



# Drivers of the spatial phytoplankton gradient in estuarine-coastal systems: generic implications of a case study in a Dutch tidal bay

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**Abstract.** As the primary energy and carbon source in aquatic food webs, phytoplankton generally display spatial heterogeneity due to the complicated biotic and abiotic controls, but our understanding of its causes is challenging as it involves multiple regulatory mechanisms. We applied a combination of field observation, numerical modeling, and remote sensing to display and interpret the spatial gradient of phytoplankton biomass in a Dutch tidal bay (the Oosterschelde) on the east coast of the North Sea. The 19-year (1995–2013) monitoring data reveal a seaward increasing trend in chlorophyll a concentrations during the spring bloom. Using a calibrated and validated three-dimensional hydrodynamic-biogeochemical model, two idealized model scenarios were run, switching off the suspension feeders and halving the open-boundary nutrient and phytoplankton loading. Results indicate that bivalve grazing exerts a dominant control on phytoplankton in the bay and that the tidal import mainly influences algal biomass near the mouth. Satellite data substantiate the roles of benthic grazing and tidal import. Based on a literature review, the spatial phytoplankton gradients in global estuarine-coastal ecosystems are classified into five types: seawards increasing, seawards decreasing, concave with a chlorophyll maximum, weak spatial gradients, and irregular patterns. We highlight the temporal variability of these spatial patterns and the importance of anthropogenic and climatic perturbations.

## 1 Introduction

As the most important energy source in aquatic systems, phytoplankton account for 1% of the global biomass but create around 50% of the global primary production (Boyce et al., 2010). Located at the land-ocean interface, estuarine-coastal systems, including estuaries, bays, lagoons, fjords, river deltas, and plumes, are relatively productive and abundant in phytoplankton (Carstensen et al., 2015). As the basis of the pelagic food web, phytoplankton have an immense impact on the biogeochemical cycles, water quality, and ecosystem services (Cloern et al., 2014). A sound understanding of the spatial variability of phytoplankton is critical for effective assessment, exploitation, and protection of estuarine-coastal ecosystems



but remains a challenge due to the complicated natural and anthropogenic controls (Grangeré et al., 2010; Srichandan et al., 2015).

The standing stock of phytoplankton is a function of sources and sinks that are subject to both biotic and abiotic influences (Lancelot and Muylaert, 2011; Jiang et al., 2015). Phytoplankton growth is regulated by bottom-up factors such as nutrients, light, and temperature (Underwood and Kromkamp, 1999; Cloern et al., 2014), while natural mortality and grazing pressure from zooplankton, suspension feeders, and other herbivores contribute to the loss of phytoplankton biomass (Kimmerer and Thompson, 2014). Physical transport can act as either a direct source or sink, driving algal cells into or out of a certain region (Martin et al., 2007; Qin and Shen, 2017). The hydrodynamic conditions also affect the phytoplankton biomass indirectly. For example, phytoplankton growth is dependent on transport of dissolved nutrients (Ahel et al., 1996); concentrations of light-shading suspended particulate matter (SPM) are tightly associated with tide and wave motion (Soetaert et al., 1994); stratification can considerably reduce the benthic filtration of the surface phytoplankton and change algal sedimentation (Hily, 1991; Lucas et al., 2016).

For these reasons, the phytoplankton distribution in estuarine-coastal systems relies on the spatial patterns of physical, chemical, and biological environmental factors of each system (Grangeré et al., 2010). For example, phytoplankton variability in one semi-enclosed water body can be dominated by terrestrial input (river-dominated), oceanic input (tide-dominated), top-down effects (grazing-dominated), others, or a combination of the above factors. More often, it is the delicate balance of multiple factors that determine phytoplankton gradients. Under high river discharge, phytoplankton growth can be promoted by increasing nutrient input, whereas advective loss and high riverine SPM loading may inhibit algal enrichment (Lancelot and Muylaert, 2011; Shen et al., 2019). In tide-dominated systems, tides can resuspend SPM, negatively impacting phytoplankton, while at the same time bringing regenerated nutrients into the water column, or drive upwelling-induced algal blooms from the coastal ocean into estuaries (Sin et al., 1999; Roegner et al., 2002). Nitrate can support more phytoplankton biomass in microtidal estuaries than in macrotidal estuaries (Monbet, 1992). The relative importance of zooplankton and bivalve grazing on phytoplankton varies spatially (Kromkamp et al., 1995; Herman et al., 1999; Kimmerer and Thompson, 2014). These complexities make it challenging to discern the driving mechanisms of the spatial phytoplankton gradient, and comparative studies of different systems are lacking (Kromkamp and van Engeland, 2010; Cloern et al., 2017).

*In situ* observation, remote sensing, and numerical modeling are common techniques to reveal spatial patterns and detect their biophysical controls (Banas et al., 2007; Grangeré et al., 2010; Srichandan et al., 2015). Shipboard measurements of chlorophyll a (chl-a) provide a precise and dynamic assessment of the phytoplankton variability; however, the temporal (usually monthly) and spatial (usually tens of kilometers) resolutions are limited compared to satellite images and numerical models (Soetaert et al., 2006; Valdes-Weaver et al., 2006; van der Molen and Perissinotto, 2011; Cloern and Jassby, 2012; Kaufman et al., 2017). Remote sensing of chl-a reveals the surface distribution with a sufficiently high spatial resolution and coverage, but only at favorable weather conditions (Srichandan et al., 2015). In comparison, ecological models are capable of representing the interested system at a fine resolution (Friedrichs et al., 2018) and allow testing hypotheses of mechanisms



driving the phytoplankton distribution (Jiang and Xia, 2017, 2018; Irby et al., 2018). As a reliable biophysical model must be based on observational and satellite data (Soetaert et al., 1994; Feng et al., 2015; Jiang et al., 2015), a combination of these approaches is optimal to improve our knowledge of the spatial heterogeneity in estuarine-coastal ecosystems.

In this study, we combined satellite, long-term monitoring, and numerical modeling to investigate the potential drivers of the spatial phytoplankton gradients in a well-mixed tidal bay, the Oosterschelde (SW Netherlands). In this case study, we identified the main environmental drivers of spatial phytoplankton distribution in the bay, and used some sensitivity model tests to quantify the impact of these drivers. Through such a mechanistic investigation into the spatial phytoplankton gradient, our case study was then used as a prototype in comparing spatial phytoplankton gradients among global estuaries and coastal bays. Based on a literature review, five main types of spatial heterogeneity in phytoplankton biomass are identified along with examples and dominant controls.

## 2 The study site

The Oosterschelde is located in the Southwest Delta of the Netherlands (Figure 1). Due to the flood-protection constructions named Delta Works since the 1980s, the delta region has changed from an interconnected water network to individual water basins isolated by dams and sluices (Ysebaert et al., 2016). The confluence of the Rhine and Meuse Rivers flow into the North Sea through a narrow channel (Figure 1) with a combined discharge of over 2000 m<sup>3</sup>/s (Ysebaert et al., 2016). The Westerschelde (Figure 1) is the only remaining estuary in the delta region covering fresh to saline waters (Ysebaert et al., 2016). With the freshwater input reduced to a negligible level compared to the tidal prism, the Oosterschelde has been filled with saline water (salinity 30–33), lost characteristics of an estuary, and developed into a tidal bay (Nienhuis and Smaal, 1994; Wetsteyn and Kromkamp, 1994). The northernmost end in the northern branch has salinities fluctuating between 28.5 and 30.5, caused by small freshwater inflow through the Krammer sluice. As part of the Delta Works, a partially-open storm surge barrier was implemented at the mouth of the Oosterschelde, which is occasionally closed during severe storms. Since then, the tidal basin still experiences a semi-diurnal tidal regime, but the average tidal range has been reduced by ~13% to 2.5–3.4 m from the west to east, the tidal flat area was reduced, and current velocity decreased (Nienhuis and Smaal, 1994; Vroon, 1994). In the post-barrier decades, the entire basin has been dominated by the tidal exchange with the North Sea, causing net import of phytoplankton biomass and seston; the residence time of the bay ranges 0–150 days from the western to eastern ends (Jiang et al., 2019).

The phytoplankton composition in the Oosterschelde has also changed since the Delta Works: the previously dominating diatoms have decreased, while the small flagellates and weakly silicified diatoms became more abundant, especially in summer (Bakker et al., 1994). The annual cycle of phytoplankton biomass is characterized by a spring bloom and a much weaker late summer peak (Wetsteyn and Kromkamp, 1994). The Oosterschelde is extensively used for aquaculture of Pacific oysters (*Crassostrea gigas*) and blue mussels (*Mytilus edulis*) in the past decades, and their annual productions are approximately 3 and 20–40 kt fresh weight, respectively (Smaal et al., 2009; Wijsman et al., 2019). Oysters,



mussels, and wild cockles (*Cerastoderma edule*) are the main benthic suspension feeders in the basin (Figure 1). Strong pelagic-benthic coupling has been reported for the Oosterschelde ecosystem: benthic filtration very likely accounts for the declining annual primary production, while phytoplankton growth is stimulated by bivalve-regenerated nutrients (Smaal et al., 2013). In addition, abundant benthic suspension feeders make the Oosterschelde an important feeding ground and international conservation zone for wading birds (Tangelder et al., 2012).

### 3 Methods

#### 3.1 Field observations

From 1995 to 2013, the Royal Netherlands Institute for Sea Research (NIOZ) conducted shipboard monitoring of the Oosterschelde on a biweekly to monthly basis. The monitoring campaign routinely collected water samples at eight stations in the basin (OS1–OS8, Figure 2) for nutrient measurements and filtered them for measurements of chl-a and SPM. The Dutch government agency Rijkswaterstaat (RWS) has monitored nutrients and chl-a in the Oosterschelde at different locations (e.g., RWS1–RWS4, Figure 2), and these monthly data are freely accessible on the RWS data portal (<https://waterinfo.rws.nl>). Compared with the NIOZ data, the RWS data include two offshore stations RWS1 and RWS2 (Figure 2). Since the study region is mostly well-mixed (Wetsteyn and Kromkamp, 1994), both datasets used surface samples to represent the water column at each station.

Primary production was estimated by  $^{14}\text{CO}_2$  uptake ( $\text{mg-C h}^{-1}$ ) during two-hour incubation experiments in 2010, by the C14 method. A *PI*-curve linking irradiance ( $I$ ,  $\mu\text{mol-photon m}^{-2} \text{s}^{-1}$ ) to the chl-a normalized C-fixation rate ( $P$ ,  $\text{mg-C mg-chl-a}^{-1} \text{h}^{-1}$ ) was mathematically represented by a maximum C-fixation rate ( $P_m$ ,  $\text{mg-C mg-chl-a}^{-1} \text{h}^{-1}$ ), an initial slope of the curve ( $\alpha$ ,  $\text{mg-C mg-chl-a}^{-1} \text{h}^{-1} / \mu\text{mol-photon m}^{-2} \text{s}^{-1}$ ) and the irradiance at which  $P_m$  was optimal ( $I_{opt}$ ,  $\mu\text{mol-photon m}^{-2} \text{s}^{-1}$ ) according to the model of Eilers and Peeters (1988). Light attenuation was measured in the field to estimate the light extinction coefficient  $K_d$  and generate the light attenuation curve  $I_z = I_0 \exp(-zK_d)$ , where  $I_0$  and  $z$  are surface light intensity and water depth, respectively. With the hourly photosynthetically active radiation (PAR) measured at the NIOZ as  $I_0$ , the hourly PAR ( $I_z$ ) throughout the water column was computed. For a full description, see Kromkamp and Peene (1995). Then, with  $P_m$ ,  $\alpha$ ,  $I_{opt}$ , and  $I$  available, the hourly photosynthetic rate at each water depth ( $P_z$ ) was calculated and integrated over depth to obtain the primary production of the entire water column and during the whole day. We used the measured values without estimating the respiratory losses as respiration will not affect the N-content of the algae. In a short incubation time, the C14 method is often thought to reflect gross primary productivity (*GPP*). However, results by Halsey et al. (2010, 2013) showed that even a 30 min C14 incubation experiment can reflect *GPP* at low growth rates and net primary productivity (*NPP*) at high growth rates. Hence, as during the main growing seasons growth rates are generally high (Underwood and Kromkamp, 1999), we assume that our C14-method reflects *NPP* measurements.



### 3.2 Numerical modeling

A three-dimensional hydrodynamic-biogeochemical model GETM-FABM (General Estuarine Transport Model coupled with the Framework for Aquatic Biogeochemical Models) was applied in a two-year (2009–2010) simulation to identify drivers of spatial phytoplankton dynamics in the Oosterschelde. GETM and FABM are open-source models, available from websites <https://getm.eu/> and <https://github.com/fabm-model/fabm>. The model was implemented on a 300 m × 300 m rectangular grid with 10 equally-divided vertical layers, covering the Oosterschelde and part of the North Sea (Figure 1). The hydrodynamic model using GETM version 2.5.0 is driven by realistic meteorological forcing (winds, irradiance, air pressure, etc.) and tides and the output water level, temperature, salinity, and current velocity are calibrated and validated with observational data (Jiang et al., 2019). Jiang et al. (2019) provide a detailed description of the GETM setup and model verification.

The biogeochemical model is coupled online with GETM on the FABM platform (Bruggeman and Bolding, 2014). The physical and biogeochemical simulations were conducted simultaneously with a time step of 8 s. In each time step, GETM provides FABM with the environmental variables, such as temperature, water elevation, and irradiance. The transport and mixing of nutrients and plankton biomass is represented by the same equation as that of salinity except that phytoplankton sink at a speed of 0.2 m per day.

Our biogeochemical model is nitrogen-based and consists of a pelagic and benthic module (Figure 3). The pelagic module is a typical NPZD framework comprising state variables Nutrient (DIN, dissolved inorganic nitrogen), Phytoplankton, Zooplankton, and Detritus (unit: mmol nitrogen m<sup>-3</sup>), while the benthic variables in mmol nitrogen m<sup>-2</sup> include benthic detritus, microphytobenthos, and the three dominant bivalve species in the Oosterschelde: mussels, oysters and cockles. The main formulations, variables, and parameters are summarized in Tables 1 and 2. The climatological data in December and January averaged using the 19-year observations is used as the initial model condition. The shellfish distribution (see Figure 1) and annual biomass in 2009 and 2010 are estimated by Wageningen Marine Research (Smaal et al., 2013; Jiang et al., 2019). The model output is compared with available observational DIN, chl-a, and *NPP* described in Section 3.1. Given that *NPP* was measured by carbon-based methods, the nitrogen-based simulation results were converted to carbon using the Redfield ratio (C:N = 6.625). Phytoplankton biomass was measured in Chlorophyll units. We have prescribed a Chl:N ratio (Chl:N = 2) to compare our model output to the chlorophyll data.

In order to investigate the roles of coastal influx and benthic grazing in shaping the spatial phytoplankton patterns in the basin, we conducted two idealized numerical scenarios in addition to the realistic (baseline) run. One scenario is halving the DIN concentration and phytoplankton biomass at the open boundary (i.e., the North Sea, Westerschelde and Rhine rivers, see Figure 1). The other scenario switched off the bivalve state variables.



### 3.3. Satellite remote sensing

A clear sky Sentinel-2 MSI (10 m spatial resolution) satellite image of 11 May 2018 (10:55 UTC) for tile 31UET was downloaded as level 1C data from the Copernicus Sentinel hub (<https://scihub.copernicus.eu>). The Acolite processor (version Python 20190326.0) developed by RBINS (Vanhellemont and Ruddick, 2016) was applied using default settings to correct for atmospheric (aerosol) effects based on a dark spectrum fitting (Vanhellemont and Ruddick, 2018; Vanhellemont, 2019), to flag clouds and land, and to retrieve L2W water quality parameters from water reflectance, i.e., concentrations of chl-a and SPM. Chl-a was retrieved using the red edge algorithm defined by Gons et al. (2002) with a mass specific chl-a absorption set to 0.015. SPM was retrieved using the (red band) algorithm defined by Nechad et al. (2010) and recalibrated in 2016 for Sentinel-2 MSI. Data were extracted in the Sentinel Application Platform (SNAP version 7.0.0) and converted to GeoTIFF for further processing in ArcGIS. The satellite image was acquired during high water: water level at Rijkswaterstaat tide gauge station Stavenisse (<https://waterinfo.rws.nl/%20/nav/index>) was +1.12 m NAP incoming tide during overpass. A Sentinel-2 MSI image of 21 April 2019 (10:56 UTC) was acquired during low water conditions (i.e., -1.58m NAP incoming tide), and processed in the same way. “Land” flags obtained from this low water image were used to further flag shallow waters (i.e., the inundated tidal flats) in the highwater image, to avoid potential bottom reflectance.

## 4 Results

### 4.1 Field observations

The 19-year chl-a time series illustrates the seasonal pattern of phytoplankton biomass in the Oosterschelde (Figure 4). The spring bloom takes place in March or April during conditions of favorable temperature, light, and nutrients and lasts less than a month. The peak biomass varies dramatically interannually, with smaller peaks during different months, especially in 2010. Due to nutrient limitation, the summer biomass stays mostly below  $10 \text{ mg m}^{-3}$ . Temperature and light constrain algal growth in winter, when nutrients accumulate and phytoplankton biomass falls below  $3 \text{ mg m}^{-3}$ .

To better display the spatial chl-a gradients, the time series is zoomed in to four years 2007–2010 (Figure 5). A decreasing chl-a gradient from the mouth (OS1) to head (OS8) of the basin is observed mainly during the spring bloom, whereas the spatial phytoplankton gradient is not as pronounced in summer and winter (Figure 5a). The station RWS1 that is close to the mouth of the Westerschelde estuary usually has a higher chl-a concentration than further offshore (RWS2) and in the Oosterschelde (RWS3 and RWS4) (Figure 5b). Despite interannual variability in the timing of the bloom and different sampling time every year, the period March to May mostly covers the initiation, development, and wane of the spring bloom. The 19-year average phytoplankton biomass during this season demonstrates a clear gradient in the bay and adjacent coastal sea (Figure 2). The chl-a decreases from the Westerschelde plume region (RWS1) offshore (RWS2) and further into the eastern and northern ends of the Oosterschelde (Figure 2).



## 4.2 Numerical modelling

The model results compared to observed concentrations of DIN and chl-a in a two-year simulation are shown in Figure 6. Most DIN consumption happens during the spring bloom, and the regenerated DIN accumulates over winter until the next bloom sets off. The simulated chl-a during the bloom demonstrates the same gradient between the western and eastern bay as observed (OS1 > OS3 > OS8, Figures 6d–6f). The model skill is quantified by correlation coefficients ( $CC = 0.94$  for DIN and  $0.81$  for chl-a) and root mean square errors ( $RMSE = 6.0 \text{ mmol m}^{-3}$  for DIN and  $3.9 \text{ mg m}^{-3}$  for chl-a) between simulation and observation. Despite capturing the major seasonal and spatial patterns, the model seems to miss some details such as overestimating the recycled DIN at OS8 and showing a slower collapse of spring blooms than observed. Meanwhile, the daily time series of the model output exhibits spring-neap biweekly fluctuations (Figure 6) that cannot be substantiated by the observations owing to a low sampling frequency.

The modeled *NPP*, the product of phytoplankton biomass and growth rate, is in general agreement with the measurements (Figure 7, black line). Before the bloom, both phytoplankton biomass and growth rate are low, resulting in a low *NPP*. The fast-growing period, around Day 100, triggers the increase in biomass that leads to the bloom. In the low-biomass post-bloom summer (Figure 6), both modeled and measured *NPP* is only slightly lower than that in the bloom (Figure 7), indicating that the growth rate is still high. This growth rate is mainly fueled by regenerated nutrients, while the low biomass results substantially from grazing. The model overestimated the recycled DIN at OS8 in fall 2010 (Days 600–650 in Figure 6a), which explains the overestimation of *NPP* in this period (Days 235–285 in Figure 7a). The observed and simulated *NPP* at OS8 is generally higher than that at OS2 (Figure 7), in contrast to the chl-a, which is higher at OS2 (Figure 2).

The calibrated and validated model was used to map the 15-day average chl-a during the peak bloom in 2009 (Figure 8). The North Sea exhibits significantly higher algal biomass than the Oosterschelde (Figure 8). Inside the bay, phytoplankton biomass is clearly low over the shellfish-colonized area (compare Figures 1 and 8). The north-south and east-west chl-a gradients observed in field monitoring data are reproduced in the model results (Figures 2 and 8).

When switching off bivalve activities, the modeled phytoplankton biomass significantly increases, especially at the eastern station OS8 (Figure 9). At this station, the chl-a during the bloom is nearly tripled, it doubled at OS3 and increased by 20% at OS1, respectively (Figure 9). The west to east spatial chl-a gradient is weakened in spring and even reversed in summer, i.e., concentrations decrease seawards (Figure 9). Remarkably, without bivalves, the summer *NPP* at OS8 is not greatly affected (Figure 7a) despite increased algal biomass, which implies a reduction in the growth rate ( $DinUpt$  in Equation (2), Table 1). Given the unchanged light and temperature in the no-bivalve scenario, the reduced growth rate results from diminished nutrient regeneration. The summer *NPP* at OS2 is increased when bivalves are turned off (Figure 7b), which is a consequence of increased phytoplankton biomass.

Halving the DIN and phytoplankton loading from the North Sea hardly has an influence on the *NPP* in the Oosterschelde (Figure 7). This indicates that allochthonous coastal nutrients are not a major source of inner-bay primary



production, which relies mainly on recycling. With halved coastal import, the modeled peak phytoplankton biomass is nearly halved at OS1, but the reduction is lower at OS3 (~35%) and OS8 (~20%) (Figure 9). Therefore, tidal import has its impact mainly exclusively near the bay mouth during the bloom. This contrasts to the benthic bivalves that exert grazing pressure all over the bay and stimulates primary production by replenishing inorganic nutrients into the water column, the latter process being crucial in nutrient-depleted seasons.

### 4.3 Satellite remote sensing

Remote sensing images are utilized to complement the spatial patterns shown in observational and modeling data. In an attempt to find images during the spring bloom and high tide (to avoid interference from bottom reflectance), we only found one post-bloom snapshot under clear sky (Figure 10a). This provides additional insight into the observed and modeled spatial chl-a pattern. On 11 May 2018, the chl-a concentration was highest in the central basin and reduced eastwards and northwards into the highly bivalve-populated areas (Figures 1 and 10a), consistent with the chl-a gradient described in Sections 4.1 and 4.2. However, the post-bloom chl-a concentration was low in the North Sea so that low import was shown in the southwestern bay near the mouth (Figure 10a). Such a spatial chl-a pattern with higher concentrations in the central basin (Figure 10a) is often present in the model results. For example, in the post-bloom period in 2008 and 2009, the chl-a concentration at OS3 is higher than OS1 and OS8 at times (Figure 5a). Likewise, in a post-bloom model snapshot during high tide on 1 May 2010 (Figure 10b), the phytoplankton distribution exhibits a similar spatial gradient as in Figure 10a.

## 5 Discussion

The approaches applied in this case study including field observation, numerical modeling, and satellite remote sensing each have their drawbacks. The monitoring data is not frequent enough to capture the peak bloom that lasts only a couple of weeks and misses details in spatial distribution between stations. The temporal resolution of the satellite data is even lower, but the spatial detail is very high. The model, while resolving spatial and temporal scales at a high resolution, is based on simplified assumptions. The NPZD model considers nitrogen only and assumes no phosphorus or silicon limitation in phytoplankton growth. In late spring, phosphorus or silicon may become limited in the Oosterschelde (Smaal et al., 2013; Wetsteyn and Kromkamp, 1994). This likely explains the faster DIN consumption in the simulated data compared to the observation (Figures 6a–6c). Additionally, our model does not account for the shellfish harvest, mostly in late summer, which can contribute to the overestimation of the regenerated DIN and hence NPP, especially in the eastern part (e.g., Figures 6a and 7a). Despite these simplifications and limitations, the approaches complement each other in the spatiotemporal resolution and coverage and offer insight into the phytoplankton distribution in the Oosterschelde, as well as the underlying mechanisms.

Grazing by filtration feeders is found to be the dominant factor shaping the spatial and seasonal phytoplankton patterns in the Oosterschelde. In the eastern and northern bay, the residence time is relatively long (>100 days, Jiang et al.,



2019), and the water column is well mixed, which creates favorable feeding conditions for suspension feeders (Hilly, 1991). Thus, over and near the shellfish habitat, the phytoplankton biomass is usually low, even during the bloom (Figures 1 and 8). Smaal et al. (2013) attributed the decline of the annual primary production and chl-a concentration in the Oosterschelde to overgrazing, as found in the Bay of Brest (Hilly, 1991) and many Danish estuaries (Conley et al., 2000). Our findings support the predominant top-down control on phytoplankton distribution and standing stocks (Figure 9), as well as on primary production, particularly in the post-bloom seasons (Figure 7b). It has been shown that a recruitment failure of mussels and cockles promotes primary production and algal accumulation in the Dutch Wadden Sea (Beukema and Cadée, 1996), consistent with our numerical experiment removing bivalves. Although bivalves accelerate nutrient remineralization, this positive feedback on phytoplankton growth does not compensate for the grazing loss. Optimization of the bivalve stock size and culture locations based on these scientific insights could enhance phytoplankton proliferation and increase the shellfish carrying capacity.

Compared to benthic feeding, tidal import mainly influences the phytoplankton biomass in the southern channel near the mouth. The Southern Bight of the North Sea, and more particular the water in river plumes (e.g., Westerschelde and Rhine plumes), is relatively productive, compared to other shelf seas (van der Woerd et al., 2011). The spring bloom in the Oosterschelde is usually not as strong as in the adjacent North Sea (Figures 2 and 8), so that tidal import of phytoplankton from the North Sea sets the upper limit of the phytoplankton in the Oosterschelde (Figure 9).

## 6 Synthesis

The Oosterschelde represents a land-ocean transitional system that is shallow, dynamic, and driven by pelagic-benthic coupling and exchange with the sea. The grazing pressure increases into the bay because of increasing bivalve biomass and residence time, while the North Sea, having higher phytoplankton biomass is a phytoplankton source. As a result, the phytoplankton concentration during the spring bloom consistently declines from the seaward to the landward end. When halving the nutrient and algal loading from the North Sea, the phytoplankton gradient in spring is not as pronounced, although still decreasing toward the landward end (Figure 11). Without the grazing sink however, the phytoplankton distribution tends to be spatially uniform (Figure 11). Given the temporal variability of dominant environmental factors, the phytoplankton gradient also changes over time. In the post-bloom period for instance, chl-a may exhibit a central maximum, or it may exhibit a constant concentration in winter. This shows that the spatial gradient of phytoplankton biomass in estuarine-coastal systems depends on the relative importance of the main drivers of phytoplankton accumulation. Based on a literature review, we can roughly divide estuarine-coastal systems into five major types (Figure 12).

Type I systems exhibit increasing phytoplankton biomass from the landward to seaward ends (Figure 12). Such a simple gradient can be ascribed to an increasing source from the seaside, an increasing sink landwards, or more favorable growth conditions towards the sea. The Oosterschelde is a typical example with Type I phytoplankton distribution during the spring bloom (Figure 8), shaped by both marine input and increasing benthic filtration landward. The seawards increasing



gradient is also common in estuaries and bays open to coastal upwelling zones (e.g., the Rías Baixas of Galicia and Tomales Bay), where algal blooms generated during upwelling events are transported into bays via multiple physical mechanisms including tidal stirring and gravitational and wind-driven circulation (Figueiras, et al., 2002; Hickey and Banas, 2003; Martin et al., 2007). In contrast, phytoplankton in the Chilika Lagoon is mostly light-limited due to the massive riverine sediment loading. Here it is a seaward increase in water transparency that leads to increasing chl-a concentrations (Srichandan et al., 2015). Hence, the same type of phytoplankton gradient may be driven by distinct mechanisms in various systems.

Phytoplankton biomass decreasing in the seaward direction is named Type II (Figure 12). A typical example is the Scheldt River and Westerschelde Estuary, a eutrophic and turbid estuary with salinity ranging 0–30 (Soetaert et al., 2006). Numerical models and field observation reveal that the chl-a concentration is highest in the tidal fresh portion, reduces sharply between salinity 5–10, and maintains at a lower level towards the polyhaline region (Soetaert et al., 1994; Kromkamp et al., 1995). The high phytoplankton biomass in the upper reach is a result of tributary import, high nutrient levels, and lack of zooplankton grazers, whereas the increasing salinity stress on the freshwater species and grazing pressure in the mesohaline zone suppress the phytoplankton proliferation (Soetaert et al., 1994; Kromkamp and Peene, 1995; Muylaert et al., 2005). Similar seawards decreasing phytoplankton gradient is also found in many river and estuarine plumes (Figure 12), where the nutrient gradient controls the phytoplankton distribution (Gomez et al., 2018; Jiang and Xia, 2018).

A chl-a maximum zone (CMZ) occurs in many estuaries with substantial freshwater input and we classify it as Type III (Figure 12). Taking the Chesapeake Bay as an example, the upper bay is characterized by high terrestrial sediment concentrations and strong light limitation for phytoplankton growth (Son et al., 2014). A turbidity maximum zone is located near the front of salt intrusion (North et al., 2004). Beyond this location, the CMZ appears in the middle reach (Jiang and Xia, 2017), while nitrogen limitation is constantly detected in the lower bay (Miller and Harding, 2007). The CMZ is a combined consequence of the optimal light conditions and abundant terrestrial nutrients, and the CMZ location and coverage shift with river discharge and weather (Fisher et al., 1988; Miller and Harding, 2007). In some other estuaries with a CMZ (e.g., the Neuse-Pamlico estuary and York River), owing to a narrow river channel and high discharge, the flushing rate in the upper estuary can be faster than the phytoplankton turnover rate, which, rather than light, limits phytoplankton accumulation (Sin et al., 1999; Valdes-Weaver et al., 2006). In these systems, the CMZ is always in wider reaches with sufficiently long residence time (Valdes-Weaver et al., 2006).

If the transport loss is higher than the growth rate in the entire basin, the phytoplankton biomass is low everywhere and negatively correlated with the flow velocity (Type IV, Figure 12). The Hudson River estuary is one of such estuaries with high nutrient loading but low and hardly spatially variable chl-a (Howarth et al., 2000). After the colonization of the invasive zebra mussel (*Dreissena polymorpha*) in the 1990s, grazing and transport losses are two dominant sink terms maintaining a low basin-wide phytoplankton standing stock in the estuary (Strayer et al., 2008). Similarly, due to the invasive clam *Potamocorbula amurensis*, the San Francisco Bay witnessed a five-fold drop in chl-a and the suppression of zooplankton, and higher trophic levels (Cloern and Jassby, 2012; Lucas et al., 2016). The phytoplankton gradient has



changed from Types III to IV (Cloern et al., 2017). Hence, the Type IV phytoplankton gradient is generally associated with strong sink factor(s) distributed all over the system.

The dominant sink (or source) factor is not always distributed uniformly nor does it follow consistent gradients in estuarine-coastal systems. For instance, in the Baie des Veys estuary, benthic grazing by cultivated oysters results in an area of low chl-a concentrations over the oyster bed, and this patch of low chl-a is imposed onto a seawards decreasing chl-a gradient, forming an irregular spatial pattern (Grangeré et al., 2010). In the Krka estuary, an untreated sewage discharge acts as a DIN point source, increasing the phytoplankton production downstream. Without the point source, phytoplankton seem to follow a Type II gradient (Ahel et al., 1996). In the St. Lucia estuary, controls of primary production include nutrient stoichiometry, temperature, irradiance, and hydrological changes which all vary in different sub-regions and render complex spatial heterogeneity in phytoplankton distribution (van der Molen and Perissinotto, 2011). Herein, we summarize all irregular phytoplankton patterns into Type V (Figure 12).

## 7 Summary

In the Oosterschelde, a tidal bay along the North Sea, we detect a seaward increasing phytoplankton gradient in the two-decade monitoring data. This spatial chl-a pattern was also reproduced with a nitrogen-based NPZD model calibrated and verified with observational data. In an effort to understand the main drivers of such a phytoplankton gradient, two experimental model runs were performed: switching off bivalve filtration and halving the nutrient and phytoplankton concentrations in the North Sea boundary, respectively. Results indicate that the landward increasing benthic grazing pressure is the primary cause of the spatial phytoplankton gradient, while import from the North Sea tends to strengthen the gradient. The satellite image implies that tidal import is mainly influential in the southwestern bay. With the variation of these two drivers, the spatial phytoplankton distribution varies seasonally.

In a synthesis of the literature, we made an inventory of how the spatial phytoplankton gradient in estuarine-coastal systems is shaped by the distribution of the main environmental drivers. Five types of spatial phytoplankton patterns are identified: seawards increasing, seawards decreasing, concave with a chlorophyll maximum, weak spatial gradients, and irregular patterns. It can be inferred from the temporal variation of spatial phytoplankton heterogeneity in the Oosterschelde that processes over event (e.g., tropical cyclones), seasonal (e.g., monsoon), interannual (e.g., wet vs. dry years), and decadal (e.g., climate change) scales can extensively change the structure of the lower food. Through this study and the review of other systems, we also underline the role of phytoplankton as an ecosystem indicator of anthropogenic (e.g., aquaculture, invasive species) and climatic (e.g., global warming) disturbance.



## Code and data availability

The codes for GETM and FABM models are open-access on <https://getm.eu/> and <https://sourceforge.net/projects/fabm/>, respectively. The RWS observational data is accessible on <https://www.rijkswaterstaat.nl/water>. The NIOZ monitoring data is archived on the NIOZ data repository and available upon request. The satellite data can be downloaded from the Copernicus Sentinel hub (<https://scihub.copernicus.eu>).

## Author contributions

LJ ran the simulations, analyzed the results, and initiated the writing of the manuscript. TG and KS provided guidance and important insights into data interpretation. JCK measured the primary production. DvdW analyzed the satellite data. JCK and DvdW offered important insight into the phytoplankton dynamics. PMCDLC and KS built a 1D NPZD model as a basis of the 3D setup. All authors participated in the writing and editing of the manuscript.

## Competing interests.

No competing interests are present.

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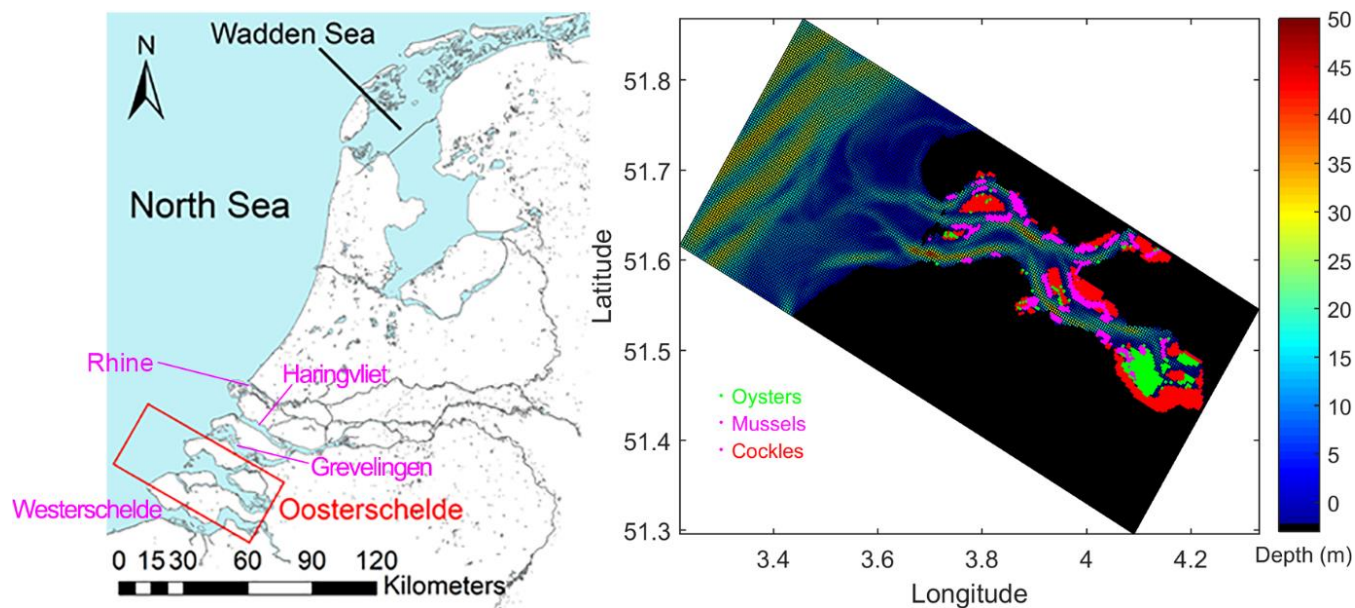
**Table 1: Formulations in the biogeochemical model in this study. Parameters and variables in each equation are described in Table 2.**

$T_{fac} = Q_{10}^{(T-T_{ref})/T}$	(1)
$DinUpt = maxUpt \cdot T_{fac} \cdot \frac{DIN}{DIN + ksDIN} \cdot \frac{PAR}{PAR + ksPAR} \cdot PHY$	(2)
$ZooGrz = maxGrzZoo \cdot T_{fac} \cdot \frac{PHY}{PHY + ksGrzZoo} \cdot ZOO$	(3)
$ZooGro = (1 - pFaeZoo) \cdot ZooGrz$	(4)
$ZooExc = excRZoo \cdot T_{fac} \cdot ZOO$	(5)
$ZooMor = morRZoo \cdot T_{fac} \cdot ZOO \cdot ZOO$	(6)
$Min = minR \cdot T_{fac} \cdot DET$	(7)
$BotMin = minR \cdot T_{fac} \cdot BDET$	(8)
$SinDet = sinRDet \cdot DET$	(9)
$SinPhy = sinRPhy \cdot PHY$	(10)
$BivGrz_{i=1,2,3} = maxClr_i \cdot T_{fac} \cdot BIV_i \cdot (PHY + ZOO + DET)$	(11)
$BivGro_{i=1,2,3} = (1 - pRspBiv_i) \cdot (1 - pFaeBiv_i - pPsfBiv_i) \cdot BivGrz_i$	(12)
$BivExc_{i=1,2,3} = excRBiv_i \cdot T_{fac} \cdot BIV_i$	(13)
$MpbDinUpt = maxUptMpb \cdot T_{fac} \cdot \frac{DIN}{DIN + ksDINMpb} \cdot \frac{PAR}{PAR + ksPARMpb} \cdot MPB$	(14)
$MpbMor = morRMpb \cdot T_{fac} \cdot MPB \cdot MPB$	(15)
$dDIN/dt = Min + ZooExc - DinUpt + [(1 - pLos)BotMin + BivExc + \sum_{i=1,2,3} pRspBiv_i BivFrz_i - DinUptMpb]/z$	(16)
$dPHY/dt = DinUpt - ZooGrz - (SinPhy + \sum_{i=1,2,3} maxClr \cdot T_{fac} \cdot BIV_i \cdot PHY)/z$	(17)
$dZOO/dt = ZooGro - ZooExc - ZooMor - \sum_{i=1,2,3} maxClr \cdot T_{fac} \cdot BIV_i \cdot ZOO /z$	(18)
$dDET/dt = ZooMor + pFaeZoo \cdot ZooGrz - Min - (SinDet + \sum_{i=1,2,3} maxClr \cdot T_{fac} \cdot BIV_i \cdot DET)/z$	(19)
$dBDET/dt = SinDet + SinPhy - BotMin + \sum_{i=1,2,3} (pFaeBiv_i + pPsfBiv_i) \cdot BivGrz_i + MpbMor$	(20)
$dBIV/dt = BivGro - BivExc$	(21)
$dMPB/dt = MpbDinUpt - MpbMor$	(22)



**Table 2: Main variables (bold) and parameters (underlined, followed by values) in equations in Table 1. The parameter values are based on ranges in prior literature (Soetaert et al., 2001; Jiang et al., 2017; Wijsman and Smaal, 2017) and tuned for our application.**

$T_{fac}$ , temperature factor, dimensionless; $T$ , <i>in situ</i> temperature, °C; $T_{ref} = 10$ °C, reference temperature; $Q_{10} = 2$ , temperature coefficient	(1)
$DIN$ , state variable, dissolved inorganic nitrogen, mmol m <sup>-3</sup> ; $PHY$ , state variable, phytoplankton biomass, mmol m <sup>-3</sup> ; $DinUpt$ , pelagic DIN uptake, mmol m <sup>-3</sup> d <sup>-1</sup> ; $PAR$ , <i>in situ</i> photosynthetically active radiation, μmol-photons m <sup>-2</sup> s <sup>-1</sup> ; $maxUpt = 1.7$ d <sup>-1</sup> , maximum DIN uptake rate; $ksDIN = 1$ mmol m <sup>-3</sup> , half-saturation DIN concentration; $ksPAR = 140$ μmol-photons m <sup>-2</sup> s <sup>-1</sup> , half-saturation PAR	(2)
$ZOO$ , state variable, zooplankton biomass, mmol m <sup>-3</sup> ; $ZooGrz$ , zooplankton grazing, mmol m <sup>-3</sup> d <sup>-1</sup> ; $maxGrzZoo = 0.8$ d <sup>-1</sup> , maximum zooplankton grazing rate; $ksGrzZoo = 0.6$ mmol m <sup>-3</sup> , half-saturation phytoplankton concentration for zooplankton grazing	(3)
$ZooGro$ , zooplankton growth, mmol m <sup>-3</sup> d <sup>-1</sup> ; $pFecZoo = 0.3$ , fraction of zooplankton faeces in total grazing	(4)
$ZooExc$ , zooplankton excretion, mmol m <sup>-3</sup> d <sup>-1</sup> ; $excRZoo = 0.08$ d <sup>-1</sup> , zooplankton excretion rate	(5)
$ZooMor$ , zooplankton mortality, mmol m <sup>-3</sup> d <sup>-1</sup> ; $morRZoo = 0.45$ m <sup>3</sup> mmol <sup>-1</sup> d <sup>-1</sup> , quadratic zooplankton mortality rate	(6)
$DET$ , state variable, pelagic detritus, mmol m <sup>-3</sup> ; $Min$ , DIN regeneration from pelagic detritus, mmol m <sup>-3</sup> d <sup>-1</sup> ; $minR = 0.02$ d <sup>-1</sup> , mineralization rate	(7)
$BDET$ , state variable, benthic detritus, mmol m <sup>-2</sup> ; $BotMin$ , DIN regeneration from benthic detritus, mmol m <sup>-2</sup> d <sup>-1</sup>	(8)
$SinDet$ , detritus sinking, mmol m <sup>-2</sup> d <sup>-1</sup> ; $sinRDet = 1.0$ m d <sup>-1</sup> , sinking rate of detritus	(9)
$SinPhy$ , phytoplankton sinking, mmol m <sup>-2</sup> d <sup>-1</sup> ; $sinRPhy = 0.2$ m d <sup>-1</sup> , sinking rate of phytoplankton	(10)
$BIV_{1,2,3}$ , state variable, biomass of bivalve filter feeding mussels, oysters, and cockles (1–3 denotes these three species hereafter), mmol m <sup>-2</sup> ; $BivGrz_{1,2,3}$ , bivalve grazing rate, mmol m <sup>-2</sup> d <sup>-1</sup> ; $maxClr_{1,2,3} = 0.007, 0.015, 0.0037$ m <sup>3</sup> mmol <sup>-1</sup> d <sup>-1</sup>	(11)
$BivGro_{1,2,3}$ , bivalve growth, mmol m <sup>-2</sup> d <sup>-1</sup> ; $pRspBiv_{1,2,3} = 0.001, 0.003, 0.001$ , bivalve respiration portion in the net assimilation; $pFecBiv_{1,2,3} + pPsfbiv_{1,2,3} = 0.55, 0.39, 0.33$ , fraction of bivalve faeces ( <i>Fec</i> ) and pseudo-faeces ( <i>Psfb</i> ) in total grazing	(12)
$BivExc_{1,2,3}$ , bivalve excretion, mmol m <sup>-2</sup> d <sup>-1</sup> ; $excRBiv_{1,2,3} = 0.0006, 0.0001, 0.001$ d <sup>-1</sup> , bivalve excretion rates	(13)
$MPB$ , state variable, microphytobenthos biomass, mmol m <sup>-2</sup> ; $DinUptMpb$ , microphytobenthic DIN uptake, mmol m <sup>-2</sup> d <sup>-1</sup> ; $maxUpt = 0.75$ d <sup>-1</sup> , maximum microphytobenthic DIN uptake rate; $ksDINMpb = 1$ mmol m <sup>-3</sup> , half-saturation DIN concentration for microphytobenthos; $ksPARMpb = 100$ μmol-photons m <sup>-2</sup> s <sup>-1</sup> , half-saturation PAR for microphytobenthos	(14)
$MpbMor$ , microphytobenthos mortality, mmol m <sup>-2</sup> d <sup>-1</sup> ; $morRMpb = 0.001$ m <sup>2</sup> mmol <sup>-1</sup> d <sup>-1</sup> , quadratic microphytobenthos mortality rate	(15)
$dDIN/dt$ , $dPHY/dt$ , $dZOO/dt$ , $dDET/dt$ , change rates of pelagic variables, mmol m <sup>-3</sup> d <sup>-1</sup> ; $dBDET/dt$ , $dBIV/dt$ , $dMPB/dt$ , change rates of benthic variables, mmol m <sup>-2</sup> d <sup>-1</sup> ; $z$ , thickness of the bottom layer, m	(16–22)



**Figure 1:** Geographic location of the Oosterschelde and the GETM-FABM model grid, domain, and bathymetry. Green, pink, and red dots in the right panel indicate the distribution of three dominant bivalve species in the Oosterschelde, oysters, mussels, and cockles (data source: Wageningen Marine Research).

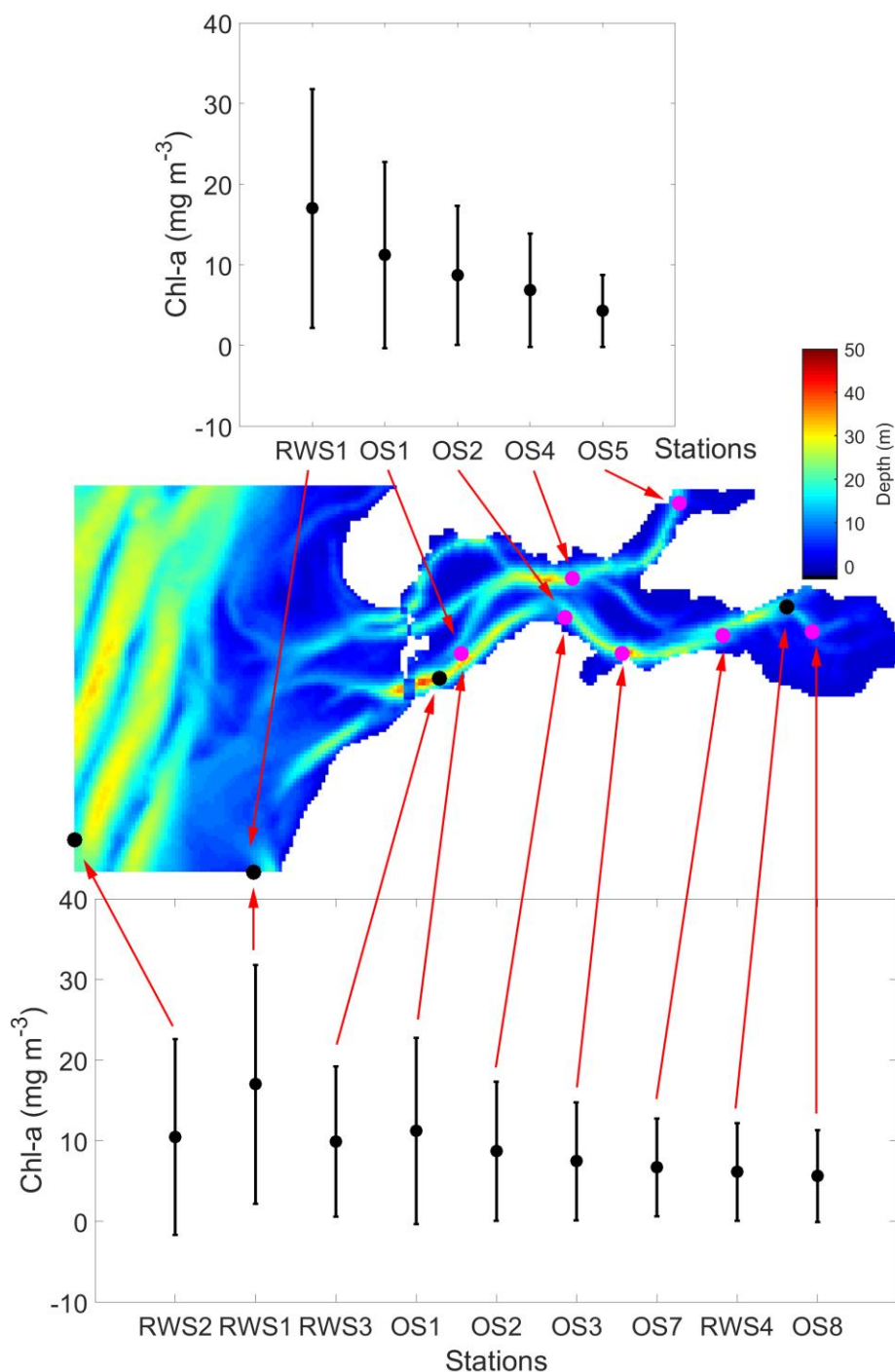


Figure 2: Average spring (March to May) chlorophyll a (chl-a) concentration during 1995–2013 at NIOZ (OS1–OS8) and Rijkswaterstaat (RWS1–RWS4) monitoring stations. The error bars of each stations indicate standard deviations. The map shows bathymetry in the GETM-FABM model domain denoted in Figure 1 and marks all NIOZ and RWS stations within the domain. RWS1–RWS4 in this study are short names for Walcheren 2 km, Walcheren 20 km, Wissenkerke, and Lodijkse Gat in the RWS database, respectively.

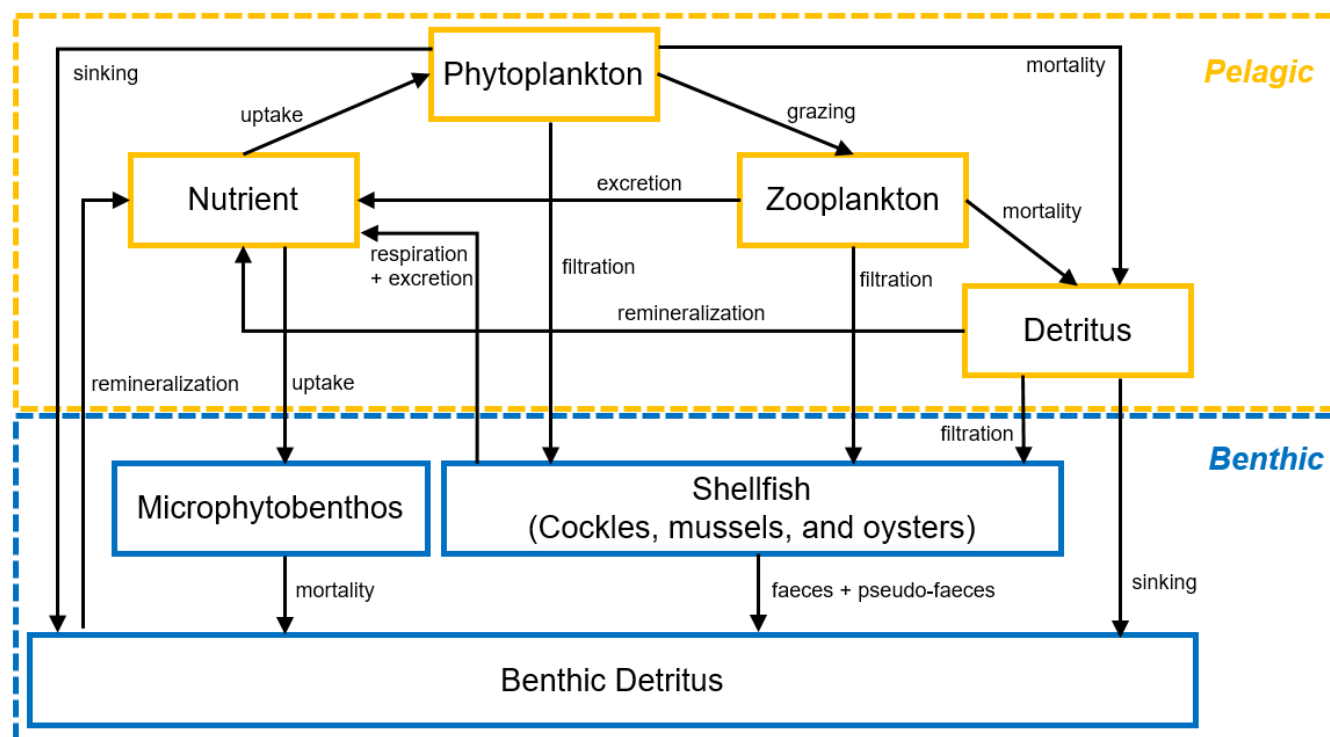
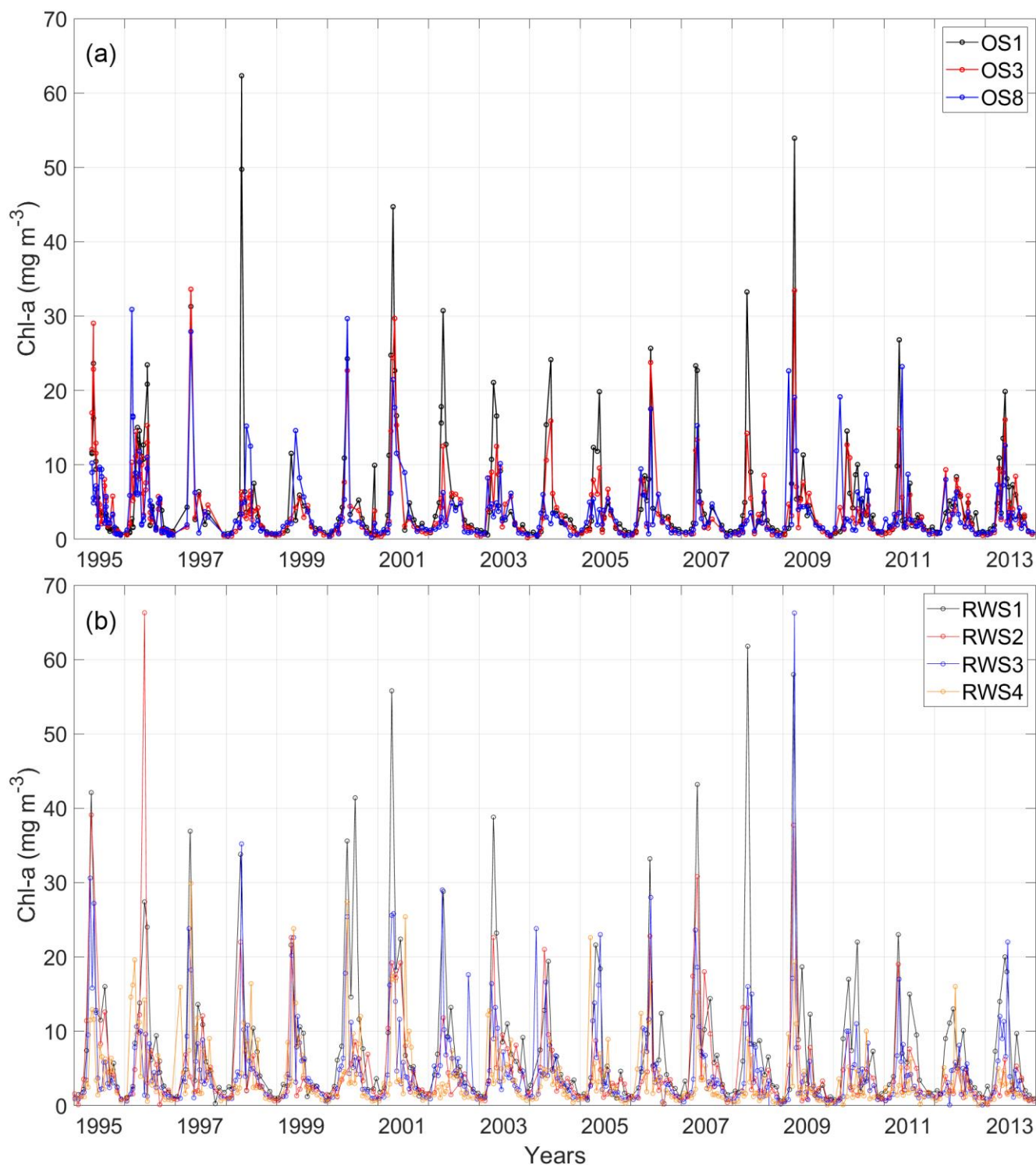


Figure 3: Conceptual diagram of the nitrogen-based seven-variable biogeochemical model structure in FABM. Box and arrows denote state variables and fluxes of nitrogen, respectively.



**Figure 4: Time series of chlorophyll a (chl-a) concentration during 1995–2013 at (a) NIOZ stations OS1, OS3, and OS8 and (b) Rijkswaterstaat stations RWS1–RWS4. See Figure 2 for station locations.**

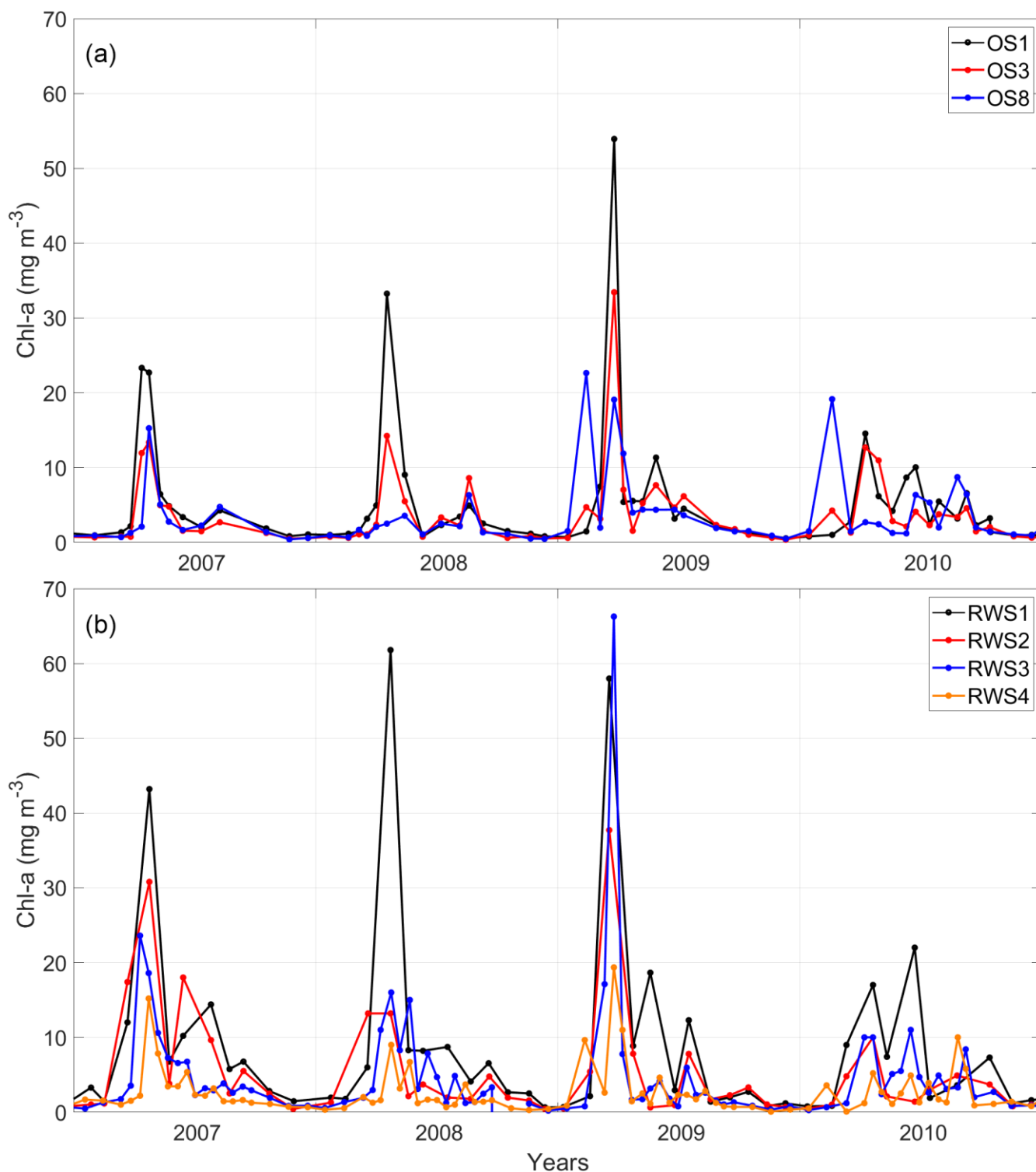
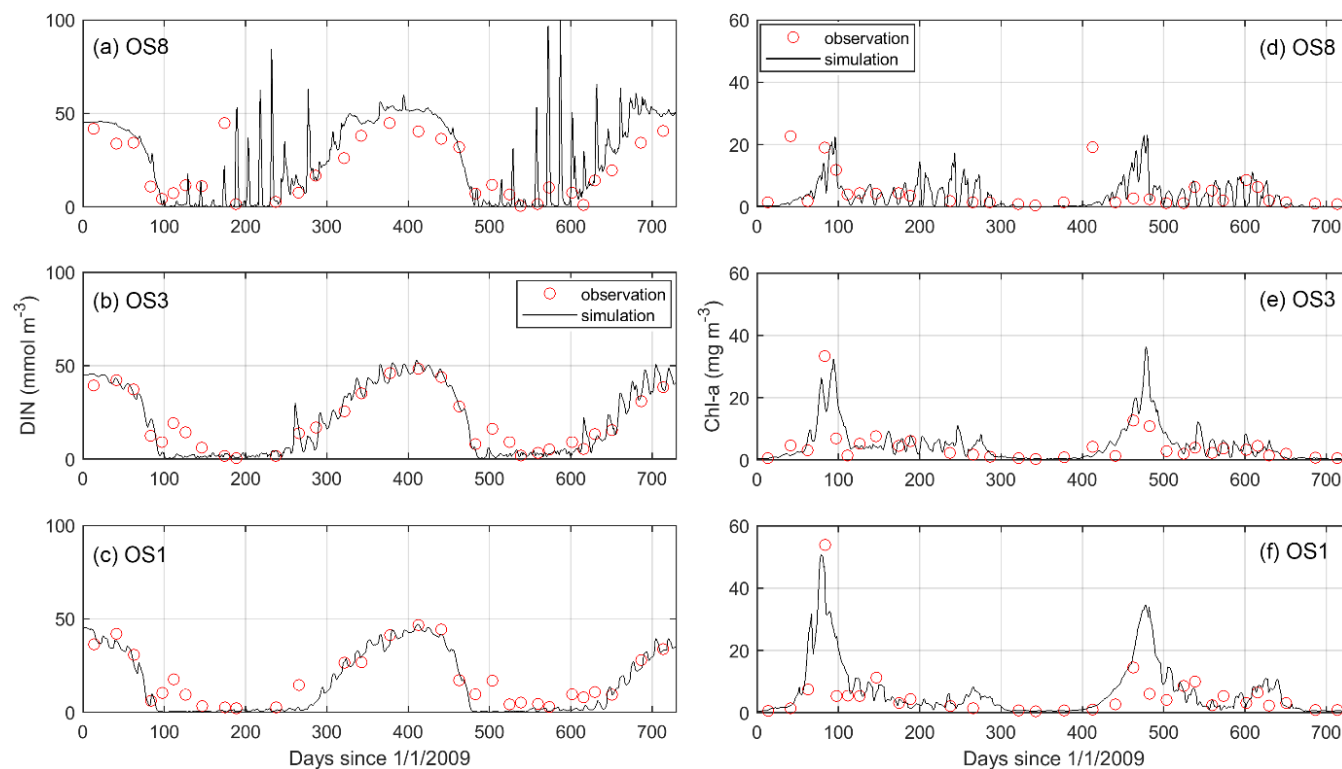
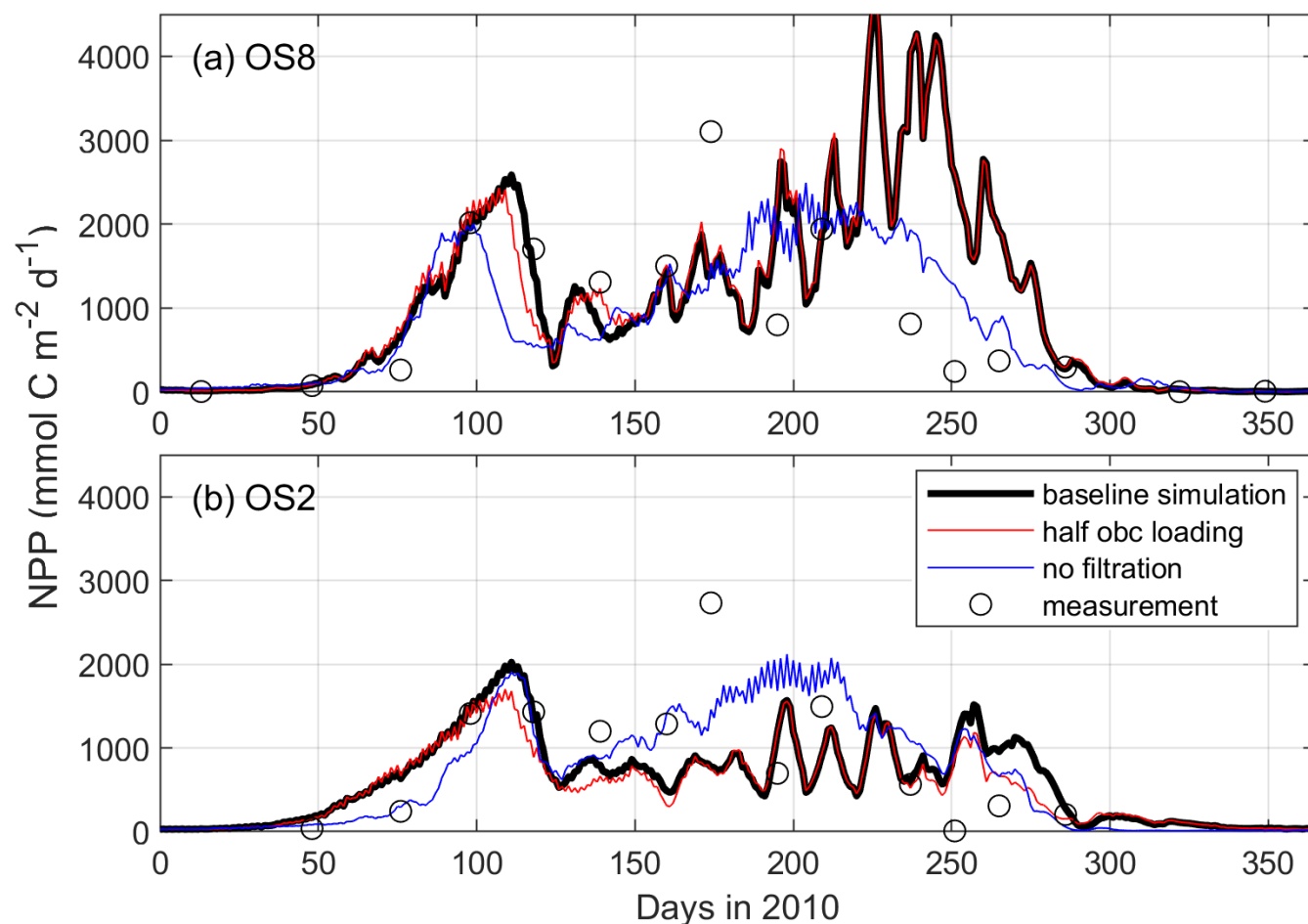


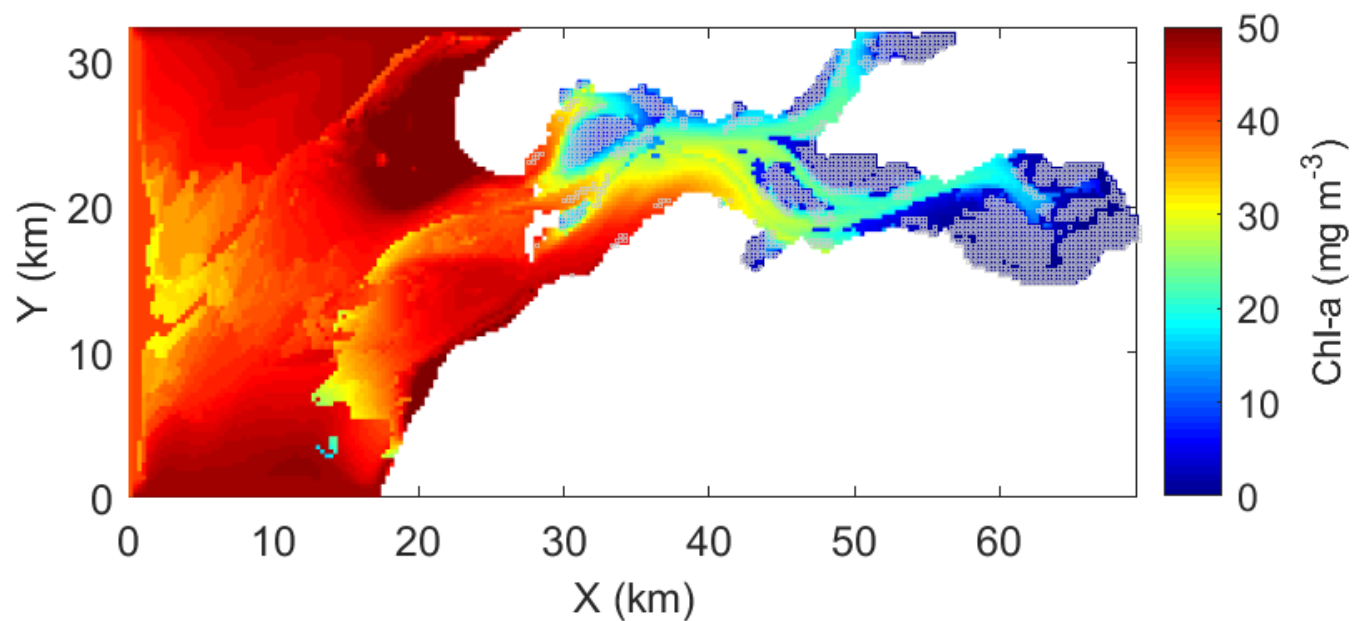
Figure 5: Same as Figure 4, but for the zoom-in period of 2007–2010.



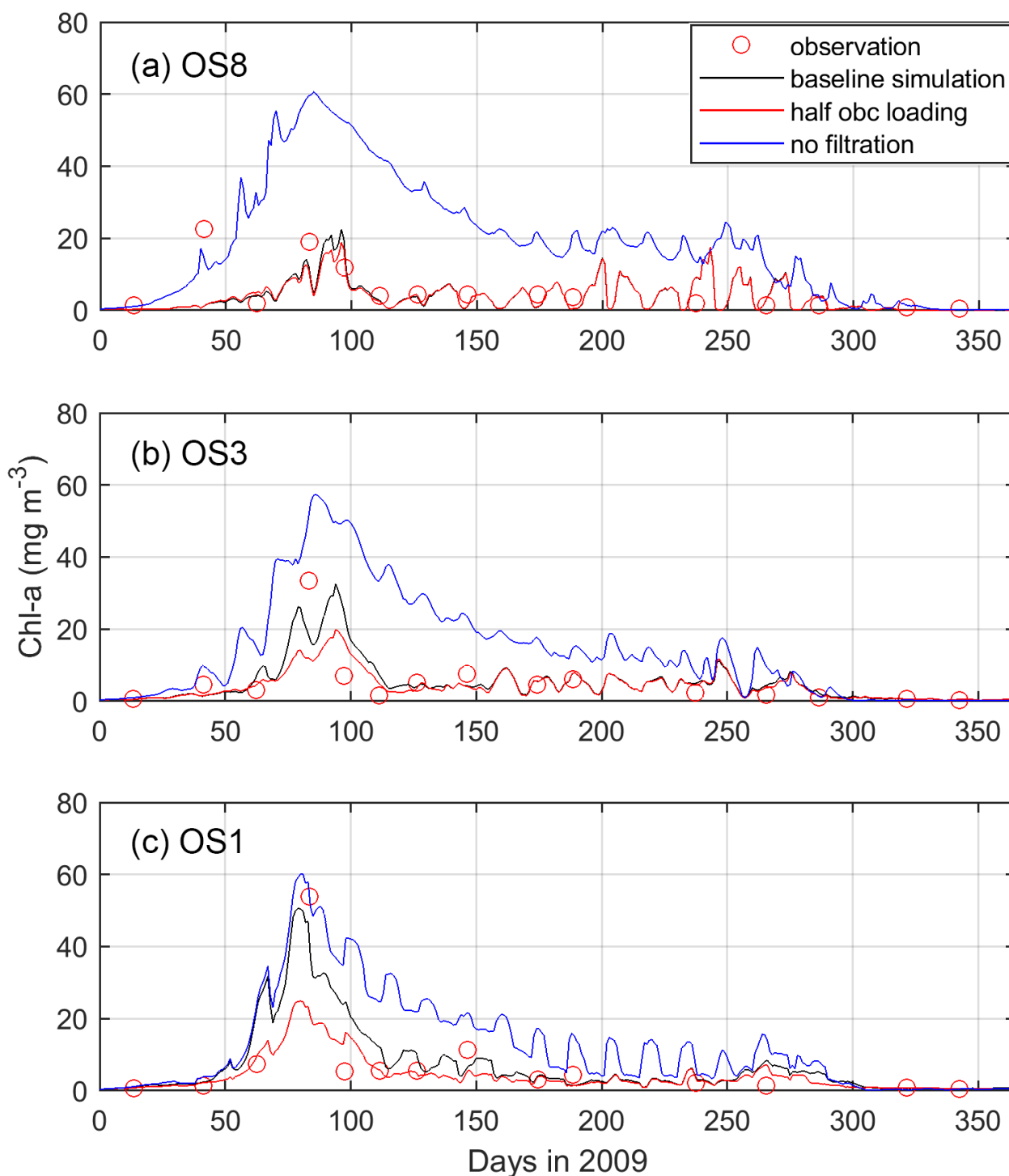
**Figure 6: Comparison between simulated and observed dissolved inorganic nitrogen (DIN) and chlorophyll a (chl-a) in the years 2009–2010 at stations OS8, OS3, and OS1. See Figure 2 for station locations.**



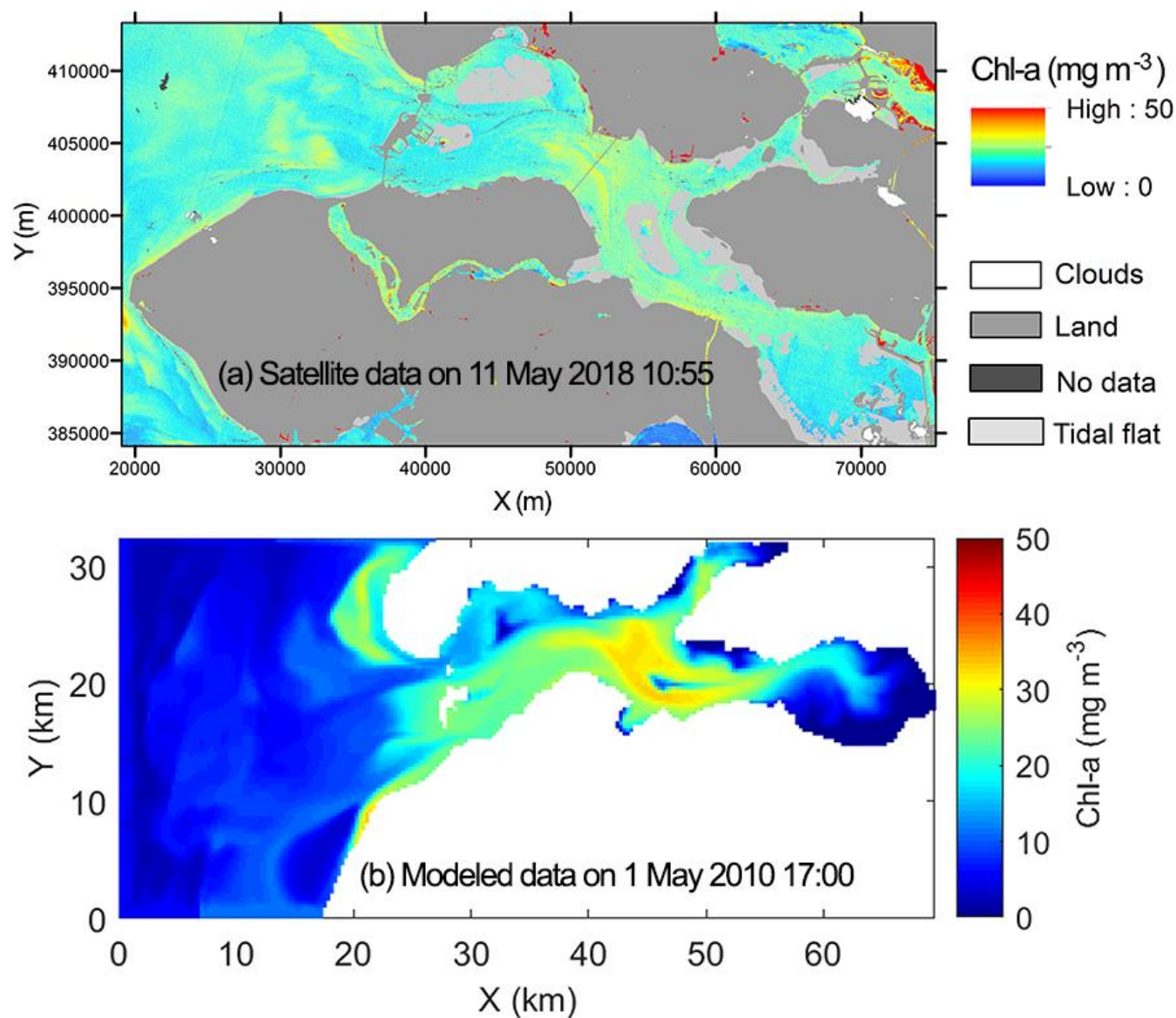
**Figure 7:** Comparison between modeled and measured depth-integrated net primary production (NPP, mmol carbon m<sup>-2</sup> d<sup>-1</sup>) in 2010 at stations OS8 and OS2. The three model scenarios include the baseline scenario, halving the open boundary nutrient and phytoplankton loading, and switching off bivalve filtration feeders.



**Figure 8:** The 15-day (5–19 March) average of modeled chlorophyll a (chl-a) during the peak spring bloom in 2009. Grey squares indicate the locations of wild and cultured shellfish as in Figure 1.



**Figure 9: Modeled and observed chlorophyll a (chl-a) in 2009 at stations OS8, OS3, and OS1. The three model scenarios include the baseline scenario, halving the open boundary nutrient and phytoplankton loading, and switching off bivalve filtration feeders. See Figure 2 for station locations.**



**Figure 10.** Chlorophyll a (chl-a) in the Oosterschelde retrieved from (a) a high tide Sentinel-2 MSI image of 11 May 2018, at 10:55 masking tidal flats from a low tide Sentinel-2 MSI image of 21 April 2019 and (b) the model on 1 May 2010, at 17:00. Both snapshots are during high tide. The coordinate system in (a) is EPSG:28992, Amersfoort / RD New.

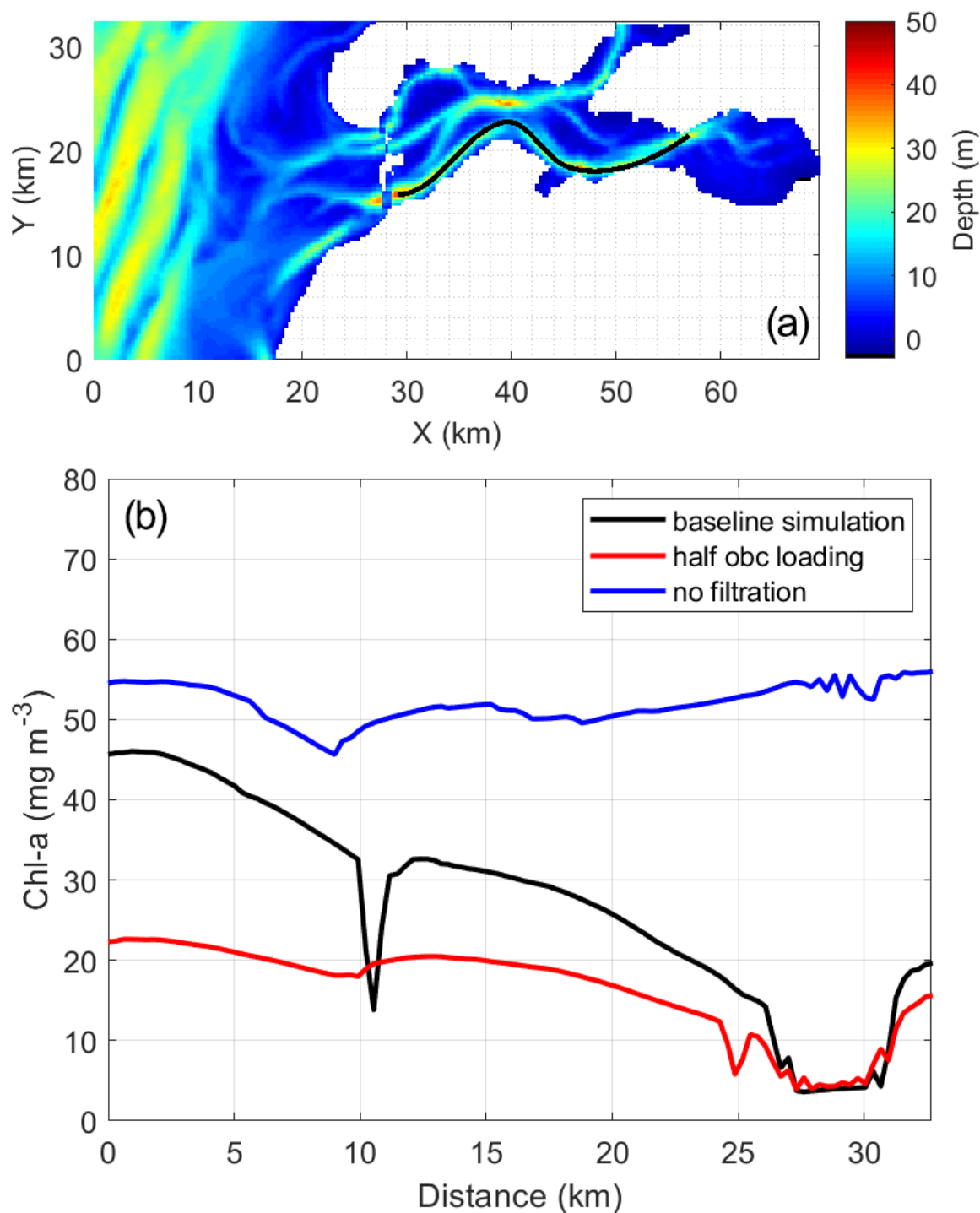


Figure 11. (b) The 15-day (5–19 March) average of modeled chlorophyll a (chl-a) during the peak spring bloom in 2009 along (a) a transect over the southern channel of the Oosterschelde. The distance on the x-axis of panel (b) is from west to east. The three model scenarios include the baseline scenario, halving the open boundary nutrient and phytoplankton loading, and switching off bivalve filtration feeders.



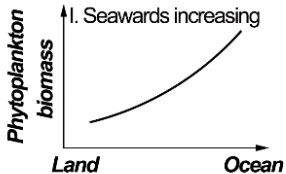
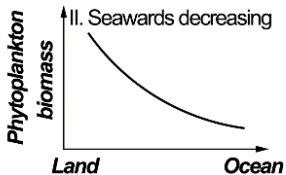
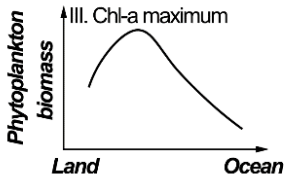
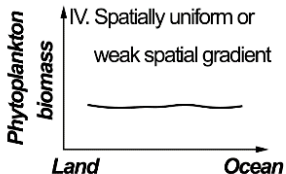
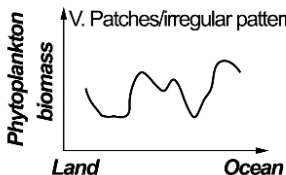
Types of spatial gradients	Example ecosystems and references	Flushing mechanisms	Main drivers of phytoplankton biomass
I. Seawards increasing 	(1) Oosterschelde, the Netherlands (this study)	Tide-dominated	Grazing loss and tidal import
	(2) Rias Baixas of Galicia, Spain (Figueiras et al., 2002); Willapa Bay, USA (Hickey and Banas, 2003; Banas et al., 2007)	Tide-dominated	Tidal import
	(3) Chilika Lagoon, India (Srichandan et al., 2015)	River-dominated	Light limitation
II. Seawards decreasing 	(1) Westerschelde estuary, the Netherlands and Belgium (Kromkamp and Peene, 1995; Kromkamp et al., 1995; Muylaert et al., 2005; Soetaert et al., 1994, 2006)	River and tides, or river-dominated	Salinity stress, grazing loss, and transport
	(2) Chesapeake Bay outflow plume, USA (Jiang and Xia, 2018); Mississippi River plume, USA (Gomez et al., 2018)	River and tides	Nutrient limitation
III. Chl-a maximum 	(1) Chesapeake Bay, USA (Jiang and Xia, 2017); Delaware Bay, USA (Fisher et al., 1988); York River, USA (Sin et al., 1999); Neuse-Pamlico estuary, USA (Valdes-Weaver et al., 2006); Logan River and Moreton Bay, Australia (O'Donohue and Dennison, 1997)	River and tides, or river-dominated	Upper reach limited by light or transport loss; lower reach limited by nutrients
IV. Spatially uniform or weak spatial gradient 	(1) San Francisco Bay, USA, after 1987 (Cloern et al., 2017; Kimmerer and Thompson, 2014)	River and tides	Grazing loss
	(2) Hudson River estuary, USA (Fisher et al., 1988; Howarth et al., 2000; Strayer et al., 2008)	River-dominated	Transport and grazing loss
V. Patches/irregular patterns 	(1) Baie des Veys estuary, France (Grangeré et al., 2010)	River and tides	Grazing loss
	(2) Krka estuary, Croatia (Ahel et al., 1996)	River-dominated	Point-source nutrient input
	(3) St. Lucia estuary, South Africa (van der Molen and Perissinotto, 2011)	River-dominated	DIN:DIP ratio, salinity, temperature, and irradiance

Figure 12. A summary of various spatial gradients of phytoplankton biomass reported in literature. A few examples of ecosystems are given along with references, the dominant flushing mechanisms, and main drivers of phytoplankton accumulation in the specific ecosystems.