

# Oxygen budget for the North-Western Mediterranean deep convection region

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## Responses to the Reviewers' comments

Answers to reviewers' comments are reported point by point. The questions and comments of the reviewers are in *blue*, the answers in black and the modifications that we made in the revised manuscript in *black*.

## Responses to the comments of the anonymous Reviewer 1

First we would like to warmly thank Reviewer 1 for her/his relevant and constructive comments which helped to improve the manuscript.

*Review of Manuscript "Oxygen budget for the north-western Mediterranean deep convection region" by Ulses et al. General comment to the Authors and the Editor: The ms presents an analysis, based on in situ data and model results, of the dissolved oxygen inventory of the dense water formation area in the NW-Mediterranean during one of the most active years in terms of dense water formation. They assess the inventory on a seasonal and an annual scale, describe the role of deep convection in ventilating the intermediate and deep layers of the basin, and make inferences on primary production in the euphotic layer. The ms is rigorous, very well organized, clearly written, with well-announced objectives and a logical structure that guides the reader through the author's reasoning. I recommend publication of the ms after minor revision.*

Reply: We appreciate the positive assessment of Reviewer 1.

*Everywhere in the paper it should be written "Gulf of Lion", not "Lions".*

Reply: This has been corrected as suggested in the revised manuscript.

*Title: I would suggest "of" or "in the north-western" instead of "for"*

Reply: We have replaced "for" by "of" as suggested in the title in the revised manuscript.

*L35 also increased salinity reduces the solubility*

Reply: Observational and modelling studies over the past decades show a spatial heterogeneity and a time evolution in the sign of salinity changes and trends at the global scale, with in general increases in salinity in subtropical gyres in the oceans dominated by evaporation and a freshening in regions dominated by precipitation, modulated by impacts of circulation (Durack and Wijffels, 2010). Therefore to take into account this comment we have modified the sentence as follows: “[...] *to be one of the primary factors, along with changing ventilation at intermediate depths, slowdown of the overturning circulation, warming-induced decrease in solubility modulated by salinity changes, and changes in C:N utilization ratios, [...]*”

*L40 “of marine ecosystems”*

Reply: This has been corrected as suggested in the revised manuscript.

*L41 “implications for”*

Reply: This has been corrected as suggested in the revised manuscript.

*L48 “subsequent density increase of surface waters”*

Reply: This has been corrected as suggested in the revised manuscript.

*L49 “induces convective mixing of surface”*

Reply: In the revision, we have replaced “results” by “induces” as suggested.

*L56 is convection mainly responsible for this higher nutrient supply or the preconditioning given by the cyclonic circulation?*

Reply: Previous studies showed that in the north-western Mediterranean open-sea the nutrient replenishment of the surface layer essentially takes place during the deep mixing period. Using in situ profiles of nutrient at the DYFAMED station in the Ligurian Sea over the period 1995-2007, Marty and Chiavérini (2010) showed that the amount of nutrients in the surface layer is maximum during the deep convection period and that on an pluriannual scale it increased with the intensity and depth of the winter mixing (Figure 1 corresponding to Fig.9 from Marty and Chiavérini, 2010). Also based on nutrient data at the DYFAMED station but over an extended period (1991-2011), Pasqueron de Fommervault et al. (2015) found a moderate increase of the monthly median nutrient concentrations in autumn during the preconditioning phase, from October to December (from 0.19 to 1.20 mmol m<sup>-3</sup> for nitrate and 0.03 to

0.05 mmol m<sup>-3</sup> for phosphate), and a strong increase in winter (between 2.60 and 2.70 mmol m<sup>-3</sup> for nitrate and 0.11 to 0.14 mmol m<sup>-3</sup> for phosphate). However, the observations of nutrient profiles alone do not allow deducing the vertical fluxes of nutrients, which can be more rapidly consumed by phytoplankton in autumn than during deep convection.

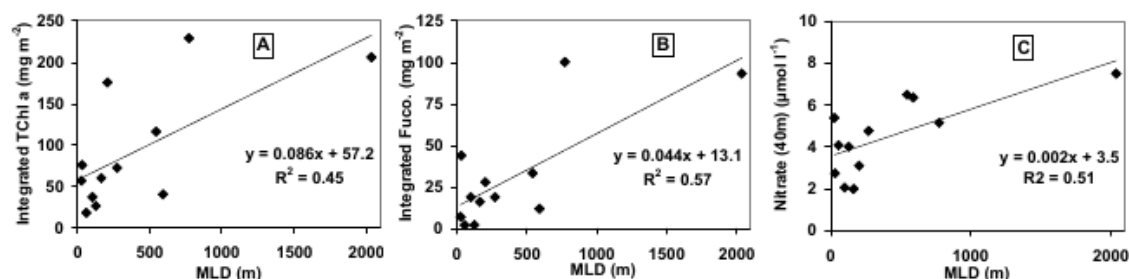


Figure 1. Fig. 9 extracted from Marty and Chiavérini (2010): (A) Correlation between maximum winter MLD and annual integrated chlorophyll a; (B) Correlation between maximum winter MLD and annual integrated fucoxanthin. (C) Correlation between maximum winter MLD and maximum nitrate concentration at 40 m depth in early spring.

Using a 3D physical-biogeochemical model, Ulses et al. (2016) simulated the evolution of the injection of nutrients into the surface layer due to vertical advection and mixing over the 5-year period 2004-2008 in this region. Their results showed that the nutrient vertical import was significantly correlated with the depth of the mixed layer ( $R=0.8$ ,  $p$ -value  $<0.01$ ). Kessouri et al. (2017) studied the nitrogen and phosphorus cycle in the convective zone over the same period (September 2012-September 2013) and based on the same coupled physical-biogeochemical model as in our study, they found that nutrient upward input to the surface layer remained relatively low during the preconditioning period and clearly increased during the convective period (their Figure 10A shown in this response as Figure 2).

To complete their nutrient budget and answer more precisely this question, we have calculated the vertical transport of nutrient into the surface layer during both periods using the outputs of our model: we have found that a nitrate and phosphate upward transport of 13 and 11%, respectively, of the annual upward input occurred during the preconditioning period (1 September to 15 December as defined by Testor et al., (2018)) vs 67 and 68%, respectively, during the deep convection period.

Thus it appears that a higher nutrient supply of the surface layer occurred during the convective phase than during the preconditioning phase. Obviously, the destruction of the stratification of the water column initiated during the preconditioning influences the extension and intensity of the winter mixing and consequently those of the nutrient inputs during deep mixing as shown by Volpe et al. (2012) who studied the interannual variability of the Mediterranean ecosystem using an EOF analysis.

To take into account this comment and a comment of Reviewer 2, we have modified the sentence as follows:

*“At the Mediterranean basin scale, the deep convection occurring in the north-western region is one of the major processes responsible for an enrichment of the euphotic layer with nutrients, compared to Atlantic influx as well as terrestrial and atmospheric inputs (Severin et al., 2014; Ulses et al., 2016;*

Kessouri et al., 2017). The replenishment of the surface layer with nutrients during the deep convection is followed by an intense bloom in spring when vertical mixing weakens (Bernadello et al., 2012; Lavigne et al., 2013; Auger et al., 2014; Ulses et al., 2016; Kessouri et al., 2018).”

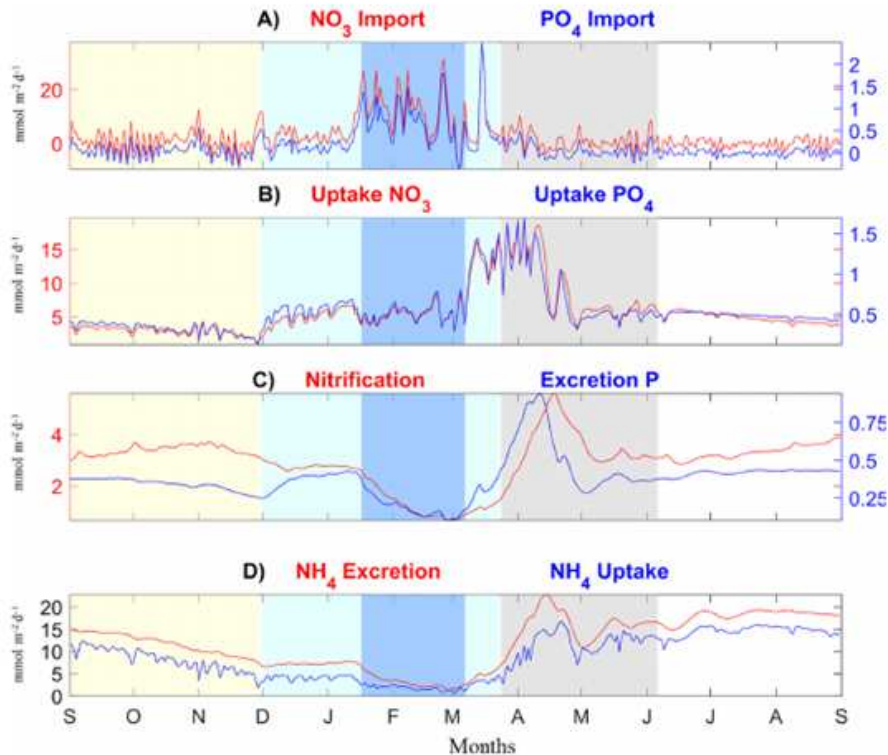


Figure 2. Fig. 10 extracted from Kessouri et al. (2017) : Time series of physical and biogeochemical fluxes that impact the stock of the inorganic nitrogen and phosphorus in the surface layer (0–130 m) from September 2012 to September 2013. These fluxes are inferred from the model and averaged over the open deep convection area. (a) Net import due to vertical advection and turbulent mixing of nitrate (red) and of phosphate (blue) into the surface layer, (b) uptake of nitrate (red) and phosphate (blue), (c) nitrification (red) and inorganic phosphorus excretion rates (blue), and (d) ammonia excretion (red) and uptake (blue). Units:  $\text{mmol m}^{-2} \text{d}^{-1}$ .

*In the Introduction it should be mentioned that concerning the OMZ, the Mediterranean Sea is far from what we observe in the ocean, maybe giving some numbers to exemplify*

Reply: We agree that it should be mentioned that the OMZ in the Mediterranean is much less pronounced than in the oceans where oxygen concentration is usually lower than  $20 \mu\text{mol kg}^{-1}$ . The Mediterranean is characterized by the presence of an OML (Oxygen Minimum Layer) with oxygen concentration ranging from 170 to 180  $\mu\text{mol kg}^{-1}$  in the western basin (Coppola et al., 2018). Therefore we have followed the recommendation of Reviewer 1 and have added the following sentences in the Introduction:

*“The oxygenation induced by recurrent intermediate and deep convection together with a relatively low primary production, make the Mediterranean Sea a well oxygenated basin (Tanhua et al., 2013). In the western Mediterranean open sea, the oxygen minimum layer (OML) is located in the LIW and shows minimum oxygen concentration of 170-185  $\mu\text{mol kg}^{-1}$ , above ~ 70% of the saturation levels (Tanhua et al., 2013; Coppola et al., 2018). Thus the OML in this region is clearly less pronounced than the OMZs in the open oceans or deep basins of other seas, such as the adjacent Black Sea, where hypoxic conditions (oxygen concentration  $<2 \text{ ml O}_2 \text{ l}^{-1}$  or  $<61 \mu\text{mol O}_2 \text{ kg}^{-1}$ , Diaz and Rosenberg, 2008; Breitburg et al., 2018) are encountered. However the semi-enclosed Mediterranean Sea with a fast warming was identified as one of the most vulnerable marine regions to climate change (Giorgi, 2006). Recently, regional ocean models of the Mediterranean Sea converged to predict a weakening of NW deep convection intensity under climate change scenarios by the end of the 21<sup>st</sup> century (Soto-Navarro et al., 2020). Yet, Coppola et al. (2018), by analyzing the evolution of observed oxygen profiles in the Ligurian Sea over a 20-year period, suggested that hypoxic conditions may be reached in water masses at intermediate depths after a period of 25 years without deep convection events (presuming bacterial respiration remains the same).”*

*L170 “Study Area”*

Reply: This has been corrected as suggested in the revised manuscript.

*L194 instead of “Group”, use “initiative” or “programme”*

Reply: This has been corrected as suggested in the revised manuscript.

*L250 use the acronym LIW*

Reply: This has been corrected as suggested in the revised manuscript.

*L252 move “respectively at the surface. . .transect” at the end of the sentence*

Reply: This has been corrected as suggested in the revised manuscript.

*L254 “During the spring cruise period”*

Reply: This has been corrected as suggested in the revised manuscript.

*Figure 5: I could not find the explanation on why you integrate down to 1800 m and then down to 1000 m.*

Reply: We apologize for the lack of explanation on this point. For float 6901487 the data do not allow the calculation of the integrated quantity of oxygen over 1800 m due to the poor quality of the salinity data below 1000 m (Coppola et al., 2017). We therefore calculated it over 1000 m, for which we have 111/118 profiles. As we are interested in deep convection in this study, we chose to integrate the quantity of oxygen over a maximum depth, 1,800 m, for the two other floats. An explanation has been added in Section 2.2.2:

*“We calculated the oxygen inventory from 1,800 m to the surface for floats 6901467 and 6001470 and only from 1,000 m to the surface for float 6901487 due to poor quality salinity data below this depth.”*

*L639 “the surface layer of the deep convection area” is the source for the intermediate and deep layer, not the convection area itself, which comprises the whole water column.*

Reply: We agree with Reviewer 1. In the revised manuscript, this sentence has been modified to take into account this comment and a comment of Reviewer 3 on the role of the deep convection area of conveyor, from the surface layer to the deeper layer of the western Mediterranean, of atmospheric oxygen as well as oxygen produced both locally and in the surrounding areas:

*“The NW Mediterranean deep convection area acts as a conveyor of atmospheric oxygen, as well as of oxygen produced in the upper layer, both locally and in the surrounding areas, towards the intermediate and deep layer of the western Mediterranean Sea”*

## References:

- Durack, P. J., and S. E. Wijffels: Fifty-Year Trends in Global Ocean Salinities and Their Relationship to Broad-Scale Warming. *J. Climate*, 23, 4342–4362, <https://doi.org/10.1175/2010JCLI3377.1>, 2010
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- Testor, P., Bosse, A., Houpert, L., Margirier, F., Mortier, L., Legoff, H., et al. Multiscale observations of deep convection in the northwestern Mediterranean Sea during winter 2012–2013 using multiple platforms. *J. Geophys. Res. Oceans* 123, 1745–1776. doi: 10.1002/2016jc012671, 2018.
- Ulses, C., Auger, P.-A., Soetaert, K., Marsaleix, P., Diaz, F., Coppola, L., et al. (2016). Budget of organic carbon in the North-Western Mediterranean Open Sea over the period 2004–2008 using 3D coupled physical biogeochemical modeling. *Journal of Geophysical Research: Oceans*, 121, 7026–7055. <https://doi.org/10.1002/2016JC011818>
- Volpe, G., Nardelli, B.B., Cipollini, P., Santoleri, R., Robinson, I.S.: Seasonal to interannual phytoplankton response to physical processes in the Mediterranean Sea from satellite observations. *Remote Sens. Environ.* 117, 223–235, 2012.

## Responses to the comments of Toste Tanhua, the Reviewer 2

First we would like to warmly thank Toste Tanhua for his relevant comments and precious recommendations which helped to improve the manuscript.

*The paper use a model to estimate the oxygen budget for the NW Mediterranean ,and use a range of relevant field data to validate the model. The paper is very well organized and written, the logical structure is very helpful to navigate this comprehensive study. I am impressed by the overall very high quality of the paper, that covers a very relevant and significant topic. There are only a few things that could be considered in a minor revision.*

Reply: We appreciate the positive assessment of the reviewer.

*The main issue is that the paper do not take the bubble effect into account. In a recent paper by Atamanchuk et al. (2020) this is discussed, and the authors conclude that “ By neglecting the bubble-mediated flux component, global models may underestimate oxygen and atmospheric potential oxygen uptake in regions of convective deep-water formation by up to an order of magnitude.” I realize that this paper was published very recently, but a short discussion on the significance of bubble-mediated flux, and what it might mean for this study, would be appropriate.*

Reply: In the first version of the manuscript we presented the results of a sensitivity study on the parameterization of the oxygen flux at the air-sea interface. Two of these parameterizations, the ones proposed by Liang et al (2013) and by Bushinsky and Emerson (2018), include components of bubble-mediated fluxes. Using the parameterization proposed by Liang et al (2013) we obtained flux estimates that are in the upper range of all estimates, whereas using that of Bushinsky and Emerson (2018) the flux estimates are in the lower range. To answer more precisely this question we have performed two new sensitivity tests with the "bubble inclusive" parameterizations of Woolf (1997) (hereinafter W97) and Stanley et al (2009) (hereinafter S09), that gave ones of the best estimates in the study on the Labrador Sea by Atamanchuk et al. (2020). Both of these new tests provide estimates of annual air-sea flux in the upper range of all estimates ( $20.1 \text{ mol m}^{-2} \text{ yr}^{-1}$  with W97 and  $21.5 \text{ mol m}^{-2} \text{ yr}^{-1}$  with S09). In agreement with the study by Atamanchuk et al. (2020), our results with the parameterization of Stanley et al. (2009) shows, when compared to the fluxes obtained with the parameterization of Wanninkhof et al. (1992), an atmospheric oxygen uptake that started earlier in autumn, stronger fluxes in winter during peak wind periods and less outgassing in summer (Figure 1). The ratio between the two flux estimates can reach ~7 in late October, but in winter it is generally less than 2. The ratio between the two annual averages of air-sea flux, of 1.2, is less strong in our results for the northwestern Mediterranean Sea than in those obtained by Atamanchuk et al. (2020) for the Labrador Sea. This can be explained by a diffusive flux that remains significant due to the very strong undersaturation which varies between -10% and -20% on average during deep convection period over the whole studied zone (Fig. 7c of the submitted manuscript). In the Labrador Sea the undersaturation reported by Atamanchuk et al. (2020), ranging from -5% to -8%, is lower. The difference in wind intensity could also explain this difference in the ratio since this ratio reaches its largest values during days with strong wind speeds. Atamanchuk et al (2020) reported that at

least 40 days during the year under study were marked by wind speeds of more than 13.8 m/s. In our study, no grid point in the zone has 40 days with wind speeds greater than 13.8 m/s. We have calculated that during the year 2012-2013 the number of days with wind speeds greater than 13.8 m/s varies between 30 and 35 days over an area representing 13% of the convection zone, located north of the central zone.

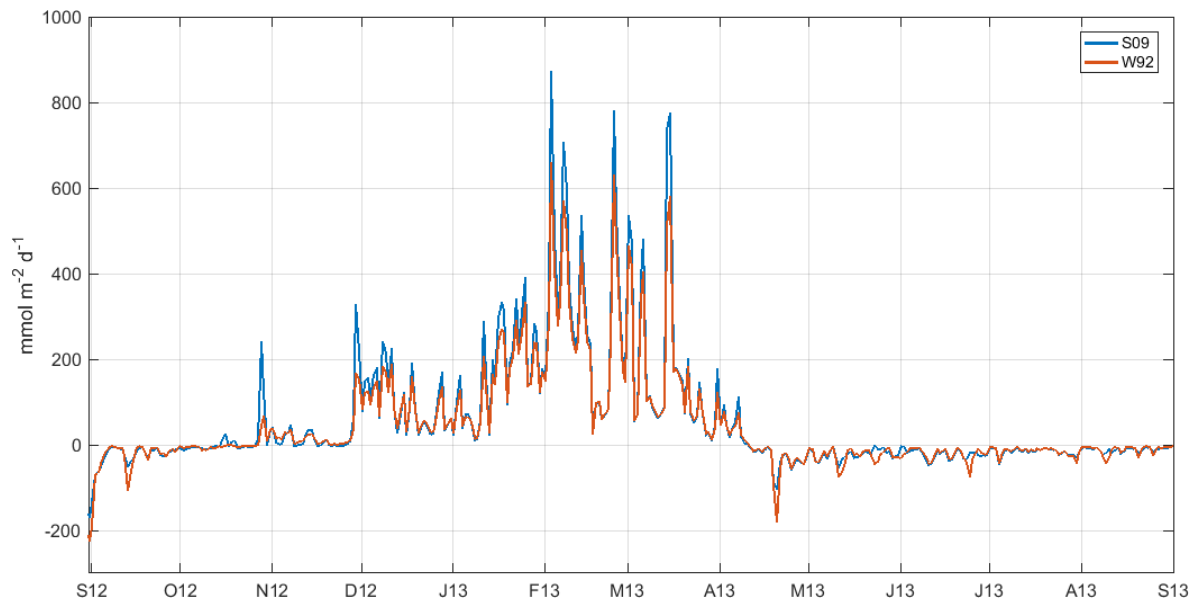


Figure 1: Time series over the period September 2012-September 2013 of air-to-sea oxygen fluxes ( $\text{mmol m}^{-2} \text{day}^{-1}$ ) estimated using the parametrizations of Stanley et al. (2009) (blue) and of Wanninkhof et al. (1992) (red), spatially averaged over the northwestern Mediterranean convection area (red).

Finally, the estimates of annual air-sea flux ( $20.0 \text{ mol m}^{-2} \text{ yr}^{-1}$ ) obtained in the standard run with the “diffusive only” parameterization of Wanninkhof and McGillis (1999) are quite close to those obtained with the Stanley et al (2009) and Woolf (1997) parameterizations. This can be explained also by the strong undersaturation during the convection period and by the cubic dependence of wind speed of the Wanninkhof and McGillis (1999) parameterization. An experimental study of flux measurements in this region over an entire year would allow a better assessment of the different parameterizations.

In the revised manuscript, we have added the results of the two new sensitivity tests and references to the study of Atamanchuk et al. (2020). Modifications have therefore been made in Sections 2.1.2 (description of the biogeochemical model) and 6.1 (discussion on air-sea oxygen flux). In particular, as suggested by the Reviewer, we have added the following small discussion and reference in Section 6.1:

*“Previous studies on oxygen air-sea flux in deep convection zones recommended the use of parameterizations with high transfer during periods of strong wind and convection (Copin-Montégut and Bégovic, 2002; Körtzinger et al., 2008b; Koeling et al., 2017; Atamanchuk et al., 2020). Atamanchuk et al. (2020), comparing flux estimates based on several parameterizations, found that these flux estimates may vary by an order of magnitude and warned of the possibility of a strong underestimation of air-sea*



oxygen flux in biogeochemical models that do not include bubble-mediated terms. In our study, the range of estimates obtained with the both types of parameterizations, those that are only diffusive and those that include bubble-mediated terms, is similar. Although the parameterization of Wanninkhof and McGillis (1999) used in our standard run does not include an explicit bubble-mediated transfer term, it provides estimates of air-sea fluxes close to those obtained with the bubble-fluxes inclusive one of Stanley et al (2009), preferred by Atamanchuk et al. (2020) in their Labrador Sea study. The strong undersaturation obtained in the north-western Mediterranean during the convection period, between -10 and -20%, may explain a greater contribution of the diffusive flux compared to the air injection by bubbles. Moreover, winter conditions are less extreme than in the Labrador Sea where strong wind speeds of more than  $13.8 \text{ m s}^{-1}$  were encountered for at least 40 days. In the NW Mediterranean Sea and in winter 2012/2013, only 13% of the convection area was characterised by a number of days with wind speeds  $> 13.8 \text{ m s}^{-1}$  varying between 30 and 35 days. An experimental study of flux measurements in this region over a whole year would allow a better assessment of the contribution of air injection in the total air-sea flux and hence of the different parameterizations of gas transfer. “

“Based on measurements of oxygen from a moored profiler and Argo floats and on the Stanley et al. (2009) parameterization, Atamanchuk et al. (2020) estimated an annual air-sea flux of oxygen of  $19.3 \pm 3.4 \text{ mol m}^{-2} \text{ yr}^{-1}$  for the year 2016/2017.”

*Minor comments:*

*Line 34: I am not sure that reduction of deep convection related to climate change has been proven, although increased stratification etc. has .*

Reply: Changes in circulation and convection were identified as major factors responsible for the ongoing observed and modelled deoxygenation (Plattner et al., 2002; Joos et al., 2003). The study of Brodeau and Koenigk (2016), based on an ensemble of 12 climate model simulations shows that deep convection in the Labrador Sea started to weaken in the beginning of the twentieth in response to warming atmospheric conditions. Using observations and an ensemble of 36 simulations of CMIP5, de Lavergne et al. (2014) suggested that the activity of deep convection in the Weddell Sea was reduced under anthropogenic changes. However other reasons than climate change were also proposed by the authors to explain this decline. Therefore to take into account this comment we have modified this sentence as follows:

“Its weakening in some regions (de Lavergne et al., 2014; Brodeau and Koenigk, 2016), induced by enhanced stratification is one of the primary factors, along with changing ventilation at intermediate depths, slowdown of the overturning circulation, warming-induced decrease in solubility modulated by salinity changes and changes in C:N utilization ratios, that may explain the ongoing decline in open ocean oxygen inventory, or deoxygenation, observed and modelled since the middle of the 20<sup>th</sup> century (Bopp et al., 2002; Keeling and Garcia, 2002; Plattner et al., 2002; Joos et al., 2003; Keeling et al., 2010; Helm et al., 2011; Andrews et al., 2017; Ito et al., 2017; Schmidtko et al., 2017; Breitburg et al., 2018).”

*Line 56: “Massive supply of nutrients” – I guess this is by Mediterranean standards, having low nutrient concentrations in comparison to North Atlantic for instance. I agree with the statement, but maybe it needs to be put in context.*

Reply: We agree that the importance of nutrient input associated with the deep convection process should be put in the context of the oligotrophy of the Mediterranean Sea. Therefore we have modified the sentence in the revision as follows:

*“At the Mediterranean basin scale, the deep convection occurring in the north-western region is one of the major processes responsible for an enrichment of the euphotic layer with nutrients, compared to Atlantic influx as well as terrestrial and atmospheric inputs (Severin et al., 2014; Ulses et al., 2016; Kessouri et al., 2017). “*

*Line 521: There is a recently published update of the Schneider et al 2014 paper that you could consider citing, and use as it contains data after 2012 (Li and Tanhua, 2020).*

Reply: We thank the Reviewer for this information. The results shown in the article of Li and Tanhua (2020) complete the description of the ventilation of the western Mediterranean after 2011. We have cited these results in the introduction and in the discussion of our results in the revised manuscript as follows:

*“New oxygenated waters were also observed in the entire deep layers of the Algerian sub-basin in 2011 (Schneider et al., 2014; Stöven and Tanhua, 2015), 2014 (Keraghel et al., 2020), 2016 and 2018 (Li and Tanhua, 2020). Moreover, the results of Li and Tanhua (2020) showed a ventilation of the deep waters of the Tyrrhenian Sea through an overflow of well-oxygenated water masses from the Algerian basin into the deep layer, between 2011 and 2016.”*

## **References:**

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## Responses to the comments of the anonymous Reviewer 3

First we would like to warmly thank the anonymous Reviewer 3 for her/his relevant and constructive comments which helped to improve the manuscript.

### *1 General*

*The manuscript provides a detailed quantitative assessment of the preponderant contribution of dense water formation at the Gulf of Lion in the oxygenation of Mediterranean intermediate and deep waters, focusing on a particular year (Sep 2012-Sep 2013) and on the basis of high level numerical modelling (ie. coupled 3D, high resolution model). Adding to the fact that the precise quantification of oxygen budget in this context (transport and sink/source terms) is a very timely topic (given the potential reduction of such ventilation events in the coming century), the manuscript is very well written, and succeed in handling the complexity of numerical modelling tools with accurately targeted analyses, providing a clear and accessible result and discussion sections, as well as robust and highly relevant conclusions. I warmly recommend the publication of the manuscript, and only report below a few minor comments or suggestions.*

Reply: We appreciate this positive general assessment.

### *2. Main Comments*

*Sect. 2.1.1 Given the high importance of this technical aspects for the main conclusion, I would add a sentence on the diffusion and advection scheme used in Symonie (in this particular implementation).*

Reply: We agree with Reviewer 3, information on the point was missing in the manuscript. In the revised manuscript, we have specified the schemes of advection and diffusion used for this simulation in a new Sect. 2.1.3 “Implementation” (see response to the next comment) as follows:

*“The advection and diffusion of the biogeochemical variables were calculated using the **QUICKEST** (**QUICK** with **Estimated Streaming Terms**) scheme (Leonard, 1979) on the horizontal and with a centred scheme on the vertical. “*

We have also added details on diffusion in the model in Sect. 2.1.1 and 2.1.3:

*Sect. 2.1.1 : “The vertical diffusion is parameterized with a prognostic equation for the turbulent kinetic energy and a diagnostic equation for the mixing and dissipation lengths, following Gaspar et al. (1990).”*

*Sect. 2.1.3 : “As explained in Estournel et al. (2016a), the size of the grid is not small enough to explicitly represent convective plumes, which thus need to be parameterized. In our case, to prevent the development of static instabilities at the surface resulting in noise at the scale of the mesh, the heat and water fluxes are distributed over the whole mixed layer whose thickness is given by the depth at which the vertical density gradient becomes negative. “*

*L150-158 The architecture of the different model nesting and interactions, did not appeared entirely obvious to me, at first read. I would suggest a second panel to Fig1. providing a scheme of model interactions, eg. with boxes for each 4 models (NEMO, Symphonie, Basin bio, NW bio) giving temporal and spatial resolution, and mostly, arrows precisising the nature of interactions (but i understand it's all offline). This is a mere suggestion to help the reader. According to the author's appreciation, an alternative would be to rework slightly this section to ensure clarity.*

Reply: We apologize for the lack of clarity in the description of the downscaling implementation. In the revision, we have reworked this section on the description of the coupled model: we have added a new sub-section 2.1.3 dedicated to the description of the particular configuration used for this study. The description of the forcing of the hydrodynamic and biogeochemical models has been transferred from Sect. 2.1.1 and 2.1.2, respectively, to this new Sect. 2.1.3. As suggested, we have added sentences to clarify the downscaling strategy in this new Sect. 2.1.3 as follows:

*“A strategy of downscaling from the Mediterranean basin to the western sub-basin scale was implemented in three stages (Fig. 1a and 1c) as described by Kessouri et al (2017). In a first step, the SYMPHONIE hydrodynamic model was initialized and forced at its lateral boundaries with daily analyses of the configuration PSY2V4R4, based on the NEMO ocean model at a resolution of 1/12° over the Atlantic and the Mediterranean Sea by the Mercator-Ocean International operational system (Lellouche et al., 2013). Second, the biogeochemical model was forced at the Mediterranean basin scale by the outputs of the same NEMO simulation. In a third step, the daily outputs of the two previous simulations were used to initialize and force the Eco3M-S biogeochemical model over the western Mediterranean Sea.”*

We have reworked Figure 1 to add two new panels in this figure with a map showing the domain of the two coupled models (Fig. 1a) and a scheme of model interactions (Fig. 1c).

*Fig9, suggestion It seems to me that it would be relevant to add a panel to Fig. 9, indicating the biogeochemical term (VS time and depth). The vertical distribution of this term is adressed several time in the discussion, and would benefit in my opinion from a dedicated figure.*

Reply: For the sake of simplicity we would like to avoid adding a new panel in Figure 9. Figure 1 in this response shows the vertical distribution of the biogeochemical term. We have realized that some sentence formulations in Sect. 5.1 were awkward and confusing. We have corrected them as follows:

*Version 1:” From September to the end of November 2012 (91 days), respiration exceeded primary production throughout the water column.”*

*Version 2: “From September to the end of November 2012 (91 days), depth-integrated respiration exceeded depth-integrated primary production in both upper and deeper layers (Fig. 6f).”*

*Version 1:” In the whole water column, oxygen-consuming biological processes exceeded primary production overall over this period.”*

Version 2:” *Depth-integrated oxygen-consuming biogeochemical processes exceeded depth-integrated primary production on average over this period.*”

We think that, with these corrections, the previous figures are sufficient to illustrate the text.

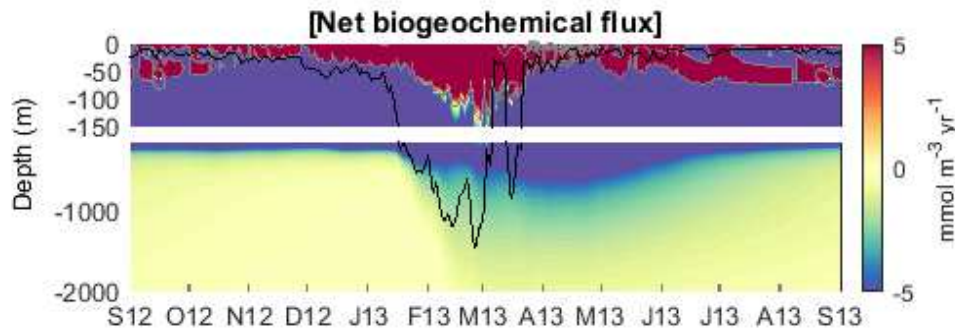


Figure 1: Time evolution of the net biogeochemical production of oxygen ( $\text{mmol m}^{-3}\text{yr}^{-1}$ )

*"Biological Flux", suggestion As Eq.1 includes nitrification (which appears as an important component of the "biological flux", as discussed in Sect. 6.3), i wonder if it should not be called "biogeochemical flux" rather than "biological flux", in general and through the manuscript.*

Reply: In the revised manuscript, we have replaced the term “biological” by “biogeochemical” in the text and in Figures 6 and 8.

*L467 Something disturbs me between the sentence 463-466 and the next sentence 466-467. The first states "at the annual scale downward export below the euphotic zone ranges from 22.2 to 27.6 mol m<sup>-2</sup> yr<sup>-1</sup>". The second states ,essentially, "During the convection, downward export below the euphotic zone ranges from 14.3 to 18.7 mol m<sup>-2</sup> yr<sup>-1</sup>". Does the second sentence characterizes the part of the annual flux that takes place during the convection event ? Why a yr<sup>-1</sup> unit then ? Please clarify.*

Reply: We apologize for this error: the values correspond to the amount of oxygen in the surface layer that is exported below this layer during the convection event. We have corrected this error in the revised manuscript by replacing these values with the values of downward export flux in  $\text{mmol m}^{-2} \text{day}^{-1}$  (as we have included the results of two new tests to reply to the first comment of Reviewer 2, values of export flux have changed compared to those in version 1 of the manuscript):

*“The downward export below the euphotic zone over the deep convection period ranges from 223 to 302  $\text{mmol m}^{-2} \text{day}^{-1}$  (mean value:  $265 \pm 30 \text{ mmol m}^{-2} \text{day}^{-1}$ ).*”

*lateral export term It appears important to me the fact that the lateral export term in the upper layer is high, and significant in regards to atm. fluxes and local BGC net oxygen production. This indicate that the deep convection event acts as a conveyor of oxygen produced in the surface layer of surrounding areas to the deep mediterranean, and not only as a conveyor of "local oxygen". In my opinion this point should be better highlighted in the conclusions. Eventually, this aspect could be sustained with an additional panel to Fig 9, showing the vertical distribution (along time) of the lateral fluxes, but this last point is really a mere suggestion left open to the author's appreciation.*

Reply: We agree that the lateral inputs of oxygen from the surrounding areas are significant when compared to the biogeochemical production or consumption term of the budget. As suggested by the reviewer, to underline this point, we have added a discussion in Sect. 6.2 (The role of the NW deep convection area in the ventilation of the western Mediterranean Sea) and have modified the third point of the conclusion:

In Sect. 6.2:

*“Lateral O<sub>2</sub> inputs in the upper layer occurred mainly from February to September with two peak periods, in early March, a calm period between two convective events, and in April, during restratification. These imports were mainly related to eddies produced by the baroclinic instability that was triggered at the periphery of the convection zone when strong wind ceased (Killworth, 1976; Testor et al., 2018). These inputs from the peripheral zone contributed to the vertical export of oxygen to the aphotic layer. First in the short term, the oxygen imported between two convection events was exported at depth by the following events. At longer time scales (April-September), the convection area was also fed by the peripheral zones and in turn produced a vertical export to the aphotic layer. These exchanges were of lower intensity and concerned shallower layers but are not negligible when integrated over the year.”*

In the conclusion:

*“The NW Mediterranean deep convection area acts as a conveyor of atmospheric oxygen, as well as of oxygen produced in the upper layer, both locally and in the surrounding areas, towards the intermediate and deep layer of the western Mediterranean Sea.”*

Again, for the sake of simplicity, we would like to avoid adding a new panel in Fig. 9, considering the complexity of the processes involved in the lateral transport and the spatial heterogeneity along the boundary of the convection zone. We think that the study of the physical processes involved and the vertical and horizontal redistribution produced is beyond the scope of this paper but would justify further studies.

### *3. Minor Comments*

*L131  $\gamma C/DO_c \rightarrow \gamma C/DO_x$*

Reply: This has been corrected as suggested in the revised manuscript.

*L132 mol → mole*

Reply: This has been corrected as suggested in the revised manuscript.

*L212 yk o , should be described in the previous line, with yk m . It is currently not explained.*

Reply: This has been corrected as suggested in the revised manuscript.

*L213 the Root is missing in the definition of NRMSE. Also when used in the text, it is given in percentage, so maybe indicate a "100x" and "%" as is done for PB in the same line.*

Reply: We apologize for the error in the definition of NRMSE. This has been addressed as suggested in the revision.

*L220 for readability please favor, after the coma, "as well as modelled time evolution ... during the winter that are close to the observations".*

Reply: This has been corrected as suggested in the revision.

*L224 "[The model is able to reproduce ] the deep chlorophyll maximum". Can the authors be a bit more specific , eg. the depth of the DCM, or its location, or timing or dynamics, or ..?*

Reply: In the revised manuscript, we have specified what the model reproduced in the deep chlorophyll maximum as suggested:

*"These studies showed that the model is able to accurately reproduce [...] the dynamics and depth of the deep chlorophyll maximum during the stratified, oligotrophic period."*

## **References:**

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### **Relevant changes made in the manuscript**

In addition to the corrections made on the recommendations of the reviewers, two relevant corrections have been made in version 2 of the manuscript:

- We would like to point out that we have found an error regarding the trajectory and the name of the float for which the temporal evolution of the oxygen content is shown in Figure 5b. We apologize for this error that has been corrected in the revised manuscript.
- Errors in the values and rounds of some estimates of air-sea oxygen fluxes have been corrected in the text (Sect. 6.1) and in Table 2. We apologize also for these errors which do not have a significant impact on the discussion on sensitivity tests.



# Oxygen budget ~~for~~of the north-western Mediterranean deep convection region

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**Abstract.** The north-western Mediterranean deep convection plays a crucial role in the general circulation and biogeochemical cycles of the Mediterranean Sea. The DEWEX (DENSE Water EXperiment) project aimed to better understand this role through an intensive observation platform combined with a modelling framework. We developed a 3 dimensional coupled physical and biogeochemical model to estimate the cycling and budget of dissolved oxygen in the entire north-western Mediterranean deep convection area over the period September 2012 to September 2013. After showing that the simulated dissolved oxygen concentrations are in a good agreement with the in situ data collected from research cruises and Argo floats, we analyze the seasonal cycle of the air-sea oxygen exchanges, as well as physical and ~~biological~~biogeochemical oxygen fluxes, and we estimate an annual oxygen budget. Our study indicates that the annual air-to-sea fluxes in the deep convection area amounted to  $20 \text{ mol m}^{-2} \text{ yr}^{-1}$ . 88% of the annual uptake of atmospheric oxygen, i.e.  $18 \text{ mol m}^{-2}$ , occurred during the intense vertical mixing period. The model shows that an amount of  $27 \text{ mol m}^{-2}$  of oxygen, injected at the sea surface and produced through photosynthesis, was transferred under the euphotic layer, mainly during deep convection. An amount of  $20 \text{ mol m}^{-2}$  of oxygen was then gradually exported in the aphotic layers to the south and west of the western basin, notably, through the spreading of dense waters recently formed. The decline in the deep convection intensity in this region predicted by the end of the century in recent projections, may have important consequences on the overall uptake of atmospheric oxygen in the Mediterranean Sea and on the oxygen exchanges with the Atlantic Ocean, that appear necessary to better quantify in the context of the expansion of low-oxygen zones.

## 1 Introduction

Deep convection is a key process leading to a massive transfer of oxygen from the atmosphere to the ocean interior (Körtzinger et al., 2004; 2008b; Fröb et al., 2016; Wolf et al., 2018). Its ~~reduction-weakening in some regions (de Lavergne et al., 2014; Brodeau and Koenigk, 2016),~~ induced by enhanced stratification, ~~in response to global warming~~ is one of the primary factors, along with ~~the changing ventilation at intermediate depths, slowdown of the overturning circulation,~~ warming-induced decrease in solubility ~~modulated by salinity changes, slowdown of the overturning circulation,~~ and changes in C:N utilization ratios, that may explain the ongoing decline in open ocean oxygen inventory, or deoxygenation, observed and modelled since the middle of the 20<sup>th</sup> century (Bopp et al., 2002; Keeling and Garcia, 2002; Plattner et al., 2002; Joos et al., 2003; Keeling et al., 2010; Helm et al., 2011; Andrews et al., 2017; Ito et al., 2017; Schmidtko et al., 2017; Breitburg et al., 2018). The oxygen decline leads to an increase in the volume of hypoxic or even anoxic waters and to the expansion of oxygen minimum zones, which substantially affect life and habitats of ~~the~~ marine ecosystems, and have implications ~~for~~ biogeochemical cycles (Ingall et al., 1994; Levin, 2003; Diaz and Rosenberg, 2008; Breitburg et al., 2009; Naqvi et al., 2010; Stramma et al., 2010; Scholz et al., 2014; Bristow et al., 2017). It is crucial to gain understanding of the actual ventilation occurring in deep convection areas and to continue developing models to predict its future evolution under climate change.

The North-Western (NW) Mediterranean Sea (Fig. 1 [a-b](#)) is one of the few regions of the world where deep convection takes place (Schott et al., 1996). ~~In autumn, during the preconditioning phase,~~ ~~A a~~ cyclonic gyre formed by the Northern Current and the Balearic front leads to the doming of the isopycnals and the rising of high-salinity intermediate waters, the Levantine Intermediate Waters (LIW), close to the surface. In winter, cold and dry northerly winds (Mistral, Tramontane) produce the cooling, evaporation, and a subsequent density increase ~~in density~~ of surface waters. The instability of the water column ~~results in the~~ induces convective mixing of surface waters with deeper waters, and, when the process is intense, in the formation of new deep waters that spread into the western Mediterranean Sea, such as observed in 2004-2006 (Schroeder et al., 2008a). The depth and horizontal extension of convection in the NW region show ~~a~~ strong interannual variability, driven by both the variability of the winter buoyancy loss and the stratification magnitude prior to the convection period (Mertens and Schott, 1998; Béthoux et al., 2002; Houpert et al., 2016; Somot et al., 2016). The deepest convection takes place in the centre of the ~~Gulf of Lions~~ Gulf of Lion where the yearly maximum of the mixed layer depth varies from a few hundred meters to 2,500 m when the bottom is reached (MEDOC Group, 1970).

60 ~~At the Mediterranean basin scale, the NW Mediterranean deep convection occurring in the north-western region is one of the major processes responsible for an massive supply enrichment of the euphotic layer with nutrients in the euphotic layer, compared to Atlantic influx as well as terrestrial and atmospheric inputs (Severin et al., 2014; Ulses et al., 2016; Kessouri et al., 2017). The replenishment of the surface layer with nutrients during deep convection and an is followed by an~~ intense bloom in spring when vertical mixing weakens (Bernadello et al., 2012; Lavigne et al., 2013; Auger et al., 2014; Ulses et al., 2016; Kessouri et al., 2018). The formation of deep waters is also at the origin of a huge ventilation of the western  
65 Mediterranean Sea (Minas and Bonin, 1988; Copin-Montégut and Bégovic, 2002; Schroeder et al., 2008a; Schneider et al., 2014; Stöven and Tanhua, 2015; Touratier et al., 2016; Coppola et al., 2017; 2018; [Li and Tanhua, 2020](#)). Mavropoulou et al.'s (2020) study, based on in situ observations over the period 1960-2011, indicated that its variability is one of the main drivers of the interannual variability of the dissolved oxygen ( $O_2$ ) concentration in the deep waters of the western Mediterranean Sea. The oxygenation induced by recurrent intermediate and deep convection together with a relatively low primary production, make the Mediterranean Sea a well oxygenated basin (Tanhua et al., 2013). In the western Mediterranean open sea, the oxygen minimum layer (OML) is located in the LIW and shows minimum oxygen concentration of 170-185  $\mu\text{mol kg}^{-1}$ , above ~ 70% of the saturation levels (Tanhua et al., 2013; Coppola et al., 2018). Thus the OML in this region is clearly less pronounced than the OMZ in the open oceans or deep basins of other seas, such as the adjacent Black Sea, where hypoxic conditions (oxygen concentration  $<2 \text{ ml } O_2 \text{ l}^{-1}$  or  $<61 \mu\text{mol } O_2 \text{ kg}^{-1}$ , Diaz and Rosenberg, 2008; Breitburg et al., 2018) are encountered. However the semi-enclosed Mediterranean Sea with a fast warming was identified as one of the most vulnerable marine regions to climate change (Giorgi, 2006). Recently, regional ocean models of the Mediterranean Sea converged to predict a weakening of NW deep convection intensity under climate change scenarios by the end of the 21<sup>st</sup> century (Soto-Navarro et al., 2020). Yet, Coppola et al. (2018), by analyzing the evolution of observed oxygen profiles in the Ligurian Sea over a 20-year period, suggested that hypoxic conditions (oxygen concentration  $<2 \text{ ml } O_2 \text{ l}^{-1}$  or  $<61 \mu\text{mol } O_2 \text{ kg}^{-1}$ , Diaz and Rosenberg, 2008; Breitburg et al., 2018) may be reached in water masses at intermediate depths after a period of 25 years without deep convection events (presuming bacterial respiration remains the same). Yet, recently, regional ocean models of the Mediterranean Sea converged to predict a weakening of NW deep convection intensity under climate change scenarios by the end of the 21<sup>st</sup> century (Soto-Navarro et al., 2020).

85 One of the objectives of the DEWEX (DEnse Water EXperiment) project carried out in 2012/2013 was to investigate the deep convection process, the formation of North-Western Mediterranean Deep Waters and the impact of deep convection on biogeochemical fluxes (Conan et al., 2018). Three cruises and the deployment of autonomous platforms (glider, Argo floats) provided an unprecedented intensive observation of this region before, during and after a deep convection event, and completed the observation effort during the stratified period operated since 2010 in the framework of the MOOSE-GE (Mediterranean Ocean Observing System for the Environment-Grande Échelle) program (Estournel et al., 2016b). The  
90 2012/2013 event was identified by observational and modelling studies as one of the five most intense deep convection events over the period 1980-2013 (Somot et al., 2016; Herrmann et al., 2017; Coppola et al., 2018) due to extremely strong

buoyancy loss (Somot et al., 2016). Regarding the oxygen dynamics, DEWEX winter observations showed a strong increase in the O<sub>2</sub> inventory of the entire water column, which was concomitant to the deepening of the mixed layer and was attributed to a rapid intake of atmospheric dissolved oxygen (Coppola et al., 2017). However, these observations remain limited in time and space. Up to date, no high-resolution modelling of the oxygen dynamics in the NW deep convection region that could complete the monitoring effort and provide quantification for the whole area has been yet proposed.

In this study, we take advantage of the DEWEX project to implement and constrain with in situ observations, a 3D coupled physical-biogeochemical model representing the dynamics of dissolved oxygen, and to gain understanding in the variability of the oxygen inventory in the whole NW Mediterranean deep convection area, for the period between September 2012 and September 2013. In this framework, we investigate the seasonal cycle of the oxygen inventory and estimate its annual budget, and we analyze and quantify the relative contribution of air-sea exchanges, as well as of physical and biological/biogeochemical processes in the budget. The following document is organized as follows: in Sect. 2, we describe the numerical model, its implementation and the observations used for its assessment. In Sect. 3, we compare our model results with in situ observations. In Sect. 4, we describe the seasonal cycle of atmospheric and physical conditions. In Sect. 5, we examine the seasonal cycle of oxygen inventory and fluxes, as well as the annual oxygen budget. We discuss our results in Sect. 6 and conclude in Sect. 7.

## 2 Material and methods

### 2.1 The numerical model

~~We use a biogeochemical model forced offline by daily outputs of a 3D hydrodynamic model. Both models and their initial and boundary conditions are described in the following sub-sections.~~

#### 2.1.1 The hydrodynamic model

The SYMPHONIE model used in this study is a 3D primitive equation model, with a free surface and generalized sigma vertical coordinate, as described in Marsaleix et al. (2008). ~~The vertical diffusion is parameterized with a prognostic equation for the turbulent kinetic energy and a diagnostic equation for the mixing and dissipation lengths, following Gaspar et al. (1990). Atmospheric forcing (turbulent fluxes) is calculated using the bulk formulae described by Large and Yeager (2004).~~ This model was previously used in the Mediterranean Sea to simulate open-sea convection (Herrmann et al., 2008; Estournel et al., 2016a; Ulses et al., 2016), shelf dense water cascading (Estournel et al., 2005; Ulses et al., 2008b) and continental shelf circulation on the ~~Gulf of Lions~~Gulf of Lion shelf (Estournel et al., 2001; 2003; Ulses et al., 2008a). ~~The model was initialized and forced at its lateral boundaries with daily analyses of the configuration PSY2V4R2 based on the NEMO ocean model at a resolution of 1/12° over the Atlantic and the Mediterranean by the Mercator Ocean International operational system (Lellouche et al., 2013). Following Estournel et al. (2016a), the initial field and open boundary conditions were~~

corrected from stratification biases deduced from comparisons with observations taken during the MOOSE-GE cruise of August 2012. Atmospheric forcing (turbulent fluxes) was calculated using the bulk formulae described by Large and Yeager (2004). Meteorological parameters including downward radiative fluxes were taken from the ECMWF (European Centre for Medium-Range Weather Forecasts) operational forecasts at 1/8° horizontal resolution and 3-hour temporal resolution based on daily analyses. River runoffs were considered based on realistic daily values for French rivers (data provided by Banque Hydro, [www.hydro.eaufrance.fr](http://www.hydro.eaufrance.fr)) and Ebro (data provided by SAIH Ebro, [www.saihebro.com](http://www.saihebro.com)), and mean annual values for the other rivers.

### 2.1.2. The biogeochemical model

The biogeochemical model Eco3M-S is a multi-nutrient and multi-plankton functional type model that simulates the dynamics of the biogeochemical decoupled cycles of several biogenic elements (carbon, nitrogen, phosphorus, silicon), and of non-Redfieldian plankton groups (Ulses et al., 2016). The model was previously used to study the biogeochemical processes on the Gulf of Lions shelf (Auger et al., 2011) and in the NW Mediterranean deep convection area (Herrmann et al., 2013; Auger et al., 2014; Ulses et al., 2016; Herrmann et al., 2017; Kessouri et al., 2017; 2018). In this study, the model was extended to describe the dynamics of dissolved oxygen in the ocean interior and the air-sea exchanges of oxygen. Here we only describe the rate of change of the new state variable, the dissolved oxygen concentration, and the parameterization of the air-sea flux of oxygen, that were included in the model version described in detail by Auger et al. (2011). The rate of change of dissolved oxygen concentration due to biogeochemistry in the water column is governed by the following equation:

$$\frac{dDOx}{dt} = \sum_{i=1}^3 (GPP_i - RespPhy_i) \gamma_{C/DOx} - \sum_{i=1}^3 (RespZoo_i + RespZoo_i^{add}) \gamma_{C/DOx} - RespBac \gamma_{C/DOx} - Nitrif \gamma_{NH_4/DOx} \quad (1)$$

where  $DOx$  is the dissolved oxygen concentration,  $GPP_i$  and  $RespPhy_i$  are gross primary production and respiration, respectively, for phytoplankton group  $i$ ;  $RespZoo_i$  and  $RespZoo_i^{add}$  are basal respiration and additional respiration fluxes to maintain constant N:C and P:C internal ratios, respectively, for zooplankton group  $i$ ,  $RespBac$  is bacterial respiration and  $Nitrif$  nitrification.  $\gamma_{C/DOx}$  and  $\gamma_{NH_4/DOx}$ , equal to 1 and 2, respectively, are the mole of  $DOx$ , used per mole of C in respiration and needed to oxidize one mole of ammonium in nitrification as described in Grégoire et al. (2008).

The flux of dissolved oxygen at the air-sea interface,  $DOxFlux$ , is computed from:

$$DOxFlux = K_w (DOx_{sat} - DOx_{surf}) \quad (2)$$

where  $DOx_{sat}$  and  $DOx_{surf}$  (in  $\text{mmol m}^{-3}$ ) are the concentration of dissolved oxygen at saturation level and at the surface of the ocean, respectively, and  $K_w$  (in  $\text{m s}^{-1}$ ) is the gas transfer velocity. The oxygen solubility (or dissolved oxygen at

saturation level) is determined using the equation of Garcia and Gordon (1992). The oxygen saturation anomaly (noted  $\Delta O_2$ ) is defined as  $\Delta O_2 = (DOx - DOx_{sat}) / DOx_{sat} \times 100 \%$ . We computed here the gas transfer velocity using the parameterization of Wanninkhof and McGillis (1999) with a cubic dependency to the wind speed, following the study in the convective Labrador Sea by Körtzinger et al. (2008b) who found that this parameterization was one of those that gave best results and recommended a stronger than quadratic wind speed dependency for high wind speed range. In addition, sensitivity analyses using six-eight various parameterizations of the gas transfer velocity were performed to estimate uncertainties of air-sea exchanges and are discussed in Sect. 6.1. For these sensitivity tests, we used quadratic (Wanninkhof, 1992; Wanninkhof, 2014) and hybrid (Nightingale et al., 2000; Wanninkhof et al., 2009) wind speed dependency parameterizations, as well as parameterizations including air-sea fluxes due to bubbles formation , namely the parameterization proposed by (Woolf, 1997; Stanley et al., 2009; Liang et al., (2013); and the parameterization by Bushinsky and Emerson, (2018) who applied in the previous one a multiplicative reduction coefficient of 0.29. To compute the gas transfer velocity, we used the 3-hour wind speed provided by the ECMWF model on a 1/8° grid, in consistency with the hydrodynamic simulation.

### 2.1.3 Implementation

The implementation of the hydrodynamic and biogeochemical simulations used in this study was described by Estournel et al. (2016a) and Kessouri et al. (2017; 2018). The numerical domain (Fig. 1a-b) covers most of the western Mediterranean basin, using a curvilinear grid with variable horizontal resolution (Bentsen et al., 1999). The mesh size ranges from 0.8 km in the north to 1.4 km in the south. The grid has forty vertical levels with closer spacing near the surface (15 levels in the first 100 m in the center of the convection area characterized by depths of ~2,500 m). As explained in Estournel et al. (2016a), the size of the grid is not small enough to explicitly represent convective plumes, which thus need to be parameterized. In our case, to prevent the development of static instabilities at the surface resulting in noise at the scale of the mesh, the heat and water fluxes are distributed over the whole mixed layer whose thickness is given by the depth at which the vertical density gradient becomes negative.

The biogeochemical model is forced offline by daily outputs of the hydrodynamic model. The advection and diffusion of the biogeochemical variables were calculated using the QUICKEST (QUICK with Estimated Streaming Terms) scheme (Leonard, 1979) on the horizontal and with a centred scheme on the vertical.

A strategy of downscaling from the Mediterranean basin to the western sub-basin scale was implemented in three stages (Fig. 1a and 1c) as described by Kessouri et al (2017). In a first step, the SYMPHONIE hydrodynamic model was initialized and forced at its lateral boundaries with daily analyses of the configuration PSY2V4R4, based on the NEMO ocean model at a resolution of 1/12° over the Atlantic and the Mediterranean Sea by the Mercator-Ocean International operational system (Lellouche et al., 2013). Second, the biogeochemical model was forced at the Mediterranean basin scale by the outputs of the same NEMO simulation. In a third step, the daily outputs of the two previous simulations were used to initialize and force the Eco3M-S biogeochemical model over the western Mediterranean Sea. This nesting protocol ensures the coherence of the

185 ~~physical and biogeochemical fields at the open boundaries. Following Kessouri et al. (2017), the biogeochemical model was~~  
~~downscaled from the Mediterranean basin scale to the regional scale used here. The biogeochemical basin scale model was~~  
~~forced by daily fields of temperature, salinity, current and vertical diffusivity from the NEMO model (PSY2V4R2 analyses),~~  
~~which were also used for the boundary conditions of our hydrodynamic model (Sect. 2.1.1). This basin configuration of the~~  
~~biogeochemical model~~ was initialized in summer 2011, with climatological fields of in situ nutrient concentrations from the  
190 oligotrophic period in the Medar/MedAtlas database (Manca et al., 2004) and according to oxygen observations from Meteor  
M84/3 cruise carried out in April 2011 (Tanhua et al., 2013) and DYFAMED station observations in August 2011 (Coppola  
et al., 2018). ~~Daily values of the state variables were extracted from the basin scale run for the initial and lateral boundary~~  
~~conditions of the regional model. This nesting protocol ensures the coherence of the physical and biogeochemical fields at~~  
~~the open boundaries.~~The regional ~~model- biogeochemical simulation was initialized~~started in August 2012. Due to strong  
195 vertical diffusivities in the basin scale model, we corrected the initial oxygen concentration, for the north-western region  
using DYFAMED observations carried out in the summer of 2012 (Coppola et al., 2018), and for the south-western region  
according to Meteor M84/3 observations.

~~Meteorological parameters including downward radiative fluxes were taken from the ECMWF (European Centre for~~  
~~Medium-Range Weather Forecasts) operational forecasts at 1/8° horizontal resolution and 3 hour temporal resolution based~~  
200 ~~on daily analyses. River runoffs were considered based on realistic daily values for French rivers (data provided by Banque~~  
~~Hydro, www.hydro.eaufrance.fr) and Ebro (data provided by SAIH Ebro, www.saihebro.com), and mean annual values for~~  
~~the other rivers.~~ At the Rhone River mouth, nitrate, ammonium, phosphate, silicate and dissolved organic carbon  
concentrations were prescribed using in situ daily data (P. Raimbault, personal communication). These data, combined with  
those of Moutin et al. (1998) and Sempéré et al. (2000), were used to estimate dissolved organic phosphorus and nitrogen,  
205 and particulate organic matter concentrations as described in Auger et al. (2011). At the other river mouths, climatological  
values were prescribed according to Ludwig et al. (2010). Dissolved oxygen concentration at the river mouths was set to  
values at saturation. The deposition of organic and inorganic matter from the atmosphere was neglected in this study. Fluxes  
of inorganic nutrients and oxygen at the sediment-sea interface were considered by coupling the pelagic model with a  
simplified version of the meta-model described by Soetaert et al. (2001). The parameters of the latter model were set  
210 following the modelling study performed by Pastor et al. (2011) for the Gulf of Lions shelf.

### 2.1.3. ~~Study Area of study~~

For analyses and budget purposes, we defined the deep convection area, as the area where the daily averaged mixed layer  
depth exceeded 1,000 m at least once during wintertime (red ~~circled-contoured~~ area in Fig. 1b), according to Kessouri et al.  
(2017; 2018). It covered an area of 61,720 km<sup>2</sup> in 2013. The mixed layer depth is defined as the depth where the potential  
215 density exceeds its value at 10 m depth by 0.01 kg m<sup>-3</sup> (Coppola et al., 2017). Heat fluxes, physical and biogeochemical  
parameters and fluxes presented in the following sections correspond to values averaged over all model grid points included  
in this area. The budget of oxygen inventory was computed in two layers based on biogeochemistry processes: in the upper

layer (from the surface to 150 m) including the euphotic layer where photosynthesis influences the dynamics of oxygen and in the underlying aphotic layer (from 150 m to the bottom) where only respiration and nitrification processes are taken into account in the model. The maximum depth of the base of the euphotic layer was defined at 150 m, based on the regional minimum value of diffuse attenuation coefficient of light at 490 nm derived from satellite observations (<http://marine.copernicus.eu/>, products: OCEANCOLOUR\_MED\_OPTICS\_L3\_REP\_OBSERVATIONS\_009\_095), and following the studies by Lazzari et al. (2012) and Kessouri et al. (2018).

## 2.2 Observations used for the model assessment

### 2.2.1 Cruise observations

To assess the horizontal and vertical distribution of the simulated dissolved oxygen concentration, we use in situ observations collected during two cruises carried out in the framework of the DEWEX project on-board the RV *Le Suroît*: the first one, DEWEX Leg1, was carried out during the active phase of deep convection, in February 2013, (Testor, 2013) and the second one, DEWEX Leg2, during the following spring bloom, in April 2013 (Conan, 2013). In addition, we use observations from the 2013 MOOSE-GE cruise, conducted during the stratified, oligotrophic season, in June–July 2013 on-board RV *Tethys II* (Testor et al., 2013). The dissolved oxygen measurements were performed during the DEWEX (Leg1: 74 stations, Leg2: 99 stations) and MOOSE-GE (74 stations) cruises, using a Seabird SBE43 sensor. The calibration and quality control of the measurements were described by Coppola et al. (2017). The accuracy of the measurements was estimated at 2 % of oxygen saturation, i.e.  $4 \mu\text{mol kg}^{-1}$ . A Winkler analysis performed on-board was used to adjust the SBE43 raw data, as specified by the GO-SHIP [program group](http://www.go-ship.org/) (<http://www.go-ship.org/>).

We also compare our model results with high-frequency measurements of wind at 10 m and of ocean surface temperature, salinity (thermosalinograph and Conductivity-Temperature-Depth (CTD)) and dissolved oxygen concentration (optode) at 3 m depth using the sea surface water continuous acquisition system (SACES) (Dugenne, 2017) during the two DEWEX cruises.

### 2.2.2 Argo floats

To evaluate the temporal evolution of the modelled oxygen inventory, we use data of three Argo-O<sub>2</sub> floats (floats 6901467, 6901470, 6901487) deployed in the NW Mediterranean Sea during the preconditioning phase (late November 2012) and the active phase (late January 2013) of dense water formation, and operational until the end of the study period (Coppola et al., 2017). Dissolved oxygen measurements were made with a standard CTD sensor, equipped with an oxygen optode with fast time response (Aanderaa 4330). Calibrations of optodes were performed before [the float deployment](#) and also during the deployment using CTD profiles and seawater samples (Niskin bottles). Details on float deployment strategy and calibration are given by Coppola et al. (2017). [We calculated the oxygen inventory from 1,800 m to the surface for floats 6901467 and 6901470 and only from 1,000 m to the surface for float 6901487 due to poor quality salinity data below this depth.](#)



## 2.3 Statistical analysis

250 In order to quantify the performance of the model in its ability to represent the dynamics of dissolved oxygen for the study period, we computed four complementary metrics following the recommendations of Allen et al. (2007): (1) the standard deviation ratio ( $r_\sigma = \frac{\sigma_o}{\sigma_m}$  where  $\sigma_m$  and  $\sigma_o$  are the standard deviation of model outputs and observations, respectively), (2) the Pearson correlation coefficient  $R = \frac{\frac{1}{K} \sum_{k=1}^K (y_k^m - \bar{y}^m)(y_k^o - \bar{y}^o)}{\sigma_m \sigma_o}$  where  $K$  is the number of observations,  $y_k^o$  is the observation  $k$  and  $y_k^m$  is the corresponding model output  $k$  that corresponds to the observation  $k$ ,  $\bar{y}^o$  and  $\bar{y}^m$  are the mean of observations and model outputs and observations respectively; (3) the normalized root mean square error ( $NRMSE = \frac{\sqrt{\frac{1}{K} \sum_{k=1}^K (y_k^o - y_k^m)^2}}{\bar{y}^o} \times 100 \%$ ) and (4) the percentage bias ( $PB = 100 \times \frac{\bar{y}^m - \bar{y}^o}{\bar{y}^o} \times 100 \%$ ). The model results are compared with the observations at the same dates and positions.

## 3 Evaluation of the model

260 The accurate representation of the winter mixing of water masses is an essential point for the simulation of the dissolved oxygen dynamics in this region, marked by a strong ventilation of the deep waters that plays a crucial role in its seasonal cycle (Copin-Montégut et al., 2002; Touratier et al., 2016; Coppola et al., 2017; 2018). A validation of the hydrodynamic part of the simulation is described by Estournel et al. (2016a), who showed similar spatial distribution of the modelled water column stratification in the entire deep convection area, as well as ~~close~~ modelled time evolution of the temperature profile in the centre of the Gulf of Lions Gulf of Lion open-sea during the winter; that are close to the observations.

265 Furthermore, an assessment of the biogeochemical part of the coupled model is presented in Kessouri et al. (2017; 2018). These studies showed that the model is able to accurately reproduce the timing and magnitude of the surface chlorophyll increase during the spring and autumnal blooms, as well as the concentrations of nutrients and depths of nutriclines and the dynamics and depth of the deep chlorophyll maximum during the stratified, oligotrophic period.

270 In this study, we focus the evaluation of the coupled model on its ability to realistically represent the dynamics of dissolved oxygen in the deep regions of the NW Mediterranean Sea. For this purpose, ~~first we~~ first compare the model results to in situ observations from DEWEX and MOOSE-GE cruises conducted at three key periods: the winter mixing period, the phytoplankton bloom period, and the stratified summer period. ~~Then, we~~ We then compare the model outputs to Argo data deployed in the area in terms of time evolution of oxygen inventory.

### 3.1 Comparisons to cruise observations

275 The comparisons of modelled wind velocity and ocean model outputs with in situ observations from the high-frequency SACES are shown in Fig. 2. Modelled wind provided by ECMWF and used to force the hydrodynamic model and to calculate the air-sea oxygen flux is highly correlated with the observations ( $R=0.96$ ,  $p\text{-value}<0.01$ ). The low values of

NRMSE (13.9 %) and percentage bias (-0.5 %) show the accuracy of this variable, found for all ranges of value. Regarding the surface ocean variables, we obtain statistically significant correlations equal to 0.64, 0.83 and 0.83 (p-value  $<0.01$ ), between observed and modelled values of respectively surface temperature, salinity, and dissolved oxygen concentration. The NRMSE are equal to 2.0 %, 0.3 % and 5.2 %, respectively. The percentage biases remain negligible for temperature (-0.7 %), salinity (0.002 %) and dissolved oxygen concentration (-1.2 %).

Figures 3 and 4 compare the observed and modelled dissolved oxygen concentration for the stations sampled during the DEWEX and MOOSE-GE cruises, respectively, at the surface (between 5 and 10 m) and along the south-north transect passing across the convection area (stations encircled in black on Fig. 3). Overall, the simulation correctly reproduces the spatial and temporal variability of the oxygen concentration observed at the surface and in the water column during and between the 3 cruises. During wintertime, the model simulates low surface oxygen concentrations ( $<220 \mu\text{mol kg}^{-1}$ ) in the open sea of the ~~Gulf of Lions~~Gulf of Lion and the Ligurian Sea, areas that coincide with the deep vertical mixing regions (Estournel et al., 2016a; Kessouri et al., 2017) (Fig. 3a and 3b). Figure 4a shows the oxygen homogenization of the whole water column between  $41.5^\circ \text{N}$  and  $42.3^\circ \text{N}$ , the core of the deep convection area. Concentrations above  $240 \mu\text{mol kg}^{-1}$  are modelled in the surface layer on the shelf and in the south at the Balearic front, in accordance with the observations (Fig. 3a, 3b, 4a). The model also agrees with observations showing a layer of low oxygen concentration (minimum concentration  $<185 \mu\text{mol kg}^{-1}$  at depths around 500 m) located between 150 m and 1,500 m, mainly in the ~~Levantine Intermediate Water~~LIW (300-800 m), outside the deep convection area (Fig. 4a). The metrics confirm the good agreement between model outputs and observations with ~~respectively at the surface and along the south-north transect~~, a significant spatial correlation of 0.81 and 0.61 (p-value  $<0.01$ ), a NRMSE of 5.3 % and 15.7 %, and a negligible percentage bias of -1.1 % and 0.01 % ~~respectively at the surface and along the south-north transect~~.

During the spring cruise period, the model represents high dissolved oxygen values ( $>240 \mu\text{mol kg}^{-1}$ ) at the surface throughout the region, as observed (Fig. 3c and 3d). The increase in modelled oxygen concentration in the surface layer between both campaigns is in agreement with observations (Fig. 3a-d and 4a-b). A zone of low oxygen concentration in the intermediate waters is present in the convection area in both datasets (Fig. 4b). However, it is worth noting that this zone of low oxygen concentration is heterogeneous in its magnitude and thickness both in model outputs and in observations, and is not similarly distributed in space in the model compared to the measurements. At the surface and along the transect, the spatial correlation coefficients between modelled and observed dissolved oxygen are equal to 0.59 and 0.30 (p-value  $<0.01$ ), respectively, the NRMSE to 4.6 % and 19.3 %, respectively, and the percentage biases to -1.2 % and -4.4 %, respectively.

The north-south gradient, with lower surface concentrations in the south of the deep convection area, observed during the stratified period (i.e. MOOSE-GE cruise period in June/July) is then well reproduced by the model (Fig. 3e and 3f). The minimum zone is more established than in spring in both in situ data and model results (Fig. 4c). Both sets of data represent a maximum in the subsurface at depths around 50 m, close to the deep chlorophyll maximum (shown on Fig. 5 in Kessouri et al., 2018), although an underestimation of its magnitude is visible between  $41.5^\circ$  and  $42^\circ \text{N}$  in the model (Fig. 4c). We find a spatial correlation coefficient of 0.64 and 0.96 (p-value  $<0.01$ ), a NRMSE of 3.2 % and 3.5 % and a negligible percentage

bias (absolute values  $\leq 0.4$  %) between model outputs and observations at the surface and along the north-south transect, respectively.

315 The metrics computed using all station data from the three cruises are given in Table 1. The modelled dissolved oxygen concentration is significantly correlated with the observed concentration ( $R_{\geq 0.81}$ ,  $p_{< 0.01}$ ), in particular for the winter period when the pattern of the oxygen distribution appears to be primarily shaped by deep convection processes, shown to be accurately represented by Estournel et al. (2016a). The model results show low percentage biases ( $PB_{< 1}$  %), low NRMSE ( $< 8$  %) and standard deviation ratios ranging between 1.13 and 1.35 which indicate a larger variability in the observations than in the model outputs.

### 320 3.2 Comparison to Argo float data

The model accurately reproduces the magnitude of oxygen inventory in the water column and its time evolution observed using Argo floats during the study period (Fig. 5). The model simulates the increase observed between early December and late February. This increase is estimated at  $\sim 20$  mol  $m^{-2}$  over a layer from the surface to 1,800 m, along the trajectory of the float 6901467 (Fig. 5a), and at  $\sim 10$  mol  $m^{-2}$  over a layer from the surface to 1,000 m, along the trajectory of the float 6901487 (Fig. 5c), both floats being located in the ~~Gulf of Lions~~Gulf of Lion at that period. The oxygen inventory remains high during the month of March, and then decreases significantly from early April to early June, in model outputs and Argo observations. In both datasets, the decrease reaches up to 20 mol  $m^{-2}$  over 1,800 m along the path of the Argo float 6901470~~+~~ in the ~~Gulf of Lions~~Gulf of Lion (Fig. 5b) and is less pronounced ( $\sim 10$  mol  $m^{-2}$ ) along the trajectory of the float 6901467 in the Balearic Sea (Fig. 5a). More moderate decreases are then simulated and observed until September along all float trajectories. The statistical analysis shows that, in terms of oxygen inventory, significant correlation coefficients are obtained between the model outputs and the 3 float observations ( $0.64 < R_{< 0.83}$ ,  $p\text{-value}_{< 0.01}$ ), NRMSE are smaller or equal to 2.4 % and the absolute values of percentage bias are smaller or equal to 2% (Fig. 5). In terms of dissolved oxygen concentration in the water column, we obtain significant correlation coefficients ( $0.56 < R_{< 0.93}$ ,  $p\text{-value}_{< 0.01}$ ), NRME smaller than 10.5 %, percentage biases smaller than 1% and standard deviation ratios close to 1 for floats 6901470~~+~~ and 6901487, and of 1.37 for float 6901467 (Table 1).

## 4 Atmospheric and hydrodynamic conditions

In the NW Mediterranean Sea, deep convection takes place every winter but shows a strong interannual variability in its magnitude and spatial extent. This interannual variability is partly related to the variability of heat fluxes (Somot et al., 2016). Over the study period, the convection area was marked by severe heat loss episodes from late October 2012 to mid-March 2013 (Fig. 6a). In particular, there was a first short but intense heat loss event (mean heat flux  $< -1000$  W  $m^{-2}$ ) at the end of October, followed by several long northerly wind episodes when heat loss peaks reached 500 W  $m^{-2}$ , during the months of December to February (late November to mid-December, mid-January, early February and late February). Finally,

a last strong heat loss episode occurred in mid-March after a period of positive heat flux. The wind velocity averaged over the convection period (January 15-March 8, March 15-March 24) was maximum in the centre of the [Gulf of Lions](#)[Gulf of Lion](#), where it reached  $10 \text{ m s}^{-1}$  (Fig. 7a). From April onwards, the convection region was mainly characterized by heat gains. In response to the autumnal heat loss events, the mixed layer (ML) began to deepen below 50 m at the end of November (Fig. 6b). Its deepening was strongly enhanced in winter over four periods that coincided with the four episodes of intense northerly wind associated with heat loss mentioned above (Fig. 6a-b). Deep convection reached the bottom layer ( $\sim 2,000 \text{ m}$ ) in the core of the convection zone (latitude  $\approx 42^\circ \text{ N}$ ,  $4^\circ \text{ E}$   $\text{longitude} < 5^\circ \text{ E}$ ) in early February and the spatially averaged mixed layer reached a maximum depth of about  $1,500 \text{ m}$ , at the end of February (Fig. 6b). At the end of the main convection event, end of February/early March the spatially averaged mixed layer abruptly decreased to less than  $100 \text{ m}$  (Fig. 6b). Finally, during the secondary convection event from 15 to 24 March, it reached almost  $800 \text{ m}$ . Figure 7b shows the modelled mixed layer depth (MLD) averaged over the convection periods. It exceeded  $1,000 \text{ m}$  in a central area of the [Gulf of Lions](#)[Gulf of Lion](#), between  $41.5^\circ$  and  $42.5^\circ \text{ N}$  and  $3.5^\circ$  and  $7^\circ \text{ E}$  and was smaller than  $500 \text{ m}$  in the Ligurian Sea. From mid-April to the end of the period, the mixed layer was shallow (depth  $< 20 \text{ m}$ ) and its depth remained above the nutriclines (Kessouri et al., 2017) and the deep chlorophyll maximum (Kessouri et al., 2018).

## 5 Results

### 5.1 Seasonal cycle of dissolved oxygen

The good agreement found between model results and in situ measurements (Sect. 3) gave us confidence in the model that we use here to analyze the evolution of oxygen inventory in the deep convection area and to quantify the relative contribution of each oxygen flux in its variation: exchanges at the air-sea interface, as well as physical and [biological](#)[biogeochemical](#) fluxes in the ocean interior. Based on the evolution of vertical mixing and the phytoplankton growth in the study area, Kessouri et al. (2017) divided the study period into four sub-periods. The first period from September to the end of November, which we will refer to as the autumn period, is characterized by a stratified water column (mean MLD  $< 50 \text{ m}$ ) and respiration dominating primary production (Kessouri et al., 2018). The second period, from the end of November to the end of March, referred here as the winter period, is characterized by a sustained vertical mixing (mean MLD  $> 50 \text{ m}$ ). The third period, called spring, ran from late March to early June. It corresponds to the period of restratification of the water column (Estournel et al., 2016a) and of the peak of the phytoplankton bloom at the sea surface followed by the formation of a deep chlorophyll maximum (Kessouri et al., 2018). The last period, summer, from early June to September, is characterized by a strong stratification (mean MLD  $< 20 \text{ m}$ ) and the permanent presence of a deep chlorophyll maximum below  $40 \text{ m}$  depth (Kessouri et al., 2018). In the following, we will analyze the dynamics of dissolved oxygen for these four periods. The time evolution of daily oxygen budget terms is shown in Fig. 6d-f, while the time evolution of cumulative oxygen fluxes and the resulting variation in oxygen inventory for the upper (surface- $150 \text{ m}$ ) and deeper ( $150 \text{ m}$ -bottom) layers is presented in Fig. 8. The [biological](#)[biogeochemical](#) term of the budget is defined as the sum

375 of oxygen production through photosynthesis, and of oxygen consumption through respiration by phytoplankton,  
zooplankton and bacteria, and through oxidation of ammonium (nitrification) (see Eq. 1). The physical term is decomposed  
into two modes of transports: a net lateral transport due to advection (positive values correspond to an input for the deep  
convection area) and a net vertical downward transport at the interface between the two layers, at 150 m depth, due to  
advection and turbulent mixing. Finally, the time evolution of the dissolved oxygen concentration and the oxygen saturation  
380 anomaly,  $\Delta O_2$ , averaged over the convection area is shown in Fig. 9.

**Autumn** - From September to the end of November 2012 (91 days), depth-integrated respiration exceeded depth-integrated  
primary production ~~throughout the water column in both upper and deeper layers (Fig. 6f). The net loss in oxygen was~~  
~~maximum in the oxygen minimum zone located at the depths of the Levantine Intermediate Water masses (Fig. 9), formed in~~  
385 ~~the eastern Mediterranean Sea and where the biologically produced, exported organic matter is progressively remineralized~~  
~~along their path toward the western basin and the Gibraltar Strait.~~ The result of biologicalbiogeochemical processes was a  
net consumption of oxygen and a decrease of  $1.8 \text{ mol m}^{-2}$  in oxygen inventory (Fig. ~~6f and~~ 8). Lateral transport was low for  
autumn and yielded a slight decrease of  $0.9 \text{ mol m}^{-2}$  in oxygen inventory (Fig. 8). The heat loss and vertical mixing caused  
by the northerly wind gust at the end of October 2012 led to a decrease in surface temperature and consequently to an  
390 increase in oxygen solubility (Fig. 6c). In addition, the vertical mixing reached the depth of the oxygen maximum present in  
the subsurface (Fig. 9). This caused its erosion and an increase in the surface oxygen concentration which was, however,  
lower than the oxygen solubility (Fig. 9 and 6c). From this event, the NW deep convection area became undersaturated at the  
surface (Fig. 9b) and the sea began to absorb atmospheric oxygen (flux towards the ocean of  $80 \text{ mmol day}^{-1}$  on 29  
October, Fig. 6d). Over the autumnal period, the cumulative air-sea oxygen flux amounted to  $0.3 \text{ mol m}^{-2}$  (Fig. 8a). Globally,  
395 the convection area was characterized by a decrease in oxygen inventory of  $2.4 \text{ mol m}^{-2}$ , more than two-thirds of which  
occurred in the upper layer.

**Winter** - The winter period was defined from late November 2012 to late March 2013 but can be further divided into two  
sub-periods based on the intensity of the vertical mixing (Kessouri et al., 2017). During the first sub-period, from the end of  
400 November to mid-January (44 days), the mixing intensified, but remained moderate: the ML averaged over the deep  
convection area remained above the depth of the maximum euphotic layer (150 m, see Sect. 2.1.3) (Fig. 9). The vertical  
mixing induced a supply of inorganic nutrients in the upper layer that supported primary production. Kessouri et al (2018)  
identified the beginning of this period as the beginning of a first bloom. From mid-December, the net  
biologicalbiogeochemical production of oxygen became positive in the upper layer (Fig. 6f). However, over this sub-period,  
405 the influence of biologicalbiogeochemical processes on oxygen inventory remained low ( $-0.3 \text{ mol m}^{-2}$ , Fig. 8). Air-to-sea  
oxygen flux was marked by several peaks, greater than  $250 \text{ mmol m}^{-2} \text{ day}^{-1}$  (Fig. 6d), coinciding with cold gales from the  
north. Its contribution to the oxygen inventory over this sub-period amounted to  $3.6 \text{ mol m}^{-2}$ . Regarding the lateral oxygen  
export, it contributed to a loss of  $1.3 \text{ mol m}^{-2}$ . The sum of the contributions of the different processes in the water column

and at the air-sea interface yielded an increase in  $O_2$  inventory of  $2.0 \text{ mol m}^{-2}$  in the water column. 90 % of this increase  
410 occurred in the upper layer, from which  $0.7 \text{ mol m}^{-2}$  of  $O_2$  was exported toward the deeper layers.

The second winter sub-period, from mid-January to late March (69 days), corresponds to the period of deep convection. From the middle to the end of January, the surface water masses previously enriched with oxygen, due to primary production and air-sea exchanges, were mixed with the intermediate water masses characterized by a minimum of oxygen (Fig. 9). From the beginning of February, the vertical mixing intensified, causing a net oxygen transport towards deeper layers (depth > 800  
415 m, Fig. 6e, 8 and 9).  $O_2$  concentration decreased significantly at the surface and the difference between surface oxygen concentration and oxygen solubility deepened further, the oxygen saturation anomaly reaching -15% until the end of the convection period (Fig. 9). Over this sub-period, the whole NW convection area was undersaturated at -10% to -15% (Fig. 7c). Strong undersaturation and wind intensity led to very high air-sea fluxes. Several peaks reaching  $800 \text{ mmol m}^{-2} \text{ day}^{-1}$  are modelled until mid-March (Fig. 6d). The contribution of air-sea fluxes over this period amounted to  $18.0 \text{ mol m}^{-2}$  (Fig 8a).  
420 Over the deep convection period, ~~the~~ air-sea oxygen exchanges are characterized by ~~a strong-high~~ spatial variability (Fig. 7d) with a standard deviation of 38%. The air-to-sea sea oxygen flux averaged over the deep convection period varied between 300 and  $460 \text{ mmol m}^{-2} \text{ day}^{-1}$  in the heart of the convection area, and between 65 and  $200 \text{ mmol m}^{-2} \text{ day}^{-1}$  in the Ligurian Sea.

With regard to ~~biological~~biogeochemical processes, as shown in previous studies (Auger et al., 2014; Kessouri et al., 2018), zooplankton growth ~~is~~was largely reduced by the deep convection process due to a dilution induced decoupling of preys and  
425 predators. In the upper layer, oxygen production through primary production exceeded oxygen consumption processes (respiration, nitrification) (Fig. 6f). In parallel, the export of organic matter into the intermediate and deep layers during deep convection (Kessouri et al., 2018) led to an increase in remineralization processes (Fig. 6f) and consequently a decrease in oxygen inventory in these aphotic layers. The sum of ~~biological~~biogeochemical fluxes over the entire water column resulted in a small increase in oxygen inventory of  $0.4 \text{ mol m}^{-2}$ , negligible compared to that induced by air-sea fluxes, in consistency  
430 with the previous study of Minas and Bonin (1988).

Over this period, the lateral export of dissolved oxygen had high values, reaching  $220 \text{ mmol m}^{-2} \text{ day}^{-1}$  (Fig 6e). In the upper layer, the total lateral transport over the period was low ( $0.5 \text{ mol m}^{-2}$ ), while it is estimated that in the deeper layers  $6.7 \text{ mol m}^{-2}$  was exported horizontally from the convection area between mid-February and the end of the convection period (Fig. 8b). The downward transport at the base of the upper layer showed strong peaks reaching  $500 \text{ mmol m}^{-2} \text{ day}^{-1}$  (Fig. 6e),  
435 concomitant with the peaks of the air-to-sea fluxes and the deepening of the ML.

The model results indicate that atmospheric oxygen injected at the surface and, to a lesser extent, produced by phytoplankton or horizontally advected in the upper layer, was massively transported to the intermediate and deep layers ( $20.1 \text{ mol m}^{-2}$ ). It is worth noting that vertical fluxes showed ~~a strong-high~~ spatial variability within the convection area. Over this period, the lateral transport from the aphotic layer outside the convection area represents 33% of the amount of downward transport.  
440 Globally, the different contributions led to an increase in the water column oxygen inventory of  $12.3 \text{ mol m}^{-2}$ .

**Spring** (late March to early June, 74 days)- In spring, net ~~biological~~biogeochemical production of O<sub>2</sub> remained high in the upper layer until the bloom peak in mid-April, afterwards it decreased but generally remained positive until the end of that period (Fig. 6f). Oxygen consumption through heterotrophic respiration in the deeper layers also remained relatively high.  
445 The result of ~~biological~~biogeochemical contributions was a small increase of 0.3 mol m<sup>-2</sup> in the O<sub>2</sub> inventory of the water column.

During this period, primary production led to a sharp increase in surface oxygen concentration from 220 to 280 μmol kg<sup>-1</sup> at the peak of the phytoplankton bloom (Fig 6c), and the latter became above saturation in early April, when the convection area became a source of oxygen for the atmosphere (Fig 6c-d). This oversaturation situation at the surface then persisted  
450 until the end of the period. The model simulates significant outgassing during the bloom peak (235 mmol m<sup>-2</sup> day<sup>-1</sup> on 18 April 2013, Fig 6d) when the mean saturation anomaly reached a maximum value of 15% (Fig. 6c and 9). Overall, the convection area released 0.8 mol m<sup>-2</sup> of oxygen to the atmosphere during spring. During this restratification phase, a moderate oxygen export to the deep layers is found (3.2 mol m<sup>-2</sup>, Fig. 8). Lateral export to regions surrounding the convection area continued at a high rate with a cumulative value of 5.1 mol m<sup>-2</sup>. Finally, over this period, the water column  
455 in the convection area was subjected to a 5.7 mol m<sup>-2</sup> decrease in its oxygen inventory, due to the lateral export of oxygen via the spreading of dense waters in the deeper layers and a slight outgassing to the atmosphere.

**Summer** - During the summer period (87 days), the surface oxygen concentration remained higher than the oxygen solubility (Fig. 6c). A supersaturated situation occurred in the deep chlorophyll maximum zone, due to primary production and a general stratification (Fig. 9). We estimate that the ocean released 1.4 mol O<sub>2</sub> m<sup>-2</sup> to the atmosphere over this period  
460 (Fig. 8), mainly during moderate northerly gales. ~~In the whole water column, Depth-integrated~~ oxygen-consuming ~~biological~~biogeochemical processes exceeded depth-integrated primary production on average overall over this period. The result of ~~biological~~biogeochemical fluxes was responsible for a consumption of 0.8 mol m<sup>-2</sup> of oxygen. In addition, the convection area continued to export oxygen to the adjacent zone (1.3 mol m<sup>-2</sup>), but at a lower rate (15 mmol m<sup>-2</sup> day<sup>-1</sup>) than  
465 in the two previous periods (90 mmol m<sup>-2</sup> day<sup>-1</sup> over the deep convection period and 69 mmol m<sup>-2</sup> day<sup>-1</sup> in spring). Finally, the oxygen inventory decreased by 3.5 mol m<sup>-2</sup> in the whole water column of the deep convection area (Fig. 8).

## 5.2 Annual oxygen budget

Figure 10 illustrates the oxygen budget ~~in-of~~ the NW Mediterranean convection area over the period September 2012 to September 2013. At the annual scale, the deep convection area is a net sink of oxygen for the atmosphere, estimated at 20.0  
470 mol O<sub>2</sub> m<sup>-2</sup>. 88% (17.7 mol O<sub>2</sub> m<sup>-2</sup>) of this amount was injected into the ocean interior during the period when the deep convection process took place.

The annual net ~~biological~~biogeochemical production of oxygen in the euphotic layer (0-150 m) is estimated at 1.6 mol O<sub>2</sub> m<sup>-2</sup>. The net annual NCP (Net Community Production, defined as gross primary production minus community respiration in the euphotic zone) is estimated at 3.9 mol O<sub>2</sub> m<sup>-2</sup> yr<sup>-1</sup>, yielding autotrophy in this area. In the deeper layers (150 m-bottom) an

475 oxygen consumption of  $3.8 \text{ mol O}_2 \text{ m}^{-2}$  was associated with respiration of heterotrophic organisms by 70% and oxidation of  
ammonium by 30%. This led to an annual net ~~biological~~biogeochemical consumption of  $2.2 \text{ mol O}_2 \text{ m}^{-2}$  over the whole water  
column.

The model indicates that  $27.1 \text{ mol m}^{-2}$  of  $\text{O}_2$  was exported from the upper layer to deeper layers. This net transport toward  
the bottom occurred for 68% during the events of deep vertical mixing of oxygen-rich surface waters with oxygen-poor  
480 underlying waters. Finally, the budget shows that the deep convection area appears as a net source for dissolved oxygen for  
the rest of the western Mediterranean Sea with an annual net horizontal transport of  $15.0 \text{ mol O}_2 \text{ m}^{-2}$ . This transport breaks  
down into an input of  $5.3 \text{ mol O}_2 \text{ m}^{-2}$  in the upper layer, and an export of  $20.3 \text{ mol O}_2 \text{ m}^{-2}$  in the deeper layer.

At the end of the annual cycle, a negligible decrease ( $0.3 \text{ mol m}^{-2}$  i.e. 0.05%) in the oxygen inventory of the upper euphotic  
layer is found, while  $3.1 \text{ mol m}^{-2}$  (i.e. 0.66% of the inventory) were stored in the deeper water masses.

## 485 **6 Discussion**

### **6.1 Air-sea oxygen flux**

Our model results indicate that the NW Mediterranean deep convection area was a net sink for the atmospheric oxygen at a  
rate of  $20.0 \text{ mol m}^{-2} \text{ yr}^{-1}$  between September 2012 and September 2013, and at a rate of  $280 \text{ mmol m}^{-2} \text{ day}^{-1}$  ( $17.7 \text{ mol m}^{-2}$   
over 63 days) during the 2013 deep convection period. Inside the area, the annual air-sea flux shows a strong spatial  
490 heterogeneity, with a range extending from  $2.7 \text{ mol m}^{-2} \text{ yr}^{-1}$  at the periphery to  $36.0 \text{ mol m}^{-2} \text{ yr}^{-1}$  in the centre. Considering its  
sea surface area ( $61,720 \text{ km}^2$ ), the NW deep convection zone received 1,233 Gmol of oxygen from the atmosphere over the  
period September 2012 to September 2013, including 1,090 Gmol during the winter 2013 intense vertical mixing period. We  
showed that the strong oxygen ingassing was essentially driven by a high undersaturation ( $< -10 \%$ ) and intense northerly  
winds during the deep convection period.

495 Nevertheless, uncertainties in the net uptake rate remain. First, uncertainties are linked to errors in modelled ocean surface  
variables (dissolved oxygen, temperature and salinity) and wind velocity used for the calculation of the air-sea flux. The  
comparisons of model results with in situ high-frequency measurements at the surface during the period of maximum flux  
(deep convection period) indicate a bias of less or close to 1 % and a NRMSE smaller than 14 % for the wind velocity,  
surface temperature, salinity, and oxygen concentration (Sect. 3.1). A second source of uncertainty is linked to the  
500 parameterization chosen for the calculation of the gas transfer velocity. In the standard run, we used the cubic dependence  
with wind speed parameterization proposed by Wanninkhof and McGillis (1999). Sensitivity analyses were performed using  
~~6-eight~~ other parameterizations for the calculation of air-sea flux (Wanninkhof, 1992; Woolf, 1997; Nightingale et al., 2000;  
Wanninkhof et al., 2009; Stanley et al., 2009; Liang et al., 2013; Wanninkhof, 2014; Bushinsky and Emerson, 2018; see  
Sect. 2.1.2). Estimates of annual air-sea flux, as well as flux and amount of atmospheric oxygen captured by the study area  
505 during the deep convection period, calculated with all these parameterizations, are gathered in Table 2. All estimates show a  
net sink for atmospheric oxygen for the study area. They range from 14.2 to ~~21.50.8~~  $\text{mol m}^{-2} \text{ yr}^{-1}$  at the annual scale, with a



mean value of  $46.917.7 \pm 2.87$  mol m<sup>-2</sup> yr<sup>-1</sup>, and from ~~188200~~ to ~~285290~~ mmol m<sup>-2</sup> day<sup>-1</sup>, with a mean value of  $2420 \pm 3840$  mmol m<sup>-2</sup> day<sup>-1</sup>, during the deep convection. Both estimates in the standard run are in the upper range of all estimates. Considering all estimates, we determine an uncertainty (standard deviation) of 15-16% for the annual and convection period

510 air-sea flux. This uncertainty, associated with the parameterization of the gas transfer velocity, propagates to the estimates of vertical and lateral transport of oxygen in the ocean interior. Depending on the gas transfer parameterization used, at the annual scale, downward export below the euphotic zone ranges from ~~22.02~~ to ~~27.96~~ mol m<sup>-2</sup> yr<sup>-1</sup> (mean value: ~~25.14.6~~  $\pm 2.12$  mol m<sup>-2</sup> yr<sup>-1</sup>), lateral transport from ~~4.85.0~~ to ~~6.10~~ mol m<sup>-2</sup> yr<sup>-1</sup> (mean value:  $5.6 \pm 0.45$  mol m<sup>-2</sup> yr<sup>-1</sup>) in the euphotic layer, and from ~~-17.31~~ to ~~-20.65~~ mol m<sup>-2</sup> yr<sup>-1</sup> (mean value: ~~-19.08.6~~  $\pm 1.23$  mol m<sup>-2</sup> yr<sup>-1</sup>) in the aphotic layer. During the deep

515 convection event, ~~downward export below the euphotic zone ranges from 14.3.223 to 30218.7 mmol m<sup>-2</sup> day<sup>-1</sup>yr<sup>-1</sup>~~ (mean value: ~~16.2.265~~  $\pm 301.8$  mmol m<sup>-2</sup> ~~day<sup>-1</sup>yr<sup>-1</sup>~~). The uncertainty on the transport terms of the annual budget thus remains smaller than ~~120%~~. The values of the NRMSE between cruise observations and modelled dissolved oxygen from sensitivity tests are found very close to the NRMSE obtained for the standard run. Slightly smaller NRMSE (~10%) are found only for the winter DEWEX-Leg1 cruise period using the parameterizations of Wanninkhof and McGillis (1999), Woolf (1997), Stanley et al. (2009),

520 and Liang et al. (2013), which give a higher oxygen transfer coefficient than the other parameterizations. Previous studies on oxygen air-sea flux in deep convection zones recommended the use of parameterizations with high transfer during periods of strong wind and convection (Copin-Montégut and Bégovic, 2002; Körtzinger et al., 2008b; Koeling et al., 2017; Atamanchuk et al., 2020). Atamanchuk et al. (2020), comparing flux estimates based on several parameterizations, found that these flux estimates may vary by an order of magnitude and warned of the possibility of a strong underestimation of air-sea oxygen flux in biogeochemical models that do not include bubble-mediated terms. In our study, the range of estimates obtained with the both types of parameterizations, those that are only diffusive and those that include bubble-mediated terms, is similar. Although the parameterization of Wanninkhof and McGillis (1999) used in our standard run does not include an explicit bubble-mediated transfer term, it provides estimates of air-sea fluxes close to those obtained with the bubble-inclusive one of Stanley et al (2009), preferred by Atamanchuk et al. (2020) in their

525 Labrador Sea study. The strong undersaturation obtained in the north-western Mediterranean during the convection period, between -10 and -20%, may explain a greater contribution of the diffusive flux compared to the air injection by bubbles. Moreover, winter conditions are less extreme than in the Labrador Sea where strong wind speeds of more than 13.8 m s<sup>-1</sup> were encountered for at least 40 days. In the NW Mediterranean Sea and in winter 2012/2013, only 13% of the convection area was characterised by a number of days with wind speeds > 13.8 m s<sup>-1</sup> varying between 30 and 35 days. An experimental study of flux measurements in this region over a whole year would allow a better assessment of the contribution of air

530 injection in the total air-sea flux and hence of the different parameterizations of gas transfer.

Previous studies based on in situ observations have proposed estimates for the air-sea oxygen flux in the study area. Our modelled seasonal cycle of air-sea oxygen flux agrees with the results of Copin-Montégut and Bégovic (2002) and Coppola

540 et al. (2018) in the Ligurian Sea, at the DYFAMED site, who observed an annual cycle with a net ingassing from December

to March and net outgassing from April to November. In the Ligurian Sea the deep convection process does not occur each winter. When occurring, it is generally shorter and shallower than in the centre of the [Gulf of Lions](#)[Gulf of Lion](#), the core of dense water formation. Coppola et al. (2018) using temperature, salinity and oxygen monthly profiles and the gas transfer parameterization of Ho et al. (2006), estimated for the period 1994–2014 a monthly air-to-sea flux varying from -15.1 to 14.8 mol O<sub>2</sub> m<sup>-2</sup> yr<sup>-1</sup>, with an annual mean value of -2.6 mol O<sub>2</sub> m<sup>-2</sup> yr<sup>-1</sup>. Over this 20-year period, the authors identified one winter, winter 2005/2006, with intense vertical mixing reaching the deep layers, and four winters (1999, 2000, 2006 and 2013) with moderate vertical mixing reaching intermediate depths. From the difference in O<sub>2</sub> inventory between December 2005 and April 2006, they deduced that 24 mol m<sup>-2</sup> of atmospheric O<sub>2</sub> were injected between 350 and 2000 m at a rate of 300 mmol m<sup>-2</sup> day<sup>-1</sup>. At the same site, Copin-Montégut and Bégovic (2002) estimated an air-sea ingassing of 5 and 2.6 mol O<sub>2</sub> m<sup>-2</sup> respectively for the moderate cold winters 1999 (for 26 days, rate of 190 mmol m<sup>-2</sup> day<sup>-1</sup>) and 2000 (for 23 days, rate of 110 mmol m<sup>-2</sup> day<sup>-1</sup>) respectively, using in situ surface measurements of oxygen in winter, and the formulation of gas transfer velocity from Wanninkhof and McGillis (1999). Those estimates were twice as small as their observation of variation in the oxygen content in the first 600 m depth, namely 11 and 15 mol m<sup>-2</sup> (in one month) for winters 1999 and 2000 respectively. Those authors suggested an underestimation in their estimates due to low-frequency measurements and an underestimation of the gas transfer coefficient. At the same location, for the study period, we found a net oxygen ingassing of 9.2 mol m<sup>-2</sup> yr<sup>-1</sup> at the annual scale, and 135 mmol O<sub>2</sub> m<sup>-2</sup> day<sup>-1</sup> during the period of deep convection events (63 days) (Fig. 7d). Thus our calculation of atmospheric oxygen uptake in the Ligurian Sea is close to the ones of Copin-Montégut and Bégovic (2002) for moderate convective winters. Our estimates in the centre of the [Gulf of Lions](#)[Gulf of Lion](#), where convection reached the deep waters, with values of 20–28 mol O<sub>2</sub> m<sup>-2</sup> during deep convection are also close to the estimate by Coppola et al. (2018) for the intense vertical mixing winter 2005/2006 in the Ligurian Sea.

Finally, our model calculation of air-sea oxygen flux for the NW Mediterranean is in the same range found for other worldwide deep convection areas. At the centre of the Labrador Sea, Körtzinger et al. (2008b) found an annual air-sea ingassing of 10.0 ± 3.1 mol O<sub>2</sub> m<sup>-2</sup> yr<sup>-1</sup> over the period 2004/2005, using in situ observations at the K1 mooring site and the Wanninkhof (1992) parameterization. By quantifying the relative contribution of [biological](#)[biogeochemical](#) and lateral fluxes, Koelling et al. (2017) estimated an oxygen ingassing of 29.1 ± 3.8 mol m<sup>-2</sup> over the winter 2014/2015 at the same mooring site K1. Wolf et al (2018) derived from Argo float observations in the Labrador Sea mean air-sea fluxes with a large range of values varying from 5.7 to 22.8 mol m<sup>-2</sup> yr<sup>-1</sup> using various parameterizations. Using [parameterizations including bubble-mediated fluxes](#) ~~parameterizations~~ (Liang et al., 2013; Yang et al., 2017), their estimates of atmospheric oxygen uptake ranged from 21.6 to 36.6 mol m<sup>-2</sup> for the convective winter 2003/2004. [Based on measurements of oxygen from a moored profiler and Argo floats and on the Stanley et al. \(2009\) parameterization, Atamanchuk et al. \(2020\) estimated an annual air-sea flux of oxygen of 19.3 ± 3.4 mol m<sup>-2</sup> yr<sup>-1</sup> for the year 2016/2017.](#) For the Irminger Sea, Maze et al. (2012) estimated an abiotic air-sea oxygen flux of 13 ± 3 mol m<sup>-2</sup> yr<sup>-1</sup> for the years 2002, 2004 and 2006, using an optimization method and observations from three surveys and Word Ocean Atlas 2009.

## 575 6.2 The role of the NW deep convection area in the ventilation of the western Mediterranean Sea

Open-sea convection and shelf dense water cascading (Canals et al, 2006; Ulses et al., 2008b) in the NW Mediterranean are the main mechanisms for the ventilation of the entire western Mediterranean Sea. Over the past decades, several observational studies reported increases in O<sub>2</sub> concentration in deep water masses at several sites in the western Mediterranean where deep convection did not occur and where winter vertical mixing was limited to surface or intermediate levels. Coppola et al. (2018) associated the high concentrations of O<sub>2</sub> observed in deep layers of the Ligurian Sea in 1994 and 2005, when convection was limited to intermediate waters, with the arrival of deep water formed in the open-sea of the ~~Gulf of Lions~~Gulf of Lion or formed on the ~~Gulf of Lions~~Gulf of Lion shelf and cascading down to the deep basin. Using measurements from 5 cruises, Schroeder et al. (2008a) documented an abrupt increase in heat, salt and O<sub>2</sub> inventory of deep waters in an extensive area of the western Mediterranean, occurring in 2005 and 2006. The authors attributed these changes, referred to as the Western Mediterranean Transient (hereafter WMT - CIESM, 2009), to the propagation of the new dense waters formed in the NW deep convection area during the winters 2004/2005 and 2005/2006, when severe weather conditions caused intense dense water formation (Lopez-Jurado et al., 2005; Schroeder et al., 2006). The study of Schroeder et al. (2008a) showed the presence of these new O<sub>2</sub>-rich deep waters in the Balearic Sea, the Ligurian Sea and in the Algerian sub-basin in June 2005, and their propagation to the whole Algerian sub-basin and the west of the Alboran Sea in October 2006. New oxygenated waters were also observed in the entire deep layers of the Algerian sub-basin in 2011 (Schneider et al., 2014; Stöven and Tanhua, 2015), ~~and~~ 2014 (Keraghel et al., 2020), 2016 and 2018 (Li and Tanhua, 2020). Moreover, the results of Li and Tanhua (2020) showed a ventilation of the deep waters of the Tyrrhenian Sea through an overflow of well-oxygenated water masses from the Algerian basin into the deep layer, but were not yet detected in the Tyrrhenian Sea between 2011 and 2016(~~Schneider et al., 2014; Stöven and Tanhua, 2015~~).

595 Somot et al. (2016) found that winter 2012/2013 is one of the five winters over the 33 year period 1980-2013 showing high dense water formation rates (above 0.6 Sv), using the CNRM-RCSM4 model. According to their estimates, the cumulative volume of dense water formed over the winters 2011/2012 (0.45 Sv) and 2012/2013 (0.7 Sv), amounting to 1.15 Sv, may be close to the volume of dense water formed in winter 2004/2005 of 1.2 Sv. As a result, these successive 2012 and 2013 deep convection events could have been responsible for a similar ventilation as the one observed after the event of 2005

600 (Schroeder et al., 2008b; Schneider et al., 2004; Stoven and Tanhua, 2015), assuming similar air-sea exchanges.

Our modelling study indicates that, over the period September 2012 to September 2013, the upper layer of the NW deep convection area captured 5.3 mol O<sub>2</sub> m<sup>-2</sup> from the surrounding regions, in addition to the 20.0 mol m<sup>-2</sup> of oxygen from the atmosphere, while the deeper layers released 20.3 mol m<sup>-2</sup> toward the adjacent seas (Sect. 5.2). Considering the deep convection surface area of 61,720 km<sup>2</sup>, the lateral transport led to a gain in the upper layer of 330 Gmol yr<sup>-1</sup> in the convection area and a loss of 1,250 Gmol yr<sup>-1</sup> towards the adjacent deep areas. As a result, the NW convection area appears

605 as a source of 920 Gmol yr<sup>-1</sup> of oxygen for the rest of the western basin for the period 2012/2013. Lateral O<sub>2</sub> inputs in the

610 upper layer occurred mainly from February to September with two peak periods, in early March, a calm period between two convective events, and in April, during restratification. These imports were mainly related to eddies produced by the baroclinic instability that was triggered at the periphery of the convection zone when strong wind ceased (Killworth, 1976; Testor et al., 2018). These inputs from the peripheral zone contributed to the vertical export of oxygen to the aphotic layer. First in the short term, the oxygen imported between two convection events was exported at depth by the following events. At longer time scales (April-September), the convection area was also fed by the peripheral zones and in turn produced a vertical export to the aphotic layer. These exchanges were of lower intensity and concerned shallower layers but are not

615 negligible when integrated over the year. Regarding the lateral transport in the deeper layer, Our model outputs show that the O<sub>2</sub>-rich dense waters formed in the NW deep convection area propagated towards the Balearic Sea, first at intermediate depths (150 m-800 m) from the beginning of the winter mixing period, and then through deep layers (800 m-bottom) from mid-February (not shown). These water masses flowed then mostly towards the south of the western basin, while a smaller part was advected back in the convection area through meso-scale circulations counteracting the effect of the intrusions of

620 low oxygen ~~Levantine Intermediate Water~~LIW during the restratification period, in increasing the oxygen inventory of intermediate waters (not shown). A preferential pathway to the south of the basin was the one along the eastern coast of Minorca in the Algerian sub-basin, in agreement with previous observational and modelling studies who examined the spreading of waters formed in winter in the NW region (Pinot and Ganachaud, 1999; Schroeder et al 2008b; Beuvier et al., 2012). Our simulated circulation of oxygen in the western basin is also consistent with the study of Piñeiro et al. (2019) who

625 reported the arrival of new dense water masses formed in the deep convection area east off Minorca over the 2011–2013 period using temperature and salinity observations at the hydrographic stations RADMED. In our model outputs, the offshore Balearic Sea (bathymetry >1,000 m, surface area: 19,700 km<sup>2</sup>) and Algerian sub-basin (bathymetry >1,000 m, surface area: 171,610 km<sup>2</sup>) experienced an increase in their oxygen inventory, during and after the NW deep convection events, receiving oxygen through lateral transport (271 Gmol and 1,276 Gmol, respectively) while the amounts of oxygen

630 captured at the air-sea interface during the period of intense vertical mixing were smaller in those areas than in the NW deep convection area by a factor of 10 and 3 respectively (i.e. 104 Gmol and 385 Gmol versus 1,090 Gmol for the NW deep convection area). This suggests that an important part of the oxygen absorbed at the air-sea interface of the NW deep convection area, exported first vertically towards its deeper layers and then horizontally towards the adjacent regions, was stored, at least temporarily, in the Algerian sub-basin.

635 Finally, our results demonstrate that the total oxygen supply by air-sea exchanges in the NW deep convection region for the period 2012/2013 (1,233 Gmol yr<sup>-1</sup>), which was then mainly released to adjacent seas in the aphotic layer, constitutes a major source of oxygen at the scale of the whole Mediterranean Sea. Indeed this supply is close to the ~~biological~~biogeochemical oxygen consumption within the Mediterranean Sea estimated at 1,545 Gmol yr<sup>-1</sup> by Huertas et al. (2009) using in situ measurements at the Strait of Gibraltar over the period 2005/2007.

640 The present study of the period 2012/2013 constitutes a first step in our analysis and quantification of the oxygen budget for the western Mediterranean Sea. Previous observational studies (Coppola et al., 2018; Mavropoulou et al., 2020) over periods

of 20 years or more, showed that the mean oxygen concentration in the western Mediterranean and in particular in the NW deep convection area is subjected to a strong interannual variability, mainly in response to the variability of deep convection, the latter being influenced by transient changes as the WMT event. A deeper analysis [of the physical processes involved in the vertical and horizontal transport in the convection zone as well as](#) of the spreading of the oxygen enriched dense waters, formed in the NW deep convection area, in the western basin and toward the Atlantic Ocean through the Gibraltar Strait will be conducted in [a further studies](#) using a numerical simulation with extended domain and period.

### 6.3 Net community production

Our budget calculation shows that in this region characterized by intense vertical mixing the [biologicalbiogeochemical](#) terms remained very low compared to the air-sea oxygen flux over the period 2012/2013. Our modelling results indicate that the net [biologicalbiogeochemical](#) production of oxygen in the euphotic layer was positive from mid-December to the end of July and negative the rest of the year. It was maximum during the spring bloom from mid-March to mid-April. We estimate a net annual NCP (in the upper layer) of  $46.8 \text{ gC m}^{-2} \text{ yr}^{-1}$  ( $3.9 \text{ molO}_2 \text{ m}^{-2} \text{ yr}^{-1}$ , see Eq. 1). This indicates a net autotrophy for the euphotic layer of the NW Mediterranean deep convection area over the period September 2012-September 2013. If consumption of oxygen through nitrification is considered, net [biologicalbiogeochemical](#) production amounted to  $1.6 \text{ molO}_2 \text{ m}^{-2} \text{ yr}^{-1}$ . It is worth noting that nitrification, discussed in Kessouri et al. (2017) who estimated a nitrogen budget using the same coupled model, accounts only for 7% of the total oxygen consumption but for 60 % of the NCP, suggesting that this process should be considered when estimating NCP from oxygen concentration.

Our value of NCP is higher than the net downward export of organic carbon at the base of the euphotic layer estimated at  $35 \text{ gC m}^{-2} \text{ yr}^{-1}$  ( $25 \text{ gC m}^{-2} \text{ yr}^{-1}$  for particulate organic carbon and  $10 \text{ gC m}^{-2} \text{ yr}^{-1}$  for dissolved organic carbon) by Kessouri et al. (2018) over the same period and using the same coupled model. Also using the same coupled model, Kessouri et al. (2017), who analyzed the nitrogen cycle over the study period, obtained a new primary production varying from 65 to  $77 \text{ gC m}^{-2} \text{ yr}^{-1}$  in the deep convection zone. By analyzing the carbon, nitrogen and oxygen cycles, the NW deep convection region is always found to be an autotrophic ecosystem. The discrepancies in magnitude obtained depending on the element considered reflect different dynamics for these elements in the euphotic layer, possibly due to variable  $\text{O}_2:\text{C}:\text{N}$  ratios in [biologicalbiogeochemical](#) production and consumption processes as shown by Copin-Montégut (2000) in the Ligurian Sea using high-frequency measurements.

Our estimate of NCP is smaller than the estimate of  $85.2 \text{ gC m}^{-2} \text{ yr}^{-1}$  in the Ligurian Sea at the DYFAMED site over the period 1994-2014 by Coppola et al. (2018) using monthly observations. It is close to the estimate by Ulises et al. (2016) of  $42.8 \text{ gC m}^{-2} \text{ yr}^{-1}$  over the period 2003-2008 using the same numerical model and considering an area extending to the whole offshore NW Mediterranean and a 100 m thick upper layer. It is also similar to the previous estimates of new primary production by Severin et al. (2014) varying from 46 to  $63 \text{ gC m}^{-2} \text{ yr}^{-1}$  over the period February/March 2011, based on in situ nutrient concentrations.

675 NCP is often used to estimate the strength of the biological pump and the potential capacity of a system to capture atmospheric CO<sub>2</sub>. Although the NW deep convection pelagic ecosystem appears as a net annual sink for atmospheric CO<sub>2</sub> from our modelling results and previous studies (Coppola et al., 2018; Ulses et al., 2016), the role of this region in terms of carbon sequestration remains highly uncertain. Deep convection generates a strong downward transport of organic carbon below the euphotic layer (Ulses et al., 2016; Kessouri et al., 2018). A large amount of organic carbon transferred below the euphotic zone is then consumed and remineralized after deep convection (Santinelli et al., 2010) leading to an increase in CO<sub>2</sub> inventory into the deeper reservoir that could be raised back in the euphotic layer during the following deep convection events as shown in the Atlantic Ocean by Körtzinger et al. (2008a) and in the Pacific Ocean by Palevsky et al. (2016). Episodes of oversaturation of sea surface pCO<sub>2</sub> related to atmospheric pCO<sub>2</sub> were reported during short wind gusts and intense vertical mixing events in the Ligurian Sea (Copin-Montégut et al., 2004; Merlivat et al., 2018) and in the central ~~Gulf of Lions~~ **Gulf of Lion** open-sea (Touratier et al., 2016). The authors explained those oversaturation episodes by the increase in CO<sub>2</sub> concentration at the ocean surface induced by the mixing of surface CO<sub>2</sub>-poorer waters with deep CO<sub>2</sub>-rich waters. Those punctual observations suggested short releases of CO<sub>2</sub> by the ocean induced by deep convection. On the other hand, using a 0.5° resolution array of 1D hydrodynamic/biogeochemical coupled models of the upper layer in the Mediterranean Sea over the period 1998-2004, D'Ortenzio et al. (2008) estimated that the NW region is a sink for atmospheric CO<sub>2</sub> in winter and at the annual scale (between 12 to 24 gC m<sup>-2</sup> yr<sup>-1</sup>) and found that ~~biological~~ **biogeochemical** processes dominate air-sea exchanges and mixing processes in this region most of the year. In another region of deep convection, the Labrador Sea, DeGrandpre et al. (2006) and Körtzinger et al. (2008b) also found an annual uptake of atmospheric CO<sub>2</sub> that amounted to respectively 55.2 gC m<sup>-2</sup> yr<sup>-1</sup> for the period 2000-2001, and 32.4 ± 9.6 gC m<sup>-2</sup> yr<sup>-1</sup> for the period 2004-2005, using mooring observations (and also a 1D biogeochemical model for DeGrandpre et al. (2006)).

695 In the study area, our results show that lateral transport dominated the budget of oxygen during the restratification period when deep dense waters spread in the western basin and LIW reintegrated the deep convection zone. This suggests that 3D biogeochemical-physical coupled models, including a carbonate system module, could be useful tools to complete the previous 1D studies of dissolved inorganic carbon dynamics and integrate on an annual scale the exchanges at the air-sea interface by taking into account lateral transport and meso-scale structures influencing the spreading of water masses and their compounds during convection and restratification phases and impacting the budgets.

## 7 Conclusion

Our study is the first attempt to describe the seasonal cycle of dissolved oxygen and to estimate the oxygen budget over the whole NW Mediterranean deep convection area, using a high-resolution 3D coupled physical-biogeochemical model. The assessment of the model results using in situ measurements from DEWEX and MOOSE-GE cruises and from Argo-O<sub>2</sub> floats shows the ability of the model to capture the main spatial and temporal variability of dissolved oxygen observed. From our modelling results, the following conclusions can be drawn for the period 2012/2013:

- 710 - The seasonal cycle of surface dissolved oxygen in this area exhibited a winter period with strong undersaturation due to a decrease in temperature and surface dissolved oxygen concentration, induced by strong heat loss and vertical mixing of surface O<sub>2</sub>-rich waters with the underlying O<sub>2</sub>-low waters. The undersaturation averaged over the whole area indeed reached -15% during the deep convection event. During the stratified period, an oversaturation situation occurred with a maximum surface value of 15% during the peak of the spring bloom.
- 715 - The NW Mediterranean deep convection area acted as a large sink for atmospheric oxygen. We estimate that the area captured 20 mol m<sup>-2</sup> yr<sup>-1</sup> of atmospheric oxygen at the annual timescale. An uptake of 18 mol m<sup>-2</sup> of atmospheric oxygen, which equals to 88% of the annual uptake, took place during the deep convection period. This uptake is characterized by ~~a~~ high spatial variability, with a standard deviation of 38% in this area including the open-sea of the ~~Gulf of Lions~~Gulf of Lion and Ligurian Sea. The magnitude of the uptake is maximum inside a central zone of the ~~Gulf of Lions~~Gulf of Lion where the average over the deep convection period (63 days) reached a rate ranging between 300 and 460 mmol O<sub>2</sub> m<sup>-2</sup> day<sup>-1</sup>.
- 720 - The NW Mediterranean deep convection area acts as a conveyor of atmospheric oxygen, as well as of oxygen produced in the upper layer, both locally and in the surrounding areas, towards ~~represents a major source of oxygen for~~ the intermediate and deep layer of the western Mediterranean Sea. Based on the rate of dense water formation (Somot et al., 2016), the ventilation due to deep convection in the NW area in 2012/2013 may represent half of the ventilation observed in 2004/2005 by Schroeder et al. (2008a). The magnitude of atmospheric O<sub>2</sub> uptake and lateral transport to the adjacent regions in the aphotic layers in 2012/2013 is close to the magnitude of the oxygen consumption of the whole Mediterranean Sea estimated by Huertas et al. (2009).
- 725 - Sensitivity tests to the parameterization of the gas transfer velocity yield an estimate of the budget terms (air-sea exchanges and transport terms) uncertainty of ~~12~~10-16%.
- 730 - As expected for this very energetic region, the annual budget of oxygen is clearly dominated by air-sea exchanges and physical transport over convective years such as 2012/2013. The net ~~biological~~biogeochemical production in the euphotic zone is estimated to account for 10%, i.e. 2 mol O<sub>2</sub> m<sup>-2</sup> yr<sup>-1</sup>, of the net atmospheric oxygen uptake. In deeper depths, heterotrophic organisms' respiration and nitrification resulted in an oxygen consumption of 4 mol m<sup>-2</sup> yr<sup>-1</sup>.
- 735 - The NW Mediterranean deep convection area is found to be an autotrophic ecosystem with an annual NCP (in the 150 m upper layer) estimated at 47 gC m<sup>-2</sup> yr<sup>-1</sup>.
- 735 The high interannual variability of deep convection in the NW Mediterranean (Houpert et al., 2016; Somot et al., 2016) suggests a high variability of the oxygen budget. Further modelling works at pluri-annual and multi-decadal scales are thus needed to investigate the interannual variability of the annual budget over the whole western basin, as well as the evolution of this budget under climate warming, the effects of which could have been masked for the time being by the significant impacts of climatic transient shifts such as WMT according to Mavropoulou et al. (2020).

## 740 **Date availability**

The Argo data are available on the Coriolis platform (<http://www.coriolis.eu.org>), MOOSE data on SEANOE/SISMER (<https://www.ir-ilico.fr/en/Data-access/MOOSE>) and DEWEX data on the Mermex database (<https://mistrals.sedoo.fr/MERMeX/>). Results of simulations are available on request ([caroline.ulsles@legos.obs-mip.fr](mailto:caroline.ulsles@legos.obs-mip.fr)).

## **Competing interest**

745 The authors declare that they have no conflict of interest.

## **Acknowledgments**

This study is a contribution to the MerMex (Marine Ecosystem Response in the Mediterranean Experiment) project of the MISTRALS international program. The numerical simulations were performed using the SYMPHONIE model, developed by the SIROCCO group (<https://sirocco.obs-mip.fr/>), and computed on the cluster of Laboratoire d'Aérodynamique and HPC resources from CALMIP grants (P1325, P09115 and P1331). We acknowledge the scientists and crews of the Flotte océanographique française (<https://www.flotteoceanographique.fr/>) who contributed to the cruises carried out in the framework of the DEWEX project and MOOSE program (CNRS-INSU). We thank T. Tanhua and the two other anonymous reviewers for their constructive comments. We thank D. Atamanchuk for sharing with us a MATLAB code with the calculation of air-sea oxygen fluxes including bubble-mediated terms.

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**Table 1.** Statistical analysis of model results: Pearson correlation coefficient (R), normalized root mean square error (NRMSE), percentage bias (PB) and standard deviation ratio, calculated between modelled dissolved oxygen concentrations and observations from DEWEX winter and spring cruises and MOOSE-GE summer cruise, and from Argo-O<sub>2</sub> platforms.

	<b>R</b>	<b>NRMSE, %</b>	<b>PB, %</b>	<b>Std ratio</b>
<b>DEWEX Leg1</b>	0.86 (p<0.01, n=2960)	5.6	-0.59	1.34
<b>DEWEX Leg2</b>	0.93 (p<0.01, n=3960)	4.9	0.55	1.13
<b>MOOSE 2013</b>	0.81 (p<0.01, n=2960)	7.6	0.51	1.35
<b>Float 6901467</b>	0.56 (p<0.01, n=5120)	10.3	-0.12	1.37
<b>Float 6901470<del>1</del></b>	0.93 (p<0.01, n=4480)	3.0	-0.19	0.99
<b>Float 6901487</b>	0.88 (p<0.01, n=4720)	4.1	-0.11	1.01

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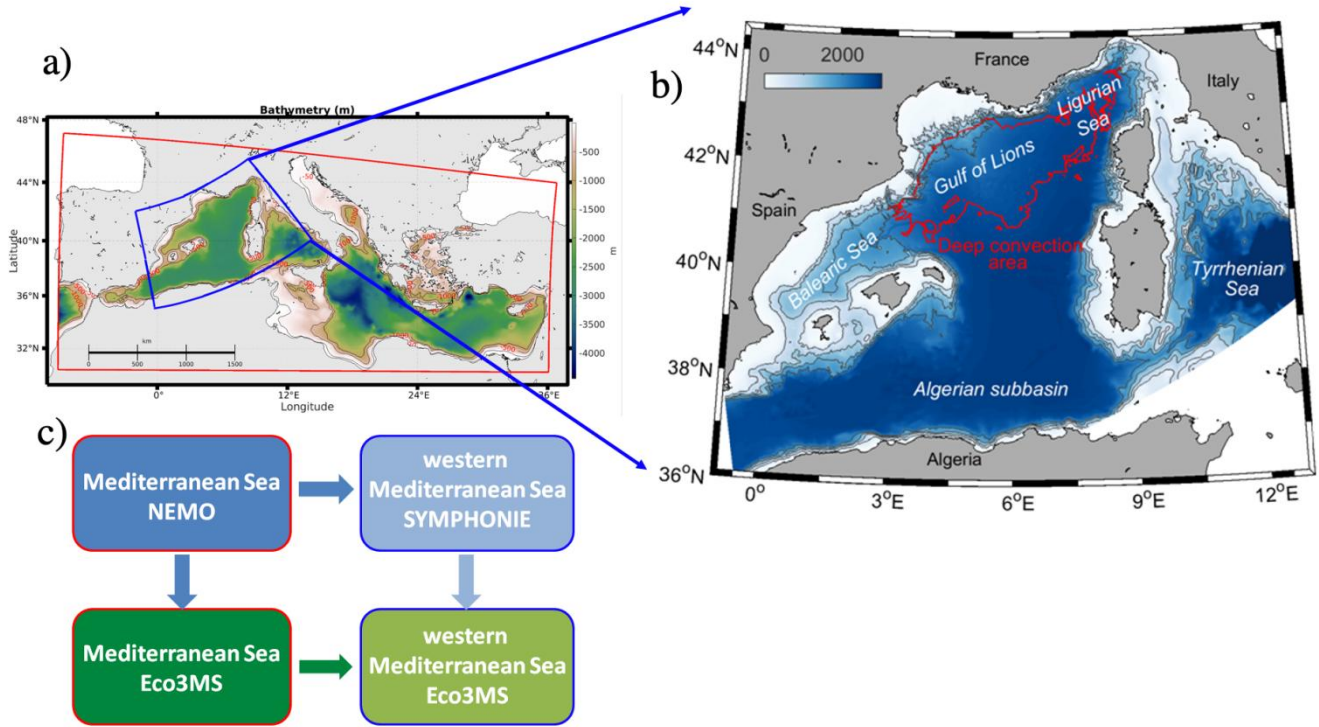
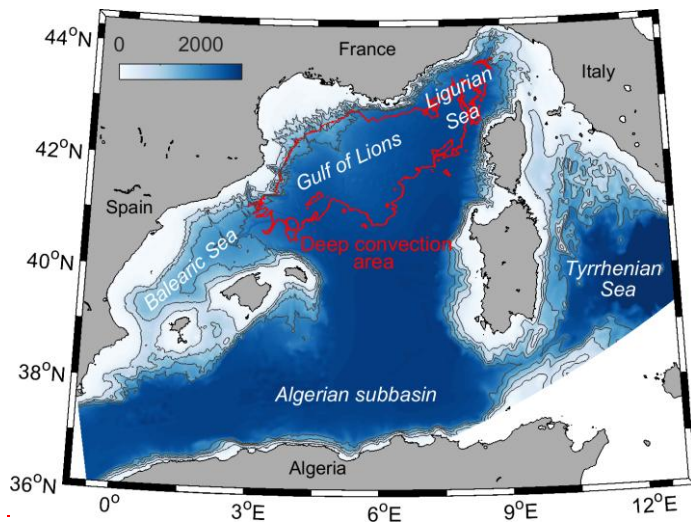
**Table 2.** Estimates of air-to-sea oxygen flux ( $F_{A-S}$ ) for the period September 2012-September 2013 and during the deep convection (January 15-March 8, March 15-March 24), using different parameterizations of gas transfer velocity.

Gas exchange parameterization	Annual $F_{A-S}$ $\text{molO}_2 \text{ m}^{-2} \text{ yr}^{-1}$	$F_{A-S}$ and amount exchanged at the air-sea interface during the 2013 deep convection event $\text{mmolO}_2 \text{ m}^{-2} \text{ day}^{-1} - \text{molO}_2 \text{ m}^{-2}$
Wanninkhof and McGillis (1999) (used in the standard run)	20	280 - 18
Wanninkhof et al. (1992)	18	<del>247</del> 60 - 16
<u>Woolf (1997)</u>	<u>20</u>	<u>279</u> - 18
Nightingale et al. (2000)	15	<del>240</del> <u>207</u> - 13
Wanninkhof et al. (2009)	15	2 <u>12</u> <del>20</del> - 13
<u>Stanley et al. (2009)</u>	<u>21</u>	<u>285</u> - 18
Liang et al. (2013)	<del>20</del> <u>4</u>	<del>27</del> <u>90</u> - <del>17</del> <u>8</u>
Wanninkhof et al. (2014)	15	2 <u>14</u> <del>20</del> - 14
Bushinsky and Emerson (2018)	14	<u>188</u> <del>200</del> - 12
Mean (standard deviation)	<u>18</u> <del>7</del> (3)	24 <u>20</u> ( <del>40</del> <u>38</u> ) - 15 (2)

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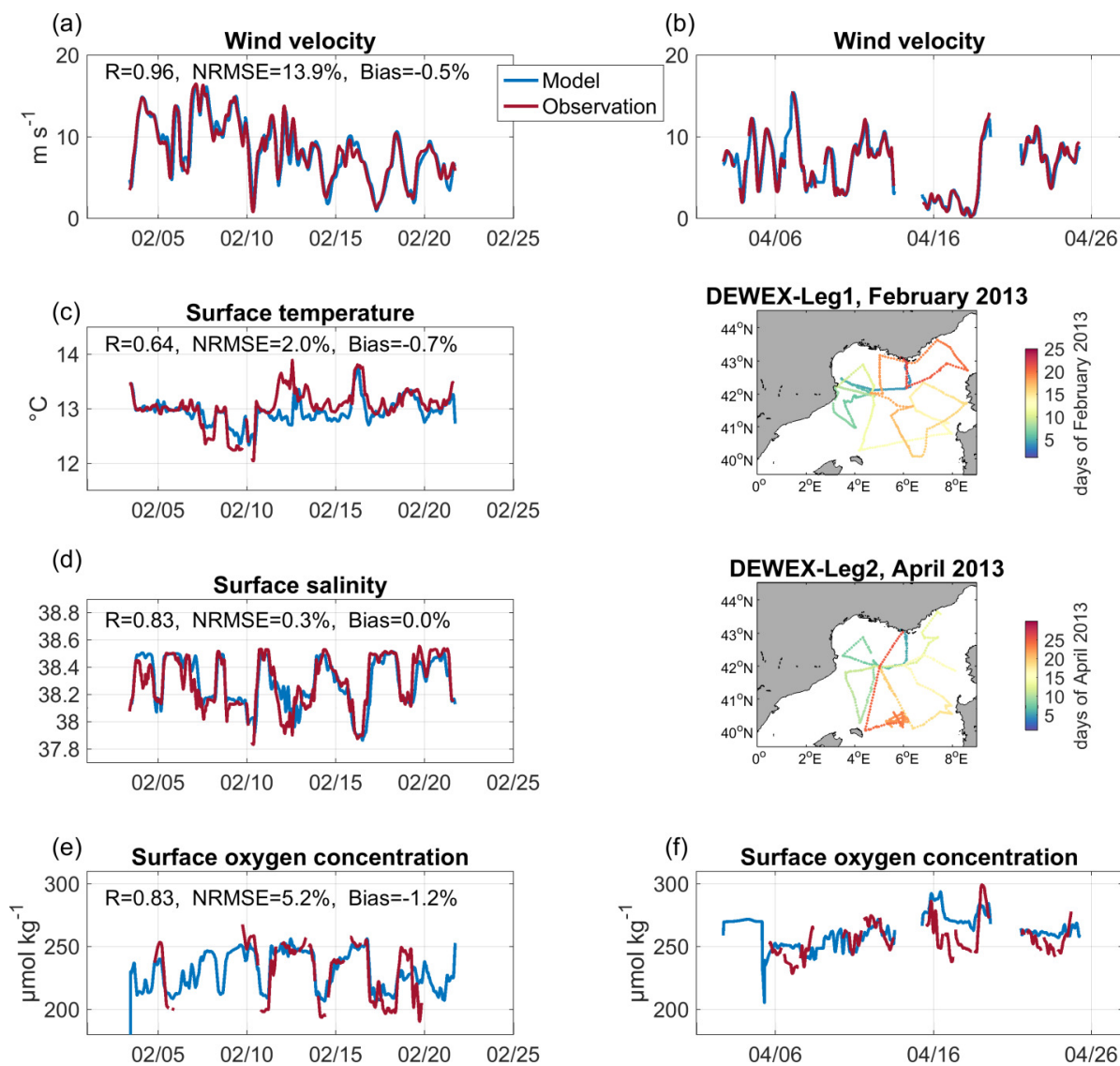
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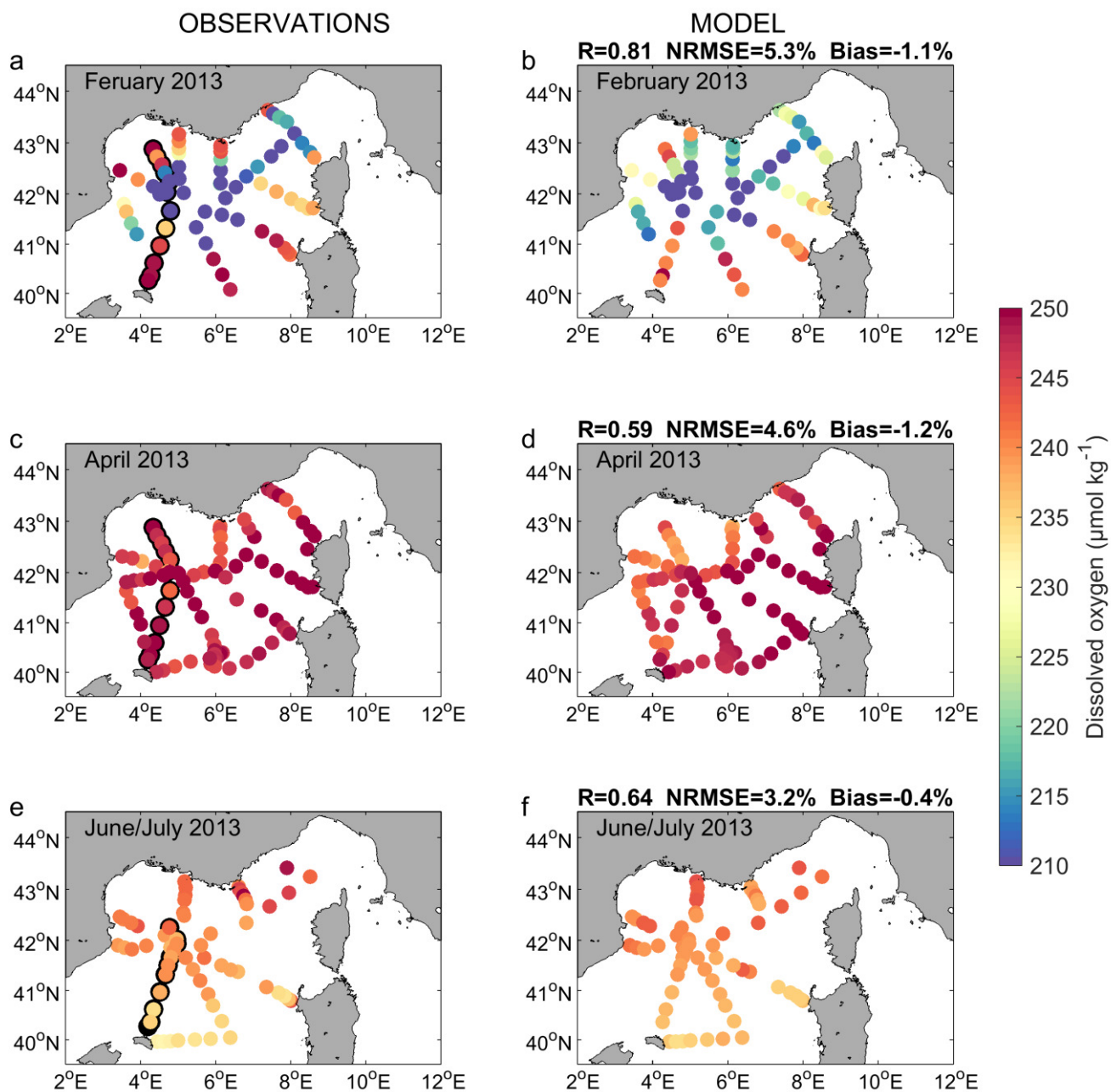


**Figure 1.** Domain and bathymetry (m) of (a) the forcing coupled NEMO-Eco3MS Mediterranean model (red contour) and of (b) the coupled SYMPHONIE-Eco3MS western sub-basin model. Model domain and bathymetry (m) in the western Mediterranean Sea. The blue contour in Fig 1a indicates the limits of the western sub-basin model. The red contoured area circled in red in Fig. 1b corresponds to the deep convection area of study, the deep convection area. (c) Scheme of the downscaling strategy from the Mediterranean Sea to the western sub-basin.

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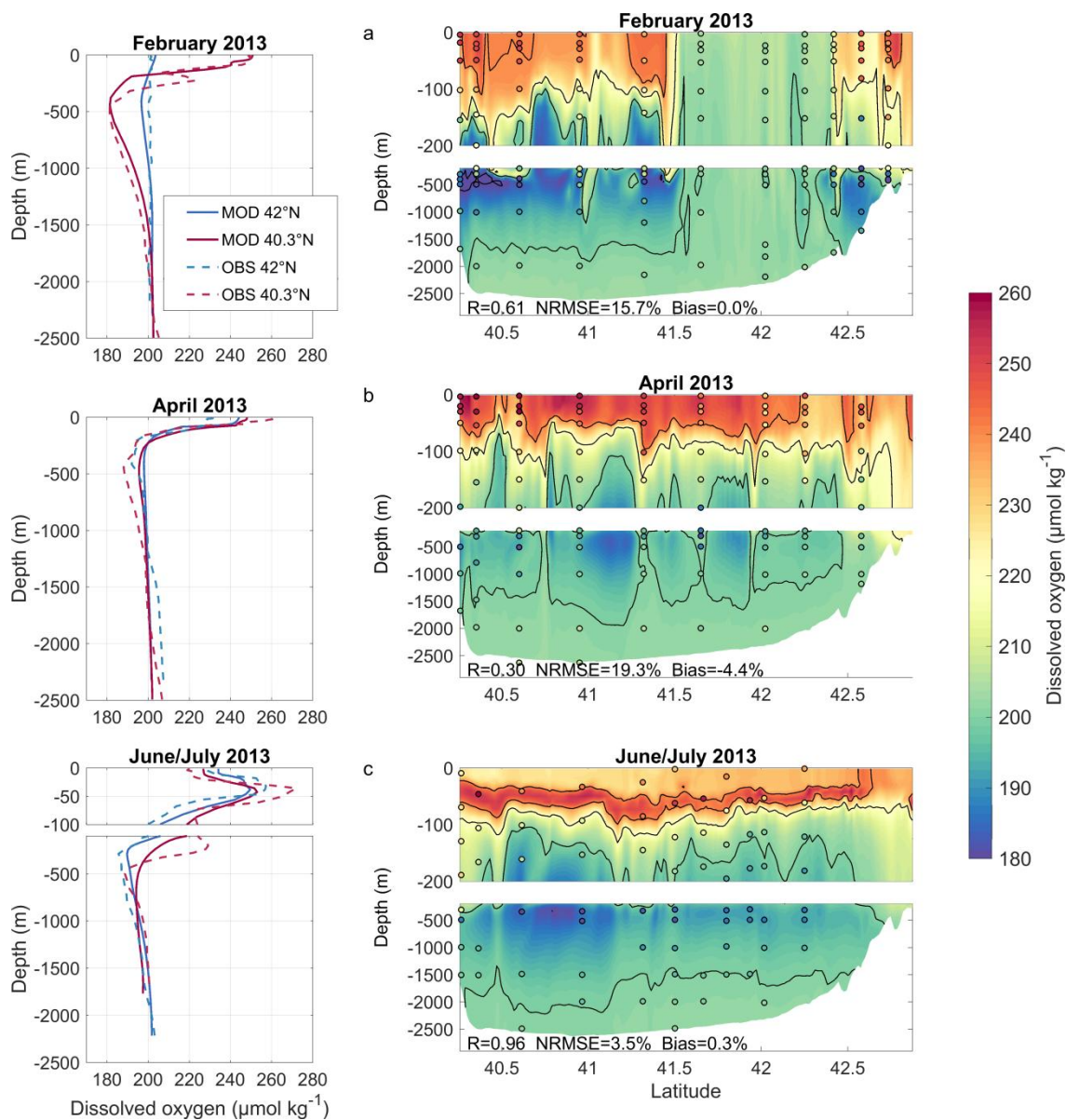


1080 **Figure 2.** Time evolution during DEWEX Leg1 (in February 2013, left column) and Leg 2 (in April 2013, right column) cruises of  
 1085 observed (red) and modelled (blue) (a,b) wind velocity ( $\text{m s}^{-1}$ ), and surface (c) temperature ( $^{\circ}\text{C}$ ), (d) salinity and (e,f) dissolved oxygen  
 concentration ( $\mu\text{mol kg}^{-1}$ ). Trajectories of the measurements during DEWEX Leg1 and Leg2 cruises are indicated on inserted maps.  
 Modelled wind velocity was provided by ECMWF. No surface temperature and salinity data are available over the period of DEWEX  
 Leg2. The metrics indicated for the modelled wind velocity and surface oxygen concentration were calculated for both DEWEX Leg 1 and  
 Leg 2 periods.

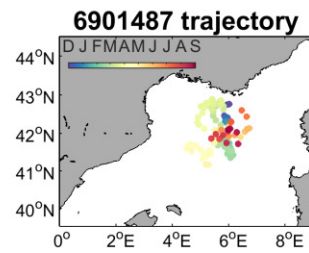
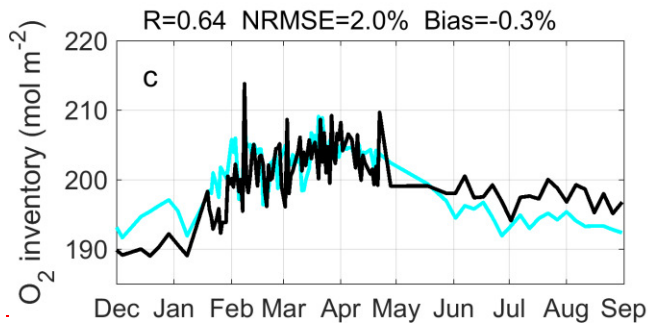
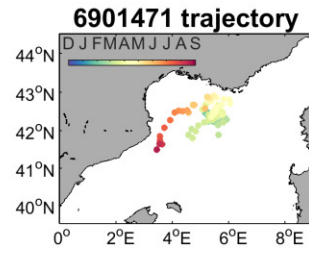
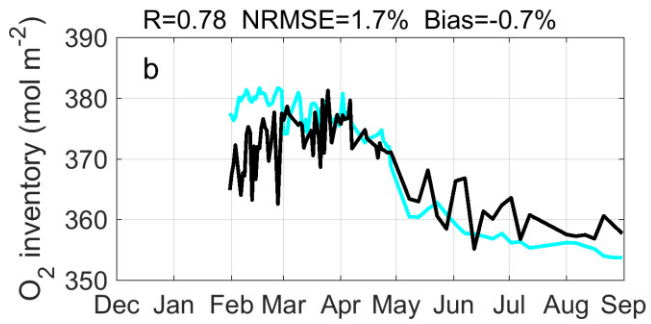
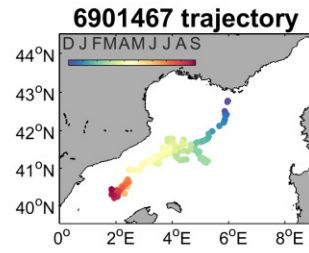
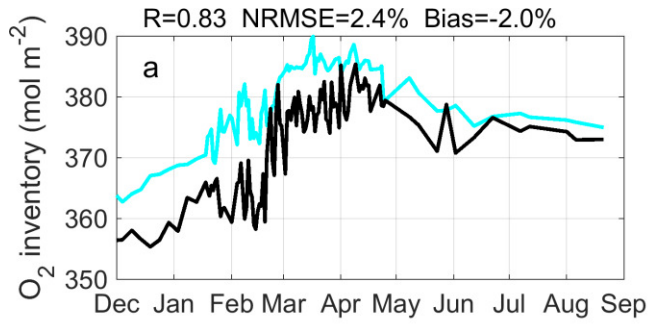


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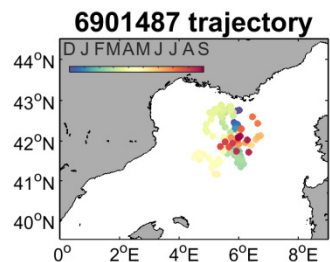
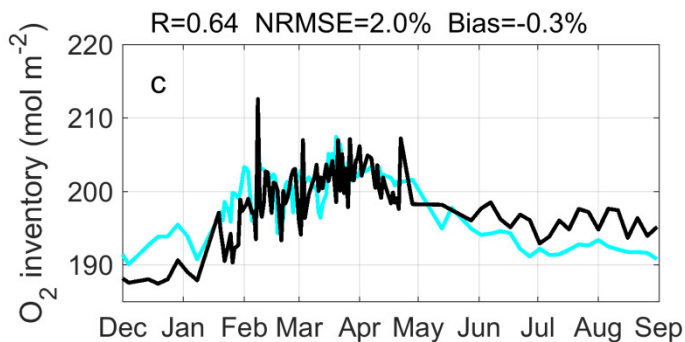
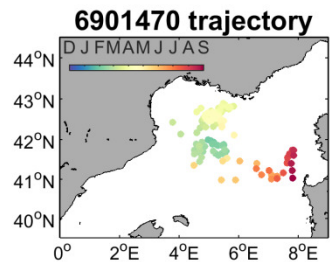
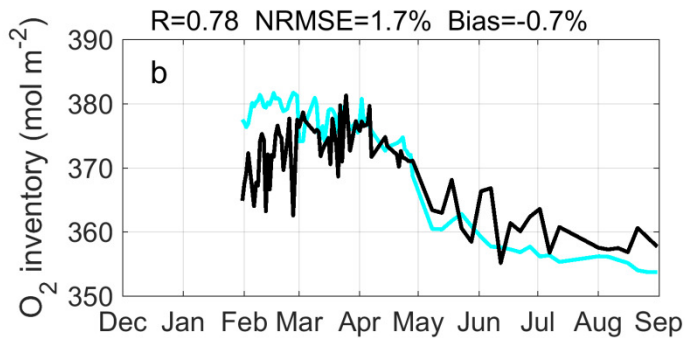
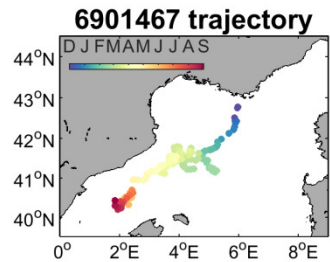
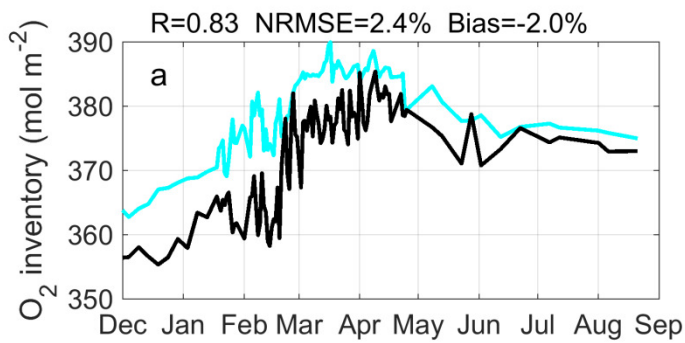
**Figure 3.** Surface dissolved oxygen concentration ( $\mu\text{mol kg}^{-1}$ ) observed (left) and modelled (right) over the (a,b) DEWEX Leg1 (1-21 February 2013), (c,d) DEWEX Leg2 (5-24 April 2013) and (e,f) MOOSE-GE (11 June-9 July 2013) cruise periods. The black-circled dots correspond to the measurement stations shown in Fig. 4.



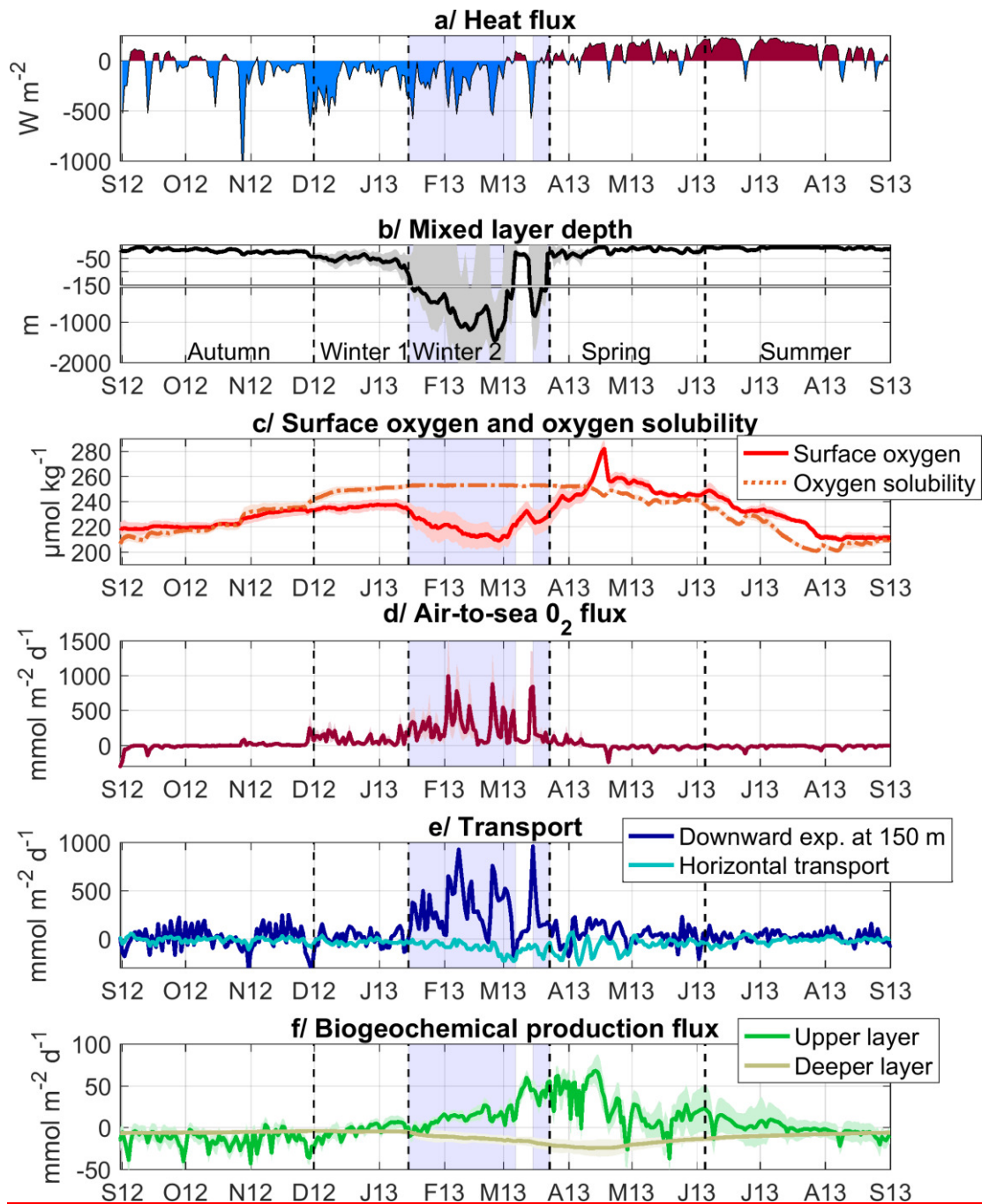
1100 **Figure 4.** Comparison between model outputs and observations on a transect crossing the deep convection area (stations are circled in black on Fig. 3a,c,e) over the (a,b) DEWEX (Leg 1: 10-12 February 2013, Leg2: 8-10 April) and (c) MOOSE-GE (27 June-5 July 2013) cruise periods. Left: Observed and modelled profiles at 42° and 40.3° N. Right: vertical section of dissolved oxygen concentration ( $\mu\text{mol kg}^{-1}$ ) along the transect; the model is represented by background colors and observations are indicated in colored circles.

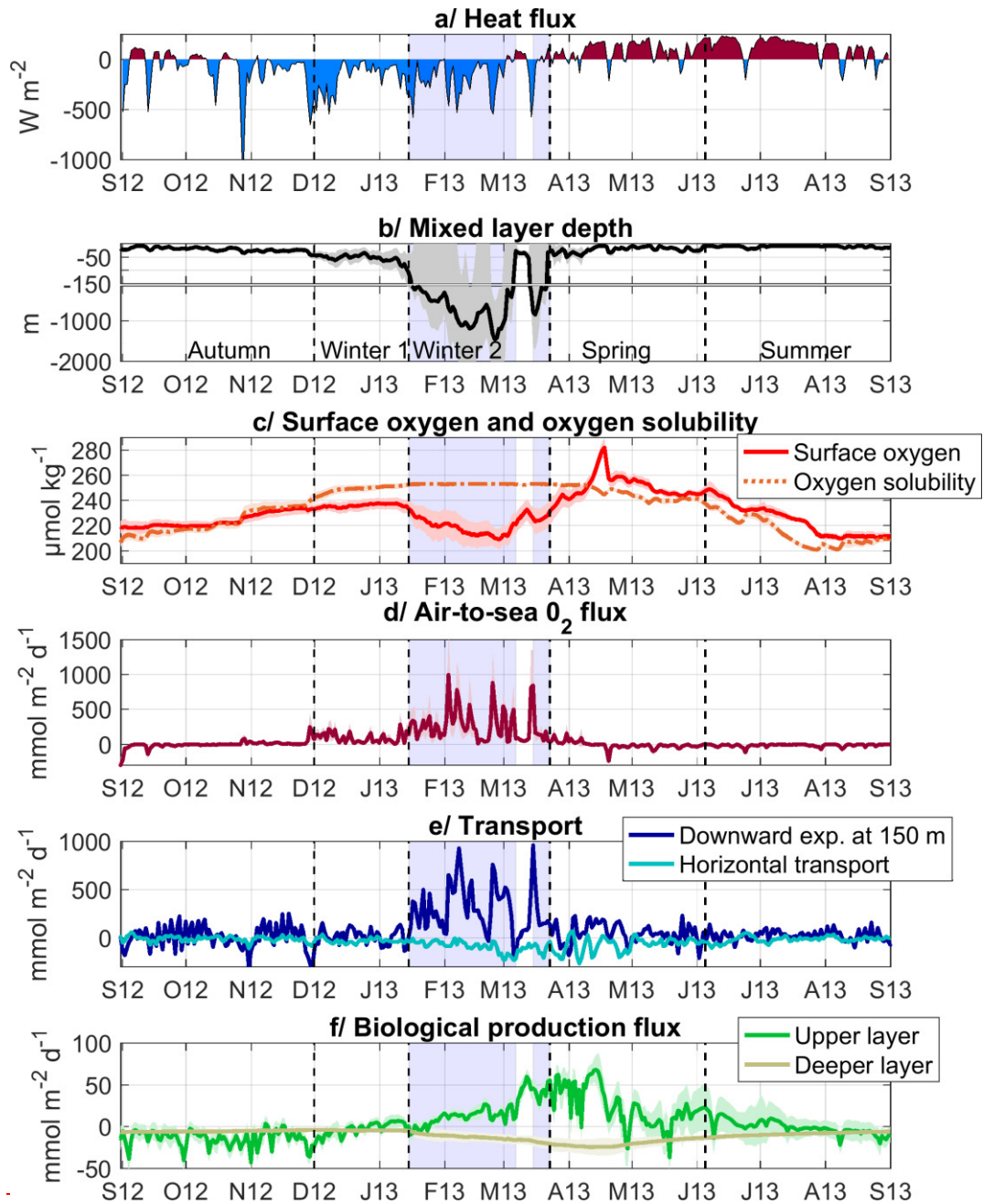






**Figure 5.** Left: oxygen inventory integrated from the surface to 1,800 m depth (a,b) or 1,000 m (c) (mol m<sup>-2</sup>) in Argo float measurements (cyan) and model outputs (black) along Argo (a) 6901467, (b) 6901470, and (c) 6901487 float trajectories. Right: trajectories of corresponding Argo float.

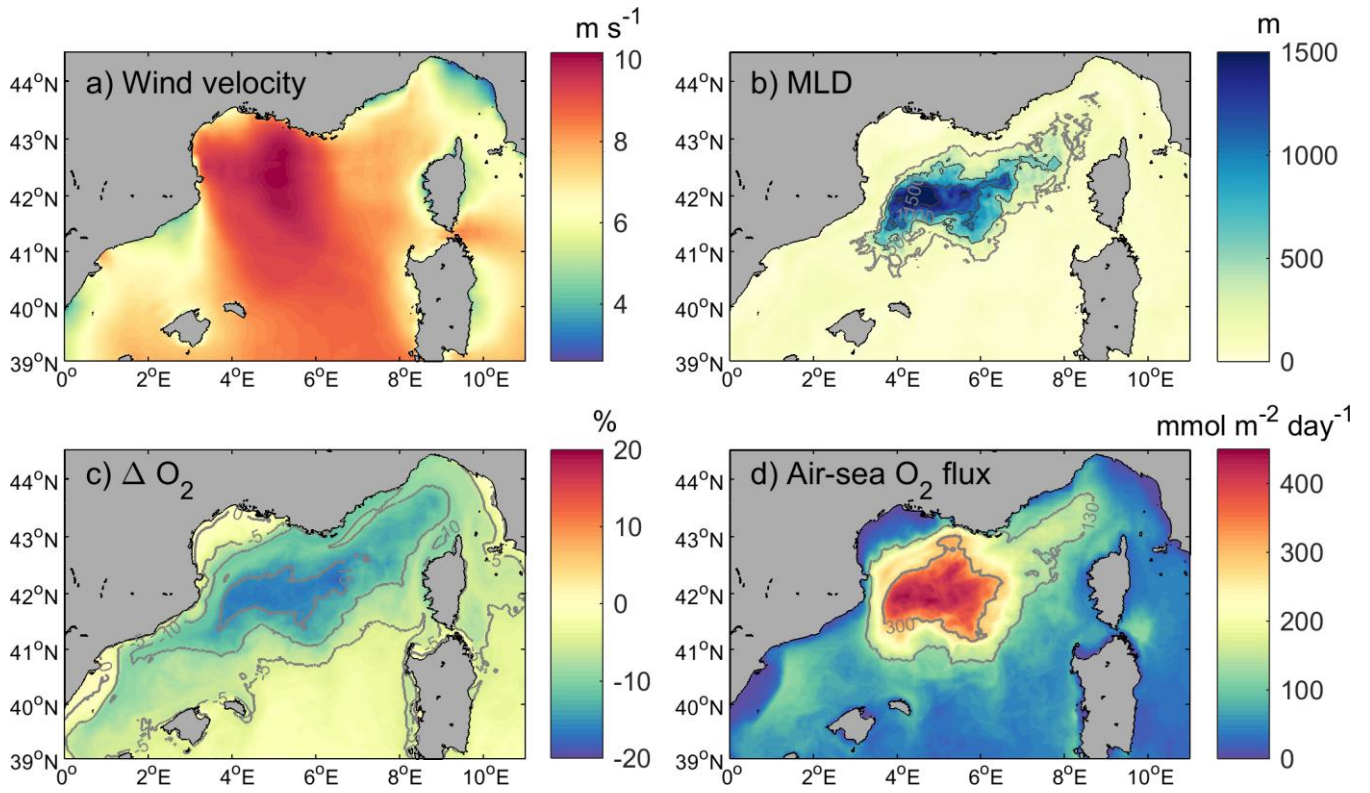




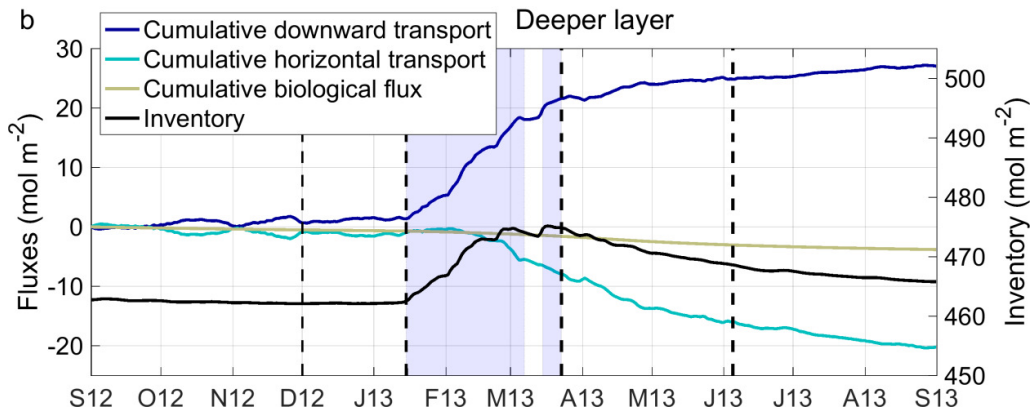
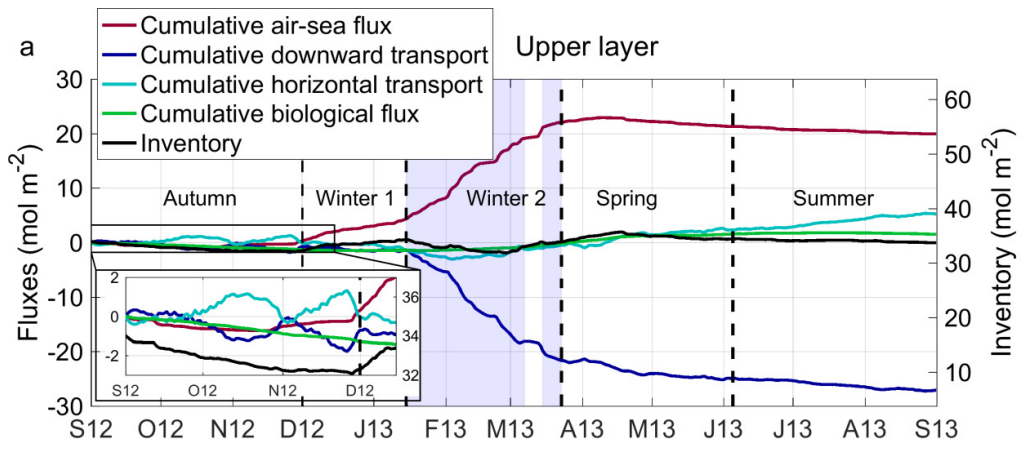
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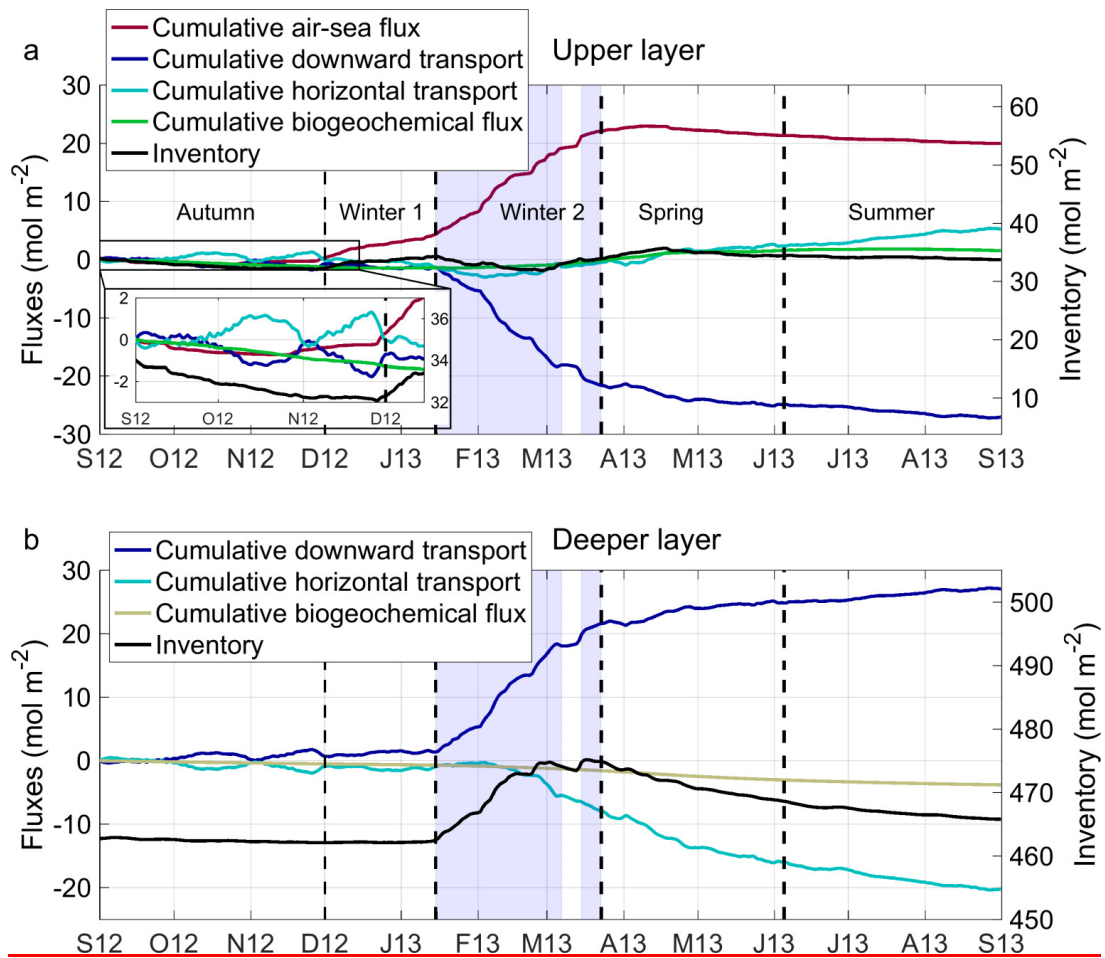
**Figure 6.** Time series of spatially averaged over the convection area (spatial mean in solid line and shaded area for standard deviation), modelled (a) total heat fluxes ( $\text{W m}^{-2}$ ), (b) mixed layer depth (m), (c) surface oxygen and oxygen solubility ( $\mu\text{mol kg}^{-1}$ ), (d) air-to-sea oxygen fluxes ( $\text{mmol m}^{-2} \text{day}^{-1}$ ), (e) downward oxygen transport at 150 m (dark blue) and lateral oxygen transport towards the convection area (light blue) ( $\text{mmol m}^{-2} \text{day}^{-1}$ ), (f) **biological/biogeochemical** oxygen production (see Eq. 1) ( $\text{mmol m}^{-2} \text{day}^{-1}$ ). Sources: ECMWF for heat fluxes, SYMPHONIE/Eco3M-S for the other parameters and fluxes. Blue shaded area corresponds to the deep convection period (period when spatial averaged MLD >100 m). Note that the range of y-axis varies for the different oxygen fluxes and that due to higher values, standard deviation for vertical and lateral transport is not shown.

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**Figure 7.** Modelled (a) wind velocity ( $\text{m s}^{-1}$ ), (b) mixed layer depth (m) (dark grey lines represent 500, 1,000 and 1,500 isocontours and light grey line the contour of the deep convection area), (c) oxygen saturation anomaly (%) at the surface, (d) air-to-sea oxygen flux ( $\text{mmol m}^{-2} \text{ day}^{-1}$ ), averaged over the 2013 deep convection period (15 January-8 March; 15 March-24 March).

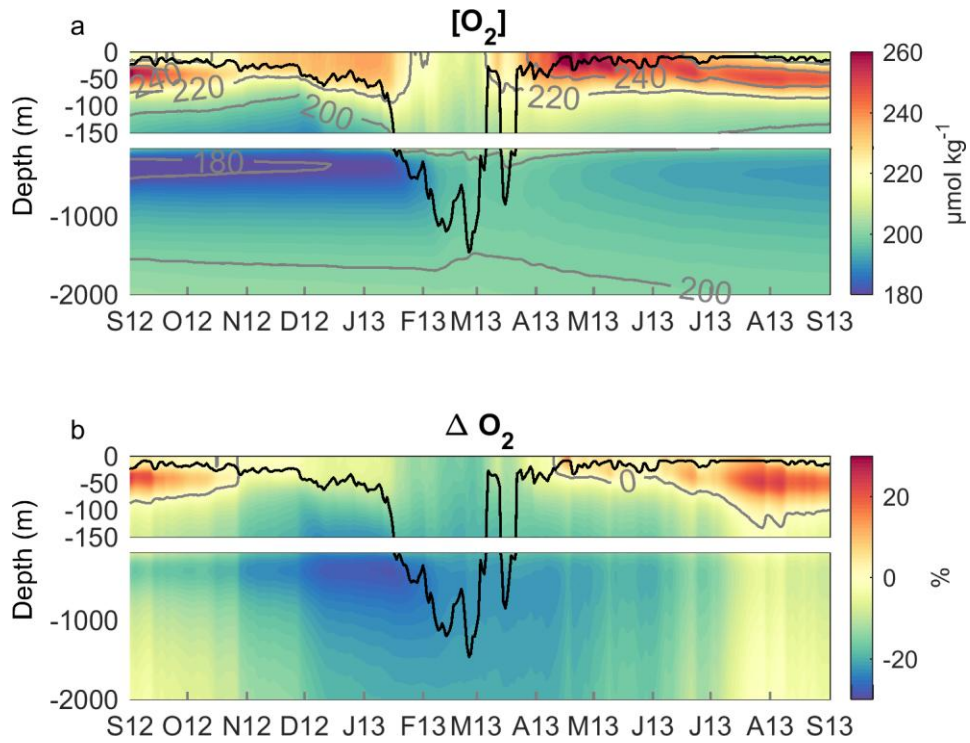




1140 **Figure 8.** Time series from September 2012 to September 2013 of the oxygen inventory (black line),  
 1145 cumulative air-sea flux (red line), downward transport (dark blue), lateral transport (positive values: input for the convection area, light blue), biological biogeochemical flux (green line), in the (a) upper (surface-150 m) and (b) deeper (150 m-bottom) layer. Unit: mol m<sup>-2</sup>.

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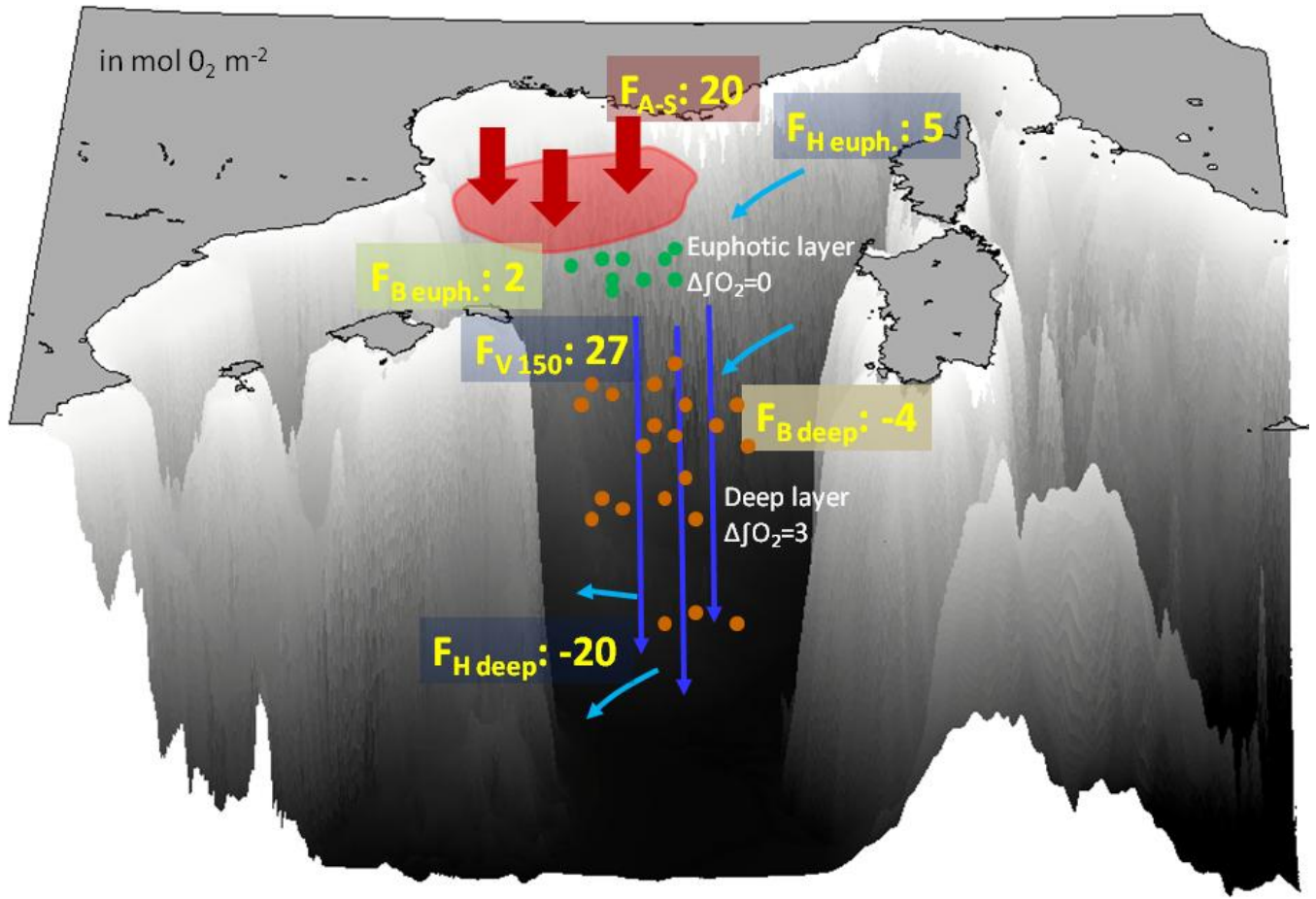
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**Figure 9.** Time evolution of (a) the dissolved oxygen concentration ( $\mu\text{mol kg}^{-1}$ ) and (b) the oxygen saturation anomaly (%), with mixed layer depth (m) indicated by the black line, all horizontally-averaged over the deep convection area.

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**Figure 10.** Schematic showing the terms of the annual oxygen budget (in  $\text{mol O}_2 \text{ m}^{-2}$ ) for the north-western Mediterranean deep convection area over the period from September 2012 to September 2013.  $F_{A-S}$ : air to sea flux,  $F_H$ : net horizontal transport,  $F_{V150}$ : net downward transport at the base of the euphotic layer (150 m),  $F_B$ : net biological/biogeochemical production,  $\Delta fO_2$ : variation of oxygen inventory. Positive fluxes are inputs for the deep convection zone. The terms of the budget are estimated for the upper, euphotic layer (surface-150 m), and the deeper, aphotic layers (150 m-bottom).

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