Reviews and syntheses: Gaining insights into evapotranspiration partitioning with novel isotopic monitoring methods

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Abstract. Disentangling ecosystem evapotranspiration (ET) into evaporation (E) and transpiration (T) is of high relevance for a wide range of applications, from land surface modelling to policy making. Identifying and analysing the determinants of the ratio of T to ET (T/ET) for various land covers and uses, especially in view of climate change with increased frequency of extreme events (e.g., heatwaves and floods), is prerequisite for forecasting the hydroclimate of the future and tackling present issues, such as agricultural and irrigation practices.

Another partitioning method consists in determining the water stable isotopic compositions of ET, E, and T (δ_{ET}, δ_E, and δ_T, respectively) from the water retrieved from the atmosphere, the soil, and the plant vascular tissues. The present work emphasises the challenges this particular method faces (e.g., the spatial and temporal representativeness of the T/ET estimates, the limitations of the models used and the sensitivities to their driving parameters) and the progress that needs to be made in light of the recent methodological developments. As our review is intended for a broader audience beyond the isotopic ecohydrological and micrometeorological communities, it also attempts to provide a thorough review of the ensemble of techniques used for determining δ_{ET}, δ_E, and δ_T, and solving the partitioning equation for T/ET.

From the current state of research, we conclude that the most promising way forward to ET partitioning and capturing the sub-daily dynamics of T/ET is in making use of non-destructive online monitoring techniques of the stable isotopic composition of soil and xylem water. Effort should continue towards the application of the eddy covariance technique for high-frequency determination of δ_{ET} at the field scale as well as the concomitant determination of δ_{ET}, δ_E, and δ_T at high vertical resolution with field-deployable lift systems.

1 Introduction

A pivotal parameter in landscape hydrology and ecology is the transpiration (T) to evapotranspiration (ET) ratio (T/ET) (see
the reviews of Kool et al., 2014; Anderson et al., 2017; Stoy et al., 2019). Isolating the T flux in ET is of utmost importance
for a wide range of applications, because of its link to plant water uptake, for e.g., optimizing irrigation practices (Skaggs et
al., 2010), tackling ecological questions in water-limited ecosystems (Rothfuss and Javaux, 2017), or for a better representation
of the relations between the carbon and water cycles in climate models (Humphrey et al., 2018; Ito and Inatomi, 2012). At the
global scale, the uncertainty of the T/ET estimate remains high; it has been estimated to range from 13-90 %, depending on
the source and type of data (e.g., satellite- or isotopic-based) and method (modelling or data reanalysis) (Lawrence et al., 2007;
Alton et al., 2009; Jasechko et al., 2013; Wang et al., 2014; Wei et al., 2017). Ultimately, this conditions the ability of land-
surface models to provide sensitivity of the overall ET flux to changes in precipitation and land cover (Wang and Dickinson,
2012).

Spatial and temporal variability add even more uncertainty to our knowledge on T/ET at the local scale, which is a prerequisite
for a meaningful use of such estimates for any of the practical and scientific questions mentioned above. Partitioning ET into
the raw components E and T at the field and sub-daily spatiotemporal scales is generally performed by an ensemble of
partitioning methods, which can be divided into instrumental approaches and correlation-based modelling approaches (Scanlon
and Kustas, 2010). The former approach includes, e.g., the eddy covariance (EC) technique (Baldocchi, 2014; Reichstein et
al., 2005), soil-flux chamber measurements (Raz-Yaseef et al., 2010; Lu et al., 2017), micro-lysimeter measurements (Kelliher
et al., 1992), or atmospheric profile measurements (Ney and Graf, 2018).

Another instrumental method to partition ET is based on the analysis of its hydrogen or oxygen isotopic composition, i.e., the
water vapour atom ratio in rare (^{2}H or ^{18}O) and abundant (^{1}H or ^{16}O) stable isotopes and expressed on the international "delta"
(δ) scale (Dubbert and Werner, 2019). The method utilizes the natural discrepancies in isotopic composition of the ecosystem
evaporation (δ_E) and transpiration (δ_T) fluxes. The difference $\delta_T - \delta_E$ originates primarily from thermodynamic and kinetic
fractionation during phase change and transport processes undergone by water evaporating from soil on the one hand, and
water extracted by a root system and transpired by the canopy on the other hand. The observed discrimination against stable
isotopologues along the soil-plant-atmosphere water path can be conceptualized two-fold, i.e., phase change- and diffusion-
driven, and quantified by the so-called equilibrium and kinetic fractionations, respectively, for which we will later review the
physically-based expressions. The term ($\delta_T - \delta_E$) is also determined by (see Fig. 1)

(i) the difference in boundary conditions acting on E and T, i.e., the δ-value of soil water at the evaporating front
(EF), of the leaf water at the transpiration site, and of the atmospheric water vapour;

(ii) the prevalence (or non-prevalence) of isotopic steady state (ISS) for transpiration, i.e. whether δ_T is independent
of time (Farquhar and Cernusak, 2005; Dubbert et al., 2014a) (see Section 3 for a detailed description of ISS).
Note that the ISS assumption is generally not made for evaporation flux (but see for an exception: Rothfuss et
al., 2010).

The spatiotemporal variabilities of these factors and the complexity of their interactions may result in significant heterogeneous distributions of both δ_E and δ_T in the field (Fig. 1). Importantly and as reflected by the reviewed isotopic literature (see Section 2), E in this context does not include canopy interception and dew evaporation, which are known to be associated with isotopic effects (Allen et al., 2017; Zheng et al., 2019). Theses fluxes can be of significant magnitude, depending on the scale of interest (Good et al., 2015; Allen et al., 2017). The T/ET fraction is obtained by inverting the isotopic mass balance equation $\delta_{ET} = (1 - T/ET)\delta_E + (T/ET)\delta_T$ (Yakir and Sternberg, 2000):

$$T/ET = \frac{\delta_{ET} - \delta_E}{\delta_T - \delta_E} \qquad (1)$$

Equation (1) highlights how the isotopic partitioning methodology differs from other instrumental approaches, such as based on a combination of different techniques (e.g., lysimeter and EC measurements): it solely relies on measurements and/or analytical modelling of the stable isotopic compositions of the components ET, E, and T. Behind this apparent simplicity and the problem of (e.g., spatial) representativeness highlighted in Fig. 1 put aside, the isotopic partitioning methodology is limited in its application in different ways, such as the inability – until recently – to provide continuous (i.e., non-destructive) δ_E, δ_T, and δ_{ET} assessments. Part of these limitations were overcome with the availability of field-deployable laser-based spectrometers. These instruments allow for long-term monitoring of soil water vapour and plant transpiration isotopic compositions when combined with gas-permeable membrane or tubing technology (Beyer et al., 2020).

A variety of different methods exists to measure or estimate δ_E, δ_T and δ_{ET}. The central aim of this study is to identify from the literature the challenges the ensemble of isotopic methods currently face and how they should progress in the future (section 3). Particularly, the abovementioned emerging monitoring methods are reviewed for the specific purpose of ET partitioning. As such, our work differs from those of Wang and Yakir (2000), Yakir and Sternberg (2000), Xiao et al. (2018), and Sun et al. (2019). Note also that this study will not focus on differences in T/ET as estimated by the abovementioned traditional methods on the one hand and by the isotopic methods on the other; this has been extensively reported by, e.g., Sutanto et al. (2014). In addition and for non-specialists readers, we thoroughly review the underlying concepts and techniques involved in the determination of δ_E, δ_T and δ_{ET}. In order to highlight the important progresses made over the past 30 years, we also give a literature overview (section 2). Finally, section 5 presents a summary of our own suggestion for improvement as well as of the possible ways forward for the isotopic partitioning community.

2 Literature overview

A total of 39 studies were found by entering the search terms "(("evapotranspiration" or "transpiration" or "evaporation") and partition* and isotop*)" into the ISI Web of Science search engine (http://www.webofknowledge.com/). The reader will find a graphical summary in Fig. 2 as well as a detailed description for each of the entries in Table A2 of Appendix A. On average, approximately 1.3 (2.4) partitioning studies were published each year over the period 1989-2007 (2008-2020) with an average annual citation rate of 12 (143) (Fig. 2a).

To the authors' knowledge, the first scientific article reporting on the possibility to partition ET on basis of the differences in isotopic composition of ecosystem ET, soil evaporation, and plant transpiration was that of Bariac et al. (1987). An attempt to use this possibility was made in the study of Walker and Brunel (1990) (Table A2) –, of which ET partitioning was the not the

95   major focus – but remained, according to the authors, not conclusive. Ten years later, Jean-Pierre Brunel and his colleagues could provide the first water stable isotope-derived estimation of the relative importance to ET of the transpiration of the tropical and water-stress resistant plant *Guiera senegalensis* (Brunel et al., 1997), which was noticeably low (approx. 20%). In the meantime, Moreira et al. (1997) applied the so-called 'Keeling plot' technique (Keeling, 1958) (see section 3.1) for determination of the isotopic composition of ET for the specific purpose of partitioning. The isotopic compositions of soil E

100 and plant T at two sites (one pasture, one forest) in the Amazon basin were inferred by using the atmospheric part of the Craig and Gordon (1965) model (see section 3.2) and by assuming steady state transpiration flux (see section 3.3), respectively. The authors could provide evidence of the strong prevalence of T in the ET budget. In a hybrid work coupling a review of the state of the art with field measurements, Wang and Yakir (2000) concluded on the predominance of T flux in a wheat field located in the Negev region, Israel (i.e., T/ET>96.5 %).

105 As represented in Fig.1, partitioning ET may be significantly complicated in cases of mixed vegetation covers. A few studies focused on estimating the vegetation type or strata-specific transpiration to evapotranspiration ratio. Yepez et al. (2003) applied the Keeling plot technique specifically to two distinct ecosystem layers of a savanna woodland in southern Arizona, USA, i.e., the understorey dominated by the *Sporobolus wrightii* C_4-grass and the canopy populated by the mesquite tree *Prosopis velutina*. By doing this, they could capture the isotopic composition of ET representative of each of the two ecosystem layers.

110 In order to partition ET, the authors computed the isotopic composition of the whole ecosystem T as a composite function of the isotopic compositions of grass and tree T fluxes. Finally, it was determined that grass and tree T amounted to 15 and 75 % of total ET. Xu et al. (2008) investigated the discrepancies between T/ET assessments from either δ^2H of $\delta^{18}O$ data collected in a subalpine shrubland (Balang Mountain, China). They could differentiate between tree (*Quercus aquifolioides*) and understorey (e.g., *Cystopteris montana*) contributions to ET by using the multi-source mixing model Isosource (Phillips and

115 Gregg, 2003). In an open cork-oak (*Quercus suber* L.) savanna, Dubbert et al. (2014b) investigated the impact of the understorey vegetation (annual grass and forbs) on the total ecosystem water budget. They could discriminate between T of trees and grass and highlighted the stability of the former throughout the year and the strong decrease of the latter during the summer. Piayda et al. (2017) differentiated between open and shaded portions of the same experimental site and found T/ET ranging between 9 to 59% and between 17 to 66%, respectively. Zhang et al. (2018) investigated a marsh wetland in China

120 and found out that the two dominant species (*Scirpus triqueter* and the invasive *Phragmites australis*) contributed equally (20 %) to ET flux.

A number of authors either investigated the impact of irrigation on the partitioning of ET or relied on irrigation pulses, i.e., applied volumes of isotopically-enriched or depleted water (with respect to local irrigation water) to the soil. By doing this, they could reduce the uncertainty of the T/ET estimates by artificially enhancing difference between δ_E and δ_T. In a study

125 conducted in semi-arid environmental setting (Marrakech, Morocco), Williams et al. (2004) observed that irrigation enhanced

soil E of an olive orchard (*Olea europaea* L.). Mid-day average T/ET decreased from approx. 100% (determined prior irrigation) to 69-86% (computed over the 5-day period after irrigation). Yepez et al. (2005) used large gas exchange chambers either positioned on bare soil plots or sparsely vegetated areas of a semi-arid grassland in Arizona, USA. They determined with the Keeling plot technique the isotopic composition of E and ET following an irrigation pulse. This is, to the authors'

130 knowledge, the first use of a closed chamber system in the context of ET partitioning, where T is the single source of the change in air moisture concentration. In contrast to the previous partitioning studies, Yepez et al. (2005) determined the isotopic composition of the non-steady state (NSS) T flux on the basis of plant physiological and micro-meteorological measurements using the formulation of Farquhar and Cernusak (2005) (see also for later examples: Sun et al., 2014; Hu et al., 2014). The authors finally calculated T/ET values ranging between 35 and 43% the first three days after irrigation, and decreased to 22 %

135 after one week. Aouade et al. (2016) found a decreasing diurnal (i.e., morning vs. afternoon) amplitude of T/ET in a winter wheat field in Morocco under wet conditions after flood irrigation with a soil water content of approx. 0.35 $m^3 \, m^{-3}$, and the opposite under dry conditions with a soil water content value of 0.15 $m^3 \, m^{-3}$. Aouade et al. (2020) compared the T/ET results for dry conditions of Aouade et al. (2016) to independent assessments using the Interaction between Soil, Biosphere, and Atmosphere (ISBA) model (Masson et al., 2013) and found that they were within the same range (73-89 %). In another study,

140 Good et al. (2014) found on average a value of 30 (±5) % for T/ET in a grassland site during the first 15 days following a 30 mm isotopically enriched irrigation event. Finally, Lu et al. (2017) focused on the efficiency of irrigation strategies in southern California (USA). They documented that the investigated field of *Sorghum bicolor* was responsible for 46% of water consumption following the irrigation event.

Hsieh et al. (1998), Ferretti et al. (2003), Wenninger et al. (2010), and Sutanto et al. (2012) obtained T/ET values by the closing

145 of a common water isotope mass-balance equation. For this, the authors made a series of simplifying hypotheses: atmospheric water vapour is in thermodynamic equilibrium with soil water, and the isotopic composition of T is the amount-weighted average of the isotopic compositions of precipitation and soil water. Ferretti et al. (2003) obtained T/ET values ranging between 10 and 60%, depending on the growing season, in a semi-arid grass steppe, while Hsieh et al. (1998) estimated T/ET to span from 14 to 71% as annual rainfall increased along two sampling transects in Hawaii. Wenninger et al. (2010) and Sutanto et

150 al. (2012) applied the isotope mass-balance equation in similar semi-controlled experimental setups equipped with soil liquid water (rhizon) samplers. In their framework, the destructive sampling of soil to retrieve the isotopic composition of soil E was not needed, while a number of simplified hypotheses had to be made regarding T. Wenninger et al. (2010) simulated a T/ET value of 70% for teff (*Eragrostis tef*) during the course of their experiment. Sutanto et al. (2012) found a comparable value for a grass cover (T/ET = 87%). In both of these studies, the isotopic partitioning results were confronted with additional (e.g.,

155 micro-meteorological) measurements and independent models such as HYDRUS-1D.

Isotope-enabled, physically-based, and numerically-solved soil-vegetation-atmosphere transfer (SVAT) models were also tested against T/ET data collected in both laboratory and field setups. In the study of Rothfuss et al. (2012), T/ET of a 0.2 m^2 surface area monolith was simulated with the SiSPAT-Isotope model (Braud et al., 2005) at five selected dates under strictly controlled conditions in a climate chamber along the development of a tall fescue cover (*Festuca arundinacea*). T/ET was

160 determined to increase from 6% (16 days after sowing) to 95% (43 days after sowing). One year earlier, Haverd et al. (2011) used another isotopically SVAT model, Soil-Litter-Iso (Haverd and Cuntz, 2010), using data from a field experiment (Eucalyptus forest, south eastern Australia) in a similar framework, i.e., by running a multi-objective calibration to estimate a given set of model parameters. However, in contrast to Rothfuss et al. (2012), they could show that the added information provided by the isotopic data (δ^2H) was not effective in better constraining the model for determination of T/ET (in their case

165 equal to 85 ±2%). Another simulation study was published by Pei Wang et al. (2015), where a physically-based model solving the energy and water balance in the soil-plant-atmosphere continuum (Wang and Yamanaka, 2014) was coupled to an isotopic module accounting for fractionation processes during E and T. Wang et al. (2015) simulated T/ET of a temperate grassland to spread over a wide range of values (i.e., 2-99%) during the course of a 190 day-long experiment. Wei et al. (2018) used a similar modelling framework as in Wang et al. (2015) and found that the 3-months ET-weighted T/ET values were equal to

170 74, 93, and 81 % for three different crops, i.e. rice, corn and wheat, respectively, grown in temperate (rice, Japan) and semi-arid monsoonal (corn and wheat, China) environmental conditions.

Wang et al. (2010; 2013) published the first ET partitioning studies where water vapour hydrogen and oxygen isotopic compositions were measured online with an infrared laser spectrometer. Using closed gas-exchange chambers, they determined by mass balance the isotopic compositions of E, T, and ET in a non-destructive way. This allowed the authors not to rely on

175 either (i) making the assumption of T at ISS for partitioning ET fluxes or (ii) modelling the isotopic composition of T at NSS (see chapter 3.3). Wang et al. (2010) calculated T/ET values for the mesquite tree (*Prosopis chilensis*) grown under controlled conditions (Biosphere 2 facililty, Arizona, USA, see for details: Barron-Gafford et al., 2007), ranging from 61 to 83% at 25 and 100% woody cover, respectively. Wang et al. (2013) compared T/ET values (65-77% vs. 83-86%) computed from control vs. warming plots, taking advantage of a long-term grassland multiple-factor climate control experiment in Oklahoma, USA.

180 The quantification of the overall uncertainty associated with isotope-derived T/ET estimates has been the focus of several studies. Other studies focused on the sensitivity of T/ET to different environmental (e.g., isotopic) factors. Good et al. (2014) studied for instance the uncertainty of the T/ET values obtained at their grassland site as a function of the uncertainty linked with the estimate of δ_{ET} obtained with the Keeling plot technique (according to Good et al., 2012). Bijoor et al. (2011) highlighted the high uncertainty of their T/ET isotope estimates (i.e., standard error value > 37%). Xu et al. (2008) and Yepez

185 et al. (2005) calculated the uncertainties linked with determination of T/ET with the Isoerror software (Phillips and Gregg, 2001). Dubbert et al. (2013) quantified the sensitivity of the partitioning of ET to a number of factors (e.g., value of the kinetic fractionation factor, assumption of steady-state T) during a field experiment in central Portugal. They also compared direct measurements of the isotopic composition of E (with gas exchange chambers coupled to a laser spectrometer) to simulations with the Craig and Gordon (1965) model. Similar to Rothfuss et al. (2010; 2012), the authors underlined the need to

190 complement isotopic measurement with micro-meteorological and physiological observations. Hu et al. (2014) determined a mean T/ET value of 83% in a semi-arid shrubland in China dominated by *Stipa krylovii* and *Artemisia frigida*. They tested for the first time the so-called flux-gradient approach (Lee et al., 2007; see section 3.1) for determination of δ_{ET}. The authors argued that, in their case, the uncertainty of the δ_{ET} estimates had the strongest effect on T/ET uncertainty. Also Wei et al.

(2015) found that the greatest source of uncertainty of T/ET of a rice paddy field was linked to the determination of δ_{ET}, this time using the Keeling plot technique. They could further express T/ET as an exponential function of leaf area index (LAI) (i.e., T/ET[%]=67·LAI$^{0.25}$) at the seasonal scale. Wu et al. (2017) found slightly different parameters of the same LAI model (71·LAI$^{0.14}$) for a maize crop grown under semi-arid conditions (Gansu Province, China).

Among the studies listed in Table A2, a few complemented their isotopic methods with traditional instrumental approaches, such as EC, soil-flux chambers, and lysimeters, and investigated the goodness-of-fit between the isotopic and non-isotopic T/ET values. Sutanto et al. (2014) reported from the literature generally higher isotope-derived T/ET (> 70 %) values than those of the traditional approaches for comparable land cover types. However, at experimental sites combining both type of measurements, Sutanto et al. (2014) underlined a fair agreement between both approaches. Bijoor et al. (2011) investigated the partitioning of ET in a freshwater marsh dominated by *Typha latifolia* in California, USA. They found a good agreement between T/ET values estimated on the one hand from isotopic analysis and from micro-meteorological (e.g., EC) measurements on the other. Berkelhammer et al. (2016) compared the outcome of the isotopic partitioning method with EC-derived T/ET values. They underlined the goodness-of-fit of the two methods as well as the stability of T/ET as a function of LAI over multiannual time scales. Wen et al. (2016) investigated the contribution of spring maize T to ET in an arid artificial oasis part of the Heihe river catchment (China) and reported it to be quite constant (mean T/ET value of 87 ± 5.2 %). Collected data was further used by Zhou et al. (2018) and Xiong et al. (2019). Zhou et al. (2018) showed similarities between results of the isotopic partitioning method and a coupled approach of EC and lysimeter data. They underlined, however, that both methods simulate higher T/ET values, with poor temporal dynamics not reflecting those of leaf area index, than their benchmark method, i.e., based on the incorporation of vapour pressure deficit into the expression of the water-use efficiency concept. Xiong et al. (2019) observed a good match between T/ET daily values (54-97%, with a mean value of 85 %) as obtained with their isotope method and with a net radiation and temperature-dependant model coupled to imaging radiometry. Quade et al. (2019) cross-compared the T/ET values based on either water δ^2H or δ^{18}O data at selected dates along development of a sugar beet (*Beta vulgaris*) crop with different methods including the combination of EC and lysimeter flux data.

Until now, only two studies have made use of gas-permeable membranes for online and non-destructive determination of δ_E and determination of T/ET values. Gaj et al. (2016) fitted a one-dimensional analytical solution of the water isotopic composition in the soil profile to their data to retrieve T/ET values in the semi-arid Cuvelai–Etosha Basin, Namibia. Quade et al. (2019) compared T/ET results obtained on the basis of the non-destructive method of Rothfuss et al. (2013) with those of traditional destructive soil sampling. They found significant differences in T/ET between the different methods on four days at different stages of the sugar beet canopy development (0.7 < LAI < 6.7).

In a review on the use of water stable isotope analysis for determination of plant root water uptake dynamics (Rothfuss and Javaux, 2017), the authors underlined the need for field studies in croplands. This is not the conclusion of the present literature overview, as the three main land surface types, i.e., cropland, forests, and grassland (in monoculture or mixed culture) are rather equally represented with a relative proportion of 33, 32, and 41%, respectively (Fig. 2b). More than one third of the scientific publications analysed in the present review (i.e. 38 %) applied the isotopic methodology in semi-arid or desert regions

(Fig. 2c). Nevertheless, a wide range of climate types (e.g., subtropical-humid, Mediterranean or subarctic, Fig. 2c) as well as regions (e.g., Northern America, sub-Saharan Africa or Eastern Asia, Fig. 2d) is investigated as well. 30 of the 39 reviewed studies were conducted in the field, and only eight (21 %) used a physically-based numerical model to simulate T/ET on the basis of the collected isotopic (and water status) data (Fig 2e). Furthermore, 95 % of the field studies were conducted at natural isotopic abundance, either under normal precipitation regime (85 %) or in the framework of an irrigation experiment (10 %). The remaining 5 % of studies (Yepez et al., 2005; Good et al., 2014) applied a labelling pulse of ^{2}H-enriched water to the soil for better discrimination between the three terms of the mixing equation (Eq. (1)).

There is naturally a strong link between the temporal resolution in T/ET estimates and the temporal extent of the T/ET time series (Fig. 2b). The vast majority of the studies (85 %) provided T/ET values at hourly to subweekly resolution over periods of time not exceeding a few months. This is partly a sign of the limitation of the isotopic methodology, which was mentioned in the introduction, i.e., the labour-intensive and time-consuming destructive sampling of soil and plant material and the subsequent water extraction step. In two studies only (Hsieh et al., 1998; Ferretti et al. 2003), authors could calculate T/ET at weekly to monthly resolution overs several years. For doing this, they made a series of abovementioned simplifying hypotheses, which allowed them, amongst other things, not to rely on sampling of plant material, thereby significantly saving extraction and analysis time. The authors of the present work note that, on the other hand, the question of spatial variability or representativeness of the T/ET estimates is rarely addressed in the literature (but see section 3.1 for the issue of spatial representativeness of δ_{ET}).

3 Methodological review

In this section, the methods used for determination of the three terms in the partitioning equation (Eq. 1), i.e., δ_{ET}, δ_E and δ_T for final computation of T/ET will be covered (subsections 3.1.1, 3.2.1, and 3.3.1, respectively), with special emphasis on challenges and new technical and methodological developments (subsections 3.1.2, 3.2.2, and 3.3.2, respectively). Three main approaches emerge from the analysis: δ_{ET}, δ_E and δ_T can be either determined by

(i)   solving the mass balances for the different water vapour isotopologues,

(ii)   using physical models based on macroscopic analogies of Ohm's law, or

(iii)   using a statistical framework (Fig. 3).

Note that it is not the present work's intention to give a thorough review of the physically-based and isotope-enabled soil-vegetation-atmosphere numerical models used by Haverd et al. (2011), Sutanto et al. (2012), and Rothfuss et al. (2012) for simulation of T/ET. For this, the readers may refer also to Haverd and Cuntz (2010) and Braud et al. (2005). Likewise, the authors choose not to describe one particular ensemble of methods in detail (used in seven different studies, see Table 2 and referred to as "water balance" in Fig. 2.) based on solving a water mass-balance equation and not relying on the sampling or monitoring of plant and soil water, and atmospheric water vapour.

3.1 Isotopic composition of evapotranspiration

260   **3.1.1 Methods**

The prevalent method (43 % of the reviewed studies, Fig. 2h) for determining the isotopic composition of ET is based on solving a mass balance equation (Fig. 3a-c). It was named after Charles D. Keeling who originally used it to quantify the CO_2 carbon isotopic composition in the atmosphere as a linear function of the reciprocal of the CO_2 concentration (Keeling, 1958). The so-called 'Keeling plot' technique simply considers that the water vapour measured in some ecosystem atmosphere (of

265   concentration C_{atm}, dimension of M L^{-3}), e.g., within or above the canopy, originates from two sources, namely (i) the background water vapour (of concentration C_{bg} [M L^{-3}]), transported advectively and defined as not being influenced by ET flux, and (ii) evapotranspiration ET (of concentration C_{ET} [M L^{-3}]):

$$C_{atm} = C_{bg} + C_{ET}. \tag{2}$$

Practically, laser-based spectrometers measure water vapour volume mixing ratio, χ[-], the ratio of water vapour pressure and

270   total (dry) atmospheric pressure:

$$\chi_{atm} = \chi_{bg} + \chi_{ET}. \tag{3}$$

A similar equation can be written for stable isotopes:

$$\delta_{atm}\chi_{atm} = \delta_{bg}\chi_{bg} + \delta_{ET}\chi_{ET}, \tag{4}$$

with δ_{atm} and δ_{bg} the isotopic compositions of the ambient air and background air, respectively. Combining Eqs. (3) and (4)

275   and rearranging for δ_{atm} leads to the following expression (Eq. (5), see Fig. 3 for an illustration):

$$\delta_{atm} = \frac{1}{\chi_{atm}}\left[\chi_{bg}\left(\delta_{bg} - \delta_{ET}\right)\right] + \delta_{ET}. \tag{5}$$

To the conditions that

(i)   both χ and δ-values of the background water vapour and ET remain constant during the measurement period and

(ii)   there is no loss of water vapour from the atmosphere (e.g., during dewfall),

280   it is possible to determine δ_{ET} as the Y-intercept of the regression line of the relationship between δ_{atm} and $1/\chi_{atm}$. In this framework the sign of the linear regression slope s [M L^{-3}] $= \chi_{bg}\left(\delta_{bg} - \delta_{ET}\right)$ is therefore constrained by the difference $(\delta_{bg} - \delta_{ET})$; s is generally negative, apart from some bare soil situations (Yakir and Sternberg, 2000) (Fig. 4a). Note that it is also possible to derive δ_{ET} by inverting the expression for s, although, to our knowledge, such a possibility has not yet been tested in the literature, certainly because the determination of C_{bg} and δ_{bg} is not straightforward in the field.

285   One important prerequisite for the applicability of the Keeling plot is a significant span in χ_{atm} values over the course of the measurements (Fig. 4b-c). High χ_{atm} values are especially needed to reduce the statistical uncertainty of δ_{ET} (Good et al., 2012). In case of a single observation height (Wei et al., 2018; Good et al., 2014; Wei et al., 2015), the time factor is critical. χ_{atm}

variations should not be obtained at the expense of the validity of the aforementioned core assumption (i), i.e., steady values of δ_{ET} and background χ and δ. Another option beside the one just described, which we could refer to as the 'temporal Keeling plot' technique, is to drastically increase the span of χ_{atm} values by collecting data at different observation heights during a short period of time (~ 1 hour), which could be referred to as 'spatial Keeling plot'. From our literature compilation, the spatial Keeling plot is preferred over the temporal one (i.e., 32 vs. 7 studies).

Another technique (18 % of the reviewed studies, Fig. 2h) for determining δ_{ET} requires the manipulation of transparent chamber systems to enclose and tightly seal the soil and vegetation (e.g., Yepez et al., 2005; Piayda et al., 2017). Two different applications exist, both based on the mass balance approach. In the first one (referred to as "Chamber (InOut)" in Table A2 and Fig. 2h), the chamber is flushed with ambient air, and δ_{ET} is deduced from the difference in water vapour mixing ratio and isotopic composition measured alternatingly at the inlet (subscript 'in') and outlet (subscript 'out') of the chamber (e.g., Wang et al., 2013; Dubbert et al., 2013):

$$\delta_{out}\chi_{out} = \delta_{in}\chi_{in} + \delta_{ET}\chi_{ET}. \tag{6}$$

Equation (6) is strictly valid only for conservative flow conditions. In other studies (e.g., Dubbert et al., 2014b), the change in flow rate (u [$L^3 T^{-1}$]) between in- and outlet due to the addition of water vapour originating from the soil and/or the plant is taken into account as follows:

$$\delta_{out}\chi_{out}u_{out} = \delta_{in}\chi_{in}u_{in} + \delta_{ET}\chi_{ET}(u_{out} - u_{in}). \tag{6'}$$

By conservation of dry air flow, i.e. $u_{out}(1 - \chi_{out}) = u_{in}(1 - \chi_{in})$ (Simonin et al., 2013), Eq. (6') becomes

$$\delta_{ET} = \frac{\chi_{out}\delta_{out} - \chi_{in}\delta_{in}}{\chi_{out} - \chi_{in}} - \frac{\chi_{in}\chi_{out}(\delta_{out} - \delta_{in})}{\chi_{out} - \chi_{in}}. \tag{7}$$

The second term on the right-hand side of Eq. (7) therefore accounts for the increase of flow rate due to ET in the chamber. An alternative consists in flushing the chamber with dry air instead of ambient air, so that the isotopic composition of the outlet water vapour directly reflects that of ET. In the second application (named "Chamber (Keeling Plot)" in Fig. 2h), the chamber is flushed in a closed loop with ambient air, and δ_{ET} is obtained by linear regression of the isotopic composition of the chamber air versus the inverse of the water vapour mixing ratio using the Keeling (1958) plot technique.

In 10% of the referenced studies (Wen et al., 2016; Wei et al., 2018; Zhou et al., 2018), authors determined δ_{ET} values by analogy to Ohm's law. The so-called 'flux gradient method' (Lee et al., 2007) is based on the premise that the ET flux density rate (F_{ET} [$L^3 L^{-2} T^{-1}$], expressed typically in mol m^{-2} s^{-1}]) is proportional to $\Delta\chi_{atm}/\Delta z_{atm}$ [L^{-1}, typically in m^{-1}], the gradient of water vapour mixing ratio between two observation heights (with z_{atm} standing for height):

$$F_{ET} = -K \frac{\rho_{atm}}{M_{atm}} \frac{\Delta\chi_{atm}}{\Delta z_{atm}}. \tag{8}$$

The water vapour transport is determined by the overall conductance of the air boundary layer expressed here as $-K \rho_{atm}/M_{atm}$ with ρ_{atm} [$M L^{-3}$] and M_{atm} [$M L^{-3}$, units of kg mol^{-1}] the dry air volumetric mass and molecular weight, and K [$L^2 T^{-1}$] the eddy

diffusivity of water vapour. The isotopic ratio of ET (R_{ET} [–]), which can be defined as the ratio of the flux density rates of the rare (superscript i) and abundant (superscript j) isotopologues ($^iF_{ET}$ and $^jF_{ET}$, respectively), can be therefore expressed as

$$R_{ET} = {}^iF_{ET}/{}^jF_{ET} \approx \Delta^i\chi_{atm}/\Delta^j\chi_{atm} , \tag{9}$$

assuming that differences in K among water stable isotopologues are not significant, i.e. $^iK = {}^jK = K$ (Yakir and Wang, 1996; Griffis et al., 2005). $^i\chi_{atm}$ and $^j\chi_{atm}$ are the water vapour mixing ratio of rare and abundant isotopologues, respectively. Equation (9) can be further rearranged as

$$^i\chi_{atm} = R_{ET}{}^j\chi_{atm} + C, \tag{10}$$

where R_{ET} is the slope and C [-] the y-intercept of the linear relationship between $^i\chi_{atm}$ and $^j\chi_{atm}$. Equation (10) becomes in δ-notation:

$$\delta_{atm} = \delta_{ET} + C/R_{std}\frac{1}{{}^j\chi_{atm}} \tag{11}$$

by dividing its left and right terms by $^j\chi_{atm}R_{std}$ with R_{std}, the isotopic ratio of the internationally accepted water standard, namely the Vienna Standard Mean Ocean Water (V-SMOW) (Gonfiantini, 1978). We note that, by assuming $^j\chi_{atm} \approx \chi_{atm}$, the flux gradient and Keeling plot techniques are mathematically identical if $C = \chi_{bg}(\delta_{bg} - \delta_{ET})R_{std} = s \cdot R_{std}$, with s the Keeling plot slope.

Griffis et al. (2010) and Good et al. (2012) used a combination of the EC technique and infrared tunable diode laser (TDL) water isotope spectroscopy to derive δ_{ET} values from simultaneous changes in wind velocity (ω [L T^{-1}]) and $^i\chi_{atm}$. In this statistical framework and by

(i)   considering that air density and storage fluctuations are negligible during the measurement period (typically 30 minutes) and

(ii)   changing the coordinate system so that the vertical wind velocity mean value ($\overline{\omega}$) equals zero,

F_{ET} is expressed as:

$$F_{ET} = \frac{\rho_{atm}}{M_{atm}}\overline{\omega'\chi_{atm}'}. \tag{12}$$

The term $\overline{\omega'\chi_{atm}'}$ is the average (overbar symbol) product of the differences between instantaneous and mean values (indicated by the prime symbols) of wind velocity and water vapour mixing ratio, in other words the covariance between the ω and χ_{atm} monitored variables. Similar to Eq. (11), we obtain after converting in δ-notation the expression for the isotopic composition of ET:

$$\delta_{ET} = \frac{{}^iF_{ET}/{}^jF_{ET}}{R_{std}} - 1 = \frac{\overline{\omega'{}^i\chi_{atm}'}/\overline{\omega'{}^j\chi_{atm}'}}{R_{std}} - 1 \tag{13}$$

345 An alternative to Eq. (13) consists in considering the high-frequency variations of δ_{atm} rather than those of the individual mixing ratios $^i\chi_{atm}$ and $^j\chi_{atm}$. For this the isoflux (Lee et al., 2009), defined as $\overline{\omega'\delta_{atm}'}$ [L^3 L^{-2} T^{-1}], is introduced:

$$\delta_{ET} = \frac{\overline{\chi_{atm}}}{\overline{\omega'\chi_{atm}'}}\overline{\omega'\delta_{atm}'} + \overline{\delta_{atm}} \qquad (14)$$

3.1.2 Progress and challenges

In a review of isotope techniques for determination of the concomitant flux and isotopic composition of evapotranspiration,
350 Griffis (2013) summarized the inherent limitations of the Keeling plot technique from the literature. The general assumption that atmospheric water vapour and its isotopic composition result from the turbulent mixing of only two sources was reported to be often violated. Reasons for this may be strong vertical gradients of water vapour mixing ratio and isotopic composition or strong differences between δ_E and δ_T leading to the emergence of diffusion and air entrainment processes (Lee et al., 2006; Lee et al., 2012). The 'spatial' Keeling plot approach suffers particularly from the fact that the different heights at which the
355 δ_{atm} is measured are representative for differently footprints areas of the studied ecosystem. While this may not be a problem for a homogeneous cropland, the reliability of the Keeling plot should be generally questioned for a mixed vegetation (such as represented in Fig. 1) with strong lateral variabilities in δ_{atm} and χ_{atm}, but also in soil water isotopic composition. In addition, the application of the spatial Keeling plot should not be conditioned based on a wide span of χ_{atm} values only but naturally on the quality of its linear fit. Griffis (2013) argued as well that the flux gradient approach suffers from a narrow range of
360 application, e.g., may not be suitable in certain cases, such as below forest canopies or above tall vegetation.

Regardless of these limitations or complications, Good et al. (2012) and Hu et al. (2020) provided comprehensive comparisons of the various techniques (Keeling plot, flux gradient and EC) for determination of δ_{ET}. In addition to the temporal and spatial Keeling plot variations, Good et al. (2012) tested a third option where, instead of instantaneous measurements of δ_{atm} and χ_{atm} collected during 30 min, the mean values of δ_{atm} and χ_{atm} are calculated at each observation height (n=4) and used for regression.
365 After a detailed uncertainty analysis, they concluded that the use of mean values instead of individual data points increased the uncertainty associated with δ_{ET}, regardless of the kind (temporal vs. spatial) of Keeling plot. In addition, the temporal and spatial Keeling plot techniques yielded significantly different values of δ_{ET} for the same time interval. The authors could not conclude which value was the most representative. In addition, they found a good agreement between the Keeling plot technique, applied at different heights, and the flux gradient method due to the aforementioned mathematical similarities. Hu
370 et al. (2020) compared at one irrigated maize crop δ_{ET} values determined with either the Keeling plot or the flux gradient approaches. They tested different regression models with the Keeling plot method, i.e., ordinary least squares regression, geometric mean regression, and York's solution (see for details, Pataki et al., 2003; Wehr and Saleska, 2017). These models differ in the way errors made on $1/\chi_{atm}$ and δ_{atm} (see Eq. (5)) relate to each other and whether they may be considered as constant over their measurement ranges. As such, they yield differences in δ_{ET} estimates. Hu et al. (2020) could illustrate the necessity
375 of choosing an appropriate regression model that reflects the dependency of spectrometer-specific errors on water vapour mixing ratio. Yepez et al. (2005) and Wang et al. (2013) combined the Keeling plot technique with their closed chamber

systems. During the course of measurement (e.g., 6 min in Yepez et al. (2005)) and for the Keeling plot approach to be valid, the increase of chamber water vapour mixing ratio (10-15 mmol mol^{-1} in Yepez et al., 2005) should not induce changes in both ET flow rate and isotopic composition. The fulfilment of this requirement of the Keeling plot technique is verified in a first

380   approach by the very existence of a linear relationship between chamber air $1/\chi$ and δ-values. However, it could be argued that the linear form of the regression equation should survive a linear change in either $1/\chi_{atm}$ and δ_{atm}. Another issue related to the use of chamber systems is the occurrence of water vapour condensation on the inside of the chamber or within the tubing system, e.g., following changes of incoming solar radiation during measurement. This may result in eventual isotopic fractionation leading to unreliable (i.e., unstable and underestimated) observations of chamber air δ-values. To avoid such

385   problems, the volume of the chamber is critical (i.e., the bigger the less sensitive to abrupt changes of outside conditions) and active ventilation is mandatory. Ventilation not only prevents from condensation problems and pressure anomalies (Longdoz et al., 2000) but also guarantees the prevalence of turbulent mixing conditions in the chamber. The latter may not be ensured by a high turnover rate alone, i.e., the ratio of chamber volume and flow rate of flushed air. It is an important prerequisite of the application of both techniques based on the Keeling plot and alternating measurements of the water vapour mixing ratio

390   and isotopic composition of inlet and outlet air (Eq. 7). Measurements with dynamically purged chambers, which are combined with the latter type of mass balance applications, may reduce the problem of condensation inside the chamber, which are combined with the latter type of mass balance applications, may reduce the problem of condensation inside the chamber. A possibility is to flush the chamber with dry air, so that the increase in water vapour mixing ratio and (positive or negative) change of isotopic composition measured at the outlet relative to the inlet directly reflect the volume and isotopic composition

395   of the moisture added by ET. Stable measurements over a certain time period, depending on both chamber volume and inflow rate, would indicate ISS, and δ_{ET} may be directly measured without any further calculations (e.g., Wang et al., 2010). However, dry air can stress the enclosed plants by artificially increasing the chamber air vapour pressure deficit, which ultimately can result in NSS conditions. In this case, a steady increase of chamber air χ should not be observed during the course of the measurement as it would be a sign of a significant difference of micrometeorological conditions (temperature, vapour pressure

400   deficit, and wind speed values) between ambient and chamber air.

As stated above, both the temporal Keeling plot and the flux-gradient techniques suffer from the need of a high spatial gradient in the water vapour mixing ratio and isotopic composition between the soil/canopy surface and the free atmosphere to obtain precise values of δ_{ET}. One alternative to sampling water vapour in atmospheric profiles at fixed heights is to use a small (~few meters high) field lift system, the modus operandi of which is based on the principles established by Mayer et al. (2009) and

405   Noone et al. (2013), for a continuous monitoring of atmospheric height profiles of the water vapour isotopic composition. To the authors' knowledge, only one study on an evergreen forest made use of the principle in the context of ET partitioning (Berkelhammer et al., 2016). Ney and Graf (2018) designed a portable lift system for measuring the atmospheric water vapour and CO_2 mixing ratios in the field for various crops at a half-hourly temporal resolution. Their system should allow for measuring highly vertically resolved water vapour isotopic profiles. For this, however, high-throughput and high-frequency

410   isotopic analysers are needed to provide reliable information on ecosystem fluxes. Commercially available cavity ring-down

laser spectrometers operate at low flow rate (φ) and frequency (f) (e.g., $25 \leq \varphi \leq 35$ ml min^{-1} and $f \approx 1$ Hz for the L2120-*i*, L2130-*i* and L2140-*I* by Picarro, Inc., Santa Clara, CA, USA) and are, thus, not suitable for such measurements. Other isotope analysers, such as the Quantum Cascade Laser (QCL) Trace Gas Monitor (Aerodyne, Inc., Billerica, MA, USA; $\varphi \leq 250$ l min^{-1} and $f = 10$ Hz) have the potential to fulfil the abovementioned requirements.

415 Compared to the Keeling plot and flux-gradient approaches, the eddy covariance technique derives from micrometeorological theory (first principles). Where applicable, this makes it a solid alternative less subjected to assumptions. However, and as a result of its high data acquisition rate and associated noise, the EC technique provides δ_{ET} estimates with higher uncertainty, largely determined by random measurement errors (Hollinger and Richardson, 2005; Loescher et al., 2006; Rannik et al., 2016). Good et al. (2012) determined this uncertainty to be proportional to the inverse of the correlation coefficient between
420 ω and χ_{atm}, i.e., the covariance of ω and χ_{atm} divided by the product of their measurement errors.

One important feature of the EC isotope technique resides in its ability to provide δ_{ET} estimates at the field scale and therefore demarks itself from the abovementioned approaches. Griffis et al. (2010) and Griffis et al. (2011) demonstrated the reliability of δ_{ET} data obtained with the eddy covariance technique from the agreement between measurements of water vapour mixing ratio and ET flux of their traditional infrared analyser (LI-7500, Licor, Inc., Lincoln, NB, USA) and a fast response and high
425 frequency water isotope spectrometer, i.e., the lead-salt tunable diode laser spectrometer TGA200A (Campbell Scientific, Inc., Logan, Utah, USA; $\varphi = 1.7$ l min^{-1} at $f = 10$ Hz). However and to our knowledge, the production of this instrument has been discontinued. Recently, Braden-Behrens et al. (2019; 2020) showed that EC measurements could be performed using a high flow ($\varphi \approx 4.2$ l min^{-1}) laser spectrometer clocked at 2 Hz only (2 Hz-HF-WVIA, Los Gatos Research Inc., San Jose, CA, USA). They underlined the importance of heating the sampling tubing at the point of intake in order to avoid problems of condensation
430 and high-frequency dampening as shown by spectra and cospectra.

3.2 Isotopic composition of evaporation

3.2.1 Methods

Two options are found in the literature (Fig. 2i) for determining the isotopic composition of the E flux, δ_E:

(i) by solving one of either mass balance equations (Eqs (7) or (11), see section 3.1) in combination with dynamically
435 purged closed bare soil chambers (15 % of the reviewed studies, e.g., Dubbert et al., 2013; 2014b), or

(ii) by solving the so-called 'Craig and Gordon equation' (Eq. (18) below), which is derived from the atmospheric part of a transport model of water stable isotopologues, based on an analogy to Ohm's law (Craig and Gordon, 1965) (69 % of the studies).

The two approaches differ in numerous aspects: while the first is non-destructive and requires on-line and continuous
440 measurements of a few variables (i.e., water vapour mixing ratio and isotopic composition of the chamber inlet and outlet air), the second relies – with the exception of the study of Quade et al. (2019) – on destructive sampling of the soil and offline analysis of the extracted water. The Craig and Gordon equation demarks itself from Eqs. (7) and (11) also due to its complex

parametrization. Craig and Gordon (1965) classically interpreted the temporal changes in δ_E of a free water body with the help of a linear resistance model. We will shortly present the widely used model variation for water bound to the soil media (For an in-depth review, the reader is kindly referred to Horita et al., 2008). The only significant difference to the original model is the evaporating front vertical coordinate (z_{EF}), which may not correspond to that of the soil surface depending on the evaporation stage (Or et al., 2013; Merz et al., 2018). The isotopic ratio of evaporation, R_E, is expressed as the ratio of ^iF_E and ^jF_E, i.e., the water vapour flux density rates [L^3 L^{-2} T^{-1}] in rare and abundant isotopologues, respectively, originating from the EF:

$$R_E = \frac{^jF_E}{^jF_E} = \frac{\Delta^i\chi_{atm}}{\Delta^j\chi_{atm}} = \frac{1}{^ir/^jr} \cdot \frac{^i\chi_{atm}(z_{EF}) - ^i\chi_{atm}(z_{atm})}{^j\chi_{atm}(z_{EF}) - ^j\chi_{atm}(z_{atm})}. \tag{15}$$

We note that Eq. (15) is analogous to Eq. (9) (Lee et al., 2007, see section 3.1), with the exception that the bulk resistances to vapour transport of the rare and abundant isotopologues (^ir and ^jr [T L^{-1}], respectively) are not assumed equal. It follows from the fact that ^ir and ^jr relate to the air layer delimited between z_{EF} and z_{atm} (and not between the two observation heights in Eq. (9)) where not only purely turbulent transport or eddy diffusivity, but also molecular diffusion and laminar flow are relevant. Furthermore, Craig and Gordon (1965) conceptualized the existence of a water vapour-saturated (superscript 'sat') air layer at the EF where isotopic thermodynamic equilibrium prevails:

$$^j\chi_{atm}(z_{EF}) = ^j\chi_{atm}^{sat} \tag{16a}$$

$$^i\chi_{atm}(z_{EF}) = ^i\chi_{atm}^{sat} = ^j\chi_{atm}^{sat}R_{sat} = ^j\chi_{atm}^{sat}\frac{R_{EF}}{\alpha_{eq}} \tag{16b}$$

where R_{sat} and R_{EF} are the isotopic ratios of the saturated air layer and of the soil liquid water at the EF, respectively. α_{eq} [-] is the isotopic equilibrium fractionation factor, first empirically determined by Majoube (1971) and later by Horita and Wesolowski (1994). α_{eq} depends on the soil temperature at the EF:

$$\alpha_{eq}(T_{EF}) = \exp\left(\frac{A}{T_{EF}^2} + \frac{B}{T_{EF}} + C\right) \tag{17}$$

with constants $A = 24844$, $B = -76.248$ and $C = 0.052612$ for ^{2}H and $A = 1137$, $B = -0.4156$ and $C = -0.0020667$ for ^{18}O. Craig and Gordon (1965) identified the ratio of bulk resistances $^ir/^jr$ as the isotopic kinetic fractionation factor (α_K [-]). Finally, by

(i)   considering that $^i\chi_{atm}(z_{atm}) = ^j\chi_{atm}(z_{atm})R_{atm}$,

(ii)   dividing Eq. (15)'s right hand term numerator and denominator by $^j\chi_{atm}^{sat}$, and

(iii)   converting R_E into δ_E, we obtain:

$$\delta_E = \frac{1}{\alpha_K(1-h_{atm})}\left(\frac{\delta_{EF}+1}{\alpha_{eq}} - (\delta_{atm}+1)h\right) - 1, \tag{18}$$

where h_{atm} [-] is the relative humidity of the ambient atmosphere measured at vertical coordinate z_{atm} and defined as the ratio $^j\chi_{atm}(z_{atm})/^j\chi_{atm}^{sat}$. The possible difference in temperature measured at z_{atm} and z_{EF} should be accounted for by normalizing h_{atm} to the saturated vapour pressure ([M L^{-1} T^{-2}], usually expressed in Pa) at the temperature of the EF (Rothfuss et al., 2010; Quade et al., 2019).

Craig and Gordon (1965) argued that the kinetic fractionation factor was inversely proportional to the ratio of the molecular diffusivities of $^1H_2^{16}O$ (^jD) and of either $^1H^2H^{16}O$ or $^1H_2^{18}O$ (^iD):

$$\alpha_K = \frac{^i r}{^j r} = \frac{^j D}{^i D} \quad . \tag{19}$$

Merlivat (1978) and later Luz et al. (2009) quantified the diffusivity ratios at 1.0251 and 1.0285 for $^1H^2H^{16}O$ or $^1H_2^{18}O$ isotopologues, respectively. Dongmann et al. (1974) (but see also Brutsaert, 1975) extended Eq. (19) to different aerodynamic regimes in the air boundary layer delimited by z_{EF} and z_{atm}:

$$\alpha_K = \left(\frac{^j D}{^i D}\right)^n \tag{19'}$$

where n [-] is an unitless factor ranging from 0.5 (corresponding to fully turbulent conditions) to 1 (fully diffusive), with a value of 2/3 representative of laminar flow conditions. Mathieu and Bariac (1996) proposed to define n in the case of evaporation from soil as a linear function of soil volumetric water content observed in the surface layer (θ_{surf} [L^3 L^{-3}], typically in cm^3 cm^{-3}). n would range between 0.5 when θ_{surf} reaches θ_{sat}, the water content value at saturation, and 1 for $\theta_{surf} = \theta_{res}$, the value of residual water content (see Fig. 5):

$$n = \frac{(\theta_{surf}-\theta_{res})n_{atm}+(\theta_{sat}-\theta_{surf})n_{soil}}{\theta_{sat}-\theta_{res}} \tag{20}$$

In Mathieu and Bariac's conceptual framework the establishment of a dry soil surface layer results in added isotopic resistance by increasing the relative importance of gaseous molecular diffusion (i.e., in the tortuous soil pores network) in the overall transport of water vapour from the EF towards the well mixed atmosphere. In case of a fully water-saturated layer in contact with the free atmosphere, the opposite happens: water vapour leaving the rough surface is preferentially transported in a turbulent manner, leading to smaller n values.

3.2.2 Progress and challenges

To calculate δ_E with the Craig and Gordon equation requires simultaneous observations of h_{atm}, T_{EF}, δ_{atm} and δ_{EF}. The first two variables are typically monitored with, e.g., capacitive sensing. As for δ_{atm}, its value is determined from online or offline isotopic analysis after sampling of the atmospheric water vapour (see section 3.1).

The variable most challenging to estimate is δ_{EF} (Fig. 5b and e). It greatly depends on how soil is sampled at the EF. However, there is no consensus on how this should be done in the literature (see column "Isotopic measurements" of Table A2). Some

studies do not precisely report the soil depth, which is considered to be the EF (e.g., Wang and Yakir, 2000; Yepez et al., 2003; Williams et al., 2004). In others studies (Yepez et al., 2005; Zhang et al., 2011; Dubbert et al., 2013) soil profiles are partially

500   or entirely sampled at higher vertical (cm) resolution. Pioneer works on isotopic transport in saturated/non-saturated isothermal soils under steady-state evaporation (Zimmermann et al., 1967; Allison, 1982; Barnes and Allison, 1983) showed that the EF, i.e., the theoretical and continuous boundary between the soil 'regions' dominated by either liquid or vapour flow (Fig. 5a and f), is associated with the highest isotopic composition (δ_{soil}^{liq}) value of the liquid soil water (Fig. 5d-f). Later this family of models was extended to unsaturated soil water conditions, non-isothermal conditions, and time-variable evaporation flux (e.g.,

505   Barnes and Allison, 1988; Barnes and Walker, 1989). More recently, Braud et al. (2005) and Haverd and Cuntz (2010) implemented isotopic transport in both liquid and vapour phases of the soil, with a coupling to temperature dynamics, in numerically solved SVAT models (SiSPAT-Isotope and Soil-Litter-Iso). All the above-mentioned studies underline the localized character of the EF and the strong isotopic gradient in liquid water at its location. The determination of the EF location may be problematic, especially in the case of a receding EF ($z_{EF} \neq z_{surf}$, Fig 5d), which is generally the case in arid

510   regions between rare precipitation events. Thus, sampling soil roughly from the surface does not allow for a precise determination of δ_{EF} and may lead to errors in δ_E estimates. Rothfuss et al. (2010) could demonstrate for a well-watered soil (i.e., with $z_{EF} = z_{surf}$, Fig 5b) that sampling of only a few cm of soil at the surface and using the corresponding δ_{surf} in Eq. (18) could lead to a significant underestimation of δ_E. This would lead in turn to an overestimation of T/ET, since negative changes in δ_E translate into positive changes in T/ET, i.e., $\frac{\partial\left(\frac{T}{ET}\right)}{\partial(\delta_E)} = \frac{\delta_{ET}-\delta_T}{(\delta_T - \delta_E)^2} < 0$ (when $\delta_{ET}<\delta_T$, which is generally the case).

515   The spatial (vertical) resolution of the soil sampling should therefore be as high as possible to be able to identify z_{EF} precisely. For their specific case, Brunel et al. 1997 estimated also that the determination of the δ_{EF} value was the greatest source of uncertainty of T/ET.

After sampling in the field, water is recovered from the soil in the laboratory using one of six extraction methods: cryogenic vacuum extraction (Araguás-Araguás et al., 1995; West et al., 2006), azeotropic distillation (Revesz and Woods, 1990), direct

520   vapour equilibration (Wassenaar et al., 2008), high pressure mechanical squeezing (Kelln et al., 2001), centrifugation (Mubarak and Olsen, 1976), or microwave extraction (Munksgaard et al., 2014). Other methods include the use of soil liquid water samplers (Wenninger et al., 2010; Sutanto et al., 2012). Finally, δ_{EF} is measured by isotope ratio mass spectrometry (IRMS) or isotope ratio infrared spectrometry (IRIS). Note that an alternative consists in letting soil water directly equilibrate with CO_2 without the need for water extraction (one study: Ferretti et al., 2003; after the method of Scrimgeour, 1995). In this

525   framework, pure CO_2 is injected in the exetainer containing the soil sample following evacuation. After a three day-long water-CO_2 equilibration period, the $\delta^{18}O$ value of CO_2 is measured by isotope mass spectrometry and used to infer that of water at equilibrium. Orlowski et al. (2016a; 2016b) provided evidence from laboratory benchmarks of the different techniques that the isotopic composition of the recovered water could be sensitive to the extraction approach and extraction time as well as to the soil type, and water and organic content values. The same authors also observed that IRMS and IRIS techniques yielded

530   different results in general, and especially for clay loam soil water, which they related to interferences in the absorption

spectrum during analysis with the latter technique. In addition, Orlowski et al. (2018) concluded from a worldwide inter-comparison of cryogenic vacuum extraction facilities that the general consensus in the isotopic ecohydrology community, stating that cryogenic vacuum extraction is the standard water recovery technique, should be questioned. Orlowski et al. (2016a; 2016b; 2018) highlighted the limitations of the most popular extraction approach, i.e. based on the combination of

535   destructive sampling and vacuum extraction (69 % of the reviewed studies), which calls for the development of other techniques for a precise quantification of δ_{EF}.

In the last few years Rothfuss et al. (2013), Volkmann and Weiler (2014) and Gaj et al. (2016) successfully validated and tested alternatives to destructive sampling and offline isotopic analysis approaches. They developed systems based on the combination of gas-permeable membranes (e.g., rigid hydrophobic microporous polypropylene, Membrana GmbH, Germany,

540   or polyethylene, Porex Technologies, Aachen, Germany) with laser-based spectrometry for the non-destructive collection of the soil atmosphere and the online monitoring of its water vapour isotopic composition (δ_{soil}^{vap}). For this, the soil atmosphere is either

(i)   flushed with a carrier gas (dry synthetic air, i.e., 20.5% in N_2, or 100 % N_2) at low flow rate in the range of 50-100 ml min^{-1} (Rothfuss et al., 2013; Volkmann and Weiler, 2014; Gaj et al., 2016) or

545   (ii)   extracted with a vacuum pump (Volkmann and Weiler, 2014).

Both modi operandi allow for long-term and repeated measurements across the soil profile provided that condensation is avoided in the sampling line. For this, the collected air, which is (quasi-)saturated with water vapour, is diluted with the carrier gas and the sampling lines are heated, if necessary (Quade et al., 2019; Kühnhammer et al., 2019). Rothfuss et al. (2013) observed near-isotopic equilibrium conditions between liquid and vapour in the soil pore space, and provided temperature

550   calibration equations yielding results analogous to those of Majoube (1971) and Horita and Wesolowski (1994) for converting δ_{soil}^{vap} into δ_{soil}^{liq} values. They further show that isotopic equilibrium conditions still prevailed at low soil volumetric water content, possibly also for soil water vapour relative humidity values lower than one. Their method was successfully applied to laboratory experiments with sand (Gangi et al., 2015; Rothfuss et al., 2015) and silt loam (Quade et al., 2018). Oerter et al. (2017) compared δ_{soil}^{liq} values estimated with the monitoring method of Rothfuss et al. (2013) on the one hand, and the direct

555   equilibrium and vacuum extraction methods on the other hand. They found a good correlation between the two approaches (root mean square error – RMSE equal to 0.6 ‰ for $\delta^{18}O$ and within 1.7-3.1 ‰ for δ^2H). Volkmann and Weiler (2014) tested their own design of a water vapour probe under field conditions and could show that it produced δ_{soil}^{liq} values in agreement with those following destructive sampling and isotopic analysis with the direct equilibrium method (Garvelmann et al., 2012). The inter-method (destructive vs. non-destructive) RMSE values were comparable to the intra-method variability of soil water δ-

560   values. The latter variability could not be disentangled into systematic methodological error and natural (lateral) heterogeneity in soil water isotopic composition. Kübert et al. (2020) conducted a comparison study of the method of Rothfuss et al. (2013) with cryogenic vacuum extraction and centrifugation during an irrigation pulse-labelling experiment in a semi-natural

temperate grassland. They highlighted that the non-destructive method could capture temporal dynamics of the isotopic composition, while destructive sampling included both the temporal change and spatial heterogeneity.

565 To date there are two ET partitioning studies, in which δ_E was determined from non-destructive isotopic analysis using soil liquid water-water vapour equilibration. Quade et al. (2019) applied the method of Rothfuss et al. (2013) in a sugar-beet field in a temperate climate (Germany), while Gaj et al. (2016) used commercially available soil gas probes (BGL-30, METER Group, Munich, Germany), following the same modus operandi as Volkmann and Weiler (2014), during a field study in central Namibia under semi-arid conditions. Such applications are promising for the specific purpose of partitioning ET, as they

570 provide insights into sub-daily dynamics of δ_E from the online assessment of the positioning and isotopic composition of water at the EF. However, one noticeable disadvantage is the need for deploying a laser spectrometer at the experimental site. A possible way around has been lately proposed by Havranek et al. (2020) as a compromise: water vapour samples are collected and stored automatically in flasks from the soil profile in the field following the approach of Rothfuss et al. (2013) and transported back to the laboratory where the isotopic analyses are performed.

575 Another important factor that influences the precision of δ_E estimates is the choice of the value of the kinetic fractionation factor α_K. Only a handful of studies attempted to estimate or model α_K for soil E. Braud et al. (2009) simulated α_K values during long-term laboratory experiments with the SVAT model SiSPAT-Isotope. They found a decreasing trend in α_K value from saturated to unsaturated soil conditions, which contradicts the model of Mathieu and Bariac (1996). Results similar to the study by Braud et al. (2009a) were obtained by Rothfuss et al. (2015) during a long-term soil column laboratory experiment. Quade

580 et al. (2018) tested two different methods for quantifying α_K during a series of bare soil evaporation experiments on monoliths (100 L soil volume) under semi-controlled conditions, i.e.,

(i)   by inversion of the Craig and Gordon equation (Eq. (18)) in a single isotope-framework (i.e., based on either δ^{18}O or δ^2H values) with input variables h_{atm}, $T_{EF,}$ δ_{atm}, δ_{EF}, and δ_E;

(ii)   by inversion of the Craig and Gordon equation in a dual isotope-framework. More specifically, α_K is determined from

585   the approximation of the slope of the soil E line ($S_E[-] = \Delta\delta^2 H_{soil}^{liq}/\Delta\delta^{18}O_{soil}^{liq}$) in a [$\delta^{18}$O, δ^2H] coordinate system following Gat (2000):

$$S_E(t) = \frac{\left[h(t)\left(\delta_{atm}(t)-\delta_{EF}(t-1)\right)+\varepsilon_{eq}\ (t)+\Delta\varepsilon(t)\right]_{2_H}}{\left[h(t)\left(\delta_{atm}(t)-\delta_{EF}(t-1)\right)+\varepsilon_{eq}(t)+\Delta\varepsilon(t)\right]_{18_O}},\tag{21}$$

with t the time stamp and $\Delta\varepsilon$ [-], the so-called kinetic effect, is defined as

$$\Delta\varepsilon = (1 - h_{atm})(\ ^jD/\ ^iD - 1)n.\tag{22}$$

590 with superscripts i and j standing for the least and most abundant isotopologues, respectively. Equation (21) is solved in an implicit manner, in other words, S_E values simulated for time stamp t depend on δ_{EF} observation made at time stamp (t –1). The n value is then extracted from Eq. (21) from the confrontation of measured and simulated S_E, and finally fed into Eq. (19') to retrieve α_K values. Quade et al. (2018) showed that α_K could not be considered as a constant value solely depending on flow

conditions as proposed by Dongmann et al. (1974) or determined from soil water content following Mathieu and Bariac (1996) (Eq. (20)). The second approach yielded α_K values in agreement with the literature (e.g., Merlivat, 1979). Quade et al. (2018) concluded that turbulent transport still played a significant role during the evaporation process, also under non-saturated conditions. These studies show that further sensitivity analyses of α_K to environmental conditions are needed to provide realistic estimates of δ_E and ultimately of T/ET values. To our knowledge, there is no ET partitioning study in the field where α_K was considered to dynamically change (other than via the model of Mathieu and Bariac, 1996) depending on the contribution of air turbulence to water vapour transport in the free and canopy atmosphere, e.g., from measurements of the wind profile within and above the canopy (Brutsaert, 1975).

Another source of uncertainty arises from a lack of precise knowledge of the state of water vapour saturation at the EF (Braud et al., 2005; Rothfuss et al., 2015). In the Craig and Gordon equation, the kinetic fractionation factor is weighed by the term $(h_{EF} - h_{atm})$ where h_{EF} is generally assumed equal to 1, representative of saturated conditions at the EF. However, this assumption may not stand for dry soils considering the relationship between soil water matric potential ψ_{EF} [M L^{-1} T^{-2}, typically expressed in hPa or cm water height] and pore space relative humidity at the EF (h_{EF}), as given by the Kelvin law:

$$h_{EF} = \exp\left(\frac{\psi_{EF} M_w}{\rho_w R_{gas} T_{EF}}\right). \tag{23}$$

M_w and ρ_w [M L^{-3}] are the molar and volumetric masses of water, respectively, and R_{gas} [M L^{-1} T^{-3}] the universal gas constant. Table 1 presents three different degrees of saturation of the soil vapour phase under isothermal conditions ($T_{EF} = 20°C$) and their corresponding hydrogen and oxygen isotopic composition values of the E flux ($\delta^2 H_E$ and $\delta^{18} O_E$). A decrease in h_{EF} from 100 to 99.9 %, corresponding to an increase in the absolute ψ_{EF} value from 0 to 1000 hPa (i.e., from saturation to pF=3) leads, for example, to an increase of 1.5 ‰ in $\delta^2 H_E$ and $\delta^{18} O_E$. A decrease in h_{EF} from 100 to 99.3 (increase from 0 to 10000 hPa, i.e., pF=4) would translate into an increase of 13 ‰ in $\delta^2 H_E$ and $\delta^{18} O_E$. Both $\delta^2 H_E$ and $\delta^{18} O_E$ are affected in the same way by the change in value of the factor $\frac{1}{\alpha_K(h_{EF} - h_{atm})}$ (see Eq. (18)), i.e., approximatively 2.0‰ per 0.1% relative humidity. This may have a noticeable effect on the computation of T/ET, especially for $\delta^{18}O$, for which the difference $\delta_T - \delta_E$ is usually smaller than for $\delta^2 H$. Gas-exchange chambers and other experimental setups with semi-controlled conditions (such as weighing lysimeters) provide means to test the validity and existence of the abovementioned hypotheses and complications (e.g., Dubbert et al., 2013; Groh et al., 2018).

3.3 Isotopic composition of transpiration

3.3.1 Methods

The determination of the isotopic composition of T, δ_T, in the reviewed literature is mainly depending on the underlying hypothesis on isotopic steady or non-steady state (NSS) of T. While 42 % of all reviewed studies assume isotopic steady state (ISS), in other words that δ_T is time-invariant, 58 % do not make such an assumption but assume a transient state, i.e. NSS (Fig. 2j). This has substantial implications for the materials and methods used for the determination of δ_T. In the ISS approach,

625 δ_T is directly inferred from the isotopic value of the leaf water source (δ_{xyl}), i.e., the water in the xylem vessels supplying the leaf water reservoir. This assumption is based the fact that at ISS the flux density rate of the least abundant ($^iF_{xyl}$) (respectively most abundant, $^jF_{xyl}$) isotopologue entering the leaf equals the flux density rate of the least abundant (^iF_T) (most abundant, ^jF_T) isotopologue leaving it by transpiration:

$$^jF_{xyl} = {}^jF_T, \tag{24a}$$

630 $$^iF_{xyl} = {}^iF_T \Rightarrow {}^jF_{xyl}\delta_{xyl} = {}^iF_T\delta_T \Rightarrow \delta_{xyl} = \delta_T. \tag{24b}$$

Note that in this framework an instantaneous change in ^jF_T, if compensated by a corresponding change in $^jF_{xyl}$, should maintain the relationship $\delta_{xyl} = \delta_T$ (Eq. (24b)). In reality, a change in ^jF_T, due to variations in environmental factors (e.g., vapour pressure deficit of the free atmosphere and incoming solar radiation) implies a change in root water uptake depth profile, which in turn affects δ_{xyl} in case of a heterogeneous distribution of the soil water isotopic composition (Rothfuss and

635 Javaux, 2017). A new ISS is eventually reached, depending on the leaf water turnover time, i.e. the ratio of leaf water volume and transpiration rate (Dongmann et al., 1974; see below). To access xylem water, authors destructively sample stems (e.g., Wei et al., 2018; Quade et al., 2019), branches (e.g., Williams et al., 2004), or root water (Bijoor et al., 2011), and recover their water by, e.g., cryogenic vacuum extraction.

The NSS approach for determining δ_T relies either on direct non-destructive monitoring (i.e., leaf chamber-based

640 measurements, e.g., Wang et al., 2010) or on destructive sampling of plant material and subsequent extraction of water (e.g., Dubbert et al., 2013). In the former case, the modus operandi is the same as when operating ET and E chambers coupled to mass balance equations (see sections 3.1 and 3.2, respectively), except that one single leaf or several leaves are enclosed in the chamber (with a volume ranging from 150 to 190 cm^3 in the literature), rather than the entire plant. It is then generally assumed that the leaf-scale δ_T estimate is also representative for the whole plant (e.g., Good et al., 2014). In the case of destructive

645 sampling, δ_T is modelled on the basis of environmental factors (leaf temperature and free atmosphere relative humidity) and isotopic variables. Two cases can be distinguished:

(i) δ_T is determined from the value of the isotopic composition of the leaf bulk water, δ_L, with the Craig and Gordon equation adapted to plant T (Sun et al., 2014; Hu et al., 2014):

$$\delta_T = \frac{1}{\alpha_K(1-h)}\left(\frac{\delta_L+1}{\alpha_{eq}} - (\delta_{atm} + 1)h\right) - 1; \tag{18'}$$

650 (ii)   the isotopic composition of leaf water may not be available, but that of its source, δ_{xyl}, is. The δ_T value is calculated after the relationship of Dongmann et al. (1974), which describes the temporal course of δ_L at constant transpiration rate value (i.e., at permanent flow for T). The authors expressed the rate of change in δ_L as a function of the instantaneous difference between δ_{xyl} and δ_T at time t, by considering the leaf bulk water (delimited by volume per

unit leaf area V_L [L^3 L^{-2}]) to be transpired into ambient air at permanent flow (i.e., at density rate $^jF_T = {^jF_{xyl}}$, as in Eq. (24a)):

$$d\delta_L = \frac{^jF_T}{V_L}\left(\delta_{xyl}(t) - \delta_T(t)\right) dt. \tag{25}$$

By combining Eqs. (18') and (25) and considering that δ_{xyl} is time-invariant, we obtain a first-order differential equation for δ_L, which yields after integration to:

$$\delta_L(t) = \delta_L(t \to +\infty) - \left(\delta_{xyl} - \delta_L(t \to +\infty)\right) \exp\left(-\frac{t}{\tau_L}\frac{1}{\alpha_{eq}\alpha_K(1-h_{atm})}\right), \tag{26}$$

where the leaf water turnover time, τ_L, is defined as the ratio $\frac{V_L}{^jF_T}$ and $\delta_L(t \to +\infty) = \delta_{L\_ISS}$, the isotopic composition of leaf bulk water when an isotopic steady state is reached. The latter term is expressed as:

$$\delta_{L\_ISS} = \alpha_{eq}\left[\alpha_K(1 - h)\left(\delta_{xyl} + 1\right) + h_{atm}(\delta_{atm} + 1)\right] - 1. \tag{27}$$

By (i) noting $\alpha_{eq} = \varepsilon_{eq} + 1$ and $\alpha_K = \varepsilon_K + 1$, where ε_{eq} and ε_K [-] are the equilibrium and kinetic fractionations, respectively, and (ii) dropping terms with products $\varepsilon_{eq} \times \varepsilon_K$, we obtain the following expression of the difference in isotopic composition between leaf and source waters at ISS:

$$\delta_{L\_ISS} - \delta_{xyl} = \varepsilon_{eq} + \varepsilon_K + h_{atm}\left(\delta_{atm} - \delta_{xyl} - \varepsilon_K\right) \tag{27'}$$

We note that Eq. (27') is the inversion of the Craig and Gordon equation at ISS, i.e., when $\delta_T = \delta_{xyl}$. Finally, δ_T is computed with the NSS-Craig and Gordon equation, i.e., Eq. (18'). Eq. (26) states that, at permanent state for transpiration, the degree of attainment of ISS conditions in the leaf is a function of time, leaf internal dynamics (τ_L) and (isotopic) aerodynamic boundary conditions. The formula of Dongmann et al. (1974) requires two additional parameters as compared to the more 'straightforward' application of the Craig and Gordon equation, namely leaf transpiration (^jF_T) and volume (V_L), both labour-intensive to obtain and associated with high uncertainties.

Both case scenarios (i) and (ii) make the assumption that leaf water is a well-mixed reservoir, in other words that only convective transport of the water isotopologues occurs, leading to $\delta_L = \delta_{Lts}$, where δ_{Lts} is the isotopic composition of water at the leaf transpiration sites. However, a number of studies reported strong isotopic variations within the leaf water pool (i.e., among different compartments such as leaf veins, cell walls, and symplastic water, see e.g., Yakir et al., 1989; Wang et al., 1998; 1994; Bariac et al., 1994), which can be related to hydraulic separation of water pools and diffusive transport from the transpiration sites towards the petiole of the leaf. Another explanation may be found in the heterogeneity in opening of the leaf stomata (Farquhar et al., 2007). More specifically, δ_{Lts} should be significantly higher than the bulk leaf water isotopic composition value δ_L, which leads to an underestimation of δ_T by the direct application of the Craig and Gordon equation. Walker et al. (1989), Walker and Brunel (1990), and Flanagan et al. (1991) considered in a first approach two distinct water pools in the leaf, one in isotopic equilibrium with water vapour in the stomatal cavity (of isotopic composition δ_{Lts_ISS}) and

one isotopically undistinguishable from xylem water (of isotopic composition δ_{xyl}) in respective proportions p and $(1-p)$. In these three studies, an analogous expression to Eq. (27') is used where p is accounted for:

$$\delta_{\text{Lts\_ISS}} - \delta_{xyl} = \frac{\delta_{L_{\text{ISS}}} - \delta_{xyl}}{p} = \varepsilon_{eq} + \varepsilon_{K} + h_{atm}(\delta_{atm} - \delta_{xyl} - \varepsilon_{K}) \tag{27''}$$

They suggested that there was a midday maximum for T density rate from the corresponding minimum value for p. Cernusak et al. (2002) and Farquhar and Cernusak (2005) proposed a similar equation to that of Dongmann et al. (1974) for the evaporative isotopic enrichment in leaves in NSS conditions, but without considering the leaf water volume per unit area constant in time. Eq. (25) becomes in their case:

$$d(V_L\delta_L) = {}^jF_T\left(\delta_{xyl}(t) - \delta_T(t)\right)dt. \tag{25'}$$

By replacing δ_{xyl} and δ_T in the right hand-term of Eq. (25') by the ISS- and NSS-Craig and Gordon equation forms, respectively, the authors give an expression relating the rate of change of δ_L with the difference between $\delta_{\text{Lts\_ISS}}$ and δ_{Lts}:

$$\frac{d(V_L\delta_L)}{dt} = \frac{{}^j\chi_{int}}{{}^jr \times \alpha_K\alpha_{eq}}\left(\delta_{\text{Lts\_ISS}} - \delta_{\text{Lts}}\right), \tag{28}$$

where ${}^j\chi_{int}$ and ${}^jr$ are the water vapour mixing ratio in the intercellular space and, as in section 3.2, the resistance to vapour flow of the ${}^1H_2^{16}O$ isotopologue in air, respectively. It is therefore possible, by fitting the time course of the bulk leaf water isotopic composition δ_L to deduce δ_{Lts}, on the basis of which δ_T is finally determined using Eq. (18') (Yepez et al., 2005). α_{eq} is, as in section 3.2, calculated following the closed-form equations of, e.g., Horita and Wesolowski (1994) (Eq. (17)). As for α_K, its expression is adapted to include the series of flow resistances of water vapour isotopologues inside the stomatal cavity/through the stomatal opening (${}^ir_{sto}$ and ${}^jr_{sto}$ [T L^{-1}]) and in the leaf boundary layer (${}^ir_{bdl}$ and ${}^jr_{bdl}$ [T L^{-1}]) (Jarvis, 1976; Stewart, 1988). Farquhar et al. (1989) (and see also Cernusak et al., 2005; Farquhar et al., 2007) considered that molecular diffusion drives the transport of the different water vapour isotopologues in the first case, and that turbulence prevails in the second, leading to n exponent values of 1 and ½, respectively (Dongmann et al., 1974; Eq. (19')). In this framework, α_K is decomposed as:

$$\alpha_K = \frac{{}^ir}{{}^jr} = \frac{{}^ir_{sto} + {}^ir_{bdl}}{{}^jr_{sto} + {}^jr_{bdl}} = \frac{\left(\frac{{}^jD}{{}^iD}\right)^1 \cdot {}^jr_{sto} + \left(\frac{{}^jD}{{}^iD}\right)^{1/2} \cdot {}^jr_{bdl}}{{}^jr_{sto} + {}^jr_{bdl}} \tag{29}$$

Cuntz et al. (2007) proposed a general iterative solution of Dongmann et al. (1974)'s formulation revisited by Cernusak et al. (2002) (Eq. (28)) under various scenarios depending on considerations regarding leaf water reservoir isotopic homogeneity ($\delta_L = \delta_{\text{Lts}}$ or $\delta_L \neq \delta_{\text{Lts}}$) and volume ($dV_L/dt = 0$ or $dV_L/dt \neq 0$). Dubbert et al. (2013) applied their solution in the case of an isotopically well-mixed leaf water pool transpiring at constant volume, and expressed the incremental change in δ_L from time step t to $t+dt$ as:

$$\delta_{\mathrm{L}}(t + dt) = \delta_{\mathrm{L\_ISS}} + \left(\delta_{\mathrm{L}}(t) - \delta_{\mathrm{L\_ISS}}\right) \exp\left(-\frac{g_s{}^j \chi_{\mathrm{int}}}{\alpha_K \alpha_{\mathrm{eq}} V_{\mathrm{L}}} dt\right) \tag{30}$$

710   where g_s [L T^{-1}] is the total stomatal conductance.

3.3.2 Progress and challenges

The isotopic composition of T may be derived under NSS conditions from plant chamber measurements following Eq. (7) (section 3.1), either at the leaf level or at the branch level. While most studies developed and operated custom-made chambers, only few (e.g., Wang et al., 2010) used commercially available leaf chambers (e.g., LICOR-6400, Nebraska, USA). Chamber

715   measurements have several disadvantages as discussed in section 3.1, but are essential for monitoring δ_T directly without relying on additional modelling steps using either δ_{xyl} or δ_{L}. The important two features of the chamber-based method are that it does not require the assumption of ISS, and that it allows for repeated (i.e., non-destructive) measurements on the same leaf or ensemble of leaves during the course of the day. On the other hand, the determination of δ_{xyl} or δ_{L}, which is largely based on destructive sampling and water recovery with, e.g., cryogenic vacuum extraction, is also associated with uncertainty (e.g.,

720   Orlowski et al., 2016a; 2016b; Millar et al., 2018). The choice of an appropriate method for sampling xylem water is also crucial for a correct determination of δ_T. For example, herbaceous, grass or crop species do not have suberized stems, thus destructive sampling would have to rely on leaf water sampling or sampling the plant culm belowground, which is highly destructive and may not be possible on plots of common size. Recently, Chen et al. (2020) documented during a series of laboratory-controlled experiments that the apparent offset measured between the hydrogen isotopic composition in sap xylem

725   and source water of different Mangrove plant species was the result of artefacts during the vacuum extraction process, rather than due to isotopic fractionation during water uptake. This could be a reason for hydrogen isotopic offsets reported elsewhere in the literature (e.g., Ellsworth and Williams, 2007; Barbeta et al., 2019). If applicable to other species, the results of Chen et al. (2020) would suggest caution in determining T/ET values based on the determination of $\delta^2\mathrm{H}_T$ directly from $\delta^2\mathrm{H}_{\mathrm{xyl}}$ at ISS (Eq. (24b)) or considering NSS conditions using, e.g., the Craig and Gordon (1965) equation (Eq. (18')).

730   A novel type of non-destructive method, first published by Volkmann et al. (2016) and lately by Marshall et al. (2020), could enable monitoring δ_T of trees at an equivalent temporal resolution and even greater temporal coverage than with leaf- or plant-scale chamber systems. In the former study, several 10-mm outer diameter gas probes designed after Volkmann and Weiler (2014) (see section 3.2) were inserted into pre-drilled holes in the trunk sapwood of two individuals of *Acer campestre* L. The probes were positioned at breast-height in various azimuths. By assuming isotopic equilibrium between the water vapour

735   sampled by the probe and flushed to the laser spectrometer and the xylem (liquid) water, the authors computed δ_{xyl} values from the temperature-dependent relationships given by, e.g., Majoube (1971) and Horita and Wesolowski (1994). For comparison, tree sapwood was destructively sampled and its water isotopic composition measured with IRMS after cryogenic vacuum extraction. A good agreement was found between online measurements and offline analysis of xylem water hydrogen isotopic composition. The inter-method bias regarding the determination of xylem water $\delta^{18}\mathrm{O}$ was thought to be due to spectral

740   interferences during online analysis with the laser spectrometer. The experimental natural conditions did not allow the authors

to conclude if differences in δ_{xyl} among the different gas probes reflected actual diurnal variations in root water uptake or preferential connection between xylem vessels and specific parts of the root system that were not affected by the labelling pulse. The authors underline the difficulty with their experimental design to precisely measure the temperature of equilibration in the gas probe (needed for converting sample water vapour to xylem water isotopic composition), due to the high lateral temperature gradient and its daily course. Marshall et al. (2020) tested a cruder way (which they entitled the "Borehole Equilibration") to sample water vapour originating from xylem water of two pine tree species (*Pinus sylvestris* L. and *Pinus pinea* L.) under semi-controlled conditions. Contrary to Volkmann et al. (2016), the authors (i) did not use a gas probe but simply connected a hole drilled horizontally through the trunk to a laser spectrometer with gas sampling lines. Furthermore, (ii) the experiments were performed in hydroponic water solutions to enable a quasi-instantaneous change of the isotopic composition of the water source, thereby setting defined lower isotopic boundary conditions for further modelling efforts. To test the practicability of the method, the experimental results were confronted with a 'Dongmann-like' NSS formulation of the isotopic composition of the water vapour stream, in which the geometry and its consequence on the diffusion from the borehole surface and on the establishment of laminar flow transport were explicitly accounted for. With their model, the authors tested whether the sampled water vapour was in isotopic equilibrium with xylem water or was the product of evaporation from it. It was shown that the prevalence of a full isotopic equilibrium was a reasonable assumption and that the flow-through time (i.e., borehole volume divided by the flow rate) was 20 times greater than the time needed for diffusion of water vapour originating from the xylem vessels into the laminar flow region in the middle of the borehole section. Both methods present a drastic advancement in isotopic analysis of xylem water and have great potential in the context of ET partitioning of forest ecosystems, on the pivotal condition that the steady state assumption ($\delta_{xyl} = \delta_T$) applies during periods of measurements. The long-term applicability of the method, i.e., the ability of the investigated tree species to withstand the invasive and destructive installation of the probe, still needs to be proven at this point.

While the coupling between gas-exchange chambers and laser spectrometers has the advantage of directly measuring δ_T, the aforementioned destructive sampling method and in-situ monitoring technique quantify δ_L or δ_{xyl}, therefore may require a modelling step to obtain δ_T. A number of studies (e.g., Zhou et al., 2018; Wei et al., 2015; Aouade et al., 2016; Volkmann et al., 2016) assume ISS and hence treat δ_{xyl} as equal to δ_T, Although this assumption is probably justified for a daily integration, there is growing evidence that plants reach ISS only briefly in the course of a day, especially when environmental conditions change rapidly (Simonin et al., 2013; Dubbert et al., 2014b; 2017). Thus the analysis is greatly simplified by daily integration, if that is sufficient for the study objectives. Moreover, the leaf water turnover time, which can effectively be described by stomatal conductance (g_s), vapour pressure deficit and leaf water volume, is species-specific and ranges from several minutes to several hours (Song et al., 2015). As the leaf water turnover time describes the necessary time for leaf water to reach ISS (see exponent terms in Eqs. (26) and (30)), ISS can either be observed for large parts of the day (e.g., in many herbaceous species) or not at all (e.g., in plant species strongly controlling their g_s, see Dubbert et al. (2017) and Dubbert and Werner (2019) for an overview). Therefore, the validity of assuming ISS for the purpose of ET partitioning will largely depend on the desired temporal scale: considering NSS is definitely necessary at sub-diurnal to diurnal scale, but unimportant at larger time

scales. In case NSS is likely to occur, δ_T can be modelled using a 'Dongmann version' of the Craig and Gordon equation, as shown in the previous sub-section 3.3.1 (Dongmann et al., 1974). However, this complicates the partitioning approach considerably in comparison to direct chamber measurements of δ_T, as a large number of additional observations are necessary. In particular, g_s and the canopy temperature are important input parameters. Therefore, the use of chamber measurements is highly recommended in any case.

4 Summary and possible ways forward

The isotopic methodology for partitioning ET relies on a number of possible combinations of different techniques, which differ in numerous aspects. While some of them are based on destructive sampling and water recovery using one of the aforementioned methods (e.g., cryogenic vacuum extraction, direct liquid-vapour equilibrium, see section 3.2) and *a posteriori* analysis in the laboratory (e.g., for determination of δ_E using the Craig and Gordon equation), other methods are non-destructive, provide online measurements and do not include a strong modelling component (e.g., determination of δ_T with plant chambers with one of two mass-balance techniques). Destructive approaches do not require the handling of soil, plant, or soil & plant chambers, nor the deployment of a laser spectrometer along with its conditioning system in the field. They should also allow for capturing the inherent spatial variability with repeated sampling (however, at the cost of long hours spent in sample preparation and water extraction). Non-destructive methods, such as chambers, may on the other hand provide environmental conditions for the enclosed plant that are not representative of ambient conditions.

Up to now only indirect methods, e.g., based on Scanlon and Kustas (2010), might be able to provide continuous and sub-daily estimates of T/ET. Some methods, such as the Keeling plot technique, can provide long-term continuous estimates of δ_{ET} once a meteorological mast is installed in the field. It is, on the other hand not advisable to enclose a plant in a chamber over longer time periods. Within the realm of destructive techniques, the user may assume ISS or test its existence when determining the isotopic composition of T. The techniques, with which δ_{ET} is estimated generally differ in terms of spatial significance as compared to those for determining δ_E and δ_T. Estimates of δ_{ET} obtained either with the EC, Keeling plot, or flux-gradient technique are thought to be representative at the field scale (e.g., as represented by the EC footprint). Note that this is also a problem encountered in (non-isotopic) instrumental approaches for partitioning ET, including EC, micro-lysimeters and soil chambers (Kool et al., 2014). To account for these discrepancies in spatial representativeness, several micro-lysimeters and (if possible automated) chambers are deployed on site, e.g., within the framework of global networks (e.g., FLUXNET; Law et al., 2002). On the contrary, there is no consensus to date on a common methodological ground for partitioning ET in the field on the basis of water stable isotopic measurements, depending on the type of land cover and use (agricultural, grassland or forest ecosystems).

It is the authors' belief that non-destructive and online methods integrated into automated sampling platforms and part of long-term (e.g., multi-year) water flux observatories should be preferred over destructive and punctual assessments of T/ET values. In this (ideal) framework, we propose that

(i)   the seminal effort in applying the EC technique by Griffis et al. (2010) should be continued to provide half-hourly and continuous ecosystem-scale δ_{ET} estimates. The δ_{ET} estimates obtained with the EC technique should be corroborated/confronted with the Keeling plot and the flux-gradient approaches to identify possible scale-dependent disparities in surface isotopic signals as in Good et al. (2012);

(ii) δ_E should be monitored by installing gas-permeable membranes or tubing (see section 3.2) in the upper layers of the soil, depending on site-specific knowledge regarding the receding of the EF. While the gas probes of Volkmann and Weiler (2014) and Gaj et al. (2016) are better-suited for insertion at different locations in a soil profile, the membrane tubing used by Rothfuss et al. (2013), Oerter and Bowen (2019) and Kübert et al. (2020) allow to cover more ground surface by using a customized length of tubing. This should help to increase the representativeness of the δ_E value estimated from the soil water vapour isotopic composition and the use of the Craig and Gordon equation. When using the model of Craig and Gordon (1965), authors should systematically perform sensitivity analyses of

      a.   the depth of the EF and its water isotopic composition, and

      b.   the value of the kinetic fractionation factor, α_K.

These analyses will provide insights into the uncertainty of T/ET, in addition to the uncertainty originating from the solution of the two end-member equation (Eq. (1)) (Rothfuss et al., 2010). This is, however, under-investigated according to our literature review. The α_K value may be derived in a dual-isotope space using the formulation of Gat (2000), rather than based on unclear assumptions regarding the type of transport (molecular diffusion, laminar or turbulent transport) controlling the flow of water stable isotopologues (see section 3.2). As a side note (and without a proof of concept for this), the δ_E value may be directly determined in the case of a well-developed dry surface on the basis of non-destructive measurements of the soil water vapour isotopic composition (δ_{soil}^{vap}) at two depths (z_1 and z_2) located between the EF and the soil surface. For this, the Craig and Gordon equation may be used without the need to locate the soil EF nor to assume liquid-vapour equilibrium:

$$\delta_E = \frac{\delta_{soil}^{vap}(z_1) \cdot h(z_1) - \delta_{soil}^{vap}(z_2) \cdot h(z_2)}{\frac{j_D}{i_D}(h(z_1) - h(z_2))} - 1; \tag{18''}$$

(iii)   several transparent flushed plant or leaf-size chambers should be operated at the study site to characterize the *in situ* natural lateral heterogeneity of δ_T, due to differences in root water uptake, plant physiological state, as well as lateral heterogeneity in soil water isotopic composition profiles. This would be a prerequisite for any upscaling attempt of δ_T values. Developments should be made towards designing chambers able to mimic the dynamic states of ambient air (temperature and relative humidity, wind turbulence) to avoid biases in δ_T estimation. This could be done by cooling of the inlet air to avoid over-heating of the air inside the chamber, and an adaptive active ventilation system. In situations where parts of the field are bare, e.g., between crop rows, soil chambers should be installed as well to evaluate differences in δ_E between areas covered or not covered with vegetation;

(iv)   the methods for monitoring of δ_{xyl} and its potential use in determining δ_T (that is, by assuming ISS conditions) have been tested and validated with tree species exclusively. The same principle is yet to be minimized and applied to crops able to survive the installation and carry the instrumentation, such as a well-developed maize plant.

Lastly, the lift system principle, as operated by Noone et al. (2013), Mayer et al. (2009), and recently for agricultural crops by Ney and Graf (2018) has the potential to provide half-hourly concomitant values of δ_{ET}, δ_T , and δ_E in the field. The principle is illustrated in Fig. 6, further developing that of Yepez et al. (2003). The Keeling plot technique is applied to data collected at high vertical resolution (ultimately implying high-frequency data acquisition of the analyser, typically equal or greater than 5Hz, see Ney and Graf, 2018) in three distinct atmospheric regions, i.e. (i) the region spreading from the fully turbulent atmosphere to the canopy height, (ii) the region comprised between canopy height (here fixed at 1.25 m) and the local maximum in δ_{atm}, and (iii) the region delimited by the δ_{atm} local maximum and the ground level (Fig 6a). The y-intercepts of the three Keeling plots give the concomitant values of the isotopic compositions of ET (Fig. 6b), T (Fig. 5c) and E (Fig. 6d). In this synthetic experiment, which cannot be construed as a proof of concept, $\delta^{18}O_{ET}$, $\delta^{18}O_T$, and $\delta^{18}O_E$ are equal to –4.7 ($\pm$1.5), –0.7 ($\pm$1.4), and –18.5 ($\pm$0.4) ‰, respectively, corresponding to a T/ET of 77 ($\pm$10) %.

5 Conclusion

Water stable isotopes are often described in the present literature compilation as "powerful" (or "insightful") tools for separating evaporation and transpiration fluxes. However, the number of ET partitioning studies, which the authors listed here, remains low when compared to the number of publications utilizing water stable isotopes for, e.g., determining plant water use strategies (30 versus 158 over the period 1990-2016, see Rothfuss and Javaux, 2017). The apparent contrast between the announced potential and the number of study cases is explained partly by both the complexity and multifaceted character of the isotopic methodology. Unfortunately, and despite great efforts of the researchers, the spatial representativeness as well as temporal extent of the obtained T/ET data series are usually not well comparable with those of other non-isotopic methods (see Fig. 2g).

The authors believe that, while ultimately increasing the complexity in terms of modus operandi, novel non-destructive monitoring methods are key to providing long-term T/ET data at the plot to the field scale and to upscaling local process understanding to address large-scale ecohydrological issues in a changing climate.

variables→	T_{EF} [°C]	h_{atm} [%]	h_{EF} [%]	α_K [-]		δ_{EF} [‰]		δ_{atm} [‰]		δ_E [‰]	
isotopes→				^2H	^{18}O	^2H	^{18}O	^2H	^{18}O	^2H	^{18}O
↓soil vapour phase state											
saturated			100							−32.6	−23.2
unsaturated [pF=3]	20	50	99.9	1.0251	1.0285	−4	+2	−120	−20	−31.1	−21.7
unsaturated [pF =4]			99.3							−18.1	−8.6

Table 1. Effect of the consideration of non-saturated soil water vapour phase on the estimation of the isotopic composition of evaporation (δ_E) using the model of Craig and Gordon (1965). Conditions of pure diffusive water vapour transport (n=1) prevail, leading to values of the kinetic fractionation factor (α_K) of 1.0251 und 1.0285 for ^2H and ^{18}O. Values for T_{EF}, h_{atm}, δ_{EF} and δ_{atm} are chosen exemplarily.

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7 Figures

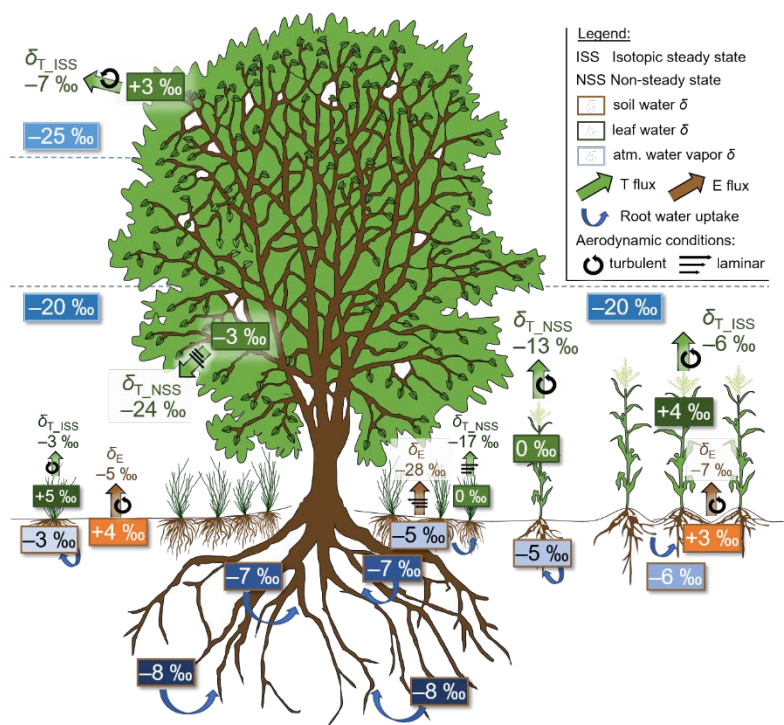



Figure 1: Conceptual drawing reporting the sources of differences in (synthetic) values between the (exemplary oxygen) isotopic compositions of evaporation (δ_E [‰]) and transpiration (δ_T [‰]) in an agroforestry context, namely (i) the type of vegetation and root development (tree vs. maize crop vs. grass layer), (ii) the prevalence of isotopic steady state (ISS) or non-steady state (NSS) conditions for leaf water, and (iii) the environmental conditions acting on fluxes, i.e., soil water and atmospheric water vapour isotopic composition profiles, and leaf water isotopic composition (values displayed in brown, blue, and green outlined boxes). δ_E and NSS δ_T values were calculated with the Craig and Gordon (1965) model assuming laminar flow conditions (designated by the three superimposed arrows) under the pictured tree and within its canopy and fully turbulent conditions (designated by a circular arrow) elsewhere (e.g., at the top of the tree canopy, and above the maize crop for δ_T and in its interrow space for δ_E).

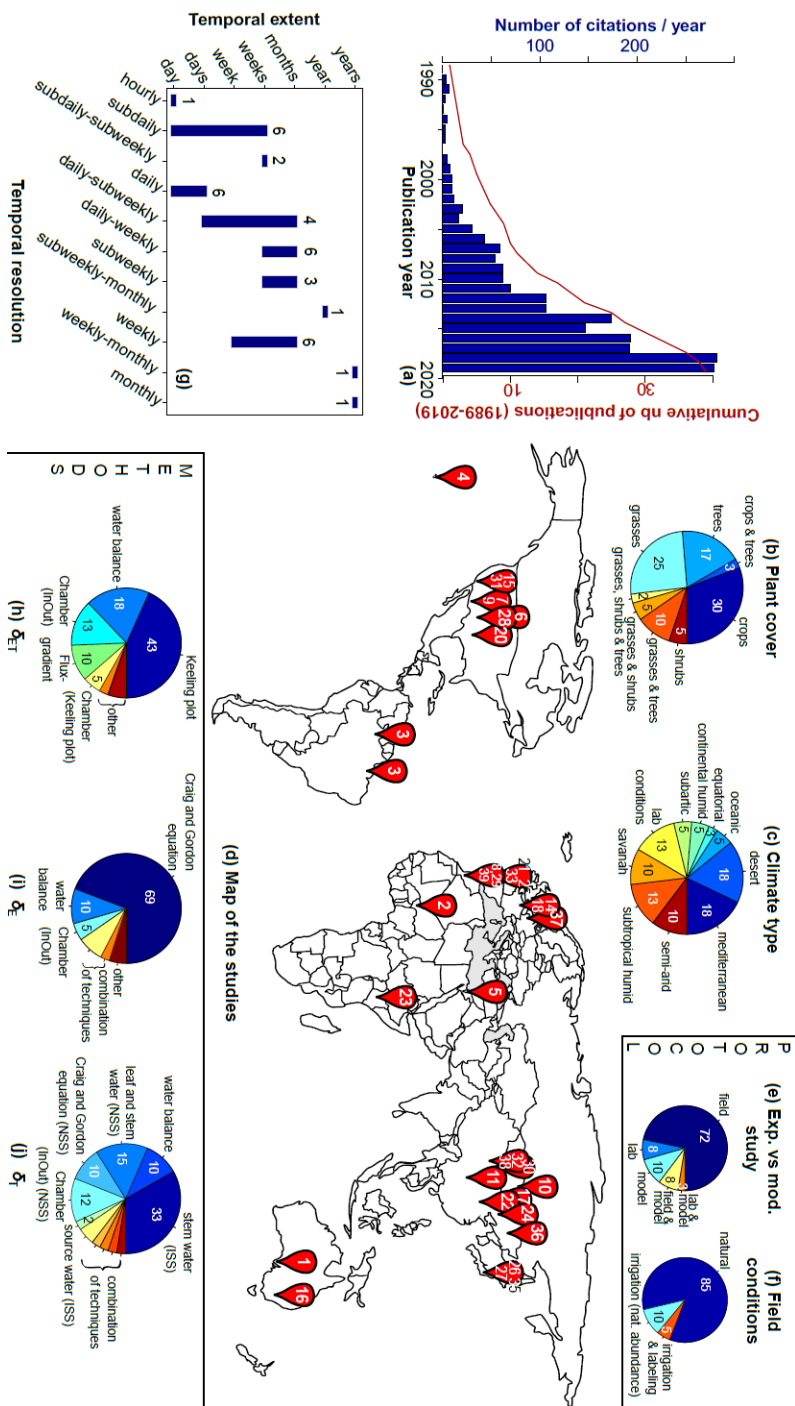



Figure 2: Graphical summary of the reviewed literature. (a) Evolution of the number of citations per year (blue bars) and cumulative number of publications (1989-2020, red line); **(b)** Temporal resolution vs. extent of the estimate of transpiration to evapotranspiration ratio (T/ET). Numbers above/below the histograms refer to the number of studies working at a given temporal resolution; **(c) and (d)** Listing of the different plant cover and climate types with proportions (white label) expressed in percentage and **(g)** map locating each study (with reference number #1-39 in white label, see Table A2); **(e) and (f)** Proportions of field vs. modelling studies and prevailing experimental conditions (natural precipitation or irrigation, or else labelling studies); **(h)-(j):** listing and proportions of methods for determination of δ_{ET}, δ_E, and δ_T.

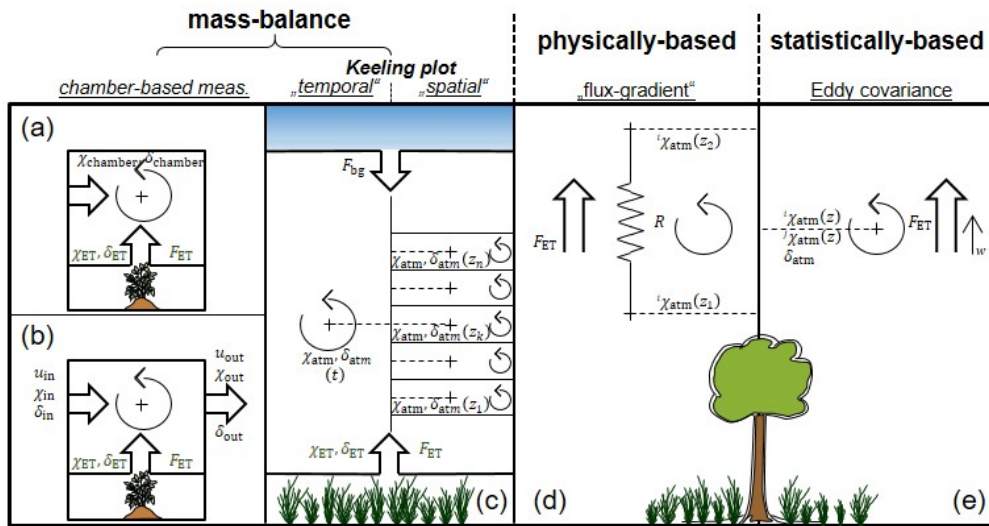
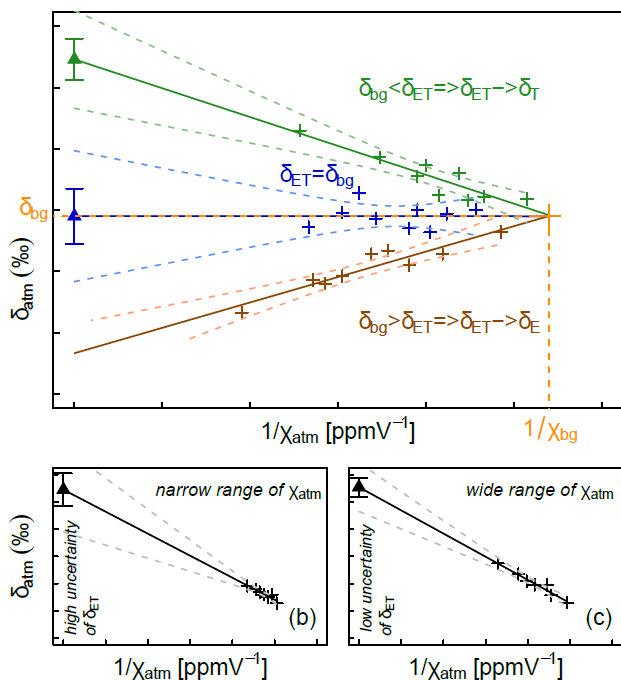



Figure 3. Summary of the different approaches (mass-balance, physically- and statistically-based) methods for determination of δ_{ET} with the relevant variables and fluxes for each case.

890   **Figure 4. Illustration of the Keeling (1958) plot technique for determination of the isotopic composition of the surface flux, here evapotranspiration (δ_{ET}). Subscript "bg" refers to the atmospheric background air, i.e., the air, which is not influenced by the surface ET flux. (a) Cases with different slopes of the regression line and implications for the nature of the surface flux: ET tends either toward transpiration (T) or evaporation (E). Illustration of the importance of the (narrow or wide) spread in water vapour mixing ratio (χ_{atm}, ppmV) values for the uncertainty of the δ_{ET} estimate (panels b and c).**

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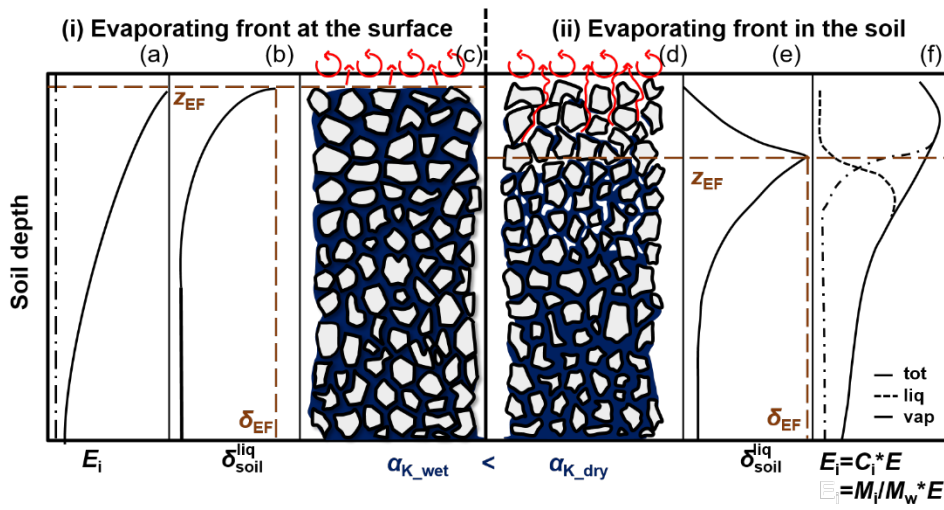
Figure 5. Effect of the water status of the soil, i.e., the positioning of the evaporating front (EF, dashed line), on the value of kinetic fractionation factor (α_K). Panels a-c refer to the situation of a saturated soil (subscript "wet") where the EF is located at the soil surface; panels d-f refer to a dry soil with the EF below the soil surface. The corresponding soil water total (solid line), liquid (dotted line), and vapour (dash-dotted line) isotopic flux profiles (E_i, [M L^{-3}]) (panels a/f), soil liquid isotopic composition profile (panels b/e) are reported as well. Adapted from Braud et al. (2005).
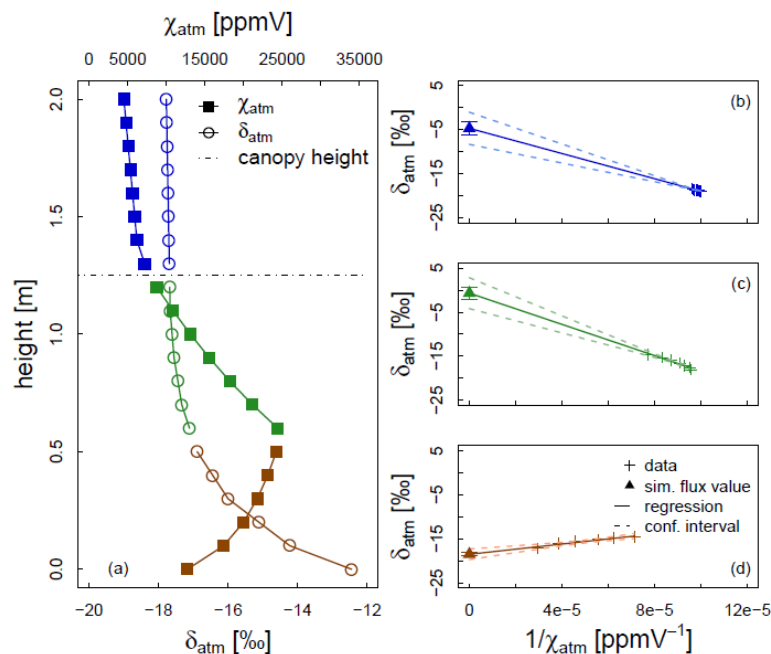
Figure 6. One example of application of the Keeling (1958) plot technique to synthetic data that would be collected with a field-deployable lift at high vertical resolution (0.1 m) (for implications on measurement frequency, which also needs to be high ($\geq$5Hz), see sections 3.1 and 4 of Ney and Graf (2018)). The oxygen isotopic compositions of evapotranspiration, transpiration, and evaporation are estimated by the values of the y-intercepts of the linear regressions between the isotopic composition of the atmospheric water vapour (δ_{atm}) and the inverse of the water vapour mixing ratio (χ_{atm}) in three non-overlapping regions, i.e., (i) the "free atmosphere" (indicated by the blue symbols), (ii) the region spreading from the canopy height to the height of local maximum in δ_{atm} (green symbols), and (iii) the region delimited by the δ_{atm} local maximum height and the ground level (brown symbols). Also shown: 95 % confidence interval envelopes of the linear regressions (dashed lines) as well as error bars (1 standard error) of the y-intercepts.

8 Appendix

 Table A1: List of Symbols and Abbreviations used in the main document and Table A2

Symbol or abbreviation	Description	Dimension or unit
C_{atm}, C_{bg}, C_{ET}	Atmospheric and background water vapour concentration, rise in atmospheric water vapour concentration due to evapotranspiration flux	$M\ L^{-3}$
E, E_{Lys}, E_{pot}	Soil evaporation rate, soil evaporation (micro-lysimeter), potential evaporation	$L^3\ T^{-1}$
EC	Eddy covariance	
ET	Evapotranspiration rate	$L^3\ T^{-1}$
f	Measurement frequency	T^{-1}
F_{ET}, $^iF_{ET}$, ^iF_E, F_E, ^jF_E, ^jF_T, ^iF_T, $^jF_{xyl}$, $^iF_{xyl}$	Evapotranspiration water vapour flux density rate, Evapotranspiration, evaporation, transpiration, and xylem water flux density rates of the rare (i) and abundant (j) isotopologue	$L^3\ L^{-2}\ T^{-1}$
g_s	Leaf stomatal conductance	mmol m^{-2} s^{-1}
GPP	Gross primary production	$M\ L^{-2}\ T^{-1}$
h_{atm}, h_{EF}	Atmospheric relative humidiy and soil pore space relative humidity at the evaporating front	-
K	Eddy diffusivity of water vapour	$L^2\ T^{-1}$
LAI	Leaf area index	$L^2\ L^{-2}$
L_{ET}	latent heat flux of evapotranspiration	$M\ T^{-3}$
M_{atm}, M_w	Dry air and water molecular weight	$M\ L^{-3}$
n	Adimensional factor accounting for flow conditions above the liquid water-water vapour equilibrium layer	-
NEE	Net ecosystem exchange	$M\ L^{-2}\ T^{-1}$
P	Precipitation amount	$L^3\ L^{-2}$
p	Proportion of leaf water in isotopic equilibrium with water vapour in the stomatal cavity	-
PPFD	Photosynthetic photon flux density	µmol s^{-1} m^{-2}
Q_s	Sensible heat flux	$M\ T^{-3}$
R	Universal gas constant	$M\ L^{-1}\ T^{-3}$
R_{std}, R_{EF}, R_{sat}	Isotopic ratio of the Vienna Standard Mean Ocean Water (V-SMOW), soil water at the evaporating front, and of saturated water vapor	-
R_n, R_s, R_d	Net and solar radiation, and radiation flux density	$M\ T^{-3}$
R_{gas}	Universal gas constant	$M\ L^{-1}\ T^{-3}$
^ir, ^ir ^jD, ^iD	Bulk resistances to vapour transport of the rare (i) and abundant (j) isotopologues Molecular diffusivities of the rare (i) and abundant (j) water vapour isotopologues	
S	Sap-flux density	$M\ L^{-2}\ S^{-1}$
T	Transpiration rate	$L^3\ T^{-1}$
T_{atm}, T_{soil}, T_{EF}, T_L, T_{can}	Temperature of the atmosphere, soil, soil at the evaporating front, leaf surface, and canopy atmosphere	°C
T/ET	Transpiration fraction	-
u_{in}, u_{out}	Flow rate measured at the inlet and outlet of a gas exchange chamber	$L^3\ T^{-1}$
v (v_d)	Wind speed (wind direction)	$L\ T^{-1}$
VFC	Vegetation fractional coverage	$L^2\ L^{-2}$
VPD	Vapour pressure deficit	P
z, z_{atm}, z_{EF}	Vertical coordinate, atmospheric height, and soil evaporating front depth	M
α_{eq} α_K	Equilibrium isotopic fractionation factor Kinetic isotopic fractionation factor	-

δ_{atm}, δ_{soil}, δ_{soil}^{vap} δ_L, δ_{xyl}, δ_{prec}, δ_{root}, δ_{irr}, δ_{pond}, δ_{in}, δ_{out}	Isotopic composition of the atmospheric water vapour, soil water, soil water vapour, leaf water, xylem water, precipitation, root water, irrigation water, pond water, water vapour measured at the inlet and outlet of a gas exchange chamber	-
ε_{eq}	Equilibrium isotopic fractionation	-
ε_K	Kinetic isotopic fractionation	
φ	Isotope analyser inlet flow rate	$L^3 T^{-1}$
ρ_{atm}, ρ_w	Dry air and water volumetric mass	$M L^{-3}$
θ_{soil}, θ_{surf}, θ_{res}, θ_{sat}, θ_L	Soil, soil surface, soil residual, and soil saturated water content, Leaf water content	$L^3 L^{-3}$
τ_L	Leaf water turnover time	T
χ_{atm}, χ_{bg}, $^j\chi_{atm}$, $^i\chi_{atm}$, $^j\chi_{atm}^{sat}$, $^i\chi_{atm}^{sat}$, χ_{ET}, χ_{in}, χ_{out}	Atmospheric and background water vapour mixing ratio, water vapour mixing ratio in rare (i) and abundant (j) isotope, saturated water vapour mixing ratio in rare (i) and abundant (j) isotope, rise in atmospheric water vapour mixing ratio due to evapotranspiration flux, water vapour mixing ratio measured at the inlet and outlet of a gas exchange chamber	$L^3 L^{-3}$
ψ_{EF}	Soil water matric potential at the evaporating front	$M L^{-1} T^{-2}$

Table A2: Overview of the partitioning studies found with the ISI Web of Science search engine (http://www.webofknowledge.com/) on basis of search terms ((*"evapotranspiration" or "transpiration" or "evaporation"*) and partition* and isotop*). 'CG65', 'Kp58', and 'f-g' refer respectively to the Craig and Gordon (1965) equation for determination of δ_E and δ_T, the Keeling (1958) plot and flux-gradient techniques for determination of δ_{ET} and δ_E. 'Chamber(InOut)' and 'Chamber(Kp58)' refer to gas exchange chamber-based measurements for determination of δ_{ET}, δ_E, and δ_T by either comparing the chamber inlet and outlet gas properties or by applying the Keeling plot technique, respectively. The reader is referred to Table A1 for the definitions of the other symbols and abbreviations.

Nb	Author (Year)	Field, Lab, or Model	Location	Land surface type (LAI / VFC)	Climate, T_{atm}, P	Isotopic measurements with range of meas. heights (m) / depths (cm) and sampling intervals	additional measurements	Temporal resolution (extent)	Extraction technique	Measurement technique δ_{ET}	δ_E	δ_T	T/ET results
1	Walker and Brunel (1990)	Field	Hincks Conservation Park, Australia	Eucalyptus mallee (69 %)	Semi-arid, 30°C (Jan), 23.6 °C (Mar) 400 mm (annual)	δ_{atm} (2.25-9,2.25<int<4.50), δ_{soil} (0-200,10<int<20), δ_{xyl}, δ_L	H, T, T_{atm}, T_{soil}, T_L	daily (days)	Azeotropic distillation	Isotope mass-balance	CG65	CG65 (NSS) and leaf and stem water (NSS)	T has the largest contribution to ET
2	Brunel et al. (1997)	Field	Sahel, Niger	Fallow bushland of woody shrubs (20 %)	Semi-arid 65 mm (exp. period)	δ_{atm} (3-12,3<int<6), δ_{soil} (0-120; int=10), δ_{xyl}, δ_{prec}	F, h, T_{atm}, T_{soil}, θ_{soil}	weekly (weeks)	Azeotropic distillation	Isotope mass-balance	CG65	Stem water (ISS)	21 %
3	Moreira et al. (1997)	Field	Amazon basin	Indigenous forest (5-6.1)	Tropical 1750-2000 mm	δ_{atm} (0-45, int~20), δ_{soil} (0), δ_{xyl}	F, h, T_{atm}	daily (day)	Direct equilibration with CO_2	Kp58	CG65	Stem water (ISS)	T potentially a major source of water vapour during the dry season
4	Hsieh et al. (1998)	Field	Hawaii	Not reported	Savannah 17-23°C 180-2500 mm	δ_{soil} (0-70, 5<int<20), δ_{prec}	E_{pot}	weekly-monthly (years)	Direct equilibration with CO_2	Isotope mass-balance			14-71 %
5	Wang and Yakir (2000)	Field	Negev region, Israel	Wheat field	Desert	δ_{atm} (0.8-70,1<int<26), δ_{soil}, δ_{xyl}, δ_L	h, T_{atm}, T_{soil}	daily-weekly (months)	Cryogenic vacuum distillation	Kp58	CG65	Stem water (ISS)	96.5-98.5 % during midday
6	Ferretti et al. (2003)	Field	Colorado, USA	Shortgrass steppe	Semi-arid, 15.6 °C (summer), 0.6 °C (winter), 320 mm (annual)	δ_{soil} (1-50,1<int<25), δ_{prec}	Bowen Ratio (Q_s/L_{ET}), R_n, T_{atm}, T_{soil}, θ_{soil}	monthly (years)	Direct equilibration with CO_2	Isotope mixing model			10-60 %
7	Yepez et al. (2003)	Field	Arizona, USA	Savanna woodland (1.6) *Sporobolus wrightii* (grass), Prosopis velutina (trees)	Savanah 24.8 °C (Jul), 9.9 °C (Jan) 343 mm (annual)	δ_{atm} (0.1-14,0.4<int<2), δ_{soil} (0-10), δ_{xyl}	h, LAI, R_s, T_{atm}, T_{soil}, v	None	Cryogenic vacuum distillation	Kp58	CG65	Stem water (ISS)	70 % (Tree) 15 % (grass)
8	Williams et al. (2004)	Field	Marrakech, Morocco	Olive orchard	Mediterranean 253 mm	δ_{atm} (0.1-8,9.1<int<25), δ_{soil} (1-25,int=25), δ_{xyl}	h, L_{ET}, Q_s, R_s, T_{atm}, T_{soil}, v, v_d	subdaily (days)	Cryogenic vacuum distillation	Kp58	CG65	Stem water (ISS)	Prior irrigation: 100 % following irrigation: 69-85 %
9	Yepez et al. (2005)	Field	Arizona, USA	Grassland (*E.lehman-niana*, 0.66; *H.contortus*, 0.37)	Semi-arid (savanah) 39 mm (irrigation pulse)	δ_{soil} (1-25, 2<int<10), δ_L	e, g_s, h, LAI, T_{atm}, T_{can}	subdaily (week)	Cryogenic vacuum distillation	Chamber (Kp58)	CG65	Leaf and stem water (NSS)	Prior irrigation: 35(±7) %, after irrigation: 22(±5)-43(±8) %
10	Tsujimura et al. (2007)	Field	Easter Mongolia	Grassland (*Stipa krylovii*, *Carex spp.*, and *Artemisia spp.*, 0.21-0.57)	Semi-arid (subartic) 150-300 mm	δ_{atm} (0.5-10, 25<int<500), δ_{soil} (50-150), δ_{prec}	L_{ET}, h, P, T_{atm}, θ_{soil}	daily-subweekly (days)	Cold distillation	Kp58	CG65	Source (soil) water (ISS)	60-73 % (forest site) 35-59 % (grassland site)
11	Xu et al. (2008)	Field	Balang Mountain, China	Subalpine shrubland (2.05)	Oceanic 3°C (annual) 710 mm (annual)	δ_{atm} (0.1-3,0.4<int<1), δ_{soil} (0-10), δ_{xyl}	E_{pot}, h, LAI, P, v, PPFD, T_{atm}, T_{soil}	daily (days)	Cryogenic vacuum distillation	Kp58	CG65	Stem water (ISS)	65.6(±8.3)-96.9(±2.0) %
12	Wenninger et al. (2010)	Lab	Delft, Netherlands	Bare soil and Teff crop	Lab. conditions	δ_{soil} (1.7-22,3.4<int<7.5)	EC, T_{soil}, θ_{soil}	subweekly (weeks)	na (soil moisture sensors)	Isotope mass-balance			70 %
13	Wang et al. (2010)	Lab	Arizona, USA	Mesquite tree (25-100 %)	Lab. conditions	δ_{atm} (0.5-2,0.5<int<1), δ_{irr}	h, T_{atm}, T_{soil}	hourly (day)	na	Kp58	CG65	Chamber (InOut,NSS)	61-83 %
14	Rothfuss et al. (2010)	Lab	Lab. conditions	Tall fescue cover (0-3.9)	Lab. conditions	δ_{atm}, δ_{soil} (0-12,int~1), δ_{xyl}	h, LAI, T_{atm}, θ_{soil}	weekly (weeks)	Cryogenic vacuum distillation	Condensed water	Groundwater (ISS)	Stem water (ISS)	0-95 %
15	Bijoor et al. (2011)	Field	Orange County, USA	Freshwater marsh *typha latifolia*	Mediterranean 16.4 °C (annual) 270 mm (annual)	δ_{atm} (0.1 and 4.0), δ_{soil} (0-5), δ_L, δ_{root}	EC, h, L_{ET}, T_{atm}, v	subweekly-monthly (year)	Cryogenic vacuum distillation	Isotope mass-balance	CG65	Chamber (InOut, NSS) – Root water (ISS)	56-67 %
16	Haverd et al. (2011)	Field & model	Southern Australia	Eucalyptus forest	Temperate	δ_{atm} (2.0, 4.4, 10.4, 26.3, 35.4, 43.4, 70.1m)	L_{ET}, Q_s, T_{atm}, v, θ_L	subdaily (weeks)	Cryogenic vacuum distillation (plant)	SVAT model	chamber (InOut)	Chamber (InOut, NSS)	85(±2) %
17	Zhang et al. (2011)	Field	North China Plain, China	Irrigated winter wheat (2.6)	Subtropical humid 12 °C (annual), 480 mm (annual)	δ_{atm} (0.1, 3, 10), δ_{soil} (20-100, 10<int<20), δ_{xyl}, δ_{prec}	h, LAI, L_{ET}, T_{atm}, θ_{soil}	weekly (week)	Cryogenic vacuum distillation	Kp58	CG65	Stem water (ISS)	60-83 %
18	Rothfuss et al. (2012)	Lab & model	Lab. conditions	Tall fescue cover (0-3.9)	Lab. conditions	δ_{soil} (0-12), δ_{xyl}	h, LAI, T_{atm}, θ_{soil}	weekly (weeks)	Cryogenic vacuum distillation	Chamber condensed water vapor	Groundwater (ISS)	Stem water (ISS)	0-95 %
19	Sutanto et al. (2012)	Lab & Model	Delft, Netherlands	Grass-covered lysimeter	Lab. conditions	δ_{soil} (7-33, int=7)	EC, h, R_s, T_{atm}, T_{soil}, v, θ_{soil}	subweekly (months)	na (soil moisture sensors)	Isotope mixing model			87% (HYDRUS 1D: 70%)
20	Wang et al. (2013)	Field	Oklahoma, USA	Grassland	Subtropical humid 16 °C (annual), 911 mm (annual)	δ_{soil} (0-2)	h, T_{atm}, T_{soil}, θ_{soil}	None	Cryogenic vacuum distillation	Chamber (Kp58)	1. bare soil chamber (Kp58 and InOut) 2. CG65	Chamber (Kp58 & InOut, NSS) – stem water (ISS)	65-86 %
21	Dubbert et al. (2013)	Field	Central Portugal	Open cork-oak woodland	Mediterranean 15.9 °C (annual) 680 mm (annual)	δ_{soil} (0.5-40, 3<int<20)	h, P, PPFD, T_{atm}, T_{soil}, θ_{soil}	subdaily-subweekly (week)	Cryogenic vacuum distillation	Chamber (InOut)	1. CG65 2. chamber (InOut)	Leaf and stem water (NSS)	50-80 %
22	Sun et al. (2014)	Field	Yellow River Xiaolangdi forest, China	Chinese cork oak (96 % vegetation)	Mediterranean 13.4 °C (annual) 643 mm (annual)	δ_{atm} (0.1, 11, 18), δ_{soil} (2.5-7.5,int=5), δ_{xyl}, δ_L	g_s, h, T_{atm}, T_L, v, v_d, θ_{soil}	subdaily (day)	Cryogenic vacuum distillation	Kp58	CG65	CG65 (NSS)	85-91 %
23	Good et al. (2014)	Field	Mpala Research Center, Kenya	Grassland (0-10%)	Semi-arid (savanah) 30 mm (irrigation), 6.7 mm (rain)	δ_{atm} (0.4), δ_{soil} (1-20, 5<int<10), δ_L, δ_{prec}, δ_{irr}	LAI, L_{ET}, Q_s, R_n, T_{atm}, T_{soil}, θ_{soil}	daily (days)	Cryogenic vacuum distillation	Kp58	CG65	Chamber (InOut, NSS)	29(±5) % (mean value) 40 % (max. value)

24	Hu et al. (2014)	Field	Mongolia, China	Grassland (0.4-0.55)	Semi-arid 2.1 °C (annual), 18.9 °C (Jul), –17.5°C (Jan) 383 mm (annual)	δ_{atm} (0.7, 1.7), δ_{soil} (5-25, int=10), δ_{xyl}, δ_L	LAI, L_{ET}, T_{atm}, T_{soil}, T_{can}, θ_{soil}	subdaily-subweekly (weeks)	Cryogenic vacuum distillation	f-g	CG65	CG65 (NSS)	83 %
25	Dubbert et al. (2014b)	Field	Central Portugal	Open cork-oak woodland (1.05)	Mediterranean, 15.9 °C (annual) 680 mm	δ_{atm} (2), δ_{soil} (0-40, 2<int<20), δ_{prec}	h, LAI, L_{ET}, NEE, PPFD, P, T_{atm}, T_{soil}, $\theta_{soil,}$	daily-weekly (months)	Chamber (InOut)	CG65	Leaf and stem water (NSS)	45-84 %
26	Wei et al. (2015)	Field	Tsukuba, Japan	rice paddy field (0-5.5)	Subtropical humid 13.7 °C (annual) 1200 mm (annual)	δ_{atm} (2)	h, T_{atm}, LAI, L_{ET}	daily-weekly (months)	na	Kp58	CG65	Source (pone) water (ISS)	2 – 100 %
27	Wang et al. (2015)	Field & Model	Tsukuba, Japan	Grassland (0.01-2.58)	Subtropical humid 14.1 °C (annual) 1159 mm (annual)	δ_{atm} (0.1-2, 0.4<int<1), δ_{soil} (5 depths), δ_{xyl}, δ_L	g_s, h, L_{ET}, LAI, Q_s, R_n, R_s, T_{atm}, T_{soil}, T_L, θ_{soil}, θ_L	weekly (months)	Cryogenic vacuum distillation	Kp58	CG65	Leaf and stem water (NSS)	2-99 %
28	Berkelhammer et al. (2016)	Field & Model	Rocky Mountains National Park, USA	Subalpine coniferous forest (1.2-4.2)	Site1: 14 °C (July), 884 mm (annual) Site2: 19 °C (July) 430 mm (annual)	Site1: δ_{atm} (10-20,int=5); Site2: δ_{atm} (12-25.1,5.7<int<8.4), δ_{xyl}, δ_L	GPP, LAI, L_{ET}, Q_s, T_{atm}, VPD, θ_{soil}	weekly (months)	na	modified Kp58 (Noone et al., 2013)	CG65	Leaf and stem water (NSS)	49(±23) %
29	Aouade et al. (2016)	Field	Haouz plain, Marocco	irrigated winter wheat (0-1.2)	Semi-arid 240 mm (annual)	δ_{atm} (0.5,1.5), δ_{soil} (0-70, 2<int<10), δ_{xyl}	h, p, P, R_s, T_{atm}, T_{soil}, v, θ_{soil}	daily (days)	Cryogenic vacuum distillation	Kp58	CG65	Stem water (ISS)	73-89 %
30	Wen et al. (2016)	Field	Heihe River Basin, China	spring maize, (5.6)	Semi-arid, 74. °C (annual), 129.7 mm (annual)	δ_{atm} (0.5,1.5), δ_{soil} (2.5-80, 5<int<10), δ_{xyl}, δ_L, δ_{prec}, δ_{irr}	h, LAI, L_{ET}, P, T_{atm}, T_{soil}, v, θ_{soil}	daily-weekly (months)	Cryogenic vacuum distillation	f-g	CG65	CG65 (NSS)	87(±5) %
31	Lu et al. (2017)	Field	California, USA	Desert Valley: forage sorghum (0.5-1.5)	arid 22.4 °C (annual), 12.6 °C (Jan), 32.9 (Aug) 80.3 mm (annual)	δ_{atm}	h, LAI, L_{ET}, P, R_s, T_{atm}, T_{soil}, v	daily-subweekly (days)	na	Chamber (InOut)	Chamber (InOut)	Chamber (InOut, NSS)	46(±6) %
32	Wu et al. (2017)	Field	Gansu Province, China	University test field: maize (0-4)	arid, 8 °C (annual) 164 mm (annual)	δ_{atm} (1,2,4), δ_{soil} (2.5,7.5), δ_{xyl}	h, L_{ET}, T_{atm}, T_{soil}, v	subweekly (months)	Cryogenic vacuum distillation	Chamber (InOut)	1. Chamber (InOut) 2. CG65	Chamber (InOut, NSS)	59-87 %
33	Piayda et al. (2017)	Field	Central Portugal	Open cork-oak woodland: oak and grass (1.1)	Mediterranean 15.9 °C (annual) 680 mm (annual)	δ_{soil} (0-40, 2<int<20)	LAI, P, PPFD, T_{atm}	daily-subweekly (days)	Cryogenic vacuum distillation	Chamber (InOut)	CG65	CG65 (NSS)	9-59 % (open) 17-66 % (shaded)
34	Wei et al. (2018)	Field & Model	Japan and China	Rice field (0-6), winter wheat and summer corn (0-4.7)	13.7 °C (annual) 1200 mm (annual)	δ_{atm} (2), δ_{soil} (2.5-45, 15<int<25), δ_{xyl}, δ_L	h, LAI, L_{ET}, P, Q_s, R_d, R_n, T_{atm}, T_{soil}, v, v_d	daily-subweekly (months)	Cryogenic vacuum distillation	1: Kp58 2: f-g	CG65	Stem water (ISS)	74 % (rice), 93 % (wheat), 81% (corn)
35	Zhou et al. (2018)	Field	Heihe River Basin (HRB), China	Alpine meadow (6.3), irrigated maize (3.8), and Populus euphratica (0.8)	upper HRB: –0.4 °C (annual) 438 mm (annual) middle HRB: 6.9 °C (annual) 147 mm lower HRB: 10.4 °C (annual) 26 mm (annual)	δ_{atm} (0.5,1.5), δ_{soil} (2.5-80, 5<int<10), δ_{xyl}, δ_L	F, LAI, L_{ET}, NEE, P, Q_s, r, R_n, T_{atm}, T_{soil}, v, v_d, θ_{soil}	daily-weekly (months)	Cryogenic vacuum distillation	f-g	CG65	Stem water (ISS) – leaf and stem water (NSS)	72-100 %
36	Zhang et al. (2018)	Field	Jilin Province, China	S. triqueter (0.16) and P. australis (0.86)	Semi-arid 4.2 °C 392 mm	δ_{atm} (0.2,0.9,1.9 cm), δ_{xyl}, δ_{prec}, δ_{pond}	h, LAI, T_{atm}, θ_L	subdaily (days)	Cryogenic vacuum distillation	Not used	CG65	Leaf and stem water (NSS)	20% (S. triqueter) 20% (P australis)
37	Quade et al. (2019)	Field	Selhausen, Germany	Sugar beet	Oceanic 18.6 °C (exp. period) 207.8 mm (exp. period)	δ_{atm} (0.01-1.50,0.19<int<0.5), δ_{soil} (1-10,int=5), δ_L	h, LAI, L_{ET}, T_{atm}, T_{soil}	subdaily (days)	Cryogenic vacuum distillation (plant) / non destructive monitoring (soil)	Kp58	CG65	Stem water_(ISS)	57-74 %
38	Xiong et al. (2019)	Field	Heihe River Basin (HRB), China	spring maize, (5.6)	Desert 7.3 °C 100-250 mm	*See Wen et al. (2016)*		daily-weekly (weeks)	Cryogenic vacuum distillation	f-g	CG65	Stem water_(ISS)_-leaf and stem water (NSS)	54-97% 85 % (mean value)
39	Aouade et al. (2020)	Model	Haouz plain, Marocco	irrigated winter wheat (0-1.2)	Semi-arid 240 mm (annual)	*See Aouade et al. (2016)*		daily (days)	Cryogenic vacuum distillation	Kp58	CG65	Stem water_(ISS)	80 %

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Competing interests

The authors declare that they have no conflict of interest.

Author contribution

Youri Rothfuss, Maria Quade, and Maren Dubbert reviewed the published literature and prepared the manuscript. All authors reviewed the manuscript.

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