



## Validation of a coupled $\delta^2\text{H}_{n\text{-alkane}}\text{-}\delta^{18}\text{O}_{\text{sugar}}$ paleohygrometer approach based on a climate chamber experiment

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## Keywords

30 hydrogen stable isotopes, oxygen stable isotopes, hemicellulose sugars, leaf waxes, leaf water  
enrichment, deuterium-excess, relative humidity

## Abstract

35 The hydrogen isotopic composition of leaf wax-derived biomarkers, e.g. long chain  $n$ -alkanes ( $\delta^2\text{H}_{n\text{-alkane}}$ ), is widely applied in paleoclimatology research. However, a direct reconstruction of the isotopic composition of source water based on  $\delta^2\text{H}_{n\text{-alkane}}$  alone can be challenging due to the alteration of the soil water isotopic signal by leaf-water heavy-isotope enrichment. The coupling of  $\delta^2\text{H}_{n\text{-alkane}}$  with  $\delta^{18}\text{O}$  of hemicellulose-derived sugars ( $\delta^{18}\text{O}_{\text{sugar}}$ ) has the potential to disentangle this effect and additionally to allow relative humidity reconstructions. Here, we present  $\delta^2\text{H}_{n\text{-alkane}}$  as well as  $\delta^{18}\text{O}_{\text{sugar}}$  results obtained from leaves of the plant species *Eucalyptus globulus*, *Vicia faba* var. *minor* and *Brassica oleracea* var. *medullosa*, which grew under controlled conditions. We addressed the questions (i) do  
40  $\delta^2\text{H}_{n\text{-alkane}}$  and  $\delta^{18}\text{O}_{\text{sugar}}$  values allow precise reconstructions of leaf water isotope composition, (ii) how accurately does the reconstructed leaf-water-isotope composition enables relative humidity (RH) reconstruction in which the plants grew, and (iii) does the coupling of  $\delta^2\text{H}_{n\text{-alkane}}$  and  $\delta^{18}\text{O}_{\text{sugar}}$  enable a robust source water calculation?

45 For all investigated species, the alkane  $n\text{-C}_{29}$  was most abundant and therefore used for compound-specific  $\delta^2\text{H}$  measurements. For *Vicia faba*, additionally the  $\delta^2\text{H}$  values of  $n\text{-C}_{31}$  could be evaluated robustly. With regard to hemicellulose-derived monosaccharides, arabinose and xylose were most abundant and their  $\delta^{18}\text{O}$  values were therefore used to calculate weighted mean leaf  $\delta^{18}\text{O}_{\text{sugar}}$  values. Both  $\delta^2\text{H}_{n\text{-alkane}}$  and  $\delta^{18}\text{O}_{\text{sugar}}$  yielded significant correlations with  $\delta^2\text{H}_{\text{leaf-water}}$  and  $\delta^{18}\text{O}_{\text{leaf-water}}$ ,  
50 respectively ( $r^2 = 0.45$  and  $0.85$ , respectively;  $p < 0.001$ ,  $n = 24$ ). Mean fractionation factors between biomarkers and leaf water were found to be  $-156\text{‰}$  (ranging from  $-133$  to  $-192\text{‰}$ ) for  $\epsilon_{n\text{-alkane/leaf-water}}$  and  $+27.3\text{‰}$  (ranging from  $+23.0$  to  $32.3\text{‰}$ ) for  $\epsilon_{\text{sugar/leaf-water}}$ , respectively. Modelled  $\text{RH}_{\text{air}}$  values from a Craig-Gordon model using measured  $T_{\text{air}}$ ,  $\delta^2\text{H}_{\text{leaf-water}}$  and  $\delta^{18}\text{O}_{\text{leaf-water}}$  as input correlate highly significantly with measured  $\text{RH}_{\text{air}}$  values ( $R^2 = 0.84$ ,  $p < 0.001$ ,  $\text{RMSE} = 6\%$ ). When coupling  $\delta^2\text{H}_{n\text{-alkane}}$   
55 and  $\delta^{18}\text{O}_{\text{sugar}}$  values the correlation of modelled  $\text{RH}_{\text{air}}$  values with measured  $\text{RH}_{\text{air}}$  values is weaker but still highly significant with  $R^2 = 0.54$  ( $p < 0.001$ ,  $\text{RMSE} = 10\%$ ). Finally, the reconstructed source water isotope composition ( $\delta^2\text{H}_s$  and  $\delta^{18}\text{O}_s$ ) as calculated from the coupled approach matches the source water in the climate chamber experiment ( $\delta^2\text{H}_{\text{tank-water}}$  and  $\delta^{18}\text{O}_{\text{tank-water}}$ ). This highlights the great potential of the coupled  $\delta^2\text{H}_{n\text{-alkane}}\text{-}\delta^{18}\text{O}_{\text{sugar}}$  paleohygrometer approach for paleoclimate and relative  
60 humidity reconstructions.



## 1 Introduction

Leaf-wax-derived biomarkers, such as long chain *n*-alkanes, and their stable hydrogen isotopic composition ( $\delta^2\text{H}_{n\text{-alkane}}$ ) are widely applied in paleoclimatology research. Sedimentary  $\delta^2\text{H}_{n\text{-alkane}}$  values correlate with  $\delta^2\text{H}$  of precipitation (Huang et al., 2004; Mügler et al., 2008; Sachse et al., 2004; Sauer et al., 2001), confirming the high potential of  $\delta^2\text{H}_{n\text{-alkane}}$  to establish  $\delta^2\text{H}$  records of past precipitation (Hou et al., 2008; Rao et al., 2009; Sachse et al., 2012). However, the alteration of the isotopic signal because of leaf water heavy-isotope enrichment caused by evapotranspiration can be several tens of per mil. This poses a challenge for accurate data interpretation (e.g. Zech et al., 2015), especially in respect of single proxy ( $\delta^2\text{H}_{n\text{-alkane}}$ )-based climate records. Apart from studies of sedimentary cellulose (Heyng et al., 2014; Wissel et al., 2008), the oxygen stable isotope composition of sugar biomarkers ( $\delta^{18}\text{O}_{\text{sugar}}$ ) emerged as complementary paleoclimate proxy during the last decade (Hepp et al., 2015, 2017, Zech et al., 2013a, 2014a). The interpretation of the  $\delta^{18}\text{O}_{\text{sugar}}$  values is comparable to those of  $\delta^2\text{H}_{n\text{-alkane}}$ . When sugars originate primarily from leaf biomass of higher terrestrial plants, they reflect the plant source water (which is often directly linked to the local precipitation) modified by evapotranspirative enrichment of the leaf water (Tuthorn et al., 2014; Zech et al., 2014a). The coupling of  $\delta^2\text{H}_{n\text{-alkane}}$  with  $\delta^{18}\text{O}_{\text{sugar}}$  values allows quantifying the leaf-water isotopic enrichment and relative air humidity (Zech et al., 2013a). This approach was validated by Tuthorn et al. (2015) by applying it to topsoil samples along a climate transect in Argentina. Accordingly, the biomarker-derived relative air humidity values correlate significantly with actual air relative humidity from the respective study sites, highlighting the potential of the  $\delta^2\text{H}_{n\text{-alkane}}-\delta^{18}\text{O}_{\text{sugar}}$  paleohygrometer approach.

The coupled approach is based on the observation that the isotope signature of precipitation ( $\delta^2\text{H}_{\text{precipitation}}$  and  $\delta^{18}\text{O}_{\text{precipitation}}$ ) typically plots on or adjacent to the global meteoric water line (GMWL), in a  $\delta^2\text{H}-\delta^{18}\text{O}$  diagram. The GMWL is characterized by the equation  $\delta^2\text{H}_{\text{precipitation}} = 8 \cdot \delta^{18}\text{O}_{\text{precipitation}} + 10$  (Craig, 1961). In many cases, the local precipitation is directly linked to the source water of plants, which is indeed soil water and eventually shallow groundwater. The isotopic composition of xylem water of plants readily reflects these sources (e.g. Dawson, 1993). However, leaf-derived biomarkers reflect the leaf water isotope composition, which is, unlike xylem water, prone to evapotranspiration (e.g. Barbour and Farquhar, 2000; Helliker and Ehleringer, 2002; Cernusak et al., 2003; Barbour et al., 2004; Cernusak et al., 2005; Feakins and Sessions, 2010; Kahmen et al., 2011; Sachse et al., 2012; Kahmen, et al., 2013; Tipple et al., 2013; Lehmann et al., 2017; Liu et al., 2017). During daytime, the leaf water is typically enriched in the heavy isotope compared to the source water because of the evapotranspiration through the stomata. Thereby, lighter water isotopologues evaporate preferentially, which leads to gradual reduction of a deuterium-excess parameter of the remaining water compared to the precipitation water ( $d = \delta^2\text{H}_{\text{precipitation}} - 8 \cdot \delta^{18}\text{O}_{\text{precipitation}}$ ; according to Dansgaard, 1964). The degree of enrichment by evapotranspiration is mainly controlled by the relative air humidity ( $\text{RH}_{\text{air}}$ ) in the direct surrounding of the plant leaves (e.g. Cernusak et al., 2016). Although the biomarkers reflect the isotopic composition of leaf water, there is still a modification by biosynthetic fractionation during the synthesis, leading to an offset between leaf water and biomarker isotope composition. In case the biosynthetic fractionation is known and constant, there is a great potential to derive  $\text{RH}_{\text{air}}$  from coupling  $\delta^2\text{H}_{n\text{-alkane}}$  with  $\delta^{18}\text{O}_{\text{sugar}}$  values.

The overall aim of this study is to evaluate the  $\delta^2\text{H}_{n\text{-alkane}}-\delta^{18}\text{O}_{\text{sugar}}$  paleohygrometer approach by applying it to plant leaf material from three different plants grown in a climate chamber experiment under well controlled conditions. More specifically, we address the following questions:

- (i) which homologues and specific monosaccharides can be used to obtain  $\delta^2\text{H}_{n\text{-alkane}}$  and  $\delta^{18}\text{O}_{\text{sugar}}$  results for the plant leaf material grown in our climate chamber experiment, respectively,



- (ii) how precisely do  $\delta^2\text{H}_{n\text{-alkane}}$  and  $\delta^{18}\text{O}_{\text{sugar}}$  values allow reconstructing  $\delta^2\text{H}_{\text{leaf-water}}$  and  $\delta^{18}\text{O}_{\text{leaf-water}}$ , respectively,
- (iii) how accurately does the leaf-water-isotope composition reflect  $\text{RH}_{\text{air}}$ ,
- 110 (iv) and does the coupling of  $\delta^2\text{H}_{n\text{-alkane}}$  and  $\delta^{18}\text{O}_{\text{sugar}}$  enable a  $\text{RH}_{\text{air}}$  reconstruction and how robust are source water calculations?

## 2 Material and Methods

### 2.1 Climate chamber experiment

115 A phytotron experiment was conducted at the Helmholtz Zentrum München in Neuherberg during winter 2000/2001 (Mayr, 2002). Three different dicotyledon plant species (*Eucalyptus globulus*, *Vicia faba* var. *minor* and *Brassica oleracea* var. *medullosa*) were grown in eight chambers for 56 days under seven distinct climatic conditions (same conditions in chambers 4 and 8). Air temperature ( $T_{\text{air}}$ ) were set to 14, 18, 24 and 30°C and  $\text{RH}_{\text{air}}$  to around 20, 30, 50, and 70% between 11 a.m. and 4 p.m. (Fig. 120 1A). During the rest of the day typical natural diurnal variations were aimed for (details in Mayr, 2002). Furthermore, uniform irrigation conditions were guaranteed via an automatic irrigation system, which was controlled by tensiometers installed in 9 cm substrate depth. The tank water used for irrigation was sampled periodically (intervals of one to three days) over the whole experiment and revealed only minor variability in its isotope composition ( $\delta^{18}\text{O}_{\text{tank-water}} = -10.7 \pm 0.3\text{‰}$  standard deviation ( $\sigma$ );  $\delta^2\text{H}_{\text{tank-water}} = -7 \pm 1\text{‰}$   $\sigma$ ). Once a week, soil water (via ceramic cups in 13 cm soil depth) and atmospheric water vapor (via dry ice condensation traps) was sampled ( $\delta^2\text{H}_{\text{soil-water}}$ ,  $\delta^{18}\text{O}_{\text{soil-water}}$  and  $\delta^2\text{H}_{\text{atmospheric-water-vapor}}$ ,  $\delta^{18}\text{O}_{\text{atmospheric-water-vapor}}$ ). Additionally, leaf temperatures ( $T_{\text{leaf}}$ ) were derived from gas exchange measurements, at least once a week (Mayr, 2002).

130 In order to analyze stable hydrogen and oxygen isotopic composition of leaf ( $\delta^2\text{H}_{\text{leaf-water}}$ ,  $\delta^{18}\text{O}_{\text{leaf-water}}$ ) and stem water, the plants were harvested at the end of the experiment. The vacuum distillation method was used for the extraction of the plant water. It should be noted that stem water is a mixture between phloem and xylem water. Only the latter reflects the isotopic composition of soil water. For simplification, stem water is referred to as xylem water in the following ( $\delta^2\text{H}_{\text{xylem-water}}$ ,  $\delta^{18}\text{O}_{\text{xylem-water}}$ ). For more details about the experiment, we refer to the original publication (Mayr, 2002).

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### 2.2 Leaf biomarker extraction and compound-specific stable isotope analysis

A total of 24 leaf samples were prepared according to Schäfer et al. (2016) for compound specific  $\delta^2\text{H}$  measurements of *n*-alkanes, at the Institute of Geography, Group of Biogeochemistry and Paleoclimate, University of Bern. Microwave extraction with 15 ml dichloromethane (DCM)/methanol (MeOH) 9:1 (v:v) at 100°C for 1 h was conducted. The resulting total lipid extracts were purified and separated using aminopropyl-silica-gel (Supelco, 45  $\mu\text{m}$ ) pipette columns. The hydrocarbon fractions (containing *n*-alkanes) were eluted with *n*-hexane and cleaned via silver nitrate-coated silica gel pipettes (Supelco, 60-200 mesh) and zeolite (Geokleen Ltd.) columns. The  $\delta^2\text{H}$  measurements of the highest concentrated *n*-alkanes (*n*-C<sub>29</sub> and *n*-C<sub>31</sub>) were performed on a GC-<sup>2</sup>H-pyrolysis-IRMS system, equipped with an Agilent 7890A gas chromatograph (GC) and IsoPrime 100 isotope-ratio-mass spectrometer (IRMS) coupled with a GC5 pyrolysis/combustion interface operating in pyrolysis modus with a Cr (ChromeHD) reactor at 1000°C. The compound-specific  $\delta^2\text{H}$  values were calibrated against a standard alkane mix (*n*-C<sub>27</sub>, *n*-C<sub>29</sub>, *n*-C<sub>33</sub>) with known isotope composition (A. Schimmelmann, University of Indiana), measured twice every six sample injections. Standard deviation of the triplicate measurements was typically  $\leq 5\text{‰}$ . The  $\text{H}^{3+}$  factor stayed constant during the course of the measurements.

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155 Additionally, the leaf samples were dried and finely ground in preparation for  $\delta^{18}\text{O}$  analysis of  
 hemicellulose-derived sugars (modified from Zech and Glaser, 2009) at the Institute of Agronomy and  
 Nutritional Sciences, Soil Biogeochemistry, Martin-Luther-University Halle-Wittenberg. The  
 hemicellulose sugars were hydrolytically extracted for 4 h at 105°C using 4M trifluoroacetic acid  
 (Amelung et al., 1996) and purified via XAD-7 and Dowex 50WX8 columns. Prior to the methylboronic-  
 acid (MBA) derivatization (4 mg of MBA in 400  $\mu\text{l}$  dry pyridine for 1 h at 60°C), the cleaned sugars were  
 160 frozen and freeze-dried overnight (Knapp, 1979). Compound-specific  $\delta^{18}\text{O}$  measurements were  
 performed on a Trace GC 2000 coupled to a Delta V Advantage IRMS via an  $^{18}\text{O}$ -pyrolysis reactor (GC  
 IsoLink) and a ConFlo IV interface (all devices from Thermo Fisher Scientific, Bremen, Germany). The  
 sample batches were measured along with embedded co-derivatized standard batches, which  
 contained arabinose, fucose, xylose, and rhamnose in different concentrations of known  $\delta^{18}\text{O}$  value.  
 165 The  $\delta^{18}\text{O}$  values of the standard sugars were determined via temperature conversion/elemental  
 analysis-IRMS coupling at the Institute of Plant Sciences, ETH Zurich, Switzerland (Zech and Glaser,  
 2009). This procedure allows corrections for possible amount dependencies (Zech and Glaser, 2009)  
 and ensures the “Principle of Identical Treatment” (Werner and Brand, 2001). Standard deviations for  
 the triplicate measurements were 0.9‰ and 2.2‰ (average over all investigated samples) for  
 arabinose and xylose, respectively. We focus on arabinose and xylose in this study because they were  
 170 (i) the dominant peaks in all chromatograms, and (ii) previously found to strongly predominate over  
 fucose (and rhamnose) in terrestrial plants, soils (Hepp et al., 2016).

Figure 1B summarizes isotope data obtained for the 24 analysed leaf samples where all  $\delta$  values are  
 expressed in per mil as isotope ratios ( $R = ^{18}\text{O}/^{16}\text{O}$  or  $^2\text{H}/^1\text{H}$ ) relative to the Vienna Standard Mean  
 175 Ocean Water (VSMOW) standard in the common delta notation ( $\delta = (R_{\text{sample}} - R_{\text{standard}})/R_{\text{standard}}$ ; e.g.  
 Coplen, 2011).

## 2.3 Framework for coupling $\delta^2\text{H}_{n\text{-alkane}}$ with $\delta^{18}\text{O}_{\text{sugar}}$ results

### 2.3.1 Deuterium-excess of leaf water and relative humidity

180 The coupled approach is based on the observation that isotope composition of global precipitation  
 plots typically close to the GMWL ( $\delta^2\text{H}_{\text{precipitation}} = 8 \cdot \delta^{18}\text{O}_{\text{precipitation}} + 10$ ; Craig, 1961; Fig. 2). The soil  
 water and shallow groundwater, which acts as source water for plants, can often directly be related to  
 the local precipitation. However, especially during daytime, leaf water is typically enriched in heavy  
 isotopes compared to the precipitation due to evapotranspiration through the stomata, therefore  
 185 plotting to the right of the GMWL (Fig. 2; e.g. Allison et al., 1985; Bariac et al., 1994; Walker and Brunel,  
 1990). During stable climatic conditions, the leaf water reservoir at the evaporative sites is frequently  
 assumed to be in isotopic steady-state (Allison et al., 1985; Bariac et al., 1994; Gat et al., 2007; Walker  
 and Brunel, 1990), meaning that isotopic composition of transpired water vapour is equal to the  
 isotopic composition of the source water utilized by plants during the evapotranspiration process. The  
 190 Craig-Gordon model (e.g. Flanagan et al., 1991; Roden and Ehleringer, 1999) approximates the isotope  
 processes in leaf water in  $\delta$  terms (e.g. Barbour et al., 2004):

$$\delta_e \approx \delta_s + \varepsilon^* + \varepsilon_k + (\delta_a - \delta_s - \varepsilon_k) \frac{e_a}{e_i}, \quad (\text{Equation 1})$$

where  $\delta_e$ ,  $\delta_s$  and  $\delta_a$  are the hydrogen and oxygen isotopic compositions of leaf water at the evaporative  
 sites, source water and atmospheric water vapor, respectively. The equilibrium enrichment ( $\varepsilon^*$ ) is  
 expressed as  $(1 - 1/\alpha_{L/V}) \cdot 10^3$ , where  $\alpha_{L/V}$  is the equilibrium fractionation between liquid and vapor in  
 195 per mil. The kinetic fractionation parameter ( $\varepsilon_k$ ) describes the water vapor diffusion from intracellular



air space through the stomata and the boundary layer into to the atmosphere, and  $e_a/e_i$  is the ratio of the atmospheric to intracellular vapor pressure.

In a  $\delta^2\text{H}$ - $\delta^{18}\text{O}$  diagram, the isotope composition of the leaf water as well as the source water can be described as deuterium-excess ( $d$ ) values by using the equation of Dansgaard (1964), with  $d = \delta^2\text{H} - 8 \cdot \delta^{18}\text{O}$ . This allows rewriting Eq. 1, in which hydrogen and oxygen isotopes have to be handled in separate equations, in one equation:

$$d_e \approx d_s + (\epsilon_2^* - 8 \cdot \epsilon_{18}^*) + (C_k^2 - 8 \cdot C_k^{18}) + [d_a - d_s - (C_k^2 - 8 \cdot C_k^{18})] \cdot \frac{e_a}{e_i}, \quad (\text{Equation 2})$$

where  $d_e$ ,  $d_s$  and  $d_a$  are the deuterium excess values of leaf water at the evaporative sites, source water and atmospheric water vapor, respectively. The kinetic fractionation parameter ( $\epsilon_k$ ) is typically related to stomatal and boundary layer resistances to water flux (Farquhar et al., 1989). We used the kinetic enrichment factor ( $C_k$ ) instead of  $\epsilon_k$  to be close to paleo studies where direct measurements of such a plant physiological parameter are not available. The kinetic enrichment factor is derived from a more generalized form of the Craig-Gordon model for describing the kinetic isotope enrichment for  $^2\text{H}$  and  $^{18}\text{O}$  ( $C_k^2$  and  $C_k^{18}$ , respectively) (Craig and Gordon, 1965; Gat and Bowser, 1991). If the plant source water and the local atmospheric water vapor are in isotope equilibrium, the term  $\delta_a - \delta_s$  in Eq. 1 can be approximated by  $-\epsilon^*$ . Thus, Eq. 2 can be reduced to:

$$d_e \approx d_s + (\epsilon_2^* - 8 \cdot \epsilon_{18}^* + C_k^2 - 8 \cdot C_k^{18}) \cdot \left(1 - \frac{e_a}{e_i}\right). \quad (\text{Equation 3})$$

The actual atmospheric vapor pressure ( $e_a$ ) and the leaf vapor pressure ( $e_i$ ) in kPa can be derived from Eqs. 4 and 5 by using  $T_{\text{air}}$  (Buck, 1981):

$$e_a = 0.61121 \cdot e^{[17.502 \cdot T_{\text{air}} / (T_{\text{air}} + 240.97)]} \cdot \text{RH}_{\text{air}} \quad (\text{Equation 4})$$

$$e_i = 0.61121 \cdot e^{[17.502 \cdot T_{\text{air}} / (T_{\text{air}} + 240.97)]}. \quad (\text{Equation 5})$$

When  $e_i$  is calculated as in Eq. 5 than the  $e_a/e_i$  represents  $\text{RH}_{\text{air}}$  (ranging between 0 and 1, representing 0 to 100% relative humidity). We are aware, that the Craig-Gordon model would require  $T_{\text{leaf}}$  values for calculating  $e_i$  values. However, the RH reconstruction methodological framework presented is attempted to paleo studies for which the  $T_{\text{leaf}}$  parameter is probably rather difficult to achieve.

With rearranging Eq. 3, an equation is given to derive relative humidity values (Eq. 6):

$$\text{RH}_{\text{air}} \approx 1 - \frac{d_e - d_s}{(\epsilon_2^* - 8 \cdot \epsilon_{18}^* + C_k^2 - 8 \cdot C_k^{18})}. \quad (\text{Equation 6}).$$

Equilibrium fractionation parameters ( $\epsilon_2^*$  and  $\epsilon_{18}^*$ ) can be derived from empirical equations of Horita and Wesolowski (1994) by using the climate chamber  $T_{\text{air}}$  values. The kinetic fractionation parameters ( $C_k^2$  and  $C_k^{18}$ ) for  $^2\text{H}$  and  $^{18}\text{O}$ , respectively, are set to 25.1 and 28.5‰ according to Merlivat (1978), who reported maximum values during the molecular diffusion process of water through a stagnant boundary layer. When using supplementary data of Cernusak et al., (2016),  $\epsilon_k$  values of broadleaf trees and shrubs over broad climatic conditions can be calculated which are well in the range with the used  $C_k^2$  and  $C_k^{18}$  values ( $23.9 \pm 0.9$  and  $26.7\text{‰} \pm 1.0$  for  $\epsilon_k^2$  and  $\epsilon_k^{18}$ , respectively).

If  $\delta^2\text{H}_{\text{leaf-water}}$  and  $\delta^{18}\text{O}_{\text{leaf-water}}$  can be reconstructed from the measured  $\delta$  values of  $n$ -alkanes and sugars biomarkers, this framework provides a powerful tool to establish relative humidity records from sedimentary archives (Hepp et al., 2017; Zech et al., 2013a).

To reconstruct the isotope composition of leaf water it is assumed that fractionation factors of  $-160\text{‰}$  for  $^2\text{H}$  of alkanes  $n\text{-C}_{29}$  and  $n\text{-C}_{31}$  ( $\epsilon_{\text{bio}}^2$ ; Sachse et al., 2012; Sessions et al., 1999), and  $+27\text{‰}$  for  $^{18}\text{O}$  of



the hemicellulose-derived sugars arabinose and xylose ( $\epsilon^{18}_{\text{bio}}$ ; Cernusak et al., 2003; Schmidt et al., 2001; Sternberg et al., 1986; Yakir and DeNiro, 1990) can be applied:

$$\text{alkane-based } \delta^2\text{H}_{\text{leaf-water}} = (\delta^2\text{H}_{n\text{-alkane}} - \epsilon^2_{\text{bio}}) / (1 + \epsilon^2_{\text{bio}}/1000) \quad (\text{Equation 7})$$

$$\text{sugar-based } \delta^{18}\text{O}_{\text{leaf-water}} = (\delta^{18}\text{O}_{\text{sugar}} - \epsilon^{18}_{\text{bio}}) / (1 + \epsilon^{18}_{\text{bio}}/1000). \quad (\text{Equation 8})$$

### 235 2.3.2 Isotope composition of plant source water

In a  $\delta^2\text{H}$ - $\delta^{18}\text{O}$  diagram, the hydrogen and oxygen isotope composition of the plant source water ( $\delta^2\text{H}_s$  and  $\delta^{18}\text{O}_s$ , respectively) can be reconstructed via the slope of the individual leaf water evapotranspiration lines (LEL's; Craig and Gordon, 1965; Gat and Bowser, 1991). The LEL slope ( $S_{\text{LEL}}$ ) can be derived from Eq. 9:

$$S_{\text{LEL}} \approx \frac{\epsilon_2^* + C_k^2 \cdot \left(1 - \frac{e_a}{e_i}\right)}{\epsilon_{18}^* + C_k^{18} \cdot \left(1 - \frac{e_a}{e_i}\right)} \approx \frac{\epsilon_2^* + C_k^2}{\epsilon_{18}^* + C_k^{18}}, \quad (\text{Equation 9})$$

240 where all parameters are defined as in section 2.3.1. The  $\delta^2\text{H}_s$  and  $\delta^{18}\text{O}_s$  values can then be calculated for each leaf water data point via the intersect between the individual LEL's with the GMWL. The  $\delta^2\text{H}_s$  and  $\delta^{18}\text{O}_s$  model results can then compared to the measured  $\delta^2\text{H}_{\text{tank-water}}$  and  $\delta^{18}\text{O}_{\text{tank-water}}$  values.

### 2.4 Modeling and isotope fractionation calculations

245 The  $d_e$  values are modeled using Eq. 3 and measured  $\text{RH}_{\text{air}}$  as input, which can be compared to the calculated deuterium-excess via the measured  $\delta^2\text{H}_{\text{leaf-water}}$  and  $\delta^{18}\text{O}_{\text{leaf-water}}$  values. From latter, also the  $\text{RH}_{\text{air}}$  can derived from Eq. 6 and compared to the measured once. In a next step, reconstructed (biomarker-based) deuterium-excess<sub>leaf-water</sub> was used as input for Eq. 6 and compared to the measured  $\text{RH}_{\text{air}}$  values. All models represent a simplified approach because  $\delta_a - \delta_s$  are approximated by  $-\epsilon^*$  (see section 2.3). In all equations where  $\delta_s$  and  $d_s$  are needed as input the measured  $\delta^2\text{H}_{\text{tank-water}}$  and  $\delta^{18}\text{O}_{\text{tank-water}}$  were used for calculations. All other input parameters were set as described in section 2.3.

250 Model quality was overall assessed by calculating the coefficient of determination  $[R^2 = 1 - \frac{\sum(\text{modeled} - \text{measured})^2}{\sum(\text{measured} - \text{measured mean})^2}]$  and the root mean square error  $[\text{RMSE} = \sqrt{\frac{1}{n} \cdot \sum(\text{modeled} - \text{measured})^2}]$ . The  $R^2$  is not equal to the  $r^2$ , which provides here the fraction of variance explained by a linear regression between a dependent ( $y$ ) and an explanatory variable  $[r^2 = 1 - \frac{\sum(y - \text{fitted } y)^2}{\sum(y - \text{mean } y)^2}]$  (R Core Team, 2015).

The fractionation between the measured leaf biomarkers and leaf water can be described by the following equations (e.g. Coplen, 2011):

$$\epsilon_{n\text{-alkane/leaf-water}} = (\delta^2\text{H}_{n\text{-alkane}} - \delta^2\text{H}_{\text{leaf-water}}) / (1 + \delta^2\text{H}_{\text{leaf-water}}/1000) \quad (\text{Equation 10})$$

$$\epsilon_{\text{sugar/leaf-water}} = (\delta^{18}\text{O}_{\text{sugar}} + \delta^{18}\text{O}_{\text{leaf-water}}) / (1 + \delta^{18}\text{O}_{\text{leaf-water}}/1000). \quad (\text{Equation 11})$$

260 In order to provide a  $1 \sigma$  range bracketing the modeled results and calculations they were additionally run with values generated by subtracting/adding the individual  $\sigma$  to the average.

All calculations and statistical analysis were realized in R (version 3.2.2; R Core Team, 2015).

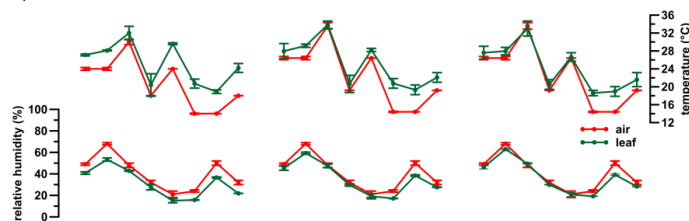


### 3 Results and Discussion

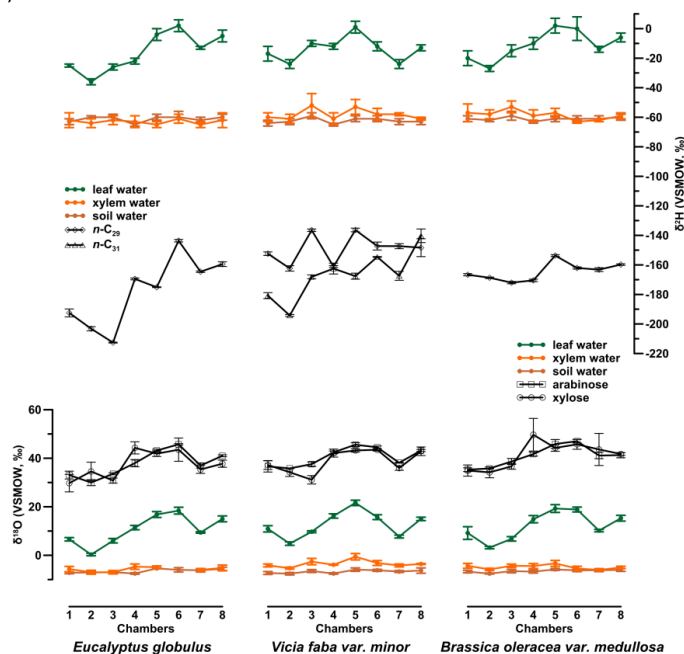
#### 3.1 Compound-specific isotope results of leaf wax-derived *n*-alkanes and hemicellulose-derived sugars

The investigated leaf material shows a dominance of C<sub>29</sub> *n*-alkanes. The dominance of *n*-C<sub>29</sub> in *Brassica oleracea* and *Eucalyptus globulus* was also reported by Ali et al. (2005) and Herbin and Robins (1968). *Vicia faba* leaf samples additionally revealed a high abundance of C<sub>31</sub> *n*-alkanes. This agrees with results from Maffei (1996) and enables a robust determination of compound-specific δ<sup>2</sup>H values for C<sub>29</sub> and C<sub>31</sub>. The δ<sup>2</sup>H<sub>*n*-alkane</sub> values of *Vicia faba* are therefore calculated as weighted mean. Figure 1B illustrates the δ<sup>2</sup>H<sub>*n*-alkane</sub> results along with isotopic data for leaf, xylem and soil water (the latter were originally published in Mayr 2002). In addition, the climate chamber conditions (RH<sub>air</sub>, RH<sub>leaf</sub>, T<sub>air</sub> and T<sub>leaf</sub>) are displayed (all from Mayr, 2002; Fig. 1A). For more details about the (plant) water isotope results, climate chamber conditions as well as not shown plant physiological properties the reader is referred to Mayr (2002). The δ<sup>2</sup>H<sub>*n*-alkane</sub> values range from -213 to -144‰ over all plant species. As revealed by overlapping notches in the respective boxplots, no statistically significant differences in the median values between the three species can be described (Fig. A1A; McGill et al., 1978). Figure 1B moreover shows that δ<sup>2</sup>H<sub>*n*-alkane</sub> values range largest for *Eucalyptus globulus* compared to the other two plants. However, the low number of samples per plant species prohibits a robust interpretation.

A) climate chamber conditions



B) water and biomarker δ<sup>2</sup>H and δ<sup>18</sup>O values



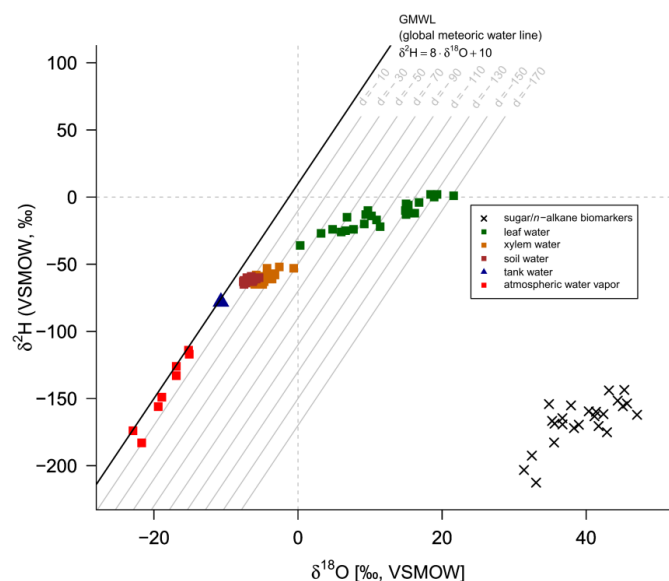




285 **Fig. 1:** A: Climate chamber conditions (leaf temperature and relative humidity in green and air  
temperature and relative humidity in red). Error bars represent analytical standard deviation of the  
respective measurements (see section 2.2 and Mayr, 2002). B: Plant water (leaf water, xylem water  
and soil water) isotope compositions (in green, orange and brown, respectively) and the isotope  
composition of the investigated leaf biomarkers (leaf wax *n*-alkanes *n*-C<sub>29</sub> and *n*-C<sub>31</sub> as open diamonds  
and triangles, respectively; hemicellulose-derived sugars: arabinose and xylose as open squares and  
290 circles, respectively) for the three plants *Eucalyptus globulus*, *Vicia faba* and *Brassica oleracea* grown  
in the climate chambers.

The investigated leaf samples yielded substantially higher amounts of arabinose and xylose compared  
to fucose and rhamnose. This is in agreement with sugar patterns reported for higher plants (D'Souza  
295 et al., 2005; Hepp et al., 2016; Jia et al., 2008; Prietzel et al., 2013; Zech et al., 2012, 2014a) and  
hampers a robust data evaluation of fucose and rhamnose. The  $\delta^{18}\text{O}$  values of the investigated  
pentoses arabinose and xylose range from 30 to 47‰ and 30 to 50‰, respectively, and are shown  
along with isotopic data for leaf, xylem and soil water (Mayr 2002) in the bottom of Fig. 1B. No  
considerable difference in the  $\delta^{18}\text{O}$  values of arabinose and xylose can be seen in the  $\delta^{18}\text{O}$  pentose  
300 data. This is in line with findings from Zech and Glaser (2009), Zech et al. (2012), Zech et al. (2013b)  
and Zech et al. (2014b) but contradicting with slightly more positive  $\delta^{18}\text{O}_{\text{arabinose}}$  values compared to  
 $\delta^{18}\text{O}_{\text{xylose}}$  values reported by Zech et al. (2013a) and Tuthorn et al. (2014). Overall, the two sugars  
display very similar results (Fig. 1B;  $r^2 = 0.7$ ,  $p < 0.001$ ,  $n = 24$ ). The  $\delta^{18}\text{O}$  values of arabinose and xylose  
can therefore be combined as a weighted mean (as  $\delta^{18}\text{O}_{\text{sugar}}$  values) for further data interpretation.  
305 The  $\delta^{18}\text{O}_{\text{sugar}}$  values are not significantly different between the three investigated plant species (Fig.  
A1B).

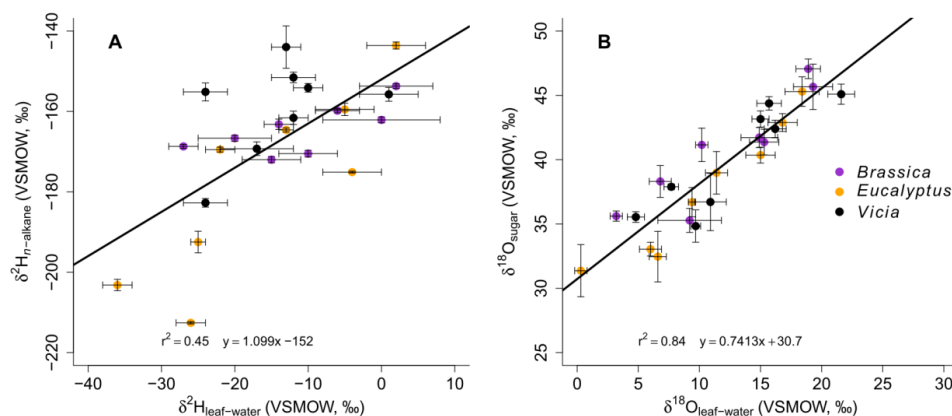
A comparison of compound-specific isotope results of leaf hemicellulose-derived sugars and leaf wax-  
derived *n*-alkanes with leaf, xylem, soil and tank water (compare Fig. 1B and Fig. 2) reveals that soil  
310 and xylem water plot close to the tank water, whereas leaf water shows a clear heavy-isotope  
enrichment due to evapotranspiration. This enrichment strongly differs between the climate  
chambers, depending mainly on T and RH conditions. The biomarker results furthermore follow the  
leaf water with a certain offset ( $\epsilon_{\text{bio}}$ ). The offset between soil and xylem water compared to the tank  
water in Fig. 2 is most likely caused by partial evaporation of tank water from the soil during the  
315 experiment.



**Fig. 2:**  $\delta^2\text{H}$ - $\delta^{18}\text{O}$  diagram illustrating the isotope composition of the biomarkers, comprising  $\delta^2\text{H}$  values of the leaf wax  $n$ -alkanes ( $\text{C}_{29}$  for *Eucalyptus globulus* and *Brassica oleracea*; weighted mean of  $\text{C}_{29}$  and  $\text{C}_{31}$  for *Vicia faba*) and  $\delta^{18}\text{O}$  values of the hemicellulose-derived sugars arabinose and xylose (black crosses) and the measured isotope compositions of leaf water (green squares), xylem water (orange squares), soil water (brown squares), atmospheric water vapor (red squares) and the tank water used for irrigation (blue triangle), which plot very close to the global meteoric water line.

### 3.2 Do $n$ -alkane and sugar biomarkers reflect the isotope composition of leaf water?

325 The  $\delta^2\text{H}_{n\text{-alkane}}$  dataset reveals a significant correlation with  $\delta^2\text{H}_{\text{leaf-water}}$  ( $r^2 = 0.45$ ) using all plant species with  $p < 0.001$  (Fig. 3A). A slope of 1.1 and an intercept of  $-152\text{‰}$  furthermore characterize the relationship. It seems that each plant type shows a different  $\delta^2\text{H}_{n\text{-alkane}}$  to  $\delta^2\text{H}_{\text{leaf-water}}$  relation, with the highest slope for *Vicia faba* and the lowest for *Brassica oleracea*. However, we argue that the number of replicates for each plant species is simply too low to interpret this finding robustly. A highly  
330 significant correlation is also observed for the correlation between  $\delta^{18}\text{O}_{\text{sugar}}$  and  $\delta^{18}\text{O}_{\text{leaf-water}}$  ( $r^2 = 0.84$ ,  $p < 0.001$ ; Fig. 3B). The regression reveals a slope of 0.74 and an intercept of  $30.7\text{‰}$ .



**Fig. 3:** Scatterplots depicting the relationships between the compound-specific biomarker isotope composition and the respective leaf water values (A:  $\delta^2\text{H}_{n\text{-alkane}}$  vs.  $\delta^2\text{H}_{\text{leaf-water}}$ ; B:  $\delta^{18}\text{O}_{\text{sugar}}$  vs.  $\delta^{18}\text{O}_{\text{leaf-water}}$ ). *Brassica oleracea*, *Eucalyptus globulus* and *Vicia faba* samples are shown in purple, orange and black, respectively. Error bars of the  $\delta$  values represent standard deviation of repeated measurements (see section 2.2 and Mayr, 2002).

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Since it is well known that measured leaf water is not always equal to the specific water pool in which the  $n$ -alkanes are biosynthesized (e.g. Tipple et al., 2015), the correlation reveals a rather low  $r^2$  (Fig. 3A). Furthermore, NADPH is acting also as hydrogen source during  $n$ -alkane biosynthesis, which is clearly more negative than the biosynthetic water pool (Schmidt et al., 2003), further contributing to a weakening of the  $\delta^2\text{H}_{n\text{-alkane}}$  to  $\delta^2\text{H}_{\text{leaf-water}}$  correlation. The correlation between the deuterium contents of leaf wax  $n$ -alkanes and leaf water presented here is still well in range with the literature. Feakins and Sessions (2010) presented  $n$ -alkane ( $\text{C}_{29}$  and  $\text{C}_{31}$ ) and leaf water  $\delta^2\text{H}$  data from typical plant species (excluding grasses) along a southern California aridity gradient, revealing that only  $\delta^2\text{H}$  of  $n\text{-C}_{29}$  is significantly correlated with leaf water ( $r^2 = 0.24$ ,  $p < 0.1$ ,  $n = 16$ ; based on the associated supplementary data). Another field dataset from the temperate forest at Brown's Lake Bog, Ohio, USA revealed significant correlations between  $\delta^2\text{H}$  of  $n\text{-C}_{29}$  and  $n\text{-C}_{31}$  with leaf water of the species *Prunus serotina*, *Acer saccharinum*, *Quercus rubra*, *Quercus alba*, and *Ulmus americana* ( $r^2 = 0.49$ ,  $p < 0.001$ ,  $n = 38$ ;  $r^2 = 0.59$ ,  $p < 0.001$ ,  $n = 29$ ; as derived from the supplement material of Freimuth et al., 2017). Data from a controlled climate chamber experiment using two tree species show a highly significant relationship between leaf wax  $n$ -alkanes  $\delta^2\text{H}$  and leaf water (with  $\text{C}_{31}$  of *Betula occidentalis* and  $\text{C}_{29}$  of *Populus fremontii*;  $r^2 = 0.96$ ,  $p < 0.001$ ,  $n = 24$ ; derived from supplementary data of Tipple et al., 2015). It is conformed that leaf wax  $n$ -alkanes of dicotyledonous plants largely incorporate the leaf water isotope signal, while in monocotyledonous plants (e.g. grasses) the  $n$ -alkanes are more strongly affected by the source water due to the leaf growth at the intercalary meristem (Kahmen et al., 2013). The observed slope of the  $\delta^{18}\text{O}_{\text{sugar}}$  to  $\delta^{18}\text{O}_{\text{leaf-water}}$  relationship (Fig. 3B) could serve as indicator for a leaf water (heavy-isotope enrichment) signal transfer damping of approximately 26%. The theory behind the signal damping is adopted from the cellulose research (e.g. Barbour and Farquhar, 2000). Barbour and Farquhar (2000) related the extent of the signal damping to the proportion of unenriched source water, which contribute to the local synthesis water pool and to the proportion of exchangeable oxygen during cellulose synthesis. The here observed damping of 26% is well in the range with values reported for cellulose synthesis in *Gossypium hirsutum* leaves (between

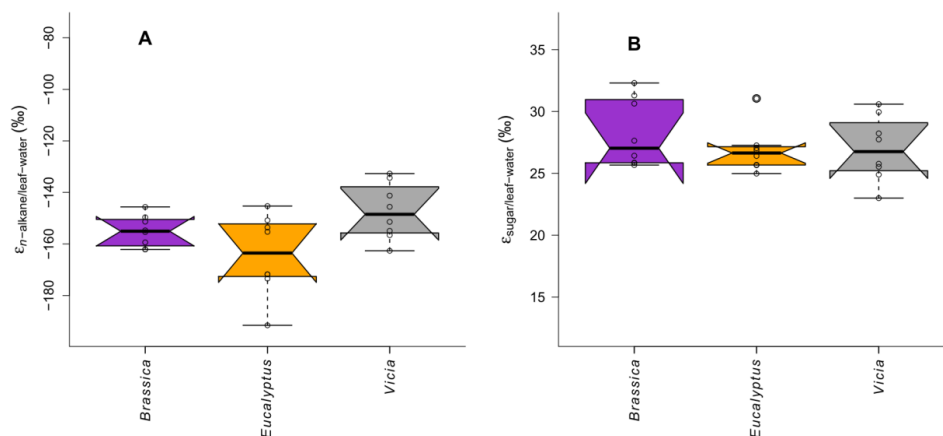
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365 35 and 38%; Barbour and Farquhar, 2000), for *Eucalyptus globulus* leaf samples (38%; Cernusak et al.,  
2005) and for five C<sub>3</sub> and C<sub>4</sub> grasses (25%; Helliker and Ehleringer, 2002). Recently, Cheesman and  
Cernusak (2017) provided damping factors for leaf cellulose synthesis based on plant data grown under  
same conditions at Jerusalem Botanical Gardens published by Wang et al. (1998), ranging between 4  
and 100% with a mean of 49%, revealing large variations among and between ecological groups  
370 (namely conifers, deciduous, evergreen and shrubs). A large range of damping factors associated with  
leaf cellulose was also reported by Song et al. (2014) for *Ricinus communis* grown under controlled  
conditions. A common disadvantage of the above-mentioned studies is the absence of direct  
measurements of the proportion of depleted source water contribution to the local synthesis water  
(as noticed by Liu et al., 2017), which largely contribute to the extent of the damping factor (Barbour  
and Farquhar, 2000). However, when transferring cellulose results to pentoses, such as hemicellulose-  
375 derived arabinose and xylose, it should be noted that they are biosynthesized via decarboxylation of  
the carbon at position six (C6) from glucose (Altermatt and Neish, 1956; Burget et al., 2003; Harper  
and Bar-Peled, 2002). Waterhouse et al. (2013) showed that the oxygen atoms at C6 position in glucose  
moieties, used for heterotrophic cellulose synthesis, are strongly affected by the exchange with local  
380 water (up to 80%). Based on these findings, it can be suggested that the influence of the non-enriched  
source water during the synthesis of leaf hemicelluloses is rather small.

### 3.3 Fractionation factors between biomarkers and leaf water

In order to explore possible species-specific effects on the fractionation between the biomarkers and  
the leaf water, boxplots of the individual plant species of  $\epsilon_{n\text{-alkane/leaf-water}}$  and  $\epsilon_{\text{sugar/leaf-water}}$  values are  
385 shown in Fig. 4. Median  $\epsilon_{n\text{-alkane/leaf-water}}$  values are -155‰ for *Brassica oleracea*, -164‰ for *Eucalyptus*  
*globulus* and -149‰ for *Vicia faba* (Fig. 4A), with an overall mean value of -156‰ (ranging from -133  
to -192‰). Median  $\epsilon_{\text{sugar/leaf-water}}$  values of +27.0‰ for *Brassica oleracea*, +26.6‰ for *Eucalyptus*  
*globulus*, +26.8‰ for *Vicia faba* are shown in Fig. 4B. The overall  $\epsilon_{\text{sugar/leaf-water}}$  average value of the  
390 three investigated species is +27.3‰ (ranging from +23.0 to +32.3‰). In both plots, no systematic  
difference between the individual species seems to be observable.



**Fig. 4:** Boxplots comprising the plant-specific fractionation between the biomarkers and the leaf water  
(A:  $\epsilon_{n\text{-alkane/leaf-water}}$  according to Eq. 10; B:  $\epsilon_{\text{sugar/leaf-water}}$  according to Eq. 11). *Brassica oleracea*, *Eucalyptus*  
395 *globulus* and *Vicia faba* samples are shown in purple, orange and black, respectively. Boxplots show  
median (thick black line), interquartile range (IQR) with upper (75%) and lower (25%) quartiles, lower  
and upper whiskers, which are restricted to  $1.5 \cdot \text{IQR}$ . Outside the  $1.5 \cdot \text{IQR}$  space, the data points are



marked with a dot. The notches are extend to  $\pm 1.58 \cdot \text{IQR} / \sqrt{n}$ , by convention and give a 95% confidence interval for the difference of two medians (McGill et al., 1978).

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The boxplots of  $\epsilon_{n\text{-alkane/leaf-water}}$  reveal that the median of the three investigated plant species can be statistically not distinguished, due to overlapping notches (Fig. 4A). It should be noted that due to the low sample number from each species, the 95% confidence interval is larger than the interquartile range in some cases. However, it seems that at least small species-specific differences cannot be ruled out. Our  $\epsilon_{n\text{-alkane/leaf-water}}$  values resemble well the data from a laboratory study (Kahmen et al., 2011), reporting a median value of -162‰ for  $n\text{-C}_{25}$ ,  $n\text{-C}_{27}$  and  $n\text{-C}_{29}$  of *Populus trichocarpa*. Furthermore, they are well comparable to climate chamber data of *Betula occidentalis* ( $n\text{-C}_{31}$ ) and *Populus fremontii* ( $n\text{-C}_{29}$ ) from Tipple et al. (2015), reporting a median  $\epsilon_{n\text{-alkane/leaf-water}}$  value of -155‰. In addition, field experiments reveal similar median values of -151‰ (for  $n\text{-C}_{29}$ ) and -142‰ (for  $n\text{-C}_{31}$ ) from typical plant species (excluding grasses) from southern California (Feakins and Sessions, 2010) and -144‰ (for  $n\text{-C}_{29}$ , of the species *Prunus serotina*, *Acer saccharinum*, *Quercus rubra*, *Quercus alba* and *Ulmus americana*) from the temperate forest at Brown's Lake Bog, Ohio, USA. The large range in  $\epsilon_{\text{xylem-water/leaf-water}}$  values from our study (-192 to -133‰) is also obvious in the respective laboratory and field studies (-198 to -115‰, derived from  $n\text{-C}_{29}$  and  $n\text{-C}_{31}$  data from Feakins and Sessions, 2010; Kahmen et al., 2011a; Tipple et al., 2015; Freimuth et al., 2017). This could point to a specific water pool being used rather than bulk leaf water during biosynthesis (Sachse et al., 2012; Schmidt et al., 2003). In more detail, alkane synthesis takes place by modifying/expanding fatty acids in the cytosol, while fatty acids are synthesized in the chloroplasts (Schmidt et al., 2003). Thus, the cytosol as well as chloroplast water is one hydrogen source. However hydrogen can additionally be added to the alkanes and fatty acids by NADPH which originates from different sources (photosynthesis and pentose phosphate cycle, Schmidt et al., 2003). It is therefore challenging to measure directly the water pool in which the alkanes are biosynthesized (Tipple et al., 2015). Moreover, biosynthetic and metabolic pathways in general (Kahmen et al., 2013; Sessions et al., 1999; Zhang et al., 2009), the carbon and energy metabolism of plants more specifically (Cormier et al., 2018) and the number of carbon atoms of the  $n$ -alkane chains (Zhou et al., 2010) may have an influence on the fractionation. Our  $\epsilon_{n\text{-alkane/leaf-water}}$  values correlate with  $T_{\text{air}}$  (Fig. A2A), whereas the correlation with  $\text{RH}_{\text{air}}$  (Fig. A2B) is not significant. This could point to a relationship between  $\epsilon_{\text{xylem-water/leaf-water}}$  and plant physiological processes (affecting various plants differently).

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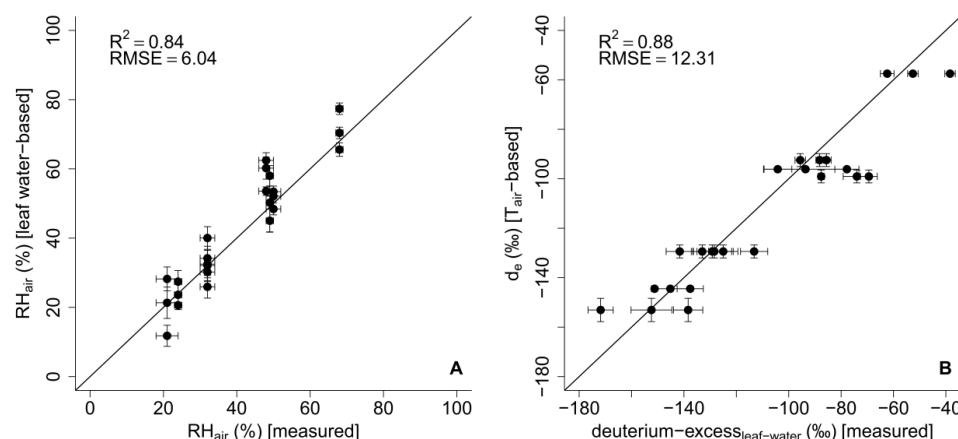
The  $\epsilon_{\text{sugar/leaf-water}}$  values (Fig. 4B) do not correlate significantly with  $T_{\text{air}}$ , but significantly with  $\text{RH}_{\text{air}}$  (Fig. A2C and D). A temperature dependence of the  $\epsilon_{\text{sugar/leaf-water}}$  is not supported by this experiment, in contrast to results from Sternberg and Ellsworth (2011), where a temperature effect on oxygen fractionation during heterotrophic cellulose biosynthesis is observed. The here observed fractionation between hemicellulose-derived sugars and leaf water, with regard to  $\epsilon_{\text{sugar/leaf-water}}$  values, is well in range with values reported for sucrose (exported from photosynthesizing leaves) and leaf water, which was shown to be +27‰ (Cernusak et al., 2003). Also the cellulose biosynthesis is associated with an heavy-isotope enrichment of around +27‰ compared to the synthesis water as shown in growth experiments (Sternberg et al., 1986; Yakir and DeNiro, 1990). The relatively uniform fractionation is explained via the isotope exchange between the carbonyl oxygens of the organic molecules and the surrounding water (cf. Schmidt et al., 2001). This equilibrium fractionation effect was indeed described earlier by the reversible hydration reaction of acetone in water by Sternberg and DeNiro (1983) to be +28, +28 and +26‰ at 15, 25 and 35°C, respectively. However, the observed range of approximately 9‰ (Fig. 4B) could indicate that partially more than the oxygen equilibrium fractionation between organic molecules and medium water have to be considered. Presumably, isotopic as well as sucrose



445 synthesis gradients within the leaf have to be taken into account when interpreting leaf sugar oxygen  
isotopic compositions and their correlation to leaf water (Lehmann et al., 2017). Lehmann et al. (2017)  
reported on a fractionation between sucrose and leaf water of +33.1‰. Based on this they proposed  
a conceptual scheme how such gradients can lead to discrepancies between the isotopic composition  
of the bulk leaf water and the synthesis water, while the latter is incorporated into the carbohydrates,  
and thus fractionation determination based on bulk leaf water can exceed the common average of  
450 +27‰. Also Mayr et al. (2015) found a fractionation between aquatic cellulose  $\delta^{18}\text{O}$  and lake water  
larger than this value of around +29‰.

### 3.4 Strong control of relative humidity over deuterium-excess of leaf water

455 The correlations between leaf water-based and measured  $\text{RH}_{\text{air}}$  and modeled  $d_e$  and measured  
deuterium-excess<sub>leaf-water</sub> are illustrated in Fig. 5A and B, respectively.



460 **Fig. 5:** Scatterplots illustrating the correlation between leaf water-based and measured air relative  
humidity ( $\text{RH}_{\text{air}}$ ), modeled vs. measured leaf water deuterium-excess ( $T_{\text{air}}$ -based). Black lines indicate  
the 1:1 relationship.  $R^2$  and RMSE are calculated as described in section 2.4, while the RMSE values  
465 have the dimensions of the respective variables. Error bars for the measured RH values represent  
analytical standard deviations (see Mayr, 2002). See section 2.4 for the uncertainties of the calculated  
and modeled results.

465 Evidence for the strong control of relative humidity on deuterium-excess of leaf water comes from  
multivariate regression analysis between the measured deuterium-excess<sub>leaf-water</sub> values versus  $\text{RH}_{\text{air}}$ ,  
and  $T_{\text{air}}$ . The results reveal that the deuterium-excess<sub>leaf-water</sub> significantly correlates with  $\text{RH}_{\text{air}}$  of the  
climate chambers ( $p < 0.001$ ), with an  $r^2$  of 0.92. The strong control of RH on the deuterium-excess of  
leaf water is furthermore supported by the significant correlations between calculated versus  
470 measured  $\text{RH}_{\text{air}}$  values (Fig. 5A). This is in line with the strong correlation between modeled  $d_e$  based  
on  $T_{\text{air}}$  and measured deuterium-excess<sub>leaf-water</sub> values (Fig. 5B).

Overall, the modeled  $d_e$  values show a high agreement with measured deuterium-excess of leaf water  
despite without being too positive, which can be expected from the literature. This is because bulk leaf  
is less enriched than the leaf water at the evaporative sites, which is however, the output of the Craig-  
Gordon-based leaf water enrichment model (e.g. Allison et al., 1985; Barbour et al., 2004; Cernusak et  
475 al., 2016; section 2.3). Especially under low relative humidity conditions, the discrepancy between  
Craig-Gordon model results and the measured values is shown to be more pronounced, associated

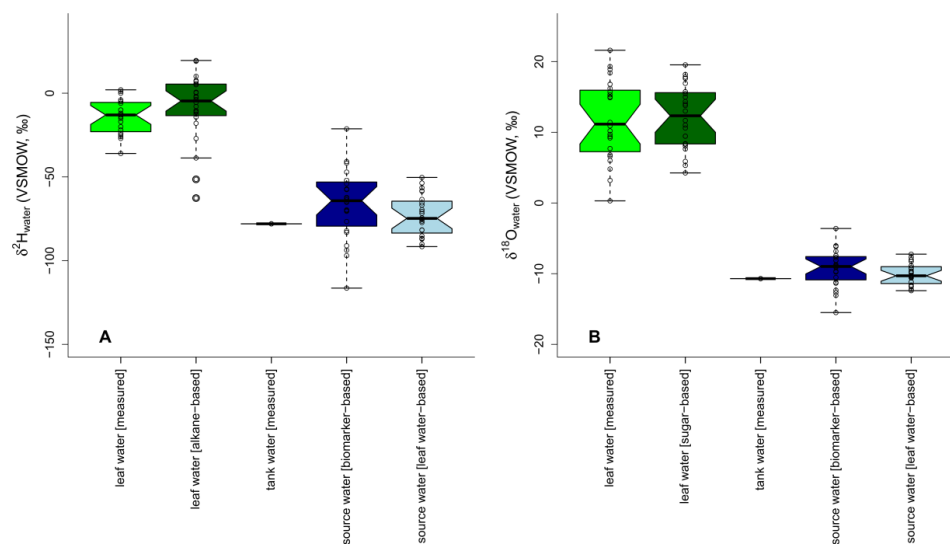


with higher transpiration fluxes and higher isotope heterogeneity within the leaf water due to a non-uniform closure of the stomata (Flanagan et al., 1991; Santrucek et al., 2007). An overestimation of the Craig-Gordon models can hardly be observed here (Fig. 5B). However, based on the accepted leaf  
480 water enrichment theory (e.g. Cernusak et al., 2016), higher transpiration rates (e.g. under low humidity conditions) should still lead to a larger discrepancy between Craig-Gordon modelled and measured leaf water, because the back diffusion of enriched leaf water from the evaporative sites should get lower the higher the transpiration flux is.

It should be noted, that there is the possibility to build up a more detailed model with Eq. 2. With this,  
485 a model is given to derive  $d_e$  values with the usage of  $d_a$  and  $d_s$ , which can be compared to the measured deuterium-excess<sub>leaf-water</sub> values. However, when modeling  $d_e$  without the simplification  $\delta_a - \delta_s \approx -\epsilon^*$  the  $R^2$  results to 0.86 and RMSE equals 13.07‰ compared to the presented 0.88 and 12.31‰. Furthermore, in Eq. 5  $T_{air}$  can be replaced by  $T_{leaf}$ . With this, Eq. 2 results to  $d_e$  values based on leaf temperature. This would take into account that the Craig-Gordon model requires for the  $e_i$  parameter  
490 the temperature of the evaporating surface rather than the air temperature. However, with this model extension the  $R^2$  and the RMSE results to 0.55 and 23.54‰, respectively. By rearranging Eq. 2, RH values can be modeled which can be compared to  $RH_{air}$  as well as  $RH_{leaf}$  values ( $e_a/e_i$  multiplied by 100 with  $T_{leaf}$ ). The respective model characteristics are again lower for the  $RH_{leaf}$  case ( $R^2 = 0.27$  and RMSE = 11.84%) than for the  $RH_{air}$  comparison ( $R^2 = 0.81$  and RMSE = 6.56%). Still Eq. 6 provides better results,  
495 as presented in this paragraph ( $R^2 = 0.84$  and RMSE = 6.04%). This discussion is in line with the differences between  $T_{leaf}$  vs.  $T_{air}$  and  $RH_{leaf}$  vs.  $RH_{air}$  conditions in the climate chambers. They reveal the same trends and magnitude, but  $T_{leaf}$  is consequently higher than  $T_{air}$  along with higher  $RH_{leaf}$  values compared to  $RH_{air}$  (Fig. 1A; Mayr, 2002). Summarized we therefore argue, that the model presented in Eq. 6 (including the simplifications of  $\delta_a - \delta_s \approx -\epsilon^*$  and using  $T_{air}$  in Eq. 5) is able to reconstruct  $RH_{air}$  values  
500 based on  $\delta^2H_{leaf-water}$  and  $\delta^{18}O_{leaf-water}$  values.

### 3.5 Coupling $\delta^2H_{n-alkane}$ and $\delta^{18}O_{sugar}$ – potential and limitations

One of the advantages of the proposed coupled  $\delta^2H_{n-alkane}$ - $\delta^{18}O_{sugar}$  approach is a more robust reconstruction of the isotope composition of the source water, which can often be directly linked to  
505 the local precipitation signal (Hepp et al., 2015, 2017; Tuthorn et al., 2015; Zech et al., 2013a). Therefore, Fig. 6 shows boxplots for measured leaf water, biomarker-based (reconstructed) leaf water, measured source water (tank water; see section 2.1), biomarker-based source water (using reconstructed leaf water as origin for the LEL's) and leaf-water-based source water values (using measured leaf water as origin for the LEL's). Source water isotope compositions were calculated via  
510 the slopes of the LEL's and the GMWL. The figure shows that the  $n$ -alkane and sugar biomarkers reflect leaf water rather than tank water used for irrigation. For  $\delta^2H$ , neither the range nor the median of the  $\delta^2H_{leaf-water}$  are well captured by the alkane-based leaf water values. However, the overlapping notches do not support a statistical difference in the median values (Fig. 6A). The medians are still on average 13‰ more positive than the measured  $\delta^2H_{tank-water}$ . A higher agreement between measured and  
515 modeled values is observed from leaf water-based  $\delta^2H_s$  compared to  $\delta^2H_{tank-water}$ . The average offset is reduced to 2‰ and the range is reduced by approximately 70‰, compared to the biomarker-based reconstruction.



520 **Fig. 6:** Boxplots showing the measured leaf water in comparison to the biomarker-based leaf water, tank water, source water calculated with biomarker-based leaf water values and source water based on measured leaf water (A:  $\delta^2\text{H}_{\text{leaf-water}}$ ; B:  $\delta^{18}\text{O}_{\text{leaf-water}}$ ). Source water isotope compositions were calculated via the slopes of the LEL's (either with biomarker-based or measured leaf water values) and the GMWL. Boxplots show median (thick black line), interquartile range (IQR) with upper (75%) and lower (25%) quartiles, lower and upper whiskers, which are restricted to  $1.5 \cdot \text{IQR}$ . Outside the  $1.5 \cdot \text{IQR}$  space, the data points are marked with a dot. The notches extend to  $\pm 1.58 \cdot \text{IQR}/\sqrt{n}$ , by convention and give a 95% confidence interval for the difference of two medians (McGill et al., 1978).

For  $\delta^{18}\text{O}$ , the sugar-based leaf water values are in agreement with the measured ones with regard to the median values, as supported by the largely overlapping notches (Fig. 6B). The range of the reconstructed leaf water is in the order of 6‰ smaller than for the measured  $\delta^{18}\text{O}_{\text{leaf-water}}$  dataset. All reconstructed  $\delta^{18}\text{O}_s$  values, regardless whether they are biomarker- or leaf water-based, are comparable to the measured  $\delta^{18}\text{O}_{\text{tank-water}}$ . While the biomarker-based datasets depict an average offset of 2‰, the leaf water-based values only differ by 0.3‰ from the tank water  $\delta^{18}\text{O}$  values, referring to the median.

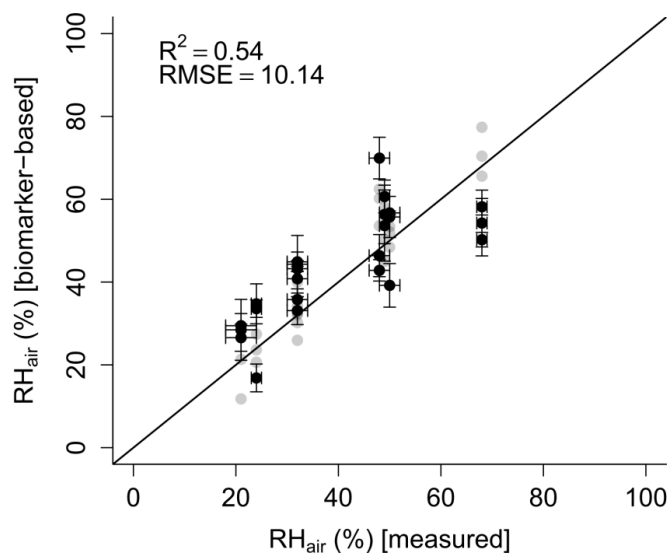
The overall larger range of modeled  $\delta^2\text{H}_s$  and  $\delta^{18}\text{O}_s$  compared to measured  $\delta^2\text{H}_{\text{tank-water}}$  and  $\delta^{18}\text{O}_{\text{tank-water}}$  can be related to uncertainties in  $S_{\text{LEL}}$  modeling (see equations in section 2.3.2). Bariac et al. (1994) mentioned that they found no agreement between the intersect of modeled LEL's with the GMWL and the plant source water. Allison et al. (1985) explained such results with changing environmental conditions, leading to various LEL's with a locus line not necessarily passing the  $\delta^2\text{H}_s$  and  $\delta^{18}\text{O}_s$  data point, in a system that approaches rapidly new steady-state conditions.

Finally, the alkane and sugar-based leaf water values were used to reconstruct  $\text{RH}_{\text{air}}$ . The measured  $\text{RH}_{\text{air}}$  is well captured by the biomarker-based air relative humidity values ( $R^2 = 0.54$ ; Fig. 7). Overall, a lower coefficient of determination of the biomarker-based model results compared to the leaf water-based reconstructions (compare black with grey data points in Fig. 7) is observed. This can be attributed to the uncertainties in leaf water reconstructed using  $\delta^2\text{H}_{n\text{-alkane}}$  and  $\delta^{18}\text{O}_{\text{sugar}}$  datasets as





discussed in section 3.2. The limitations regarding deuterium arose from the rather weak relationship  
550 between the  $\delta^2\text{H}$  of the *n*-alkanes and the leaf water, probably linked with the large range in the  
fractionation between *n*-alkanes and leaf water ( $\epsilon^2_{n\text{-alkane/leaf-water}}$ ). The applied equation to  
reconstructed  $\delta^2\text{H}_{\text{leaf-water}}$  by using  $\delta^2\text{H}_{n\text{-alkane}}$  and a constant biosynthetic fractionation of  $-160\text{‰}$  (Eq.  
10) was considered to be suitable (Sachse et al., 2012; Sessions et al., 1999), but introduce also some  
uncertainty for the final relative humidity reconstruction. With regard to oxygen, the relatively large  
555 variations in  $\epsilon_{\text{sugar/leaf-water}}$  of  $9\text{‰}$  have to be considered (Fig. 4B), because in the  $\delta^{18}\text{O}_{\text{leaf-water}}$   
reconstructions a fixed value of  $+27\text{‰}$  is used (Eq. 11). Such a uniform biosynthetic fractionation is an  
approximation which may not always be fulfilled, as shown in the literature (e.g. Sternberg and  
Ellsworth, 2011; Lehmann et al., 2017). Especially the underestimation of the biomarker-based  $\text{RH}_{\text{air}}$   
values under the 68% relative humidity conditions, as well as the large range in reconstructed  $\text{RH}_{\text{air}}$   
560 values for the 48, 49, 50%  $\text{RH}_{\text{air}}$  chambers can be attributed to the leaf water reconstruction  
uncertainties. It should be mentioned that using Eqs. 7 and 8 to calculate leaf water isotope  
composition based on the biomarkers via a biosynthetic fractionation values implies that the  
fractionation process in principle can be treated as single process with a unique source. While this  
approximation can be questioned (see discussion in section 3.2), the overall correlation between  
565 biomarker-based and measured  $\text{RH}_{\text{air}}$  highlights the potential of the approach (Hepp et al., 2017;  
Tuthorn et al., 2015; Zech et al., 2013a), also for future paleo-applications.



570 **Fig. 7:** Scatterplot depicting the relationship between biomarker-based (modeled) and measured air  
relative humidity (RH). The black line indicates the 1:1 relationship.  $R^2$  and RMSE was calculated as  
described in section 2.4, while the RMSE values have the dimensions of the respective variables. Error  
bars for the measured values represent analytical standard deviations (see Mayr, 2002). For  
uncertainty calculation of the modeled properties, see section 2.4. In addition, the leaf water-based  
air relative humidity results are shown in light grey for comparison.

575



## 4 Conclusions

The climate chamber results and discussion suggest that leaf wax-derived *n*-alkane and hemicellulose-derived sugar biomarkers are valuable  $\delta^2\text{H}_{\text{leaf-water}}$  and  $\delta^{18}\text{O}_{\text{leaf-water}}$  recorders, respectively. The coupling of  $\delta^2\text{H}_{n\text{-alkane}}$  and  $\delta^{18}\text{O}_{\text{sugar}}$  results allows moreover a robust  $\text{RH}_{\text{air}}$  reconstruction of the chambers in which the plants were grown, by using a simplified Craig-Gordon equation. With regard to the research questions, we conclude as follows:

- (i) Alkanes with the chain-length *n*-C<sub>29</sub> predominated and occurred at abundances suitable for compound-specific  $\delta^2\text{H}$  measurements in the leaf samples from all investigated species (*Eucalyptus globulus*, *Vicia faba* var. *minor* and *Brassica oleracea* var. *medullosa*). For *Vicia faba*, additionally *n*-C<sub>31</sub> could be evaluated robustly.  $\delta^{18}\text{O}_{\text{sugar}}$  values could be obtained for the hemicellulose-derived monosaccharides arabinose and xylose.
- (ii) Both the  $\delta^2\text{H}_{n\text{-alkane}}$  and  $\delta^{18}\text{O}_{\text{sugar}}$  values yielded highly significant correlations with  $\delta^2\text{H}_{\text{leaf-water}}$  and  $\delta^{18}\text{O}_{\text{leaf-water}}$  ( $r^2 = 0.45$  and  $0.85$ , respectively;  $p < 0.001$ ,  $n = 24$ ). Mean fractionation factors between biomarkers and leaf water were found to be  $-156\text{‰}$  (ranging from  $-133$  to  $-192\text{‰}$ ) for  $\epsilon_{n\text{-alkane}/\text{leaf-water}}$  and  $+27.3\text{‰}$  (ranging from  $+23.0$  to  $+32.3\text{‰}$ ) for  $\epsilon_{\text{sugar}/\text{leaf-water}}$ .
- (iii)  $\text{RH}_{\text{air}}$  can be derived robustly by using the measured leaf water isotope composition ( $\delta^2\text{H}_{\text{leaf-water}}$  and  $\delta^{18}\text{O}_{\text{leaf-water}}$ ) and a rearranged Craig-Gordon model, ( $R^2 = 0.84$ ;  $p < 0.001$ ;  $\text{RMSE} = 6\%$ ).
- (iv) Reconstructed source water isotope composition ( $\delta^2\text{H}_s$ ,  $\delta^{18}\text{O}_s$ ) is in range with the measured tank water ( $\delta^2\text{H}_{\text{tank-water}}$ ,  $\delta^{18}\text{O}_{\text{tank-water}}$ ). However, modeled  $\delta^2\text{H}_s$  and  $\delta^{18}\text{O}_s$  show a clearly larger range compared to  $\delta^2\text{H}_{\text{tank-water}}$  and  $\delta^{18}\text{O}_{\text{tank-water}}$ . The uncertainties for source water determination are thus considerably higher compared to the relative humidity reconstructions. Still, the coupled  $\delta^2\text{H}$ - $\delta^{18}\text{O}$  approach enables a back calculation of the plant source water. Uncertainties with regard to relative humidity reconstructions via biomarker-based leaf water isotope composition arose from leaf water reconstructions and model uncertainties, as shown in the conclusions ii) and iii). Overall, the biomarker-based and measured  $\text{RH}_{\text{air}}$  correlation with  $R^2$  of  $0.54$  ( $p < 0.001$ ) and  $\text{RMSE}$  of  $10\%$  highlights the great potential of the coupled  $\delta^2\text{H}_{n\text{-alkane}}$ - $\delta^{18}\text{O}_{\text{sugar}}$  paleohygrometer approach for reliable relative humidity reconstructions.

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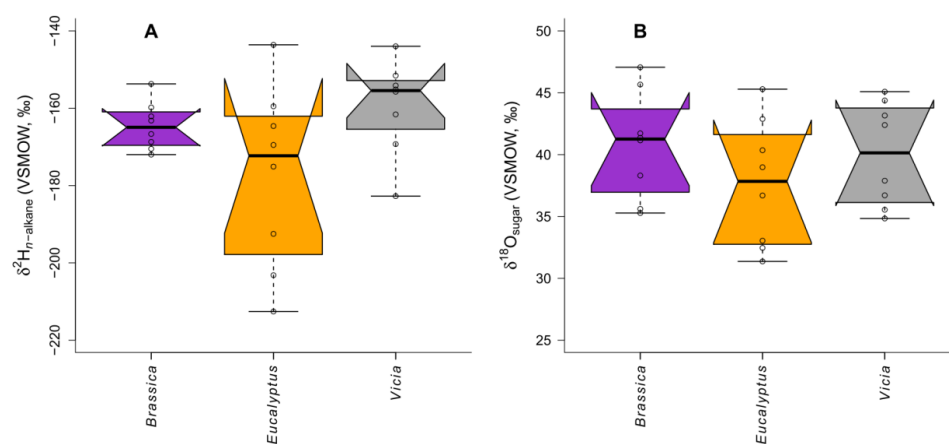
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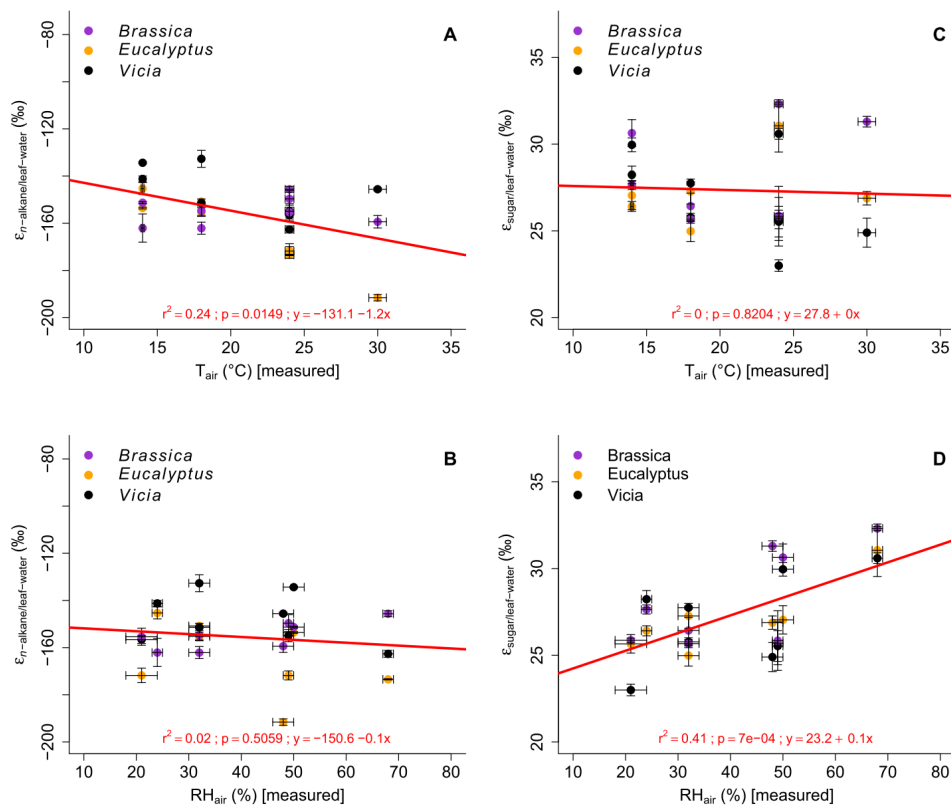
### Author contributions

J. Hepp and M. Zech wrote the paper; C. Mayr was responsible for the climate chamber experiment together with W. Stichler and provided the leaf samples and the data; M. Zech and R. Zech were responsible for compound-specific isotope analysis on the biomarkers; J. Hepp, M. Tuthorn and I. K. Schäfer did laboratory work and data evaluation of the biomarker compound-specific isotope analysis; B. Glaser, D. Juchelka, K. Rozanski and all co-authors contributed to the discussion and commented on the manuscript.

### Appendix



**Fig. A1:** Boxplots comprising the plant-specific  $\delta^2\text{H}_{n\text{-alkane}}$  (A) and  $\delta^{18}\text{O}_{\text{sugar}}$  values (B). *Brassica oleracea*, *Eucalyptus globulus* and *Vicia faba* samples are shown in purple, orange and black, respectively. Boxplots show median (thick black line), interquartile range (IQR) with upper (75%) and lower (25%) quartiles, lower and upper whiskers, which are restricted to  $1.5 \cdot \text{IQR}$ . Outside the  $1.5 \cdot \text{IQR}$  space, the data points are marked with a dot. The notches are extend to  $\pm 1.58 \cdot \text{IQR} / \sqrt{n}$ , by convention and give a 95% confidence interval for the difference of two medians (McGill et al., 1978).



640 **Fig. A2:** Scatterplots of the fractionation between the biomarkers and leaf water vs. air temperature, air relative humidity (A and B:  $\epsilon_{n\text{-alkane/leaf-water}}$  according Eq. 10; C and D  $\epsilon_{\text{sugar/leaf-water}}$  according Eq. 11). *Brassica oleracera*, *Eucalyptus globulus* and *Vicia faba* samples are shown in purple, orange and black, respectively. Error bars for the measured values represent analytical standard deviations of repeated measurements (see section 2.2 and Mayr, 2002). For uncertainty calculation of the  $\epsilon$  values, see section 2.4.



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