#### **Response to Editorial Review**

#### Dear editor:

Thank you very much for handling our manuscript. We really appreciate the insightful comments and suggestions from you and the reviewer. Below, we address the comments point-by-point, and the comments are italicized and our response follow in blue.

#### Major points

Comment 1: As has been raised before, I think some discussion is necessary as to whether it is not to be expected that there is such a correlation as U and R are derived from NEP.

Response: Thanks for this suggestion. As shown in Figure 2, this method was applied on the atmospheric inversion product. However, some ecosystems seem to defy such correlation. Therefore, we think the robustness in relationship between annual NEP and U/R depend on the stability of carbon uptake sensitivity for an ecosystem. We have added discussions as (Lines 297-303): "In this study, the atmospheric inversion product

shows low correlation between NEP and  $\ln\left(\frac{U}{R}\right)$  in some boreal ecosystems, which

might due to that the atmospheric inversion product is failed to capture the carbon uptake sensitivity in these boreal ecosystems or these boreal ecosystems are experiencing serious disturbances. Therefore, the robustness in relationship between annual NEP and  $\ln\left(\frac{u}{R}\right)$  depends on the temporal stability of carbon uptake sensitivity

for an ecosystem. In addition, the spatial variation in  $\beta$  reveals the differences of carbon uptake sensitivity across ecosystems".

Comment 2: Please provide a derivation of Eq 4. This does not logically follow from Eq. 1

Response: Thanks for this suggestion. To avoid logic misleading, we have deleted Eq.1. In addition, we have added some sentences to illustrate the motivation of testing the relationship between annual NEP and the ratio U/R (Lines 159-167): "Many studies have reported that the vegetation net CO<sub>2</sub> uptake during the growing season and the non-growing season soil net CO<sub>2</sub> release are tightly correlated (Luo et al., 2014; Zhao et al., 2016). Therefore, we further tested the relationship between annual NEP and  $\frac{U}{R}$  (i.e.,  $NEP \propto \frac{U}{R}$ ), which reflects the seasonal carbon uptake-release ratio. Consequently, NEP in any given ecosystem can be expressed as (Fig. S2):

$$NEP = \beta \cdot \ln\left(\frac{U}{R}\right) \tag{3}$$

where the parameter  $\beta$  represents the slope of the linear relationship of  $NEP \propto \ln\left(\frac{U}{R}\right)$ , indicating the site-level carbon uptake sensitivity".

Minor points

L41 rephrase to "large-scale estimates from an atmospheric inversion product"

Response: Thanks, done as suggested.

L44: linearily related to ln (X) is equivalent to logarithmically related to X?

Response: Thanks, and we have rephrased this sentence as "NEP could be logarithmically indicated by U/R".

L45: beta has not been defined (the slope of what?). Explain "well indicated"

Response: Thanks, and we have rephrased this sentence as "while the spatial distribution of IAV<sub>NEP</sub> was associated with the slope (i.e.,  $\beta$ ) of the logarithmic correlation between annual NEP and U/R".

L49: gridded products of what?

Response: Thanks, and we have revised it as "gridded NEP products".

*L77: unclear what "the compiled" refers to here* 

Response: Thanks, and we have revised it as "the global flux tower-based product".

L82: Isn't this a contradiction? If they are strongly correlated in space, then how would they determine the spatial variation in NEP?

Response: We have rephrased this sentence as (Lines 72-75): "The NEP in terrestrial ecosystems is determined by two components, including vegetation photosynthesis and ecosystem respiration (Reichstein et al., 2005), and their relative difference could determine the spatial variation of NEP (Baldocchi et al., 2015; Biederman et al., 2016)".

L107: here and in the following: the total NET uptake. Also this isn't entirely correct because as you note later also the strength of the source/sink within each period can vary.

Response: Thanks for this suggestion. We have rephrased the description as "the total net  $CO_2$  uptake flux (U)" and "the total net  $CO_2$  release flux (R)" in the whole paper.

L110: I don't understand the use of the word "innovatively attributed" here. Please clarify

Response: We have rephrased it as "The variations of NEP thus could be attributed to these decomposed components".

L146: Add "to infer the net CO2 exchanges between land, ocean and atmosphere at large scales

Response: Done as suggested.

*L165ff:* Is this correct? Are the effects of land cover changes not implicitly included by the use of satelite derived fAPAR?

Response: Thanks. We have revised the description of FLUXCOM product as (Lines 140-144): "It should be noted that the inter-annual variability of FLUXCOM product is driven by meteorological measurements and satellite data, which partially includes information on vegetation state and other land surface properties".

L168: Please provide correct link to the data portal

Response: Thanks. We have revised the link of FLUXCOM product.

L190: A quantitative definition of CUP and CRP is missing here.

Response: Thanks. We have added the definition of CUP and CRP as "where *CUP* (d yr<sup>-1</sup>) is the length of CO<sub>2</sub> uptake period and *CRP* (d yr<sup>-1</sup>) is the length of CO<sub>2</sub> release period".

L254: Verified is the wrong word here

Response: We have revised it as "confirmed".

L272: use "across-site variation" instead to spatial change?

Response: Done as suggested.

*L283: The use of "mostly" is inappropriate here, because CUP/CRP explains less than 60% of the variance.* 

Response: We have rephrased it as "Therefore, the spatial distribution of mean annual NEP was more strongly driven by the phenological changes".

L317: But what if most of this crop IAV is related to changes in the local crop from year to year and are therefore not representative of regional scale cropland IAV?

Response: Sorry for the confusion. We have rephrased this sentence as (Lines 247-249): "The highest  $\beta$  implies that the land covered by cropland with the largest IAV<sub>NEP</sub>. Therefore, the reported rapid global expansion of cropland may enlarge the fluctuations in Land-atmosphere CO<sub>2</sub> exchange".

L325/L326: These statements need to be adjusted to reflect that the difference in explanatory power is "only" 58 to 42%.

Response: Thanks for this suggestion.

First, we have rephrased the subtitle as "Joint control of plant phenology and physiology on mean annual NEP".

Second, we have revised these sentences to emphasize the equal importance of plant phenology and physiology in driving the spatial difference of mean annual NEP as (Lines 255-257): "Here we demonstrated that the spatial difference of mean annual NEP

was determined by both the phenology indicator  $\frac{CUP}{CRP}$  (58%) and the physiological

indicator  $\frac{\overline{U}}{\overline{R}}$  (42%). In addition, the lower contribution of the physiological indicator could partly be attributed to the convergence of  $\frac{\overline{U}}{\overline{R}}$  across FLUXNET sites (Fig. S4)".

#### **Response to comments from reviewer #1**

Comment 1: The manuscript proposed to study the relationship between ln(U/R) and NEP. Since we know that NEP = U - R and ln(U/R) = ln(U) - ln(R), therefore it is expected to see a strong r2 between ln(U/R) and NEP (Figure 1-3). I am more curious about why some ecosystems (i.e. boreal ecosystems in Figure 2) seems to defy such correlation, and why the slope of this correlation (i.e. beta) changes spatially? Further discussions on these would be appreciated.

Response: Thanks for this valuable suggestion.

For any year of each site, the indicator  $\beta$  was equivalent to the quotient between annual NEP and ln(U/R). Generally, the indicator  $\beta$  was convergent within-site and represents the site-level carbon uptake sensitivity. However, the indicator  $\beta$  would shift when an ecosystem experiences the serious disturbance, such as extreme heat waves and drought (Figure R1).

Therefore, the atmospheric inversion product presents low correlation between NEP and  $\ln(U/R)$  in some ecosystems because of the following two reasons: 1) the atmospheric inversion product was failed to capture the carbon uptake sensitivity in these boreal ecosystems; 2) these boreal ecosystems were experiencing serious disturbance that affect their carbon sink stability. In addition, the spatial variation in  $\beta$  reveals the differences of carbon uptake sensitivity across ecosystems.

We have added these discussions in the revised manuscript (Lines 296-303): "Thus, a sudden shift of the  $\beta$ -value may be an important early-warning signal for the critical transition of carbon uptake sensitivity of an ecosystem. In this study, the atmospheric inversion product shows low correlation between NEP and ln(U/R) in some boreal ecosystems, which might due to that the atmospheric inversion product is failed to capture the carbon uptake sensitivity in these boreal ecosystems or these boreal ecosystems are experiencing serious disturbances. In addition, the spatial variation in  $\beta$  reveals the differences of carbon uptake sensitivity across ecosystems".



Figure R1. (a) The relationship between annual NEP and ln(U/R) at a specific site. (b, c) Shift of indicator β in some specific sites along soil moisture and temperature.

Comment 2: For latter, the variation in beta is suggested to be related to IAV\_NEP, which is regarded as an indicator of the carbon sink stability. Wouldn't IAV\_NEP normalized by mean NEP make more sense here? I would be curious to see if there is a relationship between normalized IAV\_NEP and beta, as sites with larger NEP seem more likely to have larger beta.

Response: Thanks for this suggestion.

First, the site-level mean annual NEP includes both negative and positive values, and therefore the IAV\_NEP was quantified as the standard deviation of annual NEP rather than the normalized value. This approach have been widely used in the previous studies (Baldocchi et al., 2018; Marcolla et al., 2017).

Second, as suggested by the reviewer, we have tested the relationship between mean annual NEP and  $\beta$ , and found low correlation between mean annual NEP and  $\beta$  (Figure R2).

Third, the IAV\_NEP in this study represents both the intensity and amplitude of variation in terrestrial carbon sink. Therefore, we prefer to use standard deviation of annual NEP to represent its inter-annual variation.



Figure R2. The relationship between mean annual NEP and the indicator  $\beta$ .

Marcolla, B., Rödenbeck, C., & Cescatti, A. (2017). Patterns and controls of inter-annual variability in the terrestrial carbon budget. Biogeosciences, 14(16), 3815-3829.

Baldocchi, D., Chu, H., & Reichstein, M. (2018). Inter-annual variability of net and gross ecosystem carbon fluxes: A review. Agricultural and Forest Meteorology, 249, 520-533.

Comment 3: Figure 3a presents the correlation between annual mean NEP and ln(U/R) across sites. I think there is a need to clarify the calculation of ln(U/R) here as this indicator changes year-to-year for each site (did you use the mean ln(U/R) of each site?).

Response: Yes, Figure 3a shows the spatial correlation between annual mean NEP and mean  $\ln(U/R)$  of each site. We have added this information in Lines 204-205 as "Across the 72 flux-tower sites, the across-site variation in mean annual NEP were significantly

correlated to mean annual  $\ln(\frac{U}{R})$  of each site ( $R^2 = 0.65$ , P < 0.01) (Fig. 3a)".

Comment 4: It would be helpful to give more details on Equation (6). I could not understand how to decompose ln(U/R) into the two components from what is presented here, but the method seems critical to Figure 4. Is equation (6) a multivariate linear function?

Response: Thanks for this suggestion.

First, we have revised the expression of equation (6) as (Lines 161-167): "We further quantified the relative contributions of  $\frac{\overline{U}}{\overline{R}}$  and  $\frac{CUP}{CRP}$  in driving the spatial variations in NEP:

$$NEP = \beta \cdot \left[ \ln \left( \frac{\overline{U}}{\overline{R}} \right) + \ln \left( \frac{CUP}{CRP} \right) \right]$$
(5)

For a specific ecosystem, the parameter  $\beta$  was constant. Then, we used a relative importance analysis method to quantify the relative contributions of these two ratios to

the spatial variations in NEP".

Second, the reviewer was right that we quantified the contributions of explanatory variables with a multiple linear regression model. The method was illustrated in Lines 186-189.

# 1 Research article

- 2 Title
- 3 Spatial variations in terrestrial net ecosystem productivity and its local indicators

# 4 Running title

5 Spatial variability in terrestrial NEP

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# 29 Key words

- 30 Net ecosystem productivity, spatial variation, <u>net CO<sub>2</sub></u> uptake and release, local indicators,
- 31 model

#### 32 Abstract

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Multiple lines of evidence have demonstrated the persistence of global land carbon (C) sink 33 during the past several decades. However, both annual net ecosystem productivity (NEP) and 34 its inter-annual variation (IAV<sub>NEP</sub>) keep varying over space. Thus, identifying local indicators 35 for the spatially varying NEP and IAV<sub>NEP</sub> is critical for locating the major and sustainable C 36 sinks on the land. Here, based on daily NEP observations from FLUXNET sites and large-scale 37 estimates from anthe atmospheric inversion product, we found a robust logarithmic correlation 38 between annual NEP and seasonal carbon uptake-release ratioratio of total CO<sub>2</sub> exchanges 39 during net uptake (U) and release (R) periods (i.e., U/R). The cross-site variation of mean annual 40 NEP could be logarithmically linearly indicated by  $\frac{\ln(U/R)}{\ln(U/R)}$ , while the spatial distribution of 41 IAV<sub>NEP</sub> was well indicated by associated with the slope (i.e.,  $\beta$ ) of the demonstrated logarithmic 42 43 correlation between annual NEP and U/R. Among biomes, for example, forests and croplands had the largest U/R ratio (1.06 ± 0.83) and  $\beta$  (473 ± 112 g C m<sup>-2</sup> yr<sup>-1</sup>), indicating the highest NEP 44 and IAV<sub>NEP</sub> in forests and croplands, respectively. We further showed that these two simple 45 indicators could directly infer the spatial variations in of NEP and IAV<sub>NEP</sub> in global gridded NEP 46 47 products. Overall, this study provides two simple local indicators for the intricate spatial variations in the strength and stability of land C sinks. These indicators could be helpful for 48 locating the persistent terrestrial C sinks and provides valuable constraints for improving the 49 simulation of land-atmospheric C exchanges. 50

#### 52 1. Introduction

Terrestrial ecosystems reabsorb about one-quarter of anthropogenic CO<sub>2</sub> emission (Ciais et 53 al., 2019) and are primarily responsible for the recent temporal fluctuations of the measured 54 atmospheric CO<sub>2</sub> growth rate (Randerson, 2013; Le Quéré et al., 2018). In addition, evidence 55 based on eddy-flux measurements (Baldocchi et al., 2018; Rödenbeck et al., 2018), aircraft 56 atmospheric budgets (Peylin et al., 2013), and process-based model simulations (Poulter et al., 57 58 2014; Ahlstrom et al., 2015) has shown a large spatial variability in net ecosystem productivity (NEP) on the land. The elusive variation of terrestrial NEP over space refers to both of the 59 substantial varying mean annual NEP and the divergent inter-annual variability (IAV) in NEP 60 (i.e., IAV<sub>NEP</sub>; usually quantified as the standard deviation of annual NEP) across space 61 (Baldocchi et al., 2018; Marcolla et al., 2017). The mean annual NEP is related to the strength 62 of carbon exchange of a specific ecosystem (Randerson et al., 2002; Luo and Weng, 2011; Jung 63 et al., 2017), while IAV<sub>NEP</sub> characterizes the stability of such carbon exchange (Musavi et al., 64 2017). Thus, whether and how NEP and IAV<sub>NEP</sub> change over the space is important for 65 predicting the future locations of carbon sinks on the land (Yu et al., 2014; Niu et al., 2017). 66

Large spatial difference in terrestrial NEP has been reported from eddy-flux measurements, 67 model outputs and atmospheric inversion products. In addition, the global average IAV of NEP 68 was large relative to global annual mean NEP (Baldocchi et al., 2018). More importantly, the 69 70 spatial variations of NEP and IAV<sub>NEP</sub> were typically underestimated by the global flux towerbased product<del>compiled global product</del> and the process-based global models (Jung et al., 2020; 71 72 Fu et al., 2019). These discrepancies further revealed the necessary to identify local indicators for the spatially varying NEP and IAV<sub>NEP</sub>, separately. The NEP in terrestrial ecosystems is 73 determined by two components, including vegetation photosynthesis and ecosystem respiration 74 (Reichstein et al., 2005).), and their relative difference Because photosynthesis and respiration 75 are strongly correlated over space (Baldocchi et al., 2015; Biederman et al., 2016), their relative 76 difference could determine the spatial variation of NEP (Baldocchi et al., 2015; Biederman et 77 al., 2016). Many previous analyses have attributed the IAV<sub>NEP</sub> at the site level to the different 78 sensitivities of ecosystem photosynthesis and respiration to environmental drivers (Gilmanov et 79 al., 2005; Reichstein et al., 2005) and biotic controls (Besnard et al., 2018; Musavi et al., 2017). 80

For example, some studies have reported that IAV<sub>NEP</sub> is more associated with variations in 81 photosynthesis than carbon release (Ahlstrom et al., 2015; Novick et al., 2015; Li et al., 2017), 82 whereas others have indicated that respiration is more sensitive to anomalous climate variability 83 (Valentini et al., 2000; von Buttlar et al., 2017). However, despite the previous efforts in a 84 predictive understanding of the land-atmospheric C exchanges, the multi-model spread has not 85 reduced over time (Arora et al., 2019). Therefore, it is imperative to explore the potential 86 indicators for the spatially varying NEP, which could help attribute the spatial variation of NEP 87 and IAV<sub>NEP</sub> into different processes and provide valuable constraints for the global C cycle. 88 Alternatively, the annual NEP of a given ecosystem can be also directly decomposed into net 89 CO<sub>2</sub> uptake flux and CO<sub>2</sub> release flux (Gray et al., 2014), which are more direct components for 90 NEP (Fu et al., 2019). Many studies have reported that the vegetation CO<sub>2</sub> uptake during the 91 growing season and the non-growing season soil respiration are tightly correlated (Luo et al., 92 2014; Zhao et al., 2016). It is still unclear how the ecosystem <u>net CO<sub>2</sub></u> uptake and release fluxes 93 would control the spatially varying NEP. 94

Conceptually, the total net  $CO_2$  uptake flux (U) is determined by the length of  $CO_2$  uptake 95 period (CUP) and the CO<sub>2</sub> uptake rate, while the total <u>net</u> CO<sub>2</sub> release flux (R) depends on the 96 length of CO<sub>2</sub> release period (CRP) and the CO<sub>2</sub> release rate (Fig. 1b). The variations of NEP 97 98 thus should could be innovatively attributed to these decomposed components. A strong spatial 99 correlation between mean annual NEP and length of CO<sub>2</sub> uptake period has been reported in evergreen needle- and broad-leaved forests (Churkina et al., 2005; Richardson et al., 2013; 100 Keenan et al., 2014), whereas atmospheric inversion data and vegetation photosynthesis model 101 indicated a dominant role of the maximal carbon uptake rate (Fu et al., 2017; Zhou et al., 2017). 102 However, the relative importance of these phenological and physiological indicators for the 103 spatially varying NEP remains unclear. 104

In this study, we decomposed annual NEP into U and R, and explored the local indicators for spatially varying NEP. Based on the eddy-covariance fluxes from FLUXNET2015 Dataset (Pastorello et al., 2017) and the atmospheric inversion product (Rödenbeck et al., 2018), we examined the relationship between NEP and its direct components. In addition, we used the observations to evaluate the spatial variations of NEP and IAV<sub>NEP</sub> in the FLUXCOM product and a process-based model (CLM4.5) (Oleson et al., 2013). The major aim of this study is to
 explore whether there are useful local indicators for the spatially varying NEP and IAV<sub>NEP</sub> in
 terrestrial ecosystems.

## 113 **2. Materials and Methods**

#### 114 **2.1 Datasets**

Daily NEP observations of eddy covariance sites are obtained from the FLUXNET2015 Tier 1 115 dataset (http://fluxnet.fluxdata.org/data/fluxnet2015-dataset/). The FLUXNET2015 dataset 116 provides half-hourly data of carbon, water and energy fluxes at over 210 sites that are 117 standardized and gap-filled (Pastorello et al., 2017). However, time series of most sites are still 118 too short for the analysis of inter-annual variation in NEP. So only the sites that provided the 119 availability of eddy covariance flux measurements for at least 5 years are selected. This leads to 120 a global dataset of 72 sites with different biomes across different climatic regions. Based on the 121 biome classification from the International Geosphere-Biosphere Programme (IGBP) provided 122 for the FLUXNET2015 sites, the selected sites include 35 forests (FOR), 15 grasslands (GRA), 123 11 croplands (CRO), 4 wetlands (WET), 2 shrublands (SHR) and 5 savannas (SAV) (Fig. S1 124 125 and Table S1).

The Jena CarboScope Inversion product compiles from high precision measurements of atmospheric CO<sub>2</sub> concentration with simulated atmospheric transport <u>to infer the net CO<sub>2</sub></u> exchanges between land, ocean and atmosphere at large scales (Rödenbeck et al., 2018). Here, we used the daily land-atmosphere CO<sub>2</sub> fluxes from the s85\_v4.1 version at a spatial resolution of  $5^{\circ} \times 3.75^{\circ}$ . Considering the relatively low spatial resolution of the Jena Inversion product, the daily fluxes were only used to calculate the local indicators for the spatially varying NEP at the global scale.

Daily NEP simulations from Community Land Model version 4.5 (CLM4.5) were also used to calculate the local indicators for the spatially varying NEP at the corresponding flux tower sites. We ran the CLM4.5 model from 1985 to 2010 at a spatial resolution of 1° with CRUNECP meteorological forcing. Here, NEP was derived as the difference between GPP and TER, and TER was calculated as the sum of simulated autotrophic and heterotrophic respiration. The daily outputs from CLM4.5 were used to calculate the local indicators for the spatially varying NEPboth at the global scale and at the FLUXNET site level.

The FLUXCOM product presents an upscaling of carbon flux estimates from 224 flux 140 tower sites based on multiple machine learning algorithms and meteorological drivers (Jung et 141 142 al., 2017). To be consistent with the meteorological forcing of Jena Inversion product and the CLM4.5 model, we used the FLUXCOM CRUNCEPv6 products. In addition, in order to reduce 143 the uncertainty caused by machine-learning methods, we averaged all the FLUXCOM 144 CRUNCEPv6 products with different machine-learning methods. It should be noted that the 145 146 inter-annual variability of FLUXCOM product is only driven by climatic conditions meteorological measurements and satellite data, which partially includes information 147 on vegetation state and other land surface properties the effects of land use and land cover change 148 are not represented. The FLUXCOM NEP product is downloaded from the Data Portal of the 149 150 Max Planck Institute for Biochemistry (https://www.bgcjena.mpg.de/geodb/projects/Home.phphttps://www.bgc-jena.mpg.de). Daily outputs from 151 FLUXCOM for the period 1985-2010 at 0.5° spatial resolution were used to calculate the local 152 indicators for the spatially varying NEP both at the global scale and at the FLUXNET site level. 153

### **2.2 Decomposition of NEP and the calculations for its local indicators**

The annual NEP of a given ecosystem can be defined numerically as the difference between the
 <u>net CO<sub>2</sub> uptake and release (Figure 2b). These. As illustrated in Figure 2b:</u>

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#### $NEP = U - R \tag{1}$

These components of NEP contain both photosynthesis and respiration flux, which directly indicate the net  $CO_2$  exchange of an ecosystem. The total <u>net  $CO_2$  uptake flux (U) and the total</u> net  $CO_2$  release flux (*R*) can be further decomposed as:

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$$U = \overline{U} \times CUP$$

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(21)

163  $R = \overline{R} \times CRP$ 

(<u>32</u>)

where <u>CUP</u> (d yr<sup>-1</sup>) is the length of CO<sub>2</sub> uptake period and <u>CRP</u> (d yr<sup>-1</sup>) is the length of CO<sub>2</sub> 165 <u>release period</u>; the  $\overline{U}$  (g C m<sup>-2</sup> d<sup>-1</sup>) is the mean daily <u>net</u> CO<sub>2</sub> uptake over CUP (d yr<sup>-1</sup>) and  $\overline{R}$ 166 (g C m<sup>-2</sup> d<sup>-1</sup>) represents the mean daily <u>net CO<sub>2</sub> release over CRP-(d-yr<sup>-1</sup>)</u>. <u>Many studies have</u> 167 reported that the vegetation net CO2 uptake during the growing season and the non-growing 168 season soil net CO2 release are tightly correlated (Luo et al., 2014; Zhao et al., 2016). In 169 addition<u>Therefore</u>, we further tested the relationship between annual NEP and the ratio of  $\frac{U}{R}$ 170 (i.e.,  $NEP \propto \frac{U}{R}$ ), which reflects - Ecologically, the ratio of  $\frac{U}{R}$  reflects the seasonal carbon 171 uptake-release ratiorelative strength of the ecosystem CO2 uptake. Therefore Consequently, NEP 172 in any year of any given ecosystem can be expressed as (Fig. S2): 173

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$$NEP = \beta \cdot \ln\left(\frac{U}{R}\right) \tag{43}$$

175 where the parameter  $\beta$  represents the slope of the linear relationship of  $NEP \propto \ln\left(\frac{U}{R}\right)$ , 176 indicating the site-level carbon uptake sensitivity. Based on the definitions of U and R, the ratio 177  $\frac{U}{R}$  can be further written as:

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$$\frac{U}{R} = \frac{\overline{U}}{\overline{R}} \cdot \frac{CUP}{CRP}$$
(54)

Ecologically, the ratio of  $\frac{\overline{U}}{\overline{R}}$  reflects the relative physiological difference between 179 ecosystem CO<sub>2</sub> uptake and release strength, while the ratio of  $\frac{CUP}{CRP}$  is an indicator of net 180 ecosystem CO<sub>2</sub> exchange phenology. Environmental changes may regulate these ecological 181 processes and ultimately affect the ecosystem NEP. The slope  $\beta$  indicates the response sensitivity 182 of NEP to the changes in phenology and physiological processes. All of  $\beta$ ,  $\frac{CUP}{CRP}$  and  $\frac{\overline{U}}{\overline{R}}$  were 183 then calculated from the selected eddy covariance sites and the corresponding pixels of these 184 sites in models. These derived indicators from eddy covariance sites were then used to 185 benchmark the results extracted from the same locations in models. 186

187 **2.4 Calculation of the relative contributions** 

We further quantified the relative contributions of  $\frac{\overline{U}}{\overline{R}}$  and  $\frac{CUP}{CRP}$  in driving the spatial variations in NEP:

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$$NEP = \beta \cdot \left[ \ln \left( \frac{\overline{U}}{\overline{R}} \right) + \ln \left( \frac{CUP}{CRP} \right) \right] \frac{\int \left( \frac{\overline{U}}{\overline{R}}, \frac{CUP}{CRP} \right)}{\frac{1}{2} \left( \frac{\overline{U}}{\overline{R}}, \frac{CUP}{CRP} \right)} \qquad \qquad - - \frac{1}{2} \left( \frac{1}{2} \left( \frac{\overline{U}}{\overline{R}}, \frac{\overline{U}}{2} \right) \right) \left( \frac{\overline{U}}{\overline{R}}, \frac{\overline{U}}{2} \right) \left( \frac{\overline{U}}{\overline{R}}, \frac{\overline{U}}{\overline{R}}, \frac{\overline{U}}{2} \right) \left( \frac{\overline{U}}{\overline{R}}, \frac{\overline{U}}{2} \right) \left( \frac{\overline{U}}{\overline{R}}, \frac{\overline{U}}{\overline{R}}, \frac{\overline{U}}{\overline{R}}, \frac{\overline{U}}{\overline{R}} \right) \right) \left( \frac{\overline{U}}{\overline{R}}, \frac{\overline{U}}{\overline{R}}, \frac{\overline{U}}{\overline{R}} \right) \left( \frac{\overline{U}}{\overline{R}}, \frac{\overline{U}}{\overline{R}}, \frac{\overline{U}}{\overline{R}} \right) \right) \left( \frac{\overline{U}}{\overline{R}}, \frac{\overline{U}}{\overline{R}}, \frac{\overline{U}}{\overline{R}} \right) \left( \frac{\overline{U}}{\overline{R}}, \frac{\overline{U}}{\overline{R}} \right) \right) \left( \frac{\overline{U}}{\overline{R}}, \frac{\overline{U}}{\overline{R}} \right) \right) \left( \frac{\overline{U}}{\overline{R}}, \frac{\overline{U}}{\overline{R}}, \frac{\overline{U}}{\overline{R}} \right) \right) \left( \frac{\overline{U}}{\overline{R}},$$

191 (<del>6</del>5)

For a specific ecosystem, the parameter  $\beta$  was constant. Then, We we used a relative 192 importance analysis method to quantify the relative contributions of each these two ratios to the 193 spatial variations in NEP. The algorithm was performed with the "ralaimpo" package in R (R 194 Development Core Team, 2011). The "relaimpo" package is based on variance decomposition 195 for multiple linear regression models. We chose the most commonly used method named 196 "Lindeman-Merenda-Gold (LMG)" (Grömping, 2007) from the methods provided by the 197 "ralaimpo" package. This method allows us to quantify the contributions of explanatory 198 variables in a multiple linear regression model. Across the 72 FLUXNET sites, we quantified 199 the relative importance of  $\frac{\overline{U}}{\overline{R}}$  and  $\frac{CUP}{CRP}$  to cross-site changes in NEP. 200

#### 201 **3. Results**

#### **3.1** The relationship between NEP and its direct components

To find local indicators for the spatially varying NEP in terrestrial ecosystems, we tested the relationship between NEP and its direct components (*U* and *R*) across the 72 flux-tower sites. The results showed that annual NEP was closely related with the ratio of  $\frac{U}{R}$  (Fig. S2). The logarithmic correlations between annual NEP and  $\frac{U}{R}$  were significant at all sites (Fig. 1a), and ~90% of  $R^2$  falling within a range from 0.7 to 1 (Fig. 1c).

In addition, the relationship between NEP and  $\frac{U}{R}$  was also verified confirmed by the atmospheric inversion product (i.e., Jena CarboScope Inversion). The control of  $\frac{U}{R}$  on annual NEP was robust in most global grid cells (i.e.  $0.6 < R^2 < 1$ ). The explanation of  $\frac{U}{R}$  was higher in 80% of the regions, but lower in North American (Fig. 2). These two datasets both showed that the indicator  $\frac{U}{R}$  could successfully capture the variability in annual NEP.

#### 213 **3.2 Local indicators for spatially varying NEP**

Across the 72 flux-tower sites, the across-site variationspatial changes in mean annual NEP were 214 significantly correlated to mean annual  $\ln \left(\frac{U}{R}\right)$  of each site  $(R^2 = 0.65, P < 0.01)$  (Fig. 3a). This 215 finding suggested that the mean annual ratio  $\ln \left(\frac{U}{R}\right)$  is a good indicator for cross-site variation 216 in NEP. By contrast, the spatial variation of IAV<sub>NEP</sub> was moderately explained by the slope (i.e., 217  $\beta$ ) of the temporal correlation between NEP and  $\ln(\frac{U}{R})$  at each site ( $R^2 = 0.39$ , P < 0.01; Fig. 218 3b) rather than  $\ln\left(\frac{U}{R}\right)$  (Fig. S3). The wide range of ratio  $\beta$  reveals a large divergence of NEP 219 sensitivity across biomes, ranging from  $121 \pm 118$  g C m<sup>-2</sup> yr<sup>-1</sup> in shrubland to  $473 \pm 112$  g C m<sup>-2</sup> 220  $^{2}$  yr<sup>-1</sup> in cropland. 221

The decomposition of indicator  $\frac{U}{R}$  into  $\frac{\overline{U}}{\overline{R}}$  and  $\frac{CUP}{CRP}$  allowed us to quantify the relative importance of these two ratios in driving NEP variability. The linear regression and relative importance analysis showed a more important role of  $\frac{CUP}{CRP}$  (58%) than  $\frac{\overline{U}}{\overline{R}}$  (42%) in explaining the cross-site variation of NEP (Fig. 4). Therefore, the spatial distribution of mean annual NEP was <u>more stronglymostly</u> driven by the phenological rather than physiological changes.

#### 227 **3.3 Simulated spatial variations in NEP by models**

We further used these two simple indicators (i.e.,  $\frac{U}{R}$  and  $\beta$ ) to evaluate the simulated spatial variations of NEP by the <u>global flux tower-based-compiled global</u> product (i.e., FLUXCOM) and a widely-used process-based model at the FLUXNET site level (i.e., CLM4.5). We found that the low spatial variation of mean annual NEP in FLUXCOM and CLM4.5 could be inferred from their more converging  $\ln(\frac{U}{R})$  than flux-tower measurements (Fig. 5). The underestimated variation of IAV<sub>NEP</sub> in these modeling results was also clearly shown by the smaller  $\beta$  values (268.22, 126.00 and 145.08 for FLUXNET, FLUXCOM and CLM4.5, respectively) (Fig. 5b).

In addition, the spatial variations of NEP and  $IAV_{NEP}$  were associated with the spatial resolution of the product (Marcolla et al., 2017). Considering the scale mismatch between FLUXNET sites and the gridded product, we run the same analysis at the global scale based on Jena Inversion product. At the global scale, the spatial variation of mean annual NEP can be also well indicated by  $\ln\left(\frac{U}{R}\right)$  (Fig. 6). The larger <u>net</u> C uptake in FLUXCOM resulted from its higher simulations for  $\ln\left(\frac{U}{R}\right)$ . Furthermore, the larger spatial variation of IAV<sub>NEP</sub> in CLM4.5 could be inferred from the indicator  $\beta$ .

242 **4. Discussion** 

#### **4.1** New perspective for locating the major and sustainable land C sinks

Large spatial differences of mean annual NEP and IAV<sub>NEP</sub> have been well-documented in previous studies (Jung et al., 2017; Marcolla et al., 2017; Fu et al., 2019). Here we provide a new perspective for quantifying the spatially varying NEP by tracing annual NEP into several local indicators. Therefore, these traceable indicators could provide useful constraints for predicting annual NEP, especially in areas without eddy-covariance towers.

Typically, the C sink capacity and its stability of a specific ecosystem are characterized 249 separately (Keenan et al., 2014; Ahlstrom et al., 2015; Jung et al., 2017). Here we integrated 250 NEP into two simple indicators that could directly locate the major and sustainable land C sink. 251 Among biomes, forests and croplands had the largest  $\ln\left(\frac{U}{R}\right)$  and  $\beta$ , indicating the strongest and 252 the most unstable C sink in forests and croplands, respectively. However, the relatively lower  $\beta$ 253 in shrublands and savannas should be interpreted cautiously. There are very few semi-arid 254 ecosystems in the FLUXNET sites, while they represent a large portion of land at the global 255 scale and have been shown to substantially control the interannual variability of NEP (Ahlström 256 et al., 2015). The highest  $\beta$  -in croplands implies that the land covered by cropland with the 257 largest IAV<sub>NEP</sub>. Therefore, implies that the reported rapid global expansion of cropland may 258 enlarge the fluctuations in Land-atmosphere CO<sub>2</sub> exchange<del>IAV<sub>NEP</sub> on the land</del>. In fact, the 259 cropland expansion has been confirmed as one important driver of the recent increasing global 260 vegetation growth peak (Huang et al., 2018) and atmospheric CO<sub>2</sub> seasonal amplitude (Gary et 261 al., 2014; Zeng et al., 2014). 262

# 4.2 Joint control of plant phenology and physiology on mean annual NEPPhenology dominant spatial distribution of mean annual NEP

265 Recent studies have demonstrated that the spatiotemporal variations in terrestrial gross primary

productivity are jointly controlled by plant phenology and physiology (Xia et al., 2015; Zhou et 266 al., 2016). Here we demonstrated that the spatial difference of mean annual NEP the dominant 267 role of was determined by both the phenology indicator  $\frac{CUP}{CRP}$  (58%) in driving and the 268 physiological indicator  $\frac{\overline{U}}{\overline{R}}$  (42%) the spatial difference of mean annual NEP. In addition, The 269 the reported lowlower correlation between mean annual NEP and contribution of the 270 physiological indicator could  $\frac{\overline{U}}{\overline{R}}$  could partly be attributed to the convergence of  $\frac{\overline{U}}{\overline{R}}$  across 271 FLUXNET sites (Fig. S4). 272

The convergent  $\frac{\overline{U}}{\overline{R}}$  across sites was first discovered by Churkina *et al.* (2005) as  $2.73 \pm 1.08$ 273 across 28 sites, which included DBF, EBF and crop/grass. In this study, we found the  $\frac{\overline{U}}{\overline{R}}$  across 274 the 72 sites is 2.71 ± 1.61, which validates the discovery by Churkina *et al.* However, the  $\frac{\overline{U}}{\overline{R}}$ 275 varied among biomes  $(2.86 \pm 1.56 \text{ for forest}, 2.16 \pm 1.14 \text{ for grassland}, 3.47 \pm 1.98 \text{ for cropland},$ 276  $2.89 \pm 1.47$  for wetland,  $1.89 \pm 1.10$  for shrub,  $1.83 \pm 0.88$  for savanna). This spatial convergence 277 of  $\frac{\overline{U}}{\overline{R}}$  at the ecosystem level provides important constraints for global models that simulate 278 various physiological processes (Peng et al., 2015; Xia et al., 2017). These findings imply that 279 the phenology changes will greatly affect the locations of the terrestrial carbon sink by 280 modifying the length of carbon uptake period (Richardson et al., 2013; Keenan et al., 2014). 281

#### 4.3 The simulated local indicators from gridded products 282

This study showed that the considerable spatial variations in mean annual NEP and IAV<sub>NEP</sub> from 283 global gridded products could also be inferred from their local indicators. The low variations of 284  $\frac{U}{R}$  ratio in CLM4.5 could be largely due to their simple representations of the diverse terrestrial 285 plant communities into a few plant functional types with parameterized properties (Cui et al., 286 2019; Sakschewski et al., 2015). In addition, the higher  $\frac{U}{R}$  ratio from FLUXCOM product 287 indicated its widely reported larger net C uptake (Fig. 6) (Jung et al., 2020). Meanwhile, the 288 ignorance of fire, land-use change and other disturbances could lead to the smaller  $\beta$  by allowing 289 for only limited variations of phenological and physiological dynamics (Reichstein et al., 2014; 290 Kunstler et al., 2016). Although the magnitude of IAV<sub>NEP</sub> depends on the spatial resolution 291

(Marcolla et al., 2017), we recommend future model benchmarking analyses to use not only the global product compiled from machine-learning method (Bonan et al., 2018) but also the sitelevel measurements or indicators (Xia et al., 2020i.e.,  $\ln \left(\frac{\mu}{R}\right)$  and  $\beta$ ).

#### **4.4 Conclusions and further implications**

In summary, this study highlights the changes in NEP and IAV<sub>NEP</sub> over space on the land, and provides the  $\frac{U}{R}$  ratio and  $\beta$  as two simple local indicators for their spatial variations. These indicators could be helpful for locating the persistent terrestrial C sinks in where the ln  $(\frac{U}{R})$ ratio is high but the  $\beta$  is low. Their estimates based on observations are also valuable for benchmarking and improving the simulation of land-atmospheric C exchanges in Earth system models.

In addition, tThe findings in this study have some important implications for understanding the 302 variation of NEP on the land. First, forest ecosystems have the largest annual NEP due to the 303 largest  $\ln \left(\frac{U}{R}\right)$  while croplands show the highest IAV<sub>NEP</sub> because of the highest  $\beta$ . Second, the 304 spatial convergence of  $\frac{\overline{U}}{\overline{R}}$  suggests a tight linkage between plant growth and the non-growing 305 season soil microbial activities (Xia et al., 2014; Zhao et al., 2016). However, it remains unclear 306 whether the inter-biome variation in  $\frac{\overline{U}}{R}$  is due to different plant-microbe interactions between 307 biomes.— Third Third, the within-site convergent but spatially varying  $\beta$  needs better 308 309 understanding. Previous studies have shown that a rising standard deviation of ecosystem functions could indicate an impending ecological state transition (Carpenter and Brock, 2006; 310 Scheffer et al., 2009). Thus, a sudden shift of the  $\beta$ -value may be an important early-warning 311 signal for the critical transition of <u>carbon uptake sensitivity of IAV<sub>NEP</sub> of</u> an ecosystem. In this 312 study, the atmospheric inversion product shows low correlation between NEP and  $\ln\left(\frac{U}{R}\right)$  in 313 some boreal ecosystems, which might due to that the atmospheric inversion product is failed to 314 capture the carbon uptake sensitivity in these boreal ecosystems or these boreal ecosystems are 315 experiencing serious disturbances. Therefore, the robustness in relationship between annual 316 <u>NEP and ln  $\left(\frac{U}{R}\right)$  depends on the temporal stability of carbon uptake sensitivity for an ecosystem.</u> 317

318 In addition, the spatial variation in  $\beta$  reveals the differences of carbon uptake sensitivity across 319 ecosystems. Furthermore, considering the limited eddy-covariance sites with long-term 320 observations, these findings need further validation once the longer time-series of measurements 321 from more sites and vegetation types become available.

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334 *Data availability statement*. Eddy flux data are available at 335 http://fluxnet.fluxdata.org/data/fluxnet2015-dataset/; the data supporting the findings of this 336 study are available within the article and the Supplementary Information.

*Author contribution.* E. Cui and J. Xia devised and conducted the analysis. Y. Luo, S. Niu, Y.
 Wang and C. Bian provided critical feedback on the method and results. All authors contributed
 to discussion of results and writing the paper.

340 *Competing interests.* The authors declare that there is no conflict of interest.

#### 341 FIGURES

Figure 1 Relationship between annual NEP and  $\frac{U}{R}$  for 72 FLUXNET sites (of the form NEP =  $\beta \cdot \ln(\frac{U}{R})$ ). a, Dependence of annual NEP on the ratio between total CO<sub>2</sub> exchanges during net uptake (U) and release (R) periods (i.e.,  $\frac{U}{R}$ ). Each line represents one flux site with at least 5 years of observations. b, Conceptual figure for the decomposition framework introduced in this study. Annual NEP can be quantitatively decomposed into the following indicators: NEP =U - R. c, Distribution of the explanation of  $\frac{U}{R}$  on temporal variability of NEP ( $R^2$ ) for FLUXNET sites.

Figure 2 Relationship between annual NEP and  $\frac{U}{R}$  for Jena Inversion product (of the form NEP =  $\beta \cdot \ln{(\frac{U}{R})}$ ). The black box indicates the location of the sample.

Figure 3 Contributions of the two indicators in explaining the spatial patterns of mean annual NEP and IAV<sub>NEP</sub>. a, The relationship between annual mean NEP and  $\ln\left(\frac{U}{R}\right)$  across FLUXNET sites ( $R^2 = 0.65$ , P < 0.01). The insets show the variation of  $\ln\left(\frac{U}{R}\right)$  for different terrestrial biomes. b, The explanation of  $\beta$  on IAV<sub>NEP</sub> ( $R^2 = 0.39$ , P < 0.01). The insets show the distribution of parameter  $\beta$  for different terrestrial biomes. The number of site-years at each site is indicated with the size of the point.

Figure 4 The linear regression between  $\frac{U}{R}$  with  $\frac{CUP}{CRP}$  ( $R^2 = 0.71$ , P < 0.01) and  $\frac{\overline{U}}{\overline{R}}$  ( $R^2 = 0.09$ , P < 0.01) across sites. The insets show the relative contributions of each indicator to the spatial variation of  $\frac{U}{R}$ . The number of site-years at each site is indicated with the size of the point.

Figure 5 Representations of the spatially varying NEP and its local indicators in FLUXCOM product and the Community Land Model (CLM4.5) at the FLUXNET site level. a, The variation of mean annual NEP and IAV<sub>NEP</sub> derives from FLUXNET, FLUXCOM and CLM4.5. Variation in mean annual NEP: the standard deviation of mean annual NEP across sites; Variation in IAV<sub>NEP</sub>: the standard deviation of IAV<sub>NEP</sub> across sites. b, Representations of the local indicators for NEP in FLUXNET, FLUXCOM and CLM4.5. The corresponding distributions of  $\ln \left(\frac{U}{R}\right)$ and  $\beta$  are shown at the top and right. Significance of the relationship between annual NEP and 367  $\ln\left(\frac{U}{R}\right)$  for each site is indicated by the circle: closed circles: *P*<0.05; open circles: *P*>0.05. Note 368 that the modeled results are from the pixels extracted from the same locations of the flux tower 369 sites.

Figure 6 Representations of the spatially varying NEP and its local indicators in FLUXCOM
product and the Community Land Model (CLM4.5) at the global scale. a, The variation of mean
annual NEP and IAV<sub>NEP</sub> derives from Jena Inversion, FLUXCOM and CLM4.5. Variation in
mean annual NEP: the spatial variation of mean annual NEP; Variation in IAV<sub>NEP</sub>: the spatial
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Jena Inversion, FLUXCOM and CLM4.5.



Figure 1 Relationship between annual NEP and  $\frac{U}{R}$  for 72 FLUXNET sites (of the form NEP =  $\beta \cdot \ln(\frac{U}{R})$ ). **a**, Dependence of annual NEP on the ratio between total CO<sub>2</sub> exchanges during net uptake (U) and release (R) periods (i.e.,  $\frac{U}{R}$ ). Each line represents one flux site with at least 5 years of data. **b**, Conceptual figure for the decomposition framework introduced in this study. Annual NEP can be quantitatively decomposed into the following indicators: NEP = U - R. **c**, Distribution of the explanation of  $\frac{U}{R}$  on temporal variability of FLUXNET NEP ( $R^2$ ) for FLUXNET sites.



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Figure 3 Contributions of the two indicators in explaining the spatial patterns of mean annual NEP and IAV<sub>NEP</sub>. **a**, The relationship between annual mean NEP and  $\ln\left(\frac{U}{R}\right)$  across FLUXNET sites ( $R^2 = 0.65$ , P < 0.01). The insets show the variation of  $\ln\left(\frac{U}{R}\right)$  for different terrestrial biomes. **b**, The explanation of  $\beta$  on IAV<sub>NEP</sub> ( $R^2 = 0.39$ , P < 0.01). The insets show the distribution of parameter  $\beta$  for different terrestrial biomes. The number of site-years at each site is indicated with the size of the point.



Figure 4 The relative contributions of the local indicators in explaining the spatial patterns of mean annual NEP. **a**, The linear regression between mean annual NEP with  $\frac{CUP}{CRP}$  ( $R^2 = 0.33$ , P<0.01) and  $\frac{\overline{U}}{\overline{R}}$  ( $R^2 = 0.25$ , P < 0.01) across sites. **b**, The relative contributions of each indicator to the spatial variation of NEP. The number of site-years at each site is indicated with the size of the point.





Figure 5 Representations of the spatially varying NEP and its local indicators in FLUXCOM 406 product and the Community Land Model (CLM4.5) at the FLUXNET site level. a, The variation 407 of mean annual NEP and IAV<sub>NEP</sub> derives from FLUXNET, FLUXCOM and CLM4.5. Variation 408 in mean annual NEP: the standard deviation of mean annual NEP across sites; Variation in 409 IAV<sub>NEP</sub>: the standard deviation of IAV<sub>NEP</sub> across sites. **b**, Representations of the local indicators 410 for NEP in FLUXNET, FLUXCOM and CLM4.5. The corresponding distributions of  $\ln\left(\frac{U}{R}\right)$ 411 and  $\beta$  are shown at the top and right. Significance of the relationship between annual NEP and 412  $\ln\left(\frac{U}{R}\right)$  for each site is indicated by the circle: closed circles: P < 0.05; open circles: P > 0.05. 413 Note that the modeled results are from the pixels extracted from the same locations of the flux 414 415 tower sites.



Figure 6 Representations of the spatially varying NEP and its local indicators in FLUXCOM product and the Community Land Model (CLM4.5) at the global scale. a, The variation of mean annual NEP and IAV<sub>NEP</sub> derives from Jena Inversion, FLUXCOM and CLM4.5. Variation in mean annual NEP: the spatial variation of mean annual NEP; Variation in IAV<sub>NEP</sub>: the spatial variation of standard deviation in IAV<sub>NEP</sub>. b, Representations of the local indicators for NEP in Jena Inversion, FLUXCOM and CLM4.5.

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