Dear Fortunat Joos (editor),

We included our responses to reviewer #3 below. We did not include a separate reply to reviewer #1, as the reviewer accepted the manuscript without changes.

Please find our revised manuscript including tracked changes below our response to reviewer #3. We added four additional photos to the supplementary information. Additionally, we added the four photos of the main text to the supplementary information to make them available in higher detail.

Thank you for your support in publishing our research at Biogeosciences.

Kind regards,

Tony Carr on behalf of the authors

Uncertainties, sensitivities and robustness of simulated water erosion in an EPIC based globalgridded crop model By T. W. Carr et al.

2nd Reply to Anonymous Referee #3

Dear reviewer,

Thank you again for your very helpful suggestions to improve our paper.

We added four additional photos to the supplementary information in addition to the photos of the main text. We appreciate your interest in the photos. However, we did not include all available photos to save a selection for additional publications. We added the location and slope information to each photo as detailed as possible, where it was recorded. But some photos were taken on excursions, in which we did not have the opportunity to record details about coordinates and topography.

Following your last suggestion, we added two sentences to line 548 addressing the need to collate soil erosion records for future research and referred to a recent publication focusing on this issue:

"Moreover, the accessibility of field data should be improved as raw data is often not published or needs to be collected from numerous publications, grey literature and conference proceedings to obtain the large amount of data necessary for regional or global erosion studies. Therefore, we support recent efforts to collate erosion measurements and metadata from existing studies (Benaud et al., 2020) as we believe that the availability of field data through a single platform will greatly benefit future modelling studies and the understanding of soil erosion at all scales."

The reviewer's comment is copied in purple below.

Review of

Uncertainties, sensitivities and robustness of simulated water erosion in an EPIC-based globalgridded crop model

By T. W. Carr et al.

In general the authors have done an admirable job responding to the reviewers' comments and the current manuscript is greatly improved compared to the previous version. I would be pleased to recommend this manuscript for publication following minor revision.

I particularly liked the authors additional sensitivity analyses concerning assumptions about which slopes are farmed. The test study for Italy showed clearly that if only low-slope landscapes are cultivated, very little soil erosion occurs. This result may form a basis for policymaking in some cases.

Responding to on my comments from the previous version, Figure 8 is a fantastic addition to the manuscript. I particularly appreciated the authors sharing of personal observations of (frequently unsustainable) cultivation practices in tropical mountains, and the illustration with photos. The figure showing years until total depletion of the soil profile (Fig. S10) is also very helpful.

I realize figure 8 is only a fraction of the photos you must have, and I liked seeing all of the photos that were provided in the response to reviewers document. I would be pleased if all of these additional photos could be provided in a supplementary materials document to accompany the final paper.

Furthermore, in figure 8, please provide approximate geographic coordinates and approximate elevation and slope for the sites shown in each of the four pictures. Exact numbers are not necessary, but it would be very helpful for future studies to examine these regions in more detail, e.g., using satellite and updated terrain data.

I realize that collation of a large database of soil erosion measurements would be beyond the scope of the current manuscript, and I fully appreciate the authors explanation of the challenges and effort that would be required to do this. I found the six points listed in the response to reviewers helpful information that could be used to form a basis for future international research initiatives. These may already be well known in the community. I would anyway request that the authors include a 1-2 sentence summary of the need and requirements for synthesizing soil erosion records in the final version of the paper, as this would be a very helpful citation, e.g., for anyone preparing a proposal to initiate or coordinate a project on the topic.

Uncertainties, sensitivities and robustness of simulated water erosion in an EPIC-based global-gridded crop model

23

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- 17

18 Abstract. Water erosion on arable land can reduce soil fertility and agricultural productivity. Despite the impact 19 of water erosion on crops, it is typically neglected in global crop yield projections. Furthermore, previous efforts 20 to quantify global water erosion have paid little attention to the effects of field management on the magnitude of 21 water erosion. In this study, we analyse the robustness of simulated water erosion estimates in maize and wheat 22 fields between the years 1980 to 2010 based on daily model outputs from a global gridded version of the 23 Environmental Policy Integrated Climate (EPIC) crop model. Using the MUSS water erosion equation and 24 country-specific and environmental indicators determining different intensities in tillage, residue handling and 25 cover crops, we obtained the global median water erosion rates of 7 t ha⁻¹ a⁻¹ in maize fields and 5 t ha⁻¹ a⁻¹ in wheat 26 fields. A comparison of our simulation results with field data demonstrates an overlap of simulated and measured 27 water erosion values for the majority of global cropland. Slope inclination and daily precipitation are key factors 28 in determining the agreement between simulated and measured erosion values and are the most critical input 29 parameters controlling all water erosion equations included in EPIC. The many differences between field 30 management methods worldwide, the varying water erosion estimates from different equations and the complex 31 distribution of cropland in mountainous regions add uncertainty to the simulation results. To reduce the 32 uncertainties in global water erosion estimates, it is necessary to gather more data on global farming techniques, 33 to reduce the uncertainty in global land use maps and to collect more data on soil erosion rates representing the 34 diversity of environmental conditions where crops are grown.

35

36 1 Introduction

Water erosion is widely recognized as a threat to global agriculture (den Biggelaar et al., 2004; Kaiser, 2004;
Panagos et al., 2018; Pimentel, 2006). The removal of topsoil by surface runoff reduces soil fertility and crop

- yields due to loss of nutrients, degradation of the soil structure, and decreasing plant-available water capacity
 (Våje et al., 2005). Water erosion is a natural process, but the impact of agricultural field management on surface
- 41 cover and roughness is decisive for the magnitude of water erosion. High energy precipitation, steep slopes and
- 42 lack of vegetation cover intensify water erosion. The most vulnerable areas are mountainous regions, due to steep
- 43 slopes, the tropics and subtropics, due to abundant high energy precipitation, and arid regions, where precipitation
- 44 events are rare but often intense and the vegetation cover is sparse. This global distribution of water erosion is
- 45 indicated by suspended sediment in rivers (Walling and Webb, 1996). South America, Sub-Saharan Africa, South
- 46 and East Asia have been identified as the most vulnerable regions to erosion on agricultural land by several prior
- 47 studies (Borrelli et al., 2017; Pimentel et al., 1995).
- 48 Despite its importance for global agriculture, water erosion is usually not considered in global gridded crop model 49 (GGCM) studies. Throughout the past decade, GGCMs - typically combinations of agronomic or ecosystem 50 models and global gridded input data infrastructures - have become essential tools for climate change impact 51 assessments, evaluations of agricultural externalities, and as input data providers for agro-economic models 52 (Mueller et al., 2017). Few assessments have considered land degradation processes and found their inclusion and 53 understanding crucial for evaluating climate change mitigation and adaptation strategies (Balkovič et al., 2018; 54 Chappell et al., 2016). Beyond crop models, there is a need to improve the representation of agricultural 55 management and soil-related processes in earth system models to better reflect carbon sinks and sources (Luo et 56 al., 2016; McDermid et al., 2017; Pongratz et al., 2018). Moreover, improving the representation of water erosion 57 in large-scale models is urgently needed to inform major environmental and agricultural policy programs such as 58 the European Union's Common Agricultural Policy (CAP), the United Nations Sustainable Development Goals 59 (SDGs), the United Nations Convention to Combat Desertification (UNCCD) and the Intergovernmental Science-60 Policy Platform on Biodiversity and Ecosystem Services (IPBES) (Alewell et al., 2019). Yet, the necessary 61 algorithms to simulate water erosion are often not incorporated in such models. Exceptions among field-scale crop 62 models, which are frequently used in GGCM ensemble studies, are the Environmental Policy Integrated Climate 63 model (EPIC) and Agricultural Production Systems Simulator (APSIM). Compared to other commonly used crop 64 models in GGCMs, EPIC stands out in its detailed representation of soil processes including water erosion and 65 the impacts of tillage on soil properties (Folberth et al., 2019).
- 66 Recently, water erosion models such as the Universal Soil Loss Equation (USLE) and the Revised Universal Soil 67 Loss Equation (RUSLE) have been used to estimate global water erosion. Annual global soil removal estimates 68 and water erosion rates on cropland of recent studies range between 13 - 22 Gt and 11 - 13 t ha⁻¹ (Borrelli et al., 69 2017; Doetterl et al., 2012; van Oost et al., 2007). USLE and its modifications were developed in the Midwestern 70 United States and should ideally be evaluated against soil erosion measurements when used for other agro-71 environmental zones (Evans and Boardman, 2016). However, the uneven distribution of field data around the 72 world, the lack of long-term soil measurements in most global regions, and the great variability of the designs of 73 erosion rate measurements hamper the evaluation of global soil loss estimates derived from models (Auerswald 74 et al., 2004; Borrelli et al., 2017; García-Ruiz et al., 2015). In addition, model input data on topography, soil 75 properties and land use are often aggregated over large areas and thus simulation results cannot be directly 76 compared to single field measurements at specific locations.

- 77 Most global soil removal estimates using water erosion models are based on static observation approaches or on 78 very coarse timescales that do not fall below annual time steps (Borrelli et al., 2017). Therefore, seasonal patterns 79 of soil cover and precipitation intensities are neglected even though they are crucial factors for water erosion. The 80 state of the soil and its cover is influenced by land management, such as the choice of crops, planting and harvest 81 dates, tillage and plant residue management. Accordingly, neglecting the impact of seasonal changes in vegetation 82 cover and field management practices constitutes large uncertainty in global water erosion estimates. Crop models 83 usually simulate crop growth on a daily timescale, which allows attached water erosion models to account for 84 daily changes in weather, soil properties and vegetation cover. However, uncertainty remains due to the increasing
- 85 requirement of input data for daily simulations, which is especially challenging at a global scale.
- 86 The overall aim of this study is (i) to analyse the robustness of water erosion estimates in all global agro-87 environmental regions simulated with an EPIC-based global-gridded crop model and (ii) to discuss the main 88 drivers affecting the robustness and the uncertainty of simulated water erosion rates on a global scale. We simulate 89 global water erosion rates in maize and wheat fields using different empirical erosion equations in EPIC while 90 accounting for the daily crop growth and development under different field management scenarios. Here, maize 91 and wheat are used as representative crops of global agriculture, as they are grown under most environmental 92 conditions and represent contrasting soil cover patterns. Our global simulations are carried out for a baseline crop 93 management scenario based on a set of environmental and country-specific assumptions and indicators, which is 94 a common practice in global gridded crop modelling. In addition, we quantify the uncertainties of simulated water 95 erosion values stemming from (i) uncertain field management inputs, and (ii) water erosion calculation methods. 96 We also evaluate the model's sensitivity to all inputs involved in the water erosion calculation to interpret the 97 variability and uncertainties of the simulation results, and to discuss the differences between water erosion 98 equations. Finally, we use field measurements from various locations world-wide to evaluate the robustness of 99 estimated water erosion rates under different environmental conditions.

100 2 Methods

101 The simplified framework in Figure 1 illustrates the particular stages of the methodological procedure applied by 102 this study and their relationships to input data and model outputs. Both, input and output data are used twofold. 103 We use input data (i) to simulate daily maize and wheat growth and water erosion with EPIC, and (ii) to analyse 104 the sensitivity of relevant model parameters to simulate global water erosion with all equations in EPIC. We use 105 model outputs (i) to calculate a baseline global water erosion scenario, and (ii) to address the uncertainty of 106 simulation results. The final step of this study consists of the robustness check of the model outputs using field 107 data. A detailed description of each element of this study is described in the following sections.

108

109 2.1 Modelling water erosion and crop growth with EPIC

110 2.1.1 Global gridded crop model and input data

111 We use a global gridded version of the Environmental Policy Integrated Climate (EPIC) crop model, EPIC-IIASA

- (Balkovič et al., 2014), to simulate soil sediment loss with runoff from 1980 to 2010 while accounting for thedaily growth of maize and wheat under different field management scenarios. EPIC can simulate the growth of a
- 114 wide range of crops and has a sophisticated representation of carbon, nutrient and water dynamics as well as a

- 115 wide variety of possible field management options, including tillage operations and crop rotations (Izaurralde et
- al., 2006; Sharpley and Williams, 1990). Originally EPIC was named Erosion-Productivity Impact Calculator and
- 117 was developed to determine the relationship between erosion and soil productivity. Due to its origin, EPIC has
- several options to calculate water erosion caused by precipitation, runoff and irrigation (Williams, 1990).
- EPIC-IIASA requires global soil and topography data and daily weather data. The basic spatial resolution of the 119 120 model is 5' x 5' at which soil and topographic data are provided. These are aggregated to homogenous response 121 units and further intersected with a 30' x 30' climate grid, the resolution at which global gridded climate data are 122 available. This results in a total of 131,326 grid cells with a spatial resolution ranging between 5' to 30' (about 9 123 km to 56 km near the equator) (Skalský et al., 2008). We use global daily weather data from the AgMERRA 124 dataset for the years 1980-2010 (Ruane et al., 2015), soil information from the Harmonized World Soil Database 125 (FAO/IIASA/ISRIC/ISSCAS/JRC, 2009), and topography from USGS GTOPO30 (USGS, 1997). Each grid cell 126 is represented by a single field characterized by the combination of topography and soil conditions prevailing in 127 this landscape unit. Each representative field has a defined slope length (20 - 200 m) and field size (1 - 10 ha)128 based on a set of rules for different slope classes (Table S1). The slope of each representative field is determined 129 by the slope class covering the largest area in each grid cell (Table S1). Slope classes are taken from a global 130 terrain slope database (IIASA/FAO, 2012) and are based on a high-resolution 90 m SRTM digital elevation model. 131 In each grid cell, we consider reported growing seasons for maize and wheat (Sacks et al., 2010), and spatially 132 explicit nitrogen and phosphorus fertilizer application rates (Mueller et al., 2012).

133 2.1.2 Water erosion equations

EPIC includes seven empirical equations to calculate water erosion (Wischmeier and Smith, 1978). The basicequation is:

136 Y = R * K * LS * C * P (1)

where Y is soil erosion in t ha⁻¹ (mass/area), R is the erosivity factor (erosivity unit/area), K is the soil erodibility
factor in t MJ⁻¹ (mass/erosivity unit), LS is the slope length and steepness factor (dimensionless), C is the soil
cover and management factor (dimensionless) and P is the conservation practices factor (dimensionless).

- 140 The main difference between the water erosion equations available in EPIC is their energy components used to 141 calculate the erosivity factor. The USLE, RUSLE and RUSLE2 equations use precipitation intensity as an erosive 142 energy to calculate the detachment of soil particles. The Modified Universal Soil Loss Equation (MUSLE) 143 equation and its variations MUST and MUSS use runoff variables to simulate water erosion and sediment yield. 144 The Onstad-Foster equation (AOF) combines energy through rainfall and runoff (Table 1).
- The erosion energy component is calculated as a function of either runoff volume Q (mm), peak runoff rate q_p (mm h⁻¹) and watershed area WSA (ha), or via the rainfall erosivity index EI (MJ ha⁻¹). The latter determines the detachment of soil particles through the energy of daily precipitation and a statistical estimate of the daily maximum intensity of precipitation falling within 30 minutes. RUSLE2 is the only equation calculating soil deposition. If the sediment load exceeds the transport capacity, determined by a function of flow rate and slope steepness, soil is deposited, which is calculated by a function of flow rate and particle size (USDA-ARC, 2013).

151 The soil cover and management factor is updated for every day where runoff occurs using a function of crop 152 residues, biomass cover and surface roughness. The impact of soil erodibility on simulated water erosion is 153 calculated for the top-soil layer at the start of each simulation year as a function of sand, silt, clay and organic 154 carbon content. The topographic factor is calculated as a function of slope length and slope steepness. A detailed 155 description of the cover and management, soil erodibility and topographic factor is provided in the supporting 156 information (Text S1). The conservation practice factor is included in all equations as a static coefficient ranging 157 between 0 and 1, where 0 represents conservation practices that prevent any erosion and 1 represents no 158 conservation practices. Typical conservation practice factors can be derived from tables, which include values 159 ranging from 0.01 to 0.35 for terracing strategies and from 0.25 to 0.9 for different contouring practices (Morgan, 160 2005; Wischmeier and Smith, 1978). Alternatively, values can be derived from local field studies and remote 161 sensing (Karydas et al., 2009; Panagos et al., 2015), from equations using topographical data (Fu et al., 2005; 162 Terranova et al., 2009), or from economic indicators (Scherer and Pfister, 2015).

163 2.1.3 Field management scenarios

Field management techniques influencing soil properties and soil cover have a significant impact on the amount of water erosion. However, these methods are very heterogenous around the world and data on different field management techniques are sparse. Therefore, three tillage management scenarios – conventional tillage, reduced tillage and no-tillage – were designed by altering parameters related to water erosion to analyse the impact of field management on simulated water erosion and to draw conclusions on its impact on the quality of simulation results.

- In the reduced and no-tillage scenarios, we decrease soil disturbance by reducing cultivation operations, tillage depth and surface roughness, and we increase plant residues left in the field after harvest. In addition, we reduce the runoff curve numbers, which indicate the runoff potential of a hydrological soil group, land use and treatment class, with decreasing tillage intensification by using pre-defined values for the cover treatment classes presented in Table 2 (Sharpley and Williams, 1990). By lowering the runoff curve numbers, the impact of reduced tillage practices on the hydrologic balance can be taken into account (Chung et al., 1999). We simulate each tillage scenario with and without green fallow cover in between growing seasons, leading to a total of six field
- 176 management scenarios.

177 2.2 Baseline scenario for estimating global water erosion in wheat and maize fields

We estimate the rate of water erosion globally by combining these six tillage and cover crop scenarios in different regions of the world, using climatic and country-specific assumptions and indicators (Table 3). We chose maize and wheat as two contrasting crop types for analysing water erosion in different cultivation systems. Maize is a row crop with relatively large areas of bare and unprotected soil between the crop rows. The plant density in wheat

182 fields is much higher, which improves the protection of soils against water erosion.

We consider conventional and reduced tillage systems globally while considering no-tillage only for countries in which the share of conservation agriculture is at least 5 %. In tropical regions, we simulate water erosion with a green cover in between maize and wheat seasons to account for soil cover from a year-round growing season. In temperate and snow regions, we simulate water erosion affected by both soil cover throughout the year and bare soil in winter seasons. In arid regions, we do not simulate green cover in between growing seasons due to the limited water supply.

- 189 On slopes steeper than 5 %, we consider only rainfed agriculture, as hilly cropland is irrigated predominantly on
- terraces that prevent water runoff. To account for erosion control measures on steep slopes, we use a conservation
- **191** P-factor of 0.5 on slopes steeper than 16 %, and a P-factor of 0.15 on slopes steeper than 30 % to simulate
- contouring and terracing based on the range of P-values presented by Morgan (2005). The threshold for slopesthat are cultivated with conservation practices is based on the slope classes used for the underlying structure of
- slope information of EPIC-IIASA, from which the three highest slope classes (16–30 %, 30–45 %, >45 %) mark
- 195 slopes that are less likely to be cultivated without measures to prevent erosion. We choose the MUSS equation
- 196 for the baseline scenario as it generates the lowest deviation between simulated and measured water erosion as
- 197 discussed below. Table 3 summarises the field management assumptions of the baseline scenario used to aggregate
- 198 erosion rates in each grid cell and region.

199 2.3 Uncertainty analysis of field management scenarios and water erosion equations

Given the global scale of the analysis and the aggregated nature of available field management information, there is much uncertainty about crop management strategies, which introduces uncertainty in the water erosion estimates. In addition, each water erosion equation gives a different overall erosion estimate. To discuss the uncertainty of simulation results, we evaluate the variance in simulated water erosion rates at grid level due to: (i) different management assumptions, and (ii) the choice of water erosion equation. The variance of simulation outputs is defined as the range between minimum and maximum simulated water erosion rates with all combinations of tillage and cover crop scenarios and with each water erosion equation.

207 2.4 Sensitivity analysis of model parameters

We use a sensitivity analysis to identify the most essential input parameters to the factors in the seven watererosion equations. We use the Sobol method (Sobol, 1990), which is a variance-based sensitivity analysis that is

- 210 popular in environmental modelling (Nossent et al., 2011). With this method, it is possible to quantify the amount
- of variance that each parameter contributes to the total variance of the model output. These amounts are expressed
- as sensitivity indices, which rank the importance of each input parameter for simulated water erosion. In addition,
- the sensitivity indices can be used to determine the impact of parameter interactions on the model output.
- 214 We test 30 parameters directly connected to the water erosion equations in EPIC. In total, we assign 126,976 215 random values to all input parameters along a pre-defined triangular distribution or a range of discrete values 216 (Table S2). Water erosion is simulated with EPIC using the seven available equations for each random input 217 combination at 40 locations where wheat and maize are cultivated. To represent a heterogenous distribution of 218 global precipitation regimes, we use the natural break optimisation method to choose locations based on average 219 annual precipitation amounts from 1980 to 2010 (Jenks, 1967). For each location and equation, the most sensitive 220 parameters are ranked. To analyse the impact of precipitation regimes on the sensitivity of each parameter, we use 221 Spearman coefficients (ρ) to determine if positive or negative relationships exist between each parameter's 222 sensitivity and annual precipitation.

223 2.4 Evaluation of simulated erosion against reported field measurements

We compare our simulated water erosion rates with 606 soil erosion measurements on arable land from 36

225 countries representing plot and field scale. Most of the selected erosion rates are based on the ¹³⁷Cs method. In

addition, data from erosion plots and volumetric measurements of rills collected by Auerswald et al. (2009),

- 227 Benaud et al. (2020) and García-Ruiz et al. (2015) are used. In total, 315 records are derived by the ¹³⁷Cs method,
- 188 records from runoff plots, and 103 records from volumetric measurements of rills. An overview of the fielddata is presented in Fig. S4-S7, and the full dataset is available in Table S5.
- 229 data is presented in Fig. 54-57, and the full dataset is available in Table 55.
- 230 Guidance on the ¹³⁷Cs method is provided by Fulajtar et al. (2017); Mabit et al. (2014) and Zapata (2002). The
- ¹³⁷Cs radionuclide was released by nuclear weapon tests and from the accident of the Chernobyl Nuclear Power
- 232 Plant to the atmosphere and subsequently deposited in the uppermost soil layer by atmospheric fallout. After its
- deposition it was bind to soil colloids and can be moved only together with soil particles by mechanical processes
- such as soil erosion. Its chemical mobility and uptake by plants is negligible (Mabit et al., 2014; Zapata, 2002). If
- part of the topsoil contaminated by 137 Cs is removed by erosion, the 137 Cs concentrations in soil profiles can be

used to trace soil movements using mass balance equation (Walling et al., 2014). A major advantage of the ¹³⁷Cs

- 237 method is that it provides long term mean erosion rates (representing the period since ¹³⁷Cs fallout in the 1960s
- until the time of sampling) and overcomes the problem of high temporal variability of erosion.
- Bounded plots are the most commonly used method of erosion measurements. They were introduced in the USA
- in the 1920s (Hudson, 1993) and were used for the development of USLE and WEPP models (Brazier, 2004).

241 Eroded soil material can be quantified with erosion plots in different ways (total collection of sediment, fractioned

- 242 collection of sediments using multislott divisors, measurement of discharge and sediment concentration by tipping
- buckets and Coshocton wheels). The overview of this method is provided by Cerdan et al. (2010); Hudson (1993);
- 244 Mutchler et al. (1994); De Ploey and Gabriels (1980) and Zachar (1982).
- 245 The volumetric measurements of rill erosion are used since approximately the 1940s in the USA (Kaiser, 1978 in
- Evans, 2013) and the 1950s in Europe (Lobotka, 1955), usually at field scale (Boardman, 1990, 2003; Boardman
- and Evans, 2020; Brazier, 2004; Evans, 2002, 2013; Herweg, 1988; Zachar, 1982). The volume of erosion rills is
- 248 derived from their lengths and profile cross-section areas, which are measured in field or from terrestrial and aerial
- 249 photos (Evans, 1986, 1988; Watson and Evans, 1991).
- The overwhelming effect of the experimental methodology on measured erosion rates, the lack of sufficient metadata accompanying erosion measurements and the granular spatial resolution of our simulation setup hinders a direct comparison between simulated and observed water erosion rates. Instead we compare aggregated simulated and observed erosion values for different slope and precipitation classes to analyse the robustness of simulated water erosion rates under different environmental conditions. Therefore, only measurements with recorded slope steepness and annual precipitation are used. Where annual precipitation is not recorded, it is taken from the WorldClim2 dataset (Fick and Hijmans, 2017). Due to the non-normal distribution of the simulated and
- 257 measured data, the median deviation (MD) is used as a measure to compare the agreement between simulated and
- 258 measured water erosion values.

259 3 Results

- 260 We estimate global median water erosion rates of 7 t ha^{-1} and 5 t ha^{-1} in maize and wheat fields, respectively. The
- total removal of soil in global maize and wheat fields is estimated to be 5.3 Gt a⁻¹ and 1.9 Gt a⁻¹, respectively. The
- 262 map in Figure 2 illustrates the global distribution of simulated water erosion rates. Highest water erosion is
- simulated in mountainous regions and regions with strong precipitation, especially in tropical climate zones. In
- Asia, those regions are widespread in the east, south-east and the Himalaya region. In Africa, similar areas with

- high water erosion values are spread around the continent and are most common at the west coast and in East
- 266 Africa including broad areas in Guinea, Sierra Leone, Liberia, Ethiopia and Madagascar. In South America,
- highest water erosion is simulated in the south of Brazil and regions around the Andes mountain range and the
- 268 Amazon river basin. The highest water erosion values on the American continent are simulated in tropical Central
- America and the Caribbean. In North America, highest water erosion occurs along the west coast and in the east.
- 270 Water erosion in Europe is highest in Mediterranean areas and around the Alps.
- 271 Median annual water erosion values for the five largest wheat and maize producing countries demonstrate the
- 272 strong impact of climate and topography on simulated water erosion. In Brazil, China and India, where a large
- proportion of cropland is in tropical areas, water erosion is relatively high with annual median values of 10 t ha⁻¹,
- 6 t ha⁻¹, and 37 t ha⁻¹, respectively. In Russia and the United States annual median values are much lower with 1 t
- ha⁻¹, and 2 t ha⁻¹, respectively. Overall, Figure 2 illustrates the large variation in simulated water erosion between
- tropical climate regions and regions with a large proportion of flat and dry land.

277 3.1 Sources of model uncertainty related to management assumptions and method selection

- 278 The uncertainty of the simulation results due to management scenarios and the choice of water erosion equations 279 is highest in regions most vulnerable to water erosion (Figure 3). The annual median uncertainty range at each 280 grid cell due to management is 30 t ha⁻¹. For 97 % of grid cells, the lowest erosion rates are simulated with 281 management scenarios including no-tillage and cover crops. For 86 % of grid cells, maximum erosion rates are 282 simulated under conventional tillage without cover crops. The annual median uncertainty range at each grid cell 283 due to the choice of erosion equation is 23 t ha⁻¹. In 74 % of grid cells, the lowest erosion rates are simulated with 284 the MUSS equation. The highest erosion values are simulated with the RUSLE equation (46%), followed by the 285 USLE equation (25%).
- In most locations, the uncertainty due to field management exceeds the uncertainty caused by choice of erosion equation. For 46 % of grid cells, management scenarios cause the prevailing uncertainty, which we defined as the higher uncertainty range by at least 5 t ha⁻¹. The selected erosion equation causes higher uncertainty by at least 5 t ha⁻¹ in 14 % of grid cells. The map in Figure 4 illustrates the global distribution of prevailing uncertainty sources.
- 290 **3.2** Main drivers of the global erosion model
- We designed the sensitivity study to explain the large variability of simulated water erosion rates in different regions and to discuss the main differences between water erosion equations. Water erosion is highly sensitive to slope steepness (SLP) for all equations. The first-order sensitivity index of the slope parameter indicates that 46– 54 % of the variance in the model output is attributable to the slope, without considering interactions between the input parameters (Table 4). Daily precipitation (PRCP) is the second most important parameter for calculating
- water erosion, with an individual contribution of around 9–20 % to the variance of the output. The remaining
- 297 parameters contribute together 4–13 % to the output variance.
- The first-order sensitivity indices do not include interactions between input parameters, which leads to the sum of all first-order sensitivity indices being lower than 1. The total-order sensitivity indices sum all first-order effects and interactions between parameters, which leads to overlaps in case of interactions and a sum greater than 1. The differences between the first-order and the total-order indices can be used as a measure to determine the impact of the interactions between a specific parameter with other parameters. The total-order sensitivity indices show

- that slope steepness, including interactions to other parameters, contributes 63–75 % of the output variance from
- 304 which 18–21 % are due to interactive effects with other parameters (Table 5). The total-order sensitivity indices
- from precipitation range from 21-36 %, from which 10-18 % is due to interactions with other parameters.
- 306 The high sensitivity of slope and precipitation is similar for all equations, but the most sensitive parameters after
- these can be different for each equation. Equations estimating erosion energy by surface runoff and the RUSLE2
- equation are very sensitive to the hydrological soil group (HSG), which determines the soils infiltration ability.
- 309 This parameter is used in the calculation of the curve number, which defines the partition of precipitation into
- 310 runoff and infiltration. Also, the land use number (LUN), which is ranked among the most sensitive input
- 311 parameters, is used for the calculation of the curve number. The most sensitive parameters of the USLE and
- **312** RUSLE equation, following slope inclination and daily precipitation, are soil texture classes (SAND & SILT)
- followed by daily temperature changes (TMX). Crop residues (ORHI) are relatively important for all equations
- but especially important for equations based on rainfall-energy. Other parameters relevant for field management,
- such as surface roughness and mixing efficiency of the topsoil, have little influence on water erosion.
- 316 The sensitivity of slope steepness has a strong positive correlation with the amount of annual precipitation at each
- 317 location ($\rho = 0.69$, p<0.01). The increase in the sensitivity of slope steepness with increasing annual precipitation
- 318 is demonstrated in Figure 5, which illustrates substantially lower sensitivity indices at dry locations compared to
- 319 wet locations. In contrast, the sensitivity indices of daily precipitation are negatively correlated to annual
- 320 precipitation with a moderate strength ($\rho = 0.45$, p<0.05). Depending on the equation, strong positive or negative
- 321 correlations between SIs and annual precipitation also exist for other parameters such as slope length, soil texture,
- 322 soil organic carbon, channel length, channel slope and watershed area (Table S4).

323 3.3 Evaluation of simulation results against field data

- The most recent estimated global water erosion rates on cropland of 11 13 t ha⁻¹ derived from a comparable method (Borrelli et al., 2017; Doetterl et al., 2012; van Oost et al., 2007) lie above our simulated median water erosion rates of 7 t ha⁻¹ and 5 t ha⁻¹ for maize and wheat fields, respectively. Similarly, our global water erosion estimates in maize and wheat fields are lower than the median value of 9 t ha⁻¹ from 606 water erosion measurements from cropland around the world.
- 329 To evaluate the agreement between simulated and observed data, we compare median values between simulated and measured erosion rates grouped by precipitation and slope classes, which are defined along the whole range 330 331 of recorded slope inclinations and annual precipitation amounts of the field data (Figure 6a). Although slope and 332 precipitation classes from the field are spread unevenly, they cover most climatic and topographic characteristics 333 relevant to global agriculture. The comparison illustrates that the deviation between simulated and field data is 334 highest for locations with steep slopes and high annual precipitation. Where slopes are steeper than 8 % and annual 335 precipitation is higher than 1000 mm, the median of simulated water erosion exceeds the median of measured 336 water erosion in most cases by at least 50 t ha⁻¹. With decreasing slope steepness and annual precipitation, the 337 median deviation between simulated and measured data is decreasing. Where both slope steepness is below 8 % 338 and annual precipitation is below 1000 mm, the median deviation is lower than 5 t ha¹ in most cases. A comparison 339 of measured and simulated water erosion using other equations with the baseline scenario can be found in Fig. S8.

The boxplots in Figure 6b illustrate the range of water erosion values measured in the field and simulated with the baseline scenario. The high deviation between observed and simulated values for grouped locations with slopes steeper than 8 % and annual precipitation higher than 1000 mm can also be observed between the range of simulated and measured water erosion values. Outside locations combining steep slopes and strong precipitation, median deviation between simulated and measured data is lower than the variability within the field data. The range of values at locations with lower precipitation and slope steepness demonstrates that simulated values are mostly below measured values in those environments.

347 The uncertainty in the choice of management scenarios and water erosion equations included in our baseline 348 scenario leads to an uncertainty of the deviation between simulated and measured erosion values. This uncertainty 349 is demonstrated in Figure 6b by additional three bars illustrating the range of simulated medians due to contrasting 350 tillage management scenarios, cover crop scenarios and different water erosion equations. At locations with low 351 to moderate slope steepness and annual precipitation, the measured water erosion values agree best with the 352 simulation values generated under scenarios implying larger water erosion, such as high intensity tillage and low 353 soil cover. On the other hand, at locations with steep slopes and intensive precipitation, the measured values are 354 closer to the simulated values under scenarios with less intensive tillage and more soil cover. In addition, the 355 varying sensitivities of each water erosion equation lead to a different magnitude of water erosion values in 356 different environments. On low to moderate slopes, water erosion simulated with the MUSS equation is lowest, 357 whereas RUSLE generates the highest values. On steep slopes, the RUSLE equation generates the lowest water 358 erosion values, which agree best with the measured values. The options to increase and decrease simulated water 359 erosion with different field management scenarios and water erosion equations creates both uncertainty in the 360 model results, but also the possibility to closely match field data.

At locations combining steep slopes and intense precipitation, most management scenarios and equations generate water erosion values that are higher than the measured values. However, those environmental conditions cover only a small share of global cropland. Cultivation areas with slopes steeper than 8 % and annual precipitation higher than 1000 mm represent only 7 % of global maize and wheat cropland in our grid cells. The map in Figure 7 illustrates that the highest concentration of these areas is in East and South-East Asia, followed by Central and South America, and Sub-Saharan Africa.

367

368 4 Discussion

369 4.1 Varying robustness of simulated water erosion in different global regions

370 Global water erosion estimates generated with an EPIC-based GGCM and our baseline scenario overlap with 371 observed water erosion values under most of the climatic and topographic environments where maize and wheat 372 are grown. However, global maize and wheat land include locations where environmental characteristics differ 373 significantly from the Midwestern United States, where the data was collected to develop the water erosion 374 equations embedded in EPIC. The USLE model and its modification were developed with data for slopes of up to 375 20 %, which makes model application for steeper slopes uncertain (McCool et al., 1989; Meyer, 1984). 376 Furthermore, the relations between kinetic energy and rainfall energy in the American Great Plains differ from 377 other regions in the world (Roose, 1996). Similarly, the runoff curve number method, which is the key

- methodology for the calculation of surface runoff, is based on an empirical analysis in watersheds located in the
- United States and might be less reliable in different regions of the world (Rallison, 1980). Due to the high
- sensitivity of slope steepness and daily precipitation for the calculation of water erosion, the reliability of the
- tested equations decreases in regions where typical slope and precipitation patterns differ from the Midwestern
- 382 US. Although some studies have successfully used USLE and its modification under a different environmental
- context (e.g. Alewell et al., 2019; Almas and Jamal, 2009; Fischer et al., 2018; Sadeghi and Mizuyama, 2007),
- many studies have concluded that the accuracy of these models may be reduced outside the environments they
- were created without calibration and model adaptation (e.g. Cohen et al., 2005; Labrière et al., 2015).
- The skewed distribution of simulated water erosion values influenced by extreme soil loss rates in few fields highly sensitive to water erosion results in a large difference between the global median value of 6 t ha⁻¹ a⁻¹ and the global average value of 19 t ha⁻¹ a⁻¹ (Fig. S9). Due to the strong influence of outliers on average values, we used median values to represent global and regional water erosion rates in wheat and maize fields. The high sensitivity of the simulation results to slope inclinations and precipitation suggests that a significant share of the estimated soil removal of 7.2 Gt a⁻¹ originates from small wheat and maize cultivation areas on steep slopes with strong annual precipitation.

393 4.2 Sources of uncertainties in global water erosion estimates

394 4.2.1 Uncertain land use in mountainous regions

- 395 Changing climatic conditions with increasing elevation and the variable soils in mountainous regions can favour 396 crop cultivation in higher elevations over lower elevations (Romeo et al., 2015). However, upland farming without 397 soil conservation measures can lead to exhaustive soil erosion and can become a critical problem for agriculture 398 (Montgomery, 2007). Large areas of land have been abandoned due to high erosion rates as soils were no longer 399 able to support crops (Figure 8) (Romeo et al., 2015). As mountain agriculture is determined by various 400 environmental and socio-economic factors, the cultivation of steep slopes can be very variable between regions. 401 Regional erosion assessments in mountainous cropland suggested that areas with extreme water erosion rates are 402 mainly limited to marginal steep land cultivated by smallholders (Haile and Fetene, 2012; Long et al., 2006; 403 Nyssen et al., 2019). In some mountainous regions, efforts to remove marginal farmlands from agricultural 404 production, and programs to improve land management on steep slopes have reduced high water erosion rates 405 (Deng et al., 2012; Nyssen et al., 2015). On the contrary, recent pressure through increasing population and crop 406 production demands has resulted in re-cultivation of hillslopes and a reduction of fallow periods, which limits the 407 recovery of eroded soil (Turkelboom et al., 2008; Valentin et al., 2008).
- 408 To analyse the sustainability of simulated maize and wheat cultivation systems exposed to high erosion rates, we 409 compare simulated annual eroded soil depth with a global dataset on modelled sedimentary deposit thickness 410 (Pelletier et al., 2016). The comparison shows that at 4 % of grid cells permanent maize and wheat cultivation 411 would not be sustainable as the whole soil profile would be eroded at the end of the simulation period (Fig. 412 **S10**<u>S18</u>). Most of the unsustainable agriculture is simulated on steep slopes. Although we account for conservation 413 techniques and cover crops, we do not imitate the highly complex farming practices involving intercropping 414 techniques and fallow periods, which are common on hillslopes typically managed by smallholders (Turkelboom 415 et al., 2008). Moreover, we assume that the slope class representing the largest area in each grid cell most likely 416 represents the largest share of arable land. This builds on the idea that a spatially extensive and diverse landscape

can be represented by a single "representative field" characterized by the prevailing topography and soil conditions
found in the landscape. On hilly terrain this setup simulates maize and wheat cultivation on steep slopes and thus
mainly represents unsustainable agriculture. Although unsustainable maize and wheat cultivation can be observed
in several mountain regions, cropland is very heterogeneously distributed in mountains and thus erosion rates
from one representative field are highly uncertain.

The uncertainty in cropland distribution can partly be reduced by developing a higher resolution global gridded data infrastructure, which is currently not available for EPIC-IIASA. However, due to the large uncertainty in global land cover maps (Fritz et al., 2015; Lesiv et al., 2019), an explicit spatial link between cropland distribution and the corresponding slope category cannot be established without on-site observations. We test the impact of this uncertainty for erosion estimates in Italy, where large maize and wheat cultivation areas are distributed on both flat terrain in the north and mountainous regions in the south. In an ideal scenario where cropland is limited to flattest land available per grid cell, median simulated water erosion in Italy would be reduced to tolerable levels

below 1 t ha⁻¹. However, in a scenario, where the most common slopes per grid cell are cultivated, median
simulated water erosion increases to 14 t ha⁻¹ due to high water erosion simulated in Italy's mountainous regions
(Fig. <u>S11S19</u>). This suggests a high uncertainty in global water erosion estimates due to uncertain spatial links
between maize and wheat cultivation areas and different slope categories.

433 4.2.2 Uncertain field management

434 Simulated water erosion values are highly variable depending on the field management scenario. Simulating cover 435 crop and no-tillage worldwide results in the lowest global soil removal of 2 Gt a⁻¹ with median water erosion rates 436 of 1 t ha⁻¹ a⁻¹ and simulating no cover crops and conventional tillage worldwide results in the highest global soil 437 removal of 13 Gt a⁻¹ with median water erosion rates of 17 t ha⁻¹ a⁻¹. These variations cause further uncertainties 438 in the simulation results.

439 Indeed, a proper reconstruction of a business-as-usual field management is important to further narrow down the 440 uncertainty in global crop modelling (Folberth et al., 2019). In this study we allocated prevailing field management 441 using a set of environmental- and country-specific indicators, similarly to Porwollik et al. (2019). For example, 442 we accounted for conservation agriculture only in countries where this management strategy is likely according 443 to AQUASTAT (FAO, 2016). Furthermore, by assuming cover crops in between wheat and maize seasons we 444 simulated more complex cropping systems in the tropics, where long and year-round growing seasons and frequent 445 multi-cropping farm practices barely leave the soil uncovered. Hence, we did not simulate bare fallow in the 446 tropics as erroneously high water erosion values would have been simulated at locations with heavy precipitation 447 falling on bare soil. In addition, conservation practices such as contouring and terracing are crucial to reduce the 448 simulation of high water erosion values on steep slopes. We simulated these practices for specific slope classes 449 under the assumption that farmers around the world uniformly use conservation practices when cultivating on 450 steep slopes. The most relevant parameters used for tillage scenarios are related to crop residues left in the field. 451 In addition, equations directly connected to surface runoff are strongly influenced by the land use number used to 452 determine the impact of cover type and treatment on soil permeability. While both crop residues and green fallow 453 decrease water erosion significantly, especially in the tropics, their use varies widely between regions and even 454 farms, based on a complex web of factors such as institutional factors, farm sizes, risk attitudes, interest rates, 455 access to markets, farming systems, resource endowments, and farm management skills (Pannell et al., 2014).

- 456 Also, soil conservation measures such as terraces or contour farming significantly influence water erosion but are
- 457 very heterogeneously used between regions, farming systems and farmers. Our baseline scenario is a very rough
- 458 depiction of the complex patterns of field management around the world but attempts to represent these highly
- 459 influential practices with the limited available data.

460 4.2.3 Variable estimates from different water erosion equations

461 The water erosion equation chosen for the baseline scenario generates the lowest global soil removal estimate. 462 Different water erosion equations embedded in EPIC estimate a higher global soil removal of up to 11 Gt a⁻¹ as well as higher median water erosion rates up to 19 t ha⁻¹ a⁻¹. The MUSS water erosion equation chosen for the 463 464 baseline scenario generates water erosion rates closest to the field data. The focus of equations on either rainfall 465 energy or runoff energy is relevant for the different simulation results under specific environmental conditions. 466 Equations based on rainfall-energy such as RUSLE and USLE simulate higher water erosion values than the other 467 equations at most locations. However, on steep slopes they generate the lowest water erosion values as runoff 468 becomes a greater source of energy than rain with increasing slope steepness (Roose, 1996). Also, the varying 469 sensitivities of other parameters to the equations such as soil properties and management parameters lead to a 470 varying agreement between simulated data and field data depending on the equation selection. Detailed field data 471 would facilitate the choice of an appropriate equation to simulate water erosion worldwide or for a specific region.

472 4.3 The difficulty of evaluating large-scale erosion estimates with field data

- 473 The selection of field data for evaluating simulated water erosion was limited by the low availability of suitable 474 water erosion observations covering the entire globe. The lack of reliable data on water erosion rates is a severe 475 obstacle for understanding erosion, developing and validating models and implementing soil conservation 476 (Boardman, 2006; Nearing et al., 2000; Poesen et al., 2003; Trimble and Crosson, 2000). The main reasons for 477 the low availability of suitable data to evaluate simulated water erosion rates are twofold: (i) erosion monitoring 478 is expensive, time consuming and labour demanding; and, (ii) primary data and metadata of measurement sites 479 accompanying final results are often not available and many older measurements are poorly accessible as they are 480 not available online (Benaud et al., 2020). A variety of factors influencing water erosion such as climate, field 481 topography, soil properties and field management need to be considered when modelling water erosion but are 482 often not reported in available field measurements (García-Ruiz et al., 2015). This hampers a direct comparison 483 between simulated and observed water erosion values. We demonstrated the varying match between measured 484 and simulated water erosion using different tillage and cover crop scenarios. Metadata on field management often 485 only provides the crop cultivated and therefore the conditions under which erosion was measured in the field are 486 not known sufficiently to evaluate erosion values simulated under different field management scenarios. Similarly, 487 information on field topography and soil properties is often not provided with recorded field measurements and 488 thus their use is limited in an evaluation of water erosion estimates simulated in different global environments. 489 Moreover, most data are concentrated in the United States, West Europe and the West Mediterranean (García-490 Ruiz et al., 2015). In summary, there is a lack of field data representing all needed regions, situations and scenarios 491 (Alewell et al., 2019).
- 492 The appropriate selection of field data to evaluate model outputs needs to be considered as well. At different 493 spatial scales different erosion processes are dominant and consequently different erosion measurement methods 494 are suitable (Boix-Fayos et al., 2006; Stroosnijder, 2005). Most authors use very heterogeneous data sets to

495 evaluate their models, involving data generated by different methods at variable time and spatial scales and

- 496 variable quality. For example, Doetterl et al. (2012) used plot data, suspended sediments from rivers, and data
- 497 from RUSLE modelling. Borrelli et al. (2017) used soil erosion rates (measurement methods are not specified),
- 498 remote sensing, vegetation index (NDVI) and results of RUSLE modelling. In his review on erosion rates under
- different land use, Montgomery (2007) used field data derived from erosion plots, field-scale measurements,
 catchment-scale measurements using hydrological methods, ¹³⁷Cs-method, soil profile truncation and elevated
 cemetery plots.
- 502 Whilst all erosion measurement methods are open to criticism, we decided to use only data obtained by field measurements from runoff plots, by ¹³⁷Cs method and volumetric surveys as these methods are most suitable at 503 504 plot, slope and field scale. Geodetic methods such as erosion pins and laser scanner are also used at plot to field 505 scales, but their accuracy is much lower than the accuracy of plot measurements and ¹³⁷Cs method. Furthermore, 506 erosion pins are mainly suitable for areas with extreme erosion rates (Hsieh et al., 2009; Hudson, 1993), and laser 507 scanners have difficulties to recognize vegetation (Hsieh et al., 2009). Other commonly used methods such as 508 hydrological method (measurements of discharge and suspended sediment load) and bathymetric method are more 509 suitable for larger scales and involve a significant portion of channel erosion, which is not related with agricultural 510 land (García-Ruiz et al., 2015). We did not consider plot experiments using rainfall simulators as they are usually 511 performed on small plots with artificially generated rainfalls, which mostly have very low energies and thus 512 generate low erosion rates (Boix-Fayos et al., 2006; García-Ruiz et al., 2015).
- The ¹³⁷Cs method was criticised by Parsons and Foster (2013), who questioned assumptions about the ¹³⁷Cs behaviour in the environment (variability of the ¹³⁷Cs input by wet fallout, its microspatial variability at reference sites, its possible mobility in certain soils, the ¹³⁷Cs uptake by plants and other aspects of ¹³⁷Cs behaviour in soil). To confront the criticism against the ¹³⁷Cs method, Mabit et al. (2013) discussed all objections raised by Parsons and Foster (2013) and confirmed its accuracy by listing several studies, in which ¹³⁷Cs based erosion rates are compared with erosion rates derived from direct measurements. The ¹³⁷Cs method is based on a set of presumptions which should be met to produce useful results and thus careful interpretation of the obtained results
- 520 is needed (Fulajtar et al., 2017; Mabit et al., 2014; Zapata, 2002).
- 521 Similarly, erosion rates obtained by volumetric measurements require careful interpretation as they are exposed 522 to various potential sources of errors and do not account for interill erosion. Although the latter can be neglected 523 under certain circumstances, studies from Europe and semiarid areas of the USA have reported that interill erosion 524 contributed significantly to the amount of soil eroded in fields (Boardman and Evans, 2020; Parsons, 2019). 525 Further, measuring the lengths and cross-sections of rills during field surveys or on terrestrial and aerial photos 526 can be very subjective (Panagos et al., 2016). Different approaches used to detect and measure rills in fields can 527 cause variability in calculated erosion volumes up to a factor of two (Boardman and Evans, 2020; Casali et al., 528 2006; Watson and Evans, 1991). In order to obtain soil erosion rates in weight units, soil volumes need to be 529 converted using the soil bulk density, which is often based on estimates (Evans and Brazier, 2005).
- 530 The shortcomings of erosion plot measurements were discussed by several authors (Auerswald et al., 2009;
- 531 Brazier, 2004; Evans, 1995, 2002; Loughran et al., 1988). Erosion plots have various sizes and shapes (few meters
- to few hundreds of meters) and various approaches of sediment recording are used (total collection, multislot

divisors, tipping buckets, Coshocton wheels), which all involve significant uncertainties. Although some longterm plot experiments exist, many plot measurements fail to cover the whole year erosion cycle (Auerswald et al.,
2009). Often, they have to be removed during land management operations such as seeding, ploughing, or they
are too expensive and labour demanding.

537 Despite all the shortcomings of available soil erosion data, most data provide valuable information (Benaud et al., 538 2020). The evaluation against field measurements in this study provided a first indication of the robustness of 539 results under specific topographic and climatic conditions. In most environments relevant for maize and wheat 540 cultivation the deviation between simulated and measured water erosion values is lower than the variability within 541 the field data. The reported data does not enable us to further narrow down the uncertainties addressed. Although 542 the metadata accompanying the field measurements includes information on slope steepness and annual 543 precipitation (or geographic coordinates allowing for overlay with climatic data), information on soil types or 544 texture classes, crop type and tillage system implemented over time are provided only for few points. Also, the 545 various methods used to measure erosion rates, their complex implementation and the bias of field studies towards 546 locations sensitive to erosion lead to an uncertain representation of large-scale erosion rates based on field 547 measurements. To facilitate in-depth evaluation of erosion models across different scales, it is crucial to provide 548 detailed information on site characteristics and to harmonise approaches to measure erosion in the field. Moreover, 549 the accessibility of field data should be improved as raw data is often not published or needs to be collected from 550 numerous publications, grey literature and conference proceedings to obtain the large amount of data necessary 551 for regional or global erosion studies. Therefore, we support recent efforts to collate erosion measurements and 552 metadata from existing studies (Benaud et al., 2020) as we believe that the availability of field data through a 553 single platform will greatly benefit future modelling studies and the understanding of soil erosion at all scales.

554

555 5 Conclusion

556 The simulation of water erosion with GGCMs is largely influenced by the resolution of global datasets providing 557 topographic, soil, climate, land use and field management data, which is currently not available at the field scale. 558 Yet, considering water erosion in global crop yield projections can provide useful outputs to inform assessments 559 of the potential impacts of erosion on global food production and to identify soil erosion hotspots on cropland for 560 management and policy interventions. To improve the quality of the estimates and to further develop these models, 561 it is crucial to identify, communicate and address the existing uncertainties. Increasing the resolution of global 562 soil, topographic and precipitation data is central for improving global water erosion estimates. In addition, this 563 study provides an insight into the importance of considering field management. The numerous options to simulate 564 the cultivation of fields result in a large range of possible water erosion values, which can only partly be narrowed 565 down at a global scale. Further improvement of global water erosion estimates requires detailed and harmonized 566 field measurements across all environmental conditions to validate and calibrate simulation outputs. Using 567 existing field data, we were able to identify specific environmental characteristics for which we have lower 568 confidence in the modelled erosion rates. These are mainly found in the tropics and mountainous regions due to 569 the high sensitivity of simulated water erosion to slope steepness and precipitation strength, and the complexity 570 of mountain agriculture. However, these areas represent only a small fraction of global cropland for maize and 571 wheat. The overlap of simulated and measured water erosion values in most environments used to produce maize

- 572 and wheat underlines the robustness of an EPIC-based GGCM to simulate the differences in water erosion rates
- 573 of major global crop production regions.
- 574 **Data availability**. Additional information on model outputs, methods, study design and field data are available
- 575 in the supporting information file: TWCarr-si.zip.
- 576 Author contributions. TC, JB, CF and RS designed the study. TC, JB, CF, EF and RS collected and analysed
- 577 the data. TC prepared the manuscript with contributions from all co-authors.
- 578 **Competing interests**. The authors declare that they have no conflict of interest.
- 579 Acknowledgement. This project has received funding from the Grantham Foundation and the European Union's
- 580 Horizon 2020 research and innovation programme under grant agreement No 776810 (VERIFY) and No 774378
- 581 (CIRCASA). We would like to thank three anonymous reviewers for their help to improve this paper.
- 582

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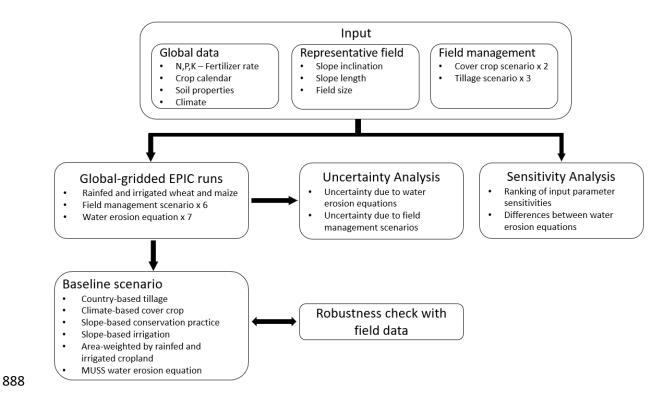


Figure 1: Scheme of procedure used for simulating global water erosion with EPIC-IIASA and for analysing theuncertainty, sensitivity and robustness of our simulation setup.

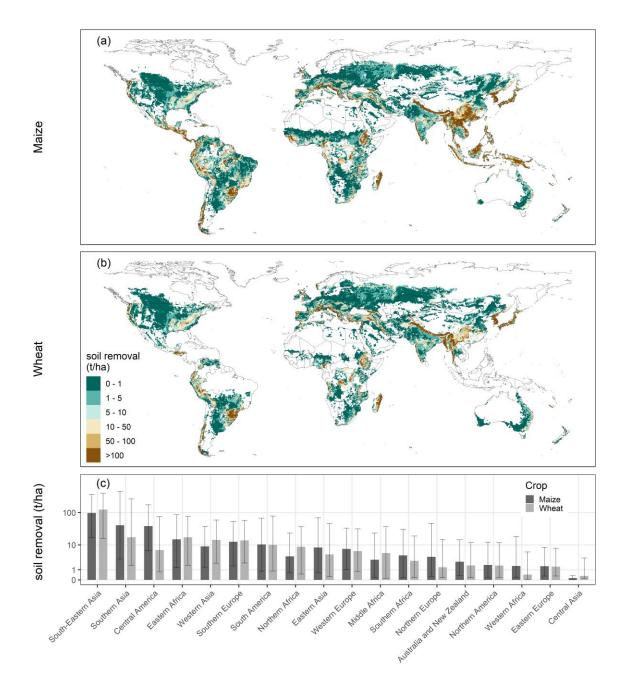


Figure 2: Soil loss due to water erosion in maize (a) and wheat (b) fields simulated with the baseline scenario.
Each pixel cell illustrates the median relative water erosion of one representative field. The extent of cropland
areas is not considered in pixel cell size. The bars in the bottom plot (c) illustrate median soil removal for major
world regions simulated under maize and wheat cultivation. The lines and whiskers illustrate 25th and 75th
percentile values. The classification of world regions is illustrated in Fig. S3. Due to the large gap between
aggregated values, all values in the bottom plot have been log-transformed to facilitate the visual comparison.

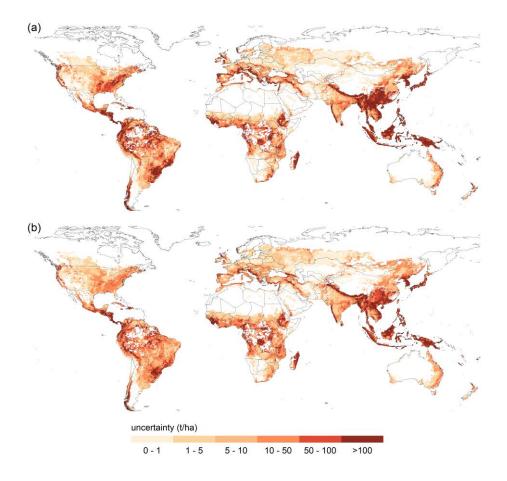
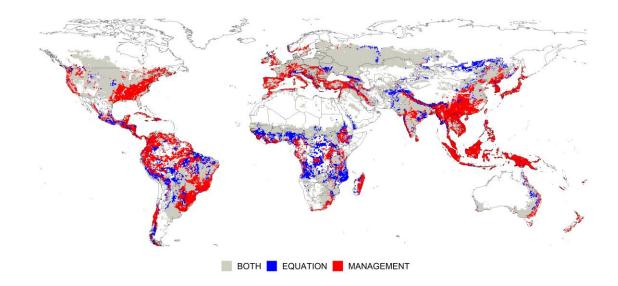
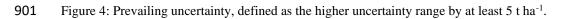


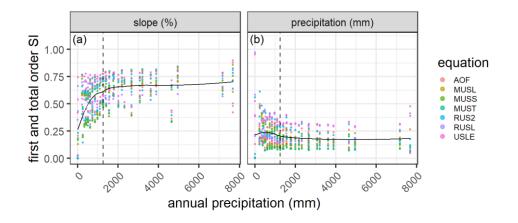


Figure 3: Water erosion uncertainty due to (a) field management assumptions and (b) water erosion equations.









902

Figure 5: First-order and total-order sensitivity indices (SI) for (a) slope steepness (%) and (b) precipitation
 [mm]. The dashed vertical line illustrates median annual precipitation at all tested locations (1248 mm).

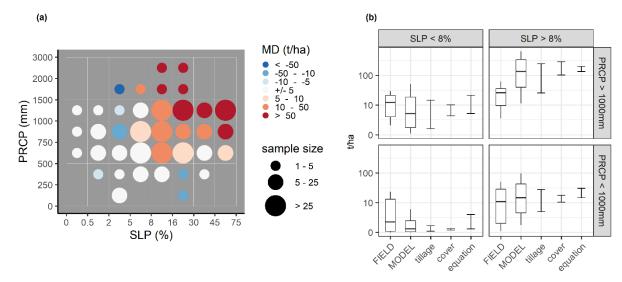
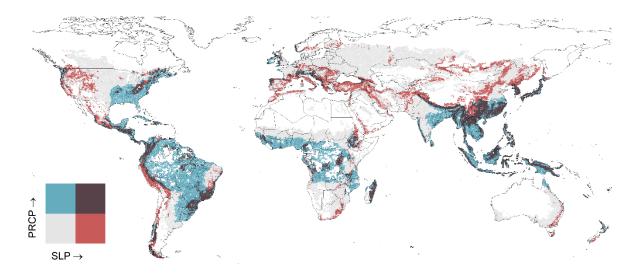


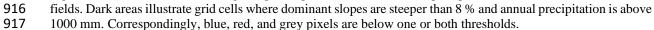
Figure 6: Comparison of simulated erosion with measured erosion. (a) Median deviation (MD) in t ha¹ between
simulated erosion using the baseline scenario and measured erosion. Simulated and measured data is grouped
into precipitation classes and slope classes used for the simulation setup. (b) Distributions of measured erosion
rates, erosion rates simulated with the baseline scenario and uncertainty ranges for management assumptions
and erosion equations. The boxplots are defined by the median, the 25th and the 75th percentile of simulated and
measured erosion rates. Whiskers illustrate the 10th and 90th percentile. The three bars next to the boxplots
illustrate minimum and maximum median erosion rates calculated with all tillage and cover crop scenarios and

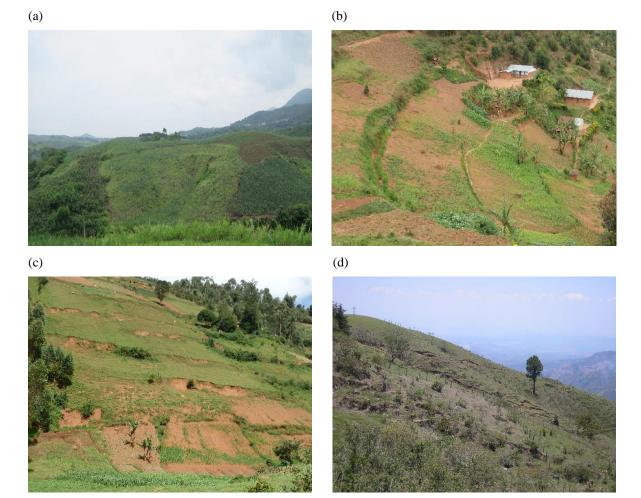
913 with all water erosion equations. The values have been log-transformed for better visualization.





915 Figure 7: Distribution of low to high slope steepness (SLP) and annual precipitation (PRCP) in maize and wheat





918 Figure 8: (a) Sugar cane cultivation on steep slopes in South China (Nanning, Guangxi Zhuang Autonomous 919 Region). (+The steepest slopes are already abandoned and reforested by eucalyptus trees). (b) Maize cultivation 920 on strongly eroded slopes (30 - 60 %)- in South West Uganda (Kigwa, Kabale District). (c) Abandoned fields 921 and maize cultivation on a steep slope (30 - 60 %) in South West Uganda (Kigwa, Kabale District). (d)

- 923 Degraded and abandoned maize fields on steep slopes (20 - 60 %) in Northern El Salvador (San Ignacio, Chalatenango Department). The photos and additional examples are provided in Fig. S10 – S17.

- Table 1: Equations for calculating the erosivity factor in each water erosion equation available in EPIC.

Erosivity factor		Equation
R = EI	(2)	USLE, RUSLE, RUSLE2 (Renard et al., 1997; USDA-
		ARC, 2013; Wischmeier and Smith, 1978)
$R = 0.646 * EI + 0.45 * (Q * q_p)^{0.33}$	(3)	AOF (Onstad and Foster, 1975)
$R = 1.586 * (Q * q_p)^{0.56} * WSA^{0.12}$	(4)	MUSLE (Williams 1975)
$R = 2.5 * Q * q_p^{0.5}$	(5)	MUST (Williams, 1995)
$R = 0.79 * (Q * q_p)^{0.65} * WSA^{0.009}$	(6)	MUSS (Williams, 1995)

Table 2: Tillage management scenarios for maize and wheat cultivation

	Conventional tillage	Reduced tillage	No-tillage
total cultivation operations	6 – 7	4-5	3
max. surface roughness	30 – 50 mm	20 mm	10 mm
max. tillage depth	150 mm	150 mm	40 - 60 mm
plant residues left	25 %	50 %	75 %
cover treatment class	straight	contoured	contoured & terraced

Table 3: Management assumptions and erosion equation selected for the baseline scenario

Option	Baseline	Baseline										
TILLAGE	Mix of convention	• Mix of conventional, reduced and no-tillage in regions where the national share of										
	conservation ag	conservation agriculture is > 5 % according to the latest reported data in										
	AQUASTAT (2	AQUASTAT (2007-2014) (FAO, 2016): Argentina, Australia, Bolivia, Brazil,										
	Canada, Chile, China, Colombia, Finland, Italy, Kazakhstan, New Zealand,											
	Paraguay, Spain, USA, Uruguay, Venezuela, Zambia and Zimbabwe.											
	• Mix of conventional and reduced tillage in the rest of the world.											
OFF-SEASON	• Cultivation only with cover crops in tropics according to Koeppen-Geiger regions											
COVER	(Fig. S1) (Kottek et al., 2006).											
	• Mix of off-season cover with and without cover crops in temperate and cold zones.											
	• No cover crops in arid regions.											
CONSERVATION	Slope	0-16 %	16-30 %	> 30 %								
PRACTICE												
FACTOR	P-Factor	P-Factor 1.0 0.5 0.15										
CROP	Water erosion is si	mulated in wheat and	d maize fields based	on the global crop								
	distribution by MIRC	Water erosion is simulated in wheat and maize fields based on the global crop distribution by MIRCA2000 (Fig. S2) (Portmann et al., 2010).										

IRRIGATION	• Only on slopes ≤ 5 %.
	• Weighted average of irrigated and rainfed cropland based on MIRCA2000
	(Portmann et al., 2010).
METHOD	MUSS water erosion equation.
AGGREGATION	Median of all management scenarios per grid cell and region

931 Table 4: First-order sensitivity indices (SI) ranking for the five most sensitive input parameters (PARM) for each 932 water erosion equation including slope steepness (SLP), daily precipitation (PRCP), soil hydrologic group (HSG), 933 land use number (LUN), soil silt content (SILT), soil sand content (SAND), curve number parameter (S301), 934 maximum air temperature (TMX) and crop residues left after harvest (ORHI). The sensitivity indices of the

935 remaining parameters are presented in Table S3.

	AOF		AOF MUSL MUSS		MUS	MUST		RUSLE2		RUSLE		USLE		
rank	PARM	SI	PARM	SI	PARM	SI	PARM	SI	PARM	SI	PARM	SI	PARM	SI
1	SLP	0.47	SLP	0.47	SLP	0.46	SLP	0.48	SLP	0.46	SLP	0.50	SLP	0.54
2	PRCP	0.13	PRCP	0.10	PRCP	0.12	PRCP	0.09	PRCP	0.16	PRCP	0.20	PRCP	0.18
3	HSG	0.03	HSG	0.04	HSG	0.05	HSG	0.04	HSG	0.03	SAND	0.05	SILT	0.02
4	SILT	0.02	LUN	0.02	LUN	0.02	LUN	0.02	SAND	0.01	TMX	0.01	TMX	0.01
5	LUN	0.01	SILT	0.02	S301	0.01	SILT	0.02	LUN	0.01	ORHI	0.01	ORHI	0.01
sum		0.69		0.68		0.71		0.69		0.71		0.78		0.77

936

937

938 Table 5: Total-order sensitivity indices (SI) ranking for the five most sensitive input parameters (PARM) for each 939 water erosion equation including slope steepness (SLP), daily precipitation (PRCP), soil hydrologic group (HSG), 940 land use number (LUN), soil silt content (SILT), soil sand content (SAND), maximum air temperature (TMX) 941 and crop residues left after harvest (ORHI). The sensitivity indices of the remaining parameters are presented in 942 Table S3.

	AOF		AOF MUSL		MUSS		MUST		RUSLE2		RUSLE		USLE	
rank	PARM	SI	PARM	SI	PARM	SI	PARM	SI	PARM	SI	PARM	SI	PARM	SI
1	SLP	0.68	SLP	0.68	SLP	0.63	SLP	0.68	SLP	0.66	SLP	0.69	SLP	0.75
2	PRCP	0.28	PRCP	0.23	PRCP	0.22	PRCP	0.21	PRCP	0.32	PRCP	0.36	PRCP	0.36
3	HSG	0.09	HSG	0.12	HSG	0.13	HSG	0.12	HSG	0.08	SAND	0.12	SILT	0.05
4	SILT	0.07	LUN	0.07	LUN	0.07	LUN	0.07	LUN	0.05	TMX	0.02	TMX	0.02
5	LUN	0.05	SILT	0.07	SILT	0.05	SILT	0.07	SAND	0.04	ORHI	0.01	SAND	0.01
sum		1.29		1.30		1.25		1.27		1.34		1.27		1.27

- 1 Text S1.
- 2 The following equations describe the calculation of the cover and management factor, the soil erodibility factor
- 3 and the topographic factor of each water erosion equation:
- 4 The cover and management factor is calculated the same way for each equation:

5 C = FRSD * FBIO * FRUF (1)

6 where FRSD is the crop residue factor, FBIO is the growing biomass factor and FRUF is the soil random

7 roughness factor, which are calculated with the following equations (Wang et al., 2011; Williams et al., 2012):

8
$$FRSD = exp(-P23 * CVRS)$$
 (2)

9
$$FBIO = 1 - \frac{STL}{(STL + exp(SCRP1(23) - SCRP2(23) * STL)))} * exp(-P26 * CPHT)$$
 (3)

10 FRUF = exp(-0.026 * (RR - 6.1)) (4)

11 where P23 is an exponential coefficient ranging from 0.01-0.5, CVRS is the amount of above ground crop

- residue [t ha⁻¹], STL is the amount of standing live biomass of the crop [t ha⁻¹], SCRP1(23) and SCRP2(23) are
- 13 coefficients defining an S-shaped growth curve used to estimate the fraction of the ground covered by the plant
- 14 as a function of the Leaf Area Index, P26 is an exponential coefficient ranging from 0.01-0.2, CPHT is the crop
- 15 height [m] and RR is the soil surface random roughness [mm].
- 16 The soil erodibility factor is calculated the same way for the USLE, AOF, MUSLE, MUST and MUSS
- 17 equation using a function of sand, silt, clay and organic carbon contents in the soil:

$$18 K = X1 * X2 * X3 * X4 (5)$$

19
$$X1 = 0.2 + 0.3 * exp(-0.0256 * SAND * (1 - 0.01 * SILT))$$
 6)

20
$$X2 = \left(\frac{SILT}{CLAY + SILT}\right)^{0.3}$$
(7)

21
$$X3 = \frac{1 - 0.25*0C}{0C + exp(3.718 - 2.947*0C)}, IF \ 0C \le 5$$
 (8)

$$22 \quad X3 = 0.75, IF \ OC > 5 \tag{9}$$

23
$$X4 = \frac{1 - 0.7 * SN1}{SN1 + exp(-5.509 + 22.899 * SN1)}$$
 (10)

$$24 \quad SN1 = 1 - 0.01 * SAND \tag{11}$$

25 Where SAND, SILT, CLAY, and OC are the sand, silt, clay, and organic carbon contents of the soil in %. For

the RUSLE and RUSLE2 method soil erodibility is calculated without the organic carbon contents of the soil

27 using the following equation:

28
$$KR = 9.811 * \left(0.0034 + 0.0405 * exp \left(-0.5 * \left(\frac{Log10(DG) + 1.659}{0.7101} \right)^2 \right) \right)$$
 (12)

 $29 \quad DG = exp(SUM) \quad (13)$

$$30 \quad SUM = \frac{SAND * 0.0247 - SILT * 3.65 - CLAY * 6.908}{100}$$
(14)

31 The topographic factor is calculated the same way for the USLE, AOF, MUSLE, MUST and MUSS equation

32 using a function of slope length and slope steepness:

33
$$LS = \left(\frac{SLPL}{22.127}\right)^{XM} * (SLP * (65.41 * SLP + 4.56) + 0.065)$$
 (15)

34 $XM = 0.3 * \frac{SLP}{SLP + exp(-1.47 - 61.09 * SLP)} + 0.2$ (16)

- 35 Where SLPL is the slope length in m, SLP is the land surface slope in m/m and XM is an exponent dependent
- upon slope. The topographic factor for the RUSLE method is calculated using a function of slope length andslope steepness as well:
- $38 \quad LSR = RSF * RLF \quad (17)$
- $39 \quad \text{RSF} = 10.8 * \text{SLP} + 0.03, \text{IF SLPL} > 4.57 \& \text{SLP} < 0.09 \quad (18)$
- 40 RSF = 16.8 * SLP 0.5, IF SLPL > 4.57 & SLP > 0.09 (19)

41
$$RSF = X1, IF SLPL < 4.57$$
 (20)

- 42 $X1 = 3 * SLP^{0.8} + 0.56$ (21)
- 43 RLF = $\frac{SLPL}{22.127}^{RXM}$ (22)

44 RXM =
$$\frac{B}{1+B}$$
 (23)

45
$$B = \frac{SLP}{0.0896*X1}$$
 (24)

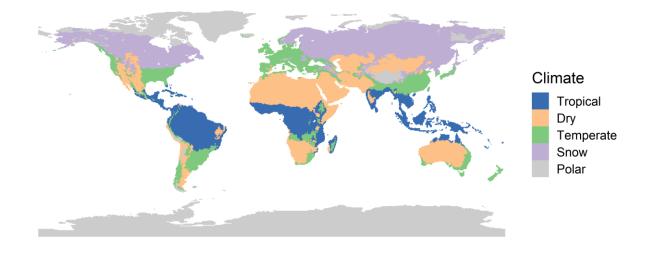
46 Where SLPL is slope length in m and SLP is land surface slope in m/m. The slope steepness factor RSF is

47 adjusted for different slope steepness and slope length thresholds based on experimental data (Renard et al.,

48 1997). The slope length factor RLF includes an exponent RXM, which is a function of the ratio B of rill erosion

49 caused by flow and interill erosion caused by raindrop impact (USDA-ARC, 2013). B reflects how steepness

- 50 affects rill erosion differently than it does interrill erosion. Rill erosion is assumed to vary linearly with
- 51 steepness. The topographic factor for the RUSLE2 method is calculated the same way than for the RUSLE
- 52 equation if the transport capacity determined by a function of flow rate and slope steepness exceeds sediment
- 53 load. When sediment load exceeds transport capacity RUSLE2 computes deposition. Interrill erosion is assumed
- 54 to occur even when RUSLE2 computes deposition, which can be calculated without a distance term as
- detachment is solely caused by impacting raindrops (USDA-ARC, 2013). Therefore, the slope length factor is
- 56 not considered in the RUSLE2 equation when deposition occurs.





58 Figure S1: Main climate zones using the updated Koeppen-Geiger climate classification (Peel et al., 2007).

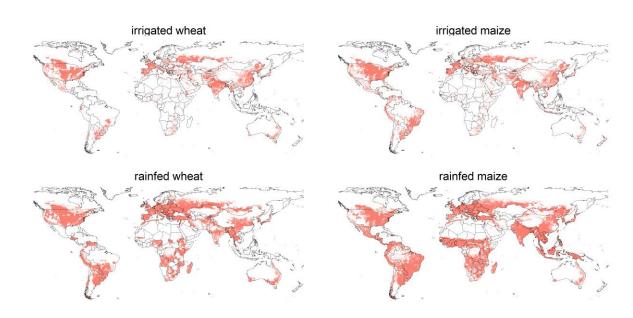
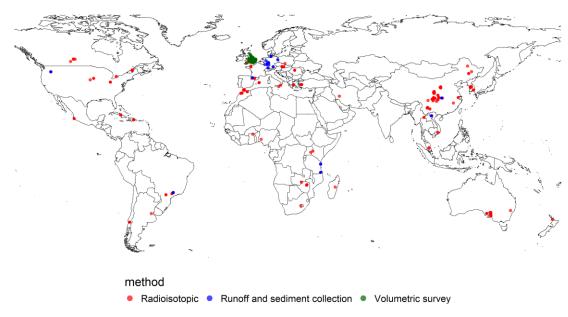


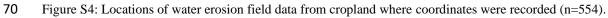
Figure S2: Grid cells with irrigated and rainfed wheat and maize cultivation around the year 2000 (Portmann et al., 2010).

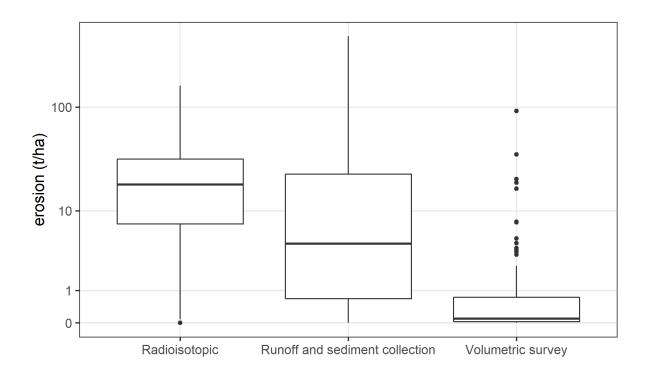


66 Figure S3: World regions classified using the United Nations geoscheme (UN, 1999) with minor modifications:

67 Melanesia has been added to Southeastern Asia and the Caribbean has been added to Central America.







- Figure S5: Distribution of erosion values (t ha⁻¹) measured in agricultural fields using ¹³⁷Cs method (n = 315, Mean = 24 t ha⁻¹; Median = 18 t ha⁻¹), runoff and sediment collection (n = 188, Mean = 21 t ha⁻¹; Median = 4 t
- ha⁻¹) and volumetric surveys (n = 103, Mean = 2 t ha⁻¹; Median = 0.1 t ha⁻¹).

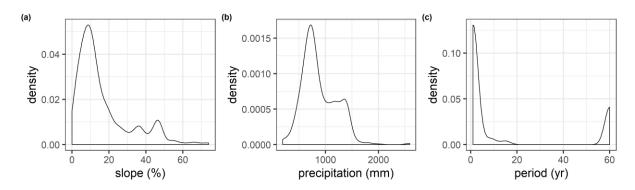




Figure S6: (a) Distribution of slope steepness (%) for measured erosion values (n = 606; Mean = 16 %; Median
= 11 %). (b) Distribution of annual precipitation (mm) for measured erosion values (n = 606; Mean = 879 mm;
Median = 774 mm). (c) Distribution of recorded measurement periods for soil loss experiments excluding

82 radioisotopic methods (n = 95; Mean = 15 a; Median = 1 a).



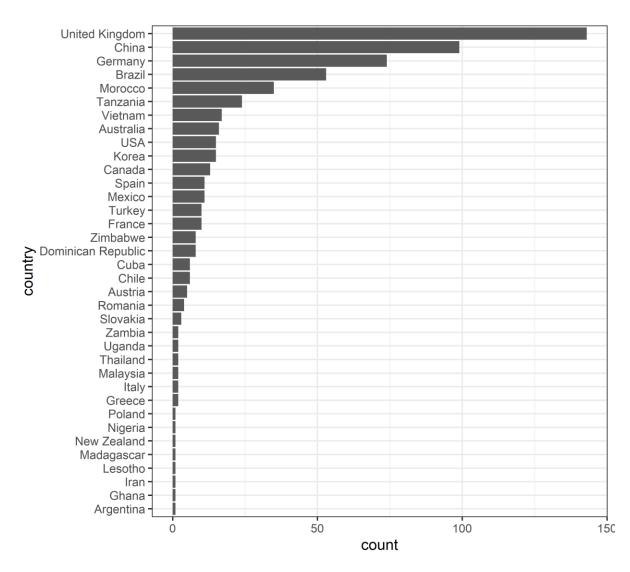
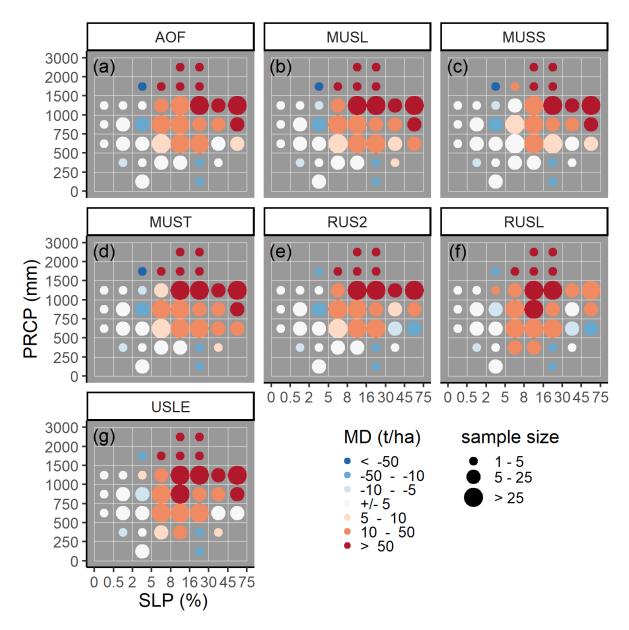


Figure S7: Number of measured water erosion records (n=606) per country (n=36).



90 Figure S8: Median deviation (MD) in t ha⁻¹ between measured and simulated water erosion using the baseline

- 91 scenario with different water erosion equations. Measured and simulated medians were calculated for different
- slope and precipitation classes.

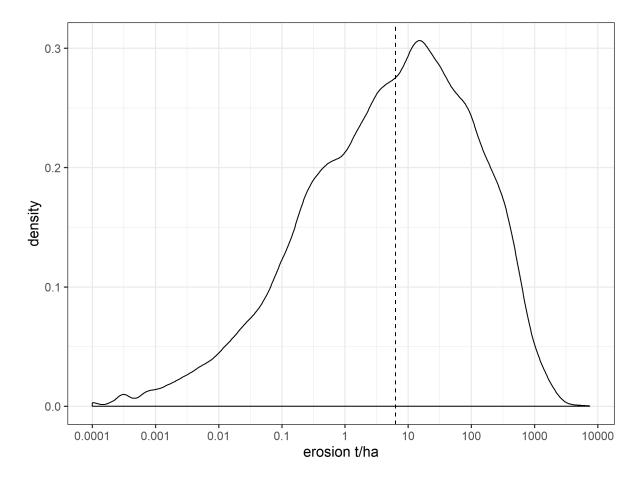


Figure S9: Distribution of average water erosion values from 1980 - 2010 simulated with the baseline scenario and weighted for each simulation grid. The dashed vertical line illustrates the median of the distribution, which represents global median water erosion of 6 t ha⁻¹ a⁻¹. Average water erosion at each grid and the global average water erosion of 19 t ha⁻¹ a⁻¹ has been calculated as a weighted average based on the distribution of irrigated and rainfed maize and wheat acreage (Portmann et al., 2010).



- Figure S10: Sugar cane cultivation on steep slopes in South China (Nanning, Guangxi Zhuang Autonomous Region). The steepest slopes are already abandoned and reforested by eucalyptus trees.



Figure S11: Cultivated slopes and rice terraces in South China (Nanning, Guangxi Zhuang Autonomous Region).



Figure S12: Maize cultivation on strongly eroded slopes (30 – 60 %) in South West Uganda (Kigwa, Kabale
 District).



111

112 Figure S13: Abandoned fields and maize cultivation on a steep slope (30 - 60%) in South West Uganda

113 (Kigwa, Kabale District).



116 Figure S14: Maize cultivation in South West Uganda (Kigwa, Kabale District).



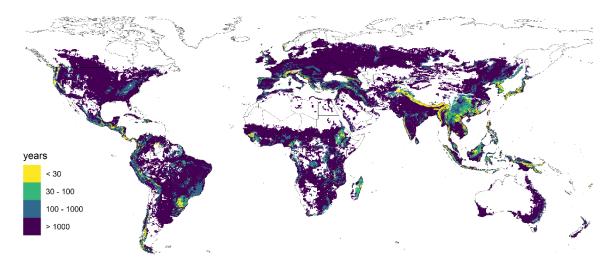
Figure S15: Degraded and abandoned maize fields on steep slopes (20 - 60 %) in Northern El Salvador (San
 Ignacio, Chalatenango Department).



123 Figure S16: Degraded land in Northern El Salvador (Monte Redondo, Chalatenango Province).

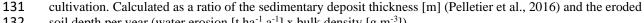


Figure S17: Gully erosion on arable land with slopes up to 20 – 30% in Slovakia (Figa, Rimavská Sobota District).





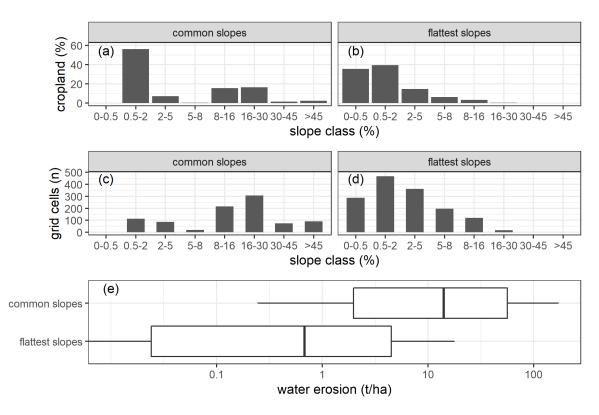
130 Figure S18: Simulated years left until the whole soil profile is eroded under permanent maize and wheat



soil depth per year (water erosion [t $ha^{-1}a^{-1}$] x bulk density [g m^{-3}]).

133

134



135

Figure S19: Comparison of slope inputs and simulated water erosion outputs between the cropland distributionscenario using the most common slopes and the cropland distribution scenario using the flattest terrain available

- in Italy. (a, b) distribution of the cropland share (Portmann et al., 2010) per slope class. (c, d) distribution of grid
- cells per slope class. (e) Simulated water erosion for Italy using both cropland distribution scenarios. Midlines
- 140 visualise median values, boxes include values from the 25th to the 75th percentiles and whiskers bracket values
- 141 between the 10th and the 90th percentiles.

144

Dominant slope class	Lower value (%)	Upper value (%)	Mid value (%)	Slope length (m)	Field size (ha)
1	0	0.5	0.25	200	10
2	0.5	2	1.25	200	10
3	2	5	3.5	200	10
4	5	8	6.5	200	10
5	8	16	12	100	5
6	16	30	18	75	5
7	30	45	35.5	50	1
8	45	100	60	20	1

145

146 Table S1. A set of rules for field size and slope length estimation for each dominant slope class. The

147 area/dominant slope class was assigned to each grid cell from a global slope and terrain dataset (Fisher et al.,

2007) providing 3 arc-sec spatial resolution distributions of nine slope gradient classes: 0–0.5%, 0.5–2%, 2–5%,
5–8%, 8–16%, 16–30%, 30–45%, and > 45% interpreted from SRTM elevation data (CGIAR-CSI, 2006). Mid-

150 interval value of the dominant slope class was used as an input for EPIC.

151 Table S2. Input parameters for the sensitivity analysis of the water erosion equations. Random values assigned

to each input parameter in the sensitivity analysis are defined by a range of discrete values or a triangulardistribution defined by the values given in the table.

Table S3. First- and total-order sensitivity indices (SI) ranking for 30 input parameters for each water erosionequation.

Table S4. Spearman coefficients explaining the positive or negative correlation between the first- and total-ordersensitivity indices of the input parameters from each equation and the amount of annual rainfall at a location.

158 Table S5. Measured water erosion values collected from 113 studies. The reference list of each study is

- available at TWCarr-si02.docx.
- 160
- 161