Dear Marilaure Grégoire (editor),

we thank you and the two reviewers for assessing our manuscript and for the time and effort dedicated to it.

Please find below our reply to the latest comments by Reviewer #1, including a list of the changes made in the manuscript, and our revised manuscript, with modified text highlighted in red.

Following your suggestion and Reviewer #1's comments, we added in the manuscript another appendix (i.e., Appendix A, whereas the previous appendix has been defined Appendix B), displaying extreme events of surface chlorophyll in selected sites of the Mediterranean Sea (that are indicated in the new version of Fig. 3).

Figures A.1-A.3 show that not all the highest annual values of chlorophyll (corresponding to "blooms" in the north-western Mediterranean case) correspond to extreme events. Moreover, the same figures highlight features of intensity and inter-annual variability of the extreme events that are different in the different Mediterranean sites.

We hope that this revised version is suitable for publication in Biogeosciences.

Kind regards,

Valeria Di Biagio on behalf of the authors

\*\*\*\*\*

# **Reply to Reviewer#1's comments**

We sincerely thank Reviewer#1 for his/her comments, which gave us the opportunity to clarify some key points and significantly improve our paper.

We indicate our reply in blue colour and the corrections implemented on the text of the new version of the manuscript in italic red.

# Suggestions for revision or reasons for rejection (will be published if the paper is accepted for final publication)

I recommend the publication of the paper.

Last and least suggestion (why i said technical correction), is to ask Valeria Di Biagio to add the picture she mentions p8 of her answer to referees, as this picture (like R1.1 and R1.2) will help convincing biologist like me that not all N-W Mediterranean blooms are extreme events, and i think it gives a nice overall picture of "how extreme are the EEWs" in the different regions of the Mediterranean sea, giving a nice illustration of the story told by the EEW's indexes. Other than that, the paper is ready for publication!

We thank Reviewer# 1 for his/her positive evaluation of the manuscript.

We followed his/her suggestion to add in the new version of the manuscript figures similar to R1.1 and R1.2 included in our previous reply to reviewers.

In fact, we added a new appendix (namely, Appendix A), showing the identification of extreme events of surface chlorophyll in selected sites belonging to the different Mediterranean Sea regions (these sites are indicated in the new version of Fig. 3).

Figures A.1-A.3 show that not all the highest annual values of chlorophyll (including the northwestern Mediterranean "blooms") correspond to extreme events. Moreover, these figures highlight the different features of intensity and inter-annual variability of the extreme events displayed by the selected sites.

Furthermore, it is worth to highlight that quantifying how "extreme" are the EEWs in the different Mediterranean areas is instead done from Fig. 5 and Table 2 of the manuscript.

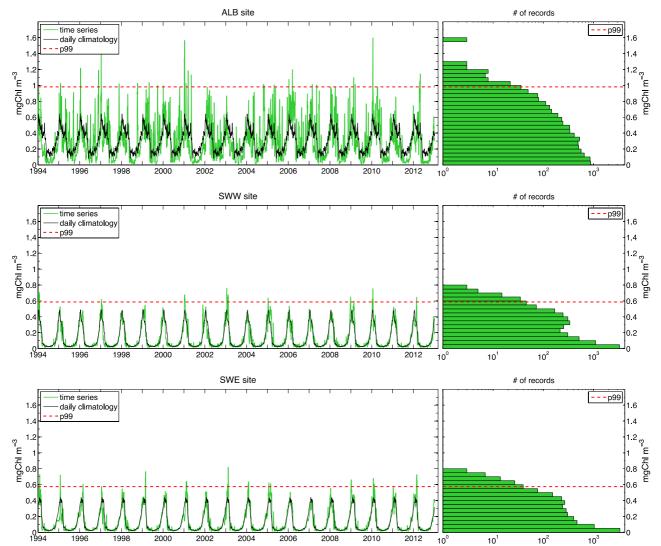
The following text of Appendix A was added at lines 448-454:

### Appendix A: extreme events of surface chlorophyll in Mediterranean Sea regions

Figures A.1-A.3 show time series and distribution of surface chlorophyll in selected sites of the Mediterranean Sea (Fig. 3).

The extreme events are identified by the surface chlorophyll values higher than 99th percentile threshold computed in the sites, i.e., by the upper tail (top 1%) of the corresponding distribution. The selected sites show different 99th percentile thresholds, as well as different values and temporal occurrence of the local extreme events. Despite in each site the highest annual values of surface chlorophyll well exceed the maximum values of the climatological seasonal cycles, they do not necessarily correspond to extreme events. Extreme events are in fact identified in some years only.

Figures A.1-A-3, with captions indicated below, were added at lines 747-756:



**Figure A.1**: Left panels: time series of model-derived surface chlorophyll (green series) and daily climatology (black series) computed in ALB, SWW and SWE sites indicated in Fig. 3; right panels: model-derived surface chlorophyll distribution in the corresponding sites, with x axis in logarithmic scale. Red dashed lines represent the local 99th percentile thresholds in all panels.

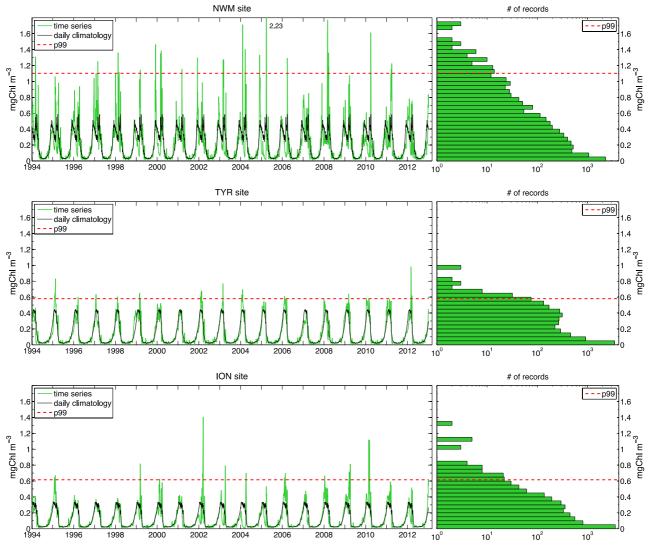


Figure A.2: As in Fig. A.1, but referred to NWM, TYR and ION sites, respectively.

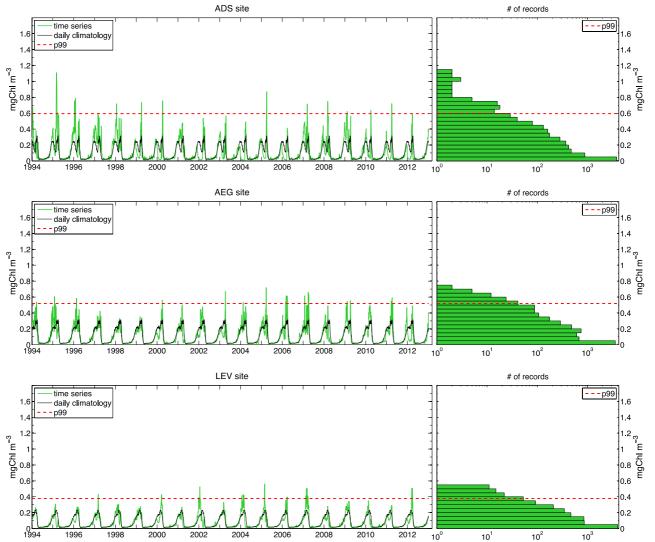
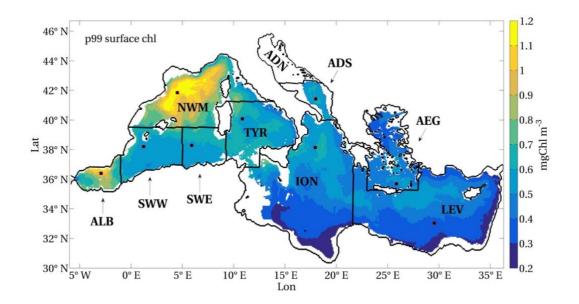


Figure A.3: As in Fig. A.1, but referred to ADS, AEG and LEV sites, respectively.

We report here also the new version of Fig. 3, displaying the selected sites, belonging to different Mediterranean Sea regions:



### and in the caption of this figure we added (lines 719-720): Black squares indicate sites chosen as examples to display extreme events of surface chlorophyll in the Mediterranean regions (Appendix A).

Moreover, we modified the name of the previous Appendix in Appendix B and the name of Figs. A.1, A.2, A.3 and A.4 included in the previous version of the manuscript in B.1, B.2, B.3 and B.4, respectively, throughout the text of the manuscript (i.e., lines 69, 220, 316, 455, 456, 457, 462, 473, 475, 762, 767, 769, 774, 778).

We added the reference to the Appendix A in Introduction (lines 66-67) as: The results are presented in Sect. 3 and Appendix A (examples of identification of extreme events of surface chlorophyll). In particular, Sect. 3.1 shows a chlorophyll EEW...

In Sect. 3.1, we added at lines 176-178:

series in Fig. A.2).

Examples of extreme events of surface chlorophyll in Mediterranean Sea are reported for selected sites (Fig. 3) in Appendix A, showing that the different sites have different p99 and that not all annual maxima correspond to the definition of local "extreme events".

Finally, in Discussion we added a reference to the Fig. A.2, that shows the identification of extreme events in the selected ION site, as (lines 321-322): *reaching values also higher than twice the p99 thresholds (as shown in the selected ION site time* 

- 6 -

# 1 Extreme event waves in marine ecosystems: an application to

# 2 Mediterranean Sea surface chlorophyll

3 Valeria Di Biagio, Gianpiero Cossarini, Stefano Salon, Cosimo Solidoro

4 National Institute of Oceanography and Applied Geophysics - OGS

5 Correspondence to: Valeria Di Biagio (vdibiagio@inogs.it)

6 Abstract. We propose a new method to identify and characterise the occurrence of prolonged extreme events in marine 7 ecosystems at the basin scale. There is growing interest in events that can affect ecosystem functions and services in a changing 8 climate. Our method identifies extreme events as the peak occurrences over a predefined threshold (i.e., the 99th percentile) 9 computed from a local time series and defines a series of extreme events that are connected over space and time as an extreme 10 event wave (EEW). The main features of EEWs are then characterised by a set of novel indexes, which are referred to as 11 initiation, extent, duration and strength. The indexes associated with the areas covered by each EEW were then statistically analysed to highlight the main features of the EEWs in the considered domain. We applied the method to a multidecadal series 12 13 of winter-spring daily chlorophyll fields that was produced by a validated coupled hydrodynamic-biogeochemical model of 14 the Mediterranean open sea ecosystem. This application allowed us to identify and characterise surface chlorophyll EEWs in 15 the period from 1994-2012. Finally, a fuzzy classification of EEW indexes provided bio-regionalisation of the Mediterranean 16 Sea based on the occurrence of chlorophyll EEWs with different regimes.

#### 17 **1 Introduction**

18 Extreme events affecting the Earth system have been widely investigated in hydrology and atmospheric sciences (e.g., 19 Delaunay, 1988; Katz, 1999; Luterbacher et al., 2004; Allan and Soden, 2008; Perkins and Alexander, 2013; Tramblay et al., 20 2014), also in connection with social sciences (Raymond et al., 2020). The study of extreme events in the ocean has mainly 21 focused on sea levels (e.g., Zhang and Sheng, 2015), especially in relation to hydrology (e.g., Walsh et al., 2012), with recent 22 works on extreme wave height (e.g., Hansom et al., 2014), current velocity (e.g., Green and Stigebrandt, 2003) and marine 23 heat waves (e.g., Hobday et al., 2016, Galli et al., 2017). However, extreme events in marine biosphere properties (e.g., 24 biogeochemical species concentrations) have received relatively little attention in recent years, despite the related heavy 25 impacts on marine ecosystem functions and services (e.g., Zhang et al., 2010), with cascading effects at large scales on 26 biogeochemical cycles (e.g., Doney, 2010).

Ocean warming, increase of atmospheric  $CO_2$  and anthropogenic eutrophication are among the major stressors of marine ecosystems (Hoegh-Guldberg et al., 2018) and potential drivers of extreme events. Therefore, estimates of sea surface temperature (SST), seawater pH, dissolved oxygen and the saturation state of  $CaCO_3$  minerals are often used as probes to monitor marine ecosystem health (e.g., Belkin, 2009; Andersson et al., 2011; Paulmier et al., 2011).

31 In particular, some studies on marine heat waves (Hobday et al., 2016), hypoxia events (e.g., Conley et al., 2009) and low 32 aragonite saturation states (Hauri et al., 2013) have identified extreme events at a given site by starting from the values of a 33 specific ecosystem variable that are above/below a certain threshold for a finite time duration. Although a common definition 34 of "extreme event" in this context is lacking, its main emerging features include (i) the intensity (i.e., the absolute difference 35 of the variable value with respect to the threshold), which is considered a large deviation from a reference ecological state; (ii) 36 the duration, which is considered a further stress factor on the ecosystem and is eventually combined with the intensity in an 37 overall "severity" index (as in Hauri et al., 2013); and (iii) the local characteristic, which is linked to the heterogeneity of the 38 ecosystem within the area of interest and/or due to sparse data sampling (e.g., from fixed stations). In fact, the spatial extension 39 of an extreme event is possibly evaluated in retrospect (e.g., Rabalais et al., 2002; Galli et al., 2017).

Our purpose is to design a general method that is able to not only capture the previously listed features of an "extreme event"
but also account for the persistence of the event within a certain impacted area and over a specific time duration (as Andreadis
et al., 2005 and Sheffield et al., 2009 proceeded in case of droughts) up to the basin scale.

43 The use of numerical models has been shown to be necessary to conduct such a study, which requires seamless and long 44 sampling times at high frequency at the basin scale. In fact, remote sensing observations are limited by cloud coverage and L4 45 data are based on filtered reconstructions (using climatology or the EOF method, as in Volpe et al., 2018), which can partly 46 mask the occurrence of extreme events. On the other hand, in situ measurements do not offer suitable spatial and temporal 47 sampling at the basin scale and can lack standardisation. In contrast, numerical models provide data with continuity at high 48 frequency in both time and space. Moreover, models also account for physical and biological processes that occur in marine 49 ecosystems in subsurface layers (e.g., vertical mixing, nutrient transport), allowing for a more complete reconstruction of the 50 dynamics of extreme events.

For our investigation, we used the dataset provided by the MITgcm-BFM hydrodynamic-biogeochemical model of the
 Mediterranean Sea ecosystem at 1/12° horizontal resolution (Di Biagio et al., 2019).

53 In particular, we applied the proposed method to the surface chlorophyll concentration, an essential ocean variable (EOV, e.g., 54 Muller-Karger et al. 2018) that is representative of the marine ecosystem state and evolution. This application allowed us to 55 identify the extreme events of surface chlorophyll, which can correspond to both phytoplankton blooms (Desmit et al., 2018) 56 and positive anomalies with values too low to be actually considered "blooms" (e.g., in the Levantine Sea). In fact, due to the 57 general oligotrophy of the basin, marked increases in phytoplankton chlorophyll are strictly considered "blooms" in only some 58 regions (north-western Mediterranean Sea, Alboran Sea, Catalan-northern Balearic area, isolated coastal areas near some river 59 mouths, see Siokou-Frangou et al., 2010). Our method is instead formulated to identify extreme values of chlorophyll as peaks 60 over a threshold defined in the time series of all basin points, i.e., from local and statistical perspectives. We focused our 61 investigation on the open sea domain, thus avoiding the areas that are directly affected by bottom and riverine dynamics (e.g.,

62 Oubelkheir et al., 2014).

63 The article is structured as follows. The Material and methods section (Sect. 2) is divided into two parts, presenting the method 64 to identify the extreme events and the model-derived dataset that was used (Sect. 2.1 and Sect. 2.2, respectively). Section 2.1 consists of three parts: the identification of local extreme events and extreme event waves (EEWs, Sect. 2.1.1), characterisation 65 66 (Sect. 2.1.2) and classification (Sect. 2.1.3). The results are presented in Sect. 3 and Appendix A (examples of identification 67 of extreme events of surface chlorophyll). In particular, Sect. 3.1 shows a chlorophyll EEW, identified and characterised 68 following the proposed method, with further analyses in terms of its internal physical and biogeochemical dynamics given in 69 the Appendix B. Section 3.2 presents the classification of all the modelled chlorophyll EEWs in the Mediterranean Sea from 1994-2012, including a sensitivity test for the local thresholds. Section 4 includes a discussion about the proposed method and 70 71 the main results, and it is followed by the conclusions in Sect. 5.

#### 72 2 Material and methods

#### 73 **2.1** The method for the spatio-temporal investigation of extreme events

The method illustrated here allows us to identify, characterise and classify the "extreme event wave" in marine ecosystems, accounting for their time duration, intensity and spatial extension, and with reference to any ecosystem variable C(x,y,z,t). Hereafter, we refer to a daily sampling of the variable time series and a two-dimensional variable C(x,y,t) for simplicity. However, the method can be easily extended to any regular time discretization and to the 3D spatial case with few modifications in the definition of the indexes, as discussed in Sect. 4.

79

#### 80 **2.1.1 Identification**

We define "extreme events" as the occurrences of values C(x,y,t) that are higher than a reference percentile threshold (e.g., Asch et al., 2019), computed over the whole time series of the variable. In particular, we search for the peaks over threshold (POTs), with the threshold referring to the 99th percentile of the time series. Extreme events are thus represented by the highest values (i.e., top 1%) of the variable distribution observed in the (x,y) point. These events are "rare" events and are selected from the total records independently from their distribution over the years.

Then, we identify a "wave" of extreme events, or "extreme event wave" (EEW), as a set of extreme events that are connected in space and time. Thus, an EEW tracks anomalous events that are not merely local but that co-occur in more than one grid point and possibly are transported in space and evolve over time.

89 Operationally, the spatial and temporal occurrence of all the POTs can be mapped on a binary 3D matrix, representing the (2D

90 map x day) flags of the extreme events, equal to 1 for the (x,y,t) points of POT occurrence and 0 for the points without POT

- 91 occurrence. EEWs are then defined as sets of POT occurrences that are "the closest neighbours" in space and in time.
- 92 The EEW definition is thus a filter for the spatio-temporal dataset: it allows us to identify single events that affect a portion of
- 93 the domain for a certain time period, in which all the involved points display extreme values of the selected variable. In the

EEW, the spatial contiguity of the points with variable values above their own threshold at the same time is a further request

95 (e.g., Andreadis et al., 2005), which adds to the temporal contiguity typical of the definition of local extreme events (e.g.,

96 Hobday et al., 2016).

#### 97 2.1.2 Characterisation

We introduce the characterisation of EEWs based on two kinds of metrics: spatio-temporal indexes (sketched in Fig. 1) and
 strength indexes (sketched in Fig. 2).

100 The spatio-temporal indexes, which are used to localise and describe an EEW in space and time (green shape in Fig. 1), are 101 the following:

• the *initiation*, as the first day when at least one POT belonging to the EEW occurs;

- the *duration T* (yellow arrow in Fig. 1), as the time interval in which there are POTs included in the EEW. This metric
   is labelled by the maximum temporal difference between two POTs of the EEW, in *day* units;
- the *area A* (grey area in Fig. 1), as the union of all the surface grid cells housing the POTs included in the EEW. This
   metric is labelled by the sum of these cell areas, measured in *km*<sup>2</sup>;
- the *width W*, as the measure of the spatio-temporal region occupied by the EEW. This metric is computed as the sum, 108 over the grid points covered by *A*, of the spatio-temporal regions identified by the grid point area as the base and the 109 total time interval of POTs of the EEW referred to that grid point as the height. This metric is measured in units of 110  $km^2 \times day$ ;
- the *uniformity U*, as the ratio between the width *W* and the spatio-temporal region defined by the prism with *A* as the
   base and *T* as the height:

113 
$$U = \frac{W}{AT}$$
(2.1)

- 114 This metric represents the percentage of the prism that is occupied by the EEW and quantifies how persistent (on 115 average) the EEW is in the single grid point belonging to *A*.
- We excluded both EEWs with duration  $T \le 2$  days (e.g., Asch et al., 2019) to neglect possible transient spikes and EEWs with area  $A \le 4\Delta x \ge 4\Delta y$  (with  $\Delta x$  and  $\Delta y$  grid spacing in the zonal and meridional directions, respectively) since the estimated factor between the effective resolution and grid spacing of the numerical models is 4 or more (Grasso, 2000).

The strength indexes of an EEW can be defined starting from some quantities that are introduced locally (sketched in the top right box of Fig. 1). That is, considering the time series at each grid point (x,y), the *j*-th POT included in the EEW is characterised by the value  $C_j(x,y)$  of the ecosystem variable and by the intensity  $I_j(x,y)$  above the threshold p99(x,y) computed on the time series:

123 
$$I_j(x,y) = C_j(x,y) - p99(x,y).$$
 (2.2)

Given the set  $J_{(x,y)}$  of all the occurrence indexes *j* of the POTs that refer to the specific grid point (x,y) and are included in the EEW, we can define the following strength indexes:

• the *severity S*, as the total "mass" of the variable characterising the EEW, which is computed as the sum over the grid 127 points covered by *A* of the local sum M(x,y) of the "masses" supplied by the POTs included in the EEW:

$$S = \sum_{(x,y) \in A} \quad M(x,y) = \sum_{(x,y) \in A} \quad \sum_{j \in J_{(x,y)}} \quad C_j(x,y)$$
(2.3)

129 The severity is represented in a simplified way in Fig. 2a.

• the *excess E*, as the total intensity above the "threshold" (i.e., the locus of points of the local thresholds, *P99*), associated with the EEW. Its formulation is analogous to eq. (2.3) but referred to  $I_j(x,y)$  rather than  $C_j(x,y)$ :

$$E = \sum_{(x,y)\in A} I(x,y) = \sum_{(x,y)\in A} \sum_{j\in J_{(x,y)}} I_j(x,y)$$
(2.4)

- Additionally, the excess is represented in a simplified way in Fig. 2a.
- Depending on the ecosystem variable under investigation, eqs. (2.3) and (2.4) may require multiplication by the cell volume or by the cell area in the inner summation to provide a consistent unit of measurement (e.g., if  $C_j(x,y)$  is a concentration, it should be multiplied by the cell volume V(x,y) to obtain an actual mass).
- the *mean severity*  $\langle S \rangle$ , as the ratio between the severity and the width W of the EEW:

138 
$$\langle S \rangle = \frac{S}{W}$$
 (2.5)

• the *anomaly*, as the ratio between the excess and the severity:

$$AN = \frac{E}{S}$$
(2.6)

141This index represents the percentage of the excess in the severity of the EEW. The index, which is adimensional, is142sketched in Fig. 2b for two different EEWs, which have the same severity but different excess and, thus, anomaly.143Since the locus of points of the thresholds of the second EEW is lower, this EEW has a higher anomaly value than144the first one and has a larger impact on the ecosystem.

#### 145 **2.1.3 Classification**

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The EEWs introduced in our formulation are identified starting from the local 99th percentile thresholds of the variable time series. The concept of "extreme" adopted in this work is related to the local characteristics of the marine ecosystem, which can

148 be largely heterogeneous across the domain. Here, we propose a classification of EEWs suitable to highlight the main features

149 of EEWs in the considered spatial domain.

For each index defined in Sect. 2.1.2, the values obtained for each EEW can be associated with all the points belonging to the *A* areas (Fig. 1), and then, a mean map over of the considered spatial domain can be obtained by averaging all the values of the related index point by point. Finally, fuzzy clustering analysis (Bezdek et al., 1984) can be conducted on the mean maps of all the indexes. In this way, different bio-regions of EEWs can be identified, depending on the relative weight of the indexes under consideration, and specific regimes of EEW can therefore be highlighted.

#### 155 2.2 Data: Mediterranean Sea surface chlorophyll by MITgcm-BFM

We used the results of the 1994-2012 hindcast simulation discussed in Di Biagio et al. (2019) and produced by the MIT general circulation model (MITgcm, Marshall et al., 1997), coupled with the biogeochemical flux model (BFM, Vichi et al., 2015) following the online scheme described in Cossarini et al. (2017). The configuration in use has a horizontal resolution of 1/12°, with 75 unevenly spaced vertical levels. The atmospheric fields used to force the simulation come from a 12 km horizontal resolution regional downscaling of ERA-Interim reanalysis (Llasses et al., 2016; Reale et al., 2017) and drive the simulation every 3 hours.

In particular, we used the daily chlorophyll concentration computed at the surface (i.e., averaged on the first 10 *m*), restricting our investigation to the January-May period. We considered only grid points with depths greater than 200 *m*, which are identified as the "open sea". With this spatial constraint, we neglected both the coastal points, which are directly affected by river nutrient discharge, and the points where interactions with the sea bottom occur within the euphotic layer, since modelled variables in these regions are possibly affected by high uncertainties (Di Biagio et al., 2019).

167 The chosen MITgcm-BFM simulation has the characteristics required by the extreme events analysis: it is seamless, it provides 168 high frequency patterns (as shown by wavelet analysis in Di Biagio et al., 2019), it lacks spurious leaps in the ecosystem state

169 (that might occur in case of filter data assimilation process, Teruzzi et al., 2014), and it reproduces the heterogeneity of the

170 marine ecosystem across the basin and its main biogeochemical properties.

#### 171 **3 Results**

#### 172 **3.1 Chlorophyll EEWs: identification and characterisation**

We applied the method illustrated in Sect. 2 to the surface chlorophyll concentration, so that  $C(x,y,t) \equiv chl(x,y,t)$ , measured in mg m<sup>-3</sup>, with daily samplings provided by the simulated Mediterranean Sea biogeochemistry in the 1994-2012 period (Sect. 2.2). The local "extreme events" for this application thus correspond to the top 1% values of the surface chlorophyll distribution in each grid point (Sect. 2.1.1). Examples of extreme events of surface chlorophyll in Mediterranean Sea are reported for selected sites (Fig. 3) in Appendix A, showing that the different sites have different p99 and that not all annual maxima

178 correspond to the definition of local "extreme events".

From the ecosystem point of view, the most suitable indexes to describe the phenomenology of the surface chlorophyll EEWs (i.e., continuous and prolonged "waves" of extreme events) are the mean severity  $\langle S \rangle$ , the anomaly *AN*, the duration *T* and

181 the uniformity U (Sect. 2.1.2). In fact, when considering the surface chlorophyll as a proxy for surface phytoplankton biomass

- 182 (e.g., Boyce et al., 2010), the mean severity provides the mean amount of biomass supplied by the EEW to the surface layer
- 183 in 1 day over a unit area of 1  $km^2$  and is expressed in  $kg km^{-2} dav^{-1}$ . The anomaly index instead represents the anomalously
- 184 high amount of chlorophyll with respect to the history of the local ecosystem. The duration T measures the overall ongoing
- 185 impacts on the marine ecosystem, which are considered in this study as responses of ecosystem processes and status to an

186 excess of phytoplankton biomass. On the other hand, the uniformity index quantifies the local persistence of the chlorophyll

187 EEW on the points included in area A. In fact, with constant values of A and T, an EEW with higher U will affect the single 188 unit of A for longer times, with higher potential ecological consequences on the ecosystem unit.

- Considering the temporal extension of the simulation (approximately equal to 7000 days), the computation of the 99th percentile over the time series gives a value equal to 70 for the number of POTs in each grid point. The mapping of the 99th percentile threshold values computed at each grid point throughout the basin (Fig. 3) indicates that grid points that are spatially close exhibit small differences in their threshold values and that different patterns are recognisable in the basin. Hereafter, we use the abbreviations indicated in Fig. 3 to refer to different Mediterranean Sea regions.
- 194 The total number of surface chlorophyll EEWs, which were identified by applying the definition (Sect. 2.1.1) and the further 195 requests on the A and T indexes (Sect 2.1.2) in the investigated period, was 947. We show in Fig. 4 an example of a detected 196 EEW, which is represented in the spatio-temporal domain and compared with remote sensing data 197 (http://marine.copernicus.eu/, product OCEANCOLOUR MED CHL L3 REP OBSERVATIONS 009 073, Volpe et al., 198 2019). The values of the spatio-temporal and strength indexes computed for this EEW are summarised in Tab. 1. The EEW 199 occurred in the Gulf of Lion (region NWM) during early spring (15th-31st March 2005). Both the model and satellite data 200 show patterns of high values of chlorophyll in the period of EEW occurrence (second and first columns of Fig. 4, respectively). 201 Strong increases in chlorophyll in the Gulf of Lion and the Ligurian Sea are recognisable on the satellite maps starting from 202 20th March, after a period of very low chlorophyll concentration (even lower than 0.05 mg  $m^{-3}$ , not shown). Although the 203 model uses a spatial resolution (approximately equal to 7 km) that is coarser than the satellite resolution (1 km), it is able to 204 capture a surface signature typical of deep convection dynamics (second column, compared with the first column, on 20th 205 March). However, the comparison between the model and satellite data points out that the impact of cloud coverage on remote 206 sensing measurements is a limiting factor for the reconstruction of the spatio-temporal dynamics of chlorophyll extreme events. 207 The comparison of the modelled chlorophyll maps (second column) with the patterns of the daily area A of the EEW (third 208 column) shows that the EEW patch actually includes points with noticeably high chlorophyll values in the region. Nevertheless, 209 A also contains points with chlorophyll values that are low on the same absolute scale, yet higher than the local 99th percentile 210 thresholds (as ensured by our procedure). Moreover, the EEW patches appear to be advected by the current velocity field (third 211 column) and to follow both convection weakening (see plots in consecutive panels) and the patches of high nutrient 212 concentrations in the previous days (by comparison with the right panel referred to the day before). The high values of 213 chlorophyll recorded in the Ligurian Sea on 20th March are associated with a separate EEW (not shown).
- From Tab. 1, we quantify a mean severity equal to 1.389  $kg km^{-2} day^{-1}$  and an anomaly index equal to 0.205% for this EEW. In fact, this EEW was the most severe and the sixth most anomalous in all of the EEWs identified in the Mediterranean domain, as reproduced by our simulation. This result indicates that the large amount of chlorophyll supplied by this EEW was also considerably high throughout the history of the impacted local ecosystem. Moreover, even if the overall duration of the EEW was 17 days, each unit area was actually affected for only approximately 3 days ( $UT \approx 3 days$ ). This result means that the EEW spread out in space and time with an articulated shape, as shown in Fig. 4.

In the Appendix B, further analysis conducted on three points that are located internally, externally and on the border of the EEW area showed that the EEW identification actually takes into account all and only the relevant information associated with it; thus, that the proposed method acts like a filter to properly circumscribe the extreme events in space and time. In fact, this specific EEW captured the dynamics of the exceptionally intense bloom observed in the NWM in 2005 (Estrada et al., 2014; Mayot et al., 2016), which was triggered by very strong vertical mixing (and deep convection, in the internal point), followed by the restoration of stratification.

#### 226 **3.2 Classification in the Mediterranean Sea**

This section shows the results of the basin-scale classification of all the surface chlorophyll EEWs identified from 1994-2012 (Sects. 3.2.1 and 3.2.2) by means of the spatial spreading of the values of the indexes on the areas covered by the EEWs and the subsequent clusterisation of the mean maps of the indexes (as explained in Sect. 2.1.3). This section also displays the results of a sensitivity test of the EEW indexes, which were averaged over the outcome clusters, to different thresholds computed on the local time series (Sect. 3.2.3).

#### 232 **3.2.1 Mean maps of the indexes**

Figure 5 displays the total number of EEWs that occurred in each point of the Mediterranean domain (Fig. 5a) and the mean values of the EEW indexes, which were computed as the mean of the indexes of all the EEWs that involved that point (Figs. 5b-f).

236 Since some Mediterranean areas show more than one EEW per year (as can be inferred from Fig. 5a), the initiation time in 237 each grid point and year was associated with the most severe EEW of that year. We found that the most severe chlorophyll 238 EEWs occurred mainly in the winter months in the central and southern open sea parts of the basin and later in the early spring 239 period in NWM, central ALB, northern ION, ADS, AEG and the Rhodes Gyre (Fig. 5b). The duration of the chlorophyll EEWs 240 reached 90 days in the southern part of the eastern basin, whereas it decreased to 30 days in NWM and the Rhodes Gyre and 241 to approximately 15 days in ALB and ADS (Fig. 5c). Long duration was typically associated with low uniformity (e.g., 242 southern ION and LEV areas), while EEWs with high uniformity were found in ADS and ALB (Fig. 5d). The western 243 Mediterranean displayed the EEWs with the highest mean severity, which were associated with the highest produced biomass 244 in ALB and NWM (Fig. 5e). Nevertheless, the regions with the highest values of anomaly occurred in the eastern ADS and 245 the northern Ionian Sea (Fig. 5f), despite their values of severity being approximately 50% lower than the values displayed in 246 ALB and NWM.

#### 247 **3.2.2** Clusterisation of the indexes

Figure 6 displays the clusterisation provided by fuzzy k-means analysis (Bezdek et al., 1984) conducted on the maps of the main indexes (i.e., duration, mean severity, uniformity and anomaly, Fig. 5) by adopting a fuzziness parameter equal to 2. Seven Mediterranean Sea regions with similar chlorophyll EEWs phenomenology were identified by the maximum

- 251 membership values and are indicated by different colours in Fig. 6. To evaluate the robustness of the clusterisation, we also 252 computed the "confusion index", i.e., one minus the difference between the dominant and subdominant memberships for each 253 point (Burrough et al., 1997). We obtained a value less than 0.7 (i.e., limit for "high confusion" condition) for the largest part 254 of the domain. Values higher than 0.7 are shown in only patchy and limited areas (e.g., part of the southern Adriatic Sea, Fig. 255 6). Moreover, we computed the mean and standard deviation of the indexes within the seven clusters to quantify the mean 256 impact of the EEWs on the related ecosystem (Tab. 2). Finally, we estimated the trends of duration, mean severity, uniformity 257 and anomaly in the simulated period (1994-2012), applying the Theil-Sen method (Theil, 1950 and Sen, 1968) to the annual 258 means of the indexes computed on the points included within the clusters. The red (blue) colour in Tab. 2 indicates an annual 259 increase (decrease) higher than 1%.
- 260 In Fig. 6, cluster #7, which covers NWM and eastern ALB, displays EEWs with durations of 29 days, with the highest values 261 of mean severity (approximately equal to 1 kg km<sup>-2</sup>dav<sup>-1</sup>), along with high anomaly and intermediate uniformity with respect 262 to the other clusters (Tab. 2). The EEWs with the highest uniformity ( $U \approx 0.28$ ) and the shortest duration (T=26 days) were 263 identified in the areas of cluster #6, i.e., ADS, ALB, the coastal areas of the southwest Mediterranean and some spotted areas 264 in the north Ionian Sea, TYR, Sicily Strait, the Rhodes Gyre and AEG. These areas display intermediate severity and low 265 anomaly. Relatively high uniformity ( $U \approx 0.22$ ) also characterises cluster #5, in northern and eastern LEV, AEG and the 266 southern coastal areas of ION, with intermediate duration and low severity and anomaly values. Cluster #4 represents the 267 EEWs with the longest duration (T=63 days) and lowest uniformity ( $U\approx 0.14$ ), with low severity but relatively high anomaly. 268 Cluster #1, corresponding to most of the North Ionian Sea, eastern ADS and spotted areas in the western central Mediterranean, 269 displays the EEWs with the highest anomaly (i.e.,  $AN \approx 0.135$ ), along with intermediate values of the other indexes. Clusters 270 #3 and #2 display very similar intermediate values of uniformity and anomaly, but the EEWs in cluster #2 exhibit lower 271 severity and longer duration than cluster #3.
- Throughout the simulated period, the western Mediterranean (except ALB), which was identified by clusters #3 and #7, did not display any significant trend (Tab. 2). On the other hand, the duration of EEWs in the eastern sub-basin and in ALB increased, whereas the uniformity of EEWs in ION and south-eastern LEV (i.e., clusters #1, #2 and #4) decreased. A significant increase in the anomaly was recorded in the eastern basin, except for ADS and spotted areas in AEG and the Rhodes Gyre (i.e., clusters #1, #2, #4, #5).

#### 277 **3.2.3 Sensitivity to the threshold**

We conducted a sensitivity test of the method to two different thresholds computed over the time series in each grid point. We repeated the steps of the method (Sects. 2.1.1-2.1.3) up to obtaining the mean maps of the indexes on the Mediterranean domain for the 98th and 99.5th percentile thresholds. Then, we spatially averaged the values of the indexes within the seven clusters of Fig. 6 and finally we computed the total means by averaging the means of the seven clusters. Figure 7 shows the results

compared with the 99th percentile (i.e., p99) reference threshold.

The duration and anomaly indexes show decreasing values for increasing thresholds. In contrast, the mean severity and uniformity display increasing values. The relative cluster ranks are generally preserved. Moreover, clusters #4, #6, #7 and #1 maintain the highest values of duration, uniformity, mean severity and anomaly, respectively, for all the selected thresholds, except in the case of the overall mean anomaly for the 98th percentile, in which anomaly values of cluster #7 overcome those of cluster #1 (Fig. 7).

#### 288 **4 Discussion**

In this work, we propose a new method to identify and characterise extreme event waves in marine ecosystems. The method is then exemplified by a first application to surface chlorophyll in Mediterranean open sea areas, with specific reference to the winter-spring period.

292 One of the key points of this method is the definition of an "extreme event". In fact, the spatial extension of extreme events is 293 scarcely addressed in the literature, although it can be an important ecosystem indicator, e.g., to predict a possible recovery of 294 an ecosystem (O'Neill, 1998; Thrush et al., 2005), and it is sometimes estimated a posteriori (Rabalais et al., 2002). In contrast, 295 the spatial contiguity of local extreme events has been evaluated here in addition to the temporal contiguity, following 296 Andreadis et al. (2005). In this way, the definition of the extreme event from a time series in a grid point was extended to 297 define the EEW, which covers an extended area for a certain time duration. Consequently, the metrics necessary to characterise 298 and classify biogeochemical extreme events that can be introduced for a time series at specific sites (e.g., in Hauri et al., 2013; 299 Hobday et al., 2016; Asch et al., 2019; Salgado-Hernanz et al., 2019) have been further developed to describe the shape and 300 strength of EEWs and provide meaningful insights into related biogeochemical phenomenology.

In our specific application, it is noteworthy to specify that the top 1% values of surface chlorophyll (i.e., extreme events, as defined in Sect. 2.1.1) do not necessarily correspond to "blooms" (Siokou-Frangou, 2010) since extreme events are identified in all points of the domain, including oligotrophic areas. Moreover, the top 1% values of chlorophyll are not necessarily distributed in a regular way over the years due to the inter-annual variability of the chlorophyll time series (Figs. A.1-A.3). Our method is able to characterise the intensity and regularity of extreme events in retrospect by means of the mean severity and anomaly indexes computed on the EEWs.

In particular, the mean severity index associated with a chlorophyll EEW can be interpreted as the mean amount of biomass supplied daily to the sea surface over a unit area and could be used as an indicator of eutrophication (Gohin et al., 2008; Ferreira et al., 2011) and food availability for secondary production (Calbet and Agustí, 1999; Ware and Thomson, 2005). The map of the mean severity index obtained for the 1994-2012 period (Fig. 5e) revealed the heterogeneity of the chlorophyll EEWs in the Mediterranean Sea and is in good agreement with the spatial patterns of the chlorophyll amplitude index shown in Salgado-Hernanz et al. (2019), with the highest values recorded in ALB and NWM.

313 The anomaly feature, which corresponds to the case when the supplied biomass is much higher than usual for a certain area,

314 can instead be ascribed to the inter-annual variability of the extreme events of surface chlorophyll (as in Mayot et al., 2016).

315 As an example, the reconstruction of the most severe and highly anomalous EEW that occurred in NWM in 2005 showed that 316 the main variables exhibited significant deviations from the climatological values (Figs. B.2-B.3). Nevertheless, high anomaly 317 values do not necessarily correspond to high values of mean severity. In fact, the highest anomaly values are in the northern 318 ION and eastern ADS, which display relatively low values of mean severity (see Fig. 5f, compared with Fig. 5e). The anomaly 319 highlights the episodic occurrence of the chlorophyll EEWs in some areas, such as the northern ION, where the surface 320 chlorophyll values exceed the local p99 thresholds, approximately equal to 0.6 mg  $m^{-3}$  (Fig. 3), in only some years (e.g., 1999, 321 2002, 2010), reaching values also higher than twice the p99 thresholds (as shown in the selected ION site time series in Fig. 322 A.2).

323 On the other hand, the uniformity feature, i.e., the persistence of a chlorophyll EEW in a certain area, can be linked to specific 324 spatial constraints that circumscribe the EEW. In particular, the circulation structure can play an important role in providing 325 the high values of uniformity in Fig. 5d. In fact, permanent cyclonic gyres in ADS (which also impose a topological constraint) 326 and northern LEV (i.e., the Rhodes Gyre; Pinardi et al., 2015) potentially support a major vertical transport of nutrients and, 327 consequently, increased biomass values (Siokou-Frangou et al., 2010). Moreover, regular upwelling near the southern coast of 328 Sicily can explain the high uniformity values in the Sicily Strait (e.g., Patti et al., 2010). Finally, other spotted areas with high 329 uniformity in the ALB, SWW and TYR areas are characterised by semi-permanent mesoscale structures that are associated 330 with the inflow of Atlantic water (Navarro et al., 2011), eddies originating from the Algerian Current (Morán et al., 2001) and 331 dynamics of the northern TYR gyre (Artale et al., 1994; Marullo et al., 1994; Marchese et al., 2014), respectively.

The fuzzy k-means analysis in this study used mean severity, anomaly, duration and uniformity to classify the EEWs in the Mediterranean Sea. The initiation index was excluded from the computation since there are areas of the basin showing more than one EEW per year per grid point (Fig. 5a). However, as a general characterisation of EEW occurrence, the initiation index showed a south-north gradient from winter to early spring for the most severe EEWs in the open sea areas of the Mediterranean (Fig. 5b), which is in agreement with the phenology of surface chlorophyll in the Mediterranean Sea reported by D'Ortenzio and Ribera d'Alcalà (2009).

We obtained robust clusterisation, with only some areas of the domain showing a high confusion index (Fig. 6). This subdivision of the Mediterranean Sea displays several similarities to previous Mediterranean bio-regionalisations (D'Ortenzio and Ribera D'Alcalà, 2009; Lazzari et al., 2012; Ayata et al., 2018; Salon et al., 2019), indicating that the four indexes are meaningful in characterising the heterogeneity of the basin.

In particular, cluster #7, corresponding roughly to the north-western area (as the "Bloom" region in D'Ortenzio and Ribera d'Alcalà, 2009; NWM in Lazzari et al., 2012), has been associated with the highest mean severity (Tab. 2). A decreasing gradient of the mean severity is observed toward the eastern Mediterranean areas (clusters #3 and #6 showed higher values of mean severity than clusters #1, #2, #4 and #5), which is in agreement with the west-to-east oligotrophication gradient (e.g., D'Ortenzio and Ribera d'Alcalà, 2009; Colella et al., 2016) and the gradient of surface chlorophyll maxima (i.e., map of amplitude index by Salgado-Hernanz et al., 2019). Moreover, this cluster is also characterised by a very high anomaly content, highlighting that EEWs occurring in cluster #7 can occasionally supply a very substantial amount of chlorophyll, as in the case

- 349 of the already mentioned EEW that occurred in 2005, which developed after a deep convection event (see Appendix B). This
- interpretation is in agreement with that referring to the "High Bloom" regime, which takes the place of the "Bloom" regime in
- 351 some years in the NWM area (Mayot et al., 2016).
- Cluster #1 identified the regime with the highest anomaly (i.e., high inter-annual variability of the EEWs) and a decoupling
  between mean severity and anomaly. This regime in the Mediterranean Sea is found in the northern ION and eastern ADS
  (Fig. 6).
- Both clusters #5 and #6 are associated with high uniformity and low anomaly, i.e., EEWs that are well localised in space and that regularly occur over the years. Nevertheless, cluster #6 is characterised by EEWs that have higher biomass content (i.e., more severe) and that expire more quickly (i.e., shorter) than those in cluster #5. In this way, ALB, coastal SWW, ADS and the central part of the Rhodes Gyre (i.e., cluster #6, Fig. 6) are differentiated by the south-western ION, AEG, and outer part of the Rhodes Gyre (i.e., cluster #5).
- Cluster #4 displays the longest EEWs with the lowest uniformity, which are rare (not shown) and have relatively high anomaly and low severity. This typology of EEWs identifies a regime of spatially diffuse extreme events of surface chlorophyll whose values do not markedly differ from the chlorophyll means in the concerned area. This cluster covers a large part of the southeastern Mediterranean Sea (Fig. 6) and is crossed by the Atlantic-Ionian Stream and the Cretan Passage Southern Current (Pinardi et al., 2015). We ascribe the very low uniformity and the high overall duration of these EEWs to the transport and spreading of chlorophyll along the meanders of these currents (not shown).
- Finally, clusters #2 and #3 display in-between conditions with respect to others, since the values of all the indexes are intermediate, except the mean severity, which is very low in cluster #2 and relatively high in cluster #3. In the Mediterranean basin, this result corresponds to the decreasing gradient in the severity between the central part of the western part (i.e., cluster #3) and of the eastern (cluster #2) Mediterranean Sea (Fig. 6).
- 370 The obtained clusters (Fig. 6) were also used to evaluate the long-term evolution of ecosystem phenomenology. Our results 371 did not show any increase in the intensity (i.e., in the severity index) of surface chlorophyll EEWs in any clusters over the 372 period from 1994-2012 (Tab. 2). This result is in agreement with the estimations of trends in the amplitude index by Salgado-373 Hernanz et al. (2019), except in NWM. Moreover, no significant trend (defined here as an annual variation in an index that 374 was higher than 1%) was estimated for any of the four indexes in the central and north-western sub-basin. In contrast, the 375 eastern Mediterranean and ALB showed trends in the duration, uniformity and anomaly of chlorophyll EEWs. In particular, 376 positive trends of duration found in areas with very uniform EEWs suggest a persistence of extreme events of chlorophyll that 377 has prolonged over time. On the other hand, positive trends of duration and anomaly, along with low values of uniformity 378 (with also negative trends), denote an increase in EEWs with articulated shapes in the areas with low productivity. The trends 379 recognised in the eastern Mediterranean Sea suggest a possible increased tendency of this sub-basin to changes in the identified 380 regimes, despite the productivity being lower than that in the western Mediterranean. This result is one of the features that 381 could emerge only because we accounted for the local thresholds in the identification of the EEWs (Sect. 2.1.1).

382 The choice of the local percentile threshold (Sect. 2.1.1) is a critical parameter of our method. In our case, this threshold was 383 computed as the 99th percentile on the surface chlorophyll time series in each grid point. A priori, the choice of a higher 384 (lower) threshold corresponds to a definition of an "extreme" value that is narrower (broader) than the reference value. As 385 shown in Fig. 7, the choice of higher thresholds increases the mean severity index, since it is computed on local values that 386 are higher. In contrast, both the anomaly and the duration indexes decrease at increased thresholds because of the occurrence 387 of local POTs over a smaller number of days (i.e., shorter duration) and the decreased detectability of the inter-annual 388 variability (i.e., lower anomaly). The increase in the uniformity index is due to the promotion of grid points with more similar 389 values of high local thresholds (i.e., closer in space, see Fig. 3). However, uniformity shows lower sensitivity to the threshold 390 than the other indexes because of the occurrence of POTs in a few grid points with thin spatial connectivities extending over 391 great distances (as discussed in Sheffield et al., 2009). In this case, a further sensitivity analysis over the area covered by the 392 EEWs could be envisaged to identify a minimum area threshold (stricter than the  $4\Delta x \times 4\Delta y$  constraint introduced in Sect. 393 2.1.2) to better characterise the uniformity.

Overall, Fig. 7 shows that the identification of the clusters with the highest index values was generally maintained in the case of both higher and lower thresholds, confirming that the main regimes of chlorophyll EEW were identified in a robust way.

396 Since different variables of interest could highlight a different sensitivity of the indexes, we believe that conducting analyses 397 with different local thresholds could help to identify the specificities of the phenomenology underlying the extreme events.

398 In fact, we have applied this method to surface chlorophyll, as one of the most representative and investigated variables of the 399 marine ecosystem, which potentially influences ecosystem function (e.g., food web and carbon fluxes). However, our method 400 can be applied to any ecosystem variable, including other phytoplankton variables (e.g., HAB-like phytoplankton groups, Vila 401 and Masó, 2005), temperature, oxygen and fluxes (e.g., carbon fluxes at the ocean-atmosphere interface, von Schuckmann et 402 al., 2018). The C(x,y,t) variable can be defined at the surface, the sea bottom (e.g., oxygen minimum or oxygen deficiency, 403 OSPAR, 2013: Ciavatta et al., 2016), and specific surfaces in the ocean interior (e.g., deep chlorophyll maximum, Lavigne et 404 al., 2015; Salon et al., 2019), or it can be vertically integrated (e.g., integrated chlorophyll, which accounts for subsurface 405 growth of phytoplankton). In some cases, the selected variable may require multiplication by the cell volume (e.g., if the 406 variable is a concentration) or by the cell area (e.g., for surface fluxes and vertically integrated variables) in eq. (2.3) and eq. 407 (2.4) to provide a consistent and meaningful definition of the severity and the excess indexes, respectively. Moreover, the 408 formulation illustrated in Sect. 2.1 could be extended to the full 4D case (i.e., to variables C(x,y,z,t)) by adding the vertical 409 dimension to the definition of a local extreme event (i.e., the POTs could be defined in each point in a 3D space) and an EEW 410 (i.e., as 3D spatial volume connected in time). The spatio-temporal indexes would then refer to the spatial volume instead of 411 area A and to the 4D width and prism associated with the definition of uniformity. The 4D formulation could be applied to 412 investigate, for instance, marine hypoxia by identifying volumes in time with extremely low values of oxygen. In this case, a 413 proper threshold for local extreme events might also be defined by a constant value in space in connection with the impacts on 414 benthic fauna and fish species, which have physiological limits (e.g., Rabalais et al., 2002, Vaquer-Sunyer and Duarte, 2008). 415 Strength indexes would be modified and defined as vertical profiles, instead of scalar metrics, to show the intensity and depth

- 416 of the bottom ecosystem stress. Therefore, the novelty of our method (i.e., the temporal and spatial connection of extreme
- 417 events) would allow us to compute the extension of the (connected) spatial 3D volumes under hypoxic conditions to estimate 418 the probability of fish survival, which can be enhanced by swimming (avoidance) behaviour (Rose et al., 2017).
- 419 Finally, our method of EEW identification, characterisation and classification can also be applied to extreme events that are
- 420 defined starting from seasonally varying thresholds (e.g., in Hobday et al. 2016). In this case, "extreme events" would
- 421 correspond to the highest anomalies recorded with respect to the climatological seasonal cycle of the variable and generally
- 422 not to the highest values of the variable recorded throughout the time series (as in our case of temporally fixed threshold). Such
- 423 an application would allow us to investigate different kinds of scientific questions, such as chlorophyll anomalies in summer.

#### 424 **5** Conclusions

The present study provides a methodology to describe statistically extreme events in a marine basin-scale ecosystem and is supported by an ecological interpretation.

A key point of the method is the request of contiguity in both time and space of the peaks over the local threshold of the ecosystem variable. This constraint allowed us to define individual events as extreme event waves (EEWs) occurring in localised spatio-temporal regions. In particular, we accounted for the contiguity of the local extreme events, which is an aspect that has been rarely considered in the literature. At the same time, our choice to start from local thresholds, which are computed as a percentile of the time series in the grid point, allowed us to maintain a definition of "extreme" relative to the local ecosystem properties.

For a biogeochemical variable evolving over two-dimensional space, we proposed a set of indexes for EEWs to describe their initiation, duration, total covered area and (spatio-temporal) uniformity, as well as their (mean) severity and anomaly, as measures of overall intensity and inter-annual variability, respectively.

- In the specific application to surface chlorophyll in the open sea areas of the Mediterranean, we characterised the top 1% values of chlorophyll distribution as EEWs that potentially influence ecosystem functions. Cluster analysis conducted on the indexes associated with the covered areas allowed us to identify four main regimes. We recognised the occurrence of chlorophyll EEWs with high mean severity and high inter-annual variability in the north-western Mediterranean Sea; chlorophyll EEWs with high inter-annual variability (associated with intermediate intensity) occurred in the northern Ionian Sea; regular and spatially well-localised chlorophyll EEWs occurred in the Alboran and south-western Mediterranean Sea, south Adriatic Sea
- and the Rhodes Gyre; and weak and diffuse chlorophyll EEWs occurred in the south-eastern Mediterranean Sea.
- We did not observe significant trends (i.e., annual variations higher than 1%) of the mean severity of chlorophyll EEWs across
  the Mediterranean basin, whereas some trends were found for other indexes.
- 445 Comparison of the results with available data and previous studies supports the reliability of the method, which could be
- 446 promisingly applied to other ecosystem variables. However, sensitivity analyses are recommended to select suitable thresholds
- to highlight the typology of the extreme events under consideration.

#### 448 Appendix A: extreme events of surface chlorophyll in Mediterranean Sea regions

449 Figures A.1-A.3 show time series and distribution of surface chlorophyll in selected sites of the Mediterranean Sea (Fig. 3).

450 The extreme events are identified by the surface chlorophyll values higher than 99th percentile threshold computed in the sites,

- 451 i.e., by the upper tail (top 1%) of the corresponding distribution. The selected sites show different 99th percentile thresholds,
- 452 as well as different values and temporal occurrence of the local extreme events. Despite in each site the highest annual values
- 453 of surface chlorophyll well exceed the maximum values of the climatological seasonal cycles, they do not necessarily
- 454 correspond to extreme events. Extreme events are in fact identified in some years only.

#### 455 Appendix **B**: ecosystem dynamics of the chlorophyll EEW in the NWM in 2005

Fig. B.1 displays area *A* (Sect. 2.1.2) covered by the EEW already shown in Fig. 4, and three points, which are internal, peripheral and external to the area (i.e., points A, B and C, respectively). Figures B.2-B.4 display the time series of physical and biogeochemical modelled variables (i.e., heat flux, mixed layer depth, potential temperature, nitrate and chlorophyll concentrations) at the three points. In each panel of the three composed figures, the data from January-April 2005 are compared with the corresponding climatological means computed from 1994-2012.

- 461 At internal point A, 30-40 days preceding the EEW onset were characterised by strong heat losses up to  $1000 \text{ W/m}^2$  (top panel 462 of Fig. B.2) due to the wind field (not shown). This condition led to a strong deep convection that mixed the entire water 463 column down to the sea bottom (second panel of the same figure). Surface and subsurface nitrate, whose concentration at the 464 beginning of the year was already above the climatological values, was further enhanced during the mixing (fourth panel). As 465 soon as stratification was quickly established (second panel) and the surface temperature rose (third panel), an abrupt rise in 466 surface chlorophyll occurred (bottom panel). The surface chlorophyll in January, February and the first half of March exhibited 467 values much lower than the climatological values due to the strong convective phase; in the third week of March, chlorophyll 468 increased by a factor of almost 800% in 4 days. Full consumption of surface nitrate can be observed on the same days (fourth 469 panel). A subsequent weaker mixing phase (in half of April) replenished the surface layers with a relatively low amount of 470 nitrate (vet above their climatological values), triggering two weak episodes of increasing chlorophyll. Overall, the features 471 described here are in agreement with the characterisation of the chlorophyll blooms in the NWM area and, in particular, of the
- 472 2005 event (Barale et al., 2008; Estrada et al., 2014; Mayot et al., 2016).
- The interpretation of the results referring to peripheral point B belonging to the EEW area (Fig. B.3) is similar to the previous
- 474 considerations about internal point A but in the presence of less intense vertical mixing.
- 475 On the other hand, at point C (Fig. B.4), which is external to the EEW area, an evident stratification of the water column below
- 476 30 m depth was maintained for throughout the winter months (January-February-March), despite the cooling of the surface
- 477 layers. The nitrate content in the surface and subsurface layers was much lower than that in the deeper layers, and only a small
- 478 increase in the surface chlorophyll developed during the duration of the EEW.

- 479 Therefore, the strong deep convection (related to the inter-annual variability of the local vertical mixing) appears to be the key
- 480 factor for the exceptionality of this EEW. It is worth noting that our method identified the spatio-temporal region covered by
- 481 the EEW (i.e., points A and B) and was shown to effectively include only the relevant information by filtering out other regions
- 482 characterised by different dynamics (such as point C).

#### 483 Author contribution

VDB, GC and SS conceived and developed the methodology applied in this work. VDB conducted the formal analysis and prepared the manuscript with contributions from all co-authors. CS supervised the research activity.

#### 486 **Competing interest**

487 The authors declare that they have no conflicts of interest.

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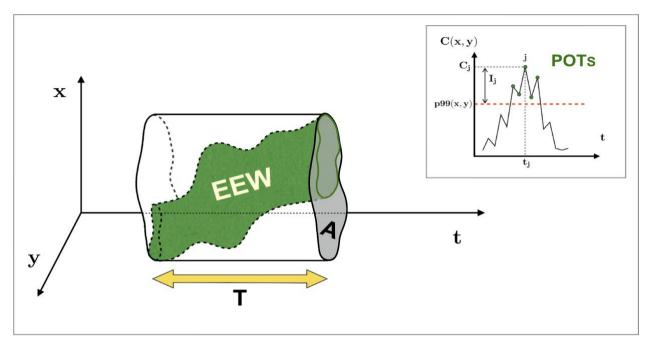
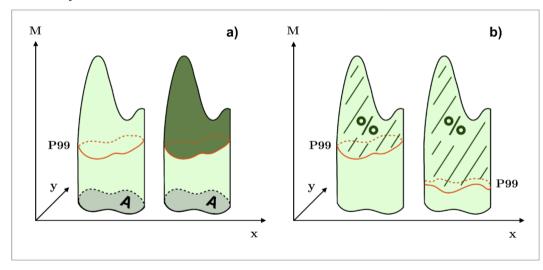


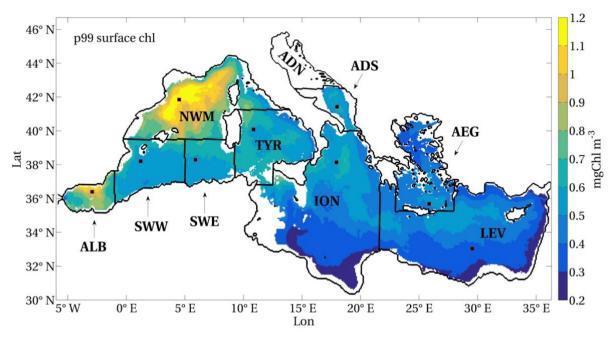
Figure 1: Conceptual diagram of the spatio-temporal indexes of an EEW (green shape) as a region where the POTs are connected in time and space (top right box). The area and duration of the EEW are indicated by *A* and *T*, respectively. The uniformity *U* is the percentage of the spatio-temporal region occupied by the EEW with respect to the total spatio-temporal region of the prism with *A* as the base and *T* as the height. In the top right box, the POTs (green circles) at the grid point (*x*,*y*) are identified by the daily values of the variable above the 99th percentile threshold (orange dashed line). The value of the ecosystem variable *C<sub>j</sub>* and the intensity *I<sub>j</sub>* related to the POT index *j* are also shown.



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Figure 2: Conceptual diagrams of the strength indexes: severity and excess (a) and anomaly (b). Fig. 2a): The severity (represented by the shaded green volume, on the left) is the sum of all the *M* values over each (*x*, *y*) grid point belonging to base *A*. The excess (dark green portion, on the right) is the part of this volume that is above the locus of points of the 99th percentile threshold, i.e., *P99*, delimited by the orange contour. Fig. 2b): The anomaly, as the percentage of the excess with respect to the severity, is compared

711 between two cases, which refers to two EEWs with the same severity but with different P99 loci of points.



#### 712 713 **(updated)**

Figure 3: Model-derived 99th percentile thresholds of the surface chlorophyll in the Mediterranean open sea domain (1994-2012). Isolated grid points with depths higher than 200 m in the Northern Adriatic Sea and the Gulf of Corinth (Greek inlet) are masked. Mediterranean regions delimited by black contours (as in Lazzari et al., 2012) are indicated in the text for simplicity by the corresponding abbreviations (ALB = Alboran Sea, SWW = western side of the south-western Mediterranean Sea, SWE = eastern side of the south-western Mediterranean Sea, NWM = north-western Mediterranean Sea, TYR = Tyrrhenian Sea, ION = Ionian Sea, LEV = Levantine Sea, ADN= northern Adriatic Sea, ADS = southern Adriatic Sea and AEG = Aegean Sea). Black squares indicate sites chosen as examples to display extreme events of surface chlorophyll in the Mediterranean regions (Appendix A).

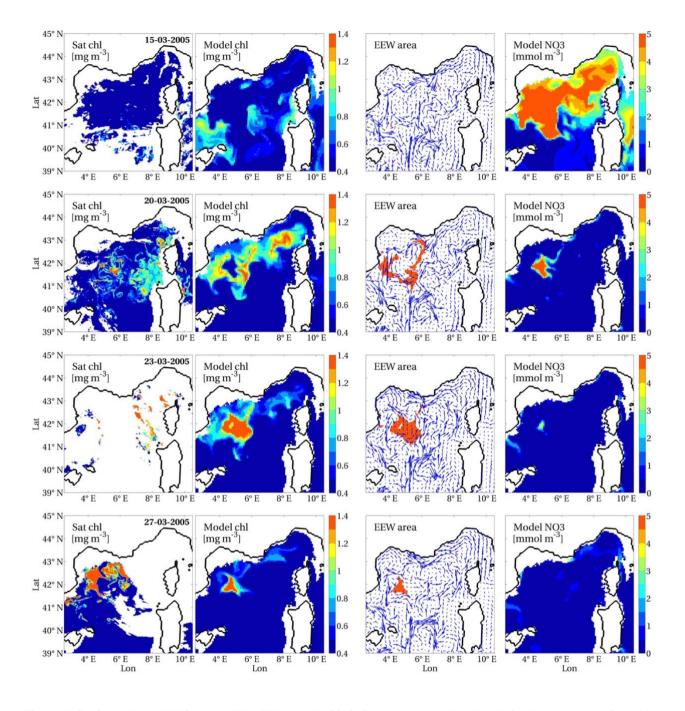
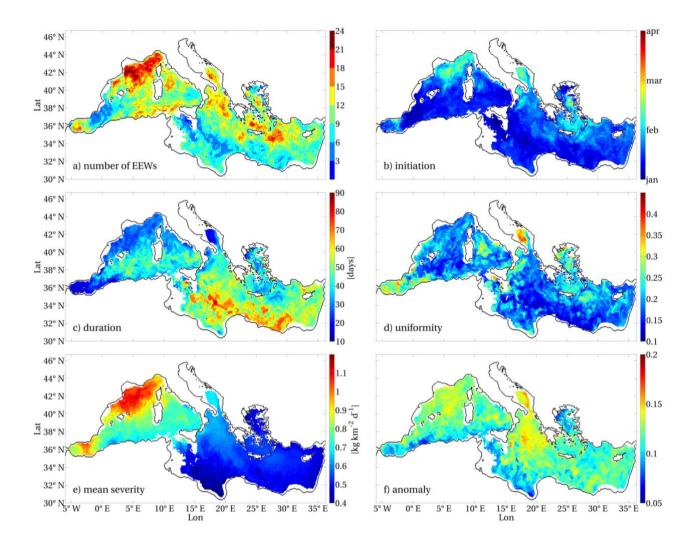


Figure 4: Surface chlorophyll from satellite (Volpe et al., 2019, first column) and model-derived estimates: surface chlorophyll (second column), daily portion of area *A* of the EEW superimposed on the surface velocity field (third column) and surface nitrate (fourth column), for single days of development of the EEW that occurred in the western Mediterranean Sea from the 15th to 31st March 2005 (indicated in the first column). Surface chlorophyll and nitrate were averaged in the first 10 m of depth and are shown only in the open sea, whereas the horizontal velocity field (scaled by a factor of 1.5) refers to the depth of 5 m.



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Figure 5: Number of surface chlorophyll EEWs that occurred in Mediterranean Sea in 1994-2012 (a) and means of the indexes referring to the EEWs: initiation (b), duration (c), uniformity (d), mean severity (e), anomaly (f). The values of the indexes referring to an EEW were associated with all the points belonging to covered area *A* (Sect. 2.1.2).

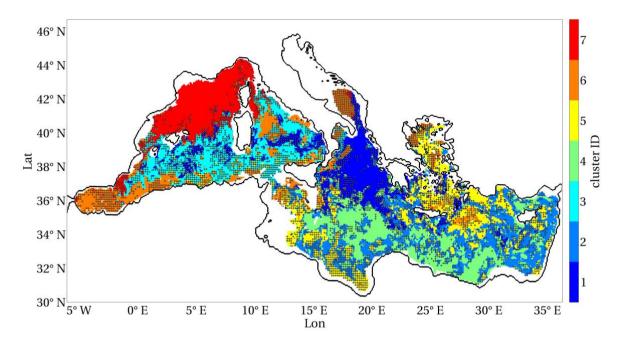


Figure 6: Fuzzy clusters with maximum membership identified from the duration, mean severity, uniformity and anomaly maps
 (shown in Fig. 5), with black points indicating a confusion index higher than 0.7.

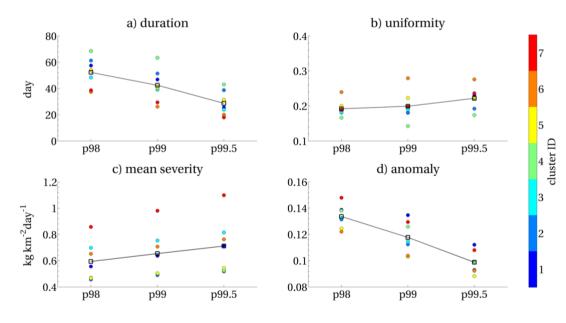
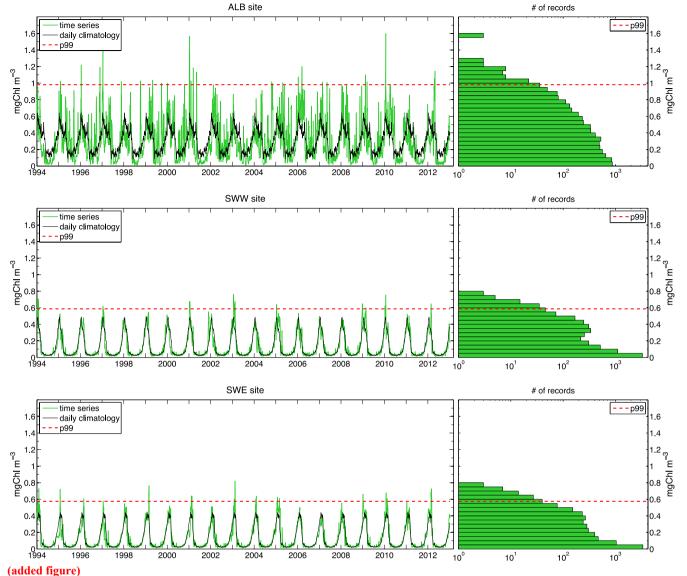


Figure 7: Means of duration, uniformity, (mean) severity and anomaly computed for the surface chlorophyll EEWs obtained from different local thresholds (Sect. 2.1.1), with the 99th percentile (p99) as reference used in the present study. Coloured dots represent the mean values of the indexes computed in the corresponding clusters (with the same colour legend of Fig. 6). The total means (black squares) are computed by averaging the means of the seven clusters.

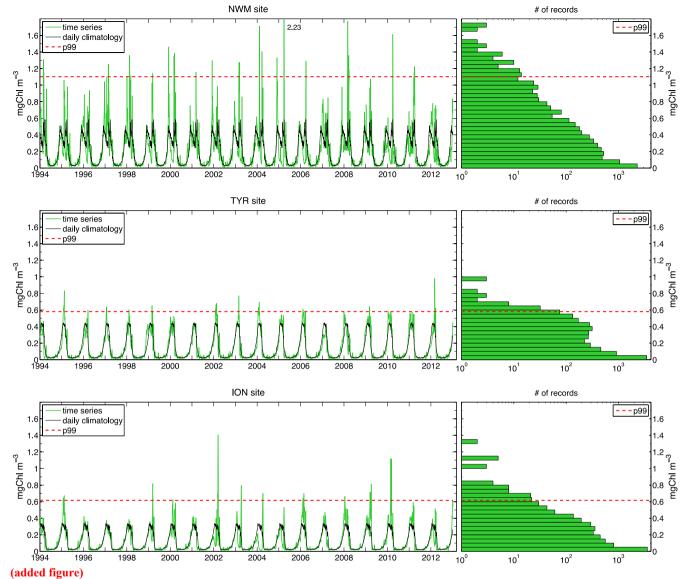




749 750 Figure A.1: Left panels: time series of model-derived surface chlorophyll (green series) and daily climatology (black series) computed

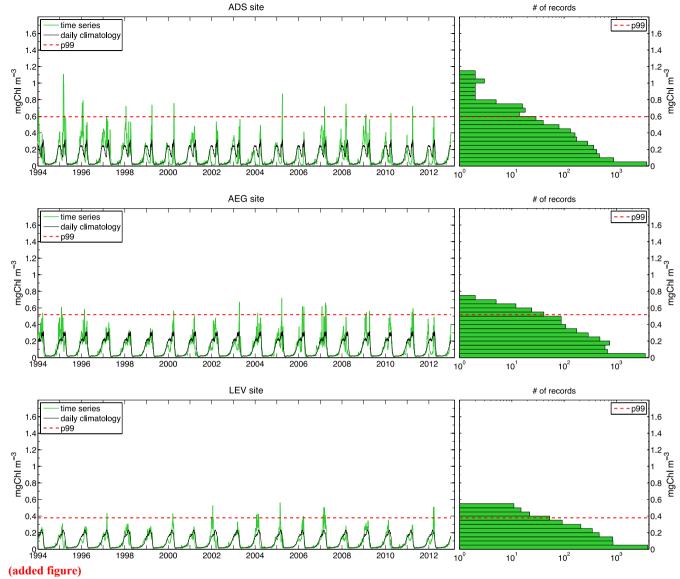
in ALB, SWW and SWE sites indicated in Fig. 3; right panels: model-derived surface chlorophyll distribution in the corresponding

751 sites, with x axis in logarithmic scale. Red dashed lines represent the local 99th percentile thresholds in all panels.

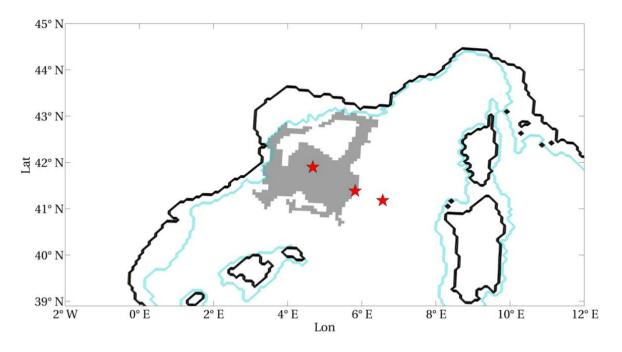












760 761 762 763 764 Figure B.1: Area A of the surface chlorophyll EEW shown in Fig. 4 (grey patch). Red stars indicate grid points in internal (A), peripheral (B) and external (C) positions with respect to the EEW. Blue contours delimit the open sea area (i.e., depths greater than 200 m).

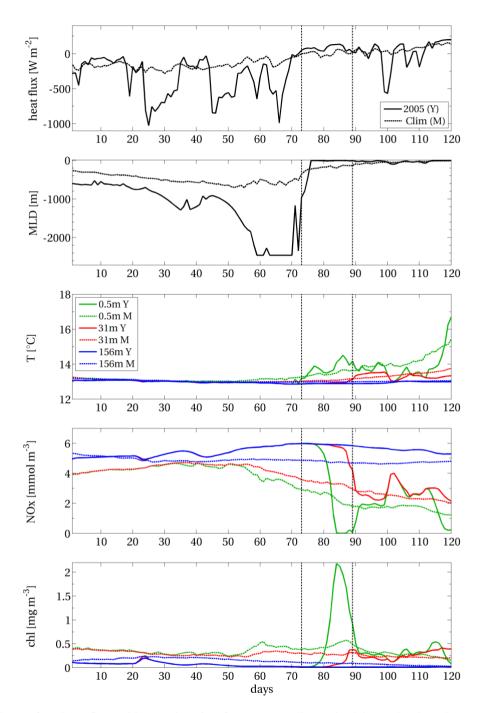
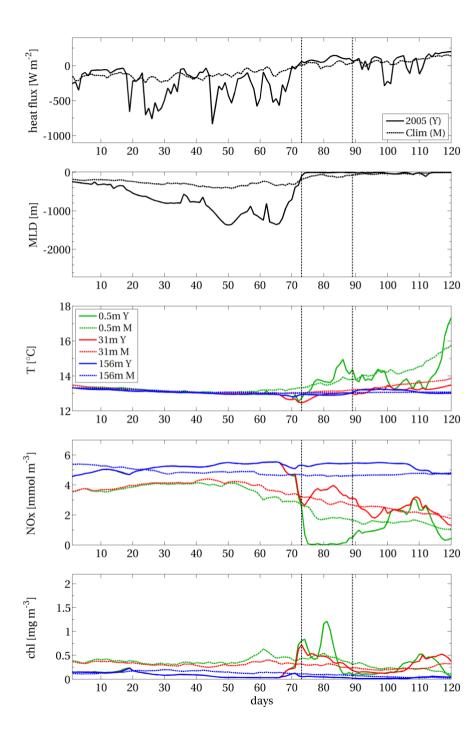
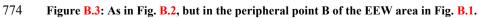


Figure B.2: Net surface heat flux, with negative sign for ocean cooling, mixed layer depth and potential temperature and concentrations of nitrate and chlorophyll at different depths (see the legend in the central panel), computed at the internal point A of the EEW in NWM (Fig. B.1). Acronyms: Y = year (2005), M = (climatological) mean. Days are computed from the 1st Jan 2005.
 The vertical dashed lines delimit the duration of the EEW.





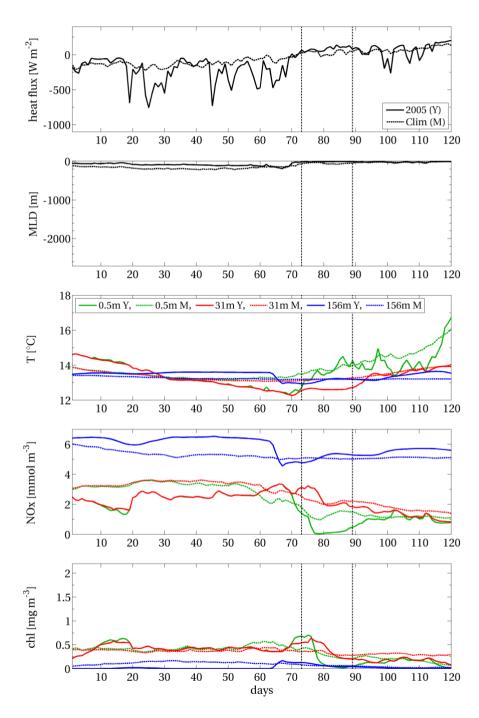


Figure **B.4**: As in Fig. **B.2**, but in the point C external to the EEW area in Fig. **B.1**.

	Initiation	15th March 2005	
Spatio-temporal	Area A [km <sup>2</sup> ]	$33.1 \times 10^{3}$	
	Duration <i>T</i> [ <i>day</i> ]	17	
	Width $W[km^2 \times day]$	1.06× 10 <sup>5</sup>	
	Uniformity U	0.189	
Strength	Severity S [kg]	$1.479 \times 10^{5}$	
	Excess E [kg]	$3.032 \times 10^4$	
	Mean Severity $< S > [kg \ km^{-2} day^{-1}]$	1.389	
	Anomaly AN	0.205	

782 Table 1: Metrics, grouped by spatio-temporal and strength indexes (defined in 2.1.2), for the EEW in Fig. 4.

#Cluster	Duration T [day]	Uniformity U	Mean severity <i><s></s></i> [ <i>kg km<sup>-2</sup> day<sup>-1</sup></i> ]	Anomaly AN
1	47±6	0.181±0.027	0.637±0.087	0.135±0.008
2	51±4	0.182±0.017	0.490±0.042	0.112±0.008
3	39±5	0.190±0.026	0.754±0.051	0.115±0.008
4	63±6	0.142±0.015	0.505±0.040	0.126±0.009
5	41±5	0.223±0.020	$0.505 \pm 0.067$	0.103±0.011
6	26±7	0.280±0.040	0.707±0.135	0.104±0.017
7	29±5	0.198±0.030	0.981±0.084	0.130±0.008

Table 2: Mean and standard deviation of the duration, uniformity, mean severity and anomaly indexes within the seven clusters in
 Fig. 6. Pale red (blue) refers to an annual increase (decrease) higher than 1% in the 1994-2012 period.