| 1 | Divergent climate feedbacks on winter wheat growing and dormancy periods as |
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| 2 | affected by sowing date in the North China Plain |
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| 19 | Abstracts: Crop phenology exerts measurable impacts on soil surface properties, |
| 20 | biophysical processes, and climate feedbacks, particularly at local/regional scales. |
| 21 | Nevertheless, the response of surface biophysical processes to climate feedbacks as |
| 22 | affected by sowing date in winter wheat croplands has been overlooked, especially during |
| 23 | winter dormancy. The dynamics of leaf area index (LAI), surface energy balance and |

24 canopy temperature (T_c) were simulated by modified SiBcrop model under two sowing date scenarios (Early Sowing: EP; Late Sowing: LP) at 10 stations in the North China 25 Plain. The results showed that the SiBcrop with a modified crop phenology scheme well 26 27 simulated the seasonal dynamic of LAI, T_c , phenology, and surface heat fluxes. Earlier sowing date had higher LAI with earlier development than later sowing date. But the 28 response of T_c to sowing date exhibited opposite patterns during the dormancy and active 29 growth periods: EP led to higher T_c (0.05 K) than LP in the dormancy period and lower 30 T_c (-0.2K) in the growth period. The highest difference (0.6 K) between EP and LP 31 happened at the time when wheat was sown in EP but wasn't in LP. The higher LAI 32 captured more net radiation with warming effect, but partitioned more energy into latent 33 heat flux with cooling. The climate feedback of sowing date, which was more obvious in 34 winter in the northern areas and in the growing period in the southern areas, was 35 determined contributions albedo-radiative by the relative of process 36 and partitioning-non-radiative process. The study highlight the surface biophysical process of 37 land management in modulating climate. 38

Key words: sowing date, canopy temperature, phenology, leaf area index, winter wheat,
land surface model, North China Plain

42 **1. Introduction**

Land-atmosphere interactions are key components of the climate system. The land 43 cover and management changes have strong feedbacks with climate through surface 44 biophysical and biochemical processes (Mahmood et al. 2014). Cropland surface 45 characteristic had been and will continue to be changed through crop management, such 46 47 as cropping system (Jeong et al. 2014; Cui et al. 2018), sowing date and phenology shifts (Sacks et al. 2011; Richardson et al. 2013), and cultivars selection (Seneviratne et al. 48 2018), to keep high yield under climate change condition. The changed cropland 49 properties further generate feedback to regional climate through surface energy 50 partitioning and albedo (α) mechanisms (Cooley et al. 2005; Zhang et al. 2015). It is 51 important to quantify the climate feedback of crop phenology for regional climate 52 prediction and agriculture sustainable development. 53

There are evidences that crop phenology has been shifted substantially in the major 54 cultivation areas worldwide (Sacks and Kucharik 2011; Tao et al. 2012; Tao et al. 2014; 55 Liu et al. 2017). In the North China Plain (NCP), the dates of sowing, dormancy, 56 re-greening, anthesis, and maturity in wheat system were changed by 1.5, 1.5, -1.1, -2.7, 57 58 and -1.4 days/decade (a positive value indicates delay and a negative value indicates advance), respectively (Xiao et al. 2013). The vegetative stages (including periods from 59 dormancy to re-greening, re-greening to anthesis) were shortened and reproductive stage 60 61 was prolonged (Xiao et al. 2013). Global warming induced-higher temperature resulted in 62 longer photosynthetic-active period but faster development rate and shorter growth stages. Crop management, including sowing date adjustment and varietal change, reduced the 63 length of vegetative stage, but increased the length of reproductive stage (Liu et al. 2010; 64

65 Liu et al. 2018). The management induced phenology dynamics are intended to increase yield. The strategies adapting to warmer environment include adopting cultivars with 66 higher accumulated growing degree days (GDD) and later planting. The prolonged 67 grain-filling period of winter wheat benefits the accumulation of carbohydrates in grain 68 (Reynolds et al. 2012; Liu et al. 2018), and the adjusted sowing date reduces the risks 69 such as insect and viral infection, adverse meteorological conditions, and soil water 70 depletion (Sacks et al. 2010). Model simulation indicated that yield increase of winter 71 wheat was benefitted from cultivars renewal by 12.2-22.6% and fertilization management 72 by 2.1-3.6%; climate change damaged yield by -15.0% for rain-fed type, in the NCP 73 (Xiao et al. 2014). 74

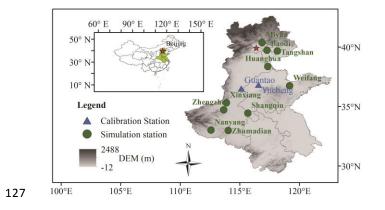
The crop phenology affects the seasonal rhythm of surface greenness and energy and 75 water exchanges in the boundary layer. For example, maize growth duration prolonged 76 and reached maturity and senesced a couple of weeks later, and the maximum change can 77 reach 47 W m⁻² and -20 W m⁻² for latent heat flux (LH) and sensible heat flux (SH), 78 respectively, when the NDVI is increased by 0.1 in the Agro-IBIS model (Bagley et al. 79 2015). Earlier planting date and longer grain-filling period increased the LH by 3 W m^{-2} , 80 decreased SH by 2.5 W m⁻² in June and enhanced the net radiation (R_n) by 1.2 W m⁻² in 81 October by reducing the interval time from maturity to harvest in American Corn belt 82 (Sacks and Kucharik 2011). The change of surface coverage also shown regional climate 83 84 feedback. The increased spring surface greenness at farmland, due to the advanced re-greening stage of winter wheat (Xiao et al. 2013; Liu et al. 2017), significantly 85 impacted the patterns of LH and SH and then the changes of moderate to light rainfall 86 (Zhang et al. 2015). Harvest shifted the key influence factors of the radiative balance and 87

evaporative fraction from leaf area and soil-atmosphere temperature difference to soil moisture in U.S. winter wheat (Bagley et al. 2017), and a shift in radiative forcing with the potential to warm the atmosphere by $1\sim1.4$ °C through declining *LH* in the NCP (Cho et al. 2014). The influence of phenology on climate feedback through surface biophysical process at local/regional scale is worthy of further studies (Liu et al. 2017).

Despite previous studies showed the critical role of crop phenology in surface 93 energy and water balance, there is an important potential sensitive period that has been 94 ignored in the winter wheat system. During the dormancy period in winter, aboveground 95 canopy of winter wheat remained constant for more than 2 months (Xiao et al. 2013). In 96 view of the close relationships between surface biophysical processes and aboveground 97 canopy (Boisier et al. 2012; Chen et al. 2015; Liu et al. 2017), the length from sowing 98 date to start of dormancy would be the determinant factor to surface biophysical process 99 in winter where winter wheat widely distributed, such as NCP, Pacific Northwest (Wuest 100 2010) and Southern Great Plains of USA (Bagley et al. 2017), Australia, and numerous 101 countries surrounding the Mediterranean Sea (Mahdi et al. 1998; Schillinger 2011). 102 Compared with other phenology dynamics, such as earlier re-greening stage (Xiao et al. 103 104 2013; Zhang et al. 2013), longer reproductive period (Sacks and Kucharik 2011) and inter-cropping period (Cho et al. 2014; Bagley et al. 2017), the climate feedback of 105 sowing date emerges gradually with crop development. Particularly, winter wheat grows 106 faster in early stages and slower as winter approaches, smaller change in sowing date 107 could lead to larger and longer climate feedback in dormancy period. Recognition of the 108 impacts of sowing date on land surface characteristics and climate feedback would be 109 beneficial to the understanding of human influence on climate change. Therefore, it is 110

necessary to investigate whether dormancy period of winter wheat is sensitive to sowing 111 date. And how sensitivities are surface biophysical process and climate effect? 112 2. Data and methods 113 114 **2.1. Study stations** The NCP, with an area of 4×10^5 km², is the largest winter wheat production region 115 in China, including Hebei, Henan, Shandong, Jiangsu, and Anhui provinces, and Beijing 116 and Tianjin municipalities (Fig.1). Summer maize - winter wheat rotation is the main 117 cropping system, except Anhui and Jiangsu where winter wheat-rice rotation system is 118 dominated. The satellite data showed a high cropland density above 70% with flat and 119 relatively homogeneous agricultural practices (Liu et al. 2005; Ho et al. 2012). The soil 120 type is classified as sandy loam according to the seven soil textures typified in the model 121 (Sellers et al. 1996). Two stations with surface fluxes were used for model calibration 122 (Fig.1, blue triangles). Ten randomly distributed stations with complete meteorology and 123 phenology information were selected for simulation in this study (Fig.1, green dots). The 124 details of fluxes, meteorology and phenology were further exhibited below. 125





128 Fig.1 Distribution map of the study area and observation sites.

The 30 m resolution digital elevation model, provided by the GlobeLand30 in 2010, and the administrative map were downloaded from the National Catalogue Service For Geographic Information.

132 **2.2 Data**

133 **2.2.1 Meteorology**

The quality-controlled meteorological data, including air temperature (T_a) , 134 precipitation (P), atmosphere pressure, relative humidity, and wind speed, was obtained 135 from the Chinese Meteorological Administration. Summer monsoon climate dominates 136 137 the region with an uneven distribution of annual precipitation (Table 1). In the 1980-2012, the average annual P at the selected stations ranged between 550-990 mm, mainly 138 139 happened in summer. The mean yearly T_a varied between 11-15 °C. In the growing season of winter wheat (11-12 and 1-6 month), the T_a varied between 7-11 °C among 140 141 stations and P ranged between 170-420 mm, which is consistent with the average climatic conditions in the NCP (A et al. 2016). Climatological mean T_a and accumulated P during 142 143 the wheat growth period were calculated in the 10 stations and were linearly regressed with the simulated differences between scenarios. Meteorological data was also used to 144 drive the model. 145

| | Station | Jan. | Feb. | Mar. | Apr. | May | Jun. | Jul. | Aug. | Sept. | Oct. | Nov. | Dec. | Average Wheat Season |
|--------------|----------|------|------|------|------|------|------|------|------|-------|------|------|------|----------------------------|
| | Miyun | -5.9 | -2.2 | 4.8 | 13.5 | 19.6 | 24 | 25.8 | 24.5 | 19.3 | 12 | 3 | -3.7 | 6.6 |
| T_{a} (°C) | Baodi | -5 | -1.4 | 5.3 | 13.6 | 19.5 | 24 | 26.1 | 24.8 | 19.8 | 12.7 | 3.7 | -2.7 | 7.1 |
| Ι | Tangshan | -4.8 | -1.3 | 5.1 | 13.4 | 19.4 | 23.7 | 25.9 | 25 | 20.3 | 13 | 4.1 | -2.5 | 7.1 |

146 **Table 1** Climate conditions of the selected stations in 1980-2012

| | Huanghua | -3.4 | -0.2 | 5.9 | 14.1 | 20.3 | 25 | 26.9 | 25.8 | 21.2 | 14.2 | 5.5 | -1.2 | 8.3 |
|--------|-----------|------|------|------|------|------|-------|-------|-------|------|------|------|------|-------|
| | Weifang | -2.8 | 0.1 | 5.8 | 13.2 | 19.2 | 23.9 | 26.2 | 25.2 | 20.7 | 14.4 | 6.4 | -0.3 | 8.2 |
| | Xinxiang | 0 | 3.3 | 8.7 | 15.8 | 21.2 | 25.8 | 27 | 25.9 | 21.3 | 15.3 | 7.9 | 1.8 | 10.6 |
| | Zhengzhou | 0.5 | 3.5 | 8.7 | 16 | 21.5 | 26 | 27.1 | 25.7 | 21.2 | 15.5 | 8.4 | 2.5 | 10.9 |
| | Shangqiu | 0.1 | 3.1 | 8.3 | 15.1 | 20.6 | 25.4 | 26.9 | 25.7 | 21.1 | 15.3 | 8.1 | 2 | 10.3 |
| | Nanyang | 1.6 | 4.4 | 9.1 | 15.8 | 21.2 | 25.5 | 27 | 26 | 21.7 | 16.1 | 9.4 | 3.5 | 11.3 |
| | Zhumadian | 1.5 | 4.2 | 9 | 15.7 | 21.2 | 25.7 | 27.2 | 25.9 | 21.6 | 16.3 | 9.6 | 3.6 | 11.3 |
| | Miyun | 2.2 | 4 | 9.7 | 19.9 | 43.1 | 86.7 | 180.7 | 172.6 | 62.9 | 25.5 | 9.4 | 2.3 | 177.3 |
| | Baodi | 2.7 | 3.7 | 9 | 20.1 | 36.2 | 82.4 | 169.7 | 142.6 | 49.7 | 27.5 | 10.1 | 3.6 | 167.8 |
| | Tangshan | 3.5 | 4.1 | 9.4 | 22.4 | 47 | 83.2 | 169.7 | 154.3 | 50.8 | 28.2 | 9.5 | 3.4 | 182.5 |
| | Huanghua | 3.2 | 5.5 | 10.1 | 21.3 | 42.8 | 84.2 | 177.2 | 111.6 | 41.5 | 31 | 11.9 | 3.5 | 182.5 |
| n) | Weifang | 6 | 10.3 | 14.9 | 24.5 | 45.4 | 80 | 136.5 | 132.1 | 56.1 | 32.8 | 18.8 | 8.9 | 208.8 |
| P (mm) | Xinxiang | 4.6 | 7.1 | 19.2 | 25 | 49.9 | 65 | 150 | 119.5 | 59.8 | 32 | 14.9 | 5 | 190.7 |
| | Zhengzhou | 9.6 | 12.4 | 27.1 | 30.9 | 63.6 | 67.8 | 146.6 | 134.7 | 75.6 | 40.5 | 21.1 | 9.1 | 241.6 |
| | Shangqiu | 14.3 | 16.3 | 29.3 | 33 | 65 | 85.2 | 166.8 | 144.8 | 68.5 | 38.2 | 23.4 | 12.7 | 279.2 |
| | Nanyang | 13.2 | 15.6 | 35.2 | 41.7 | 78.8 | 124.5 | 183.7 | 131.7 | 76.3 | 51.1 | 30 | 12.8 | 351.8 |
| | Zhumadian | 21.9 | 24.8 | 51 | 50.9 | 93 | 128.6 | 227.7 | 176.3 | 98.2 | 63.9 | 35.2 | 18.5 | 423.9 |

 T_a means air temperature, and P means precipitation.

148 2.2.2 Verification data

To verify the applicability of the model, surface flux data was collected from Yucheng and Guantao stations (Fig.1; Table 2). The two stations used the same eddy covariance instruments to measure the surface latent heat flux (LI7500, LI-COR Inc., Lincoln, NE, USA) and sensible heat flux (CSAT-3, Campbell Scientific Inc., Logan, UT, USA), but at different heights (Yucheng:3.3 m; Guantao: 15.6 m). The post-processing software (Yucheng: Eddypro; Guantao: EdiRe) was used to process the raw data such as spike detection, lag correction of H₂O/CO₂ relative to the vertical wind component, sonic virtual temperature correction, coordinating rotation using the planar fit method,
corrections for density fluctuation (WPL-correction), and frequency response correction
(Liu et al. 2011). The REddyProc was used for gap-filling by method of the look-up table
and the mean diurnal variations method (Falge et al. 2001; Wutzler et al. 2018). More
details could be referred to (Lei et al. 2010; Liu et al. 2013). Totally 10 complete winter
wheat season flux data were used to validate the model (Table 2).

The meteorology conditions were also synchronously measured during flux 162 observation (Table 2). The measurement included T_a , P, atmosphere pressure, relative 163 humidity, wind speed, and sunshine. These data was the inputs of the model. According 164 to the T_a and P, the meteorological conditions were similar between the 10 stations for 165 simulation and the two stations for calibration. More variables were observed at Yucheng 166 station, such as wheat phenology and leaf area index (LAI) and canopy temperature (T_c) . 167 The observed durations of phenology, LAI, and fluxes at Yucheng station were in 168 2003-2006, 2004-2006, and 2003-2010, respectively. 169

170 Table 2 General information about model verification data

| | | Wheat growing sea | ison | | | |
|---------|-----------|-------------------|---------------|---|--|--|
| Station | Period | T_a (°C) | <i>P</i> (mm) | Measured variables | | |
| Yucheng | 2003-2010 | 9 | 226.7 | Meteorology, Phenology, LAI, LH, SH, T _c | | |
| Guantao | 2008-2010 | 9.6 | 134.4 | Meteorology, LH, SH | | |

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171 T_a means air temperature, P means precipitation, LAI means leaf area index (m<sup>2</sup> m<sup>-2</sup>),
172 LH means latent heat flux (W m<sup>-2</sup>), SH means sensible heat flux (W m<sup>-2</sup>). T_c means the
173 simulated canopy temperature (°C).
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175 **2.2.3 Phenology of winter wheat**

The phenology information was obtained from China agro-meteorological 176 experiment stations and available in the period of 1981-2009, except for 2003 at 177 Zhumadian and 1986 and 1988 at Miyun station (Table 3). Phenological statistics showed 178 179 that the sowing time of winter wheat is generally between DOY (Day Of Year) 270-290 (early and middle October) in the NCP. After sowing, it generally takes about 6-10 days 180 for germination. Winter wheat dormancy stage generally begins in DOY 330-360 181 (December) and ends in DOY 40-70 (late February and early March), and reaches 182 maturity in DOY 150-160(mid-June). The standard deviation shows that the inter-annual 183 fluctuations of dormant and re-greening period is larger, and harvest period is relatively 184 stable. 185

For the past 30 years, winter wheat phenology at some stations showed a significant 186 linear trend (Table 4). The sowing and germination periods were significantly delayed in 187 4 out of 10 stations, and the trend in the dormant and re-greening period was not obvious. 188 Winter wheat matured significantly earlier at five stations. Generally, the autumn and 189 winter phenophases, including sowing, germination and dormancy, are mainly delayed, 190 while spring and summer phenophases, including re-greening and maturity, are primarily 191 advanced. According to the fitting coefficient (a), the duration was changed by 5.7, 8.1, 192 4.9, -3.5, and -5.5 in the period of 1981-2009, respectively, for the stages of sowing, 193 germination, dormancy, re-greening and maturity of winter wheat. These results were 194 195 consistent with our previous studies (Tao et al. 2012; Xiao et al. 2013; Xiao et al. 2015). 196

Table 3 General information on the phenology of winter wheat in the selected stations(unit: DOY)

| Station | Period | Sowing | Germination | Dormancy | Re-greening | Maturity |
|-----------|---------------|-------------|--------------|--------------|-------------|--------------|
| Miyun | 1981-2009 | 275.52±7.55 | 284.96±9.03 | 331.93±6.41 | 73.59±15.1 | 168.26±3.46 |
| Baodi | 1981-2009 | 272.83±4.33 | 281.55±5.5 | 335.62±6.86 | 59.19±42.72 | 165.97±2.57 |
| T I | 1981-2009 | 071.04.02 | 270 50 4 04 | 225 55 . 6 6 | | 1 (0.07.2.02 |
| Tangshan | (except 2003) | 271.86±4.83 | 279.59±6.04 | 335.55±6.6 | 66.62±7.98 | 169.97±3.23 |
| Huanghua | 1981-2009 | 274.17±7.83 | 280.32±7.03 | 340.38±8.65 | 62.45±6.56 | 157.14±3.25 |
| Weifang | 1981-2009 | 274.1±5.75 | 284.62±17.93 | 343.72±7.76 | 59.59±7.29 | 160.41±3.42 |
| Xinxiang | 1981-2009 | 283.59±4.21 | 291.64±5.14 | 351.9±10.56 | 47.55±7.16 | 152.03±3.3 |
| Zhengzhou | 1981-2009 | 289.76±5.67 | 298.45±6.65 | 360.5±14.08 | 44.21±7.43 | 151.34±3.88 |
| Shangqiu | 1981-2009 | 287.59±4.07 | 295.31±4.79 | 359.21±32.4 | 47.03±6.43 | 151.59±2.99 |
| Nanyang | 1981-2009 | 297.21±7.81 | 306.83±9.03 | 7.54±14.64 | 48.22±8.9 | 149.21±4.99 |
| | 1981-2009 | | | | | |
| Zhumadian | (except 1986, | 289.54±9.33 | 298.29±11.11 | 5.46±10.35 | 49.15±6.84 | 146.21±4.76 |
| | 1988) | | | | | |

199 the data was shown in average \pm standard deviation.

200

201 Table 4 Linear trends in winter wheat phenology

| Station | Sowing | | Germination | | Dormancy | | Re-greening | | Maturity | |
|----------|--------|------|-------------|------|----------|------|-------------|------|----------|------|
| Suton | а | р | а | р | a | р | а | р | a | р |
| Miyun | 0.62 | 0.00 | 0.69 | 0.00 | 0.17 | 0.27 | -0.51 | 0.15 | -0.20 | 0.01 |
| Baodi | 0.31 | 0.00 | 0.41 | 0.00 | 0.14 | 0.36 | -0.67 | 0.52 | -0.05 | 0.35 |
| Tangshan | 0.41 | 0.00 | 0.51 | 0.00 | 0.43 | 0.00 | -0.29 | 0.11 | -0.20 | 0.00 |
| Huanghua | 0.18 | 0.31 | 0.17 | 0.31 | 0.38 | 0.05 | -0.07 | 0.64 | -0.13 | 0.07 |
| Weifang | 0.20 | 0.11 | 0.61 | 0.13 | 0.11 | 0.55 | 0.14 | 0.38 | -0.12 | 0.11 |

| Xinxiang | 0.07 | 0.46 | 0.12 | 0.34 | 0.27 | 0.26 | -0.16 | 0.33 | -0.12 | 0.10 |
|-----------|-------|------|-------|------|-------|------|-------|------|-------|------|
| Zhengzhou | -0.16 | 0.21 | -0.21 | 0.17 | -0.28 | 0.41 | 0.11 | 0.52 | -0.25 | 0.00 |
| Shangqiu | 0.03 | 0.77 | 0.04 | 0.68 | 0.39 | 0.59 | 0.10 | 0.51 | -0.07 | 0.28 |
| Nanyang | -0.18 | 0.30 | -0.11 | 0.60 | -0.13 | 0.71 | 0.12 | 0.60 | -0.38 | 0.00 |
| Zhumadian | 0.49 | 0.02 | 0.56 | 0.02 | 0.21 | 0.37 | 0.02 | 0.89 | -0.36 | 0.00 |

a was the coefficient of linear fitting equation (d/year); p was the significance level; bolded number means p < 0.05. 203

2.3 Methods 204

2.3.1 Model calibration and verification 205

The SiBcrop model was selected in this study. SiBcrop is a process-based land 206 surface model adapted from the Simple Biosphere model version 3 (Lokupitiya et al. 207 2009). The SiB series models (version 1, 2, 3 refers to SiB1, SiB2, SiB3, respectively) are 208 widely adopted land surface models for computing surface energy, water, momentum and 209 CO₂ exchange in the boundary layer. The SiBcrop version added the crop-specific 210 submodels of maize, soybean, winter and spring wheats, which was simple and detailed 211 enough in predicting LAI (Lokupitiya et al. 2009). The submodel replaces 212 remotely-sensed NDVI information by simulated LAI. SiBcrop simulated fast response 213 processes that vary sub-hourly such as energy, water, carbon and momentum balance of 214 215 the canopy and soil, as well as the processes that vary daily such as LAI. Surface energy and water fluxes are calculated at each time step on a grid cell basis according to 216 physiologically based formulations of leaf-level photosynthesis, stomatal conductance 217 and respiration (Farquhar et al. 1980; Collatz et al. 1990). 218

219 The model was first modified according to the actual situation of winter wheat in the NCP (Chen et al. 2020). The SiBcrop model was originally calibrated in winter wheat – 220 summer fallow system in which the growth time of wheat is relatively abundant 221 222 (Lokupitiya et al. 2009). However, the NCP is dominated by winter wheat - summer maize system in which the development of wheat is strictly restricted. There are great 223 differences in the varieties, planting date, growth environment and physiological 224 characteristics of winter wheat between the two systems. The modifications include: (1) 225 the sowing date was postponed to October from original August. (2) The cold tolerance 226 was reduced to 8°C from original 18°C, above which the seven consecutive days for 227 wheat sowing were counted. (3) The harsh condition of delayed sowing also reduced the 228 daily growth rate, which was modified from 0.07 to 0.03 g m² when GDD was 105-310 229 °C d. (4) Wheat grows faster when GDD is 769-1074 °C d with maximum dry weight 230 increased from 8 to 12 g and daily rate enlarged from 0.015 to 0.15 g m⁻². (5) Specific 231 leaf area was changed from 0.02 to 0.025 m² g⁻¹ (Najeeb et al. 2016). (6) A subroutine 232 was added to describe the senescence process of canopy when GDD was larger than 1074 233 234 °C d according to Tao et al (Tao et al. 2009). More details could be referred to Chen et al 235 (2020).

After modifications, the simulated biases were within 10 days for wheat emergency and harvest dates, the determination coefficient, root mean square error, and agreement index between simulated and observed LAI were obviously improved from 0.26, 1.89 m² m^{-2} , and 0.7 to 0.80, 0.99 m² m⁻², and 0.91, respectively. And they were 0.66, 32.37 W m⁻², and 0.84, respectively, for the simulated *LH* (Chen et al. 2020).

241 **2.3.2 Model simulation**

242 Two simulations with different sowing dates were performed to examine the responses of surface biophysical processes at the selected 10 stations (Fig.1). The 243 planting date was classified into two scenarios: after DOY 265 (early sowing scenario, 244 EP) and after DOY 275 (late sowing scenario, LP). The early and late sowing scenarios 245 were established by artificially limiting the starting time of the sowing date. The early 246 247 sowing scenario means that the sowing will not be allowed until DOY 265. Similarly, the late sowing scenario is only allowed after DOY 275. In both scenarios, wheat was sowed 248 at the seventh consecutive days when temperature ranged $8 \sim 25^{\circ}$ °C, which means the real 249 sowing date was seven days later. The winter wheat submodel in the SiBcrop was 250 modified to be more cold tolerance (section 2.3.1), which caused the sowing date was less 251 controlled by temperature. Therefore, the sowing dates were less constrained by climate 252 253 difference among widely distributed stations. Our previous study showed that the delayed sowing date of winter wheat was mainly caused by the delayed harvest of maize (Xiao et 254 255 al. 2013), which means the phenology of winter wheat was more affected by the previous crop than the climate in the NCP. The sowing dates in the two scenarios are within the 256 climatological average of the region, indicating the reasonable choice of simulation 257 scenarios. 258

The simulations were driven by the same meteorological data, initial condition, and soil texture from 1980 to 2009. The 1980-1984 was not analyzed as the spin-up time. The difference in the simulation results was mainly ascribed to the sowing date. The analyses focused on the dynamics of LAI and T_c , and the surface energy balance components such as R_n , LH, and SH, which was used to explain the climate feedback mechanism.

264 2.3.3. Methods to relate the surface energy balance components with T_c

The Boisier method (Boisier et al. 2012) was adopted to relate the surface energy balance components with T_c . The energy partitioning of a terrestrial surface is expressed as

(1)

$$268 \qquad (1-\alpha) S_d + L_d - L_u = LH + SH + R$$

Where S_d , L_d , and L_u are the downward short-wave radiation, downward long-wave radiation, and upward long-wave radiation, respectively. In order to have a closed surface energy balance, the residual term R was derived explicitly from the other terms in equation (1), and principally accounts for the soil heat flux and canopy storage flux.

The T_c change simulated by model is affected by both radiative (surface albedo effect) and non-radiative processes (surface energy partitioning effect). In order to separate temperature variation caused by the sole change in absorbed short-wave radiation (radiative process), the following equation (Boisier et al. 2012) was used:

277
$$\Delta T_{c} = (\varepsilon \sigma)^{-1/4} \left[\left(L_{u} + \Delta L_{u} \right)^{1/4} - L_{u}^{1/4} \right]$$
(2)

Where ΔT_c is the anomaly of canopy temperature (K). The σ is Stefan-Boltzmann constant (=5.67×10 -8 W m⁻² K⁻⁴). The ε is surface emissivity (= 1). A disturbance in S_d, L_d, *LH*, *SH* or *R* can be expressed as ΔL_u by fixing non-perturbed terms using equation (1). More details can be found in Boisier et al. (2012).

282

283 **3 Results**

284 **3.1 SiBcrop simulation accuracy**

The simulation accuracy for T_c was analyzed by comparing the observation with simulation at Yucheng station over 2003-2010 (Supplement Fig.1). The linear regression equation (simulated $T_c = 1.02$ * measured $T_c - 4.22$, R² = 0.91, p < 0.001) showed a good linear relationship between the simulated T_c and the observed T_c . The coefficients of linearly fitted equations indicating that the simulated T_c was slightly higher than the measured (slope =1.02) and was negative deviated (intercept =-4.22).

291 The simulation error for wheat phenology at Yucheng station was within 10 days (Chen et al. 2020). The sowing time under the two sowing scenarios was further 292 compared with observation at the selected 10 stations. The simulated sowing date was 293 stable, generally around DOY278.66 \pm 1.15, and DOY 290.34 \pm 2.08 for EP and LP 294 scenario, respectively. The observed phenology fluctuated greatly. Wheat was prone to 295 296 sow later or early generally due to geographical location at some specific stations. In the EP scenario, the stations in the north had a positive difference (delayed sowing date 297 relative to the actual date) compared to the actual phenological period, whereas the 298 stations in the south had a negative difference (advanced sowing date relative to the 299 actual date), because the stations in the north had earlier sowing date than those in the 300 south. In the LP scenario, the stations in the south were relatively close to the actual 301 phenology, but the stations near the north had a larger positive difference. Overall, the 302 simulation difference of phenology was within 15 days. 303

Table 5 The difference between simulated and observed sowing dates under two scenarios at each station

| | Scer | nario |
|---------|--------------|-------------|
| Station | Early sowing | Late sowing |
| Miyun | 4.19±7.82 | 17.48±7.55 |
| Baodi | 6.59±4.62 | 19.59±4.49 |

| Tangshan | 7.41±4.95 | 20.38±5.47 |
|-----------|-------------|------------|
| Huanghua | 4.41±8.02 | 16.31±7.55 |
| Weifang | 4.34±5.6 | 15.86±5.55 |
| Xinxiang | -5.31±4.24 | 5.59±4.24 |
| Zhengzhou | -11.41±5.47 | -0.38±5.53 |
| Shangqiu | -9.34±3.84 | 1.48±3.85 |
| Nanyang | -19.07±7.87 | -8.41±8.08 |
| Zhumadian | -11.36±8.91 | -0.57±9.07 |
| All | -2.98±10.96 | 8.7±11.66 |

data was show in average \pm standard deviation.

307

308 **3.2 Seasonal dynamics of LAI and** T_c **in scenarios**

Wheat LAI curves for the two sowing dates were not overlapped (Fig.2a). The LAI in the EP scenario was larger with earlier development. With the sowing in the LP scenario, LAI difference between the two scenarios gradually narrowed until the spring of the next year when the disparity increased again (Fig.3a). The LAI difference between two scenarios had a valley after the reproductive period. With the approaching of harvest, the difference gradually decreased to 0.

The LAI difference of winter wheat in two scenarios is mainly attributed to the difference in the accumulation of biomass. In the EP scenario, earlier sowing means advanced assimilation process and better temperature conditions, more photosynthetic carbon was produced and distributed into leaf. The impact of sowing time on LAI displayed great dissimilarity among stations (Fig.3a). Based on linear regression, the seasonal average of wheat LAI difference between scenarios was highly related with precipitation in the growth period (LAI anomaly = 0.0011 * P - 0.12, $R^2 = 0.59$). The more precipitation, the greater influence of sowing date on growth. The T_a contributed little to the LAI difference between the two scenarios.

According to the T_c difference between scenarios, the following phenologies of 324 winter wheat were relatively important: sowing date, dormancy date, re-greening date and 325 maturity date. Based on the simulation results, the phenological dates used here as 326 327 follows: EP sowing date, DOY279; LP sowing date, DOY290; dormancy date, DOY334; re-greening date, DOY59; maturity date, DOY170 (Fig.2a). The T_c difference between 328 scenarios was separated into 4 phases: Phase 1, inter-sowing period, when wheat had 329 330 been sown in the EP but hadn't in the LP; Phase 2: early growing period, from sowing date of LP to dormancy date; Phase 3: dormancy period, from dormancy date to 331 re-greening date; Phased 4: late growing period, from re-greening date to maturity date 332 (Fig.2b). 333

The most obvious disparity in T_c between two scenarios occurred in the inter-sowing 334 period (Fig.2b). The development of early sown winter wheat resulted in higher T_c , with a 335 peak of up to 0.6 K. The growth of wheat in the LP sharply reduced the warming effect in 336 EP, and eventually the EP scenario had lower temperature (-0.2K) before entering the 337 338 dormancy period. The temperature change process during this period was relatively consistent across the selected stations (Fig.3b). In the late growing period, the EP had 339 lower temperature (-0.1 K) than LP. In particular, LAI difference varied greatly between 340 341 statioms (Fig.3a), T_c difference was relatively stable (Fig.3b).

Another special period is the dormancy period, when EP had higher T_c than LP with 342 an average of 0.05 K (Fig.3b). With the start of the re-greening period, the EP T_c was 343 gradually lower than LP T_c and dropped to 0 at the harvest time. The T_c dynamics during 344 345 this period was highly heterogeneous among the stations, varying between -0.25×0.25 K. In the dormancy period, the T_c anomaly between scenarios was significantly affected 346 by the T_a in winter (T_c anomaly = -0.023 * T_a + 0.062, R^2 = 0.6, p = 0.005). The lower the 347 T_a , the bigger the T_c difference, which indicating that the influence of sowing date is 348 more important in northern farmland. The linear relationship between P and T_c difference 349 in winter was not obvious. The linear fitting equation between P and T_c anomaly in the 350 growing period: T_c anomaly = -0.0013 * P + 0.057, R^2 = 0.8, p < 0.001. More rainfall 351 increased the T_c anomaly in the growing period. The linear fitting equation between T_a 352 and T_c anomaly in the growing period: T_c anomaly = -0.017 * T_a + 0.2, R^2 = 0.53, p = 353 0.01. Since the T_c anomaly was negative, the higher the T_a , the greater the T_c anomaly. 354 Considering the low temperature and less precipitation at the northern stations, the high 355 temperature and more precipitation at the southern stations, the climate feedback of 356 sowing date was more obvious in winter in the northern areas, and in the growing period 357 358 in the southern areas.

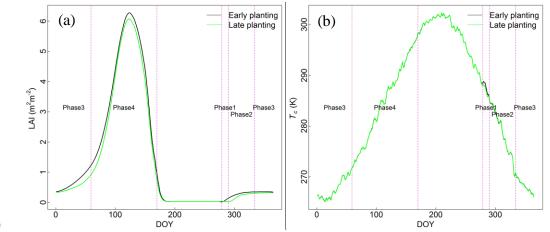




Fig.2 Dynamics of (a) LAI and (b) T_c under two sowing scenarios in winter wheat growing season

Phase 1: inter-sowing period, when wheat had been sown in the EP but hadn't in the LP; Phase 2: early growing period, from sowing date of LP to dormancy date; Phase 3: dormancy period, from dormancy date to re-greening date; Phased 4: late growing period, from re-greening date to maturity date.

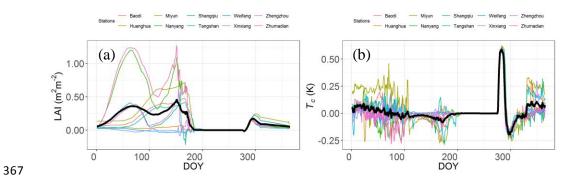


Fig.3 Seasonal differences in (a) LAI and (b) T_c of EP-LP at each station. The average across the stations was shown in bold black line



According to the seasonal dynamics of LAI and T_c , winter wheat growth could not explain the difference in climate effect of sowing time. Specifically, the T_c anomaly between the two scenarios was reversed between the dormancy (Phase 3) and active growth periods (Phase 2 and Phase 4), but with both positive LAI difference (Fig.3). In this section, surface energy balance was used to explain the response of T_c to sowing date.

The flux anomalies of R_n , LH, SH and R were shown in Fig.4a. The EP scenario 379 always maintained higher R_n and LH. Especially winter wheat-covered ground captured 380 more than 10 W m⁻² R_n than bare land. The anomaly of R_n in different sowing dates was 381 maintained within 2 W m². LH generally was covariant with the change in R_n . However, 382 the anomaly of LH in the late growth period was greater than that of R_n , resulting in 383 negative SH, indicating that the EP scenario had stronger LH distribution tendency and 384 less SH was partitioned. Bigger anomaly of SH was happened in the initial and dormant 385 stages. R anomaly fluctuated obviously only in the initial phase. 386

The contributions of surface energy balance components to T_c were shown in Fig.4b. 387 Stronger radiation absorption provided more energy for the thermal motion of air and 388 389 causing positive T_c differences of EP-LP. Correspondingly, higher distribution into LH, SH, and R was conducive to cooling T_c . Therefore, positive LH and SH differences of 390 EP-LP showed negative T_c effects, and negative R difference of EP-LP showed positive 391 392 T_c effect. The positive T_c anomaly of EP-LP reflected that the radiative process played the major role in the dormancy period. In the active growth time, the cooling effect of LH 393 partitioning dominated the T_c anomaly. 394

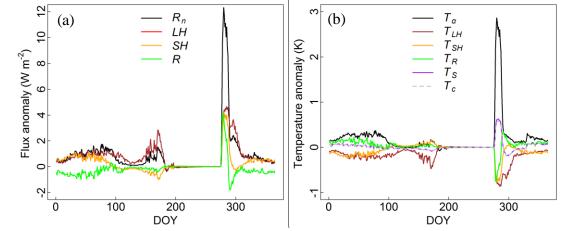




Fig.4 (a) The differences in the surface fluxes between the sowing scenarios of EP and LP, (b) its contributions to T_c anomaly.

R_n means net radiation, T_a represents the temperature anomaly induced by changes in absorbed solar radiation. T_{LH} represents the temperature anomaly induced by changes in latent flux. T_{SH} represents the temperature anomaly induced by changes in sensible flux. T_R represents the temperature anomaly induced by changes in residual term. T_S represents the temperature anomaly induced by changes in residual term. T_S represents the temperature anomaly induced by changes in solar radiation, latent, sensible and residual fluxes.

405 **4 Discussion**

406 4.1 The diverse trends in sowing date of winter wheat in the NCP

The spatiotemporal changes of winter wheat phenology had been extensively examined in the NCP. In the period of 1981-2009, the sowing date was on average delayed by 1.5 days/decade, but 8 out of the 36 agro-meteorological experiment stations were advanced (Xiao et al. 2013). The diverse trends in sowing date were also existed at the national scale, where 6 stations significantly advanced by up to 9.1 days/decade, and 11 stations significantly delayed by up to 10 days/decade (Tao et al. 2012). The main reasons for crop phenology include climate warming and variety renewal (Mirschel et al. 2005; Eyshi Rezaei et al. 2017; Liu et al. 2017). Climate warming mainly leads to the delay of sowing date, and variety renewal is more likely to affect the length of reproductive period. The management practices, photoperiod, and the time of summer maize harvest also contributed to the shift of winter wheat sowing date (Yuan et al. 2010).

The proper sowing date is key to ensure winter wheat survive through winter and 418 reduce the freezing injury, insect pests and other harmful conditions (Sacks et al. 2010; 419 Zhang et al. 2012; Newbery et al. 2016). With faster growth in warmer environment, the 420 sowing date should be postponed to maintain a proper coverage of winter wheat in 421 dormancy period. The warming of the NCP is regionally consistent (Shi et al. 2014), and 422 the diverse change of sowing date will affect the coverage of winter wheat, especially one 423 fifth stations advanced their sowing date. Earlier sowing may also benefited from the 424 reduction in freezing damage and the increase in pest diseases caused by higher minimum 425 temperature, since more above-ground biomass will not be subject to lethal freezing 426 damage and will resist higher harms from pests and diseases. There are also management 427 practices to counteract the effects of advanced sowing date, such as deep tillage and 428 429 delayed irrigation, which reduce the development of leaves and stems. Until now, fewer studies had focused on the phenomenon of early sowing date and its underlying causes 430 and countermeasures. 431

Sowing date significantly affected land surface characteristic. There were several
times of differences in surface coverage between two sowing dates (Supplement Fig.2).
Differences in spectral characteristics, canopy structure and physiological activities
between soil and winter wheat can significantly affect surface biophysical processes such

as surface reflectivity, roughness, canopy resistance and surface energy budget
(Richardson et al. 2013). In this study, the two sowing scenarios showed clear disparity in
LAI (Fig.2a).

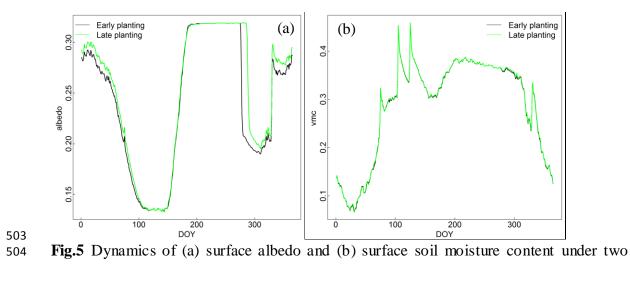
439 **4.2 Warming effect of EP-LP in the dormancy period**

Although there were literatures reporting that the albedo process in winter is 440 relatively important (Richardson et al. 2013; Lombardozzi et al. 2018), fewer studies 441 directly addressed the influence of different surface characteristics and climate effect 442 through biophysical process in the dormancy period. In the Oklahoma's winter wheat belt, 443 444 the rapid crop growth during November exhibited a distinct cool anomaly against adjacent regions of dormant grassland. Over the period of December through April, the 445 cool bias was visibly diminished although the greenness difference between grassland 446 and wheat was more distinct (McPherson et al. 2004). The biophysical impacts between 447 maize and perennial grass were simulated using Agro-IBIS model in US corn belt 448 (Bagley et al. 2015). The results showed that much higher LAI of perennial scenario was 449 existed in winter December-February (3 vs 0 m² m⁻²) and in summer June-August (10 vs 450 4 m² m⁻²). Perennial grass had smaller surface albedo (coupling snow effect) than maize 451 452 in winter, but showed quite small difference in summer. During winter and summer, the perennial scenario had slightly higher LH than the maize scenario, but the difference in R_n 453 between two scenarios was more than 10W m⁻² in winter (Bagley et al. 2015). The above 454 455 studies indicated that the cooling effect of higher LAI was inhibited in winter. The results 456 of this current study indicate that higher LAI in winter has a warming effect. The main reason was due to the relative contributions of surface albedo mechanism and surface flux 457 distribution process. 458

459 The simple increased crop coverage on the bare ground would substantially alter surface albedo results from the decreasing contribution of the soil to the canopy 460 reflectance (Hammerle et al. 2008). In the SiBcrop model, the reflectivity of different 461 surface coverings varies greatly in the visible band (Table 6). The germination of winter 462 wheat immediately changed the bare soil into soil with crop, which is favorable to the 463 464 sharp reduction after crop covered. The measured surface albedo in winter could drop to 0.14 (Liu et al. 2019). The surface albedo was computed based on surface energy budget 465 at Weishan station, the bare ground albedo can be higher than 0.3 and the winter wheat 466 467 lower than 0.15 (data not shown). Therefore, early sowing in EP scenario results in higher LAI, which can significantly affect the surface albedo at the initial stage and continuously 468 have a lower albedo than that in LP scenario. The effect of the soil on the canopy 469 reflectance is negligible at LAI > 2 m² m⁻² (Goudriaan 1977), which explained why the 470 R_n anomaly of EP-LP was small after the re-greening stage. In the model, the senescence 471 of winter wheat is a process in which LAI decreases rapidly, and the disparity in LAI 472 variations between the two scenarios further led to the difference in surface albedo and R_n 473 during the late growth period. 474

The strong climate feedback in inter-sowing period, when wheat had been sown in the EP but hadn't in the LP, was related to the effect of tillage on maize stubble. The NCP is dominated by summer maize - winter wheat rotation system in which the ground is covered with maize stubble before wheat is sown. The damage of sowing to stubble is conducive to the reduction of albedo since stubble has larger surface reflectivity than soil (O'Brien et al. 2019). The 0.1 increase of surface albedo caused by no-till management, which was also the magnitude of our simulation (Table 6), cooling the hottest summer days by 2 °C or more (Davin et al. 2014). The inter-sowing period is equivalent to
no-tillage period, when early sowed wheat absorbed more net radiation with lower albedo
by destroying stubble and causing higher temperature (Fig.3b, Fig4a).

485 Previous studies showed that the increase of vegetation cover caused warming feedback by destroying the high albedo of snow in the case of snow cover (Richardson et 486 al. 2013; Bagley et al. 2015; Lombardozzi et al. 2018). In our simulation, except for the 487 large difference in crop coverage in phase 1, the snow and crop had consistent coverage 488 in other phases (Supplement Table 1), which means albedo difference between two 489 scenarios was not caused by snow. Low soil water content contributed to the high surface 490 albedo (Seneviratne et al. 2010)(Fig.5b). With the decrease of surface soil moisture, 491 surface albedo increased in winter, which explained why albedo in the winter was higher 492 than that in the growth period. The increase in soil reflectivity caused by soil drying 493 enhanced the role of low winter wheat reflectivity in surface albedo, the albedo disparity 494 between the two scenarios increased in winter, which strengthened the albedo-radiative 495 mechanism. Low soil moisture also contributed to the disparity in warming effect 496 between EP and LP during dormancy period (Fig.5b). The lack of precipitation in winter 497 498 made soil moisture unable to be replenished effectively, thus reducing soil evaporation and crop transpiration. But during the growing season, soil moisture is high enough to 499 supply transpiration. The lower the T_a , the lower the transpiration vitality, thus unable to 500 501 offset the warming effect of increased R_n absorption, which explained why the winter T_c disparity among stations was controlled by T_a . 502



sowing scenarios in winter wheat growing season

Table 6 The reflectivity of different surface coverings in near-infrared and visible bands

508 in the SiBcrop model

| Material | Visible band | Near Infrared band | | |
|----------------|--------------|--------------------|--|--|
| Green leaf | 0.08 | 0.3 | | |
| Snow | 0.8 | 0.4 | | |
| Soil with crop | 0.11 | 0.314 | | |
| Bare soil | 0.33 | 0.35 | | |

509

510 4.3 Cooling effect of EP-LP during the growing period

The phenological shifts, such as earlier leaf unfolding, delayed leaf fall, and lengthening of the green-cover season have feedback on climate through biophysical and biogeochemical processes (Penuelas et al. 2009). Previous studies showed cooling effect in the photosynthetic active period through surface biophysical mechanism in the cropland (e.g. (Sacks and Kucharik 2011; Zhang et al. 2013; Bohm et al. 2020)). 516 In the NCP, the increased spring surface greenness at farmland, benefited from advanced re-greening stage of winter wheat (Xiao et al. 2013; Liu et al. 2017), had 517 cooling and wetting effects (Zhang et al. 2013) and suppressed the moderate to light 518 519 rainfall (Zhang et al. 2015). The analysis found that surface greening increased the partitioning into LH and reduced SH to cooling surface air and suppression of rainfall 520 (Zhang et al. 2013; Zhang et al. 2015). Distinguished difference between early-covering 521 crops (winter wheat, winter rapeseed, winter barley) and late-covering crops (corn, silage 522 maize, sugar beet) in central Europe caused impacts on simulated surface energy fluxes 523 524 and temperature in the Noah-MP model, the higher LAI led to an increase in LH, decreased in SH and eventually surface cooling in May-September (Bohm et al. 2020). 525 The Agro-IBIS model was used to study the impacts on surface energy balance of 526 advanced corn sowing date (10 days): Early sowing means earlier development and 527 senescence of LAI, causing stronger disparity of LH than R_n with bigger LAI and 528 probably a slight cooling of T_a in June (Sacks and Kucharik 2011). Similar conclusions 529 were presented based on simulated T_c results with modified SiBcrop. 530

531

532 **5 Conclusions**

The dynamics of winter wheat LAI and T_c under two sowing date scenarios were simulated by the SiBcrop model in the NCP, and the T_c disparity between the two scenarios was explained by the surface energy balance. The findings include:

536 (1) Earlier sowing date of winter wheat had higher LAI than later sowing date.

537 (2) The T_c disparity between EP and LP is divided into two periods: warming effect 538 in the dormancy period, and cooling effect in the active growth period.

(3) Surface energy balance can interpret the climate feedback mechanism of sowing
date, that is, the dominated role of albedo-radiative process in the dormancy period is
surpassed by *LH* partitioning-non-radiative process in the growth period.

542 (4) The responses of LAI and T_c to sowing date at station scale were divergent: controlled by T_a in the dormancy period, and influenced by P and T_a in the growth period. 543 The study had some shortcomings. The single model simulation was highly 544 dependent on the structure and parameterization scheme of the model. The climate 545 feedback was reflected by the canopy temperature. In the SiBcrop model, the spatial 546 547 distribution of stations was not fully considered in the determination of sowing date, which resulted in too early or too late sowing at some stations. Nevertheless, the study 548 highlighted the divergent climate feedbacks on winter wheat dormancy as affected by 549 sowing date. The simulation error of sowing date in land surface models is commonly 550 higher than 10 days (Song et al. 2013; Chen et al. 2020), which may produce detectable 551 climate effect especially in northern winter and then misestimate the variation of 552 minimum temperature. The crop management changes as a potential way should be 553 considered in mitigating climate warming. In the cold dry north, delayed sowing and 554 555 reduced irritation would alleviate the temperature increase in winter, whereas in south with better hydrothermal conditions, enhanced vegetation coverage would be beneficial. 556

- 557
- 558 Acknowledgments

This study is supported by the National Natural Science Foundation of China (41801020).

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