



New Insight to Niche Partitioning and Ecological Function of Ammonia Oxidizing Archaea in Subtropical Estuarine Ecosystem

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Abstract. Nitrification plays a central role in estuarine nitrogen cycle. Previous studies in estuary mainly focused on the 14 15 niche-partition between ammonia-oxidizing archaea (AOA) and bacteria (AOB), while the diversity, activity, biogeography and ecophysiology of different AOA groups remained unclear. Here, we first time reported niche partitioning as well as 16 17 differentially distributed active populations among diverse AOA (inferred from *amoA* gene) in a typical subtropical estuary-Pearl River estuary (PRE). In the water column of PRE, the AOA communities mainly consisted of WCA and SCM1-like 18 19 sublineages. Surprisingly, we observed a strong disagreement of AOA communities at DNA and RNA levels. In DNA 20 samples, WCA generally dominated the AOA community, and the distributional pattern indicated that WCA I and WCA II 21 sublineages preferred oceanic and coastal conditions, respectively. In contrast, diverse SCM1-like sublineages were 22 identified and outnumbering WCA at RNA level, in which SCM1-like-III was limited to freshwater while the rest 23 sublineages were widely distributed in the estuary. The SCM1-like sublineages strongly correlated with nitrification rate 24 indicated their important contribution to ammonia oxidation. Furthermore, intense nitrification contributed significantly to hypoxia conditions (nitrification contributed averaged 15.30 % of oxygen consumption) in the estuary. These results 25 26 unraveled different ammonia-oxidizing activities and niche partitioning among different AOA sublineages in estuarine water, 27 which was unexplored in previous DNA and clone library-based studies. The ecological significance and functioning of the 28 diverse AOA should be further explored in the marine ecosystem.





29 1 Introduction

Nitrification, is a microbial mediated oxidation process of ammonia to nitrate, interconnects the source (N-fixation), and sink 30 (N-loss) and plays a central role in the marine nitrogen cycling (Ward 1996). Particularly in the estuarine ecosystem, 31 32 nitrification significantly impacts the N source for primary production and oxygen level in the water column (Yool et al. 33 2007; Erguder et al. 2009; Campbell et al. 2019). Regarding the biogeochemical significance of ammonia oxidation (i.e. first 34 and rate-determining step of nitrification) in the estuarine ecosystem, the physiology and ecological function of ammonia oxidizers (i.e. ammonia-oxidizing archaea (AOA) and bacteria (AOB)) have been the major interest to understand the 35 estuarine N transformation (Bernhard and Bollmann 2010). The previous studies were mostly conducted in sediment 36 37 compared to water columns in estuarine ecosystems (summarized in Table S1) (Damashek et al. 2016). Besides, those 38 studies were mainly focusing on the niche partition between AOA and AOB inferred from amoA genes abundance and 39 collectively showed the AOA outnumbered AOB in the estuarine ecosystem (Caffrey et al. 2007; Abell et al. 2010; Bernhard 40 et al. 2010). However, the biogeography, niche partition, and ecological function of different AOA groups were little 41 analyzed (Table S1).

42 As revealed by *amoA* gene (ammonia monooxygenase subunit A), the marine AOA was early recognized as three major 43 groups, including water column A (WCA; shallow ecotype dominant in epipelagic and upper mesopelagic water) and water 44 column B (WCB; deep ecotype dominant in the mesopelagic and bathypelagic water) and SCM1-like (affiliated to the first isolated AOA-Nitrosopumilus maritimus SCM1), in which the distribution and abundance of WCA and WCB were much 45 more studied than SCM1-like ecotype in the field observations (Francis et al. 2005; Hallam et al. 2006; Beman et al. 2008; 46 47 Beman et al. 2012). The WCA, WCB, and SCM1-like were corresponding to the group NP-Epsilon, NP-Alpha, and NP-Gamma respectively, in the global synthesis of Alves et al. 2018 (Alves 2018; Cheung et al. 2019). More recently, highly 48 49 diverse sublineages of WCA and WCB were revealed in the global ocean, in which the sublineage within the same ecotype 50 displayed varied distributional patterns and environmental determinants (Cheung et al. 2019). On the other hand, most of the marine AOA remained uncultivated which hinder our understanding of the ecophysiology of most of AOA in marine 51 ecosystems (Alves et al. 2018). Therefore, the physiological understanding of marine AOA (especially WCA and WCB) 52 53 heavily relied on field observations. Given that highly diverse uncultivated AOA sublineages have been recently defined, 54 their ecophysiology and environmental determinants required further exploration in the environment. For example, niche 55 partitioning between WCB sublineages has been recently observed in the oxygen minimum zone off the Costa Rica Dome and potential anoxic adapted phylotypes were widely detected between the geographically distant OMZs (Lu et al. 2019). 56

As mentioned, dynamics and ecological function of different AOA were rarely studied in the estuarine water comparing to the relatively well-characterized population in the ocean, sediment, and soil environment (Bernhard and Bollmann 2010; Damashek et al. 2016). Besides, the previous studies of marine AOA mostly relied on clone library analysis (summarized in Table S1), which were insufficient to recover the diversity and biogeography of AOA. Moreover, previous studies largely relied on DNA surveys leaving the relatively active communities in the RNA unexplored. Recently, Wu et al. reported the





differentially transcriptional activities of AOA communities referred from DNA and RNA extracts suggesting the 62 63 overwhelming studies depended on DNA may have overlooked active groups in the natural environment (Wu et al. 2017). In this study, we have conducted a comprehensive study about ammonia oxidizers in a typical subtropical estuary-Pearl River 64 65 estuary (PRE) which is the second largest river in China in terms of freshwater discharge (Zhao 1990). During the wet season, Pearl River estuary is characterized by receiving 80 % of annual freshwater discharge forming a typical salt-wedge 66 67 estuary (Harrison et al. 2008). Recently, reoccurring bottom hypoxia formation at the lower estuary of PRE has received 68 increasing concerns about its ecological impact on the estuarine ecosystem (Qian et al. 2018). The steep natural gradient of 69 salinity, nutrient, oxygen concentration, turbidity makes Pearl River estuary to be an ideal environment to study the diversity 70 and ecological function of ammonia oxidizers. Together, by revealing AOA community structure (dominant ammonia 71 oxidizer) at DNA and RNA level by Ion torrent sequencing and fine-scale phylogenetic classification along with 72 quantification of AOA and AOB and nitrification rate measurement, we aim to 1) identify the major and active AOA in the 73 estuarine ecosystem; 2) identify niche partitioning between different AOA sublineages based on ecophysiology and 74 environmental determinants; 3) determine the potential contribution of nitrification to hypoxia formation in PRE.

75 2 Materials and methods

76 2.1 Sample collection

77 The cruise was conducted from July 11-August 1 in 2017 on the R/V Hai Ke 68. In the first leg, 83 stations designed along 78 the isobath of the 10-50m by 10m interval covered from the upper estuary to the continental shelf (Fig. S1). Water samples 79 were collected using a Niskin bottle equipped with CTD sensor (Sea-Bird SBE 917plus). Temperature, salinity, and depth 80 data were acquired through the CTD sensor. The dissolved oxygen concentrations were measured on board using Winkler 81 Spectrophotometric and titration method, as described in (Pai et al. 2001; Dai et al. 2006). Dissolved inorganic nutrient 82 samples were filtered through the pre-acid washed cellulose acetate fiber membranes and stored in -20 °C until analysis in a 83 land-based laboratory in Xiamen University (Qian et al. 2018). Ammonia concentration was determined on board using the 84 indophenol blue spectrophotometric method (Pai et al. 2001). Chlorophyll samples (250 to 500ml) were filter onto GF/F 85 (Whatman, USA) and soon stored in foil bags in liquid nitrogen. Chlorophyll-a concentration was measured on Turner Fluorometer (Welschmeyer 1994) after extracted with 90 % acetone for 14 h at -20 °C. The bacterial abundances were 86 87 quantified by the Becton-Dickson FACSCalibur flow cytometer (Vaulot et al. 1989). Sea water was prefilter by 20 µm mesh 88 and fixed by final concentration of 0.5 % seawater buffed paraformaldehyde in cryotubes and stored in liquid nitrogen until analysis (Liu et al. 2014). At each sampling depth, 0.5-2 L of sea water were sequentially filtrated onto 3 µm polycarbonate 89 90 membranes (GVS, USA) for particle-attached community and 0.2 µm polycarbonate membranes for the free-living 91 community. DNA/RNA samples immersed in 500 µl RNAlater (Ambion, Austin, TX, USA) before stored in liquid nitrogen.



92 2.2 Rates measurement

Community respiration rates were measured through the dissolved oxygen variance before and after 24 h dark incubation in 93 94 running seawater. The dissolved oxygen concentration was determined by the Winkler titration method (Oudot et al. 1988). Nitrification was measured through 6-12 h dark incubations conducted in a 200ml HDPE bottle added with ¹⁵NH+ 4 (less 10 % 95 96 of ambient concentration) in running seawater. The $\delta^{15}N$ in NO- x the product of nitrification, was determined using the 97 denitrifier method (Sigman et al. 2001; Casciotti et al. 2002; Knapp et al. 2005). Nitrification rate is adopted from another 98 study conducted during the same cruise (L. Chen et al. in review). We analyzed the correlation between nitrification rates 99 and AOA sublineages. Equation 1 and 2 were generally considered as the sequential oxidation of ammonia to nitrate. 100 Inferred from the nitrification rates, we estimated the nitrification oxygen demand (NOD) based on equations 1 and 2. 101 Inferred from the nitrification rates, we estimated the nitrification oxygen demand (NOD) based on equation 3 coupling with carbon assimilation (Dai et al. 2006; Dai et al. 2008). We used NOD/CR ratio (percentage) to evaluate potential the 102 103 contribution of nitrification to total oxygen consumption in the field.

104	$\mathrm{NH}_3 + \mathrm{O}_2 \rightarrow \mathrm{NO}_2^- + 3\mathrm{H}^+ + 2\mathrm{e}^-$	(1)
105	$NO_2^- + H_2O \rightarrow NO_3^- + 2H^+ + 2e^-$	(2)
106	$NH_4^+ + 1.89O_2 + 1.98HCO_3^- \rightarrow 0.984NO_3^- + 0.016C_5H_2O_2N + 1.90CO_2 + 2.93H_2O_3$	(3)

107 2.3 DNA and RNA extraction and cDNA synthesis

108 The sample filters immersed in RNAlater were thawed on ice. RNAlater was removed following the procedure described in Xu et al. 2013 (Xu et al. 2013). For DNA extraction, filters were cut into pieces and carefully collected into the 2ml Lysing 109 Matrix E tubes with the addition of 978 µl sodium phosphate buffer and 122 µl MT buffer provided in FastDNA[™] SPIN Kit 110 for Soil (MP Biomedical, Solon, OH, USA). The lysing matrix was homogenized by Mini-Beadbeater-24 (Biospec Product, 111 112 Bartlesville, OK, USA), at 3500 oscl/min for 60 seconds. The subsequent procedures of DNA extraction were performed 113 according to FastDNA Spin kit for soil manufacture's instruction and preserved at -80 °C. For RNA extraction, sample filters 114 were incubated in 1 ml TRIzol for 5 min at room temperature in 2ml sterile microcentrifuge tubes. After the incubation, 200 115 µl chloroform was added into tubes and mixed vigorously by hand until the membrane fully dissolved. After 3 min room 116 temperature incubation, the samples were centrifuged at 12000 × g at 4 °C for 15 min. The supernatant was carefully transpired into a new 2ml microcentrifuge and mixed with an equal volume of 70 % ethanol. The purification and elution 117 118 procedures were performed according to the manufacture's instruction of the PureLink RNA Mini Kit (Life Technologies, Carlsbad, CA, USA). RNA samples were immediately treated with DNase at 37 °C for 30 min using the TURBO DNA-free 119 Kit to eliminate DNA contamination. After incubation, the DNase was inactivated following the manufacturer's instruction. 120 121 The DNA-free RNA samples were reversely transcribed into cDNA with random primers using the SuperScript III First-122 Strand Synthesis System (Life Technologies, Carlsbad, CA, USA). The synthesized cDNA was further treated with RNase H at 37 °C for 20 min to remove the residual RNA. 123





124 **2.4 PCR amplification and high throughput sequencing**

The DNA and cDNA were used as templates in PCR amplification. The archaeal amoA gene was amplified using the 125 126 barcoded primers Arch-amoAF (5'-adaptor+barcode+GAT+STAATGGTCTGGCTTAGACG-3') and Arch-amoAR (5'-127 adaptor+barcode+GAT+GCGGCCATCCATCTGTATGT-3') (Francis et al. 2005). Triplicated PCR reactions were performed in 12.5 µl mixture contained 1×PCR buffer, 2 mM MgCl₂, 0.2 mM dNTP mix, 0.4 µM of respective primers, and 128 2 U Invitrogen Platinum Taq DNA polymerase (Life Technologies, Carlsbad, CA, USA) and 1 µl template. The PCR 129 thermal cycle consisted of 5 min initial denaturation at 95 °C and followed by 33 cycles of 95 °C for 30s, 53 °C for 45s, and 130 72 °C for 60s and 10 min of final extension step at 72 °C. The triplicated PCR products of each sample were pooled together 131 132 and sequenced on the Ion GeneStudio S5 system (Thermo Fisher Scientific, USA) which could generate around 600 bp high 133 quality reads.

134 2.5 Standard curve construction and Quantitative PCR

The *amoA* gene of AOA and β -AOB *amoA* was amplified by the primer pair Arch-amoAF-amoAR (Francis et al. 2005) and 135 136 amoA-1F and amo-2R (Rotthauwe et al. 1997) respectively, using the DNA mixture from A-transect samples. The PCR 137 products were purified using the illustra GFX PCR DNA and Gel band purification kit (GE Healthcare, UK) and ligated into T-vector pMD 19 at 4 °C for 12 h (Takara, Japan). The ligated vectors solution was mixed with freshly prepared E. coli 138 BL21 competent cell and incubated on ice for 30 min. Heat-shock treatment at 42 °C were performed for the mixture for 90 s 139 and incubated on ice for 5 min. After 5min incubation, 200 µl of liquid lysogeny broth was added and incubated at 37 °C for 140 141 1h in incubator shaker (250 rpm/min). The culture was soon spread on to ampicillin (100 mg·L⁻¹) plates and incubated at 37 °C 142 for 12 h. White clone was selected and confirmed with respective PCR amplification. The clones were expended with ampicillin (100 mg·L⁻¹) lysogeny broth sent for sequencing in BGI Tech (BGI, Shenzhen, China). The sequence of the 143 selected plasmid was confirmed as an archaeal amoA gene by blast against the NCBI database. The plasmid of the selected 144 clone was extracted and purified by the TIANprep Mini Plasmid Kit (TIANGEN, China). The extracted plasmid was 145 linearized by EcoRI (New England Biolabs) at 37 °C for 12 h and purified by electrophoresis on 1.2 % agarose gel. The 146 147 linearized plasmid DNA concentration was determined via dsDNA HS assay on the Qubit fluorometer v3.0 (Thermo Fisher 148 Scientific, Singapore). Series dilution of the linearized plasmids was amplified as standard curves together with the field samples on the 384-well plates on Roche LightCycler 480. 149

Triplicated PCR was performed in 10 μ l mixture contained 1 × LightCycler[®] 480 SYBR[®] Green I Master, 0.5 μ M primers pairs and DNA templates. The thermal cycler of the quantitative PCR consisted of a 5 min denaturation at 95 °C, followed by 45 cycles each at 95 °C for 30s, 53 °C (60 °C for β-AOB) for 45s, 72 °C for 60s with single signal acquisition at the end of each cycle. Amplification specificity was confirmed via the melting curve and gel electrophoresis. Both particle attached (> 3 μ m) and free-living (0.2-3 μ m) from DNA and RNA (using cDNA as a template) ammonia-oxidizing archaea and





ammonia-oxidizing β -proteobacteria abundance were quantified based on the *amoA* gene abundance through quantitative

156 polymerase chain reaction (Table S2).

157 2.6 Bioinformatic analysis

158 In total, 76 sample sequencing data (contained 2523 reads per file) files were quality control and analyzed using the 159 microbial ecology community software program Mothur (Schloss et al. 2009). The sequencing output was split according to corresponding barcode sequences in the forward primer. Quality control was performed by discarding reads with low-quality 160 (average quality score < 20), or reads with incorrect length (no shorter than 300 bp and no longer than 630 bp), or reads 161 162 containing any ambiguous base, or reads containing homopolymers longer than 8 bp. The chimeric sequences were identified 163 and discarded by the Chimera.uchime in Mothur package. The archaeal amoA sequence through alignment of the amoA sequence from the NCBI database (Agarwala et al. 2018). The remaining high-quality sequences were clustered into 164 operational taxonomic units (OTUs) at 95 % similarity. The singletons and doubletons were discarded from the OTUs table 165 before downstream analysis. The representative sequences of the top OTUs were randomly selected through getotu.rep in 166 Mothur and blast against the NCBI database (the top OTUs were selected based on relative abundance ≥ 0.1 % (Logares et al. 167 168 2014). The Maximum Likelihood phylogenetic tree was constructed in Mega 7 with the recommended model (T92+G+I) 169 after the best model selection. The ML-tree were further edited with iTOL (Letunic and Bork 2016).

Spearman correlation analysis was performed by separating the AOA community retrieved from Surface DNA; Surface RNA; Bottom DNA, Bottom RNA, and corresponding environmental factors, respectively, regarding strong stratification and steep variation of environmental factors that associated with the freshwater discharge in the PRE. Spearman correlation analysis was performed between nitrification rates and *amoA* gene (AOA and β-AOB) abundance retrieved from particle attached (> 3 µm) and free-living (3-0.2 µm) samples.

175 3 Results

176 **3.1 Hydrographic characteristics of Pearl River estuary**

177 The Pearl River estuary consists of three major sub-estuaries, namely Lingdingyang, Modaomen, and Huangmaohai (Fig. 1), which contributed to the annual mean of freshwater discharge by 55 %, 28 %, and 13 %, respectively (Zhao 1990). The 178 179 observation was conducted in the wet season when the freshwater discharged into Pearl River estuary can reach 80 % of the 180 annual river discharge (Zhao 1990). The studied area covered a full range of salinity from 0.1 to 34.7, and a huge freshwater plume extended southwestward (Fig. 2a). Associated with the plume area, excessive phytoplankton bloom was observed in 181 182 the lower estuary with chlorophyll-a concentration peak (28.4 µg·L⁻¹) at station F202 (Fig. 2b). Furthermore, wide-spread bottom hypoxia ($DO < 2 \text{ mg} \cdot L^{-1}$) was observed in the lower reach of Pearl River estuary extending from Huangmaohai to the 183 southern water of Hong Kong island (Fig. 2f). The spatial pattern of nitrate concentration was associated with that of salinity 184

185 (Fig. 2c). The high concentration of nitrate was detected in low salinity water near the outlet of sub-estuaries, and the nitrate





concentration (over 115 μ mol·L⁻¹) peaked in the surface water of Lingdingyang (station A01-03). Similar to nitrate, the 186 187 nitrite concentration at surface layer was also higher near the estuary outlets and peaked in station A01 with 9.5 µmol·L⁻¹, 188 while in the bottom layer, nitrite displayed relatively constant concentration ($\leq 2 \mu mol \cdot L^{-1}$), spreading southeastward (Fig. 189 2e). The ammonium concentration displayed a different spatial pattern compared to nitrate and nitrite, which was probably 190 because of local sewage discharges. The ammonium concentration peaked at A06 at both surface and bottom layer with 2.5 191 μ mol·L⁻¹ and 3.2 μ mol·L⁻¹ respectively during the cruise period. A patch of ammonium replete water (over 1 μ mol·L⁻¹) was observed in the southern water of Hong Kong, spreading eastward at the stations along the south border-line of Hong Kong 192 193 water (Fig. 2d).

194 **3.2** The spatial pattern of nitrification rates and their oxygen consumption

195 The nitrification rate was generally higher in bottom water than in surface water, except station A01 and F601 (Fig. 3). At 196 the surface layer, a high nitrification rate was detected in the outlet of Humen and Modaomen (station A01 and F301) and 197 the southern water of Hong Kong (F601 and F701). At the bottom layer, a high nitrification rate was detected in the Humen outlet and the lower estuary from Huangmaohai to the southern water of Hong Kong (Fig. 3). The oxygen demand of 198 nitrification (NOD) ranged from 0.0001 to 0.1376 mg O₂·L⁻¹·d⁻¹ (Fig. 3). The community respiration rate (total oxygen 199 200 consumption rate, CR) was higher at the surface layer than the corresponding bottom layer in all stations (Fig. 3, Table S3). 201 The community respiration rate at surface layer ranged from 0.22 to 1.68 mg O₂·L⁻¹·d⁻¹, and that at bottom layer ranged from 0.002 to 0.82 mg O₂·L⁻¹·d⁻¹. Based on the ratio between NOD and CR, nitrification contributed 0.01-22.45 % and 0.01-202 203 229.21 % of total oxygen consumption at the surface and bottom layer, respectively (Fig. 3). It is noteworthy that 204 nitrification contributed substantially to the total oxygen consumption in the upper estuary and bottom hypoxic water. For the upper estuary in Lingdingyang, nitrification potentially contributed 7.78 % and 11.90 % of the total oxygen consumption 205 206 at station A01 and A05, respectively. As for the bottom hypoxic water, nitrification accounted for 35.45 % at F101, 14.22 % at F301, 10.27 % at F303, 5.44 % at A09, 81.77 % at F305 and 229.21 % at F701 of the total oxygen consumption. 207

208 3.3 Spatial patterns of the abundance of AOA and β-AOB

209 As inferred from the *amoA* gene copy number, AOA showed a 2-3 order magnitude more abundant than β -AOB (Fig. 4). The archaeal amoA was more abundant at the bottom layer than at the surface layer (Fig. 5). The abundance of archaeal 210 amoA ranged from 6.27×10^4 to 3.63×10^7 copy L⁻¹ at surface layer and 3.59×10^5 to 4.98×10^8 copy L⁻¹ at the bottom 211 212 layer, in which the abundance peaked at the bottom layer of station F405. The archaeal *amoA* abundance showed a general decreasing trend from the upper estuary to the continental shelf at the surface layer (Fig. 5). It is noteworthy that archaeal 213 *amoA* was highly abundant in the hypoxic water located in the lower reach of the estuary. The abundance of β -proteobacteria 214 amoA at surface layer ranged from 2.03×10^2 to 1.07×10^5 copy·L⁻¹, while at the bottom layer, the abundance of β -215 proteobacteria *amoA* ranged from 1.91×10^3 to 2.44×10^5 copy L⁻¹ (Fig. 5). The β -proteobacteria *amoA* abundance peaked 216





at the surface layer of station A01 in the upper estuary of Lingdingyang with 1.07×10^5 copy L⁻¹ while the lowest abundance 217 detected at the surface layer of station A12 with 2.03×10^2 copy L⁻¹. The spatial pattern of β -proteobacteria *amoA* at the 218 219 surface layer was more abundant at the upper estuary of Lingdingyang at A01&A05 and F303 at Modaomen, while the abundance decreased seaward at the bottom layer. Overall, the AOA showed higher abundance in the free-living fraction 220 221 while AOB was more abundant in the particle attached fraction (Fig. 5, Table S2). Quantification of amoA from cDNA 222 (template for RNA level from 13 selected stations) showed archaeal *amoA* ranged from 6.03×10^2 to 3.21×10^6 copy·L⁻¹ while β -proteobacteria *amoA* were under detection limit (Table S4). Nitrification rates showed a moderate correlation with 223 the total abundance of β -AOB (r_s= 0.38, P < 0.05) at DNA level. Nitrification rates displayed moderate positive correlation 224 225 with the abundance of AOA ($r_s = 0.38$, P < 0.05) and β -AOB ($r_s = 0.33$, P < 0.05) at particle attached fraction, respectively.

226 3.4 Phylogenetic diversity of AOA

227 Given that the AOA is the dominant ammonia oxidizer throughout the estuary, we further investigate the phylogenetic 228 diversity of AOA at DNA and RNA level in 13 stations covering from the upper estuarine to oceanic environments. In total, 229 191,748 high-quality amoA sequences were retrieved from 76 samples in the 13 station (Table S5) and operational taxonomic units (OTUs) were detected at 95 % DNA similarity after removal of singletons and doubletons. Top OTUs 230 231 (OTUs with mean relative abundance ≥ 0.1 % among samples) were focused in this study. The Maximum likelihood (ML) phylogenetic tree showed that the top 85 OTUs (OTUs with mean relative abundance ≥ 0.1 % among samples) affiliated to 232 233 WCA sublineages and SCM1-like clade according to the reference sequence in Jing et al. 2017 and Cheung et al. 2019 (Cheung et al. 2019; Jing et al. 2017). Half of the top OTUs were affiliated to the two WCA sublineages, WCA I (13 OTUs) 234 and WCA II (32 OTUs). Besides, diverse phylotypes OTUs that affiliated to SCM1-like clade, which showed > 90 % DNA 235 similarity with the amoA sequences of Nitrosopumilis maritimus SCM1, were recovered. These SCM1-like OTUs were 236 237 grouped into four sublineages according to the topology of the ML tree, includes SCM1-like-I (10 OTUs), SCM1-like-II (16 238 OTUS), SCM1-like-III (6 OTUS) and SCM-like-IV clade (8 OTUS) (Fig. 6). The SCM1-like III were phylogenetical close to 239 Nitrosoarchaeum limnia (Fig. 6 and S2)

240 3.5 Differential distribution of AOA sublineages at DNA and RNA level

As revealed by the Non-metric multidimensional scaling (NMDS) analysis, a strong dissimilarity between DNA and RNA communities were observed (Fig. 7). On the other hand, different AOA sublineages showed distinct distributional patterns (Fig. 6 and 8). WCA I was mainly distributed in bottom layers except for the upper reach of Lingdingyang. At the surface layer, the WCA I was generally minor in the AOA community, while it was dominant occasionally in the plume area with median salinity. At RNA level, WCA I showed lower abundance at median salinity at the surface layer and showed increasing relative abundance seaward (Fig. 6 and 8).





The AOA community at DNA level was dominated by WCA II which showed ubiquitous distribution for salinity 0.1-34.5. Exceptionally, WCA II was outnumbered by SCM1-like-III at the surface layer at station F301 near the Modaomen and Huangmaohai which is close to freshwater discharge. At RNA level, WCA II showed similar distributional patterns and relative abundance with WCA I; and contributed an increasing proportion of the active AOA community from the upper estuary to the continental shelf (Fig. 6 and 8).

252 SCM1-like-I mainly distributed at the lower reach of the estuary; and SCM1-like-I and II were outnumbered by other 253 AOA phylotypes at DNA level. Among SCM1-like sublineages, the SCM1-like-III was the most abundant at DNA level. 254 Their distribution was limited to surface water of the Pearl River and freshwater plume (salinity < 14) (Fig. 6 and 8). 255 However, the SCM1-like sublineages were surprisingly dominating the active AOA communities at RNA level. The SCM1-256 like-II dominated the active AOA communities in the Pearl River and its lower reach at the bottom layer, while at the surface 257 layer, the SCM1-like-IV was showed high relative abundance at RNA level (Fig. 8). Besides, the SCM1-like-I was less abundant than SCM1-like-II at RNA level at the bottom layer, and its spatial pattern was similar to SCM1-like-II. In addition, 258 259 SCM1-like-III was the least abundant among the SCM1-like sublineages at RNA level. The distribution of SCM1-like-III at 260 RNA level was still limited to the freshwater regions (Fig. 6) similar to its spatial pattern showed at DNA level.

261 3.6 Correlation between AOA sublineages and environmental factors

To reveal the connections between genetic diversity of AOA sublineages and environmental factors, the correlation between different sublineages and environmental factors were examined using Spearman correlation coefficients. The AOA communities were separated into 4 parts: surface DNA; surface RNA, bottom DNA; bottom RNA level, and analyzed with the corresponding environmental factors. Generally, the relative abundance of AOA sublineages showed a more significant correlation with environmental factors both at DNA and RNA levels at the bottom layer (Fig. 9). Among 9 environmental factors, salinity showed the most significant correlation with AOA sublineage distribution.

268 WCA sublineages showed a strong positive correlation with salinity while SCM1-like sublineages showed a negative correlation with salinity. At RNA level in the bottom layer, SCM1-like-I and IV were positively correlated with nutrient 269 270 concentration and heterotrophic bacteria abundance while negatively correlated with salinity and dissolved oxygen concentration. SCM1-like-III showed a strong negative correlation with salinity at both surface and bottom layers. Nutrient 271 272 concentration showed an opposite pattern comparing with salinity which was regarded as an association of nutrients with freshwater discharge. Ammonium, as the substrate for nitrification, showed no significant correlation with AOA sublineage 273 distribution which may be introduced by the large variance of the ammonia concentration in the dynamic estuarine 274 275 ecosystem.

The Spearman correlation between nitrification rates (NR) and the relative abundance of AOA sublineages was also revealed in Fig. 9. SCM1-like-III showed a positive correlation with nitrification rate at surface water at RNA level, while SCM1-like-I (r_s = 0.81, P < 0.05) and SCM1-like-IV sublineage (r_s = 0.73, P < 0.05) showed a positive correlation with nitrification rates at the bottom layer at RNA level. Besides, WCA I showed a positive correlation with nitrification rates (r_s =





280 0.75, P < 0.05) only at the surface layer at RNA level While WCA II showed a negative correlation (r_s = -0.73, P < 0.05) with 281 nitrification rates at the bottom layer.

282 4 Discussion

283 4.1 Nitrification and its oxygen consumption in the hypoxia zone

We observed wide-spread hypoxia-zone at the lower estuary of Pearl River, extending from Huangmaohai to South of Hong 284 285 Kong which was in favor by both physical and biogeochemical conditions (Fig. 2-F). During the 2017 summer cruise, we observed intensive river discharge inferred from salinity at the surface layer (Fig. 2-A), which is the typical wet season 286 287 pattern of Pearl River estuary (Harrison et al. 2008). The continuous river discharge sustained strong stratification at the 288 lower estuary which blocked the air-sea oxygen exchange to the bottom water. Furthermore, a high concentration of nutrients associated with the freshwater from three sub-estuaries sustained high phytoplankton biomass in the lower reach of 289 290 the estuary (Fig. 2-B). The massive locally generated and riverine organic matter sunk down to the bottom layer and rapidly 291 degraded by bacteria, leading to high oxygen consumption (Harrison et al. 2008; Lu et al. 2018).

292 In the Pearl River estuary, we found nitrification could contribute a large proportion of oxygen consumption in the hypoxia zone in which nitrification potentially accounted for 35.35 % at F101, 14.22 % at F301, 10.27 % at F303, 5.14% at 293 A09 and 81.77 % at F305 and 229.21 % at F701. In the eutrophic Delaware River estuary, nitrification accounted for over 294 295 20 % of the oxygen consumption river downstream (Lipschultz et al. 1986). Intensive nitrification was observed at 296 intermediate salinities which accounted for 20 to over 50 % of oxygen consumption. (Pakulski et al. 1995). It has been 297 reported that nitrification could contribute to one-third of total oxygen consumption in the upper (from Guangzhou to Humen) 298 Pearl River estuary (Dai et al. 2008) which suggested active nitrification could substantially draw down oxygen 299 concentration leading to hypoxia formation. Respiration and nitrification are both important and coupled oxygen-consuming 300 processes. It is well-known that ammonia, the substrate of nitrification, was produced during the organic matter degradation (respiration). Thus, nitrification was not only supported by riverine ammonia but also supported by rapid organic matter 301 degradation in the Pearl River estuary. We observed the high nitrification rate associated with the upper estuary and the 302 303 hypoxia zone (Fig. 3) where the corresponding community respiration rates were high as well in the bottom layer. Comparing with the community respiration, we found that nitrification substantially contributed to total oxygen consumption 304 305 at the bottom layer by 15.30 % (excluding 229.21 % from F701) on average (ranged from 0.01 to 229.21 %). We found one data of NOD exceeding CR from our entire cruise observed at F701. In 2014 in Changjiang estuary, Hsiao et al. also found 306 the potential nitrification oxygen consumption exceeded the total oxygen consumption and speculated the other oxidants (Fe 307 308 and Mn) could potentially oxidized ammonia (Hsiao et al. 2014). Oxygen might be considered as limiting factors to nitrifying activity as oxygen concentration were much lower under hypoxic condition ($< 2mg \cdot L^{-1}$). However, nitrification 309 310 remained active under nanomolar range of oxygen (< 10 nM) (Bristow et al. 2016). During the cruise, the lowest oxygen concentration is $0.54 \text{ mg} \cdot L^{-1}$ (16.88 μ M) that the oxygen concentration was not limiting for nitrification activities (Bristow et 311





al. 2016). Hence, in the Pearl River Estuary, nitrification could substantially draw down oxygen concentration favored by
 physicochemical and biogeochemical conditions, sustaining hypoxia formation at the lower estuary.

314 4.2 Relative distribution of AOA and AOB in Pearl River Estuary

315 Both AOA and AOB present in the estuarine environment, however, their contribution to the nitrification activities remained under exploration. The abundance of the AOA and AOB in the pelagic ocean has been well identified with AOA outnumber 316 AOB by orders of magnitude while in the estuarine environment, the ratio of AOA and AOB were rather variable. Estimated 317 based on quantitative PCR (qPCR) of amoA gene, AOB were relatively more abundant than AOA the in many coastal and 318 319 estuarine sediments (Caffrey et al. 2007; Mosier and Francis 2008; Santoro et al. 2008; Magalhaes et al. 2009; Wankel et al. 320 2011) while AOA were orders of magnitude more abundant than AOB in other estuaries and coastal environment (Caffrey et 321 al. 2007; Moin et al. 2009; Abell et al. 2010; Bernhard et al. 2010; Mosier and Francis 2011). The variance and relative 322 distribution of AOA and AOB as well as the nitrification rates have been shown related to various physicochemical 323 parameters such as salinity, dissolved oxygen, ammonia, pH, etc. in the various estuarine environment (Bernhard and 324 Bollmann 2010; Mosier and Francis 2011). Comparing to the previous estuarine studies based on DNA survey, we conduct a 325 comprehensive quantification of AOA and β -AOB abundance at both DNA and RNA levels as well as the nitrification rates 326 in the Pearl River estuary. In Pearl River estuary, AOA outnumbers AOB throughout the estuarine at DNA level. 327 Furthermore, AOA was detectable in RNA level while AOB was lower than the detection limit which suggested AOA were the active ammonia oxidizers in the Pearl River estuary. Though size-fractionated nitrifiers abundance, the differential 328 329 distribution of these two group ammonia oxidizers were observed. We found AOA mainly distributed in the free-living 330 fraction while AOB associated with the particles near upper estuary which may be explained by higher substrate requirement of AOB than AOA (Martens-Habbena et al. 2009). 331

332 4.3 Unneglectable disagreement of the AOA community at DNA and RNA level

In our study, the positive correlations between nitrification rates and different AOA sublineages suggested the divergence of 333 nitrification activities among the AOA population in the dynamic estuarine ecosystems (Fig. 9). Given that AOA plays a 334 335 central role in the nitrogen cycle, the physiological characteristics of the highly diverse AOA are an essential basis for 336 understanding the nitrogen cycle in the current and future ocean. With the limitation of underrepresented cultures and 337 genomes, numerous AOA related studies in the ocean were based on amplicon sequencing and qPCR targeting archaeal amoA (Beman et al. 2008; Bernhard and Bollmann 2010; Peng et al. 2013; Santoro et al. 2017; Alves et al. 2018). However, 338 it should be noted that almost all these studies were based on DNA samples. In our study, the obvious disagreement between 339 340 the AOA communities at DNA and RNA levels (Fig. 8) indicated that different AOA sublineages may have functional 341 differences. Coincidentally, a similar phenomenon has also been recently reported in the terrestrial ecosystem, in which 342 Nitrososphaera and its sister group were more active than Nitrosotalea in acidic forest soils (Wu et al. 2017). As reported in a previous study in the Pacific Ocean, the amoA abundance of WCA and WCB have no correlation with nitrification rates 343





throughout the water column indicated the active functional group of AOA might be neglected at DNA based study (Smith et al. 2016). In the light of our finding, the abundant AOA sublineages (WCA) can be much less active ammonia oxidizers than the rare sublineages (SCM1-like) (Fig. 7 and 8), which suggested that the DNA-based observations were insufficient to unravel the major ammonia oxidizer in the ocean. Furthermore, given that highly diverse sublineages of WCA and WCB have recently been reported in the oceanic waters (Cheung et al. 2019; Lu et al. 2019), the nitrification activity of different AOA sublineages should be further verified in the future field studies.

350 4.4 AOA sublineages and their potential niche in the estuarine ecosystem

351 The ammonia-oxidizing archaea in the estuarine water were less studied compared to the populations from estuarine 352 sediments, oceanic waters, and soils since the discovery of AOA (Damashek et al. 2016). In the sediment from San Francisco Bay, Mosier and Francis (2008) had proposed a cluster of AOA phylotypes potentially adapted to a low salinity 353 354 environment (Mosier and Francis 2008). However, these phylotypes were then also observed in a salt marsh (Moin et al. 355 2009) which leads to questionable low-salinity adaption assumption (Bernhard and Bollmann 2010). On the other hand, 356 exploration of diversity and biogeography of different AOA were limited by low-coverage clone library method as well as 357 neglected active population at RNA level. Furthermore, in most cases, relatively weak or no correlation between nitrification 358 rates and archaeal amoA gene abundance (Bernhard and Bollmann 2010) indicated the physiological differences of ammonia 359 oxidizers. The above-mentioned scenario indicated that it is necessary to study key and active ammonia oxidizers among the 360 population to understand their contribution in nitrification activities in the field.

361 In our study, we found the differential niche partitioning of AOA sublineages in the dynamic PRE ecosystem in which the 362 AOA community mainly consisted of WCA and SCM1-like sublineages, while WCB were not detected. This pattern was consistent with the previous studies that WCA and SCM1-like mainly distributed in surface water and WCB was limited to 363 364 deep mesopelagic waters (Francis et al. 2005; Beman et al. 2008). In a recent study based on the Tara Oceans dataset, WCA I dominated the surface water AOA communities throughout the global oceans (Cheung et al. 2019). In this study, WCA I 365 was generally minor in the estuary except for the high salinity bottom water that intruded from the South China Sea (Fig. 8), 366 367 which indicated that WCA I prefers the conditions of oceanic waters. As revealed by the genomic and proteomic of its representative culture (Candidatus Nitrosopelagicus brevis CN25), the WCA I have a streamlined genome with high coding 368 369 density and ubiquitously distributed oligotrophic surface ocean (Santoro et al. 2015). In contrast, WCA II was dominant in 370 the AOA communities throughout the studied region at DNA level (Fig. 8), which agree with the previous study that its relative abundance was generally higher in marginal seas (the Gulf of Mexico, the Red Sea, and the Arabian Sea) than in 371 372 oceanic waters (Cheung et al. 2019). The present study showed that WCA II outnumbered WCA I in the estuarine ecosystem, 373 which strongly indicated the niche partition between WCA I (oceanic water preferred) and WCA II (coastal water preferred). Nevertheless, these two WCA sublineages only contributed a small portion of the archaeal amoA gene transcripts and did not 374 375 show a significant correlation with nitrification rate (Fig. 9), which indicated that they were not the major ammonia oxidizers





in the estuarine ecosystem. Hence, the ecological function of these abundant WCA sublineages in the estuarine ecosystemshould be further explored in future studies.

378 Regarding the active population in RNA level, highly diverse SCM1-like OTUs were recovered in this study, which was 379 highly similar to the amoA gene of Nitrosopumilus maritimus SCM-1 (Fig. 6) (Konneke et al. 2005). In particular, the 4 380 SCM1-like sublineages defined in this study displayed distinct distributional patterns: SCM1-like-I and II mainly distributed in the lower reach of the river; SCM1-like-IV was mainly active at the surface layer in the estuary; SCM1-like-III was 381 382 limited to freshwater, implied distinct niche partitioning of the SCM1-like sublineages (Fig. 8). As inferred from RNA 383 communities and correlation analysis result, SCM1-like-I was the major active ammonia oxidizer in the PRE water column. 384 The earlier view presumed that the AOA are chemolithoautotrophs that largely rely on ammonia oxidation for energy 385 acquisition. However, increasing evidence suggested that marine AOA (i.e. N. maritimus strains) can utilize organic nitrogen 386 (i.e. urea and cyanate) as the substrates of nitrification, or utilize organic nutrient (Qin et al. 2014; Kitzinger et al. 2019). 387 Hence, it may explain that the high nitrification activities of the SCM1-like sublineages were facilitated by the enriched and 388 diverse nitrogen sources in estuarine water. Recent culture-based studies found the physiology of N. maritimus was not 389 significantly influenced by salinity changes in the growth medium (Elling et al. 2015, Qian et al. 2015) which indicated 390 SCM1-like can tolerant to wide salinity range. Furthermore, SCM1-like-I showed a positive correlation with heterotrophic 391 bacteria abundance at RNA level together with a high abundance of AOA and heterotrophic bacteria in the hypoxic zone, 392 indicating potential interaction between organic matter degradation and nitrification activities. On the other hand, SCM1-393 like-I and II were the major ammonia oxidizers in the hypoxic waters (Fig. 9), where nitrification contributed significantly to the total oxygen consumption (Fig. 4). Consistently, N. maritimus was actively nitrifying and grew under low oxygen 394 conditions (Qin et al. 2017). 395

396 The spatial distribution of SCM1-like-III as well as the negative correlation with salinity indicated the SCM1-like-III is 397 associated with freshwater discharge. The SCM1-like-III was closely related to the amoA gene fragment of Nitrosoarchaeum 398 limnia which was a low-salinity adapted species (Fig. S2). The functional potential of low-salinity adaptation of N. limnia 399 was further evidenced by genomic information from enrichment culture (estuarine sediment from San Francisco Bay) 400 (Blainey et al. 2011). The genome of N. limnia SFB1 possessed numerous motility- and chemotaxis-associated genes that 401 might facilitate their adaptation to the fluctuating estuarine environment (Blainey et al. 2011). Further genomic and 402 metabolic studies were needed to understand the ecological role of SCM1-like-III in the freshwater discharge. In summary, 403 our study provides the first evidence of the niche partition and different activities of different AOA sublineages in estuarine 404 water, suggesting that more efforts are needed for a comprehensive understanding of the ecological role of AOA in various 405 ecosystems.





406 5 Data availability

The *amoA* gene abundance at DNA level from 23 station along with nitrification rates were listed in Table S2. Nitrification and community respiration and nitrification oxygen demand were listed in Table S3. The *amoA* abundance at RNA (cDNA) level from 13 stations were listed in Table S4. The complete sequencing dataset was available at NCBI under the Bioproject number PRJNA610708. Data will be released once the paper is published. The information of the sequencing samples was listed in Table S5.

412 6 Author Contributions:

HBL conceived the project. YHL performed experiments, analyzed and interpreted the data. YHL and SYC wrote the manuscript. XMX edited the manuscript. LC and SJK provided nitrification rates data. JPG provided physical profiles of the project. MHD provided nutrient and dissolved oxygen profiles of the project. All the authors provided critical feedback and help shape the research, analysis and manuscript.

417 7 Competing interests:

418 The authors declare that they have no conflict of interest.

419 8 Acknowledgments

- 420 This work was supported by the Research Grants Council (Hong Kong RGC) Theme-Based Research Scheme (T21-602/16-
- 421 R), the RGC-NSFC Joint Research Scheme (N_HKUST609/15), and the GRF grants (16128416, 16101318). This study was
- 422 also supported by the Hong Kong Branch of Southern Marine Science & Engineering Guangdong Laboratory.

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601 **10 Figures**



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Figure 1. Sampling and rates measurement location during the Pearl River estuary cruise in 2017 summer (HMHHuangmaohai; MDM-Modaomen; HM-Humen; LDY-Lingdingyang). The sampling location information was
overlaid on Google Maps (© Google Maps) image using "ggmap" with "ggplot2" in R (D. Kahle and H. Wickham,
2013)







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Figure 2. Spatial distribution of (a) salinity, (b) chlorophyll-*a*, (c) nitrate, (d) ammonium at the surface layer, (e) nitrite, and (f) dissolved oxygen concentration at bottom layer during the 2017 summer cruise in Pearl River estuary.

611 These figures were generated using Ocean Data View v. 5.0.0 (http://odv.awi.de).





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Figure 3. (a) Nitrification rates (nmol N·L⁻¹ h⁻¹), (b) nitrification oxygen demand (NOD) (mg O2·L⁻¹·d⁻¹), (c) NOD/CR
ratio (%) at the bottom layer (Data from F305 and F701 are not displayed).







618 Figure 4. Spatial distribution of AOA and β-AOB abundance at the surface and the bottom layer at DNA level.







S – surface layer; B – bottom layer; 3 – 3 μ m fraction; 0.2 – 0.2-3 μ m fraction

620Figure 5. The abundance of AOA and β-AOB at DNA level quantified by qPCR of *amoA* along the salinity gradient of621the A-transect in the Pearl River estuary. Size fractionation is performed with 3 μ m (particle-attached) and 0.2 μ m

622 (free-living), and the hypoxic stations (bottom $DO < 2 \text{ mg} \cdot L^{-1}$) are labelled in red color.





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Figure 6. Maximum likelihood phylogenetic tree of top 85 OTUs based on *amoA* gene sequences using T92+G+I model with 1000 bootstrap. The associated heat map is generated based on the relative abundance of top OTUs. Samples are listed from left to right along the ascending salinity gradient.





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Figure 8. Free-living and particle-attached AOA community composition and distribution in the Pearl River estuary. The size of the pie charts represents the archaeal *amoA* gene abundance quantified by qPCR. For a clear display of the AOA community composition, the minimum size of the pie charts is set as 100 copies·L⁻¹. The charts were overlaid on Google Maps (© Google Maps) images using "ggmap" with "ggplot2" in R (D. Kahle and H. Wickham, 2013)





Samples	AOA sublineage	Salinity	NR	DO	NH₄⁺	NO ₃ -	Tem	NO ₂ ⁻	Chl-a	НВ	
	WCAI										
	WCA II										1
Surafco DNA	SCM1-like-l										
Surance_DNA	SCM1-like-ll										
	SCM1-like-III										
	SCM1-like-IV										
	WCA I										
	WCA II										
Surafce RNA	SCM1-like-l										
ouraide_NNA	SCM1-like-ll										
	SCM1-like-III										0
	SCM1-like-IV										Ū
	WCA I										
	WCA II										
Bottom DNA	SCM1-like-l										
Bottom_BNA	SCM1-like-ll										
	SCM1-like-III										
	SCM1-like-IV										
	WCA I										
	WCA II										
Bottom RNA	SCM1-like-l										-1
Bottom_INNA	SCM1-like-ll										
	SCM1-like-III										
	SCM1-like-IV										

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Figure 9. Spearman correlation between AOA sublineages (relative abundance at DNA and RNA levels) and environmental factors in the surface and bottom layers of the water column in the Pearl River estuary during

641 summer 2017. Only the significant correlations (P<0.05) are displayed (NR-nitrification rates; DO-dissolved oxygen;

642 Tem-Temperature; HB-heterotrophic bacteria abundance).