Theoretical insights from upscaling Michaelis-Menten microbial dynamics in biogeochemical models: a dimensionless approach

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19   **Abstract.** Leading an effective response to the accelerating crisis of anthropogenic climate
20   change will require improved understanding of global carbon cycling. A critical source of
21   uncertainty in Earth Systems Models (ESMs) is the role of microbes in mediating both the
22   formation and decomposition of soil organic matter, and hence in determining patterns of $CO2$
23   efflux. Traditionally, ESMs model carbon turnover as a first order process impacted primarily by
24   abiotic factors, whereas contemporary biogeochemical models often explicitly represent the
25   microbial biomass and enzyme pools as the active agents of decomposition. However, the
26   combination of non-linear microbial kinetics and ecological heterogeneity across space
27   guarantees that upscaled dyamics will violate mean-field assumptions via Jensen's Inequality.
28   Violations of mean-field assumptions mean that parameter estimates from models fit to upscaled
29   data (e.g. eddy covariance towers) are likely systematically biased. Here we present a generic
30   mathematical analysis of upscaling michaelis-menten kinetics under heterogeneity, and provide
31   solutions in dimensionless form. We illustrate how out dimensionless form facilitates qualitative
32   insight into the significance of this scale transition, and argue that it will facilitate cross site
33   intercomparisons of flux data. We also identify the critical terms that need to be constrained in
34   order to unbias parameter estimates.

1 Introduction

36   The current crisis of anthropogenic climate change is expected to accelerate during the 21st
37   century. Despite considerable effort to better constrain global biogeochemical models,
38   considerable uncertainty remains about how best to represent emerging mechanistic
39   understanding of soil element cycling into process-based models (Wieder et al. 2015, 2018;
40   Todd-Brown, Zheng, and Crowther 2018). This is a critical gap in knowledge because variations
41   among models predict hugely varying responses to global change drivers such as temperature,
42   soil moisture, and CO_2 enrichment. For example, a traditional first-order linear model forecasts
43   no change or even slight enhancement of soil organic carbon (SOC) pools by 2100 whereas one
44   microbial-explicit model forecasts a loss of ~70Pg of carbon (C), depending on whether
45   microbial physiology acclimates to higher temperatures (Wieder, Bonan, and Allison 2013). In
46   general, our understanding of how carbon (and other elements) cycles in soil is undergoing
47   significant revision toward a more microbial-centric paradigm. In contrast to traditional first-
48   order linear models (e.g. CENTURY, Parton et al. 1987), microbial explicit models feature non-
49   linear dynamics in which microbial biomass (or, similarly, microbially-driven enzyme pools) are
50   responsible for decomposition, in addition to providing substrate for synthesis of potentially
51   long-term SOC (Blankinship and Schimel 2018; Blankinship et al. 2018). While indisputably a
52   better representation of our scientific knowledge, non-linear microbial models face several well-
53   known challenges, including less analytical tractability, greater computational challenges, and
54   uncertainty about structural formulation and dynamics (Georgiou et al. 2017; Sihi et al. 2016).
55   However, one critical consequence of non-linear microbial models that is only recently gaining
56   attention is their implications for addressing the upscaling challenge.

57   While the fields of population and community ecology have long confronted the challenges
58   posed by non-linearity and heterogeneity in spatiotemporal scaling of ecological dynamics
59   (Chesson 2009; Levin 1992), ecosystem ecology and biogeochemistry have tended to approach
60   the challenge of scale either by 1) utilizing mean-field assumptions, or 2) addressing the
61   challenge of scaling via grid-based computational/numeric methods. While there is nothing

62   wrong inherently with either approach, they unfortunately cannot yield theoretical insight into
63   the consequences of non-linearity and heterogeneity for scaling. Briefly, the combination of non-
64   linearity and heterogeneity means that aggregated behavior differs systematically from mean-
65   field predictions, a special case of Jensen's Inequality. In mathematical notation:

66    $$\mathrm{E}[f(x)] \neq f(\mathrm{E}[x]) \qquad (1)$$

67   Although Jensen's Inequality is well-known from basic probability theory (Ross 2002), it's
68   implications for ecological dynamics under heterogeneity were not well-appreciated until the
69   pioneering work of Peter Chesson in the 1990s (Chesson 1998). In the case of carbon cycle
70   science, there are a few immediate and critical applications. For instance, most trace gas
71   emission processes are well-known to be non-linear functions of underlying drivers such as
72   temperature and soil moisture. For example, ecosystem respiration (hereafter "R_{eco}") is an
73   exponential function of temperature (usually expressed in Q_{10}), and a unimodal function of soil
74   moisture. Thus, when matching observations of CO_2 efflux ("F") to ecosystems, variations in
75   soil temperature and moisture could imply that F differs systematically from a mean-field
76   prediction. In addition to missing critical analytical insight, not accounting for this behavior
77   might have severe consequences for inverse modeling and estimation of the parameters
78   governing process-based models (PBMs). The basic consequences of Jensen's Inequality for
79   estimation of trace gas emission (CH_4 and N_2O) were first discussed by Van Oijen et al. (2017),
80   but have not been picked up on elsewhere, until the present work and by Chakrawal et al. (2019).

81   Chakrawal et al. (2019) provide a detailed and compelling first-pass application of scale
82   transition theory to biogeochemical modeling. Our contribution here complements their laudable
83   effort by providing a more generic mathematical analysis of the scale transition, equally
84   applicable to both forward and reverse michaelis-menten microbial kinetics. As in Chakrawal et
85   al. (2019), we address the consequences of heterogeneity in both substrate/microbes
86   ("biochemical heterogeneity") as well as in the kinetic parameters ("ecological heterogeneity").
87   However, we diverge from their approach in that, rather than explore detailed simulation models,
88   we derive a completely non-dimensionalized expression for aggregating non-linear microbial
89   kinetics over both types of heterogeneity simultaneously. We illustrate the enormous clarity this
90   brings in several special cases of our full analysis. Altogether, our approach provides universal
91   insight into the properties of the scale transition, and enables clear conclusions to be drawn
92   across systems in terms of the role of spatial variances and covariances in shaping ecosystem
93   carbon efflux. Our work provides a simplified, yet systematic framework around which to base
94   subsequent empirical and simulation-based studies.

95   **2. Carbon Efflux and the Scale Transition**

96   A variety of microbial-explicit PBMs have been proposed in the literature, starting with the
97   classic enzyme pool model of Schimel and Weintraub (2003). In order to elucidate universal
98   properties of the scale transition, we focus here on the CO_2 efflux following decomposition of a
99   single substrate by a single microbial pool obeying Michaelis-Menten (MM) dynamics:

100   $$F = -f(C, MB, \theta) \qquad (2)$$

101

102   where F is the CO_2 flux, θ is a vector of parameters, specifically V_{max} (the maximum reaction
103   rate given saturation of either C, in forward MM, or microbial biomass (MB), in reverse MM),
104   k_h (the half-saturation constant), and $1 - \epsilon$ (accounting for carbon-use efficiency, ε).

105   Our specific model for F is:

$$F = (1 - \varepsilon) \times C \times \frac{V_{max} MB}{k_h + MB} \qquad (3)$$

106

107

108   Following the terminology of Chesson (1998,2012), the above is our "patch" model and our goal
109   is to understand how spatial variances and covariances impact the integrated flux, which
110   represents the spatial expectation or E$[F]$ (hereafter denoted $\overline{F}$), which represents
111   $-C \times (1 - \varepsilon) \times \frac{V_{max} MB}{k_h + MB}$.

112   The incorrect approach to solving for E$[F]$ is to simply plug-in the mean-field solution:

$$\overline{-C} \times \overline{(1 - \varepsilon)} \times \frac{\overline{V_{max}} \; \overline{MB}}{k_h + \overline{MB}} \qquad (4)$$

113

114

115   Analytically, an exact solution would require specification of a joint distribution for C and MB
116   $\pi(MB, C, \theta)$, and solution of the convolution integral:

$$\int -f(MB, C, \theta)\pi(MB, C, \theta)dMBdCd\theta \qquad (5)$$

117

118

119   However, following Chesson (2012) and Chakrawal et al. (2019) we are free to approximate the
120   solution for arbitrary distributions using a Taylor Series approximation expanded to the 2nd
121   moment. Specifically, we take the expectation over a multivariable Taylor Series expansion,
122   centered around the mean-field values of all parameters θ (for simplicitiy, the variables MB and
123   C are included in the parameter vector θ):

$$\overline{F} \approx \text{E}\left[f(\overline{\theta}) + \frac{1}{2}\theta_{\theta-\overline{\theta}}^T H_{\overline{\theta}}[f(\theta)]\theta_{\theta-\overline{\theta}}\right] \qquad (6)$$

124

125

126   where $H[f(\theta)]$ represents the Hessian matrix of the function that determines the CO_2 efflux F
127   (in this case Michaelis-Menten), $\theta_{\theta-\overline{\theta}}$ represents the deviation from the mean at each instance
128   and for each of the parameters. It can easily be seen that $\theta_{\theta-\overline{\theta}}^T \times \theta_{\theta-\overline{\theta}}$ is the variance-covariance
129   matrix, and that the first moment of the Taylor expansion cancels because the first derivative of
130   $\theta_{\theta-\overline{\theta}}$ is zero.

2.1 Non-dimensionalization

Expanding equation 6 out, we have 5 terms involving the variances of C, MB, $1 - \varepsilon$, V_{max}, and k_h, and 10 terms involving covariances among the parameters. We can redistribute the expectation operator over this approximation to see that we are dealing with the contributions from the variance-covariance terms, weighted by the second partial derivatives evaluated at the mean for each parameter. However, the resulting expression **does not readily yield insight into the impact of scale transition upon the dynamics**, since second partial derivatives and cross partial derivatives do not have easy intuition. Morever, variances and covariances depend arbitrarily upon the scale of units and measurements involved, hindering both intuition and cross-site comparisons. Therefore, we non-dimensionalize equation 6 for E$[F]$ as follows:

1) We define a dimensionless quanity λ as $\frac{\overline{MB}}{\overline{k_h}}$. λ thus represents a multiplicative factor expressing the ratio of the mean microbial biomass over it's half-saturation value, indicating the microbial saturation for the decomposition.

2) We divide all of the terms in 6 by their mean-field value, and represent the whole equation as a product:

$$\overline{F} \approx f(\overline{\theta}) + f(\overline{\theta})((\theta - \overline{\theta})^T \frac{\frac{\partial^2 f}{\partial \theta^2}_{\theta=\overline{\theta}}}{f(\overline{\theta})} (\theta - \overline{\theta})) = f(\overline{\theta})(1 + \left(\theta - \overline{\theta})^T \frac{\frac{\partial^2 f}{\partial \theta^2}_{\theta=\overline{\theta}}}{f(\overline{\theta})} (\theta - \overline{\theta}) \right)$$

(7)

3) We calculate the resulting expression for $\overline{F}$

4) We notice that $\frac{Var(\theta)}{\overline{\theta}^2}$ can be re-expressed as $(\frac{SD(\theta)}{\theta})^2$ which in turn is the square of the dimensionless coefficient of variation $(CV(\theta))^2$. This enables us to reformulate the variance terms in (7).

5) Similarly, since the covariance terms can be rewritten as $COV(X,Y) = \rho_{X,Y} SD(X) SD(Y)$, we have the following equality:

$$\frac{COV(X,Y)}{\overline{XY}} = \rho_{X,Y} CV(X) CV(Y)$$

(8)

Applying steps 1-5 to all the terms in the equation, we end up with a fully dimensionless equation:

$$\overline{F} \approx f(\overline{\theta})(1 + \frac{\lambda}{(1+\lambda)^2}[\rho_{k_h,MB}CV(k_h)CV(MB) - CV(MB)^2] + \frac{1}{(1+\lambda)^2}[CV(k_h)^2 -$$
$$\rho_{k_h,MB}CV(h)CV(MB)] + \frac{1}{(1+\lambda)}[\rho_{C,MB}CV(C)CV(MB) + \rho_{V_m,MB}CV(V_m)CV(MB) +$$
$$\rho_{\epsilon,MB}CV(\epsilon)CV(MB) + \rho_{C,k_h}CV(C)CV(k_h) - \rho_{V_m,k_h}CV(V_m)CV(k_h) - \rho_{k_h,\epsilon}CV(k_h)CV(\epsilon)] +$$
$$\rho_{V_m,C}CV(V_m)CV(C) + \rho_{C,\epsilon}CV(C)CV(\epsilon) + \rho_{\epsilon,V_m}CV(\epsilon)CV(V_m)$$

(9)

164   Note that by symmetry, we have also solved for the case of the forward Michaelis-Menten
165   kinetics. This can be expressed simply by interchanging C and MB, and by correspondingly
166   altering λ to represent the ratio of substrate availability over half-saturation.

167   **3. Discussion**

168   Having fully non-dimensionalized equation 6, we are in a much better position to gain analytical
169   insight into the scale transition. To begin, we note the pivotal role played by the quantity λ
170   throughout this equation. λ scales the contributions of the parameter variation and correlation
171   terms to the deviation from mean field behavior according to the ratios $\frac{\lambda}{(1+\lambda)^2}$, $\frac{1}{(1+\lambda)^2}$, and $\frac{1}{1+\lambda}$. In
172   particular, all of the parameter variance terms (which have become $CV(\theta)^2$ upon non-
173   dimensionalization), are scaled by one of these three λ ratios, alongside 7 out of 10 of the
174   covariance terms. Overall, low λ (here $\lambda <\approx 1$) keeps all of the spatial correction terms in play,
175   while increasing λ tends to simplify matters. As noted by Sihi et al. (2018), as $MB \rightarrow \infty$
176   (equivalent to MB $>> k_h$ or $\lambda \rightarrow \infty$), reverse Michaelis-Menten kinetics converge to first order,
177   leaving:

$$\overline{F} = -C \times (1 - \epsilon) \times V_{max} \tag{10}$$

179   Accordingly, in our setup, the multiplicative factor for the scale transition correction approaches
180   a simplified expression, as $\lambda \rightarrow \infty$:

$$\overline{F} \rightarrow f(\overline{\theta})\left(1 + \rho_{V_m,C}CV(V_m)CV(C) + \rho_{C,\epsilon}CV(C)CV(\epsilon) + \rho_{\epsilon,V_m}CV(\epsilon)CV(V_m)\right) \tag{11}$$

182   This is actually quite remarkable. Despite invoking the situation where microbial biomass (and
183   its enzyme supply) is effectively infinite - thus linearizing the underlying patch models - we
184   cannot eliminate the possibility of a potentially substantial deviation from mean-field when
185   scaling decomposition kinetics. We note that in this resulting expression, we have reduced the
186   situation to a set of three critical correlations involving two microbial physiological parameters
187   (ϵ, and V_m), and substrate availability (C). Regardless of their respective variabilities (CV terms),
188   if these correlations are close to zero, then the whole expression converges to mean field.

189   Returning to the situation where λ is not large, if we ignore the correlation terms (temporarily
190   setting to zero), we see that there are direct contributions to the scale transition from the
191   variability in MB and k_h that may, to some extent, balance each other:

$$\overline{F} = f(\overline{\theta})(1 + \frac{1}{((1+\lambda)^2)}[CV(k_h)^2] - \frac{\lambda}{(1+\lambda)^2}[CV(MB)^2]) \tag{12}$$

193   Focusing on the offsetting correction terms, we can re-write as:

$$\frac{\lambda}{(1+\lambda)^2}\left[\frac{CV(k_h)^2}{\lambda} - CV(MB)^2\right] \tag{13}$$

195

196

197   and for the case of $\lambda = 1$, this becomes:

198
$$\frac{1}{4}[CV(k_h)^2 - CV(MB)^2] \tag{14}$$

199

200 Thus, variability in the factors of soil protection that impact upon k_h in practice, can offset the
201 impact of variability in microbial biomass itself.

202 More generally, starting with our dimensionless equation 9 puts modelers and empiricists in a
203 better position to assess the quantitative significance of the scale transition correction across
204 systems compared to expressions with opaque second partial derivatives and cross derivatives,
205 and arbitrarily scaled variance terms. By re-expressing $\overline{F}$ in terms of dimensionless coefficients
206 of variation, correlation coefficients and λ, we can plug-in realistic values for variability in any
207 relevant parameter and assess the % effect on $\overline{F}$ in terms of deviation from mean field behavior.
208 We argue that this formulation possesses significant advantages not only in understanding how
209 to scale flux estimates ($\overline{F}$) *within* a site, but going forward will help facilitate intercomparison
210 *among* sites in terms of their scale-free variability.

3.1 Spatial Colocation of Microbes and Substrate

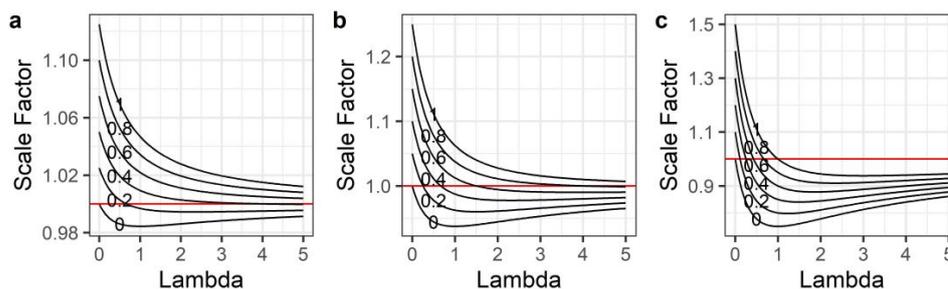
212 To illustrate these advantages in interpretability, we first take the special case of a model where
213 we treat all parameters as constant (and known) except substrate and microbial biomass. This
214 corresponds to setting the other CV and ρ terms to 0. In this case, we are isolating the impact of
215 the spatial colocation of substrate and decomposers. Our equation becomes:

216
$$\overline{F} \approx f(\overline{\theta})(1 - \frac{\lambda}{(1+\lambda)^2}CV(MB)^2 + \frac{1}{(1+\lambda)}(\rho_{C,MB}CV(C)CV(MB))) \tag{15}$$

217 In the case of this formulation, there is a very clear dual convergence as λ increases:

218 1. deviation from mean-field behavior declines, and
219 2. first order kinetics are approached

220 Indeed, our equation 15 reveals the exact speed of this convergence in terms of dimensionless λ
221 and a balance of $CV(MB)$, $CV(C)$ and their correlation.

222 We illustrate the scale transition solutions to equation 15 as a function of lambda for various
223 choices of CV(C), CV(MB) and ρ:

224

225 *Figure 1: Scale correction factor for models given spatial colocation between microbes and*
226 *substrate across a gradient of λ values, and for a variety of correlation ρ values (0-1), with*
227 *CV(SOC) held constant at 0.5, a) CV(MB) = 0.25, b) CV(MB) = 0.5, and c) CV(MB) = 1.*

228 We see in Fig. 1 that in the case of pure spatial colocation, with no variation in the kinetic
229 parameters, the scale transition correction factor varies from a maximum of 1.5 to a minimum
230 around 0.75, and in all cases indeed converges to 1 as lambda increases. The variability assumed
231 for C and MB impacts only the scale of the correction factor, not the qualitative behavior as λ
232 and ρ vary. One virtue of having a simplified, generic dimensionless equation of this sort is that
233 it enables us to think in a unit-free/scale-free manner about the *plausible* range of the scale
234 transition correction given transparent assumptions about variability and correlations.

235 Another virtus is that it is mathematically tractable to see how the variance and covariance terms
236 can balance each other, and to solve for where they are equal. If we introduce a new term λ_2
237 representing the relationship between $CV(MB)$ and $CV(C)$ as follows $CV(MB) = \lambda_2 CV(C)$, we
238 can re-express the the deviation of the mean-field correction from 1 as:

239
$$CV(MB)^2 \left[\frac{1}{1+\lambda} \left(\rho\lambda_2 - \frac{\lambda}{(1+\lambda)} \right) \right] \qquad (16)$$

240 Thus, whether the correction is positive or negative depends crucially on the product of the
241 colocation correlation coefficient ρ and the extent of variability in substrate relative to variability
242 in microbes.

243 If we fix λ_2 to unity, as done in our Fig.1, our mean-field deviation simplifies to:

244
$$CV(MB)^2 \left[\frac{1}{2} \left(\rho\lambda_2 - \frac{1}{2} \right) \right] \qquad (17)$$

245 In general, it is clear that the scale transition correction is larger to the extent that microbial
246 variability exceeds substrate variability under reverse michaelis-menten kinetics (the opposite
247 relation holds for forward Michaelis-Menten by symmetry). Thus, variability in microbial
248 biomass is not only important in itself in driving Jensen's Inequality, but also with respect to
249 variability in substrate supply. **Our analysis thus highlights another route of convergence**
250 **back to the mean field beyond the simple increase of λ: variability in substrate increasing**
251 **to match variability in microbes in the presence of positive spatial colocation factor**. We
252 also note that the magnitude of the mean field correction scales as the *square* of the coefficient of
253 variation of microbial biomass. Quadratic scaling means that at low to moderate levels of
254 variability, the deviation from mean field behavior is likely to be fairly minimal, but at
255 moderately high to high levels of variability, severe deviations can be expected.

256 **3.2 Environmental Heterogeneity**

257 So far we have analyzed in depth the role of variability in microbes and their substrate, but not in
258 the ecological drivers underlying maximal reaction rates (i.e. V_{max}) or half-saturation (i.e. k_h).
259 We start with the observation that both linear first order and non-linear microbial models will
260 show characteristic scale transitions given heterogeneity in temperature and soil moisture.
261 Consider the asymptotic convergence of the reverse MM to first order

262 $$\frac{dC}{dt} = -V_{max}\overline{C} \tag{18}$$

263 This is mathematically equivalent to the more standard way of writing these models down as

264 $$\frac{dC}{dt} = -kC \tag{19}$$

265 To make matters clear, we re-express the rate limiting maximal reaction velocity V_{max} first as a
266 function of temperature (assuming all else constant):

267 $$V_{max} = e^{aT} \tag{20}$$

268 In this case, our integrated flux equation will be:

269 $$\overline{\frac{dC}{dt}} = \overline{-e^{aT} \times (1 - \epsilon) \times C}$$
270 $$\tag{21}$$

271 Allowing for variability in T, this integrated equation will show characteristic scale transitions
272 given the convex (exponential) relationship with T.

273 Using the Taylor expansion again to second order we have:

274 $$\overline{V_{max}} \approx e^{a\overline{T}}(1 + \frac{1}{2}a^2 Var(T)) \tag{22}$$

275 The critical scale correction term here is again multiplicative, and we re-express it into a function
276 of a dimensionless coefficient of variation parameter more suited to ready interpretation. First,
277 the exponential dependence of respiration on temperature is canonically codified in terms of Q_{10}
278 scaling. We substitute $a = \frac{log(Q_{10})}{10}$, and end up with:

279 $$1 + \frac{1}{2}a^2 Var(T) = 1 + \frac{1}{2}(\frac{log(Q_{10})}{10})^2 Var(T) = 1 + \frac{1}{200}(log(Q_{10}))^2(SD(T))^2 =$$
280 $$1 + \frac{1}{200}(log(Q_{10}))^2(\overline{T}CV(T))^2$$

281 $$\tag{23}$$

282 For a "typical" Q_{10} of 2.5, and a $\overline{T}$ of 25, we see the multiplicative scale transition correction in
283 figure 2:

284

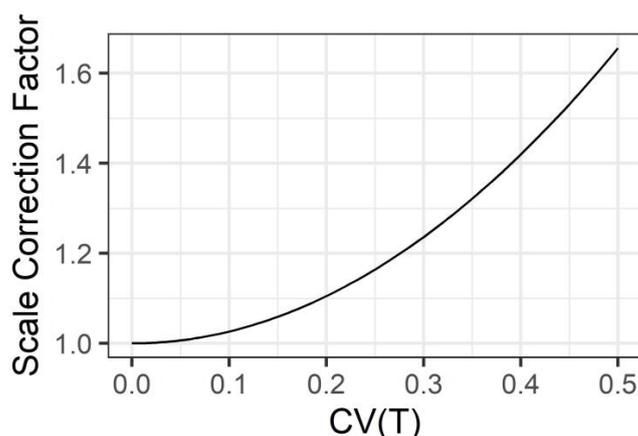



Figure 2: Scale correction factor for Q10 temperature response scaling given coefficient of variation CV(Temp) from 0 to 0.5

As is clear in Fig. 2, the scale transition for temperature is extremely convex. Integration of fluxes over ecosystems with significant heterogeneity in temperature invokes substantial deviation from a mean-field model. For instance, at a CV of 0.2, the scale correction factor is 1.10, but by a CV of 0.5 it is 1.66. Obviously, the significance of this depends on the scale and heterogeneity over which an accurate flux model is desired. For a smaller footprint eddy covariance tower (nuria) over a uniform habitat type, soil (and near surface) temperatures probably do not vary by much more than 20%. Regardless, our general mathematical analysis quantifies and clarifies exactly how the scale of variation influences the degree of the scale transition correction.

Notably, the only difference between the scale transition correction for first order and for reverse MM kinetics, is that in the latter, there would be additional correlation terms to consider, e.g. the correlation between temperature and V_{max}, temperature and k_h, as well as temperature and C and MB.

3.3 Lessons for Scientific Inference

We close our discussion by considering the implications of the scale transition for advancing the state of biogeochemical modeling. Critically, the representation of non-linear (microbial driven) kinetics is a crucial modeling choice with large implications for long-term SOC forecasts. Traditional first-order PBMs dodge explicit representation of these kinetics, but nonetheless have worked well in practice. This state of affairs persists because both non-linear and linear kinetics are capable of representing coarse-scaled biogeochemistry reasonably well, at least in certain respects. Since first order kinetics are known to be a crude approximation, the crucial question for practice is not whether they are "true", but rather whether there is significant, systematic information loss inherent to their use. Fortunately, the scale transition offers a clear, clean path to discriminate between these alternative model formulations.

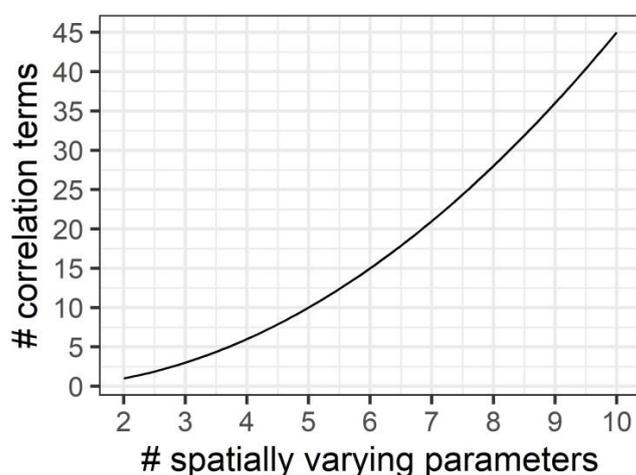
311 As noted throughout, the dimensionless term λ plays a critical role in linking the non-linear
312 (michaelis-menten) kinetics to the first order kinetics. As λ increases, the non-linear kinetics
313 converge to first order. Thus, in seeking to infer where the non-linear kinetic models provide
314 substantial advantages, ensuring that λ is not too large (>>1) is the first priority. Previous work
315 Sihi et al. (2016) has approached this question theoretically, from first principles. Here, we point
316 out that demonstrating substantial deviation from mean-field model when fitting non-linear
317 kinetics to data is both a necessary and sufficient condition for infering that λ is not too large.
318 Thus we recommend that time series of flux data be fit to both a first order and a non-linear
319 kinetic model, where crucial covariates including subtrate (SOC), microbial biomass, and
320 possibly environmental parameters such as temperature, have been measured sufficiently well to
321 quantify the relevant variances and covariances. Where predictive performance and forecasting
322 are the primary goals, we recommend careful consideration of model parameterizations
323 (i.e. based on leave-one-out cross validation), and model combination via "stacking" where it is
324 difficult to infer a decisive "winner" (Yao et al. 2018).

325 In addition to the role of λ, our analysis also cleanly shows the contribution of other terms to the
326 scale transition, and thus alternative metrics to assess. First and foremost, accounting for the
327 spatial colocation of microbial biomass and substrate (according to equation 15 above) or the
328 various correlation terms between microbial biomass and kinetic/environmental factors in
329 equation 9. In addition to fitting fully parameterized flux models (as above), simpler statistical
330 models could be fit examining the role of variations in microbial biomas, or colocation of
331 microbial biomass and SOC, in explaining across-site variations in ecosystem respiratory fluxes
332 (F). **A substantial role for either correlation of MB and C, or their variability, would
333 constitute ipso facto evidence of the preferability of well-formulated non-linear kinetic
334 models**. On the other hand, small roles for colocation, or evidence of large values of λ in practice
335 would suggest minimal advantage to abandoning first order models in favor of more complex
336 microbial models. A meta-analytical approach across sites will benefit greatly from our
337 formulation in terms of dimensionless quantities like λ and the various coefficients of variation.

338 **4. Conclusions**

339 Here, we have illustrated how the spatial scale transition can be expressed in dimensionless form,
340 yielding insight into the systematic operation of Jensen's Inequality in upscaling microbial
341 decomposition kinetics. Our analysis has identified the central role of the dimensionless quantity
342 λ - representing the ratio of mean-field microbial biomass over its half-saturation value - in
343 governing the extent of the scale transition correction, expressed here in multiplicative form best
344 facilitating comparison among systems. For somewhat simplified scenarios - such as restricting
345 to spatial colocation of substrate and microbes - as $\lambda \to \infty$, the mean-field correction goes to 0
346 and the model converges to first order.

347 This dual sense of convergence also provides opportunity to empirically test for the presence of
348 significant non-linear microbial dynamics in upscaled field data: to the extent that upscaled
349 fluxes deviate from the flux estimated at mean-field conditions, we have *ipso facto* evidence for
350 the importance of formulating our biogeochemical models with these non-linear terms.
351 Conversely, where there is close agreement between mean-field and upscaled fluxes, there are
352 arguably stronger reasons for retaining first-order process model formulations.

353 In closing, we would like to point out how this mathematical analysis illustrates the challenge of
354 scaling quite nicely. In the context of non-linear models, for each parameter that is allowed to
355 vary in space, there is not only a new variance parameter, but a number of new covariance terms
356 are induced, growing as the factorial of the number of varying parameters $\binom{5}{2}$! (Fig. 3). Thus, in
357 the case of the 5 parameter function considered here, the full approximation has 5 mean field
358 terms, 5 coefficients of variation, 10 correlation coefficients, and the dimensionless quantity λ.

359

360 *Figure 3: Model complexity grows exponentially with number of spatially varying parameters.*
361 *We argue to keep models as simple as possible for both analytical and computational*
362 *tractability.*

363 Even with a maximally generic and simplified expression, fitting such non-linear time series
364 models to field data still represents quite a challenge, especially while adequately accounting for
365 and propagating uncertainty. Modelers and theoreticians should appreciate the complexity of the
366 task at hand. Fortunately, our analysis has identified a potentially robust route to limiting model
367 complexity: screen systematically for the importance of various correlations in explaining
368 variations in fluxes. Accordingly, we recommend that research focus first upon spatial colocation
369 of MB and C, which is readily measured, and then to thoughtfully and carefully expand models
370 with additional terms as needed.

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372 analysis, and wrote the manuscript. SG developed the concepts, contributed to the mathematical
373 analysis, and co-authored and edited the manuscript.

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