

1 **Changes in population depth distribution and oxygen stratification explain the current low**  
2 **condition of the Eastern Baltic Sea cod (*Gadus morhua*)**≤

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23 **Abstract**

24 During the past twenty years, hypoxic areas have expanded rapidly in the Baltic Sea, which has become  
25 one of the largest marine “dead zones” in the world. At the same time, the most important commercial  
26 fish population of the region, the Eastern Baltic cod, has experienced a drastic reduction in mean body  
27 condition, but the processes behind the relation between deoxygenation and condition remain elusive.  
28 Here we use extensive long-term monitoring data on cod biology and distribution as well as on  
29 hydrological variations, to investigate the processes that relate deoxygenation and cod condition during  
30 the autumn season. Our results show that the depth distribution of cod has increased during the past  
31 four decades at the same time of the expansion, and shallowing, of waters with oxygen concentrations  
32 detrimental to cod performance. This has resulted in a progressively increasing spatial overlap between  
33 the cod population and low-oxygenated waters after the mid-1990s. This spatial overlap and the actual  
34 oxygen concentration experienced by cod therein statistically explained the changes in cod condition  
35 over the years. These results complement previous analyses on fish otolith microchemistry that also  
36 revealed that since the mid-1990s, cod individuals with low condition were exposed to low-oxygen  
37 waters during their life. This study helps to shed light on the processes that have led to a decline of the  
38 Eastern Baltic cod body condition, which can aid the management of this population currently in  
39 distress. Further studies should focus on understanding why the cod population has moved to deeper  
40 waters in autumn and on analysing the overlap with low-oxygen waters in other seasons to quantify the  
41 potential effects of the variations in physical properties on cod biology throughout the year.

42

43 **Keywords:** hypoxia, fish body condition, direct exposure, depth distribution, cod *Gadus morhua*

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45 **1. Introduction**

46 The oceans and marine coastal areas are experiencing dramatic deoxygenation worldwide (Breitburg et  
47 al., 2018). Declining oxygen can have multiple direct and indirect effects on aquatic organisms and  
48 entire ecosystems (Breitburg, 2002; Rabalais et al. 2002; Wu, 2002; Diaz and Rosenberg, 2008; Levin

49 et al., 2009). In particular, studies undertaken both in the wild and within experimental set-ups have  
50 revealed large effects of hypoxia on basic metabolism, behavior, ecology, distribution and life-history  
51 traits of fish (Chabot and Dutil, 1999; Pichavant et al., 2001; Eby et al., 2005; Herbert and Steffensen,  
52 2005; Domenici et al., 2007; Stramma et al., 2012).

53 The Baltic Sea (Fig. 1) is one of the largest brackish areas in the world where the oxygenated, yet scarce  
54 and irregular saline water inflows from the adjacent North Sea, combined with a water residence time  
55 of about 25–30 years, make the system particularly prone to hypoxia (Carstensen et al., 2014; Reusch  
56 et al., 2018). As a consequence, and in combination with global warming and eutrophication, the Baltic  
57 Sea has become one of the largest anthropogenic “dead zones” in the world (Breitburg et al., 2018),  
58 with degradation or elimination of benthic communities and disruption of benthic food webs over vast  
59 areas (Conley et al., 2009). In particular, since the early 1990s the anoxic and hypoxic areas have  
60 increased rapidly in the southern and central Baltic Sea (Carstensen et al., 2014) (Fig. 2).

61 In this degraded demersal and benthic environment, body condition (a morphometric index of fish  
62 fatness and well-being) of the dominant demersal fish population, the Eastern Baltic cod *Gadus morhua*  
63 (hereafter simply referred to as Baltic cod), has declined since the mid-1990s (Casini et al., 2016a). This  
64 decline was also stressed by the fishery that suffered from an increasingly high proportion of catches of  
65 lean cod with low economic value. Low condition has a negative effect on reproductive potential (Mion  
66 et al., 2018), mortality (Casini et al., 2016b) and potentially also movements (Mehner and Kasprzak,  
67 2011) with indirect effects on prey and therefore food-web structure and ecosystem functioning as  
68 shown in other systems (e.g. Ekau et al., 2010). Therefore, it is very important to understand the ultimate  
69 factors leading to low cod condition.

70 The decline in the Baltic cod condition has been related to a decrease in the main pelagic prey abundance  
71 in the main distribution area of cod (Eero et al., 2012; Casini et al., 2016a) and increased parasite  
72 infestation (Horbowy et al., 2016), but also to the increased extent of hypoxic and anoxic areas (Casini  
73 et al., 2016a). The mechanistic processes linking hypoxia and cod conditions could be various and not  
74 mutually exclusive, including stress due to direct hypoxia exposure, suitable habitat contraction and  
75 consequent change in cod spatial distribution, and change in the surrounding biota such as reduction of

76 important benthic prey (Casini et al., 2016a). Limburg and Casini (2019), using otolith microchemistry,  
77 showed that fish in low condition at capture were exposed during their lives to lower oxygen levels than  
78 those in good condition (at least from the mid-1990s), suggesting that direct exposure to low-oxygen  
79 waters could constitute a key factor. However, Limburg and Casini (2019) did not analyse the spatial  
80 distribution of the cod population in relation to low-oxygen layers, and therefore whether or not a large  
81 part of the population indeed experienced stressful circumstances, which could explain the decline in  
82 mean population condition found by Casini et al. (2016a).

83 In this study, we fill this gap and we specifically analyse the temporal changes in the depth distribution  
84 of cod, from long-term monitoring data, in relation to the actual oxygen levels experienced by the cod  
85 population and the oxygen levels acknowledged in literature to affect cod behavior and performance.

86

## 87 **2. Materials and methods**

### 88 **2.1 Biological data and estimation of cod condition**

89 Biological data on Eastern Baltic cod individuals (n = 124 165) were collected during the Baltic  
90 International Trawl Survey, BITS, between 1991 and 2018 (retrieved from the DATRAS database of  
91 the International Council for the Exploration of the Sea, ICES; [www.ices.dk](http://www.ices.dk); downloaded 28 January  
92 2020) and previous Swedish and Latvian bottom trawl surveys performed in 1979-1990 in the Baltic  
93 Sea (Casini et al., 2016a). Cod individual body condition (Fulton's K) was estimated as  $K = W/L^3 * 100$ ,  
94 where W is the total weight (g) and L the total length (cm, typically measured from the tip of the  
95 snout to the tip of the longer lobe of the caudal fin) of the fish. Mean condition was estimated for ICES  
96 Subdivision (SD) 25 (corresponding to the main distribution area for Eastern Baltic cod since the early  
97 1990s, Orto et al., 2017) and SDs 26-28 separately, updating the time-series in Casini et al. (2016a).  
98 More northern SDs were not included due to their low and inconsistent survey coverage through the  
99 years. Condition for small fish (represented here by the size-class 20-29 cm) and large fish (represented  
100 here by the size-class 40-49 cm) were used in the analyses, as cod change diet during its ontogeny and  
101 these two size-classes differ in feeding habits (Neuenfeldt et al., 2020). We could not use smaller and

102 larger size-classes because of their scarcity in the BITS survey catches. The small size-class could also  
103 be considered as representing juvenile fish (Eero et al., 2015; ICES, 2017a) although, from around 2005,  
104 the mean length at first maturity has decreased below 30 cm (Köster et al., 2017). Years with < 25  
105 observations for the respective length-classes and areas were excluded from the analyses. We focused  
106 on the cod condition in autumn (quarter 4 BITS survey, from mid-October to mid-December),  
107 corresponding to the cod main growth season after spawning in spring-summer (Mion et al., 2020).  
108 Moreover, for the autumn season, long time-series of oxygen levels and extent of hypoxic areas are also  
109 available (Casini et al., 2016a).

## 110 **2.2 Estimation of cod depth distribution**

111 Indices of cod biomass (calculated as catch-per-unit-effort, CPUE, kg/h, herein referred to as biomass)  
112 and depth distribution (i.e. mean depth and interquartile range of predicted depth distribution) from the  
113 BITS and historical bottom trawl surveys in SDs 25-28 from 1979 to 2018 were estimated for large ( $\geq$   
114 30 cm) and small cod (< 30 cm) using a modelling procedure similar to the one used in Orio et al.  
115 (2019). However, in the current study rather than including environmental variables in the models,  
116 quarter was included in interactions with latitude and longitude, and with depth. To estimate the changes  
117 in cod depth distribution in SDs 26-28 that account for the changes in the spatial distribution of the cod  
118 population, the SD-specific depth distributions were weighted by the annual SD-specific cod CPUEs  
119 from the bottom trawl surveys in quarter 4, estimated from the same model, for large and small cod.

## 120 **2.3 Depth of hypoxic layers**

121 Baltic cod has been shown to avoid oxygen concentrations below 1 ml/l (approximately 1.4 mg/l)  
122 (Schaber et al., 2012). Therefore, time-series of the depth at which 1 ml/l oxygen concentration was  
123 encountered by SD were obtained from the Swedish Meteorological and Hydrological Institute (SMHI,  
124 [www.smhi.se](http://www.smhi.se)).

125 Time-series of depth at which 4.3 ml/l oxygen concentration (approximately 6 mg/l) was encountered  
126 by SD were also obtained from SMHI. This oxygen concentration, on average, has been found to affect  
127 the performance of fish (Vaquer-Sunyer and Duarte, 2008). Specifically for cod, 4.3 ml/l has been found

128 as threshold from which an effect on condition and growth starts to be observable (Chabot and Dutil,  
129 1999). Therefore, we expected that the occurrence of cod in areas and depths with an oxygen  
130 concentration  $\leq 4.3$  ml/l would lead to an increase in the proportion of cod individuals with very low  
131 condition ( $K < 0.8$ ; Eero et al., 2012) and a decrease in mean condition in the population. To relate the  
132 depths at which 1 ml/l and 4.3 ml/l oxygen concentrations were encountered to cod depth of occurrence  
133 in SDs 26-28, the oxygen depths in each SD were weighted with the annual SD-specific cod CPUEs  
134 from the bottom trawl surveys in quarter 4, for large and small cod. In this way, the oxygen  
135 circumstances in the SDs where cod was more abundant were weighted the most.

#### 136 **2.4 Depth overlap between cod and hypoxic layers, and oxygen experienced by cod**

137 We estimated the overlap (% meters) between the cod range of depth distribution and the water layer  
138 with oxygen concentration  $\leq 4.3$  ml/l, as estimated above, in both SD 25 and SDs 26-28. We also  
139 reconstructed the time-series of the oxygen concentrations at the mean depth and interquartile range of  
140 the predicted cod depth of distribution in each SD (data from SMHI). Also in this case, for SDs 26-28  
141 the oxygen concentrations in each SD were weighted with the annual SD-specific cod CPUEs from the  
142 bottom trawl surveys in quarter 4, for large and small cod.

#### 143 **2.5 Modelling of cod condition versus oxygen**

144 To formally analyse the effect of the depth overlap and oxygen concentrations experienced by cod on  
145 cod condition, we used generalized additive models (GAMs; Hastie and Tibshirani, 1990). The  
146 following additive formulation was used:

$$147 \text{Condition} \sim s(\text{Depth overlap}) + s(\text{Oxygen experienced}) + \varepsilon$$

148 where *Depth overlap* is the overlap between the cod depth range of distribution and the water layer with  
149 oxygen an concentration  $\leq 4.3$  and *Oxygen experienced* is the actual oxygen level corresponding to the  
150 cod depth distribution (we used for this the oxygen corresponding to the deeper interquartile of the cod  
151 depth range of distribution). *s* is the thin plate smoothing spline function and  $\varepsilon$  is random error. We  
152 limited the maximum degrees of freedom acceptable for each term to  $k=4$ , which retains model  
153 flexibility and allows at the same time an ecological interpretability of the results. A Gamma distribution

154 with an identity function was used. Residuals were inspected for deviation from the assumption of  
155 normality and no autocorrelation using graphical methods (Cleveland, 1993). The statistical analyses  
156 were performed using the mgcv library of R v. 4.0.2 ([www.r-project.org](http://www.r-project.org)). The significance level was  
157 set to  $\alpha = 0.05$  for all tests.

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### 159 **3. Results**

#### 160 **3.1 Cod condition**

161 Cod condition increased slightly between the mid-1970s and mid-1990s but declined abruptly  
162 thereafter. This pattern was similar in SD 25 and SDs 26-28 for both small and large cod (Fig. 3), but  
163 after the mid-1990s condition dropped more for large cod (~30% for large cod and 20-25% for small  
164 cod). The percentage of large fish with very low condition ( $< 0.8$ , see Eero et al., 2012) increased from  
165 the end of the 1990s in both SD 25 and SDs 26-28 reaching in recent years 30-40%. The percentage of  
166 small fish with low condition also increased, but lagged temporally behind the large cod, and at 10-20%  
167 of observations was lower than the high incidences of large cod in poor condition (Fig. 3). In general,  
168 in SD 25 condition declined slightly more (and the percentage of fish with very low condition increased  
169 more) than in SDs 26-28 after the mid-1990s.

#### 170 **3.2 Cod depth distribution**

171 Large cod in SD 25 were distributed between 35 and 50 m depth (average of 43 m depth) at the  
172 beginning of the time-series, but have been found in somewhat deeper waters (down to 40-60 m, average  
173 50 m depth) from the early 2000s (Fig. 4A). In SDs 26-28 large cod were distributed between 35 and  
174 55 m depth (average 45 m) at the beginning of the time-series, whereas after the mid-1990s they became  
175 distributed between 50 and 75 m depth (average 62 m) (Fig. 4C). Along with the change in mean depth,  
176 large cod in SDs 26-28 have shown a contraction of the range of depth distribution in the past 20 years.  
177 Small cod were distributed somewhat shallower than the large fish, but also moved into deeper waters  
178 during the time period investigated. In SD 25, small cod shifted distribution from between 30 and 50 m  
179 depth (average 40 m depth) to 45-60 m depth (average 53 m) (Fig. 5A). In SDs 26-28 small cod moved

180 deeper with time as well, from 30-50 m depth (average 40 m) to 45-65 m depth (average 55 m), and  
181 experienced a contraction of the range of depth distribution similar to what occurred for the large fish  
182 in this area (Fig. 5C).

### 183 **3.3 Depth of hypoxic layers**

184 The depth at which 1 ml/l was encountered remained fairly constant at around 70 m in SD 25, while in  
185 SDs 26-28, it decreased from below 120 m to around 80 m over the past 20 years (Fig. 4A,C and 5A,C).  
186 Over the same time period, the depth at which 4.3 ml/l was encountered diminished in SD 25 from ~  
187 60 m to ~ 50m, and in SDs 26-28 the 4.3 ml/l threshold shifted from ~ 80 m to ~ 60 m since the early  
188 1990s (Fig. 4A,C and 5A,C). The oxygen depths in SDs 26-28, accounting for the SD-specific  
189 distribution of the cod, did not differ much between large and small cod (note the slightly different  
190 patterns in the oxygen depths between Fig. 4C and Fig. 5C, which is due to the different distribution of  
191 small and large cod among these three SDs).

### 192 **3.4 Depth overlap between cod and hypoxic layers, and oxygen experienced by cod**

193 Large cod depth distribution never overlapped with the depth of oxygen  $\leq 1$  ml/l along the time period  
194 analysed except in the very last year in SD 25 (Fig. 4A,C). On the other hand, large cod distribution  
195 heavily overlapped with the depth with oxygen  $\leq 4.3$  ml/l since the mid-1990s (Fig. 4A,C) and the  
196 overlap, although oscillating, increased in the past twenty years reaching values higher than 90% in SD  
197 25 and higher than 80% in SDs 26-28 (Fig. 4B,D).

198 Also small cod distribution never overlapped with depth with oxygen  $\leq 1$  ml/l along the time period  
199 analysed, except in the very last year in SD 25 (Fig. 5A,C). On the other hand, small cod distribution  
200 overlapped with the depth with oxygen  $\leq 4.3$  ml/l since early-2000s (Fig. 5A,C) and the overlap,  
201 although oscillating, increased in the past fifteen years reaching values higher than 90% in SD 25 and  
202 up to 50% in SDs 26-28 (Fig. 5B,D).

203 The actual oxygen concentrations experienced by cod changed extensively during the study period.  
204 Large cod in SD 25 experienced oxygen concentrations of 5-7 ml/l (average ~ 6.5 ml/l) during the late  
205 1970s and early 1980s, while especially from the mid-1990s a decline, paralleled by a widening of the



206 experienced oxygen range, occurred until reaching values of 2.5-5.5 ml/l (average ~ 4 ml/l). Similar  
207 patterns occurred also in SDs 26-28 although the oxygen at the lower interquartile range of the predicted  
208 cod depth distribution declined further down to be close to 1 ml/l.

209 Small cod in SD 25 experienced oxygen concentrations of 6-7.5 ml/l (average ~ 7 ml/l) during the late  
210 1970s and early 1980s, while especially from the late-1990s a decline, paralleled by a widening of the  
211 experienced oxygen range, occurred until reaching values of 3-6 ml/l (average ~ 4 ml/l). Similar patterns  
212 occurred also in SDs 26-28 although the oxygen experienced in the latest years was relatively better  
213 than in SD 25, being 3.5-6.5 ml/l (average ~ 5 ml/l).

### 214 **3.5 Modelling of cod condition versus oxygen**

215 The GAMs explained 68.3 % and 61.8 % of the total deviance, for large and small cod, respectively  
216 (see the caption of Fig. 6 for more statistics). For both models, *Oxygen experienced* by cod was the  
217 most important predictor of condition, while *Depth overlap* explained a minor part of the model  
218 deviance. For large cod, the effect of *Oxygen experienced* was positive and seemed to reach an  
219 asymptote at around 5 ml/l (Fig. 6A), while for the small cod this was not the case with a positive effect  
220 over the whole range of the experienced oxygen (Fig. 6B). *Depth overlap* was negatively correlated to  
221 condition, although this effect was much stronger for the large cod (Fig. 6A,B). The residuals of the  
222 models did not strongly violate the normality and homogeneity assumptions and were not autocorrelated  
223 (Fig. S1). The use of GAMs with an interactive formulation (i.e. *Depth overlap* and *Oxygen experienced*  
224 used in interaction) explained a similar amount of deviance (69.4 % and 62.1 %, for large and small  
225 cod, respectively).

226

## 227 **4. Discussion**

228 In this paper, we analysed the potential mechanisms relating Baltic Sea deoxygenation with changes in  
229 Eastern Baltic cod body condition during the past four decades. To this end, we investigated the changes  
230 in depth distribution of the cod population and the vertical changes in oxygen gradients based on long-  
231 term biological and hydrological monitoring data. Moreover, we related the results of these analyses

232 with proxies for hypoxia exposure from individual fish otolith microchemistry recently published in  
233 literature.

#### 234 **4.1 Cod depth distribution and overlap with hypoxic layers**

235 Our analyses show an increase in the areas with an oxygen level below cod tolerance (i.e. oxygen  $\leq$  1  
236 ml/l; Schaber et al., 2012). Moreover, this oxygen threshold has also shifted with time towards shallower  
237 depths, determining an overall contraction of the potentially suitable habitat for cod (Casini et al.,  
238 2016a). Declines in oxygen concentrations have caused a contraction of the habitat and the distribution  
239 of fish in other systems (Eby and Crowder, 2002; Stramma et al., 2012; Breitburg et al., 2018) with  
240 measurable effects on, for example, individual growth (e.g. Campbell and Rice, 2014). In the Baltic  
241 Sea, however, this change seems not to have affected the cod depth of distribution in autumn, since the  
242 latter has been always above 70-75 m, a depth only almost never reached by the waters with 1 ml/l. On  
243 the other hand, it could be hypothesized that during the latest decade the cod population was unable to  
244 occupy even deeper habitats because of the vertical rise of this oxygen layer. This hypothesis seems to  
245 be supported by the decline in the range of depth distribution (i.e. a squeeze of the cod habitat  
246 occupation) shown by both large and small cod in SDs 26-28 during the past twenty years. Explaining  
247 the temporal changes in the depth distribution of cod is beyond the scope of this paper, but a potential  
248 reason could be that cod seek deeper layers to avoid too warm waters, which could be detrimental when  
249 resources are scarce. In fact, pelagic prey have declined after the mid-1990s in the southern and central  
250 Baltic Sea (Casini et al., 2016a) and therefore cod might go deeper to optimize metabolism. Small cod,  
251 moreover, could seek deeper waters to escape from the predation of the increased seals and aquatic  
252 birds (Orio et al., 2019). The temporal patterns revealed by our study for quarter 4 generally conform  
253 to what found by Orio et al. (2019) for quarter 1. However, the increased depth distribution of large cod  
254 in SDs 26-28 found in our study in quarter 4 contrasts with findings in the same areas in quarter 1,  
255 where a shallowing has instead been observed since the mid-1990s after an initial deepening (Orio et  
256 al., 2019). In quarter 1 cod is in general distributed deeper than in quarter 4 (Orio et al., 2019 and this  
257 study, respectively) and it could be that in quarter 1, when the water is also less stratified, large cod  
258 were able to dwell in exceptionally deep layers in the mid-1990s because of the good oxygen

259 circumstances during that period (Carstensen et al. 2014; this study). A different requirements that the  
260 large mature fish have for their maturation and successful reproduction when they approach spawning  
261 in late spring (Røjbek et al., 2012) could potentially also contribute to the difference in the temporal  
262 patterns of distribution between the quarters.

263 The depth where dissolved oxygen falls to  $\leq 4.3$  ml/l (“sub-lethal” level, i.e. level that has been shown  
264 in previous studies to affect cod performance; Chabot and Dutil, 1999; Vaquer-Sunyer and Duarte,  
265 2008) has shallowed during the past four decades, as a consequence of deoxygenation. Our analysis  
266 revealed that this vertical rise, together with the deepening of the cod depth distribution, has resulted in  
267 that cod has started to dwell more and more in these hostile low-oxygen waters. The depths at which  
268 cod has been dwelling during the past two decades correspond to the depths of the Baltic Sea permanent  
269 stratification where the oxygen drops quickly, explaining the wider range of oxygen concentrations that  
270 cod has experienced during this period. Moreover, our analysis reveals that the oxygen concentrations  
271 that the cod population indeed experienced has progressively decreased until approaching the 1 ml/l at  
272 the lowest boundary of its distribution (tolerance level, i.e. level that has been shown in previous studies  
273 to be avoided by cod; Schaber et al., 2012). The overlap between cod depth distribution and “sub-lethal”  
274 oxygen layers occurred mainly after the mid-1990s, concomitant with the drop in cod condition, while  
275 in earlier years the cod population was occurring mostly above those layers. The progressively higher  
276 proportion of the cod population in “sub-lethal” oxygen layers after the mid-1990s, as revealed by our  
277 study, conforms also to the increasingly higher proportion of individuals in extremely low condition ( $<$   
278 0.8 Fulton’s K), which include starving fish and fish close to the condition mortality threshold (Eero et  
279 al., 2012; Casini et al., 2016b).

#### 280 **4.2 Relation to otolith microchemistry from literature**

281 Limburg and Casini (2019) using otolith microchemistry recently found that Baltic cod with low  
282 condition at capture experienced during their lives lower oxygen levels than cod with high condition.  
283 However, Limburg and Casini (2019) did not analyse to what extent the cod population indeed  
284 experienced low-oxygen levels, and therefore whether the exposure to low-oxygen waters could explain  
285 the decline in the mean condition of the cod population. Our study did this, showing that a large part of

286 the population has dwelled in sub-lethal low-oxygen levels after the mid-1990s in quarter 4. Together,  
287 the individual-based study by Limburg and Casini (2019) and the population-level present study provide  
288 consistent and robust indications that the decline in mean cod condition of the population from the mid-  
289 1990s is due to an increased overlap with low-oxygen layers. This suggests that currently condition may  
290 carry over from chronic exposure to low oxygen concentrations, which weakens fish and produces a  
291 cascade of effects, from reduced metabolic scope leading to lower activity and slower digestion  
292 (Claireaux and Chabot, 2016), to greater susceptibility to disease and parasites (e.g., Sokolova et al.,  
293 2018). Both Limburg and Casini (2019) and the present study also revealed that the exposure to hypoxic  
294 waters was lower in the period before the mid-1990s, and was unrelated to cod condition confirming  
295 that, before the mid-1990s, factors other than direct low-oxygen exposure played a greater role in  
296 shaping cod condition as concluded also by Casini et al. (2016a).

### 297 **4.3 Mechanisms shaping cod condition**

298 We have confirmed here, using population-level monitoring data, that direct oxygen exposure is likely  
299 a key factor shaping cod condition after the mid-1990s (Limburg and Casini, 2019). Low-oxygen  
300 exposure has been shown in laboratory experiments to reduce cod appetite with consequent significant  
301 decline in body condition and growth (Chabot and Dutil, 1999). This seems to conform to the  
302 observation of a decline in Eastern Baltic cod feeding level from stomach content analyses (Neuenfeldt  
303 et al., 2020) that has been put earlier in relation with cod growth by Brander (2000). Therefore, a lower  
304 appetite due to an increased direct exposure to low-oxygen waters seems to be a sound explanation to  
305 both the decline in growth (Brander 2000) and condition (this study). In our estimations of cod overlap  
306 with sub-lethal waters we considered the oxygen thresholds affecting cod performance as found in  
307 laboratory experiments performed on relatively large fish (~ 45 cm, Chabot and Dutil, 1999).  
308 Interestingly, in our statistical analysis the inflection of the curve relating the actual oxygen experienced  
309 and condition for large cod (40-49 cm in our study) started to occur at ~ 4.5-5 ml/l, corresponding well  
310 to the threshold found experimentally in Chabot and Dutil (1999). The threshold for small fish could be  
311 however higher, although a size-dependent hypoxia tolerance in fish is still debated (Vaquer-Sunyer  
312 and Duarte, 2008; Nilsson and Östlund-Nilsson, 2008). This could however explain why in our

313 statistical analysis the effect of oxygen experienced on small cod condition was linear without reaching  
314 an asymptote at high oxygen concentrations. In this case our assumption of a 4.3 ml/l sub-lethal  
315 threshold for small cod could be considered very conservative.

316 Beside direct exposure to sub-lethal oxygen levels, other factors, not mutually exclusive, might  
317 contribute to explain the decline in condition as well (Casini et al., 2016a). For example deoxygenation,  
318 by deteriorating the benthic communities, has likely affected important benthic prey for cod in negative  
319 ways, and therefore also influenced indirectly cod condition and growth (Neuenfeldt et al., 2020).  
320 Moreover, the more severe decline in condition in SD 25 compared to SDs 26-28, for example, could  
321 be due to the higher density of cod in the southern Baltic Sea during the past twenty years (Orio et al.,  
322 2017) leading to density-dependent effects, and the lower abundance of sprat, the main pelagic fish prey  
323 for cod, in this area (Casini et al., 2014). Additional potential reasons of the decline in cod condition  
324 after the early 1990s are constituted by the increased biomass of flounder that could have deprived cod  
325 of important benthic food resources (Haase et al., 2020) and increased parasite infestation (Horbowy et  
326 al., 2016). All these factors could have acted, singularly or in combination, on cod together with direct  
327 low-oxygen exposure shaping the decline in its condition observed in the past three decades. Moreover,  
328 cod condition was relatively low also in the 1970s-1980s (although not showing individuals with very  
329 low condition, Fig. 2), when the cod population did not seem to spend time in low-oxygen waters,  
330 confirming that the main drivers of mean condition can vary in time.

#### 331 **4.4 Conclusions**

332 We have shown here the potential mechanisms linking deoxygenation to cod condition in the Baltic  
333 Sea. A combination of increased depth of distribution of the cod population and a vertical rise of the  
334 “sub-lethal” oxygen layers has led cod dwelling progressively more in hostile low-oxygen waters,  
335 contributing to explain the reduction in cod condition in the past two decades. Further analyses should  
336 focus on revealing the reasons of the shift of cod distribution to deeper and less-oxygenated waters. We  
337 stress that our depth analyses were focused on the autumn season, when cod growth is maximised for  
338 the accumulation of energy reserves to be utilized for spawning the following spring-summer (Mion et  
339 al., 2020). The changes in cod depth of distribution are different in other seasons, especially those before

340 and during spawning (Orio et al., 2019), when cod could have different environment requirements for  
341 reproduction. Therefore, further analyses should be performed to investigate the changes in cod  
342 population depth distribution in relation to oxygen stratification in other seasons to better understand  
343 the biotic and abiotic spatio-temporal dynamics, and their effects on cod performance, over the entire  
344 year.

345

#### 346 **Data availability**

347 Time-series used in this study are available upon request to the corresponding author.

#### 348 **Author contribution**

349 MC designed and coordinated the study. MC, MH, AO and KL prepared the raw data. MC estimated  
350 cod condition, MH performed the hydrographic modelling, and AO performed the cod distribution  
351 modelling. MC prepared the first draft of the manuscript and all authors contributed to the final version.

#### 352 **Competing interests**

353 The authors declare that they have no conflict of interest.

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511 **Figure captions**

512 Fig. 1. Bathymetric Map of the Baltic Sea divided into ICES Subdivisions. The study area includes the  
513 SDs 25–28 (i.e. the Central Baltic Sea).

514 Fig. 2. Maps of the Baltic Sea with superimposed the areas with oxygen concentration  $\leq 1$  ml/l (black,  
515 avoided by cod) and  $\leq 4.3$  ml/l (grey, sub-lethal level, producing negative effects on cod performance)  
516 in 1990 (panel A) and 2018 (panel B). Time-series of the total area (km<sup>2</sup>) with oxygen concentration  $\leq$   
517 1 ml/l and  $\leq 4.3$  ml/l in the Subdivisions 25-28 (panel C). Data were from the Swedish Meteorological  
518 and Hydrological Institute (SMHI, [www.smhi.se](http://www.smhi.se)) (see also Casini et al., 2016a).

519 Fig. 3. Temporal developments of mean cod condition ( $\pm 1$  s.d.) in Subdivision (SD) 25 and SDs 26-28  
520 for small cod (20-29 cm) and large cod (40–49 cm). Superimposed (grey bars) the temporal  
521 developments of the percentage of cod with very low condition ( $< 0.8$ ) for the respective areas and  
522 length classes.

523 Fig. 4. Time-series of large cod ( $\geq 30$  cm) depth distribution (mean and interquartile range of each  
524 predicted depth distribution; see Orío et al., 2019) as well as depths of oxygen concentration 1 ml/l and  
525 4.3 ml/l, for Subdivision (SD) 25 (panel A) and SDs 26-28 (panel C). Panels B and D, time-series of  
526 the percent of large cod in waters with oxygen concentration  $\leq 4.3$  ml/l (grey bars), and oxygen at the  
527 mean depth and interquartile range of cod distribution (solid line and dotted lines), in SDs 25 and SDs  
528 26-28.

529 Fig. 5. Time-series of small cod ( $< 30$  cm) depth distribution (mean and interquartile range of each  
530 predicted depth distribution; see Orío et al., 2019) as well as depth of oxygen concentration 1 ml/l and  
531 4.3 ml/l, for Subdivision (SD) 25 (panel A) and SDs 26-28 (panel C). Panels B and D, time-series of  
532 the percent of small cod in waters with oxygen concentration  $\leq 4.3$  ml/l (grey bars), and oxygen at the  
533 mean depth and interquartile range of cod distribution (solid line and dotted lines), in SD 25 and SDs  
534 26-28.

535 Fig. 6. Results of the General Additive Models (GAMs). The plots show the partial effects of the depth  
536 overlap (i.e. overlap between cod depth range of distribution and the depth of the water layer with

537 oxygen concentration  $\leq 4.3$  ml/l) and of the actual oxygen experienced (at the lowest interquartile of  
538 the cod depth of distribution) on cod condition, for large (A) and small (B) cod. Blue and red dots  
539 represent SD 25 and SDs 26-28, respectively. Statistics for large cod (A): *Depth overlap* (edf = 1.00; F  
540 = 6.46; p = 0.01), *Oxygen experienced* (edf = 2.86; F = 10.88; p < 0.00001). Statistics for small cod (B):  
541 *Depth overlap* (edf = 1.30; F = 0.82; p = 0.55), *Oxygen experienced* (edf = 1.51; F = 17.60; p < 0.00001).  
542 See Fig. S1 and S2 for the analysis of the residuals.

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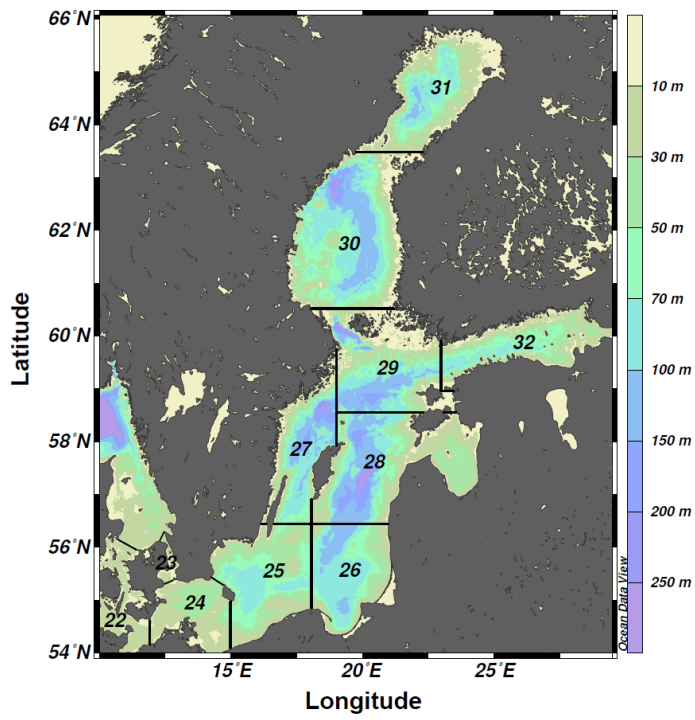
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559 Figure 1

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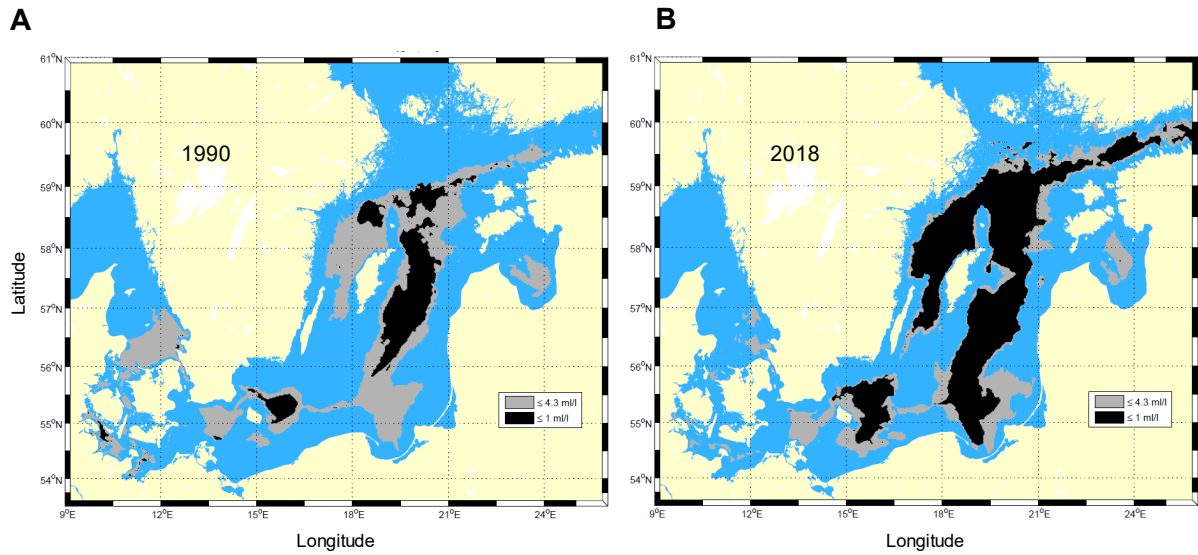
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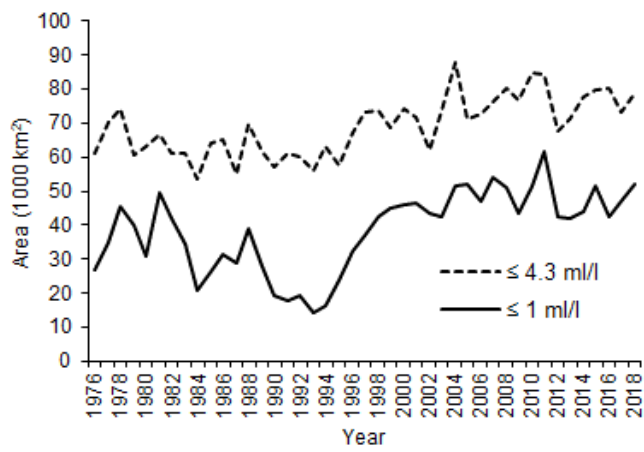
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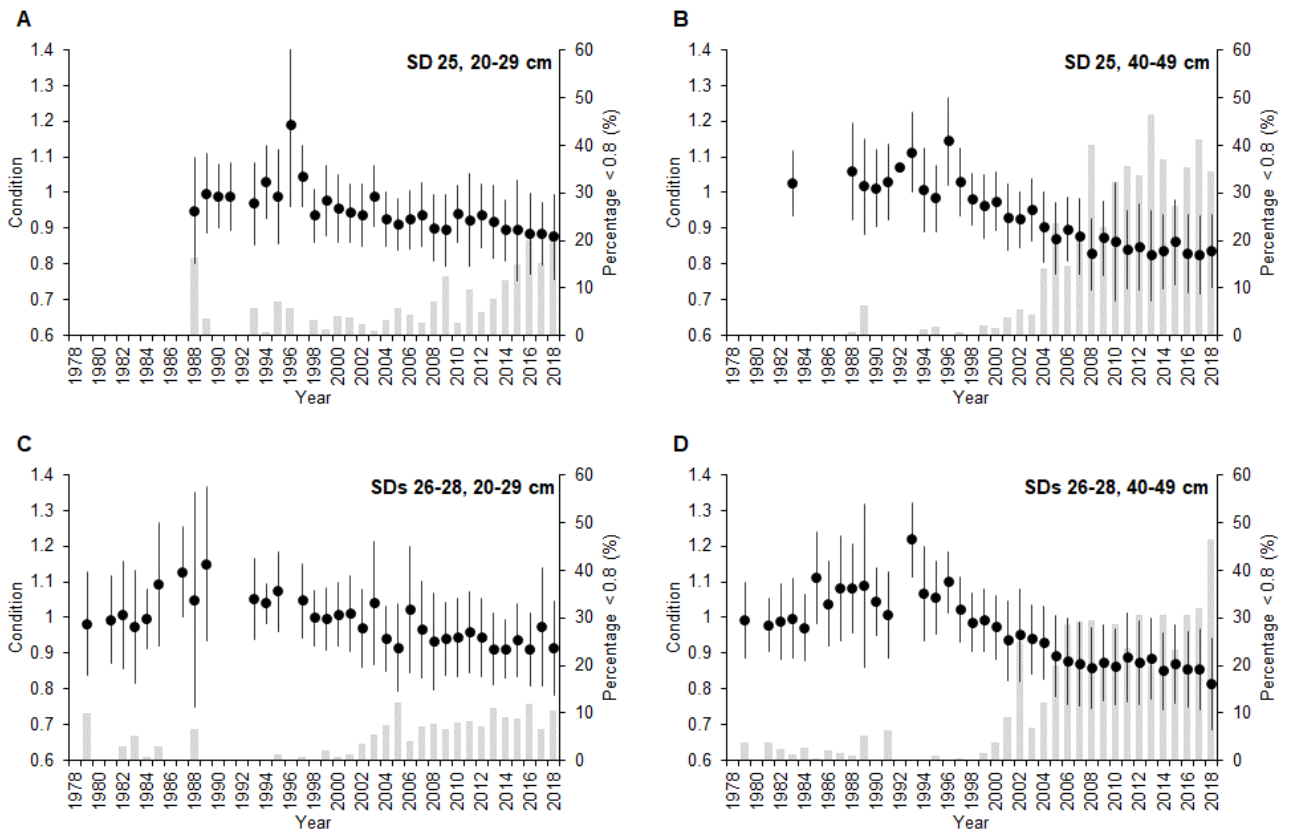
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583 Figure 3

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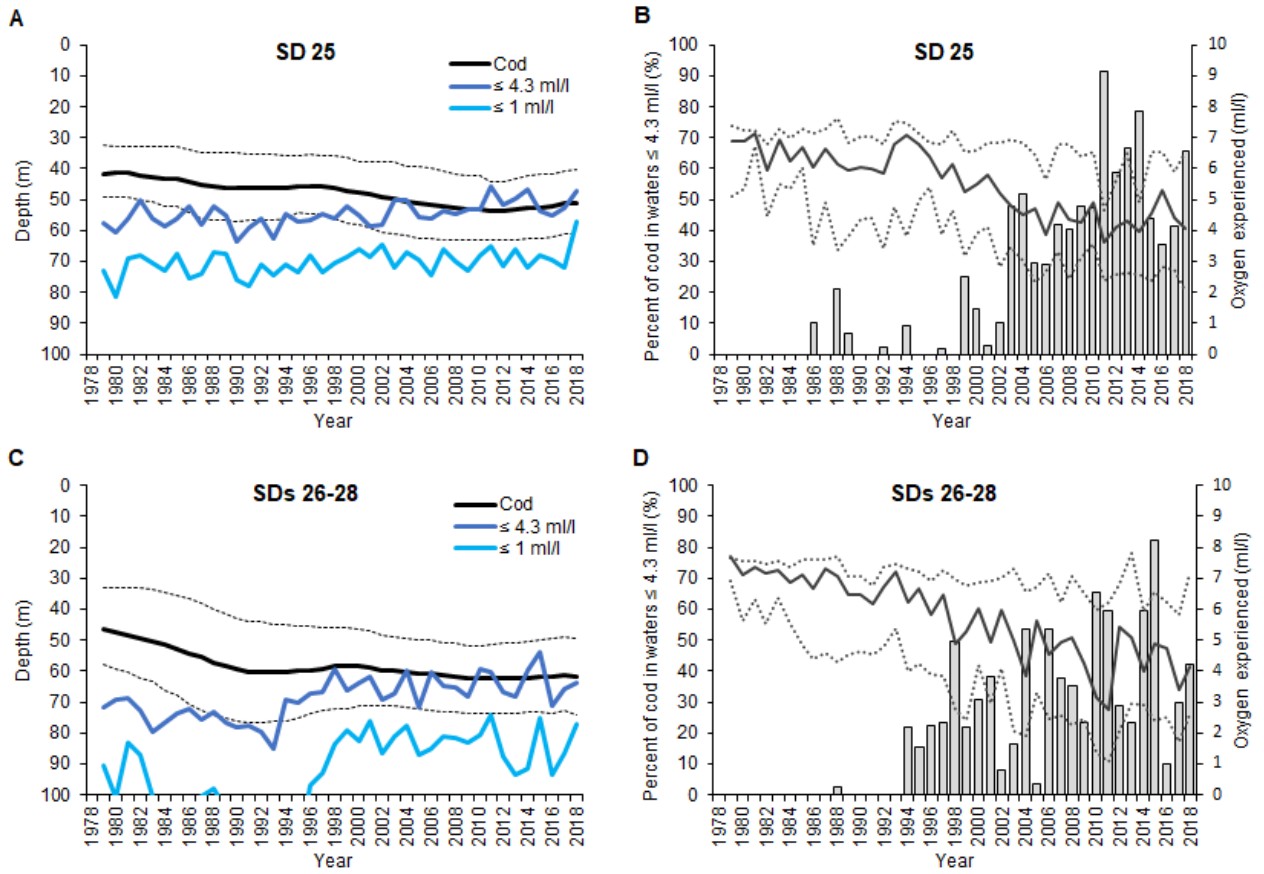
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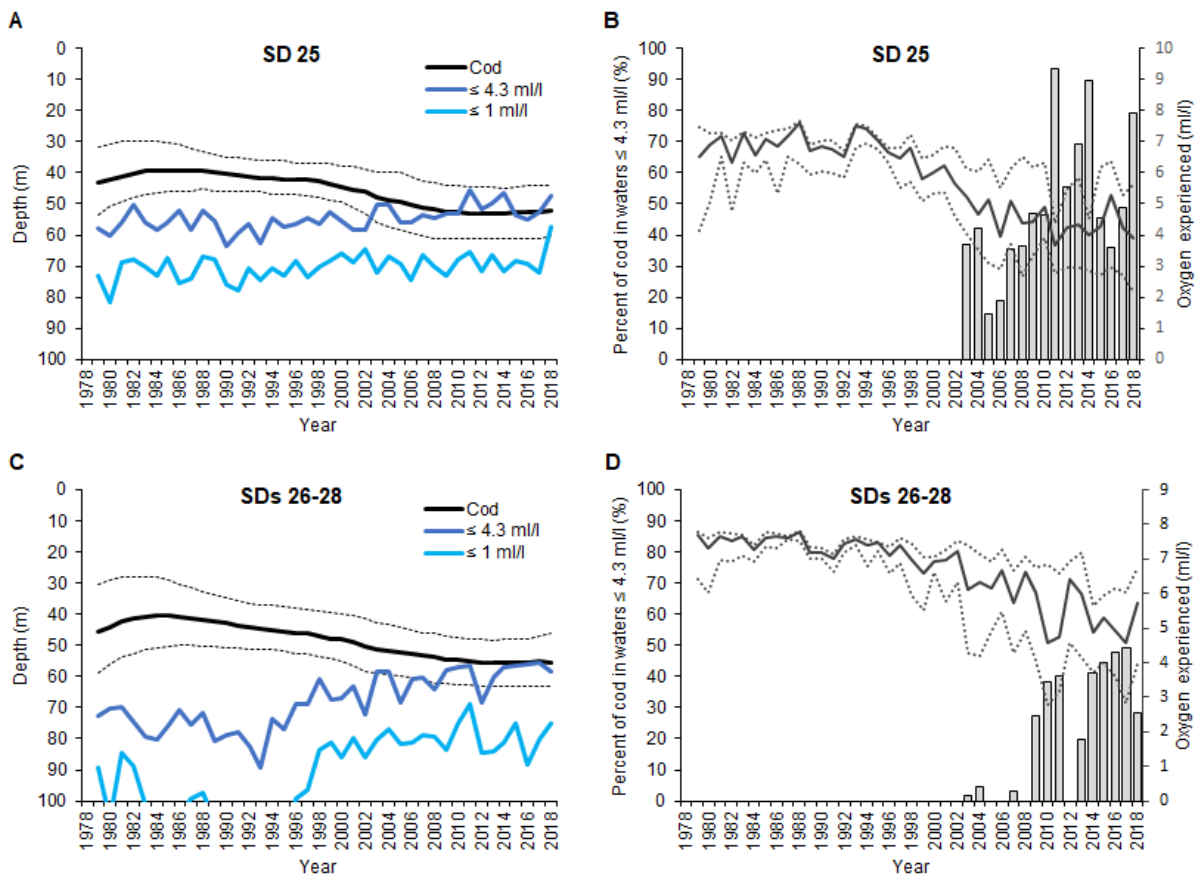
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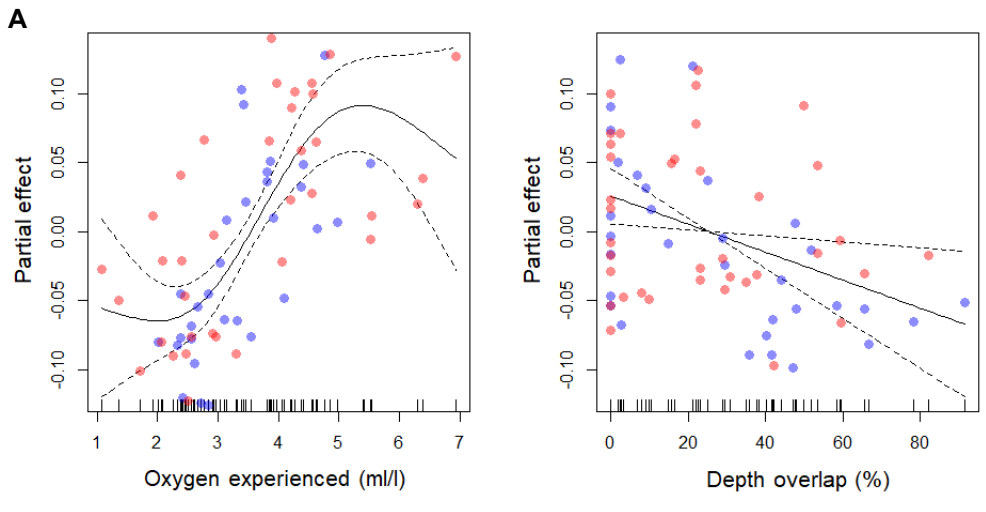
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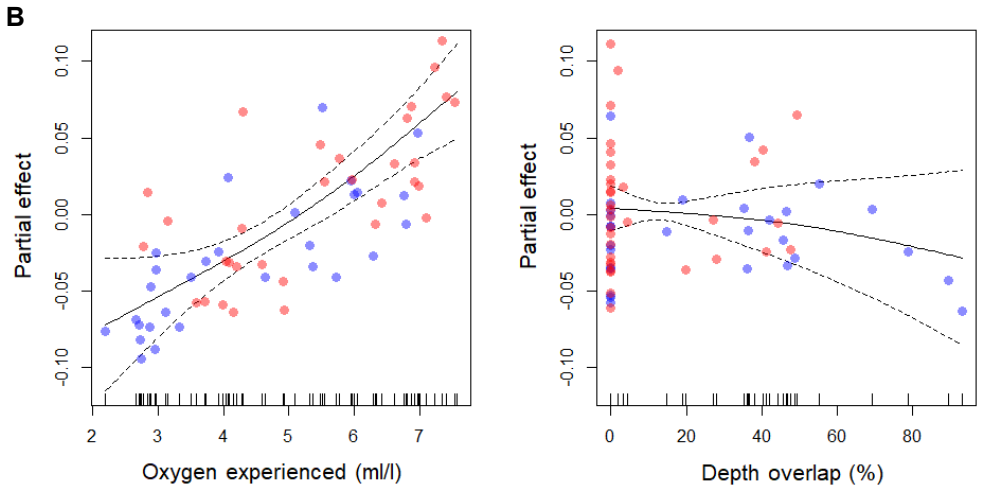


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610 Figure 6



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