



1 **Evaluating the response of  $\delta^{13}\text{C}$  in *Haloxylon ammodendron*, a**  
2 **dominant  $\text{C}_4$  species in Asian desert ecosystem, to water and nitrogen**  
3 **addition as well as the availability of its  $\delta^{13}\text{C}$  as the indicator of water**  
4 **use-efficiency**

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## 23 **Abstract**

24 Variations in precipitation and atmospheric N deposition affect water and N  
25 availability in desert, and thus may have significant effects on desert ecosystems.  
26 *Haloxylon ammodendron* is a dominant plant in Asian desert, and addressing its  
27 physiological acclimatization to the changes in precipitation and N deposition can  
28 provide an insight into how desert plants adapt extreme environment by physiological  
29 adjustment. Carbon isotope ratio ( $\delta^{13}\text{C}$ ) in plants has been suggested as a sensitive  
30 long-term indicator of physiological acclimatization. Therefore, this study evaluated  
31 the effect of precipitation change and increasing atmospheric N deposition on  $\delta^{13}\text{C}$  of  
32 *H. ammodendron*. Furthermore, *Haloxylon ammodendron* is a  $\text{C}_4$  plant, whether its  
33  $\delta^{13}\text{C}$  can indicate water use-efficiency (WUE) has not been addressed. In the present  
34 study, we designed a field experiment with a completely randomized factorial  
35 combination of N and water, and measured  $\delta^{13}\text{C}$ , gas exchange and WUE of the  
36 assimilating branches of *H. ammodendron*.  $\delta^{13}\text{C}$  in *H. ammodendron* remained stable  
37 under N and water supply, while N addition, water addition and their interaction  
38 affected gas exchange and WUE in *H. ammodendron*. In addition,  $\delta^{13}\text{C}$  had no  
39 correlation with WUE. This result are associated with the irrelevance between  $\delta^{13}\text{C}$   
40 and  $c_i/c_a$ , which might be caused by a special value (0.37) of the degree of  
41 bundle-sheath leakiness ( $\phi$ ) or a lower activity of carbonic anhydrase (CA) of *H.*  
42 *ammodendron*. Thus,  $\delta^{13}\text{C}$  of *H. ammodendron* cannot be used for indicating its  
43 WUE.

44



## 45 **1 Introduction**

46 Recently, global precipitation pattern has changed significantly (Frank et al., 2015;  
47 Knapp et al., 2015), and atmospheric N deposition has continued to rise (Galloway et  
48 al., 2004; Liu et al., 2013; Song et al., 2017). Previous researchers have suggested that  
49 arid ecosystems are most sensitive to climate change (Reynolds et al., 2007; Huang et  
50 al., 2016), while global change in precipitation and atmospheric N deposition has an  
51 important impact on water and N availability in desert (Huang et al., 2018). Thus,  
52 these changes may have significant effects on desert ecosystems. *Haloxylon*  
53 *ammodendron* is a dominant species in desert regions, especially in Asia. Studying the  
54 physiological responses of *H. ammodendron* to global change can provide an insight  
55 into how desert plants adapt extreme environment by physiological adjustment.  
56 Carbon isotope ratio ( $\delta^{13}\text{C}$ ) in plants depends on the ratio of intercellular to ambient  
57  $\text{CO}_2$  concentration ( $c_i/c_a$ ), which reflects the balance between inward  $\text{CO}_2$  diffusion  
58 rate, regulated by stomatal conductance ( $g_s$ ), and  $\text{CO}_2$  assimilating rate ( $A$ ) (Farquhar  
59 and Richards, 1984), and has been suggested as a sensitive long-term indicator of  
60 physiological acclimatization (Battipaglia et al., 2013; Cernusak et al., 2013; Tranan  
61 and Schubert, 2016; Wang and Feng, 2012). Therefore, investigating the variations in  
62  $\delta^{13}\text{C}$  of *H. ammodendron* under water and nitrogen addition can enhance our  
63 understanding of physiological responses of desert plants to future changes in  
64 precipitation and atmospheric N deposition.

65 A large quantity of works have been devoted to the relationships between  $\text{C}_3$  plant  
66  $\delta^{13}\text{C}$  and water availability or precipitation (e.g., Diefendorf et al., 2010; Kohn, 2010;



67 Liu et al., 2005; Ma et al., 2012; Serret et al., 2018; Stewart et al., 1995; Wang et al.,  
68 2005, 2008) and nitrogen availability (e.g. Cernusak et al., 2007; Li et al., 2016;  
69 Sparks and Ehleringer, 1997; Yao et al., 2011; Zhang et al., 2015). However, a  
70 relatively small amount of research has focused on the responses of C<sub>4</sub> plant δ<sup>13</sup>C to  
71 water availability or precipitation (Ellsworth et al., 2017; Liu et al., 2005; Rao et al.,  
72 2017; Wang et al., 2006) and nitrogen availability (Ma et al., 2016; Schmidt et al.,  
73 1993). For C<sub>4</sub> plants, δ<sup>13</sup>C is controlled by both the c<sub>i</sub>/c<sub>a</sub> ratio and the degree of  
74 bundle-sheath leakiness (φ), the proportion of CO<sub>2</sub> produced within bundle sheath  
75 cells from C<sub>4</sub> acids that leaks back to mesophyll cells (Ellsworth and Cousins, 2016;  
76 Ellsworth et al., 2017; Farquhar, 1983). Thus, the responses of C<sub>4</sub> plant δ<sup>13</sup>C to water  
77 and N availability are also affected by φ. Genetic factors control φ values, which  
78 causes the interspecific differences in δ<sup>13</sup>C, even the responses of plant δ<sup>13</sup>C to water  
79 and N availability (Gresset et al., 2014). On the other hand, enzymatic activity of  
80 carbonic anhydrase (CA) may influence δ<sup>13</sup>C in C<sub>4</sub> plants as CA activity is low  
81 (Cousins et al., 2006). CA is an enzyme that catalyzes the hydration of CO<sub>2</sub> in  
82 mesophyll cells to form bicarbonate (HCO<sub>3</sub><sup>-</sup>). Previous studies showed that CA  
83 activity in most C<sub>4</sub> plants is usually low, just sufficient to support photosynthesis  
84 (Cousins et al., 2006; Gillon and Yakir, 2000, 2001; Hatch and Burnell, 1990). *H.*  
85 *ammodendron* is a typical C<sub>4</sub> plant. How its δ<sup>13</sup>C responds to water and N availability  
86 has never been addressed.

87 Foliar δ<sup>13</sup>C in C<sub>3</sub> plants has been considered as a useful indicator of intrinsic water  
88 use-efficiency (WUE) (Farquhar, 1983). However, although some studies suggested



89 that  $\delta^{13}\text{C}$  of  $\text{C}_4$  plants could also indicate its WUE (Henderson et al., 1992; Wang et al.,  
90 2005; Cernusak et al., 2013; Ellsworth and Cousins, 2016), this statement is still  
91 controversial. The relationship between  $\delta^{13}\text{C}$  and WUE is based on the links between  
92  $c_i/c_a$  ratio and  $\delta^{13}\text{C}$  and between  $c_i/c_a$  ratio and WUE (Ehleringer and Cerling, 1995).  
93 For  $\text{C}_3$  plants,  $\delta^{13}\text{C}$  always increases with an increase in  $c_i/c_a$  ratio; but for  $\text{C}_4$  plants,  
94 the correlation between  $\delta^{13}\text{C}$  and  $c_i/c_a$  ratio depends on  $\phi$  value (Cernusak et al., 2013)  
95 and CA activity (Cousins et al., 2006). As mentioned above,  $\phi$  value is under genetic  
96 control, thus, the correlation between  $\delta^{13}\text{C}$  and  $c_i/c_a$  ratio, as well as the relationship  
97 between WUE and  $\delta^{13}\text{C}$ , shows interspecific difference. Whether  $\delta^{13}\text{C}$  of *H.*  
98 *ammodendron* indicates WUE has never been evaluated.

99 In this study, we designed an experiment with multiple water and nitrogen supply in  
100 the southern Gurbantunggut Desert in Xinjiang Uygur Autonomous Region, China.  
101 We measured the  $\delta^{13}\text{C}$ , gas exchange and WUE of the assimilating branches of *H.*  
102 *ammodendron*. We had two objectives. One objective was to evaluate the response of  
103 the dominant plant of Asian desert to future changes in precipitation and atmospheric  
104 N deposition by revealing the effects of water and N supply on  $\delta^{13}\text{C}$  of *H.*  
105 *ammodendron*. The other was to explore the availability of  $\delta^{13}\text{C}$  as the indicator of  
106 water use-efficiency in *H. ammodendron*.

107

## 108 **2 Materials and methods**

### 109 **2.1 Definitions and Basic Equations**

110 Stable carbon isotopic ratio ( $\delta^{13}\text{C}$ ) of natural materials is expressed as:



111 
$$\delta^{13}\text{C}(\text{‰}) = \left[ \frac{(^{13}\text{C}/^{12}\text{C})_{\text{sample}}}{(^{13}\text{C}/^{12}\text{C})_{\text{standard}}} - 1 \right] \times 1000 \quad (1)$$

112 where the standard is the carbon dioxide obtained from the Peedee belemnite (PDB)  
113 limestone (Craig, 1957). Farquhar (1983) proposed the pattern of carbon isotopic  
114 discrimination ( $\Delta$ ) in  $\text{C}_4$  plant:

115 
$$\Delta = \frac{\delta^{13}\text{C}_{\text{air}} - \delta^{13}\text{C}_{\text{plant}}}{1 + \delta^{13}\text{C}_{\text{plant}}/1000} \approx \delta^{13}\text{C}_{\text{air}} - \delta^{13}\text{C}_{\text{plant}} = a + [b_4 + \varphi (b - s) - a] \frac{c_i}{c_a} \quad (2)$$

116 where  $\delta^{13}\text{C}_{\text{plant}}$  and  $\delta^{13}\text{C}_{\text{air}}$  are the  $\delta^{13}\text{C}$  values of plants and  $\text{CO}_2$  in the ambient air.  
117 The parameter  $a$  (= 4.4‰, Craig, 1954) is the carbon isotopic fractionation in the  
118 diffusion of  $\text{CO}_2$  into internal leaves;  $b_4$  (= -5.9‰, O'Leary, 1984) is the combined  
119 carbon isotopic fractionations occurring in the processes of gaseous  $\text{CO}_2$  dissolution,  
120 hydration/dehydration reactions of  $\text{CO}_2$  and  $\text{HCO}_3^-$  in mesophyll cells, and  
121  $\text{HCO}_3^-$  carboxylation by PEP (phosphoenolpyruvate) carboxylase;  $s$  (= 1.8‰, O'Leary,  
122 1984) is the carbon isotopic fractionation during diffusion of  $\text{CO}_2$  out of the  
123 bundle-sheath cells, and  $b$  (= 27‰, Farquhar and Richards, 1984) is the carbon  
124 isotopic fractionation of  $\text{CO}_2$  carboxylation by RuBP (ribulose-1,5-bisphosphate)  
125 carboxylase. The variable  $\varphi$  is the proportion of  $\text{CO}_2$  producing within bundle sheath  
126 cells from  $\text{C}_4$  acids that leaks back to mesophyll cells, and  $c_i/c_a$  is the ratio of  
127 intercellular to ambient  $\text{CO}_2$  concentration.

128 Water use-efficiency (WUE) is defined as the amount of assimilated carbon dioxide  
129 by plants under the consumption of per unit water. There are two characteristics of  
130 WUE, instantaneous WUE (ins-WUE) and intrinsic WUE (int-WUE), respectively.  
131 ins-WUE can be calculated by:

132 
$$\text{ins-WUE} = A/E = (c_a - c_i)/1.6v = c_a(1 - c_i/c_a)/1.6v \quad (3)$$



133 where  $A$  is photosynthetic rate,  $E$  is transpiration rate and  $v$  is calculated

134 by:

$$135 \quad v = (e_i - e_a)/p \quad (4)$$

136 where  $e_i$  and  $e_a$  are the water vapor pressure inside and outside the leaves,  $p$  is the

137 atmospheric pressure.

138 The definition of int-WUE is:

$$139 \quad \text{int-WUE} = A/g_s = (c_a - c_i)/1.6 = c_a(1 - c_i/c_a)/1.6 \quad (5)$$

140 where  $g_s$  is stomatal conductance.

## 141 **2.2 Study site**

142 This experiment was conducted at the Fukang Station of Desert Ecology, Chinese

143 Academy of Sciences, on the southern edge of the Gurbantunggut Desert (44°26' N,

144 87°54' E) in northwestern China. The altitude of the study site is 436.8 m above

145 average sea level (a.s.l.). It is a typical continental arid, temperate climate, with a hot

146 summer and cold winter in the area. The mean annual temperature is 7.1 °C and the

147 mean annual precipitation is 215.6 mm, with a potential evaporation of about 2000

148 mm. The soil type is grey desert soils (Chinese classification) with aeolian sands on

149 the surface (0-100 cm). The percentages of clay (< 0.005 mm), silt (0.005-0.063 mm),

150 fine sand (0.063-0.25 mm) and medium sand (0.25-0.5 mm) range from 1.63-1.76%,

151 13.79-14.15%, 55.91-56.21% and 20.65-23.23%, respectively (Chen et al., 2007). The

152 soil is highly alkaline ( $\text{pH} = 9.55 \pm 0.14$ ) with low fertility. The vegetation is

153 dominated by *Haloxylon ammodendron* and *Haloxylon persicum* with about 30%

154 coverage. Herbs include ephemerals, annuals and small perennials, with a cover of ca.



155 40% (Fan et al., 2013). Although the coverage of the two *Haloxylon* species is a little  
156 lower than that of herbs, the biomass of the former is much larger than that of the  
157 latter, because *Haloxylon* plants are shrubs with an average height of 1.5 m whereas  
158 the latter are very low herbaceous plants. Biological soil crusts are distributed widely  
159 on the soil between the herbs and *Haloxylon*, with almost 40% coverage (Zhang et al.,  
160 2007).

### 161 2.3 Experimental design

162 A field experiment with a completely randomized factorial combination of water and  
163 nitrogen has been conducted from 2014 to 2017. We designed two water addition  
164 levels (0, 60mm yr<sup>-1</sup>; W0, W1), since precipitation is predicted to increase by 30% in  
165 northern China in the next 30 years (Liu et al., 2010), and three levels of N addition (0,  
166 30, 60 kg N ha<sup>-1</sup> yr<sup>-1</sup>; N0, N1 and N2), because N deposition has reached 35.4 kg  
167 N ha<sup>-1</sup> yr<sup>-1</sup> in the nearby city, Urumqi (Cui et al., 2017) and will double by 2050  
168 relative to the early 1990s (Galloway et al., 2008). Therefore, there were six  
169 treatments (W0N0, W0N1, W0N2, W1N0, W1N1, W1N2) in this experiment. Four  
170 replicates of each treatment were set, making a total of 24 plots with a size of 10 m  
171 ×10 m. A small sub-plot with a size of 1.5 m×1.5 m was set in each plot. A  
172 well-grown *H. ammodendron* was enclosed in the center of the sub-plot. The average  
173 height and coverage of an individual *H. ammodendron* were 1.5 m and 1.9 m<sup>2</sup>,  
174 respectively, and did not vary significantly across the plots. To simulate natural water  
175 and N inputs, the treatments were applied in equal amounts, twelve times, once a  
176 week in April, July and September, as 5 mm m<sup>-2</sup> of water and 2.5 or 5 kg N ha<sup>-1</sup> each





177 week (Cui et al., 2017). Usually, water addition was with a sprinkler kettle, irrigating  
178 over the canopy of *H. ammodendron*.

#### 179 **2.4 Measurements of gas exchange traits and WUE**

180 Gas exchange traits, including photosynthetic rate ( $A$ ), stomatal conductance ( $g_s$ ),  
181 transpiration rate ( $E$ ) and  $c_i/c_a$ , on the assimilating branches of the *H. ammodendron*  
182 grown in the sub-plots were determined by LI-6400 portable photosynthesis system  
183 on 27-29, June 2016. Then we calculated ins-WUE by the Eq. (3), and int-WUE by  
184 Eq. (5).

185 At each plot, the top assimilating branches of a mature individual was selected  
186 randomly for the measurement. About 5s was needed for stability after the  
187 assimilating branches was inserted in the cuvette and then the assimilating branches  
188 were measured. We repeated 10 times on the same assimilating branches for each  
189 measurement. We measured gas exchange with a standard  $450 \text{ mmol mol}^{-1} \text{ CO}_2$   
190 concentration at a flow rate of  $500 \text{ mmol s}^{-1}$  above saturation in photo flux density of  
191  $1000 \text{ mmol m}^{-2} \text{ s}^{-1}$ . Leaf temperature kept stable and varied within  $1.0 \text{ }^\circ\text{C}$  during each  
192 measurement.

#### 193 **2.5 Samples collection**

194 Samples were collected in July 2017. Previous researches have proved that leaf, as the  
195 assimilating organ in plants, was most effective for the assessment of plant  $\delta^{13}\text{C}$   
196 (Saranga et al., 1999). However, extreme drought in desert ecosystems causes the  
197 degeneration of leave in *H. ammodendron*. Thus we had to collect the assimilating  
198 branches of *H. ammodendron* as our samples, which was its prime assimilating organ.



199 All *H. ammodendron* individuals grown in plots (10 m × 10 m) were sampled. Eight  
200 pieces of assimilating branches were collected from each individual, two pieces of  
201 assimilating branches were collected at each of the four cardinal directions from the  
202 positions of full irradiance. All assimilating branches from the same plot were  
203 combined into one sample. All plant samples were air-dried in the field and then in  
204 the laboratory. Then the samples were ground into a fine powder using a steel ball  
205 mixer mill MM200 (Retsch GmbH, Haan, Germany) for the measurements of  $\delta^{13}\text{C}$ , N  
206 contents and chlorophyll contents.

## 207 **2.6 Measurements of plant $\delta^{13}\text{C}$ , plant N and chlorophyll contents**

208 The  $\delta^{13}\text{C}$  and N measurements were performed on a Delta<sup>plus</sup> XP mass spectrometer  
209 (Thermo Scientific, Bremen, Germany) coupled with an automated elemental  
210 analyzer (Flash EA1112, CE Instruments, Wigan, UK) in a continuous flow mode, at  
211 the Stable Isotope Laboratory of the College of Resources and Environmental  
212 Sciences, China Agricultural University. The carbon isotopic ratios were reported in  
213 the delta notation relative to the V-PDB standard. For this measurement, we obtained  
214 standard deviations low than 0.15‰ for  $\delta^{13}\text{C}$  among replicate measurements of the  
215 same sample. And standard deviations for the N measurements were 0.1%.

216 The chlorophyll contents of all samples were also determined. The samples were  
217 first extracted by 95% ethyl alcohol (0.5 g sample to 25 mL ethyl alcohol), and then  
218 the absorbancy was measured under the wave length of 665 and 649 nm by the  
219 spectrophotometer. The content of chlorophyll a, b was calculated by the follow  
220 equations:



221 Chlorophyll a (mg/L) =  $13.95 \times \text{OD}_{665} - 6.88 \times \text{OD}_{649}$  (6)

222 Chlorophyll b (mg/L) =  $24.96 \times \text{OD}_{649} - 7.32 \times \text{OD}_{665}$  (7)

223 where OD<sub>665</sub> and OD<sub>649</sub> are the absorbancy under the wave length of 665 and  
224 649nm, respectively.

## 225 2.6 Calculation of the degree of bundle-sheath leakiness

226 The degree of bundle-sheath leakiness ( $\phi$ ) was calculated by the transformation of Eq.  
227 (2):

228 
$$\phi = \left( \frac{(\delta^{13}\text{C}_{\text{air}} - \delta^{13}\text{C}_{\text{plant}}) / (1 + \delta^{13}\text{C}_{\text{plant}} / 1000) - a}{c_i / c_a} + a - b_4 \right) / (b - s)$$
 (8)

229 In this equation, parameters a, b<sub>4</sub>, b and s are constant, while  $\delta^{13}\text{C}_{\text{plant}}$  and  $c_i / c_a$  are  
230 the measured values of our samples. We did not measure the  $\delta^{13}\text{C}_{\text{air}}$  at our study site,  
231 so we had to use an approximation of the  $\delta^{13}\text{C}_{\text{air}}$  to do this  $\phi$  calculation. The  
232 approximated value we used is -9.77‰, which has been measured at Donglingshan  
233 Mountain, Beijing, north China in September 2019. We believe that the two sites  
234 should have similar  $\delta^{13}\text{C}_{\text{air}}$  because the two sites are located in countryside with less  
235 human activities and have a similar distance from the nearest city. The straight line  
236 distances between Donglingshan Mountain and the city center of Beijing, and  
237 between our study and Urumqi city about 90 km.

## 238 2.7 Statistical analysis

239 Statistical analyses were conducted using SPSS software (SPSS for Windows, Version  
240 20.0, Chicago, IL, United States). One-way analysis of variance (ANOVA) and  
241 two-way analysis of variance (ANOVA) were used to compare the difference of  $\delta^{13}\text{C}$   
242 and other physiological traits between each treatment. Pearson analysis was used to



243 determine the correlation among  $\delta^{13}\text{C}$ , WUE and  $c_i/c_a$  in *H. ammodendron*.

244

### 245 **3 Results**

#### 246 **3.1 Plant $\delta^{13}\text{C}$ under water and nitrogen addition**

247 The  $\delta^{13}\text{C}$  of the assimilating branches of *H. ammodendron* in the six treatments  
248 W0N0, W0N1, W0N2, W1N0, W1N1, W1N2 was  $-14.18 \pm 0.19 \text{ ‰}$ ,  $-14.71 \pm 0.35 \text{ ‰}$ ,  
249  $-14.45 \pm 0.18 \text{ ‰}$ ,  $-14.67 \pm 0.40 \text{ ‰}$ ,  $-14.65 \pm 0.38 \text{ ‰}$ ,  $-14.34 \pm 0.29 \text{ ‰}$ , respectively.

250 One-way ANOVA analyses showed no significant variation in  $\delta^{13}\text{C}$  across treatments  
251 ( $p = 0.788$ , Fig. 1). Two-way ANOVA analyses suggested that  $\delta^{13}\text{C}$  was not affected  
252 by water addition ( $p = 0.678$ ), N addition ( $p = 0.607$ ) and their interaction ( $p = 0.563$ ,  
253 Table 1).

254 Fig.1

255 Table 1

#### 256 **3.2 Gas exchange and WUE under water and nitrogen addition**

257 Photosynthetic rate (A), stomatal conductance ( $g_s$ ), transpiration rate (E) and  $c_i/c_a$   
258 ranged from  $12.11 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$  to  $39.35 \mu\text{mol CO}_2 \text{ m}^{-2} \text{ s}^{-1}$ , from  $0.09 \text{ mol H}_2\text{O}$   
259  $\text{m}^{-2} \text{ s}^{-1}$  to  $0.31 \text{ mol H}_2\text{O m}^{-2} \text{ s}^{-1}$ , from  $2.87 \text{ mmol H}_2\text{O m}^{-2} \text{ s}^{-1}$  to  $8.49 \text{ mmol H}_2\text{O m}^{-2} \text{ s}^{-1}$   
260 and 0.11 to 0.57, respectively. One-way ANOVA analyses showed significant changes  
261 in leaf gas exchange across the six treatments ( $p = 0.012$  for A,  $p = 0.006$  for  $g_s$ ,  $p =$   
262  $0.002$  for E and  $c_i/c_a$ , Fig. 2). Two-way ANOVA analyses suggested that water  
263 addition had exerted effect on  $c_i/c_a$  ( $p = 0.004$ ), that N additions influenced A ( $p =$   
264  $0.008$ ) and  $c_i/c_a$  ( $p = 0.009$ ), and that the interaction between water and N supply



265 played a role in  $g_s$  ( $p < 0.001$ ),  $E$  ( $p < 0.001$ ) and  $c_i/c_a$  ( $p < 0.001$ , Table 1).

266 Fig. 2

267 Instantaneous WUE (ins-WUE) and intrinsic WUE (int-WUE) ranged from 3.09  
268  $\mu\text{mol CO}_2 / \text{mmol H}_2\text{O}$  to 8.49  $\mu\text{mol CO}_2 / \text{mmol H}_2\text{O}$  and from 93.64  $\mu\text{mol CO}_2 / \text{mol}$   
269  $\text{H}_2\text{O}$  to 208.47  $\mu\text{mol CO}_2 / \text{mmol H}_2\text{O}$ , respectively. One-way ANOVA analyses  
270 showed significant changes in these two indexes (both  $p < 0.001$ , Fig. 3). Two-way  
271 ANOVA analyses suggested that water addition, N addition and their interaction all  
272 have significant effect on these two indexes (all  $p < 0.05$ , Table 1).

273 Fig. 3

### 274 3.3 Correlations among $\delta^{13}\text{C}$ , WUE and $c_i/c_a$ ratio

275 In order to test whether  $\delta^{13}\text{C}$  in *H. ammodendron* can indicate WUE, the relationships  
276 among  $\delta^{13}\text{C}$ , ins-WUE, int-WUE and  $c_i/c_a$  ratio were revealed in this study. Our  
277 results showed no correlation between  $\delta^{13}\text{C}$  and ins-WUE ( $p = 0.229$ , Fig. 4a),  
278 between  $\delta^{13}\text{C}$  and int-WUE ( $p = 0.229$ , Fig. 4c), and between  $\delta^{13}\text{C}$  and  $c_i/c_a$  ratio ( $p =$   
279 0.183, Fig. 4e). However, there was a negative correlation between ins-WUE and  $c_i/c_a$   
280 ratio ( $p < 0.001$ , Fig. 4b), and between int-WUE and  $c_i/c_a$  ratio ( $p < 0.001$ , Fig. 4d).

281 Fig. 4

### 282 3.4 The degree of bundle-sheath leakiness under water and nitrogen addition

283 The calculated  $\phi$  ranged from 0.32 to 0.59 with a mean value of 0.45. One-way  
284 ANOVA analyses showed no significant variation in  $\phi$  across treatments ( $p = 0.768$ ,  
285 Fig. 5). Two-way ANOVA analyses suggested that  $\delta^{13}\text{C}$  was not affected by water  
286 addition ( $p = 0.644$ ), N addition ( $p = 0.600$ ) and their interaction ( $p = 0.521$ , Table 1).



287 Fig. 5

288

#### 289 **4 Discussion**

290 The  $\delta^{13}\text{C}$  of the assimilating branches in *H. ammodendron* did not change across  
291 treatments (Fig. 1, Table 1), suggesting that neither water addition nor nitrogen  
292 addition influenced the  $\delta^{13}\text{C}$  of *H. ammodendron*. Previous studies also reported no  
293 significant relationship between  $\delta^{13}\text{C}$  of  $\text{C}_4$  plant and water availability (Swap et al.,  
294 2004; Wang et al., 2008), and between  $\delta^{13}\text{C}$  of  $\text{C}_4$  plant and nitrogen availability (Yao  
295 et al., 2011, Yang et al., 2017).

296 In general, the effects of water availability and nitrogen availability on  $\delta^{13}\text{C}$  are  
297 dependent on  $c_i/c_a$  ratio, which reflects the balance between stomatal conductance ( $g_s$ )  
298 and photosynthetic rate ( $A$ ) (Farquhar and Richards, 1984). With more water  
299 availability under water addition, plants tend to open stomata to absorb more  $\text{CO}_2$ ,  
300 leading to an increase in  $g_s$ . Two-way ANOVA analyses suggested that water addition  
301 had no effect on both  $A$  and  $g_s$  (Table 1). However, One-way ANOVA analyses  
302 showed that  $g_s$  was higher in W1N0 than that in W0N0 (Fig. 2b). Thus, at least water  
303 addition had a positive effect on  $g_s$  under ambient N condition. Increasing  $g_s$  under  
304 water supply will lead to the rise of intercellular  $\text{CO}_2$  because of the decrease of  
305 diffusional resistance to  $\text{CO}_2$ . As the results,  $c_i/c_a$  ratio was observed to increase with  
306 increasing moisture (Fig. 2d, Table 1). However,  $\delta^{13}\text{C}$  remained stable under water  
307 addition (Fig. 1, Table 1). Thus,  $c_i/c_a$  ratio could not explain the observed response of  
308  $\delta^{13}\text{C}$  to water supply.



309 For most plants in natural ecosystems, nitrogen is the key factor limiting plant  
310 growth (Hall et al., 2011). Thus, nitrogen addition usually causes plants to absorb  
311 more N. However, extreme drought could prevent plants from absorbing N even  
312 under high N supply. In the present experiment, N supply was found to have an effect  
313 on N contents in *H. ammodendron*. Relative to the control treatment (W0N0), N  
314 contents increased with N supply under low N addition, but kept unchanged under  
315 high addition (Table S1, S2). Nitrogen is the main constituent of Rubisco (ribulose -  
316 1,5 - bisphosphate carboxylase oxygenase) and chlorophyll in plants. Thus,  
317 chlorophyll a was found to have the similar pattern as N contents under water and N  
318 supply. Chlorophyll a was higher in W0N1 than W0N0, and there was no difference in  
319 chlorophyll a between W0N0 and W0N2 (Table S1). Increasing chlorophyll contents  
320 in W0N1 should lead to the increase in photosynthetic rate (A). However, different  
321 from our prediction, one-way ANOVA analyses suggested that A in W0N1 did not  
322 differ from that in W0N0, and that A in W0N2 is lower than that in W0N0 (Fig., 2a).  
323 Two-way ANOVA analyses showed that N addition had an influence on A (Table 1).  
324 Both the analyses suggested that N supply played a negative role in A, and thus the  
325 consumption of intercellular CO<sub>2</sub>. Consequently, c<sub>i</sub>/c<sub>a</sub> ratio was found to increase with  
326 N supply (Fig. 2d, Table 1). Therefore, the variations in c<sub>i</sub>/c<sub>a</sub> ratio with N addition  
327 could not account for the unchanged pattern in δ<sup>13</sup>C under N supply (Fig. 1).

328 The co-application of water and nitrogen was found to have a negative effect on A  
329 but no effect on g<sub>s</sub> (W0N0 vs. W1N1, W1N2, Fig. 2a, b). The responses of A and g<sub>s</sub> to  
330 the co-application of water and nitrogen resulted in an increase in c<sub>i</sub>/c<sub>a</sub> ratio (Fig., 2d).



331 Since  $\delta^{13}\text{C}$  remained unchanged under the co-application of water and nitrogen (Fig.  
332 1),  $c_i/c_a$  ratio could not also explain the observed  $\delta^{13}\text{C}$  response to the co-application  
333 of water and nitrogen.

334 Two underlying mechanisms may explain the observed  $\delta^{13}\text{C}$  stability across  
335 treatments. The first one is associated with the  $\phi$  value in *H. ammodendron*. For  $\text{C}_4$   
336 plants, the relationship between carbon isotope discrimination ( $\Delta$ ) and  $c_i/c_a$  ratio is  
337 dependent on  $\phi$  values (Ellsworth and Cousins, 2016; Ellsworth et al., 2017; Farquhar,  
338 1983; Wang et al., 2008). Some studies suggested that  $\phi$  value was stable for a given  
339 species under a wide range of environmental conditions (Henderson et al., 1992;  
340 Wang et al., 2008; Cernusak et al., 2013). However, other studies had different  
341 conclusions that  $\phi$  value was influenced by irradiation (Bellasio and Griffiths, 2014;  
342 Kromdijk et al., 2010; Pengelly et al., 2010; Ubierna et al., 2013), temperature (von  
343 Caemmerer et al., 2014), water stress (Fravolini et al., 2002; Gong et al., 2017;  
344 Williams et al., 2001; Yang et al., 2017) and nitrogen supply (Fravolini et al., 2002;  
345 Meinzer and Zhu, 1998; Yang et al., 2017). In current study, the  $\phi$  value of *H.*  
346 *ammodendron* remained unchanged across six treatments (Fig. 5), and two-way  
347 ANOVA analyses suggested that water supply and N supply had no effect on  $\phi$  (Table  
348 1). Therefore, the  $\phi$  value of *H. ammodendron* was insensitive to water and N addition  
349 in this study. Even if the  $\phi$  value remains stable, the relationship between  $\Delta$  and  $c_i/c_a$   
350 ratio is also associated with the magnitude of the  $\phi$  value. Cernusak et al. (2013)  
351 predicted that when  $\phi$  value is greater than 0.37, the correlation between  $\Delta$  and  $c_i/c_a$   
352 ratio is positive; conversely, when  $\phi$  value is less than 0.37, the correlation is negative.





353 In particular, when  $\phi$  value is equal to 0.37, no significant correlation can be found,  
354 because the coefficient ( $[b_4 + \phi (b - s) - a]$  in Eq. (2)) of  $c_i/c_a$  ratio equals to 0  
355 (Cernusak et al., 2013). The  $\phi$  value ranged from 0.32 to 0.59 with a mean value of  
356 0.45 in present study, thus the correlation between  $\Delta$  and  $c_i/c_a$  in *H. ammodendron*  
357 should be positive based on the prediction by Cernusak et al. (2013). However,  $\delta^{13}\text{C}$   
358 was found to have no correlation with the measured  $c_i/c_a$  ratio (Fig. 4e), suggesting  
359 that the  $\phi$  value of *H. ammodendron* could be close to 0.37. The reason resulting in  
360 the inconsistency between our calculated  $\phi$  value and the  $\phi$  value based on the  
361 prediction by Cernusak et al. (2013) is that we took the atmospheric  $\delta^{13}\text{C}$  data at  
362 Donglingshan, Beijing as  $\delta^{13}\text{C}_{\text{air}}$  to calculate  $\phi$  value. Since atmospheric  $\delta^{13}\text{C}$  is  
363 characterized by geography, the calculation might overestimate the  $\phi$  value. Therefore,  
364 considering that no correlation was found between with  $\delta^{13}\text{C}$  and  $c_i/c_a$  ratio, we  
365 hypothesize that the  $\phi$  value of *H. ammodendron* could be close to 0.37, which led  
366 to the observed insensitive response of  $\delta^{13}\text{C}$  to water and N addition.

367 The second mechanism is associated with carbonic anhydrase (CA) in  $\text{C}_4$  plants.  
368 Cousins et al. (2006) suggested that enzymatic activity of CA affects carbon isotope  
369 discrimination in most  $\text{C}_4$  plants because CA can result in the changing of parameter  
370  $b_4$  (see Eq. (2)). But in traditional view, the parameter  $b_4$  was a constant. However, it  
371 is only true when the ratio of PEP carboxylation rate to the  $\text{CO}_2$  hydration rate ( $V_p/V_h$ )  
372 is equal to zero, which is caused by a high CA activity. If  $V_p/V_h$  is not zero,  $b_4$  will  
373 change and be controlled by  $V_p/V_h$  (Cousins et al., 2006). Previous studies reported  
374 that CA activity is low in most  $\text{C}_4$  plants (Cousins et al., 2006; Gillon and Yakir, 2000,



375 2001; Hatch and Burnell, 1990). Thus, CA activity in *H. ammodendron* might also be  
376 low, leading to the change in  $b_4$  with  $V_p/V_h$ , and thus  $\delta^{13}\text{C}$ . Cousins et al. (2006)  
377 added  $V_p/V_h$  into the discrimination pattern of  $\text{C}_4$  plants and predicted that at a given  
378  $\phi$  value, when the  $V_p/V_h$  is 0 or 1, the correlation between  $\Delta$  and  $c_i/c_a$  ratio is negative  
379 or positive, respectively. Since CA activity is low in most  $\text{C}_4$  plants, and the  $V_p/V_h$   
380 always ranges from 0 to 1, we speculate that no correlation between  $\Delta$  and  $c_i/c_a$  ratio  
381 may also occur when the  $V_p/V_h$  is a certain value between 0 and 1. Thus, the  
382 uncorrelated pattern between  $\Delta$  and  $c_i/c_a$  ratio in *H. ammodendron* might be related to  
383 this specific  $V_p/V_h$  value due to low CA activity.

384 Henderson et al. (1992) found that  $\delta^{13}\text{C}$  of 10  $\text{C}_4$  species has negative correlation  
385 with their WUE, which was just opposite to a positive relationship between  $\delta^{13}\text{C}$  and  
386 WUE for  $\text{C}_3$  plants. The underlying mechanism of the negative correlation between  
387  $\delta^{13}\text{C}$  and WUE is that the  $\phi$  values in 10  $\text{C}_4$  species was observed to remain around  
388 0.21 over a range of irradiance and leaf temperature. According to the suggestion by  
389 Cernusak et al. (2013) that  $\Delta$  is negatively related to  $c_i/c_a$  ratio when  $\phi$  value is less  
390 than 0.37, thus, the  $\delta^{13}\text{C}$  of 10  $\text{C}_4$  species has a positive correlation with  $c_i/c_a$  ratio. In  
391 general, under fixed ambient  $\text{CO}_2$  concentration, WUE is always negatively correlated  
392 with  $c_i/c_a$  ratio (see Eq. (3) and Eq. (5)). As a result, a negative relationship between  
393  $\delta^{13}\text{C}$  and WUE was observed for the 10  $\text{C}_4$  species. However, our study shows that  
394  $\delta^{13}\text{C}$  remained stable under water and nitrogen addition (Fig. 1, Table 1), while the  
395 measured ins-WUE and int-WUE was higher in the control treatment (W0N0) than  
396 other treatments (Fig. 3), suggesting and water and N supply had a significant effect



397 on WUE (Table 1). Furthermore, ins-WUE and int-WUE both had no correlation with  
398  $\delta^{13}\text{C}$  (Fig. 4a, 4c). Thus,  $\delta^{13}\text{C}$  of *H. ammodendron* could not indicate its WUE. The  
399 probable cause of no correlation between WUE and  $\delta^{13}\text{C}$  is that no correlation has  
400 been found between with  $\delta^{13}\text{C}$  and the measured  $c_i/c_a$  ratio (Fig. 4e), because  $c_i/c_a$   
401 ratio is the link between WUE and  $\delta^{13}\text{C}$ .

402

## 403 **5 Conclusion**

404 Global changes including precipitation and atmospheric N deposition have been  
405 proved to have an important influence on ecosystems, especially for the arid  
406 ecosystems. The present study showed that water and N addition had little effect on  
407 the  $\delta^{13}\text{C}$  values of *H. ammodendron*, but played an important role in the change of its  
408 gas exchange and water use efficiency (WUE). In addition, no correlation between  
409 instantaneous WUE (ins-WUE) and  $\delta^{13}\text{C}$ , and between intrinsic WUE (int-WUE) and  
410  $\delta^{13}\text{C}$  has been found in this study, suggesting that  $\delta^{13}\text{C}$  of *H. ammodendron* could not  
411 indicate its WUE. This result is caused by the lack of the correlation between  $\delta^{13}\text{C}$  and  
412 the ratio of intercellular to ambient  $\text{CO}_2$  concentration ( $c_i/c_a$ ), which might be  
413 associated with the degree of bundle-sheath leakiness ( $\phi$ ) or the activity of carbonic  
414 anhydrase (CA). Thus, the current experiment implies that the availability of  $\delta^{13}\text{C}$  as  
415 the indicator of WUE could be not universal for  $\text{C}_4$  species.

416

## 417 **Conflict of interest**

418 None declared.



419

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424

425 **Authors' Contributions**

426 G Wang and J Li designed the experiment and modified the manuscripts. Z Chen  
427 designed and executed the experiment and wrote the manuscripts. X Liu designed the  
428 experiment. X Cui executed the experiment. Y Han executed the experiment.

429

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438

439 **Data availability**

440 The datasets analyzed in this manuscript are not publicly available. Requests to access



441 the datasets should be directed to [gawang@cau.edu.cn](mailto:gawang@cau.edu.cn).

442

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 619 68, 599-610, 2007.

620 Table 1 The p values of all measured and calculated indexes in plants under two-way ANOVA  
 621 analysis of water (W) and nitrogen (N) additions

	W	N	W*N
$\delta^{13}\text{C}$	0.678	0.607	0.563
Photosynthetic rate (A)	0.331	0.008**	0.183
Stomatal conductance ( $g_s$ )	0.533	0.871	<0.001***
Transpiration rate (E)	0.622	0.883	<0.001***
$c_i/c_a$	0.004**	0.009**	<0.001***
ins-WUE	0.002**	<0.001***	<0.001***
int-WUE	0.004**	0.018*	<0.001***
$\phi$	0.644	0.600	0.521

622 Note. \*, \*\*, \*\*\* indicates a significant influence.

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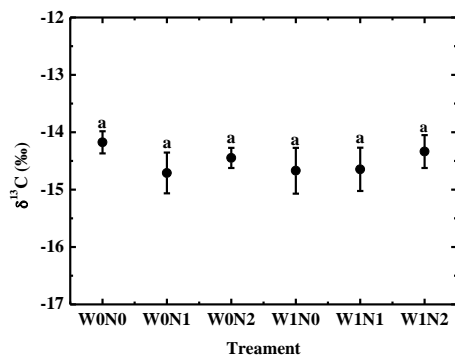
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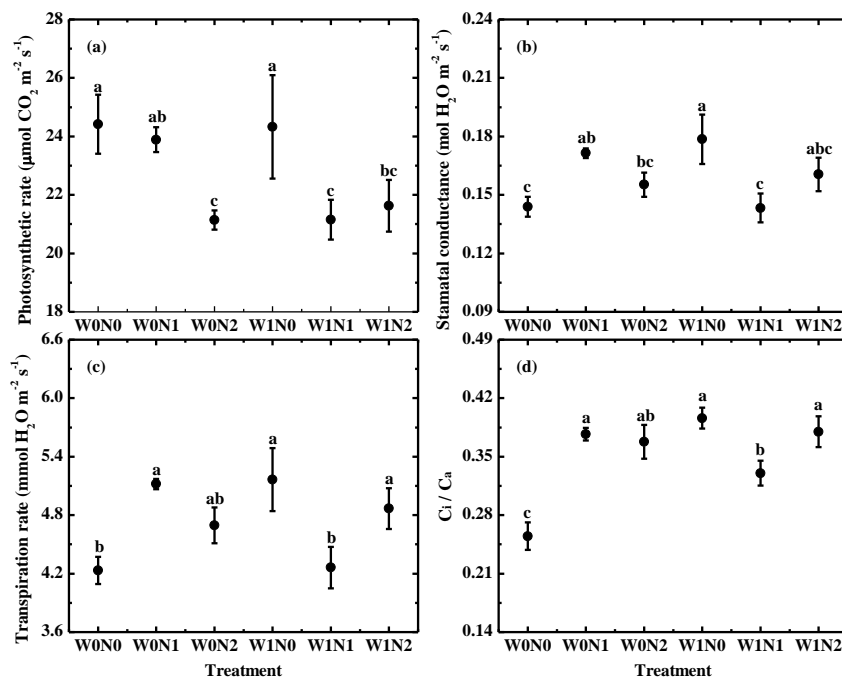
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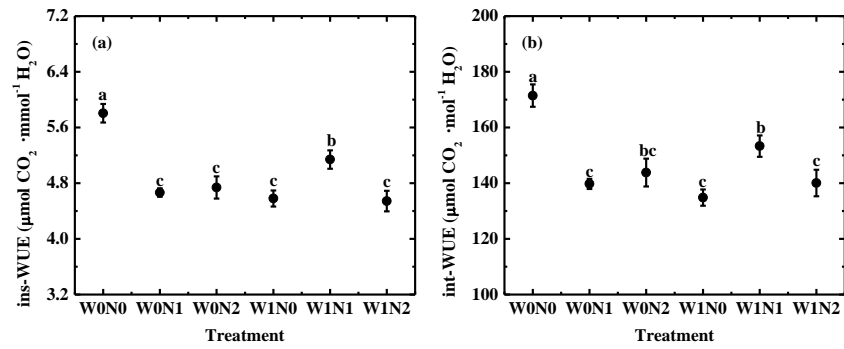
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 635 Fig. 1 The  $\delta^{13}\text{C}$  of assimilating branches of *Haloxylon ammodendron* under water (W) and  
 636 nitrogen (N) additions. The spot represents the mean value of four replicates with error bars  
 637 denoting the standard error (SE).  
 638



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 640 Fig. 2 Variations in photosynthetic rate (a), stomatal conductance (b), water use-efficiency (c) and  
 641  $c_i/c_a$  (d) across water (W) and nitrogen (N) additions. The spot represents the mean value of four  
 642 replicates with error bars denoting the standard error (SE).



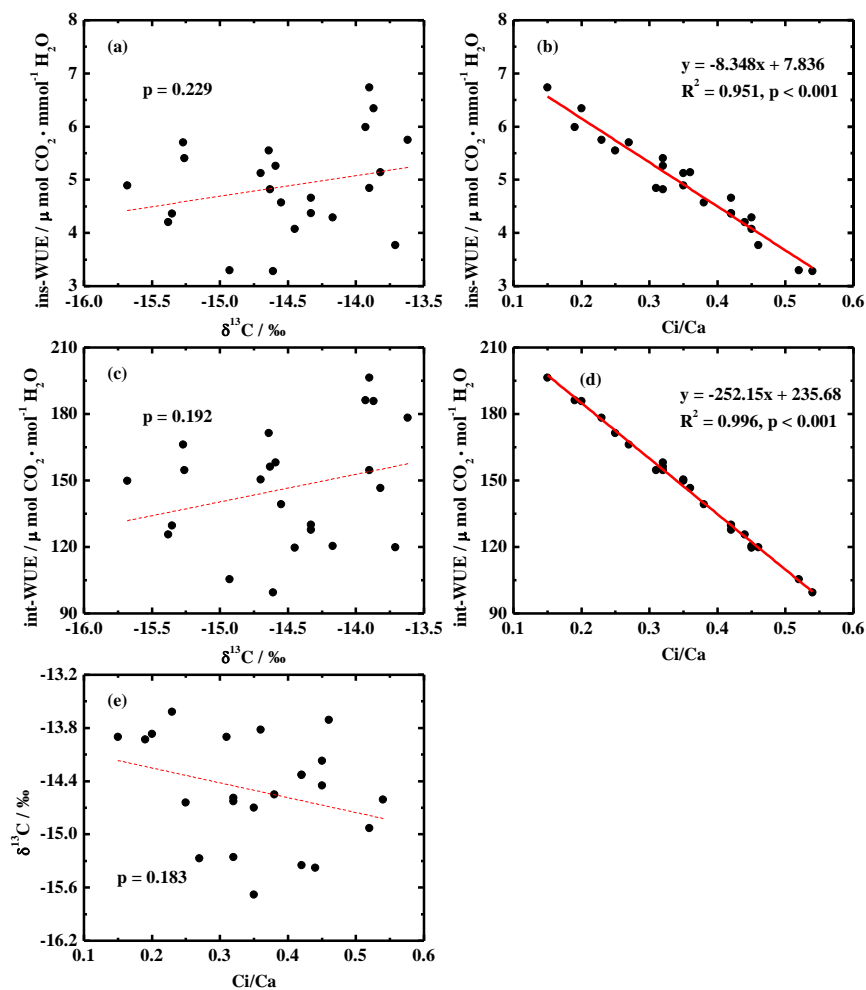
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644 Fig. 3 Variations in ins-WUE (a) and int-WUE (b) across water (W) and nitrogen (N) additions.

645 The spot represents the mean value of four replicates with error bars denoting the standard error

646 (SE).

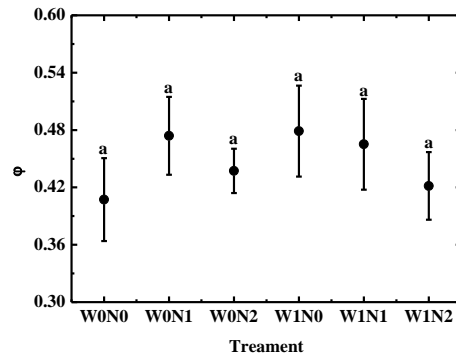
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649 Fig. 4 Correlations of ins-WUE vs.  $\delta^{13}\text{C}$  (a), ins-WUE vs.  $c_i/c_a$  (b), int-WUE vs.  $\delta^{13}\text{C}$  (c),  
650 int-WUE vs.  $c_i/c_a$  (d), and  $\delta^{13}\text{C}$  vs.  $c_i/c_a$  (e) of assimilating branches of *Haloxylon ammodendron*





651  
652 Fig. 5 Variations in  $\phi$  across water (W) and nitrogen (N) additions. The spot represents the mean  
653 value of four replicates with error bars denoting the standard error (SE).  
654  
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