Does drought advance the onset of

- autumn leaf senescence in temperate
- deciduous forest trees?

Bertold Mariën^{1,*}, Inge Dox¹, Hans J De Boeck¹, Patrick Willems², Sebastien Leys¹, Dimitri Papadimitriou³ and Matteo Campioli¹

¹PLECO (Plants and Ecosystems), Department of Biology, University of Antwerp, 2160 Wilrijk, Belgium
²Hydraulics Division, KU Leuven, Kasteelpark Arenberg 40, 3001, Leuven, Belgium

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11 12 ³IDLab (Internet Data Lab), Department of Mathematics and Computer Science, University of Antwerp, 2000 Antwerp, Belgium

- *Author for correspondence:
- Bertold Mariën
- Tel: 032659333
- Email: bertold.marien@uantwerpen.be

20 Abstract

- Severe droughts are expected to become more frequent and persistent. However, their effect on autumn leaf senescence, a key process for deciduous trees and ecosystem functioning, is currently unclear. We hypothesized that (I) severe drought advances the onset of autumn leaf senescence in temperate deciduous trees and that (II) tree species show different dynamics of autumn leaf senescence under drought. We tested these hypotheses using a manipulative experiment on beech saplings and three years of monitoring mature beech, birch and oak trees in Belgium. The autumn leaf senescence was derived from the seasonal pattern of the chlorophyll content index and the loss of canopy greenness using generalized additive models and piece-wise linear regressions. Drought and associated heat stress and increased atmospheric aridity did not affect the onset of autumn leaf senescence in both saplings and mature trees, even if the saplings showed a high mortality and the mature trees an advanced loss of canopy greenness. We did not observe major differences among species. Synthesis: The timing of autumn leaf senescence appears conservative across years and species, and even independent on drought, heat and increased atmospheric aridity. Therefore, to study autumn senescence and avoid confusion among studies, seasonal chlorophyll dynamics and loss of canopy greenness should be considered separately.

63 Key words

- 64 Autumn leaf senescence, *Betula pendula*, Drought, Heat stress and increased atmospheric aridity , *Fagus*
- *sylvatica*, Generalized additive mixed models, Leaf coloration and fall, *Quercus robur*, Rainfall deficit

66 1. Introduction

67 Autumn leaf senescence is a developmental stage of the leaf cells. The core function of this process is the 68 remobilization of nutrients and death is its consequence (Medawar, 1957;Keskitalo et al., 2005). Its 69 evolutionary purpose is likely stress resistance and, as such, the process dynamics are affected by different 70 forms of environmental stress (e.g. high temperatures, water logging) (Benbella and Paulsen, 1998;Leul 71 and Zhou, 1998; Munné-Bosch and Alegre, 2004). The process of autumn leaf senescence is highly 72 coordinated and characterized by a tight control over its timing. Furthermore, its most manifest feature, 73 the detoxification of chlorophyll, allows the degradation of leaf macromolecules and subsequent nutrient 74 remobilization -the essence of autumn leaf senescence- (Hörtensteiner and Feller, 2002;Munné-Bosch 75 and Alegre, 2004; Matile, 2000). In addition, chlorophyll degradation allows for the typical leaf coloration 76 during autumn. However, autumn leaf senescence is also an important process at the ecosystem scale 77 because it affects multiple ecological processes, such as trophic dynamics, tree growth or the exchange 78 of matter and energy between the ecosystem and atmosphere (Richardson et al., 2013).

80 Despite its relevance, literature on Literature reports several definitions of autumn senescence has 81 maintained a wide variety of definitions and of multiple observational methods to measure autumn 82 senescence (Gill et al., 2015; Fracheboud et al., 2009; Gallinat et al., 2015). This has hampered our 83 understanding of the effects of drought stress on the timing of the onset of autumn leaf senescence, as 84 opposed to the timing of leaf abscission or accelerated leaf senescence. For example, Estiarte and 85 Penuelas (2015) reported that leaf senescence advances due to drought stress, while Vander Mijnsbrugge 86 et al. (2016) reported a delay in the leaf senescence of young trees subjected to drought. After the 87 summer drought in central Europe of 2003, Leuzinger et al. (2005) even reported that the leaf longevity 88 (measured as a delay in the leaf discoloration and fall) of five deciduous tree species was on average 89 prolonged by 22 days.

Droughts are expected to occur more frequently and become more intensive due to global warming and changes in precipitation patterns (IPCC, 2014;Crabbe et al., 2016). Extended periods with lower than average rainfall are often associated with higher air temperatures and higher vapor pressure deficits, which can negatively affect the functioning of trees in the temperate zone (Novick et al., 2016;De Boeck and Verbeeck, 2011). Belgian forests are thought to be especially vulnerable to droughts as they typically have sandy soils with low soil field capacities (Vander Mijnsbrugge et al., 2016;van der Werf et al., 2007).

98 To examine the effects of drought stress on the onset of autumn leaf senescence, we hypothesized that:

- 99 the timing of the onset of autumn leaf senescence in temperate deciduous trees is advanced (1) 100 by severe drought stress. The leaves of a tree that experiences drought will accumulate the 101 consequences of stress exposure and lose functionality. Therefore, it is likely not beneficial 102 for a tree to maintain active leaves late in the season after severe drought. Instead, to 103 maximize nutrient recovery, trees probably prefer an earlier leaf senescence. In addition, 104 drought would reduce the tree's wood growth and increase its fine root mortality (Brunner 105 et al., 2015; Campioli et al., 2013). Consequently, the tree's carbon sink strength will decline, causing a reduced demand for carbon from the sources (e.g. the leaves) and advance the 106 107 onset of autumn leaf senescence.
- 108(II)different tree species show different dynamics in their onset of autumn leaf senescence under109drought. We hypothesized that, under drought stress, species with continuous flushing (e.g.110birch) will have a more stable timing onset of autumn leaf senescence than species with only111one or two leaf flushes during spring-summer (e.g. beech and oak) (Koike, 1990).
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113 We tested these hypotheses by subjecting young trees to treatments comprising less irrigation and

114 warming, and by examining the effect of years with different drought intensities (2017, 2018 and 2019)

115 on mature trees in natural forest stands. Both young and mature trees experienced not only drought, but

116 also heat and increased atmospheric aridity.

117 2. Materials and methods

118 2.1. Study sites and experimental setting

119 2.1.1. Manipulative experiment

120 In 2018, we carried out a manipulative experiment at the Drie Eiken Campus in Wilrijk, Belgium (51°09'N, 121 4°24'E). In early March, 128 individuals of three-year-old beech (Fagus sylvatica) saplings, from a local 122 nursery and with the same local provenance, were planted in pots with a volume of 35 liters and a surface 123 area of 0.07 m². The pots were filled with 20% peat and 80% white sand. Eight beech saplings were placed 124 in each of twelve climate-controlled glasshouses with a ground surface of 1.5 x 1.5 m and a height at the 125 north and south side of 1.5 m and 1.2 m, respectively. The glasshouses had a roof of colorless 126 polycarbonate (a 4 mm thick plate) reducing the incoming light by \pm 20% and modifying the spectral 127 quality only in the UV range (Kwon et al., 2017). The glasshouses had three sides that could be opened or 128 closed and were equipped with a combined humidity-temperature sensor (QFA66, Siemens, Erlangen, 129 Germany) to monitor the relative humidity and air temperature (Fig. 1, panel A and B) (Kwon et al., 2017). One pot per glasshouse was also equipped with a soil moisture smart sensor (HOBO S-SMD-M005, Onset, 130 131 MA, USA) to monitor the soil water content (Fig. 1, panel C). The latter sensors became available only at 132 the time the drought stress was alleviated (see below). More details on the set-up of the glasshouses can 133 be found in the literature (Van den Berge et al., 2011; De Boeck et al., 2012; Fu et al., 2014). Two treatments 134 were organized (n = 48 per treatment; see below). In addition to the saplings in the glasshouses, eight 135 beech saplings were placed in each of four reference plots outside of the glasshouses (n = 32, Ref.). The 136 relative humidity and air temperature of the outside reference plots were monitored by a pocket weather 137 meter (Kestrel 3000, Nielsen, PA, USA). Once in April and once in July, all saplings received 35 g of NPK 138 slow-release fertilizer (DCM ECO-XTRA 1) and 1.8 g of micro elements (DCM MICRO-MIX). Using the 139 relative humidity and air temperature data between 7 a.m. and 7 p.m., the vapor pressure deficit was 140 calculated for both treatments (see below) and the reference plots using the formulas of Buck (1981) (Eq. 141 1; Fig. 1, panel D). 142

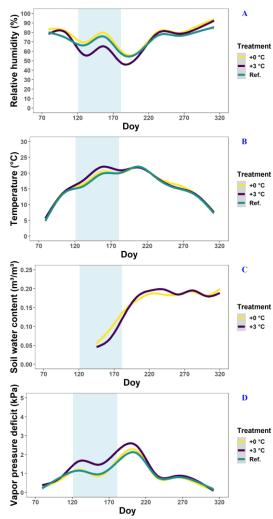
143 Equation 1 144

$e_0 = 613.75 \times exp((17.502 \times T)/(240.97 + T))$ $e = (RH/100) \times e_0$ $VPD = e_0 - e$

where e₀ is the saturation vapor pressure (in Pa), T is the temperature (in °C), e is the actual vapor pressure
deficit (in Pa), RH is the relative humidity (in %) and VPD is the vapor pressure deficit (in Pa).

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Fig. 1: The relative humidity (panel A), temperature (panel B), soil water content (panel C) and vapor 153 pressure deficit (panel D) in the glasshouses and outside plots at the Drie Eiken Campus in Wilrijk. Solid 154 lines represent regressions of half-hourly measurements of the relative humidity (%), temperature (°C), 155 and soil water content (m³/m³). Regressions were done using generalized additive models implemented 156 by the geom smooth argument in the R/GGPLOT2 package. The vapor pressure deficit (kPa) was calculated 157 using the formulas of Buck (1981) using data of the relative humidity and air temperature between 7 a.m. 158 and 7 p.m. Green, blue and red lines represent the conditions in the reference plots (Ref.), glasshouses 159 that follow the outside ambient air temperature (+0 °C) and glasshouses that are three degrees warmer 160 than the outside ambient air temperature (+3 $^\circ$ C), respectively. The light blue band represents the 161 treatment-period.

162 kPa).

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164 From planting until April, the saplings were all irrigated two to three times a week until the pots overflowed. The reference plots outside were maintained with abundant irrigation during the whole 165 166 growing season. On the other hand, at the start of the treatment, in early May, we shielded all the 167 glasshouses using polyethylene film (200 µm thick) and irrigated the saplings only once a week with circa 168 2.5 liter of water. In addition, we enhanced the drought in six glasshouses by raising the air temperature 169 three degrees compared to the ambient air temperature (+3 °C). The air temperature in the other six 170 glasshouses followed the ambient air temperature (+0 °C). There were no significant differences in the 171 temperature, relative humidity and vapor pressure deficit among the glasshouses with the reference and 172 +0 °C treatment (Fig. 1). Although no data on the soil water content was available for the reference plots 173 (due to sensor malfunctioning), we did not expect major drought stress due to their abundant irrigation 174 and lack of stress signals. Based on this information, the +0 °C treatment can be considered a 'less-175 irrigation/drought' treatment. On the other hand, during the treatment, the daily soil water content and 176 the daily relative humidity in the glasshouses with the +3 °C treatment were significantly lower ($P < \frac{2}{2}$ 177 10⁻¹⁶0.001; tested using generalized additive mixed models) in comparison to the glasshouses with the +0 178 °C treatment. After statistical testing following Rose et al. (2012), the difference between the +0 °C and 179 +3 °C treatments was found to be around 0.025 m³/m³ for the soil water content and 20% for the relative 180 humidity (Fig. 1; see Data availability). The +3 °C treatment can therefore be considered a combined 'less-181 irrigation/drought, warming and increased atmospheric aridity' treatment. In fact, this treatment should 182 simulate natural drought conditions, which are often associated with heat stress and increased 183 atmospheric aridity. The plan was to continue the treatment till the end of June but, due to the significant 184 mortality rate, we were obliged to alleviate the drought already from the 20th of June by increasing the 185 irrigation to the level of the reference plots. From July, the glasshouses were opened again and the 186 saplings were irrigated four to five times a week until the end of the season.

A draw-back of the experiment is that the saplings in the reference plots received more incoming light (i.e. ± 20%) than the saplings in the glasshouses (Van den Berge et al., 2011). However, as beech is a shade tolerant species, reduced light is unlikely to have limited tree growth. In addition, preliminary tests suggested that the ratio of light in different wavelengths (e.g. R/FR) during civil twilight (i.e. what is required for phytochrome to detect the photoperiod) does not change seasonally significantly in our study area (Chelle et al., 2007).

195 2.1.2. Field observations in deciduous forests

From 2017 to 2019, we monitored dominant mature trees in two forests near Antwerp: the Klein Schietveld in Kapellen (KS; 51°21'N, 4°37'E) and the Park of Brasschaat (PB; 51°12'N", 4°26'E). In the KS, we monitored eight beech trees and eight birch (*Betula pendula*) trees. In the PB, we monitored eight beech trees and eight oak (*Quercus robur*) trees (thus 32 trees in total). The two forests and their meteorological conditions are described in detail by Mariën et al. (2019), which also showed a lack of site effects on the autumn chlorophyll dynamics for the tree species studied here. To have a larger statistical sample, the data of the two beech stands (also of similar age and stem diameter) were aggregated.

For summer and autumn, we report here the average values for the temperature, precipitation, number of rainy days, relative humidity, sunshine duration and global solar radiation for the meteorological station of the Royal Meteorological Institute (KMI) in Ukkel, Belgium (Table 1). For these data, long-term averaged data was available. The temperature, relative humidity, vapor pressure deficit (see Eq. 1), precipitation and volumetric soil water content from 2017 to 2019 are presented in more detail using daily values that were measured at Brasschaat and, whenever necessary, gap-filled with data from the meteorological

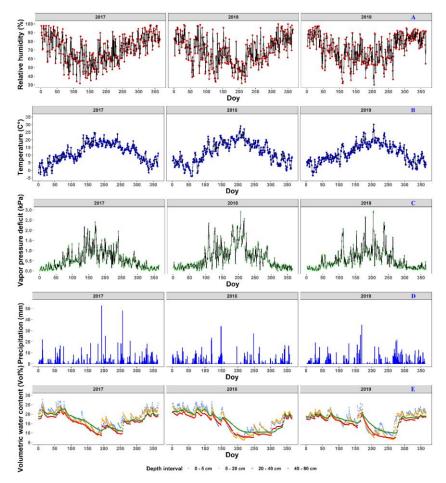
- 210 station in Woensdrecht, Netherlands (Fig. 2, panel A B; panel D). The meteorological data from
- 211 Brasschaat was provided by the Flemish Institute for Nature and Forest (INBO) and the Integrated Carbon
- 212 Observation System (ICOS), while the data from Woensdrecht was provided by the Royal Dutch

213 Meteorological Institute (KNMI).

Table 1: Overview of the meteorological conditions <u>perceived byduring</u> the <u>mature trees in the study</u> region insummer and autumn of 2017, 2018 and 2019. All data is measured by the meteorological station of the Royal Meteorological Institute (KMI) in Ukkel, Belgium (KMI, 2018a, b, 2017b, c, 2019a, b). The degree of abnormality of the values is represented by <u>(two labels: a; for</u> abnormal values that happen on average once every <u>6</u>(with a recurrence time of six years) and <u>(or e; for</u> exceptional values that happen on average once every(with a recurrence time of thirty years). In case only one month had abnormal values, this label is followed by the name of that particular month. Since 2019, the KMI uses a new system to show the degree of abnormality. <u>Values: values</u> that are with the five highest values since 1981 are marked by (+), while values within the three highest values are marked by (++).

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	Nor (1981-		20	17	2	018	20	19
	summer	autumn	summer	autumn	summer	autumn	summer	autumr
Average temperature (°C)	17.6	10.9	18.6 (a)	11.3	19.8 (e)	11.8	19.1 (++)	11.3
Total precipitation (mm)	224.6	219.9	179.9	226.5	134.7 (a)	168.5	198.6	209.3
Average number of rainy days	43.9	51	44	63 (a)	20 (e)	32 (e)	33	53
Relative humidity (%)	73	82	67.7 (e, June)	62	62.3 (e, July)	75 (e, July)	70	83
Sunshine duration (h:m)	578:20	322:00	573:21	322:00	693:06 (a)	471:12 (e)	714:38 (++)	322:23
Global solar radiation (kWh/m ²)	429.6	168.2	447.1 (a, June)	233.8	498.6 (e, July)	213.4 (e, October)	487.9 (+)	178.4



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226 Fig. 2: The meteorological conditions near the Klein Schietveld and Park of Brasschaat. The line plots 227 represent the daily average relative humidity (%; red), temperature (°C; blue) and vapor pressure deficit 228 (kPa; green). The bar plots represent the daily precipitation (mm; light blue). The volumetric soil water 229 content (Vol%) at depth intervals of 0 - 5 cm, 5 - 20 cm, 20 - 40 cm and 40 - 80 cm is presented as line 230 plots in cornflower blue, orange, red and green, respectively. The relative humidity, temperature, vapor 231 pressure deficit and precipitation data was measured every half hour and provided by the Flemish 232 Institute for Nature and Forest (INBO), the Integrated Carbon Observation System (ICOS) and the Royal Dutch Meteorological Institute (KNMI). The vapor pressure deficit (kPa) was calculated using the formulas 233 234 of Buck (1981) using data of the relative humidity and air temperature between 7 a.m. and 7 p.m. The 235 volumetric soil water content data was first measured every six hours but after 03/07/2018 236 measurements were made every hour. The volumetric soil water content data was provided through 237 courtesy of INBO.

239 The distance from Ukkel and Woensdrecht to our sites is 60 km and 20 km, respectively. However, both 240 locations show no major climatological differences with the KS and PB, and are representative for the 241 inter-annual variability experienced by the forests. The station of Ukkel is located within a green area in 242 the suburb of Brussels (thus, classifiable as "urban park"). The microclimate is expected to be different 243 than at our study sites. However, data from Ukkel were used to describe the intra-annual variability and 244 long-term trends in the meteorological variables, which are less affected by the microclimate. The 245 meteorological station of Brasschaat is very close to our sampling site in the Park of Brasschaat and in the 246 Klein Schietveld (± 3 km and ± 4 km, respectively). The meteorological station in Brasschaat is a 40 m high 247 scaffolding tower, at which measurements are taken at various heights, and stands in a patch of mixed 248 forest covered mainly by Scots pines and deciduous tree species, such as oak and birch (see Carrara et al. 249 (2003) for more information). Data of the temperature, precipitation and humidity were taken at the top 250 of the tower. Concurrently, the volumetric soil water content was measured near the scaffolding tower 251 using twelve water reflectometers (CS616 Water Content Reflectometer, Campbell Scientific, UT, USA) 252 connected to a central data logger (CR1000 data logger, Campbell Scientific, UT, USA). The water 253 reflectometers were equally divided over three sampling pits at an 8 m distance from the central data 254 logger. In 2010 and in each pit, the water reflectometers were installed in pedogenetic horizons at four 255 depth intervals (i.e. 0 – 5 cm, 5 – 20 cm, 20 – 40 cm and 40 – 80 cm). The volumetric soil water content 256 data was first measured every six hours but after 03/07/2018 measurements were made every hour. The 257 volumetric soil water content was calibrated following De Vos (2016) and averaged per day and depth 258 interval. The station of Woensdrecht is located in an open field at a local airport surrounded by heathland 259 and urban area. It is located near the Markiezaatsmeer, an enclosed swamp ecosystem, within the river 260 mouth of the Schelde. The measurements in both Ukkel and Woensdrecht are taken at a height of 1.5 m. 261 However, these data were only used as gap-filling in case of short term gaps in the long-term Brasschaat 262 series.

264 2.1.3. The rainfall deficit: an indicator of drought stress for 2017 - 2019

265 To indicate the magnitude of the droughts, we computed the rainfall deficit from 2017 to 2019 using data 266 on the relative humidity, solar radiation, wind speed, temperature and precipitation from the 267 meteorological station in Ukkel. Here, the meteorological records go back the longest in Belgium. The 268 rainfall deficit is computed on a daily basis by accumulating the daily potential evapotranspiration minus 269 the daily amount of precipitation. This was done in two ways: (I) per hydrological year, starting from a zero deficit at the start of the hydrological year (1st of April) and (II) continuous computation, so no restart 270 271 from 0 at the start of each hydrological year. The latter method has the benefit that the long-term effect 272 of accumulated droughts from successive years is accounted for.

274 The potential evapotranspiration was computed by means of the method of Bultot et al. (1983), which is 275 similar to the method of Penman (1948), but has parameters that are calibrated specifically for the local 276 Belgian conditions. Unlike for the rainfall deficit starting from a zero deficit, we accounted in the calculation of the continuously computed rainfall deficit for the hydrological fraction in wet periods that 277 278 does not contribute to building up ground water reserves. At the station of Ukkel, daily precipitation and 279 potential evapotranspiration data are available since more than 100 years. The precipitation data are 280 collected since 1898 on the same location, and is measured using the same instrument. For this study, the 281 data for the 100-year period 1901-2000 was considered as the reference period for the computation of 282 long-term statistics on the rainfall deficit.

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284 2.2. Measuring autumn leaf senescence: the chlorophyll content index and the loss of

285 canopy greenness

286 In the manipulative experiment from late-July until late-November, we measured the chlorophyll content 287 index (CCI; a proxy for the chlorophyll concentration) of each tree sapling weekly by randomly selecting 288 one leaf from the outer, middle and inner layer of the upper part of the crown. The CCI was measured 289 using a chlorophyll content meter, which measures the optical absorbance in the 653 nm and 931 nm 290 wavebands (CCM-200 plus, Opti-Sciences Inc., Hudson, NH, USA). Concurrently, we visually estimated the 291 loss of canopy greenness (LOCG; scaled between 0 and 1) of each sapling following the method of Vitasse 292 et al. (2011), which accounts for both the percentage of leaves that have changed color and the 293 percentage of leaves that have fallen.

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For half of the monitored mature trees in the two forests and from the end of July to the end of November, tree-climbers collected leaves on eight occasions per year separated by two to three weeks. During each measurement day, they collected five sun-leaves and five shade-leaves from each tree. Afterwards, the CCI was immediately measured on the harvested leaves using the same chlorophyll content meter as described above. From early September to late November, the loss of canopy greenness was estimated in a similar fashion to the manipulative experiment for the 32 mature trees (Vitasse et al., 2011).

302 Following the method of Mariën et al. (2019), we validated the CCI values by measuring also the 303 chlorophyll concentrations (Fig. A1). In 2017 and 2018, on one occasion per month and using a 10-mm 304 diameter cylinder, we collected samples of leaf tissue from the leaves of the mature trees for which we 305 also measured the CCI. After storage at -80 °C, the samples were grounded using glass beads and a 306 centrifuge. The result was dissolved in ethanol and the absorption of the solution was measured using a 307 spectrophotometer (Smart Spec Plus Spectrophotometer, Bio-Rad) at different wavelengths for 308 Chlorophyll a (662 nm) and chlorophyll b (644 nm). The chlorophyll concentrations could then be derived 309 from the absorption values using the formulas described in Holm (1954) and Vonwettstein (1957).

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311 2.3. Tree mortality in the manipulative experiment

312 In this study, we only considered those trees that defoliated due to autumn leaf senescence. Other tree 313 saplings have died or defoliated completely due to accelerated leaf senescence during or just after the 314 treatment period. Since chlorophyll degradation is a common feature of both senescence processes and 315 nutrient remobilization was only measured indirectly by CCI, we did not consider (I) tree saplings that 316 showed an early or abrupt defoliation (without gradual coloration) before the 18th of August (n = 20) and 317 (II) tree saplings with constant CCI values lower than three, the limit at which the values of the CCI meter 318 can be interpreted, for the whole period from August to November (n = 18). Like in other studies, some 319 defoliated tree saplings produced a few new leaves as last attempt to prevent death (Vander Mijnsbrugge 320 et al., 2016; Turcsan et al., 2016). However, there were not enough of such leaves for meaningful analyses. 321

322 2.4. Statistical analyses

All statistical analyses were performed using R v.3.6.1. (R Core Team, 2020). The model assumptions were tested following Zuur et al. (2010) and using R/ggpubr (Kassambara, 2019). All graphical output is built using the packages R/GGPLOT2, R/VIRIDIS and R/COWPLOT (Wickham, 2009;Wilke, 2019;Garnier, 2018). All graphical output is built using the packages R/GGPLOT2, R/VIRIDIS and R/COWPLOT, While data
 graphical output is built using the packages R/GGPLOT2, R/GGPUBR, R/VIRIDIS and R/COWPLOT, while data
 manipulation has been done using R/DPLYR (Wickham, 2009;Wilke, 2019;Garnier, 2018;Kassambara, 2019;Wickham et al., 2018).

2.4.1. Assessing the patterns of CCI and loss of canopy greenness using generalized additive 330 331 mixed models 332 The patterns of the CCI and loss of canopy greenness data from both our tree saplings and mature trees 333 were assessed using generalized additive mixed models (GAMMs) built using the packages R/MGCV, and 334 R/GRATIA and R/DPLYR (Wood, 2011; Wickham et al., 2018; Simpson, 2020; Hastie and Tibshirani, 335 1986; Pedersen et al., 2019). We used GAMMs because they allow more flexibility than other models (e.g. 336 generalized linear models) to model the distribution parameter μ (i.e. the mean of the observed random 337 variable) and the continuous explanatory variables (Rigby and Stasinopoulos, 2005). 338 339 To model the CCI of both our tree saplings and mature trees as a function of their covariates, Gaussian 340 GAMMs with the identity link function were used (Table 2). To model the loss of canopy greenness of both 341 our tree saplings and mature trees as a function of their covariates and because the loss of canopy 342 greenness is scaled between 0 and 1, Binomial GAMMs with the logistic link function were used (Table 2). 343 The GAMMs were chosen with the lowest AIC value (Akaike information criterion) and all factor-smooth 344 interaction terms were smoothed using P-splines to address the large gap in data (i.e. from November to 345 June) between the yearly sampling periods. 346

For the CCI of the beech saplings, the fixed covariates were the *treatment* (categorical with three levels), *leaf place* (categorical with three levels) and *day of the year* (continuous; model 1). The interaction term was modelled as a factor-smooth interaction between the covariates *day of the year* and *treatment*. The dependency among observations of the same individual tree was incorporated by using *individual tree* as random intercept.

352 Model 1

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$\begin{array}{l} Y_{ij} \sim {\rm Gaussian}(\mu_{ip}, {\rm cst.})\\ g(\mathbb{E}(Y_{ij})) = g(\mu_{ij})\\ g(\mu_{ij}) = {\rm Treatment}_{ij} + {\rm Leaf\,place}_{ij} + f({\rm Day\,of\,the\,year}_{ij}, {\rm Treatment}_{ij}) + {\rm Individual\,tree}_{ij}. \end{array}$

where g is the identity link function, μ_{ij} is the conditional mean, Y_{ij} is the *j*th observation of the response variable (i.e. the CCI) in Individual tree *i*, and *i* = 1,..., 128, and Individual tree_i is the random intercept (Zuur et al., 2007;Zuur et al., 2016).

For the loss of canopy greenness of the beech saplings, the fixed covariates were the *treatment* (categorical with three levels) and *day of the year* (continuous; model 2). The interaction term and the dependency among observations of the same individual tree were treated as in model 1.

363 Model 2

364	Y _{ij} ~ Β(n _{ij} , π _{ij})
365	$g(\mathbb{E}(Y_{ij})) = g(\mu_{ij})$
366 367	$g(\mu_{ij})$ = Treatment _{ij} + $f(Day of the year_{ij}, Treatment_{ij})$ + Individual tree,

where n_{ij} is the number of observations, π_{ij} is the probability of 'success', g is the logit link function, μ_{ij} is the conditional mean, Y_{ij} is the *j*th observation of the response variable (i.e. the loss of canopy greenness) in Individual tree *i*, and *i* = 1,..., 128, and Individual tree_i is the random intercept.

For the CCI of the mature beech, birch and oak trees, the fixed covariates were the *year* (categorical with three levels), *leaf type* (categorical with two levels) and *day of the year* (continuous; model 3). The interaction term was modelled as a factor-smooth interaction between the covariates *day of the* year and Year. The dependency among observations of the same individual tree was incorporated
 using *individual tree* as random intercept.

376 Model 3

377	Y _i /∼ Gaussian(μ _i , cst.)
378	$\mathbf{g}(\mathbf{I\!E}(\mathbf{Y}_{ij})) = \mathbf{g}(\mathbf{\mu}_{ij})$
379 380	$g(\mu_{ij})$ = Year $_{ij}$ + Leaf type $_{ij}$ + $f(Day of the year_{ij}, Year_{ij})$ + Individual tree,
201	where a lathe identity link function with the conditional mean. Via the title above

where g is the identity link function, μ_{ij} is the conditional mean, Y_{ij} is the *j*th observation of the response variable (i.e. the CCI) in Individual tree *i*, and *i* = 1,..., 8 for beech, *i* = 1,..., 4 for birch and *i* = 1,..., 4 for oak, and Individual tree_{*i*} is the random intercept.

For the loss of canopy greenness of the mature beech, birch and oak trees, the fixed covariates were the *Year* (categorical with three levels) and *day of the year* (continuous; model 4). The interaction term and the dependency among observations of the same individual tree were treated as in model 3.

387 Model 4

388	Υ _{ij} ~ Β(n _{ij} , π _{ij})
389	$\mathbf{g}(\mathbf{E}(\mathbf{Y}_{ij})) = \mathbf{g}(\mathbf{\mu}_{ij})$
390	$g(\mu_{ij}) = Year_{ij} + f(Day of the year_{ij}, Year_{ij}) + Individual tree_{ij}$
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where n_{ij} is the number of observations, π_{ij} is the probability of 'success', g is the logit link function, μ_{ij} is the conditional mean, Y_{ij} is the *j*th observation of the response variable (i.e. the loss of canopy greenness) in Individual tree *i*, and *i* = 1,..., 16 for beech, *i* = 1,..., 8 for birch and *i* = 1,..., 8 for oak, and Individual tree_i is the random intercept.

Table 2: Adjusted R², effective degrees of freedom (edf) and F-test values of the GAMM smooth terms (*Day of the year*). All smooth terms were significant, with p-values < 0.001. $\mathbf{E}(y_i)$ are the expected values of the response variable y_i , $f(x_i)$ is the smooth function of the covariate x_i , β_i is the intercept of the covariate x_i , ζ is the random effect and ε_i are the errors. All smooth functions were fitted using P-splines. The chlorophyll content index, loss of canopy greenness, day of the year and tree individual are abbreviated by CCI, LOCG, Doy and ID, respectively.

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Site	Species	Yi	Model	Family	Link	AIC	Adjusted	Smooth	Treatment	Ed
			equation	distribution	function	4 80 80	R ²	term		
Wilrijk	Fagus	CCI	(1) g(E(y _i)) =	Gaussian	Identity	<u>17373</u>	0.61	Day of the	Reference	4.8
	sylvatica		f ₁ Treatment _i (Doy _i) + β ₁ Treatment _i +				A	year	40 °C	5.8
			β2Leaf_place1 + ζ _{ID} +							
			61 El						43 ℃	6.1
.										
Wilrijk	Fagus	Loss of	(2) g(E(y _i)) =	Binomial	Logit	<u>878</u>	0.76	Day of the	Reference	3.6
	sylvatica	canopy	f1Treatment(Doyi) +				A	year	≁ 0 °C	1.1
		greenness	β_1 Treatment _i + ζ_D +						No c	1.1
			E						43 ℃	1
A									Voor	
	_		(m) (m) ()						Year	
KS & PB	Fagus	CCI	(3) g(E(y ₁)) =	Gaussian	Identity	<u>9382</u>	0.7	Day of the	2017	4.6
	sylvatica		f1Yean(Doyi) + β1Yean + β2Leaf_typei + ζip +					year	2018	5.3
			+ pzceai_typei + qp + 6i			A				
									2019	5.2
A									·····	
			(4) -(11(-))							
KS & PB	Fagus sylvatica	Loss of canopy	(4) g(E(γ _i)) = <i>f</i> 1Yean(Doyi) + β1Yean	Binomial	Logit	<u>450</u>	0.87	Day of the year	2017	2.4
	sylvatică	greenness	j1tean(Doyi) + p1tean + ζιρ + εi				A	year	2018	2.5
		0	, di , di							
									2019	2.7
									•	
KS	Betula	CCI	(5) g(E(y _i)) =	Gaussian	Identity	4546	0.44	Day of the	2017	3.2
	pendula		f ₁ Yean(Doy) + β ₁ Yean	Gaussian	identity	4340		year	2017	5.2
	,		+ β2Leaf_type + ζ _D +					,	2018	5
			E						2019	3.1
									2015	
ks	Betula	Loss of	(6) g(E(y _i)) =	Binomial	Logit	254	0.89	Day of the	2017	1
-	pendula	canopy	f ₁ Yean(Doyi) + β ₁ Yean				A	year		
		greenness	+ ζ _{ID} + ε _i						2018	1
									2019	1.6
A									-	
РВ	Quercus	CCI	(7) g(E(yi)) =	Gaussian	Identity	5694	0.52	Day of the	2017	3.3
	robur		f ₁ Yean(Doyi) + β ₁ Yean					year	2019	5.1
			+ β2Leaf_type _i + ζ _D +			A			2018	5.1
			E						2019	4.3
A									·····	
РВ	Quercus	Loss of	(8) g(E(y ₁)) =	Binomial	Logit	225	0.85	Day of the	2017	1.2
	robur	canopy	f ₁ Yean(Doyi) + β ₁ Yean				A	year	2018	1.9
		greenness	+ ζiD + ε _i							
		A							2019	2.4

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405 2.4.2. Using breakpoints to indicate the onset of autumn leaf senescence and the onset of the

406 loss of canopy greenness

407 In principle, the onset of autumn leaf senescence could be derived from the CCI or loss of canopy 408 greenness. However, Mariën et al. (2019) recently showed that the latter method cannot be used under 409 severe drought stress. Therefore, two phenological variables were considered to describe the autumn 410 canopy dynamics: the onset of autumn leaf senescence derived from the CCI (the onset of autumn leaf 411 senescence) and the onset of the loss of canopy greenness. For each tree, we defined the onset of autumn 412 leaf senescence and the onset of loss of canopy greenness as the date by which the variable of interest 413 started to decline substantially in early autumn. These dates were calculated using piecewise linear 414 regressions and are represented by the breakpoints resulting from these analyses (Menzel et al., 415 2015; Mariën et al., 2019; Xie and Wilson, 2020). The piecewise linear regressions were performed using 416 R/SEGMENTED (Vito and Muggeo, 2008). The uncertainty reported represents the inter-tree variability. 417 Trees that did not show a clear breakpoint (13 in the manipulative experiment) were not considered in 418 the analysis. These trees did not show a different pattern of CCI or loss of canopy greenness than the 419 other trees (Fig. A2). 420

421 2.4.3. Comparing the onset of autumn leaf senescence among tree saplings exposed to different422 treatments

We tested whether the beech saplings exposed to the three treatments in 2018 differed in their onset of autumn leaf senescence using a linear model with the onset of autumn leaf senescence as response variable and *treatment* (categorical with three levels) as fixed covariate. The residuals of the model were approximately normally distributed and a Breusch-Pagan test, the R/ncvTest and R/bptest in the R/CAR and R/LMTEST packages, showed no evidence of heteroscedasticity (*P* > 0.05) (Fox and Weisberg, 2019;Zeileis and Hothorn, 2002). A one-way ANOVA was used to detect significant differences in the onset of autumn leaf senescence among the treatments.

431 2.4.4. Comparing the onset of autumn leaf senescence and the onset of loss of canopy greenness432 in mature trees among species and years

433 To model the onset of autumn leaf senescence and the onset of the loss of canopy greenness as a function

of their covariates, Gaussian linear mixed models were used. These models were built with the package
 R/LME4 (Bates et al., 2015).

436

430

The effect of the year on the onset of autumn leaf senescence and the onset of the loss of canopy greenness was assessed using two linear mixed effect models with the onset of autumn leaf senescence and the onset of the loss of canopy greenness from the mature beech, birch and oak trees as response variable. The fixed covariate in these two models was the *Year* (categorical with three levels; model 5). To incorporate the dependency among observations of the same species, we used *species* as random intercept.

443 Model 5

- 444
- 445 446 447

Yy~ Gaussian(μy, cst.) g(Ε(Yy)) = g(μy) μy=Yeary + Species

448 where g is the identity link function, μ_{ij} is the conditional mean, Y_{ij} is the *j*th observation of the response 449 variable in Species *i*, and *i* = 1,..., 3 and Species_i is the random intercept. The effect of the species on the onset of autumn leaf senescence and the onset of the loss of canopy greenness was assessed using two linear mixed effect models with the onset of autumn leaf senescence and the onset of the loss of canopy greenness from the mature beech, birch and oak trees as response variable. The fixed covariate in these two models was the *Species* (categorical with three levels; model 6). To incorporate the dependency among observations of the same year, we used *Year* as random intercept.

455 Model 6

456

457

458

459

 $Y_{ij} \sim Gaussian(\mu_{ij}, cst.)$ $g(\mathbf{E}(Y_{ij})) = g(\mu_{ij})$ $\mu_{ij} = Species_{ij} + Year_{i}$

460 where g is the identity link function, μ_{ij} is the conditional mean, Y_{ij} is the *j*th observation of the response 461 variable in Year *i*, and *i* = 1,..., 3 and Year_i is the random intercept.

The residuals of the models were approximately normally distributed and showed no heteroscedasticity (tested using diagnostic plots). Therefore, we used Pearson's chi-square test, R/drop1 in the R/LME4 package, to detect significant differences in the onset of autumn leaf senescence and the onset of the loss of canopy greenness among the predictor variables. A multiple comparison test, the R/glht test with method Tukey in the R/MULTCOMP package, was used to test for significant differences among the means

467 of the levels in the predictor variables (Hothorn et al., 2008).

468 3. Results

469 3.1. Magnitude of the drought stress in 2017, 2018 and 2019

470 The weather in 2018 and 2019 was exceptional, as can be seen in the overview of the meteorological 471 conditions from 2017 to 2019 against the long-term reference values in Table 1 and Figure 2. In 2017, the 472 weather during spring was dry and warm but the weather during summer and autumn was relatively 473 normal (KMI, 2017b, c, a). In contrast, the warm and dry summer of 2018 was marked by abnormal (with 474 an average return time of 6 years) to exceptional (with an average return time of 30 years or more) values (KMI, 2018b). Furthermore, the autumn of 2018 was abnormally dry and all precipitation fell on relatively 475 476 few days (32) (KMI, 2018a). In the summer of 2019, the average air temperature and the total amount of 477 sunshine were both among the three highest values recorded since 1981. In fact, the absolute maximum 478 air temperature record for Belgium was broken in 2019 (KMI, 2019b). On the other hand, the autumn of 479 2019 was considered normal (KMI, 2019a).

480

481 The rainfall deficit for each day in the hydrological year (from the 1st of April until the 31st of March) and different return times are shown in Figure 3 (panel A & B). This demonstrates that in the late spring of 482 483 2017, the summer of 2018 and the summer of 2019 the rainfall deficit reached a return time between 20 484 and 50 years, 50 years, and 20 years, respectively. The hydrological summers of 2017, 2018 and 2019 had 485 therefore moderate to extremely dry conditions, which led to accumulated rainfall deficit conditions over 486 time (see Figure 3; panel A). Especially the hydrological year starting in 2018 ended with a strong rainfall 487 deficit of about 150 mm, which was not reduced during 2019. The effects of this strong rainfall deficit are 488 also apparent in the lower volumetric soil water content values (ca. 5% less) measured at the beginning 489 of 2019, compared to the same measurements in 2017 and 2018.

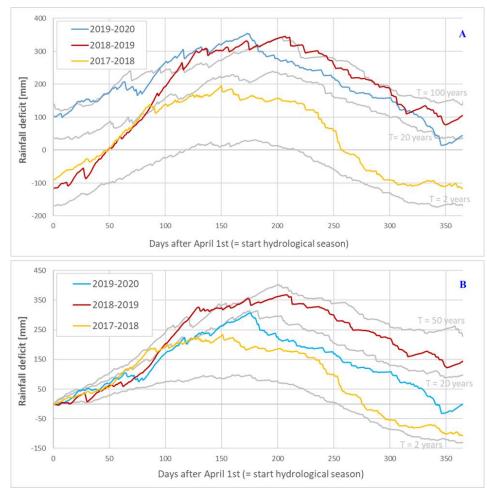


Fig. 3: The rainfall deficit for the meteorological station of the Royal Meteorological Institute (KMI) in 492 Ukkel, Belgium. The colored solid lines represent the rainfall deficit for the hydrological years in the period 493 494 2017-2020, while the grey solid lines represent the long-term reference statistics (computed for the 100year period 1901 - 2000) with T as the return period, which represents the mean time between two 495 successive exceedances of a given deficit value and is computed in an empirical way (Willems, 2000, 2013). 496 497 Panel A uses a continuous computation, while panel B starts from a zero deficit on the first of April (the 498 start of the hydrological year). The colors represent the rainfall deficit in 2017 (light blue), 2018 (red) and 499 2019 (yellow).

3.2. The effect of drought, heat stress and increased atmospheric aridity on the onset of autumnleaf senescence in tree saplings in the manipulative experiment

503 For all treatments, the CCI values of the beech saplings showed an overall moderate decrease until the 504 beginning of October. Afterwards, this decrease accelerated (Fig. 4; panel A & C; Table 2). In the +0 °C and 505 especially the +3 °C treatment, an abnormal CCI decline was observed in early August with only a partial 506 recovery later on. As a result, from the beginning of August until mid-September, the CCI values of the 507 beech saplings in the reference plots were significantly higher than the CCI values of the beech saplings in 508 the glasshouses. However, no From the end of September, the CCI decreased in all treatments, showing 509 similar CCI measurements across treatments. However, the modeled CCI of the +3 °C treatment declined 510 slower than the modeled CCI of the other two treatments. No significant difference was detected in the 511 timing of the onset of autumn leaf senescence among the beech saplings exposed to the three different 512 treatments, as the mean onset of autumn leaf senescence was between the 21^{st} (DOY = 260 ± 5) and 25^{th} 513 $(DOY = 264 \pm 4)$ of September (*P* = 0.7; Fig. A3).

514

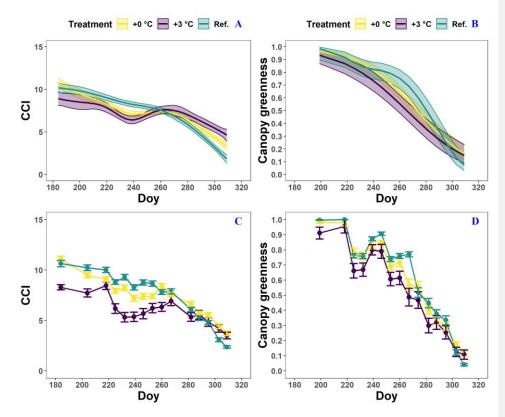
The canopy greenness for the beech saplings showed a stable decline from early August until the end of autumn (Fig. 4; panel B & D; Table 2). Nevertheless, during September, the canopy greenness of the beech saplings in the reference plots was significantly higher than the canopy greenness of the beech saplings in

518 the glasshouses with the +3 °C treatment.

519

520 The tree saplings in the glasshouses of both treatments were exposed to a high mortality with 14% and

521 26% of the tree saplings in the glasshouses with the +0 °C and +3 °C treatment, respectively, considered 522 'dead' along our criteria (see §2.3.). In the reference plots, no beech saplings died.



523

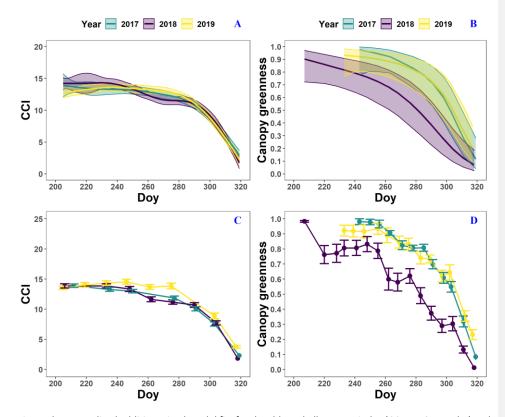
524 Fig. 4: The generalized additive mixed model fits for the chlorophyll content index (CCI; panel A) and loss 525 of canopy greenness (panel B) of the Fagus sylvatica saplings at the Drie Eiken Campus in Wilrijk. The 526 colored solid lines represent smooth terms, while the colored shaded bands around the smooth terms 527 approximate the 95% simultaneous confidence intervals (panel A) and 95% pointwise confidence intervals 528 (panel B). The dots and error bars represent the mean CCI (panel C) and mean canopy greenness (panel 529 D) with standard errors. The colors represent the CCI or the loss of canopy greenness of the beech saplings 530 in the reference plots (green; Ref.), the glasshouses that followed the outside ambient air temperature 531 (yellow; +0 °C) and the glasshouses that were three degrees warmer than the outside ambient air 532 temperature (purple; +3 °C), respectively.

3.3. Inter-annual and inter-species variability in the timing of the onset of autumn leaf senescenceand the onset of the loss of canopy greenness in mature trees

536 The pattern in the CCI values for the mature beech, birch and oak trees seems consistent throughout the 537 years with stable values in summer and a rapid decline around late October (Fig. 5 - 7; panel A & C; Table 538 2). We also observed no significant difference in the onset of autumn leaf senescence among the years (P 539 = 0.09) and species (P = 1). The mean onset of autumn leaf senescence among the years was from the 8th (DOY = 281 ± 6) to the 19^{th} (DOY = 292 ± 6) of October (Fig. A4; panel A), while the mean onset of autumn 540 leaf senescence among the species was around the 13^{th} of October (DOY = 286 ± 6 ; Fig. A4; panel B). The 541 542 CCI correlated linearly with the chlorophyll concentrations but the data showed more variation in 2018 543 than 2017 (see Fig. A1).

545 The pattern in the canopy greenness for the mature beech, birch and oak trees seemed less consistent 546 throughout the years (Fig. 5 - 7; panel B & D; Table 2). The loss of canopy greenness showed a very similar pattern between 2017 and 2019 for birch and beech, with the start of the decline in canopy greenness 547 548 values around late September for birch and late October for beech. Like beech and birch, oak showed a 549 standard pattern in 2019 with the start of the seasonal decline in late October. However, in 2017, oak 550 showed an earlier loss of canopy greenness with the start of the seasonal decline in mid-September. In all 551 cases, a rapid decline in the canopy greenness was observed in late autumn. In 2018, all species showed 552 an earlier and steeper decline in their canopy greenness values. This effect was also reflected by a 553 significant difference in the onset of the loss of canopy greenness among the years ($P = 5 \times 10^{-11}$). Across 554 species, the onset of the loss of canopy greenness did not differ significantly (P = 0.9) between 2017 (DOY 555 = 292 ± 9) and 2019 (DOY = 290 ± 4), while it occurred 26 and 25 days earlier in 2018 (DOY = 266 ± 4) compared to 2017 ($P = 1 \times 10^{-5}$) and 2019 ($P = 1 \times 10^{-5}$), respectively (Fig. A5; panel A). However, all tree 556 557 species differed significantly in their onset of the loss of canopy greenness across years ($P = 6 \times 10^{-9}$). 558 Compared to birch (DOY = 268 ± 9 ; Fig. A5; panel B), the onset of the loss of canopy greenness for beech 559 was on average 16 days later ($P = 1 \times 10^{-4}$; DOY = 284 ± 4), while for oak this was 30 days later ($P = 1 \times 10^{-4}$) 560 4 ; DOY = 298 ± 4). The onset of the loss of canopy greenness for beech was also 14 days earlier than that 561 for oak ($P = 7 \times 10^{-4}$).

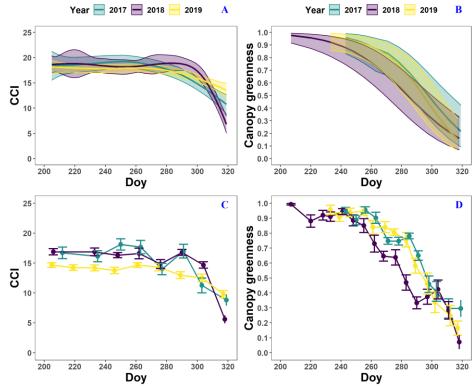
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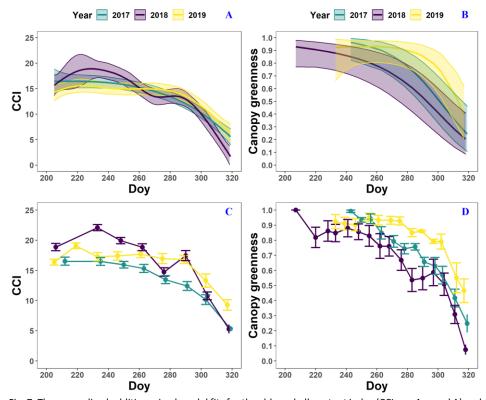
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Fig. 5: The generalized additive mixed model fits for the chlorophyll content index (CCI; n = 8; panel A) and loss of canopy greenness (n = 16; panel B) of the mature *Fagus sylvatica* trees at the Klein Schietveld and Park of Brasschaat. The colored solid lines represent smooth terms, while the colored shaded bands around the smooth terms represent approximate 95% simultaneous confidence intervals (panel A) and 95% pointwise confidence intervals (panel B). The dots and error bars represent the mean CCI (panel C) and mean canopy greenness (panel D) with standard errors. The colors represent the CCI or the loss of canopy greenness of the mature beech trees in 2017 (green), 2018 (purple) and 2019 (yellow).





572DoyDoy573Fig. 6: The generalized additive mixed model fits for the chlorophyll content index (CCI; n = 4; panel A) and574loss of canopy greenness (n = 8; panel B) of the mature *Betula pendula* trees at the Klein Schietveld. The575colored solid lines represent smooth terms, while the colored shaded bands around the smooth terms576represent approximate 95% simultaneous confidence intervals (panel A) and 95 % pointwise confidence577intervals (panel B). The dots and error bars represent the mean CCI (panel C) and mean canopy greenness578(panel D) with standard errors. The colors represent the CCI or the loss of canopy greenness of the mature579birch trees in 2017 (green), 2018 (purple) and 2019 (yellow).



581 582

Fig. 7: The generalized additive mixed model fits for the chlorophyll content index (CCI; n = 4; panel A) and 583 loss of canopy greenness (n = 8; panel B) of the mature Quercus robur trees at the Park of Brasschaat. The 584 colored solid lines represent smooth terms, while the colored shaded bands around the smooth terms 585 represent approximate 95% simultaneous confidence intervals (panel A) and 95% pointwise confidence 586 intervals (panel B). The dots and error bars represent the mean CCI (panel C) and mean canopy greenness 587 (panel D) with standard errors. The colors represent the CCI or the loss of canopy greenness of the mature 588 oak trees in 2017 (green), 2018 (purple) and 2019 (yellow).

590 4. Discussion

591 Our results showed that the timing of the onset of autumn leaf senescence in both tree saplings and 592 mature trees was not significantly altered by severe drought, heat stress and increased atmospheric 593 aridity induced by a decline in the soil moisture, relative humidity, and an increase in the air temperature 594 and vapor pressure deficit. These results are in contrast to other studies reporting, for example, that 595 drought stress delays the onset of autumn leaf senescence (determined using remote sensing indices or 596 visual assessment) (Wang et al., 2016;Vander Mijnsbrugge et al., 2016;Zeng et al., 2011;Gárate-Escamilla et al., 2020;Seyednasrollah et al., 2020). However, in our study, drought, heat stress and increased 597 598 atmospheric aridity did affect the loss of CCI and canopy greenness of our beech saplings, their mortality, 599 and the onset of the loss of canopy greenness in our mature trees. The effect of the drought, heat stress 600 and increased atmospheric aridity on the loss of canopy greenness might be due to an early leaf abscission 601 in response to hydraulic failure of the branches (Wolfe et al., 2016;Munné-Bosch and Alegre, 2004). The 602 manipulation experiment on the beech saplings also revealed that the 'drought/less irrigation' treatment 603 alone (the + 0°C treatment) had less impact (e.g. lower tree mortality, lower premature degradation of 604 chlorophyll in summer) than the combined 'drought/less irrigation, warming and increased atmospheric 605 aridity' treatment (the + 3°C treatment). The decline in the CCI of the saplings exposed to the +3°C 606 treatment, around mid-August, might indicate that physiological damage due to stress can accumulate 607 and become apparent even though stress is alleviated. 608

609 Our experimental design did not allow disentangling the effect of the three different stressors within the 610 + 3°C treatment- (i.e. drought/less irrigation, warming and increased atmospheric aridity). However, Fu et al. (2018) found that summer warming delayed senescence in beech. In addition, Kint et al. (2012) found 611 612 that growth in birchbeech is primarily controlled by the water deficit and low relative humidity values 613 during summer. Therefore, the effects observed in the $+ 3^{\circ}$ C treatment might be mainly related to the 614 atmospheric aridity. For the mature trees, the different drought response of the autumn pattern of 615 chlorophyll (no effect) and the loss of canopy greenness (advanced and enhanced) is probably an 616 important reason of confusion still present today in the literature on the relationship between drought 617 and autumn senescence. While the detoxification of chlorophyll is a prerequisite for the expression of 618 different coloration values, chlorophyll does not degrade at the same speed as other leaf pigments. In 619 fact, not even all leaf pigments degrade (or are formed) at the same velocity throughout the senescence 620 process (Keskitalo et al., 2005). Consequently, observations of changing coloration levels are difficult to 621 interpret. Moreover, note that coloration measurements also take into account leaf yellowing and 622 mortality due to hydraulic failure.

624 The continuously computed rainfall deficit was similar between 2018 and 2019. Nevertheless, the loss of canopy greenness suggests that the drought of 2019, which coincided with several heat waves and 625 626 increased atmospheric aridity, might have been less damaging for the late-summer leaf dynamics than 627 the drought of 2018 (which lasted longer). The rainfall deficit starting from a zero deficit supports the 628 observation that, despite the accumulated drought effect, the drought of 2019 was less severe in the 629 growing season than the drought of 2018. Perhaps, the conditions of 2018 (i.e. sunny and warm with high 630 vapor pressure deficits, and a long period with a low soil moisture starting earlier than in 2019) triggered 631 the damaging process of cavitation in the trees, while this might have occurred less intensively in 2019 if 632 the stomatal conductance was lower (Barigah et al., 2013;Bolte et al., 2016;Banks et al., 2019). 633 Alternatively, the difference in the timing of the drought peaks (i.e. the drought of 2018 peaked around 634 one month and half earlier than the drought of 2019, Fig. 3A) could have led to divergent responses due 635 to differences in drought sensitivity along the growing season (Banks et al., 2019).

636

637 The drought (but also the heat stress and increased atmospheric aridity) did not affect the onset of 638 autumn leaf senescence of both the beech saplings and the mature trees. Deciduous trees therefore seem 639 to have a conservative strategy concerning the timing of their autumn leaf senescence that might be under 640 the control of a constant variable (e.g. the day-length or spectral quality) (Michelson et al., 2018; Chiang 641 et al., 2019). Such a strategy prioritizes carbon uptake over nutrient remobilization, as a fixed onset of 642 autumn leaf senescence would not allow an advanced nutrient remobilization when required (Keskitalo 643 et al., 2005; Brelsford et al., 2019). Moreover, such a strategy makes the trees vulnerable against the 644 effects of early frost. In case of early frost, the trees might not complete their nutrient resorption. Possible 645 consequences of an incomplete nutrient resorption over a longer time period might include a decline in 646 the overall fitness of the trees and negative feedbacks on the growth dynamics of the next season, such 647 as less buds (Fu et al., 2014; Vander Mijnsbrugge et al., 2016; Crabbe et al., 2016). Although Fu et al. (2014) 648 suggested a correlation between the bud burst and the onset of autumn leaf senescence, we have found 649 no relationships for 2018 and 2019 in birch and beech, andbut a positive relationship in oak (every delay 650 of one day in the bud burst corresponded to a delay of ± two days in the onset of autumn leaf senescence). 651

652 Surprisingly, the onset of autumn leaf senescence did not differ significantly among the different tree 653 species, which supports the idea that the onset of autumn leaf senescence in different deciduous trees 654 might be controlled by the same (light related) signal. Perhaps the onset of leaf senescence is timed in a 655 manner similar to flowering, as put forward by the external coincidence model (i.e. clock-regulated gene 656 expression and light both determine the perception of photoperiodism) (Böhlenius et al., 2006;Kobayashi 657 and Weigel, 2007;Koornneef et al., 1991;Yanovsky and Kay, 2002). Other explanations for the lack of 658 significant differences in the onset of autumn leaf senescence among the species could have been the 659 small sample size (i.e. eight beech, four birch and four oak trees for the CCI measurements) or the 660 inaccuracies related to the method of piece-wise linear regressions. Given our results, the drought in 2017, 661 2018 and 2019 had little impact on the CCI trend and onset of autumn leaf senescence in mature beech, birch and oak trees. Other explanations for this result could be the small sample size (i.e. 8 beech, 4 birch 662 663 and 4 oak trees for the CCI) or the inaccuracies related to the method of piece-wise linear regressions. 664 Given our results, the drought in 2018 and 2019 had little impact on the CCI trend and onset of autumn 665 leaf senescence in mature beech, birch and oak trees.

667 In this regard, the exact impact of the light quantity and spectral quality on the trigger for the onset of 668 senescence (directly or indirectly through photoperiodic detection), is not well known in deciduous trees 669 (Michelson et al., 2018). If phytochrome only responds to the presence of red wavelengths, the effect of 670 the polycarbonate in the glasshouses must have been minimal. However, experimental biases might be 671 caused if cryptochrome, which is sensitive to UV light and active at low fluency rates, played a significant 672 role in the onset of senescence (Schulze et al., 2019;Smith, 1982). Because very low light intensities are 673 required by plants to generate a photosynthetic potential (a minimum scalar irradiance of $\pm 1 \,\mu$ mol/m²) 674 and very low fluencies (starting from 0.1 µmol/m²) are required for phytochrome action, we assumed the 675 decrease in the incoming light intensity would not have had a significant effect (Legris et al., 2019;Poorter 676 et al., 2019; Franklin and Quail, 2010; Legris et al., 2016; Neff et al., 2000; Mancinelli and Rabino, 1978). 677

666

Although the onset of autumn leaf senescence in both the tree saplings and the mature trees was not advanced by drought, heat stress and increased atmospheric aridity, the onset of autumn leaf senescence in beech saplings was around 22 days earlier than mature beech trees. Such difference could be due to the different growing conditions (pots versus normal soil), environmental conditions at the different sites, the difference in the average leaf age (tree saplings have an earlier bud-burst than mature trees) or the different ecophysiological response of tree saplings and mature trees (e.g. tree saplings are more vulnerable than mature trees and therefore are likely to use different functional strategies) (Niinemets, 2010;Vander Mijnsbrugge et al., 2016;Pšidová et al., 2015). As there is very little difference in the light conditions among the different sites, the difference in the day length is unlikely to have affected the difference in the timing of the onset of autumn leaf senescence between the beech saplings and mature trees. However, it is possible that the beech saplings have a different sensitivity to the light cues, as they usually grow in the understory and therefore under a different light regime than mature trees (Brelsford et al., 2019;Michelson et al., 2018;Chiang et al., 2019).

692 Concerning the onset of the loss of canopy greenness for all species and opposed to 2017 (i.e. a year with 693 normal environmental conditions in late-summer and autumn) and 2019 (i.e. a year with high temperatures in summer, relatively normal precipitation in summer and autumn, but suffering from the 694 accumulated effects of the rainfall deficit), the onset of the loss of canopy greenness in 2018 was around 695 696 three-and-a-half weeks earlier. The canopy greenness metric had been declining earlier in 2018 because 697 the leaves have likely been shed earlier due to an advanced leaf abscission process to protect the tree 698 from hydraulic failure (Munné-Bosch and Alegre, 2004; Wolfe et al., 2016). There was also a difference in 699 the onset of the loss of canopy greenness among the species. This might be due to two reasons. First, 700 birch (the species with the earliest onset of the loss of canopy greenness) has an indeterministic growth 701 pattern, which also means continuous leaf mortality. Second, the fact that oak (the species with the latest 702 onset of the loss of canopy greenness) has typically a second leaf flush, which might connect the difference 703 between beech and oak to differences in leaf longevity.

Overall, the GAMMs reproduced reliable fits of the CCI and canopy greenness. One of the few observed
 issues was a small mismatch between the mean CCI shown by the smoother of the fitted GAMM and the
 mean CCI shown by the line plot for the + 3°C treatment at the end of the growing season (early October

708 <u>– mid November). The overestimation of the CCI in this case might reflect the limitations of using Gaussian</u>

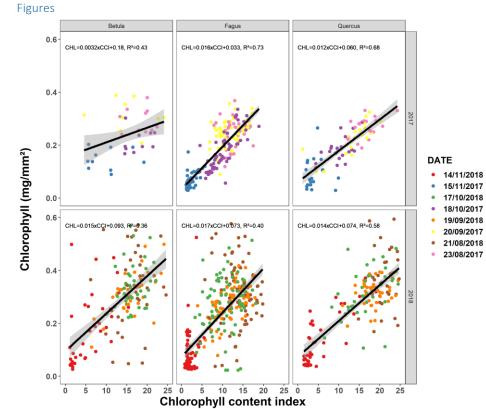
709 <u>GAMMs here</u>

691

704

710 5. Conclusion

711 The different environmental conditions of three years (comprising a severe dry year and a severe warm 712 year) did not affect the timing of the onset of autumn leaf senescence in mature beech, birch and oak 713 forest trees in Belgium. This suggests that deciduous trees have a conservative strategy concerning the timing of their senescence. Like our mature beech trees, beech saplings exposed to drought, heat stress 714 715 and increased atmospheric aridity also did not show any advancement in their onset of autumn leaf 716 senescence compared to beech saplings in normal conditions. Although the drought, heat stress and 717 increased atmospheric aridity did not affect the timing of the onset of autumn leaf senescence, it is clear 718 from our results that they affect the mortality rate in tree saplings and the leaf mortality in mature trees.



719 Appendix A

720

721

722 Fig. A1: Relationship between the chlorophyll content index measured using a chlorophyll content meter

(CCM-200 plus, Opti-Sciences Inc., Hudson, NH, USA) and the chlorophyll concentration measured using
 spectrophotometric analysis (Mariën et al., 2019). Between late August and late November 2017-2018,

spectrophotometric analysis (Mariën et al., 2019). Between late August and late November 2017-2018,
 we sampled every month 20-40 leaves (five leaves for four to eight trees) for beech and 10 to 20 leaves

(five for two to four trees) for birch and oak. The different colors represent different sampling dates.

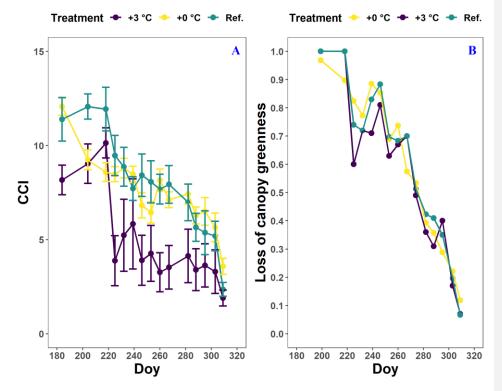




Fig. A2: The chlorophyll content index (CCI; panel A) and loss of canopy greenness (panel B) of the *Fagus sylvatica* saplings at the Drie Eiken Campus in Wilrijk for which no breakpoint could be calculated. The dots and error bars represent the mean CCI (panel A) and mean loss of canopy greenness (panel B) with standard errors. The colors represent the CCI or the loss of canopy greenness of the beech saplings in the reference plots (green; Ref.), the glasshouses that followed the outside ambient air temperature (yellow; +0 °C) and the glasshouses that were three degrees warmer than the outside ambient air temperature (purple; +3 °C), respectively.

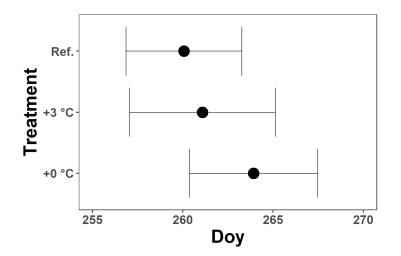




Fig. A3: The mean onset of autumn leaf senescence per treatment for all *Fagus sylvatica* saplings at the Drie Eiken Campus in Wilrijk. Black dots represent the mean onset of autumn leaf senescence, while the error bars represent standard errors that indicate the inter-individual variability. All breakpoints are calculated using the chlorophyll content index data and piecewise-linear regressions ($n_{Ref.} = 29$; $n_{+0} \cdot c = 26$; $n_{+3} \cdot c = 22$). : Ref. represents the breakpoints of the trees in the reference plots, while +0 °C and +3 °C represents the breakpoints of the trees in the glasshouses under the 'drought/less irrigation' and

744 'drought/less irrigation, warming and increased atmospheric aridity' treatments, respectively.

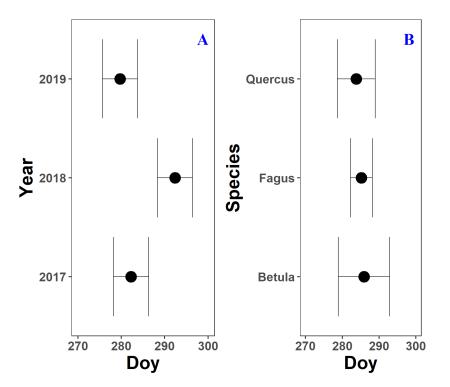
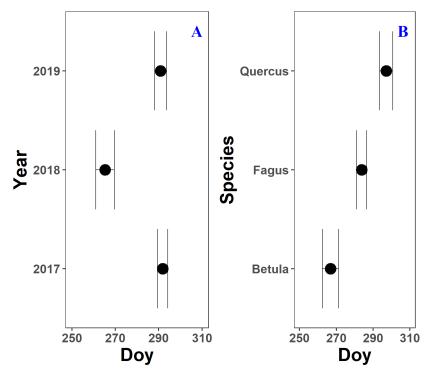




Fig. A4: The mean onset of autumn leaf senescence for three years (panel A; 2017 - 2019) and the three
species (panel B; *Fagus sylvatica, Betula pendula* and *Quercus robur*) measured on all mature trees at the
Klein Schietveld and Park of Brasschaat. Black dots represent the mean onset of autumn leaf senescence,
while the error bars represent standard errors that indicate the inter-individual variability. All breakpoints
are calculated using the chlorophyll content index data and piecewise-linear regressions (n_{Fagus} = 8; n_{Betula}
= 4; n_{Quercus} = 4).



752 753 Fig. A5: The mean onset of the loss of canopy greenness for three years (panel A; 2017 - 2019) and the 754 three species (panel B; Fagus sylvatica, Betula pendula and Quercus robur) measured on all mature trees 755 at the Klein Schietveld and Park of Brasschaat. Black dots represent the mean onset of the loss of canopy 756 greenness, while the error bars represent standard errors that indicate the inter-individual variability. All 757 breakpoints are calculated using the loss of canopy greenness data and piecewise-linear regressions

758 (nFagus = 16; nBetula = 8; nQuercus = 8).

759 Data availability

760 The code and data corresponding to the work presented in this article is available at Zenodo as doi:

761 10.5281/zenodo.4559535

762 Author contributions

MC and HDB designed the experiment. ID, SL, PW and BM collected the data. PW computed the rainfall deficit, while BM performed all other analyses. BM, PW and MC wrote the text. All authors contributed to

the discussions.

766 Competing interests

767 The authors declare that they have no conflict of interest.

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