1	Evaluating the response of $\delta^{13}$ C in Haloxylon ammodendron, a
2	dominant C <sub>4</sub> species in Asian desert ecosystem, to water and nitrogen
3	addition as well as the availability of its $\delta^{13}C$ as the indicator of water
4	use-efficiency
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## 23 Abstract

Variations in precipitation and atmospheric N deposition affect water and N 24 25 availability in desert, and thus may have significant effects on desert ecosystems. Haloxylon ammodendron is a dominant plant in Asian desert, and addressing its 26 27 physiological acclimatization to the changes in precipitation and N deposition can provide an insight into how desert plants adapt extreme environment by physiological 28 adjustment. Carbon isotope ratio ( $\delta^{13}$ C) in plants has been suggested as a sensitive 29 long-term indicator of physiological acclimatization. Therefore, this study evaluated 30 the effect of precipitation change and increasing atmospheric N deposition on  $\delta^{13}$ C of 31 H. ammodendron. Furthermore, Haloxylon ammodendron is a C<sub>4</sub> plant, whether its 32  $\delta^{13}$ C can indicate water use-efficiency (WUE) has not been addressed. In the present 33 34 study, we designed a field experiment with a completely randomized factorial combination of N and water, and measured  $\delta^{13}$ C and gas exchange, then we calculated 35 the degree of bundle-sheath leakiness ( $\phi$ ) and WUE of the assimilating branches of H. 36 ammodendron.  $\delta^{13}$ C and  $\phi$  in *H. ammodendron* remained stable under N and water 37 supply, while N addition, water addition and their interaction affected gas exchange 38 and WUE in *H. ammodendron*. In addition,  $\delta^{13}$ C had no correlation with WUE. These 39 results are associated with the irrelevance between  $\delta^{13}C$  and the ratio of intercellular 40 to ambient  $CO_2$  concentration ( $c_i/c_a$ ), which might be caused by a special value (0.37) 41 of the degree of bundle-sheath leakiness ( $\varphi$ ) or a lower activity of carbonic anhydrase 42 (CA) of *H. ammodendron*. In conclusion,  $\delta^{13}C$  of *H. ammodendron* is unsensitive to 43 global change in precipitation and atmospheric N deposition, and cannot be used for 44

## 47 **1 Introduction**

Recently, global precipitation pattern has changed significantly (Frank et al., 2015; 48 49 Knapp et al., 2015), and atmospheric N deposition has continued to rise (Galloway et al., 2004; Liu et al., 2013; Song et al., 2017). Previous researchers have suggested that 50 arid ecosystems are most sensitive to climate change (Reynolds et al., 2007; Huang et 51 al., 2016), while global change in precipitation and atmospheric N deposition has an 52 53 important impact on water and N availability in desert (Huang et al., 2018). Thus, these changes may have significant effects on desert ecosystems. Haloxylon 54 ammodendron is a dominant species in desert regions, especially in Asia. Studying the 55 56 physiological responses of H. ammodendron to global change can provide an insight into how desert plants adapt extreme environment by physiological adjustment. 57 Carbon isotope ratio ( $\delta^{13}$ C) in plants depends on the ratio of intercellular to ambient 58  $CO_2$  concentration ( $c_i/c_a$ ), which reflects the balance between inward  $CO_2$  diffusion 59 rate, regulated by stomatal conductance  $(g_s)$ , and CO<sub>2</sub> assimilating rate (A) (Farquhar 60 and Richards, 1984), and has been suggested as a sensitive long-term indicator of 61 physiological acclimatization (Battipaglia et al., 2013; Cernusak et al., 2013; Tranan 62 and Schubertt, 2016; Wang and Feng, 2012). Therefore, investigating the variations in 63  $\delta^{13}$ C of *H. ammodendron* under water and nitrogen addition can enhance 64 understanding of physiological responses of desert plants to future changes in 65 precipitation and atmospheric N deposition. 66

67	A large quantity of works has been devoted to the relationships between C3 plant
68	$\delta^{13}$ C and water availability or precipitation (e.g., Diefendorf et al., 2010; Kohn, 2010;
69	Liu et al., 2005; Ma et al., 2012; Serret et al., 2018; Stewart et al., 1995; Wang et al.,
70	2005, 2008) and nitrogen availability (e.g. Cernusak et al., 2007; Li et al., 2016;
71	Sparks and Ehleringer, 1997; Yao et al., 2011; Zhang et al., 2015). However, a
72	relatively small amount of research has focused on the responses of $C_4$ plant $\delta^{13}C$ to
73	water availability or precipitation (Ellsworth et al., 2017; Liu et al., 2005; Rao et al.,
74	2017; Wang et al., 2006) and nitrogen availability (Ma et al., 2016; Schmidt et al.,
75	1993). For C <sub>4</sub> plants, $\delta^{13}C$ is controlled by both the c <sub>i</sub> /c <sub>a</sub> ratio and the degree of
76	bundle-sheath leakiness ( $\phi$ ), the proportion of CO <sub>2</sub> produced within bundle sheath
77	cells from C <sub>4</sub> acids that leaks back to mesophyll cells (Ellsworth and Cousins, 2016;
78	Ellsworth et al., 2017; Farquhar, 1983). Thus, the responses of C <sub>4</sub> plant $\delta^{13}$ C to water
79	and N availability are also affected by $\phi.$ Genetic factors control $\phi$ values, which
80	causes the interspecific differences in $\delta^{13}C,$ even the responses of plant $\delta^{13}C$ to water
81	and N availability (Gresset et al., 2014). On the other hand, enzymatic activity of
82	carbonic anhydrase (CA) may influence $\delta^{13}C$ in $C_4$ plants as CA activity is low
83	(Cousins et al., 2006). CA is an enzyme that catalyzes the hydration of $\mathrm{CO}_2$ in
84	mesophyll cells to form bicarbonate (HCO3-). Previous studies showed that CA
85	activity in most C <sub>4</sub> plants is usually low, just sufficient to support photosynthesis
86	(Cousins et al., 2006; Gillon and Yakir, 2000, 2001; Hatch and Burnell, 1990). H.
87	ammodendron is a typical C <sub>4</sub> plant. How its $\delta^{13}$ C responds to water and N availability
88	has never been addressed.

89	Foliar $\delta^{13}$ C in C <sub>3</sub> plants has been considered as a useful indicator of intrinsic water
90	use-efficiency (WUE) (Farquhar, 1983). However, although some studies suggested
91	that $\delta^{13}C$ of C <sub>4</sub> plants could also indicate its WUE (Henderson et al., 1992; Wang et al.,
92	2005; Cernusak et al., 2013; Ellsworth and Cousins, 2016), this statement is still
93	controversial. The relationship between $\delta^{13}C$ and WUE is based on the links between
94	$c_i/c_a$ ratio and $\delta^{13}C$ and between $c_i/c_a$ ratio and WUE (Ehleringer and Cerling, 1995).
95	For C <sub>3</sub> plants, $\delta^{13}C$ always decreases with an increase in $c_i/c_a$ ratio; but for C <sub>4</sub> plants,
96	the correlation between $\delta^{13}C$ and $c_i/c_a$ ratio depends on $\phi$ value (Cernusak et al., 2013)
97	and CA activity (Cousins et al., 2006). As mentioned above, $\boldsymbol{\phi}$ value is under genetic
98	control, and the CA activity changes across species (Cousins et al., 2006; Gillon and
99	Yakir, 2000, 2001; Hatch and Burnell, 1990), thus, the correlation between $\delta^{13}C$ and
100	$c_i/c_a$ ratio, as well as the relationship between WUE and $\delta^{13}C,$ shows interspecific
101	difference. Whether $\delta^{13}C$ of <i>H. ammodendron</i> indicates WUE has never been
102	evaluated.

In this study, we designed an experiment with multiple water and nitrogen supply in 103 the southern Gurbantunggut Desert in Xinjiang Uygur Autonomous Region, China. 104 We measured the  $\delta^{13}$ C, gas exchange and WUE of the assimilating branches of H. 105 ammodendron. We had two objectives. One objective was to evaluate the response of 106 the dominant plant of Asian desert to future changes in precipitation and atmospheric 107 N deposition by revealing the effects of water and N supply on  $\delta^{13}C$  of H. 108 ammodendron. The other was to explore the availability of  $\delta^{13}C$  as the indicator of 109 water use-efficiency in *H. ammodendron*. 110

# 112 **2 Materials and methods**

### 113 **2.1 Definitions and Basic Equations**

114 Stable carbon isotopic ratio ( $\delta^{13}$ C) of natural materials is expressed as:

115 
$$\delta_{13}C(\%_{0}) = \left[\frac{(13C/12C)_{\text{sample}}}{(13C/12C)_{\text{standard}}} - 1\right] \times 1000$$
(1)

where the standard is the carbon dioxide obtained from the Peedee belemnite (PDB)
limestone (Craig, 1957). Farquhar (1983) proposed the pattern of carbon isotopic

118 discrimination ( $\Delta$ ) in C<sub>4</sub> plant:

119 
$$\Delta = \frac{\delta_{13}C_{\text{plant}}}{1 + \delta_{13}C_{\text{plant}}/1000} \approx \delta_{13}C_{\text{air}} - \delta_{13}C_{\text{plant}} = a + [b_4 + \varphi (b - s) - a]\frac{c_i}{c_a}$$
(2)

where  $\delta^{13}C_{plant}$  and  $\delta^{13}C_{air}$  are the  $\delta^{13}C$  values of plants and  $CO_2$  in the ambient air. The 120 parameter a (= 4.4‰, Craig, 1954) is the carbon isotopic fractionation in the diffusion 121 of CO<sub>2</sub> into internal leaves; b<sub>4</sub> (= -5.9‰, O'Leary, 1984) is the combined carbon 122 isotopic fractionations occurring in the processes of gaseous CO<sub>2</sub> dissolution, 123 hydration/dehydration reactions of CO2 and HCO3<sup>-</sup> in mesophyll cells, and 124 HCO<sub>3</sub> carboxylation by PEP (phosphoenolpyruvate) carboxylase; s (= 1.8‰, O'Leary, 125 1984) is the carbon isotopic fractionation during diffusion of CO<sub>2</sub> out of the 126 bundle-sheath cells, and b (= 27‰, Farquhar and Richards, 1984) is the carbon 127 isotopic fractionation of CO<sub>2</sub> carboxylation by RuBP (ribulose-1,5-bisphosphate) 128 carboxylase. The variable  $\varphi$  is the proportion of CO<sub>2</sub> producing within bundle sheath 129 cells from C<sub>4</sub> acids that leaks back to mesophyll cells, and c<sub>i</sub>/c<sub>a</sub> is the ratio of 130 131 intercellular to ambient CO<sub>2</sub> concentration.

132 Water use-efficiency (WUE) is defined as the amount of assimilated carbon dioxide

133 by plants under the consumption of per unit water. There are two characteristics of

134 WUE, instantaneous WUE (ins-WUE) and intrinsic WUE (int-WUE), respectively.

135 ins-WUE can be calculated by:

136 ins-WUE = 
$$A/E = (c_a-c_i)/1.6v = c_a(1-c_i/c_a)/1.6v$$
 (3)

137 where A is photosynthetic rate, E is transpiration rate and v is calculated138 by:

139 
$$v = (e_i - e_a)/p$$
 (4)

where e<sub>i</sub> and e<sub>a</sub> are the water vapor pressure inside and outside the leaves, p is the
atmospheric pressure.

142 The definition of int-WUE is:

143 int-WUE = 
$$A/g_s = (c_a-c_i)/1.6 = c_a(1-c_i/c_a)/1.6$$
 (5)

144 where  $g_s$  is stomatal conductance.

#### 145 **2.2 Study site**

This experiment was conducted at the Fukang Station of Desert Ecology, Chinese 146 Academy of Sciences, on the southern edge of the Gurbantunggut Desert (44°26' N, 147 87°54' E) in northwestern China. The altitude of the study site is 436.8 m above 148 average sea level (a.s.l.). It is a typical continental arid, temperate climate, with a hot 149 150 summer and cold winter in the area. The mean annual temperature is 7.1°C and the mean annual precipitation is 215.6 mm, with a potential evaporation of about 2000 151 mm. The mean annual temperature and the annual rainfall amount in the sampling 152 year are 10.23°C and 122.7 mm (Cui, 2018). The soil type is grey desert soils 153 (Chinese classification) with aeolian sands on the surface (0-100 cm). The 154

percentages of clay (< 0.005 mm), silt (0.005-0.063 mm), fine sand (0.063-0.25 mm) 155 and medium sand (0.25-0.5 mm) range from 1.63-1.76%, 13.79-14.15%, 156 55.91-56.21% and 20.65-23.23%, respectively (Chen et al., 2007). The soil is highly 157 alkaline (pH =  $9.55 \pm 0.14$ ) with low fertility. The vegetation is dominated by 158 159 Haloxylon ammodendron and Haloxylon persicum with about 30% coverage. Herbs include ephemerals, annuals and small perennials, with a cover of ca. 40% (Fan et al., 160 2013). Although the coverage of the two Haloxylon species is a little lower than that 161 of herbs, the biomass of the former is much larger than that of the latter, because 162 163 Haloxylon plants are shrubs with an average height of 1.5 m whereas the latter are very low herbaceous plants. Biological soil crusts are distributed widely on the soil 164 between the herbs and *Haloxylon*, with almost 40% coverage (Zhang et al., 2007). 165

#### 166 **2.3 Experimental design**

A field experiment with a completely randomized factorial combination of water and 167 nitrogen has been conducted from 2014 to 2017. We designed two water addition 168 levels (0, 60mm · yr<sup>-1</sup>; W0, W1), since precipitation is predicted to increase by 30% in 169 northern China in the next 30 years (Liu et al., 2010), and three levels of N addition (0, 170 30, 60 kg N·ha<sup>-1</sup>·yr<sup>-1</sup>; N0, N1 and N2), because N deposition has reached 35.4 kg 171 N·ha<sup>-1</sup>·yr<sup>-1</sup> in the nearby city, Urumqi (Cui et al., 2017) and will double by 2050 172 relative to the early 1990s (Galloway et al., 2008). Therefore, there were six 173 treatments (W0N0, W0N1, W0N2, W1N0, W1N1, W1N2) in this experiment. Four 174 replicates of each treatment were set, making a total of 24 plots with a size of 10 m 175  $\times 10$  m. A small sub-plot with a size of 1.5 m $\times 1.5$  m was set in each plot. A 176

177 well-grown *H. ammodendron* was enclosed in the center of the sub-plot. The average 178 height and coverage of an individual *H. ammodendron* were 1.5 m and 1.9 m<sup>2</sup>, 179 respectively, and did not vary significantly across the plots. To simulate natural water 180 and N inputs, the treatments were applied in equal amounts, twelve times, once a 181 week in April, July and September, as 5 mm·m<sup>-2</sup> of water and 2.5 or 5 kg N·ha<sup>-1</sup> each 182 week (Cui et al., 2017). Usually, water addition was with a sprinkler kettle, irrigating 183 over the canopy of *H. ammodendron*.

## 184 **2.4 Measurements of gas exchange traits and WUE**

185 The leaves of *H. ammodendron* have been completely degraded due to the extreme drought, and the assimilation branches perform the same functions as the leaves. Thus, 186 we conducted gas exchange measurements on the assimilation branches of the H. 187 188 ammodendron grown in the sub-plots by LI-6400 portable photosynthesis system on 27-29, June 2016. The ins-WUE and the int-WUE were calculated based on these 189 measured gas exchange traits by the Eq. (3), and int-WUE by Eq. (5). At each plot, 190 the top assimilating branches of a mature individual was selected randomly for the 191 measurement. About 5s was needed for stability after the assimilating branches was 192 inserted in the cuvette and then the assimilating branches were measured. We 193 repeated 10 times on the same assimilating branches for each measurement. We 194 measured gas exchange with a standard 450 mmol·mol<sup>-1</sup> CO<sub>2</sub> concentration at a flow 195 rate of 500 mmol·s<sup>-1</sup> above saturation in photo flux density of 1600 mmol·m<sup>-2</sup>·s<sup>-1</sup>. The 196 temperature of the measuring assimilating branches varied from 29.5 °C to 30.5 °C 197 during the entire period of gas exchange measurements. 198

#### 199 **2.5 Samples collection**

Considering that there is a considerable difference in  $\delta^{13}$ C between buds, young and 200 201 matured leaves, so we collected the mature assimilating branches of H. ammodendron for the  $\delta^{13}$ C measurements. All *H. ammodendron* individuals grown in plots (10 m × 202 203 10 m) were sampled. Eight pieces of the mature assimilating branches were collected from each individual, two pieces of assimilating branches were collected at each of 204 the four cardinal directions from the positions of full irradiance. All assimilating 205 branches from the same plot were combined into one sample. All plant samples were 206 207 air-dried in the field and then in the laboratory. Then the samples were ground into a fine powder using a steel ball mixer mill MM200 (Retsch GmbH, Haan, Germany) for 208 the measurements of  $\delta^{13}$ C, N contents and chlorophyll contents. 209

## 210 **2.6 Measurements of plant \delta^{13}C, plant N and chlorophyll contents**

The  $\delta^{13}C$  and N measurements were performed on a Delta<sup>Plus</sup> XP mass spectrometer 211 (Thermo Scientific, Bremen, Germany) coupled with an automated elemental 212 analyzer (Flash EA1112, CE Instruments, Wigan, UK) in a continuous flow mode, at 213 the Stable Isotope Laboratory of the College of Resources and Environmental 214 Sciences, China Agricultural University. The carbon isotopic ratios were reported in 215 the delta notation relative to the V-PDB standard. For this measurement, we obtained 216 standard deviations low than 0.15% for  $\delta^{13}$ C among replicate measurements of the 217 same sample. And standard deviations for the N measurements were 0.1%. 218

The chlorophyll contents of all samples were also determined. The samples were first extracted by 95% ethyl alcohol (0.5 g sample to 25 mL ethyl alcohol), and then the absorbancy was measured under the wave length of 665 and 649 mm by the spectrophotometer. The content of chlorophyll a, b was calculated by the follow equations:

224 Chlorophyll a 
$$(mg/L) = 13.95 \times OD665 - 6.88 \times OD649$$
 (6)

225 Chlorophyll b (mg/L) = 
$$24.96 \times OD649 - 7.32 \times OD665$$
 (7)

where OD665 and OD649 are the absorbancy under the wave length of 665 and 649mm, respectively.

## 228 **2.6 Calculation of the degree of bundle-sheath leakiness**

The degree of bundle-sheath leakiness (φ) was calculated by the transformation of Eq.
(2):

231 
$$\varphi = \left(\frac{(\delta^{13}C_{air} - \delta^{13}C_{plant})/(1 + \delta_{13}C_{plant}/1000) - a}{c_i/c_a} + a - b_4\right) / (b - s)$$
(8)

In this equation, parameters a, b<sub>4</sub>, b and s are constant, while  $\delta^{13}C_{plant}$  and  $c_i/c_a$  are 232 the measured values of our samples. We did not measure the  $\delta^{13}C_{air}$  at our study site, 233 so we had to use an approximation of the  $\delta^{13}C_{air}$  to do this  $\phi$  calculation. The 234 approximated value we used is -9.77‰, which has been measured at Donglingshan 235 Mountain, Beijing, north China in September 2019. We believe that the two sites 236 should have similar  $\delta^{13}C_{air}$  because the two sites are located in countryside with less 237 human activities and have a similar distance from the nearest city. The straight line 238 distances between Donglingshan Mountain and the city center of Beijing, and 239 between our study site and Urumqi city are about 90 km. 240

#### 241 **2.7 Statistical analysis**

242 Statistical analyses were conducted using SPSS software (SPSS for Windows, Version

243	20.0, Chicago, IL, United States). One-way analysis of variance (ANOVA) and
244	two-way analysis of variance (ANOVA) were used to compare the difference of $\delta^{13}C$
245	and other physiological traits between each treatment. Pearson analysis was used to
246	determine the correlation among $\delta^{13}$ C, WUE and $c_i/c_a$ in <i>H. ammodendron</i> .
247	
248	3 Results
249	3.1 Plant $\delta^{13}$ C under water and nitrogen addition
250	The $\delta^{13}C$ of the assimilating branches of <i>H. ammodendron</i> in the six treatments
251	W0N0, W0N1, W0N2, W1N0, W1N1, W1N2 was -14.18 $\pm$ 0.19 ‰, -14.71 $\pm$ 0.35 ‰,
252	$-14.45 \pm 0.18$ ‰, $-14.67 \pm 0.40$ ‰, $-14.65 \pm 0.38$ ‰, $-14.34 \pm 0.29$ ‰, respectively.
253	One-way ANOVA analyses showed no significant variation in $\delta^{13}C$ across treatments
254	(p = 0.788, Fig. 1). Two-way ANOVA analyses suggested that $\delta^{13}C$ was not affected
255	by water addition (p = 0.678), N addition (p = 0.607) and their interaction (p = $0.563$ ,
256	Table 1).
257	Fig.1
258	Table 1
259	3.2 Gas exchange and WUE under water and nitrogen addition
260	Photosynthetic rate (A), stomatal conductance ( $g_s$ ), transpiration rate (E) and $c_i/c_a$
261	ranged from 12.11 $\mu mol~CO_2~m^{-2}~s^{-1}$ to 39.35 $\mu mol~CO_2~m^{-2}~s^{-1},$ from 0.09 mol $H_2O$
262	$m^{\text{-}2}\ s^{\text{-}1}$ to 0.31 mol H2O $m^{\text{-}2}\ s^{\text{-}1},$ from 2.87 mmol H2O $m^{\text{-}2}\ s^{\text{-}1}$ to 8.49 mmol H2O $m^{\text{-}2}\ s^{\text{-}1}$
263	and 0.11 to 0.57, respectively. One-way ANOVA analyses showed significant changes

264 in leaf gas exchange across the six treatments (p = 0.012 for A, p = 0.006 for  $g_s$ , p =

265	0.002 for E and $c_i/c_a$ , Fig. 2). Two-way ANOVA analyses suggested that water
266	addition had exerted effect on $c_i/c_a$ (p = 0.004), that N additions influenced A (p =
267	0.008) and $c_i/c_a$ (p = 0.009), and that the interaction between water and N supply
268	played a role in $g_s$ (p < 0.001), E (p < 0.001) and $c_i/c_a$ (p < 0.001, Table 1).
269	Fig. 2
270	Instantaneous WUE (ins-WUE) and intrinsic WUE (int-WUE) ranged from 3.09
271	$\mu mol~CO_2$ / mmol $H_2O$ to 8.49 $\mu mol~CO_2$ / mmol $H_2O$ and from 93.64 $\mu mol~CO_2$ / mol
272	$\rm H_2O$ to 208.47 $\mu mol~CO_2$ / mmol $\rm H_2O,$ respectively. One-way ANOVA analyses
273	showed significant changes in these two indexes (both $p < 0.001$ , Fig. 3). Two-way
274	ANOVA analyses suggested that water addition, N addition and their interaction all
275	have significant effect on these two indexes (all $p < 0.05$ , Table 1).
276	Fig. 3
277	3.3 Correlations among $\delta^{13}$ C, WUE and $c_i/c_a$ ratio
278	In order to test whether $\delta^{13}C$ in <i>H. ammodendron</i> can indicate WUE, the relationships
279	among $\delta^{13}C,$ ins-WUE, int-WUE and $c_i/c_a$ ratio were revealed in this study. Our
280	results showed no correlation between $\delta^{13}C$ and ins-WUE (p = 0.229, Fig. 4a),
281	between $\delta^{13}C$ and int-WUE (p = 0.229, Fig. 4c), and between $\delta^{13}C$ and $c_i/c_a$ ratio (p =
282	0.183, Fig. 4e). However, there was a negative correlation between ins-WUE and $c_i/c_a$
283	ratio (p < 0.001, Fig. 4b), and between int-WUE and $c_i/c_a$ ratio (p < 0.001, Fig. 4d).
• • •	
284	Fig. 4

# 286 The calculated $\varphi$ ranged from 0.32 to 0.59 with a mean value of 0.45. One-way

ANOVA analyses showed no significant variation in  $\varphi$  across treatments (p = 0.768, Fig. 5). Two-way ANOVA analyses suggested that  $\delta^{13}$ C was not affected by water addition (p = 0.644), N addition (p = 0.600) and their interaction (p = 0.521, Table 1). Fig. 5

291

## 292 **4 Discussion**

The  $\delta^{13}$ C of the assimilating branches in *H. ammodendron* did not change across treatments (Fig. 1, Table 1), suggesting that neither water addition nor nitrogen addition influenced the  $\delta^{13}$ C of *H. ammodendron*. Previous studies also reported no significant relationship between  $\delta^{13}$ C of C<sub>4</sub> plant and water availability (Swap et al., 2004; Wang et al., 2008), and between  $\delta^{13}$ C of C<sub>4</sub> plant and nitrogen availability (Yao et al., 2011, Yang et al., 2017).

In general, the effects of water availability and nitrogen availability on  $\delta^{13}$ C are 299 dependent on  $c_i/c_a$  ratio, which reflects the balance between stomatal conductance  $(g_s)$ 300 301 and photosynthetic rate (A) (Farquhar and Richards, 1984). With more water availability under water addition, plants tend to open stomata to absorb more CO<sub>2</sub>, 302 leading to an increase in gs. Two-way ANOVA analyses suggested that water addition 303 had no effect on both A and  $g_s$  (Table 1). However, One-way ANOVA analyses 304 showed that g<sub>s</sub> was higher in W1N0 than that in W0N0 (Fig. 2b). Thus, at least water 305 addition had a positive effect on g<sub>s</sub> under ambient N condition. Increasing g<sub>s</sub> under 306 water supply will lead to the rise of intercellular CO2 because of the decrease of 307 diffusional resistance to  $CO_2$ . As the results,  $c_i/c_a$  ratio was observed to increase with 308

increasing moisture (Fig. 2d, Table 1). However,  $\delta^{13}$ C remained stable under water addition (Fig. 1, Table 1). Thus,  $c_i/c_a$  ratio could not explain the observed response of  $\delta^{13}$ C to water supply.

For most plants in natural ecosystems, nitrogen is the key factor limiting plant 312 313 growth (Hall et al., 2011). Thus, nitrogen addition usually causes plants to absorb more N. However, extreme drought could prevent plants from absorbing N even 314 under high N supply. In the present experiment, N supply was found to have an effect 315 on N contents in H. ammodendron. Relative to the control treatment (W0N0), N 316 317 contents increased with N supply under low N addition, but kept unchanged under high addition (Table S1, S2). Nitrogen is the main constituent of Rubisco (ribulose -318 1,5 - bisphosphate carboxylase oxygenase) and chlorophyll in plants. Thus, 319 320 chlorophyll a was found to have the similar pattern as N contents under water and N supply. Chlorophyll a was higher in W0N1 than W0N0, and there was no difference in 321 chlorophyll a between W0N0 and W0N2 (Table S1). Increasing chlorophyll contents 322 323 in W0N1 should lead to the increase in photosynthetic rate (A). However, different from our prediction, one-way ANOVA analyses suggested that A in W0N1 did not 324 differ from that in W0N0, and that A in W0N2 is lower than that in W0N0 (Fig., 2a). 325 Two-way ANOVA analyses showed that N addition had an influence on A (Table 1). 326 Both the analyses suggested that N supply played a negative role in A, and thus the 327 consumption of intercellular CO<sub>2</sub>. Consequently, c<sub>i</sub>/c<sub>a</sub> ratio was found to increase with 328 N supply (Fig. 2d, Table 1). Therefore, the variations in c<sub>i</sub>/c<sub>a</sub> ratio with N addition 329 could not account for the unchanged pattern in  $\delta^{13}$ C under N supply (Fig. 1). 330

The co-application of water and nitrogen was found to have a negative effect on A but no effect on  $g_s$  (W0N0 vs. W1N1, W1N2, Fig. 2a, b). The responses of A and  $g_s$  to the co-application of water and nitrogen resulted in an increase in  $c_i/c_a$  ratio (Fig., 2d). Since  $\delta^{13}$ C remained unchanged under the co-application of water and nitrogen (Fig. 1),  $c_i/c_a$  ratio could not also explain the observed  $\delta^{13}$ C response to the co-application of water and nitrogen.

In summary, the unchanged  $\delta^{13}$ C across treatments did not related to  $c_i/c_a$  ratio in H. 337 ammodendron, and we did find that there is no correlation between  $\delta^{13}C$  and the 338 339 measured  $c_i/c_a$  ratio (Fig. 4e). Thereby, the observed  $\delta^{13}C$  stability across treatments may be associated with the  $\varphi$  value and carbonic anhydrase (CA) in *H. ammodendron*. 340 For C<sub>4</sub> plants, the relationship between carbon isotope discrimination ( $\Delta$ ) and c<sub>i</sub>/c<sub>a</sub> 341 342 ratio is dependent on  $\varphi$  values (Ellsworth and Cousins, 2016; Ellsworth et al., 2017; Farquhar, 1983; Wang et al., 2008). Some studies suggested that  $\varphi$  value was stable 343 for a given species under a wide range of environmental conditions (Henderson et al., 344 345 1992; Wang et al., 2008; Cernusak et al., 2013). However, other studies had different conclusions that  $\varphi$  value was influenced by irradiation (Bellasio and Griffiths, 2014; 346 Kromdijk et al., 2010; Pengelly et al., 2010; Ubierna et al., 2013), temperature (von 347 Caemmerer et al., 2014), water stress (Fravolini et al., 2002; Gong et al., 2017; 348 Williams et al., 2001; Yang et al., 2017) and nitrogen supply (Fravolini et al., 2002; 349 Meinzer and Zhu, 1998; Yang et al., 2017). In current study, the  $\varphi$  value of H. 350 ammodendron remained unchanged across six treatments (Fig. 5), and two-way 351 ANOVA analyses suggested that water supply and N supply had no effect on  $\varphi$  (Table 352

1). Therefore, the  $\varphi$  value of *H. ammodendron* was insensitive to water and N addition 353 in this study. Even if the  $\varphi$  value remains stable, the relationship between  $\Delta$  and  $c_i/c_a$ 354 355 ratio is also associated with the magnitude of the  $\varphi$  value. Cernusak et al. (2013) predicted that when  $\varphi$  value is greater than 0.37, the correlation between  $\Delta$  and  $c_i/c_a$ 356 ratio is positive; conversely, when  $\varphi$  value is less than 0.37, the correlation is negative. 357 In particular, when  $\varphi$  value is equal to 0.37, no significant correlation can be found, 358 because the coefficient ([ $b_4 + \phi$  (b - s) - a] in Eq. (2)) of  $c_i/c_a$  ratio equals to 0 359 (Cernusak et al., 2013). The  $\varphi$  value ranged from 0.32 to 0.59 with a mean value of 360 361 0.45 in present study. Thus, the correlation between  $\Delta$  and  $c_i/c_a$  in *H. ammodendron* should be positive based on the prediction by Cernusak et al. (2013). It seems that  $\varphi$  is 362 not the driver of the observed  $\delta^{13}$ C pattern in *H. ammodendron*. However, there were 363 364 some uncertainties in the calculation of  $\varphi$  value based on the measured  $\delta^{13}C$  and  $c_i/c_a$ . The measured  $\delta^{13}$ C represents the fixed carbon isotope composition throughout the 365 assimilation branch formation period, which usually spans at least several weeks. And 366 the measured  $c_i/c_a$  is a instant indicator. Therefore, the  $\varphi$  value of *H. ammodendron* 367 may be close to 0.37, which leaded to the observed insensitive response of  $\delta^{13}$ C to 368 water and N addition. 369

The enzymatic activity of CA may be another mechanism behind the unchanged  $\delta^{13}$ C across treatments. Cousins et al. (2006) suggested that enzymatic activity of CA affects carbon isotope discrimination in most C<sub>4</sub> plants because CA can result in the changing of parameter b<sub>4</sub> (see Eq. (2)). But in traditional view, the parameter b<sub>4</sub> was a constant. However, it is only true when the ratio of PEP carboxylation rate to the CO<sub>2</sub>

hydration rate  $(V_p/V_h)$  is equal to zero, which is caused by a high CA activity. If 375  $V_p/V_h$  is not zero, b<sub>4</sub> will change and be controlled by  $V_p/V_h$  (Cousins et al., 2006). 376 Previous studies reported that CA activity is low in most C<sub>4</sub> plants (Cousins et al., 377 2006; Gillon and Yakir, 2000, 2001; Hatch and Burnell, 1990). Thus, CA activity in H. 378 ammodendron might also be low, leading to the change in  $b_4$  with  $V_p/V_h$ , and thus 379  $\delta^{13}$ C. Cousins et al. (2006) added V<sub>p</sub>/V<sub>h</sub> into the discrimination pattern of C<sub>4</sub> plants 380 and predicted that at a given  $\varphi$  value, when the V<sub>p</sub>/V<sub>h</sub> is 0 or 1, the correlation 381 between  $\Delta$  and  $c_i/c_a$  ratio is negative or positive, respectively. Since CA activity is low 382 383 in most C<sub>4</sub> plants, and the V<sub>p</sub>/V<sub>h</sub> always ranges from 0 to 1, we speculate that no correlation between  $\Delta$  and  $c_i/c_a$  ratio may also occur when the  $V_p/V_h$  is a certain value 384 between 0 and 1. Thus, the uncorrelated pattern between  $\Delta$  and  $c_i/c_a$  ratio in H. 385 386 ammodendron might be related to this specific V<sub>p</sub>/V<sub>h</sub> value due to low CA activity. In addition, the unchanged  $\delta^{13}C$  across treatments may also be controlled by the 387 water sources of H. ammodendron. Previous study has found that the root of H. 388 389 *ammodendron* can be inserted into the soil layer deeper than 3 m (Sheng et al., 2004), 390 which made it easy to uptake groundwater. Therefore, H. ammodendron may be less sensitive to water addition. However, a study conducted in the same region has found 391 that the shallow soil water (0-40 cm) and groundwater are two important water 392 sources for H. ammodendron (Dai et al., 2014), and another study has reported that 393 water addition resulted in an increase of soil water contents in shallow soil layer (Cui, 394 395 2018). Moreover, gas exchange changed across treatments in the present study (Fig. 2). Thus, the utilization of groundwater by H. ammodendron may be one of the 396

397 reasons why its  $\delta^{13}$ C is not sensitive to water and N addition, but it should not be the 398 main reason.

Whether foliar  $\delta^{13}C$  of C<sub>4</sub> plants can indicate their WUE is still controversial. 399 Henderson et al. (1992) found that  $\delta^{13}$ C of 10 C<sub>4</sub> species has negative correlation with 400 401 their WUE. Although this result was just opposite to a positive relationship between  $\delta^{13}$ C and WUE for C<sub>3</sub> plants, it is a proof that  $\delta^{13}$ C of C<sub>4</sub> plants can indicate their 402 WUE. In the work of Henderson et al. (1992), they found that the  $\varphi$  values in 10 C<sub>4</sub> 403 species was around 0.21 over a range of irradiance and leaf temperature. According to 404 405 the suggestion by Cernusak et al. (2013) that  $\Delta$  is negatively related to  $c_i/c_a$  ratio when  $\varphi$  value is less than 0.37, thus, the  $\delta^{13}$ C of 10 C<sub>4</sub> species has a positive correlation with 406  $c_i/c_a$  ratio. In general, under fixed ambient CO<sub>2</sub> concentration, WUE is always 407 408 negatively correlated with  $c_i/c_a$  ratio (see Eq. (3) and Eq. (5)). This is why a negative relationship between  $\delta^{13}$ C and WUE was observed for the 10 C<sub>4</sub> species. The present 409 study showed that ins-WUE and int-WUE both had no correlation with  $\delta^{13}$ C in H. 410 ammodendron (Fig. 4a, 4c), which was different from the results published by 411 Henderson et al. (1992). In general,  $c_i/c_a$  ratio is the link between WUE and  $\delta^{13}C$ . As 412 mentioned above, if the  $\varphi$  value equals to 0.37 and/or the activity of CA is very low, 413  $\delta^{13}$ C would not correlate to  $c_i/c_a$  ratio, and thus leads to the uncorrelation between 414  $\delta^{13}$ C and WUE. In addition, the different time scales of  $\delta^{13}$ C, ins-WUE and int-WUE 415 may also result in this uncorrelation. As mentioned above, the measured  $\delta^{13}C$ 416 represents the long-term fixed carbon isotope composition (at least several weeks). 417 And the value of ins-WUE and int-WUE were calculated from the gas exchange of a 418

short-term measurement, which lasted only a few minutes. Therefore, this difference 419 may also drive the uncorrelation between  $\delta^{13}$ C and WUE. In other word, there should 420 be a correlation between WUE and  $\delta^{13}$ C in *H. ammodendron*, and the obtained results 421 was only caused by the uncertainty of the experiment. However,  $\delta^{13}$ C remained stable 422 under water and nitrogen addition (Fig. 1, Table 1), while the measured ins-WUE and 423 int-WUE was higher in the control treatment (W0N0) than other treatments (Fig. 3), 424 suggesting and water and N supply had a significant effect on WUE (Table 1). As a 425 result, although there are some uncertainties in the relationship between  $\delta^{13}C$  and 426 WUE, our results indirectly confirmed that  $\delta^{13}C$  of *H. ammodendron* could not 427 indicate its WUE. 428

The present study has found that  $\delta^{13}C$  of *H. ammodendron* could not be used as a 429 430 indicator of its WUE. Although this conclusion cannot be analogous to all C<sub>4</sub> plants, the present study has important implications for the understanding of physiological 431 responses of desert plants to future changes in precipitation and atmospheric N 432 433 deposition. H. ammodendron is a dominant species in Asia desert, which has a great effect on the stabilization of sand dunes, the survival and development of understory 434 plants and the structure and function of desert ecosystems (Sheng et al., 2005; Su et 435 al., 2007; Cui et al., 2017). Thus, H. ammodendron is widely distributed in desert 436 areas, and the prediction of its drought adaptation is crucial in desert ecosystem. 437

438

# 439 **5** Conclusion

440 Global changes including precipitation and atmospheric N deposition have been

441	proved to have an important influence on ecosystems, especially for the arid
442	ecosystems. The present study showed that water and N addition had little effect on
443	the $\delta^{13}$ C values and the degree of bundle-sheath leakiness ( $\phi$ ) of <i>H. ammodendron</i> , but
444	played an important role in the change of its gas exchange and water use efficiency
445	(WUE). In addition, different pattern of instantaneous WUE (ins-WUE), intrinsic
446	WUE (int-WUE) and $\delta^{13}C$ across treatment and no correlation between instantaneous
447	WUE (ins-WUE) and $\delta^{13}C$ , and between intrinsic WUE (int-WUE) and $\delta^{13}C$ has been
448	found in this study, suggesting that $\delta^{13}C$ of <i>H. ammodendron</i> could not indicate its
449	WUE. This result is caused by the lack of the correlation between $\delta^{13}C$ and the ratio
450	of intercellular to ambient $\text{CO}_2$ concentration ( $c_i/c_a$ ), which might be associated with
451	the degree of bundle-sheath leakiness $(\phi)$ or the low activity of carbonic anhydrase
452	(CA). Thus, the current experiment implies that the availability of $\delta^{13}C$ as the
453	indicator of WUE could be not universal for C4 species.

# **Conflict of interest**

456 None declared.

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#### 463 Authors' Contributions

G Wang and J Li designed the experiment and modified the manuscripts. Z Chen designed and executed the experiment and wrote the manuscripts. X Liu designed the experiment. X Cui executed the experiment. Y Han executed the experiment.

467

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## 477 Data availability

The datasets analyzed in this manuscript are not publicly available. Requests to access
the datasets should be directed to <u>gawang@cau.edu.cn</u>.

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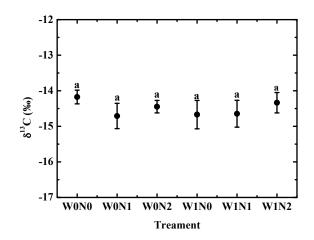
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Table 1 The p values of all measured and calculated indexs in plants under two-way ANOVAanalysis of water (W) and nitrogen (N) additions

	W	Ν	W*N
$\delta^{13}C$	0.678	0.607	0.563
Photosynthetic rate (A)	0.331	0.008**	0.183
Stomatal conductance (g <sub>s</sub> )	0.533	0.871	< 0.001***
Transpiration rate (E)	0.622	0.883	< 0.001***
$c_i/c_a$	0.004**	0.009**	< 0.001***
ins-WUE	0.002**	< 0.001***	< 0.001***
int-WUE	0.004**	0.018*	< 0.001***
φ	0.644	0.600	0.521

- 672 Note. \*, \*\*, \*\*\* indicates a significant influence.





684

685 Fig. 1 The  $\delta^{13}$ C of assimilating branches of *Haloxylon ammodendron* under water (W) and 686 nitrogen (N) additions. The spot represents the mean value of four replicates with error bars 687 denoting the standard error (SE). 688

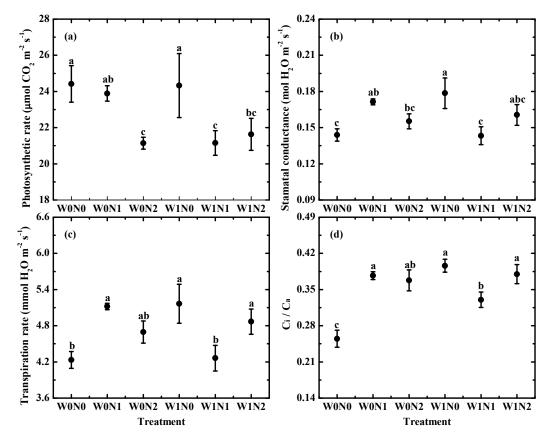




Fig. 2 Variations in photosynthetic rate (a), stomatal conductance (b), water use-efficiency (c) and

 $c_i/c_a$  (d) across water (W) and nitrogen (N) additions. The spot represents the mean value of four replicates with error bars denoting the standard error (SE).

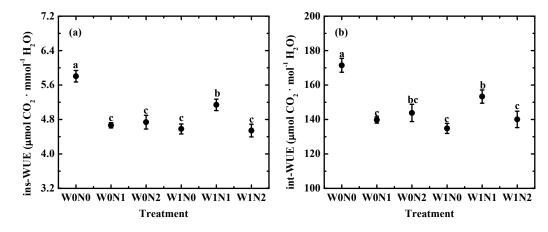
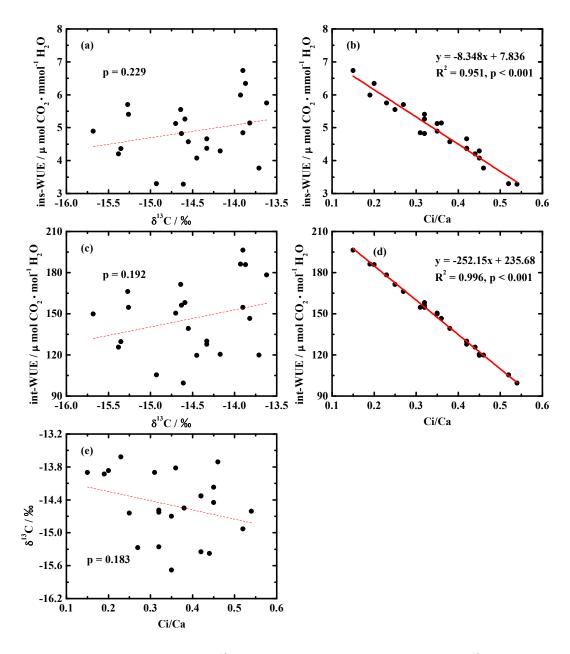


Fig. 3 Variations in ins-WUE (a) and int-WUE (b) across water (W) and nitrogen (N) additions.
The spot represents the mean value of four replicates with error bars denoting the standard error
(SE).



699

700 Fig. 4 Correlations of ins-WUE vs.  $\delta^{13}C$  (a), ins-WUE vs.  $c_i/c_a$  (b), int-WUE vs.  $\delta^{13}C$  (c), int-WUE

701 vs.  $c_i/c_a$  (d), and  $\delta^{13}C$  vs.  $c_i/c_a$  (e) of assimilating branches of *Haloxylon ammodendron* 

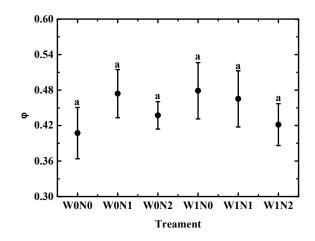


Fig. 5 Variations in  $\varphi$  across water (W) and nitrogen (N) additions. The spot represents the mean value of four replicates with error bars denoting the standard error (SE).