# Resolving temperature limitation on spring productivity in an evergreen conifer forest using a model-data fusion framework

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#### 24 Abstract

25 The flow of carbon through terrestrial ecosystems and the response to climate is a critical but highly uncertain 26 process in the global carbon cycle. However, with a rapidly expanding array of in situ and satellite data, there is an

- 27 opportunity to improve our mechanistic understanding of the carbon (C) cycle's response to land use and climate
- 28 change. Uncertainty in temperature limitation on productivity poses a significant challenge to predicting the
- 29
- response of ecosystem carbon fluxes to a changing climate. Here we diagnose and quantitatively resolve
- 30 environmental limitations on growing season onset of gross primary production (GPP) using nearly two decades of
- 31 meteorological and C flux data (2000-2018) at a subalpine evergreen forest in Colorado, USA. We implement the 32
- CARDAMOM model-data fusion network to resolve the temperature sensitivity of spring GPP. To capture a GPP
- 33 temperature limitation -- a critical component of integrated sensitivity of GPP to temperature -- we introduced a cold
- 34 temperature scaling function in CARDAMOM to regulate photosynthetic productivity. We found that GPP was
- 35 gradually inhibited at temperature below 6.0 °C (± 2.6 °C) and completely inhibited below -7.1 °C (± 1.1 °C). The
- 36 addition of this scaling factor improved the model's ability to replicate spring GPP at interannual and decadal time
- 37 scales (r = 0.88), relative to the nominal CARDAMOM configuration (r = 0.47), and improved spring GPP model
- 38 predictability outside of the data assimilation training period (r = 0.88). While cold temperature limitation has an
- 39 important influence on spring GPP, it does not have a significant impact on integrated growing season GPP,
- 40 revealing that other environmental controls, such as precipitation, play a more important role in annual productivity.

This study highlights growing season onset temperature as a key limiting factor for spring growth in <u>winter-dormant</u>
 evergreen forests, which is critical in understanding future responses to climate change.

#### 43 **1. Introduction**

44 Northern hemisphere evergreen forests contribute significantly to terrestrial carbon (C) storage and exchange 45 (Beer et al., 2010; Thurner et al., 2014). High-latitude and high-elevation\_evergreen forests show increasing gross 46 primary productivity (GPP) with increasing temperature driven in large part by earlier growing seasons (Myneni et 47 al., 1997; Randerson et al., 1999; Forkel et al., 2016; Winchell et al., 2016, Lin et al., 2017). However, the response 48 of gross and net C fluxes to warming remains uncertain, especially in subalpine temperate forests, which can 49 experience freezing temperature while still absorbing large amounts of sunlight; both these factors ultimately 50 influence the timing and magnitude of GPP (Bowling et al., 2018). In particular, warmer springs can also lead to 51 earlier snowmelt, which can reduce spring C uptake through increased surface exposure to colder ablation-period air 52 temperatures (Winchell et al., 2016), and can reduce summer C uptake via drought (Hu et al., 2010). Many 53 subalpine forests in western North America are also highly water limited, with warming and earlier snow melt 54 creating accumulated water deficits, increased drought stress, and growing season C uptake losses (Wolf et al., 55 2016; Sippel et al., 2017; Buermann et al., 2018, Goulden and Bales, 2019); these factors ultimately make subalpine 56 forest ecosystems sensitive to the direct and indirect effects of climate change and other disturbances, including the 57 effects of droughts, fires and insect infestations (Keenan et al., 2014; Frank et al., 2014; Knowles et al., 2015). The 58 uncertainty in the temperature sensitivity of springtime GPP, increasing vulnerability to disturbance, and GPP 59 modeling challenges (Anav et al., 2015) create urgency to improve our ability to observe and model these 60 ecosystems to understand how C exchange will be altered in a warming climate. 61 Fortunately, availability of long term ecosystem observations is improving. The expansion of international 62 flux tower networks over the last three decades (e.g. AmeriFlux, FLUXNET, ChinaFLUX, ICOS) has greatly 63 improved C flux sampling across global ecosystems at 1 km scale (Baldocchi 2008; Baldocchi et al., 2018), and the 64 number of spaceborne sensors continues to grow, allowing global estimation of gross primary production (GPP) and 65 net ecosystem C exchange (NEE) over the last decade (e.g. Stavros et al., 2017; Sun et al., 2017; Schimel et al., 66 2019). While uncertainties in estimating C fluxes from in situ and satellite data remain a challenge, the expanding 67 observational record offers a great opportunity to study the temperature sensitivity of subalpine forests at multiple 68 temporal scales. 69 The range of modeling tools available to quantify and study major C pools under ever growing 70 observational constraints is also increasing. Process-based models, in general terms, use explicit mathematical 71 relationships to mechanistically describe bio-physical processes (Korzukhin et al., 2011; Huxman et al., 2003; 72 Keenan et al., 2012). In contrast, model-data fusion (MDF) is a relatively new tool that alters model parameters to

statistically reduce mismatches between observations and model predictions (Raupach et al., 2005; Wang et al.,
 2009; Keenan et al., 2012). MDF methods can be used to statistically represent the terrestrial C balance by

75 generating optimized state and process variable parameterizations, with uncertainties, which best match the signal

76 and noise in observations (Bloom et al., 2020).

78 Models of varying complexity and assimilation capabilities have been used to study how C exchange varies 79 with temperature in subalpine evergreen ecosystems (e.g., Moore et al., 2008; Scott-Denton et al., 2013; Knowles et 80 al., 2018). Moore et al. (2008) used a simplified ecosystem function model and assimilated C flux data from the 81 Niwot Ridge (US-NR1) subalpine evergreen forest AmeriFlux tower in Colorado to show the importance of accurate 82 meteorological forcing for parameter optimization and the usefulness of assimilating C flux data for determining 83 connections between the C and water cycles. Scott-Denton et al. (2013) integrated meteorological and flux data 84 from 1999-2008 from the same site with an ensemble of more sophisticated Earth System Models (ESM) and 85 showed higher rates of C uptake by the end of the 21st century associated with warming and lengthening growing 86 seasons, and driven by greater increases of spring GPP relative to late season respiration. 87 Interestingly, model and empirical studies of the C flux response to climate at US-NR1 focus on the 2000-88 2011 period, which saw increasing summer drought coupled with sustained declines in spring temperature and GPP. 89 US-NR1 has since experienced a gradual recovery of spring GPP with increased spring warming throughout 2011-90 2018 (Fig. 1), which begs the question: what is the temperature sensitivity of spring GPP over multiple decades of 91 spring cooling and warming at US-NR1, and how well can data-constrained models reproduce long term variability? 92 To answer this question, we combine a mechanistic ecosystem C model (Data Assimilation Linked Ecosystem 93 Carbon, or DALEC2; Williams et al., 2005; Bloom et al., 2016) with the CARbon DAta-MOdel fraMework 94 (CARDAMOM; Bloom and Williams, 2015; Bloom et al., 2020) driven by observed meteorological forcing and 95 constrained against eddy covariance fluxes at US-NR1, to investigate the temperature sensitivity of this subalpine 96 evergreen forest at seasonal and interannual timescales. We introduce a new cold temperature limitation function, 97 trained on observed temperature, for more realistic simulation of spring GPP onset. The use of high quality and long 98 term (2000-2018) meteorology and partitioned GPP data at US-NR1 to drive and constrain the model enables robust 99 statistical analysis of interannual variability (IAV), and assessment of "model predictability" through training and 100 validation against subsets of data. We also leverage a recent model intercomparison study (Parazoo et al., 2020), 101 driven by site level meteorological data at US-NR1, to provide a model benchmark assessment, and extract any 102 common environmental controls on modeled GPP. Finally, we examine whether using a decade of flux tower-103 derived GPP observations to train the model is sufficient to match and predict seasonal to annual patterns in GPP. 104 Given the complexity of carbon-water cycle interactions during the growing (summer) season in this highly water 105 limited ecosystem, and the relatively weak correlation between tower-derived spring and summer GPP (r = -0.31, p 106 = 0.20), we focus on spring GPP-temperature interactions, with the aim to resolve just one piece of the larger, 107 complex problem of understanding changes in C uptake in a subalpine evergreen ecosystem.

- 108 2. Materials & Methods
- 109 2.1. Study Site: Niwot Ridge, CO., USA
- Our study focuses on an AmeriFlux (<u>https://ameriflux.lbl.gov/</u>) core site in Niwot Ridge, Colorado, USA
   (US-NR1, 40°1'58''N; 105°32'47'' W), where a tower-based eddy covariance system has been <u>used to</u> continuously
   <u>monitor</u> the net ecosystem exchange (<u>NEE</u>) of carbon dioxide over a subalpine forest since <u>November 1998</u>. The 26

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119 m tall tower is located in a high elevation (3050 m) subalpine site in the Rocky Mountains of Colorado (Monson et

- al., 2002). Located in an evergreen needleleaf (ENF) ecosystem, the dominant tree species include lodgepole pine
- 121 (Pinus contorta), subalpine fir (Abies lasiocarpa), and Engelmann spruce (Picea engelmannii) (Turnipseed et al.,
- 122 2002; Turnipseed et al., 2004). Average annual precipitation is 800 mm, with a majority of precipitation falling in
- 123 the winter as snow (Greenland, 1989; Knowles et al., 2015), which creates a persistent winter snowpack from
- 124 November through <u>early</u> June (Bowling et al., 2018).

# 125 2.2. Observations

NEE measurements are screened for calm conditions using the standard u<sub>star</sub> filtering, gap-filled, and
 partitioned into GPP and ecosystem respiration based on the relationship between nighttime NEE
 (photosynthetically active radiation, PAR < 50 µmol m<sup>-2</sup> s<sup>-1</sup>) and air temperature (Reichstein et al., 2005; Wutzler et
 al., 2018). Monthly averages of GPP based on nighttime partitioning show similar seasonal structure to results found
 using an alternative daytime partitioning algorithm (Lasslop et al., 2009), so only nighttime partitioned GPP data are
 reported here. All GPP estimates are processed as half hourly means, then averaged monthly. Details on the flux

measurements, data processing and quality control are provided in Burns et al. (2015).

# 133 2.3. The CARDAMOM Model-Data Fusion System

134 The CARbon DAta-MOdel FraMework (CARDAMOM; Bloom et al., 2016; Yin et al., 2020; Exbrayat et 135 al., 2018; Smallman et al., 2017; Quetin et al., 2020; Lúpez-Blanco et al., 2019; Famiglietti et al., 2021; Bloom et 136 al., 2020; amongst others) uses carbon cycle and meteorological observations to constrain carbon fluxes, states and 137 process controls represented in the DALEC2 model of terrestrial C cycling (Williams et al., 2005; Bloom and 138 Williams, 2015). Specifically, CARDAMOM uses a Bayesian model-data fusion approach to optimize DALEC2 139 time-invariant parameters (such as leaf traits, allocation and turnover times) and the "initial" C and H<sub>2</sub>O conditions 140 (namely biomass, soil and water states at the start of the model simulation period). 141 The DALEC model (Williams et al., 2005; Rowland et al., 2014; Fox et al., 2009; Richardson et al., 2010; 142 Famiglietti et al., 2021; Bloom & Williams, 2015; amongst others) is a box model of C pools connected via fluxes 143 that has been used to evaluate terrestrial carbon cycle dynamics across a range of ecosystems and spatial scales. In 144 all site, regional, and global applications, DALEC parameters are subject to very broad, but physically realistic, prior

distributions, and independently estimated and constrained by available observations at each grid point. Here we use

- ALEC version 2 (DALEC2; Yin et al., 2020; Quetin et al., 2020; Bloom et al., 2020); gross and net carbon fluxes
- are determined as a function of 33 parameters, including, 26 time-invariant parameters relating to allocation, turnover
- 148 times, plant traits, respiration climate sensitivities, water-use efficiency and GPP sensitivity to soil moisture, and 7
- arameters describing the initial conditions of live biomass pools (live biomass C, dead organic C and plant-
- available H<sub>2</sub>O). Within DALEC2, GPP estimates are generated in the aggregated canopy model (ACM, Williams et
- 151 al., 1997); the ACM is derived from simple functional relationships with environmental and plant structural and
- 152 biochemical information (Williams et al., 1997), that are produced from a sensitivity analysis of GPP estimates from

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161 the more comprehensive SPA land surface model scheme (Williams et al., 1996, Williams et al., 2001). ACM GPP 162 estimates are contingent on plant structural and biochemical variables (including LAI, foliar nitrogen and nitrogen-163 use efficiency) and meteorological forcing (total daily irradiance, maximum and minimum daily <u>air</u> temperature, day 164 length, atmospheric CO<sub>2</sub> concentration). In DALEC2, water limitation on ACM is prescribed as a linear response to 165 soil water deficit (Bloom et al., 2020). For more details on the model-data fusion methodology and CARD<u>AMOM</u> 166 ensembles, we refer the reader to Appendix A. For a comprehensive overview of <u>the</u> DALEC2 model, we refer the 167 reader to Bloom et al. (2020) and references therein.

#### 168 2.4. Experiment Design

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169 In order to develop model experiments that could reliably evaluate temperature-GPP interactions, we first 170 examine the observed environmental controls on tower-derived GPP. We focus on GPP during spring, defined here 171 as the period from March-May, which encompasses the climatological onset of GPP and transition from dormant 172 winter conditions to peak summer conditions (Fig 1a). Mean spring GPP exhibits large interannual variability (IAV) 173 with both a small decreasing trend from 2000-2010 (-0.02 g C m<sup>-2</sup> day<sup>-1</sup> per year) and increasing trend from 2010-174 2018 (0.04 g C m<sup>-2</sup> day<sup>-1</sup> per year) (Fig. 1b). Comparison to tower observed temperature data (Fig. 1b, and Fig. 2) 175 shows that spring GPP is positively correlated to mean spring <u>air</u> temperature (Pearson's linear r = 0.89, p =176 <u>0.000004</u>) and summer (June-September) <u>air temperature (r = 0.10, p = 0.70, Fig. S1a). Mean winter (December-</u> 177 February) precipitation also has a positive correlation with spring GPP, (r = 0.07, p = 0.77, Fig. S1b), but it is much 178 smaller than spring temperature. At interannual timescales, mean annual GPP shows a small increasing trend 179 (0.0072 g C m<sup>-2</sup> day<sup>-1</sup> per year) over the time period (Fig. S2), and largest correlation with winter (December -

- February) precipitation (Pearson's linear r = 0.63, p = 0.003, Fig. S<sup>3</sup>d) and shortwave irradiance (r = -0.30, p = 0.22, p = 0.22)
- 181 Fig. S3f). In contrast, spring temperature shows little correlation with mean annual GPP (r = -0.02, p = 0.92, Fig.

<u>\$3c</u>). It appears that winter precipitation and total irradiance are the dominant drivers in annual productivity, both of
 which are correlated, while spring temperature, show a first order effect in driving spring GPP.

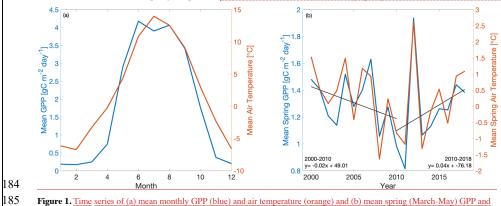


Figure 1. Time series of (a) mean monthly GPP (blue) and air temperature (orange) and (b) mean spring (March-May) GPP and air temperature at Niwot Ridge (US-NR1) from 2000-2018. GPP data are derived using a nighttime partitioning technique based on tower observations of NEE and air temperature. **Deleted:** s

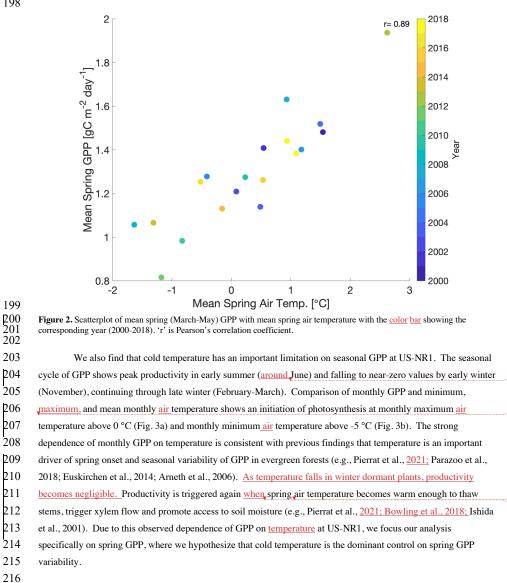
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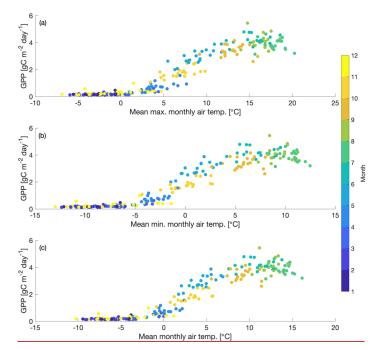


Figure 3. Scatter plot of mean monthly GPP vs. a.) mean maximum air temperature, b.) mean minimum air temperature and c.)
mean <u>air</u> temperature for 2000-2018. Dots are colored with the corresponding month.

In the baseline version of CARDAMOM, seasonal GPP in DALEC2 is limited primarily by incoming shortwave radiation. This light-focused limitation works well for deciduous forests where spring temperature and sunlight are correlated, as well as high latitude regions where sunlight is limited. However, for reasons discussed above, this method fails in evergreen forests such as Niwot Ridge whose green canopies are exposed to high sunlight and below-freezing temperature in spring. As temperature increases, evergreen stems slowly thaw, which enables the trees to access available soil moisture and slowly reactivate their carbon and water exchange processes (Mayr et al., 2014; Bowling et al., 2018). Temperature also impacts the reactivation of photosynthetic activity after winter dormancy (Öquist and Huner, 2003; Tanja et al., 2003). For example, fluctuating temperature in the spring has been shown to limit and sometimes reverse the activation of biochemical processes needed for photosynthesis recovery (Ensminger et al., 2004). Exposure to cold temperature, when combined with increased irradiance in the spring, can also damage evergreen trees (Öquist and Huner, 2003; Yang et al., 2020), therefore disrupting CO2 assimilation. Previous studies have captured these cold temperature impacts at Niwot Ridge and other evergreen sites. For example, variations in photosynthetic pigments have been tied to seasonal temperature at Niwot Ridge (Magney et al., 2019). Pierrat et al. (2021) identified an increase in plant water flow (measured via changes in diurnal stem 240 radius) and a change in carotenoid-chlorophyll ratios as temperature increases. The activation of water flow in the

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242	evergreen trees, combined with the pigment changes to absorb more sunlight, allows for the recovery of	
243	photosynthesis in the spring.	
244	To represent the integrated impact of the cold weather processes, here we implement a cold temperature	 Deleted: As such
245	scaling factor (g) in DALEC2, This scaling factor is developed by analyzing the relationship between monthly	 <b>Deleted:</b> (1), to act as a thermostat that regulates evergreen
246	minimum & maximum air temperature with tower-derived monthly GPP, where	needleleaf carbon uptake phenology.
247	$If: T_{min}(t) < T_0 : g = 0 $ <sup>(1)</sup>	
248	$If: T_{min}(t) > T_g: g = 1$	
249	$Else: g(t) = rac{(T_{min}(t) - T_0)}{(T_g - T_0)}$	
250	$GPP_{cold}(t) = GPP(t) * g(t)$ (2)	
251	$T_{\min}(t)$ is the observed minimum air temperature at Niwot Ridge at time t, GPP(t) is the nominal ACM-based	 Deleted: where
252	DALEC2 GPP estimate (see section 2.3) and $\text{GPP}_{\text{cold}}$ is the corresponding cold temperature GPP estimate. Equation	
253	(2) may represent the integrated effect of all cold weather biophysical limitations, including processes such as the	
254	impact of cold weather on plant hydraulics, and changes to carotenoid-chlorophyll ratios. We also theorize that our	
255	temperature scaling factor partially captures soil moisture disruptions due to changing soil temperature. The	
256	temperature thresholds in Eq. (1) may account for the connection between air temperature and soil temperature, with	
257	initial and full soil thawing temperature potentially mirroring the photosynthesis shutdown and initiation air	
258	temperature. CARDAMOM does not currently have explicit representations of soil moisture stress due to soil	
259	freezing. Therefore, soil freezing stress and other biophysical processes impacted by cold temperature may be	
260	approximated by this cold temperature scaling factor added to CARDAMOM. The temperature thresholds for	
261	photosynthesis shutdown (referred to as $T_0$ ) and initiation (referred to as $T_g$ ) are added as model parameters in	
262	DALEC2, bringing the total number of parameters to 35. These 35 DALEC parameters are simultaneously	
263	optimized in CARDAMOM. The CARDAMOM Bayesian-inference probability distributions (see Appendix A) for	
264	the $T_0$ (-7.1 ± 1.1 °C) and $T_g$ (6.0 ± 2.6 °C) parameters used to define the cold temperature limitation are plotted in	
265	Fig S4, We refer to the cold temperature constrained version of DALEC2 (within CARDAMOM) as DALEC2cold.	 Deleted: 3
266	The baseline (DALEC2) and cold temperature (DALEC2cold) versions of the model are run for the 2000-	
267	2018 period using tower observed, gap-filled, monthly meteorological (MET) drivers (including minimum and	
268	maximum air temperature, shortwave radiation, vapor pressure deficit, and precipitation). We conduct four	
269	experiments, summarized in Table 1: experiments using DALEC2 and DALEC2cold within CARDAMOM, where	
270	19 years of GPP data are assimilated (referred to as CARD and CARDcold), and a corresponding pair of	
271	experiments where only the first decade of data (2000-2009) is assimilated (referred to as CARD-Half and	
272	CARDcold-Half) and the second decade of data (2010-2019) is withheld for validation, as a train-test scenario. All	
273	months of GPP data are assimilated into the model, however our analysis focuses on the constraints on spring	
274	(March-May) GPP. These four experiments serve to evaluate the sensitivity of modeled GPP at Niwot Ridge to cold	 Deleted: 1
275	temperature limitation and parameter optimization, Specifically, the objective of experiments "CARD" and	 Deleted: ,
276	"CARDcold" is to determine whether the cold temperature scaling factor improves the representation of spring GPP	 Deleted: , and data assimilation
277	variability across the 2000-2018 period; the objective of experiments "CARD-Half" and "CARDcold-Half" is to	

286 cross-validate the predictive skill of CARDcold by assessing whether the addition of a cold temperature scaling

prove prediction of a withheld subset of GPP data, can improve prediction of a withheld subset of GPP data

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289	Table 1. Summary of CARDAMOM modeling experiments to determine sensitivity of seasonal and interannual spring GPP
290	variability to cold temperature limitation (CARD vs CARDCold) and the ability to perform outside training window (Half).

Experiment Name	Met. Drivers		-		Uncertainties in GPP	Cold Temp. Limitation
CARD	yes	2000-2018	yes	2000-2018	20%	No
CARD-Half	yes	2000-2018	yes	2000-2009	20%	No
CARDCold	yes	2000-2018	yes	2000-2018	20%	Yes
CARDCold-Half	yes	2000-2018	yes	2000-2009	20%	Yes

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## 292 2.5. Comparison to Terrestrial Biosphere Model Ensemble

293 A recent model intercomparison study provides an ideal benchmark for evaluating CARDAMOM 294 simulations (section 2.4). Parazoo et al. (2020) conducted an experiment in which an ensemble of state-of-the-art 295 terrestrial biosphere models (TBMs) were forced by the same observed meteorology at Niwot Ridge from 2000-2018, 296 but with differences in spin-up, land surface characteristics, and parameter tuning. The TBMs are designed to simulate 297 the exchanges of carbon, water, and energy between the biosphere and atmosphere, from global to local scales 298 depending on inputs from meteorological forcing, soil texture, and plant functional type (PFT). The experiment was 299 designed primarily to evaluate simulations of solar induced fluorescence (SIF) and GPP, the latter of which we focus 300 on here. We refer the reader to Parazoo et al. (2020) for a more complete description of models, within-model 301 experiments, and between-model differences.

B02 The most important model differences worth noting here include the representation of stomatal conductance, 303 canopy absorption of incoming radiation, and limiting factors for photosynthesis. We analyze a subset of the models 304 which were run for multiple years, including SiB3 and SiB4 (Simple Biosphere model versions 3 and 4, respectively), 305 ORCHIDEE (Organizing Carbon and Hydrology in Dynamic Ecosystems), BEPS (Boreal Ecosystems Productivity 306 Simulator), and CLM4.5 and CLM5.0 (Community Land Model Versions 4.5 and 5.0, respectively). We also analyze 307 within-model experiments in SiB3 and ORCHIDEE to isolate effects related to prescription of leaf area index (LAI; 308 monthly varying in SiB3-exp1, fixed at 4.0 m<sup>2</sup>/m<sup>2</sup> in SiB3-exp2), temperature and water stress (ORCHIDEE-exp1 309 includes temperature stress; ORCHIDEE-exp2 accounts for temperature and water stress), and data assimilation 310 (ORCHIDEE-exp3, in which a subset of model parameters controlling photosynthesis and phenology are optimized Deleted:

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B14 against global OCO-2 SIF data, Bacour et al., 2019). Most of the TBM model experiments were run with default parameters (BEPS, CLM50, SiB3, SiB4, ORCHIDEE-exp1 and exp2). The other experiments were optimized in the 315 316 following ways: either a) parameters were hand-tuned based on the US-NR1 data (CLM45) or b) the parameters were 317 optimized using OCO-2 SIF data (ORCHIDEE-exp3). For more details on the parameterization of the TBM-SIF 318 experiments, we refer the reader to Parazoo et al. (2020). The use of these models provides insight into the spread in 319 model structures and the use of their default parameters. Finally, we note that not all model simulations span the entire 320 observed record (2000-2018). While our analysis focuses on the long-term record from 2000-2018, we provide 321 multiple comparisons to ensure consistency of time period: (1) IAV from 2001-2018 for SiB3, SiB4, ORCHIDEE, 322 and CLM4.5; (2) IAV from 2012-2018 for SiB3, SiB4, ORCHIDEE, CLM4.5, and CLM5.0, and (3) seasonal 323 variability from 2015-2018 for all models. We refer to the ensemble of models and within model experiments

324 collectively as TBM-MIP.

#### 325 3. Results & Discussion

#### 326 3.1. Evaluation of CARDAMOM 2000–2018 GPP

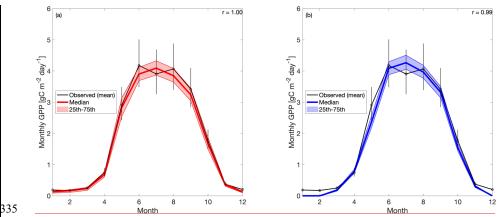
327 When the 19 years of tower-derived GPP data are assimilated into both versions of the model, the mean

328 seasonal cycle is accurately replicated (Fig. 4). The Pearson's r values for CARD (Fig. 4a) and CARDcold (Fig. 4b)

329 are almost equal (r = 1.0 and 0.99) with minimal increases in root mean square error (RMSE) and mean bias error

330 (MBE) for CARDcold (RMSE =  $0.24 \text{ g C m}^2 \text{ day}^{-1}$  and  $0.23 \text{ g C m}^2 \text{ day}^{-1}$ , MBE =  $0.06 \text{ g C m}^2 \text{ day}^{-1}$  and 0.19 g C

- 331 m<sup>-2</sup> day<sup>-1</sup> for CARD and CARDcold, respectively). Assimilating only the first decade of GPP data (Half
- B32 experiments) does not drastically alter model performance (Fig. S5), with only slight changes in RMSE and MBE
- $\Delta RMSE = 0.008 \text{ g C m}^2 \text{ day}^1, \\ \Delta MBE = 0.03 \text{ g C m}^2 \text{ day}^1 \text{ for CARD-Half}, \\ \Delta RMSE = -0.003 \text{ g C m}^2 \text{ day}^1, \\ \Delta MBE = -0.003 \text{ g C m}^2$
- $334 = 0.02 \text{ g C m}^{-2} \text{ day}^{-1} \text{ for CARDcold-Half}).$



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β41 342 β43 344 345 346 347	<b>Figure 4.</b> Tower-derived <u>average monthly</u> GPP (black line) and modeled GPP seasonal cycles at US-NR1 for 2000-2018, for a.) CARD and b.) CARDcold experiments. The half-assimilation experiments (CARD-Half and CARDcold-Half) can be found in the supplement (Fig S5). Model outputs include the median value of each experiment (bold color line) with the $25^{th}$ -75th percentiles of the ensembles (shaded area). The median is plotted instead of the mean to avoid impact of outlier ensemble members (N = 4000). Error bars = tower-derived GPP multiplied/divided by exp(sqrt(log(2)^2*n)/n), n=# of years in average (n = 19). 'r' is the Pearson's coefficient.	 Deleted: , averaged Deleted: 4
348	The cold experiments exhibit an improved fit to the observed IAV in spring productivity (Fig. 5), relative to	
349	CARD, $(r = 0.47, std = 0.03 g C m^{-2} day^{-1} for CARD; r = 0.88, std = 0.27 g C m^{-2} day^{-1} for CARDcold)$ . CARDcold	
350	also has slightly reduced RMSE (-0.01 g C m <sup>-2</sup> day <sup>-1</sup> ) and larger MBE (0.13 g C m <sup>-2</sup> day <sup>-1</sup> ). Similar to the seasonal	
351	cycle analysis, the assimilation of only the first decade of GPP data (Half experiments) has minimal impact on	
352	model performance ( $\Delta RMSE = 0.007 \text{ g C m}^{-2} \text{ day}^{-1}$ , $\Delta MBE = 0.06 \text{ g C m}^{-2} \text{ day}^{-1}$ for CARD-Half, and $\Delta RMSE = 0.007 \text{ g C m}^{-2} \text{ day}^{-1}$	
353	$0.02 \text{ g C m}^2 \text{ day}^1$ , $\Delta \text{MBE} = 0.02 \text{ g C m}^2 \text{ day}^1$ for CARDcold-Half). We find less agreement between modeled and	 Deleted: 1
354	tower-derived GPP IAV in summer for both CARD and CARDcold (CARD r = 0.32, std = 0.11 g C m <sup>-2</sup> day <sup>-1</sup> ;	
355	CARDcold $r = 0.05$ , std = 0.10 g C m <sup>-2</sup> day <sup>-1</sup> ; Fig. SQ. While there is little variation in RMSE between the half and	 Deleted: 5
356	full-assimilation experiments, RMSE is larger for summer than spring GPP (average RMSE = $0.23 \text{ g C m}^{-2} \text{ day}^{-1}$ for	
357	spring model outputs, average RMSE = $0.35 \text{ g C} \text{ m}^2 \text{ day}^{-1}$ for summer model outputs). Model agreement is further	
358	reduced when considering annual average GPP (Fig. S7, Table S2). Although the cold temperature limitation	 Deleted: 6
359	improves IAV slightly, it is still small compared to observed variability (mean annual std = $0.14 \text{ g C m}^{-2} \text{ day}^{-1}$ ).	
360	Correlations to tower-derived GPP at the annual scale are small for both CARD and CARDcold (r = 0.19 and r =	
361	0.22, Fig. S7a-b). Overall, the cold temperature limitation substantially improves agreement between the model and	 Deleted: 6
362	tower-derived spring GPP, with slight reductions in performance for summer and annual GPP	 Deleted: -
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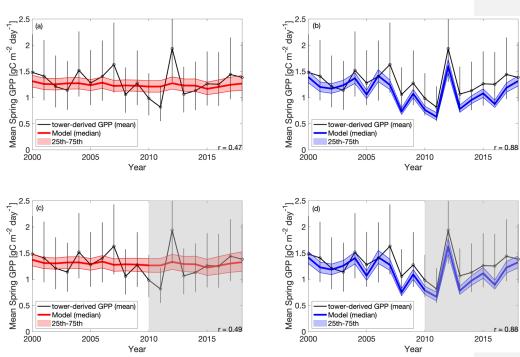


Figure 5. Tower-derived (black line) mean spring (March-May) GPP with model interquartile range (shaded area) and median (bold color line) spring GPP outputs for a.) CARD, b.) CARDcold, c.) CARD-Half, and d.) CARDcold-Half experiments. The grey regions indicate no data assimilation (i.e. testing window). Model experiments are the same as in Figure 4. Uncertainty =  $exp(sqrt(log(2)^{A}2^*n)/n), n=\#$  of months in average (n = 3).

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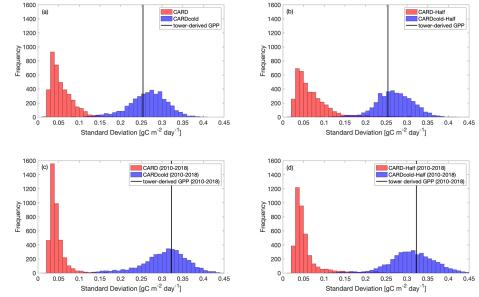
377 The standard deviation in tower-derived mean spring GPP (March-May) is approximately 0.25 g C m<sup>-2</sup> day 378 <sup>1</sup>. The addition of the cold temperature limitation improves the model's ability to match the IAV of mean spring 379 GPP (Fig. 6a-b). An examination of all modeled scenarios for CARD and CARDcold (i.e. all 4000 DALEC2 380 simulations), shows that the cold temperature limitation produces spring IAV values much closer to what is 381 observed in the tower-derived GPP data. Only 0.3% of CARD ensembles produces mean spring IAV values within 20% of the tower-derived spring GPP standard deviation ( $0.25 \pm 0.05$  g C m<sup>-2</sup> day<sup>-1</sup>), whereas 69% of CARDcold 382 383 ensembles have standard deviation values within the same range. Interestingly, assimilating only the first ten years 384 of GPP data (Half experiments, Fig. 6b) slightly increases the number of ensemble members with standard 385 deviations within the mentioned range for both CARD-Half (2.4%) and CARDcold-Half (70%). It is promising to 386 see that despite not assimilating the 2010-2018 GPP data into the model, CARDcold-Half is still able to match 387 average spring IAV of the full data record. 388

We also consider the IAV in spring GPP for just the second half of the data record (2010-2018). IAV of
 tower-derived spring GPP increases slightly in 2010-2018 (0.32 g C m<sup>-2</sup> day<sup>-1</sup>). Once again, the cold temperature
 limitation enables CARDAMOM to match spring GPP IAV (Fig. 6c-d). 0.03% of CARD ensembles produce mean

- 391 spring IAV values within 20% of the tower-derived spring GPP standard deviation for the 2010-2018 period (0.32  $\pm$
- $392 = 0.06 \text{ g C m}^2 \text{ day}^{-1}$ ), whereas 76% of CARDcold ensembles have standard deviation values within the same range.
- 393 For the Half experiments, 0.6% of CARD and 75% of CARDcold ensembles have IAV values within 20% of the
- 894 standard deviation for 2010-2018. This improvement in matching IAV is also observed when considering mean
- annual GPP (Fig. S8), but is much smaller than the improvements made for spring GPP. Overall, CARDcold
- 396 produces a less biased distribution of IAV values (relative to both assimilated and withheld observations), whereas
- 397 CARD is more skewed towards smaller IAVs, which indicates that the cold temperature limitation enables a
- 398 mechanistic and statistical improvement in capturing the interannual variability of spring GPP.







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**Figure 6.** Histograms comparing standard deviation in mean spring GPP across all ensembles (N=4000) for CARD (red bars) and CARDcold (blue bars) experiments with a.) full assimilation, b.) half assimilation, c.) full assimilation for the second decade (2010-2018), and d.) half assimilation for the second decade (2010-2018). Black line indicates standard deviation in tower-derived mean spring GPP (std = 0.25 gC m<sup>-2</sup> day<sup>-1</sup> for full period (a-b), std = 0.32 gC m<sup>-2</sup> day<sup>-1</sup> for 2010-2018).

# 406 3.2. Temperature controls on springtime GPP

407 The added value of the DALEC2 cold temperature limitation for modeling mean spring (March-May) GPP

- is logically due to large fluctuations in spring temperature at Niwot Ridge. The cold temperature limitation allowsDALEC2-CARDAMOM to match the IAV of spring tower-derived GPP closely. Furthermore, the cold temperature
- 410 limitation enables the model to match tower spring IAV in the second half of the time period (2010-2018) when only
- 411 the first ten years of GPP data are assimilated (2000-2009). This indicates that the cold temperature limitation is

413 able to estimate spring GPP outside of its training window and could be useful at other sites where data availability 414 is limited. Future work will include evaluating the cold temperature limitation at other sites to ensure that it is 415 applicable beyond Niwot Ridge, for example using forecast skill metrics proposed by Famiglietti et al. (2021). 416 Temperature-induced spring onset of GPP is driven by two general processes: (1) initiation of bud burst 417 and leaf expansion leading to increasing LAI, and/or (2) initiation of photosynthetic activity (photosynthetic 418 efficiency i.e., GPP per unit of LAI) due to temperature-induced changes in plant hydraulics (Ishida et al., 2001; 419 Pierrat et al., 2021) or kinetics of the photosynthetic machinery (e.g., Medlyn et al., 2002). In situ LAI 420 measurements suggest that the LAI at Niwot Ridge is relatively constant across the season, which is somewhat 421 expected given the dominant tree species at the site. Hence, the temperature-induced onset of GPP is likely due to 422 the latter process, increased photosynthetic efficiency, as supported by the measurements (Figs. 1-2), although small 423 changes in LAI are still feasible given uncertainties in the measurements. The inclusion of the cold temperature 424 limitation scaling factor in the model, a semi-empirical process, leads to a substantial improvement in model 425 representation of GPP at the site. Further development may also look to identify the relative roles of increased LAI 426 and increased photosynthetic efficiency at Niwot Ridge and other evergreen needleleaf sites, as changes in GPP can 427 lead to changes in carbon allocation to LAI, among other plant carbon pools. 428 Temperature is important in both the reactivation of photosynthetic activity in the spring and the wind 429 down of productivity in the fall (Flynn and Wolkovich, 2018; Stinziano and Way, 2017). Therefore, we anticipate 430 that the cold temperature scaling function may also improve our ability to model fall productivity. However, other 431 factors such as water availability and photoperiod must also be considered (Bauerle et al., 2012; Stinziano et al., 432 2015). Future studies at Niwot Ridge and other sites should investigate the role of these factors (temperature, water, 433 photoperiod) in regulating fall GPP and how we can represent these processes in CARDAMOM. 434 With the inclusion of the cold temperature limitation on GPP and its application in CARDAMOM, we 435 provide a data-constrained estimate of the climate sensitivity of the Niwot Ridge forest to spring temperature. 436 Posterior estimates indicate that GPP is gradually inhibited below 6.0 °C  $\pm$  2.6 °C (T<sub>e</sub>) and completely inhibited 437 below -7.1 °C  $\pm$  1.1 °C (T<sub>0</sub>). The gradual limitation of GPP by temperature has been observed on hourly and daily 438 timescales in other cold-weather ecosystems, such as Alaskan conifers (Parazoo et al., 2018) and Canadian spruce 439 (Pierrat et al., 2021). This has been connected to the triggering of transpiration and water flow from xylem into 440 leaves (Ishida et al., 2001). However, both biotic (e.g., carotenoid/chlorophyll ratios) and abiotic (e.g., openness of 441 canopy) factors together regulate GPP response to meteorological forcings, and further process-oriented 442 investigations are required to resolve the emergent response of GPP to temperature. Furthermore, the use of 443 process-based models will be needed to disentangle the individual cold weather biophysical processes currently 444 represented in the scaling factor (Eq. 1-2). For now, this is a useful metric for climate-sensitivity of spring GPP, at 445 least in the absence of long-term adaptations. Furthermore, over the 19 year observation period investigated here the 446 use of a temporally constant  $T_0$  and  $T_g$  yields significantly improved GPP estimates, suggesting that much of the 447 variability can be attributed to climate-driven changes, not interannual variation in vegetation parameters. As 448 temperature continues to increase due to climate change (particularly in the early growing season), productivity at 449 US-NR1 could increase as a result and therefore increase carbon uptake, with productivity peaking earlier in the

451 year (e.g., Xu et al., 2016). However, these spring gains in GPP have been shown to not offset the losses of carbon

452 <u>due to summer droughts (e.g., Buermann et al., 2013; Knowles et al., 2018). It is also unclear how the long-term</u>
 453 <u>stress of increased temperature could affect forest productivity directly.</u>

454 This study focuses on the relationship between temperature and GPP and its usefulness on model 455 predictions of spring GPP, but an important component that cannot be ignored is the confounding effect of water 456 availability on GPP. Future changes in winter precipitation are more uncertain, therefore limiting our ability to 457 analyze how precipitation changes will alter future productivity. While precipitation observations are analyzed to 458 discern any major connections between GPP and meteorological controls, an analysis of how precipitation affects 459 model predictability is not included in this study. The combined results, including the cold temperature limitation 460 and train-test data assimilation experiments, suggest that other factors besides spring temperature, most notably 461 winter and summer precipitation (Fig. S3) and resulting soil water limitation, also have important impacts on 462 summer GPP. We therefore highlight the need to jointly resolve springtime temperature limitation in conjunction 463 with water stress limitations in future efforts to understand the integrated role of environmental forcings on 464 interannual GPP variability. Furthermore, this analysis does not consider how winter precipitation as snowfall 465 versus rainfall affects productivity, or how resulting changes to winter snowpack could alter productivity long-term. 466 Since annual average GPP appears to be more dependent on winter precipitation/snowpack (Pearson's linear r = 467 0.63, Fig. S3a), future work will include improving model predictability of late season productivity and quantifying 468 temperature-water effects on carbon uptake. The definition of the seasons could also alter the connections drawn 469 between seasonal temperature, precipitation and productivity.

## 470 3.3. Model intercomparison and implications for GPP models

471 Here, we evaluate DALEC2-CARDAMOM against mean spring GPP estimates from TBM-MIP models 472 (Section 2.5 and Parazoo et al. 2020). It is important to remind the reader that the CARDAMOM runs have a 473 significant advantage over the TBM-MIP models in this analysis, as CARDAMOM is trained on US-NR1 GPP data. 474 While TBM-MIP models use tower-observed meteorological inputs, prescribe tower-specific and time-invariant 475 structural properties such as LAI observed at US-NR1 (SiB3-exp2 and CLM4.5), and use data assimilation of global 476 remote sensing data to constrain globally representative plant functional types (ORCHIDEE-exp3), they are not 477 directly constrained by time-varying carbon fluxes at the tower. As such, we emphasize that our model comparison 478 is not a strict assessment of performance, but rather an attempt to learn how model simulation of GPP at an 479 evergreen needleleaf site can be improved. 480 There is a wide range in performance of TBM-MIPs in representing the magnitude and IAV of tower-481 derived spring GPP (Figure 7a). Pearson's r correlations range from 0.25 to 0.82 (mean r = 0.6, Table 2) from 2001-

- 482 2018, with the same models showing slightly improved performance over the second decade (mean r = 0.73 from
- 483 2012-2018). ORCHIDEE-exp1 and CLM4.5 show consistently high performance over all three periods analyzed,
- with CLM5.0 excelling from 2012-2018, and BEPS from 2015-2018 (Table S1). CLM4.5 also shows the smallest
- 486 (1-sigma standard deviation =  $0.21 \text{ g C m}^{-2} \text{ day}^{-1}$  for CLM4.5, vs  $0.25 \text{ g C m}^{-2} \text{ day}^{-1}$  observed). While

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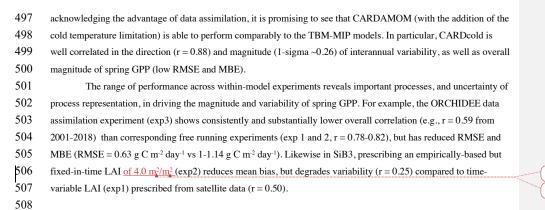
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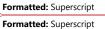
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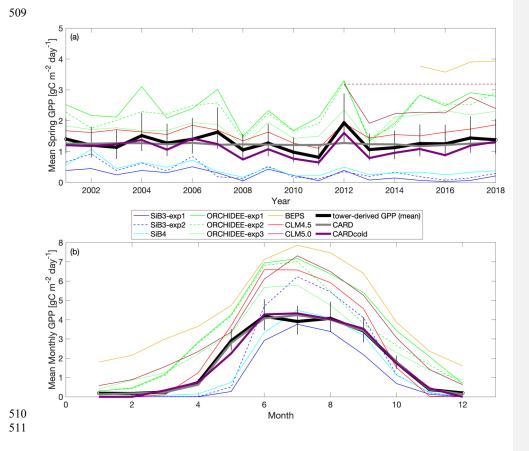


Figure 7. Comparison of TBM-MIP models to CARD and CARDcold experiments for a.) mean spring GPP for 2000-2018 and
b) monthly GPP from 2015-2018. Note that fill values are ignored when calculating mean annual values for TBM-MIP
experiments. Uncertainty = exp(sqrt(log(2)^2\*n)/n), where n = # years in average (n = 19).

There is also large variability in the modeled seasonal cycle (Fig. 7b) and mean annual GPP (Fig. S2). For

517 mean annual GPP estimates, Pearson's r values are reduced for all models (Table S2). Once again, ORCHIDEE-

518 exp2 and ORCHIDEE-exp3 stand out with some of the higher correlations (r = 0.60 and r = 0.64) and p-values

below 5% significance level. Furthermore, ORCHIDEE-exp3 (temp<u>erature</u> stress with SIF data assimilation) has

520 the lowest RMSE and MBE of the model set. SiB3-exp2 (fixed LAI) has a standard deviation closest to

521 "observations" (0.14 gC m<sup>-2</sup> day<sup>-1</sup>), and the smallest RMSE and MBE of the TBM models.

522 Most TBM-MIP models capture the shape of the seasonal cycle at Niwot Ridge. For the 2015-2018 period,

all models have Pearson's r values larger than 0.91, with p-values much smaller than a 5% significance level (Table

524 S3). With the help of data assimilation, CARDcold accurately captures the seasonal cycle at Niwot Ridge with

525 reduced error (RMSE =  $0.22 \text{ g C m}^2 \text{ day}^1$ , MBE =  $0.07 \text{ g C m}^2 \text{ day}^1$ ), and data assimilation experiments in

526 ORCHIDEE-exp3 show reduced bias relative to free running experiments. The cold temperature limitation has little

527 impact on the modeled mean seasonal cycle or mean annual GPP estimates in CARDAMOM, and appears to be

528 most valuable for improving spring GPP variability.

529

516

Table 2. Pearson's linear r, R-squared, p-value, standard deviation, root mean square error (RMSE), and mean bias error (MBE)
 for TBM-MIP and all CARDAMOM experiments to Niwot Ridge tower-derived mean spring (March-May) GPP. Open values
 reflect statistics for the 2001-2018 period, while values in parentheses represent the 2012-2018 period. All relevant statistics are
 calculated at 5% significance level. \*BEPs statistics are not included in this table as this model only has GPP estimates for 2015-2018.

2018.						
model	r-value	R-squared	p-value ( $\alpha =$	RMSE (gC	MBE (gC	standard
			0.05)	m <sup>-2</sup> d <sup>-1</sup> )	m <sup>-2</sup> d <sup>-1</sup> )	deviation (gC
						$m^{-2} d^{-1}$ )
CARD-Half	0.47 (0.55)	0.22 (0.30)	0.05 (0.20)	0.24 (0.26)	-0.005	0.03 (0.04)
					(0.06)	
CARD	0.45 (0.57)	0.20 (0.33)	0.06 (0.18)	0.24 (0.28)	0.05 (0.12)	0.03 (0.04)
CARDcold-Half	0.88 (0.93)	0.77 (0.86)	0.00 (0.002)	0.21 (0.24)	0.17 (0.22)	0.26 (0.29)
CARDcold	0.87 (0.93)	0.76 (0.87)	0.00 (0.00)	0.23 (0.26)	0.20 (0.24)	0.26 (0.28)
SiB3-exp1	0.50 (0.81)	0.25 (0.66)	0.04 (0.03)	1.07 (1.23)	1.04 (1.21)	0.16 (0.13)
SiB3-exp2	0.25 (0.41)	0.06 (0.17)	0.32 (0.36)	0.97 (1.15)	0.92 (1.13)	0.26 (0.10)
SiB4	0.34 (0.91)	0.12 (0.83)	0.16 (0.00)	0.90 (1.04)	0.86 (1.02)	0.22 (0.09)
ORCHIDEE-exp1	0.82 (0.82)	0.68 (0.67)	0.00 (0.02)	1.14 (1.24)	-1.08 (-1.16)	0.56 (0.67)
ORCHIDEE-exp2	0.78 (0.79)	0.61 (0.63)	0.00 (0.03)	1.00 (1.20)	-0.95 (-1.12)	0.51 (0.64)
ORCHIDEE-exp3	0.59 (0.55)	0.35 (0.31)	0.01 (0.20)	0.63 (0.81)	-0.57 (-0.76)	0.35 (0.36)
BEPS <u>*</u>	Х	Х	Х	Х	Х	Х
CLM4.5	0.82 (0.85)	0.68 (0.73)	0.00 (0.01)	0.34 (0.35)	-0.31 (-0.31)	0.21 (0.18)
CLM5.0	(0.96)	(0.92)	(0.00)	(1.09)	(-1.08)	(0.42)
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In summary, TBM-MIP experiments reveal several key factors that can improve or degrade estimates of

537 spring GPP at Niwot Ridge. For example, adapting model parameters to needleleaf species based on hand-tuning to

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540	tower data and formal data assimilation methods (CLM4.5 and ORCHIDEE-exp3, respectively) improves the	
541	overall magnitude of spring GPP. Likewise, prescribing LAI to a constant value of 4.0 m²/m² based on tower	
542	measurements (SiB3-exp2) improves year-to-year variability, while prescribing time variable LAI based on MODIS	
543	data improves spring GPP magnitude (SiB3-exp1). SiB4, which has prognostic rather than prescribed phenology,	
544	represents a compromise in magnitude and variability when looking at the entire record (2001-2018), but is one of	1
545	the top performers across all TBM-MIP models over the most recent period (2012-2018).	
546	We did not directly consider changes in canopy structural or biophysical characteristics in our	
547	CARDAMOM experiments. In CARDAMOM, LAI is a prognostic quantity (a function of foliar C and leaf carbon	
548	mass per area). In the absence of LAI observational constraints, CARDAMOM LAI is indirectly informed by the	
549	constraints of time-varying GPP on DALEC2 parameters (see section 2.3). Our results suggest that additional	
550	improvements are possible with careful consideration of in situ measured vegetation parameters.	
551	TBM-MIP experiments also offer insight on important environmental controls and process representation.	
552	Air temperature is an effective constraint of spring GPP onset (CLM4.5, ORCHIDEE-exp1.Figure 7 and Table 2),	
553	but which can be degraded when large scale data assimilation does not account for local- to regional- vegetation	
554	characteristics in parameter optimization (e.g., ORCHIDEE-exp3, Table 2). Water availability appears to be a	
555	secondary but still important driver of spring GPP. While acknowledging the numerous differences between	
556	CLM4.5 and CLM5.0, we find it important to note that plant hydraulic water stress (CLM5.0) shows improved IAV	
557	performance (high correlation, Table 2) over simplified soil moisture stress functions (CLM4.5). This result further	
558	supports efforts to closely analyze seasonal GPP to locate different environmental controls for future model	
559	improvements.	
560	Our study of the controls of cold temperature on GPP has important implications for modeling seasonal	
561	productivity. First, future work must evaluate cold temperature limitation at other sites across an array of ecosystem	
562	types. Additionally, it is important to determine if the temperature thresholds of photosynthesis initiation and	
563	cessation are similar across locations, or unique to ecosystem type and/or site. Previous studies have had mixed	
564	results, supporting both the use of customized temperature threshold parameters dependent on the site (Tanja et al.,	
565	2003; Chang et al., 2020) or for a general parameter across multiple sites or biome type (Bergeron et al., 2007).	
566	These differences could be due to variations in other variables (e.g., soil temperature, irradiance, etc.) and/or	
567	physiological differences in the vegetation species. Identifying how photosynthesis temperature thresholds vary	
568	across space and ecosystem type would be beneficial in improving model performance in simulating productivity.	
569	Our model intercomparison study also provide insights on how we may improve our ability to model seasonal GPP.	
570	For example, in Fig. 7b, we see that the ORCHIDEE model growing season starts too early. In the photosynthesis	
571	module of ORCHIDEE, the temperature-dependency of parameters are described by Arrhenius or modified	
572	Arrhenius functions following Medlyn et al. (2002) and Kattge and Knorr (2007). In general, the functions are used	
573	to estimate the potential rates of Rubisco activity and electron transport based on temperature, as these rates are	
574	needed to determine photosynthetic capacity (Medlyn et al., 2002). The lowest temperature for productivity	
575	mentioned in these studies are 5°C and 11°C, respectively. Additionally, there is a test at the start of the	
576	photosynthesis subroutine that prevents the computation of photosynthesis if the mean temperature over the last 20	

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584 days falls below -4°C. For our study, the only ORCHIDEE experiment that uses specific data related to the plant 585 functional type of this site (OCO-2 SIF data for US-NR1) is ORCHIDEE-exp3. This experiment improves the 586 general behavior of the modeled GPP seasonal cycle but does not improve ORCHIDEE's ability to capture the start 587 of the growing season. So with the future evaluation of cold temperature limitation at other sites and further study of 588 the potential temperature-influenced bias in the model, then ORCHIDEE (and other process-based models) may 589 need to improve its photosynthesis temperature-dependency for cold plant functional types. Therefore, we 590 recommend implementing a cold temperature GPP limitation in a process-based model to confirm its ability to 591 improve model performance. If we identify (1) how photosynthesis initiation and shutdown varies with temperature 592 and location, and (2) apply a cold temperature limitation successfully in a process-based model, then we could 593 expand our analyses to answer bigger Earth science questions. For example, we could use Earth System Model 594 temperature trends to determine how changing temperature will impact GPP in the future. 595 While further experiments are needed, these results demonstrate the value of (1) site level data assimilation 596 for local scale prediction of GPP magnitude and variability, (2) global data assimilation for reducing magnitude 597 biases, and (3) process formulation for accounting for sensitivity to temperature limitation and water stress. Overall, 598 these results are encouraging for model-data fusion systems which have developed the capacity to bring together 599 temporally and spatially resolved functional and structural vegetation components such as LAI, SIF, soil organic 600 matter, and above- and below-ground biomass (e.g., Bacour et al., 2019; Smith et al., 2020; Bloom et al., 2020).

- 601 Joint assimilation of these datasets, coupled with observed meteorological forcing, has potential to introduce more
- 602 emergent constraints of vegetation change with respect to environmental change, thus improving overall estimates of
- 603 productivity. Future work will assess the joint impact of SIF, ET, LAI, and biomass data as effective constraints on
- 604 light use and water use efficiency (Smith et al., 2020), which is expected to improve the ability of CARDAMOM to
- use light with respect to increasing biomass subject to longer growing seasons and heat and water stress.

# 606 4. Conclusions

607 Despite mechanistic advances in ecosystem modeling, it is still a challenge to simulate temporal variations 608 in GPP. In an attempt to dissect the environmental controls on GPP in an evergreen needleleaf ecosystem, we 609 analyzed the impact of temperature on spring (March-May) productivity by implementing a cold temperature GPP 610 limitation within a model-data fusion system (DALEC2-CARDAMOM). The cold weather GPP limitation allows 611 for improved model estimates of mean spring productivity at Niwot Ridge, specifically CARDAMOM's ability to 612 match the interannual variability observed in tower-derived mean spring GPP. Furthermore, CARDAMOM is able 613 to match spring interannual variability between model and tower data outside of the training period. When 614 compared to TBM-MIP models, controls that appear to impact model performance include the inclusion of water 615 stress (e.g., soil moisture) and vegetation parameters (e.g., prescription of LAI). The fact that the cold temperature 616 limitation does not improve CARDAMOM's annual GPP estimates suggests that other controls (i.e. winter 617 precipitation) drive GPP variability in other parts of the year, most likely summer (June-September). The cold 618 temperature limitation may prove useful in understanding future changes in spring productivity due to changes in 619 temperature in other ecosystems as well.

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# 623 Appendices

624 Appendix A: Model-Data Fusion Methodology

625The DALEC2 model parameter values and state variable initial conditions (henceforth x) are optimized626using a Bayesian inference approach, where the posterior probability distribution of x given observations O, p(xlO),627can be expressed as

# $b28 p(\boldsymbol{x}|\boldsymbol{o}) \propto p(\boldsymbol{x})L(\boldsymbol{x}|\boldsymbol{o}) (A1)$

629 Where p(x) is the prior probability distribution of x, and L(x|O) is the likelihood of the DALEC parameters 630 and initial conditions given observations **O**. We define the likelihood function as

631 
$$L(\boldsymbol{x}|\boldsymbol{\sigma}) = e^{-\frac{1}{2}\sum t \left(\frac{m_i(\boldsymbol{x}) - \sigma_i}{\sigma}\right)^2} + e^{-\frac{1}{2}\sum a \left(\frac{m_a'(\boldsymbol{x}) - \sigma_a'}{\sigma}\right)^2},$$
(A2)  
632

633 where for monthly timestep  $i, m_i(\mathbf{x})$  and  $o_i$  represent monthly modeled GPP (based on parameters  $\mathbf{x}$ ) and 634 flux-tower GPP observation, respectively. Following model-data fusion efforts with a spectrum of temporal modes 635 of variability (Desai 2010, Quetin et al., 2020 and Bloom et al., 2020), we extend the cost function to include mean 636 annual model and tower-derived GPP,  $m_a(\mathbf{x})$  and  $o_a$  respectively) for year = a, which allows the GPP cost function 637 to be sensitive to both seasonal and inter-annual components of the flux tower GPP signal. We log-transform 638 modeled and tower-derived GPP values (as done in Bloom & Williams, 2015 and Bloom et al., 2016), which is 639 preferable for characterize model-data residuals between strictly positive quantities (such as GPP). For lack of better 640 uncertainty estimates on monthly and annual flux tower GPP accuracy-including lack of knowledge on GPP error 641 characteristics at monthly timescales, error covariance between individual GPP estimates, model structural error 642 impacts on GPP – we conservatively prescribed uncertainty factor of  $\sigma = 2$  for monthly values (roughly ~75%), and 643  $\sigma' = 1.2$  (~18%) for annual values; in general we found that these values led to robust agreements between flux 644 tower and DALEC2 GPP variability (model-data mistmatch metrics are reported in section 3 of the manuscript). 645 For all model experiments, we sample the probability of p(x|o), the posterior probability distribution of 646 initial conditions x given observations o, we use four Metropolis-Hastings Markov Chain Monte Carlo (MHMCMC; 647 Haarrio et al. 2001) for  $10^8$  iterations; we subsample 1000 parameter vectors x, from the latter 50% of each chain (in 648 total 1000 samples x 4 chains = 4000 samples). We test for convergence in the MHMCMC estimates of x using a the 649 Gelman-Rubin convergence diagnostic to measure convergence between the four chains.

# 650 Data Availability

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 The Ameriflux US-NR1 data were obtained from: <a href="https://ameriflux.lbl.gov/sites/siteinfo/US-NR1">https://ameriflux.lbl.gov/sites/siteinfo/US-NR1</a> (Blanken et al.,

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 2020). The US-NR1 data used in this study, as well as the CARDAMOM and TBM-MIP outputs are publicly

available and provided in .nc file format\_ at <a href="http://doi.org/10.5281/zenodo.4928097">http://doi.org/10.5281/zenodo.4928097</a> .

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#### 657 Code Availability

<u>The CARDAMOM code used in this study is available here: https://github.com/CARDAMOM-</u>
 <u>framework/CARDAMOM\_v2.2</u>

#### 660 Author Contributions

SGS, NCP and AAB designed and performed the research. AJN, BR, CB, FM, IB, YZ, BQ, and MS contributed
 model simulations. DRB, SPB, and PDB contributed observational data. All authors contributed to the writing of
 the paper\_and/or revision of the manuscript.

#### 664 Supplement

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665	Competing Interests
666	An author is a member of the editorial board of <i>Biogeosciences</i> . The peer-review process was guided by an
667	independent editor, and the authors have also no other competing interests to declare
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- **Deleted:** The authors declare that they have no conflict of interest.

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