#### Development of global temperature and pH calibrations based 1 on bacterial 3-hydroxy fatty acids in soils 2

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31 Abstract. 3-hydroxy fatty acids (3-OH FAs) with 10 to 18 C atoms are membrane lipids mainly produced by Gram-negative bacteria. They have been recently proposed as temperature and pH 32 33 proxies in terrestrial settings. Nevertheless, the existing correlations between pH/temperature 34 and indices derived from 3-OH FA distribution (RIAN, RAN<sub>15</sub> and RAN<sub>17</sub>) are based on a small 35 soil dataset (ca. 70 samples) and only applicable regionally. The aim of this study was to investigate the applicability of 3-OH FAs as mean annual air temperature (MAAT) and pH 36 37 proxies at the global level. This was achieved using an extended soil dataset of 168 topsoils 38 distributed worldwide, covering a wide range of temperatures (5°C to 30°C) and pH (3 to 8). 39 The response of 3-OH FAs to temperature and pH was compared to that of established branched 40 GDGT-based proxies (MBT'<sub>5Me</sub>/CBT). Strong linear relationships between 3-OH FA-derived 41 indices (RAN<sub>15</sub>, RAN<sub>17</sub> and RIAN) and MAAT/pH could only be obtained locally, for some of 42 the individual transects. This suggests that these indices cannot be used as paleoproxies at the 43 global scale using simple linear regression models, in contrast with the MBT'<sub>5Me</sub> and CBT. 44 However, strong global correlations between 3-OH FA relative abundances and MAAT/pH were shown by using other algorithms (multiple linear regression, k-NN and random forest 45 models). The applicability of the three aforementioned models for paleotemperature 46 47 reconstruction was tested and compared with the MAAT record from a Chinese speleothem.

- The calibration based on the random forest model appeared to be the most robust. It generally showed similar trends with previously available records and highlighted known climatic events poorly visible when using local 3-OH FA calibrations. Altogether, these results demonstrate the potential of 3-OH FAs as paleoproxies in terrestrial settings.
- 52
- 53 Keywords: 3-hydroxy fatty acids; branched GDGTs; soils; global calibration; temperature and
- 54 pH proxy
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#### 57 **1. Introduction**

Investigating past climate variations is essential to understand and predict future 58 59 environmental changes, especially in the context of global anthropogenic change. Direct records of environmental parameters are available for the last decades, the so-called 60 61 "instrumental" period. Beyond this period, proxies can be used to obtain indirect information 62 on environmental parameters. A major challenge is to develop reliable proxies which can be 63 applied to continental environments in addition to marine ones. Indeed, available proxies have 64 been mainly developed and used in marine settings, as the composition and mechanism of formation of marine sedimentary cores is less complex than in continental settings, which are 65 highly heterogeneous. Several environmental proxies based on organic (e.g. the alkenone 66 unsaturation index (U<sup>k'</sup><sub>37</sub>; Brassell et al., 1986) and inorganic (Mg/Ca ratio and <sup>18</sup>O/<sup>16</sup>O ratio of 67 foraminifera; Emiliani, 1955; Erez and Luz, 1983) fossil remains were notably developed for 68 69 the reconstruction of sea surface temperatures.

70 Some of the existing proxies are based on membrane lipids synthesized by certain 71 microorganisms (Eglinton and Eglinton, 2008; Schouten et al., 2013). These microorganisms 72 are able to adjust the composition of their membrane lipids in response to the prevailing 73 environmental conditions in order to maintain an appropriate fluidity and to ensure the optimal 74 state of the cellular membrane (Singer and Nicolson, 1972; Sinensky, 1974; Hazel and 75 Williams, 1990; Denich et al., 2003). The structure of glycerol dialkyl glycerol tetraethers 76 (GDGTs), which are membrane lipids biosynthesized by archaea and some bacteria, is 77 especially known to be related to environmental conditions. Archaeal GDGTs are constituted 78 of isoprenoid alkyl chains ether-linked to glycerol, whereas bacterial GDGTs are characterized 79 by branched alkyl chains instead of isoprenoid ones. The latter compounds are ubiquitous in 80 terrestrial (Weijers et al., 2007; Peterse et al., 2012; De Jonge et al., 2014; Naafs et al., 2017) 81 and aquatic environments (Peterse et al., 2009; Tierney and Russell, 2009; Sinninghe Damsté 82 et al., 2009; Loomis et al., 2012; Peterse et al., 2015; Weber et al., 2015). These branched 83 GDGTs (brGDGTs) are produced by still unidentified bacteria, although some of them may 84 belong to the phylum Acidobacteria (Sinninghe Damsté et al., 2011, 2014, 2018). The analysis 85 of brGDGTs in a large number of soils distributed worldwide showed that the relative 86 distribution of these compounds is mainly related to mean annual air temperature (MAAT) and 87 soil pH (Weijers et al., 2007; Peterse et al., 2012; De Jonge et al., 2014). Despite improvements 88 in brGDGT analytical methods and development of refined calibration models (De Jonge et al., 89 2014; Dearing Crampton-Flood et al., 2020), the Root Mean Square Error (RMSE) associated 90 with MAAT reconstruction using the global brGDGT calibrations in soils remains high (>4°C). 91 While these Even though brGDGT proxies were largely investigated over the last 10 years-are now well studied (De Jonge et al., 2014; Dearing Crampton-Flood et al., 2020) and 92 93 used in paleostudies were applied to various paleorecords (e.g., Coffinet et al., 2018; Wang et 94 al., 2020), it would be interesting to develop Thus, development of new molecular proxies, 95 independent of and complementary to brGDGTs, are needed . This will allow multi proxy 96 approach, is essential to improve the reliability of temperature reconstructions in such terrestrial 97 settings.

Recent studies have unveiled the potential of another family of bacterial lipids – 3hydroxy fatty acids (3-OH FAs) – for temperature and pH reconstructions in terrestrial (Wang et al., 2016, 2018; Huguet et al., 2019) and marine (Yang et al., 2020) settings. 3-OH FAs with 10 to 18 carbon atoms are specifically produced by Gram-negative bacteria and are bound to the lipopolysaccharide (LPS) by ester or amide bonds (Wollenweber et al., 1982; Wollenweber and Rietschel, 1990). Three types of 3-OH FAs can be distinguished, with either *normal* chains or branched chains, *iso* or *anteiso*.

105 The analysis of 3-OH FAs in soils showed that the ratio of  $C_{15}$  or  $C_{17}$  anteiso 3-OH 106 FA to normal C<sub>15</sub> or C<sub>17</sub> 3-OH FA (RAN<sub>15</sub> and RAN<sub>17</sub> indices, respectively) were negatively 107 correlated with MAAT along the three mountains investigated so far: Mts. Shennongjia (China; 108 Wang et al., 2016), Rungwe and Majella (Tanzania and Italy, respectively; Huguet et al., 2019). 109 This suggests that Gram-negative bacteria producing these fatty acids respond to colder 110 temperatures with an increase in *anteiso*- $C_{15}/C_{17}$  vs. *n*- $C_{15}/C_{17}$  3-OH FAs, in order to maintain 111 a proper fluidity and optimal state of the bacterial membrane, the so-called homeoviscous 112 adaptation mechanism (Sinensky, 1974; Hazel and Eugene Williams, 1990). Nevertheless, the 113 relationships between RAN<sub>15</sub> and MAAT along the three mountain transects showed the same 114 slopes but different intercepts (Wang et al., 2016; Huguet et al., 2019), suggesting that regional 115 or local RAN<sub>15</sub> relations may be more adapted appropriate to apply to apply RAN<sub>15</sub> as a 116 temperature proxy in soils for temperature reconstructions in terrestrial settings. In contrast, a 117 significant calibration between RAN<sub>17</sub> and MAAT could be established using combined data 118 from the three mountain regions (Wang et al., 2016; Huguet et al., 2019).

Another index, defined as the cologarithm of the sum of *anteiso* and *iso* 3-OH FAs divided by the sum of *normal* homologues (RIAN index), was shown to be strongly negatively correlated with soil pH along the three aforementioned mountains (Wang et al., 2016; Huguet et al., 2020), reflecting a general relative increase in normal homologues compared to branched (*iso* and *anteiso*) ones with increasing pH. This mechanism was suggested to reduce the
permeability and fluidity of the membrane for the cell to cope with lower pH (Russell et al.,
1995; Denich et al., 2003; Beales, 2004).

3-OH FA indices were recently applied for the first time to the reconstruction of the temperature and hydrological changes over the last 10,000 years in a speleothem from China (Wang et al., 2018), showing the potential of 3-OH FAs as independent tools for environmental reconstruction in terrestrial settings. A very recent study based on marine sediments from the North Pacific Ocean suggested that the distribution of 3-OH FAs could also be used to reconstruct sea surface temperature (Yang et al., 2020).

132 Even though these results are promising, the linear regressions between pH/MAAT and 133 3-OH FA indices in terrestrial environments are still based on a rather small dataset (ca. 70 soil 134 samples; Wang et al., 2016; Huguet et al., 2019). The aim of this study was to investigate the 135 applicability of 3-OH FAs as MAAT and pH proxies at the global level using an extended soil 136 dataset and refined statistical tools. 3-OH FA distribution from 54 soils was determined in four 137 globally distributed altitudinal transects (Tibet, Italy, Peruvian Andes and Chile) and was 138 combined with data previously published by Wang et al. (2016; Mt Shennongjia, China, n=26), 139 Huguet et al. (2019; Mt. Rungwe, Tanzania (n=28) and Mt. Majella, Italy (n=11)) and Véquaud 140 et al. (2021; Mts. Lautaret-Bauges, France (n=49)), leading to a total of 168 samples. In addition 141 to linear regressions, non-parametric, machine learning models were used to improve the global 142 relationships between 3-OH FA distribution and MAAT/pH-and. . This approach These models 143 presents the advantage of taking into account non-linear environmental influences, in line with 144 the intrinsic complexity of the environmental settings. Finally, these new models were tested 145 and compared by applying them to a speleothem archive (Wang et al., 2018) representing to 146 date the only available MAAT record derived from 3-OH FA proxies in continental setting. As 147 brGDGTs are the only microbial organic proxies which can be used for temperature and pH 148 reconstructions in terrestrial settings so far, they can serve as a reference proxy to understand 149 the temperature and pH dependency of 3-OH FAs analyzed in the same dataset, taking into 150 account the large uncertainties persisting in the global temperature/pH brGDGT calibrations (De Jonge et al., 2014; Dearing Crampton Flood et al., 2020). 3-OH FAs and brGDGTs have 151 152 thus been concomitantly analyzed to assess their reliability and complementarity as independent 153 temperature and pH proxies.

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#### 2. Material and methods

- **2.1. Soil dataset**
- 158 *2.1.1. Study sites*

The dataset of the present study is comprised of the globally distributed surface soils previously analyzed for brGDGTs and 3-OH FAs and collected along 4 altitudinal transects: Mts. Shennongjia (China; Yang et al., 2015; Wang et al., 2016), Rungwe (Tanzania ; Coffinet et al., 2017; Huguet et al., 2019), Majella (Italy; Huguet et al., 2019) and Lautaret-Bauges (France; Véquaud et al., 2021). This set was extended with surficial soils (0-10 cm) from 4 additional altitudinal transects described below, located in Italy, Tibet, Peru and Chile (Table 1).

166 Soil samples were collected from 13 sites along Mount Pollino in the Calabria region 167 (Italy) between 0 and 2,200 m above sea level (a.s.l.) (Table 1). Mt. Pollino is located in the 168 calcareous Apennine range and is 2,248 m a.s.l. It is framed to the northwest by the Sierra de 169 Prete (2,181 m high) and to the south by the Pollino Abyss. The alpine to subalpine area (above 170 2,100 m a.s.l.) is characterized by the presence of Mediterranean grasslands (*Festuca bosniaca*, 171 *Carex kitaibeliana*) and the presence of sinkholes (Todaro et al., 2007; Scalercio et al., 2014). 172 The mountainous vegetation (over 1,200 m a.s.l.) is dominated by Fagus sylvatica forests and, 173 at the treeline, by scattered Pinus leucodermis (Bonanomi et al., 2020). The soil is poorly 174 developed and dominated by calcareous soils. Between 0 to 1,200 m a.s.l (Scalercio et al., 2014 175 and reference therein), Mt. Pollino is characterized by the presence of Q. ilex forests or shrubs. 176 Climate along this mountain is humid Mediterranean, with high summer temperatures and an 177 irregular distribution of rainfall throughout the year with pronounced summer drought (39.5% 178 in winter, 23.7% in spring, 29.2% in autumn, 7.6% in summer; average annual precipitation: 179 1,570 mm; see Todaro et al., 2007). MAAT is comprised between 7 °C (2,200 m a.s.l) and 18 180 °C (0 m a.s.l; Scalercio et al., 2014). MAAT along Mt. Pollino was estimated using a linear 181 regression between two MAAT (16°C at 400 m a.s.l and 10°C at 1,600 m a.s.l.) from the 182 meteorological data (Castrovillari station) recorded by Scalercio et al. (2014). The pH of the 183 soils analyzed in the present study ranges between 4.5 and 6.8 (Table 1).

Soil samples were collected from 17 sites along along Mount Shegyla between 3,106
and 4,474 m a.s.l. (southeastern Tibet, China), as previously described by Wang et al. (2015).
Different climatic zonations are observed along this high-altitude site (2,700 to 4,500 m a.s.l):
(i) a mountainous temperate zone between 2,700 and 3,400 m, (ii) a subalpine cold temperate
zone between 3,400 and 4,300 m and (iii) a cold alpine zone above 4,300 m. Plant species, such

189 as brown oak (Q. semecarpifolia) or common fir (Abies alba) are abundant within the 190 mountainous and subalpine levels. In the cold subalpine zone, the Forrest's fir (Abies georgei 191 var. smithii) is endemic to western China. In the cold alpine zone, coniferous species (Sabina 192 saltuaria) as well as species typical of mountainous regions such as *Rhododendron* are 193 observed. MAAT was estimated using a linear regression between 7 measured MAAT from the 194 data recorded by Wang et al. (2015). The average MAAT along the transect is 4.6°C, with a 195 minimum of 1.1 °C at ca. 4,500 m a.s.l. and a maximum of 8.9 °C at ca. 3,100 m a.s.l. (Table 1). Soil pH ranges between 4.6 and 6.4 (Table 1). 196

197 Soils were sampled from 14 sites in the Peruvian Andes along the Kosñipata transect, 198 located in south-eastern Peru, in the upper part of the Madre de Dios/Madeira watershed, east 199 of the Andes Cordillera (Nottingham et al., 2015). This transect (190 m to 3,700 m a.s.l) is well-200 documented and is the object of numerous ecological studies (Malhi et al., 2010; Nottingham 201 et al., 2015). There is a shift in vegetation zonation with increasing elevation, from tropical 202 lowland forest to montane cloud forest and high-elevation 'Puna' grassland. The tree line lies 203 between 3,200 and 3,600 m a.s.l. For the 14 sites sampled in this study, the lower 13 sites are 204 forest and the highest site is grassland. The 14 sites are part of a network of 1 ha forest plots 205 (Nottingham et al., 2015); for each 1 ha plot, 0-10 cm surface soil was sampled from 5 206 systematically distributed locations within each 1 ha plot. Mean annual precipitation does not 207 vary significantly with altitude (mean = $2448 \text{ mm.y}^{-1}$ , SD = 503 mm.y $^{-1}$ ; Rapp and Silman, 2012; Nottingham et al., 2015). MAAT is comprised between 26.4 °C at 194 m altitude and 6.5°C at 208 209 3644 m altitude (Table 1). The pH is characteristic of acidic soils (3.4 - 4.7; Table 1). Further 210 information on these sites and soils is available in Nottingham et al. (2015).

Soil samples were collected from 10 sites between 690 m and 1,385 m a.s.l. from the lake shore (20 to 50 m offshore) of 10 Andean lakes located in Chile (38–39°S) within the temperate forest (Table 1). High-frequency measurements of MAAT over a period of one year are available for the different sampling sites. MAAT is comprised between 5.75°C and 9.2°C. Soil pH ranges between 4.4 and 6.8 (Table 1).

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#### 2.1.2. pH measurement

Following sampling, soils were immediately transported to the laboratory and stored at -20 °C. Soil samples from the Peruvian Andes, Mt. Pollino and Mt. Shegyla were then freezedried, ground and sieved at 2 mm. The pH of the freeze-dried samples was measured in ultrapure water with a 1:2.5 soil water ratio. Typically, 10 ml of ultrapure water were added to

222	4 g of dry soil. The soil solution was stirred for 30 min, before decantation for 1 hand pH
223	measurement (Carter et al., 2007).
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226	2.2. Lipid analyses
227	BrGDGTs and 3-OH FAs were analyzed in all samples from the Peruvian Andes,
228	Chilean Andes, Mt. Pollino and Mt. Shegyla.
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231	2.2.1. 3-OH FA analysis
232	Sample preparation for 3-OH FA analysis was identical to that reported by Huguet et
233	al. (2019) and Véquaud et al. (2021). Soil samples were subjected to acid hydrolysis (3 M HCl)
234	and extracted with organic solvents. This organic fraction was then rotary-evaporated,
235	methylated in a 1M HCl-MeOH solution at 80 °C for 1 h and separated into three fractions over
236	an activated silica column: (i) 30 ml of heptane/EtOAc (98: 2), (ii) 30 ml of EtOAc and (iii) 30
237	ml of MeOH. 3-OH FAs contained in the second fraction were derivatized at 70°C for 30 min
238	with a solution of $N, O$ - bis(trimethylsilyl)trifluoroacetamide (BSTFA) – Trimethylchlorosilane
239	(TMCS) 99:1 (Grace Davison Discovery Science, USA) before gas chromatography-mass
240	spectrometry (GC-MS) analysis.
241	3-OH FAs were analyzed with an Agilent 6890N GC-5973N using a Restek RXI-5 Sil
242	MS silica column (60 m $\times$ 0.25 mm, i.d. 0.25 $\mu m$ film thickness), as previously described
243	(Huguet et al., 2019). 3-OH FAs were quantified by integrating the appropriate peak on the ion
244	chromatogram and comparing the area with an internal standard (3-hydroxytetradecanoic acid,
245	2,2,3,4,4-d5; Sigma-Aldrich, France). The internal standard (0.5 mg/ml) was added just before
246	injection as a proportion of 3 $\mu$ l of standard to 100 $\mu$ l of sample, as detailed by Huguet et al.
247	(2019). The different 3-OH FAs were identified based on their retention time, after extraction
248	of the characteristic $m/z$ 175 fragment ( $m/z$ 178 for the deuterated internal standard; cf. Huguet
249	et al., 2019).
250	The RIAN index was calculated as follows (Wang et al., 2016; Eq. 1) in the range
251	C <sub>10</sub> -C <sub>18</sub> :
252	$RIAN = -\log[(I + A)/N] $ (1)
253	where I, A, N represent the sum of all iso, anteiso and normal 3-OH FAs, respectively.

255 RAN<sub>15</sub> and RAN<sub>17</sub> indices are defined as follows (Wang et al., 2016; Eq. 2 and 3):

256	$RAN_{15} = [anteiso C_{15}] / [normal C_{15}]$	(2)
257	$RAN_{17} = [anteiso C_{17}] / [normal C_{17}]$	(3)

Analytical errors associated with the calculation of RIAN, RAN<sub>15</sub> and RAN<sub>17</sub> indices are respectively 0.006, 0.3 and 0.2 based on the analysis of one sample injected nine times during the analysis and five samples injected in triplicates.

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#### 2.2.2. brGDGT analysis

265 Sample preparation for brGDGT analysis was similar to that reported by Coffinet et 266 al. (2014). Briefly, ca. 5-10 g of soil was extracted using an accelerated solvent extractor (ASE 100, Dionex-ThermoScientific, USA) with a dichloromethane (DCM) / methanol (MeOH) 267 268 mixture (9: 1) for 3×5 min at 100 °C and a pressure of 100 bars in 34 ml cells. The total lipid 269 extract was rotary evaporated and separated into two fractions of increasing polarity on a 270 column of activated alumina: (i) 30 ml of heptane: DCM (9: 1, v:v); (ii) 30 ml of DCM: MeOH 271 (1: 1, v:v). GDGTs are contained in the second fraction, which was rotary evaporated. An 272 aliquot (300 µL) was re-dissolved in heptane and centrifuged using an Eppendorf MiniSpin 273 centrifuge (Eppendorf AG, Hamberg, Germany) at 7000 rpm for 1 min.

274 GDGTs were then analyzed by high pressure liquid chromatography coupled with 275 mass spectrometry with an atmospheric pressure chemical ionisation source (HPLC-APCI-MS) 276 using a Shimadzu LCMS 2020. GDGT analysis was performed using two Hypersil Gold silica 277 columns in tandem (150 mm × 2.1 mm, 1.9 µm; Thermo Finnigan, USA) thermally controlled 278 at 40 °C, as described by Huguet et al. (2019). This methodology enables the separation of 5-279 and 6-methyl brGDGTs. Semi-quantification of brGDGTs was performed by comparing the 280 integrated signal of the respective compound with the signal of a  $C_{46}$  synthesized internal 281 standard (Huguet et al., 2006) assuming their response factors to be identical.

The MBT'<sub>5Me</sub> index, reflecting the average number of methyl groups in 5-methyl isomers of GDGTs and considered as related to MAAT, was calculated according to De Jonge et al. (2014; Eq. 4):

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The CBT' index, reflecting the average number of cyclopentyl rings in GDGTs and considered as related to pH, was calculated as follows (De Jonge et al., 2014; Eq. 5 ):

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 $CBT' = \log \left( \frac{[Ic] + [IIa'] + [IIb'] + [IIc'] + [IIIa'] + [IIIb'] + [IIIc']}{[Ia] + [IIa + IIIa]} \right)$ (5)

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The Roman numerals correspond to the different GDGT structures presented in De Jonge et al. (2014). The 6-methyl brGDGTs are denoted by an apostrophe after the Roman numerals for their corresponding 5-methyl isomers. Analytical errors associated with the calculation of MBT'<sub>5Me</sub>-and<sub>Me</sub> and CBT' indices are 0.015 and 0.02 respectively, based on the analysis of three samples in triplicate among the 44 soil samples.

- 298
- 299 **2.3. Statistical analysis**

In order to investigate the correlations between environmental variables (pH, MAAT) and the relative abundances of bacterial lipids (brGDGTs and 3-OH FAs) or the indices based on these compounds, pairwise correlation matrices were performed in addition to single or multiple linear regressions. As the dataset is not normally distributed, Spearman correlation was used with a confidence level of 5%.

Principal component analyses (PCA) were performed on the different soil samples to statistically compare the 3-OH FA/brGDGT distributions along the different altitudinal transects. The fractional abundances of the bacterial lipids (3-OH FAs and brGDGTs) were used for these PCAs, with MAAT, pH and location of the sampling site representing supplementary variables (i.e. not influencing the principal components of the analysis).

310 Independent models should be used for the development of environmental calibrations, 311 as each of them has its own advantages and limits. Linear regression methods are simple to use 312 but many of them suffer from the phenomenon of regression dilution, as previously noted 313 (Naafs et al., 2017; Dearing Crampton-Flood et al., 2020). That is why other models than 314 ordinary least squares or single/multiple regression were also proposed in this study (cf. section 315 4.2. for discussion of the models): the the k-nearest neighbor (k-NN) and random forest 316 models. These models are based on machine-learning algorithms, which are built on a 317 proportion of the total dataset (randomly defined, *i.e.i.e.*, training dataset) and then tested on 318 the rest of the dataset, considered as independent (test dataset).

319 The k-NN model is based on the estimation of the mean distances between the different 320 samples. This is a supervised learning method (e.g. Gangopadhyay et al., 2009). A training 321 database composed of N "input-output" pairs is initially constituted to estimate the output 322 associated with a new input x. The method of the k-neighbors takes into account the k training 323 samples whose input is the closest to the new input x, according to a distance to be defined. 324 This method is non-parametric and is used for classification and regression. In k-NN regression, 325 the result is the value for this object, which is the average of the values of the k nearest neighbors. Its constraints lie in the fact that, by definition, if a range of values is more frequent 326 327 than the others, then it will be statistically predominant among the k closest neighbors. To 328 overcome this limitation of the k-NN method, data selection was performed randomly on the 329 dataset with a stratification modality according to the MAAT or the pH. This approach allows 330 to limit the impact of extreme values as detailed below.

But, in the present case, data selection was performed randomly on the dataset with a stratification modality according to the MAAT or the pH to limit the impact of extreme values as detailed below. This allows to overcome this limitation of the k-NN method.

The random forest algorithm is also a supervised learning method used, among other things, for regressions (e.g. Ho, 1995; Breiman, 2001; Denisko and Hoffman, 2018;). This model works by constructing a multitude of decision trees at training time and producing the mean prediction of the individual trees. Decision tree learning is one of the predictive modeling approaches used to move from observations to conclusions about the target value of an item. Decision trees where variables are continuous values are called regression trees.

340 The training phase required for the random forests, k-NN and multiple linear 341 regression was performed on 75% of the sample set with an iteration of ten cross-validations 342 per model. Data selection was performed randomly on the dataset (with no pre-processing of 343 the individual 3-OH FAs) but with a stratification modality according to the MAAT or the pH 344 to limit the impact of extreme values on the different models used. Then, the robustness and 345 precision of the different models were tested on the remaining 25 % of samples, considered as 346 an independent dataset. Simple and Multiple linear regressions, PCA, k-NN and random forest 347 models were performed with R software, version 3.6.1 (R Core Team, 2014) using the packages 348 - tidymodels (version 0.1.0)- kknn (version 1.3.1), ranger (version 0.11.2). A web application 349 is available online (https://athibault.shinyapps.io/paleotools) for the reconstruction of 3-OH 350 FA-derived MAAT using the machine learning models proposed in the present study.

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#### 3. Results

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#### **3.1. Distribution of bacterial lipids**

355 *3.1.1. 3-OH FAs* 

356 3-OH FAs were identified in the whole dataset, representing eight elevation transects 357 and 168 samples (Supplementary table 1; Yang et al., 2015; Wang et al., 2016; Coffinet et al., 358 2017; Huguet et al., 2019; Véquaud et al., 2021). Their chain lengths range between 8 and 26 359 C atoms, indicating that these compounds have various origins (bacteria, plants, and fungi; 360 Zelles, 1999; Wang et al., 2016 and reference therein). The homologues of 3-OH FAs with 10 361 to 18 C atoms are considered to be produced exclusively by Gram-negative bacteria 362 (Wollenweber and Rietschel, 1990; Szponar et al., 2003) and will be the only ones considered 363 in the following. Compounds with an even carbon number and *normal* chains were the most abundant 3-OH FAs in all samples (mean 67.9 % of the total 3-OH FAs, Standard Deviation 364 365 (SD) 6.8%), with a predominance of the *n*-C<sub>14</sub> homologue (21.9%, SD 3.23%; Fig. 1). *Iso* (mean 366 22.9%, SD 5.01%) and anteiso (mean 6.33%, SD 1.79%) isomers were also present. It must be 367 noted that anteiso isomers were only detected for odd carbon-numbered 3-OH FAs (Yang et 368 al., 2015; Wang et al., 2016; Coffinet et al., 2017; Huguet et al., 2019).

369 The distribution of 3-OH FAs in the soils of the different altitudinal transects did not 370 show a large variability (Fig. 1). Thus, there was no major difference in the relative abundances of most of the 3-OH FAs (i-C<sub>11</sub>, a-C<sub>11</sub>, n-C<sub>11</sub>, i-C<sub>12</sub>, a-C<sub>13</sub>, n-C<sub>13</sub>, i-C<sub>14</sub>, n-C<sub>15</sub>, i-C<sub>16</sub>, a-C<sub>17</sub> and 371 372  $n-C_{17}$ ) between the 8 study sites, even though slight differences could be observed for some 373 compounds as detailed below. For example, the Peruvian samples were characterized by higher 374 average proportions of  $n-C_{18}$  3-OH FA and lower contribution of the  $n-C_{10}$  and  $n-C_{12}$ 375 homologues than those from the other transects. Soils from Mt. Shegyla were characterized by 376 lower average proportions of  $n-C_{14}$  3-OH FAs and higher abundances of  $i-C_{17}$  compounds 377 compared to the other transects (Fig. 1).

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#### 3.1.2. brGDGTs

The relative abundances of brGDGTs were compared between the same transects as for 3-OH FAs, representing a total of 168 samples. The 5- and 6-methyl isomers were separated in most of the samples (Fig. 2;2, Supp. Table 2), except in older dataset, i.e. soils from Mt. Rungwe (Coffinet et al., 2014, 2017). BrGDGT data from Mt. Rungwe will not be further considered in this study.

The brGDGT distribution was dominated by acyclic compounds (Ia, IIa, IIa', IIIa, Which represent on average ca. 83.4% of total brGDGTs (SD = 14.5%; Fig. 2). The tetramethylated (Ia-c; mean 39.3%, SD of 20.5%) and the pentamethylated (IIa-c; 44.8%, SD 12.8%) brGDGTs were predominant over the hexamethylated ones (IIIa-c; Fig. 2). The 5methyl isomers were on average present in a higher proportion (mean 71.9%, SD 23.4%) than the 6-methyl compounds (Fig. 2).

High variability of the brGDGT distribution was observed among the different transects. The relative abundance of brGDGT Ia was much higher in the Peruvian soils (mean 83%, SD 12.6%) than in the other transects (mean between 17.3% and 61.7%; Fig. 2). The 5methyl isomers were more abundant than the 6-methyl isomers for all sites except for Mt. Pollino (mean 5-methyl = 44%, SD=11.7%) and Mt. Majella (mean 5-methyl = 33.7 %, SD = 5.5%; Fig. 2).

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#### **3.2. 3-OH FA and brGDGT-derived indices**

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#### 3.2.1. 3-OH FA

The RIAN index roughly-varied by an order of magnitudebetween 0.1 and 10.8 among the eight elevation transects (Table 1). The RIAN index ranged from 0.37 to 0.67 for the Peruvian Andes, 0.23 to 0.56 for Mt. Shegyla, 0.15 to 0.34 for Mt. Pollino, 0.21 to 0.53 for the Chilean Andes, 0.26 to 0.80 for Mt. Rungwe (Huguet et al., 2019), 0.16 to 0.46 for Mt. Majella (Huguet et al., 2019), 0.20 to 0.69 for Mt. Shennongjia (Wang et al., 2016) and 0.13 to 0.56 for the French Alps (Véquaud et al., 2021).

407 The RAN<sub>15</sub> varied greatly among the different sites (Table 1). It was in the same range 408 along Mts. Rungwe (1.04-5.73) and Majella (0.68-6.43; Huguet et al., 2019). In contrast, its 409 upper limit was higher for Mts. Shennongjia (0.6<u>8</u>7-10.<u>18</u>77; Wang et al., 2016), Shegyla (4.07-410 12.17), Pollino (2.41-10.26), the Peruvian Andes (2.45-13.77) and the French Alps (1.44-411 12.26). The range of variation in RAN<sub>15</sub> was narrower for the Chilean Andes (3.82-6.40). The RAN<sub>17</sub> values were similar among the different altitudinal transects (Table 1), ranging from 1.72 to 3.90 along Mt. Shegyla, 0.680.73 to 6.434.75 along Mt. Majella (Huguet et al., 2019), 1.19 to 4.54 along Mt. Pollino, 1.91 to 4.25 for the Chilean Andes and 1.12 to 3.57 along Mt. Shennongjia (Wang et al., 2016). The range of RAN<sub>17</sub> values was narrower for Mt. Rungwe (0.33-1.62; Huguet et al., 2019) and the Peruvian Andes (0.61-2.39) and wider for the French Alps (0.89-6.42; Véquaud et al., 2021) compared to the other sites.

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#### 3.2.2. brGDGT

420 The range of variation in the MBT'<sub>5Me</sub> index was homogeneous along most transects 421 (0.32-0.63; Table 1), except the Peruvian Andes, with higher values (0.58-0.98; Table 1). 422 Regarding the CBT' index, it showed similar ranges along Chilean Andes (-2.28 to -0.32) and 423 Mt. Shegyla (-2.39 to -0.35; Table 1). This index showed different ranges of variations along 424 the other altitudinal transects: Mts.transects, Mts. Shennongjia (-1.18 to 0.50; Yang et al., 425 2015), Pollino (-0.24 to 0.43) and Peruvian Andes (-1.91 to -1.09). Finally, The CBT' values 426 varied within a narrow range along Mt.Majella (0.23-0.59; Huguet et al., 2019) and within a 427 wide range along the French Alps (-2.29 to 0.52; Véquaud et al., 2021).

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## 3.3. Principal component analysis and clustering of 3-OH FA and brGDGT distribution

431 Principal component analyses were performed to refine the comparison of bacterial
432 lipid distribution (3-OH FAs and brGDGTs) among the different altitudinal transects.

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434 *3.3.1. 3-OH FA* 

The first two axes of the 3-OH FA PCA explained 39.1% of the total variance in the dataset (Fig. 3a). Dimension 1 (23.9%) opposed samples from Mt. Pollino in the right quadrant to Peruvian soils and samples from Mt. Shennongjia. Dimension 2 (15.2%) especially separated individuals from Chile and Mt. Rungwe. The Wilks' test showed that the location of the sampling sites was the best variable discriminating the distribution of the individuals in the PCA.

441 Principal component analysis performed on the temperature (RAN<sub>15</sub>, RAN<sub>17</sub>) and pH 442 (RIAN) indices derived from 3-OH FAs showed that most of the variance was carried by the 443 first two axes of the PCA (Axis 1 = 56.09%; Axis 2 = 35.29%; Supp. Fig. 2). The first axis was 444 highly correlated with the RAN<sub>15</sub> (r = 0.87) and RAN<sub>17</sub> (r = 0.93) as well as with MAAT (r=- 445 0.67), while Axis 2 showed strong correlations with the RIAN (r = 0.96) and pH (r = -0.61). 446 The PCA allowed visualizing relationships at the scale of the whole dataset, between MAAT 447 and RAN<sub>15</sub> and RAN<sub>17</sub> (r= -0.61; r = -0.64 respectively) and between pH and RIAN (r = -0.53).

448

*3.3.2. brGDGT* 

449 The first two axes of the brGDGT PCA explained 57.7% of the total variance in the 450 dataset (Fig. 3b). Dimension 1 (42.6%) strongly discriminated soils from Mt. Majella and, to a 451 lesser extent, Mt. Pollino, in the right quadrant from those from Mt. Shegyla, Peruvian Andes 452 and Chilean Andes in the left quadrant. Mts Majella and Pollino were also discriminated 453 negatively along dimension 2 (15.1%). Samples from Mts. Shennongjia and Lautaret-Galibier 454 were distributed over the entire PCA. As for the 3-OH FAs, Wilks' test showed that the location 455 of the sampling sites was the best variable discriminating the distribution of the brGDGTs in 456 the PCA.

457

#### 458 4. Discussion

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#### 4.1. 3-OH FA and brGDGT-derived proxies

460 Previous studies conducted on soils from individual altitudinal transects revealed (1) 461 local linear relationships between MAAT/pH and 3-OH FA indices and (2) the potential for 462 combined calibrations using simple linear regressions (Wang et al., 2016; Huguet et al., 2019; 463 Véquaud et al., 2021). In the present study, the existence of linear relationships between 3-OH 464 FA-derived indices and environmental variables was further investigated using an extended soil 465 dataset and the corresponding results were compared with those derived from the brGDGTs, 466 used as an established reference proxy.

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#### 4.1.1. Relationships between pH and bacterial lipid-derived proxies

469 The relationship between RIAN and pH was investigated along each of the altitudinal 470 transects (Fig. 4a; Supp. Table 3). No significant linear relationship was obtained for the 471 Peruvian Andes, Mts. Rungwe, Pollino and Majella (Huguet et al., 2019) and weak to moderate correlations were observed along Mts. Shegyla and Lautaret-Bauges ( $R^2 = 0.29-0.46$ ; Supp. 472 473 Table 3). In contrast, strong regressions between RIAN and pH were observed along Mt. Shennongjia ( $R^2 = 0.71$ ) and in Chilean Andes ( $R^2 = 0.66$ ). A weak linear relationship between 474 RIAN and pH (R<sup>2</sup>=0.34; RMSE = 0.99;  $p = 7.39 \times 10^{-17}$ ) was also obtained when considering 475 476 the 168 samples for the eight elevation transects altogether. Therefore, our results confirm the 477 general influence of pH on the relative abundance of 3-OH FAs (Huguet et al., 2019) but

478 suggest that strong linear correlations between RIAN and pH can only be obtained (i) at a local479 level and (ii) only for some of the sites.

480 As previously suggested (Huguet et al., 2019), the absence or weakness of linear 481 correlations between RIAN and pH may be at least partly due to the small range of variation of 482 pH (<2 units) along some mountains, such as Mts. Rungwe, Majella, and the Peruvian Andes 483 (Fig. 4a; Table 1, Huguet et al., 2019). Transects for the Peruvian Andes and Mt. Majella were 484 also characterized by the absence of relationships between pH and the brGDGT-derived CBT' 485 index, supporting the hypothesis that low narrow pH ranges limit the potential of obtaining 486 linear relationships between indices based on bacterial lipids and pH. Nevertheless, the 487 existence of a narrow pH range was not the only limiting factor in obtaining a strong linear 488 regression between RIAN and pH. Indeed, MAAT rather than soil pH was the dominant driver 489 of soil bacterial diversity and community composition for the Peruvian transect (using 16S 490 rRNA sequencing (Nottingham et al., 2018); and using phospholipid fatty acids (Whitaker et 491 al., 2014)), consistent with the weak correlation between soil pH and bacterial lipids. The 492 weakness of the RIAN-pH relationship may also be partly due to the heterogeneity of soils 493 encountered along a given altitudinal transect, representing specific microenvironments and to 494 the large diversity of bacterial communities in soils from different elevations (Siles and 495 Margesin, 2016). The distribution of 3-OH FAs varies greatly among Gram-negative bacterial 496 species (Bhat and Carlson, 1992) which may account for the significant variability in RIAN 497 values observed in soils from a given transect. Altogether, these results suggest that linear 498 models are not the most suitable for establishing a global calibration between RIAN and pH in 499 soils.

500 Concerning GDGTs, moderate to strong relationships between brGDGT-derived CBT' 501 index and pH were observed along 5 of the 7 altitudinal transects investigated (Fig. 4b; Supp. 502 Table 3). All the individual linear relationships between CBT' and pH, where present, had 503 similar slopes and ordinates and share (for most of the samples) the same 95% confidence 504 intervals (p-value <0.5). This resulted in a strong linear relationship between CBT' index and 505 pH values for the dataset ( $R^2 = 0.68$ ; RMSE = 0.71; n = 140), which is weaker than the global 506 calibration ( $R^2 = 0.85$ ; RMSE = 0.52; n = 221) proposed by De Jonge et al. (2014).

507 The discrepancy in relationships between temperature and brGDGTs and 3-OH FAs 508 might partly be due to differences in the relative abundance of these lipids among bacterial 509 communities. The brGDGTs are produced by a more restricted and less diverse number of 510 bacterial species than 3-OH FAs, which are arguably biosynthesized by a large diversity of 511 Gram-negative bacteria species (e.g. Wakeham et al., 2003, Zelles et al., 1995; Zelles, 1999). 512 So far, only bacteria from the Acidobacteria phylum were identified as putative brGDGT 513 producers in soils (Sinninghe Damsté et al., 2018). The hypothetical lower diversity of brGDGT 514 producers, in contrast with 3-OH FAs might explain the more homogenous response and lower 515 scatter of the relationships between pH and CBT' index. Moreover, the CBT' index is a ratio 516 based on a restricted number of compounds, representing the direct dependence of the degree 517 of cyclisation of bacterial GDGTs on pH. Conversely, the RIAN index is calculated from the 518 relative abundances of all the individual 3-OH FAs between C<sub>10</sub> and C<sub>18</sub> (Wang et al., 2016). It 519 cannot be ruled out that some of the compounds used to calculate the RIAN index are 520 preferentially synthesized, as part of the homeoviscous mechanism, in response to 521 environmental variables other than pH. This calls for a better understanding of the ecology of 522 3-OH FA-producing bacteria and their adaptation mechanisms. 523

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#### 4.1.2 Relationships between MAAT and bacterial lipid-derived proxies

527 RAN<sub>15</sub> was previously shown to be correlated with MAAT along Mts. Rungwe, 528 Majella and Shennongjia (Wang et al., 2016; Huguet et al., 2019). Moderate to strong linear 529 correlations ( $R^2 = 0.49 - 0.79$ ) between RAN<sub>15</sub> and MAAT were also observed along most of the 530 individual transects investigated (Fig. 5a; Supp. Table 3, except along the Chilean and Lautaret-531 Bauges transects. The individual correlations do not share the same 95% confidence intervals 532 and even when some of them present similar slopes, the regression lines display significantly 533 different intercepts (p-value > 0.05) (Fig. 5a). This supports the hypothesis of a site-dependent 534 effect of the linear RAN<sub>15</sub>-MAAT relationship previously made by Huguet et al. (2019).

535 Similarly, to RAN<sub>15</sub>, RAN<sub>17</sub> was moderately to strongly correlated ( $R^2$ ) 536 =0.53-0.81) with MAAT along 5 out of 8 individual transects (Fig. 5b; Supp. Table 3). The 537 small range of variation in MAAT along the Chilean transect (6.0-9.2 °C) (Table 1), associated 538 with that of the RAN<sub>15</sub> /RAN<sub>17</sub>, could explain the lack of a linear relationship between the 539 MAAT and these indices. As for the French Alps (Mts Lautaret-Bauges), the influence of local 540 environmental parameters (pH and to a lesser extent soil moisture and grain size, related to 541 vegetation and soil types, or thermal regimes associated with the snow cover) on 3-OH FA 542 distribution was shown to be predominant over that of MAAT (Véquaud et al., 2021). In 543 contrast with RAN<sub>15</sub>, the linear regressions between RAN<sub>17</sub> and MAAT along Mts. Shegyla, 544 Shennongjia, Rungwe and the Peruvian Andes transects share confidence intervals at 95% and 545 have similar slope and intercept values (*p*-value <0.05; Fig. 5b; Supp. Table 3), suggesting that 546 RAN<sub>17</sub> could be a more effective global proxy for MAAT reconstructions than RAN<sub>15</sub>.

547 In order to test the hypothesis that RAN<sub>17</sub>, rather than RAN<sub>15</sub>, is a more effective 548 global proxy for MAAT, the global calibrations between RAN<sub>15</sub>/RAN<sub>17</sub> and MAAT based on 549 the entire soil dataset (n = 168) were compared. The two linear regressions had similar moderate 550 determination coefficients ( $R^2 = 0.37$  and 0.41 for RAN<sub>15</sub> and RAN<sub>17</sub>, respectively) and similar 551 high RMSE (RMSE =  $5.46^{\circ}$ C and  $5.28^{\circ}$ C for RAN<sub>15</sub> and RAN<sub>17</sub>, respectively; Supp. Table <u>34</u>). 552 For all transects (except for the Mt Majella RAN<sub>17</sub>/MAAT relationship), the individual local 553 regressions between RAN<sub>15</sub>/RAN<sub>17</sub> and MAAT outperformed the proposed global linear 554 calibrations in terms of determination coefficients (0.49-0.81) and RMSE (1.98-3.57 °C; Supp. 555 Table 34), suggesting that local rather than global linear transfer functions based on RAN<sub>15</sub> or 556 RAN<sub>17</sub> may be more appropriate for paleotemperature reconstructions in soils.

557 The difficulties in establishing global linear RAN<sub>15</sub>/RAN<sub>17</sub>-MAAT calibrations may 558 partly be due to the fact that microbial diversity, especially for 3-OH FA-producing Gram-559 negative bacteria (Margesin et al., 2009; Siles and Margesin, 2016), can vary greatly from one soil to another, resulting in variation of the RAN<sub>15</sub>/RAN<sub>17</sub> indices, as also assumed for the RIAN. The strong regional dependence of the 3-OH FA distribution may thus explain the weak correlation between 3-OH FA-derived indices (RAN<sub>15</sub>, RAN<sub>17</sub> and RIAN) and environmental variables (MAAT/pH) at a global level. This regional dependency was further supported by the PCA of the relative abundance of 3-OH FAs across the global dataset, which showed that the individuals were grouped based on the sampling location (Fig. 3a).

566 In addition to 3-OH FAs, the relationships between brGDGT distribution and MAAT 567 were investigated along the seven transects for which the 5- and 6-methyl brGDGT isomers 568 were separated (Mts Shegyla, Pollino Majella, Lautaret-Bauges, Shennongjia, Peruvian Andes 569 and Chilean Andes). These individual transects showed moderate to strong relationships 570 between MAAT and MBT'<sub>5Me</sub> (R<sup>2</sup> 0.35-0.89; Fig. 6 and Supp. Table 3), with similar slopes and 571 ordinates (except for the Peruvian Andes) and shared 95% confidence intervals for most of the 572 samples. A distinct relationship between MBT'<sub>5Me</sub> and MAAT was observed along the Peruvian 573 Andes and Mt Majella transects (Fig. 6a), as also observed for the RIAN and RAN<sub>15</sub> indices 574 (Figs 4a and 5a). The singularity of the Peruvian soils is also visible on the PCA performed on 575 the brGDGT distribution (Fig. 3b), where the samples from this region are pooled very 576 narrowlyseparately from the rest of the dataset the samples dataset. This specific trend is difficult 577 to explain, even though the Peruvian Andes are subjected to warmer climatic conditions (Table 578 1) than the other temperate transects, which may in turn affect the nature of the microbial 579 communities encountered in the soils and the bacteria lipid distribution (Siles and Margesin, 580 2016; Hofmann et al., 2016; De Jonge et al., 2019).

581 A moderate linear relationship between MAAT and MBT'<sub>5Me</sub> (MAAT =  $24.5 \times MBT'_{5Me}$ 582 -4.78;  $R^2 = 0.57$ , RMSE = 3.39 °C, n = 140; Supp. Table 3) was observed after combining the 583 data for the seven aforementioned altitudinal transects. This global relationship follows a 584 similar trend as the calibration proposed by De Jonge et al. 2014 (MAAT =  $31.45 \times MBT'_{5Me}$  -585 8.57) and is more robust and accurate than those obtained between the RAN<sub>15</sub>/RAN<sub>17</sub> and 586 MAAT (Supp. Table 3). This confirms that the MBT'<sub>5Me</sub> index can be applied at a global scale 587 using a simple linear regression model as previously shown (De Jonge et al., 2014; Naafs et al., 588 2017), in contrast with the RAN<sub>15</sub> and RAN<sub>17</sub> proxies, for which only strong local calibrations 589 with MAAT were found.

As a similar conclusion was obtained for the RIAN-pH proxy, it appears necessary to use more complex models to develop global calibrations between 3-OH FA-derived proxies and MAAT/pH. This novel method allows taking into account the complexity and specificity of each environmental site.

# 595 4.2. Development of new models for the reconstruction of MAAT and pH from 3596 OH FA

597 Several complementary methods were recently used to derive calibrations with 598 environmental parameters from organic proxies. Most calibrations between lipid distribution 599 and environmental variables were based on simple linear regression models, most often the 600 ordinary least square regression (e.g. for brGDGTs: De Jonge et al., 2014; Wang et al., 2016), 601 as it is simple and easy to implement and understand. Other linear models, such as Deming 602 regression (Naafs et al., 2017) or Bayesian regression (Tierney and Tingley, 2014; Dearing 603 Crampton-Flood et al., 2020) were also used. Nevertheless, these single linear regression 604 methods rely on a given index (e.g. MBT'<sub>5Me</sub> or CBT' for brGDGTs) which is correlated with 605 environmental parameters. This represents a limitation, as the relative distribution of bacterial 606 lipids can be concomitantly influenced by several environmental parameters (e.g. Véquaud et 607 al., 2021) and and can also depend on the diversity of the source microorganisms bacteria of 608 producing these compounds can also depend on the microbial diversity and sources of these 609 compounds (Parker et al., 1982; Bhat and Carlson, 1992; Zelles, 1999). In contrast, using 610 bacterial lipids relative abundances rather than a single index in the relationships with environmental variables appears less restrictive, and more representative of the environmental 611 612 complexity. Other models can be used in this way, such as those based on multiple regressions 613 (e.g. Peterse et al., 2012; De Jonge et al., 2014; Russell et al., 2018), describing the relationships 614 between one or several explained variables (e.g. bacterial lipid abundances) and one or several 615 explanatory variables (e.g. MAAT, pH). Multiple regressions can reveal the presence of linear 616 relationships among several known variables but cannot take into account non-linear 617 influences, which may occur in complex environmental settings. This limitation, common to 618 all linear models, can be overcome using non-parametric methods such as some of the machine-619 learning algorithms (e.g. nearest neighbours or random forest; Dunkley Jones et al., 2020). The 620 reliability of the latter models lies in the fact that they are non-linear, which helps capturing the intrinsic complexity of the environmental setting, and that they avoid the regression dilution 621 622 phenomenon observed in most linear models. Moreover, their robustness is improved by the 623 fact that they are built on a randomly defined proportion of the total dataset and then tested on 624 the rest of the dataset, considered as independent. Last, these machine-learning algorithms are 625 flexible and are continuously evolving when adding new samples.

626 As shown in section 4.1., robust global calibrations between 3-OH FA-derived indices 627 (RIAN, RAN<sub>15</sub> and RAN<sub>17</sub>) and MAAT/pH could not be established using a simple linear 628 regression model, contrary to what was observed with brGDGT-derived indices. Therefore, 629 three different independent and complementary models were tested to potentially establish 630 stronger statistical relationships between 3-OH FA distributions and pH/MAAT at the global 631 level : (i) a parametric model – multiple linear regression; (ii) two non-parametric models – 632 random forest (e.g. Ho, 1995; Denisko and Hoffman, 2018) and k-NN algorithms (e.g. 633 Gangopadhyay et al., 2009). As discussed above, the multiple linear regression model allows 634 the determination of linear relationships between MAAT/pH and the individual relative 635 abundances of 3-OH FAs, instead of indices derived from the latter. As for the two non-636 parametric models, they present among other things the advantage of taking into account non-637 linear environmental influences.

638 The three models, based on a supervised machine learning approach, were applied to 639 the total soil dataset (n=168). BacterialAll the 3-OH FA homologues of Gram-negative bacterial 640 origin (i.e. with chain lengths between  $C_{10}$  and  $C_{18}$ ; Wilkinson et al., 1988), between  $C_{10}$  and 641  $C_{18}$ , All the 3 OH FA homologues, whatever their abundance, were included in the models 642 whatever their abundance to keep the maximum variability and take into account the specificity 643 and complexity of each altitudinal transect. Indeed, the nature of the individual 3-OH FAs 644 whose fractional abundance is mainly influenced by MAAT/pH may be site-dependent, as 645 previously observed (Véquaud et al., 2021). The performances of these three models were 646 compared with those of the linear calibrations between 3-OH FA-derived indices (RAN<sub>15</sub>, 647 RAN<sub>17</sub>, RIAN) and MAAT/pH (Table 2).

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#### 4.2.1. Temperature calibrations

650 The multiple linear regression model yielded a strong relationship between 3-OH FA
651 relative abundances and MAAT (Fig. 7a; Eq.6):

 $\begin{array}{ll} 652 & MAAT (^{\circ}C) = -59.02 \times [nC_{10}] + 102.1 \times [iC_{11}] + 2628.49 \times [aC_{11}] - 165.58 \times [nC_{11}] - 79.799 \\ \\ 653 & \times [nC_{12}] + 89.93 \times [iC_{13}] + 205.06 \times [aC_{13}] - 136.25 \times [nC_{13}] - 309.71 \times [iC_{14}] - 43.16 \times \\ \\ 654 & [nC_{14}] - 9.27 \times [iC_{15}] - 308.53 \times [aC_{15}] + 66.06 \times [nC_{15}] - 60.57 \times [iC_{16}] + 15.53 \times [nC_{16}] + \\ \\ 655 & 13.52 \times [iC_{17}] - 228.76 \times [aC_{17}] - 91.12 \times [nC_{17}] + 42.16 \times [nC_{18}] + 43.71 \\ \\ \\ 656 & (n = 168; R^2 = 0.79; RMSE = 3.0 \ ^{\circ}C) \end{array}$   $\begin{array}{l} (6) \\ \\ \\ 657 \end{array}$ 

 $(C_{10}-C_{18}; Wilkinson et al., 1988)$ , presents a higher strength than the global linear relationships

between 3-OH FA derived indices and MAAT ( $R^2=0.37$  and 0.41; RMSE = 5.5°C and 5.3°C for

RAN<sub>15</sub> and RAN<sub>17</sub>, respectively; Table 2). The multiple linear regression also improves the
accuracy and robustness of MAAT prediction in comparison with single linear relationships,
with lower RMSE (3.0 °C), variance of the residuals (9.2 °C; Fig. 7d) and mean absolute error
(MAE; 2.3 °C) than with the RAN<sub>15</sub> and RAN<sub>17</sub> calibrations (RMSE of 5.5 and 5.3 °C; variance
of 29.8 and 27.9 °C; MAE of 4.0 and 3.9 °C for RAN<sub>15</sub> and RAN<sub>17</sub>, respectively; Table 2).

665 Similarly to the multiple linear regression model (Fig. 7a), the random forest (Fig. 7b) and k-NN (Fig. 7c) calibrations are characterized by strong determination coefficients (R<sup>2</sup> 0.83 666 and 0.77, respectively). The variance in residuals, MAE and RMSE of the random forest 667 668 calibration are slightly lower than those of the multiple linear regression and k-NN models 669 (Table 2). An advantage of the random forest algorithm lies in the fact that the weight of the 670 different variables used to define the model can be quantified using the permutation importance 671 method (Breiman, 2001). The a-C<sub>15</sub>, i-C<sub>14</sub>, a-C<sub>17</sub>, n-C<sub>12</sub>, n-C<sub>15</sub>, and to a lesser extent n-C<sub>17</sub>, n-672  $C_{16}$  and *i*- $C_{13}$  3-OH FAs were observed to be the homologues predominantly used by the model 673 to estimate MAAT values (Fig. 9a). They include all the 3-OH FAs involved in the calculation 674 of the RAN<sub>15</sub> and RAN<sub>17</sub> indices, especially the a-C<sub>15</sub> homologue. This may explain why linear 675 relationships between the RAN<sub>15</sub>/RAN<sub>17</sub> and MAAT could be established along some, 676 but not all, of the altitudinal transects investigated until now (Wang et al., 2016; Huguet al., 677 2019; Véquaud et al., 2021; this study). Nevertheless, other individual 3-OH FAs than those 678 appearing in the calculation of the RAN<sub>15</sub> and RAN<sub>17</sub> have also a major weight in the random 679 forest model and seem to be influenced by temperature changes, explaining the moderate 680 determination coefficients of the global RAN<sub>15</sub>/RAN<sub>17</sub>-MAAT linear relationships observed in 681 this study.

682 On the whole, the strength and accuracy of the multiple linear regression, k-NN and 683 random forest models are much higher than those based on the RAN<sub>15</sub> and RAN<sub>17</sub> indices 684 (Table 2). This is likely related to the fact that the three aforementioned models integrate the 685 whole suite of 3-OH FAs homologues ( $C_{10}$  to  $C_{18}$ ) and thus better capture the complexity of the response of soil Gram-negative bacteria and their lipid distribution to temperature changes than 686 the RAN<sub>15</sub> and RAN<sub>17</sub> indices. They also present the advantage of increasing the range of 687 688 temperature which may be predicted by more than 4 °C in comparison with the RAN<sub>15</sub> and 689 RAN<sub>17</sub> calibrations (Table 2). Indeed, even though the lower limit of MAAT estimates for the 690 three models tested in the present study is slightly higher than those based on the RAN<sub>15</sub> and 691 RAN<sub>17</sub> indices, the upper limit of the MAAT which can be estimated using the multiple linear 692 regression, random forest and k-NN models is substantially higher (ca. 25 °C) than that based 693 on the RAN<sub>15</sub> or RAN<sub>17</sub> indices (ca. 17 °C; Table 2).

694 The three proposed models show the potential of 3-OH FAs as MAAT proxies at the global level, which was not visible using RAN15 and RAN17 indices. The non-parametric 695 696 models (random forest and k-NN) may benefit from the fact that they take into account the 697 complex, non-linear relationships between environmental parameters and bacterial lipid 698 abundance. This is highlighted when comparing the independent variations of the individual 3-699 OH FA relative abundances with estimated MAAT for the three proposed models, with non-700 linear trends for the k-NN and random forest models, in contrast with the multiple linear 701 regression (Supp. Fig. 2).

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#### 4.2.2. pH calibrations

A robust linear relationship between the RIAN and pH could not be obtained from the whole soil dataset (Fig. 4a; Table 2). In contrast, the multiple regression model provided a strong correlation between the 3-OH FA fractional abundances and pH (Fig. 8a; Eq. 7):

 $pH = -1.45 \times [nC_{10}] - 31.70 \times [iC_{11}] - 162.09 \times [aC_{11}] - 53.22 \times [nC_{11}] - 6.21 \times [nC_{12}] + 56.24 \times [iC_{13}] - 2.02 \times [aC_{13}] + 15.10 \times [nC_{13}] + 23.99 \times [iC_{14}] - 4.54 \times [nC_{14}] - 13.79 \times [iC_{15}] - 15.74 \times [aC_{15}] + 1.93 \times [nC_{15}] - 46.29 \times [iC_{16}] - 3.20 \times [nC_{16}] - 1.80 \times [iC_{17}] - 8.90 \times [aC_{17}] + 11.46 \times [nC_{17}] - 3.63 \times [nC_{18}] + 7.84 \quad (n = 168; R^2 = 0.64; RMSE = 0.8) \quad (7)$ 

The random forest (Fig. 8b) and k-NN pH models (Fig. 8c) appeared to be slightly more robust and accurate than the multiple linear regression (Fig. 8a), as the former two models presented slightly higher determination coefficients ( $R^2 = 0.68$  and 0.70 for k-NN and random forest, respectively) and slightly lower RMSE (0.7), variance in residuals (0.5) and MAE (0.5) than the multiple linear regression (Table 2).

716 As for the MAAT random forest model, the weight of the individual 3-OH FAs in the pH random forest calibration was determined (Fig. 9b). Three homologues  $-i-C_{13}$ ,  $n-C_{15}$ ,  $i-C_{16}$ 717 718 - had a larger weight in the global pH model than the others (Fig. 9b). This is consistent with a 719 detailed study of 3-OH FA distribution in soils from the French Alps (Véquaud et al., 2021), 720 where the  $i-C_{13}$  and  $i-C_{16}$  3-OH FAs were observed to be predominantly influenced by pH. Nevertheless, in addition to the three aforementioned homologues, most of the  $C_{10}$  to  $C_{18}$  3-OH 721 722 FAs have a non-negligible influence in the random forest pH model, except the a-C<sub>15</sub> and i-C<sub>14</sub> 723 compounds (Fig. 9b). This is in line with the definition of the 3-OH FA-based pH index (RIAN) 724 defined by Wang et al. (2016) which includes the whole suite of 3-OH FAs. These results 725 suggest that soil Gram-negative bacteria may respond to pH variations by modifying the whole 726 distribution of associated 3-OH Fas-FAs ( $C_{10}$ - $C_{18}$ ). This would need to be further confirmed by 727 e.g. investigating the influence of pH variations on pure strains of Gram-negative bacteria <u>isolated from soils This</u>, even though this needs to be further confirmed by <u>studies</u> <u>assessing</u>
 <u>the influence of environmental parameters on the source bacteria of 3 OH FA, e.g. by using</u>
 <u>experiments on pure strains of bacteria and/or experiments in microcosms.</u>working at the
 <u>microbial level.</u>

733 In any case, in contrast with the RIAN index, the multiple linear regression, k-NN and 734 random forest models provided strong global calibrations with pH (Fig. 8), as robust as the 735 global CBT'-pH relationship (Fig. 4b). The three proposed models also increase the range of 736 pH which can be estimated (~ 4 pH units) in comparison with the RIAN global calibration (~ 3 737 pH units), further strengthening the potential of these models for soil pH reconstruction. As 738 MAAT models, the independent variations of the individual 3-OH FA relative abundances with 739 estimated pH highlight non-linear trends for the k-NN and random forest models, in contrast 740 with the multiple linear regression (Supp. Fig. 3), which might favor the use of the two non-741 parametric models in order to take into account such non-linear influences. The machine-742 learning MAAT and pH models proposed in this paper are flexible and could be further 743 improved by increasing the number of soil samples analyzed and the representativeness of the 744 different MAAT and pH values within the dataset.

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#### 4.3. Paleoclimate application of the new 3-OH FA/MAAT models

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748 The multiple regression, random forest and k-NN models developed for MAAT 749 reconstruction using 3-OH FAs were similar in terms of robustness and precision (Figs. 7a, b, 750 c; Table 2). The performance and validity of these global terrestrial calibrations for 751 paleotemperature reconstructions was thus tested and compared with the MAAT record from a 752 Chinese speleothem (HS4 stalagmite) covering the last 9,000 years BP (Wang et al., 2018). 753 This terrestrial archive was the object of previous paleostudies, thus providing a context for the 754 interpretation of the MAAT data and, to the best of our knowledge, represents the only 755 published application of 3-OH FAs as a paleotemperature proxy in terrestrial settings (Wang et al., 2018). The local comparison of 3-OH FA distributions in the overlying soils and stalagmites 756 757 and the analyses of bacterial diversity and transport pathways suggested that the 3-OH FAs in 758 the HS4 speleothem were mainly soil-derived (Wang et al., 2018), supporting the application 759 of soil calibrations for MAAT reconstruction from this archive, although not being a paleosoil 760 itself. The first paleoapplication of 3-OH FAs (Wang et al., 2018) on this speleothem relied on 761 a local calibration between the RAN<sub>15</sub> index and MAAT proposed by Wang et al. (2016) using

soils from Mt. Shennogjia. The MAAT estimates derived from our global soil calibrations were
compared with those obtained from this local soil calibration (Wang et al., 2016).

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### 4.3.1 Comparison of the multiple linear regression, k-NN and random forest global MAAT calibrations

768 The multiple regression model (Eq. 6; Fig. 7a) yielded MAAT estimates ranging 769 between -35 and 22.8 °C over the last 9,000 years (Supp. Fig. 4). The temperature minimum (-770 35°C) observed at 560 yrs BP can be considered as an outlier, with a significantly lower MAAT 771 estimate than those provided by the other samples. After having ignored this apparent outlier, 772 the MAAT range over the last 9,000 years was comprised between 3.2°C and 22.8°C, with 773 temperature shifts of up to 15 °C within very short periods of time. The observed range of 774 MAAT and large variations in temperature over such short periods appear far too excessive, as 775 the expected amplitude of MAAT during the Holocene is expected to be up to ca. 2-3 °C (Liu 776 et al., 2014). This highly questions the reliability of the multiple linear regression model for 777 MAAT reconstruction from this archive.

778 MAAT estimates derived from the k-NN calibration ranged between 6.5 and 19.7 °C 779 over the last 9,000 years (Supp. Fig. 4). Abrupt shifts in MAAT of more than 10 °C were 780 observed between 2,000 and 4,000 yrs BP. Such variations, higher than the RMSE of the 781 calibration, appear excessive for the Holocene period, as previously discussed for the multiple 782 regression model. The bias in MAAT estimates may be due to the intrinsic definition of the k-783 NN model, which is better suited for uniformly distributed datasets. This is not the case here, 784 as the individual transects heterogeneously cover a wide range of temperatures. The application 785 of a global calibration at the local scale – that of the HS4 stalagmite – using the k-NN method 786 and based on the similarities among samples, thus does not appear appropriate. Such a 787 calibration might be improved by extending the dataset with samples more equally distributed 788 across a wider range of global climatic gradients.

Finally, the random forest model yielded MAAT estimates between 10.6 and 19.3°C, i.e. a much-smaller estimation range than the k-NN algorithm and multiple regression model (Supp. Fig. 4). The amplitude of the shifts observed between 2,000 and 4,000 yrs BP was ca. 4°C, which is climatically more consistent than the variations obtained with the k-NN method and multiple regression model, even though these large variations in MAAT over such short periods of time still appear too excessive. Furthermore, the application of the global random forest calibration roughly provided similar temperature trends as those derived from the local 796 RAN<sub>15</sub> calibration by Wang et al. (2018; Fig. 10), despite some largest oscillations for the global 797 model. These results suggest that the random forest calibration is more reliable than the multiple 798 regression and k-NN ones. This can be explained by the intrinsic definition of the random forest 799 algorithm, which averages the results of several independent models (so-called decision trees), 800 thus reducing the variance and thus the forecast error on the final model. This is also in line 801 with the slightly higher accuracy of the random forest calibration compared with the other two 802 models (Table 2), as previously discussed. In contrast, the multiple regression calibration was 803 the less performant of the three models on the investigated archive. This may be related to its 804 parametric nature and the fact that it does not take into account the natural non-linear variations 805 on 3-OH FA fractional abundances highlighted by the random forest and k-NN models (Supp. 806 Figs. 2 and 3).

In conclusion, the three models proposed in this study, especially the random forest, have potential for MAAT reconstruction, even though the application to a well-known paleoclimate archive showed their limitations. This highlights the importance of testing new calibrations on well-characterized archives to investigate their reliability.

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## 4.3.2. Comparison of the global random forest and local RAN<sub>15</sub> calibrations for MAAT reconstruction

814 The random forest model was observed to be the most reliable of the three proposed 815 global MAAT calibrations (Fig. 7). To go further, we compared the temperature record derived 816 from our global random forest calibration with that derived from the local MAAT/RAN<sub>15</sub> 817 transfer function proposed by Wang et al. (2016; Fig. 10). The application of the local RAN<sub>15</sub> 818 calibration to the HS4 stalagmite yielded an average MAAT of ca. 18.4 °C over the most recent 819 part of the record (last 800 yrs; Fig. 10), consistent with the MAAT of 18 °C recorded in situ 820 by a temperature logger (Hu et al., 2008; Wang et al., 2018). In contrast, absolute MAAT 821 estimates derived from the random forest model were on average 14.2 °C over the last 800 yrs 822 and were generally lower than those obtained from the local RAN<sub>15</sub> calibration over the whole 823 record. Altogether, these results suggest that the random forest model tends to underestimate 824 absolute MAAT, in contrast with the RAN<sub>15</sub> calibration proposed by Wang et al. (2016). This 825 discrepancy may be due the fact that the calibration proposed in the present study is based on a 826 global dataset, with samples subject to a large variety of environmental and climatic conditions, 827 whereas the RAN<sub>15</sub>-MAAT transfer function by Wang et al. (2016) was constructed using soil 828 samples from a regional altitudinal transect, located at only 120 km distance from the stalagmite 829 site (Wang et al., 2018).

830 Even though the local calibration by Wang et al. (2016) provides more accurate 831 absolute MAAT values than the present global random forest model, as it could be expected, 832 both calibrations roughly generate similar qualitative MAAT trends over time. A regular slight 833 decrease in temperature of ca. 1 °C was observed between 9,000 and ca. 1,000 yrs BP based on 834 the local RAN<sub>15</sub> calibration (Fig. 10a; Wang et al., 2018). This general decreasing trend was 835 also visible when using the random forest model, but with larger oscillations and mainly 836 between 9,000 and 4,000 yrs BP, in agreement with the general trend recorded by the  $\partial^{18}$ O 837 record (mixture of temperature and hydrological signals, Wang et al., 2018) of the HS4 838 stalagmite (Fig. 10be,cd; Hu et al., 2008). In addition, both the global random forest, local RAN<sub>15</sub> calibrations and the  $\partial^{18}$ O record allowed the identification of several climatic events in 839 840 the Northern hemisphere, in agreement with the reconstructed total solar irradiance (TSI, 841 Steinhilber et al., 2009, Fig. 10ed). Thus, both models highlighted, with slightly different 842 amplitudes, the Medieval Warm Period (800-1000 years BP) and Little Ice Age (LIA; 200-500 843 years BP) periods (Mann et al., 2008; Ljungqvist, 2010; Wang et al., 2018). The LIA event is 844 particularly well represented by the global random forest calibration, in line with the decrease 845 in the TSI (Fig. 10be,de) associated with a relative increase in the  $\partial^{18}$ O of HS4 carbonates 846 (dry/cool event, Wang et al., 2018). Before the MWP, the global random forest calibration 847 shows slight oscillations, which can be assumed to be representative of TSI variations between 848 500 and 1,300 yrs BP. Similarly, an important cooling event, well correlated with a significant 849 decrease in the TSI (Fig. 10a, be, de), was recorded by the two calibrations at 1300 yr BP.

850 The global random forest calibration also highlighted two cooling events, poorly 851 represented by the local RAN<sub>15</sub> calibration: one at ca. 4,200 yrs BP ago and, to a lesser extent, 852 another one between 2,800 and 3,000 yrs BP (Bond et al., 2001; Mayewski et al., 2004). The event at 4,200 yrs BP is consistent with the  $\partial^{18}$ O and solar irradiance records and is referenced 853 854 in the literature as the "4.2 kiloyear event" (deMenocal, 2001). This intense drought event was 855 suggested to have had a major impact on different civilizations (collapses, migrations; (Gibbons, 1993; Staubwasser et al., 2003; Li et al., 2018; Bini et al., 2019). Thus, in some parts 856 857 of China, the production of rice fields sharply decreased during this period, leading to a decrease 858 in population (Gao et al., 2007).

Both calibrations additionally shows a cooling period between 4,000 yrs and 3,200 yrs BP, more pronounced based on the global random forest model, followed by another cooling between 3,200 years BP and 3,000 yrs BP. This cooling period is consistent with the trends derived from  $\partial^{18}$ O and solar irradiance records. It culminates with a cold episode at 3000 yrs BP, also known as Late Bronze Age Collapse (Kaniewski et al., 2013). Indeed, this cold episode, combined with droughts, may have led to a decrease in agricultural production in China, contributing to the degradation of trade routes and ultimately to the collapse of Bronze Age civilizations (Weiss, 1982; Knapp and Manning, 2016). Last, the global random forest calibration also highlights two additional cold events, between 5,600 and 5,900 yrs BP, as well as around 7,100 yrs BP, corresponding to solar irradiance minima (Bond et al., 2001; Mayewski et al., 2004) and which are not as clearly visible with the local RAN<sub>15</sub> calibration by Wang et al. (2016).

871 The first application of the random forest calibration to a natural archive shows the 872 potential of 3-OH FAs as paleotemperature proxies at a global scale, as known and documented 873 climatic events were recorded, with a similar RMSE (2.8 °C; Table 2) as that of the local 874 calibration by Wang et al. (2.6 °C; 2016). This RMSE is also much lower than the one related 875 to the latest global MAAT-brGDGT calibrations (> 4 °C; De Jonge et al., 2014; Naafs et al., 876 2017; Dearing Crampton-Flood et al., 2020), even though the latter are based on a larger number 877 of soil samples than the global 3-OH FA model proposed in the present study. In summary, we 878 demonstrate that 3-OH FAs are promising and effective temperature proxies for terrestrial 879 settings, complementary to, and independent of, the brGDGTs (De Jonge et al., 2014; Naafs et 880 al., 2017; Dearing Crampton-Flood et al., 2020), and also highlight the usefulness of non-881 parametric models using machine learning, especially the random forest algorithm, to establish 882 global MAAT calibrations. We expect that analyses of 3-OH FAs in a larger number of globally 883 distributed soils will further improve the accuracy and robustness of the global random forest 884 calibration for paleotemperature reconstruction. Additional paleoapplications are also required 885 to further test and validate the applicability of the global MAAT and pH calibrations based on 886 3-OH FAs presented in this study.

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**5.** Conclusions

890 3-OH FAs have been recently proposed as environmental proxies in terrestrial settings, 891 based on local studies. This study investigated for the first time the applicability of these 892 compounds as MAAT and pH proxies at the global scale using an extended soil dataset across 893 a series of globally distributed elevation transects (n = 168). Strong linear relationships between 894 3-OH FA-derived indices (RAN<sub>15</sub>, RAN<sub>17</sub> and RIAN) and MAAT/pH could only be obtained 895 locally, for some individual transects, suggesting that these indices cannot be used as 896 paleoproxies at the global scale through this kind of model. Other algorithms (multiple linear 897 regression, k-NN and random forest models) were tested and, in contrast with simple linear 898 regressions, provided strong global correlations between MAAT/pH and 3-OH FA relative 899 abundances. The applicability of these three models for paleotemperature reconstruction was 900 tested and compared with the MAAT record from the unique available record: a Chinese 901 speleothem. The calibration based on the random forest model appeared to be the most robust 902 and showed similar trends to previous reconstructions and known Holocene climate variations. 903 Furthermore, the global random forest model highlighted documented climatic events poorly 904 represented by the local RAN<sub>15</sub> calibration. This new global model is promising for 905 paleotemperature reconstructions in terrestrial settings and could be further improved by 906 analyzing 3-OH FAs in a larger number of globally distributed soils. This study demonstrates 907 the major potential of 3-OH FAs as MAAT/pH proxies in terrestrial environments through the 908 different models presented and their application for paleoreconstruction.

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910 **Data availability.** All data are available in the Supplementary tables.

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912 Author contributions. P.V. performed the lipid and statistical analyses and wrote a first draft 913 of the paper., A.H. and S.D. supervised the work of P.V. and corrected the first draft, P.V. and 914 A.T. developed the different models, G.B., A.N., W.P.S., N.S., J.P.W. and S.C. provided 915 samples and/or associated data, and all the co-authors reviewed and commented on the paper. 916

917 **Competing interests.** The authors declare that they have no conflict of interest.

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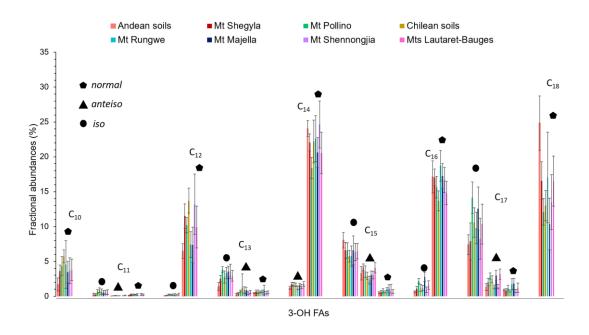
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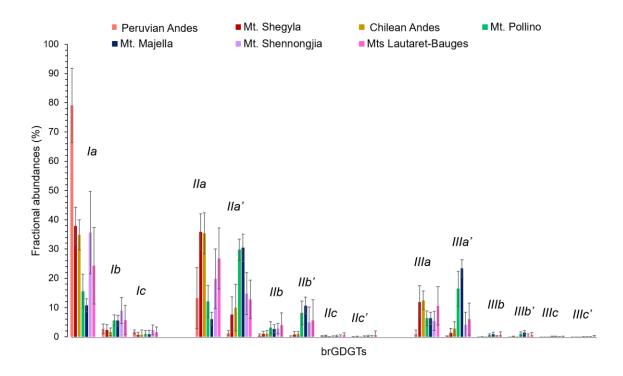
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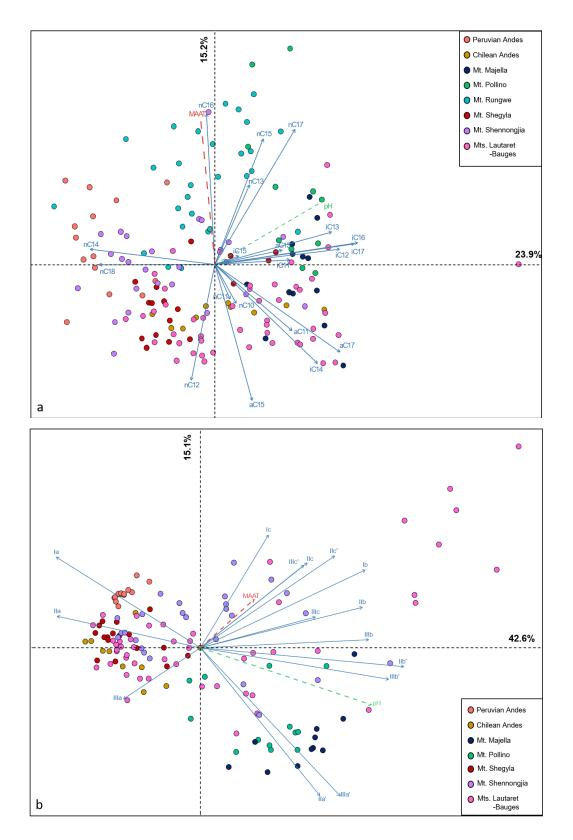
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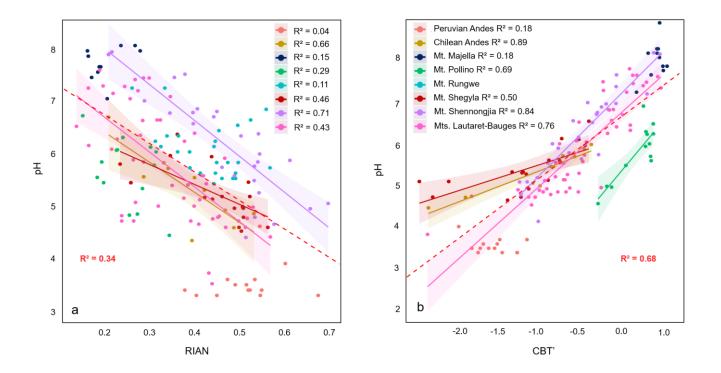
**Figure 1.** Average distribution of 3-OH FAs along the 8 altitudinal transects investigated in this study. Data from Mts. Majella and Rungwe were taken from Huguet et al. (2019). Data from Mt. Shennongjia were taken from Wang et al. (2016). Data from Mts. Lautaret-Galibier were taken from Véquaud et al. (2021).



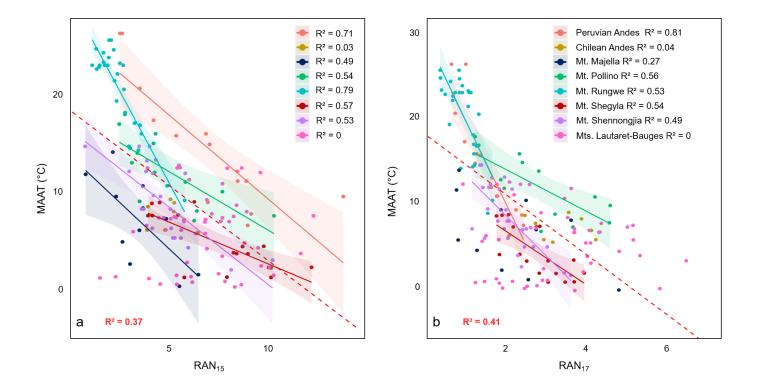
**Figure 2.** Average distribution of 5- and 6-methyl brGDGTs, along Mts. Shegyla, Pollino Majella, Lautaret-Bauges, Peruvian Andes and Chilean Andes. Data from Mt. Majella were taken from Huguet et al. (2019). Data from Mt. Shennongjia were taken from Yang et al. (2015). Data from Mts. Lautaret-Galibier were taken from Véquaud et al. (2021).



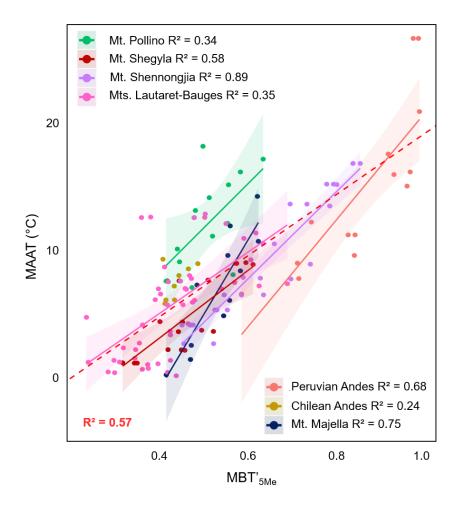
**Figure 3.** PCA biplot of (a) 3-OH FA fractional abundances in soil samples from the 8 altitudinal transects and (b) brGDGT fractional abundances in soil samples from 7 of the 8 altitudinal transects. BrGDGT data from Mt. Rungwe, for which 5- and 6-methyl isomers were not separated, were not included in the PCA.



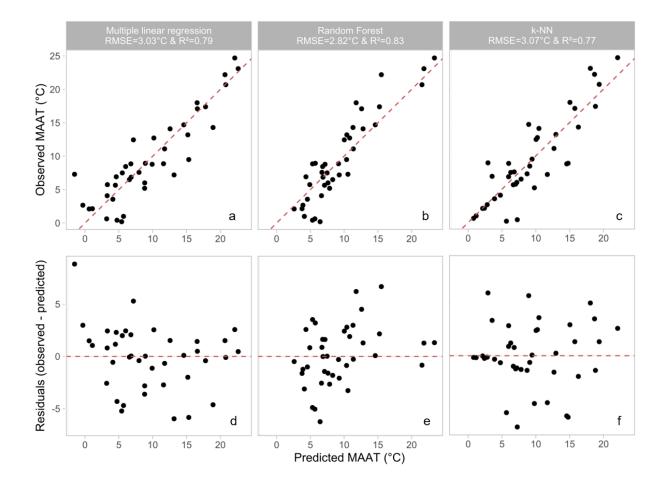
**Figure 4.** Linear regressions between (a) pH and RIAN and (b) pH and CBT' along the 8 altitudinal transects investigated. Dotted lines represent the 95% confidence interval for each regression and colored areas represent the 95% confidence interval for each regression. Data for Mts. Majella and Rungwe were taken from Huguet et al. (2019). Data from Mt. Shennongjia were taken from Yang et al. (2015) and Wang et al. (2016). Data from Mts. Lautaret-Galibier were taken from Véquaud et al. (2021). Only significant regressions (p < 0.05) are shown.



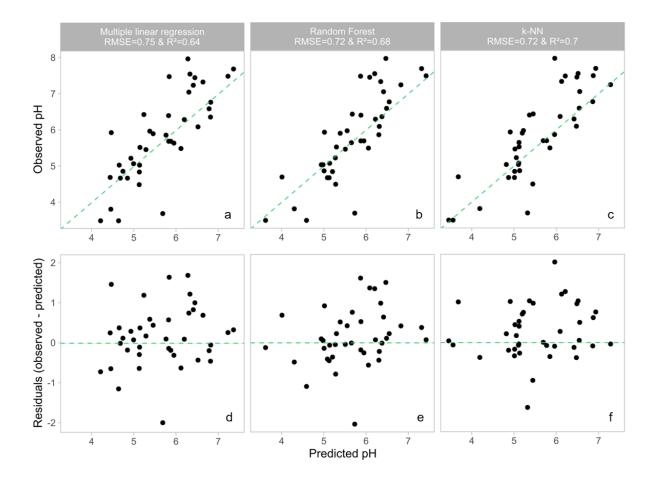
**Figure 5.** Linear regressions between (a) MAAT and RAN<sub>15</sub> and (b) MAAT and RAN<sub>17</sub> along the 8 altitudinal transects investigated. Dotted lines represent the 95% confidence interval for each regression and colored areas represent the 95% confidence interval for each regression. Data from Mts. Majella and Rungwe were taken from Huguet et al. (2019). Data from Mt. Shennongjia were taken from Wang et al. (2016). Data from Mts. Lautaret-Galibier were taken from Véquaud et al. (2021). Only significant regressions (p < 0.05) are shown.



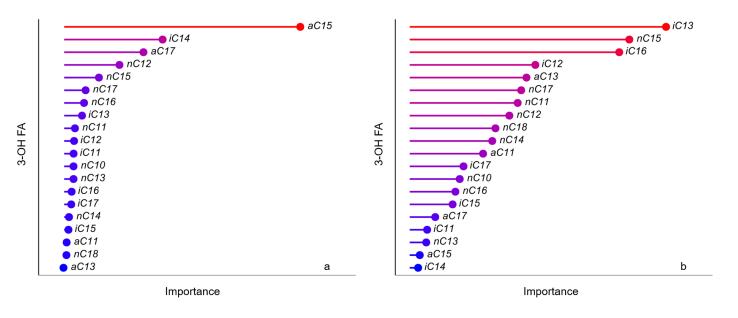
**Figure 6.** Linear regressions between (a) MAAT and MBT'<sub>5Me</sub> along 7 of the 8 altitudinal transects investigated. Data from Mt. Rungwe (Coffinet et al., 2014), for which 5- and 6-methyl brGDGTs were not separated, were not included in this graph. Dotted lines represent the 95% confidence interval for each regression and colored areas represent the 95% confidence interval for each regression. Data from Mt. Majella were taken from Huguet et al. (2019). Data from Mts. Lautaret-Galibier were taken from Véquaud et al. (2021). Data from Mt. Shennongjia were taken from Yang et al. (2015). The global soil calibration by De Jonge et al. (2014) was applied to all these transects. Only significant regressions (p < 0.05) are shown.



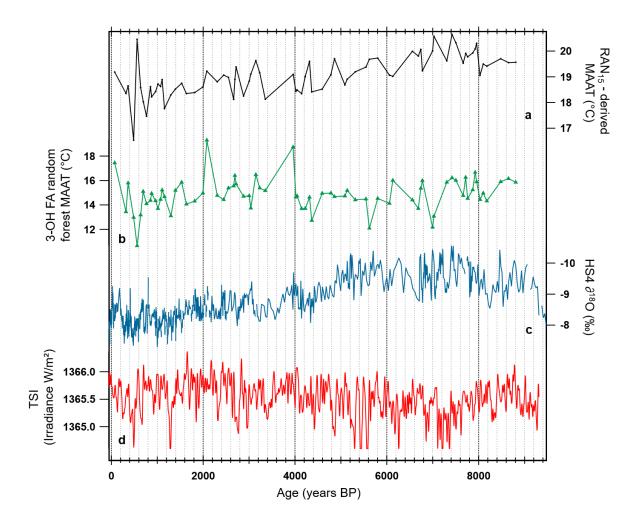
**Figure 7.** Results of the three different models tested to reconstruct the MAAT from 3-OH FA distribution: observed MAAT (°C) vs Predicted MAAT (°C) for (a) the multiple linear regression model, (b) the random forest model and (c) the k-NN method. MAAT residuals plotted against the predicted MAAT for (d) the multiple linear regression model, (e) the random forest model and (f) the k-NN method.



**Figure 8.** Results of the three different models tested to reconstruct the pH from 3-OH FA distribution: observed pH vs predicted pH for (a) the multiple linear regression model, (b) the random forest model, (c) the k-NN method. pH residuals plotted against the predicted pH for (d) the multiple linear regression model, (e) the random forest model and (f) the k-NN method.



**Figure 9.** Importance (arbitrary unit) of the 3-OH FAs used to estimate (a) MAAT and (b) pH in the random forest models proposed in this study according to the permutation importance method (Breiman, 2001).



**Figure 10.** Comparison of the 3-OH FA model-MAAT record with other time-series and proxy records for the HS4 speleothem (Wang et al., 2018). (a) RAN<sub>15</sub>-MAAT record reconstructed using a local Chinese calibration (Wang et al., 2016; Wang et al., 2018). (b) 3-OH FA random forest model-MAAT. (cd) The CaCO<sub>3</sub> oxygen isotope record (Hu et al., 2008b). (de) Total solar irradiance (TSI; W/m<sup>2</sup>) during the Holocene (past 9300 years) based on a composite described in Steinhilber et al. (2009).

D	Location	Altitude (m)	M AAT(°C)	pН	RAN <sub>15</sub>	RAN <sub>17</sub>	RIAN	MBT'5Me	CBT'
1	Peruvian Andes	194	26.4	3.7	2.45	0.96	0.47	0.96	-1.09
2	Peruvian Andes	210	26.4	4	2.56	0.61	0.60	0.97	-1.92
3	Peruvian Andes	1063	20.7	4.7	3.46	0.70	0.54	0.98	-1.76
4	Peruvian Andes	1500	17.4	3.5	4.15	0.93	0.51	0.91	-1.55
5	Peruvian Andes	1750	15.8	3.6	5.30	1.32	0.51	0.92	-1.62
6	Peruvian Andes	1850	16	3.5	6.81	1.23	0.54	0.96	-1.76
7	Peruvian Andes	2020	14.9	3.4	7.00	1.19	0.54	0.95	-1.68
8	Peruvian Andes	2520	12.1	3.7	8.40	1.59	0.53	0.74	-1.42
9	Peruvian Andes	2720	11.1	3.6	8.42	1.73	0.48	0.83	-1.45
10	Peruvian Andes	3020	9.5	3.4	13.78	2.21	0.44	0.83	-1.21
11	Peruvian Andes	3200	8.9	3.5	6.91	2.35	0.37	0.71	-1.48
12	Peruvian Andes	3025	11.1	3.5	8.86	1.74	0.52	0.82	-1.66
13 14	Peruvian Andes	3400	7.7 6.5	3.4	9.10	2.39	0.40	0.71	-1.39
14	Peruvian Andes	3644	0.5	3.4	8.93	2.03	0.67	0.58	-1.21
15	Mt. Shegyla, Tibet	3106	8.9	5.53	6.22	2.02	0.51	0.59	-0.83
16	Mt. Shegyla, Tibet	3117	8.9	6.43	4.47	1.86	0.36	0.57	-0.35
17	Mt. Shegyla, Tibet	3132	8.8	6.01	4.07	1.72	0.43	0.61	-0.47
18	Mt. Shegyla, Tibet	3344	7.6	6.03	5.40	2.80	0.34	0.51	-0.67
19	Mt. Shegyla, Tibet	3355	7.5	5.87	4.09	2.71	0.23	0.44	-0.39
20	Mt. Shegyla, Tibet	3356	7.5	5.52	3.87	2.14	0.25	0.42	-0.70
21	Mt. Shegyla, Tibet	4030	3.7	5.21	8.21	3.64	0.43	0.49	-1.10
22	Mt. Shegyla, Tibet	4046	3.6	4.68	8.37	3.00	0.49	0.52	-1.17
23	Mt. Shegyla, Tibet	4050	3.6	4.61	8.94	2.47	0.50	0.44	-1.33
24	Mt. Shegyla, Tibet	3912	4.3	5.04	9.74	2.30	0.48	0.40	-2.39
25 26	Mt. Shegyla, Tibet Mt. Shegyla, Tibet	3918 4298	4.3 2.1	4.68 5.04	8.67 10.00	1.80 2.78	0.56	0.45	-2.23 -2.04
20	Mt. Shegyla, Tibet	4295	2.2	4.87	12.17	3.90	0.50	0.45	-2.04
28	Mt. Shegyla, Tibet	4304	2.1	5.26	10.10	3.20	0.46	0.46	-1.14
29	Mt. Shegyla, Tibet	4479	1.1	5.26	10.11	3.42	0.52	0.35	-1.27
30	Mt. Shegyla, Tibet	4479	1.1	5.07	5.71	3.00	0.50	0.35	-0.84
31	Mt. Shegyla, Tibet	4474	1.1	5.24	7.88	3.65	0.42	0.32	-1.15
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32	Mt. Pollino, Italy	0	18	6.78	2.71	1.19	0.15	0.50	0.31
33	Mt. Pollino, Italy	200	17	6.19	2.41	1.28	0.30	0.63	0.34
34 35	Mt. Pollino, Italy	400	16	6.13	4.26	2.29	0.22	0.58	0.35
36	Mt. Pollino, Italy Mt. Pollino, Italy	600 800	15 14	6.14 4.53	4.15 3.34	2.36	0.22	0.55	0.43 -0.24
37	Mt. Pollino, Italy	1000	14	5.41	3.06	1.83	0.34	0.48	0.10
38	Mt. Pollino, Italy	1200	12	6.37	4.21	1.91	0.24	0.55	0.43
39	Mt. Pollino, Italy	1400	11	5.62	5.77	4.16	0.18	0.52	0.40
40	Mt. Pollino, Italy	1600	10	4.93	7.64	4.54	0.27	0.44	-0.13
41	Mt. Pollino, Italy	1800	9	4.91	3.45	3.17	0.25	0.45	-0.07
42	Mt. Pollino, Italy	2000	8	5.52	6.35	4.52	0.19	0.56	0.40
43	Mt. Pollino, Italy	2100	7.5	5.91	10.26	3.62	0.19	0.42	0.38
44	Mt. Pollino, Italy	2200	7	5.85	6.21	2.82	0.31	0.47	0.34
45	Chilean Andes	690	9.2	5.38	5.01	3.51	0.42	0.41	-0.80
46	Chilean Andes	870	8.9	5.62	5.21	2.43	0.39	0.49	-0.52
47	Chilean Andes	891	7.9 NA	4.94	5.18	2.69	0.53	0.44 NA	-0.94
48 49	Chilean Andes Chilean Andes	915 980	NA 8.5	6.75 5.63	4.67 3.87	4.25	0.21	NA 0.46	NA -0.66
50	Chilean Andes	985	5.8	4.67	6.41	3.83 3.12	0.28	0.46	-0.88
51	Chilean Andes	1125	6.0	5.00	3.83	4.18	0.46	0.41	-1.02
52	Chilean Andes	1151	6.0	5.89	4.74	2.89	0.33	0.42	-0.32
53	Chilean Andes	1196	7.1	5.79	5.70	4.07	0.34	0.43	-0.40
54	Chilean Andes	1385	NA	4.43	4.85	1.91	0.39	0.41	-2.28

**Table 1.** List of the soil samples collected along Mts. Shegyla, Pollino, Peruvian Andes and Chilean Andes, with corresponding altitude (m), MAAT (°C), pH and 3-OH FA/brGDGT-derived indices.

	Model	n (training)	n (test)	R²	RMSE	Variance in residuals	Mean absolute error	Lower estimation limit	Upper estimation limit
MAAT (°C)	RAN <sub>15</sub>	-	168	0.37	5.5	29.8	4.0	-3.1	17.2
	RAN <sub>17</sub>	-	168	0.41	5.3	27.9	3.9	-4.3	17.0
	k-NN	128	40	0.77	3.1	9.4	2.3	0.5	25.0
	Multiple linear regression	128	40	0.79	3.0	9.2	2.3	-1.2	25.8
	Random forest	128	40	0.83	2.8	8.0	2.2	0.8	24.9
рН	RIAN	-	168	0.34	1.0	1.0	0.8	4.1	7.9
	k-NN	128	40	0.70	0.7	0.5	0.5	3.4	8.7
	Multiple linear regression	128	40	0.64	0.8	0.6	0.6	4.0	8.3
	Random forest	128	40	0.68	0.7	0.5	0.5	3.5	7.8

**Table 2.** Characteristics of the different models proposed in this study to estimate MAAT and pH: R<sup>2</sup>, RMSE, variance of the residuals, mean absolute error (MAE) and the upper and lower limits of estimation. The "training" samples were used to develop the different machine learning models, which were then tested on a "test" sample set.