



- Variations in diurnal and seasonal net ecosystem carbon dioxide 1 exchange in a semiarid sandy grassland ecosystem in China's Horqin 2 Sandy Land 3 Yayi Niu^{a,b,c,e}, Yuqiang Li^{a,b,c,*}, Hanbo Yun^{a,d,e}, Xuyang Wang^{a,b,c}, Xiangwen Gong^{a,b}, Yulong 4 5 Duan^{a,b,c}, Jing Liu^a 6 ^a Northwest Institute of Eco-Environment and Resources, Chinese Academy of Sciences, Lanzhou 7 730000, China 8 ^b University of Chinese Academy of Sciences, Beijing 100049, China 9 [°]Naiman Desertification Research Station, Northwest Institute of Eco-Environment and Resources, 10 Chinese Academy of Sciences, Tongliao 028300, China ^d State Key Laboratory of Frozen Soil Engineering, Northwest Institute of Eco-Environment and 11 12 Resources, Chinese Academy of Sciences, Lanzhou, Gansu 730000, China 13 e Center for Permafrost (CENPERM), Department of Geosciences and Natural Resource Management, University of Copenhagen, DK-1350 Copenhagen, Denmark 14 15 * Correspondence to: Yuqiang Li (liyq@lzb.ac.cn)
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17 Abstract

18 Grasslands are major terrestrial ecosystems in arid and semiarid regions, and play 19 important roles in the regional carbon dioxide (CO₂) balance and cycles. Sandy grasslands are sensitive to climate change, yet the magnitudes, patterns, and 20 21 environmental controls of their CO₂ flows are poorly understood. Here, we report the 22 results from continuous year-round CO₂ observations in 5 years from a sandy grassland 23 in China's Horqin Sandy Land. The sandy grassland was a net CO2 source at an annual 24 scale, with a mean annual net ecosystem CO₂ exchange (NEE) of 48.88 \pm 8.10 g C m⁻² yr⁻¹ in the years for which a complete dataset was available (2015, 2016, and 2018); 25 total annual precipitation was the most important factor for NEE. At a seasonal scale, 26 the sandy grassland showed net CO₂ absorption during the summer, but net CO₂ release 27 in the other seasons. The main environmental factors that affected NEE were 28 temperature and soil water content (SWC) in the spring, soil heat flux and solar 29 radiation in the summer, soil heat flux and temperature in autumn, and SWC and 30 temperature in winter. At the diurnal scale, net solar radiation was the most important 31 factor for NEE in all seasons. The sandy grassland may have been a net annual CO_2 32 source at an annual scale because the study site is recovering from degradation, thus 33 vegetation productivity is still low. Therefore, the ecosystem has not yet transitioned to 34 a CO_2 sink and long-term observations will be necessary to reveal the true source or 35 sink intensity and its response to environmental and biological factors. 36

Keywords: Net ecosystem CO₂ exchange (NEE); Gross primary productivity (GPP);
ecosystem respiration (R_{ec}); Eddy covariance; Horqin Sandy Land

39 1 Introduction

Arid and semiarid ecosystems cover 30 to 40 % of the global terrestrial surface (Poulter et al., 2014). The extent and distribution of these areas are increasing in response to factors such as climate change, changes in wildfire frequency and intensity, and changes in land use (Asner et al., 2003; Hastings et al, 2010). These ecosystems are important because they account for 30 to 35 % of terrestrial net primary productivity (Gao et al., 2012; Liu et al., 2016a) and approximately 15 % of the global soil organic





46 carbon pool (Lal, 2004; Liu et al., 2016a). Thus, the high potential carbon sequestration 47 in arid and semiarid areas may have a greater impact on the future global carbon cycle than sequestration in tropical rainforest areas (Emmerich, 2003; Nosetto et al., 2006; 48 Poulter et al., 2014), and arid and semiarid ecosystems will have significant effects on 49 the global carbon cycle and carbon balance (Lal, 2004). However, arid and semiarid 50 ecosystems have received much less attention than wetter ecosystems, and the lack of 51 high-frequency continuous observations has led to a weak understanding of their role 52 in global terrestrial net ecosystem CO₂ exchange (NEE) (Baldocchi et al., 2001; 53 Hastings et al., 2010). 54

Desertification occurs in more than two-thirds of the area of arid and semiarid 55 ecosystems (Lal, 2001). This may cause a serious imbalance in the structure and 56 function of these ecosystems (Huenneke et al., 2002; Vest et al., 2011), especially in 57 terms of whether the ecosystem functions as a carbon source or sink (Shachak et al., 58 1998; Gang et al., 2011). Grazing exclusion is a common method used to combat 59 desertification in the world's arid and semiarid areas (Mureithi et al., 2010; Sousa et al., 60 2012). For example, Sun et al. (2015) suggested that proper exclosures promoted the 61 recovery of degraded sandy grassland and more sustainably use sandy grassland 62 63 resources.

The Horqin Sandy Land is the largest sandy land in China, and nearly 80% of the 64 area has been desertified (Li et al., 2019). The sandy land includes multiple overlapping 65 ecotones, including transition zones between areas with different population pressures, 66 between semi-humid and semiarid areas, and typical agro-pastoral ecotones. The 67 ecological environment is fragile and extremely sensitive to climate change and human 68 activities (Bagan et al., 2010; Zhao et al., 2015). The region's sandy grassland grows 69 70 on aeolian sandy soils or with sandy soils as the substrate, and is typical of the grassland vegetation that develops in sandy land. This grassland ecosystem is widespread in the 71 72 Horqin Sandy Land (Munkhdalai et al., 2007; Zhao et al., 2007). Research showed that the restoration of degraded sandy grassland can increase its productivity and carbon 73 sequestration, and that the ecosystem can begin to act as a carbon sink (Ruiz-Jaen and 74





Aide, 2005; Zhao et al., 2016). However, other studies showed that it was a carbon source (Li et al., 2012; Niu et al., 2018). There have been relatively few long-term studies of sandy grassland at the ecosystem level, so we do not yet fully understand the characteristic of NEE and its components, gross primary productivity (GPP) and ecosystem respiration (R_{ec}), at an ecosystem scale, particularly for sandy grassland protected by grazing exclosures, and more data are needed, particularly for semiarid sandy land (Barrett, 1968; Czobel et al., 2012).

Precipitation is one of the factors that most strongly affects NEE in arid and semiarid 82 areas. It affects NEE mainly through its effects on GPP and Rec (Lal, 2004; Dasci et al, 83 2010; Hastings et al, 2010; Liu et al., 2016b). Previous research showed that reduced 84 precipitation caused a continental-scale reduction in GPP, with concurrent decreases of 85 Rec (Ciais et al., 2005). In contrast, other studies found that GPP was more sensitive 86 than respiration to precipitation (Thomas et al., 2010; Shi et al., 2014). For instance, 87 Delgado-Balbuena et al. (2019) have shown that GPP was more than twice as sensitive 88 89 as Rec to precipitation in a semiarid grassland. The precipitation in the Horqin Sandy Land is low, with high temporal and spatial variation, and other water resources such 90 as groundwater are small (Niu et al., 2015). Moreover, we found no reports on the 91 response of ecosystem-scale NEE and its components to precipitation, and the response 92 93 mechanisms are uncertain in the sandy grassland. Therefore, long-term data are required to fully understand how changes in annual precipitation influence the annual 94 95 NEE, GPP, and Rec of sandy grassland.

96 In this paper, we present the results from continuous (14 September 2014 to 31 December 2018) in situ monitoring of CO₂ dynamics in the Horqin Sandy Land's sandy 97 grassland using the eddy covariance technique, and quantified the temporal variation of 98 99 NEE and the factors that control it. We had the following goals: (1) To quantify the diurnal, seasonal, and annual variation in NEE, GPP, and Rec. (2) To identify the 100 environmental factors that controlled NEE and its components at different temporal 101 scales, and the possible underlying mechanisms in the sandy grassland. (3) To 102 determine how annual precipitation affects the annual ecosystem NEE, GPP, and Rec. 103





104 2 Materials and methods

105 2.1 Experimental site

Our study was conducted in a sandy grassland in the southern part of the Horqin 106 Sandy Land, Inner Mongolia, China, at the Naiman Desertification Research Station of 107 the Chinese Academy of Sciences (42 °55' N, 120 °42' E) (Fig. 1). The terrain is flat, 108 and it evolved from reclamation of sandy grassland for agriculture to severe 109 110 desertification, after which cultivation was abandoned and grazing exclosures were established to allow natural recovery of the vegetation, starting in 1985 (Zhao et al., 111 2007). Thus, the grassland had been recovering naturally for nearly 30 years when our 112 study began. At an elevation of 377 m a.s.l., the study area has a continental semiarid 113 monsoon temperate climate regime. The mean annual temperature is 6.8 $\,$ °C, with mean 114 monthly temperatures ranging from -9.63 °C in January to 24.58 °C in July. Average 115 annual precipitation is approximately 360 mm, with 70% of the precipitation occurring 116 during the growing season, between June and August. Annual mean potential 117 118 evaporation is approximately 1973 mm. The annual frost-free period is 130 to 150 days. 119 The zonal soil is a sandy chestnut soil, but most of the soil has been degraded by a 120 combination of climate change and anthropogenic activity (unsustainable grazing or 121 agriculture) into an aeolian sandy soil under the action of wind erosion (Zhao et al., 2007), with coarse sand, fine sand, and clay-silt contents of 92.7, 3.3, and 4.0 % in the 122 topsoil to a depth of 20 cm. The contents of soil organic carbon and total nitrogen were 123 1.27 and 0.21 g kg⁻¹, respectively. Vegetation cover in the study area ranged from 50 to 124 70 %. The dominant plant species were annual herbs, including Artemisia scoparia, 125 Setaria viridis, Salsola collina, and Corispermum hyssopifolium (Niu et al., 2018). 126

127 2.2 Eddy covariance observations

An eddy covariance flux tower (2.0 m high) was installed at the center of the observation field (Fig. 1b, c). We have continuously monitored CO₂, water, and heat fluxes at the tower using the eddy covariance system since late 2014. The fetch from all directions was more than 200 m. Calculations with a footprint model indicated that the fetch was well within the desired flux footprint (Schmid, 1994; Xu and Baldocchi,





133 2004). The eddy covariance system consisted of an LI-7500 infrared gas analyzer (Li-Cor Inc., Lincoln, NE, USA), with a precision of 0.01 μ mol m⁻² s⁻¹ and an accuracy 134 within 1 % of the reading for measurements at 30-min mean intervals, and a CSAT-3 135 136 three-dimensional ultrasonic anemometer (Campbell Scientific, Inc., Logan, UT, USA), with a precision of 0.1 $\,^{\circ}$ C and an accuracy of 1 $\,^{\circ}$ % for the readings at 30-min mean 137 intervals. Raw 10-Hz data were recorded by a CR3000 datalogger (Campbell Scientific). 138 The operation, calibration, and maintenance of the eddy covariance system followed 139 the manufacturers' standard procedures. The LI-7500 was calibrated every 6 months 140 for CO_2 , water vapor, and dew point values using calibration gases and dew point 141 generator measurements supported by the China Land-Atmosphere Coordinated 142 Observation System (Yun et al., 2018). We cleaned the mirror of the LI-7500 every 15 143 144 days to maintain the automatic gain control value below its threshold (55 to 65). All of the instruments were powered by solar panels connected to a battery. 145

146 2.3 Micrometeorological measurements

147 Along with the flux measurements obtained by the eddy covariance equipment, we 148 measured standard meteorological and soil parameters continuously with an array of 149 sensors. A propeller anemometer was installed at the top of the meteorological tower to measure the wind speed and direction. Net radiation (Rn, W m⁻²) was measured by a 150 four-component radiometer (CNR-1, Kipp and Zonen, Delft, the Netherlands) installed 151 152 at 1 m above the ground. The air temperature (T_{air} , °C) and relative humidity (%) instruments (HMP45C, Vaisala Inc., Helsinki, Finland) were mounted at 2 m above the 153 ground to measure the Tair, relative humidity, and atmospheric pressure (kPa). 154 Precipitation (mm) measurements were obtained from a meteorological station 400 m 155 from the study site. 156

We installed five CS109 temperature probes (Campbell Scientific) and five CS616 moisture probes (Campbell Scientific) in the soil at depths of 10, 20, 30, 40, and 50 cm to measure soil temperature (T_{soil} , °C) and soil water content (SWC, %). Two selfcalibrating HFP01 soil heat flux (SHF, W m⁻²) sensors (Hukseflux, Delft, the Netherlands) were buried 5 and 10 cm below the ground to obtain the SHF data. All of





- the environmental parameters were measured simultaneously with the eddy covariancemeasurements, and all data were recorded as 30-min mean values with a CR3000
- 164 datalogger.

165 2.4 Data quality and gap-filling method

EddyPro We 6.2.0 used the software 166 (https://www.licor.com/env/products/eddy_covariance/software.html) to process the 167 168 10-Hz raw eddy covariance data. Processing included spike removal, lag correction, secondary coordinate rotation, Webb-Pearman-Leuning correction, and sonic virtual 169 temperature conversion (Webb et al., 1980). We used the data processing method of Lee 170 et al. (2004) to process the 30-min mean raw flux measurements to ensure their quality. 171 Processed data were further corrected for weather effects and sensor uncertainty using 172 the following procedure: (1) We removed data gathered during precipitation events, 173 power failures, and sensor maintenance or malfunction. (2) We excluded unrealistic 174 CO_2 flux data (values outside the range of -2.0 to $2.0 \text{ mg } CO_2 \text{ m}^{-2} \text{ s}^{-1}$). (3) We rejected 175 the data during stable atmospheric conditions at nighttime (friction velocity $(u^*) < 0.1$ 176 177 m s⁻¹). Based on the R_n, NEE was classified as the daytime NEE_{day} (R_n \ge 1 W m⁻²) or 178 the night-time NEE_{night} ($R_n < 1$ W m⁻²). This screening resulted in the rejection of 20 to 179 30 % of the flux data, depending on the period.

We used several strategies to compensate for missing data. We used linear interpolation to fill gaps that were shorter than 2 h. For longer gaps, we handled the gap in the NEE_{day} using the mean diurnal variation with a 7-day window (Falge et al., 2001), and handled the gap in the NEE_{night} using a temperature-dependent exponential model (Lloyd and Taylor, 1994):

185 NEE_{night} = $R_0 \exp(b T_{10})$ Eq (1)

where R_0 is the base ecosystem respiration rate when the soil temperature is 0 °C, b an empirically determined coefficient, and T_{10} is the soil temperature at a depth of 10 cm. Daytime ecosystem respiration can be estimated by extrapolation from the parameterization derived from Eq. (1). We did not attempt to fill in gaps longer than 7 days, and treated those gaps as missing data. Gross primary productivity (GPP) was





- 191 obtained as follows:
- $192 \qquad GPP = NEE R_{ec}$

Eq (2)

We evaluated the data quality based on the degree of energy closure (sensible heat +
latent heat – net radiation – soil heat flux). The energy closure values for the sandy
grassland from 2015 to 2018 were 86.5, 82.1, 57.7, and 85.2 %, respectively.

196 **2.5 Statistical analyses**

We performed correlation analysis (Pearson's r) and principal-components analysis 197 (PCA) using version 22 of the SPSS software (IBM, Armonk, NY, USA). Unless 198 199 otherwise noted, we defined statistical significance at p < 0.05. Pearson's r was applied to confirm the strength of the relationships between parameters. PCA was used to 200 identify the main environmental factors that affected NEE and its components at 201 different temporal scales. Before performing PCA, we tested for collinearity (using a 202 variance inflation factor of 0 < VIF < 10) using the Kaiser–Meyer–Olkin (KMO) test 203 and Bartlett's sphericity test. Collinearity was used to repartition the T_{soil} and SWC data. 204 205 We considered KMO values > 0.50 and p < 0.05 for Bartlett's sphericity test to indicate 206 acceptable data (Hair et al., 2005). Our data were suitable for PCA, with the KMO value ranging from 0.52 to 0.78 and p < 0.001 for all Bartlett's sphericity test results. 207

208 3 Results

209 3.1 Meteorological conditions

We recorded Tair in the sandy grassland between September 2014 and December 210 2018 (Fig. S1). The mean annual Tair was 7.38 °C, with minimum and maximum Tair of 211 -17.82 and 27.15 °C, respectively. Average R_n was 74.13 W m⁻², with average 212 minimum and maximum values for the 5 years minimum and maximum R_n of -13.09213 and 166.32 W m⁻², respectively (Fig. S2). The diurnal- scale SHF values at depths of 5 214 and 10 cm were 0.65 and -0.21 W m⁻², respectively (Fig. S3), with maximum values 215 of 34.43 and 26.88 W m⁻² (both on 28 April 2018), and the minimum values were -216 36.26 and -23.77 W m⁻² (both on 4 October 2016). 217

The annual mean T_{soil} values at depths of 10, 20, 30, 40, and 50 cm were 9.49, 9.66, 9.85, 10.22, and 10.65 °C (Fig. S4a). The average minimum diurnal values for the 5





220 years diurnal T_{soil} at all depths were -18.08, -16.33, -14.17, -12.56, and -11.26 °C, respectively, and the average maximum diurnal values for the 5 years diurnal T_{soil} were 221 33.45, 32.31, 31.30, 30.49, and 29.76 °C, respectively. The annual mean SWC values 222 at depths of 10, 20, 30, 40, and 50 cm were 3.5, 3.6, 4.2, 4.8, and 5.7 %, respectively 223 (Fig. S4b). The average minimum diurnal values for the 5 years minimum diurnal SWC 224 at all depths were 1.5, 1.6, 1.6, 2.4, and 2.7 %, respectively, and the average maximum 225 diurnal values for the 5 years diurnal SWC were 10.8, 7.9, 11.0, 12.1, and 13.6 %, 226 respectively. 227

The site was windy, with an annual average wind speed of 3.19 m s^{-1} from 2015 to 2018, and the principal direction of the strongest winds was from the northeast sector (Fig. S5). In spring, the average wind speed was 2.25 m s⁻¹. In summer, the average wind speed was about 2.51 m s⁻¹, predominantly driven by the north wind.

The annual precipitation totaled 212.3 mm in 2015, 276.8 mm in 2016, 312.8 mm in 232 2017, and 350.8 mm in 2018 (Fig. S4b). The mean annual precipitation was 288.2 mm, 233 234 which was less than the mean annual precipitation of 360.0 mm from 1960 to 2014. 235 During the spring (March, April, and May), precipitation was relatively abundant, with 236 mean precipitation of about 41.9 mm, which accounted for 12 to 20 % of the total annual 237 precipitation. The majority of the precipitation (about 65 %) occurred in the summer (June, July, and August), with mean precipitation of about 197.1 mm. The autumn 238 (September, October, and November) precipitation was similar to that in spring, with a 239 mean precipitation of about 48.6 mm, which accounted for 14 to 23 % of the annual 240 total. During the winter (December, January, and February), the mean precipitation of 241 0.6 mm accounted for only 1 to 6 % of the annual total. 242

243 3.2 Annual, seasonal, and diurnal variability of NEE, GPP and Rec.

Figure 2 suggests that the sandy grassland was a net CO₂ source, with an annual mean NEE, GPP, and R_{ec} of 48.88 \pm 8.10, 351.78 \pm 20.97, and 302.87 \pm 28.96 g C m⁻² yr⁻¹ in the years for which a complete dataset was available (2015, 2016, and 2018). (We omitted 2017 from this calculation because of large gaps in the data, described below.) NEE ranged from 34.99 C m⁻² yr⁻¹ in 2018 to 63.05 g C m⁻² yr⁻¹ in 2015, whereas GPP





ranged from 255.99 g C m⁻² yr⁻¹ in 2015 to 355.77 g C m⁻² yr⁻¹ in 2018 and Rec ranged 249 from 319.04 g C m⁻² yr⁻¹ in 2015 to 390.85 g C m⁻² yr⁻¹ in 2018. The eddy covariance 250 tower was set up in mid-August 2014, and the instrument was allowed to stabilize for 251 1 month before we began collecting data, from 15 September to 23 December 2014; 252 during that period, we measured a cumulative carbon release of 46.67 g C m^{-2} , with 253 cumulative GPP and Rec of 24.80 and 71.47 g C m⁻², respectively. From 15 February to 254 26 April 2017 and from 14 October to 6 November 2017, approximately 3 months of 255 data were missing due to instrument maintenance and calibration, and the cumulative 256 NEE, GPP, and R_{ec} were 63.33, 273.67 and 337.00 g C m⁻² for the remaining 9 months 257 of the year. Note that the periods covered by the data are therefore not identical. 258

We also observed clear seasonal variations in the total seasonal NEE, GPP, and R_{ec} 259 (Fig. 3) and their diurnal cycles (Fig. 4). In spring, the sandy grassland was an 260 atmospheric CO₂ source in all years, with NEE, GPP, and R_{ec} averaging 0.15 \pm 0.05, 261 0.63 \pm 0.03, and 0.78 \pm 0.03 g C m⁻² d⁻¹, respectively (Fig. 3a). The diurnal NEE cycle 262 was characterized by a single absorption peak from around 07:30 to around 16:30 (Fig. 263 4a). Note that although all times in China are reported as the Beijing time, the study site 264 was not sufficiently far east of Beijing for this to affect the physiological meaning of 265 these times. The rest of the day was characterized by weak carbon absorption. The 266 average diurnal GPP was also characterized by a single peak from around 05:00 to 267 around 19:30, and the diurnal Rec was characterized by an approximately horizontal line 268 at about 0.78 g C m⁻² d⁻¹. The maximum and minimum average diurnal values for NEE 269 were 0.78 (20:00) and -0.81 (12:00) g C m⁻² d⁻¹, respectively, versus 1.57 (12:00) and 270 0.58 (4:30) g C m⁻² d⁻¹ for GPP and 1.01 (18:00) and 0.05 (17:30) g C m⁻² d⁻¹ for R_{ec}. 271 In summer, the sandy grassland was a CO2 sink in all years, with NEE, GPP, and Rec 272 averaging -0.58 ± 0.05 , 2.44 ± 0.05 , and 1.86 ± 0.03 g C m⁻² d⁻¹, respectively (Fig. 3b). 273 The diurnal cycles of NEE and GPP were also characterized by a single peak, between 274 05:00 and 19:30 (Fig. 4b), and the ecosystem CO₂ uptake reached its peak from around 275 10:30 to 12:00. The diurnal Rec pattern was similar to the spring, but at a higher level 276 (about 1.86 g C m⁻² d⁻¹). The maximum and minimum diurnal NEE averaged 2.67 277





- 278 (21:30) and -4.60 (11:30) g C m⁻² d⁻¹, respectively, versus 6.02 (11:30) and 0.09 (19:30)
- 279 g C m⁻² d⁻¹ for GPP and 2.77 (21:30) and 1.19 (8:00) g C m⁻² d⁻¹ for R_{ec}.

In autumn, the sandy grassland was a net source of atmospheric CO_2 in all years, 280 with NEE, GPP, and R_{ec} averaging 0.50 \pm 0.02, 0.27 \pm 0.02, and 0.76 \pm 0.02 g C m⁻² 281 d⁻¹, respectively (Fig. 3c). The diurnal dynamics of NEE, GPP, and Rec in autumn (Fig. 282 4c) were similar to those in spring (Fig. 4a), but the magnitudes of NEE and GPP in 283 284 autumn were lower than in the spring. The diurnal Rec was similar to the spring, at about 285 $0.76 \text{ g C m}^{-2} \text{ d}^{-1}$. The maximum and minimum average diurnal NEE were 0.88 (19:00) and 0.02 (11:30) g C m⁻² d⁻¹, respectively, versus 0.89 (17:30) and 0.63 (4:00) g C m⁻² 286 d^{-1} for GPP and 0.74 (12:00) and 0.01 (5:00) g C m⁻² d⁻¹ for R_{ec}. 287

In winter, the grassland ecosystem functioned as a net CO₂ source in all years, with 288 an average seasonal NEE of 0.58 \pm 0.01 g C m⁻² d⁻¹ (Fig. 3d). It should also be noted 289 that since the investigation started on 14 September 2014 and ended on 31 December 290 2018, the 2017 to 2018 winter was only about one-third of the usual length (i.e., it did 291 292 not include data from January and February 2019). The diurnal dynamics of the winter NEE differed from the other seasons (Fig. 4d), with a minimum release value of 0.38 g 293 C m⁻² d⁻¹, and with two emission peaks: at 0.81 g C m⁻² d⁻¹ (08:00) and 0.89 g C m⁻² 294 d^{-1} (16:30). 295

296 3.3 Response of NEE, GPP and Rec to changes in environmental factors

297 We analyzed the effects of environmental factors on NEE and its components at different temporal scales. The analysis methods for the diurnal scale (Pearson's r and 298 PCA) were the same as the methods used at a seasonal scale, so to avoid repetition, we 299 have only described the relationship between the seasonal-scale NEE and its 300 components and the associated environmental factors. At the diurnal scale, Rn was the 301 main factor that affected NEE, GPP and Rec in all four seasons (data not shown). NEE 302 was significantly negatively correlated with Rn, whereas GPP and Rec were positively 303 correlated with R_n, indicating that the ecosystem's carbon sequestration capacity 304 305 increased with increasing R_n.

306 Seasonal-scale NEE, GPP, and Rec were significantly correlated with many





307 environmental factors (Table S1). We found extremely weak and non-significant 308 relationships between NEE, GPP, and R_{ec} and two climate variables (relative humidity 309 and atmospheric pressure), so we excluded those variables from our subsequent 310 analysis. NEE was negatively correlated with most environmental factors in all seasons 311 except the autumn, when most correlations were positive, and GPP and R_{ec} were 312 positively correlated with most environmental factors (Table S1).

313 In spring, T_{soil} at all depths, and T_{air}, R_n, and SWC at all depths were negatively correlated with NEE (Table S1). In the PCA, principal component 1 (PC1) explained 314 57 % of the NEE variation (Table 1), and was dominated by temperature (T_{soil} at all 315 depths and T_{air}). PC2 explained about 25 % of the NEE variation, and was dominated 316 by SWC at depths of 0 to 10 cm. The first two PCs explained about 82 % of the NEE 317 variation. GPP was positively correlated with most environmental factors. PC1 318 explained 39 % of the GPP variation, and temperature and SWC at depths of 10 to 50 319 cm were the dominant factors (Table 2). PC2 explained about 33 % of GPP variation, 320 321 and was dominated by SHF at all depths. The first three PCs explained about 89 % of the GPP variation. Rec was positively correlated with all environmental factors except 322 for wind speed. PC1 explained 42 % of the Rec variation (Table 3) and was dominated 323 by SWC at depth of 20 to 50 cm, T_{soil} at all depths, T_{air}, and R_n. PC2 explained about 324 30% of the R_{ec} variation, and was dominated by SHF at all depths. The first three PCs 325 explained about 89 % of the Rec variation. 326

327 In summer, PC1 explained 42 % of the NEE variation, and was dominated by SHF at all depths and Rn (Table 1). PC2 explained 29% of the NEE variation, and was 328 dominated by air and soil temperatures. The first three PCs explained about 88 % of the 329 NEE variation. For GPP, PC1 explained 36 % of the variation and was dominated by 330 SHF at all depths and Rn (Table 2). PC2 explained 25% of the variation, and was 331 dominated by air and soil temperatures. The first three PCs explained about 86 % of the 332 GPP variation. For Rec, PC1 explained 31 % of the variation and was dominated by 333 SWC at all depths and by precipitation (Table 3). PC2 also explained 31 % of the 334 335 variation, but was dominated by air and soil temperatures. The first three PCs explained





336 about 78 % of the R_{ec} variation.

337 In autumn, PC1 explained 46 % of the NEE variation and was dominated by T_{air}, 338 SWC at depth of 10 cm, T_{soil} at all depths, and R_n (Table 1). PC2 explained 34 % of the variation and was dominated by SWC at depths of 0 to 30 cm. The first two PCs 339 explained about 80 % of the NEE variation. For GPP, PC1 explained 33 % of the 340 variation and was dominated by SHF at all depths and T_{air} (Table 2). PC2 explained 28 % 341 342 of the variation and was dominated by SWC and T_{soil} at all depths and R_n. The first four PCs explained about 85 % of the GPP variation. For Rec, PC1 explained 36 % of the 343 variation and was dominated by SHF at all depths and Tair (Table 3). PC2 explained 32% 344 of the variation and was dominated by SWC and T_{soil} at all depths and by R_n. The first 345 three PCs explained about 82 % of the Rec variation. 346

In winter, the NEE were equal to R_{ec} . PC1 for NEE (R_{ec}) explained 39 % of the variation and was dominated by SWC at depths of 20 to 30 cm and T_{soil} at all depths (Table 1 and Table 3). PC2 accounted for 25 % of the variation and was dominated by SHF at a depth of 10 cm and T_{air} . For GPP, there was no photosynthesis during the winter, so no data is provided in Table 2.

In summary, the dominant control factors for NEE, GPP, and R_{ec} differed among the
 seasons.

354 4 Discussion

355 4.1 Annual and seasonal mean and diurnal variability

Our results suggested that the sandy grassland ecosystem in China's Horqin Sandy 356 Land was a net CO₂ source, with an annual mean NEE of 48.88 \pm 8.10 g C m⁻² yr⁻¹ in 357 the years for which a complete dataset was available (2015, 2016, and 2018). This result 358 was similar to that obtained for a semi-desert sandy grassland near V \acute{a} cr \acute{a} \acute{o} t, Hungary 359 (where the dominant species were *Festuca vaginata* and *Stipa capillata*), but the 360 Hungarian annual NEE was higher, at 131.48 g C m⁻² yr⁻¹ in 2001 (Balogh et al., 2005). 361 362 In contrast, many other arid and semiarid dry ecosystems with similar climate and geographical conditions were a significant net sink for CO2. For example, in the Mojave 363 Desert ecosystem in the United States, where the dominant species were evergreen 364





365 shrubs, drought-deciduous shrub species, and perennial grasses, the annual NEE was -102 ± 67 and -110 ± 70 g C m⁻² yr⁻¹ in 2005 and 2006, respectively (Wohlfahrt et al., 366 2008). China's Tengger Desert, where the dominant vegetation was xerophytic shrubs 367 planted in 1956, had annual NEE of -13.87 and -23.36 g C m⁻² yr⁻¹ in 2009 and 2010, 368 respectively (Gao et al., 2012). The southern edge of China's Mu Us desert, which is 369 dominated by a mixture of deciduous shrub species, had an annual NEE of -77 g C m⁻ 370 ² yr⁻¹ in 2012 (Jia et al., 2014). China's Gurbantonggut Desert, which is dominated by 371 shrubs and grasses, had an annual NEE of -5 and -40 g C m⁻² yr⁻¹ in 2006 and 2007, 372 respectively (Liu et al., 2016a). The reason for these differences from the present study 373 may be differences in the carbon sequestration ability of the dominant vegetation. 374 Zheng et al. (2007) showed that the average carbon sequestration of terrestrial higher 375 plants was higher for shrubs than for herbs. The dominant vegetation of our study area 376 comprised annual herbs, which would have lower carbon sequestration capacity than in 377 378 a shrub-dominated ecosystem.

379 The sandy grassland ecosystem in the present study was a net CO₂ source at an annual scale. On the one hand, this is because the dominant plants were annual plants, with a 380 low carbon sequestration capacity. On the other hand, the site is still recovering from 381 severe degradation, and has relatively low vegetation productivity (e.g., the mean 382 annual GPP (351.78 \pm 20.97 g C m⁻² yr⁻¹) in our study was lower in China's Mu Us 383 desert (456 \pm 20.97 g C m⁻² yr⁻¹) (Jia et al., 2014)), and the restoration of degraded 384 385 sandy grassland ecosystems is a long process (Li et al., 2019). Therefore, the ecosystem has not yet reached the threshold at which it will change into a CO_2 sink, and it will be 386 necessary to study NEE for a longer period to reveal when that change occurs and the 387 ecosystem's long-term response to environmental and biological factors (Su et al., 2003; 388 389 Niu et al., 2018).

We believe that seasonal variation of environmental factors also explained the seasonal differences in NEE, GPP, and R_{ec} at our site. In spring, the sandy grassland was a net CO₂ source in all years (Fig. 3a). Before the growing season, plants begin to germinate, and both GPP and R_{ec} increased with increasing temperature, solar radiation,





and precipitation (Niu et al., 2011; Rey et al., 2011). However, R_{ec} was more responsive than GPP to precipitation. Liu et al. (2016a) showed that precipitation before the growing season had an important impact on NEE in arid and semiarid regions. After the winter drought, the spring precipitation greatly promoted the respiration of soil microbes (Zhang et al., 2016). As a result, R_{ec} increased significantly. Precipitation also promoted GPP to some extent, but the carbon uptake was relatively small during plant germination. Therefore, the ecosystem was a net CO₂ source.

In summer, the sandy grassland was a CO_2 sink in all years (Fig. 3b). Our results agree with previous results for the study area (Li et al., 2015), as well as with results for a semiarid savanna in Australia (Hutley et al., 2005) and a grassland in California (Ma et al., 2007). GPP and R_{ec} increased because of the favorable temperature and moisture conditions. However, because photosynthesis is greater than respiration during the peak of the growing season, the ecosystem became a net CO_2 sink (Kemp, 1983; Liu et al., 2016a; Niu et al., 2018).

In the autumn and winter, the sandy grassland was a net CO₂ source in all years (Fig. 3c,d). At the end of the growing season (in autumn), annual plants began to die and photosynthesis weakened (Fang et al., 2014). As a result, the ecosystem gradually transformed from a carbon sink to a carbon source (Keenan et al., 2009; Kiely et al., 2009).

At the diurnal scale, NEE in the spring, summer, and autumn showed CO₂ uptake during the day (06:00-18:00), and CO₂ emission during the night (Fig. 4a, b, c). The NEE increased with increasing light intensity during the day, reached its peak value around noon, then decreased until sunset, when the ecosystem changed from net carbon absorption to carbon release (Wagle and Kakani, 2014; Jia et al., 2014).

In winter, the sandy grassland ecosystem showed CO₂ emission throughout the day (Fig. 4d). At a diurnal scale, the ecosystem showed carbon "uptake", at a level too small to display in Fig. 4d. This phenomenon may have resulted from heating effects in the open-path infrared gas analyzer (Burba et al., 2008). We recently created a Li-Cor LI-8150 gas analyzer system with six long-term monitoring chambers in the footprint of





423 eddy covariance to test whether that hypothesis is correct.

424 4.2 Impacts of the environment on NEE, GPP, and Rec

Our results demonstrated the important roles of the environmental factors in regulating the direction and amount of NEE between the atmosphere and the ecosystem in a sandy grassland in the Horqin Sandy Land. The most important environmental factors differed among the different scales.

At the diurnal scale, NEE in the four seasons was mainly explained by the R_n, which agrees with results for a study of the Mojave Desert ecosystem (Wohlfahrt et al., 2008). Our study area was located at a relatively high latitude, which means that solar radiation may be a limiting factor on many ecosystem processes such as GPP (Li et al., 2005; Liang et al., 2012).

At the seasonal scale, the carbon cycle processes were affected by many 434 435 environmental factors, including soil and air temperatures, SWC, solar radiation (R_n 436 and SHF), and precipitation. Our results showed that the dominant environmental 437 factors that affected NEE differed among the seasons. Our PCA analysis (Tables 1, 2 438 and 3) showed that in the spring, the main environmental factors that affected NEE, GPP, and Rec were temperature and SWC. After experiencing the winter cold and 439 440 drought, the effect of temperature and SWC on soil thawing and vegetation greenup were greater than those of other environmental factors (Chu et al., 2013; Wolf et al., 441 442 2016).

In summer, the most important environmental factors for NEE and GPP were solar 443 radiation and SHF. This result agreed with previous studies, which demonstrated that 444 solar radiation was the main environmental factor that affected photosynthesis during 445 446 the peak of the growing season (Saigusa et al., 2008; Hinko-Najera et al., 2017). However, SWC was the most important factor for Rec, and the variation of SWC was 447 448 mainly controlled by precipitation (Fig. S4b). Studies have suggested that the burst-type precipitation could strongly stimulate Rec during the growing season in semiarid areas 449 450 (Hunt et al., 2002; Saetre and Stark, 2005).

451 In autumn, SHF and air and soil temperatures were the dominant environmental





452 factors for NEE, GPP, and R_{ec} . The ecosystem was dominated by R_{ec} during the later 453 stages of the growing season, and studies have shown that R_{ec} was strongly affected by 454 soil temperature (Wang et al., 2012; Niu et al., 2018), and that the changes of soil 455 temperature depended on SHF (Gao et al., 2010; Guo et al., 2011). Therefore, SHF and 456 temperature were the most important environmental factors for the autumn NEE, GPP, 457 and R_{ec} .

458 In winter, the annual plants had withered, so there was no GPP and the entire 459 ecosystem was characterized by carbon emission. Our results showed that SWC and soil temperature were the most important factors that affected NEE, and that NEE 460 increased with decreasing SWC and temperature. Previous studies found that when 461 SWC decreases sufficiently to create water stress, it may replace temperature as the 462 main factor that controls soil respiration in arid and semiarid areas, and as a result, soil 463 respiration decreased with decreasing SWC (Wu et al., 2010; Escolar et al., 2015). Our 464 results were inconsistent with these previous studies. This may be due to drought, since 465 466 precipitation during the winter amounted to between 1 and 6 % of the annual precipitation, and this would be exacerbated by strong winter winds in the Horqin 467 Sandy Land (Fig. S5; Wang et al., 2005; Liu et al., 2016b). The soil organic matter and 468 nutrients would also be lost faster when SWC decreases and the wind strengthens, 469 470 resulting in increased carbon emission (Lai, 2004; Munodawafa, 2011).

We also analyzed the relationship between annual NEE, GPP, and R_{ec} in the years for which a complete dataset was available (2015, 2016, and 2018) and the environmental factors (Table S1). We found that the total annual precipitation was the most important factor that limited NEE, GPP, and R_{ec} . NEE was negatively correlated with annual precipitation, GPP was positively correlated with it, and the correlation between R_{ec} and precipitation was not significant. Taken together, these results indicated different sensitivity of GPP and R_{ec} to annual precipitation.

Previous studies suggested that GPP was limited by the availability of water and was
strongly correlated with total annual precipitation in arid and semiarid ecosystems
(Webb et al., 1987; Sala et al., 1988). GPP of annual herbaceous plants was especially





481 strongly affected by precipitation, which could change the composition and community structure of plants, thereby affecting GPP (Nackley et al., 2014; Wang et al., 2016). Our 482 result was consistent with these previous studies. However, the correlation between Rec 483 484 and precipitation was not significant in our study. This may be because of the relatively high latitude of our study area, since Rec was affected by multiple environmental factors 485 that would be affected by latitude, such as temperature and solar radiation. However, 486 we must improve our understanding of the responses of the ecosystem to precipitation 487 and the underlying mechanisms that control whether it will be a carbon source or sink. 488 489 To accomplish this, it will be necessary to observe the ecosystem continuously for a longer period of time. 490

491 5 Conclusions

Our field data indicated that the sandy grassland has functioned as a CO_2 source at an annual scale, with a mean annual NEE of 48.88 ± 8.10 g C m⁻² yr⁻¹. At the seasonal scale, the sandy grassland showed net CO_2 absorption during the summer, but net CO_2 release in the other seasons. At the diurnal scale, the ecosystem showed a strong single daytime absorption peak in the spring, summer, and autumn, but strong CO_2 emission at night. In winter, the ecosystem was characterized by CO_2 emission all day, as there was no GPP.

At the daily scale, NEE in all four seasons was controlled by Rn. At the seasonal 499 scale, NEE was mainly affected by temperature and SWC in the spring, solar radiation 500 in the summer, SHF and temperature in the autumn, and SWC and temperature in the 501 winter. At the annual scale, the total annual precipitation was the most important factor 502 for NEE. Our findings demonstrated the importance of long-term, high-frequency field 503 monitoring in sandy land to improve our understanding of CO₂ cycling and its likely 504 505 responses to a changing climate. However, it will be necessary to study the NEE for a 506 longer period to reveal its long-term response to environmental and biological factors. Data availability. In agreement with the FAIR Data standards, the data used in this 507 508 article are archived, published, and available in a dedicated repository: http://doi.org/10.4121/uuid:35deeb02-8165-49b7-af8d-160d537ae15a. 509





510	Competing interests. The authors declare that they have no conflict of interest.
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828 Figure captions

Fig. 1. Locations of the Horqin Sandy Land and the Naiman station. (b) and (c) are the
covariance site at the Naiman station during the growing and dormant seasons,
respectively.

832

Fig. 2. Annual patterns of daily net ecosystem CO_2 exchange (NEE), gross primary productivity (GPP), and ecosystem respiration (R_{ec}) from 2014 to 2018. Positive NEE values indicate net CO_2 release, whereas negative values indicate net CO_2 uptake by the ecosystem. Note that the initial measurements were from 15 September to 23 December 2014, so no data are available for the first part of 2014.

838

Fig. 3. Seasonal mean net ecosystem CO_2 exchange (NEE), gross primary productivity (GPP), and ecosystem respiration (R_{ec}) from 2014 to 2018: (a) spring (March, April, and May), (b) summer (June, July, and August), (c) autumn (September, October, and November), and (d) winter (December, January, and February). Note that the initial measurements were from 15 September to 23 December 2014, so no data are available for the first part of 2014.

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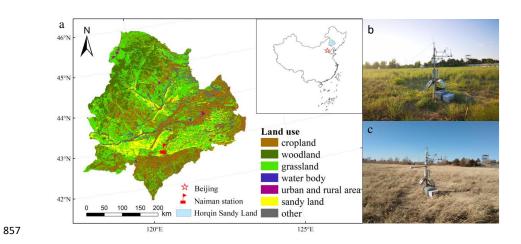
Fig. 4. Diurnal changes in mean net ecosystem CO₂ exchange (NEE), gross primary 846 productivity (GPP), and ecosystem respiration (Rec) from 2014 to 2018: (a) spring 847 (March, April, and May), (b) summer (June, July, and August), (c) autumn (September, 848 October, and November), and (d) winter (December, January, and February). Note that 849 the initial measurements were from 15 September to 23 December 2014, so the spring 850 and summer data do not include the period before 15 September. The final 851 852 measurements were obtained on 31 December 2018, so the winter period from 2017 to 853 2018 was only about one-third of the usual length (i.e., it did not include data from 854 January and February 2019).

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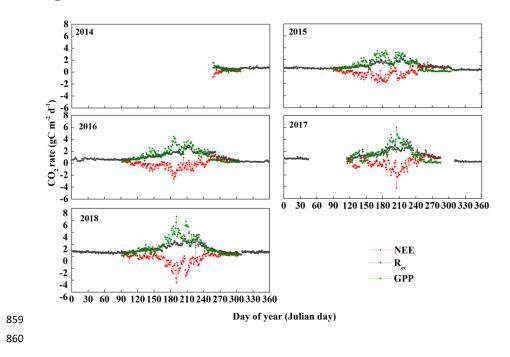






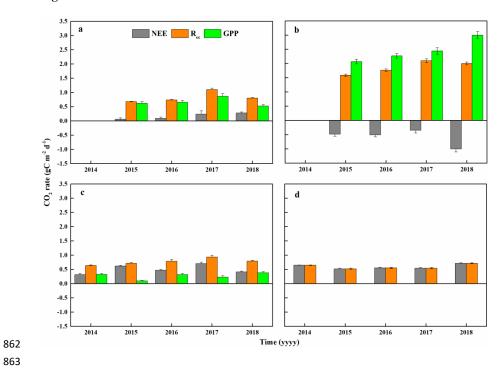










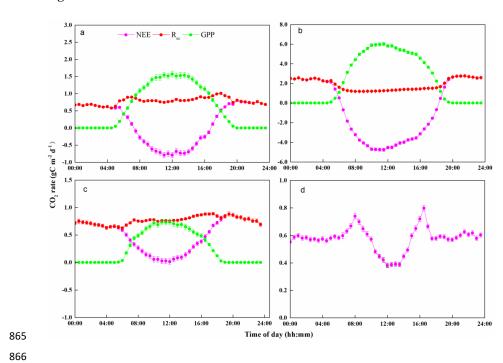


861 Fig. 3.

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867	Table 1. Principal-components analysis (PCA) for the relationships between the net
868	ecosystem CO_2 exchange (NEE) and the environmental factors at a seasonal scale. PC,

869 principal component; T_{air}, air temperature; R_n, net solar radiation; SHF, soil heat flux;

870 SWC, soil water content; T_{soil} , soil temperature.

	Spring			Summer		Aut	umn		Winter		
Component ^a	PC1	PC2	PC1	PC2	PC3	PC1	PC2	PC1	PC2	PC3	
T _{air}	0.910	0.188	0.423	0.861	-0.017	0.873	0.424	0.333	0.891	0.071	
Wind speed								0.057	0.230	0.730	
R _n	0.739	0.034	0.781	0.018	0.032	0.757	0.418	-0.106	0.320	-0.784	
SHF at 5 cm			0.920	0.198	-0.036						
SHF at 10 cm			0.929	0.202	0.047	0.870	-0.072	-0.206	0.928	-0.090	
SWC at 0-10 cm	0.112	0.978				0.238	0.824				
SWC at 10-30 cm						0.133	0.845				
SWC at 10-50 cm	0.780	0.510									
SWC at 20-30 cm								0.868	0.053	-0.144	
SWC at 30-40 cm								0.949	-0.011	0.161	
SWC at 40-50 cm			0.025	-0.052	0.998			0.880	-0.110	0.144	
T_{soil} at 0-50 cm	0.935	0.140	-0.009	0.961	-0.055	0.780	0.508	0.735	0.390	0.244	
Percent of variance	57.227	25.339	41.639	29.146	16.735	46.258	33.520	39.212	24.720	16.088	
Cumulative	57.227	82.566	41.639	70.785	87.521	46.258	79.778	39.212	63.933	80.021	

^a Before the PCA, SWC was divided into six depth ranges (0 to 10, 10 to 30, 10 to 50, 20 to 30, 30 to 40, and 40 to 50 cm) according to the results of a collinearity test for the four seasons. T_{soil} was divided into a single range (0 to 50 cm) according to the results of a collinearity test for the different seasons.

875





876	Table 2. Principal-components analysis (PCA) for the relationships between the gross
877	primary productivity (GPP) and the environmental factors at the seasonal scale.
878	Because there was no plant photosynthesis in winter, we did not perform the PCA for
879	that season. PC, principal component; T_{air} , air temperature; R_n , net solar radiation; SHF,
880	soil heat flux; SWC, soil water content; T _{soil} , soil temperature.

Commencent	Spring				Summer		Autumn				
Component ^a	PC1	PC2	PC3	PC1	PC2	PC3	PC1	PC2	PC3	PC4	
T _{air}	0.849	0.410	0.108	0.431	0.849	-0.096	0.736	0.594	0.178	-0.004	
Wind speed							0.023	-0.001	0.011	0.980	
Rn	0.511	0.550	0.136	0.781	0.027	0.080	0.557	0.597	0.115	-0.299	
SHF at 5 cm	0.138	0.967	-0.059	0.920	0.173	-0.134	0.928	0.057	-0.091	-0.007	
SHF at 10 cm	0.191	0.951	0.032	0.932	0.185	-0.052	0.943	0.096	-0.063	0.071	
SWC at 0-10 cm	0.184	-0.006	0.974	-0.073	-0.256	0.890	0.133	0.758	0.244	0.007	
SWC at 10-50 cm	0.836	0.103	0.416	0.010	-0.017	0.933	0.000	0.848	-0.071	0.060	
Precipitation							-0.055	0.146	0.962	0.008	
T_{soil} at 0-50 cm	0.974	0.126	0.029	-0.003	0.950	-0.170	0.558	0.685	0.206	-0.131	
Percent of variance	38.847	33.358	16.530	35.926	25.057	24.689	32.594	27.846	12.117	11.960	
Cumulative	38.847	72.205	88.735	35.926	60.984	85.673	32.594	60.441	72.558	84.518	

^a Before the PCA, SWC was divided into two depth ranges (0 to 10, and 10 to 50)
according to the results of a collinearity test for the four seasons. T_{soil} was divided into
a single range (0 to 50 cm) according to the results of a collinearity test for the different
seasons.

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Table 3. Principal-components analysis (PCA) for the relationships between the ecosystem respiration (R_{ec}) and the environmental factors at the seasonal scale. PC, principal component; T_{air} , air temperature; R_n , net solar radiation; SHF, soil heat flux; SWC, soil water content; T_{soil} , soil temperature.

Commenta	Spring			Summer				Autumn		Winter		
Component ^a	PC1	PC2	PC3									
T _{air}	0.844	0.429	0.040	-0.064	0.912	0.141	0.726	0.603	0.179	0.333	0.891	0.071
Wind speed				0.010	0.030	0.995				0.057	0.230	0.730
R _n	0.615	0.334	-0.460				0.554	0.627	0.118	-0.106	0.320	-0.784
SHF at 5 cm	0.162	0.952	-0.197				0.928	0.066	-0.087			
SHF at 10 cm	0.234	0.929	-0.198				0.940	0.100	-0.061	-0.206	0.928	-0.090
SWC at 0-10 cm				0.811	-0.312	-0.091	0.123	0.754	0.248			
SWC at 10-50 cm				0.884	-0.106	-0.013	-0.012	0.838	-0.066			
SWC at 20-30 cm										0.868	0.053	-0.144
SWC at 20-50 cm	0.916	0.061	0.054									
SWC at 30-40 cm										0.949	-0.011	0.161
SWC at 40-50 cm										0.880	-0.110	0.144
Precipitation	0.116	-0.219	0.924	0.622	0.100	0.075	-0.057	0.139	0.964			
T _{soil} at 0-50 cm	0.946	0.124	0.050	-0.092	0.942	-0.091	0.549	0.702	0.207	0.735	0.390	0.244
Percent of variance	41.703	30.452	16.451	30.642	30.638	17.189	36.254	31.924	13.694	39.212	24.720	16.088
Cumulative	41.703	72.155	88.606	30.642	61.279	78.469	36.254	68.178	81.872	39.212	63.933	80.021

^a Before the PCA, SWC was divided into six depth ranges (0 to 10, 10 to 50, 20 to 30,

891 20 to 50, 30 to 40, and 40 to 50 cm) according to the results of a collinearity test for the

four seasons. T_{soil} was divided into a single range (0 to 50 cm) according to the results

893 of a collinearity test for the different seasons.