1 We have now addressed the comments and suggestions of the three reviewers. The major changes are:

2 1) we have explained in more details some of the data, 2) we have added to the paper the oxygen

3 actually experienced by cod during the years; 3) we have deleted the part on otolith analyses and

4 related our findings to those by Limburg and Casini (2019) in the Discussion, 4) we have performed a

5 statistical GAM analyses of the relation between cod condition and oxygen, and 5) we have further

6 improved the Discussion with more discussion about the differences in depth distribution between our
 7 study and Orio et al. (2019), the findings of Brander (2020) and the other potential reasons behind cod

8 condition decline, as requested by the reviewers.

9

#### 10 **Reply to reviewer #1**

11 We thank the reviewer for his thorough comments.

In literature, there have been only two studies investigating the relation between Baltic deoxygenation and cod condition, i.e. Casini et al. (2016) and Limburg & Casini (2019). In the former paper, a strong correlation was found between the extent of hypoxic areas (defined in that paper as km<sup>2</sup> with oxygen < 2 ml/l) and condition, but the mechanisms potentially explaining the statistical relationships were not investigated but just proposed, i.e. decline in benthic food, changes in cod

17 behavior/distribution, direct physiological stress, or of course a combination of these. In the second

paper (Limburg & Casini 2019) it was shown that fish in low condition at capture were exposed
 during their lives to lower oxygen levels than those in good condition (at least from the mid-1990s),

without saying anything about the distribution of the population, and therefore whether or not a large

21 part of the population indeed experienced stressful circumstances. Therefore, the original triggers

22 and the mechanisms relating hypoxia to the average Baltic cod condition in the population were

23 indeed elusive (and we think they still need attention), as we state in the abstract of the new paper

24 (referred to as CHOL, from the initial of the authors names, following the terminology of the

25 *Reviewer; we refer here to the Reviewer as KB).* 

26 The CHOL paper takes a further step, showing that the cod population went progressively deeper in 27 autumn and this, concomitant with a shallowing of the low-oxygen layers, increased the spatial 28 overlap between cod distribution and low-oxygen waters, and thus generating stressful circumstances 29 for the cod population (exposure to waters with oxygen < 4 ml/l, detrimental for cod condition as 30 found in experiments by Chabot & Dutil, 1999) (see below about the choice of the oxygen sub-lethal 31 threshold in the CHOL paper). We finally showed that this increased overlap relates statistically to 32 the decline in the mean population condition and to the proportion of fish with very low condition, 33 both for juveniles and large fish. Therefore, the CHOL paper shows the original processes (deepening 34 of the cod population concurrent with the shallowing of low-oxygen layers) creating the stressful 35 circumstances relating to a decline in condition, for both small and large cod. In our opinion, this is a 36 very important step forward in the understanding of the link between low-oxygen and cod condition, 37 and in general for understanding the causes of the declined cod condition. Additionally, it is not so 38 obvious that condition has to be directly linked to a general deoxygenation phenomenon, since mobile 39 fish can change their distribution in response to that, as done by other fish species in other areas. 40 This did not happen for the Baltic cod (conversely it went deeper, in autumn), and we think that 41 finding the answer to why this has happened is one of the next challenges for the scientists. Cod prey 42 should also suffer from deoxygenation, although some are more tolerant to low oxygen; therefore, the 43 question of why cod went deeper is not so trivial in our opinion and should be investigated as we 44 suggested for future studies.

We are therefore totally in line with KB about the fact that "the issues to resolve are firstly whether
 cod redistribute themselves to remain in areas and depths with sufficient oxygen and if not then

47 secondly whether the magnitude of ambient oxygen decline that cod experience is sufficient to explain all or only part of the observed change in their condition." This is exactly what we have done in the 48 49 paper for both small and large cod in autumn. In addition, we have also investigated the original 50 reasons creating these circumstances (i.e. both deepening of the population and shallowing of the 51 low-oxygen layers), as well as estimated the overlap with the low-oxygen layers, known to affect cod 52 condition, and estimated the relation between this overlap, the mean population condition and the 53 percentage of fish with very low condition, for both small and large cod. This does not mean that 54 direct exposure to low-oxygen is the sole driver of condition (even if oxygen decline is sufficient to 55 explain a large part of the decline in condition), because there can be other contributing drivers 56 and/or drivers that have co-varied with deoxygenation (food availability, parasites, inter- and intra-57 specific competition, etc...) that could also explain the reduced feeding level (see below). That is why 58 further work is needed here too.

In the CHOL paper, we used 4 ml/l as sub-lethal oxygen threshold impairing cod condition. As KB
correctly stated, 73% oxygen saturation (sub-lethal threshold in Chabot & Dutil (1999)) corresponds
to 4.8 ml/l at the experimental conditions, but 65% oxygen saturation is the level from which the
decline in condition was significant in Chabot & Dutil (1999) experiment, corresponding to 4.3 ml/l.

63 *We therefore used now 4.3 in the revised version of the paper, to improve our analyses.* 

64 We agree with KB that the real oxygen levels experienced by cod would be informative, so in the

65 revised paper we showed also the oxygen levels corresponding to the annual depth distribution of cod 66 in autumn, both for small and large cod. However, we think that the information about the shallowing 67 of the 1 ml/l and 4 ml/l (the latter now 4.3 ml/l in the revised paper) depths enriches the story, and

together with the deepening of the cod distribution depth, it visually delivers a very clear message.

69 Therefore, we preferred to retain it.

70 The otolith analysis was already published in Limburg and Casini (2019), but the analysis was re-

arranged as a new figure in CHOL. We thought that this was a nice conclusion of the story, but we
have now opted to delete it from the paper and to discuss instead the results in Limburg and Casini
(2019) in our paper.

74 Exploring mechanistic relationships would need experimental setups. Using time-series, the statistical

relationships have to be interpreted in light of what is known about the biology and ecology of the

fish. In our case, we used the experimental results from Chabot & Dutil (1999) to relate the

77 distribution of the cod population with the oxygen levels resulted to affect cod in experimental setups,

and in the revised paper we have briefly discussed the information coming from stomach content
 analysis (see below).

80 We agree with KB that Neuenfeldt et al. (2020) is an extremely important paper, showing that the 81 lower energy intake observed in cod (using stomach content time-series) would predict a decrease growth in length that could explain the shift in size distribution of cod population towards lower sizes. 82 83 The lower amount of benthos and pelagic fish in the diet of cod could be due to a decline in their 84 availability (as suggested in Neuenfeldt et al. 2020) but also to a decline in cod appetite due to low-85 oxygen exposure (Chabot & Dutil 1999, Brander 2020) or other low oxygen-related physiological 86 stress. Food intake can surely be the main driver of growth, but other factors can cause fish to allocate more energy to basic metabolism, reproduction etc ... in some circumstances. For example, 87 currently Baltic cod reproduce at a smaller size (around 20 cm) than before (30-35 cm) and this could 88 89 mean a lower allocation of energy to growth and therefore also explain the growth decline. We agree 90 with KB that such reasoning produces the egg-chicken problem, but it brings us outside the scope of 91 the CHOL paper.

In our analyses we investigate fish condition, not growth in length, and since the two traits are
 different (fish can grow fast in length, utilizing the stored energy reserves, but this at the detriment of

94 condition, that is a ratio between weight and length, as shown also in feeding experiments) we do not 95 want to mix them. However, in the revised paper, we have added more discussion about the decline in 96 feeding level found in Neuenfeldt et al. (2020) that could link the increased exposure to low-oxygen 97 levels to declined condition. However, there are some aspects that make this link not as straight 98 forward as it seems. Neuenfeldt et al. (2020) show that feeding level has not declined for large cod, 99 but the observed decline in condition has been more severe for large cod (Casini et al. 2016 and the 100 new CHOL paper), suggesting perhaps that feeding level is not the sole driver of large cod condition 101 and that therefore low oxygen has impacted cod condition also through different mechanisms, other 102 than food intake. For example, large cod could experience shortage of benthic prey and therefore, 103 proportionally, could be forced to eat more pelagic fish that require higher energy to catch. 104 Moreover, cod was not in low-oxygen conditions before the early 1990s (see our CHOL paper), but 105 the feeding level was already low (Neuenfeldt et al. 2020; see also ICES 2016), and so was condition 106 (Casini et al. 2016, new CHOL paper), indicating that direct exposure to hypoxia is not always the 107 driver of feeding level and condition (matching therefore with the results from otolith analyses in 108 Limburg & Casini (2019)). In the revised paper, we have however put our results in relation to 109 Neuenfeldt et al. (2020) findings about feeding levels, to link the increased overlap with low-oxygen 110 waters to feeding level and condition after the early 1990s. We have moreover related more the CHOL paper results with Brander (2020) paper recently published. 111 112 - Minor editorial comments line 23 and 62 - The expansion of hypoxic areas has been quite rapid, but 113 not exponential line 76 - make it clear that this explanation is inference and not based on evidence 114 line 178 "these" presumably refers to "large fish" - better to say so. 115 We have now edited these specific points. 116

117 <u>References</u>

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624–632.

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134

#### 135 *Reply to reviewer #2*

136 We thank the reviewer for the helpful comments.

#### 137 *Reply to the general comments:*

The paper is interesting, and the patterns are convincing. Inevitable any conclusions drawn from parallel changes in two or more metrics without a test will be speculative. Nevertheless, I think the authors do a good enough job of highlighting hypoxia as a contributor to decreasing cod condition.
However, I think the description on confounding effects and other contribution factors could be improved. For example, although hypoxia may well contribute greatly to low growth of cod in the current system the drivers of a decrease in condition are the triggers of a change in depth distribution and the cause of low oxygen.

145 We present briefly the potential other factors contributing to the cod condition patterns also in the 146 Introduction to provide some background, specifying that in literature deoxygenation has been 147 advocated as one of the major drivers of the condition decline (e.g. Casini et al. 2016). Our present manuscript is focusing on showing the processes (deepening of cod population and shallowing of low-148 149 oxygen layers) explaining the link between the general Baltic deoxygenation and condition (as shown by Casini et al. (2016)) and putting in a population context what found previously in the cod otoliths by 150 151 Limburg & Casini (2019). We appreciate the reviewer's point, and thus we added some text in the 152 Discussion section about the alternative factors that could contribute to explain the patterns in cod condition. On the other hand, the reasons for the deepening of the cod population have not been 153 154 investigated, only speculated about in other papers (Orio et al. 2019). These are beyond the scope of 155 our paper, but we have suggested that this is an important question to answer in futures studies.

156 - Furthermore, there is no description of any statistical analysis. Mostly the patterns are "analyzed" by 157 eye and described in the results chapter (related note referring to figures as you describe results). This 158 approach may occasionally be valid - and the patterns described are convincing enough - but at least 159 some sort of quantification of the size of effects across time should use when describing them (reduced 160 from x to x). A statistic test is used for the otolith data, but this is not included in the methods. The 161 results from the otolith analysis is interesting yet this part of the paper is referred to as an afterthought 162 throughout the paper. I think this analysis warrants increased value, both by adding to the introduction 163 enough background material to allow readers to evaluate the validity of the methods on know of any prior findings and in the a fuller description of methods including how the otoliths were selected. 164

165 In the revised manuscript we have been more quantitative, spelling out the most important changes 166 across time. We now also estimated the actual oxygen that the population has been experiencing over 167 time (not only the overlap with low-oxygen levels below a certain threshold) and we perform statistical 168 analysis relating this with fish condition. The otolith analysis has been now deleted from the paper, as 169 suggested by the other reviewers, and we now discuss our results in view of what found by Limburg and 170 Casini 2019.

- 171 *Reply to the specific comments:*
- 172 26+28: Is "processes" the right word?
- 173 We have changed the wording now.
- 174 100: What is the sample size?
- 175 We have added it.

-101: is this data stable once entered, or is it subject to change? In the last case, a date of retrieval would
 be handy to include.

178 We have now added the date of data extraction for the years after 1990, which can undergo slight

updates in the ICES DATRAS database. The years before 1990s are from historical databases and
therefore not subject to changes.

- 181 105: there are different ways to measure 'total length', maybe explain in more detail how it was done182 in this study.
- 183 Done.
- 184 107: why is SD26-28 chosen and not for example not 29?
- 185 We have now explained the reason.

- 108/109: why is the subdivision of big and small cod made and why those specific lengths? What
 happens with fish between 29 and 40 cm?

The two length groups for condition were selected to represent small and large fish, as stated in the paper. The small fish can also be seen as juveniles even though the size at maturity has declined with time for this population. The large fish on the other hand can all be considered adults. Currently, there are very few cod above 50 cm and therefore we could not use larger size-classes. We have now been

192 more specific in this part.

193 - 109: Quarter 4 also includes part of the winter. Why not mentioning the exact months instead of season194 or quarter 4?

195 Done

196 - 117: why are those class divisions different from row 108?

197 The population distributions, divided in < 30 cm and  $\geq 30$  cm, come from Orio et al. (2019). In the 198 condition estimations, we did not want to use too large ranges of fish sizes in one group because Fulton 199 condition factor (used in the paper we refer to and compare to ours) can be affected by fish size. Moreover, cod start to become piscivorous around 30 cm and therefore fish below 30 cm (but larger 200 201 than in the plankton- and nektobenthos-feeder phase, around 15 cm) can be considered occupying 202 similar ecological niche. Therefore, the 20-29 and 40-49 size groups were chosen for condition 203 estimation just to represent the small and large sizes with different ecological niches and therefore 204 likely different behavior and food requirements. We have now added some clarification into this part.

- 135: it is later explained, but I would rather put here the <0.8 (Eero et al 2012), explaining the 'very</li>
   low' condition
- 207 Done

- 160-191: I see many statements as 'more' and 'lower' and 'deeper', but it is very descriptive, and I
 miss actual numbers in some places and statistical tests to prove these statements. Also, how many data
 points were retrieved, how big was the sample size?

We do not think we need statistical tests to explain the long-term patterns, what is important is the overlap between the cod population and low-oxygen layers. However, we tried to add some more quantitative information in the text. We now also estimated the actual oxygen that the population has been experiencing over time (not only the overlap with low-oxygen levels below a certain threshold) and we perform statistical analysis relating this with fish condition. We have also added the samples sizes in the Methods.

217 - 171: which depth?

218 Done, we have improved this description.

- 186: The oxygen layers are almost the same, but not totally. I understand this is because they are
 weighed with the SD-specific distribution of the cod, but I think it makes things clearer if you write

- somewhere that this means that it differs between the big and the small cod (it took me a while to understand).
- 223 Done.
- 267: I miss a note about that it is not 100% sure that the cod are actually in those low oxygen waters,
- because that was not directly measured. However, the additional otolith results make it very plausible that this is the case.
- We agree, fish can move and therefore we cannot be sure that those with very low condition spent most of their time in low-oxygen waters (even if they were caught there) from the time-series, but as the Reviewer #2 also says, this is very plausible also considering the results of the otoliths' analyses in Limburg and Casini (2019).
- 273: Was there a way to directly link otolith chemistry with body condition? (e.g. from the same individual?) Why do you think the overlap between cod and oxygen layers is oscillating? (why is the
- 233 oxygen stratification oscillating?)
- Yes, it is possible analyzing the Mn/Mg elements ratio in the otoliths of individual fish, see Limburg &
   Casini (2018, 2019).
- 475/476/481/486: you use here the whole word 'subdivision', while in the previous description (472)
   you al-ready used SD
- 238 We have edited this to be more consistent.
- 490 post-2000? This is differently described throughout the text.
- We have now deleted the part about otolith analyses, referring instead to Limburg and Casini 2019, as
  suggested by the other reviewers.
- Figure 3: Is there a possible explanation for the high condition in 1996 in SD25
- In general, the mid 1990s are characterized by good oxygen conditions (low extent of hypoxic areas) and a large increase in the sprat stock, probably boosting condition. We feel that going into these details bring us out of the paper's scope and we prefer not to focus on single annual values but on the general patterns.
- Figure 6: 2000 onward is called 'post 2000' in the text. Why are there squares in the boxes
- We have now deleted the part about otolith analyses, referring instead to Limburg and Casini 2019, as
  suggested by the other reviewers.
- 251 <u>References</u>

- 252 Casini, M., Käll, F., Hansson, M., Plikshs, M., Baranova, T., Karlsson, O., Lundström, K., Neuenfeldt,
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262 263

#### 264 **Reply to reviewer #3**

265 We thank the reviewer for the helpful comments.

266 *Reply to the general comments:* 

267 - Tendency to oversell the results: this is recurring through the title, abstract and discussion. For 268 example, the study title is not in line with the results. The title implies that the study results alone explain 269 the low condition of Baltic cod, when in reality, the study sheds additional light on one potential 270 mechanism, direct exposure to low oxygen waters, which does not rule out alternative mechanisms 271 (both linked to expanding oxygen minimum zones and to other factors) that have been proposed before. 272 Abstract L27-29: point out more clearly that the study is assessing the role of direct exposure to low 273 oxygen waters, not "the processes". Discussion L223: should be "one mechanism" not "the 274 mechanisms". Conclusion L293: should be "shown here one mechanism", not "the mechanisms".

In the abstract and discussion, when we speak about processes and mechanisms we refer to the shallowing of low-oxygen layers and deepening of the cod population that create the overlap and therefore the circumstances for a direct exposure effect. However, we have now gone through the manuscript and edited some sentences not to oversell our results.

279 - Delineation of results from previous work: Exposure to low oxygen water was already previously 280 linked to the Baltic cod condition decline by Limburg and Casini (2019) using otolith microchemistry. 281 This study is cited and referred to by Casini et al., but still, the apparent narrative here is that the 282 exposure to low oxygen waters is shown via the identification of increasing overlap of the depths of low 283 oxygen waters and the cod depth distribution, and that this is then confirmed with otolith 284 microchemistry in this manuscript (e.g., abstract LL 29-34, Introduction LL 89-93, Discussion LL 224-285 228). This really has it backwards. I suggest to instead clearly lay out key results and conclusions from 286 Limburg and Casini 2019 in the Introduction, and then use this as rationale for the (relevant and 287 interesting) independent confirmation and new insights into the specific patterns of exposure to low 288 oxygen waters in this manuscript.

We have now followed the suggestion from the Reviewer, specifying in the Introduction that in Limburg & Casini (2019) it was shown that fish in low condition at capture were exposed during their lives to lower oxygen levels than those in good condition (at least from the mid-1990s), without saying anything about the distribution of the population, and therefore whether or not a large part of the population indeed experienced stressful circumstances, that could explain the low population condition found in Casini et al. (2016).

295 - Use and presentation of otolith microchemistry dataset from Limburg and Casini 2019 in this study 296 (connected to previous comment): I would strongly recommend the exclusion of these data from the 297 present manuscript. To me, the analysis and results mirror the previous publication by Limburg and 298 Casini too closely to warrant inclusion here. The authors acknowledge the previous study, but without 299 going into details. However, the dataset, analyses, discussion points (Section 4.2) and conclusions are largely the same. Also, the results from otolith microchemistry analyses are not formally correlated to 300 the depth distribution analyses, and appear rather like an "afterthought" in this manuscript. The 301 302 inclusion in the manuscript thus unnecessarily duplicates previous work. If conclusions from the previous work are instead clearly presented in the Introduction, this will provide the rationale for the 303 304 real strength and novelty of the present study, the depth distribution analyses. New insights from this

independent approach compared to the insights from the original otolith microchemistry approach could then also be discussed more explicitly in the Discussion. Interestingly, all conclusions in the conclusion section of the manuscript (LL293-306) relate to this aspect of the study anyway.

308 We have now followed the suggestion from the Reviewer, specifying in the Introduction that in Limburg

309 & Casini (2019) it was shown that fish in low condition at capture were exposed during their lives to

310 lower oxygen levels than those in good condition (at least from the mid-1990s), without saying anything 311 about the distribution of the population, and therefore whether or not a large part of the population

311 about the distribution of the population, and therefore whether or not a large part of the population 312 indeed experienced stressful circumstances, that could explain the low population condition found in

313 Casini et al. (2016).

314 - Statistical analyses: Right now, the manuscript is lacking in formal statistical assessments. This 315 includes statistical approaches to assess the significance and nature of temporal trends in the depths of 316 low oxygen waters, cod depth distributions and overlap, as well as the formal assessment of the link of 317 overlap and cod condition over time. The Material and Methods should then also include a dedicated section outlining statistical approaches. In this context, looking at Figure 4 of the manuscript, many of 318 the observed temporal changes do not look linear. E.g., for SD26-28, cod mean depth was essentially 319 320 stable after 1990, and for SD25, neither cod depth distribution nor depth of low oxygen water appears to change significantly between 2008 and 2018. Formal statistical analysis would therefore have the 321 322 potential to lead to additional insights beyond the points included in the manuscript.

We agree that the trends of the depth patterns are not linear, that is also why standard statistical tests of the temporal patterns would not provide much additional information in our opinion. We now estimated the actual oxygen that the population has been experiencing over time (not only the overlap with low-oxygen levels below a certain threshold) and we perform statistical analysis relating these 2 metrics (overlap and actual oxygen concentration) with fish condition.

328 Reply to the specific comments:

Throughout the entire manuscript, I was waiting for an explanation for the discrepancy of the cod
 depth distribution trends over time between the very similar data sets and analyses in Orio et al. 2019
 (showing cod distributions at least for SD26-28 becoming shallower since the 1990s) and this
 manuscript. This was then given in the second to last sentence of the conclusions:) I suggest to explicitly
 explain the difference between the datasets (fall versus other seasons) already in the Material and
 Methods, and then discuss this interesting difference between seasons in the main part of the Discussion,
 not just in the Conclusion.

We present now a larger discussion of these seasonal differences in the Discussion. We do not think
explaining this in the Material and Methods is necessary, since we are very clear that our paper is
focusing on Quarter 4.

339 - L53: Would cite Chabot and Dutil 1999 here already.

340 Done

- L60: Suggest addition of Reusch et al 2018 as probably best reference for combined strong temporal
 changes in temperature, eutrophication, oxygen in the Baltic Sea.

343 Done

- L60-61: to my knowledge, the degradation of benthic communities is NOT well documented in the

8

Baltic Sea, and lack of time series on benthic communities has been one of the issues hamperingunderstanding of consequences of expanding oxygen minimum ones. Rephrase.

347 Done

- L71: see major comments regarding previous results from Limburg and Casini 2019. Suggest to
 present in much more depth here and explain that link between low condition and exposure to low
 oxygen water was established in that study.

351 Done. We have now specified in the Introduction that in Limburg & Casini (2019) it was shown that

fish in low condition at capture were exposed during their lives to lower oxygen levels than those in good condition (at least from the mid-1990s), without saying anything about the distribution of the

population, and therefore whether or not a large part of the population indeed experienced stressful circumstances, that could explain the low population condition found in Casini et al. (2016).

356 - L73: suggest to mention the actual mechanism connected to this, density dependence.

- Done, but we also meant change in the habitat occupation, not only contraction, we have now rephrased
   the sentence.
- 359 L73-75: add mechanism proposed by Brandner 2020, mild hypoxia reducing rate of digestion.
- 360 We consider this mechanism already included in the sentence (stress due to hypoxia exposure). This 361 part of the Introduction has been however changed extensively now.
- L92-96: The otolith works comes in like an afterthought here, since it is not set up in any way in the
   Introduction section (linked to major comment regarding otolith work)
- We have now removed the part about the otolith analysis from the paper, and instead discussed our results in view of Limburg & Casini (2019) in the Discussion.
- Section 2.1: more clearly point out that this (or very similar) cod condition time series were previously
   published and are here updated to 2018?
- 368 Done.
- LL107-109: please explain rationale of using size class 20-29 and 40-49 cm for condition calculations.
- 370 Done.
- Section2.2: suggest to point out more clearly the key difference between studies, focus on fall here
   versus all seasons in Orio et al 2019 (see my previous comment above).
- We already stated here that we used the model depth estimates in Quarter 4, consistent with the oxygen used in the study. We prefer to speak about the differences with Orio et al. 2019 in the Discussion.
- LL125-135: I am not a physiologist, but I guess in principle use of oxygen as continuous variable
   (instead of somewhat arbitrary boundaries) would make sense. I can see that use of specific limits
   facilitates analysis, but would mention this possible limitation.
- The sub-lethal boundary we used (4 ml/l, now more precisely set at 4.3 ml/l following Reviewer #1 comments) is from the experiment by Chabot and Dutil (1999), it is not arbitrary although based on cod from another region. About the boundary 1 ml/l (avoidance), it is a well known boundary for Baltic Sea cod (Schaber et al. 2012). However, we have now also shown, and made analyses with, the actual
- 382 oxygen experienced by the population.
- L155: Explain the rationale of using a Fulton's k of 0.9. Also give other thresholds(e.g., "very low"
  used later in L163) here already.

9

385 This part has now been deleted and we refer now to the results of Limburg and Casini (2019).

- Section 3.2: in the Discussion section (not here), suggest to discuss the patterns observed for fall here
 compared to the patterns in Orio et al 2019 reporting cod depth distribution contraction to shallower
 water for SD26-28 when looking at the entire year.

389 Done.

- Section 3.2, 3.3, 3.4: would all benefit a lot from formal statistics.

We agree that the trends of the depth patterns are not linear, that is also why standard statistical tests of the temporal patterns would not provide much additional information in our opinion. We now estimated the actual oxygen that the population has been experiencing over time (not only the overlap with low-oxygen levels below a certain threshold) and we performed statistical analysis relating these metrics (overlap and actual oxygen experienced) with fish condition.

L243: I think the discussion of mechanisms that can explain what drives cod into layers with low
 oxygen levels is quite central, since it relates to the key novel finding of this manuscript. Suggest to
 therefore not state that "beyond scope" of manuscript, but rather state that you can only speculate and
 will discuss possible causes as systematically as possible.

400 Our paper is focusing on showing the processes explaining the link between the general Baltic 401 deoxygenation and condition (as shown by Casini et al. (2016)) and putting in a population context 402 what found previously in the cod otoliths by Limburg & Casini (2019). Therefore to link the population 403 overlap with low-oxygen waters with fish condition. We really think that explaining the reasons why 404 cod move deeper in autumn deserve a full analysis and this is beyond our scope. We have however 405 provided a potential explanation to the deepening of the distribution in the paper and we say that 406 focused analyses should be done to provide an answer to this interesting question.

LL244-245: The role of temperature was also the first thing that came to my mind, but I then wondered
 about actual temperature profiles in fall, and whether they would support these considerations. It would
 be useful to include information on prevailing temperature depth profiles in fall as background for the
 discussion.

411 Since we do not deal with the reasons of the increased depth of the cod population, we prefer not to 412 present temperature (or other information), that would be incomplete to make such analyses on draw 413 conclusions. We think that a focused analysis should be done to answer this question.

414 - L283: Should read "although we have confirmed here that ..." and refer to Limburg and Casini 2019.

415 Done.

416 - LL283-291: Discussion of other factors could be more extensive. Cite Brander et al 2020 here as well.

417 Done.

- L297: Agree, very interesting future direction, and a question that really results for the first time from
the analyses in this manuscript (not possible from Limburg and Casini 2019) – this would be worth
pointing out.

421 We have now deleted the otolith part. We think that the novelty of this new paper (the finding that the 422 population has been progressively more experiencing low-oxygen waters, and that this was due to both 423 a shallowing of low-oxygen layers and deepening of the population) is now clear.

Figures: I suggest to add a figure to illustrate key findings regarding the correlation of cod condition
 and the overlap of cod depth distribution and low oxygen waters.

We have now analysed the relation between the actual oxygen experienced and condition that willchange somewhat the disposition of the figures.

- Related to the general comment regarding the presentation of otolith microchemistry data in this
   manuscript, Figure 6 of this manuscript appear to be an alternative view of Figure 2 c in Limburg and
   Casini 2019, i.e., not adding new information here that could not be provided from that manuscript.
- We have now deleted the otolith analysis and referred to, and discussed the results of, Limburg and
   Casini (2019) instead.
- 433 Technical corrections
- 434 LL23-24, L62: wording should be more precise "exponential increase" not really correct, suggest
   435 "strong increase"; "largest marine dead zone", unnecessarily dramatic.
- We agree about the first suggestion, but not about the second since the low-oxygen zones are called
   indeed "dead zones" in literature.
- 438 LL26: "elusive" does not really reflect that specific alternative mechanisms have been proposed.
- Here we meant, as stated, that the processes behind the statistical relation between general hypoxia
   and cod population condition found previously remained elusive.
- 441 LL29-32: rephrase, confusing wording.
- 442 Done.
- L59-60: Wording in Breitburg et al 2018 is more scientific ("low O2 areas have become more
   extensive and severe") suggest to follow this approach.
- Dead-zones is a term used commonly in literature, named also in Breitburg et al. (2018), we prefer to
   keep this terminology here.
- 447 L81: start new paragraph, focusing on effects and not mechanisms from here on.
- 448 Done.
- 449 L82-82: rephrase "lamented"
- 450 Done.
- 451 L194: "in a couple..." word missing?
- 452 Correct, done.
- 453 L254: "hostile waters" suggest to rephrase
- 454 We would like to keep this wording, giving a clear idea of the concept.
- 455
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492	Michele Casini <sup>1,2</sup> , Martin Hansson <sup>3</sup> , Alessandro Orio <sup>1</sup> , Karin Limburg <sup>1,4</sup>	
493 494	<sup>1</sup> Swedish University of Agricultural Sciences, Department of Aquatic Resources, Institute of Marine Research, Lysekil, Sweden	
495 496	<sup>2</sup> University of Bologna, Department of Biological, Geological and Environmental Sciences, Bologna,	
497	<sup>3</sup> Swedish Meteorological and Hydrological Institute, Gothenburg, Sweden	
498	<sup>4</sup> State University of New York College of Environmental Science and Forestry, Department of	
499	Environmental and Forest Biology, Syracuse, New York, USA	
500		
501	Corresponding author: Michele Casini; e-mail: michele.casini@slu.se	Field Code Changed
501 502	Corresponding author: Michele Casini; e-mail: <u>michele.casini@slu.se</u>	Field Code Changed
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<ul> <li>501</li> <li>502</li> <li>503</li> <li>504</li> <li>505</li> </ul>	Corresponding author: Michele Casini; e-mail: <u>michele.casini@slu.se</u>	Field Code Changed
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#### 512 Abstract

513 During the past twenty years, hypoxic areas have expanded exponentially-rapidly in the Baltic Sea, 514 which has become one of the largest marine "dead zones" in the world. At the same time, the most 515 important commercial fish population of the region, the Eastern Baltic cod, has experienced a drastic 516 reduction in mean body condition, but the processes behind the relation relating between hypoxia 517 deoxygenation to and condition remain elusive. Here we use extensive long-term monitoring data on 518 cod biology and distribution as well as on hydrological variations, to investigate the processes that relate 519 deoxygenation and cod condition during the autumn season. Our results show that the depth distribution 520 of cod has increased during the past four decades at the same time of the expansion, and shallowing, of 521 waters with oxygen concentrations detrimental of the waters with an oxygen concentration known to be 522 detrimental forto cod performance. This has resulted in a progressively increasing spatial overlap 523 between the cod population and low-oxygenated waters after the mid-1990s, which relates with the 524 observed decline in cod mean body condition. This spatial overlap and the actual oxygen 525 levels concentration experienced by cod therein statistically explained the changes in cod condition over 526 the years. These results complement previous Complementary analyses on fish otolith microchemistry 527 that also revealed that since the mid-1990s, cod individuals with low condition were indeed exposed to 528 low-oxygen waters during their life. This study helps to shed light on the processes that have led to a 529 decline of the Eastern Baltic cod body condition, which can aid the management of this population 530 currently in distress. Further studies should focus on understanding why the cod population has moved 531 to deeper waters in autumn and on analysing the overlap with low-oxygen waters in other seasons to 532 quantify the potential effects of the variations in physical properties on cod biology throughout the year.

533

534 Keywords: hypoxia, fish body condition, direct exposure, depth distribution, cod Gadus morhua

535

536 1. Introduction

The oceans and marine coastal areas are experiencing dramatic deoxygenation worldwide (Breitburg et al., 2018). Declining oxygen can have multiple direct and indirect effects on aquatic organisms and entire ecosystems (Breitburg, 2002; Rabalais et al. 2002; Wu, 2002; Diaz and Rosenberg, 2008; Levin et al., 2009). In particular, studies undertaken both in the wild and within experimental set-ups have revealed large effects of hypoxia on basic metabolism, behavior, ecology, distribution and life-history traits of fish (Chabot and Dutil, 1999; Pichavant et al., 2001; Eby et al., 2005; Herbert and Steffensen, 2005; Domenici et al., 2007; Stramma et al., 2012).

544 The Baltic Sea (Fig. 1) is one of the largest brackish areas in the world where the oxygenated, yet scarce 545 and irregular saline water inflows from the adjacent North Sea, combined with a water residence time 546 of about 25-30 years, make the system particularly prone to hypoxia (Carstensen et al., 2014; Reusch 547 et al., 2018). As a consequence, and in combination with global warming and eutrophication, the Baltic 548 Sea has become one of the largest anthropogenic "dead zones" in the world (Breitburg et al., 2018), 549 with well documented degradation or elimination of benthic communities and disruption of benthic 550 food webs over vast areas (Conley et al., 2009). In particular, since the early 1990s the anoxic and 551 hypoxic areas have increased exponentially rapidly in the southern and central Baltic Sea (Carstensen 552 et al., 2014) (Fig. 2).

553 In this degraded demersal and benthic environment, the body condition (a morphometric index of fish 554 fatness and well-being) of the dominant demersal fish population, the Eastern Baltic cod Gadus morhua 555 (hereafter simply referred to as Baltic cod), has declined since the mid-1990s (Casini et al., 2016a). This 556 decline eod stock-was -also stressed by the fishery that suffered from an increasingly high proportion 557 of catches of lean cod with low economic value. Low condition has a negative effect on reproductive 558 potential (Mion et al., 2018), mortality (Casini et al., 2016b) and potentially also movements (Mehner 559 and Kasprzak, 2011) with indirect effects on prey and therefore food-web structure and ecosystem 560 functioning as shown in other systems (e.g. Ekau et al., 2010). Therefore, it is very important to 561 understand the ultimate factors leading to low cod condition.

563 This In literature, tThe decline in the Baltic cod condition has been related to a decrease in the main 564 pelagic prey abundance in the main distribution area of cod (Eero et al., 2012; Casini et al., 2016a) and 565 increased parasite infestation (Horbowy et al., 2016), but also to the increased extent of hypoxic and 566 anoxic areas (Casini et al., 2016a). However, the underlying mechanisms of the relationship between 567 cod condition and hypoxia are still elusive (but see Limburg and Casini, 2019). The mechanistic 568 processes linking hypoxia and cod conditions could be various and not mutually exclusive, including 569 stress due to direct hypoxia exposure, suitable habitat contraction and consequent contraction in the 570 spatial distribution of the populationchange in cod spatial distribution, and change in the surrounding 571 biota such as reduction of important benthic prey (Casini et al., 2016a). Limburg and Casini (2019), 572 using otolith microchemistry, showed that fish in low condition at capture were exposed during their 573 lives to lower oxygen levels than those in good condition (at least from the mid-1990s), suggesting that 574 direct exposure to low-oxygen waters could constitute a key factor. However, Limburg and Casini 575 (2019) did not analyse the spatial distribution of the cod population in relation to low-oxygen layers, 576 and therefore whether or not a large part of the population indeed experienced stressful circumstances, 577 which could explain the decline in mean population condition found by Casini et al. (2016a). A recent 578 study pointed out the importance of the decline in the feeding level and energy intake of cod after the 579 mid 1990s, which was explained by the a potential decline in important benthic prey in the environment 580 (Neuenfeldt et al., 2019) or decreased cod appetite due to exposure to low oxygen waters (Brander, 581 2020). 582 Lately some investigations have also put forward the hypothesis that the observed changes in the 583 distribution of demersal fish species, including cod, were due to the variations in the extent of the

hypoxic areas in the Baltic Sea (Orio et al., 2019), although in depth analyses were not performed to eonfirm this hypothesis. The low cod condition in recent decades has been stressed also by the fishery that has lamented <u>suffered</u> an increasingly high proportion of catches of lean cod with low economic value. Low condition has a negative effect on reproductive potential (Mion et al., 2018), mortality (Casini et al., 2016b) and potentially also movements (Mehner and Kasprzak, 2011) with indirect effects on prey and therefore food web structure and ecosystem functioning as shown in other systems (e.g.

590	Ekau et al., 2010). Therefore, it is very important to understand the ultimate factors leading to low cod
591	condition and in particular the processes explaining the correlation between cod condition and
592	deoxygenation of the Baltic Sea water over time.
593	In this study, we fill this gap and we, we further examine the mechanisms linking deoxygenation to cod
594	condition in the Baltic Sea. We-specifically analyse the temporal changes in the depth distribution of
595	cod, from long-term monitoring data, in relation to the actual oxygen levels experienced by the cod
596	population and the oxygen levels acknowledged in literature to affect cod behavior and performance
597	and the actual abiotic conditionsoxygen levels experienced by cod the population. We support these
598	analyses investigating the relation between fish exposure to hypoxia and cod condition using otolith
599	microchemistry. Fish otoliths (car stones) composed of aragonite accrete continually throughout life
600	and incorporate trace elements, providing a direct, retrospective measure of an individual fish's
601	environmental and physiological history.
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603	2. Materials and methods
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<ul> <li>603</li> <li>604</li> <li>605</li> <li>606</li> <li>607</li> <li>608</li> <li>609</li> <li>610</li> <li>611</li> <li>612</li> <li>613</li> <li>614</li> </ul>	<ul> <li>2. Materials and methods</li> <li>2.1 Biological data and estimation of cod condition</li> <li>Biological data on Eastern Baltic cod individuals (n = 124 165) were collected during the Baltic International Trawl Survey, BITS, between 1991 and 2018 (retrieved from the DATRAS database of the International Council for the Exploration of the Sea, ICES; www.ices.dk; downloaded 28 January 2020) and previous Swedish and Latvian bottom trawl surveys performed in 1979-1990 in the Baltic Sea (Casini et al., 2016a). Cod individual body condition (Fulton's K) was estimated as K = W/L<sup>3</sup> * 100, where W is the total weight (g) and L the total length (cm, typically measured from the tip of the snout to the tip of the longer lobe of the caudal fin) of the fish. Mean condition was estimated for ICES Subdivision (SD) 25 (corresponding to the main distribution area for Eastern Baltic cod since the early 1990s, Orio et al., 2017) and SDs 26-28 separately, updating the time-series in Casini et al. (2016a).</li> <li>More northern SDs were not included due to their low and inconsistent survey coverage through the</li> </ul>

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616 fish (represented here by the size-class 40-49 cm) were used in the analyses, as cod change diet during 617 its ontogeny and these two size-classes differ in feeding habits (Neuenfeldt et al., 2020). We could not 618 use smaller and larger size-classes because of their scarcity in the BITS survey catches. The small size-619 class could also be considered as representing juvenile fish (Eero et al., 2015; ICES, 2017a) although, 620 from around 2005, the mean length at first maturity has decreased below 30 cm (Köster et al., 2017). 621 Years with < 25 observations for the respective length-classes and areas were excluded from the 622 analyses. We focused on the cod condition in autumn (quarter 4 BITS survey, from autumn (mid-i.e. 623 quarter 4October to mid-December), corresponding to the cod main growth season after spawning in 624 spring-summer (Mion et al., 2020). Moreover, for the autumn season, long time-series of oxygen levels 625 and extent of hypoxic areas are also available (Casini et al., 2016a).

#### 626 2.2 Estimation of cod depth distribution

627 Indices of cod biomass (calculated as catch-per-unit-effort, CPUE, kg/h, herein referred to as biomass) 628 and depth distribution (i.e. mean depth and interquartile range of predicted depth distribution) from the 629 BITS and historical bottom trawl surveys in SDs 25-28 from 1979 to 2018 were estimated for large (≥ 630 30 cm) and small cod ( $\frac{15}{20}$  cm) using a modelling procedure similar to the one used in Orio et al. 631 (2019). However, in the current study rather than including environmental variables in the models, 632 quarter was included in interactions with latitude and longitude, and with depth. To estimate the changes 633 in cod depth distribution in SDs 26-28 that account for the changes in the spatial distribution of the cod 634 population, the SD-specific depth distributions were weighted by the annual SD-specific cod CPUEs 635 from the bottom trawl surveys in quarter 4, estimated from the same model, for large and small cod.

## 636 2.3 Depth of hypoxic layers

- Baltic cod has been shown to avoid oxygen concentrations below 1 ml/l (approximately 1.4 mg/l)
  (Schaber et al., 2012). Therefore, time-series of the depth at which 1 ml/l oxygen concentration was
  encountered by SD were obtained from the Swedish Meteorological and Hydrological Institute (SMHI,
- 640 <u>www.smhi.se</u>).

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641 Time-series of depth at which 4.3 ml/l oxygen concentration (approximately 6 mg/l) was encountered 642 by SD were also obtained from SMHI. This oxygen concentration, on average, has been found to affect 643 the performance of fish (Vaquer-Sunyer and Duarte, 2008). Specifically for cod, 4.3 ml/l has been found 644 as threshold under-from which an effect on condition and growth starts to be observable (Chabot and 645 Dutil, 1999). Therefore, we expected that the occurrence of cod in areas and depths with an oxygen 646 concentration  $\leq 4.3$  ml/l would lead to an increase in the proportion of cod individuals with very low 647 condition (K < 0.8; Eero et al., 2012) and a decrease in mean condition in the population. To relate the 648 depths at which 1 ml/l and 4.3 ml/l oxygen concentrations were encountered to cod depth of occurrence 649 and condition-in SDs 26-28, the oxygen depths by-in each SD were weighted with the annual SD-650 specific cod CPUEs from the bottom trawl surveys estimated from the same models-in quarter 4, for 651 large and small cod. In this way, the oxygen circumstances in the SDs where cod was more abundant 652 were weighted the most.

#### 653 <u>2.4 Depth overlap between cod and hypoxic layers, and oxygen experienced by cod</u>

We estimated the overlap (% meters) between the cod range of depth distribution and the water layer with oxygen concentration ≤ 4.3 ml/l, as estimated above, in both SD 25 and SDs 26-28. We also reconstructed the time-series of the oxygen concentrations at the mean depth and interquartile range of the predicted cod depth of distribution in each SD (data from SMHI). Also in this case, for SDs 26-28 the oxygen concentrations in each SD were weighted with the annual SD-specific cod CPUEs from the bottom trawl surveys in quarter 4, for large and small cod.

## 660 <u>2.5 Modelling of cod condition versus oxygen</u>

To formally analyse the effect of the depth overlap and oxygen concentrations experienced by cod on

662 cod condition, we used generalized additive models (GAMs; Hastie and Tibshirani, 1990). The

663 <u>following additive formulation was used:</u>

#### 664 <u>Condition ~ s (Depth overlap) + s (Oxygen experienced) + $\varepsilon$ </u>

- 665 where *Depth overlap* is the overlap between the cod depth range of distribution and the water layer with
- 666 oxygen an concentration  $\leq 4.3$  and *Oxygen experienced* is the actual oxygen level corresponding to the

667	cod depth distribution (we used for this the oxygen corresponding to the deeper interquartile of the cod
668	depth range of distribution). s is the thin plate smoothing spline function and $\varepsilon$ is random error. We
669	limited the maximum degrees of freedom acceptable for each term to $k=4$ , which retains model
670	flexibility and allows at the same time an ecological interpretability of the results. A Gamma distribution
671	with an identity function was used. Residuals were inspected for deviation from the assumption of
672	normality and no autocorrelation using graphical methods (Cleveland, 1993). The statistical analyses
673	were performed using the mgcv library of R v. 4.0.2 (www.r-project.org). The significance level was
674	set to $\alpha = 0.05$ for all tests.

# 576 2.4 Otolith microchemistry

677	Otoliths (N = 154) were selected from Baltic cod collected in the study area in the 1980s 2010s from
678	BITS and historical bottom trawl surveys in February (Limburg and Casini, 2019). These were cleaned,
679	transversely sectioned, and analysed by laser ablation inductively coupled plasma spectrometry. A spot
680	of 100 micron diameter was driven at 5 $\mu$ m/sec, 10 Hz, to create a transect from the otolith core to the
681	outer dorsal edge, collecting a suite of elements (see Limburg and Casini, 2018 for details). For the
682	analysis described here, we took the ratio of manganese to magnesium along this continuous transect.
683	Manganese, although redox-sensitive and thus available as dissolved Mn2+-and Mn2+-at low oxygen
684	concentrations, is also affected by the fish's growth rate (Limburg et al., 2015; suggested by Thomas et
685	al., 2019). Dividing manganese by the corresponding, growth sensitive magnesium (from the same
686	replicate) to some extent corrects for the growth effect (Limburg and Casini 2018, 2019). Our metric
687	for hypoxia exposure is the fraction of an annual growth band wherein this Mn/Mg ratio exceeds an
688	age based threshold (Limburg and Casini 2018, 2019). We tested this metric as a function of cod
689	condition categorized into "high" (condition $\geq$ 0.9) and "low" (condition < 0.9) groups, and tested
690	whether this had changed over time (before the year 2000, and from 2000 onward).

**3. Results** 

#### 693 3.1 Cod condition

694 Cod condition increased slightly between the mid-1970s and mid-1990s, but declined abruptly 695 thereafter. This pattern was similar in SD 25 and SDs 26-28 for both small and large cod (Fig. 3), but 696 after the mid-1990s condition dropped more for large cod (~30% for large cod and 20-25% for small 697 cod). The percentage of large fish with very low condition (< 0.8, see Eero et al., 2012) increased from 698 the end of the 1990s in both SD 25 and SDs 26-28 reaching in recent years 30-40%. The percentage of 699 small fish with low condition also increased, but lagged temporally behind the large cod, and at 10-20% 700 of observations was lower than the high incidences of large cod in poor condition (Fig. 3). In general, 701 in SD 25 condition declined slightly more (and the percentage of fish with very low condition increased 702 more) than in SDs 26-28 after the mid-1990s.

## 703 3.2 Cod depth distribution

704 Large cod in SD 25 were distributed between 30-35 and 50 m depth (average of 40-43 m depth) at the 705 beginning of the time-series, but have been found in somewhat deeper waters (down to 40-60 m, average 706 50 m depth) since from the late early 1990s 2000s (Fig. 4A). In SDs 26-28 large cod were distributed 707 between 35 and 55 m depth (average 45 m) at the beginning of the time-series, while-whereas afterwards 708 they moved deeper and since after the mid-1990s they became distributed between 50 and 70-75 m 709 depth (average 60-62 m) (Fig. 4C). Along with the change in mean depth, large cod in SDs 26-28 have 710 shown a contraction of the range of depth distribution in the past 20 years. Small cod were distributed 711 somewhat shallower than the large fish, but also moved into deeper waters during the time period 712 investigated. In SD 25, these small cod shifted distribution from between 30 and 50 m depth (average 713 40 m depth) to 45-60 m depth (average 53 m) (Fig. 5A). In SDs 26-28 small cod moved deeper with 714 time as well, from 30-50 m depth (average 40 m) to 5045-653 m depth (average 55 m), and experienced 715 a contraction of the range of depth distribution similar to what occurred for the large fish in this area 716 (Fig. 5C).

717 3.3 Depth of hypoxic layers

718 The depth at which 1 ml/l was encountered remained fairly constant at around 70 m in SD 25, while in 719 SDs 26-28, it decreased from below 1020 m to 70-around 80 m over the past 20 years while in SDs 26-720 28 it became shallower from being deeper than 100 m before the early 1990s to 70 80 m in the past 721 twenty years (Fig. 4A,C and 5A,C). The Over this same time period, the depth at which 4.3 ml/l was 722 encountered diminished in SD 25 from ~ 60-65 m at the beginning of the time period-to ~ 50-55 m 723 during the past twenty years, while and in SDs 26-28 it became shallower from being the 4.3 ml/l 724 threshold shifted from 70-~80 m before the early 1990s to 55-~60 m in the past fifteen yearssince 725 the early 1990s (Fig. 4A,C and 5A,C). The oxygen depths in SDs 26-28, accounting for the SD-specific 726 distribution of the cod, did not differ much between large and small cod (note the slightly different 727 patterns in the oxygen depths between Fig. 4C and Fig. 5C, which is due to the different distribution of 728 small and large cod among these three SDs).

#### 729 **3.4 Depth overlap between cod and hypoxic layers<u>, and oxygen experienced by cod</u>**

Lin SD 25, large cod depth distribution never overlapped with <u>the</u> depth <u>with\_of</u> oxygen  $\leq 1$  ml/l along the time period analysed <u>except in the very last year in SD 25</u>, while in SDs 26 28 there was an overlap in a couple <u>of years</u> toward the end of the time series (Fig. 4A,\_C). On the other hand, large cod distribution heavily overlapped with the depth with oxygen  $\leq 4.3$  ml/l since the mid-1990s (Fig. 4A,C) and the overlap, although oscillating, increased in the past twenty years reaching values above  $\frac{5090}{1000}$ % in SD 25 and <del>up togbove 10080</del>% in SDs 26-28 (Fig. 4B,\_D).

Also sSmall cod distribution never overlapped with depth with oxygen  $\leq 1$  ml/l along the time period analysed, neither in SD 25 nor SDs 26 28\_except in the very last year in SD 25 (Fig. 5A,C). On the other hand, small cod distribution overlapped with the depth with oxygen  $\leq 4.3$  ml/l since midearly-1990s-2000s (Fig. 5A,C) and the overlap, although oscillating, increased in the past fifteen years reaching values higher than 6090% beth in SD 25 and up to 50% in SDs 26-28 (Fig. 5B,D).

- The actual oxygen concentrations experienced by cod changed extensively during the study period.
- T42 Large cod in SD 25 experienced oxygen concentrations of 5-7 ml/l (average ~ 6.5 ml/l) during the late
- 1970s and early 1980s, while especially from the mid-1990s a decline, paralleled by a widening of the

744	experienced oxygen range, occurred until reaching values of 2.5-5.5 ml/l (average ~ 4 ml/l). Similar
745	patterns occurred also in SDs 26-28 although the oxygen at the lower interquartile range of the predicted
746	cod depth distribution declined further down to be close to 1 ml/l.
747	Small cod in SD 25 experienced oxygen concentrations of 6-7.5 ml/l (average ~ 7 ml/l) during the late
748	1970s and early 1980s, while especially from the late-1990s a decline, paralleled by a widening of the
749	experienced oxygen range, occurred until reaching values of 3-6 ml/l (average $\sim$ 4 ml/l). Similar patterns
750	occurred also in SDs 26-28 although the oxygen experienced in the latest years was relatively better
751	than in SD 25, being 3.5-6.5 ml/l (average $\sim$ 5 ml/l).
752	
753	There was a strong positive correlation between the percentage of the cod population in waters $\leq$ 4.3
754	ml/l and the percentage of cod individuals with very low condition (for large cod, $r = 0.71$ and 0.74 in
755	SD 25 and SDs 26 28, respectively; for small cod, r = 0.58 and 0.59 in SD 25 and SDs 26 28,
756	respectively). There was also a strong negative correlation between the percentage of the cod population
757	in waters $\leq$ 4 ml/l and mean cod condition (for large cod, r = 0.77 and -0.76 in SD 25 and SDs 26 28,
758	respectively; for small cod, r = -0.60 and -0.54 in SD 25 and SDs 26-28, respectively).
759	3.5 Modelling of cod condition versus oxygen
760	The GAMs explained 68.3 % and 61.8 % of the total deviance, for large and small cod, respectively
761	(see the caption of Fig. 6 for more statistics). For both models, Oxygen experienced by cod was the
762	most important predictor of condition, while Depth overlap explained a minor part of the model
763	deviance. For large cod, the effect of Oxygen experienced was positive and seemed to reach an
764	asymptote at around 5 ml/l (Fig. 6A), while for the small cod this was not the case with a positive effect
765	over the whole range of the experienced oxygen (Fig. 6B). Depth overlap was negatively correlated to
766	condition, although this effect was much stronger for the large cod (Fig. 6A,B). The residuals of the
767	models did not strongly violate the normality and homogeneity assumptions and were not autocorrelated

768 (Fig. S1). The use of GAMs with an interactive formulation (i.e. *Depth overlap* and *Oxygen experienced* 

769 used in interaction) explained a similar amount of deviance (69.4 % and 62.1 %, for large and small 770 cod, respectively). 771 772 **3.5 Otolith microchemistry** 773 Fish exposed to hypoxia as measured by otolith chemistry showed different responses as a function of 774 their condition at time of capture and the time period (pre-or post 2000; Fig. 6). Prior to 2000, the 775 annual duration of hypoxia exposure was relatively low (35.4%); for the years 2000 and onward, the 776 percent duration rose to 51.8%. More strikingly, when divided further into groups by fish condition, 777 pre 2000 fish were not significantly different with respect to hypoxia exposure regardless of condition. 778 After 2000, fish with condition < 0.9 had been exposed considerably longer to hypoxia (62.7%  $\pm$  3.6) 779 than fish with condition  $\geq 0.9$  (40.9% ± 5.1; Fig. 6). The effect sizes of interaction of time period and 780 ndition were large and highly significant (F<sub>1.746</sub> = 23.287, p = 2 x 10<sup>-6</sup>).

# 781

## 782 4. Discussion

In this paper, we analysed the potential mechanisms relating Baltic Sea deoxygenation with changes in Eastern Baltic cod body condition during the past four decades. To this end, we investigated the changes in depth distribution of the cod population and the vertical changes in oxygen gradients based on longterm biological and hydrological monitoring data. Moreover, we supplemented-related the results of these analyses with proxies for hypoxia exposure from individual fish otolith microchemistry recently published in literature.

#### 789 4.1 Cod depth of distribution and overlap with hypoxic areaslayers

Our analyses show an increase in the areas with an oxygen level below cod tolerance (i.e.  $oxygen \le 1$ ml/l; Schaber et al., 2012). Moreover, this oxygen threshold has also shifted with time towards shallower depths, determining an overall contraction of the potentially suitable habitat for cod (Casini et al., 2016a). Declines in oxygen concentrations have caused a contraction of the habitat and the distribution 794 of fish in other systems (Eby and Crowder, 2002; Stramma et al., 2012; Breitburg et al., 2018) with 795 measurable effects on, for example, individual growth (e.g. Campbell and Rice, 2014). In the Baltic 796 Sea, however, this change seems not to have affected the cod depth of distribution in autumn, since the 797 latter has been always above 70-75 m, a depth only almost never in few years-reached by the waters 798 with 1 ml/l. On the other hand, it could be hypothesized that during the latest decade the cod population 799 was unable to occupy even deeper habitats because of the vertical rise of this oxygen layer. This 800 hypothesis seems to be supported by the decline in the range of depth distribution (i.e. a squeeze of the 801 cod habitat occupation) shown by both large and small cod in SDs 26-28 during the past twenty years. 802 Explaining the temporal changes in the depth distribution of cod is beyond the scope of this paper, but 803 a potential reason could be that cod seek deeper layers to avoid too warm waters, which could be 804 detrimental when resources are scarce. In fact, pelagic prey have declined after the mid-1990s in the 805 southern and central Baltic Sea (Casini et al., 2016a) and therefore cod might go deeper to optimize 806 metabolism. Small cod, moreover, could seek deeper waters to escape from the predation of the 807 increased seals and aquatic birds (Orio et al., 2019). The temporal patterns revealed by our study for 808 quarter 4 generally conform to what found by Orio et al. (2019) for quarter 1. However, the increased 809 depth distribution of large cod in SDs 26-28 found in our study in quarter 4 contrasts with what 810 found findings in the same areas in quarter 1, where a shallowing has instead been observed since the 811 mid-1990s after an initial deepening (Orio et al., 2019). In quarter 1 cod is in general distributed deeper 812 than in quarter 4 (Orio et al., 2019 and this study, respectively) and it could be that in quarter 1, when 813 the water is also less stratified, large cod were able to dwell in exceptionally deep layers in the mid-814 1990s because of the good oxygen circumstances during that period (Carstensen et al. 2014; this study). 815 A different requirements that the large mature fish have for their maturation and successful reproduction 816 when they approach spawning in late spring (Røjbek et al., 2012) could potentially also contribute to 817 the difference in the temporal patterns of distribution between the quarters.

The depth where dissolved oxygen falls to ≤ 4.3 ml/l ("sub-lethal" level, i.e. level that has been shown
in previous studies to affect cod performance; Chabot and Dutil, 1999; Vaquer-Sunyer and Duarte,
2008) has shallowed during the past four decades, as a consequence of deoxygenation. Our analysis

821 revealed that this vertical rise, together with the deepening of the cod depth distribution, has resulted in 822 that cod has started to dwell more and more in these hostile low-oxygen waters. This is consistent with 823 observations of hypoxia exposure proxied by otolith chemistry (Limburg and Casini, 2018 and 2019; 824 this study, see below). The depths at which cod has been dwelling during the past two decades 825 correspond to the depths of the Baltic Sea permanent stratification where the oxygen drops quickly, 826 explaining the wider range of oxygen concentrations that cod has experienced during this period. 827 Moreover, our analysis reveals that the oxygen concentrations that the cod population indeed 828 experienced has progressively decreased until approaching the 1 ml/l at the lowest boundary of its 829 distribution (tolerance level, i.e. level that has been shown in previous studies to be avoided by cod; 830 Schaber et al., 2012). The overlap between cod depth distribution and "sub-lethal" oxygen layers 831 occurred and reinforced onlymainly after the mid-1990s, concomitant with the decline drop in cod 832 condition, while in earlier years the cod population was occurring mostly above those layers. Therefore, 833 according to our expectations and hypothesis, the negative effects of hypoxia on cod condition could 834 only arise after the mid 1990s. This is also in accordance with our otolith microchemistry analysis 835 (Limburg and Casini (2019), see section 4.2 below) and previous investigations that suggested that in 836 the earlier years (before the mid 1990s) cod condition was regulated by other factors, such as pelagic 837 prey biomass and density dependence (Casini et al., 2016a, Limburg and Casini, 2019). The 838 progressively higher proportion of the cod population in "sub-lethal" oxygen layers after the mid-1990s, 839 as revealed by our study, conforms also to the increasingly higher proportion of individuals in extremely 840 low condition (< 0.8 Fulton's K), which include starving fish and fish close to the condition mortality 841 threshold (Eero et al., 2012; Casini et al., 2016b).

#### 842 4.2 <u>Relation to Oo</u>tolith microchemistry <u>from literature</u>

Limburg and Casini (2019) using otolith microchemistry recently found that Baltic cod with low
condition at capture experienced during their lives lower oxygen levels than cod with high condition.
However, Limburg and Casini (2019) did not analyse to what extent the cod population indeed
experienced low-oxygen levels, and therefore whether the exposure to low-oxygen waters could explain
the decline in the mean condition of the cod population. Our study did this, showing that a large part of

848 the population have has dwelled in sub-lethal low-oxygen levels after the mid-1990s in quarter 4. 849 Together, the individual-based study by Limburg and Casini (2019) and the population-level present 850 study provide consistent and robust indications that the decline in mean cod condition of the population 851 from the mid-1990s is due to an increased overlap with low-oxygen layers. This suggests that currently-852 condition may carry over from chronic exposure to low oxygen concentrations, which weakens fish and 853 produces a cascade of effects, from reduced metabolic scope leading to lower activity and slower 854 digestion (Claireaux and Chabot, 2016), to greater susceptibility to disease and parasites (e.g., Sokolova 855 et al., 2018). Both Limburg and Casini (2019) and the present study also revealed that the exposure to 856 low-oxygen layersconcentrations was lower in the period before the mid-1990s, and was unrelated to 857 cod condition confirming that, before the mid-1990s, factors other than direct low-oxygen exposure 858 played a greater role in shaping cod condition as concluded also by Casini et al. (2016a).

859 4.3 Mechanisms shaping cod condition The complementary analyses performed on fish otolith 860 microchemistry confirmed that since the mid-1990s, cod individuals with low condition were 861 indeed exposed to low-oxygen waters during their life. Duration of hypoxia exposure as measured 862 in Baltie cod otoliths has increased markedly since mid 1990s (Limburg and Casini, 2018) and 863 was found in our study to be significantly greater in fish in poor condition at time of capture. This 864 is a remarkable finding, given that condition is measured only once during life (at capture), and 865 the observations of hypoxia exposure are taken throughout life. This suggests that currently, 866 condition may carry over from chronic exposure to low oxygen, which weakens fish and produces 867 a cascade of effects, from reduced metabolic scope leading to lower activity and slower digestion 868 (Claireaux and Chabot, 2016), to greater susceptibility to disease and parasites (e.g., Sokolova et 869 ah, 2018). In contrast, in fish captured prior to 2000 the overall exposure to hypoxia was lower 870 and showed no relationship with condition. Thus the otolith microchemistry analysis confirmed 871 the that, pre 2000, factors other than hypoxia played a greater role in shaping cod condition as 872 concluded also by Casini et al. (2016a).

WAlthough we have shown confirmed here, using population-level monitoring data, that direct oxygen
exposure is likely a key factor shaping cod condition after the mid-1990s (Limburg and Casini, 2019).

875 Low-oxygen exposure haves been shown in laboratory experiments to reduce cod appetite with 876 consequent significant decline in body condition and growth (Chabot and Dutil, 1999). This seems to 877 conform to the observation of a decline in Eastern Baltic cod feeding level from stomach content 878 analyses (Neuenfeldt et al., 2020) that has been put earlier in relation with cod growth by Brander (2000). Therefore, a lower appetite due to an increased direct exposure to low-oxygen waters seems to 879 880 be a sound explanation to both the decline in growth (Brander 2000) and condition (this study). In our 881 estimations of cod overlap with sub-lethal waters we considered the oxygen thresholds affecting cod 882 performance as found in laboratory experiments performed on relatively large fish (~ 45 cm, Chabot 883 and Dutil, 1999). Interestingly, in our statistical analysis the inflection of the curve relating the actual 884 oxygen experienced and condition for large cod (40-49 cm in our study) started to occur at ~ 4.5-5 ml/l, 885 corresponding well to the threshold found experimentally in Chabot and Dutil (1999). The threshold for 886 small fish could be however higher, although a size-dependent hypoxia tolerance in fish is still debated 887 (Vaquer-Sunyer and Duarte, 2008; Nilsson and Östlund-Nilsson, 2008). This could however explain 888 why in our statistical analysis the effect of oxygen experienced on small cod condition was linear 889 without reaching an asymptote at high oxygen concentrations. In this case our assumption of a 4.3 ml/l 890 sub-lethal threshold for small cod could be considered very conservative.

891 Beside direct exposure to sub-lethal oxygen levels, other factors, not mutually exclusive, -might 892 contribute to explain the decline in condition as well (Casini et al., 2016a). For example deoxygenation, 893 by deteriorating the benthic communities, has likely affected negatively important benthic prey for cod 894 in negative ways, and therefore also influenced also-indirectly cod condition and growth (Neuenfeldt et 895 al., 2020). Moreover, It more severe decline in condition in SD 25 compared to SDs 26-28, for 896 example, could be due to the higher density of cod in the southern Baltic Sea during the past twenty 897 years (Orio et al., 2017) leading to density-dependent effects, and the lower abundance of sprat, the 898 main pelagic fish prey for cod, in this area (Casini et al., 2014). Moreover, deoxygenation, by deteriorating the benthic communities, has likely affected negatively important benthic prey for cod and 899 900 therefore influenced also indirectly cod condition and growth (Neuenfeldt et al., 2019). An-Aadditional 901 potential reasons of the decline in cod condition after the early 1990s is are constituted by the increased

902	biomass of flounder that could have deprived cod of important benthic food resources (Haase et al.,
903	2020) and increased parasite infestation (Horbowy et al., 2016). All these factors could have acted,
904	singularly or in combination, on cod together with direct low-oxygen exposure shaping the decline in
905	its condition observed in the past three decades. Moreover, cod condition was relatively low also in the
906	1970s-1980s (although not showing individuals with very low condition, Fig. 2), when the cod
907	population did not seem to dwellspend time in low-oxygen waters, confirming that the main drivers of
908	mean condition can vary in time.

#### 909 5. Conclusions

910 We have shown here the potential mechanisms linking deoxygenation to cod condition in the Baltic 911 Sea. A combination of increased depth of distribution of the cod population and a vertical rise of the 912 "sub-lethal" oxygen layers has led cod dwelling progressively more in hostile low-oxygen waters, 913 contributing to explain the reduction in cod condition in the past two decades. Further analyses should 914 focus on revealing the reasons of the shift of cod distribution to deeper and less-oxygenated waters. We 915 stress that our depth analyses were focused on the autumn season, when cod growth is maximised for 916 the accumulation of energy reserves to be utilized for spawning the following spring-summer (Mion et 917 al., 2020). The changes in cod depth of distribution are different in other seasons, especially those before 918 and during spawning (Orio et al., 2019), when cod could have different environment requirements for 919 reproduction. Therefore, further analyses should be performed to investigate the changes in cod 920 population depth distribution in relation to oxygen stratification in other seasons to better understand 921 the biotic and abiotic spatio-temporal dynamics, and their effects on cod performance, over the entire year. 922

923

#### 924 Data availability

925 Time-series used in this study are available upon request to the corresponding author.

#### 926 Author contribution

927	MC designed and coordinated the study. MC, MH, AO and KL prepared the raw data. MC estimated
928	cod condition, MH performed the hydrographic modelling, and AO performed the cod distribution
929	modelling. MC prepared the first draft of the manuscript and all authors contributed to the final version.

#### 930 Competing interests

931 The authors declare that they have no conflict of interest.

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1092	Figure captions			
1093	Fig. 1. Bathymetric Map of the Baltic Sea divided into ICES Subdivisions-(SDs). The study area			
1094	includes the SDs 25–28 (i.e. the Central Baltic Sea).			
1095	Fig. 2. Maps of the Baltic Sea with superimposed the areas with oxygen concentration $\leq 1$ ml/l (black,			
1096	avoided by cod) and $\leq 4.3$ ml/l (grey, sub-lethal level, producing negative effects on cod performance)			

in 1990 (panel A) and 2018 (panel B). Time-series of the total area (km<sup>2</sup>) with oxygen concentration ≤
1 ml/l and ≤ 4.3 ml/l in the SubdivisionDs 25-28 (panel C). Data were from the Swedish Meteorological and Hydrological Institute (SMHI, <u>www.smhi.se</u>) (see also Casini et al., 2016a).

Fig. 3. Temporal developments of mean cod condition (± 1 s.d.) in Subdivision (SD)\_25 and Subdivisions-SDs 26-28 for small cod (20-29 cm) and large cod (40–49 cm). Superimposed (grey bars) the temporal developments of the percentage of cod with very low condition (< 0.8) for the respective areas and length classes.

Fig. 4. Time-series of large cod ( $\geq$  30 cm) depth distribution (mean and interquartile range of each predicted depth distribution; see Orio et al., 2019) as well as depths of oxygen concentration 1 ml/l and  $4\underline{.3}$  ml/l, for Subdivision (SD) 25 (panel A) and Subdivisions-SDs 26-28 (panel C). Panels B and D, time-series of the percent of large cod in waters with oxygen concentration  $\leq 4\underline{.3}$  ml/l (grey bars), and oxygen at the mean depth and interquartile range of cod distribution (solid line and dotted lines), in Subdivision SDs 25 and Subdivisions-SDs 26-28.

Fig. 5. Time-series of small cod ( $15-\leq$  30 cm) depth distribution (mean and interquartile range of each predicted depth distribution; see Orio et al., 2019) as well as depth of oxygen concentration 1 ml/l and  $4\underline{.3}$  ml/l, for Subdivision (SD) 25 (panel A) and Subdivisions-SDs 26-28 (panel C). Panels B and D, time-series of the percent of small cod in waters with oxygen concentration  $\leq 4\underline{.3}$  ml/l (grey bars), and oxygen at the mean depth and interquartile range of cod distribution (solid line and dotted lines), in Subdivision-SD 25 and Subdivisions-SDs 26-28.

1116Fig. 6. Results of the General Additive Models (GAMs). The plots show the partial effects of the depth117overlap (i.e. overlap between cod depth range of distribution and the depth of the water layer with118oxygen concentration  $\leq$  4.3 ml/l) and of the actual oxygen experienced (at the lowest interquartile of119the cod depth of distribution) on cod condition, for large (A) and small (B) cod. Blue and red dots120represent SD 25 and SDs 26-28, respectively. Statistics for large cod (A): *Depth overlap* (edf = 1.00; F121= 6.46; p = 0.01), Oxygen experienced (edf = 2.86; F = 10.88; p < 0.00001). Statistics for small cod (B):</td>

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1122	<u>Depth overlap (edf = 1.30; <math>F = 0.82</math>; <math>p = 0.55</math>), Oxygen experienced (edf = 1.51; <math>F = 17.60</math>; <math>p &lt; 0.00001</math>).</u>		
1123	See tables S1 and S2 for the analysis of the residuals.	 Formatted: English (United States)	
1124	Fig. 6. Differences in otolith chemistry as related to hypoxia and fish condition for pre-2000 and 2000	Formatted: English (United States)	
1125	onwards. Within-year hypoxia exposure duration is proxied by the fraction of each annual growth band		
1126	in which the otolith Mn/Mg ratio exceeds age specific thresholds. These are categorized by condition		
1127	factor (high condition is 0.9 or greater) measured at time of capture (see Limburg and Casini, 2019).		
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1144 Figure 1



















1196 Figure 6

