

Dear Professor Yakir,

Dear Dan,

We thank both reviewers and the short comment from Ferracci to help improve the manuscript. All changes are marked up in the revised manuscript as well as in our response to the short comments. The most important changes include a revised introduction as suggested by both reviewers. Instead of including an additional figure on the experimental setup we improved the methodological part of the paper that now better describes technical details about the experiments. The first figure in the appendix is now moved to the main body of the manuscript. We also make it clearer what similarities and differences we observe compared other studies. We added specifications on our calibration procedures of the PTR-ToF-MS, throughout the text and with the addition of a table including all compounds contained in the calibration gas. Our detailed response to the reviewers and the revised marked up manuscript can be found below.

Best regards,

Arianna Peron and Thomas Karl

Anonymous Referee #1

Received and published: 24 August 2020

We thank reviewer 1 for the constructive comments. Below is our point by point reply to specific comments.

Reviewer Comment 1 Line 20: please specify to what levels

Reply->Line 19: **We exposed plants with daily ozone concentrations of 100 ppb for one hour for seven days, which resulted in faster stomatal closure (e.g. a mean value -31.3% at an average stem water potential of -1 MPa) partially mitigating drought stress effects.**

Reviewer Comment 2 Line 26: ", " should be placed after "Plants" and not after "in".

Reply: This has been fixed in an updated version of the manuscript.

Reviewer Comment 3 Line 48 "it has be shown" – please fix.

Reply: This has been fixed in an updated version of the manuscript.

Reviewer Comment 4 Line 49: Remove ", ".

Reply: This has been fixed in an updated version of the manuscript.

Reviewer Comment 5 Line 51: "and longer growing longer seasons" – please rephrase.

Reply: This has been fixed in an updated version of the manuscript.

Reviewer Comment 6 Lines 51-54: However, drought can also lead to the opposite effect, as you mention below, which seems to be in conflict with this statement.

Reply: Here we refer to “enhanced” as an enhancement of the abiotic stress events, not of the BVOC emissions.

Change-> Line 38: **Future climate scenarios with expected temperature increases between 1.8 and 4°C (IPCC, 2007) suggest an additional enhancement of global BVOC emissions between 30 to 45 % (Peñuelas and Llusía, 2003). An enhancement of abiotic stress events, due to an indirect effect of a temperature increase (e.g. via ozone or drought**

episodes) can also alter BVOC emissions (EEA, 2017; Müller et al., 2008; Loreto and Schnitzler, 2010; Dai, 2013; Unger et al., 2013; Sindelarova et al., 2014).

Reviewer Comment 7 Line 87 - The hypothesis of this study is based on the research question whether drought and ozone stressors are additives. It would be good to expand more about

1) previous study of these two stressors in a broader scope (e.g., (Pollastrini et al., 2014; Wittig et al., 2007)) and

2) the importance of currently addressing this specific research question (e.g., how it can help scientists to cope with current assessment/research questions).

3) the state of knowledge with respect to BVOC emission (e.g., (Holopainen and Gershenzon, 2010)).

Line 87 - "different abiotic stresses" – be specific about whether you refer here only to ozone and drought stressors and/or to additional stressors.

Introduction- it will be good to provide information about the role of BVOCs in the troposphere.

Reply: We added the following clarifications:

1) Line 77: **Pollastrini et al. (2014) consider a change in sensitivity of the plants to ozone (different poplar clones) under severe drought conditions. In their case, ozone and drought produced a synergistic effect for CO₂ exchange and chlorophyll fluorescence when applied together. Witting et al. (2007) found a dependency on ozone effects under different levels of drought stress. In fact, Witting et al. (2007) report a dependency of the damage in the photosynthetic apparatus depending on the cumulative ozone flux into the leaf, thus in relation to stomatal conductance.**

2 and 3) Line 81: In this work, our hypothesis was that **ozone and drought stress** in plants are not necessarily additive, and that the plant's response to drought and ozone exposure can result in an alteration of characteristic BVOC emission strengths. **Changing BVOC emissions have an important impact on climate through atmospheric chemistry (Claeys et al., 2004, Paulot et al., 2009; Hallquist et al., 2009). The presence of BVOCs in the atmosphere contribute to the formation of tropospheric ozone and growth of secondary organic aerosol (SOAs), and radicals (Griffin et al., 1999; Orlando et al., 2000; Atkinson and Arey, 2003).**

Line 91: **Understanding how BVOC emissions respond to climate change is therefore essential to understand what direct or indirect actions they can have on the biosphere-atmosphere-climate system and to develop strategies necessary to mitigate the effects of climate change itself (Kulmala et al., 2004; Yuan et al., 2009).**

Reviewer Comment 8 Lines 93-94 – "its fast-regulated transpiration rates "- This is not clear. I'm not sure that these two factors are sufficient to result in high tolerance to drought.

Reply: We agree, in particular when comparing with other more drought resistant species. We changed the text as following.

Change->Line 98: According to Ellenberg (1988), **the defensive actions of *Q. robur* against drought stress are caused by fast regulation of transpiration rates and stomatal conductance**, and a low susceptibility of water embolism in the xylem (Van Hees, 1997).

Reviewer Comment 9 Line 106- "air gas exchange" – Do you mean CO₂ and H₂O exchange- please be specific in this definition throughout the text (e.g., line 119 and elsewhere).

Reply: OK, we now add CO₂ and H₂O where necessary.

Changes: e.g. Line 109: **were used for BVOC emission measurements, CO₂ and H₂O gas exchange measurements and biochemical ...**

Line 131: Throughout the increasing drought stress, tree leaf gas exchange (**CO₂ and H₂O**) and BVOC emissions were measured for two sets, DS and...

Line 134: Instruments GmbH, Alland, Austria) for 2-3 hours each day in order to measure their **CO₂ and H₂O exchange** along with key...

Line 268: Statistics Toolbox Release 2017a, The MathWorks, Inc., Natick, MA, United States). All leaf gas exchange (**CO₂ and H₂O**) and BVOC flux

Reviewer Comment 10 Can you elaborate on how did you measured this gas exchange?

Reply: We explain the basic measurement setup on Line 159: CO₂ and H₂O mixing ratios in the air leaving the enclosures were measured using a CIRAS-3 SC PP System (Amesbury, MA, USA), **which was factory calibrated three months before the measurement campaign.**

Reviewer Comment 11 I recommend adding the schematic of the experimental design (Fig. A1) to the Materials and Methods. It will help in following your experiments.

Reply: This has been fixed in an updated version of the manuscript

Reviewer Comment 12 Lines 120-121 – please specify if this part still take place in the fitotron.

Change-> Line 115: **The plants were moved from the greenhouse to an indoor climate chamber** (Fitotron Weiss Gallenkamp, UK) 24h hours before the experiment started. **Thereafter trees were kept in the climate chamber for the remainder of the experiment and were only placed into the branch enclosures during the gas exchange measurements. The branch enclosures were situated next to the climate chamber in a climatized laboratory exhibiting the same environmental conditions as in the climate chamber.** The climate conditions during the first day of experiment were kept at 25°C, ~60 % of **relative humidity (RH)** and ~1000 $\mu\text{mol m}^{-2} \text{s}^{-1}$ PAR at canopy top, to adapt to constant air temperature. To continuously increase the drought stress, the plants were not watered and the humidity in the climate chamber was decreased to 40 % RH and temperature was increased to 30°C after the first day. **The same temperature conditions were also present in the climatized laboratory, where the plants were placed in the enclosures at an RH of 32 % and 30°C. Overall light conditions remained constant during the day, with lights of during the night.**

Reviewer Comment 12 Line 131- Please define "RH".

Change-> Line 119: which was kept at 25°C, ~60 % of **relative humidity (RH)** and ~1000 $\mu\text{mol m}^{-2} \text{s}^{-1}$ PAR at canopy top, to adapt to constant air temperature.

Reviewer Comment 13 Line 132- "assured" – can you explain in detail why it assures so?

Change-> Line 145: The flow rate of 10 l min⁻¹, **tested during the experiment set-up prior to the actual experiments,** assured that no...

Reviewer Comment 14 Lines 135-136- Irradiation took place also in nighttime? Please specify.

Change-> Line 148: Trees inside the enclosure were LED-irradiated with a mean PAR value of 1374 $\mu\text{mol m}^{-2} \text{s}^{-1}$ at canopy top (Eckel Electronics, Trofaiach, Austria) **during daytime when the exchange measurements were performed. During night, trees were kept in the dark.**

Reviewer Comment 15: Can you provide details about Background (zero) calibration? e.g., if and what frequency and under what conditions such calibration was performed using the PTRTOF6000X2?

Reply: The PTR-TOF-MS was calibrated daily, this has already been mentioned in the manuscript on line 173. We added some more specificity to describe the background measurements.

Change-> Line 167: The **instrument background was characterized daily during calibrations and in the third empty enclosure that was flushed with background air. Backgrounds were measured every 20 minutes for 5 minutes.**

Reviewer Comment 16 Line 157 – it is not clear to me what do you mean by "combined calibration uncertainties" and by "a compound specific average experiment sensitivity"

Change-> Line 171: **Daily measured sensitivities based on compounds in a calibration standard varied on the order of 8-20 % depending on the compound. This lies within the combined calibration uncertainties of the gas standard and dilution setup using two flow controllers. Whenever a compound was not contained in the calibration standard, we applied a compound specific sensitivity using procedures described by Cappellin et al. (2012).**

Reviewer Comment 16 Line 158 – "40-800 pptv" – it is not clear to me why providing this information is important. It may be more useful to specify specific limits of detection to individual compounds (possibly in Table A3).

Reply: We take this suggestions and omitted this statement in the revised manuscript.

Reviewer Comment 17 Lines 161-168 – I suggest to include each individual compound acronym together with its specific m/z (e.g., in parenthesis) and the specific reference, either in the text or in a table.

Reply: This has been fixed in an updated version of the manuscript

Reviewer Comment 18 Line 179 – Why don't you include each of the abbreviations in parenthesis?

Reply: This has been fixed in an updated version of the manuscript

Reviewer Comment 19 Line 189 – Can you elaborate on how the stomatal resistance was measured? Was it measured for each specific leaf or using another approach?

Reply: Since the experimental setup consisted of a branch enclosure, stomatal resistance values reflect the bulk average of all leaves enclosed in the enclosure. It was calculated by the application of formula 10.

Reviewer Comment 20 Lines 189-190 – Can you explain why assuming that the boundary resistance is zero is justified?

Reply: The high flow rate of 10l/min through our chambers created enough turbulence that the boundary resistance is assumed to be small compared to the stomatal resistance. This can alternatively also be achieved by fans inside the chamber, which we wanted to avoid due to the potential of artifacts from the lubricants that a fan inside the enclosure would cause.

Reviewer Comment 21 Line 197 – "(see below)" – it would be better to specify the specific section number.

Reply: This has been fixed in an updated version of the manuscript.

Reviewer Comment 22 Lines 203-204 – "peroxidase and antioxidant capacity, and phenol content" – it is the first time you mention these properties. It would be good to expand on them and why they were measured.

Change-> Line 223: **Using foliar materials collected after the seven day period of emission measurements (section 2.2) and stored at -80°C until analysis, peroxidase and antioxidant capacity, and phenol content (TPhe) were measured. These properties provide additional insights in the response of GLV and Shikimate emissions as products of the metabolic process of the enzymatic activity (Betz et al., 2009).**

Reviewer Comment 22 Line 216 – What do you mean by "linear range of: : :"? ?

Change->Line 239: The activity was calculated **from the slope in the initial linear portion of the reaction progress curved** using an extinction coefficient of $1.13 \times 10^4 \text{ M}^{-1} \text{ cm}^{-1}$ for oxidized *o*-dianisidine (Worthington manual, 1972).

Reviewer Comment 23 Line 256 – no need for multiple definition in the main text.

Reply: This has been fixed in an updated version of the manuscript.

Reviewer Comment 24 Line 260 – " significant." – can you add a P-value?

Change-> Line 282: R1 and R4 was significant (**p-value 0.02 and 0.05 for DS and DS×OS respectively**). R1, shown in Fig. 1(a), includes values of trees fumigated with ozone (DS×OS) from the first and

Reviewer Comment 25 Line 274 - no need for multiple definition in the main text.

Reply: This has been fixed in an updated version of the manuscript.

Reviewer Comment 26 Line 285 – "(averagely 96 % of the total emissions" – it would be better to provide this information earlier, so the reader will have this in mind when reading the second paragraph in this section.

Reply: We moved this portion to Line 298: where we now state: The ratio of CBVOCs and CA is shown in Fig. 3. **IS, the dominant BVOC (on average 96 % of the total emissions), mean standardized IS emissions of DS×OS treated plants were consistently higher in all SWP ranges compared to DS alone (Figure 3), thus showing the difference between DS and DS×OS in CBVOCs/CA in the highest SWP ratio range**

Reviewer Comment 27 Line 287 – "carbon loss ratio" - Be more accurate in definition. Do you mean->CIS/CA?

Reply: This has been fixed in an updated version of the manuscript.

Reviewer Comment 28 Line 288 – " high drought stress" - Can you specify this in terms of "R"?

Change->Line 309: At very high drought stress (**R4**) this ratio decreased again to 0.4 in DS and 0.8 in DS×OS.

Reviewer Comment 29 Line 304 – Please add "." at the end of the sentence.

Reply: This has been fixed in an updated version of the manuscript

Reviewer Comment 30 Lines 340-341 – "a decrease of MT emissions" – under what conditions?

Change-> Line 375: These observations contrast those by Lluísá and Peñuelas (1998) for *Q. coccifera* reporting a decrease of MT emissions **under severe drought conditions**.

Reviewer Comment 31 Line 366- Is this a new paragraph (if so, make it clear and consistent with the rest of the manuscript)?

Reply: This has been fixed in an updated version of the manuscript

Reviewer Comment 32 Lines 385-386 – " by stimulating the phenylpropanoid pathway" – what about the lipoxygenase and hydroperoxide systems?

Reply: We added a sentence to this section:

Change-> Line 418: On the other hand, DS×OS, showed a small increase of GLV only at the highest stress level. We take this to indicate that ozone has the potential to inhibit drought stress damage and therefore the emissions of GLV, by stimulating the phenylpropanoid pathway to form an antioxidant protection for chloroplasts (Pellegrini et al., 2019). **The GLV emissions in DS×OS are initially inhibited during of the onset of drought. While ozone fumigation initially inhibits the activation of the lipoxygenase and the hydroperoxide lyase pathway indirectly, these pathways are clearly triggered during the progression of severe drought stress (R4) (Heiden et al., 2003; Matzui, 2006).**

Reviewer Comment 33 Line 396 – " well-watered and severe drought condition" – can you specify these also in terms of R?

Change->Line 430: The results of our study showed no significant decrease in TPhen due to ozone fumigation both in well-watered and severe drought condition (R4) (OS, DS×OS).

Reviewer Comment 33 Tables 1 and 2 – it is recommended to include the comments below the table.

Reply: This has been fixed in an updated version of the manuscript.

Reviewer Comment 33 A1 – Can you include the thermocouple in the figure?

Reply: We modified the figure and included the thermocouple.

Reviewer Comment 34 Table A3 – add the compound acronyms/names

Reply: We added the table in the manuscript.

Anonymous Referee #2

Received and published: 7 September 2020

We thank reviewer 2 for the constructive comments. Below is our point by point reply to specific comments.

Reviewer Comment 1: The introduction could be more concise and to the point of the hypothesis. I believe there is too much information at first about terpenoid biosynthesis, which if needed could be explained better in detail in the discussions relating it to the results.

Reply: Thanks for the suggestion; we moved these parts in the results.

Reviewer Comment 2: When you start talking about temperature as a dominant stress, one asks why you have not used temperature as a treatment, thus removing it (just mention it overall and go directly to the drought and ozone issue).

Reply: We have incorporated the suggestion with the removal of the two sentences:

~~The past and projected future increase in average global temperature (IPCC, 2007) is expected to lead to a change in BVOC 45 emissions, subsequently influencing atmospheric chemistry and climate (Tingey et al., 1991; Guenther et al., 1995; Filella et al., 2007; Kiendler-Scharr et al., 2009).~~

~~Based on the algorithm by Guenther et al. (1995) it has been shown that BVOC emissions linked to temperature have increased by 10% over the last 30 years, (Peñuelas and Staudt, 2010).~~

Reviewer Comment 3: The paragraph of the combination of stress is great, but then the biosynthetic information seems misplaced, perhaps do the same as for terpenoid biosynthesis. The objectives must be better explained and put into context in the introduction. Particularly having a paragraph above saying this was already done. Why, having Vitale et al., 2008 and Yuan et al., 2016, we need this study.

Additionally, a bit of background about Quercus robur already in the introduction would be interesting, to support why you chose this species (more than a widely distributed isoprene emitting oak species, i.e. is this species going to suffer drought and ozone stress in particular? Why?).

Reply: Now we rearranged the introduction, for a better understanding.

Change ->Line 68: Few studies have analyzed the effects of plant emissions from a combination of drought and ozone stress (Vitale et al., 2008; Yuan et al., 2016). Studying *Quercus ilex*, Vitale et al. (2008) reported that drought stress leads to stomatal closure therefore reducing stress by ozone as it is restricted to enter the leaf. **They did not report effects of ozone when going from a well watered situation to severe stress.** Yuan et al. (2016) found that drought increased isoprene emissions in a hybrid poplar deltoid species, but that isoprene emissions decreased under moderate drought stress combined with long-term ozone fumigation. **In their case, Yuan et al. (2016) analyzed the emissions under a situation of moderate drought stress.**

Here we are also interested in the situation of severe stress that could occur in the future due to climate change, combined with model projections of elevated ozone concentrations (> 100 ppb).

Pollastrini et al. (2014) consider a change in sensitivity of the plants to ozone (different poplar clones) under severe drought conditions. In their case, ozone and drought produced a synergistic effect for CO₂ exchange and chlorophyll fluorescence when applied together. Witting et al. (2007) found a dependency on ozone effects under different levels of drought stress. In fact, Witting et al. (2007) report a dependency of the damage in the photosynthetic apparatus depending on the cumulative ozone flux into the leaf, thus in relation to stomatal conductance.

In this work, our hypothesis was that **ozone and drought stress** in plants are not necessarily additive, and that the plant's response to drought and ozone exposure can result in an alteration of characteristic BVOC emission strengths. **Changing BVOC emissions have an important impact on climate through atmospheric chemistry (Claeys et al., 2004, Paulot et al., 2009; Hallquist et al., 2009). The presence of BVOCs in the atmosphere contribute to the formation of tropospheric ozone and growth of secondary organic aerosol (SOAs), and radicals (Griffin et al., 1999; Orlando et al., 2000; Atkinson and Arey, 2003).**

As a model plant we chose *Quercus robur* L., a widely distributed isoprene emitting oak species in Europe (Barstow and Khela, 2017), **considered not at risk of extinction (Barstow and Khela, 2017).**

In the future, this species may become more threatened (Barstow and Khela, 2017), triggering a migration from the current climate range to a zone more representative of the north and east of Europe (EFDAC, 2015). Climate change could also expose *Q. robur* to greater environmental stress from drought (Jonsson, 2012). Understanding how BVOC emissions respond to climate change is therefore essential to understand what direct or indirect actions they can have on the biosphere-atmosphere-climate system and to develop strategies necessary to mitigate the effects of climate change itself (Kulmala et al., 2004; Yuan et al., 2009).

Reviewer Comment 4: Methodology must be better explained. In particular a diagram choosing the number of replicates chosen for each treatment. You say you have 14 trees in total, how are they separated. For instance R4 only has two replicates for DSxOS, why? Additionally a time series of watering and lack of watering could be expressed in this diagram as well. It is confusing what you use for emission measurements, for biochemical assays and for references. To sum up the methodology must be revised and better explained. Think that the reader must be able to reproduce your methodology. More detailed to be found below. Line 106: I am really concern with plant acclimation here. As far as I understand the plants are moved ONLY 24 hours before measurements to the climate chamber. Is this enough? Please argue how is this enough. What do you mean by to adapt to constant air temp?

Reply: Rather than including an additional diagram we decided to improve the description of the methodology where necessary in the text to make it more clear. We addressed other important comments in the revised paper. Briefly, R4 (like other groups) is grouped such that it represents a specific stress level in SWP by the plants. The replicates for DSxOS were envisioned to be at least 3 for all experiments, however one replicate of this particular set (R4) did not reach the required level of stress at the end of the experiment and had therefore be associated with R3 instead. Generally we acknowledge the reviewers comment that more replicates would always be better, but this is often limited by the experimental capability. Generally 3 true replicates were envisioned for these experiments. In addition by using branch enclosures, rather than sampling individual leaves, an experimental average of many individuals for each treatment was obtained, minimizing leaf to leaf variability. Prior to

experiments plants were kept in a greenhouse outside the laboratory exhibiting environmental conditions (daily average T: 22.5 +/-3 °C and RH: 54%) comparable with the conditions in the phytotron (25 +/-2 °C, RH 60%) and subsequently branch enclosures. Plants were moved to the phytotron 24h prior to the experiments and thereafter housed under exactly the same conditions between the branch enclosure setup and the phytotron. Due to small changes between the greenhouse and laboratory experiments we do believe 24h acclimation was sufficient. This is also corroborated by well established BVOC emission algorithms (Guenther et al., 1999) showing that the 24h period is the most important one for acclimation, with the previous 240h playing a comparably smaller influence. In our case the impact on isoprene emissions for a scenario of 23 °C 240h temperature history rather than 25 °C would be for example on the order of 4-5%.

Change-> Line 107: **For the biochemical reference assays, eight trees of the initial fourteen were used:** four well-watered plants (C) and four well-watered plants receiving one time 100 ppb ozone for one hour (OS) inside the enclosures. **The remainder (six plants) were used for BVOC emission measurements, CO₂ and H₂O gas exchange measurements and biochemical assays. Hereby, we were left with three replicates under drought stress (DS) and three replicates exposed to drought stress and ozone (DS×OS). The drought stress was initiated, for all six plants 10 days** before the VOC measurements started and was maintained by keeping the soil water content at 4-5 vol.% using a soil moisture probe (Fieldsout TDR100, 20 cm probe depth, Spectrum 105 Technologies, UK), whereas 100 % field capacity was 13.4 vol.%. **With the start of VOC measurements, we stopped watering the previously drought stressed trees to further increase drought stress.**

Reviewer Comment 5: Line 96: where do the 2-year-old trees are coming from?

Reply: The trees are from the tree school Natlacen in Pilgersdorf, in the south-east of Austria. The city gardeners of Vienna (MA42) are ordering their trees from the same tree school for replanting or newly planting street trees. Usually these trees are a couple of years older than the ones we received from them, but since our VOC-chambers are too small, we were able to get a hold of the old 2-year old saplings.

Reviewer Comment 6: Line 97: What do you mean by soil used by the city gardeners? What brand?

Reply: The MA42 (Magistrate no. 42) is responsible for Viennese park and city vegetation. Together with the ÖGLA (Österreichische Gesellschaft für Landschaftsarchitektur) they developed a customized soil mixture, which holds the water for a longer time to prevent early drought stress during long dry periods. Further information about the soil can be found on the webpage <http://oegla.at/uebersicht/125-seminarreihe-baum-download-unterlagen> - "Das Wiener Baums substrat" (Fluvial fine sediment of the Danube, compost, sand and dolomite grit).

Reviewer Comment 7: Line 97: What brand is the quartz sand from?

Reply: We used filter sand (purchased from Obi, article no. 6270599) with a grain size between 0.7-1.2mm fulfilling the criteria of DIN EN 15798 (used for filtering swimming pool water).

Reviewer Comment 8: Line 98: how much fertilizer you put?

Reply: We used the recommended amounts for small trees: 5 caps fertilizer mixed in 10L water for 4m².

Reviewer Comment 9: Line 99: Tulln is a place not a brand...put the brand of the greenhouse or say how did you reach the levels mentioned.

Reply: Yes we acknowledge your comment and changed the text accordingly:

Change->Line 102: The plants were fertilized once after planting (universal fertilizer NovaTec, Compo, Münster, Germany) and from thereon kept well-watered in a greenhouse at near ambient light **(80 % to 90 % of photosynthetically active radiation) (Lak et al., 2020).**

Reviewer Comment 10: Line 100: what do you mean by close by experiment Line 101: Please state better the time of measurements? The biochemical assays should also be explained in the diagram Line 104: where do you perform the drought stress, in what conditions are the plants? Line 105: I do not understand what do you mean by “maintained by keeping the soil water content at 4-5 vol%”. Wasn’t this a continuously increasing drought? Was this maintained at all SWP ranges? Then the control plants were at field capacity? Please explain better

Reply: Trees were moved to the greenhouse in Vienna on July 5th 2020. The experiment started in Vienna on July 15th 2019. We changed the original text regarding the biochemical assays rather than including an additional diagram. Specific changes requested by the reviewer are now summarized as following:

Change-> Line 104: The trees were moved **from a greenhouse in Tulln** into another close-by greenhouse in Vienna two weeks prior to the experiments. Dust was removed from the leaves by showering the trees before starting the drought stress. **For the biochemical reference assays, eight trees of the initial fourteen were used:** four well-watered plants (C) and four well-watered plants receiving one time 100 ppb ozone for one hour (OS) inside the enclosures. **The remainder (six plants) were used for BVOC emission measurements, CO₂ and H₂O gas exchange measurements and biochemical assays. Hereby, we were left with three replicates under drought stress (DS) and three replicates exposed to drought stress and ozone (DS×OS). The drought stress was initiated, for all six plants 10 days** before the VOC measurements started and was maintained by keeping the soil water content at 4-5 vol.% using a soil moisture probe (Fieldsout TDR100, 20 cm probe depth, Spectrum 105 Technologies, UK), whereas 100 % field capacity was 13.4 vol.%. **With the start of VOC measurements, we stopped watering the previously drought stressed trees to further increase drought stress.**

Reviewer Comment 11: Line 108: what do you mean by mid canopy height?

Reply: We measured the height of the plants and the conditions inside the climate chamber at the mid canopy height.

Reviewer Comment 12: What was the PAR level at the climate chamber?

Reply: The value was ~1000 $\mu\text{mol m}^{-2} \text{s}^{-1}$ PAR at canopy top.

Reviewer Comment 13: Line 111: So DS×OS individuals are fumigated with ozone inside the enclosure while measurements were taken place or prior measurements? Please state.

Change->Line 126: two groups, three trees were drought stressed and fumigated with 100 ppb O₃ (DS×OS) inside the enclosure for one hour each day after **the daily** measurement of BVOCs.

Reviewer Comment 14: Line 113: why humidity was decrease and temperature increased to maintain the drought strees? Wasn’t this maintained by the SWC?

Reply: Line 120: To continuously increase the drought stress, the plants were not watered and the humidity in the climate chamber was decreased to 40 % RH and temperature was increased to 30°C after the first day. **The same temperature conditions were also present in the climatized laboratory, where the plants were placed in the enclosures at an RH of 32 % and 30°C.**-> we changed the humidity and the temperature in the climate chamber for increase the drought stress, don't water the plants was not enough to increase the drought stress, so we decreased the humidity and increased the temperature.

Reviewer Comment 15: Line 115: what is C and what is OS?

Reply: C were control plants (well-watered), OS were the well-watered plans plus an ozone fumigation of 100 ppb for one hour. This is now more explicitly explained throughout the text

Reviewer Comment 16: Additionally do the plants stay there or they go back to the greenhouse? I hope they stay in the climate chamber, otherwise it wouldn't be right. Please state. Line 119: what do you mean by tree leaf gas exchange? Please state what do you mean by gas exchange and also why not this is tree emission as the branch is also inside the cuvette. Line121: as far as I understand you maintain the tree during the seven days inside the cuvette? Do you have as many cuvettes or only 4? Please explain better

Reply: We did not use leaf cuvettes, but whole plant enclosures instead, to minimize leaf to leaf variability in these experiments. Due to the flow demand and experimental design we were limited to 4 branch enclosures. The trees were first moved to the climate chamber 24h prior to the start of experiments. The climate chambers were housed inside a climatized laboratory, where measurements took place. The chambers were set up such that the climate conditions in the climate chamber (T, RH, PAR, CO₂) matched conditions in the laboratory where the experiments took place. The reason for this setup was that the climate chambers themselves were too small to house the entire experimental setup. During the drought experiment two sets with 3 replicates were measured in the branch enclosures daily. At the beginning of each day the trees of the first set were placed in the 3 branch enclosures and continuously monitored for 2h. Readings of the last 20minutes from these 2 hours were then averaged for further analysis. We assured that VOC profiles were in steady state after placing trees in the branch enclosures and verified this by continuously monitoring BVOC concentrations and gas exchange inside the bags for at least 2h. After the first set was measured, trees were placed back in the climate chamber and the second set of trees was put in the branch enclosures. Overall, trees were kept 3h in the branch enclosure each day on average. For the rest of the day they remained in the climate chamber.

Change-> Line 116: **The plants were moved from the greenhouse to an indoor climate chamber** (Fitotron Weiss Gallenkamp, UK) 24h hours before the experiment started. **Thereafter trees were kept in the climate chamber for the remainder of the experiment and were only placed into the branch enclosures during the gas exchange measurements. The branch enclosures were situated next to the climate chamber in a climatized laboratory exhibiting the same environmental conditions as in the climate chamber.** The climate conditions during the first day of experiment were kept at 25°C, ~60 % of **relative humidity (RH)** and ~1000 $\mu\text{mol m}^{-2} \text{s}^{-1}$ PAR at canopy top, to adapt to constant air temperature. To continuously increase the drought stress, the plants were not watered and the humidity in the climate chamber was decreased to 40 % RH and temperature was increased to 30°C after the first day. **The same temperature conditions were also present in the climatized laboratory, where the plants were placed in the enclosures at an RH of 32 % and 30°C. Overall light conditions remained constant during the day, with lights of during the night.**

Change->Line 132: The plants were taken out of the climate chamber and kept inside **the** custom-made plant enclosures (**Fig. 1**; TC-400, Vienna Scientific Instruments GmbH, Alland, Austria) for 2-3 hours each day in order to measure their **CO₂ and H₂O exchange** along with key physiological parameters (soil moisture and stem water potential). **After the measurements inside the enclosures, the plants were moved back to the climate chamber until the next measurement session.**

Reviewer Comment 17: Line 131: why only 370 ppm of CO₂, is this realistic to nowadays?

Reply: We used ambient CO₂ concentrations in our experiments that were present in the laboratory and climate chamber as well as outside during this season. So we believe this value is within the current variability on the ground, but acknowledge that annual concentrations are nowadays typically 8 % higher.

Reviewer Comment 18: Line 145: how did you calibrate for CO₂ and H₂O?

Reply: Thanks for making this point clear, we changed as follows:

Change->Line 159: CO₂ and H₂O mixing ratios in the air leaving the enclosures were measured using a CIRAS-3 SC PP System (Amesbury, MA, USA), **which was factory calibrated three months before the measurement campaign.**

Reviewer Comment 19: Line 155: please state the compounds inside.

Reply: We added Table A2 in the appendix with the compounds used for the calibration.

Change-> Line 170: containing 15 compounds (**Table A2**) with different functionality distributed over a mass range of 33-137 amu were performed daily.

Table A2: m/z ratio and chemical formula and name of compounds presents in the standard gas mixture used for the daily calibration of the PTR-ToF-MS.

m/z ratio	Chemical formula	Compound
32.0262	CH ₃ OH	Methanol
41.0265	C ₂ H ₃ N	Acetonitrile
44.0261	C ₂ H ₄ O	Acetaldehyde
58.0418	C ₃ H ₆ O	Acetone
72.0574	C ₄ H ₈ O	Methyl Ethyl Ketone (MEK)
78.0469	C ₆ H ₆	Benzene
92.0625	C ₇ H ₈	Toluene
106.0782	C ₈ H ₁₀	Xylenes
120.0939	C ₉ H ₁₂	1,2,4-Trimethylbenzene (TMB)
136.1252	C ₁₀ H ₁₆	a-Pinene
62.0189	C ₂ H ₆ S	Dimethyl sulphide (DMS)
86.0731	C ₅ H ₁₀ O	2-methyl-3-buten-2-ol (MBO)
134.1095	C ₁₀ H ₁₄	1,2,4,5-Tetramethylbenzene

Reviewer Comment 20: Line 155: why did you have to perform calibrations so often?

Reply: We performed these calibrations often enough to assure good experimental results from the PTR-qiTOFMS during the experiment. Since we used a brand new instrument for these experiments we also wanted to assure that the performance of the instrument was adequate.

Reviewer Comment 21: Line 152: do you use an average calibration factor for all compounds? Which is certainly not correct but at least what I interpret from the text. Please state how do you specifically calibrate for GLV, MESA and Sgt. Do you have them in your calibration bottle?

Reply: When we have the compound in the gas standard we use an average value of all calibrations for that specific compound. For not directly calibrated compounds (including GLV & MESA) we extrapolated the sensitivity of measured compounds according to procedures described by Cappellin et al. 2012: (doi: 10.1021/es203985t).

Reviewer Comment 22 Line 161-166: please talk about possible contributors to this mass...how are you sure you can only attribute those signals to the mentioned compounds?

Reply: For isoprene and monoterpenes the uniqueness was verified by a set of parallel measurements using a GC-MS sampling setup (Fitzky et al. in prep.2020). For other compounds there is a wide body of literature of likely candidates that have been identified over the past decades as cited. Using PTR-TOF-MS we can obtain an actual isobaric formula, eliminating a range

of potentially interfering species compared to older technology (e.g. QMS). Yet it is true that potential interferences are always possible with in-situ instrumentation. So strictly speaking our results refer to the isobaric formulas which are now cited throughout the manuscript.

Changes: We are now more specific about the suggested species assignment and refer to the actual isobaric formula in the first place and mention likely VOCs contributing to the individual isobaric formulas.

Reviewer Comment 23: Line 193: please name and comment on the calibration of these compounds.

Reply: The ratio of the sum of carbon lost in form of BVOC (C_{BVOCs}) vs. the uptake of carbon from net photosynthesis (C_A) was calculated according to Pegoraro et al. (2004), with the BVOCs used to calculate C_{BVOCs} given in Table A3 (Line 213). The list of the compounds is summarized in Table A3. For the calibration of these compounds we used the calibration gas used for the calibration of the PTR.

Reviewer Comment 24: Line 254: why high to moderate, any references?

Change-> Line 276: All six trees began the experiment with a high to moderate mean SWP of -0.9 MPa (**Brüggemann and Schnitzler, 2002**)

Reviewer Comment 25: 260-263: please rephrase, I just don't get it.

Reply: The grouping performed for this analysis was based on SWP, and not time or day, because it reflects the actual physiological changes. We therefore clarified this paragraph as following.

Change-> Line 282: R1, shown in Fig. 2 (a), includes values of trees fumigated with ozone (DS×OS) from the first and the second day of analysis, **because, for this set, SWP hadn't changed much during these two days.** Differently, **for DS, R1** includes only measurements of the first day. **The values collected during the second day of analysis, for the set DS, is assigned to R2, because we observed a decreased of SWP between the first and second day of measurement.**

Reviewer Comment 26: Line 303: wouldn't it be better to say R4 instead of SWP -6MPa?

Change-> Line 327: emissions at **R4**.

Reviewer Comment 27: Line 310: please can you mention on how they did not change?

Change->Line 340: In contrast, **no significant increase was observed in the leaf temperatures**, suggesting IS emissions of DS×OS in R2 being a result of a temperature-independent isoprene production.

Reviewer Comment 28: Line 334: actually for MT DS there was no significant increase.....

Reply: Is significant the increase between R1 and R4 for DS.

Change-> Line 367: In this experiment MT emissions from *Q. robur* increased in DS and DS×OS trees. In the case of DS, there was a positive effect of drought, with **a significant** increase in MT emissions, although there was a drastic decrease of IS emissions when the water deficit was severe.

Reviewer Comment 29: Line373-374: please state the values of low and high

Change-> Line 403: In this experiment, GLV emissions in R4 **were not significantly different from R1, with low values** in ozone treated plants (DS×OS), while plants that were exposed to drought only (DS) exhibited higher emissions, **with a significant increase of GVL emissions between R1 and R4 (Table 2).**

Reviewer Comment 30: Line 404: please do not use the word believe!

Change-> Line 437: We **consider** that leaves

Combined effects of ozone and drought stress on the emission of biogenic volatile organic compounds from *Quercus robur* L.

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Abstract. Drought events are expected to become more frequent with climate change. To predict the effect of plant emissions on air-quality and potential feedback effects on climate, the study of biogenic volatile organic compound emissions under
15 stress is of great importance. Trees can often be subject to a combination of abiotic stresses, for example due to drought or ozone. Even though there is a large body of knowledge on individual stress factors, the effects of combined stressors are not much explored. This study aimed to investigate changes of biogenic volatile organic compound emissions and physiological parameters in *Quercus robur* L. during moderate to severe drought in combination with ozone stress. Results show that isoprene emissions decreased while monoterpene and sesquiterpene emissions increased during the progression of drought. **We exposed
20 plants with daily ozone concentrations of 100 ppb for one hour for seven days, which resulted in faster stomatal closure (e.g. a mean value -31.3% at an average stem water potential of -1 MPa) partially mitigating drought stress effects.** Evidence of this was found in enhanced green leaf volatiles in trees without ozone fumigation indicating cellular damage. In addition we observed an enhancement in (C₈H₈O₃)H⁺ emissions likely corresponding to methyl-salicylate in trees with ozone treatment. Individual plant stress factors are not necessarily additive and atmospheric models should implement stress feedback
25 loops to study regional scale effects.

1 Introduction

Plants, in both natural and managed ecosystems, release biogenic volatile organic compounds (BVOCs), covering over 30,000 known compounds (Peñuelas and Llusía, 2004). These molecules have different physical and chemical characteristics and they differ in their metabolic origins in plants (Peñuelas and Llusía, 2001; Laothawornkitkul et al., 2009; Maffei, 2010). An
30 important subset of BVOCs are isoprenoids, such **as isoprene (IS), monoterpenes (MT) and sesquiterpenes (SQT)**. The estimated global annual flux of IS ranges from 440 to 600 Tg C per year (Guenther et al., 2012). These values correspond to 2 % of the photosynthetically fixed carbon (Lal, 1999) and comprise a significant part of the total annual emission of BVOCs on a global scale of 1150 Tg C (Guenther et al., 1995).

The emission of BVOCs is strongly influenced by external factors (Peñuelas and Llusía 2003; Niinemets et al., 2004; Fitzky
35 et al., 2019). BVOCs are thought to play a role in protecting vegetation from abiotic (Peñuelas and Munné-Bosch, 2005; Velikova et al., 2005) and biotic stress (Berg et al., 2013; Amin et al., 2012; Amin et al., 2013), and to act as a system for plant–plant and plant–animal communication (Baldwin et al., 2006; Filella et al., 2013).

**Future climate scenarios with expected temperature increases between 1.8 and 4°C (IPCC, 2007) suggest an additional enhancement of global BVOC emissions between 30 to 45 % (Peñuelas and Llusía, 2003). An enhancement of abiotic
40 stress events, due to an indirect effect of a temperature increase (e.g. via ozone or drought episodes) can also alter**

BVOC emissions (EEA, 2017; Müller et al., 2008; Loreto and Schnitzler, 2010; Dai, 2013; Unger et al., 2013; Sindelarova et al., 2014). Drought stress can change the composition of BVOCs emitted by plants, depending on the nature of stress (Niinemets, 2010). Pegoraro et al. (2004) and Beckett et al. (2012) have shown that the gradual suppression of physiological processes of plants in response to drought stress initially leads to an increase in isoprene emissions, followed by a tapering off of isoprene emissions. In the initial phase of stress, the plant responds via a reduction of stomatal conductance leading to reduced transpiration rate; this results to an increase in temperature at the leaf level and a decrease of carbon assimilation (Siddique et al., 2000). Although emissions tend to increase initially due to reserves of reduced carbon present in the plant, isoprene emissions decrease under severe drought stress (Tingey, 1981; Pegoraro et al., 2004).

Besides increasing temperature and more severe droughts, future climate scenarios predict increasing ozone concentrations (Bowen, 1926; Kangasjärvi et al., 1994; Hollaway et al., 2012). Long-term elevated tropospheric ozone concentration affect BVOC emissions (Peñuelas et al., 1999), and induce alterations in photosynthetic performance increasing the production of reactive oxygen species (ROS) (Cotrozzi et al., 2017; Jolivet et al., 2016).

Ground-level O₃ concentrations in the pre-industrial period were around 10 ppb in Europe (Volz and Kley, 1988; Royal Society, 2008). For the period 2000–2014, the average ozone concentrations during the growing season (April to September) in European forests were 36.2 ppb, ranging from 14.5 to 70.1 ppb (Schaub et al., 2018). Instance of severe ozone pollution were recorded during the heatwave of summer 2003 in Europe, with peaks > 100 ppbv in UK (Lee et al., 2006).

Among plants, trees are the dominant source of BVOC emissions (Guenther et al., 1995), and they are not often subject to only one stress but to a combination of stresses (Fitzky et al., 2019). For example, drought and ozone stresses can often occur in parallel. The combinations of these stress factors are difficult to understand because ozone and drought stress individually lead to similar symptoms, such as cell dehydration, early senescence and cell necrosis (Chaves et al., 2003). **A typical class of compounds emitted by plants in a situation of stress are green leaf volatiles (GLVs). Their emissions are indicators for damage of cellular membranes (Hatanaka, 1993; Croft et al., 1993).** Other BVOCs are the product of metabolic processes in plants such as transcription and enzymatic activity which are induced by various stimuli, for example ozone (Betz et al., 2009). An example of such a BVOC is Methyl Salicylate (MeSa), produced by the shikimate pathway (Kessler and Balwin, 2001), which fixes 20 % of the carbon obtained from photosynthesis (Bentley, 1990; Herrmann and Weaver, 1999).

Few studies have analyzed the effects of plant emissions from a combination of drought and ozone stress (Vitale et al., 2008; Yuan et al., 2016). Studying *Quercus ilex*, Vitale et al. (2008) reported that drought stress leads to stomatal closure therefore reducing stress by ozone as it is restricted to enter the leaf. **They did not report effects of ozone when going from a well watered situation to severe stress.** Yuan et al. (2016) found that drought increased isoprene emissions in a hybrid poplar deltoid species, but that isoprene emissions decreased under moderate drought stress combined with long-term ozone fumigation. **In their case, Yuan et al. (2016) analyzed the emissions under a situation of moderate drought stress.**

Here we are also interested in the situation of severe stress that could occur in the future due to climate change, combined with model projections of elevated ozone concentrations (> 100 ppb).

Pollastrini et al. (2014) consider a change in sensitivity of the plants to ozone (different poplar clones) under severe drought conditions. In their case, ozone and drought produced a synergistic effect for CO₂ exchange and chlorophyll fluorescence when applied together. Witting et al. (2007) found a dependency on ozone effects under different levels of drought stress. In fact, Witting et al. (2007) report a dependency of the damage in the photosynthetic apparatus depending on the cumulative ozone flux into the leaf, thus in relation to stomatal conductance.

In this work, our hypothesis was that **ozone and drought stress** in plants are not necessarily additive, and that the plant's response to drought and ozone exposure can result in an alteration of characteristic BVOC emission strengths. **Changing BVOC emissions have an important impact on climate through atmospheric chemistry (Claeys et al., 2004, Paulot et**

al., 2009; Hallquist et al., 2009). The presence of BVOCs in the atmosphere contribute to the formation of tropospheric
85 ozone and growth of secondary organic aerosol (SOAs), and radicals (Griffin et al., 1999; Orlando et al., 2000; Atkinson
and Arey, 2003).

As a model plant we chose *Quercus robur* L., a widely distributed isoprene emitting oak species in Europe (Barstow and
Khela, 2017), **considered not at risk of extinction (Barstow and Khela, 2017).**

**In the future, this species may become more threatened (Barstow and Khela, 2017), triggering a migration from the
90 current climate range to a zone more representative of the north and east of Europe (EFDAC, 2015). Climate change
could also expose *Q. robur* to greater environmental stress from drought (Jonsson, 2012). Understanding how BVOC
emissions respond to climate change is therefore essential to understand what direct or indirect actions they can have
on the biosphere-atmosphere-climate system and to develop strategies necessary to mitigate the effects of climate
change itself (Kulmala et al., 2004; Yuan et al., 2009).**

95 2 Materials and Methods

2.1 Plant species and stress treatments

Q. robur is a broad-leaf tree species widely distributed in Europe growing in mixed and deciduous forest ranging from sea
level up to 1200 m ASL (Ülker et al., 2018). According to Ellenberg (1988), **the defensive actions of *Q. robur* against
100 drought stress are caused by fast regulation of transpiration rates and stomatal conductance**, and a low susceptibility of
water embolism in the xylem (Van Hees, 1997).

Fourteen 2-year-old *Q. robur* seedlings were planted in 7 L pots in March 2019. The substrate consisted to one-thirds of soil
used by the city gardeners for city trees in Vienna and two-thirds of quartz sand to improve drainage. The plants were fertilized
once after planting (universal fertilizer NovaTec, Compo, Münster, Germany) and from thereon kept well-watered in a
greenhouse at near ambient light **(80 % to 90 % of photosynthetically active radiation) (Lak et al., 2020).** The trees were
105 moved **from a greenhouse in Tulln** into another close-by greenhouse in Vienna two weeks prior to the experiments. Dust was
removed from the leaves by showering the trees before starting the drought stress.

For the biochemical reference assays, eight trees of the initial fourteen were used: four well-watered plants (C) and four
well-watered plants receiving one time 100 ppb ozone for one hour (OS) inside the enclosures. **The remainder (six plants)
were used for BVOC emission measurements, CO₂ and H₂O gas exchange measurements and biochemical assays.**
110 **Hereby, we were left with three replicates under drought stress (DS) and three replicates exposed to drought stress and
ozone (DS×OS). The drought stress was initiated, for all six plants 10 days** before the VOC measurements started and was
maintained by keeping the soil water content at 4-5 vol.% using a soil moisture probe (Fieldsout TDR100, 20 cm probe depth,
Spectrum 105 Technologies, UK), whereas 100 % field capacity was 13.4 vol.%. **With the start of VOC measurements, we
stopped watering the previously drought stressed trees to further increase drought stress.**

115 **The plants were moved from the greenhouse to an indoor climate chamber** (Fitotron Weiss Gallenkamp, UK) 24h hours
before the experiment started. **Thereafter trees were kept in the climate chamber for the remainder of the experiment
and were only placed into the branch enclosures during the gas exchange measurements. The branch enclosures were
situated next to the climate chamber in a climatized laboratory exhibiting the same environmental conditions as in the
climate chamber.** The climate conditions during the first day of experiment were kept at 25°C, ~60 % of **relative humidity
120 (RH)** and ~1000 $\mu\text{mol m}^{-2} \text{s}^{-1}$ PAR at canopy top, to adapt to constant air temperature. To continuously increase the drought
stress, the plants were not watered and the humidity in the climate chamber was decreased to 40 % RH and temperature was
increased to 30°C after the first day. **The same temperature conditions were also present in the climatized laboratory,
where the plants were placed in the enclosures at an RH of 32 % and 30°C. Overall light conditions remained constant
during the day, with lights of during the night.**

125 To study the effect of ozone exposure of trees during increasing drought, the six trees above mentioned, were separated into two groups, three trees were drought stressed and fumigated with 100 ppb O₃ (DS×OS) inside the enclosure for one hour each day after **the daily** measurement of BVOCs. The other three trees were drought stressed but not fumigated with ozone (DS). At the end of the experiment leaves were harvested for leaf area and enzyme analysis. Values of the enzymatic activity of C and OS were compared to DS and DS ×OS to investigate the effect of ozone fumigation.

130 **2.2 Measurement of leaf gas exchange and BVOC fluxes**

Throughout the increasing drought stress, tree leaf gas exchange (**CO₂ and H₂O**) and BVOC emissions were measured for two sets, DS and DS×OS, over a seven-day period, one in the morning and one in the afternoon alternating daily. The plants were taken out of the climate chamber and kept inside **the** custom-made plant enclosures (**Fig. 1**; TC-400, Vienna Scientific Instruments GmbH, Alland, Austria) for 2-3 hours each day in order to measure their **CO₂ and H₂O exchange** along with key
135 physiological parameters (soil moisture and stem water potential). **After the measurements inside the enclosures, the plants were moved back to the climate chamber until the next measurement session.** The plant enclosures covered most of the plant material excluding a few leaves (about 7 on each tree) to allow determination of stem water potential (SWP). Each day, one leaf was wrapped in aluminum foil and placed in a plastic bag for equilibrating to SWP (Williams and Araujo, 2002). After darkening for 30 minutes the leaf was cut off and SWP was measured by using a Scholander pressure bomb (Soil moisture
140 Equipment Corp., Goleta, CA, USA).

The four custom-made plant enclosures (12 liters) were lined with PTFE and sealed on top with 55×60 cm PET-bags. The plant enclosures were continuously flushed with 10 l min⁻¹ of ambient outside air that was previously passed through a cold trap to remove water and an activated carbon filter (360 m³ h⁻¹, PrimaKlima Trading, Radnice, CZ) to remove VOCs and O₃. This resulted in 32 % RH air and ~370 ppm CO₂ entering the enclosures (experimental conditions in Appendix A, Table A1).
145 The flow rate of 10 l min⁻¹, **tested during the experiment set-up prior to the actual experiments**, assured that no condensation of water occurred in the tubing and enclosures, as well as resulted in a slight overpressure preventing the entry of room air into the enclosures. Three of the enclosures were used to measure the air gas exchange of the plants and the fourth enclosure was kept empty as a reference to allow continuous monitoring of the air entering the enclosures. Trees inside the enclosure were LED-irradiated with a mean PAR value of 1374 μmol m⁻² s⁻¹ at canopy top (Eckel Electronics, Trofaiach,
150 Austria) **during daytime when the exchange measurements were performed. During night, trees were kept in the dark.** Leaf temperature was monitored in each enclosure by placing a calibrated (±0.1°C) thermocouple (type k, PTFE IEC wire; Labfacility Ltd, Bognor Regis, West Sussex, UK) on the abaxial side of a mature mid-canopy leaf.

An automated valve system allowed the consecutive analysis of air exiting each enclosure for 5 minutes each, leading to a 20 minutes cycle through the four enclosures. Before inserting the three trees into the enclosures, background measurements of
155 the empty enclosures were carried out. After inserting each plant into one enclosure, the plant was allowed to acclimatize for approximately two hours and the following 40–60 minutes of data was analyzed to determine plant CO₂ assimilation, transpiration and BVOC emissions rates. After the measurements, the trees of DS×OS were fumigated for one hour with 100 ppb of ozone each day.

CO₂ and H₂O mixing ratios in the air leaving the enclosures were measured using a CIRAS-3 SC PP System (Amesbury, MA,
160 USA), **which was factory calibrated three months before the measurement campaign.** Ozone measurements before and after the enclosures were conducted continuously in all enclosures with an ozone monitor (six channel ozone monitor BMT 932, BMT Messtechnik, Berlin, Germany). BVOC measurements were made using a proton transfer reaction time of flight mass spectrometer (PTR-Tof-MS, PTR-TOF6000X2, IONICON Analytik GmbH, Innsbruck, Austria; Graus et al., 2010) operated at 350 V drift voltage, ion funnel settings of 1 MHz and 35V amplitude as well as 35 VDC, and 2.5 mbar drift
165 pressure. These settings are comparable to an E/N of 100 Td in a PTR-TOF8000 with no ion funnel (Markus Müller, IONICON Analytic GmbH, personal communication 2019). The drift tube temperature was 100°C. Full PTR-Tof-MS mass spectra were

collected with a time resolution of 1 s and up to a mass to charge ratio m/z 547 amu. The **instrument background was characterized daily during calibrations and in the third empty enclosure that was flushed with background air. Backgrounds were measured every 20 minutes for 5 minutes. Humidity dependent** dynamic calibrations of VOCs using a standard gas mixture (Apel Riemer Environmental Inc., Broomfield, CO, USA), containing 15 compounds (**Table A2**) with different functionality distributed over a mass range of 33-137 amu were performed daily. **Daily measured sensitivities based on compounds in a calibration standard varied on the order of 8-20 % depending on the compound. This lies within the combined calibration uncertainties of the gas standard and dilution setup using two flow controllers. Whenever a compound was not contained in the calibration standard, we applied a compound specific sensitivity using procedures described by Cappellin et al. (2012).** The PTR-ToF-MS data was analyzed using the PTRTOF Data Analyzer v4 software (Müller et al., 2013) and customized Matlab scripts to obtain volume mixing ratios in the enclosures. The PTR-ToF-MS instrument has a high enough mass resolution to obtain isobaric formulas, minimizing potential interferences compared to quadrupole mass spectrometers. Strictly speaking measurements represented here are characterized by the isobaric formulas. The instrument was run in H_3O^+ mode, detecting Isoprene (**IS**), at m/z 69.070 [$(C_5H_8)H^+$], the sum of monoterpenes (**MT**) at m/z 137.133 [$(C_{10}H_{16})H^+$] and the major fragment at m/z 81.070 [$(C_6H_8)H^+$], and the sum of sesquiterpenes (**SQT**) at m/z 205.195 [$(C_{15}H_{24})H^+$] and m/z 149. [$(C_{11}H_{16})H^+$] The identity of isoprene and monoterpenes was additionally confirmed by GC-MS measurements (Fitzky et al., in preparation). The sum of GLVs presented in this study were monitored on m/z of 83.085, 85.101, 99.080, 101.096 and 143.107, representing 2-hexenal and 3-hexenal [$(C_6H_{10}O)H^+$], 3-hexenol [$(C_6H_{12}O)H^+$], 1-hexanol [$(C_6H_{14}O)H^+$] 3-hexenol [$(C_6H_{12}O)H^+$] and hexenyl acetate [$(C_8H_{14}O_2)H^+$], respectively (Beauchamp et al., 2005; Giacomuzzi et al., 2016; Portillo-Estrada et al., 2017). The correspondence of these ions to GLV has been demonstrated by previous studies (e.g Fall et al., 1999; Karl et al., 2001; Karl et al., 2005). Shikimate BVOCs were tentatively assigned to benzene as m/z 79.054 [$(C_6H_7)H^+$], phenol as m/z 95.050 [$(C_6H_7O)H^+$], methyl salicylate (**MeSa**) as m/z 153.055 [$(C_8H_9O_3)H^+$] and eugenol as m/z 165.092 [$(C_{10}H_{13}O_2)H^+$] (Brilli et al., 2011; Tasin et al., 2012; Maja et al., 2014; Brilli et al., 2016; Giacomuzzi et al., 2016; Portillo-Estrada et al., 2017; Yener et al., 2016; Misztal et al., 2015). Emissions of IS, MT and SQT were standardized to $1000 \mu mol m^{-2} s^{-1}$ PAR and $30^\circ C$ (IS_s , MT_s , SQT_s) using the Guenther et al. (1993) algorithm for IS_s , and Geron et al. (1994) for MT_s and SQT_s .

$$IS_s = \frac{IS}{C_L \times C_T} \quad (1)$$

$$C_L = \frac{\alpha c_{L1} L}{\sqrt{1 + \alpha^2 \times L^2}} \quad (2)$$

$$C_T = \frac{\exp\left(\frac{c_{T1}(T-T_s)}{RT_s T}\right)}{1 + \exp\left(\frac{c_{T2}(T-T_M)}{RT_s T}\right)} \quad (3)$$

$$195 \quad MT_s = \frac{MT}{\exp(\beta(T-T_s))} \quad (4)$$

$$SQT_s = \frac{SQT}{\exp(\beta(T-T_s))} \quad (5)$$

Where IS, MT and SQT are emission rates normalized by leaf area at sampling temperature $T(K)$ and sampling PAR flux L ($\mu mol m^{-2} s^{-1}$) at half plant height; α (=0.0027), c_{L1} (=1.066), R (= 8.314 J $K^{-1} mol^{-1}$), c_{T1} (=95,000 J mol^{-1}), c_{T2} (=230,000 J mol^{-1}), T_M (=314 K), β (=0.1) and T_s (=303.15 K) (Guenther et al., 1993; Geron et al., 1994).

200 Mass flow of air (**W**), transpiration rate (**E**), net photosynthesis (**A**) and stomatal conductance (**gs**) were calculated accordingly (CIRAS-3 Operation Manual V. 2-01, PP-Systems, Amesbury, MA, USA):

$$W = \left(\frac{V_0}{60 \times 10^3}\right) \times \left(\frac{1}{22.414}\right) \times \left(\frac{10^4}{a}\right) \quad [mol m^{-2} s^{-1}] \quad (6)$$

$$E = \left[\frac{W \times (e_{out} - e_{in})}{(P - e_{out})}\right] \quad [mol m^{-2} s^{-1}] \quad (7)$$

$$A = - \left[\left((C_{out} - C_{in}) \times W \right) + (C_{out} \times E) \right] \quad [\mu mol m^{-2} s^{-1}] \quad (8)$$

$$205 \quad e_{leaf} = 6.1365 \times \exp\left[\frac{T_{leaf} \times (17.502)}{T_{leaf} + 240.97}\right] \quad (9)$$

$$r_s = \left[\frac{(e_{leaf} - e_{out})}{(E \times (P - (e_{leaf} + e_{out})/2))} \right] - r_b \quad [m^2 s mol^{-1}] \quad (10)$$

$$g_s = \frac{1}{r_s} \times 10^3 \quad [mmol m^{-2} s^{-1}] \quad (11)$$

where V_0 is the volume air flow, a is the leaf area, e_{in} is the partial water vapor pressure of the air entering the enclosures, e_{out} is the partial water vapor pressure inside the enclosure, P is the atmospheric pressure, C_{in} concentration of CO₂ entering and
 210 C_{out} exiting the enclosure, e_{leaf} is the saturation vapor pressure at leaf temperature (T_{leaf}), r_s is the stomatal resistance and r_b is the boundary layer resistance to water vapor transfer, which was assumed zero according to the recommendations of the manufacturer (CIRAS-3 Operation Manual V. 2-01, PP-Systems, Amesbury, MA, USA).

The ratio of the sum of carbon lost in form of BVOC (C_{BVOCs}) vs. the uptake of carbon from net photosynthesis (C_A) was calculated according to Pegoraro et al. (2004), with the BVOCs used to calculate C_{BVOCs} given in Table A3.

215 After seven days, finishing the emission measurements, all leaves were harvested immediately, imaged with a flatbed scanner (Epson Expression 10000XL, Epson, Japan) and analyzed with the PC program WinFOLIA 2013 Pro (Regent Instruments Inc., Québec, Canada) to determine the leaf surface area. About 80 % of the leaves' fresh mass was shock-frozen and crushed in liquid nitrogen for biochemical assays (section 2.3). About 20 % of the leaves per plant were dried for three days in a drying room at 40°C to determine dry weight to an accuracy of ± 0.001 g for the calculation of enzyme activity and specific leaf area
 220 (SLA) (Table A4).

2.3 Biochemical assay

For the interpretation of the emissions of GLVs and Shikimate volatiles, enzymatic activities were analyzed additionally to better understand the effect of ozone fumigation during a situation of severe drought. **Using foliar materials collected after the seven day period of emission measurements (section 2.2) and stored at -80°C until analysis, peroxidase and**
 225 **antioxidant capacity, and phenol content (TPhe) were measured. These properties provide additional insights in the response of GLV and Shikimate emissions as products of the metabolic process of the enzymatic activity (Betz et al., 2009).**

Values from plants after seven days of increasing drought (DS×OS, DS) were compared to well-watered **control** plants (C) and a well-watered set of plants that received ozone fumigation once (OS).

230 For measurements of peroxidase activities, 0.5 g plant material, 0.25 g Polyclar AT (Serva Electrophoresis, Heidelberg, Germany) and 0.25 g quartz sand (Sigma-Aldrich, Steinheim, Germany), were homogenized in a mortar with 3 ml 0.1 M potassium phosphate buffer (pH 6.0). After removal of solid compounds by centrifugation at 4°C and 10000 × g for 10 minutes, 400 µL of the supernatant were subjected to gel chromatography with Sephadex G25 medium (GE Healthcare, Chicago, IL, USA) to remove low molecular weight compounds. Peroxidase activity was determined according to the Worthington Manual
 235 (1972). Briefly, the enzyme assay contained in a final volume of 1110 µL, 1095 µL buffer 0.1 M potassium phosphate buffer + 0.003 % (v/v) H₂O₂ (pH 6.0), 5 µL enzyme preparation, and 10 µL 1 % (w/v) *o*-dianisidin (Sigma-Aldrich-Aldrich, Vienna Austria) in MeOH.

The activity was determined by measuring the extinction at 460 nm on a DU-65 spectrophotometer (Beckman Instruments, Brea, CA, USA) in intervals of 30 s for a period of 6 minutes. The activity was calculated **from the slope in the initial linear**
 240 **portion of the reaction progress curved** using an extinction coefficient of $1.13 \times 10^4 M^{-1} cm^{-1}$ for oxidized *o*-dianisidine (Worthington manual, 1972). The protein content was determined by a modified Lowry procedure (Sandermann and Strominger, 1972) using bovine serum albumin as a standard. All measurements were performed in two technical replicates.

For the determination of the antioxidant capacity and the TPhen, the material was lyophilised and homogenized by grinding to fine powder in a mortar. 0.25 g of the lyophilised powder was extracted with 3 ml distilled water for 1 hour in a cooled
 245 water bath during sonication. After centrifugation for 5 minutes at 4°C and 10000 × g, the supernatant was filtered through a Chromafil AO-20/25 polyamide filter (Roth, Karlsruhe, Germany).

The TPhen was determined as described (Wootton-Beard et al., 2011) with some modifications. Briefly, 100 μL of the aqueous solution was mixed with 6 mL distilled water and 500 μL Folin Ciocalteu Reagent (Sigma-Aldrich, Vienna, Austria) (1:1 v/v with distilled water). After equilibration for 8 minutes, 1.5 ml 20 % Na_2CO_3 (w/v) and 1.9 ml distilled water were added and the mixture was incubated at 40°C for 30 minutes. The TPhen was obtained by measuring the absorbance of the mixture at 250 765 nm using a freshly prepared standard curve obtained with gallic acid. The results were expressed as μg gallic acid equivalents per g sample. All measurements were performed in technical triplicates.

The in vivo antioxidant activity was determined with *Saccharomyces cerevisiae* ZIM 2155 as model system following the procedures described in Slatnar et al. (2012), which estimates intracellular oxidation by fluorometrical measurements using the ROS-sensitive dye 2',7'-dichlorofluorescein (H_2DCF). 100 μl of the aqueous samples were incubated with 10 mL yeast suspension at their stationary phase in phosphate buffered saline (PBS, Merck KGaA, Darmstadt, Germany) at a density of 10^8 cells/suspension at 28°C and 220 rpm for 2 h. After a centrifugation step at room temperature for 5 minutes at $14000 \times g$, the pellet was washed three times with 50 mM potassium phosphate buffer (pH 7.8) and was finally resuspended in 9 volumes of 500 μL 50 mM potassium phosphate buffer (pH 7.8) and incubated for ten minutes at 28°C and 220 rpm in the dark. After 260 addition of 10 μL H_2DCF (1 mM stock solution in 96 % ethanol), the mixture was incubated for further 30 minutes at 28°C and 220 rpm. The fluorescence of the yeast cell suspensions was measured at a GloMax[®] Multi Microplate Reader (Promega, Walldorf, Germany) using excitation and emission wavelengths of 490 and 520 nm, respectively. Values of fluorescence intensity were measured against a blank, in which the sample was replaced with water. Data are expressed as relative fluorescence intensity, where the values obtained with the blank are defined as 1. Values lower than 1 indicate a higher 265 antioxidant activity than the blank (Slatnar et al., 2012). All measurements were performed in two technical replicates.

2.4 Statistical analyses

Emission rates, physiological parameters, means and standard deviation were calculated with Matlab (MATLAB and Statistics Toolbox Release 2017a, The MathWorks, Inc., Natick, MA, United States). All leaf gas exchange (CO_2 and H_2O) and BVOC flux measurements collected over the seven-day period for the set DS and DS \times OS were aggregated into four ranges of SWP 270 (R1: 0.00 to -1.40 MPa; R2: -1.45 to -2.85 MPa; R3: -2.90 to -4.30 MPa, R4: -4.35 to -6.00 MPa) to perform statistical analysis using the Wilcoxon rank sum test. To test for significant differences in the biochemical markers a one-way ANOVA test was used. For both tests p-values below 0.05 were considered significant.

3 Results and Discussions

3.1 Stomatal closure and net photosynthesis

SWP was measured daily and used as a drought stress indicator to study the evolution of *Q. robur* under continuously increasing drought condition. All six trees began the experiment with a high to moderate mean SWP of -0.9 MPa (Brüggemann and Schnitzler, 2002) and reached low values in the order of -5.5 MPa after seven days of continuously increasing drought stress. Mean and standard deviation of stomatal conductance, net photosynthesis, leaf temperature and SWP as well as notes for statistically significant differences are summarized in Table 1 for the four drought stress ranges defined in 2.4. The mean 280 stomatal conductance (g_s) of DS \times OS was 20.2 $\text{mmol m}^{-2} \text{s}^{-1}$ in R1 and decreased to 6.8 $\text{mmol m}^{-2} \text{s}^{-1}$ in R2 (Table 1). For DS it was 42.4 $\text{mmol m}^{-2} \text{s}^{-1}$ in R1 and decreased to 6.6 $\text{mmol m}^{-2} \text{s}^{-1}$ in R2. For both sets the reduction of g_s and SWP between R1 and R4 was significant (p-value 0.02 and 0.05 for DS and DS \times OS respectively). R1, shown in Fig. 2 (a), includes values of trees fumigated with ozone (DS \times OS) from the first and the second day of analysis, because, for this set, SWP hadn't changed much during these two days. Differently, for DS, R1 includes only measurements of the first day. The values collected 285 during the second day of analysis, for the set DS, is assigned to R2, because we observed a decreased of SWP between the first and second day of measurement. This shows that trees of DS \times OS closed their stoma quickly at higher stem water

potential after the first ozone fumigation session, and confirms what was reported in other studies that moderate ozone concentrations can induce partially closed stomata (Khatamian et al., 1973; Farage et al., 1991; Wittig et al., 2007). A partial stomatal closure prevented excessive water loss through stomatal openings (Pinheiro and Chaves, 2011; Mc Dowell et al., 2008; Allen et al., 2008) during drought stress, and enhanced the closure with ozone allowing DS×OS plants to better survive the increased drought. Kobayashi et al. (1993) considers the interactive effects of O₃ and drought stress using a growth model of soybean, finding that ozone fumigation reduces or postpones drought stress, similar to the findings of this experiment. Figure 2 (b) shows a decrease of net photosynthesis (*A*) with the increase of the stress for both set, especially between R1 and R2, whereas the values in R3 and R4 are close to zero. In R1, *A* presented the same differences exposed for *g_s* between the sets. Our results are different from the finding of Tjoelker et al. (1995) and Paoletti (2005), where stomatal conductance and photosynthesis are shown to decouple at moderate ozone exposure due to direct damage to biochemical carboxylation, caused by chronic ozone exposure.

The ratio of C_{BVOCs} and C_A is shown in Fig. 3. **IS, the dominant BVOC (averagely 96 % of the total emissions), mean standardized IS emissions of DS×OS treated plants were consistently higher in all SWP ranges compared to DS alone (Fig. 4), thus showing the difference between DS and DS×OS in C_{BVOCs}/C_A in the highest SWP ratio range.** Initially, at low drought stress (R1), 3-7 % of the assimilated carbon was lost as emitted BVOC, which matches findings in other studies (Sharkey et al., 1991; Baldocchi et al., 1995; Monson and Fall, 1989; Fang et al., 1996), showing that ~2 % of carbon assimilated is lost as IS (C_{IS}/C_A) under unstressed conditions and at 30°C. As CO₂ assimilation rate decreased quickly, and BVOC emission (especially isoprene emission) stayed elevated the ratio of lost vs. fixed carbon increased to 20 % for DS and 16 % for DS×OS in R2. Pegoraro et al. (2004) reported a carbon loss in the order of 50 % for SWP of -2 MPa, in a drought experiment with *Quercus virginiana*. In R3, the increasing stress corresponded to ratios of 0.7 and 1.03 for DS and DS×OS respectively. Alternative carbon sources for isoprene biosynthesis under drought stress are thus proposed for DS×OS. For example, extra-chloroplastic origin or chloroplastic starch (Karl et al., 2002; Kreuzwieser et al., 2002; Funk et al., 2004; Affek and Yakir, 2003; Schnitzler et al., 2004; Rosenstiel et al., 2003) can sustain carbon sources for isoprene production. At very high drought stress (R4) this ratio decreased again to 0.4 in DS and 0.8 in DS×OS.

3.2 BVOCs emissions

To give a general overview on BVOC emissions for both sets Fig. 5 (a) and (b) show the total mass spectra ranging from 40-220 amu for the first and last day of measurement for DS and DS×OS respectively. Figure 5 (c) shows relative changes of the mass spectra between the first and last day of measurements. The mass range 80–110 amu, hosting many mass to charge ratios associated with GLVs, showed the strongest difference between the two sets. Plants exposed to ozone and drought stress (DS×OS) exhibited smaller increases in this mass range compared to drought stressed (DS) plants. Changes in emissions or lack thereof for IS, MT, SQT and stress related BVOCs are investigated in further detail below and are summarized in Table 2.

3.2.1 Isoprene emissions

Q. robur is generally classified as a high IS emitting (Benjamin and Winer, 1998; Lehning et al., 2002) and medium to low MT and SQT emitting species (Owen et al., 1997; Karl et al., 2009; Steinbrecher et al., 2009). **IS emitted by plants and synthesized by the enzyme isoprene synthase (Silver and Fall, 1991) and via the 2-methylerythritol 4-phosphate (MEP) pathway (Lichtenthaler et al., 1997; Schwender et al., 1997; Lichtenthaler, 1999) in chloroplasts (Wildermuth and Fall, 1996; 1998).** Figure 4 shows standardized isoprene emissions (IS_s) as a function of drought stress for all investigated trees. In the range of SWP R1 the plants were in a low- to no-water-stress condition (Brüggemann and Schnitzler, 2002). Whereas *g_s* and *A* (Fig. 2 (a),(b)) decreased rapidly with increasing drought stress and bottom out at -3 MPa, isoprene emissions decreased

much slower reaching close to zero emissions at **R4**. IS_S in R1 was $12.8 \text{ nmol m}^{-2} \text{ s}^{-1}$ and $18.0 \text{ nmol m}^{-2} \text{ s}^{-1}$ for DS and DS×OS respectively. In R4 the mean IS_S was $1.7 \text{ nmol m}^{-2} \text{ s}^{-1}$ for DS and $3.9 \text{ nmol m}^{-2} \text{ s}^{-1}$ for DS×OS.

330 Given that IS_S emissions remain higher in DS×OS for R1 and R2, compared to DS suggests that overall isoprene production within the leaves must have remained high in response to ozone. High IS fluxes due to ozone treatment are also reported in other studies (Fares et al., 2006; Velikova et al., 2005; Kanagendran et al., 2018).

An increase in IS with moderate stress was observed by Pegoraro et al. (2004) and Beckett et al. (2012), who related this finding to an increase in leaf temperatures as a consequence of stomatal closure. In contrast, **a no significant increase was**
335 **observed in the leaf temperatures**, suggesting IS emissions of DS×OS in R2 being a result of a temperature-independent isoprene production.

The decrease of A with decreasing SWP, particularly at mild drought stress (Fig. 2 (b)), is much more pronounced than the decrease of IS_S emission rates (Fig. 4). Similar results are found for leaf level measurements of *Q. robur* (Brüggemann and
340 Schnitzler, 2002), *Populus alba* (Brilli et al., 2007) and *Quercus virginia* (Pegoraro et al., 2004) as well as on the ecosystem scale in the Ozark region in the central U.S. (Seco et al., 2015).

Even though the rate of photosynthetic carbon assimilation declined much faster under drought than IS, a substantial decline of IS was also seen as drought progressed. Drought stress has been found to be one of the stronger influencing factors affecting
345 photosynthesis but had often only limited influence on IS emission rates (Tingey et al., 1981; Sharkey and Loreto, 1993; Fang et al., 1996).

In young hybrid poplars (*Populus deltoides* cv. 55/56 x *P. deltoides* cv. Imperial), the combined application of elevated ozone and drought decreases isoprene emission, whereas drought alone increases the emission, and ozone alone decreases it (Yuan
et al., 2016).

350 Studies report that volatile isoprenoids strengthen cellular membranes, thus maintaining the integrity of the thylakoid-embedded photosynthetic apparatus and have a generic antioxidant action by deactivating ROS around and inside leaves and thus indirectly reduce the oxidation of membrane structures and macromolecules (Singsaas et al., 1997; Loreto and Velikova, 2001; Affek and Yakir, 2002; Loreto and Schnitzler, 2010; Velikova et al., 2012).

3.2.2 Terpenoid emissions

355 **Monoterpenes (MT) and sesquiterpenes (SQT), other classes of isoprenoids, are synthesized through the condensation of isoprene units (allylic isomer dimethylallyl diphosphate, DMAPP and isoprenyl diphosphate, IPP) (Ruzicka, 1953; Cheng et al. 2007). Geranyl diphosphate (GDP) is the precursor of all MT isomers. GDP is formed from IPP and DMAPP driven enzymatically by GDP synthase (Mahmoud and Croteau, 2002). Farnesyl diphosphate (FDP) synthases adds two molecules of IPP to DMAPP for the formation of the SQT precursors, C_{15} diphosphate (Cheng et al., 2007).**

360 Figure 6 shows MT_S (a) and SQT_S (b) as a function of SWP. Mean MT_S for DS and DS×OS were $1.0 \times 10^{-2} \text{ nmol m}^{-2} \text{ s}^{-1}$ and $3.6 \times 10^{-2} \text{ nmol m}^{-2} \text{ s}^{-1}$ respectively at R1. With the increase of drought stress (R3) DS×OS decreased to $1.5 \times 10^{-2} \text{ nmol m}^{-2} \text{ s}^{-1}$ while DS emissions remained stable ($1.0 \times 10^{-2} \text{ nmol m}^{-2} \text{ s}^{-1}$). For higher drought stress (R4) both sets showed an increase in MT emissions reaching $3.3 \times 10^{-2} \text{ nmol m}^{-2} \text{ s}^{-1}$ for DS and $4.7 \times 10^{-2} \text{ nmol m}^{-2} \text{ s}^{-1}$ for DS×OS.

Loreto et al. (2004), demonstrated that ozone can stimulate the emission of monoterpenes in *Q. ilex*, but that ozone has no
365 effect on photosynthesis nor on any other physiological parameter, when Mediterranean oak plants are exposed to mild and repeated, as well as acute ozone stress.

In this experiment MT emissions from *Q. robur*, increased in DS and DS×OS trees. In the case of DS, there was a positive effect of drought, with **a significant** increase in MT emissions, although there was a drastic decrease of IS emissions when the

water deficit was severe. These observations contrast those by Llusía and Peñuelas (1998) for *Q. coccifera* reporting a decrease
370 of MT emissions **under severe drought conditions**. This could be due to the fact that in the case of *Q. coccifera* no specific
terpene storage structures are present in leaves, while they are present in *Q. robur* (Karl et al., 2009).

In both sets SQT_s emissions remained close to zero down to a SWP of -3 MPa. SQT_s emissions increase with increasing
drought stress reaching a mean value of 1.4×10^{-2} nmol m⁻² s⁻¹ for DS and 3.5×10^{-2} nmol m⁻² s⁻¹ for DS×OS in R4. The
375 increase of SQT_s in the set with ozone began one day later than in the set without ozone fumigation.

Stress on plants can induce SQT emissions (Toome et al., 2010; Maes and Debergh, 2003; Ibrahim et al., 2006). Ormeño et
al. (2007) observe a reduction of sesquiterpenes with drought stress for a variety of plant species including *Q. coccifera*. For
Q. robur we see an increase of SQT emissions under conditions of severe drought.
380 The release of SQT from leaves can be triggered when plants face stress due to oxidative processes in leaves, indicating that
damaging effects inside the plants start to occur (Beauchamp et al., 2005; Bourtsoukidis et al., 2012). Unlike MT, SQT don't
provide an additional barrier to plant damage during severe water stress (Palmer-Young et al., 2015). This is due to their
different physico-chemical characteristics and the different pathways that produce them (Niinemets et al., 2004; Umlauf et al.,
2004). In the case of SQT emissions, the parallel occurrence of two stresses (ozone and increased drought) generally led to an
385 increase in emissions. In fact, the higher SQT emissions in DS×OS compared to DS may have been due to ozone, similar to
those reported in Beauchamp et al. (2005).

3.2.3 GLV and SHIKIMATE emissions

**GLVs are released once the membrane is injured independently of the stress that caused the damage (Heiden et al.,
2003). The release of GLVs is related to the degree of damage, and high emissions are linked to high membrane
390 degradation (Fall et al., 1999; Beauchamp et al., 2005; Behnke et al., 2009).**

In this experiment, the ΣGLV increased for both sets in R4 (Fig. 7 (a)). Within ΣGLV m/z 99.080, attributable to hexenal
isomers, showed the strongest increase in DS (mean value of m/z 99.080 in R4 was 68 % of the Σ GLV emission). Within the
cascade of GLV production, (E)-2-hexenal and (Z)-3-hexenal are typically the ones appearing first (Fall et al., 1999).
DS×OS, on the other hand, showed an increase in Shikimate compounds (Fig. 7 (b)) at SWP < -3 MPa, DS showed similar
395 but less pronounced trend. The ΣShikimate was dominated by Methyl Salicylate (MeSa) across the entire SWP range for DS
and in R1-R3 for DS×OS. R4 of DS×OS was dominated by m/z 95.050 (matching the exact mass of protonated phenol,
C₆H₇O⁺). MeSa is considered as a volatile stress signaling molecule from plants (Karl et al., 2008). High emissions of MeSa
are also found in the case of the tobacco plant (*Nicotiana tabacum* L. cultivars) in both O₃ sensitive and O₃ tolerant, exposed
to ozone at high concentrations (Heiden et al., 1999; Beauchamp et al., 2005).

400 Observing the increase in GLV emissions in DS and Shikimate emissions in DS×OS was important to understand how ozone
affected the *Q. robur* trees exposed to drought stress. The impact of exposure to high ozone concentrations on ROS production
was not significant and not associated with membrane lesions in Pellegrini et al. (2019). In this experiment, GLV emissions in
R4 **were no significantly different from R1, with low values** in ozone treated plants (DS×OS), while plants that were exposed
405 to drought only (DS) exhibited higher emissions, **with a significant increase of GVL emissions between R1 and R4 (Table
2)**. The observations of this experiment can be interpreted such that plants did not suffer from detrimental effects due to acute
ozone exposure yet (e.g. Beauchamp et al., 2005), but that mild ozone exposure can potentially delay effects of drought stress
and help maintain membrane structure and integrity.

The activation of an efficient free radical scavenging system can minimize the adverse effects of a general peroxidation (Miller
410 et al., 1999). This was not the case in DS, where exposure to severe water stress alone led to an increase of GLV emissions

suggesting the onset of physical membrane damage, as the enhancement of the lipoxygenase activity, in accordance with other studies (Ebel et al., 1995; Wenda-Piesik, 2011). In addition to the lipoxygenase and hydroperoxide lyase systems producing GLVs, the phenylpropanoid pathway signals plant responses to stimuli induced by abiotic factors (Dixon and Paiva, 1995; Baier et al., 2005; Heath, 2008; Vogt, 2010), but drought stress alone does not induce the phenylpropanoid pathway in *Q. robur* (Pellegrini et al., 2019).

On the other hand, DS×OS, showed a small increase of GLV only at the highest stress level. We take this to indicate that ozone has the potential to inhibit drought stress damage and therefore the emissions of GLV, by stimulating the phenylpropanoid pathway to form an antioxidant protection for chloroplasts (Pellegrini et al., 2019). **The GLV emissions in DS×OS are initially inhibited during the onset of drought. While ozone fumigation initially inhibits the activation of the lipoxygenase and the hydroperoxide lyase pathway indirectly, these pathways are clearly triggered during the progression of severe drought stress (R4) (Heiden et al., 2003; Matzui, 2006).** Cabané et al. (2004) report that, in poplar leaves, ozone exposure not only stimulate the enzymes of the phenylpropanoid pathway, but also the activity of the enzyme SHDH of the shikimate pathway that yield TPhe in fully developed leaves.

To better understand the emissions of GLVs and Shikimate volatiles, we looked at antioxidant capacity, total phenol content and peroxidase activity summarized in Table 3. No significant differences were found for antioxidant capacity between the sets DS, DS×OS and their corresponding references C, and OS. However, it appeared that the OS had the highest oxidizing capacity. TPhen in the fully developed leaves was significantly higher in the two groups experiencing drought stress (DS, DS×OS) than in those with no drought stress (C, OS). Pellegrini et al. (2019) found, a significant difference in TPhen content in well-watered plants with the increase of ozone, and a decrease at moderate drought and no significant influence of ozone on TPhen during severe drought in *Q. robur*. The results of our study showed no significant decrease in TPhen due to ozone fumigation both in well-watered and severe drought condition (R4) (OS, DS×OS). Peroxidase activity analysis did not show significant differences between the four sets. This is in accordance with the finding of Schwanz and Polle (2001) who found that unspecific peroxidase activities are not affected by drought stress in *Q. robur*.

4. Conclusions

The changes in BVOC emissions of *Q. robur* subject to continuously increasing drought were investigated and differences in the drought progression were observed in plants with and without ozone fumigation. Stomatal conductance and net photosynthesis showed a fast reaction to increasing drought closing stomata and reducing CO₂ uptake strongly. IS_s emissions, on the other hand, stayed high down to a SWP of -3 MPa and then decreased gradually. We **consider** that leaves must have maintained a high production of IS to sustain similar emissions compared to a SWP of -2 MPa. MT_s and SQT_s emissions increased under high drought stress. Plants that were subject to one hour of ozone fumigation (~100 ppbv) every day in addition to reduced watering showed lower stomatal conductance at mild drought stress compared to those with no ozone fumigation, and consecutively the effect of drought was slowed down. The Shikimate pathway, producing antioxidants, was stimulated earlier in the set with ozone. The combination of (i) sustained isoprene emissions, (ii) increase of antioxidants due to the higher stimulation of the two pathways (phenylpropanoid and shikimate) and (iii) early closure of the stomata resulted in a longer endurance of drought stress in the set exposed to ozone. Therefore, we conclude that fumigation with moderately high ozone levels (~100 ppbv) decelerated the effect of drought in *Q. robur*. Overall *Q. robur* leaves appeared very resistant to drought stress. Consequently GLVs indicating cell damage were only emitted at SWP < -5 MPa.

As seasonal drought events and elevated ozone concentrations often occur in parallel in mid latitudes (Lów et al., 2006; Panek et al., 2002) it is important to study their combined stress effects. In this study we observe that a combination of stresses can lead to opposing feedbacks that alter BVOC emissions. These effects are compound specific and reflect biochemical changes in the plant.

Author contribution

AP, LK, TGK, GW, HH drafted the manuscript, which was edited by all co-authors. Laboratory work was performed by AP, LK, ACF, MG, TGK, HS and JG. AP, LK, ACF and HH analyzed and interpreted the data.

455 Competing interests

The authors declare that they have no conflict of interest.

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860 Tables

Table 1: Mean and standard deviation in parenthesis for stomatal conductance (gs), net photosynthesis (A), leaf temperature (Tleaf) and stem water potential (SWP) divided into four ranges of SWP (R1: 0.00 to -1.40 MPa; R2: -1.45 to -2.85 MPa; R3: -2.90 to -4.30 MPa, R4: -4.35 to -6.00 MPa).

	R1		R2		R3		R4	
	DS	DS×OS	DS	DS×OS	DS	DS×OS	DS	DS×OS
g_s [mmol m ⁻² s ⁻¹]	42.4 (28.9)^a	20.2 (13.8) ^c	6.6 (4.9)	6.8 (2.7)	3.8 (0.8)	3.3 (0.4)	3.9 (0.7)^a	2.9 (0.1) ^c
A [μmol m ⁻² s ⁻¹]	3.38 (2.08)^a	1.99 (1.37) ^c	0.58 (0.78)	0.52 (0.36)	0.08 (0.07)	0.05 (0.02)	0.10 (0.10)^a	0.02 (0.004) ^c
T_{leaf} [K]	302.3 (1.9)	303.0 (1.7)	302.5 (1.0)^b	301.3 (0.6)^b	302.1 (0.9)	302.6 (1.2)	301.1 (1.1)	302.7 (0.2)
SWP [MPa]	-0.9 (0.2)^a	-0.9 (0.1) ^c	-2.0 (0.1)	-2.3 (0.2)	-3.3 (0.2)	-3.6 (0.5)	-5.5 (0.5)^a	-5.4 (0.7) ^c

865 Values in bold marked with (a) indicate a significant (p-values<0.05) differences between R1 and R4, (b) indicate a significant difference between the set under drought stress (DS) and the set under drought stress with ozone treatment (DS×OS). Values marked with (c) indicate close to significant differences with p- values of 0.05-0.06 between R1 and R4.

870 Table 2: Mean and standard deviation for standardize isoprene emissions (IS_s), standardized monoterpenes emissions (MT_s), standardized sesquiterpenes emissions (SQT_s), sum of GLV (ΣGLV) and sum of Shikimate (ΣSHIKIMATE) for each set divided by range of stem water potential (SWP) (R1: 0.00 to -1.40 MPa; R2: -1.45 to -2.85 MPa; R3: -2.90 to -4.30 Mpa, R4: -4.35 to -6.00 MPa).

	R1		R2		R3		R4	
	DS	DS×OS	DS	DS×OS	DS	DS×OS	DS	DS×OS
IS _s [nmol m ⁻² s ⁻¹]	12.8 (2.0)^a	18.0 (7.3) ^c	8.6 (3.8)^b	17.3 (4.1)^b	6.9 (2.4)	10.6 (4.4)	1.7 (0.9)^a	3.9 (2.6) ^c
MT _s [nmol m ⁻² s ⁻¹]	0.010 (0.002)^a	0.036 (0.026)	0.009 (0.004)	0.023 (0.013)	0.010 (0.002)	0.015 (0.010)	0.033 (0.014)^a	0.047 (0.012)
SQT _s [nmol m ⁻² s ⁻¹]	0.002 (0.001)^a	0.002 (0.001) ^c	0.003 (0.002)	0.001 (0.001)	0.005 (0.003)	0.007 (0.008)	0.014 (0.005)^a	0.035 (0.007) ^c
ΣGLV [nmol m ⁻² s ⁻¹]	0.002 (0.001)^a	0.003 (0.004)	0.004 (0.005)^b	0.001 (0.003)^b	0.002 (0.002)	0.001 (0.001)	0.032 (0.045)^a	0.009 (0.010)
ΣSHIKIMATE [nmol m ⁻² s ⁻¹]	0.001 (0.001)	0.003 (0.001) ^c	0.001 (0.002)	0.003 (0.003)	0.004 (0.002)	0.008 (0.012)	0.003 (0.001)	0.009 (0.001) ^c

Values in bold marked with (a) indicate a significant (p-values<0.05) differences between R1 and R4, (b) indicate a significant difference between the set under drought stress (DS) and the set under drought stress with ozone treatment (DS×OS). Values marked with (c) indicate close to significant differences with p-values of 0.05-0.06 between R1 and R4.

875 Table 3: Mean and standard deviation of antioxidant capacity, total phenol content (TPhen), peroxidase activity for well-watered sets with (OS) and without (C) ozone treatment, and for sets under severe drought stress with (DS×OS) and without (DS) ozone treatment after seven days of measurements.

	Antioxidant Capacity [(F ODSample ⁻¹) (F ODControl ⁻¹) ⁻¹]	TPhen [grGAEequiv. kg ⁻¹ (DW)]	Peroxidase Activity [μmol s ⁻¹ kg ⁻¹ (DW)]
C	0.9 (0.1)	35.6 (11.7)^e	0.9 (0.7)
OS	0.8 (0.04)	25.8 (11.7)^f	0.6 (0.3)
DS	1.0 (0.1)	86.5 (24.1)^e	0.9 (0.3)
DS×OS	1.0 (0.1)	77.1 (9.2)^f	0.8 (0.4)

Values in bold marked with (e) represent values with significant (p-value <0.05) differences between C and DS, (f) represent values with significant differences between OS and DS×OS.

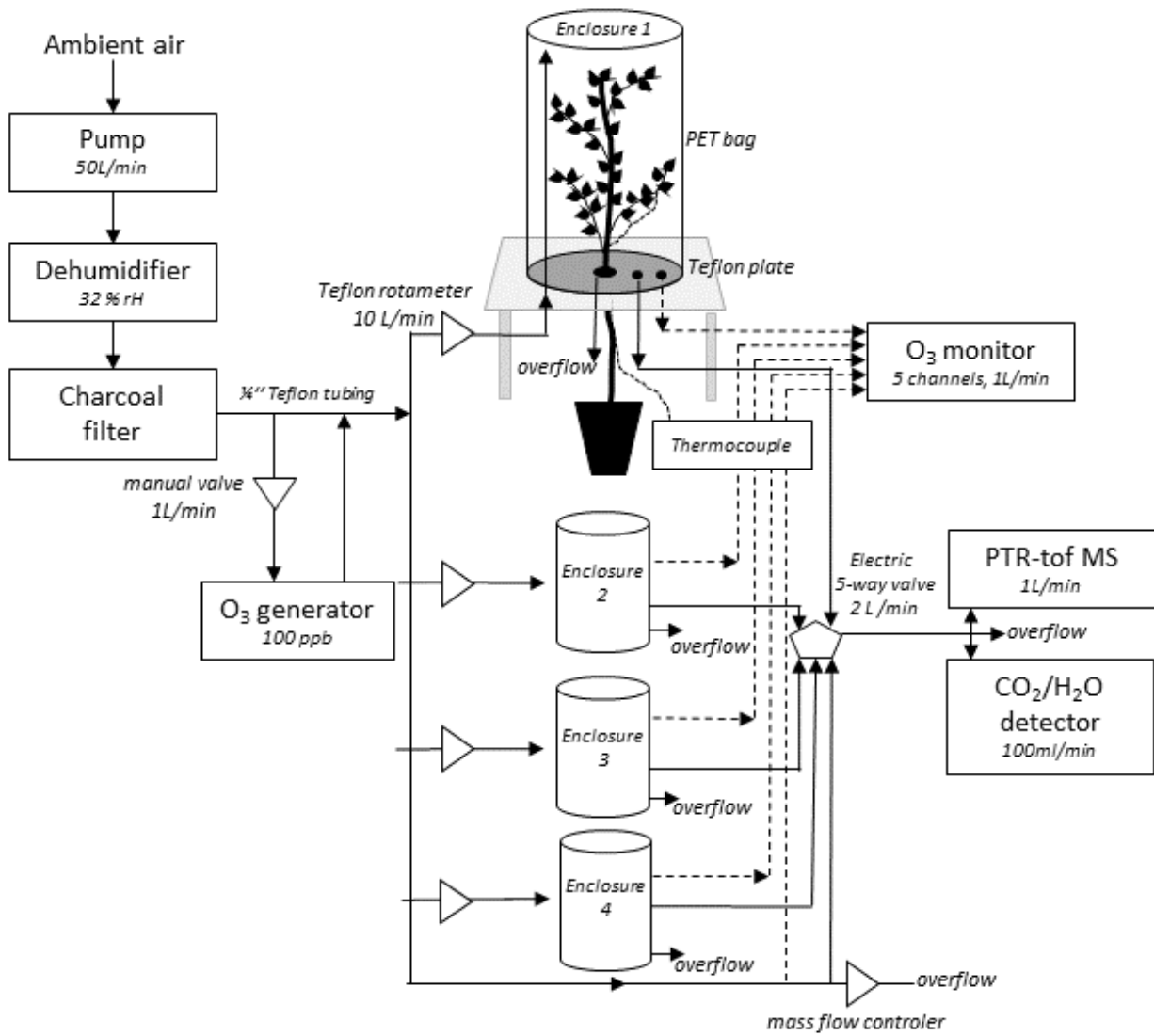
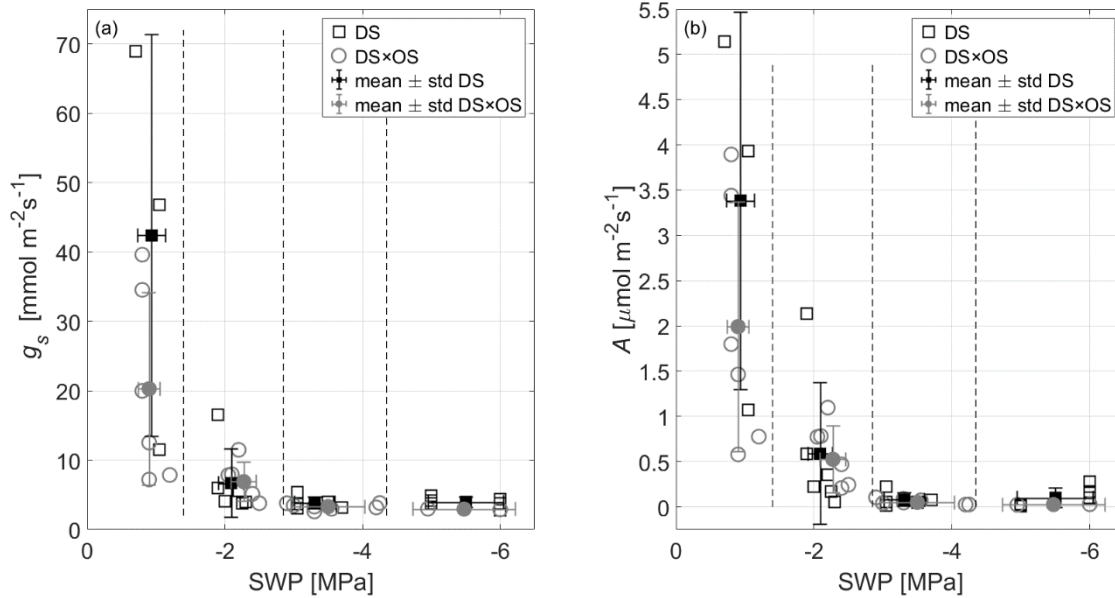
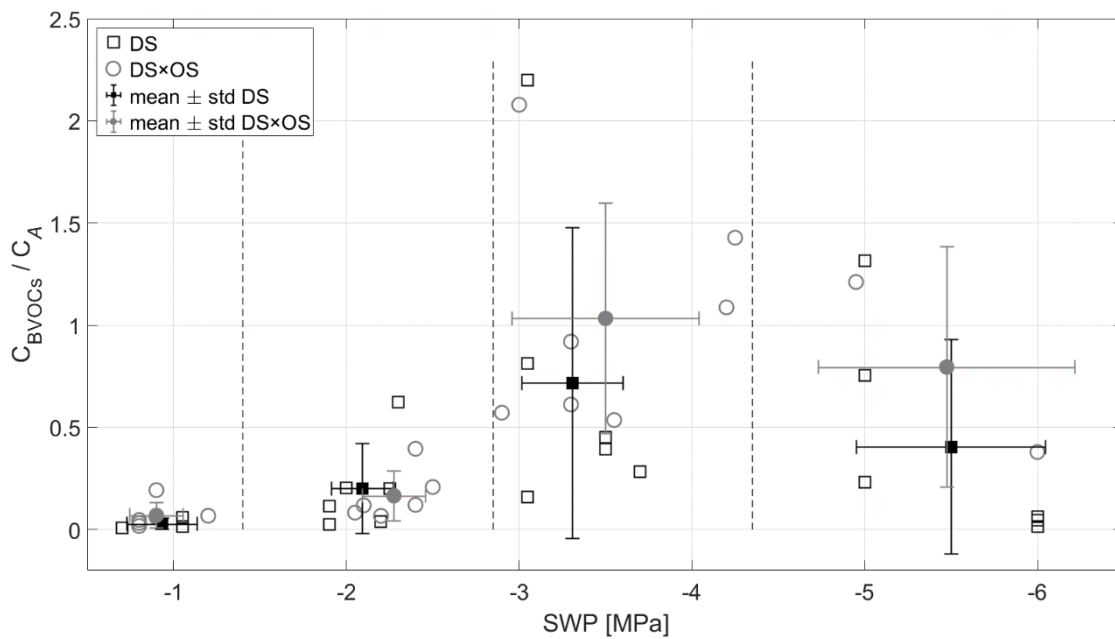


Figure 1: Scheme of a custom-made plant enclosure and set up of the experiment. In brief, the chambers consisted of a PTFE-covered bottom plate with an opening mechanisms to insert and seal the plant stem using PTFE-plugs; furthermore, the bottom plate featured three in- and outlets for gas sampling and ozone exposure; the inlet was raised above the bottom plate to allow for air mixing. The upper part of the chamber consisted of a transparent, 12-liter PET-bag, holding most of the tree crown. The bags were tightly sealed towards the bottom plate.

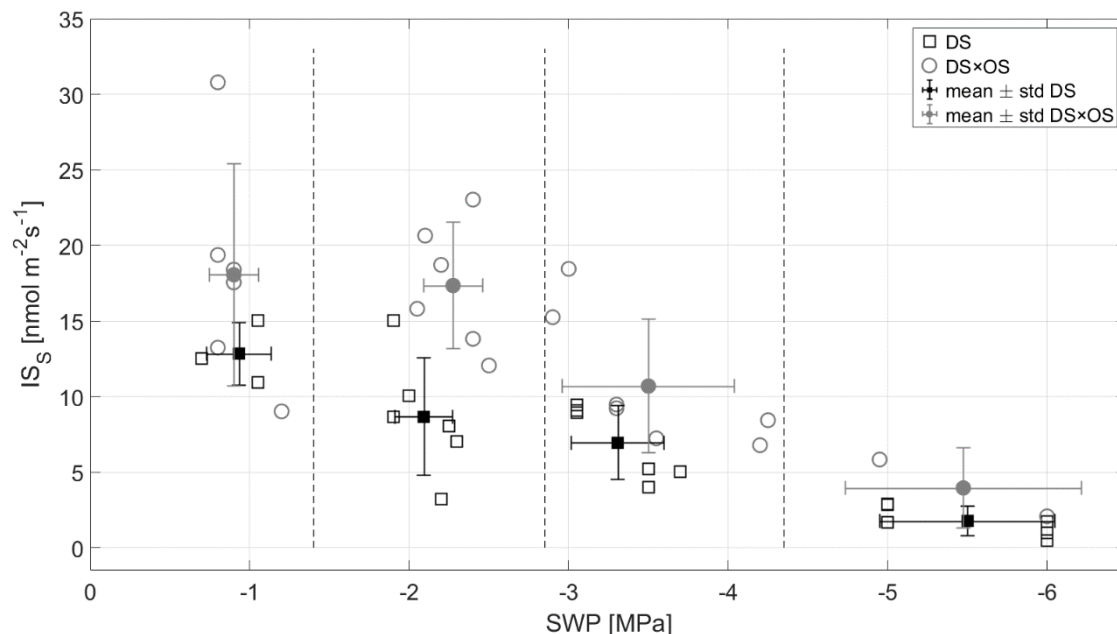
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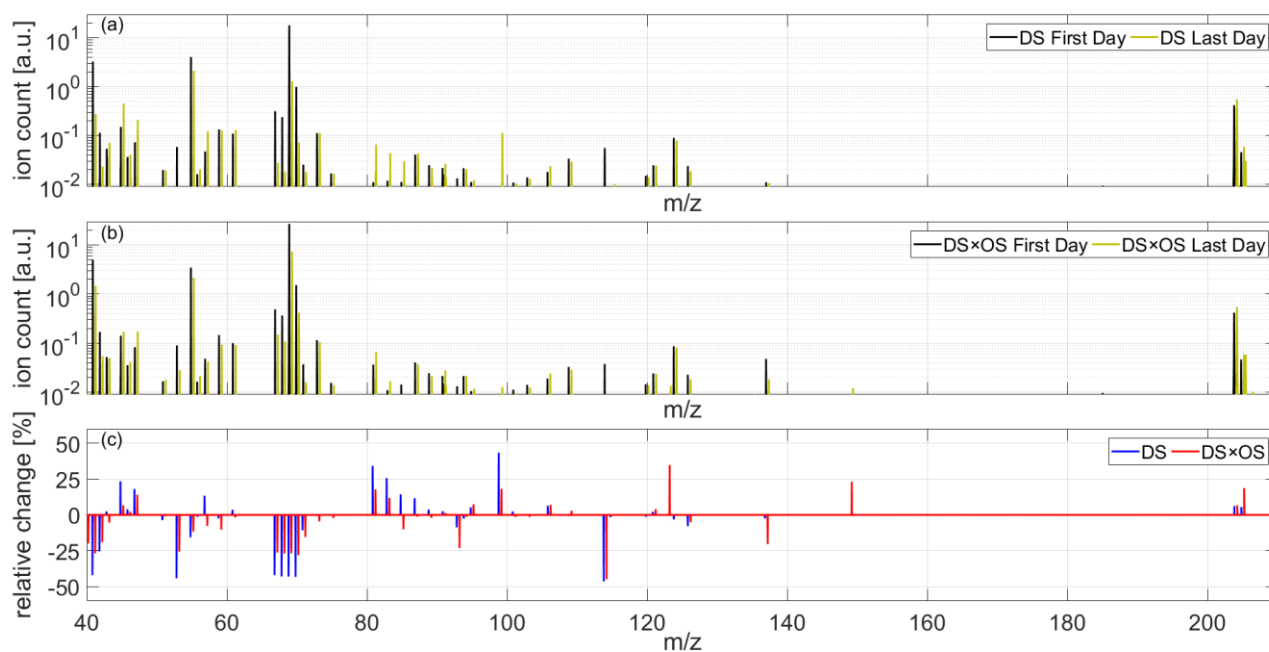
890 **Figure 2: (a) Stomatal conductance (g_s) and (b) net photosynthesis (A) of all trees as a function of stem water potential (SWP). Empty markers represent individual trees where the black squares represent trees out of the set under drought stress (DS) and the gray circles out of the set under drought stress with ozone treatment (DS×OS). Filled squares and circles represent means calculated for each SWP range with the corresponding standard deviation. SWP ranges are separated by vertical dashed lines.**



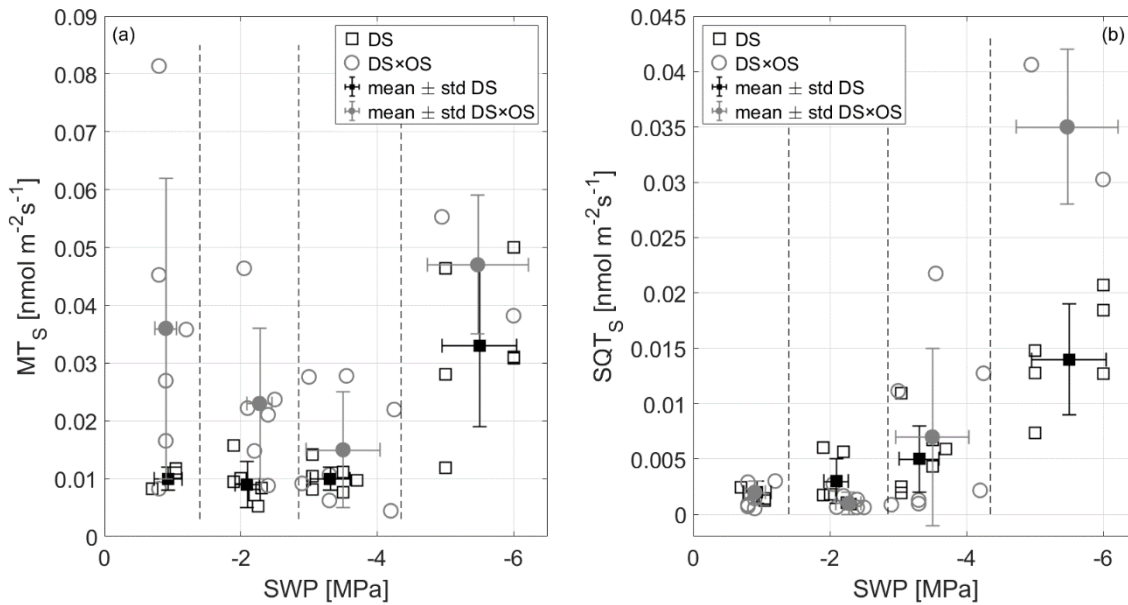
895 **Figure 3: Ratio of sum of carbon emitted by all analyzed BVOCs (C_{BVOCs}) and the sum of carbon uptake via net photosynthesis (C_A) versus the stem water potential (SWP). Empty markers represent individual trees where the black squares represent trees out of the set under drought stress (DS) and the gray circles out of the set under drought stress with ozone treatment (DS×OS). Filled squares and circles represent means calculated for each SWP range with the corresponding standard deviation. SWP ranges are separated by vertical dashed lines.**



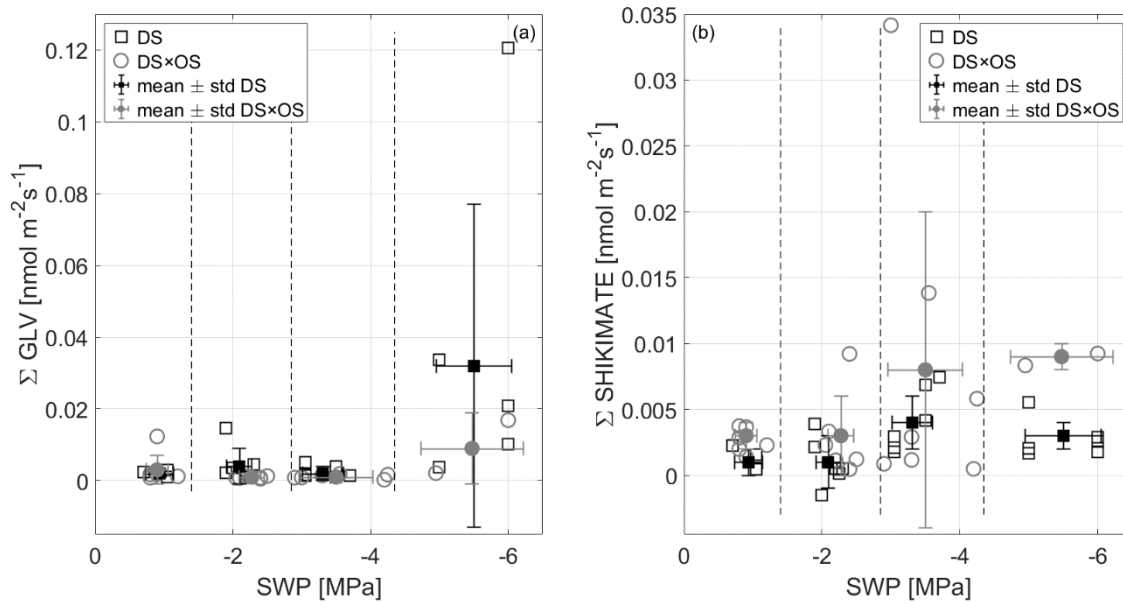
900 **Figure 4: Standardized isoprene emission (IS_s) versus stem water potential (SWP). Empty markers represent individual trees where the black squares represent trees out of the set under drought stress (DS) and the gray circles out of the set under drought stress with ozone treatment (DS×OS). Filled squares and circles represent means calculated for each SWP range with the corresponding standard deviation. SWP ranges are separated by vertical dashed lines.**



905 **Figure 5: Mean mass spectra of the set under drought stress (DS) (a) and the set under drought stress with ozone fumigation (DS×OS) (b), on the first (black) and last (yellow) day of measurement. (c) Relative change in the mass spectra between the last and the first day of analysis for DS (blue) and DS×OS (red).**



910 **Figure 6: Standardized monoterpenes (MT_s) (a) and sesquiterpenes (SQT_s) (b) emissions versus stem water potential (SWP). Empty markers represent individual trees where the black squares represent trees out of the set under drought stress (DS) and the gray circles out of the set under drought stress with ozone treatment (DS×OS). Filled squares and circles represent means calculated for each SWP range with the corresponding standard deviation. SWP ranges are separated by vertical dashed lines.**



915 **Figure 7: The sum of green leaf volatiles (ΣGLV) (a) and the sum of Shikimate (ΣShikimate) (b) emissions versus stem water potential (SWP). Empty markers represent individual trees where the black squares represent trees out of the set under drought stress (DS) and the gray circles out of the set under drought stress with ozone treatment (DS×OS). Filled squares and circles represent the mean values calculated for each SWP range with the corresponding standard deviation. SWP ranges are separated by vertical dashed lines.**

Appendix A

920 **Table A1: Acronyms and experimental conditions used in this experiment.**

ACRONYMS	
A	Net photosynthesis (CO ₂ assimilation rate)
BVOCs	Biogenetic Volatile Organic Compounds
C	Control samples without ozone treatment

DS	Set under drought stress without ozone treatment
DS×OS	Set under drought stress with ozone treatment
g_s	Stomatal Conductance
GLVs	Green Leaf Volatiles
IS	Isoprene
ISs	Standardized emissions of Isoprene
MeSa	Methyl Salicylate
MT	Sum of Monoterpenes
MT_s	Standardized emissions of MT
O₃	Ozone
OS	Well-watered control samples with ozone treatment
PTR-ToF-MS	Proton Transfer Reaction Time of Flight Mass Spectrometer
<i>Q. robur</i>	<i>Quercus robur</i> L.
ROS	Reactive Oxygen Species
SQT	Sum of Sesquiterpenes
SQT_s	Standardized emissions of SQT
std	Standard deviation
SWP	Stem Water Potential
TPh	Total Phenol content

EXPERIMENTAL CONDITIONS

Enclosure Pressure	2.386 kPa
Mean leaf temperature	29.06°C
Mean PAR	1374 μmol m ⁻² s ⁻¹
Ozone concentration	100 ppb
Standardized temperature	30°C
Standardized PAR	1000 μmol m ⁻² s ⁻¹

Table A2: m/z ratio and chemical formula and name of compounds presents in the standard gas mixture used for the daily calibration of the PTR-ToF-MS.

m/z ratio	Chemical formula	Compound
32.0262	CH ₃ OH	Methanol
41.0265	C ₂ H ₃ N	Acetonitrile
44.0261	C ₂ H ₄ O	Acetaldehyde
58.0418	C ₃ H ₆ O	Acetone
72.0574	C ₄ H ₈ O	Methyl Ethyl Ketone (MEK)
78.0469	C ₆ H ₆	Benzene
92.0625	C ₇ H ₈	Toluene
106.0782	C ₈ H ₁₀	Xylenes
120.0939	C ₉ H ₁₂	1,2,4-Trimethylbenzene (TMB)
136.1252	C ₁₀ H ₁₆	α-Pinene
62.0189	C ₂ H ₆ S	Dimethyl sulphide (DMS)
86.0731	C ₅ H ₁₀ O	2-methyl-3-buten-2-ol (MBO)
134.1095	C ₁₀ H ₁₄	1,2,4,5-Tetramethylbenzene

925 **Table A3: Measured m/z ratio, chemical formula and tentative assignment of compounds used for the calculation of the sum of BVOCs in C_{BVOCs} / C_A.**

m/z ratio	Chemical formula	Compound
33.033	(CH ₄ O)H ⁺	Methanol
45.033	(C ₂ H ₄ O)H ⁺	Acetaldehyde
47.049	(C ₂ H ₆ O)H ⁺	Ethanol
57.033	(C ₃ H ₄ O)H ⁺	E-2-Hexenal fragment
57.069	(C ₄ H ₈)H ⁺	Butyl
59.049	(C ₃ H ₆ O)H ⁺	Acetone
61.028	(C ₂ H ₄ O ₂)H ⁺	Acetic Acid
71.049	(C ₄ H ₆ O)H ⁺	Methyl Vinyl Ketone (MVK) /Methacrolein (MAC)
73.064	(C ₄ H ₈ O)H ⁺	Methyl Ethyl Ketone (MEK)
79.054	(C ₆ H ₆)H ⁺	Benzene
83.085	(C ₆ H ₁₀)H ⁺	Hexanals/Hexenol fragment
85.101	(C ₆ H ₁₂)H ⁺	Hexene
87.080	(C ₅ H ₁₀ O)H ⁺	2-methyl-3-buten-2-ol (MBO)
93.069	(C ₇ H ₈)H ⁺	Toluene/MT fragment
95.050	(C ₆ H ₅ OH)H ⁺	Phenol
99.080	(C ₆ H ₁₀ O)H ⁺	Hexenals
101.096	(C ₆ H ₁₂ O)H ⁺	Hexanal
107.049	(C ₇ H ₆ O)H ⁺	Benzaldehyde
107.073	(C ₈ H ₁₀)H ⁺	Xylenes
143.107	(C ₈ H ₁₄ O ₂)H ⁺	Hexenylacetate
145.122	(C ₈ H ₁₆ O ₂)H ⁺	Hexylacetate
153.055	(C ₈ H ₈ O ₃)H ⁺	Methyl Salicylate (MeSa)
165.092	(C ₁₀ H ₁₂ O ₂)H ⁺	Eugenol
211.133	(C ₁₂ H ₁₈ O ₃)H ⁺	Jasmonic Acid
225.149	(C ₁₂ H ₂₀ O ₃)H ⁺	Methyl Jasmonate
265.144	(C ₁₅ H ₂₀ O ₄)H ⁺	Abscisic Acid (ABA)
69.070	(C ₅ H ₈)H ⁺	Isoprene (IS)
137.133	(C ₁₀ H ₁₆)H ⁺	Monoterpenes (MT)
205.195	(C ₁₅ H ₂₄)H ⁺	Sesquiterpenes (SQT)

Table A4: Mean dry weight and mean specific leaf area for the 20 % of the total analysed leaves of sets DS and DS×OS.

	Dry weight [g]	Specific leaf area [m ²]
DS	1.16	0.015
DS×OS	0.82	0.011