

1 **Variations in diurnal and seasonal net ecosystem carbon dioxide**  
2 **exchange in a semiarid sandy grassland ecosystem in China's Horqin**  
3 **Sandy Land**

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18

19 **Abstract**

20 Grasslands are major terrestrial ecosystems in arid and semiarid regions, and play  
21 important roles in the regional carbon dioxide (CO<sub>2</sub>) balance and cycles. Sandy  
22 grasslands are sensitive to climate change, yet the magnitudes, patterns, and  
23 environmental controls of their CO<sub>2</sub> flows are poorly understood for some regions (e.g.,  
24 China's Horqin Sandy Land). Here, we report the results from continuous year-round  
25 CO<sub>2</sub> flux measurements in 5 years from a sandy grassland in China's Horqin Sandy  
26 Land. The grassland was a net CO<sub>2</sub> source at an annual scale, with a mean annual net  
27 ecosystem CO<sub>2</sub> exchange (NEE) of  $49 \pm 8 \text{ g C m}^{-2} \text{ yr}^{-1}$  in the years for which a complete  
28 dataset was available (2015, 2016, and 2018). Annual precipitation had the strongest  
29 effect on annual NEE; grassland carbon sequestration increased with increasing  
30 precipitation, since NEE depended on annual precipitation. In the spring, NEE  
31 decreased (i.e., C sequestration increased) with increasing magnitude of effective  
32 precipitation pulses, total monthly precipitation, and soil temperature (T<sub>soil</sub>). In the  
33 summer, NEE was dominated by the total seasonal precipitation and high-precipitation  
34 pulses (>20 mm). In the autumn, NEE increased (i.e., C sequestration decreased) with  
35 increasing effective precipitation pulses, T<sub>soil</sub>, and near-surface soil water content  
36 (SWC), but decreased with increased SWC deeper in the soil. In the winter, NEE  
37 decreased with increasing T<sub>soil</sub> and SWC. The sandy grassland was a net annual CO<sub>2</sub>  
38 source because drought decreased carbon sequestration by the annual plants. Long-term  
39 observations will be necessary to reveal the true source or sink intensity and its response  
40 to environmental and biological factors.

41 **Keywords:** Net ecosystem CO<sub>2</sub> exchange (NEE); Gross primary productivity (GPP);  
42 ecosystem respiration (R<sub>ec</sub>); Eddy covariance; Horqin Sandy Land

43 **1 Introduction**

44 Arid and semiarid ecosystems cover 30 to 40 % of the global terrestrial surface  
45 (Poulter et al., 2014). The extent and distribution of these areas are increasing in  
46 response to factors such as climate change, changes in wildfire frequency and intensity,  
47 and changes in land use (Asner et al., 2003; Hastings et al., 2010). These ecosystems

48 are important because they account for 30 to 35 % of terrestrial net primary productivity  
49 (Gao et al., 2012; Liu et al., 2016a) and approximately 15 % of the global soil organic  
50 carbon pool (Lal, 2004; Liu et al., 2016a). Thus, these areas are important contributors  
51 to the global carbon budget due to their wide distribution (Emmerich, 2003; Noretto et  
52 al., 2006; Poulter et al., 2014; Zhou et al., 2020), and arid and semiarid ecosystems will  
53 have significant effects on the global carbon cycle and carbon balance (Lal, 2004;  
54 Biederman et al., 2017). However, the availability of continuous, long-term  
55 measurements of water and net ecosystem CO<sub>2</sub> exchange (NEE) has lagged in arid and  
56 semiarid ecosystems (Baldocchi et al., 2001; Hastings et al., 2010; Biederman et al.,  
57 2017). Recent research on the relationship between NEE and water in drylands has  
58 focused on the southwestern United States (Scott et al., 2015; Biederman et al., 2016,  
59 2017) and Australia (Cleverly et al., 2016; Li et al., 2017). Compared with the more  
60 constant sink that is typically measured in mesic ecosystems, dryland ecosystems  
61 showed a wide range of carbon sink or source functions for diverse vegetation types  
62 (Biederman et al., 2017). Moreover, water availability (e.g., precipitation,  
63 evapotranspiration, soil moisture) plays a dominant role in regulating ecosystem carbon  
64 fluxes and their responses to climatic change in dryland ecosystems (Niu et al., 2008;  
65 Biederman et al., 2016; Ago et al., 2016). However, to our knowledge, there has been  
66 no report on the intra-annual and interannual variation of ecosystem-scale carbon fluxes  
67 in China's Horqin Sandy Land, an important dryland ecosystem in northern China.  
68 Therefore, we designed the present study to reveal how changes in water availability  
69 (e.g., total precipitation, pulse size) affect carbon fluxes in the sandy grassland  
70 ecosystems of the Horqin Sandy Land.

71 The Horqin Sandy Land is the largest sandy land in China, and nearly 80 % of the  
72 area has been desertified (Li et al., 2019). Here, we define "sandy land" as land covered  
73 by a sandy soil, with a vegetation cover less than 5 %, which includes areas of sandy  
74 desert (Yan et al., 2003). Sandy land includes multiple overlapping ecotones, including  
75 transition zones between areas with different population pressures and between semi-  
76 humid and semiarid areas, and occurs in typical agro-pastoral ecotones. The ecological

77 environment is fragile and extremely sensitive to climate change and human activities  
78 (Bagan et al., 2010; Zhao et al., 2015). The region's sandy grassland grows on aeolian  
79 sandy soils or areas with sandy soils as the substrate, and is typical of the grassland  
80 vegetation that develops in sandy land (Munkhdalai et al., 2007). This grassland  
81 ecosystem is widespread in the Horqin Sandy Land (Zhao et al., 2007). Research  
82 showed that the restoration of degraded sandy grassland can increase its productivity  
83 and carbon sequestration, and that the ecosystem can begin to act as a carbon sink (Ruiz-  
84 Jaen and Aide, 2005; Zhao et al., 2016). However, other studies showed that it was a  
85 carbon source (Li et al., 2012; Niu et al., 2018). Moreover, we do not yet fully  
86 understand the characteristics of NEE and its components (gross primary productivity  
87 [GPP] and ecosystem respiration [ $R_{ec}$ ]) at an ecosystem scale, particularly for sandy  
88 grassland protected by grazing exclosures, and more data are needed, particularly for  
89 semiarid sandy land (Barrett, 1968; Czobel et al., 2012). Therefore, long-term  
90 monitoring of carbon fluxes, of their dynamics, and of the carbon budget of sandy  
91 grassland ecosystems will clarify the factors that determine whether sandy grassland  
92 ecosystems function as carbon sources or sinks and fill gaps in our knowledge of the  
93 current carbon budget of the world's drylands.

94 Precipitation is one of the factors that most strongly affects NEE in arid and semiarid  
95 areas (Scott et al. 2015; Biederman et al. 2016). Slight changes to the amount and  
96 frequency of precipitation may trigger complex interactions among biochemical  
97 processes at the ecosystem level (Emmerich and Verdugo, 2008; Cleverly et al., 2016).  
98 Small precipitation pulses promote ecosystem carbon loss, chiefly through microbial  
99 respiration, and large precipitation pulses are necessary to elicit net carbon gain by the  
100 ecosystem's autotrophic components (Huxman et al., 2004; Schwinning and Sala, 2004;  
101 Hao et al., 2010). To better understand the effects of precipitation on NEE, we asked  
102 the following question: Is there a threshold of "effective precipitation" that determines  
103 whether ecosystem carbon fluxes will lead to net sequestration or net emission in sandy  
104 grasslands?

105 Precipitation is characterized by discrete events in arid and semiarid regions, with

106 high variability in the amount, duration, and frequency of precipitation at intra-annual  
107 (e.g., seasonal) and inter-annual scales (Hao et al., 2010; Ponce Campos et al., 2013).  
108 These discrete and largely unpredictable events may lead to pulsed availability of soil  
109 water and nutrients, with both spatial and temporal variation (Noy-Meir, 1973; Zhao  
110 and Liu, 2011). The responses of photosynthesis and respiration to precipitation are  
111 seasonally specific because of differences in the depth of soil water infiltration and  
112 because these processes differ in their sensitivity to temperature (Li and Zhou, 2012).  
113 Spring and autumn precipitation are important controls on the beginning and end dates  
114 of the growing season, so the ability of these events to change carbon accumulation or  
115 emission should not be ignored, especially in semiarid and arid regions (Prev éy et al.,  
116 2014; Shen et al., 2015). This is particularly true when relatively low temperatures limit  
117 soil microbial respiration during certain periods (Knorr et al., 2005). Summer  
118 precipitation tends to comprise a relatively large total amount, provided by relatively  
119 large pulses, and can infiltrate the soil to a depth where it becomes plant-available and  
120 can trigger net photosynthesis, but a combination of high temperatures and high soil  
121 moisture also stimulate respiration by soil microbes (Huxman et al., 2004; Chen et al.,  
122 2009; Liu et al., 2016a; Zhou et al., 2020). The total amount and pulse size of summer  
123 precipitation may therefore play an important role in regulating inter-annual variations  
124 of the ecosystem carbon balance (Chen et al., 2009; Scott et al., 2009; Wu et al., 2012).  
125 Understanding the consequences of climate change, and particularly the changes in  
126 precipitation patterns and their effect on soil water regimes, may be critical for  
127 developing strategies to preserve or restore these sandy grasslands.

128 In this paper, we present the results from continuous (14 September 2014 to 31  
129 December 2018) *in situ* monitoring of CO<sub>2</sub> fluxes (NEE, GPP, and R<sub>ec</sub>) in the Horqin  
130 Sandy Land's sandy grassland using the eddy covariance technique. We quantify the  
131 CO<sub>2</sub> fluxes over different timescales, and identify the factors that control the  
132 ecosystem's carbon balance. We had the following goals: (1) To quantify the annual,  
133 seasonal, and diurnal variation in NEE, GPP, and R<sub>ec</sub>. We hypothesized that the sandy  
134 grassland is a carbon source at the ecosystem scale, because the sandy grassland is

135 dominated by annual plants that are vulnerable to drought (Li et al., 2016; Kang et al.,  
136 2018), and that GPP would depend strongly on precipitation in this ecosystem, so that  
137 years with low precipitation would cause the ecosystem to become a net carbon source.  
138 (2) To determine whether there is a threshold of “effective precipitation” in this sandy  
139 grassland. Based on the response thresholds of shrubs and herbs to precipitation in arid  
140 and semiarid areas (Hao et al., 2010; Zhou et al., 2020), we hypothesized that an  
141 “effective precipitation” threshold would exist at around 5 mm, and that precipitation  
142 greater than this threshold would alter soil moisture in deeper layers and thereby affect  
143 carbon fluxes in the sandy grassland ecosystem. (3) To explore the effects of changes  
144 in total precipitation and pulse size on NEE, GPP, and  $R_{ec}$ . We also hypothesized that  
145 spring, summer, and autumn precipitation would have different impacts on the  
146 ecosystem  $CO_2$  exchange through their differential effects on plant photosynthesis and  
147 soil respiration (Scott et al., 2009).

## 148 **2 Materials and methods**

### 149 **2.1 Experimental site**

150 Our study was conducted in a sandy grassland in the southern part of the Horqin  
151 Sandy Land, Inner Mongolia, China, at the Naiman Desertification Research Station of  
152 the Chinese Academy of Sciences (42 °55' N, 120 °42' E) (Fig. 1a). The terrain is flat,  
153 and it evolved from reclamation of sandy grassland for agriculture that led to severe  
154 desertification, after which cultivation was abandoned and grazing exclosures were  
155 established to allow natural recovery of the vegetation, starting in 1985 (Zhao et al.,  
156 2007). Thus, the grassland had been recovering naturally for nearly 30 years when our  
157 study began. At an elevation of 377 m a.s.l., the study area has a continental semiarid  
158 monsoon temperate climate regime. The mean annual temperature is 6.8 °C, with mean  
159 monthly temperatures ranging from -9.63 °C in January to 24.58 °C in July. Average  
160 annual precipitation is approximately 360 mm, with about 70 % of the precipitation  
161 occurring during the growing season, between June and August. Annual mean potential  
162 evaporation is approximately 1973 mm. The annual frost-free period is 130 to 150 days.  
163 The most common soil type in the study region is a sandy chestnut soil, but most of the

164 soil has been degraded by a combination of climate change and anthropogenic activity  
165 (unsustainable grazing or agriculture) into an aeolian sandy soil under the action of  
166 wind erosion (Zhao et al., 2007), with coarse sand, fine sand, and clay-silt contents of  
167 92.7, 3.3, and 4.0 %, respectively, in the topsoil to a depth of 20 cm. The contents of  
168 soil organic carbon and total nitrogen were 1.27 and 0.21 g kg<sup>-1</sup>, respectively.  
169 Vegetation cover in the study area ranged from 50 to 70 %. The dominant plant species  
170 were annual herbs, including *Artemisia scoparia*, *Setaria viridis*, *Salsola collina*, and  
171 *Corispermum hyssopifolium* (Niu et al., 2018).

## 172 **2.2 Micrometeorological measurements**

173 Along with the flux measurements obtained by the eddy covariance equipment (see  
174 the next section for details), we measured standard meteorological and soil parameters  
175 continuously with an array of sensors. A propeller anemometer was installed at the top  
176 of the meteorological tower to measure the wind speed and direction. Net solar radiation  
177 ( $R_n$ , W m<sup>-2</sup>) was measured by a four-component radiometer (CNR-1, Kipp and Zonen,  
178 Delft, the Netherlands) installed at 1 m above the ground. The air temperature ( $T_{air}$ , °C)  
179 and relative humidity (%) instrument (HMP45C, Vaisala Inc., Helsinki, Finland) was  
180 mounted at 2 m above the ground to measure the  $T_{air}$ , relative humidity, and atmospheric  
181 pressure (kPa). Precipitation (mm) measurements were obtained from a meteorological  
182 station 400 m from the study site. Total daily precipitation was treated as a single event  
183 rather than as a series of events.

184 We installed five CS109 temperature probes (Campbell Scientific, Logan, UT, USA)  
185 and five CS616 moisture probes (Campbell Scientific) in the soil at depths of 10, 20,  
186 30, 40, and 50 cm to measure soil temperature ( $T_{soil}$ , °C) and soil water content  
187 (SWC, %). Two self-calibrating HFP01 soil heat flux (SHF, W m<sup>-2</sup>) sensors (Hukseflux,  
188 Delft, the Netherlands) were buried 5 and 10 cm below the ground to obtain the SHF  
189 data that was used to calculate the energy closure. All of the environmental parameters  
190 were measured simultaneously with the eddy covariance measurements, and all data  
191 were recorded as 30-min mean values with a CR3000 datalogger (Campbell Scientific).

## 192 **2.3 Eddy covariance observations**

193 An eddy covariance flux tower (2.0 m high) was installed at the center of the  
194 observation field (Fig. 1b, c). We have continuously monitored CO<sub>2</sub>, water, and heat  
195 fluxes at the tower using the eddy covariance system since late 2014. The site was flat  
196 and comprised homogeneous vegetation. The upwind fetch was about 200 m under  
197 unstable atmospheric conditions, which was greater than the flux footprint (Schmid,  
198 1997; Xu and Baldocchi, 2004). The eddy covariance system consisted of an LI-7500  
199 infrared gas analyzer (Li-Cor Inc., Lincoln, NE, USA), with an accuracy of 1 % or  
200 better, and recorded measurements at a frequency of 10 Hz, and a CSAT 3 three-  
201 dimensional ultrasonic anemometer (Campbell Scientific), with an accuracy of 2 % or  
202 better, and recorded measurements at a frequency of 10 Hz. Raw 10-Hz data were  
203 recorded by a CR3000 datalogger. The operation, calibration, and maintenance of the  
204 eddy covariance system followed the manufacturers' standard procedures. The LI-7500  
205 was calibrated every 6 months for CO<sub>2</sub>, water vapor, and dew point values using  
206 calibration gases and dew point generator measurements supported by the China Land-  
207 Atmosphere Coordinated Observation System (Yun et al., 2018). We cleaned the mirror  
208 of the LI-7500 every 15 days to maintain the automatic gain control value below its  
209 threshold (55 to 65). All of the instruments were powered by solar panels connected to  
210 a battery.

#### 211 **2.4 Data quality and gap-filling method**

212 We used the EddyPro 6.2.0 software (Li-Cor) to process the 10-Hz raw eddy  
213 covariance data. Processing (based on the manufacturer's recommendations and  
214 previous research) included spike removal, lag correction, secondary coordinate  
215 rotation, Webb-Pearman-Leuning correction, sonic virtual temperature conversion,  
216 and infrared gas analyzer self-heating correction during the coldest days (with  
217 temperatures  $< -10$  °C) (Webb et al., 1980; Burba et al., 2008). We used the data  
218 processing method of Lee et al. (2004) to process the 30-min mean raw flux  
219 measurements to ensure their quality. Processed data were further corrected for weather  
220 effects and sensor uncertainty using the following procedure: (1) We removed data  
221 gathered during precipitation events, and during periods of sensor maintenance or



222 malfunction. (2) We excluded unrealistic CO<sub>2</sub> flux data (values outside the range of –  
223 45.45 to 45.45 μmol CO<sub>2</sub> m<sup>-2</sup> s<sup>-1</sup>). (3) We rejected data collected during periods of  
224 insufficient turbulent mixing using a friction-velocity filter ( $u^* < 0.1 \text{ m s}^{-1}$ ) for data  
225 collected at night (Reichstein et al., 2005; Scott et al., 2009). This screening resulted in  
226 the rejection of 20 to 30 % of the flux data, depending on the period.

227 We used several strategies to compensate for missing data. We used linear  
228 interpolation to fill gaps that were shorter than 2 h. For longer gaps, NEE was classified  
229 based on the R<sub>n</sub> as the daytime exchange (NEE<sub>day</sub>; R<sub>n</sub> ≥ 1 W m<sup>-2</sup>) or the night-time  
230 exchange (NEE<sub>night</sub>; R<sub>n</sub> < 1 W m<sup>-2</sup>). We handled gaps in the NEE<sub>day</sub> using the mean  
231 diurnal variation with a 7-day window centered on the day with missing data (Falge et  
232 al., 2001), and handled gaps in the NEE<sub>night</sub> using equation 1, with the parameter values  
233 calculated with a 7-day moving window centered on the day with missing data using  
234 version 22 of the SPSS software (IBM, Armonk, NY, USA) (Lloyd and Taylor, 1994;  
235 Reichstein et al., 2005).

$$236 \quad \text{NEE}_{\text{night}} = R_0 \exp(b T_{10}) \quad (1)$$

237 where R<sub>0</sub> is the base ecosystem respiration rate when the soil temperature is 0 °C, b  
238 is an empirically determined coefficient, and T<sub>10</sub> is the soil temperature at a depth of 10  
239 cm. Daytime ecosystem respiration can be estimated by extrapolation from the  
240 parameterization derived from Eq. (1). We did not attempt to fill gaps longer than 7  
241 days, and treated those gaps as missing data. Gross primary productivity (GPP) was  
242 obtained as follows:

$$243 \quad \text{GPP} = R_{\text{ec}} - \text{NEE} \quad (2)$$

244 We used the standard sign convention for NEE, with NEE > 0 indicating a net loss  
245 of CO<sub>2</sub> to the atmosphere (source) and NEE < 0 indicating net CO<sub>2</sub> uptake by the  
246 ecosystem (sink).

247 We evaluated the data quality based on the degree of energy closure (sensible heat  
248 + latent heat – net radiation – soil heat flux). The energy closure values for the sandy  
249 grassland from 2015 to 2018 were 87, 83, 58, and 86 %, respectively (Fig. S1).

## 250 **2.5 Statistical analyses**

251 We performed correlation analysis (Pearson's  $r$ ) and regression analysis using the  
252 SPSS software. Unless otherwise noted, we defined statistical significance at  $p < 0.05$ .  
253 Pearson's  $r$  was applied to confirm the strength of the relationships between parameters.  
254 Before regression analysis, we tested for collinearity (using a variance inflation factor  
255 of  $0 < VIF < 10$ ) using the Kaiser–Meyer–Olkin (KMO) test and Bartlett's sphericity  
256 test. Collinearity was used to repartition the  $T_{\text{soil}}$  and SWC data. We considered KMO  
257 values  $> 0.50$  and  $p < 0.05$  for Bartlett's sphericity test to indicate acceptable data (Hair  
258 et al., 2005). The KMO value ranged from 0.52 to 0.78 and  $p < 0.001$  for all Bartlett's  
259 sphericity test results for our data.

## 260 **3 Results**

### 261 **3.1 Meteorological conditions**

262 Figures S2 to S5 show the diurnal and seasonal variation of the meteorological  
263 factors during the observation period. The mean daily  $T_{\text{air}}$ ,  $R_n$ , and  $T_{\text{soil}}$  at depths of 10,  
264 20, 30, 40, and 50 cm showed unimodal seasonal variations in all 4 years. These  
265 parameters were therefore largely stable and did not differ greatly between years, except  
266 for the precipitation and SWC at all depths; precipitation and SWC were lower in 2014  
267 and 2015 than in the other years (Fig. S5b). Thus, precipitation and SWC were the main  
268 factors that influenced NEE, and we focused on them in our analysis. The annual  
269 precipitation totaled 208 mm in 2015, 277 mm in 2016, 313 mm in 2017, and 351 mm  
270 in 2018 (Fig. S5b). Zhao and Liu (2010) showed that precipitation less than 5 mm in  
271 arid and semiarid areas changes SWC primarily in the near-surface soil, and that  
272 precipitation events greater than 5 mm can effectively supplement root layer moisture  
273 at greater depths; these larger pulses are therefore called "effective precipitation". Our  
274 results (Fig. 2) were consistent with this view.

275 The essence of effective precipitation is that precipitation enters the soil below the  
276 surface layer, and becomes part of the soil water; that soil water is then used either  
277 directly or indirectly by the vegetation, and has an impact on the ecosystem's carbon  
278 absorption and emission processes (Joseph Turk et al., 2012). Therefore, we studied the  
279 influence of precipitation on NEE and its components in each season from the

280 perspective of SWC. The climate was drier in 2015, 2016, and 2017 than in a normal  
281 year. Based on the mean annual precipitation of 360 mm from 1960 to 2014,  
282 precipitation was 58 % of this total in 2015, versus 77 % in 2016 and 87 % in 2017,  
283 whereas 2018 was close to a normal year. The variation in soil water content was related  
284 to precipitation patterns. During the spring (March, April, and May), precipitation was  
285 relatively abundant, with mean total spring precipitation of about 42 mm, which  
286 accounted for 12 to 20 % of the total annual precipitation. The majority of the  
287 precipitation (56 to 95 %) occurred in the summer (June, July, and August), with mean  
288 precipitation of about 197 mm. The autumn (September, October, and November)  
289 precipitation was similar to that in spring, with a mean total autumn precipitation of  
290 about 49 mm, which accounted for 14 to 24 % of the annual total. During the winter  
291 (December, January, and February), the mean total precipitation of 0.6 mm accounted  
292 for less than 1 % of the annual total, and was largely stable, with small differences  
293 among the years.

### 294 **3.2 Annual, seasonal, and diurnal variability of NEE, GPP and $R_{ec}$ .**

295 We also observed clear seasonal variations in daily mean NEE, GPP, and  $R_{ec}$  from  
296 2014 to 2018 (Fig. 3). Our results suggest that the sandy grassland was a net  $CO_2$  source  
297 at an annual scale, with an annual mean NEE, GPP, and  $R_{ec}$  of  $49 \pm 8$ ,  $303 \pm 29$ , and  $352$   
298  $\pm 21$   $g\ C\ m^{-2}\ yr^{-1}$ , respectively, in the years for which a complete dataset was available  
299 (2015, 2016, and 2018) (Fig. 3f). We omitted 2017 from this calculation because of  
300 large gaps in the data, described below. NEE ranged from  $35\ g\ C\ m^{-2}\ yr^{-1}$  in 2018 to  $63$   
301  $g\ C\ m^{-2}\ yr^{-1}$  in 2015, whereas GPP ranged from  $256\ g\ C\ m^{-2}\ yr^{-1}$  in 2015 to  $356\ g\ C\ m^{-2}$   
302  $yr^{-1}$  in 2018 and  $R_{ec}$  ranged from  $319\ g\ C\ m^{-2}\ yr^{-1}$  in 2015 to  $391\ g\ C\ m^{-2}\ yr^{-1}$  in 2018.  
303 From 15 September to 23 December 2014, we measured a cumulative carbon release  
304 of  $47\ g\ C\ m^{-2}$ , with cumulative GPP and  $R_{ec}$  of 25 and  $72\ g\ C\ m^{-2}$ , respectively. From  
305 15 February to 26 April 2017 and from 14 October to 6 November 2017, approximately  
306 3 months of data were missing due to instrument maintenance and calibration, and the  
307 cumulative NEE, GPP, and  $R_{ec}$  were 64, 274, and  $338\ g\ C\ m^{-2}$ , respectively, for the  
308 remaining 9 months of the year. Note that the periods covered by the data are therefore

309 not identical.

310 Figures 4 and 5 show the seasonal NEE, GPP, and  $R_{ec}$  and their diurnal cycles,  
311 respectively. In the spring, the sandy grassland was an atmospheric CO<sub>2</sub> source in all  
312 years, with NEE, GPP, and  $R_{ec}$  averaging  $0.14 \pm 0.04$ ,  $0.60 \pm 0.06$ , and  $0.74 \pm 0.02$  g C  
313  $m^{-2} d^{-1}$ , respectively (Fig. 4a). The diurnal NEE cycle was characterized by a single  
314 peak, and between 7:30 and 16:30, the ecosystem showed net CO<sub>2</sub> absorption (Fig. 5a);  
315 the rest of the day was characterized by weak CO<sub>2</sub> emission. Note that although all  
316 times in China are reported as the Beijing time, the study site was not sufficiently far  
317 east of Beijing for this to affect the physiological meaning of these times. The average  
318 diurnal GPP was also characterized by a single peak, with positive values from around  
319 05:00 to around 19:30, and the diurnal  $R_{ec}$  was characterized by an approximately  
320 horizontal line at about  $0.75 \mu mol m^{-2} s^{-1}$ , but with slightly higher respiration during  
321 the day.

322 In summer, the sandy grassland was a CO<sub>2</sub> sink in all years, with NEE, GPP, and  $R_{ec}$   
323 averaging  $-0.66 \pm 0.08$ ,  $2.45 \pm 0.09$ , and  $1.79 \pm 0.04$  g C  $m^{-2} d^{-1}$ , respectively (Fig. 4b).  
324 The diurnal cycles of NEE and GPP were also characterized by a single peak, and the  
325 ecosystem CO<sub>2</sub> uptake reached its peak from around 10:30 to 12:00 (Fig. 5b). NEE  
326 decreased (C sequestration increased) with increasing light intensity during the day,  
327 reached its peak value around noon, then increased until sunset, when the ecosystem  
328 changed from net carbon absorption to net carbon release. The diurnal  $R_{ec}$  pattern was  
329 the opposite of the spring pattern, and the peak  $R_{ec}$  occurred at night.

330 In autumn, the sandy grassland was a net source of atmospheric CO<sub>2</sub> in all years,  
331 with NEE, GPP, and  $R_{ec}$  averaging  $0.50 \pm 0.03$ ,  $0.26 \pm 0.03$ , and  $0.76 \pm 0.04$  g C  $m^{-2}$   
332  $d^{-1}$ , respectively (Fig. 4c). The diurnal dynamics of NEE, GPP, and  $R_{ec}$  in autumn (Fig.  
333 5c) were similar to those in spring (Fig. 5a), but the magnitudes of NEE and GPP in  
334 autumn were lower than in the spring. The diurnal  $R_{ec}$  was similar to the value in the  
335 spring, at about  $0.73 \mu mol m^{-2} s^{-1}$  and with higher values during the day.

336 In winter, the grassland ecosystem functioned as a net CO<sub>2</sub> source in all years, with  
337 an average seasonal NEE of  $0.59 \pm 0.02$  g C  $m^{-2} d^{-1}$  (Fig. 4d). It should also be noted

338 that since the investigation started on 14 September 2014 and ended on 31 December  
339 2018, the 2017 to 2018 winter was only about one-third of the usual length (i.e., it did  
340 not include data from January and February 2019). The diurnal dynamics of the winter  
341 NEE differed from the other seasons (Fig. 5d), with a minimum release value of 0.36  
342  $\mu\text{mol m}^{-2} \text{s}^{-1}$ , and with two emission peaks: at 0.78  $\mu\text{mol m}^{-2} \text{s}^{-1}$  (08:00) and 0.85  $\mu\text{mol}$   
343  $\text{m}^{-2} \text{s}^{-1}$  (16:30).

### 344 **3.3 Responses of NEE, GPP, and $R_{\text{ec}}$ to changes in environmental factors**

345 At an annual scale, the major environment difference among the years with a  
346 complete dataset (2015, 2016, and 2018) was the amount of precipitation (Fig. S5b).  
347 We analyzed the relationship between precipitation and the annual NEE, GPP, and  $R_{\text{ec}}$   
348 in 2015, 2016, and 2018 (Fig. 6). We found that GPP and  $R_{\text{ec}}$  increased significantly  
349 with increasing annual precipitation, whereas NEE decreased significantly with  
350 increasing annual precipitation, indicating that the ecosystem's carbon sequestration  
351 capacity increased with increasing precipitation. Taken together, these results indicated  
352 different magnitudes and directions of response of the three parameters to annual  
353 precipitation.

354 The temperature, precipitation, and  $\text{CO}_2$  fluxes (NEE, GPP, and  $R_{\text{ec}}$ ) were relatively  
355 stable in winter (Fig. 4d, S5). We therefore focused on the relationships between NEE,  
356 its components, and the associated environmental factors in the other three seasons (Fig.  
357 4, 7). In the spring, the monthly precipitation was significantly negatively correlated  
358 with NEE, but significantly positively correlated with GPP and  $R_{\text{ec}}$ , and GPP responded  
359 more strongly than  $R_{\text{ec}}$  to precipitation:  $\text{slope}_{\text{GPP}}(0.88) > \text{slope}_{\text{Rec}}(0.43)$  (Fig.7). That is,  
360 plants were affected more strongly than soil microbes by changes in water availability.  
361 In summer, the monthly precipitation was not significantly correlated with NEE, GPP,  
362 and  $R_{\text{ec}}$  (Fig.7). However, the trends for seasonal average NEE, GPP, and  $R_{\text{ec}}$  were  
363 similar to that for total seasonal precipitation in different years. With increasing  
364 precipitation, GPP and  $R_{\text{ec}}$  increased, whereas NEE decreased (Fig. 4b), and the  
365 summer precipitation therefore increased the carbon sequestration capacity of the  
366 ecosystem. In autumn, the monthly precipitation was significantly positively correlated

367 with GPP and  $R_{ec}$ , with a similar strength of the response to precipitation:  $slope_{R_{ec}}$  (0.75)  
368 and  $slope_{GPP}$  (0.72) (Fig.7), therefore, NEE was not significantly correlated with  
369 monthly precipitation (i.e., because the responses for GPP and  $R_{ec}$  offset each other).

370 At a daily scale, the responses of NEE,  $R_{ec}$ , and GPP to precipitation pulses < 5 mm  
371 were minimal (Fig. 2B; e.g., day of year (DOY) 104 and 107 in the 2016 spring, DOY  
372 176 and 219 in the 2018 summer, DOY 283 and 284 in the 2015 autumn), whereas a  
373 precipitation pulse > 5 mm led to a large response of NEE,  $R_{ec}$ , and GPP (Fig. 2B; e.g.,  
374 DOY 123 and 133 in the 2016 spring, DOY 180 and 185 in the 2018 summer, DOY 254  
375 in the 2015 autumn). This confirms that effective precipitation resulted from a  
376 precipitation pulse > 5 mm. In spring, the effective precipitation pulses significantly  
377 increased the magnitude of  $R_{ec}$  and GPP as the size of the precipitation pulse increased,  
378 and this triggered a significant decrease of NEE (i.e., increased C sequestration; Fig.  
379 2C). In summer, the effective precipitation pulses triggered small changes of NEE, GPP  
380 and  $R_{ec}$ , which rapidly returned to their pre-pulse values (Fig. 2B, e.g., DOY 218 in the  
381 2018 summer). This may have been because of the high temperature and faster  
382 evaporation in summer. However, the high precipitation pulses (>20 mm) significantly  
383 increased GPP, and led to significant decreases of NEE (Fig. 2B, C; e.g., DOY 180, 185,  
384 202, and 224 in the 2018 summer). In autumn, the effective precipitation pulses  
385 significantly decreased GPP and increased NEE (i.e., less C sequestration; Fig. 2C).

386 We also calculated the correlations between the three CO<sub>2</sub> fluxes (NEE,  $R_{ec}$ , and GPP)  
387 and both SWC and  $T_{soil}$  and then performed regression analysis to further understand  
388 their relationship with SWC at depths of 10, 20, 30, 40, and 50 cm in the spring, summer,  
389 and autumn periods (Table S1, Fig. 8). In spring, NEE was significantly negatively  
390 correlated with  $T_{soil}$  from 0 to 50 cm, with SWC from 10 to 50 cm, and with SWC from  
391 0 to 10 cm. GPP and  $R_{ec}$  were significantly positively correlated with these  
392 environmental factors. In summer, NEE was significantly negatively correlated with  
393  $T_{soil}$  from 0 to 50 cm and with SWC from 40 to 50 cm, but was not significantly  
394 correlated with SWC from 0 to 10 cm. GPP and  $R_{ec}$  were significantly positively  
395 correlated with  $T_{soil}$  from 0 to 50 cm, SWC from 10 to 50 cm, and SWC from 0 to 10

396 cm.  $T_{\text{soil}}$  from 0 to 50 cm had a smaller impact on NEE, GPP, and  $R_{\text{ec}}$  in summer than  
397 in spring. In autumn, NEE was significantly positively correlated with  $T_{\text{soil}}$  from 0 to  
398 50 cm and with SWC from 0 to 10 cm, but was significantly negatively correlated with  
399 SWC from 10 to 30 cm. GPP and  $R_{\text{ec}}$  were significantly positively correlated with  $T_{\text{soil}}$   
400 from 0 to 50 cm, SWC from 10 to 50 cm, and SWC from 0 to 10 cm.

## 401 **4 Discussion**

### 402 **4.1 Annual and seasonal mean and diurnal variability**

#### 403 **4.1.1 Comparison with other arid and semiarid ecosystems**

404 As we hypothesized, the sandy grassland ecosystem in the present study was a net  
405  $\text{CO}_2$  source at an annual scale, with an annual mean NEE of  $49 \pm 8 \text{ g C m}^{-2} \text{ yr}^{-1}$  in the  
406 years for which a complete dataset was available (2015, 2016, and 2018). This result  
407 was consistent with results for other ecosystems with similar climate and geographical  
408 conditions. For example, a grassland in New Mexico, United States, was a net source  
409 of  $31 \text{ g C m}^{-2} \text{ yr}^{-1}$  during dry study periods (Petrie et al., 2015). A savanna in southern  
410 Arizona, United States, was also a net source of  $\text{CO}_2$  to the atmosphere, with emission  
411 ranging from 14 to  $95 \text{ g C m}^{-2} \text{ yr}^{-1}$  and the strength of the source increasing with  
412 decreasing precipitation (Scott et al., 2014). A woodland in central Australia was  
413 carbon-neutral during a dry year (Cleverly et al., 2013). In contrast, many other arid  
414 and semiarid dry ecosystems were a significant net sink for  $\text{CO}_2$ . For example, a desert  
415 ecosystem in the United States had net C sequestration of 102 to  $110 \text{ g C m}^{-2} \text{ yr}^{-1}$   
416 (Wohlfahrt et al., 2008); an artificial sand-binding vegetation system in China's Tengger  
417 Desert had net sequestration of 14 and  $23 \text{ g C m}^{-2} \text{ yr}^{-1}$  in two consecutive years (Gao et  
418 al., 2012); a phreatophyte-dominated desert ecosystem in China's Gurbantunggut  
419 Desert had net sequestration of 5 to  $40 \text{ g C m}^{-2} \text{ yr}^{-1}$  (Liu et al., 2016a); and a shrubland  
420 in China's Mu Us desert had net sequestration of  $77 \text{ g C m}^{-2} \text{ yr}^{-1}$  (Jia et al., 2014).

421 The most likely reason for these differences among studies relates to the effects of  
422 vegetation cover differ and moisture. Our observations in 2015 and 2016 were in dry  
423 years, with precipitation considerably below the long-term average, and because NEE  
424 was negatively related to precipitation (Fig. 6), this would have decreased carbon

425 sequestration by the ecosystem. Previous studies showed that annual species such as  
426 the vegetation in our study area can be extremely vulnerable to drought (Jongen et al.,  
427 2011; Sun et al., 2015; Liu et al., 2016a). Drought was the main source of inter-annual  
428 variation in previous research on terrestrial carbon sequestration, as it decreases GPP  
429 and increases NEE (Webb et al., 1978; Sala et al., 1988; Ciais et al., 2005). It will be  
430 necessary to study NEE for a longer period to reveal when that change occurs and the  
431 ecosystem's long-term response to environmental and biological factors (Su et al., 2003;  
432 Niu et al., 2018).

#### 433 **4.1.2 The seasonal and diurnal characteristics of carbon fluxes in the sandy** 434 **grassland ecosystem**

435 In spring, the sandy grassland was a net CO<sub>2</sub> source in all years (Fig. 4a). Before the  
436 summer growing season, both GPP and R<sub>ec</sub> increased with increasing temperature and  
437 precipitation (Niu et al., 2011; Rey et al., 2011). However, plants are just beginning to  
438 germinate in the spring, so the carbon sequestration capacity of the ecosystem is less  
439 than the carbon release capacity (Delpierre et al., 2010; Liu et al., 2016a; Zhang et al.,  
440 2016). Therefore, the ecosystem was a net CO<sub>2</sub> source.

441 In summer, the sandy grassland was a CO<sub>2</sub> sink in all years (Fig. 4b). Our results  
442 agree with previous results for the study area (Li et al., 2015), as well as with results  
443 for a semiarid savanna in Australia (Hutley et al., 2005) and a grassland in California  
444 (Ma et al., 2007). GPP and R<sub>ec</sub> increased because of the favorable temperature and  
445 moisture conditions. Some studies showed that photosynthesis is greater than  
446 respiration during the peak of the growing season (Kemp, 1983; Liu et al., 2016a; Niu  
447 et al., 2018). Our result was consistent with these studies, since Figure 8 shows that  
448 these conditions increased carbon uptake (i.e., NEE became more negative). Then,  
449 because the sensitivity of GPP to T<sub>soil</sub> and moisture was greater than that of R<sub>ec</sub>, the  
450 ecosystem became a net CO<sub>2</sub> sink.

451 In the autumn and winter, the sandy grassland was a net CO<sub>2</sub> source in all years (Fig.  
452 4c, d). At the end of the growing season (in autumn), annual plants begin to die and  
453 photosynthesis weakens (Fang et al., 2014). As a result, the ecosystem gradually



454 transforms from a carbon sink to a carbon source (Keenan et al., 2009; Kiely et al.,  
455 2009). In winter, plants are either dead or dormant, so there is no C uptake.

456 At the diurnal scale, NEE in the spring and summer showed CO<sub>2</sub> uptake during the  
457 day (06:00-18:00), and CO<sub>2</sub> emission during the night (Fig. 5a, b), which agrees with  
458 previous research (Wagle and Kakani, 2014; Jia et al., 2014). In summer, the nighttime  
459 R<sub>ec</sub> was higher than that in daytime (Fig. 5b). This may relate to two factors. On the one  
460 hand, soil respiration depends on photosynthesis because the litter and root exudates  
461 released by the plants are essential for microbial metabolism. However, the carbon  
462 sequestered by photosynthesis is transported to the roots after several hours, and is  
463 released at night through rhizosphere respiration (Dilkes et al., 2004; Tang et al., 2005).  
464 On the other hand, the air temperature in daytime is higher than the soil temperature,  
465 and the gas pressure is also high, which can inhibit soil CO<sub>2</sub> emission; because the soil  
466 temperature is higher than the air temperature at night, this is conducive to the diffusion  
467 and release of soil CO<sub>2</sub> (Cao et al., 2005).

468 In autumn and winter, the sandy grassland ecosystem showed CO<sub>2</sub> emission  
469 throughout the day (Fig. 5c, d). At a diurnal scale, there were two peaks for NEE (at  
470 sunrise and sunset), and a minimum during the day (Fig. 5d). This phenomenon may  
471 have resulted from heating effects in the open-path infrared gas analyzer, since the  
472 surface of an open-path instrument can become substantially warmer than the ambient  
473 air due to heat generated by the electronics and by the radiation load during the day. In  
474 contrast, radiative cooling at night moderated the temperature increases in the optical  
475 path, especially under a clear sky. The instrument surfaces would warm the air to a  
476 temperature slightly higher than it was before it entered the optical path, and air  
477 expansion would take place. As a result, the CO<sub>2</sub> number density would be lower than  
478 it would have been without the heating (Burba et al., 2008). Yearly estimates of NEE  
479 may be significantly biased toward CO<sub>2</sub> uptake in cold-climate ecosystems, and may  
480 need to be revised (Goulden et al., 2006; Grelle and Burba, 2007; Burba et al., 2008).  
481 We used the EddyPro software to calibrate the infrared gas analyzer by providing a self-  
482 heating correction during the winter. After correction, the NEE value changed from

483 negative to positive (i.e., net emission), which realistically reflects the characteristics  
484 of NEE in winter. However, the correction does not completely eliminate the self-  
485 heating of the infrared gas analyzer (Burba et al., 2008), so the magnitude of the NEE  
486 value in the daytime in winter is smaller than that at night, which may also explain the  
487 two NEE peaks in the winter. We recently created a Li-Cor LI-8150 gas analyzer system  
488 with six long-term monitoring chambers and installed the system in the footprint area  
489 for the eddy covariance measurements, and we will use the data it generates in future  
490 research to test that hypothesis.

## 491 **4.2 Impacts of the environment on NEE, GPP, and $R_{ec}$**

### 492 **4.2.1 Effects of precipitation on carbon fluxes**

493 Understanding the relationships between precipitation patterns and inter-annual  
494 variations of carbon flux is an important step towards predicting how future climate  
495 change will affect carbon cycles in arid and semiarid ecosystems (Poulter et al., 2014;  
496 Scott et al., 2014; Liu et al., 2016a). Our results demonstrated the important roles of the  
497 environmental factors in regulating the direction and amount of NEE between the  
498 atmosphere and the ecosystem in a sandy grassland in the Horqin Sandy Land. The  
499 dominant environmental factors differed among seasons at different scales, as has been  
500 reported in previous research (Nakano et al., 2008; Ueyama et al., 2010).

501 At an annual scale, the amount of precipitation was the dominant factor in regulating  
502 the annual carbon exchange of this sandy grassland. NEE was negatively linearly  
503 related to precipitation on a monthly basis throughout the year (Fig. 6). This result is  
504 consistent with data from a northern temperate grassland in Canada (Flanagan et al.,  
505 2002) and a tallgrass prairie in the United States (Suyker et al., 2003). Annual  
506 herbaceous plants are vulnerable to decreased precipitation, which decreases their  
507 productivity by reducing stomatal conductance and leaf area, while simultaneously  
508 increasing the soil water deficit (Ford et al., 2008). Soil water deficits and decreased  
509 substrate availability for soil microbes can also decrease  $R_{ec}$  (Shi et al., 2014). In  
510 addition, GPP generally responds more strongly than  $R_{ec}$  to drought in arid and semiarid  
511 areas (Schwalm et al., 2010; Litvak et al., 2015; Delgado-Balbuena et al., 2019). Our

512 result was consistent with these studies, as the slope of the regression line that relates  
513 precipitation to GPP (0.98) was much higher than that for  $R_{ec}$  (0.51) (Fig. 6). However,  
514 we must improve our understanding of the responses of the ecosystem to precipitation  
515 and the underlying mechanisms that control whether the ecosystem will be a carbon  
516 source or sink. To accomplish this, it will be necessary to observe the ecosystem  
517 continuously for a longer period of time.

#### 518 **4.2.2 Effects of environmental factors on seasonal carbon fluxes**

519 The dominant factors varied seasonally. In the spring, NEE was most strongly  
520 affected by  $T_{soil}$  (Fig. 8), SWC (Fig. 8), pulses of effective precipitation (Fig. 2B, C),  
521 and the amount of total monthly precipitation (Fig. 7). After experiencing the winter  
522 cold and drought, GPP and  $R_{ec}$  increased with increasing temperature and precipitation  
523 during the spring (Chu et al., 2013; Wolf et al., 2016). In the present study, NEE was  
524 negatively related to the amount of spring precipitation (Fig. 7), which suggests that  
525 spring precipitation leads to increased ecosystem carbon uptake in sandy grassland,  
526 likely because the water replenishes the soil water storage in time to facilitate the  
527 emergence and growth of shallow-rooted annual plants (Scott et al., 2000; Liu et al.,  
528 2016a). In turn, this increases ecosystem  $CO_2$  uptake. Therefore, spring precipitation  
529 results in greater emergence and growth of annuals, which leads to a higher contribution  
530 of this season to the ecosystem productivity (Huang et al., 2015).

531 In semiarid ecosystems such as our study site, summer precipitation supplies the  
532 majority of the annual precipitation and soil moisture for most of the annual plant  
533 growth (Emmerich and Verdugo, 2008; Sun et al., 2015). Our results showed that NEE  
534 was dominated by the total summer precipitation and by SWC at depths of 40 and 50  
535 cm. This is likely to be related to the total precipitation and the size of effective pulses.  
536 For example, the large precipitation pulses (>20 mm) significantly promoted carbon  
537 uptake by the ecosystem (Fig. 2B, C). Large precipitation pulses penetrate deeper into  
538 the soil, thereby recharging soil water in deeper layers, which stimulates plant growth  
539 and carbon absorption (Harper et al., 2005; Bell et al., 2012); on the other hand, the  
540 water can potentially move below the rooting zone and become unavailable to plants.

541 However, our results indicated that the relationship between SWC from 0 to 10 cm and  
542 NEE was not significant in summer. The near-surface SWC would be closely linked to  
543 small precipitation amounts (<5 mm) that would not be effective (Fig. 2). Studies  
544 suggest that small precipitation amounts may be intercepted by the plant canopy or may  
545 replenish only the near-surface soil, where water may evaporate before plants can take  
546 advantage of it, thereby reducing its impact on NEE (Schwinning and Sala, 2004; Hao  
547 et al., 2010). Therefore, the events with high precipitation appear to be more efficient  
548 than events with small precipitation for regulating NEE in sandy grassland in the  
549 summer.

550 In the autumn, NEE increased with increasing pulses of effective precipitation (Fig.  
551 2C), with  $T_{\text{soil}}$ , and with SWC from 0 to 10 cm (Fig. 8). As was the case in the summer,  
552 the near-surface SWC was closely related to small precipitation events (<5 mm).  
553 However, unlike in the summer, autumn is cooler and moisture evaporates more slowly  
554 from the near-surface soil, and microbial respiration is sensitive to precipitation when  
555 the temperature is suitable for microbial activity in semiarid regions (Huxman et al.,  
556 2004; Sponseller, 2006; Roby et al., 2019). Thus, small rainfall events can stimulate  
557 ecosystem  $\text{CO}_2$  loss chiefly through their effect on microbial respiration (Reynolds et  
558 al., 2004; Hao et al., 2010). However, the relationship between NEE and SWC in deeper  
559 soil layers was negative (Fig. 8c), which was similar to the relationship in summer,

560 In winter, the annual plants had withered, so there was no GPP and the entire  
561 ecosystem was characterized by carbon emission (Morgner et al., 2010; Gao et al.,  
562 2012). Our results showed that NEE increased with decreasing SWC below a depth of  
563 20 cm and with decreasing temperature at all depths (Table S1). Previous studies found  
564 that when SWC decreases sufficiently to create water stress, it may replace temperature  
565 as the main factor that controls soil respiration in arid and semiarid areas in winter (Wu  
566 et al., 2010; Escobar et al., 2015), and as a result, soil respiration decreased with  
567 decreasing SWC (Manzoni et al., 2011; Oikawa et al., 2011). Our results were  
568 inconsistent with these previous studies. This may be due to the effects of drought, since  
569 precipitation during the winter amounted to between less than 1 % of the annual

570 precipitation, and this drought would be exacerbated by strong winter winds in the  
571 Horqin Sandy Land (Fig. S6; Wang et al., 2005; Liu et al., 2016b). The soil organic  
572 matter and nutrients would also be lost faster when SWC decreases and the wind  
573 strengthens, resulting in increased carbon emission (Lal, 2004; Munodawafa, 2011).

## 574 **5 Conclusions**

575 Our field data indicated that the sandy grassland has functioned as a net CO<sub>2</sub> source  
576 at an annual scale, with a mean annual NEE of  $49 \pm 8 \text{ g C m}^{-2} \text{ yr}^{-1}$ . At the seasonal scale,  
577 the sandy grassland showed net CO<sub>2</sub> absorption during the summer, but net CO<sub>2</sub> release  
578 in the other seasons. At the diurnal scale, the ecosystem showed a strong single daytime  
579 absorption peak in the spring and summer, but strong CO<sub>2</sub> emission at night. In autumn  
580 and winter, the ecosystem was characterized by CO<sub>2</sub> emission throughout the day.

581 The amount of precipitation was significantly negatively correlated with NEE on  
582 annual basis; that is, more CO<sub>2</sub> was sequestered at higher precipitation levels.  
583 Seasonally, NEE was mainly affected by T<sub>soil</sub>, the pulses of effective precipitation, and  
584 the total amount of monthly precipitation in the spring, by the amount of total seasonal  
585 precipitation and large-pulse precipitation in summer, by T<sub>soil</sub> and SWC at all depths in  
586 autumn, and by T<sub>soil</sub> at all depths and by SWC from 30 to 50 cm in winter. Our findings  
587 demonstrated the importance of long-term, high-frequency field monitoring in sandy  
588 land to improve our understanding of CO<sub>2</sub> cycling and its likely responses to a changing  
589 climate. However, it will be necessary to study the ecosystem's NEE for a longer period  
590 to reveal its long-term response to environmental and biological factors and learn when  
591 the ecosystem will recover sufficiently to become a net carbon sink on an annual basis.

592 *Data availability.* In agreement with the FAIR Data standards, the data used in this  
593 article are archived, published, and available in a dedicated repository:  
594 <http://doi.org/10.4121/uuid:35deeb02-8165-49b7-af8d-160d537ae15a>.

595 *Competing interests.* The authors declare that they have no conflict of interest.

596 *Author contributions.* YQL, YYN, HBY, XYW, and YLD designed the study; YYN,  
597 XWG, and JL performed the experiments. YYN and HBY analyzed the data. YYN  
598 drafted the manuscript. All co-authors had a chance to review the manuscript before

599 submission and contributed to discussion and interpretation of the data.

600 *Acknowledgements.* This research was supported by the National Key Research and  
601 Development Program of China (2017YFA0604803 and 2016YFC0500901), the  
602 National Natural Science Foundation of China (grants 31971466, 31560161, 31260089,  
603 and 31400392), the Chinese Academy of Sciences "Light of West China" Program  
604 (18JR3RA004), and the One Hundred Person Project of the Chinese Academy of  
605 Sciences (Y551821).

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1029 **Figure captions**

1030 **Fig. 1.** (a) Locations of the Horqin Sandy Land and the Naiman station. (b) and (c) are  
1031 photos of the eddy covariance site at the Naiman station during the growing and  
1032 dormant seasons, respectively.

1033 **Fig. 2.** (A) Changes in soil water content (SWC) at depths of 10, 20, 30, 40, and 50 cm  
1034 that resulted from precipitation events in spring, summer, and autumn (DOY, day of  
1035 year). Precipitation  $\geq 5$  mm represents effective precipitation. (B) Daily net ecosystem  
1036 exchange (NEE), respiration ( $R_{ec}$ ), and gross primary productivity (GPP) responses to  
1037 precipitation pulses during the spring of 2016, summer of 2018, and autumn of 2015.  
1038 The black arrows indicate the trends for carbon fluxes after effective precipitation. (C)  
1039 Comparison of the mean carbon fluxes before and after effective precipitation pulses  
1040 based on daily data. We chose the effective precipitation pulses that had no precipitation  
1041 for 7 days after this precipitation (i.e., the period represented by the dashed lines circled  
1042 in (B)). “Before” values represent the average carbon fluxes for 7 days before the  
1043 precipitation pulse, and “after” values represent the average carbon fluxes for 3 to 5  
1044 days after the precipitation pulse; the error bars represent standard errors (\*\*,  $p < 0.01$ ;  
1045 \*,  $p < 0.05$ ); The horizontal dashed lines in (B) and (C) indicate 5 mm of precipitation  
1046 in spring and autumn, and 5 mm and 20 mm in summer.

1047 **Fig. 3.** Seasonal and inter-annual variation in the daily average net ecosystem CO<sub>2</sub>  
1048 exchange (NEE), gross primary productivity (GPP), and ecosystem respiration ( $R_{ec}$ )  
1049 from (a-e) 2014 to 2018, by day of year (DOY). (f) Annual cumulative NEE, GPP, and  
1050  $R_{ec}$  from 2014 to 2018. Positive NEE values indicate net CO<sub>2</sub> release, whereas negative  
1051 values indicate net CO<sub>2</sub> uptake by the ecosystem. Note that the initial measurements  
1052 were from 15 September to 23 December 2014, so no data are available for the first  
1053 part of 2014.

1054 **Fig. 4.** Seasonal mean net ecosystem CO<sub>2</sub> exchange (NEE), gross primary productivity  
1055 (GPP), and ecosystem respiration ( $R_{ec}$ ) and the total seasonal precipitation from 2014  
1056 to 2018: (a) spring (March, April, and May), (b) summer (June, July, and August), (c)  
1057 autumn (September, October, and November), and (d) winter (December, January, and

1058 February). Note that the y-axis scales differ greatly between the graphs, and that the  
1059 initial measurements were from 15 September to 23 December 2014, so no data are  
1060 available for the first part of 2014. The final measurements were obtained on 31  
1061 December 2018, so the winter period from 2017 to 2018 was only about one-third of  
1062 the usual length (i.e., it did not include data from January and February 2019). The error  
1063 bars for the mean carbon fluxes represent standard errors.

1064 **Fig. 5.** Diurnal changes in mean net ecosystem CO<sub>2</sub> exchange (NEE), gross primary  
1065 productivity (GPP), and ecosystem respiration (R<sub>ec</sub>) from 2014 to 2018: (a) spring  
1066 (March, April, and May), (b) summer (June, July, and August), (c) autumn (September,  
1067 October, and November), and (d) winter (December, January, and February). Note that  
1068 the y-axis scales differ greatly between the graphs, and that the initial measurements  
1069 were from 15 September to 23 December 2014, so the spring and summer data in this  
1070 year do not include the period before 15 September. The final measurements were  
1071 obtained on 31 December 2018, so the winter period from 2017 to 2018 was only about  
1072 one-third of the usual length (i.e., it did not include data from January and February  
1073 2019). The error bars for the mean carbon fluxes represent standard errors.

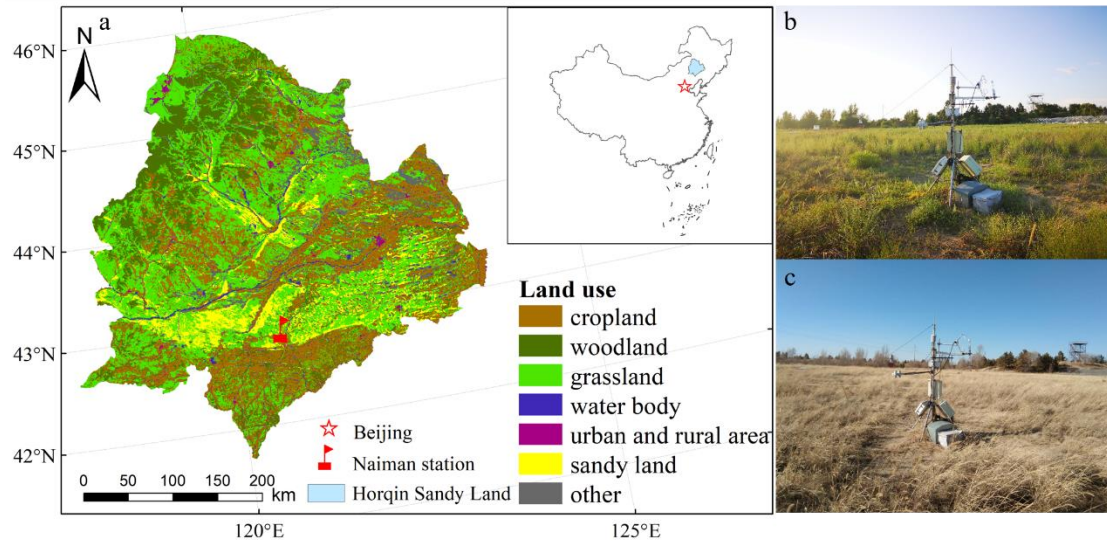
1074 **Fig. 6.** Relationship between total monthly precipitation (PPT) and monthly net  
1075 ecosystem carbon exchange (NEE), gross primary productivity (GPP), and ecosystem  
1076 respiration (R<sub>ec</sub>) for the years with a complete dataset (2015, 2016, and 2018).

1077 **Fig. 7.** Relationship between monthly net ecosystem carbon exchange (NEE), gross  
1078 primary productivity (GPP), and ecosystem respiration (R<sub>ec</sub>) and the corresponding  
1079 monthly precipitation in the spring (March, April, and May), summer (June, July, and  
1080 August), and autumn (September, October, and November).

1081 **Fig. 8.** Relationships between daily net ecosystem carbon exchange (NEE), gross  
1082 primary productivity (GPP), and ecosystem respiration (R<sub>ec</sub>) and the average soil  
1083 temperature to a depth of 50 cm (T<sub>soil</sub>) and soil water content (SWC). Before the  
1084 regression analysis, SWC was divided into two depth ranges: the near-surface soil (0 to  
1085 10 cm) and deeper soil (10 to 50 cm). However, NEE was only correlated with SWC at  
1086 depths of 40 and 50 cm in the summer and 20 and 30 cm in the autumn based on the

1087 results of a collinearity test for the three seasons.  $T_{\text{soil}}$  was divided into a single range  
1088 (0 to 50 cm) based on the results of a collinearity test for the three seasons: Spring  
1089 (March, April, and May), summer (June, July, and August), autumn (September,  
1090 October, and November).  
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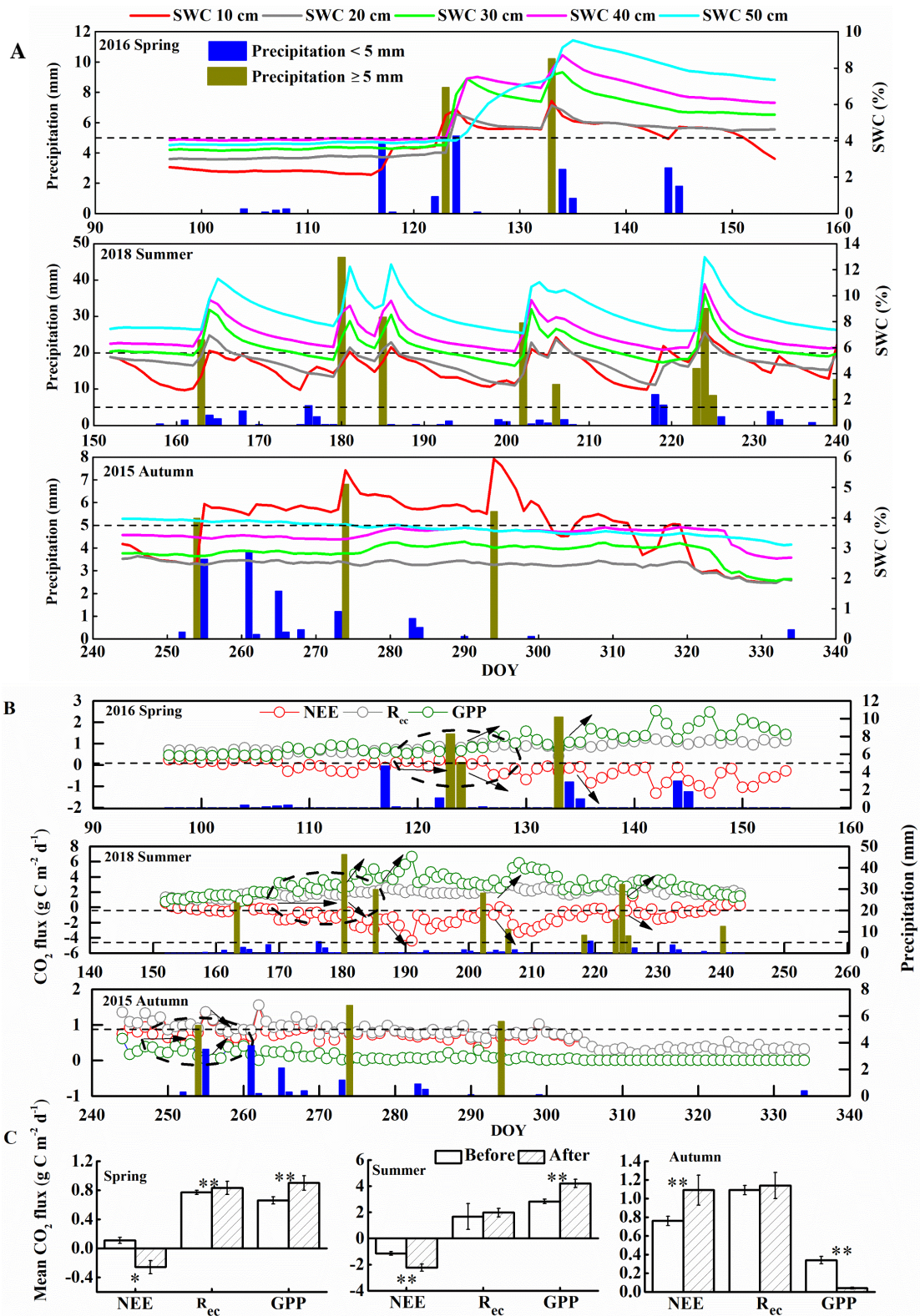
1092 **Fig. 1.**



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1095 **Fig. 2.**

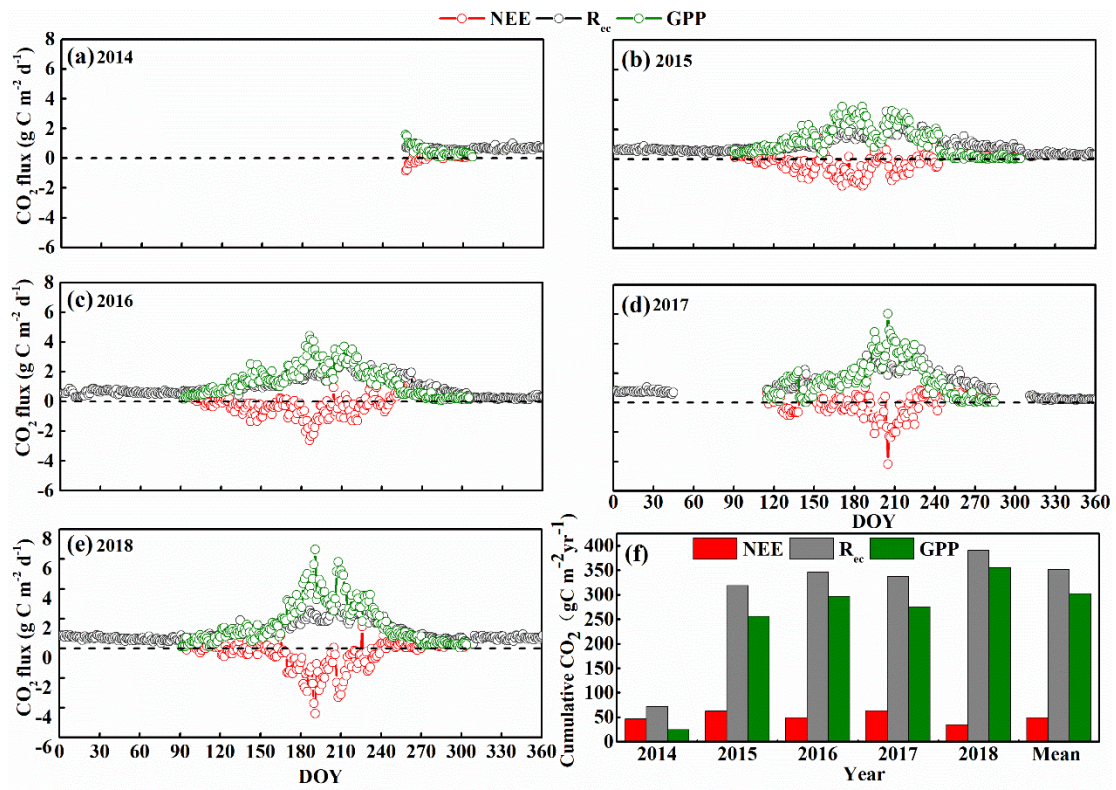


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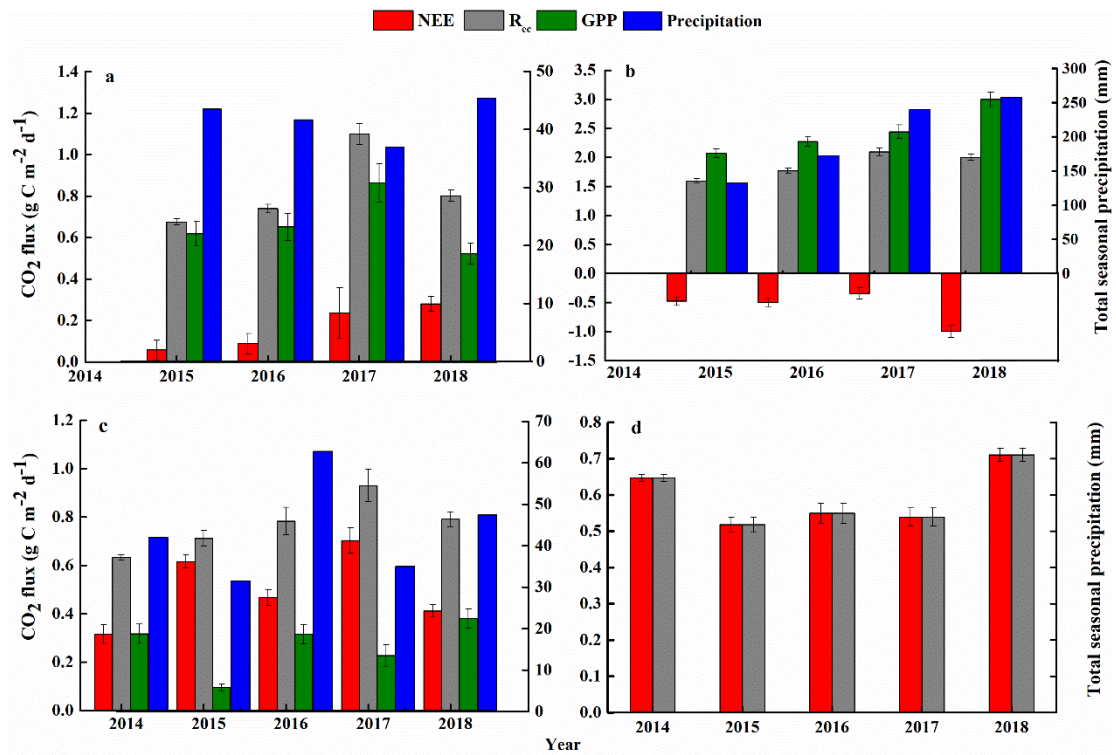


1098 **Fig. 3.**



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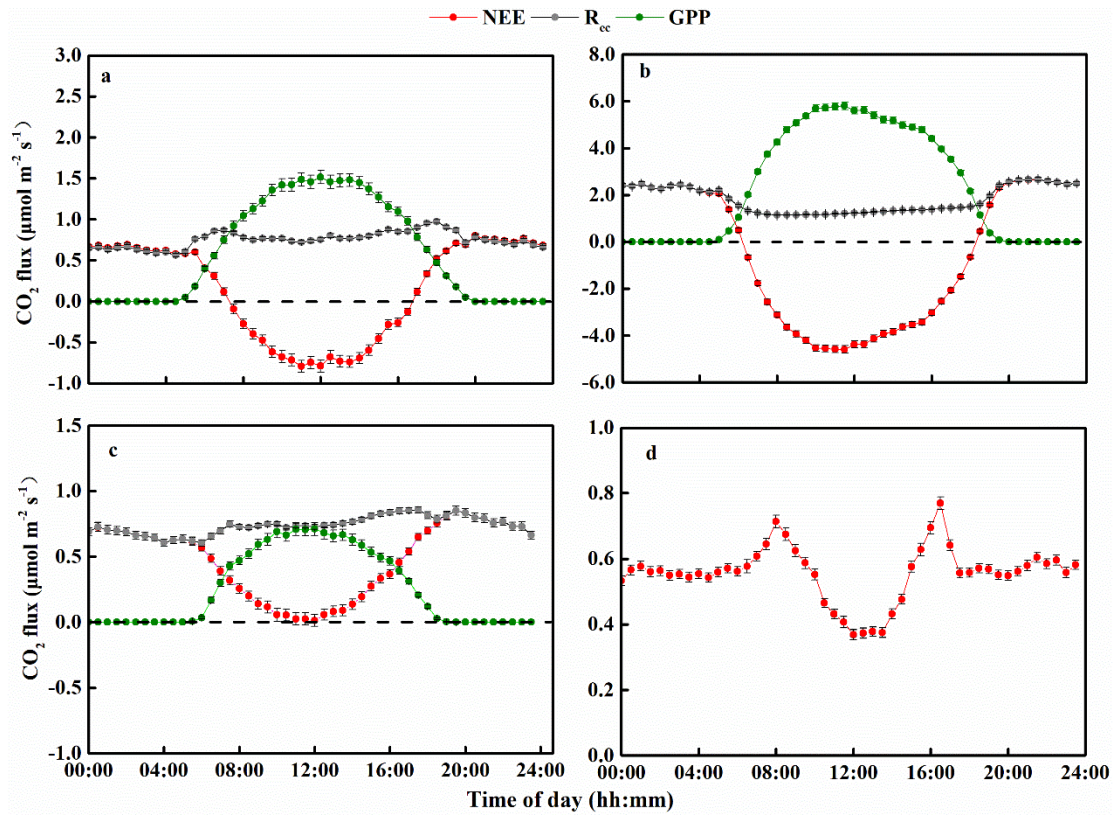
1100 **Fig. 4.**



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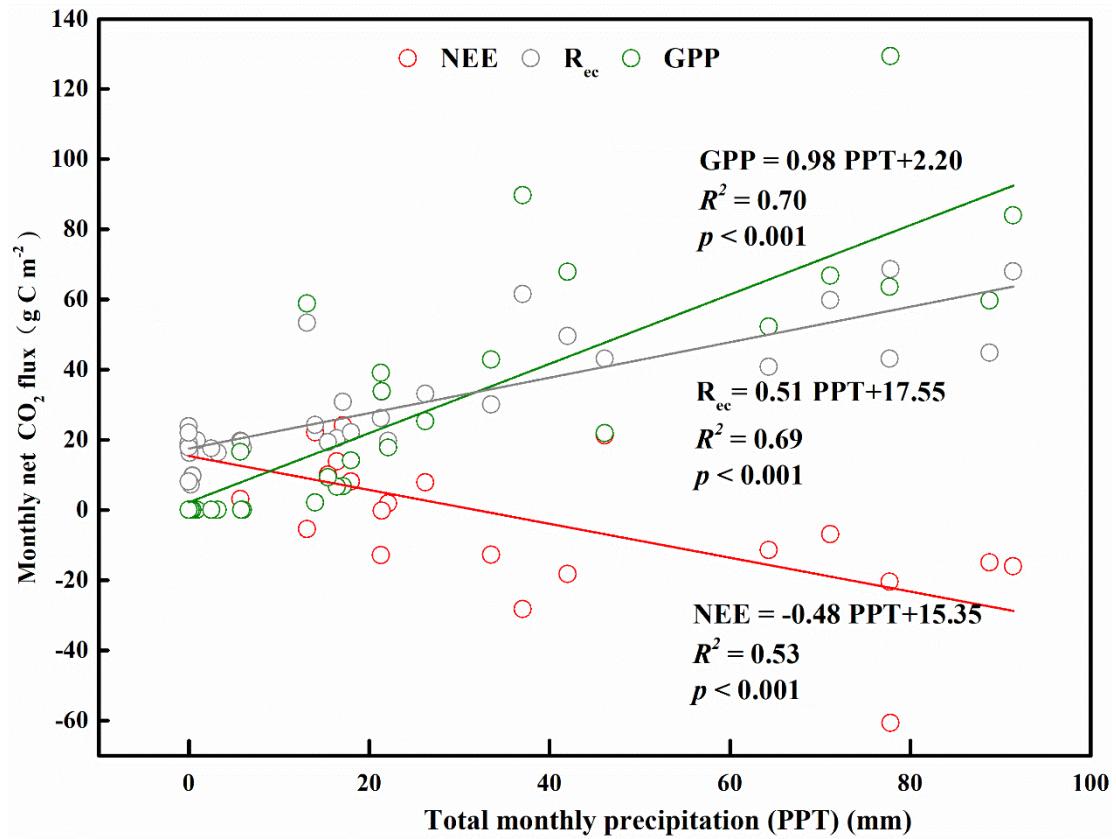
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1103 **Fig. 5.**



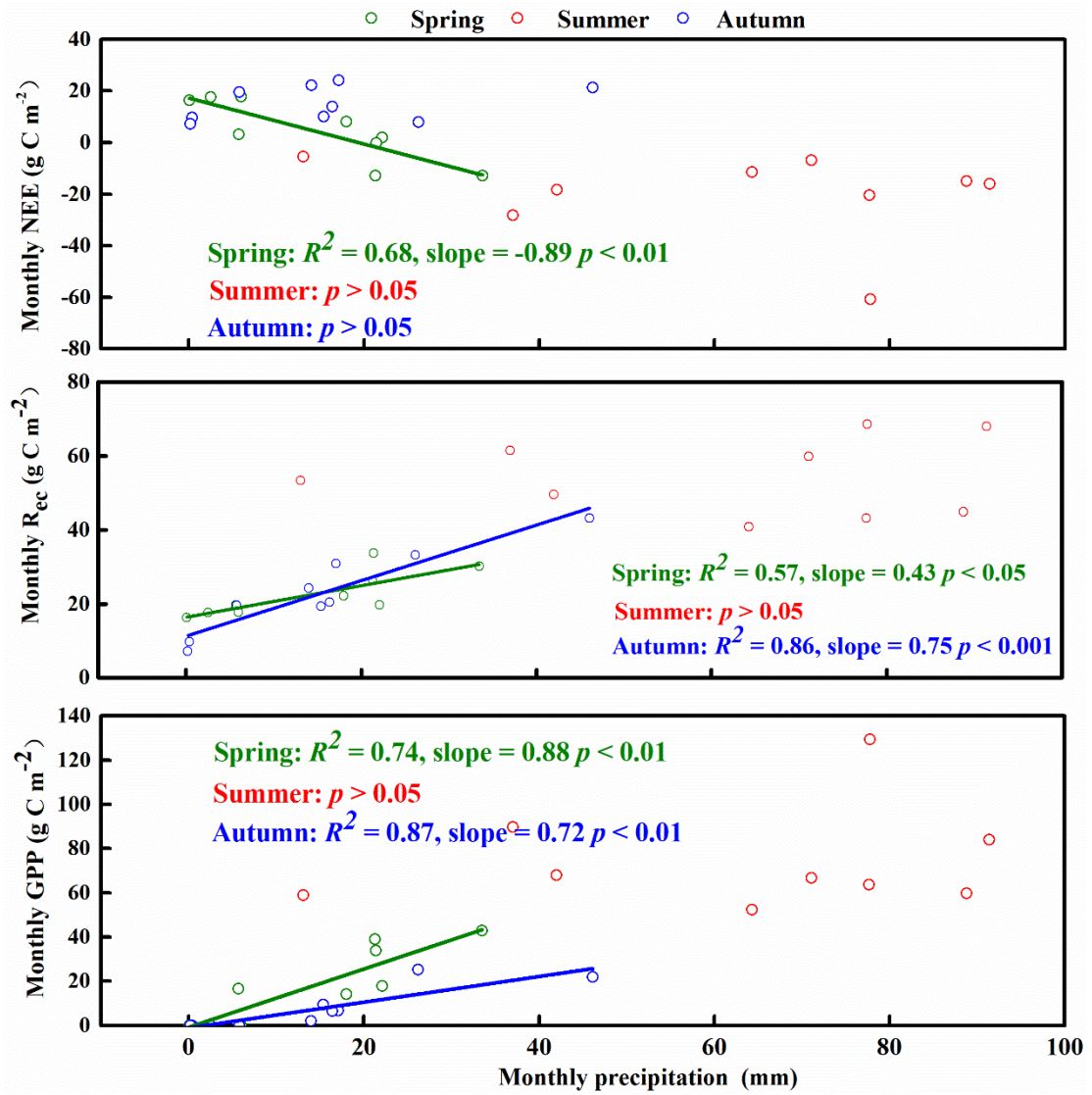
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1105 **Fig. 6**



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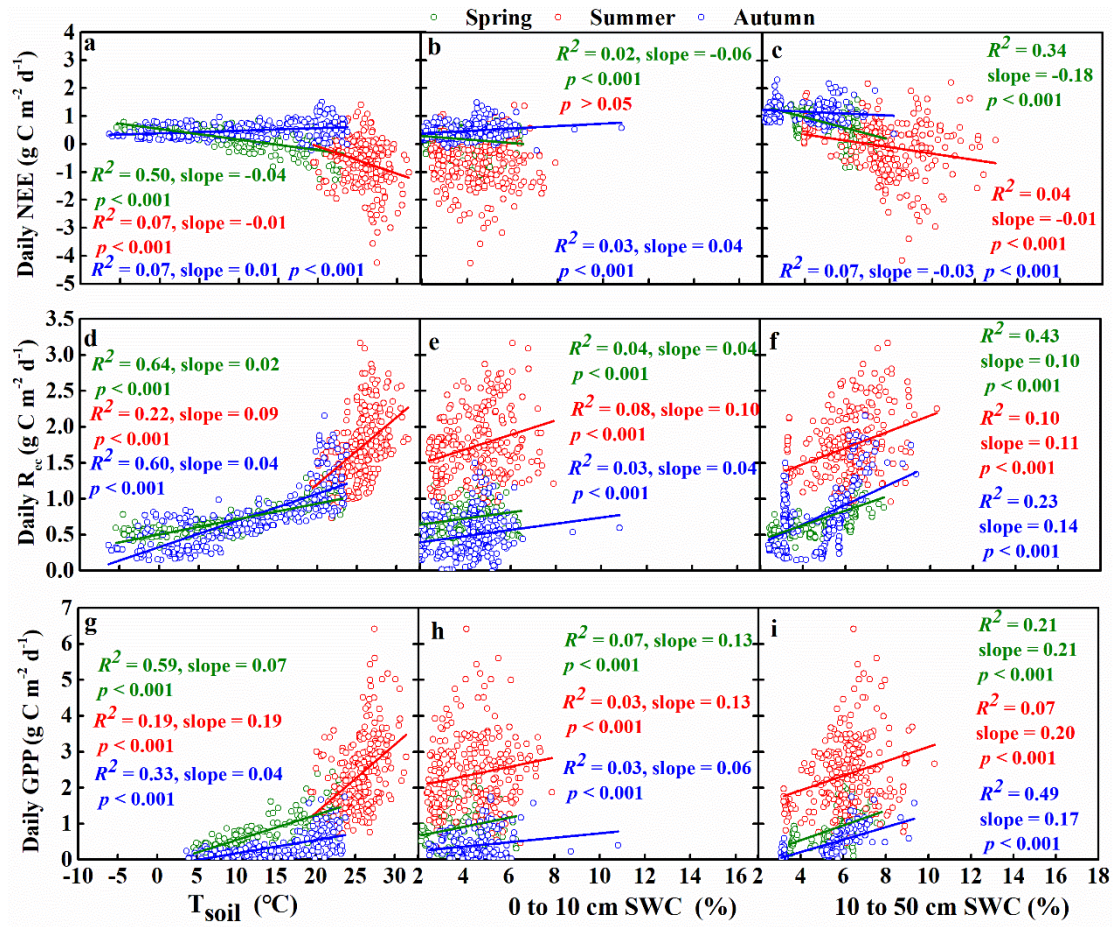
1107 **Fig. 7**



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1110 Fig. 8



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