similar to *amoA* gene of *Nitrosopumilus maritimus* SCM1 were recovered in this study (Fig. 6 and 7) (Konneke et al. 2005). In particular, the 4 SCM1-like sublineages defined in this study displayed distinct distributional patterns: SCM1-like-I and II mainly distributed in the lower reach of the river; SCM1-like-IV was mainly active at the surface layer in the estuary; SCM1-like-III was limited to freshwater, implying distinct niche partitioning of the SCM1-like sublineages (Fig. 8). As inferred from the correlation analysis result, SCM1-like-I was the major active ammonia oxidizer in the PRE water column. The earlier view presumed that AOA are chemolithoautotrophs that largely rely on ammonia oxidation for energy acquisition. However, increasing evidence suggested that marine AOA (i.e. *N. maritimus* strains) can utilize organic nitrogen (i.e. urea and cyanate) as the substrates of nitrification, or utilize organic nutrient (Qin et al. 2014; Kitzinger et al. 2019). Using the stable isotope probing technology, the utilization of organic matter provided evidences of heterotrophy of AOA in the salt marsh sediment and oceanic environment (Seyler, et al. 2014; Seyler et al. 2018; Seyler et al. 2019). Hence, it may explain that the high nitrification activities of the SCM1-like sublineages were facilitated by the enriched and diverse nitrogen sources in estuarine water. Recent culture-based studies found the physiology of *N. maritimus* was not significantly influenced by salinity changes in the growth medium (Elling et al. 2015, Qian et al. 2015), which indicated SCM1-like can tolerant to wide salinity range. Furthermore, SCM1-like-I showed a positive correlation with non-phototrophic prokaryotic cell abundance, which, together with high abundances of AOA and non-phototrophic prokaryotic cell in the hypoxic zone, suggest potential interaction and coupling between organic matter degradation and nitrification activities. On the other hand, SCM1-like-I and II were the major ammonia oxidizers in the hypoxic waters (Fig. 10), where nitrification contributed significantly to the total oxygen consumption (Fig. 4). Consistently, *N. maritimus* can actively oxidize ammonia and grow under low oxygen conditions (Qin et al. 2017).

The spatial distribution of SCM1-like-III as well as the negative correlation with salinity indicated that SCM1-like-III is associated with freshwater discharge. The SCM1-like-III was closely related to the *amoA* gene fragment of *Nitrosoarchaeum limnia* which is a low-salinity adapted species (Fig. S2). The functional potential of low-salinity adaptation of *N. limnia* was further evidenced by genomic information from an enrichment culture (estuarine sediment from San Francisco Bay) (Blainey et al. 2011). The genome of *N. limnia* SFB1 possessed numerous motility- and chemotaxis-associated genes that might facilitate their adaptation to the fluctuating estuarine environment (Blainey et al. 2011). Further genomic and metabolic studies were needed to understand the ecological role of SCM1-like-III in the freshwater discharge.

5 Data availability

The *amoA* gene abundance at DNA level from 23 station along with nitrification rates were listed in Table S2. Nitrification and community respiration and nitrification oxygen demand were listed in Table S3. The *amoA* abundance at RNA (cDNA) level from 13 stations were listed in Table S4. The complete sequencing dataset was available at NCBI under the Bioproject number PRJNA610708. Data will be released once the paper is published. The information of the sequencing samples was listed in Table S5.

406 **6 Author Contributions:**

407 HBL conceived the project and revised the manuscript. YHL performed experiments, analyzed the data,
408 and wrote the manuscript. SYC interpreted the data and wrote the manuscript. XMX edited the manuscript. LC and SJK
409 provided nitrification rates data. JPG provided physical profiles of the project. MHD provided nutrient and dissolved oxygen
410 profiles of the project. All the authors provided critical feedback and help shape the research, analysis and manuscript.

411 **7 Competing interests:**

412 The authors declare that they have no conflict of interest.

413 **8 Acknowledgments**

414 This work was supported by the Research Grants Council (Hong Kong RGC) Theme-Based Research Scheme (T21-602/16-
415 R), the RGC-NSFC Joint Research Scheme (N_HKUST609/15), and the GRF grants (16128416, 16101318). This study was
416 also supported by the Hong Kong Branch of Southern Marine Science & Engineering Guangdong Laboratory (Guangzhou)
417 (SMSEGL20SC01).

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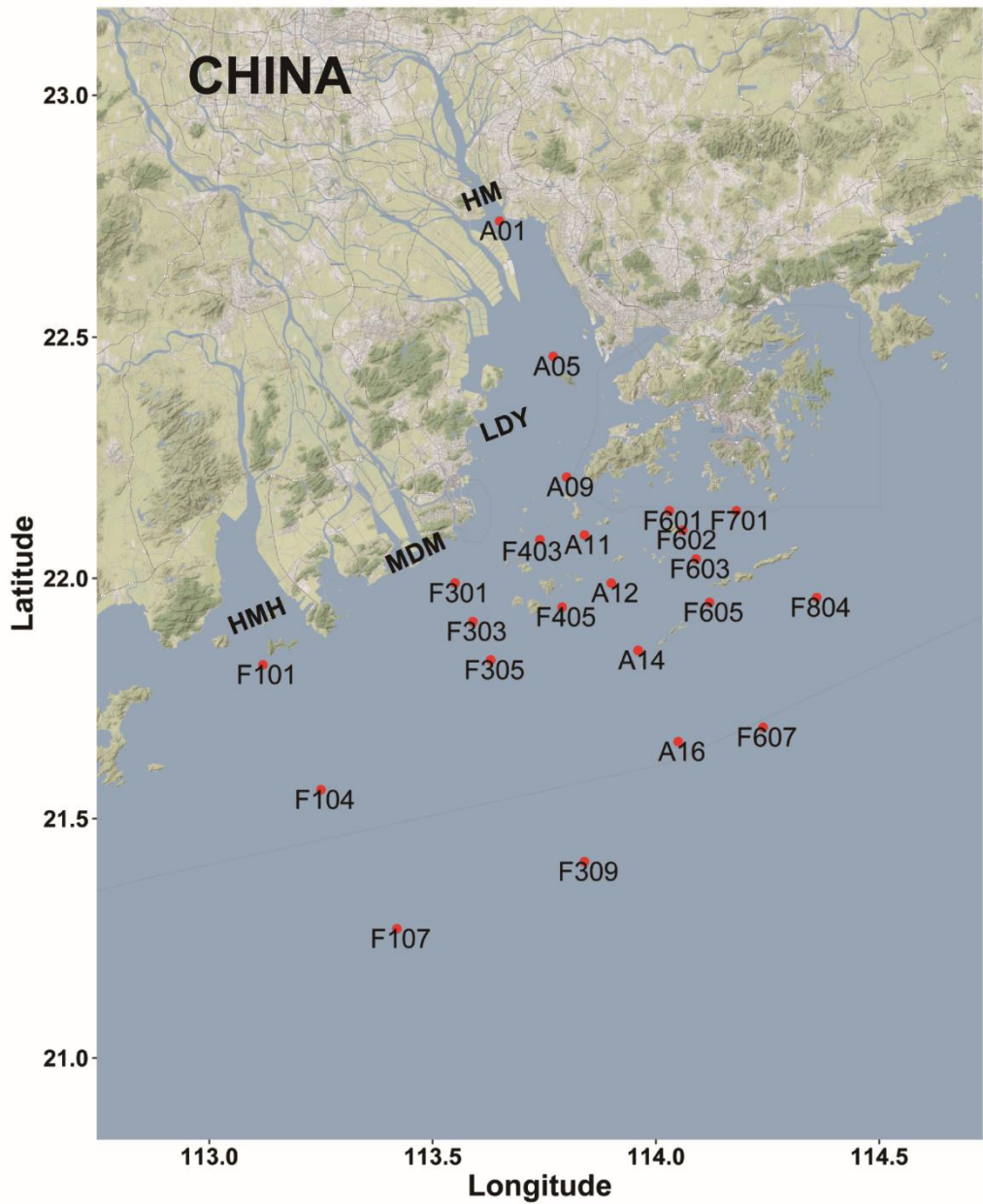
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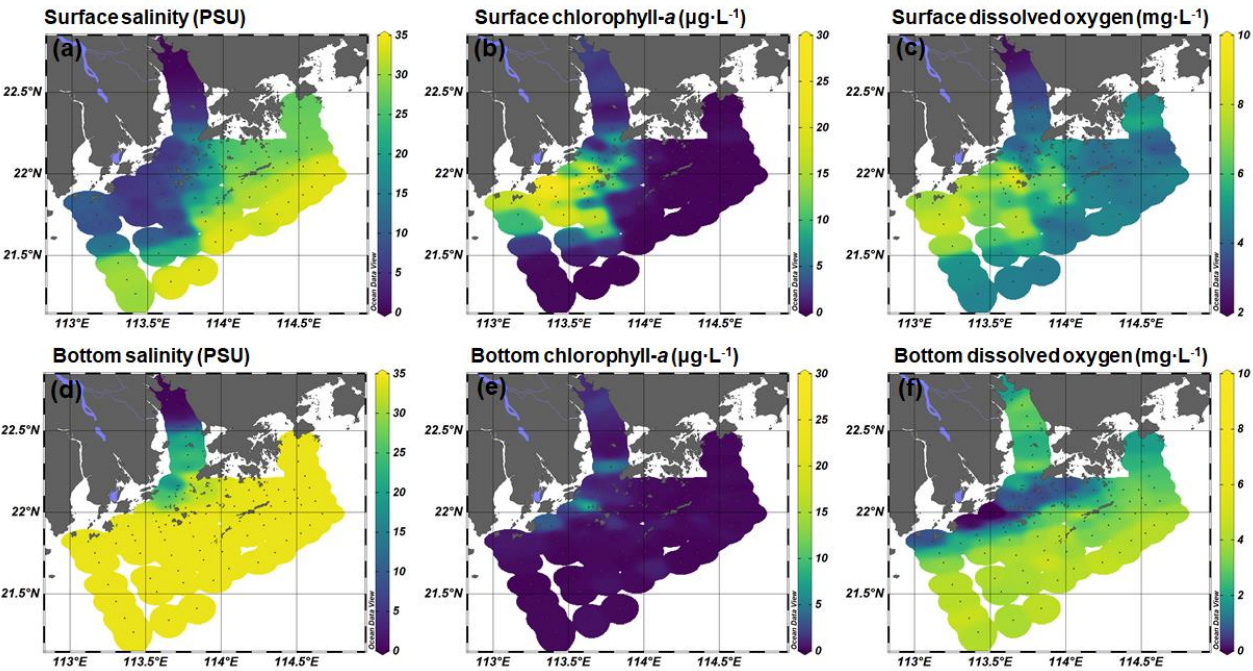
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607 10 Figures



608

609 **Figure 1. Sampling and rates measurement location during the Pearl River estuary cruise in 2017 summer (HMH-**
610 **Huangmaohai; MDM-Modaomen; HM-Humen; LDY-Lingdingyang). The sampling location information was overlaid**
611 **on Google Maps (© Google Maps) image using “ggmap” with “ggplot2” in R (D. Kahle and H. Wickham, 2013)**



613

614 **Figure 2. Spatial distribution of (a & d) salinity, (b & e) chlorophyll-*a*, and (c & f) dissolved oxygen concentration at**
615 **surface and bottom layer during the 2017 summer cruise in Pearl River estuary. These figures were generated using**
616 **Ocean Data View v. 5.0.0 (<http://odv.awi.de>).**

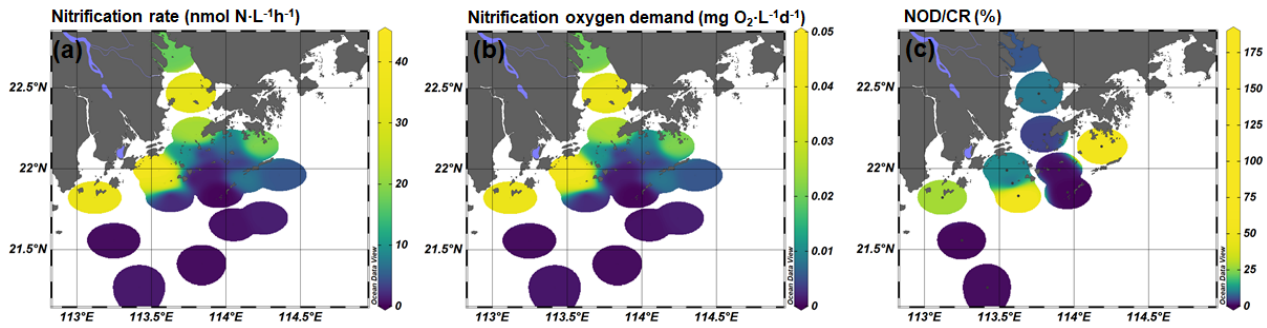
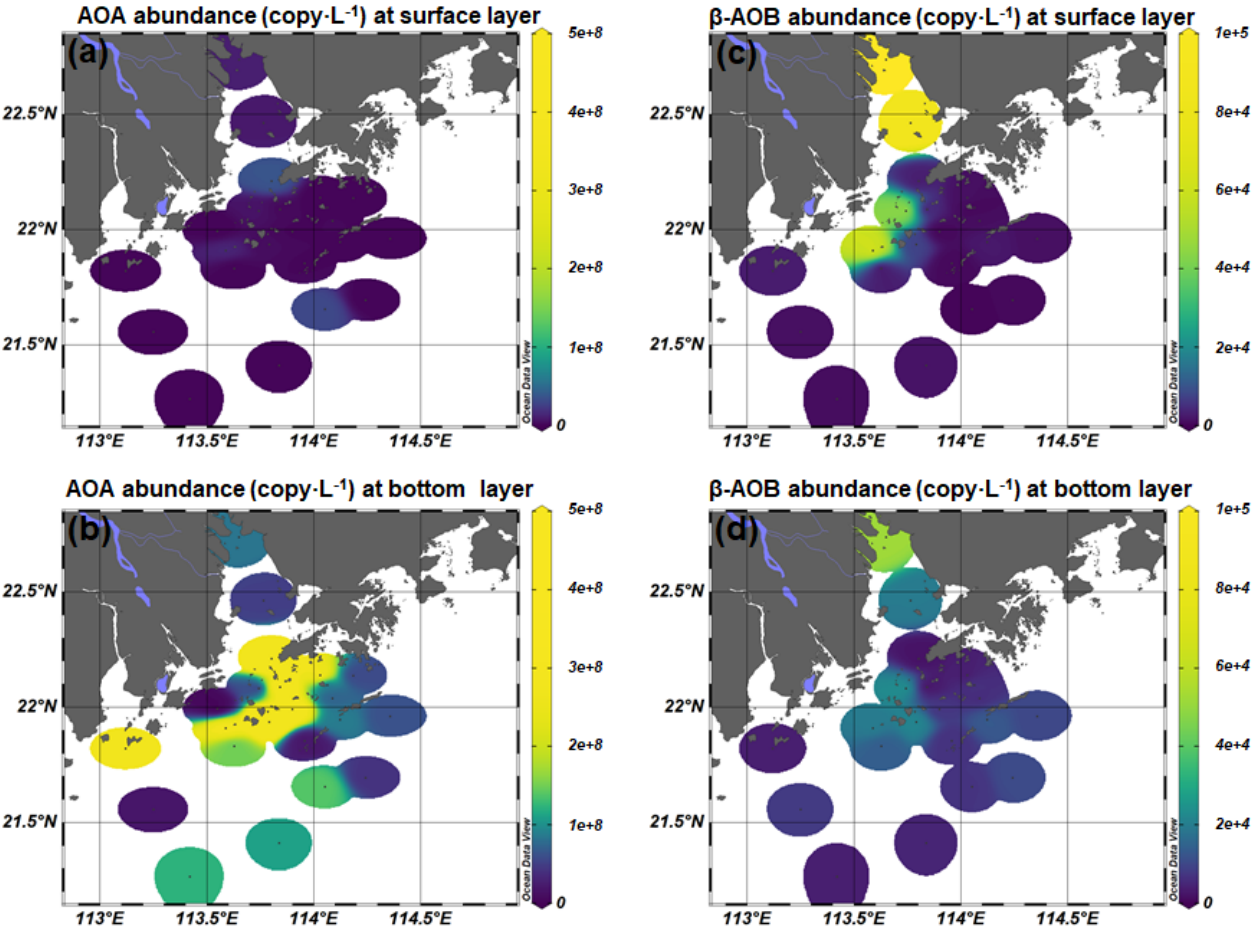
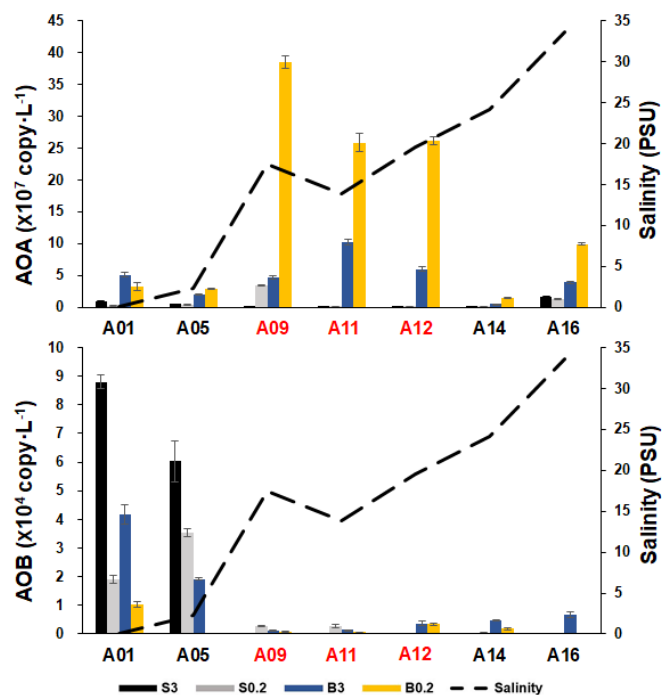


Figure 3. (a) Nitrification rates (nmol N·L⁻¹ h⁻¹), (b) nitrification oxygen demand (NOD) (mg O₂·L⁻¹·d⁻¹) and (c) nitrification oxygen demand/community respiration (NOD/CR) ratio (%) at the bottom layer.



622

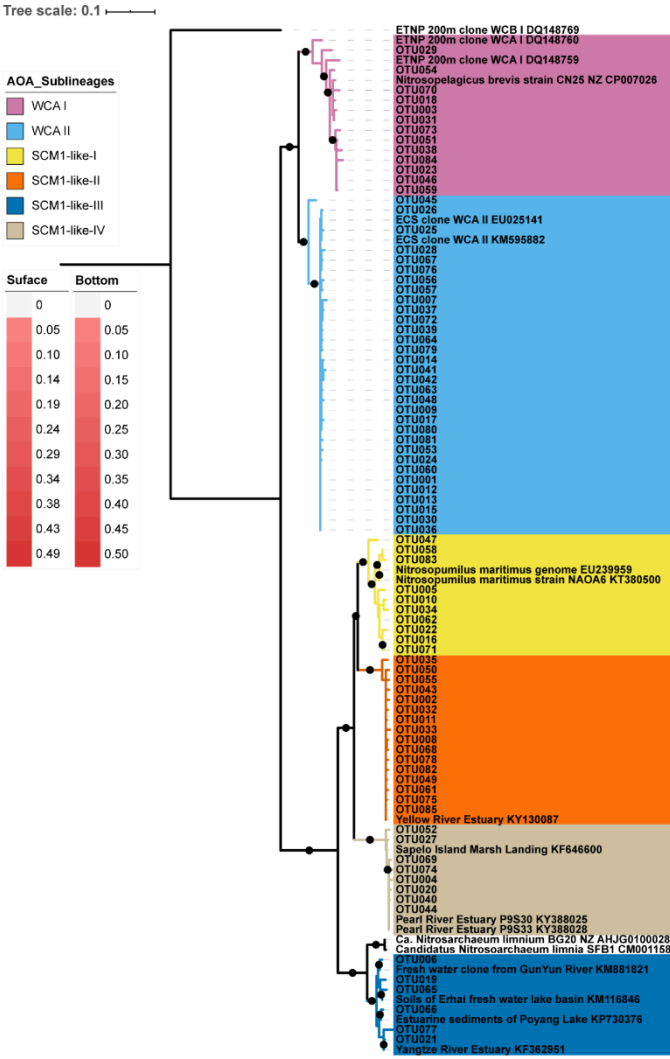
623 Figure 4. Spatial distribution of (a & b) AOA and (c & d) β -AOB abundance at the surface and the bottom layer at
624 DNA level.



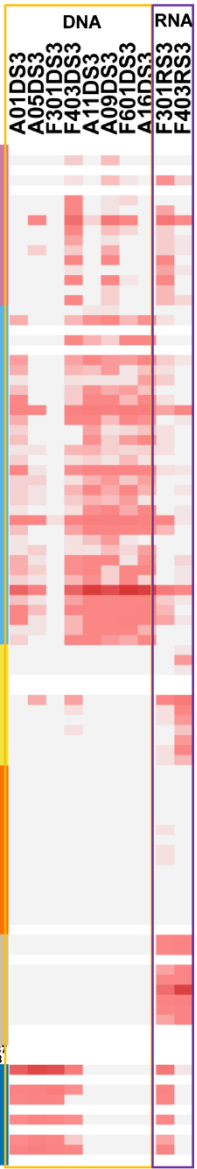
S – surface layer; B – bottom layer; 3 – 3 μm fraction; 0.2 – 0.2-3 μm fraction

Figure 5. The abundance of AOA and β -AOB at DNA level estimated by qPCR of *amoA* gene along the salinity gradient of the A-transect in the Pearl River estuary. Size fractionation was performed with 3 μm (particle-attached) and 0.2 μm (free-living), and the hypoxic stations (bottom DO < 2 mg·L⁻¹) are labelled in red color.

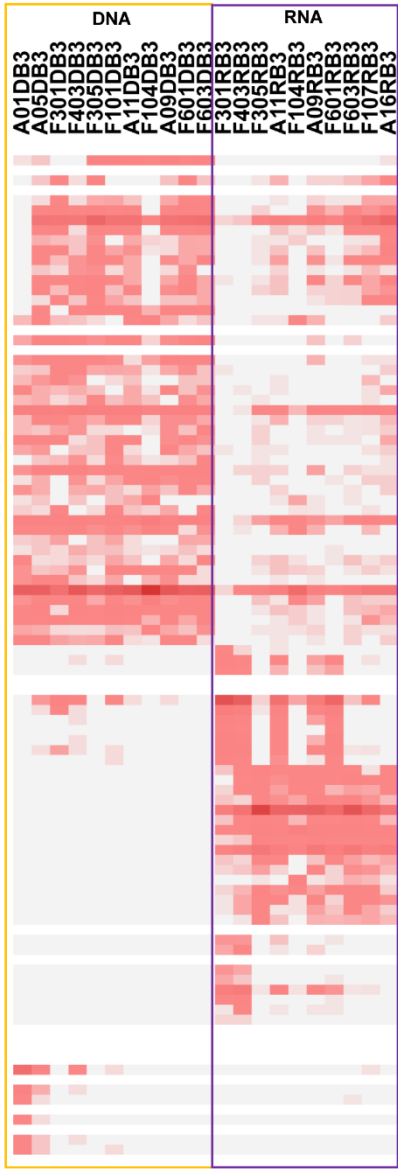
Particle-attached AOA distribution



Surface layer



Bottom layer



630

631 **Figure 6. Maximum likelihood phylogenetic tree of top 85 OTUs based on amoA gene sequences using T92+G+I model**
632 **with 1000 bootstrap. The associated heat map is generated based on the relative abundance of top OTUs in the particle-**
633 **attached samples. Samples are listed from left to right along the ascending salinity gradient.**

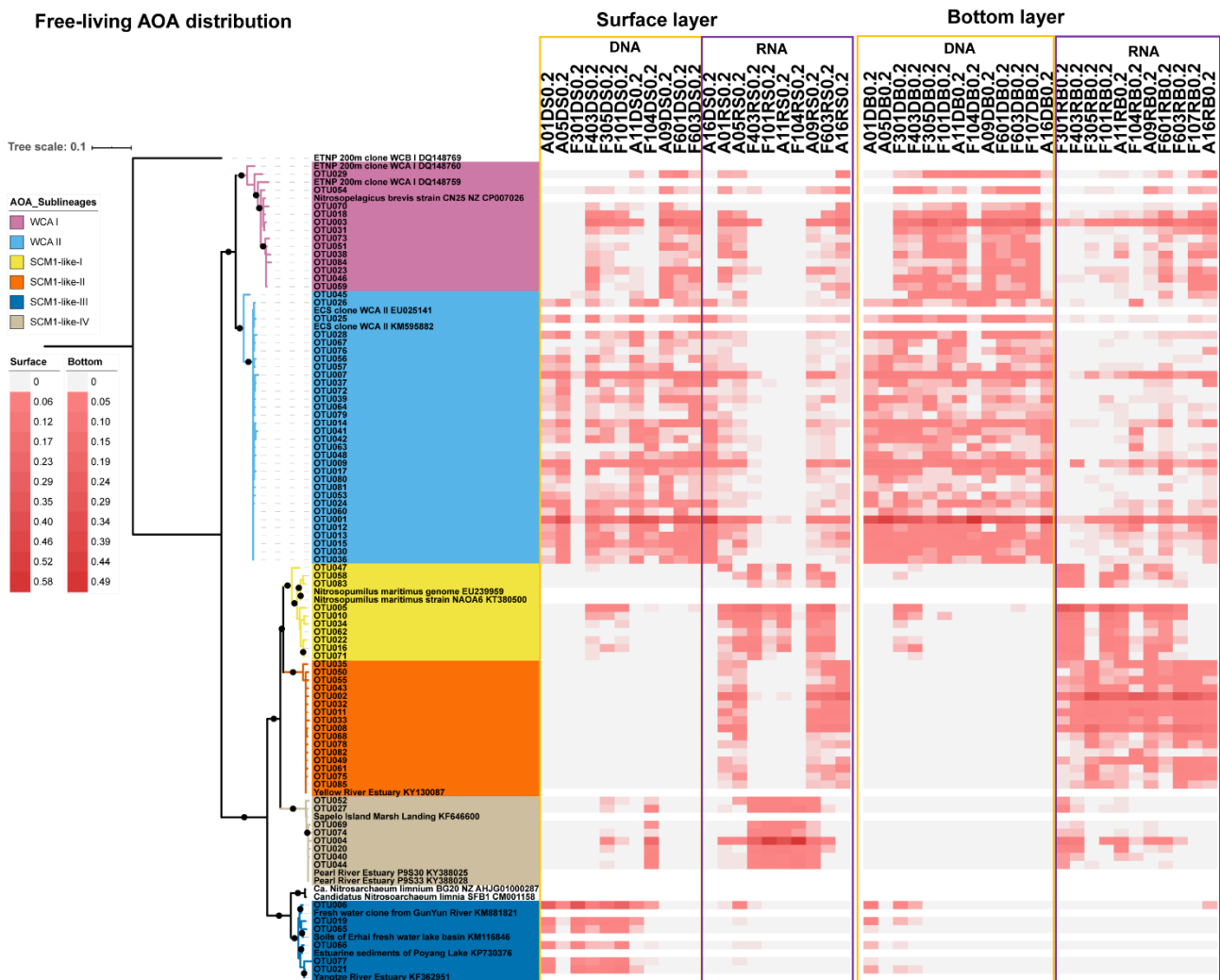
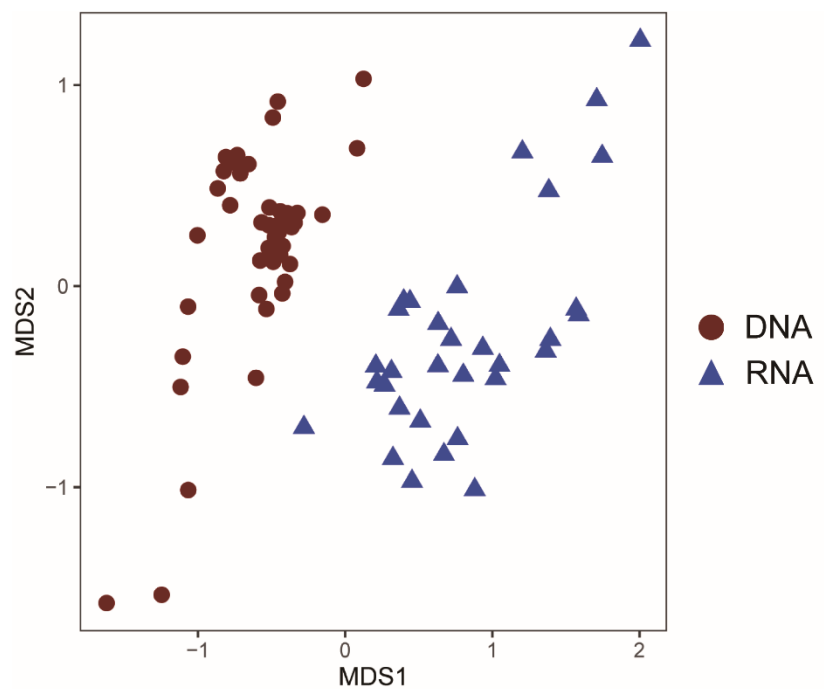
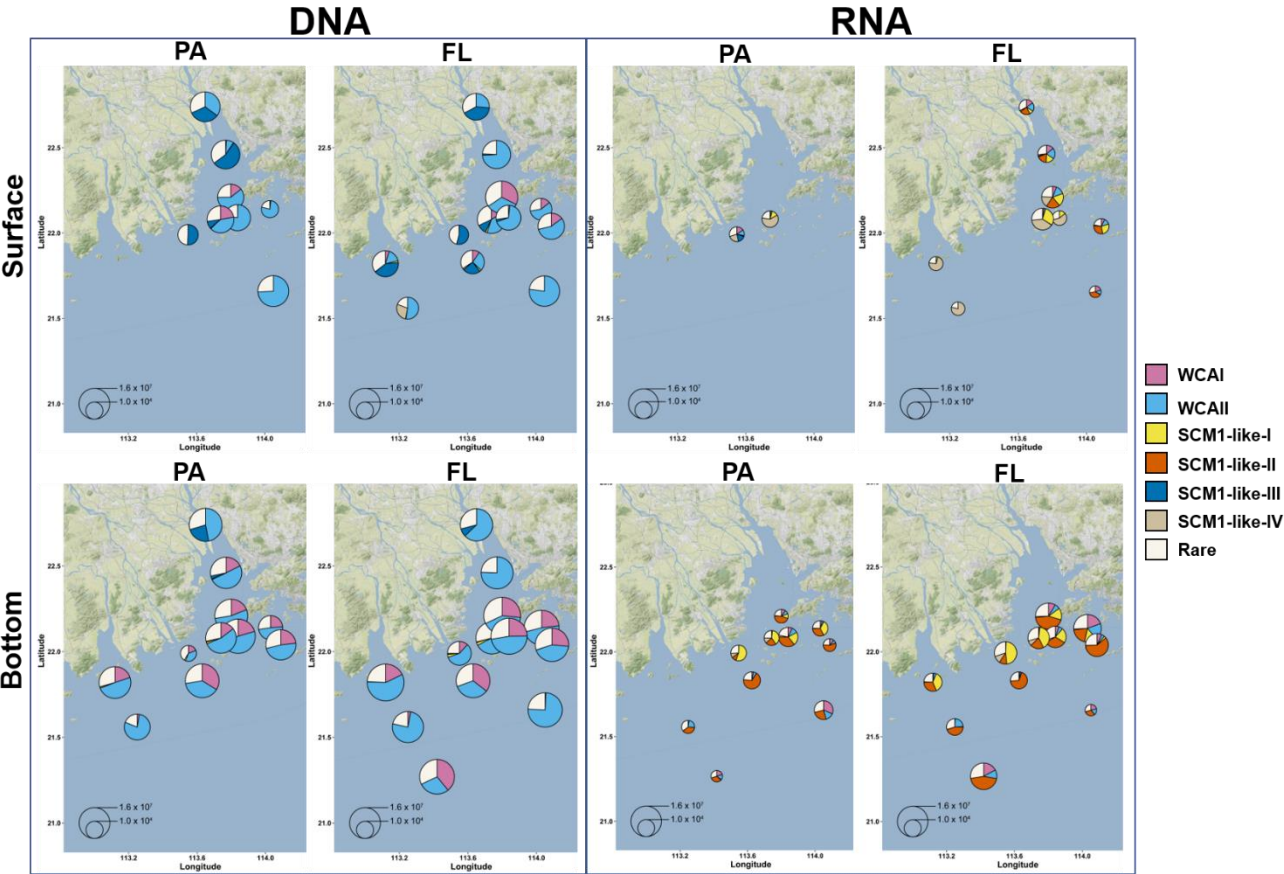


Figure 7. Maximum likelihood phylogenetic tree of top 85 OTUs based on amoA gene sequences using T92+G+I model with 1000 bootstrap. The associated heat map is generated based on the relative abundance of top OTUs in the free-living samples. Samples are listed from left to right along the ascending salinity gradient.



641

642 **Figure 8.** Nonmetric multidimensional scaling (NMDS) plot of AOA community similarity at DNA and RNA level.



644

645 **Figure 9.** Free-living (FL) and particle-attached (PA) AOA community composition and distribution in the Pearl River
646 estuary. The size of the pie charts represents the archaeal *amoA* gene abundance quantified by qPCR. For a clear
647 display of the AOA community composition, the minimum size of the pie charts is set as 500 copies \cdot L⁻¹. The charts were
648 overlaid on Google Maps (© Google Maps) images using “ggmap” with “ggplot2” in R (D. Kahle and H. Wickham,
649 2013)

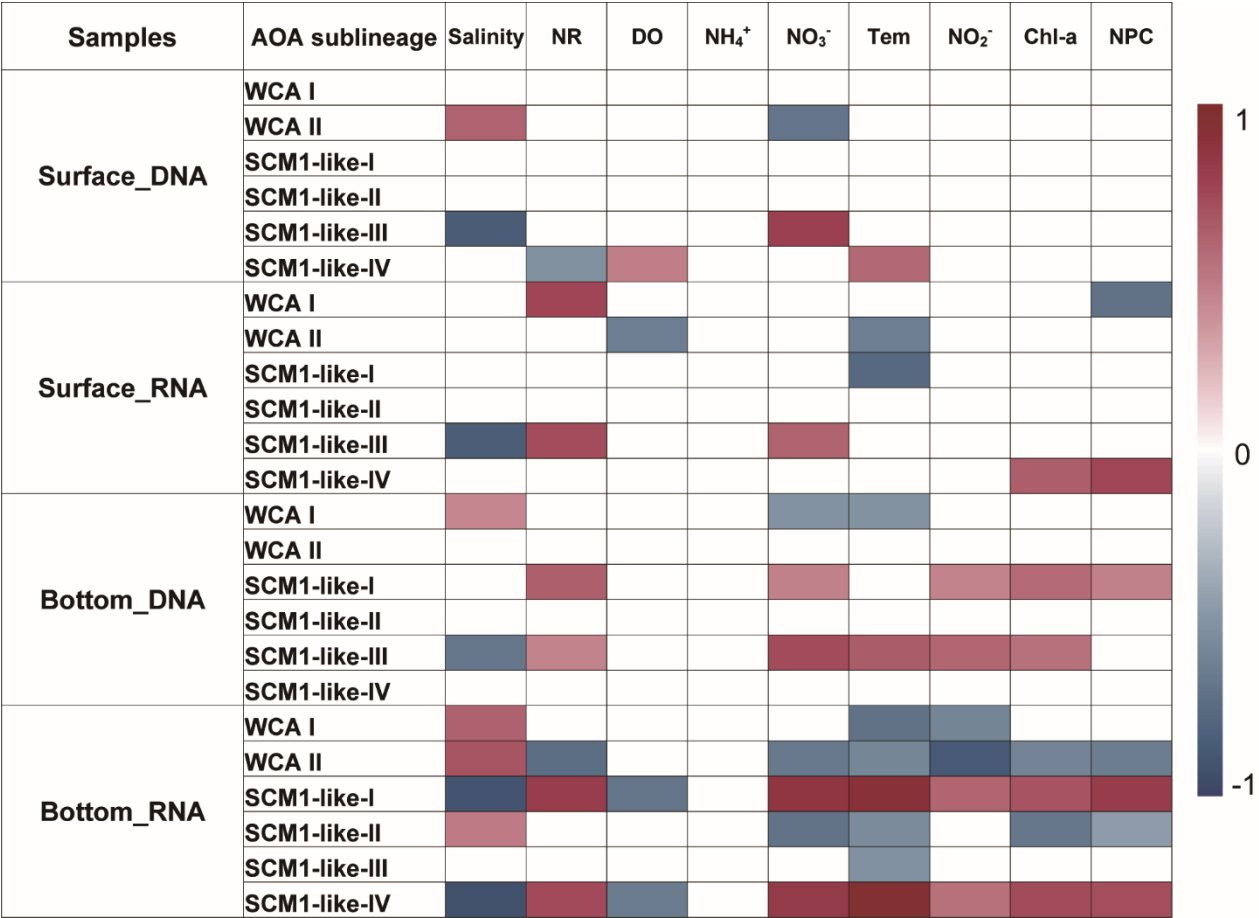


Figure 10. Spearman correlation between AOA sublineages (relative abundance at DNA and RNA levels) and environmental factors in the surface and bottom layers of the water columns in the Pearl River estuary during summer 2017. Only the significant correlations ($P < 0.05$) are displayed (NR-nitrification rates; DO-dissolved oxygen; Tem-Temperature; NPC-non-phototrophic prokaryotic cells).