



1 **The rising productivity of alpine grassland under warming,**  
2 **drought and N-deposition treatments**

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10 **Abstract**

11 We conducted a four-year warming × moisture × N-deposition field-experiment (AlpGrass) with 216 turf  
12 monoliths from six different subalpine pastures (sites of origin). At a common location, the monoliths were  
13 replanted at six climate scenario sites (CS) along an altitudinal gradient from 2360 to 1680 m a.s.l., representing  
14 an April - October temperature change of -1.4 °C to +3.0 °C, compared to CS<sub>reference</sub> with no temperature change  
15 and with climate conditions comparable to the sites of origin. We further applied an irrigation treatment (+12-  
16 21 % of ambient precipitation) and an N-deposition treatment (+3 kg and +15 kg N ha<sup>-1</sup> a<sup>-1</sup>), the latter simulating  
17 a fertilizing air pollution effect.

18 Moderate warming led to increased productivity. Across the four-year experimental period, the mean annual  
19 yield peaked at intermediate CSs (+43 % at +0.7 °C and +44 % at +1.8 °C), coinciding with c. 50 % of days  
20 with dry soil during the growing season (growing-season-days with soil moisture <40 %). The yield increase  
21 was smaller at the lowest, warmest CS (+3.0 °C), but was still 12 % larger than at CS<sub>reference</sub>. Days with dry soil  
22 explained the average yield-differences among CSs well. Irrigation had a significant effect on yield (+16-19 %)  
23 in dry years, whereas atmospheric N-deposition did not result in a significant yield response. We conclude that  
24 productivity of semi-natural, highly diverse subalpine grassland will increase in the near future. Despite  
25 increasingly limiting soil water content, plant growth will respond positively to up to +1.8 °C warming during  
26 the growing period, corresponding to +1.3 °C annual mean warming.



## 27 **1 Introduction**

28 The present period of global warming is most pronounced in the cold regions of high altitude and high latitude  
29 (Core writing team, IPCC 2014). The productivity of these ecosystems is temperature-limited, and even though  
30 the temporal distribution of total annual radiation differs, they share many similarities. After the temperature  
31 decline following the Holocene climate optimum (ca. 9000 - 6000 a BP; Vinther et al., 2009), they are now  
32 experiencing a rapid rewarming.

33 In cold environments, the perspective on climate change is different compared to temperate and warm  
34 environments. First, mitigation of the thermal growth limitation is likely to have beneficial effects. Second, the  
35 warming-associated drought-risk is lower. The evaporative demand is much lower and at least the initial water  
36 supply for plant growth is granted, because even a small winter snowpack supplies a large soil moisture resource  
37 in spring. Third, in many regions the warming comes along with rising atmospheric nitrogen (N) deposition,  
38 originating from agriculture and fossil fuel burning. Atmospheric N deposition can be as little as  $<5 \text{ kg N ha}^{-1} \text{ a}^{-1}$   
39 at remote mountain sites (Rihm and Kurz, 2001), but can reach rates  $>40 \text{ kg N ha}^{-1} \text{ a}^{-1}$  elsewhere in Switzerland  
40 (Rihm and Achermann, 2016). This fertilizing air pollution agent promotes plant growth and has the potential to  
41 reduce plant species diversity by favoring fast growing species (Vitousek et al., 1997; Bobbink et al., 2010;  
42 Phoenix et al., 2012). Alone and in interaction, all three factors increase the ecosystem plant productivity  
43 potential.

44 However, previous warming experiments on plant productivity have shown inconsistent results. For example,  
45 tundra vegetation showed a twofold productivity increase, driven by increased summer temperature (Van der  
46 Wal and Stien, 2014). In contrast, Liu et al. (2018) combined long-term observations with a manipulative  
47 experiment to find that total net primary productivity (NPP) in Tibetan grassland remained unaffected, though  
48 grasses were favored over forbs and sedges by drought and warmth. In yet another meta-analysis, only 13 out of  
49 20 experimental grassland sites revealed small increases of plant productivity due to warming (Rustad et al.,  
50 2001): while grassland ecosystems in general showed both positive and negative responses, the colder tundra  
51 systems (high latitude or altitude) with lower precipitation had positive and larger productivity responses to  
52 warming. Given that essential ecosystem services strongly co-depend on plant productivity (e.g., forage supply  
53 for livestock and wildlife, soil erosion control and support of the biological carbon sink), an improved  
54 knowledge on how climate warming affects productivity of colder grassland-systems is required.

55 A common restriction for the usability of climate change experiments for ecosystem productivity projections  
56 lies in the low number of concurrently manipulated environmental factors (Rustad 2008; but see Dukes et al.,  
57 2005 for an exception). This potentially leads to an overestimation of effects when data from several, single  
58 factor experiments are combined in meta-analyses or models (Leuzinger et al., 2011). Indeed, productivity  
59 responses to combined factors are usually less than additive in size, compared to single treatment responses  
60 (Dieleman et al., 2012; Xu et al., 2013). Not only can a low number of treatment factors, but also a low number  
61 of treatment levels invite overly simplistic interpretation of experimental results, if only a short or linear  
62 segment out of a larger range of biologically possible responses is represented in the data. For example, a hump-  
63 shaped response curve (2-dimensional) under atmospheric N-deposition best described the properties of a soil  
64 C-sink in subalpine grassland (Volk et al., 2016). Similarly, a ridge-shaped response surface (3-dimensional),  
65 driven by temperature and precipitation during 17 experimental years, was needed to explain NPP data (Zhu et



66 al., 2016). These findings suggest that the outcome of a global change productivity-experiment depends to a  
67 large degree on the chosen factor levels and their interaction with the ambient climate during the experiment.  
68 Here, we present four-years of treatment results from a field experiment in the Swiss Alps. We used a variety of  
69 grassland communities by transplanting turf monoliths from six different sites of origin to one common  
70 experimental site, to observe a plant productivity response that is not restricted to a specific species  
71 composition. Turf monoliths were distributed over six levels of altitude to generate a climate gradient. Doing so,  
72 we included not only the temperature change, but also the changing length of the growing period. The between-  
73 year weather variability created a large variety of climate situations within the range of potential growth  
74 conditions. Additionally, a two-level irrigation treatment and a three-level atmospheric N-deposition treatment  
75 were set up. We hypothesized that

- 76 1) The effect of warming on plant growth would be beneficial at moderate warming levels, but detrimental at  
77 high warming levels.
- 78 2) Increased soil water content would mitigate the detrimental effects of excessive warming levels.
- 79 3) N-deposition would exhibit a generally favorable effect on plant growth. This effect would further  
80 increase with higher temperatures and irrigation due to their mitigating effect on thermal and water co-  
81 limitations.



## 82 2 Materials and Methods

83 This experiment (AlpGrass experiment) used grassland monoliths (MLs) to investigate climate change effects  
84 on subalpine pasture ecosystems in the central Alps. At six different sites in the Canton Graubünden,  
85 Switzerland, areas of 1 ha on southerly exposed, moderate slopes were selected at an altitude of ca. 2150 m a.s.l.  
86 to serve as ‘sites of origin’. All six sites were mountain grassland used for summer livestock grazing, within ≤  
87 55 km distance of each other, but their soil (typical depth 20-30 cm) developed either on basic or on acidic  
88 bedrock. Thus, the sites of origin shared very similar climatic conditions, but represented a wide range of soil  
89 properties and plant communities. Plant communities at the sites of origin were generally dominated by grass  
90 and sedge species, but comprised also a substantial share of forb and few legume species. Extensive information  
91 on soil properties and species composition of the different origins can be found in Wüst-Galley et al. (2020).  
92 In June 2012 a total of 252 MLs (6 sites of origin × 42 MLs) of 0.1 m<sup>2</sup> surface area (L × W × H = 37 × 27 × 22  
93 cm) were excavated at the sites of origin. Randomly generated X-Y-coordinates were used to choose the  
94 location of excavation. If a distinct location had sufficiently deep soil and no rocks, if bare soil and woody  
95 species were < 10 %, and if there was no apparent dominance of single plant species, then MLs were extracted.  
96 Else, the next pair of coordinates was probed. MLs were placed into precisely-fitting, well-drained plastic boxes  
97 to facilitate future transport and avoid potential side effects of experimental treatments applied later. To  
98 minimize the disturbance of temperature and moisture conditions, MLs were immediately reinserted into the  
99 ground at their respective site of origin.  
100 Half a year later, in November 2012, 36 MLs were transported from each site of origin to the common AlpGrass  
101 experimental site, while 6 MLs each remained at their original site to allow for an assessment of the  
102 transplanting effect. Standardizing harvests were done in 2012 (zero-year) and 2013 (acclimation), while  
103 quantitative harvests used in this analysis continued from 2014 to 2017.

104

### 105 2.1 Experimental site and treatment design

106 The AlpGrass experimental site is located on the south slope of Piz Cotschen (3029 m), above Ardez in the  
107 Lower Engadine valley (Graubünden, Switzerland). The site as a whole covers a 680 m altitudinal gradient,  
108 characterized by a vegetation change from montane forest (WGS 84 N 46.77818°, E 10.17143°) to subalpine  
109 grassland (WGS 84 N 46.79858°, E 10.17843°). Along the gradient, six separate climate scenario sites (CS)  
110 were located at different altitudes (CS1: 2360 m, CS2: 2170 m, CS3: 2040 m, CS4: 1940 m, CS5: 1830 m, CS6:  
111 1680 m a.s.l.). Because CS2 had a similar altitude as the sites of origin, it was chosen as a reference site  
112 (hereafter CS<sub>reference</sub>). CS<sub>reference</sub> and sites of origin are all characterized by cold winters with permanent snow  
113 cover. The snow-free period lasts approximately from May to October, with a mean April – October air  
114 temperature of 6.5 °C during the experiment (Tab. 1). Annual mean temperature at CS<sub>reference</sub> was 3.2 °C and  
115 mean precipitation sum was 748 mm (Tab. 2).

116 At each of the 6 CS, 6 MLs from each of the six sites of origin were installed in the ground within their drained  
117 plastic boxes, flush with the surrounding grassland surface, resulting in 36 MLs per CS and a total of 216  
118 transplanted MLs. Monoliths were arranged side by side without a separating gap or buffer zone; occasional  
119 gaps between MLs and the surrounding turf were filled with soil to prevent air flow. The grassland surrounding  
120 the MLs was frequently mown to prevent the introduction of new species/genotypes by seed dispersal.



121 At each CS, an irrigation and an N-deposition treatment were set up in a cross-factorial design. One half of the  
 122 36 MLs (3 MLs per site of origin) received only ambient precipitation and no additional water, the other half  
 123 received additional water during the growing season. Within each irrigation treatment, MLs were subjected to an  
 124 N treatment representing three levels of atmospheric N-deposition (treatment details below, and see Appendix  
 125 Tab. A1 for a schematic description). At each CS, irrigation and N treatments were arranged in a randomized  
 126 complete block design (six blocks each containing all six irrigation × N treatment combinations). Moreover,  
 127 MLs of the six sites of origin were assigned to the six blocks by restricted randomization so that an equal  
 128 distribution of sites of origin to all blocks was ensured.  
 129

Site	Alt. (m)	Air temp. (Mean, °C) ±1SE		Δ T (°C)	DD0°C <sub>total</sub>	Pre-harvest period	
		Apr. – Oct.	annual	Apr. – Oct.	Mean ±1SE	# Days	±1SE
CS1	2360	5. ±0.17	1.6 ±0.20	-1.4	1156 ±50	78	±4.3
CS2 <sub>reference</sub>	2170	6. ±0.17	3.2 ±0.23	0.0	1440 ±43	91	±3.8
CS3	2040	7. ±0.17	3.7 ±0.20	0.7	1649 ±67	107	±4.4
CS4	1940	8. ±0.16	4.7 ±0.25	1.5	1746 ±71	104	±2.8
CS5	1830	8. ±0.17	4.6 ±0.21	1.8	1829 ±10	97	±3.4
CS6	1680	9. ±0.17	5.8 ±0.21	3.0	2095 ±14	104	±3.5

130 **Table 1** Climatic parameter means across years (±1SE) at the climate scenario sites (CS) during the experiment:  
 131 Mean air temperature from April to October and for the whole year, April – Oct. air temperature difference (Δ  
 132 T) of respective CS' compared to CS2<sub>reference</sub>. Degree days above 0 °C for the snow free period between annual  
 133 harvests (DD0°C<sub>total</sub>). Pre-harvest period length is the number of days between snow-melt and harvest.  
 134  
 135

Site	Alt. (m)	Precipitation (sum, mm)		Dry days (%)		Harvest
		Apr. – Oct.	annual	not irrigated	irrigated	Date (Ø)
CS1	2360	674 ±18	752 ±20	27 ±5.3	17 ±5.1	12 Aug
CS2 <sub>reference</sub>	2170	656 ±27	748 ±27	31 ±1.7	20 ±2.7	26 July
CS3	2040	629 ±26	732 ±21	42 ±5.2	24 ±4.3	22 July
CS4	1940	614 ±20	739 ±22	33 ±2.2	24 ±3.5	14 July
CS5	1830	628 ±20	780 ±17	55 ±4.4	41 ±5.0	09 July
CS6	1680	570 ±19	687 ±21	73 ±3.1	53 ±4.5	05 July

136 **Table 2** Precipitation sums for the climate scenario sites, aggregated from April to October and annually. For  
 137 comparison: The closest Swiss Federal Office for Meteorology station (Scuol, 1303 m a.s.l., 9 km distance)  
 138 reported 662 mm mean annual precipitation during the experiment. Dry days (%) indicates the percentage of  
 139 days during the pre-harvest period with SWC <40 %. The phenology triggered harvest date reflects the delayed  
 140 vegetation development at higher altitudes.



141 **2.2 Climate scenario site (CS) climate change treatment**

142 The different altitudes of the CSs created a climate change scenario treatment, commencing in November 2012,  
143 when the MLs were installed at the AlpGrass site, and ending in 2017 with the final harvest. The difference in  
144 altitude between the sites of origin and the respective CS at the AlpGrass experimental site determined the  
145 change of climatic conditions that the transplanted MLs experienced. These conditions include the mean  
146 growing period temperature, from April to October. We assumed the evenly moderate temperature (ca. 0 °C)  
147 under the winter snow cover to be of little importance for differences in ecosystem productivity. The CS  
148 temperature treatment was specified as the deviation from CS<sub>2reference</sub> temperature. The thermal energy was  
149 expressed as degree day values (DD0°C), resulting from hourly air temperature means above a threshold of  
150 0 °C, added for one day, then divided by 24. To quantify the total thermal energy available we summed degree  
151 days during the snow-free period between the annual harvests (DD0°C<sub>total</sub>), considering that the perennial  
152 vegetation continues to grow after mowing.

153 Differences in volumetric soil water content (SWC) were quantified as ‘percent (%) dry days’. This represents  
154 the proportion of days during the growing period with a SWC < 40 %. The < 40 %-threshold does not  
155 necessarily imply strong plant growth limitation, but it reliably provided a good contrast for differences in the  
156 soil moisture status between the CSs and between years.

157

158 **2.3 Irrigation treatment**

159 An irrigation treatment with two levels was set up to distinguish the warming effect from the soil moisture  
160 effect, driven by warming. In several applications throughout the growing period, precipitation equivalents of 20  
161 mm were applied to the MLs under the irrigation treatment. The total amount of water added per ML was 80,  
162 120, 120 and 80 mm in 2014, 2015, 2016 and 2017, respectively. These amounts were equivalent to 12-21 % of  
163 the recorded precipitation sum during the growing periods.

164

165 **2.4 N-deposition treatment**

166 The N-deposition treatment consisted of three levels. Atmospheric N-deposition from air pollution was  
167 simulated to amount to a deposition of 3 and 15 kg N ha<sup>-1</sup> a<sup>-1</sup>, on top of the present background deposition. We  
168 used a 200 ml ammonium nitrate (NH<sub>4</sub><sup>+</sup> NO<sub>3</sub><sup>-</sup>)/water solution per monolith, which was applied in twelve, ca. bi-  
169 weekly fractions, covering the growing period. Monoliths without additional N-deposition received water  
170 without ammonium nitrate.

171

172 **2.5 Meteorology**

173 At all six CS we measured air temperature, relative humidity (Hygroclip 2 in an unspirated radiation shield,  
174 Rotronic, Switzerland), and precipitation (ARG100 tipping bucket raingauge, Campbell Scientific, UK). Soil  
175 temperature and SWC were measured at 8 cm depth in 6 MLs each at topmost CS1 and intermediate CS3, CS4  
176 and CS5, using a SWC reflectometer with 12 cm rods (CS655, Campbell Scientific, UK). At CS<sub>2reference</sub> and  
177 lowest CS6 these values were measured in 18 MLs and two points in the surrounding grassland. The  
178 measurement interval for all parameters was 10 minutes originally and was later integrated for longer periods as  
179 necessary.



180 At each site of origin we installed Hobo U12-008 data loggers with TMC-HD sensors (Onset Computer  
181 Corporation, USA) in three monoliths and one spot in the undisturbed, surrounding grassland for comparison  
182 with the reference climate scenario site CS<sub>2reference</sub>.  
183 Ambient wet N-deposition was measured at CS<sub>2reference</sub> and lowest CS6 using bulk samplers (VDI 4320 Part 3,  
184 2017; c.f. Thimonier et al., 2019) between April 2013 and April 2015. Nitrate (NO<sub>3</sub><sup>-</sup>) in rainwater and melted  
185 snow was analyzed by ion chromatography (ICS-1600, Dionex, USA) and NH<sub>4</sub><sup>+</sup> was analyzed using a flow  
186 injection analyzer (FIAstar 5000, Foss, Denmark) with gas diffusion membrane, detection was completed with  
187 UV/VIS photometry (SN EN ISO 11732).

188

## 189 2.6 Plant productivity

190 All plant material (including mosses and lichens) of the MLs was cut 2 cm above the soil surface once per year  
191 at canopy maturity. This plant removal serves as a proxy for the short, but intensive summer grazing period of  
192 the traditional management. As a result of the phenology-triggered harvests (anthesis of *Festuca rubra*), the  
193 topmost CS1 was cut on average 38 days later than the lowest CS6. Plants were dried at 60 °C, allowed to cool  
194 in a desiccator and weighed to determine dry matter yield (hereafter biomass yield).

195

## 196 2.7 Data analyses

197 Data were analyzed by linear mixed-effects regression. First, we were interested in the overall response of  
198 biomass yield over years as affected by the treatment factors. To this aim, biomass yield was averaged across  
199 the four experimental years (2014-2017) and was regressed on CS (factor of 6 levels), irrigation (factor of 2  
200 levels), and N-deposition (factor of 3 levels), including all interactions. ‘Site of origin’ (6 sites) and block (36  
201 levels: 6 CS × 6 blocks) were modelled as random factors (random intercepts). Restricted maximum likelihood  
202 was used for parameter estimation. For the inference on fixed effects, the Kenward–Roger method was applied  
203 to determine the approximate denominator degrees of freedom (Kenward and Roger 1997), and the marginal  
204 and conditional  $R^2$  of the model were computed following Nakagawa and Schielzeth (2013). Differences in  
205 biomass yield between single CSs and the CS<sub>reference</sub> were tested based on the model contrasts (post-hoc *t*-tests,  
206 without using multiple comparisons). To receive additional insight into within year treatment effects, this very  
207 same model was also applied to data of each of the four individual years.

208 Second, to consider the time effect and the repeated structure of the data, biomass yield of all four years was  
209 regressed on year (factor of 4 levels), CS, irrigation, and N-deposition (factor levels as described), including all  
210 interactions. Here, random factors consisted of an identifier for MLs (216 levels) to consider the potential  
211 correlation of MLs’ biomass yield over years (modelled as random intercept). In addition, the model included  
212 the random factor ‘site of origin’ and allowed for a separate block term at each of the four years (details as  
213 described). Residuals of all models were evaluated for normality and homoscedasticity and fulfilled assumptions  
214 of linear mixed-effects regression. Finally, to gain insight into effects of thermal energy and drought on plant  
215 productivity, biomass yield was modeled as function of each DD0°C<sub>total</sub> and percent dry days using generalized  
216 additive models (GAM). Generalized additive models had to be used as simple linear models could not  
217 appropriately handle these relationships. The GAMs included the fixed factor irrigation and a smooth term for  
218 the continuous variables DD0°C<sub>total</sub> and percent dry days, respectively, for both levels of the irrigation treatment.  
219 Model validation revealed that the assumptions of GAMs were met. All data was analyzed with the statistics





220 software R, version 4.0.0 (R Core Team 2020) and packages lme4 for linear-mixed effect models (Bates et al.,  
221 2015) and mgcv for GAMs (Wood, 2017).



222 **3. Results**

223

224 **3.1 Climate scenario site (CS) environmental conditions**

225 **3.1.1 Low atmospheric background N-deposition**

226 Total N-deposition was 3.3 kg N ha<sup>-1</sup> a<sup>-1</sup> at CS2<sub>reference</sub> and 4.3 kg N ha<sup>-1</sup> a<sup>-1</sup> at the lowest CS6. The seasonal  
227 distribution showed peak deposition rates in June and July.

228

229 **3.1.2 Consistent temperature, precipitation and drought changes with altitude**

230 The mean Apr. – Oct. temperature gradient of up to +3 °C compared to CS2<sub>reference</sub>, distributed over four  
231 altitudinal levels (CS3 – CS6), constituted the warming treatment. Conversely, temperature at the topmost CS1  
232 constituted a cooling treatment (Δ temp. -1.4 °C), extending the range of temperature responses tested (Δ temp.,  
233 Tab. 1). As intended, the DD0°C<sub>total</sub> steadily increased from CS2<sub>reference</sub> to lowest CS6. The pre-harvest period  
234 (PHP) length was fairly similar among CSs, because the early snow-melt at the lower CS was compensated by  
235 an early harvest (Tab. 1).

236 We observed a small, non-continuous increase of precipitation with altitude during April – October. The  
237 recorded annual precipitation sum was somewhat larger than the sum for the growing period (Tab. 2).

238 The length of the period with dry soil (% dry days) doubled along the altitudinal gradient: At the two top CSs  
239 only one third of the pre-harvest period was dry, compared to two thirds of the time at the lowest site CS6  
240 (compare Tables 1 & 2). The irrigation treatment reduced the incidence of dry days to 60-80 % of the non-  
241 irrigated situation (Tab. 2).

242

243 **3.1.3 Small transplantation effects on soil temperature and moisture**

244 At the sites of origin, the mean April – October soil temperatures in the undisturbed grassland were 8.8 ° (±0.3)  
245 compared to 8.9 °C (±0.3) in the monoliths. At CS2<sub>reference</sub> this difference was 9.2 ° vs. 9.5 °C. Thus, the  
246 surrounding grassland at CS2<sub>reference</sub> site was on average 0.4 °C warmer than at the sites of origin, and monoliths  
247 at CS2<sub>reference</sub> were 0.3 °C warmer than the undisturbed grassland surrounding the experiment. Volumetric SWC  
248 in the undisturbed grassland was 1 % lower on average compared to SWC in the monoliths at CS2<sub>reference</sub> and  
249 lowest CS6.

250

251 **3.2. Yield**

252 **3.2.1 Insignificant transplantation effect**

253 The mean annual yield was 20 % larger at CS2<sub>reference</sub> (control treatment MLs), compared to the origins (162 g  
254 m<sup>-2</sup>; ±12.7), but not significantly different ( $P = 0.19$ ; paired, two-sided  $t$ -test). Equally important, the difference  
255 showed no trend, as in some years the yield at CS2<sub>reference</sub> was higher, in some years it was lower compared to  
256 the sites of origin.

257

258 **3.2.2 Strongest climate scenario site effect at intermediate CS**

259 Across the four years, we found a highly significant effect of the CS on aboveground biomass yield (Tab. 3).  
260 Intermediate warming increased yields by +43 %, +18 % and +44 % at sites CS3, CS4 and CS5, respectively  
261 (Tab. 4,  $P \leq 0.05$  at least). Even at the warmest site CS6 the yield was still +12 % larger compared to the



262 CS<sub>2reference</sub> site. The coldest site CS1 was not less productive than CS<sub>2reference</sub>. In the year of the overall  
263 maximum productivity (2016), both the coldest site CS1 and the warmest site CS6 produced their respective  
264 record yield (Tab. 4). Overall, the yields of the 24 combinations of year × CS varied by a factor of 2.1 (yields  
265 averaged across irrigation and N-deposition treatments). The yield response to CSs differed between years  
266 (Appendix Tab. A2, year × CS interaction:  $P < 0.001$ ) in that the CS effect became weaker towards the end of  
267 the experiment (Appendix Tab. A3).  
268  
269

Variable	df <sub>num</sub>	df <sub>den</sub>	F-value	P
Climate Scenario (CS)	5	29.1	14.9	< 0.001
Irrigation	1	145.2	6.5	0.012
N	2	145.2	1.3	0.287
CS × Irrigation	5	145.2	1.1	0.352
CS × N	10	145.2	0.5	0.864
Irrigation × N	2	145.2	1.1	0.348
CS × Irrigation × N	10	145.2	1.3	0.241

df<sub>num</sub>: degrees of freedom of term; df<sub>den</sub>: degrees of freedom of error (which can be fractional in restricted maximum likelihood analysis)

270 **Table 3** Summary of analyses for the effects of climate scenario site (CS), irrigation and N deposition on  
271 aboveground biomass yield of subalpine grassland. Data were averaged across the four experimental years (total  
272  $n = 216$ ). *F*-tests refer to the fixed effects of the linear mixed-effects model. The marginal and conditional  $R^2$   
273 were 0.41 and 0.50, respectively.



274  
 275  
 276

Year	CS2 <sub>reference</sub>		Aboveground biomass yield (g m <sup>-2</sup> , means ±1SE)													
	% dry days	DD0°C <sub>total</sub>	CS1	CS2 <sub>reference</sub>	CS3	CS4	CS5	CS6	CS mean	CS1	CS2	CS3	CS4	CS5	CS6	CS mean
2014	30	1353	149 <sup>ns</sup> ±8.0	170 ±11.0	238 <sup>***</sup> ±8.8	203 <sup>*</sup> ±11.6	255 <sup>***</sup> ±15.2	152 <sup>ns</sup> ±10.5	194 ±5.3	149 <sup>ns</sup>	170	238 <sup>***</sup>	203 <sup>*</sup>	255 <sup>***</sup>	152 <sup>ns</sup>	194
2015	38	1359	147 <sup>ns</sup> ±8.1	138 ±5.8	248 <sup>***</sup> ±12.1	171 <sup>†</sup> ±8.9	310 <sup>***</sup> ±13.6	198 <sup>***</sup> ±8.8	202 ±5.7	147 <sup>ns</sup>	138	248 <sup>***</sup>	171 <sup>†</sup>	310 <sup>***</sup>	198 <sup>***</sup>	202
2016	22	1509	230 <sup>ns</sup> ±8.7	222 ±9.1	297 <sup>***</sup> ±10.2	247 <sup>ns</sup> ±11.1	271 <sup>**</sup> ±15.3	250 <sup>†</sup> ±9.8	253 ±4.7	230 <sup>ns</sup>	222	297 <sup>***</sup>	247 <sup>ns</sup>	271 <sup>**</sup>	250 <sup>†</sup>	253
2017	34	1541	152 <sup>ns</sup> ±8.5	166 ±7.8	208 <sup>*</sup> ±10.0	201 <sup>*</sup> ±11.7	169 <sup>ns</sup> ±9.1	176 <sup>ns</sup> ±8.3	178 ±4.0	152 <sup>ns</sup>	166	208 <sup>*</sup>	201 <sup>*</sup>	169 <sup>ns</sup>	176 <sup>ns</sup>	178
Mean	36	1440	170 <sup>ns</sup> ±7.1	174 ±6.9	248 <sup>***</sup> ±7.9	205 <sup>*</sup> ±9.0	251 <sup>***</sup> ±11.5	194 <sup>ns</sup> ±6.9		170 <sup>ns</sup>	174	248 <sup>***</sup>	205 <sup>*</sup>	251 <sup>***</sup>	194 <sup>ns</sup>	

277 \*\*\*  $P \leq 0.001$ , \*\*  $P \leq 0.01$ , \*  $P \leq 0.05$ , <sup>†</sup>  $P \leq 0.1$ , <sup>ns</sup>  $P > 0.1$

278 **Table 4** Aboveground biomass yield (means ±1SE) per CS and year, averaged across irrigation, N-deposition treatments, and site of origin. Within each year, significance  
 279 tests are against CS2<sub>reference</sub>, based on the model contrasts derived from linear mixed-effects regression (see Appendix Tab. A1, for the respective model summary).



280 **3.2.3 Irrigation effect in dry years**

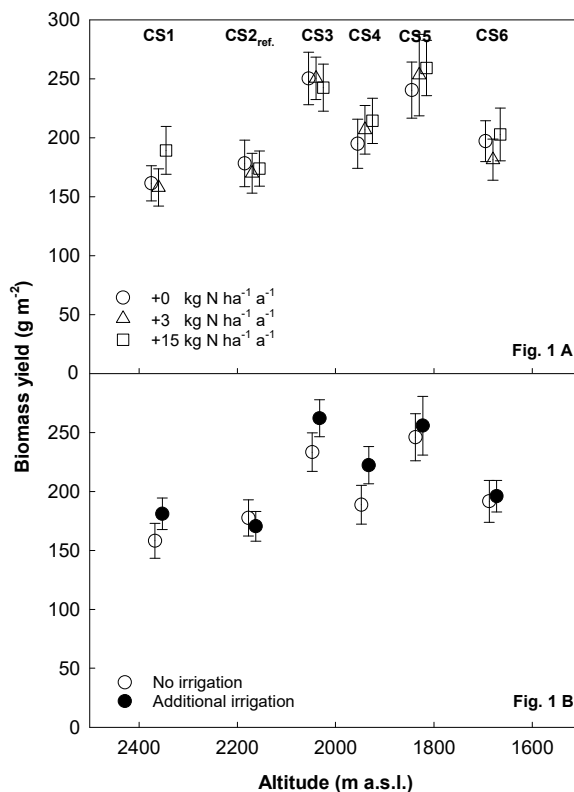
281 Despite a mere +7.7 % average yield increase (Fig. 1 B), irrigation turned out to be a significant factor across years  
282 (Table 3). Yet, the effect of irrigation differed between years (Appendix Tab. A2, year × irrigation interaction:  $P <$   
283 0.001), and single years analysis detected positive effects of irrigation only in 2015 (+15.8 %) and 2017 (+18.8 %)  
284 (Appendix Tab. A3). In these years, the percentage of days with dry soil was highest.

285

286 **3.2.4 No nitrogen deposition effect**

287 Five years of experimentally increased atmospheric nitrogen deposition (+3 and +15 kg N ha<sup>-1</sup> a<sup>-1</sup>) did not cause a  
288 significant response of biomass yield (Fig. 1 A; Tab. 3). Moreover, there was no significant interaction detected  
289 between the N-treatment and the factors CS or irrigation. Single years analysis, to test for a late response to  
290 accumulating amounts of N, revealed a marginally significant effect only in 2016 (Appendix Tab. A3).

291



292 **Figure 1 A, B** Aboveground biomass yield as a function of the altitude of CSs. Data were averaged across years;  
293 circles denote means ±1 SE. Warming and dry days (%) increase with decreasing altitude from left to right. **A)** Yield



294 values grouped by N-deposition treatment (0, 3 and 15 kg N ha<sup>-1</sup> a<sup>-1</sup>, in addition to 4-5 kg N background deposition).  
295 **B)** Yield values grouped by irrigation treatment. Overlapping means and SEs are shifted horizontally to improve  
296 their visibility.

297

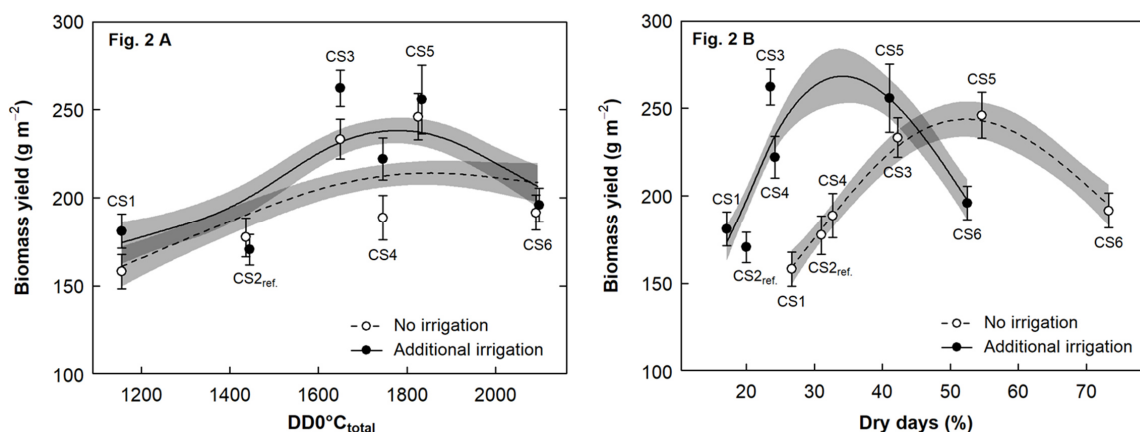
### 298 3.2.5 Climate scenarios strongly relate to temperature and soil moisture changes

299 Biomass yield at the different CSs was significantly related to thermal energy, expressed as growing DD0°C<sub>total</sub>.

300 Here, intermediate CSs (CS3, CS5) had greatest yields at intermediate values of DD0°C<sub>total</sub>, indicated by the  
301 curvature of the predicted line in particular under irrigated conditions (Fig. 2 A, Appendix Tab. A4, smooth term for  
302 DD0°C<sub>total</sub>:  $P < 0.001$ ).

303 Similarly, biomass yield was significantly related to soil moisture content, expressed as percent of days with dry soil  
304 (SWC < 40 %) during the growing season, with intermediate CS3 and CS5 having highest yields at around 50 % of  
305 dry days under no irrigation and at around 30 % dry days under additional irrigation (Fig. 2 B, Appendix Tab. A5,  
306 smooth term for dry days:  $P < 0.001$ ). Under unirrigated conditions, in parallel with a doubling of dry days (from  
307 27 % at topmost CS1 to 55 % at intermediate CS5), yield consistently rose and only fell at the driest and warmest  
308 site CS6, with 73 % dry days.

309



310 **Figure 2 A, B** Aboveground biomass yield at the six CS as **A)** a function of total received thermal energy  
311 (DD0°C<sub>total</sub>), and **B)** percent of days with dry soil (SWC < 40 %) during the growing season (dry days %). Data were  
312 averaged across years; circles denote means ±1 SE per CS and irrigation treatment. The predicted line is based on a  
313 generalized additive model using all data (±1 SE light grey shaded). Dark grey indicates the cross-section of the two  
314 SE bands. Overlapping means and SEs in A) are shifted horizontally to improve their visibility.



#### 315 4 Discussion

316 We found a substantial and significant positive effect of climate scenario warming (up to +1.8 °C) on aboveground  
317 biomass yield of subalpine grasslands (up to +44 %). Contrary to expectation, additional resource supply through  
318 irrigation and N-deposition had only marginal (water) or no effects (N) on yield, respectively. Our transplanting  
319 experiment proved to be efficient in assessing several linked climate change drivers in their effect on plant growth.  
320

##### 321 4.1 Climate scenario temperature effects

322 The phenology-triggered harvest opened the possibility to extend the growing period in cool years and shorten the  
323 exposure to drought stress in warm years. Thus, beneficial thermal effects were maximized, while detrimental  
324 drought effects were minimized. As a consequence, we displayed the yield over a continuous x-axis of degree days  
325 between harvests ( $DD0^{\circ}C_{total}$ , Fig. 2 A). This represents the available thermal resource, associated with a particular  
326 yield, much better than mean temperatures of CS, or categorical values for CS1-CS6.

327 In cold environments, the warming is so important because the metabolic growth processes, which utilize the  
328 assimilated energy, are strongly temperature dependent, much more so than the assimilation process *per se* (Körner  
329 2003). In a meta-analysis of grassland responses to warming that included 32 sites, distinctly positive warming  
330 effects on growth were found in the colder portion of those ecosystems (Rustad et al., 2001), very similar to  
331 responses in the subalpine grassland of the current study. Interestingly, also the response size of our effects is in the  
332 same range as that reported by Rustad et al., (2001).

333 Plant growth at the intermediate climate scenarios that represented a warming of 0.7 °C, 1.5 °C and 1.8 °C (Apr.-  
334 Oct.) clearly benefitted from greater warmth. However, the increase of responses was somewhat inconsistent (CS4  
335 ca. +18 %, CS3 and CS5 both > +40 %), matching only partly our first hypothesis. The erratic response of  
336 intermediate CS4 is likely the result of an interaction of micro-topography effects on climate that were not detected  
337 by our meteorological measurements, cockchafer (*Melolontha melolontha*) infestation, or the occurrence of mast  
338 years in some species at that CS. In the extreme treatment at lowest CS6 (+3 °C Apr.-Oct., +2.4 °C annual mean) the  
339 increasingly positive response to warming finally ceased, but yield was still somewhat larger than at CS2<sub>reference</sub>. This  
340 demonstrates that the increased thermal growth resource compensated for a radically reduced soil water resource  
341 (compare Figure 2 A & B).

342 Despite substantial cooling at topmost CS1, coinciding with a temperature decline of -1.4 °C, the mean yields for  
343 CS1 and CS2<sub>reference</sub> were very similar (Tab. 4). This is indicative of a plant community that is well cold-adapted.  
344 Indeed, local historical records from the Swiss Federal Office for Meteorology (MeteoSwiss) show that only 100  
345 years ago the local April-October mean air temperature was 1.4-1.5 °C lower than today (30 a running mean,  
346 courtesy P. Calanca using MeteoSwiss data from Segl-Maria site at 1804 m a.s.l.). In effect, the cooling upward-  
347 transplantation represented a climatic time travel of 100 years into the past. Also the dramatic temperature dynamics  
348 during the 12,000 a of the present Holocene interglacial suggest that temperature adaptations, contained in modern  
349 plant genotypes, may actually match not only today's climate conditions. From this perspective, the undiminished  
350 productivity at topmost CS1 is not surprising. Instead, it illustrates that assumed 'control' temperatures in warming



351 experiments only represent the most recent point of an extremely dynamic climatic history, with respect to the  
352 genetic memory of plants.

353

#### 354 **4.2 Climate scenario soil moisture effects**

355 The differences in soil moisture content that resulted naturally from 24 different climatic situations (6 CS/altitude  
356 levels  $\times$  4 years) created a hump-shaped response curve of yield over drought (Fig. 2 B). This does imply that, with  
357 decreasing altitude and increasing warmth, productivity rose despite more dry days.

358 The importance of soil moisture for plant growth has been shown predominantly in much drier grasslands, e.g., in  
359 warmer prairie (Xu et al., 2013) or cold alpine grassland (Wang et al., 2013), where release from drought stress  
360 benefitted growth. For example, along a temperature and altitude gradient in semiarid Tibetan alpine grassland,  
361 productivity increased with altitude due to reduced drought stress, but despite decreasing temperatures. Only after an  
362 800 m rise in altitude, productivity eventually became smaller, and further reduced drought stress did not constitute  
363 a further advantage on plant growth (Wang et al., 2016).

364 In our experiment, soil moisture values and its proxies integrate information on moisture *and* temperature. Thus, the  
365 two-dimensional growth response curve along the altitudinal gradient, peaking at the least detrimental situation  
366 between moisture limitation and thermal limitation (Fig. 2 B), is analogous to the three-dimensional response  
367 surface found in the Jasper Ridge experiment (Zhu et al., 2016). Unfortunately, our experiment did not produce a  
368 sufficient number of data points for a 3-D presentation. Based on these results, we infer that a joint evaluation of soil  
369 moisture and temperature is mandatory to reliably assess warming effects of climate change on plant growth in the  
370 subalpine environment.

371

#### 372 **4.3 Irrigation treatment**

373 We had assumed that increased SWC would mitigate detrimental effects of excessive warming. Surprisingly  
374 however, the overall irrigation effect on yield was not very substantial, despite large differences in the percentages  
375 of dry days during the growing season (Table 2, Fig. 2 B). Moreover, the positive responses did not increase  
376 consistently with warmth, but were strongest at the intermediate CS3 and CS4 (Fig. 1 B). Analyses of individual  
377 years showed that the two significant responses of annual yield to irrigation coincided with the two driest years. This  
378 evidence suggests that maximum mitigation of (low) temperature limitation requires simultaneous release of water  
379 limitation, while at the same time the amount of water applied in our study was insufficient to compensate for  
380 increased evapotranspiration at CS5 and the warmest site CS6.

381

#### 382 **4.4 N-deposition treatment**

383 We hypothesized a generally positive effect of N-deposition on plant growth. Historically, the responsiveness of  
384 (sub-) alpine vegetation to improved nutrient supply was considered to be restricted due to an overriding effect of  
385 thermal energy limitation. Yet studies with very high rates of N application (40-100 kg N ha<sup>-1</sup> a<sup>-1</sup>; Körner et al.,  
386 1997; Heer and Körner 2002) showed substantial yield responses, also at alpine sites. Low N-dose responses of total  
387 plant yield may require N-accumulation over years or a compound interest effect in plant biomass. For example,





388 only in the seventh treatment year a strong, +31 % total yield growth response to 5 kg N ha<sup>-1</sup> a<sup>-1</sup> was reported by  
389 Volk et al., (2014) from subalpine grassland.  
390 Single key species on the other hand showed immediate positive responses to realistic N-deposition rates (20-25 kg  
391 N ha<sup>-1</sup> a<sup>-1</sup>; Bowman et al., 2006; Bassin et al., 2007; Inauen et al., 2012). Similarly, a low dose experiment (5-30 kg  
392 N ha<sup>-1</sup> a<sup>-1</sup>) found no total aboveground biomass response, but a species composition change (Bowman et al., 2012),  
393 indicating a growth benefit for some species at the expense of others. However, such single species responses may  
394 be only transient: a strong *Carex* species response to as little as 5 kg N ha<sup>-1</sup> a<sup>-1</sup> was recently found to cease after five  
395 years (Bassin et al., 2009 and 2013).  
396 Indeed, *Carex spp.* can support a positive N-deposition growth response, but only until warming and drought create  
397 a competitive advantage for grasses over sedges (Liu et al., 2018; Wüst-Galley et al., 2020). Thus, the latest studies  
398 suggest that there is a positive N-deposition × warming interaction on the response of *Carex spp.*  
399 In our experiment, we found no significant overall effect of N-deposition on yield after five years and only a  
400 marginal effect in one year. We thus conclude that the cold-adapted, mature and low productivity grassland either  
401 responds with a >5 year time lag, or that the N-deposition treatment was below the critical load for aboveground  
402 biomass responses.

403

#### 404 4.5 Transplantation

405 The turf monoliths at CS2<sub>reference</sub> were only slightly warmer and moister compared to the sites of origin, suggesting a  
406 low transplantation impact (we have found no transplantation effect data from other experiments to compare with).  
407 However, within the experimental site similar temperature increases between CS2<sub>reference</sub> and CS3 caused a much  
408 larger productivity increase (+43 %). We reason that this incongruence can be explained by the difference in melt-  
409 out time, which was on average only 3 days earlier at CS2<sub>reference</sub> (julian day 118) than at the sites of origin, but 21  
410 days earlier at CS3 than at CS2<sub>reference</sub>. We thus assume that the substantially earlier start of the growing season  
411 caused the stronger growth response, despite a similar temperature change. This effect, induced by the  
412 transplantation of the grassland MLs along the altitudinal gradient, demonstrates the importance of integrating  
413 multiple drivers in climate change experiments to allow for a multi-factor driven plant response.  
414 In our study, the effect of altitude on photosynthesis substrate limitation was considered negligible, compared to the  
415 climate effects. The assimilation conditions of alpine plants have been the subject of investigation for decades. Since  
416 the theoretical considerations of Gale (1972) and the field studies by Körner and Diemer (1987) and Körner et al.,  
417 (1988), a predominant ‘altitude-tolerance’ of photosynthesis is widely accepted. Relevant environmental parameters  
418 that change with altitude (temperature, CO<sub>2</sub> and O<sub>2</sub> partial pressure, vapor-pressure deficit and photosynthetic  
419 photon flux density) have antagonistic effects on assimilation efficiency (see Wang et al., (2016) for a recent  
420 discussion on the topic).



421 **5 Conclusions**

422 Despite dwindling soil water content, the subalpine grassland growth increased to up to +1.8 °C warming during the  
423 growing period (corresponding to +1.3 °C annual mean), compared to present temperatures. Even at the maximum  
424 warming (corresponding to +2.4 °C annual mean) the yield was larger than at the reference site. At the same time -  
425 1.4 °C cooling during the growing period (corresponding to -1.7 °C annual mean) did not reduce plant growth. This  
426 implies that subalpine grassland productivity has likely not increased during the past century warming, but, despite  
427 growing soil moisture deficits, will do so with continued warming in the near future.



428 **Author contribution**

429 MV and SB designed the experiment, MV, ALW and SB conducted field work. MV and MS analyzed the data. MV  
430 led the writing of the manuscript, with significant contribution from MS. All authors contributed critically to the  
431 drafts and gave final approval for publication.

432

433 **Data availability**

434 Data will be made available immediately to individuals upon request by the corresponding author. At a later point  
435 data will be deposited upon request at a publicly accessible repository, according to Swiss federal research  
436 institution guidelines.

437

438 **Competing interests**

439 The authors declare that they have no conflict of interest

440

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545 **Appendix for**  
546  
547 **The rising productivity of alpine grassland under warming,**  
548 **drought and N-deposition treatments**

549

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556 **Appendix Tables**

557 **Table A1** Schematic layout of monolith (ML) arrangement at each CS of the AlpGrass experimental site. At each  
 558 CS, six MLs from each of six sites of origin were transplanted, resulting in 36 MLs. Two irrigation and three N-  
 559 deposition treatments were set up in a cross-factorial design, resulting in six irrigation × N treatment combinations,  
 560 which were assigned to each of the six MLs per site of origin. The six irrigation × N treatment combinations were  
 561 arranged in a randomized complete block design of six blocks. Regarding sites of origin, the MLs were assigned to  
 562 the six blocks in a restricted randomization, so that an equal distribution of sites of origin to all blocks was ensured.  
 563 It follows that the six MLs from each site of origin received all irrigation × N treatment combinations and were evenly  
 564 distributed on the site. Displayed is a possible randomization of irrigation and N treatments per block; at each CS  
 565 separate randomizations were calculated.

Block 1			Block 2			Block 3		
W0.N15	W1.N0	W0.N3	W0.N3	W0.N0	W1.N15	W1.N15	W0.N0	W1.N0
W0.N0	W1.N3	W1.N15	W1.N3	W0.N15	W1.N0	W1.N3	W0.N15	W0.N3
W1.N15	W1.N0	W0.N0	W1.N3	W0.N3	W1.N0	W0.N0	W1.N0	W0.N15
W0.N3	W0.N15	W1.N3	W0.N0	W1.N15	W0.N15	W1.N3	W1.N15	W0.N3
Block 4			Block 5			Block 6		

566  
 567

W0: no additional water (ambient precipitation only), W1: additional water during growing period; N0: no N fertilizer, N3: 3 kg N ha<sup>-1</sup> a<sup>-1</sup>, N15: 15 kg N ha<sup>-1</sup> a<sup>-1</sup>





568 **Table A2** Summary of analyses for the effects of climate scenario (CS), irrigation, and N deposition on  
 569 aboveground biomass yield of subalpine grassland over four experimental years. *F*-tests refer to the fixed  
 570 effects of a linear mixed-effects model that included all four years for a repeated measures analysis. The  
 571 marginal and conditional  $R^2$  were 0.68 and 0.80, respectively.  
 572

Variable	df <sub>num</sub>	df <sub>den</sub>	<i>F</i> -value	<i>P</i>
Year	3	45.5	66.2	< 0.001
Climate Scenario (CS)	5	198.0	18.3	< 0.001
Irrigation	1	166.6	6.2	0.014
N	2	166.6	1.2	0.304
Year × CS	15	63.0	9.6	< 0.001
Year × Irrigation	3	450.5	13.6	< 0.001
Year × N	6	450.5	0.9	0.492
CS × Irrigation	5	166.6	1.1	0.380
CS × N	10	166.6	0.5	0.882
Irrigation × N	2	166.6	1.0	0.365
Year × CS × Irrigation	15	450.5	2.9	< 0.001
Year × CS × N	30	450.5	0.8	0.749
Year × Irrigation × N	6	450.5	1.4	0.199
CS × Irrigation × N	10	166.6	1.2	0.275
Year × CS × Irrigation × N	30	450.5	1.4	0.066

573 df<sub>num</sub>: degrees of freedom of term; df<sub>den</sub>: degrees of freedom of error (which can be fractional  
 574 in restricted maximum likelihood analysis)



575 **Table A3** Summary of analyses for the effects of climate scenario (CS), irrigation, and N deposition on aboveground biomass yield of subalpine grassland at each of  
 576 four experimental years (2014 – 2017). *F*-tests refer to the fixed effects of a linear mixed-effects model to each of the four years.  
 577

Variable	df <sub>num</sub>	2014			2015			2016			2017		
		df <sub>den</sub>	<i>F</i> -value	<i>P</i>	df <sub>den</sub>	<i>F</i> -value	<i>P</i>	df <sub>den</sub>	<i>F</i> -value	<i>P</i>	df <sub>den</sub>	<i>F</i> -value	<i>P</i>
Climate Scenario (CS)	5	28.9	17.2	<0.001	29.5	24.9	<0.001	29.3	4.5	0.004	29.4	4.0	0.006
Irrigation	1	145.2	1.5	0.224	145.1	21.6	<0.001	145.3	1.1	0.290	145.4	19.2	<0.001
N	2	145.2	0.7	0.481	145.1	0.5	0.610	145.3	2.6	0.078	145.4	0.3	0.728
CS × Irrigation	5	145.2	2.3	0.048	145.1	2.0	0.080	145.3	1.8	0.126	145.4	0.8	0.563
CS × N	10	145.2	0.5	0.912	145.1	0.7	0.751	145.3	0.9	0.531	145.4	0.5	0.896
Irrigation × N	2	145.2	1.9	0.151	145.1	0.8	0.448	145.3	0.7	0.509	145.4	1.2	0.290
CS × Irrigation × N	10	145.2	1.5	0.157	145.1	1.0	0.429	145.3	1.5	0.157	145.4	1.3	0.226

578 df<sub>num</sub>: degrees of freedom of term; df<sub>den</sub>: degrees of freedom of error (which can be fractional in restricted maximum likelihood analysis)  
 579



580 **Table A4** Summary of analyses for the effects of total received thermal energy ( $DD0^{\circ}C_{total}$ ) on aboveground  
 581 biomass yield of subalpine grassland under two irrigation treatments. Data were averaged across the four  
 582 experimental years ( $n = 216$ ).  $F$ -values and approximate  $P$ -values refer to a generalized additive model that used  
 583 a smooth term for each irrigation treatment.

584

Parametric terms	df	$F$ -value	$P$
Irrigation	2	1613.0	< 0.001
Smooth terms	edf	$F$ -value	$P$
s( $DD0^{\circ}C_{total}$ ) – No irrigation	1.72	7.7	< 0.001
s( $DD0^{\circ}C_{total}$ ) – Additional irrigation	2.34	10.2	< 0.001

585 df: degrees of freedom; edf: effective degrees of freedom (which can be fractional  
 586 in smooth terms of generalized additive models)

587 s: smoothing function applied on term

588

589

590

591

592

593 **Table A5** Summary of analyses for the effects of percent dry days during the growing season (dry days %) on  
 594 aboveground biomass yield of subalpine grassland under two irrigation treatments. Data were averaged across the  
 595 four experimental years ( $n = 216$ ).  $F$ -values and approximate  $P$ -values refer to a generalized additive model that  
 596 used a smooth term for each irrigation treatment.

597

Parametric terms	df	$F$ -value	$P$
Irrigation	2	412.6	< 0.001
Smooth terms	edf	$F$ -value	$P$
s(Dry days %) – No irrigation	2.55	11.9	< 0.001
s(Dry days %) – Additional irrigation	2.70	10.7	< 0.001

598 df: degrees of freedom; edf: effective degrees of freedom (which can be fractional  
 599 in smooth terms of generalized additive models)

600 s: smoothing function applied on term

601