

1 **Evaluating the response of  $\delta^{13}\text{C}$  in *Haloxylon ammodendron*, a**  
2 **dominant C<sub>4</sub> species in Asian desert ecosystem, to water and nitrogen**  
3 **addition as well as the availability of its  $\delta^{13}\text{C}$  as the indicator of water**  
4 **use-efficiency**

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## 23 **Abstract**

24 Variations in precipitation and atmospheric N deposition affect water and N  
25 availability in desert, and thus may have significant effects on desert ecosystems.  
26 *Haloxylon ammodendron* is a dominant plant in Asian desert, and addressing its  
27 physiological acclimatization to the changes in precipitation and N deposition can  
28 provide an insight into how desert plants adapt extreme environment by physiological  
29 adjustment. Carbon isotope ratio ( $\delta^{13}\text{C}$ ) in plants has been suggested as a sensitive  
30 long-term indicator of physiological acclimatization. Therefore, this study evaluated  
31 the effect of precipitation change and increasing atmospheric N deposition on  $\delta^{13}\text{C}$  of  
32 *H. ammodendron*. Furthermore, *Haloxylon ammodendron* is a  $\text{C}_4$  plant, whether its  
33  $\delta^{13}\text{C}$  can indicate water use-efficiency (WUE) has not been addressed. In the present  
34 study, we designed a field experiment with a completely randomized factorial  
35 combination of N and water, and measured  $\delta^{13}\text{C}$ , and gas exchange, then we  
36 calculated the degree of bundle-sheath leakiness ( $\phi$ ) and WUE of the assimilating  
37 branches of *H. ammodendron*.  $\delta^{13}\text{C}$  and  $\phi$  in *H. ammodendron* remained stable under  
38 N and water supply, while N addition, water addition and their interaction affected gas  
39 exchange and WUE in *H. ammodendron*. In addition,  $\delta^{13}\text{C}$  had no correlation with  
40 WUE. This-These results are associated with the irrelevance between  $\delta^{13}\text{C}$  and the  
41 ratio of intercellular to ambient  $\text{CO}_2$  concentration ( $c_i/c_a$ ), which might be caused by a  
42 special value (0.37) of the degree of bundle-sheath leakiness ( $\phi$ ) or a lower activity of  
43 carbonic anhydrase (CA) of *H. ammodendron*. In conclusion-Thus,  $\delta^{13}\text{C}$  of *H.*  
44 *ammodendron* is insensitive to global change in precipitation and atmospheric N

45 | deposition, and cannot be used for indicating its WUE.

46

## 47 **1 Introduction**

48 Recently, global precipitation pattern has changed significantly (Frank et al., 2015;  
49 Knapp et al., 2015), and atmospheric N deposition has continued to rise (Galloway et  
50 al., 2004; Liu et al., 2013; Song et al., 2017). Previous researchers have suggested that  
51 arid ecosystems are most sensitive to climate change (Reynolds et al., 2007; Huang et  
52 al., 2016), while global change in precipitation and atmospheric N deposition has an  
53 important impact on water and N availability in desert (Huang et al., 2018). Thus,  
54 these changes may have significant effects on desert ecosystems. *Haloxylon*  
55 *ammodendron* is a dominant species in desert regions, especially in Asia. Studying the  
56 physiological responses of *H. ammodendron* to global change can provide an insight  
57 into how desert plants adapt extreme environment by physiological adjustment.  
58 Carbon isotope ratio ( $\delta^{13}\text{C}$ ) in plants depends on the ratio of intercellular to ambient  
59  $\text{CO}_2$  concentration ( $c_i/c_a$ ), which reflects the balance between inward  $\text{CO}_2$  diffusion  
60 rate, regulated by stomatal conductance ( $g_s$ ), and  $\text{CO}_2$  assimilating rate ( $A$ ) (Farquhar  
61 and Richards, 1984), and has been suggested as a sensitive long-term indicator of  
62 physiological acclimatization (Battipaglia et al., 2013; Cernusak et al., 2013; Tranan  
63 and Schubertt, 2016; Wang and Feng, 2012). Therefore, investigating the variations in  
64  $\delta^{13}\text{C}$  of *H. ammodendron* under water and nitrogen addition can enhance  
65 understanding of physiological responses of desert plants to future changes in  
66 precipitation and atmospheric N deposition.

67 A large quantity of works ~~have~~has been devoted to the relationships between C<sub>3</sub>  
68 plant δ<sup>13</sup>C and water availability or precipitation (e.g., Diefendorf et al., 2010; Kohn,  
69 2010; Liu et al., 2005; Ma et al., 2012; Serret et al., 2018; Stewart et al., 1995; Wang  
70 et al., 2005, 2008) and nitrogen availability (e.g. Cernusak et al., 2007; Li et al., 2016;  
71 Sparks and Ehleringer, 1997; Yao et al., 2011; Zhang et al., 2015). However, a  
72 relatively small amount of research has focused on the responses of C<sub>4</sub> plant δ<sup>13</sup>C to  
73 water availability or precipitation (Ellsworth et al., 2017; Liu et al., 2005; Rao et al.,  
74 2017; Wang et al., 2006) and nitrogen availability (Ma et al., 2016; Schmidt et al.,  
75 1993). For C<sub>4</sub> plants, δ<sup>13</sup>C is controlled by both the c<sub>i</sub>/c<sub>a</sub> ratio and the degree of  
76 bundle-sheath leakiness (φ), the proportion of CO<sub>2</sub> produced within bundle sheath  
77 cells from C<sub>4</sub> acids that leaks back to mesophyll cells (Ellsworth and Cousins, 2016;  
78 Ellsworth et al., 2017; Farquhar, 1983). Thus, the responses of C<sub>4</sub> plant δ<sup>13</sup>C to water  
79 and N availability are also affected by φ. Genetic factors control φ values, which  
80 causes the interspecific differences in δ<sup>13</sup>C, even the responses of plant δ<sup>13</sup>C to water  
81 and N availability (Gresset et al., 2014). On the other hand, enzymatic activity of  
82 carbonic anhydrase (CA) may influence δ<sup>13</sup>C in C<sub>4</sub> plants as CA activity is low  
83 (Cousins et al., 2006). CA is an enzyme that catalyzes the hydration of CO<sub>2</sub> in  
84 mesophyll cells to form bicarbonate (HCO<sub>3</sub><sup>-</sup>). Previous studies showed that CA  
85 activity in most C<sub>4</sub> plants is usually low, just sufficient to support photosynthesis  
86 (Cousins et al., 2006; Gillon and Yakir, 2000, 2001; Hatch and Burnell, 1990). *H.*  
87 *ammodendron* is a typical C<sub>4</sub> plant. How its δ<sup>13</sup>C responds to water and N availability  
88 has never been addressed.

89 Foliar  $\delta^{13}\text{C}$  in  $\text{C}_3$  plants has been considered as a useful indicator of intrinsic water  
90 use-efficiency (WUE) (Farquhar, 1983). However, although some studies suggested  
91 that  $\delta^{13}\text{C}$  of  $\text{C}_4$  plants could also indicate its WUE (Henderson et al., 1992; Wang et al.,  
92 2005; Cernusak et al., 2013; Ellsworth and Cousins, 2016), this statement is still  
93 controversial. The relationship between  $\delta^{13}\text{C}$  and WUE is based on the links between  
94  $c_i/c_a$  ratio and  $\delta^{13}\text{C}$  and between  $c_i/c_a$  ratio and WUE (Ehleringer and Cerling, 1995).  
95 For  $\text{C}_3$  plants,  $\delta^{13}\text{C}$  always decreases with an increase in  $c_i/c_a$  ratio; but for  $\text{C}_4$  plants,  
96 the correlation between  $\delta^{13}\text{C}$  and  $c_i/c_a$  ratio depends on  $\phi$  value (Cernusak et al., 2013)  
97 and CA activity (Cousins et al., 2006). As mentioned above,  $\phi$  value is under genetic  
98 control, and the CA activity changes across species (Cousins et al., 2006; Gillon and  
99 Yakir, 2000, 2001; Hatch and Burnell, 1990), thus, the correlation between  $\delta^{13}\text{C}$  and  
100  $c_i/c_a$  ratio, as well as the relationship between WUE and  $\delta^{13}\text{C}$ , shows interspecific  
101 difference. Whether  $\delta^{13}\text{C}$  of *H. ammodendron* indicates WUE has never been  
102 evaluated.

103 In this study, we designed an experiment with multiple water and nitrogen supply in  
104 the southern Gurbantunggut Desert in Xinjiang Uygur Autonomous Region, China.  
105 We measured the  $\delta^{13}\text{C}$ , gas exchange and WUE of the assimilating branches of *H.*  
106 *ammodendron*. We had two objectives. One objective was to evaluate the response of  
107 the dominant plant of Asian desert to future changes in precipitation and atmospheric  
108 N deposition by revealing the effects of water and N supply on  $\delta^{13}\text{C}$  of *H.*  
109 *ammodendron*. The other was to explore the availability of  $\delta^{13}\text{C}$  as the indicator of  
110 water use-efficiency in *H. ammodendron*.

111

## 112 **2 Materials and methods**

### 113 **2.1 Definitions and Basic Equations**

114 Stable carbon isotopic ratio ( $\delta^{13}\text{C}$ ) of natural materials is expressed as:

$$115 \quad \delta^{13}\text{C}(\text{‰}) = \left[ \frac{(^{13}\text{C}/^{12}\text{C})_{\text{sample}}}{(^{13}\text{C}/^{12}\text{C})_{\text{standard}}} - 1 \right] \times 1000 \quad (1)$$

116 where the standard is the carbon dioxide obtained from the Peedee belemnite (PDB)  
117 limestone (Craig, 1957). Farquhar (1983) proposed the pattern of carbon isotopic  
118 discrimination ( $\Delta$ ) in  $\text{C}_4$  plant:

$$119 \quad \Delta = \frac{\delta^{13}\text{C}_{\text{air}} - \delta^{13}\text{C}_{\text{plant}}}{1 + \delta^{13}\text{C}_{\text{plant}}/1000} \approx \delta^{13}\text{C}_{\text{air}} - \delta^{13}\text{C}_{\text{plant}} = a + [b_4 + \varphi (b - s) - a] \frac{c_i}{c_a} \quad (2)$$

120 where  $\delta^{13}\text{C}_{\text{plant}}$  and  $\delta^{13}\text{C}_{\text{air}}$  are the  $\delta^{13}\text{C}$  values of plants and  $\text{CO}_2$  in the ambient air. The  
121 parameter  $a$  ( $= 4.4\text{‰}$ , Craig, 1954) is the carbon isotopic fractionation in the diffusion  
122 of  $\text{CO}_2$  into internal leaves;  $b_4$  ( $= -5.9\text{‰}$ , O'Leary, 1984) is the combined carbon  
123 isotopic fractionations occurring in the processes of gaseous  $\text{CO}_2$  dissolution,  
124 hydration/dehydration reactions of  $\text{CO}_2$  and  $\text{HCO}_3^-$  in mesophyll cells, and  
125  $\text{HCO}_3^-$  carboxylation by PEP (phosphoenolpyruvate) carboxylase;  $s$  ( $= 1.8\text{‰}$ , O'Leary,  
126 1984) is the carbon isotopic fractionation during diffusion of  $\text{CO}_2$  out of the  
127 bundle-sheath cells, and  $b$  ( $= 27\text{‰}$ , Farquhar and Richards, 1984) is the carbon  
128 isotopic fractionation of  $\text{CO}_2$  carboxylation by RuBP (ribulose-1,5-bisphosphate)  
129 carboxylase. The variable  $\varphi$  is the proportion of  $\text{CO}_2$  producing within bundle sheath  
130 cells from  $\text{C}_4$  acids that leaks back to mesophyll cells, and  $c_i/c_a$  is the ratio of  
131 intercellular to ambient  $\text{CO}_2$  concentration.

132 Water use-efficiency (WUE) is defined as the amount of assimilated carbon dioxide

133 by plants under the consumption of per unit water. There are two characteristics of  
134 WUE, instantaneous WUE (ins-WUE) and intrinsic WUE (int-WUE), respectively.

135 ins-WUE can be calculated by:

$$136 \quad \text{ins-WUE} = A/E = (c_a - c_i)/1.6v = c_a(1 - c_i/c_a)/1.6v \quad (3)$$

137 where A is photosynthetic rate, E is transpiration rate and v is calculated

138 by:

$$139 \quad v = (e_i - e_a)/p \quad (4)$$

140 where  $e_i$  and  $e_a$  are the water vapor pressure inside and outside the leaves, p is the

141 atmospheric pressure.

142 The definition of int-WUE is:

$$143 \quad \text{int-WUE} = A/g_s = (c_a - c_i)/1.6 = c_a(1 - c_i/c_a)/1.6 \quad (5)$$

144 where  $g_s$  is stomatal conductance.

## 145 **2.2 Study site**

146 This experiment was conducted at the Fukang Station of Desert Ecology, Chinese

147 Academy of Sciences, on the southern edge of the Gurbantunggut Desert (44°26' N,

148 87°54' E) in northwestern China. The altitude of the study site is 436.8 m above

149 average sea level (a.s.l.). It is a typical continental arid, temperate climate, with a hot

150 summer and cold winter in the area. The mean annual temperature is 7.1°C and the

151 mean annual precipitation is 215.6 mm, with a potential evaporation of about 2000

152 mm. The mean annual temperature and the annual rainfall amount in the sampling

153 year are 10.23°C and 122.7 mm (Cui, 2018). The soil type is grey desert soils

154 (Chinese classification) with aeolian sands on the surface (0-100 cm). The

155 percentages of clay (< 0.005 mm), silt (0.005-0.063 mm), fine sand (0.063-0.25 mm)  
156 and medium sand (0.25-0.5 mm) range from 1.63-1.76%, 13.79-14.15%,  
157 55.91-56.21% and 20.65-23.23%, respectively (Chen et al., 2007). The soil is highly  
158 alkaline (pH = 9.55 ± 0.14) with low fertility. The vegetation is dominated by  
159 *Haloxylon ammodendron* and *Haloxylon persicum* with about 30% coverage. Herbs  
160 include ephemerals, annuals and small perennials, with a cover of ca. 40% (Fan et al.,  
161 2013). Although the coverage of the two *Haloxylon* species is a little lower than that  
162 of herbs, the biomass of the former is much larger than that of the latter, because  
163 *Haloxylon* plants are shrubs with an average height of 1.5 m whereas the latter are  
164 very low herbaceous plants. Biological soil crusts are distributed widely on the soil  
165 between the herbs and *Haloxylon*, with almost 40% coverage (Zhang et al., 2007).

### 166 **2.3 Experimental design**

167 A field experiment with a completely randomized factorial combination of water and  
168 nitrogen has been conducted from 2014 to 2017. We designed two water addition  
169 levels (0, 60mm·yr<sup>-1</sup>; W0, W1), since precipitation is predicted to increase by 30% in  
170 northern China in the next 30 years (Liu et al., 2010), and three levels of N addition (0,  
171 30, 60 kg N·ha<sup>-1</sup>·yr<sup>-1</sup>; N0, N1 and N2), because N deposition has reached 35.4 kg  
172 N·ha<sup>-1</sup>·yr<sup>-1</sup> in the nearby city, Urumqi (Cui et al., 2017) and will double by 2050  
173 relative to the early 1990s (Galloway et al., 2008). Therefore, there were six  
174 treatments (W0N0, W0N1, W0N2, W1N0, W1N1, W1N2) in this experiment. Four  
175 replicates of each treatment were set, making a total of 24 plots with a size of 10 m  
176 × 10 m. A small sub-plot with a size of 1.5 m×1.5 m was set in each plot. A



177 well-grown *H. ammodendron* was enclosed in the center of the sub-plot. The average  
178 height and coverage of an individual *H. ammodendron* were 1.5 m and 1.9 m<sup>2</sup>,  
179 respectively, and did not vary significantly across the plots. To simulate natural water  
180 and N inputs, the treatments were applied in equal amounts, twelve times, once a  
181 week in April, July and September, as 5 mm·m<sup>-2</sup> of water and 2.5 or 5 kg N·ha<sup>-1</sup> each  
182 week (Cui et al., 2017). Usually, water addition was with a sprinkler kettle, irrigating  
183 over the canopy of *H. ammodendron*.

#### 184 **2.4 Measurements of gas exchange traits and WUE**

185 The leaves of *H. ammodendron* have been completely degraded due to the extreme  
186 drought, and the assimilation branches perform the same functions as the leaves. Thus,  
187 we conducted gas exchange measurements on the assimilation branches of the *H.*  
188 *ammodendron* grown in the sub-plots by LI-6400 portable photosynthesis system on  
189 27-29, June 2016. The ins-WUE and the int-WUE were calculated based on these  
190 measured gas exchange traits by the Eq. (3), and int-WUE by Eq. (5). Gas exchange  
191 traits, including photosynthetic rate (*A*), stomatal conductance (*g<sub>s</sub>*), transpiration rate  
192 (*E*) and *c<sub>i</sub>/c<sub>a</sub>*, on the assimilating branches of the *H. ammodendron* grown in the  
193 sub-plots were determined by LI-6400 portable photosynthesis system on 27-29, June  
194 2016. Then we calculated ins-WUE by the Eq. (3), and int-WUE by Eq. (5).

195 At each plot, the top assimilating branches of a mature individual was selected  
196 randomly for the measurement. About 5s was needed for stability after the  
197 assimilating branches was inserted in the cuvette and then the assimilating branches  
198 were measured. We repeated 10 times on the same assimilating branches for each

199 measurement. We measured gas exchange with a standard 450 mmol·mol<sup>-1</sup> CO<sub>2</sub>  
200 concentration at a flow rate of 500 mmol·s<sup>-1</sup> above saturation in photo flux density of  
201 ~~1000-1600~~ mmol·m<sup>-2</sup>·s<sup>-1</sup>. ~~Leaf temperature kept stable and varied within 1.0 °C during~~  
202 ~~each measurement. The temperature of the measuring assimilating branches varied~~  
203 ~~from 29.5 °C to 30.5 °C during the entire period of gas exchange measurements.~~

## 204 **2.5 Samples collection**

205 Considering that there is a considerable difference in δ<sup>13</sup>C between buds, young and  
206 matured leaves, so we collected the mature assimilating branches of *H. ammodendron*  
207 for the δ<sup>13</sup>C measurements. All *H. ammodendron* individuals grown in plots (10 m ×  
208 10 m) were sampled. Eight pieces of the mature assimilating branches were collected  
209 from each individual, two pieces of assimilating branches were collected at each of  
210 the four cardinal directions from the positions of full irradiance. All assimilating  
211 branches from the same plot were combined into one sample. All plant samples were  
212 air-dried in the field and then in the laboratory. Then the samples were ground into a  
213 fine powder using a steel ball mixer mill MM200 (Retsch GmbH, Haan, Germany) for  
214 the measurements of δ<sup>13</sup>C, N contents and chlorophyll contents.

## 215 **2.6 Measurements of plant δ<sup>13</sup>C, plant N and chlorophyll contents**

216 The δ<sup>13</sup>C and N measurements were performed on a Delta<sup>Plus</sup> XP mass spectrometer  
217 (Thermo Scientific, Bremen, Germany) coupled with an automated elemental  
218 analyzer (Flash EA1112, CE Instruments, Wigan, UK) in a continuous flow mode, at  
219 the Stable Isotope Laboratory of the College of Resources and Environmental  
220 Sciences, China Agricultural University. The carbon isotopic ratios were reported in

221 the delta notation relative to the V-PDB standard. For this measurement, we obtained  
 222 standard deviations low than 0.15‰ for  $\delta^{13}\text{C}$  among replicate measurements of the  
 223 same sample. And standard deviations for the N measurements were 0.1‰.

224 The chlorophyll contents of all samples were also determined. The samples were  
 225 first extracted by 95% ethyl alcohol (0.5 g sample to 25 mL ethyl alcohol), and then  
 226 the absorbancy was measured under the wave length of 665 and 649 nm by the  
 227 spectrophotometer. The content of chlorophyll a, b was calculated by the follow  
 228 equations:

$$229 \quad \text{Chlorophyll a (mg/L)} = 13.95 \times \text{OD}_{665} - 6.88 \times \text{OD}_{649} \quad (6)$$

$$230 \quad \text{Chlorophyll b (mg/L)} = 24.96 \times \text{OD}_{649} - 7.32 \times \text{OD}_{665} \quad (7)$$

231 where OD<sub>665</sub> and OD<sub>649</sub> are the absorbancy under the wave length of 665 and  
 232 649nm, respectively.

## 233 **2.6 Calculation of the degree of bundle-sheath leakiness**

234 The degree of bundle-sheath leakiness ( $\phi$ ) was calculated by the transformation of Eq.  
 235 (2):

$$236 \quad \phi = \left( \frac{(\delta^{13}\text{C}_{\text{air}} - \delta^{13}\text{C}_{\text{plant}}) / (1 + \delta^{13}\text{C}_{\text{plant}}/1000) - a}{c_i/c_a} + a - b_4 \right) / (b - s) \quad (8)$$

237 In this equation, parameters a, b<sub>4</sub>, b and s are constant, while  $\delta^{13}\text{C}_{\text{plant}}$  and  $c_i/c_a$  are  
 238 the measured values of our samples. We did not measure the  $\delta^{13}\text{C}_{\text{air}}$  at our study site,  
 239 so we had to use an approximation of the  $\delta^{13}\text{C}_{\text{air}}$  to do this  $\phi$  calculation. The  
 240 approximated value we used is -9.77‰, which has been measured at Donglingshan  
 241 Mountain, Beijing, north China in September 2019. We believe that the two sites  
 242 should have similar  $\delta^{13}\text{C}_{\text{air}}$  because the two sites are located in countryside with less

243 human activities and have a similar distance from the nearest city. The straight line  
244 distances between Donglingshan Mountain and the city center of Beijing, and  
245 between our study site and Urumqi city are about 90 km.

## 246 **2.7 Statistical analysis**

247 Statistical analyses were conducted using SPSS software (SPSS for Windows, Version  
248 20.0, Chicago, IL, United States). One-way analysis of variance (ANOVA) and  
249 two-way analysis of variance (ANOVA) were used to compare the difference of  $\delta^{13}\text{C}$   
250 and other physiological traits between each treatment. Pearson analysis was used to  
251 determine the correlation among  $\delta^{13}\text{C}$ , WUE and  $c_i/c_a$  in *H. ammodendron*.

252

## 253 **3 Results**

### 254 **3.1 Plant $\delta^{13}\text{C}$ under water and nitrogen addition**

255 The  $\delta^{13}\text{C}$  of the assimilating branches of *H. ammodendron* in the six treatments  
256 W0N0, W0N1, W0N2, W1N0, W1N1, W1N2 was  $-14.18 \pm 0.19 \text{ ‰}$ ,  $-14.71 \pm 0.35 \text{ ‰}$ ,  
257  $-14.45 \pm 0.18 \text{ ‰}$ ,  $-14.67 \pm 0.40 \text{ ‰}$ ,  $-14.65 \pm 0.38 \text{ ‰}$ ,  $-14.34 \pm 0.29 \text{ ‰}$ , respectively.

258 One-way ANOVA analyses showed no significant variation in  $\delta^{13}\text{C}$  across treatments  
259 ( $p = 0.788$ , Fig. 1). Two-way ANOVA analyses suggested that  $\delta^{13}\text{C}$  was not affected  
260 by water addition ( $p = 0.678$ ), N addition ( $p = 0.607$ ) and their interaction ( $p = 0.563$ ,  
261 Table 1).

262 Fig.1

263 Table 1

### 264 **3.2 Gas exchange and WUE under water and nitrogen addition**

265 Photosynthetic rate (A), stomatal conductance ( $g_s$ ), transpiration rate (E) and  $c_i/c_a$   
266 ranged from 12.11  $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$  to 39.35  $\mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ , from 0.09 mol  $\text{H}_2\text{O}$   
267  $\text{m}^{-2} \text{ s}^{-1}$  to 0.31 mol  $\text{H}_2\text{O} \text{ m}^{-2} \text{ s}^{-1}$ , from 2.87 mmol  $\text{H}_2\text{O} \text{ m}^{-2} \text{ s}^{-1}$  to 8.49 mmol  $\text{H}_2\text{O} \text{ m}^{-2} \text{ s}^{-1}$   
268 and 0.11 to 0.57, respectively. One-way ANOVA analyses showed significant changes  
269 in leaf gas exchange across the six treatments ( $p = 0.012$  for A,  $p = 0.006$  for  $g_s$ ,  $p =$   
270  $0.002$  for E and  $c_i/c_a$ , Fig. 2). Two-way ANOVA analyses suggested that water  
271 addition had exerted effect on  $c_i/c_a$  ( $p = 0.004$ ), that N additions influenced A ( $p =$   
272  $0.008$ ) and  $c_i/c_a$  ( $p = 0.009$ ), and that the interaction between water and N supply  
273 played a role in  $g_s$  ( $p < 0.001$ ), E ( $p < 0.001$ ) and  $c_i/c_a$  ( $p < 0.001$ , Table 1).

274 Fig. 2

275 Instantaneous WUE (ins-WUE) and intrinsic WUE (int-WUE) ranged from 3.09  
276  $\mu\text{mol CO}_2 / \text{mmol H}_2\text{O}$  to 8.49  $\mu\text{mol CO}_2 / \text{mmol H}_2\text{O}$  and from 93.64  $\mu\text{mol CO}_2 / \text{mol}$   
277  $\text{H}_2\text{O}$  to 208.47  $\mu\text{mol CO}_2 / \text{mmol H}_2\text{O}$ , respectively. One-way ANOVA analyses  
278 showed significant changes in these two indexes (both  $p < 0.001$ , Fig. 3). Two-way  
279 ANOVA analyses suggested that water addition, N addition and their interaction all  
280 have significant effect on these two indexes (all  $p < 0.05$ , Table 1).

281 Fig. 3

### 282 3.3 Correlations among $\delta^{13}\text{C}$ , WUE and $c_i/c_a$ ratio

283 In order to test whether  $\delta^{13}\text{C}$  in *H. ammodendron* can indicate WUE, the relationships  
284 among  $\delta^{13}\text{C}$ , ins-WUE, int-WUE and  $c_i/c_a$  ratio were revealed in this study. Our  
285 results showed no correlation between  $\delta^{13}\text{C}$  and ins-WUE ( $p = 0.229$ , Fig. 4a),  
286 between  $\delta^{13}\text{C}$  and int-WUE ( $p = 0.229$ , Fig. 4c), and between  $\delta^{13}\text{C}$  and  $c_i/c_a$  ratio ( $p =$

287 0.183, Fig. 4e). However, there was a negative correlation between ins-WUE and  $c_i/c_a$   
288 ratio ( $p < 0.001$ , Fig. 4b), and between int-WUE and  $c_i/c_a$  ratio ( $p < 0.001$ , Fig. 4d).

289 Fig. 4

### 290 **3.4 The degree of bundle-sheath leakiness under water and nitrogen addition**

291 The calculated  $\phi$  ranged from 0.32 to 0.59 with a mean value of 0.45. One-way  
292 ANOVA analyses showed no significant variation in  $\phi$  across treatments ( $p = 0.768$ ,  
293 Fig. 5). Two-way ANOVA analyses suggested that  $\delta^{13}\text{C}$  was not affected by water  
294 addition ( $p = 0.644$ ), N addition ( $p = 0.600$ ) and their interaction ( $p = 0.521$ , Table 1).

295 Fig. 5

296

## 297 **4 Discussion**

298 The  $\delta^{13}\text{C}$  of the assimilating branches in *H. ammodendron* did not change across  
299 treatments (Fig. 1, Table 1), suggesting that neither water addition nor nitrogen  
300 addition influenced the  $\delta^{13}\text{C}$  of *H. ammodendron*. Previous studies also reported no  
301 significant relationship between  $\delta^{13}\text{C}$  of  $\text{C}_4$  plant and water availability (Swap et al.,  
302 2004; Wang et al., 2008), and between  $\delta^{13}\text{C}$  of  $\text{C}_4$  plant and nitrogen availability (Yao  
303 et al., 2011, Yang et al., 2017).

304 In general, the effects of water availability and nitrogen availability on  $\delta^{13}\text{C}$  are  
305 dependent on  $c_i/c_a$  ratio, which reflects the balance between stomatal conductance ( $g_s$ )  
306 and photosynthetic rate ( $A$ ) (Farquhar and Richards, 1984). With more water  
307 availability under water addition, plants tend to open stomata to absorb more  $\text{CO}_2$ ,  
308 leading to an increase in  $g_s$ . Two-way ANOVA analyses suggested that water addition

309 had no effect on both  $A$  and  $g_s$  (Table 1). However, One-way ANOVA analyses  
310 showed that  $g_s$  was higher in W1N0 than that in W0N0 (Fig. 2b). Thus, at least water  
311 addition had a positive effect on  $g_s$  under ambient N condition. Increasing  $g_s$  under  
312 water supply will lead to the rise of intercellular  $CO_2$  because of the decrease of  
313 diffusional resistance to  $CO_2$ . As the results,  $c_i/c_a$  ratio was observed to increase with  
314 increasing moisture (Fig. 2d, Table 1). However,  $\delta^{13}C$  remained stable under water  
315 addition (Fig. 1, Table 1). Thus,  $c_i/c_a$  ratio could not explain the observed response of  
316  $\delta^{13}C$  to water supply.

317 For most plants in natural ecosystems, nitrogen is the key factor limiting plant  
318 growth (Hall et al., 2011). Thus, nitrogen addition usually causes plants to absorb  
319 more N. However, extreme drought could prevent plants from absorbing N even  
320 under high N supply. In the present experiment, N supply was found to have an effect  
321 on N contents in *H. ammodendron*. Relative to the control treatment (W0N0), N  
322 contents increased with N supply under low N addition, but kept unchanged under  
323 high addition (Table S1, S2). Nitrogen is the main constituent of Rubisco (ribulose -  
324 1,5 - biphosphate carboxylase oxygenase) and chlorophyll in plants. Thus,  
325 chlorophyll a was found to have the similar pattern as N contents under water and N  
326 supply. Chlorophyll a was higher in W0N1 than W0N0, and there was no difference in  
327 chlorophyll a between W0N0 and W0N2 (Table S1). Increasing chlorophyll contents  
328 in W0N1 should lead to the increase in photosynthetic rate ( $A$ ). However, different  
329 from our prediction, one-way ANOVA analyses suggested that  $A$  in W0N1 did not  
330 differ from that in W0N0, and that  $A$  in W0N2 is lower than that in W0N0 (Fig., 2a).

331 Two-way ANOVA analyses showed that N addition had an influence on A (Table 1).  
332 Both the analyses suggested that N supply played a negative role in A, and thus the  
333 consumption of intercellular CO<sub>2</sub>. Consequently, c<sub>i</sub>/c<sub>a</sub> ratio was found to increase with  
334 N supply (Fig. 2d, Table 1). Therefore, the variations in c<sub>i</sub>/c<sub>a</sub> ratio with N addition  
335 could not account for the unchanged pattern in δ<sup>13</sup>C under N supply (Fig. 1).

336 The co-application of water and nitrogen was found to have a negative effect on A  
337 but no effect on g<sub>s</sub> (W0N0 vs. W1N1, W1N2, Fig. 2a, b). The responses of A and g<sub>s</sub> to  
338 the co-application of water and nitrogen resulted in an increase in c<sub>i</sub>/c<sub>a</sub> ratio (Fig., 2d).  
339 Since δ<sup>13</sup>C remained unchanged under the co-application of water and nitrogen (Fig.  
340 1), c<sub>i</sub>/c<sub>a</sub> ratio could not also explain the observed δ<sup>13</sup>C response to the co-application  
341 of water and nitrogen.

342 In summary, the unchanged δ<sup>13</sup>C across treatments did not related to c<sub>i</sub>/c<sub>a</sub> ratio in *H.*  
343 *ammodendron*, and we did find that there is no correlation between δ<sup>13</sup>C and the  
344 measured c<sub>i</sub>/c<sub>a</sub> ratio (Fig. 4e). Thereby, ~~Two underlying mechanisms may explain~~ the  
345 observed δ<sup>13</sup>C stability across treatments. ~~The first one is~~ may be associated with the  
346 φ value and carbonic anhydrase (CA) in *H. ammodendron*. For C<sub>4</sub> plants, the  
347 relationship between carbon isotope discrimination (Δ) and c<sub>i</sub>/c<sub>a</sub> ratio is dependent on  
348 φ values (Ellsworth and Cousins, 2016; Ellsworth et al., 2017; Farquhar, 1983; Wang  
349 et al., 2008). Some studies suggested that φ value was stable for a given species under  
350 a wide range of environmental conditions (Henderson et al., 1992; Wang et al., 2008;  
351 Cernusak et al., 2013). However, other studies had different conclusions that φ value  
352 was influenced by irradiation (Bellasio and Griffiths, 2014; Kromdijk et al., 2010;



353 Pengelly et al., 2010; Ubierna et al., 2013), temperature (von Caemmerer et al., 2014),  
354 water stress (Fravolini et al., 2002; Gong et al., 2017; Williams et al., 2001; Yang et  
355 al., 2017) and nitrogen supply (Fravolini et al., 2002; Meinzer and Zhu, 1998; Yang et  
356 al., 2017). In current study, the  $\phi$  value of *H. ammodendron* remained unchanged  
357 across six treatments (Fig. 5), and two-way ANOVA analyses suggested that water  
358 supply and N supply had no effect on  $\phi$  (Table 1). Therefore, the  $\phi$  value of *H.*  
359 *ammodendron* was insensitive to water and N addition in this study. Even if the  $\phi$   
360 value remains stable, the relationship between  $\Delta$  and  $c_i/c_a$  ratio is also associated with  
361 the magnitude of the  $\phi$  value. Cernusak et al. (2013) predicted that when  $\phi$  value is  
362 greater than 0.37, the correlation between  $\Delta$  and  $c_i/c_a$  ratio is positive; conversely,  
363 when  $\phi$  value is less than 0.37, the correlation is negative. In particular, when  $\phi$  value  
364 is equal to 0.37, no significant correlation can be found, because the coefficient ( $[b_4 +$   
365  $\phi (b - s) - a]$  in Eq. (2)) of  $c_i/c_a$  ratio equals to 0 (Cernusak et al., 2013). The  $\phi$  value  
366 ranged from 0.32 to 0.59 with a mean value of 0.45 in present study. Thus, the  
367 correlation between  $\Delta$  and  $c_i/c_a$  in *H. ammodendron* should be positive based on the  
368 prediction by Cernusak et al. (2013). It seems that  $\phi$  is not the driver of the observed  
369  $\delta^{13}\text{C}$  pattern in *H. ammodendron*. However, there were some uncertainties in the  
370 calculation of  $\phi$  value based on the measured  $\delta^{13}\text{C}$  and  $c_i/c_a$ . The measured  $\delta^{13}\text{C}$   
371 represents the fixed carbon isotope composition throughout the assimilation branch  
372 formation period, which usually spans at least several weeks. And the measured  $c_i/c_a$   
373 is a instant indicator. Therefore, the  $\phi$  value of *H. ammodendron* may be close to 0.37,  
374 which led to the observed insensitive response of  $\delta^{13}\text{C}$  to water and N

375 ~~addition. However,  $\delta^{13}\text{C}$  was found to have no correlation with the measured  $e_i/e_a$  ratio~~  
376 ~~(Fig. 4e), suggesting that the  $\phi$  value of *H. ammodendron* could be close to 0.37. The~~  
377 ~~reason resulting in the inconsistency between our calculated  $\phi$  value and the  $\phi$  value~~  
378 ~~based on the prediction by Cernusak et al. (2013) is that we took the atmospheric  $\delta^{13}\text{C}$~~   
379 ~~data at Donglingshan, Beijing as  $\delta^{13}\text{C}_{\text{air}}$  to calculate  $\phi$  value. Since atmospheric  $\delta^{13}\text{C}$~~   
380 ~~is characterized by geography, the calculation might overestimate the  $\phi$  value.~~  
381 ~~Therefore, considering that no correlation was found between with  $\delta^{13}\text{C}$  and  $e_i/e_a$  ratio,~~  
382 ~~we hypothesize that the  $\phi$  value of *H. ammodendron* could be close to 0.37, which~~  
383 ~~led to the observed insensitive response of  $\delta^{13}\text{C}$  to water and N addition.~~

384 ~~The second mechanism is associated with carbonic anhydrase (CA) in  $\text{C}_4$  plants.~~  
385 ~~The enzymatic activity of CA may be another mechanism behind the unchanged  $\delta^{13}\text{C}$~~   
386 ~~across treatments.~~ Cousins et al. (2006) suggested that enzymatic activity of CA  
387 affects carbon isotope discrimination in most  $\text{C}_4$  plants because CA can result in the  
388 changing of parameter  $b_4$  (see Eq. (2)). But in traditional view, the parameter  $b_4$  was a  
389 constant. However, it is only true when the ratio of PEP carboxylation rate to the  $\text{CO}_2$   
390 hydration rate ( $V_p/V_h$ ) is equal to zero, which is caused by a high CA activity. If  
391  $V_p/V_h$  is not zero,  $b_4$  will change and be controlled by  $V_p/V_h$  (Cousins et al., 2006).  
392 Previous studies reported that CA activity is low in most  $\text{C}_4$  plants (Cousins et al.,  
393 2006; Gillon and Yakir, 2000, 2001; Hatch and Burnell, 1990). Thus, CA activity in *H.*  
394 *ammodendron* might also be low, leading to the change in  $b_4$  with  $V_p/V_h$ , and thus  
395  $\delta^{13}\text{C}$ . Cousins et al. (2006) added  $V_p/V_h$  into the discrimination pattern of  $\text{C}_4$  plants  
396 and predicted that at a given  $\phi$  value, when the  $V_p/V_h$  is 0 or 1, the correlation

397 between  $\Delta$  and  $c_i/c_a$  ratio is negative or positive, respectively. Since CA activity is low  
398 in most  $C_4$  plants, and the  $V_p/V_h$  always ranges from 0 to 1, we speculate that no  
399 correlation between  $\Delta$  and  $c_i/c_a$  ratio may also occur when the  $V_p/V_h$  is a certain value  
400 between 0 and 1. Thus, the uncorrelated pattern between  $\Delta$  and  $c_i/c_a$  ratio in *H.*  
401 *ammodendron* might be related to this specific  $V_p/V_h$  value due to low CA activity.

402 In addition, the unchanged  $\delta^{13}C$  across treatments may also be controlled by the  
403 water sources of *H. ammodendron*. Previous study has found that the root of *H.*  
404 *ammodendron* can be inserted into the soil layer deeper than 3 m (Sheng et al., 2004),  
405 which made it easy to uptake groundwater. Therefore, *H. ammodendron* may be less  
406 sensitive to water addition. However, a study conducted in the same region has found  
407 that the shallow soil water (0-40 cm) and groundwater are two important water  
408 sources for *H. ammodendron* (Dai et al., 2014), and another study has reported that  
409 water addition resulted in an increase of soil water contents in shallow soil layer (Cui,  
410 2018). Moreover, gas exchange changed across treatments in the present study (Fig.  
411 2). Thus, the utilization of groundwater by *H. ammodendron* may be one of the  
412 reasons why its  $\delta^{13}C$  is not sensitive to water and N addition, but it should not be the  
413 main reason.

414 Whether foliar  $\delta^{13}C$  of  $C_4$  plants can indicate their WUE is still controversial.  
415 Henderson et al. (1992) found that  $\delta^{13}C$  of 10  $C_4$  species has negative correlation with  
416 their WUE, ~~which~~ Although this result was just opposite to a positive relationship  
417 between  $\delta^{13}C$  and WUE for  $C_3$  plants, it is a proof that  $\delta^{13}C$  of  $C_4$  plants can indicate  
418 their WUE. In the work of Henderson et al. (1992), they found that~~The underlying~~

419 ~~mechanism of the negative correlation between  $\delta^{13}\text{C}$  and WUE is that~~ the  $\phi$  values in  
420 10  $\text{C}_4$  species was ~~observed to remain~~ around 0.21 over a range of irradiance and leaf  
421 temperature. According to the suggestion by Cernusak et al. (2013) that  $\Delta$  is  
422 negatively related to  $c_i/c_a$  ratio when  $\phi$  value is less than 0.37, thus, the  $\delta^{13}\text{C}$  of 10  $\text{C}_4$   
423 species has a positive correlation with  $c_i/c_a$  ratio. In general, under fixed ambient  $\text{CO}_2$   
424 concentration, WUE is always negatively correlated with  $c_i/c_a$  ratio (see Eq. (3) and  
425 Eq. (5)). ~~As a result, This is why~~ a negative relationship between  $\delta^{13}\text{C}$  and WUE was  
426 observed for the 10  $\text{C}_4$  species. ~~The present study showed that However, ins-WUE~~  
427 ~~and int-WUE both had no correlation with  $\delta^{13}\text{C}$  in *H. ammodendron* (Fig. 4a, 4c),~~  
428 ~~which was different from the results published by Henderson et al. (1992). In general,~~  
429  ~~$c_i/c_a$  ratio is the link between WUE and  $\delta^{13}\text{C}$ . As mentioned above, if the  $\phi$  value~~  
430 ~~equals to 0.37 and/or the activity of CA is very low,  $\delta^{13}\text{C}$  would not correlate to  $c_i/c_a$~~   
431 ~~ratio, and thus leads to the uncorrelation between  $\delta^{13}\text{C}$  and WUE. In addition, the~~  
432 ~~different time scales of  $\delta^{13}\text{C}$ , ins-WUE and int-WUE may also result in this~~  
433 ~~uncorrelation. As mentioned above, the measured  $\delta^{13}\text{C}$  represents the long-term fixed~~  
434 ~~carbon isotope composition (at least several weeks). And the value of ins-WUE and~~  
435 ~~int-WUE were calculated from the gas exchange of a short-term measurement, which~~  
436 ~~lasted only a few minutes. Therefore, this difference may also drive the uncorrelation~~  
437 ~~between  $\delta^{13}\text{C}$  and WUE. In other word, there should be a correlation between WUE~~  
438 ~~and  $\delta^{13}\text{C}$  in *H. ammodendron*, and the obtained results was only caused by the~~  
439 ~~uncertainty of the experiment. However, our study shows that~~  $\delta^{13}\text{C}$  remained stable  
440 under water and nitrogen addition (Fig. 1, Table 1), while the measured ins-WUE and

441 int-WUE was higher in the control treatment (W0N0) than other treatments (Fig. 3),  
442 suggesting and water and N supply had a significant effect on WUE (Table 1).

443 ~~Furthermore, ins-WUE and int-WUE both had no correlation with  $\delta^{13}\text{C}$  (Fig. 4a, 4e).~~  
444 ~~Thus, As a result, although there are some uncertainties in the relationship between~~  
445  ~~$\delta^{13}\text{C}$  and WUE, our results indirectly confirmed that  $\delta^{13}\text{C}$  of *H. ammodendron* could~~  
446 ~~not indicate its WUE. The probable cause of no correlation between WUE and  $\delta^{13}\text{C}$  is~~  
447 ~~that no correlation has been found between  $\delta^{13}\text{C}$  and the measured  $c_i/c_a$  ratio (Fig. 4e),~~  
448 ~~because  $c_i/c_a$  ratio is the link between WUE and  $\delta^{13}\text{C}$ .~~

449 The present study has found that  $\delta^{13}\text{C}$  of *H. ammodendron* could not be used as a  
450 indicator of its WUE. Although this conclusion cannot be analogous to all  $\text{C}_4$  plants,  
451 the present study has important implications for the understanding of physiological  
452 responses of desert plants to future changes in precipitation and atmospheric N  
453 deposition. *H. ammodendron* is a dominant species in Asia desert, which has a great  
454 effect on the stabilization of sand dunes, the survival and development of understory  
455 plants and the structure and function of desert ecosystems (Sheng et al., 2005; Su et  
456 al., 2007; Cui et al., 2017). Thus, *H. ammodendron* is widely distributed in desert  
457 areas, and the prediction of its drought adaptation is crucial in desert ecosystem.

458

## 459 **5 Conclusion**

460 Global changes including precipitation and atmospheric N deposition have been  
461 proved to have an important influence on ecosystems, especially for the arid  
462 ecosystems. The present study showed that water and N addition had little effect on

463 the  $\delta^{13}\text{C}$  values and the degree of bundle-sheath leakiness ( $\phi$ ) of *H. ammodendron*, but  
464 played an important role in the change of its gas exchange and water use efficiency  
465 (WUE). In addition, different pattern of instantaneous WUE (ins-WUE), intrinsic  
466 WUE (int-WUE) and  $\delta^{13}\text{C}$  across treatment and no correlation between instantaneous  
467 WUE (ins-WUE) and  $\delta^{13}\text{C}$ , and between intrinsic WUE (int-WUE) and  $\delta^{13}\text{C}$  has been  
468 found in this study, suggesting that  $\delta^{13}\text{C}$  of *H. ammodendron* could not indicate its  
469 WUE. This result is caused by the lack of the correlation between  $\delta^{13}\text{C}$  and the ratio  
470 of intercellular to ambient  $\text{CO}_2$  concentration ( $c_i/c_a$ ), which might be associated with  
471 the degree of bundle-sheath leakiness ( $\phi$ ) or the low activity of carbonic anhydrase  
472 (CA). Thus, the current experiment implies that the availability of  $\delta^{13}\text{C}$  as the  
473 indicator of WUE could be not universal for  $\text{C}_4$  species.

474

#### 475 **Conflict of interest**

476 None declared.

477

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482

#### 483 **Authors' Contributions**

484 G Wang and J Li designed the experiment and modified the manuscripts. Z Chen

485 designed and executed the experiment and wrote the manuscripts. X Liu designed the  
486 experiment. X Cui executed the experiment. Y Han executed the experiment.

487

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496

#### 497 **Data availability**

498 The datasets analyzed in this manuscript are not publicly available. Requests to access  
499 the datasets should be directed to [gawang@cau.edu.cn](mailto:gawang@cau.edu.cn).

500

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687 Table 1 The p values of all measured and calculated indexes in plants under two-way ANOVA  
 688 analysis of water (W) and nitrogen (N) additions

|                                | W       | N         | W*N       |
|--------------------------------|---------|-----------|-----------|
| $\delta^{13}\text{C}$          | 0.678   | 0.607     | 0.563     |
| Photosynthetic rate (A)        | 0.331   | 0.008**   | 0.183     |
| Stomatal conductance ( $g_s$ ) | 0.533   | 0.871     | <0.001*** |
| Transpiration rate (E)         | 0.622   | 0.883     | <0.001*** |
| $c_i/c_a$                      | 0.004** | 0.009**   | <0.001*** |
| ins-WUE                        | 0.002** | <0.001*** | <0.001*** |
| int-WUE                        | 0.004** | 0.018*    | <0.001*** |
| $\phi$                         | 0.644   | 0.600     | 0.521     |

689 Note. \*, \*\*, \*\*\* indicates a significant influence.

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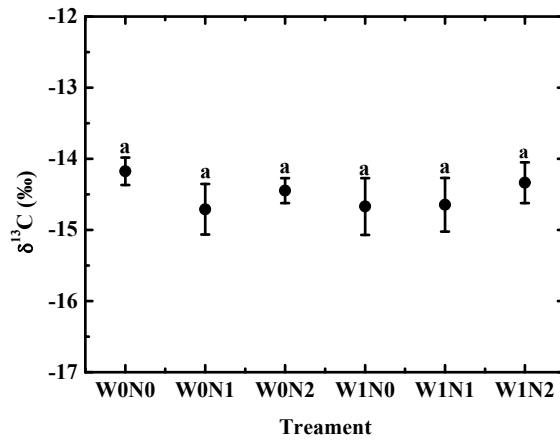
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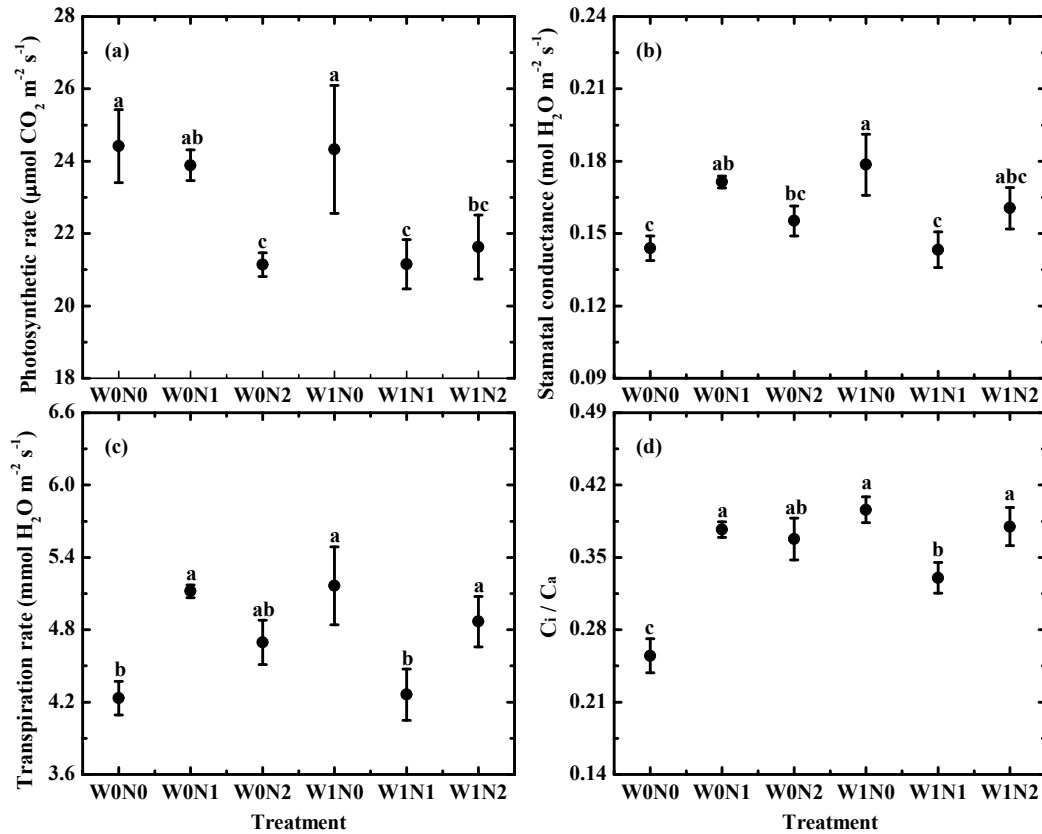
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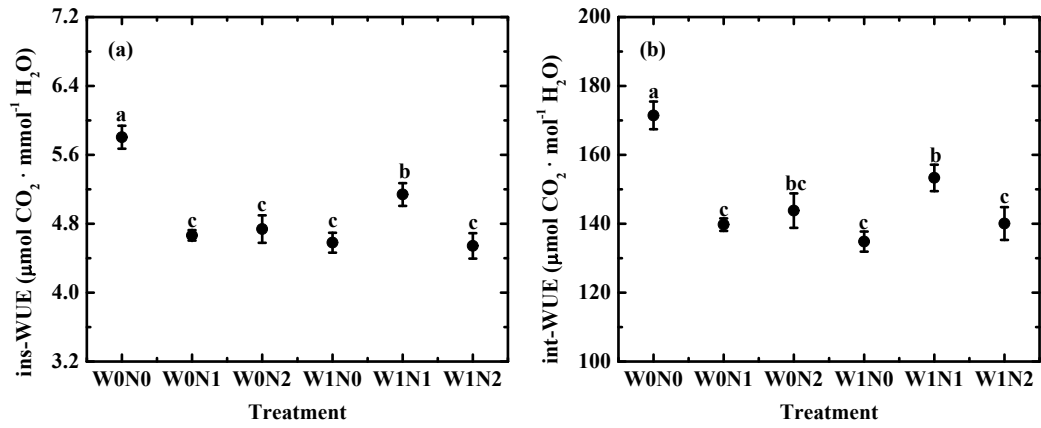
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Fig. 1 The  $\delta^{13}\text{C}$  of assimilating branches of *Haloxylon ammodendron* under water (W) and nitrogen (N) additions. The spot represents the mean value of four replicates with error bars denoting the standard error (SE).



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Fig. 2 Variations in photosynthetic rate (a), stomatal conductance (b), water use-efficiency (c) and  $c_i/c_a$  (d) across water (W) and nitrogen (N) additions. The spot represents the mean value of four replicates with error bars denoting the standard error (SE).



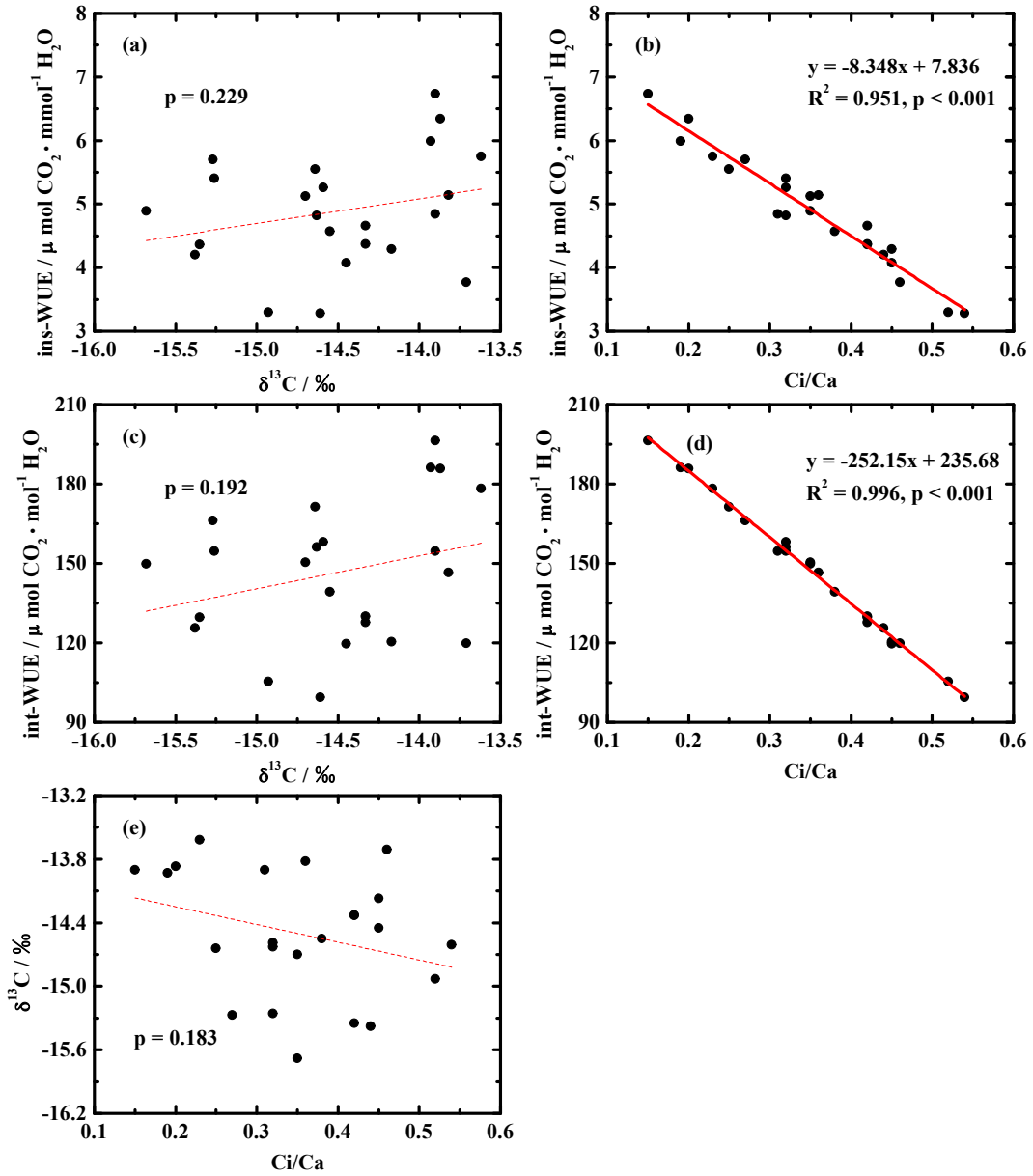
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711 Fig. 3 Variations in ins-WUE (a) and int-WUE (b) across water (W) and nitrogen (N) additions.

712 The spot represents the mean value of four replicates with error bars denoting the standard error

713 (SE).

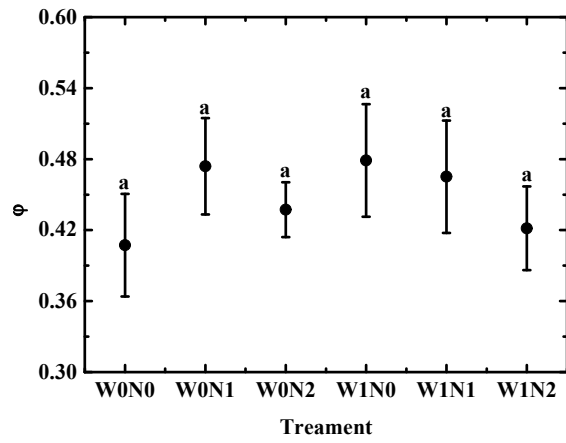
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716 Fig. 4 Correlations of ins-WUE vs.  $\delta^{13}\text{C}$  (a), ins-WUE vs.  $\text{c}_i/\text{c}_a$  (b), int-WUE vs.  $\delta^{13}\text{C}$  (c), int-WUE

717 vs.  $\text{c}_i/\text{c}_a$  (d), and  $\delta^{13}\text{C}$  vs.  $\text{c}_i/\text{c}_a$  (e) of assimilating branches of *Haloxylon ammodendron*



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Fig. 5 Variations in  $\phi$  across water (W) and nitrogen (N) additions. The spot represents the mean value of four replicates with error bars denoting the standard error (SE).

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