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Does drought advance the onset of autumn leaf senescence in temperate deciduous forest trees?

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Abstract

- Severe droughts are expected to become more frequent and persistent. However, their effect on autumn leaf senescence, a key process for deciduous trees and ecosystem functioning, is currently unclear. We hypothesized that (I) severe drought advances the onset of autumn leaf senescence in temperate deciduous trees and that (II) tree species show different dynamics of autumn leaf senescence under drought.
- We tested these hypotheses using a manipulative experiment on beech saplings and three years of monitoring mature beech, birch and oak trees in Belgium. The autumn leaf senescence was derived from the seasonal pattern of the chlorophyll content index and the loss of canopy greenness using generalized additive models and piece-wise linear regressions.
- Drought did not affect the onset of autumn leaf senescence in both saplings and mature trees, even if the saplings showed a high mortality and the mature trees a high leaf mortality (due to accelerated leaf senescence and early leaf abscission). We did not observe major differences among species.
- Synthesis: The timing of autumn leaf senescence appears conservative across years and species, and even independent on drought stress. Therefore, to study autumn senescence, seasonal chlorophyll dynamics and loss of canopy greenness should be considered separately.

Key words

Autumn leaf senescence, *Betula pendula*, Drought, *Fagus sylvatica*, Generalized additive mixed models, Leaf coloration and fall, *Quercus robur*, Rainfall deficit

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1. Introduction

Autumn leaf senescence is a developmental stage of the leaf cells. The core function of this process is the remobilization of nutrients and death is its consequence (Medawar, 1957;Keskitalo et al., 2005). Its evolutionary purpose is likely stress resistance and, as such, the process dynamics are affected by different forms of environmental stress (e.g. high temperatures, water logging) (Benbella and Paulsen, 1998;Leul and Zhou, 1998;Munné-Bosch and Alegre, 2004). The process of autumn leaf senescence is highly coordinated and characterized by a tight control over its timing. Furthermore, its most manifest feature, the detoxification of chlorophyll, allows the degradation of leaf macromolecules and subsequent nutrient remobilization -the essence of autumn leaf senescence- (Hörtensteiner and Feller, 2002;Munné-Bosch and Alegre, 2004;Matile, 2000). In addition, chlorophyll degradation allows for the typical leaf coloration during autumn. However, autumn leaf senescence is also an important process at the ecosystem scale because it affects multiple ecological processes, such as trophic dynamics, tree growth or the exchange of matter and energy between the ecosystem and atmosphere (Richardson et al., 2013).

Despite its relevance, literature on autumn senescence has maintained a wide variety of definitions and observational methods (Gill et al., 2015;Fracheboud et al., 2009;Gallinat et al., 2015). This has hampered our understanding of the effects of drought stress on the timing of the onset of autumn leaf senescence, as opposed to the timing of leaf abscission or accelerated leaf senescence. For example, Estiarte and Penuelas (2015) reported that leaf senescence advances due to drought stress, while Vander Mijnsbrugge et al. (2016) reported a delay in the leaf senescence of young trees subjected to drought. After the summer drought in central Europe of 2003, Leuzinger et al. (2005) even reported that the leaf longevity (measured as a delay in the leaf discoloration and fall) of five deciduous tree species was on average prolonged by 22 days.

Droughts are expected to occur more frequently and become more intensive due to global warming and changes in precipitation patterns (IPCC, 2014;Crabbe et al., 2016). Extended periods with lower than average rainfall are often associated with higher air temperatures and higher vapor pressure deficits, which can negatively affect the functioning of trees in the temperate zone (Novick et al., 2016;De Boeck and Verbeeck, 2011). Belgian forests are thought to be especially vulnerable to droughts as they typically have sandy soils with low soil field capacities (Vander Mijnsbrugge et al., 2016;van der Werf et al., 2007).

To examine the effects of drought stress on the onset of autumn leaf senescence, we hypothesized that:

(I) the timing of the onset of autumn leaf senescence in temperate deciduous trees is advanced by severe drought stress. The leaves of a tree that experiences a drought will accumulate the consequences of stress exposure and lose functionality. Therefore, it is likely not beneficial for a tree to maintain active leaves late in the season after a severe drought. Instead, to maximize nutrient recovery, trees probably prefer an earlier leaf senescence. In addition, a drought would reduce the tree's wood growth and increase its fine root mortality (Brunner et al., 2015;Campioli et al., 2013). Consequently, the tree's carbon sink strength will decline, causing a reduced demand for carbon from the sources (e.g. the leaves) and advance the onset of autumn leaf senescence.

(II) different tree species show different dynamics in their onset of autumn leaf senescence under drought. We hypothesized that, under drought stress, species with continuous flushing (e.g. birch) will have a more stable timing onset of autumn leaf senescence than species with only one or two leaf flushes during spring-summer (e.g. beech and oak) (Koike, 1990).

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We tested these hypotheses by subjecting young trees to drought stress in an experimental set-up and by examining the effect of years with different drought intensities (2017, 2018 and 2019) on mature trees in natural forest stands.

2. Materials and methods

2.1. Study sites and experimental setting

2.1.1. Manipulative drought experiment

In 2018, we carried out a manipulative drought experiment at the Drie Eiken Campus in Wilrijk, Belgium (51°09'N, 4°24'E). In early March, 128 individuals of three-year-old beech (*Fagus sylvatica*) saplings, from a local nursery and with the same local provenance, were planted in pots with a volume of 35 liters and a surface area of 0.07 m². The pots were filled with 20% peat and 80% white sand. Eight beech saplings were placed in each of twelve climate-controlled glasshouses with a ground surface of 1.5 x 1.5 m and a height at the north and south side of 1.5 m and 1.2 m, respectively. The glasshouses had a roof of colorless polycarbonate (a 4 mm thick plate) reducing the incoming light by ± 20%, had three sides that could be opened or closed and were equipped with a combined humidity-temperature sensor (QFA66, Siemens, Erlangen, Germany) to monitor the relative humidity and air temperature (Fig. 1, panel A and B). One pot per glasshouse was also equipped with a soil moisture smart sensor (HOBO S-SMD-M005, Onset, MA, USA) to monitor the soil water content (Fig. 1, panel C). The latter sensors became available only at the time the drought stress was alleviated (see below). More details on the set-up of the glasshouses can be found in the literature (Van den Berge et al., 2011;De Boeck et al., 2012;Fu et al., 2014). Two treatments were organized (n = 48 per treatment; see below). In addition to the saplings in the glasshouses, eight beech saplings were placed in each of four reference plots outside of the glasshouses (n = 32, Ref.). The relative humidity and air temperature of the outside reference plots were monitored by a pocket weather meter (Kestrel 3000, Nielsen, PA, USA). Once in April and once in July, all saplings received 35 g of NPK slow-release fertilizer (DCM ECO-XTRA 1) and 1.8 g of micro elements (DCM MICRO-MIX). Using the relative humidity and air temperature data between 7 a.m. and 7 p.m., the vapor pressure deficit was calculated for both treatments (see below) and the reference plots using the formulas of Buck (1981) (Eq. 1; Fig. 1, panel D).

Equation 1

$$e_0 = 613.75 \times \exp((17.502 \times T)/(240.97 + T))$$
$$e = RH \times e_0$$
$$VPD = e_0 - e$$

where e_0 is the saturation vapor pressure (in kPa), T is the temperature (in °C), e is the actual vapor pressure deficit (in kPa), RH is the relative humidity (in %) and VPD is the vapor pressure deficit (in kPa).

From planting until April, the saplings were all irrigated two to three times a week until the pots overflowed. At the start of the treatment, in early May, we shielded all the glasshouses using polyethylene film (200 µm thick) and irrigated the saplings only once a week with circa 1.5 liter of water. In addition, we enhanced the drought in six glasshouses by raising the air temperature by three degrees compared to the ambient air temperature (+3 °C). The idea was to simulate 'natural' drought conditions, which are typically associated with warmer temperatures. The air temperature in the other six glasshouses followed the ambient air temperature (+0 °C). During the treatment, the daily soil water content and the daily relative humidity in the glasshouses with the +3 °C treatment were lower in comparison to the glasshouses with the +0 °C treatment. The difference was around 0.02 m^3/m^3 for the soil water content and 20% for the relative humidity (Fig. 1). The plan was to continue the treatment till the end of June but, due to the significant mortality rate, we were obliged to alleviate the drought stress already from the 20th of June.

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From July, the glasshouses were opened again and the saplings were irrigated four to five times a week until the end of the season. During the whole growing season, the reference plots outside were abundantly irrigated until the pots overflowed.

A draw-back of the experiment is that the saplings in the reference plots received more incoming light (i.e. ± 20%) than the saplings in the glasshouses (Van den Berge et al., 2011). However, as beech is a shade tolerant species, reduced light is unlikely to have limited the tree growth.

2.1.2. Field observations in deciduous forests

From 2017 to 2019, we monitored the chlorophyll content index (CCI; a proxy for the chlorophyll concentration) and loss of canopy greenness of dominant mature trees in two forests near Antwerp; the Klein Schietveld in Kapellen (KS; 51°21'N, 4°37'E) and the Park of Brasschaat (PB; 51°12'N", 4°26'E). In the KS, we monitored the CCI of four beech trees and four birch (*Betula pendula*) trees. In the PB, we monitored the CCI of four beech trees and four oak (*Quercus robur*) trees. The loss of canopy greenness was observed for the same tree individuals and four additional tree individuals per species and site (thus for 32 trees in total). The two forests and their meteorological conditions are described in detail by Mariën et al. (2019), which also showed a lack of site effects on the autumn chlorophyll dynamics for the tree species studied here. To have a larger statistical sample, the data of the two beech stands (also of similar age and stem diameter) were aggregated.

For summer and autumn, we report here the average values for the temperature, precipitation, number of rainy days, relative humidity, sunshine duration and global solar radiation for the meteorological station of the Royal Meteorological Institute (KMI) in Ukkel, Belgium (Table 1). For these data, long-term averaged data was available. The temperature, relative humidity, vapor pressure deficit (see Eq. 1) and precipitation from 2017 to 2019 are presented in more detail using daily values that were measured at Brasschaat and, whenever necessary, gap-filled with data from the meteorological station in Woensdrecht, Netherlands (Fig. 2, panel A – B; panel D). The meteorological data from Brasschaat was provided by the Flemish Institute for Nature and Forest (INBO) and the Integrated Carbon Observation System (ICOS), while the data from Woensdrecht was provided by the Royal Dutch Meteorological Institute (KNMI). The distance from Ukkel and Woensdrecht to sites is 60 km and 20 km, respectively. However, both locations show no major climatological differences with the KS and PB and are representative for the inter-annual variability experienced by the forests.

2.1.3. The rainfall deficit: an indicator of drought stress for 2017 - 2019

To indicate the magnitude of the droughts, we computed the rainfall deficit from 2017 to 2019 using data on the relative humidity, solar radiation, wind speed, temperature and precipitation from the meteorological station in Ukkel. Here, the meteorological records go back the longest in Belgium. The rainfall deficit is computed on a daily basis by accumulating the daily potential evapotranspiration minus the daily amount of precipitation. This was done in two ways: (I) per hydrological year, starting from a zero deficit at the start of the hydrological year (1st of April) and (II) continuous computation, so no restart from 0 at the start of each hydrological year. The latter method has the benefit that the long-term effect of accumulated droughts from successive years is accounted for.

The potential evapotranspiration was computed by means of the method of Bultot et al. (1983), which is similar to the method of Penman (1948), but has parameters that are calibrated specifically for the local Belgian conditions. Unlike for the rainfall deficit starting from a zero deficit, we accounted in the calculation of the continuously computed rainfall deficit for the hydrological fraction in wet periods that

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does not contribute to building up ground water reserves. At the station of Ukkel, daily precipitation and potential evapotranspiration data are available since more than 100 years. The precipitation data are collected since 1898 on the same location, and is measured using the same instrument. For this study, the data for the 100-year period 1901-2000 was considered as the reference period for the computation of long-term statistics on the rainfall deficit.

2.2. Measuring autumn leaf senescence: the chlorophyll content index and the loss of canopy greenness

In the manipulative experiment from late-July until late-November, we measured the CCI of each tree sapling weekly by randomly selecting one leaf from the outer, middle and inner layer of the upper part of the crown. The CCI was measured using a chlorophyll content meter, which measures the optical absorbance in the 653 nm and 931 nm wavebands (CCM-200 plus, Opti-Sciences Inc., Hudson, NH, USA). Concurrently, we visually estimated the loss of canopy greenness (LOCG; scaled between 0 and 1) of each sapling following the method of Vitasse et al. (2011), which accounts for both the percentage of leaves that have changed color and the percentage of leaves that have fallen.

For the 16 mature trees in the two forests and from the end of July to the end of November, tree-climbers collected leaves on eight occasions per year separated by two to three weeks. During each measurement day, they collected five sun-leaves and five shade-leaves from each tree. Afterwards, the CCI was immediately measured on the harvested leaves using the same chlorophyll content meter as described above. From early September to late November, the loss of canopy greenness was estimated in a similar fashion to the manipulative experiment for the 32 mature trees (Vitasse et al., 2011).

Following the method of Mariën et al. (2019), we validated the CCI values by measuring also the chlorophyll concentrations (Fig. S1). In 2017 and 2018, on one occasion per month and using a 10-mm diameter cylinder, we collected samples of leaf tissue from the leaves of the mature trees for which we also measured the CCI. After storage at -80 °C, the samples were grounded using glass beads and a centrifuge. The result was dissolved in ethanol and the absorption of the solution was measured using a spectrophotometer (Smart Spec Plus Spectrophotometer, Bio-Rad) at different wavelengths for Chlorophyll a (662 nm) and chlorophyll b (644 nm). The chlorophyll concentrations could then be derived from the absorption values using the formulas described in Holm (1954) and Vonwettstein (1957).

2.3. Tree mortality in the manipulative experiment

In this study, we only considered those trees that defoliated due to autumn leaf senescence. Other tree saplings have died or defoliated completely due to accelerated leaf senescence during or just after the drought period. Since chlorophyll degradation is a common feature of both senescence processes and nutrient remobilization was only measured indirectly by CCI, we did not consider (I) tree saplings that showed an early or abrupt defoliation (without gradual coloration) before the 18th of August (n = 20) and (II) tree saplings with constant CCI values lower than three, the limit at which the values of the CCI meter can be interpreted, for the whole period from August to November (n = 18). Like in other studies, some defoliated tree saplings produced a few new leaves as last attempt to prevent death (Vander Mijnsbrugge et al., 2016;Turcsan et al., 2016). However, there were not enough of such leaves for meaningful analyses.

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2.4. Statistical analyses

All statistical analyses were performed using R v.3.6.1. (R Core Team, 2020). The model assumptions were tested following Zuur et al. (2010) and using R/ggpubr (Kassambara, 2019). All graphical output is built using the packages R/GGPLOT2, R/VIRIDIS and R/COWPLOT (Wickham, 2009;Wilke, 2019;Garnier, 2018).

2.4.1. Assessing the patterns of CCI and loss of canopy greenness using generalized additive mixed models

The patterns of the CCI and loss of canopy greenness data from both our tree saplings and mature trees were assessed using generalized additive mixed models (GAMMs) built using the packages R/MGCV, R/GRATIA and R/DPLYR (Wood, 2011;Wickham et al., 2018;Simpson, 2020;Hastie and Tibshirani, 1986;Pedersen et al., 2019). We used GAMMs because they allow more flexibility than other models (e.g. generalized linear models) to model the distribution parameter μ (i.e. the mean of the observed random variable) and the continuous explanatory variables (Rigby and Stasinopoulos, 2005).

To model the CCI of both our tree saplings and mature trees as a function of their covariates, Gaussian GAMMs with the identity link function were used (Table 2; Model Eq. 1, 3, 5, 7). To model the loss of canopy greenness of both our tree saplings and mature trees as a function of their covariates and because the loss of canopy greenness is scaled between 0 and 1, Binomial GAMMs with the logistic link function were used (Table 2; Model Eq. 2, 4, 6, 8). The GAMMs were chosen with the lowest AIC value (Akaike information criterion) and all factor-smooth interaction terms were smoothed using P-splines to address the large gap in data (i.e. from November to June) between the yearly sampling periods.

For the CCI of the beech saplings, the fixed covariates were the *treatment* (categorical with three levels), *leaf place* (categorical with three levels) and *day of the year* (continuous; model 1). The interaction term was modelled as a factor-smooth interaction between the covariates *day of the year* and *treatment*. The dependency among observations of the same individual tree was incorporated by using *individual tree* as random intercept.

Model 1

$$Y_{ij} \sim \text{Gaussian}(\mu_{ij}, \text{cst.})$$
$$g(\mathbb{E}(Y_{ij})) = g(\mu_{ij})$$
$$g(\mu_{ij}) = \text{Treatment}_{ij} + \text{Leaf\_place}_{ij} + f(\text{Doy}_{ij}, \text{Treatment}_{ij}) + \text{Individual\_tree}_i$$

where g is the identity link function, μ_{ij} is the conditional mean, Y_{ij} is the *j*th observation of the response variable (i.e. the CCI) in Individual tree *i*, and *i* = 1,…, 128, and Individual tree$_i$ is the random intercept (Zuur et al., 2007;Zuur et al., 2016).

For the loss of canopy greenness of the beech saplings, the fixed covariates were the *treatment* (categorical with three levels) and *day of the year* (continuous; model 2). The interaction term and the dependency among observations of the same individual tree were treated as in model 1.

Model 2

$$Y_{ij} \sim B(n_{ij}, \pi_{ij})$$
$$g(\mathbb{E}(Y_{ij})) = g(\mu_{ij})$$
$$g(\mu_{ij}) = \text{Treatment}_{ij} + f(\text{Doy}_{ij}, \text{Treatment}_{ij}) + \text{Individual\_tree}_i$$

where n_{ij} is the number of observations, π_i is the probability of 'success', g is the logit link function, μ_{ij} is the conditional mean, Y_{ij} is the *j*th observation of the response variable (i.e. the loss of canopy greenness) in Individual tree *i*, and *i* = 1,…, 128, and Individual tree$_i$ is the random intercept.

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For the CCI of the mature beech, birch and oak trees, the fixed covariates were the *year* (categorical with three levels), *leaf type* (categorical with two levels) and *day of the year* (continuous; model 3). The interaction term was modelled as a factor-smooth interaction between the covariates *day of the year* and *year*. The dependency among observations of the same individual tree was incorporated using *individual tree* as random intercept.

Model 3

$$Y_{ij} \sim \text{Gaussian}(\mu_{ij}, \text{cst.})$$
$$g(\mathbb{E}(Y_{ij})) = g(\mu_{ij})$$
$$g(\mu_{ij}) = \text{Year}_{ij} + \text{Leaf\_type}_{ij} + f(\text{Doy}_{ij}, \text{Year}_{ij}) + \text{Individual\_tree}_i$$

where g is the identity link function, μ_{ij} is the conditional mean, Y_{ij} is the *j*th observation of the response variable (i.e. the CCI) in Individual tree *i*, and *i* = 1,…, 8 for beech, *i* = 1,…, 4 for birch and *i* = 1,…, 4 for oak, and Individual tree$_i$ is the random intercept.

For the loss of canopy greenness of the mature beech, birch and oak trees, the fixed covariates were the *year* (categorical with three levels) and *day of the year* (continuous; model 4). The interaction term and the dependency among observations of the same individual tree were treated as in model 3.

Model 4

$$Y_{ij} \sim B(n_{ij}, \pi_{ij})$$
$$g(\mathbb{E}(Y_{ij})) = g(\mu_{ij})$$
$$g(\mu_{ij}) = \text{Year}_{ij} + f(\text{Doy}_{ij}, \text{Year}_{ij}) + \text{Individual\_tree}_i$$

where n_{ij} is the number of observations, π_{ij} is the probability of 'success', g is the logit link function, μ_{ij} is the conditional mean, Y_{ij} is the *j*th observation of the response variable (i.e. the loss of canopy greenness) in Individual tree *i*, and *i* = 1,…, 16 for beech, *i* = 1,…, 8 for birch and *i* = 1,…, 8 for oak, and Individual tree$_i$ is the random intercept.

2.4.2. Using breakpoints to indicate the onset of autumn leaf senescence and the loss of canopy greenness

In principle, the onset of autumn leaf senescence could be derived from the CCI or loss of canopy greenness. However, Mariën et al. (2019) recently showed that the latter method cannot be used under severe drought stress. Therefore, two phenological variables were considered to describe the autumn canopy dynamics: the onset of autumn leaf senescence derived from the CCI (the onset of autumn leaf senescence$_{CCI}$) and the onset of the loss of canopy greenness. For each tree, we defined the onset of autumn leaf senescence and the onset of loss of canopy greenness as the date by which the variable of interest started to decline substantially in early autumn. These dates were calculated using piecewise linear regressions and are represented by the breakpoints resulting from these analyses (Menzel et al., 2015;Mariën et al., 2019;Xie and Wilson, 2020). The piecewise linear regressions were performed using R/DPLYR and R/SEGMENTED (Vito and Muggeo, 2008). The uncertainty reported represents the inter-tree variability. Trees that did not show a clear breakpoint (13 in the manipulative experiment) were not considered in the analysis. These trees did not show a different pattern of CCI or loss of canopy greenness than the other trees (Fig. S2).

2.4.3. Comparing the onset of autumn leaf senescence among tree saplings exposed to different drought treatments

We tested whether the beech saplings exposed to the three treatments in 2018 differed in their onset of autumn leaf senescence$_{CCI}$ using a linear model with the onset of autumn leaf senescence$_{CCI}$ as response

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variable and *treatment* (categorical with three levels) as fixed covariate. The residuals of the model were approximately normally distributed and a Breusch-Pagan test, the R/ncvTest and R/bptest in the R/CAR and R/LMTEST packages, showed no evidence of heteroscedasticity ($P > 0.05$) (Fox and Weisberg, 2019;Zeileis and Hothorn, 2002). A one-way ANOVA was used to detect significant differences in the onset of autumn leaf senescence$_{CCI}$ among the treatments.

2.4.4. Comparing the onset of autumn leaf senescence and the onset of loss of canopy greenness in mature trees among species and years

To model the onset of autumn leaf senescence$_{CCI}$ and the onset of the loss of canopy greenness as a function of their covariates, Gaussian linear mixed models were used. These models were built with the package R/LME4 (Bates et al., 2015).

The effect of the year on the onset of autumn leaf senescence$_{CCI}$ and the onset of the loss of canopy greenness was assessed using two linear mixed effect models with the onset of autumn leaf senescence$_{CCI}$ and the onset of the loss of canopy greenness from the mature beech, birch and oak trees as response variable. The fixed covariate in these two models was the *Year* (categorical with three levels; model 5). To incorporate the dependency among observations of the same species, we used *species* as random intercept.

Model 5

$$Y_{ij} \sim \text{Gaussian}(\mu_{ij}, \text{cst.})$$
$$g(\mathbb{E}(Y_{ij})) = g(\mu_{ij})$$
$$\mu_{ij} = \text{Year}_{ij} + \text{Species}_i$$

where g is the identity link function, μ_{ij} is the conditional mean, Y_{ij} is the *j*th observation of the response variable in Species *i*, and *i* = 1,…, 3 and Species$_i$ is the random intercept.

The effect of the species on the onset of autumn leaf senescence$_{CCI}$ and the onset of the loss of canopy greenness was assessed using two linear mixed effect models with the onset of autumn leaf senescence$_{CCI}$ and the onset of the loss of canopy greenness from the mature beech, birch and oak trees as response variable. The fixed covariate in these two models was the *Species* (categorical with three levels; model 6). To incorporate the dependency among observations of the same year, we used *Year* as random intercept.

Model 6

$$Y_{ij} \sim \text{Gaussian}(\mu_{ij}, \text{cst.})$$
$$g(\mathbb{E}(Y_{ij})) = g(\mu_{ij})$$
$$\mu_{ij} = \text{Species}_{ij} + \text{Year}_i$$

where g is the identity link function, μ_{ij} is the conditional mean, Y_{ij} is the *j*th observation of the response variable in Year *i*, and *i* = 1,…, 3 and Year$_i$ is the random intercept.

The residuals of the models were approximately normally distributed and showed no heteroscedasticity (tested using diagnostic plots). Therefore, we used Pearson's chi-square test, R/drop1 in the R/LME4 package, to detect significant differences in the onset of autumn leaf senescence and the onset of the loss of canopy greenness among the predictor variables. A multiple comparison test, the R/glht test with method Tukey in the R/MULTCOMP package, was used to test for significant differences among the means of the levels in the predictor variables (Hothorn et al., 2008).

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3. Results

3.1. Magnitude of the drought stress in 2017, 2018 and 2019

The weather in 2018 and 2019 was exceptional, as can be seen in the overview of the meteorological conditions from 2017 to 2019 against the long-term reference values in Table 1 and Figure 2. In 2017, the weather during spring was dry and warm but the weather during summer and autumn was relatively normal (KMI, 2017c, b, a). In contrast, the warm and dry summer of 2018 was marked by abnormal (with an average return time of 6 years) to exceptional (with an average return time of 30 years or more) values (KMI, 2018a). Furthermore, the autumn of 2018 was abnormally dry and all precipitation fell on relatively few days (32) (KMI, 2018b). In the summer of 2019, the average air temperature and the total amount of sunshine were both among the three highest values recorded since 1981. In fact, the absolute maximum air temperature record for Belgium was broken in 2019 (KMI, 2019b). On the other hand, the autumn of 2019 was considered normal (KMI, 2019a).

The rainfall deficit for each day in the hydrological year (from the 1st of April until the 31st of March) and different return times are shown in Figure 3 (panel A & B). This demonstrates that in the late spring of 2017, the summer of 2018 and the summer of 2019 the rainfall deficit reached a return time between 20 and 50 years, 50 years, and 20 years, respectively. The hydrological summers of 2017, 2018 and 2019 had therefore moderate to extremely dry conditions, which led to accumulated rainfall deficit conditions over time (see Figure 3; panel A). Especially the hydrological year starting in 2018 ended with a strong rainfall deficit of about 150 mm, which was not reduced during 2019.

3.2. The effect of drought on the onset of autumn leaf senescence in tree saplings in a manipulative experiment

For all treatments, the CCI values of the beech saplings showed an overall moderate decrease until the beginning of October. Afterwards, this decrease accelerated (Fig. 4; panel A & C; Table 2). In the +0 and especially the +3 °C treatment, an abnormal CCI decline was observed in early August with only a partial recovery later on. As a result, from the beginning of August until mid-September, the CCI values of the beech saplings in the reference plots were significantly higher than the CCI values of the beech saplings in the glasshouses. However, no significant difference was detected in the timing of the onset of autumn leaf senescence$_{CCI}$ among the beech saplings exposed to the three different treatments, as the mean onset of autumn leaf senescence$_{CCI}$ was between the 21st (DOY = 260 ± 5) and 25th (DOY = 264 ± 4) of September (P = 0.7; Fig. S3).

The ~~loss of~~ canopy greenness for the beech saplings showed a stable decline from early August until the end of autumn (Fig. 4; panel B & D; Table 2). Nevertheless, during September, the ~~loss of~~ canopy greenness of the beech saplings in the reference plots was significantly higher than the ~~loss of~~ canopy greenness of the beech saplings in the glasshouses with the +3 °C treatment.

The tree saplings in the glasshouses of both treatments were exposed to a high mortality with 14% and 26% of the tree saplings in the glasshouses with the +0 °C and +3 °C treatment, respectively, considered 'dead' along our criteria (see §2.3.). In the reference plots, no beech saplings died.

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3.3. Inter-annual and inter-species variability in the timing of the onset of autumn leaf senescence and the onset of the loss of canopy greenness in mature trees

The pattern in the CCI values for the mature beech, birch and oak trees seems consistent throughout the years with stable values in summer and a rapid decline around late October (Fig. 5 - 7; panel A & C; Table 2). We also observed no significant difference in the onset of autumn leaf senescence$_{CCI}$ among the years (P = 0.09) and species (P = 1). The mean onset of autumn leaf senescence$_{CCI}$ among the years was from the 8th (DOY = 281 ± 6) to the 19th (DOY = 292 ± 6) of October (Fig. S4; panel A), while the mean onset of autumn leaf senescence$_{CCI}$ among the species was around the 13th of October (DOY = 286 ± 6; Fig. S4; panel B). The CCI correlated linearly with the chlorophyll concentrations but the data showed more variation in 2018 than 2017 (see Fig. S1).

The pattern in the loss of canopy greenness for the mature beech, birch and oak trees seemed less consistent throughout the years (Fig. 5 - 7; panel B & D; Table 2). The loss of canopy greenness showed a very similar pattern between 2017 and 2019 for birch and beech, with the start of the decline in canopy greenness values around late September for birch and late October for beech. Like beech and birch, oak showed a standard pattern in 2019 with the start of the seasonal decline in late October. However, in 2017, oak showed an earlier loss of canopy greenness with the start of the seasonal decline in mid-September. In all cases, a rapid decline in the canopy greenness was observed in late autumn. In 2018, all species showed an earlier and steeper decline in their canopy greenness values. This effect was also reflected by a significant difference in the onset of the loss of canopy greenness among the years (P = 5 x 10^{-11}). Across species, the onset of the loss of canopy greenness did not differ significantly (P = 0.9) between 2017 (DOY = 292 ± 9) and 2019 (DOY = 290 ± 4), while it occurred 26 and 25 days earlier in 2018 (DOY = 266 ± 4) compared to 2017 (P = 1 x 10^{-5}) and 2019 (P = 1 x 10^{-5}), respectively (Fig. S5; panel A). However, all tree species differed significantly in their onset of the loss of canopy greenness across years (P = 6 x 10^{-9}). Compared to birch (DOY = 268 ± 9; Fig. S5; panel B), the onset of the loss of canopy greenness for beech was on average 16 days later (P = 1 x 10^{-4}; DOY = 284 ± 4), while for oak this was 30 days later (P = 1 x 10^{-4}; DOY = 298 ± 4). The onset of the loss of canopy greenness for beech was also 14 days earlier than that for oak (P = 7 x 10^{-4}).

4. Discussion

Our results showed that the timing of the onset of autumn leaf senescence in both tree saplings and mature trees was not significantly altered by severe drought stress induced by a decline in the soil moisture, relative humidity, and an increase in the air temperature and vapor pressure deficit. These results are in contrast to other studies reporting, for example, that drought stress delays the onset of autumn leaf senescence (determined using remote sensing indices or visual assessment) (Wang et al., 2016;Vander Mijnsbrugge et al., 2016;Zeng et al., 2011;Gárate-Escamilla et al., 2020;Seyednasrollah et al., 2020). However, in our study, drought stress did affect the loss of CCI and canopy greenness of our beech saplings, their mortality, and the onset of the loss of canopy greenness in our mature trees. The effect of the drought stress on the loss of canopy greenness might be due to an early leaf abscission in response to hydraulic failure of the branches (Wolfe et al., 2016;Munné-Bosch and Alegre, 2004). For the mature trees, the different drought response of the autumn pattern of chlorophyll (no effect) and the loss of canopy greenness (advanced and enhanced) is probably an important reason of confusion still present today in the literature on the relationship between drought and autumn senescence.

The continuously computed rainfall deficit was similar between 2018 and 2019. Nevertheless, the loss of canopy greenness suggests that the drought of 2019, which coincided with several heat waves, might have been less damaging for the late-summer leaf dynamics than the drought of 2018. The rainfall deficit

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starting from a zero deficit supports the observation that, despite the accumulated drought effect, the drought of 2019 was less severe in the growing season than the drought of 2018. Perhaps, the conditions of 2018 (i.e. sunny and warm with high vapor pressure deficits) triggered the damaging process of cavitation in the trees, while this might have occurred less intensively in 2019 if the stomatal conductance was lower (Barigah et al., 2013;Bolte et al., 2016;Banks et al., 2019). Alternatively, the difference in the timing of the drought peaks (i.e. the drought of 2018 peaked slightly earlier than the drought of 2019) could have led to divergent responses due to differences in drought sensitivity along the growing season (Banks et al., 2019).

The drought stress did not affect the onset of autumn leaf senescence of both the beech saplings and the mature trees. Deciduous trees therefore seem to have a conservative strategy concerning the timing of their autumn leaf senescence that might be under the control of a constant variable (e.g. the day-length or photo spectrum) (Michelson et al., 2018;Chiang et al., 2019). Such a strategy prioritizes carbon uptake over nutrient remobilization, as a fixed onset of autumn leaf senescence would not allow an advanced nutrient remobilization when required (Keskitalo et al., 2005;Brelsford et al., 2019). Moreover, such a strategy makes the trees vulnerable against the effects of early frost. In case of early frost, the trees might not complete their nutrient resorption. Possible consequences of an incomplete nutrient resorption over a longer time period might include a decline in the overall fitness of the trees and negative feedbacks on the growth dynamics of the next season, such as less buds (Fu et al., 2014;Vander Mijnsbrugge et al., 2016;Crabbe et al., 2016).

Surprisingly, the onset of autumn leaf senescence did not differ significantly among the different tree species, which supports the idea that the onset of autumn leaf senescence in different deciduous trees might be controlled by the same (light related) signal. Other explanations for this result could be the small sample size (i.e. 8 beech, 4 birch and 4 oak trees for the CCI) or the inaccuracies related to the method of piece-wise linear regressions. Given our results, the drought in 2018 and 2019 had little impact on the CCI trend and onset of autumn leaf senescence in mature beech, birch and oak trees.

Although the onset of autumn leaf senescence in both the tree saplings and the mature trees was not advanced by drought stress, the onset of autumn leaf senescence in beech saplings was around 22 days earlier than mature beech trees. Such difference could be due to the different growing conditions (pots versus normal soil), environmental conditions at the different sites, the difference in the average leaf age (tree saplings have an earlier bud-burst than mature trees) or the different ecophysiological response of tree saplings and mature trees (e.g. tree saplings are more vulnerable than mature trees and therefore are likely to use different functional strategies) (Niinemets, 2010;Vander Mijnsbrugge et al., 2016;Pšidová et al., 2015). As there is very little difference in the light conditions among the different sites, the difference in the day length is unlikely to have affected the difference in the timing of the onset of autumn leaf senescence between the beech saplings and mature trees. However, it is possible that the beech saplings have a different sensitivity to the light cues, as they usually grow in the understory and therefore under a different light regime than mature trees (Brelsford et al., 2019;Michelson et al., 2018;Chiang et al., 2019).

Concerning the onset of the loss of canopy greenness for all species and opposed to 2017 (i.e. a year with normal environmental conditions in late-summer and autumn) and 2019 (i.e. a year with high temperatures in summer, relatively normal precipitation in summer and autumn, but suffering from the accumulated effects of the rainfall deficit), the onset of the loss of canopy greenness in 2018 was around three-and-a-half weeks earlier. The canopy greenness metric had been declining earlier in 2018 because the leaves have likely shed earlier due to an advanced leaf abscission process to protect the tree from

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hydraulic failure (Munné-Bosch and Alegre, 2004;Wolfe et al., 2016). There was also a difference in the onset of the loss of canopy greenness among the species. This might be due to two reasons. First, birch (the species with the earliest onset of the loss of canopy greenness) has an indeterministic growth pattern, which also means continuous leaf mortality. Second, the fact that oak (the species with the latest onset of the loss of canopy greenness) has typically a second leaf flush, which might connect the difference between beech and oak to differences in leaf longevity.

5. Conclusion

The different environmental conditions of three years (comprising a severe dry year and a severe warm year) did not affect the timing of the onset of autumn leaf senescence in mature beech, birch and oak forest trees in Belgium. This suggests that deciduous trees have a conservative strategy concerning the timing of their senescence. Like our mature beech trees, beech saplings exposed to a drought also did not show any advancement in their onset of autumn leaf senescence compared to beech saplings in normal conditions. Although the drought stress did not affect the timing of the onset of autumn leaf senescence, it is clear from our results that the drought stress did affect the mortality rate in tree saplings and the leaf mortality in mature trees.

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7. Author contributions

MC and HDB designed the experiment. ID, SL, PW and BM collected the data. PW computed the rainfall deficit, while BM performed all other analyses. BM, PW and MC wrote the text. All authors contributed to the discussions.

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Figures

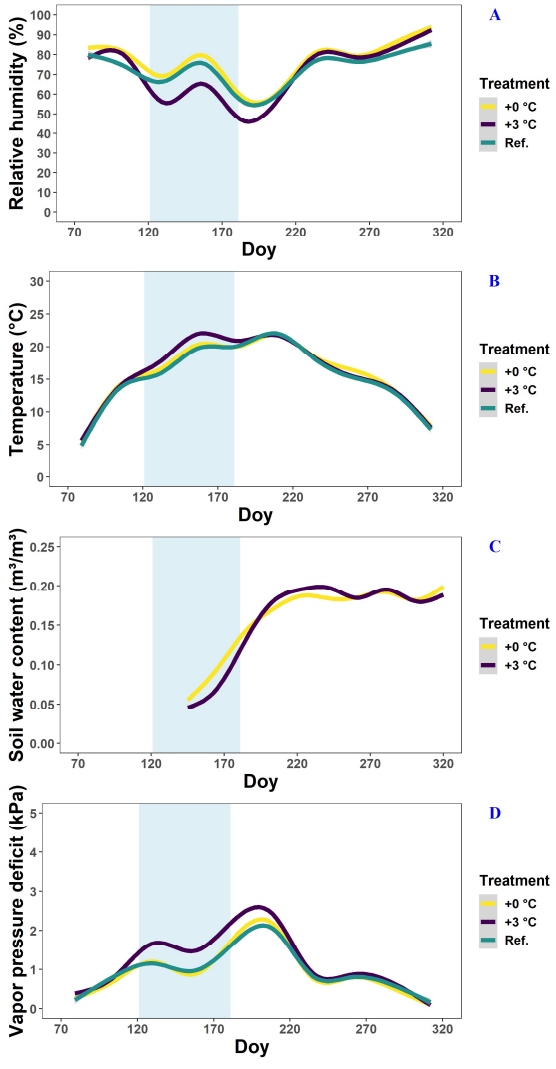

Fig. 1: The relative humidity (panel A), temperature (panel B), soil water content (panel C) and vapor pressure deficit (panel D) in the glasshouses and outside plots at the Drie Eiken Campus in Wilrijk. Solid lines represent regressions of half-hourly measurements of the relative humidity (%), temperature (°C), and soil water content (m^3/m^3). The vapor pressure deficit (kPa) was calculated using the formulas of Buck (1981) using data of the relative humidity and air temperature between 7 a.m. and 7 p.m. Green, blue and red lines represent the conditions in the reference plots (Ref.), glasshouses that follow the outside ambient air temperature (+0 °C) and glasshouses that are three degrees warmer than the outside ambient air temperature (+3 °C), respectively. The light blue band represents the treatment-period.

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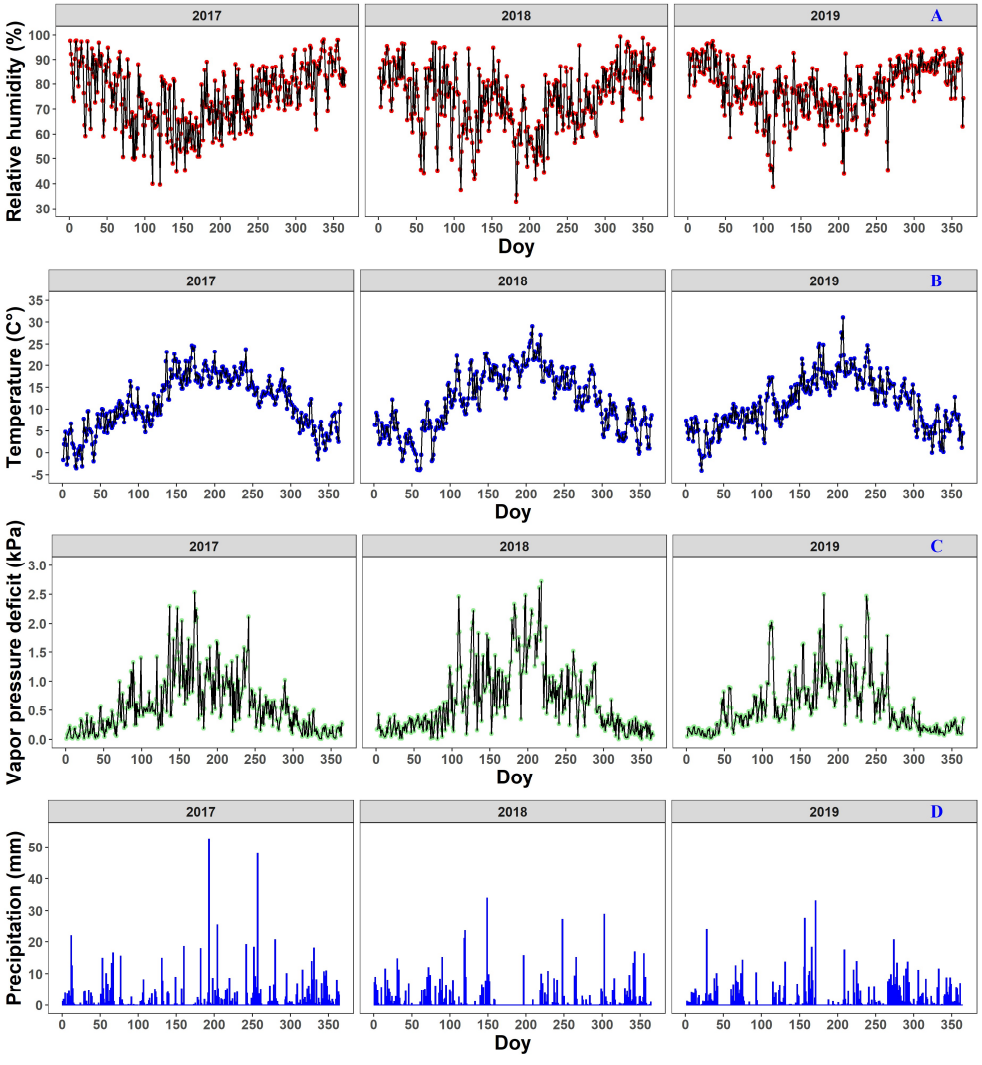

Fig. 2: The meteorological conditions near the Klein Schietveld and Park of Brasschaat. The line plots represent the daily average relative humidity (%; red), temperature (°C; blue) and vapor pressure deficit (kPa; green), while the bar plots represent the daily precipitation (mm; light blue). The data was measured every half hour and provided by the Flemish Institute for Nature and Forest (INBO), the Integrated Carbon Observation System (ICOS) and the Royal Dutch Meteorological Institute (KNMI). The vapor pressure deficit (kPa) was calculated using the formulas of Buck (1981) using data of the relative humidity and air temperature between 7 a.m. and 7 p.m.

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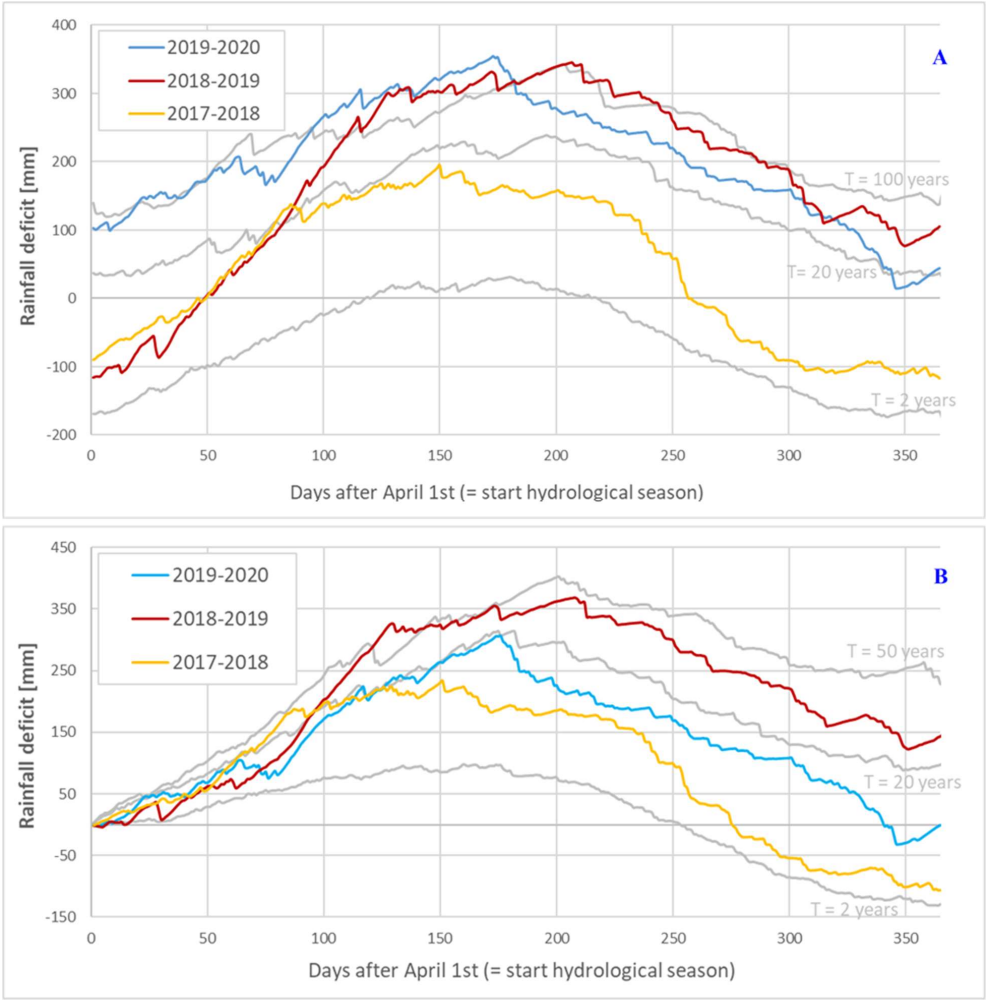

Fig. 3: The rainfall deficit for the meteorological station of the Royal Meteorological Institute (KMI) in Ukkel, Belgium. The colored solid lines represent the rainfall deficit for the hydrological years in the period 2017-2020, while the grey solid lines represent the long-term reference statistics (computed for the 100-year period 1901 - 2000) with T as the return period, which represents the mean time between two successive exceedances of a given deficit value and is computed in an empirical way (Willems, 2000, 2013). Panel A uses a continuous computation, while panel B starts from a zero deficit on the first of April (the start of the hydrological year). The colors represent the rainfall deficit in 2017 (light blue), 2018 (red) and 2019 (yellow).

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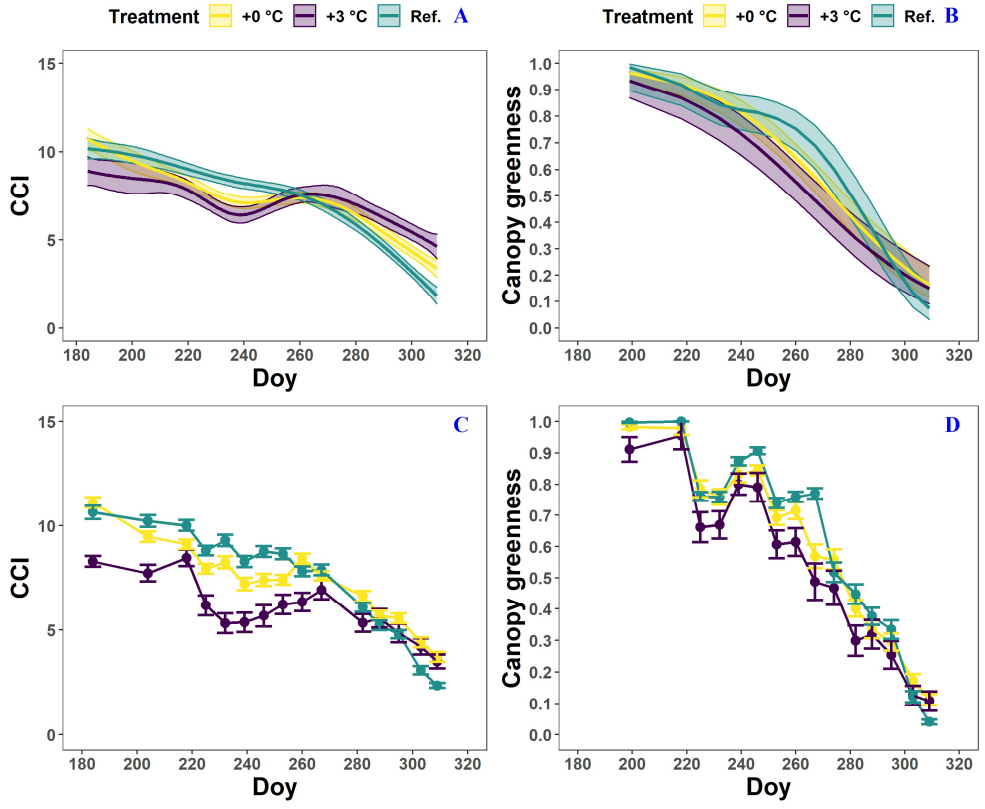

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756 Fig. 4: The generalized additive mixed model fits for the chlorophyll content index (CCI; panel A) and loss
757 of canopy greenness (panel B) of the *Fagus sylvatica* saplings at the Drie Eiken Campus in Wilrijk. The
758 colored solid lines represent smooth terms, while the colored shaded bands around the smooth terms
759 represent approximate 95% simultaneous confidence intervals (panel A) and 95% pointwise confidence
760 intervals (panel B). The dots and error bars represent the mean CCI (panel C) and mean canopy greenness
761 (panel D) with standard errors. The colors represent the CCI or the loss of canopy greenness of the beech
762 saplings in the reference plots (green; Ref.), the glasshouses that followed the outside ambient air
763 temperature (yellow; +0 °C) and the glasshouses that were three degrees warmer than the outside
764 ambient air temperature (purple; +3 °C), respectively.

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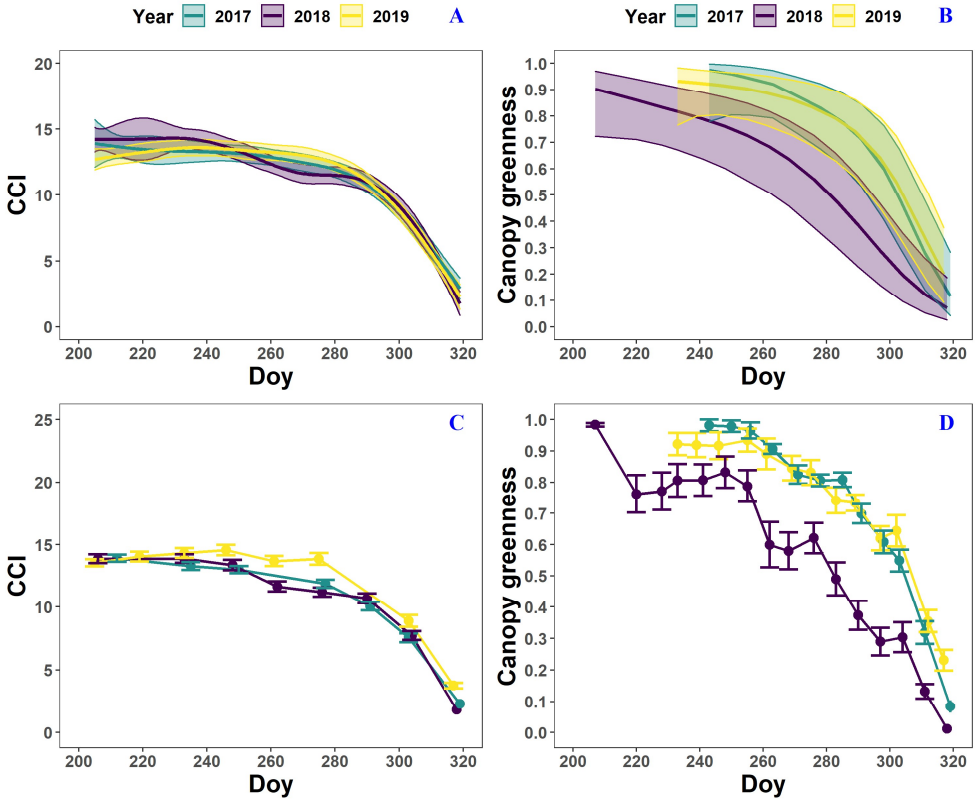

Fig. 5: The generalized additive mixed model fits for the chlorophyll content index (CCI; n = 8; panel A) and loss of canopy greenness (n = 16; panel B) of the mature *Fagus sylvatica* trees at the Klein Schietveld and Park of Brasschaat. The colored solid lines represent smooth terms, while the colored shaded bands around the smooth terms represent approximate 95% simultaneous confidence intervals (panel A) and 95% pointwise confidence intervals (panel B). The dots and error bars represent the mean CCI (panel C) and mean canopy greenness (panel D) with standard errors. The colors represent the CCI or the loss of canopy greenness of the mature beech trees in 2017 (green), 2018 (purple) and 2019 (yellow).

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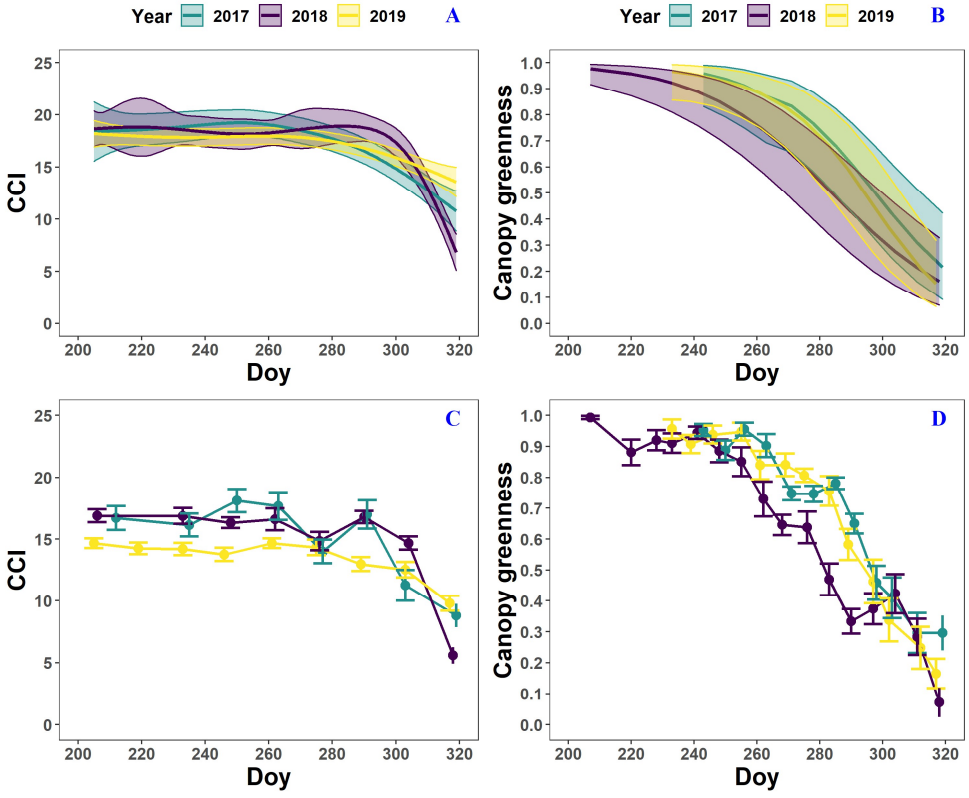

Fig. 6: The generalized additive mixed model fits for the chlorophyll content index (CCI; n = 4; panel A) and loss of canopy greenness (n = 8; panel B) of the mature *Betula pendula* trees at the Klein Schietveld. The colored solid lines represent smooth terms, while the colored shaded bands around the smooth terms represent approximate 95% simultaneous confidence intervals (panel A) and 95 % pointwise confidence intervals (panel B). The dots and error bars represent the mean CCI (panel C) and mean canopy greenness (panel D) with standard errors. The colors represent the CCI or the loss of canopy greenness of the mature birch trees in 2017 (green), 2018 (purple) and 2019 (yellow).

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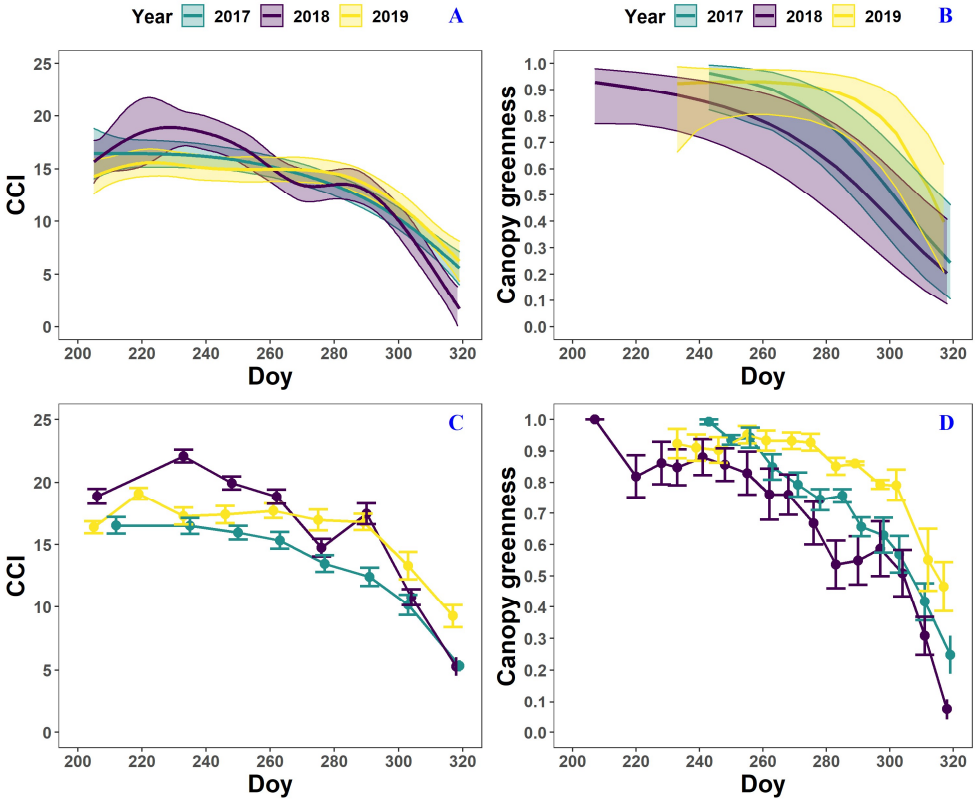

Fig. 7: The generalized additive mixed model fits for the chlorophyll content index (CCI; n = 4; panel A) and loss of canopy greenness (n = 8; panel B) of the mature *Quercus robur* trees at the Klein Schietveld. The colored solid lines represent smooth terms, while the colored shaded bands around the smooth terms represent approximate 95% simultaneous confidence intervals (panel A) and 95% pointwise confidence intervals (panel B). The dots and error bars represent the mean CCI (panel C) and mean canopy greenness (panel D) with standard errors. The colors represent the CCI or the loss of canopy greenness of the mature oak trees in 2017 (green), 2018 (purple) and 2019 (yellow).

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Tables

Table 1: Overview of the meteorological conditions perceived by the mature trees in the Klein Schietveld and Park of Brasschaat. All data is measured by the meteorological station of the Royal Meteorological Institute (KMI) in Ukkel, Belgium (KMI, 2018b, a, 2017c, b, 2019a, b). The degree of abnormality of the values is represented by (a; abnormal values that happen on average once every 6 years) and (e; exceptional values that happen on average once every thirty years). Since 2019, the KMI uses a new system to show the degree of abnormality. Values that are with the five highest values since 1981 are marked by (+), while values within the three highest values are marked by (++).

	Normal (1981-2010)		2017		2018		2019	
	summer	**autumn**	**summer**	**autumn**	**summer**	**autumn**	**summer**	**autumn**
Average temperature (°C)	17.6	10.9	18.6 (a)	11.3	19.8 (e)	11.8	19.1 (++)	11.3
Total precipitation (mm)	224.6	219.9	179.9	226.5	134.7 (a)	168.5	198.6	209.3
Average number of rainy days	43.9	51	44	63 (a)	20 (e)	32 (e)	33	53
Relative humidity (%)	73	82	67.7 (e, June)	62	62.3 (e, July)	75 (e, July)	70	83
Sunshine duration (h:m)	578:20	322:00	573:21	322:00	693:06 (a)	471:12 (e)	714:38 (++)	322:23
Global solar radiation (kWh/m²)	429.6	168.2	447.1 (a, June)	233.8	498.6 (e, July)	213.4 (e, October)	487.9 (+)	178.4

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Table 2: Adjusted R^2, effective degrees of freedom (edf) and F-test values of the GAMM smooth terms. All smooth terms were significant, with p-values < 0.001. $\mathbb{E}(y_i)$ are the expected values of the response variable y_i, $f(x_i)$ is the smooth function of the covariate x_i, β_i is the intercept of the covariate x_i, ζ is the random effect and ε_i are the errors. All smooth functions were fitted using P-splines. The chlorophyll content index, loss of canopy greenness, day of the year and tree individual are abbreviated by CCI, LOCG, Doy and ID, respectively.

Site	Species	Y_i	Model equation	Family distribution	Link function	Adjusted R^2	Smooth term	Treatment	Edf	F or Chi.sq
Wilrijk	*Fagus sylvatica*	CCI	(1) $g(\mathbb{E}(y_i)) = f_1\text{Treatment}_i(\text{Doy}_i) + \beta_1\text{Treatment}_i + \beta_2\text{Leaf\_place}_i + \zeta_{ID} + \varepsilon_i$	Gaussian	Identity	0.61	Day of the year	Reference	4.8	337.5
								+0 °C	5.8	175
								+3 °C	6.1	34.4
Wilrijk	*Fagus sylvatica*	Loss of canopy greenness	(2) $g(\mathbb{E}(y_i)) = f_1\text{Treatment}_i(\text{Doy}_i) + \beta_1\text{Treatment}_i + \zeta_{ID} + \varepsilon_i$	Binomial	Logit	0.76	Day of the year	Reference	3.6	112.6
								+0 °C	1.1	105.9
								+3 °C	1	53.7
								Year		
KS & PB	*Fagus sylvatica*	CCI	(3) $g(\mathbb{E}(y_i)) = f_1\text{Year}_i(\text{Doy}_i) + \beta_1\text{Year}_i + \beta_2\text{Leaf\_type}_i + \zeta_{ID} + \varepsilon_i$	Gaussian	Identity	0.7	Day of the year	2017	4.6	197.8
								2018	5.3	221.6
								2019	5.2	193.2
KS & PB	*Fagus sylvatica*	Loss of canopy greenness	(4) $g(\mathbb{E}(y_i)) = f_1\text{Year}_i(\text{Doy}_i) + \beta_1\text{Year}_i + \zeta_{ID} + \varepsilon_i$	Binomial	Logit	0.87	Day of the year	2017	2.4	44.8
								2018	2.5	70.6
								2019	2.7	66
KS	*Betula pendula*	CCI	(5) $g(\mathbb{E}(y_i)) = f_1\text{Year}_i(\text{Doy}_i) + \beta_1\text{Year}_i + \beta_2\text{Leaf\_type}_i + \zeta_{ID} + \varepsilon_i$	Gaussian	Identity	0.44	Day of the year	2017	3.2	25.9
								2018	5	56.9
								2019	3.1	14.7
KS	*Betula pendula*	Loss of canopy greenness	(6) $g(\mathbb{E}(y_i)) = f_1\text{Year}_i(\text{Doy}_i) + \beta_1\text{Year}_i + \zeta_{ID} + \varepsilon_i$	Binomial	Logit	0.89	Day of the year	2017	1	20.6
								2018	1	36
								2019	1.6	48.2
PB	*Quercus robur*	CCI	(7) $g(\mathbb{E}(y_i)) = f_1\text{Year}_i(\text{Doy}_i) + \beta_1\text{Year}_i + \beta_2\text{Leaf\_type}_i + \zeta_{ID} + \varepsilon_i$	Gaussian	Identity	0.52	Day of the year	2017	3.3	62.5
								2018	5.1	84.4
								2019	4.3	30.7
PB	*Quercus robur*	Loss of canopy greenness	(8) $g(\mathbb{E}(y_i)) = f_1\text{Year}_i(\text{Doy}_i) + \beta_1\text{Year}_i + \zeta\text{ID} + \varepsilon_i$	Binomial	Logit	0.85	Day of the year	2017	1.2	12.5
								2018	1.9	33.6
								2019	2.4	32