

1 **Ideas and perspectives: Ushering Indian Ocean into the UN**
2 **Decade of Ocean Science for Sustainable Development**
3 **(UNDOSSD) through Marine Ecosystem Research and**
4 **Operational Services – An early-career’s take**

5

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10

11 **Abstract.**

12 The Indian Ocean-rim hosts many of the [world’s](#) underdeveloped and emerging economies that depend on the
13 ocean resources for ~~livelihood~~[the livelihoods](#) of the populations of millions residing ~~in~~. Operational ocean
14 information services cater to the requirements of managers and end-users to efficiently harness those resources,
15 and to ensure safety. ~~However, fishery~~[Fishery](#) information is ~~n’t~~ [not](#) the only operational services that will be
16 needed [to](#) empower such communities in the coming decades. Coral bleaching alerts, SCUBA-assist advisories,
17 conservation or ecotourism assist services (e.g. Turtle-watch/Whale-watch), poaching/bycatch reduction
18 support, jellyfish/microplastic/oil-spill watch are to name a few, but not an exhaustive list ~~of the services that~~
19 [are needed operationally](#). This paper ~~reviews~~[outlines](#) existing tools, and explores the ongoing research that has
20 potential to convert the findings into operational services in near-to-mid term.

21

22 **Keywords:**

23 Ecosystem, [HIOE-2](#), Operational Services, UN Decade of Ocean, Perspectives

24

25 1. Introduction

26 About a third of the world population reside in the Indian Ocean ~~(IO)~~-rim (IOR) countries. This is projected to
27 increase and may reach to 50% of the global populations by the year 2050 (Doyle, 2018). Their2018); whose
28 lives and livelihoods are inherently inter~~vet~~twined with the IOIndian Ocean and its dynamics. These countries
29 have very diverse cultures and philosophies. Their financial capabilities vary widely and so aredo their
30 aspirations and world-views. The IO-rim-(IOR) countries thus present a confluence of ideologies to a manager,
31 with their vivid and vibrant demographics that have very different challenges and opportunities. Majority of the
32 IOR countries have either expansive ofor stationary population pyramid profile, with limited land resources.
33 Their nutritional and employment needs will have more reliance on the IOIndian Ocean in coming decades.
34 Marine ecosystems are a promising source for the nourishment of populations and at the same time, are under
35 more serious threats than ever before. As more people are brought up from the poverty, consumerism is poised
36 to extend to larger parts of the population, which will create concerns for the health of the adjoining ocean
37 ecosystems.

38 The IOInternational Indian Ocean Expedition (IIOE) conducted during 1962-1965, was the first major attempt
39 to study the Indian Ocean, its processes and diversity. It resulted into many multidisciplinary collaborations and
40 gave birth to institutions such as National Institute of Oceanography (NIO) in India. The second IIOE (IIOE-2)
41 was observed during 2015-20 commemorating five decades of the first IIOE, and witnessed even greater
42 participation globally. It has been underlined on the onset IIOE-2 that, the Indian Ocean is one of the relatively
43 poorly studied oceans of the world: (Hood et al., 2016). It is thus important to ensure that the resources in-from
44 this unique, -(land-locked from the north) ocean; are harnessed in harmony with the-sustainability, and with the
45 equal opportunities. For the successful planning and execution, it is imperative for the resource managers to
46 have community participation (Martini et al., 2017). Hence, it is especially important for the IOR countries to
47 chart the right path at the eve of the United Nations Decade of Ocean Science for Sustainable Development
48 (UNDOSSD, 2021-2030).

49 Advancement of geosciences in last century has provided us many tools to cope with the changing environment
50 and associated challenges. The same role for the twenty first century is shifting to operational oceanography.
51 The role of providing such insights in the twenty first century is shifting to operational oceanography (OSOS,
52 2019). Operational oceanography is defined as systematic and sustained ocean observations which are converted
53 into outputs as per the stakeholder/user requirements; using the scientific research done in the development of
54 those outputs. Operational ocean services are the mechanism through which these outputs are disseminated
55 effectively (often, in real-time or near real-time) and feedbacks are collected on users' experience and needs
56 (Fig.01). Robust combination of observations and modelling, artificial intelligence, effective tools of
57 interpretation and user-friendly dissemination of information can change millions of lives dependiengt on the
58 oceans. This paper hypothesizes that the best possible approach to win the community attention (and to invoke
59 the responsible ownership of the resources) is to engage the communities with the operational ecosystem related
60 services. Further, this paper explores the regional needs and solutions based on presently available data and
61 technologies.

62 2. Marine Ecosystem Research and operational Services (MERS)

63 The marine ecosystem related operational ocean information and forecasts services (or products as a service)
64 can be grouped into three major categories based on their prime objectives. ~~First~~The first type of services is
65 related to ~~the~~-resource management, conservation and support to livelihood. ~~Second~~The second type comprises
66 of services that deal with threats to the ecosystem. The third group is of the solutions for community
67 engagement and dissemination.

68 2.1 Services to cater resource management, conservation and livelihood

69 Under the decadal initiative, the UN Sustainable Development Goal (SDG)-14 (Life below water) will receive
70 significant attention, which heavily relies on ~~the~~-remote sensing data in general and in particular, on Ocean
71 Color (OC) observations. In the late 90's, the OC science achieved operational status (after a decade long hiatus
72 post-CZCS era) due to adequate satellite coverage. Since then researchers have made extensive use of these data
73 despite often encountering spatial (clouds and sun-glint), temporal (daily once, daytime only coverage) or
74 spectral (fixed, limited bands) limitations. The applications range wide from fisheries and aquaculture to
75 Harmful Algal Bloom (~~HAB~~)-monitoring to estimating productivity and carbon budgeting (Wilson, C., 2011).
76 However, there are limitations with regard to predictability of the base of the food pyramid itself. There are
77 global products for primary production such as ~~VGPM~~-(Vertically Generalized Productivity Model) that are
78 developed with relatively low observations of the ~~P-I~~-(Photosynthesis-Irradiance) parameters from the ~~IO-Indian~~
79 Ocean. It is thus very much-important for the IOR countries to have a-regional Primary Productivity (~~PP~~)
80 model~~models~~ for operational applications. This could be taken up as a priority during the UNDOSSD.

81 This decade is chiefly important due to the planned launch of an operational hyperspectral mission ~~such~~
82 as~~named~~ PACE (Plankton, Aerosol, Cloud, ocean Ecosystem), by NASA (National Aeronautics and Space
83 Administration, USA) in the early 2020's. A hyperspectral sensor scans oceans with high-resolution of as much
84 as 1nm wavelength for ~~whole~~-the entire visible spectrum, that enables the researchers to begin a new era in the
85 OC sciences due to its potential of developing a plethora of applications. This includes but ~~does~~is not limited to
86 better resolving phytoplankton functional types, fishery resource management and ecosystem health monitoring.
87 The latter is more important especially for the second type of services and is discussed in detail in a subsequent
88 section.

89 At the same time, the temporal limitations seem to be ~~not~~mitigated through various approaches such as using the
90 Day-Night Band (~~DNB~~)-data or OC data from the geostationary orbit such as ~~GOCI~~-(Geostationary Ocean Color
91 Imager) (Miller et al., 2013; Ruddick et al., 2014). When OC science follows the footsteps of other satellite
92 types, we may also have a "swarm" of nano-satellites or cubesats – further improving temporal and up to some
93 extent, spatial coverage as well. This however needs to be rightly balanced with the concerns of overcrowding
94 the orbits and associated complexities (Bruinsma et al., 2021). Studies are required to shed light on the fate of
95 ocean observations platforms (chiefly, drifting platforms) and setting up best-practices, to minimize their
96 contribution to marine debris. Placement of HICO (Hyperspectral Imager for Coastal Ocean) on International
97 Space Station (ISS) reminds us that the OC science may not ~~limit~~be limited to satellite remote sensing in coming
98 decades (Huemmerich et al., 2017). Validations, sampling protocols (~~SOPs~~), inter-comparison exercises and

99 training for all of these will be needed. Modelers are putting efforts to assimilate data products into numerical
100 models or, making use of surface information for better resolving subsurface characteristics. Others strive for
101 carbon, or heat-budgeting. With more than two decades of uninterrupted observations, (applying the rule of
102 thumb of minimum 30 years' time-series) we are a few years away of making use of OC data for climate
103 applications.

104 There are some proven (e.g. telemetry) and emerging (e.g. eDNA) tools that can help researchers for the
105 services related to higher trophic levels (Costa et al., 2010; Stat et al., 2017). These tools are very useful in
106 providing vital information habitat utilization, migration, environmental preferences and presence-absence
107 analysis. The research can then support ~~to~~ the operational services that cater to sustainable resource
108 management, prevention of bycatch or help reduce accidental mortality. ~~– TurtleWatch advisory by NOAA~~
109 ~~(National Oceanic and Atmospheric Administration (NOAA)'s TurtleWatch, USA),~~ is the best suited example
110 for ~~the~~ majority of the ~~IO~~ Indian Ocean countries (Howell et al., 2015). Other species for which such products
111 are very much needed in the operational mode are Cetaceans, Sharks and marine mammals.

112 The operational services that can predict the movement and presence of these animals are required for
113 conservation authorities who can then effectively design protected areas, do better marine spatial planning or
114 monitor potential hotspots for poaching or IUU (Illegal, Unreported and Unregulated) fishing. Additionally
115 these can also support the ecotourism (e.g. WhaleWatch) as an alternate livelihood for the fishermen that face
116 capture fishery as ~~a~~ less lucrative occupation. Ecotourism needs support from operational services monitoring ~~of~~
117 mangroves and corals as well. Innovative ideas that put together existing observations and forecasts (e.g. water
118 clarity, ~~from OC satellite data,~~ currents and wave-height, ~~forecasts from numerical model simulations, and~~ coral
119 health i.e., no-bleaching/stress alert), ~~with the help of satellite-based SST),~~ and generate novel products (e.g.,
120 SCUBA-buddy advisories), will support dive operators in ~~the~~ many of the IOR-countries, especially ~~to~~ the SIDS
121 (Small Island Developing States). ~~–~~ Mariculture (open ocean cage culture) is emerging as another area of
122 livelihood as an alternate to the capture fishery and is poised to be more feasible at wider areas with newer
123 designs of submerged cages (Korsøen et al., 2012).

124 Acoustics is another ~~such~~ tool that can support development of operational services for various stakeholders.
125 Acoustic telemetry is useful for the species to which satellite telemetry isn't suitable due to various reasons
126 (Crossin et al., 2017). ~~Whereas, 2017),~~ ~~whereas~~ the field of bioacoustics has been furthered into many sub-
127 branches. The estimation of zooplankton biomass with the help of acoustic backscatter isn't only limited to
128 moored buoys and these techniques have transcended to AUVs (Autonomous Underwater Vehicles), aptly
129 called ~~as~~ zooglider (Ohman et al., 2019). Such surveys are vital for zooplankton and ichthyoplankton
130 distribution monitoring, and for ecosystem models that would connect the regional PP products to the higher
131 trophic levels. Bioacoustics is also used ~~in terms of~~ monitoring the marine animals' movements by listening
132 to the underwater sounds, often through Passive Acoustic Monitoring (PAM), which would be one of the less
133 intrusive ways of study (Brwoning et al., 2017). ~~Human activities can be nuisance to the marine environment in~~
134 ~~many ways and sound is one of the ways (Williams et al., 2015).~~ ~~Future operational services will need to~~
135 ~~account for developing ways to monitor the oceanic soundscape.~~

136 2.2 Services to mitigate threats to the ecosystem

137 Many of the human activities can be nuisance to the marine environment in different ways and anthropogenic
138 sound in the ocean is one such example (Williams et al., 2015). Future operational services will need to account
139 for and develop ways to monitor the oceanic soundscape.

140 Another common anthropogenic impact ~~to~~on the marine ecosystems is transport and introduction of alien (non-
141 native) species through the ballast water. These species when lack natural predators, are often turn out to be
142 invasive species and throw off the local ecological equilibrium (Bailey S.A., 2015). Oil spill is yet another
143 source of pollution from the ships. These can be addressed up to certain extent by monitoring vessel AIS
144 (Automatic Identification System) data and applying artificial intelligence-machine learning (AI-ML) tools to
145 identify the ship behavioural patterns prior to such discharges; and then use it as predictive model (Soares et al.,
146 2019).

147 Plastic adversely impacts the marine environment in multiple ways. The known pathways include ghost fishing
148 (entanglement), ingestion, bioaccumulation and allied effects, as well as transport of alien species and
149 pollutants. Among the top-20 countries globally with inadequately managed plastic as a source to the ocean, half
150 are the ~~IO-rim~~IOR countries. All of the Bay of Bengal (~~BoB~~Bay of Bengal)-peripheral countries face the issue of land-plastic
151 management (Jambeck et al., 2015). Thus, the ~~BoB~~Bay of Bengal needs to be a prime focus to monitor the
152 oceanic and beach debris in the ~~operational~~mission mode, with the ~~help of~~riad of *in-situ* surveys, dispersion
153 models and latest remote sensing techniques (Martínez-Vicente et al., 2019). Whether it is about addressing
154 entry of the plastic from land to ocean, or sustainable exploitation of shared fish stocks; progressive and tangible
155 engagement among stakeholders through forums such as SAARC (South Asian Association for Regional
156 Cooperation), BIMSTEC (Bay of Bengal Initiative for Multi-Sectoral Technical and Economic Cooperation),
157 WIOMSA (Western Indian Ocean Marine Science Association) or RIMES (Regional Integrated Multi-Hazard
158 Early Warning System for Africa and Asia), is a necessity.

159 On the other hand, mass sink of jellyfish swarming ~~have~~has been reported in the Arabian Sea (~~AS~~Arabian Sea)-(Billet et al.,
160 2006). Jellyfish swarms are known to be disruptive to fisheries; and to the cooling water intake facilities of
161 coastal industries with causes little known, and thus ~~call~~the need for development of predictive capabilities.
162 The ~~AS~~Arabian Sea also witnesses large scale blooms of *Noctiluca scintillans* and research in recent years may
163 provide the foundation for the bloom forecasting (Xiang et al., 2019). Crashing of jellyfish swarms or algal
164 blooms have implications to the deep sea ecology, particularly in ~~the~~regions such as the ~~AS~~Arabian Sea, which
165 has prominent oxygen minimum zone (OMZ). ~~The stratified (Rixen et al., 2020). Stratified~~ waters such as those
166 of the northern ~~IO~~Indian Ocean basins have implications for large pelagic, which may face additional fishing
167 pressure with the expansion of the OMZs due to shrinkage of the habitat (Nimit et al., 2020).

168 2.3 Effective dissemination and user-engagement

169 While it is important to have robust observations and modelling capabilities in order to generate operational
170 services and products, it is equally important to have effective dissemination. Presently, the IOR countries rely
171 on information dissemination through conventional modes ~~which~~that are almost entirely land-based. ~~This results~~
172 ~~in episodes such as cyclone Oekhi wherein lack of timely alerts cost many lives (Roshan M, 2018).~~

173 Dissemination of operational services onboard has its own benefits during fair weather as well in terms of fuel
174 saving. Due ~~However~~, due to limitation of cellular signal support at sea, it is imperative that a full-fledge
175 dissemination system should be supported through satellite communication (Singh et al., 2016). This results in
176 episodes such as cyclone Ockhi wherein lack of timely alerts costs many lives (Roshan M, 2018). Such
177 dissemination systems are to be provided along with feedback mechanism-~~so~~₂ to validate the services in real or
178 near-real time. The feedbacks also open new avenues of data collection. Crowdsourcing of data gathering could
179 play an essential role in making seafarers eyes and ears of the scientists (Kelly et al., 2020).

180 **3. Recommendations**

181 All of the seven themes of the UNDOSSD have major implications to the needs of the IOR countries, and has
182 stakeholders at its heart. Hence, operational services can be one of the most important approaches for the
183 community engagement in the fulfilment of theme's goals. The existing frameworks of IIOE-2 and others (e.g.
184 RIMES) may be utilized to ensure that the IOR countries can share their know-how (e.g. digital
185 gene/metabarcoding bank) and have access to the operational services, which will fulfil 'transparent ocean' and
186 'inspiring and engaging ocean' goals. Reliable maps of oil-spill and marine debris are essential for meeting the
187 goal of 'clean ocean'. In addition to the adverse physical sea-state, algal bloom and jellyfish swarming
188 predictions are required for achieving 'safe ocean' objective. Coral-bleaching and SCUBA-buddy advisories can
189 form important pillar to 'healthy and resilient ocean' by supporting ecotourism-based alternate livelihood.
190 Telemetry, acoustics and genomics aided by satellite data and model simulations will enable us in managing
191 responsible fisheries through the virtue of 'predictive ocean'. This can in turn ensure 'sustainable and productive
192 oceans' for the coming generations.

193

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199

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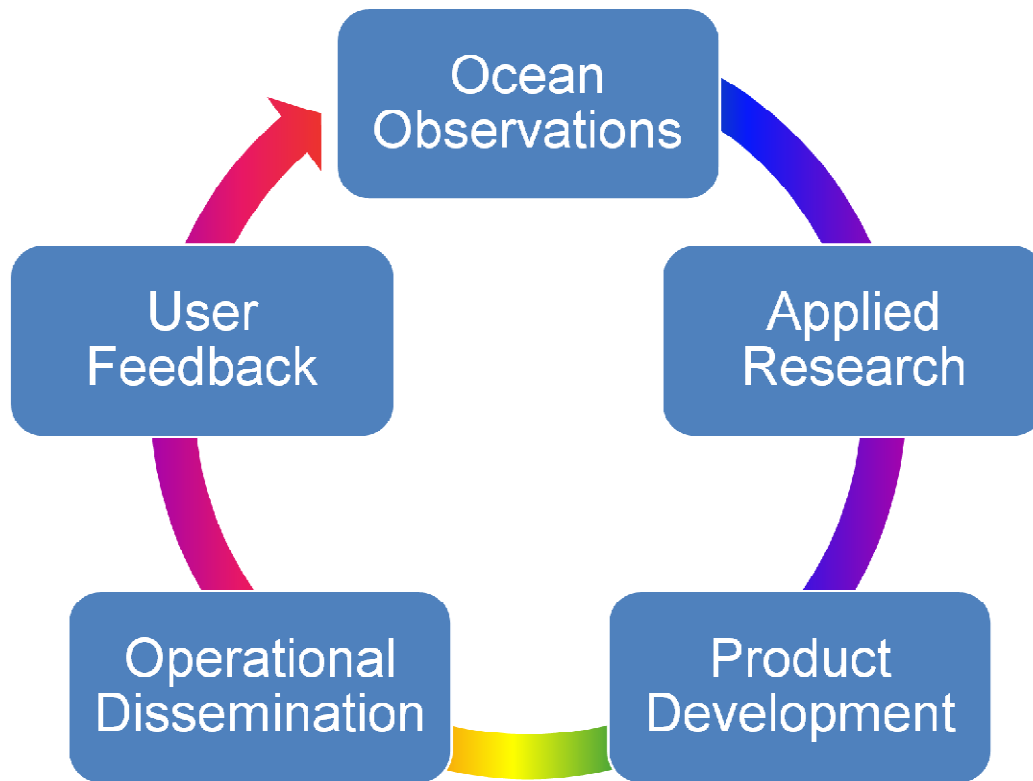
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284

285 Fig.01. Evolution and life-cycle of an operational ocean service. After identification of user requirement and
286 consultation with them, ocean observations are planned or retrieved (if already existing). The observations are
287 then used for the research focused to the development of product customized for stakeholder requirement. The
288 products are then disseminated as routine operations, and feedbacks from the users are collected for further
289 improvement of the product. Due to the continuous advancement of the technology and change in the user
290 requirements, this cycle repeats to find the best achievable solutions for the contemporary needs at the user-
291 level.